

Section 1. Identifying Inform	ation			
1. Given Name (First Name)	2. Surname (Las	st Name)	3. Date [8 (8
4. Are you the corresponding author?	Yes	No		
5. Manuscript Title Protocol and Fidelity Monitoring Plan fo Makers in ICUs	r Four Supports	: A Multicenter Trial of an	Intervention to Suppo	rt Surrogate Decision
6. Manuscript Identifying Number (if you kn White-201803-157SD	ow it)			
Section 2. The Work Under Co	onsideration f	or Publication		
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to			
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Section 3. Relevant financial	activities outs	ide the submitted wo	rk.	
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Section 4. Intellectual Proper	ty Patents &	Copyrights		
Do you have any patents, whether plant	ned, pending or	issued, broadly relevant t	to the work? Yes	₽No



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Section 5.	Relationships not covered above
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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Information
1. Given Name (First Name) 2. Surname (Last Name) 3. Date 5/17/8 4. Are you the corresponding author? Yes No
5. Manuscript Title Protocol and Fidelity Monitoring Plan for Four Supports: A Multicenter Trial of an Intervention to Support Surrogate Decision Makers in ICUs
6. Manuscript Identifying Number (if you know it) White-201803-157SD
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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No
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Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work?



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patent

Buddadhumaruk 1



Section 1.	Identifying Inform	ation		
1. Given Name (First Praewpannarai	Name)	2. Surname (Last Name) Buddadhumaruk		3. Date 29-May-2018
4. Are you the corres	sponding author?	Yes ✓ No	Corresponding Author's Nam Jennifer B. Seaman	ne
5. Manuscript Title Protocol and Fideli Makers in ICUs	ity Monitoring Plan fo	or Four Supports: A Multic	enter Trial of an Intervention	to Support Surrogate Decision
6. Manuscript Identif White-201803-157	fying Number (if you kno SD	ow it)		
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	ntellectual Proper	ty Patents & Copyric	jnts	
Do you have any pa	atents, whether planr	ned, pending or issued, br	oadly relevant to the work?	Yes ✓ No

Buddadhumaruk 2



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Royalties: Funds are coming in to you or your institution due to your patent

Felman 1



Section 1.	Identifying Inform	ation	
1. Given Name (Fii Kristyn	rst Name)	2. Surname (Last Name) Felman	3. Date 17-May-2018
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Jennifer Seaman
5. Manuscript Title Protocol and Fid Makers in ICUs		or Four Supports: A Multice	enter Trial of an Intervention to Support Surrogate Decision
6. Manuscript Ider White-201803-15	ntifying Number (if you kr 57SD	now it)	
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Section 2.	The Work Under Co	onsideration for Public	ation
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Section 3.	Relevant financial	activities outside the s	ubmitted work.
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Section 4.	Intellectual Proper	rty Patents & Copyrig	hts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No

Felman 2



Section 5. Relationships not sovered above
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Gustafson 1



Section 1.	Identifying Inform	nation		
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4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Douglas White	
5. Manuscript Title Protocol and Fid Makers in ICUs		or Four Supports: A Multico	enter Trial of an Intervention to Suppo	ort Surrogate Decision
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Section 3.	Relevant financial	activities outside the s	ubmitted work.	
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Do you have any			padly relevant to the work? Yes	✓ No

Gustafson 2



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Happ 1



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1. Given Name (First Name) Mary Beth	2. Surname (Last Name) Happ		3. Date 18-May-2018
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author	r's Name
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Name of Institution/Company	Grant? Personal Nor	n-Financial other?	Comments
NIH/NIA grant #1R01AG045176 (White, PI) Happ, Co-I)	✓		
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Do you have any patents, whether plann	ned, pending or issued, br	oadly relevant to the v	vork? Yes 🗸 No

Happ 2



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Dr. Happ reports grants from NIH/NIA grant #1R01AG045176 (White, PI) (Happ, Co-I), during the conduct of the study.

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Happ 3



Annals of the American Thoracic Society

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Date 5-23-	18	Name C. Seth Lande	feld, MD			
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Section 1. Identifying Inform	nation					
Given Name (First Name) C. Seth	2. Surname (Last Name) Landefeld	3. Date 23-May-2018				
4. Are you the corresponding author?	Yes Vo	Corresponding Author's Name Douglas White				
5. Manuscript Title Protocol and Fidelity Monitoring Plan Makers in ICUs	for Four Supports: A Multic	enter Trial of an Intervention to Support Surrogate Decision				
6. Manuscript Identifying Number (if you k White-201803-157SD	(now it)					
Section 2. The Work Under C	Consideration for Public	cation				
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No						
Section 3. Relevant financia	l activities outside the	submitted work.				
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Section 4. Intellectual Prope	erty Patents & Copyri	ghts				
Do you have any patents, whether pla	nned, pending or issued, b	roadly relevant to the work? Yes No				

Landefeld 2



Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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✓ No other rela	ationships/conditions/circumstances that present a potential conflict of interest
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Dr. Landefeld h	as nothing to disclose.

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Landefeld



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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Reynolds III, MD



Section 1.	Identifying Inform	nation				
Given Name (Fine Charles F.	rst Name)	2. Surname (Last Name) Reynolds III, MD	3. Date 21-May-2018			
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Jennifer Seaman			
5. Manuscript Title Protocol and Fid Makers in ICUs		or Four Supports: A Multice	enter Trial of an Intervention to Support Surrogate Decision			
6. Manuscript Ider White-201803-1	ntifying Number (if you kr 57SD	now it)				
Section 2.	The Work Under Co	onsideration for Public	ation			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo						
Section 3.	Relevant financial	activities outside the s	ubmitted work.			
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Section 4.	lotalla storal Boo		.l.e.			
	Intellectual Proper	ty Patents & Copyric	ints			
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes 🗸 No			

Reynolds III, MD



Section 5.				
	Relationships not covered above			
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?			
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):			
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest			
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Section 6.	Disclosure Statement			
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No. 1053 P. 2



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5. Manuscript Title Protocol and Fidelity Monitoring Plan for Four Supports: A Multicenter Trial of an Intervention to Support Surrogate Decision Makers in ICUs
6. Manuscript Identifying Number (if you know it) White-201803-157SD
Section 2. The Work Under Consideration for Publication Did you or your Institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No
Section 3. Relevant financial activities outside the submitted work.
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Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

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Shields 1



Section 1.	Identifying Inform	ation			
1. Given Name (Fire Anne-Marie	Given Name (First Name) 2. Surname (Last Name) nne-Marie Shields				3. Date 18-May-2018
4. Are you the corre	esponding author?	Yes ✓	No	Corresponding Author's Name Jennifer Seaman, PhD, RN	
5. Manuscript Title Protocol and Fide Makers in ICUs		r Four Support	s: A Multice	enter Trial of an Interventio	n to Support Surrogate Decision
6. Manuscript Iden White-201803-15	tifying Number (if you kn i7SD	ow it)			
Section 2.	The Work Under Co	onsideration '	for Public	ation	
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Section 3.					
Section 5.	Relevant financial	activities out	side the s	ubmitted work.	
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Are there any rele	evant conflicts of intere	st? Yes	✓ No		
Section 4.	Intellectual Proper	ty Patents	& Copyrig	hts	
Do you have any	patents, whether planr	ned, pending o	r issued, bro	oadly relevant to the work?	Yes 🗸 No

Shields 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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White 1



Section 1.	entifying Inform	ation			
1. Given Name (First Na Douglas	, ,	2. Surname (Last Name) White		3. Date 21-May-2018	
4. Are you the correspo	onding author?	Yes No			
5. Manuscript Title Protocol and Fidelity Makers in ICUs	Monitoring Plan fo	r Four Supports: A Mult	icenter Trial of an Inte	rvention to Support Surrogat	te Decision
6. Manuscript Identifyi White-201803-157SE		ow it)			
Section 2. Th	a Wark Undar Co	onsideration for Pub	lication		
Did you or your institut	ion at any time recei itted work (including ?	ve payment or services fro but not limited to grants,	m a third party (governn	nent, commercial, private found tudy design, manuscript prepar	
Section 3. Re	levant financial a	activities outside the	submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest?					
Name of Entity		Grant? Personal N	on-Financial Support? Other	Comments	
NIH					
Section 4. Int	tellectual Proper	ty Patents & Copyı	ights		
Do you have any pate	ents, whether planr	ned, pending or issued,	broadly relevant to the	e work? Yes V No	

White 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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White 3



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Angus 1



Section 1.	Identifying Inform	ation				
1. Given Name (Fi Derek	rst Name)	2. Surname (Last Name) Angus		3. Date 17-May-2018		
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Auth	Corresponding Author's Name Douglas White		
Manuscript Title Protocol and Fid Makers in ICUs		or Four Supports: A Mult	center Trial of an Inter	rvention to Support Surrogate De	ecision	
6. Manuscript Ider White-201803-1	ntifying Number (if you kn 57SD	now it)				
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Section 2.	The Work Under Co	onsideration for Pub	lication			
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	but not limited to grants, east? Yes No prmation below. If you ha	data monitoring board, s	nent, commercial, private foundation tudy design, manuscript preparation tity press the "ADD" button to ad	٦,	
Name of Institut	ion/Company	Grant? Personal Fees?	on-Financial Other Support?	Comments		
National Institutes of	Health	✓				
	ı					
Section 3.	Relevant financial	activities outside the	submitted work.			
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo						
Section 4.	Intellectual Proper	ty Patents & Copyr	ights			
Do you have any	patents, whether plant	ned, pending or issued, l	oroadly relevant to the	e work? ☐ Yes ✓ No		

Angus 2



Section 5.	
Section 5.	Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?	
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Section 6.	Disclosure Statement
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Dr. Angus reports grants from National Institutes of Health, during the conduct of the study; .	

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Angus 3



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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

1 Chang



Section 1.	Identifying Inform	ation		
1. Given Name (Fi Chung-Chou	rst Name)	2. Surname (Last Name) Chang		3. Date 29-May-2018
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Nar Douglas White	ne
5. Manuscript Title Protocol and Fid Makers in ICUs		or Four Supports: A Multic	enter Trial of an Intervention	n to Support Surrogate Decision
6. Manuscript Idea White-201803-1	ntifying Number (if you kr 57SD	now it)		
Section 2.	The Work Under Co	onsideration for Publi	cation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, do	a third party (government, cor ata monitoring board, study de	mmercial, private foundation, etc.) for sign, manuscript preparation,
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Section 4.	Intellectual Proper	ty Patents & Copyri	ahts	
Do you have any				Yes ✓ No
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V				

Chang 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
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Dr. Chang has no	othing to disclose.

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Chang 3



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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Song 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Mi-Kyung	rst Name)	2. Surname (Last Name) Song	3. Date 29-May-2018
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name D White
5. Manuscript Title Protocol and Fid	e elity Monitoring Plan fo	or Four Supports	
6. Manuscript Ide White-201803-1	ntifying Number (if you kr 57SD	now it)	
Section 2.	The Work Under Co	onsideration for Publi	cation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	n) with entities as descri	ibed in the instructions. Us port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Section 4.	Intellectual Prope	rty Patents & Copyri	ghts
Do you have any	patents, whether plan	ned, pending or issued, bi	roadly relevant to the work? Yes V No

Song 2



Section 5.	
Section 5.	Relationships not covered above
	lationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
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Section 1. Identifying Infor	mation				
Given Name (First Name) Suzanne	2. Surname (Last Name) MacKenzie	3. Date 28-May-2018			
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Jennifer Burgher Seaman			
5. Manuscript Title Protocol and Fidelity Monitoring Plan for Four Supports: A Multicenter Trial of an Intervention to Support Surrogate Decision Makers in ICUs					
6. Manuscript Identifying Number (if you White-201803-157SD	know it)				
Did you or your institution at any time recany aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interesting the submitted work (including statistical analysis).	ng but not limited to grants, derest? Yes ✓ No	n a third party (government, commercial, private foundation, etc.) for lata monitoring board, study design, manuscript preparation,			
Relevant financia	l activities outside the				
of compensation) with entities as desc	cribed in the instructions. Useport relationships that we	hether you have financial relationships (regardless of amount Use one line for each entity; add as many lines as you need by ere present during the 36 months prior to publication .			
Section 4. Intellectual Prop	erty Patents & Copyr	ights			
Do you have any patents, whether pla	nned, pending or issued, b	proadly relevant to the work? Yes No			

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Suzanne MacKenzie has nothing to disclose.

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Kahn 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Jeremy	rst Name)	2. Surname (Last Name) Kahn		3. Date 29-May-2018
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Nam Seaman	ne
5. Manuscript Title Protocol and Fid Makers in ICUs		or Four Supports: A Multice	enter Trial of an Intervention	to Support Surrogate Decision
6. Manuscript Ide White-201803-1	ntifying Number (if you kr 57SD	now it)		
Section 2.	The Work Under Co	onsideration for Public	cation	
any aspect of the s statistical analysis,	submitted work (including	but not limited to grants, da	a third party (government, com ta monitoring board, study des	nmercial, private foundation, etc.) for ign, manuscript preparation,
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of compensation clicking the "Add	n) with entities as descri	bed in the instructions. Us port relationships that wer		tionships (regardless of amount dd as many lines as you need by onths prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyric	uhts	
Do you have any			oadly relevant to the work?	☐ Yes ✓ No

Kahn 2



Section 5.				
Section 5.	Relationships not covered above			
	Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
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Section 6.	Disclosure Statement			
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Dr. Kahn has no	thing to disclose.			

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Royalties: Funds are coming in to you or your institution due to your patent

Morse 1



Section 1.	Identifying Information		
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Morse	3. Date 17-May-2018
4. Are you the cor	. Are you the corresponding author? Yes V No Corresponding Author's Name		Corresponding Author's Name
5. Manuscript Title Protocol and Fid Makers in ICUs		or Four Supports: A Multice	nter Trial of an Intervention to Support Surrogate Decision
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Section 2.	The Work Under Co	onsideration for Public	ation
any aspect of the s statistical analysis,	stitution at any time recei ubmitted work (including	ive payment or services from a but not limited to grants, dat	a third party (government, commercial, private foundation, etc.) for a monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.
of compensation clicking the "Add	n) with entities as descri	bed in the instructions. Us port relationships that were	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
Section 4.	Intellectual Proper	ty Patents & Copyrig	hts
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Morse 2



Section 5. Relationships not covered above
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Seaman 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Jennifer	rst Name)	2. Surname (Last Name) Seaman	3. Date 02-March-2018
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Douglas B. White, MD MAS
5. Manuscript Title Protocol and Fid		or a Trial of an Interventior	n to Support Surrogate Decision Makers in ICUs
6. Manuscript Ider	ntifying Number (if you kr	now it)	
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Section 4.	Intellectual Proper	rty Patents & Copyric	ghts
Do you have any			oadly relevant to the work? Yes V No

Seaman 2



Section 5. Polationships not severed above
Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Seaman has nothing to disclose.

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