

## **Manifold cities: social variables of urban areas in the UK**

Edmund Barter and Thilo Gross

### **Article citation details**

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### **Review timeline**

Original submission: 7 September 2018  
Revised submission: 15 November 2018  
Final acceptance: 29 November 2018

Note: Reports are unedited and appear as submitted by the referee. The review history appears in chronological order.

## Review History

RSPA-2018-0615.R0 (Original submission)

Review form: Referee 1 (Danny Dorling)

**Is the manuscript an original and important contribution to its field?**

Yes

**Is the paper of sufficient general interest?**

Yes

**Is the overall quality of the paper suitable?**

No

**Quality of the paper**

A good paper worth publishing in Proceedings.

**Can the paper be shortened without overall detriment to the main message?**

No

**Do you think some of the material would be more appropriate as an electronic appendix?**

Yes

**For papers with colour figures – is colour essential?**

Yes

**If there is supplementary material, is this adequate and clear?**

Yes

**Are there details of how to obtain materials and data, including any restrictions that may apply?**

Yes

**Do you have any ethical concerns with this paper?**

No

**Recommendation?**

Accept with minor revision (please list in comments)

**Comments to the Author(s)**

You suggest very early on that cities have greater problems with traffic and pollution. Fewer people tend to be injured and killed by cars in cities as compared to the countryside. Pollution per person is also generally lower in cities as greater use can be made of public transport and there is less need to heat homes in an urban heat island.

Later you say that “Selecting a specific set of variables can introduce bias to a model”. However, the census variables are very carefully selected and are themselves representative of bias. For instance, I have tried for many decades to have income added to the UK census, in the same as it is included in the USA census. Its omission effects how we see cities in the UK. We don’t like to ask about income here – that itself is one of a myriad of biases that will influence what you find and that are largely out of your control but still very important.

You have identified Fettes College, a large private boarding school. Please could you check whether you also successfully identify prisons and similar establishments? One of the first Borstals was located near Bristol and it may still be used for similar purposes. Output areas with many people in them who are in communal establishments stand out in census data.

You mention Knowle West, which is also associated with the artist Tricky, but you do not mention him. Nor the (probably an urban myth) suggestion that he once met Samantha Cameron (when she was a student at UWE), wife of David, a former prime minister a rival for power of Tony Blair, a Fettes boy. Fettes, like Eton (David’s school) has close connections to the military. The reason for mentioning all this is that, although your method may identify things for you to look at, how you and I would interpret those things would very much reflect our differing ways of seeing the world and the connections we are likely to make. Whether one sees Fettes as a good thing, or Bedminster as improving (or simply gentrifying and becoming unaffordable and unfriendly), depends on from where one is looking. I have not been to Bedminster for 20 years. When I was last there, people complained that there was so many babies their baby alarms kept on picking up the crying of other infants! But it was a very friendly place. Is it still so friendly?

The point of me writing the paragraph above is to illustrate the problem of subjectivity. The social sciences have been an attempt to deal with that problem.

What worries me is I cannot see that you have discovered anything that was not already known. In theory your method should make that possible but, of course, you would then have a problem convincing people of what you have found if it not match their predilections for explanation.

I very much enjoyed your paper, but I would like to know if places like Fettes, or Wills Hall, are similar to young offenders residential institutions as far as census data is concerned and if not, why not. What do you find that is shocking or surprising?

I hope the paper is published and that these comments are useful in improving it first.

All best wishes,

Danny Dorling

## Review form: Referee 2 (Ernesto Estrada)

**Is the manuscript an original and important contribution to its field?**

Yes

**Is the paper of sufficient general interest?**

Yes

**Is the overall quality of the paper suitable?**

Yes

**Quality of the paper**

An excellent paper making an important contribution to the field: should be published.

**Can the paper be shortened without overall detriment to the main message?**

No

**Do you think some of the material would be more appropriate as an electronic appendix?**

No

**For papers with colour figures - is colour essential?**

Yes

**If there is supplementary material, is this adequate and clear?**

Not applicable

**Are there details of how to obtain materials and data, including any restrictions that may apply?**

Yes

**Do you have any ethical concerns with this paper?**

No

**Recommendation?**

Accept with minor revision (please list in comments)

**Comments to the Author(s)**

This is an excellent paper, which is very sounded and well written. In it the authors used an approach developed by Coifman in which diffusion maps are used to generate a geometry of

graphs. My only concern is that the manuscript does not mention other similar approaches that are also suitable for the type of analysis done in this work. In fact, it could be argued that they are more appropriate as diffusion maps, but it is a matter of discussion. My suggestion is to include the following (or some of the following) references:

- M. Pereda, Estrada, E. Visualization and machine learning analysis of complex networks in hyperspherical space. *Pattern Recognition* 86 (2019) 320-331.
- M. Akbarzadeh, Estrada, E., Communicability geometry captures traffic flows in cities. *Nature Human Behaviour*, 2, 2018, 645-652.
- E. Estrada, N. Hatano, Communicability angle and the spatial efficiency of networks. *SIAM Review*, 58, 2016, 692-715 .
- E. Estrada, M. G. Sanchez-Lirola, J. A. de la Pena, Hyperspherical Embedding of Graphs and Networks in Communicability Spaces. *Discrete Applied Mathematics* 176 2014, 53-77.
- Estrada, E., The communicability distance in graphs. *Linear Algebra and its Applications*, 436 2012, 4317-4328.

## Review form: Referee 3

**Is the manuscript an original and important contribution to its field?**

Yes

**Is the paper of sufficient general interest?**

Yes

**Is the overall quality of the paper suitable?**

Yes

**Quality of the paper**

A good paper worth publishing in Proceedings.

**Can the paper be shortened without overall detriment to the main message?**

Yes

**Do you think some of the material would be more appropriate as an electronic appendix?**

No

**For papers with colour figures - is colour essential?**

Yes

**If there is supplementary material, is this adequate and clear?**

Not applicable

**Are there details of how to obtain materials and data, including any restrictions that may apply?**

Yes

**Do you have any ethical concerns with this paper?**

No

**Recommendation?**

Accept with minor revision (please list in comments)

### Comments to the Author(s)

This is an interesting paper looking at a novel methodology to find the most relevant variables describing a system, going beyond PCA. The method is applied to urban systems, and in particular to census data, taking Bristol as a case study.

My first comment, which is already discussed by the authors, is that the step of thresholding seems somehow arbitrary, and there's scope for future work to find a more rigorous methodology. In particular, the thresholding does not correspond to the values but to the number of links constructed between OAs. I would argue that for an initial approximation, considering only 10 neighbours gives good enough results, but a rigorous method in which the distribution of weights is considered to decide on an uneven set of neighbours could be explored. This is comment for future work.

With respect to the paper in its state for publication, Here's a list of questions, comments:

- 1) validation: the method seems to be able to pick up places that have related characteristics, such as the universities and student halls. Were the authors able to verify that ALL relevant student places are contained in the OAs picked up by the first eigenvector?
- 2) Difference between census and selected variables: there is indeed a whole problem of how the census differs between England and Wales, and Scotland. It would be insightful to say how the 231 variables were selected.
- 3) Given that there's no 1-1 map between OAs in Bristol and any other selected case study, more clarity is needed on how the values are assigned from Bristol to other cities following the distance in the similarity space, in particular, when the destination is a city whose variation is much larger than Bristol.
- 4) With respect to the meaning of the eigenvectors, this also needs clarification. Given the large set of variables, how were these probed in order to understand from "local knowledge" that the first eigenvector corresponded to student populations? The same comment holds for the second eigenvector. Is there a second process of correlating the results at OA level with the variables? Is there a geographic weighted regression carried on, or what sort of correlation? This needs to be explicit in the text.

Overall, I found the paper interesting and inspiring for future applications and generalisations. Although as it stands, it does not provide a rigorous answer to the variables that need to be considered in a specific urban context, since it seems that previous knowledge needs to intervene, it does give a first step in this direction, in particular since it goes beyond linear models. For this reason, I strongly recommend publication.

## Decision letter (RSPA-2018-0615.R0)

08-Nov-2018

Dear Dr Barter,

On behalf of the Editor, I am pleased to inform you that your Manuscript RSPA-2018-0615 entitled "Manifold Cities: Social variables of urban areas in the UK" has been accepted for publication subject to minor revisions in Proceedings A. Please find the referees' comments below.

The reviewer(s) have recommended publication, but also suggest some minor revisions to your

manuscript. Therefore, I invite you to respond to the reviewer(s)' comments and revise your manuscript. Please note that we have a strict upper limit of 28 pages for each paper. Please endeavour to incorporate any revisions while keeping the paper within journal limits. Please note that page charges are made on all papers longer than 20 pages. If you cannot pay these charges you must reduce your paper to 20 pages before submitting your revision. Your paper has been ESTIMATED to be 12 pages. We cannot proceed with typesetting your paper without your agreement to meet page charges in full should the paper exceed 20 pages when typeset. If you have any questions, please do get in touch.

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- \*Write simple English: this is intended for the general public. Please explain any essential technical terms in a short and simple manner.
- \*Describe (a) the study (b) its key findings and (c) its implications.
- \*State why this work is newsworthy, be concise and do not overstate (true 'breakthroughs' are a rarity).
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Once again, thank you for submitting your manuscript to Proceedings A and I look forward to receiving your revision. If you have any questions at all, please do not hesitate to get in touch.

Best wishes

Alice Power  
Publishing Editor  
Proceedings A  
[proceedingsa@royalsociety.org](mailto:proceedingsa@royalsociety.org)

on behalf of  
Dr Ernesto Estrada  
Board Member  
Proceedings A

Reviewer(s)' Comments to Author:

Referee: 1

Comments to the Author(s)

You suggest very early on that cities have greater problems with traffic and pollution. Fewer people tend to be injured and killed by cars in cities as compared to the countryside. Pollution per person is also generally lower in cities as greater use can be made of public transport and there is less need to heat homes in an urban heat island.

Later you say that "Selecting a specific set of variables can introduce bias to a model". However, the census variables are very carefully selected and are themselves representative of bias. For instance, I have tried for many decades to have income added to the UK census, in the same as it is included in the USA census. Its omission effects how we see cities in the UK. We don't like to ask about income here – that itself is one of a myriad of biases that will influence what you find and that are largely out of your control but still very important.

You have identified Fettes College, a large private boarding school. Please could you check whether you also successfully identify prisons and similar establishments? One of the first Borstals was located near Bristol and it may still be used for similar purposes. Output areas with many people in them who are in communal establishments stand out in census data.

You mention Knowle West, which is also associated with the artist Tricky, but you do not mention him. Nor the (probably an urban myth) suggestion that he once met Samantha Cameron (when she was a student at UWE), wife of David, a former prime minister a rival for power of Tony Blair, a Fettes boy. Fettes, like Eton (David's school) has close connections to the military. The reason for mentioning all this is that, although your method may identify things for you to look at, how you and I would interpret those things would very much reflect our differing ways of seeing the world and the connections we are likely to make. Whether one sees Fettes as a good thing, or Bedminster as improving (or simply gentrifying and becoming unaffordable and unfriendly), depends on from where one is looking. I have not been to Bedminster for 20 years. When I was last there, people complained that there was so many babies their baby alarms kept on picking up the crying of other infants! But it was a very friendly place. Is it still so friendly?

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What worries me is I cannot see that you have discovered anything that was not already known. In theory your method should make that possible but, of course, you would then have a problem convincing people of what you have found if it not match their predilections for explanation.

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I hope the paper is published and that these comments are useful in improving it first.

All best wishes,

Danny Dorling

Referee: 2

Comments to the Author(s)

This is an excellent paper, which is very sounded and well written. In it the authors used an approach developed by Coifman in which diffusion maps are used to generate a geometry of graphs. My only concern is that the manuscript does not mention other similar approaches that are also suitable for the type of analysis done in this work. In fact, it could be argued that they are more appropriate as diffusion maps, but it is a matter of discussion. My suggestion is to include the following (or some of the following) references:

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Referee: 3

Comments to the Author(s)

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My first comment, which is already discussed by the authors, is that the step of thresholding seems somehow arbitrary, and there's scope for future work to find a more rigorous methodology. In particular, the thresholding does not correspond to the values but to the number of links constructed between OAs. I would argue that for an initial approximation, considering only 10 neighbours gives good enough results, but a rigorous method in which the distribution of weights is considered to decide on an uneven set of neighbours could be explored. This is comment for future work.

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in the similarity space, in particular, when the destination is a city whose variation is much larger than Bristol.

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Overall, I found the paper interesting and inspiring for future applications and generalisations. Although as it stands, it does not provide a rigorous answer to the variables that need to be considered in a specific urban context, since it seems that previous knowledge needs to intervene, it does give a first step in this direction, in particular since it goes beyond linear models. For this reason, I strongly recommend publication.

Board Member Comments to Author(s):

Although diffusion maps are useful tools for the embedding of graphs, other alternatives not mentioned in the paper are also important. For instance, communicability geometry has emerged as an important embedding to analyse cities, as shown in:

<https://www.nature.com/articles/s41562-018-0407-3>. Such approaches should be discussed in the paper.

## Author's Response to Decision Letter for (RSPA-2018-0615.R0)

See Appendix A.

## Decision letter (RSPA-2018-0615.R1)

29-Nov-2018

Dear Dr Barter

I am pleased to inform you that your manuscript entitled "Manifold Cities: Social variables of urban areas in the UK" has been accepted in its final form for publication in Proceedings A.

Our Production Office will be in contact with you in due course. You can expect to receive a proof of your article soon. Please contact the office to let us know if you are likely to be away from e-mail in the near future.

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On behalf of the Editor of Proceedings A, we look forward to your continued contributions to the Journal.

Sincerely,

Raminder Shergill  
[proceedingsa@royalsociety.org](mailto:proceedingsa@royalsociety.org)

on behalf of  
Dr Ernesto Estrada  
Board Member  
Proceedings A

## Appendix A

We appreciate the reviewers comments, and have produced changes aligned with them which have improved the manuscript. Below are our detailed responses to each reviewer. The reviewers comments are reproduced in full and typeset in italics for clarity.

### Reviewer 1

*You suggest very early on that cities have greater problems with traffic and pollution. Fewer people tend to be injured and killed by cars in cities as compared to the countryside. Pollution per person is also generally lower in cities as greater use can be made of public transport and there is less need to heat homes in an urban heat island.*

We agree. Our intention was not to draw a comparison between city and countryside life but rather to mention some aspects that people criticize in cities. While countryside living causes more pollution to the environment than city living the overall air quality tends to be a much more hotly debated topic in cities. We have rephrased this to make it clearer.

*Later you say that “Selecting a specific set of variables can introduce bias to a model”. However, the census variables are very carefully selected and are themselves representative of bias. For instance, I have tried for many decades to have income added to the UK census, in the same as it is included in the USA census. Its omission effects how we see cities in the UK. We don’t like to ask about income here – that itself is one of a myriad of biases that will influence what you find and that are largely out of your control but still very important.*

Yes this is an important point. We meant there is no additional bias in the analysis after the data has been gathered. Clearly the design of the census questions introduce some bias. However, we judge this bias to be much smaller than the one that is introduced by restricting the variables to a much smaller set and directly interpreting them in a predetermined way, which is the current approach taken by the ONS. To make it clearer that there is still residual bias from the questions we use the formulations “no bias, except for the bias already in the source data” and “no additional bias”.

*You have identified Fettes College, a large private boarding school. Please could you check whether you also successfully identify prisons and similar establishments? One of the first Borstals was located near Bristol and it may still be used for similar purposes. Output areas with many people in them who are in communal establishments stand out in census data.*

We checked Horfield prison, which is the main prison in Bristol and houses some young offenders. It is not picked up in either the deprivation or the Univeristy eigenvector. We believe that is due to the demographics of inmates to be sufficiently dissimilar from these groups to distinguish them. One dedicated YOI

(modern day Borstal) exists in the analyzed area. It also is not picked up by the deprivation or the University eigenvector. This is likely because it is small, 24 residents, and so makes only a small impact on the OA statistics. Further investigation found that YOI or prison populations don't stand out in any of the leading eigenvectors. Our interpretation is that these facilities are too rare to be picked out based on an analysis of just the Bristol area. However, we expect that they would be discovered when the method is applied to larger regions.

*You mention Knowle West, which is also associated with the artist Tricky, but you do not mention him. Nor the (probably an urban myth) suggestion that he once met Samantha Cameron (when she was a student at UWE), wife of David, a former prime minister a rival for power of Tony Blair, a Fettes boy. Fettes, like Eton (David's school) has close connections to the military. The reason for mentioning all this is that, although your method may identify things for you to look at, how you and I would interpret those things would very much reflect our differing ways of seeing the world and the connections we are likely to make. Whether one sees Fettes as a good thing, or Bedminster as improving (or simply gentrifying and becoming unaffordable and unfriendly), depends on from where one is looking. I have not been to Bedminster for 20 years. When I was last there, people complained that there was so many babies their baby alarms kept on picking up the crying of other infants! But it was a very friendly place. Is it still so friendly?*

*The point of me writing the paragraph above is to illustrate the problem of subjectivity. The social sciences have been an attempt to deal with that problem.*

Yes, this is of course a deep fundamental problem that we cannot solve. However, we believe that we have made some progress by showing that there are patterns in the city that provide explanatory variables for the census responses. Independently, of how one views university students, we show that University campuses induce a coherent response in the census in their proximity. This leads to a testable hypothesis that this coherent response is due to the student population and the pattern itself gives us insights in how this population spreads through the city. We have now emphasized these possibilities in the conclusions.

*What worries me is I cannot see that you have discovered anything that was not already known. In theory your method should make that possible but, of course, you would then have a problem convincing people of what you have found if it not match their predilections for explanation.*

Admittedly our analysis of just 2 eigenvectors of the diffusion map only scratched the surface, and our main intention was to bring a new methodology to bear on these questions. However, returning to the point of bias discussed above, our method recovers insights that were so far only known from more subjective analysis. While we still need human interpretation to attach the label of "deprivation" to one of our eigenvectors, the pattern that this eigenvector highlights

is discovered by the algorithm without any human pointing it in this direction. The method thus reveals the existence of an explanatory variable that is predictive of census responses.

*I very much enjoyed your paper, but I would like to know if places like Fettes, or Wills Hall, are similar to young offenders residential institutions as far as census data is concerned and if not, why not. What do you find that is shocking or surprising?*

*I hope the paper is published and that these comments are useful in improving it first.*

*All best wishes,  
Danny Dorling*

We thank the reviewer very much for his very constructive and insightful comments, while we regret that we cannot answer the question on young offenders just yet, it is reassuring that the methodology is sensitive enough to distinguish them from university students. We agree that is actually an interesting question whether we can pick out this population on a larger scale and we will likely follow up this question in future works. We feel that the reviewers perspective has helped us very much by making us see our own paper in a slightly different light. We realize now that the points about bias and the novelty of discovering structures without looking specifically for poverty or students could have been much more clearly made. We have revised the conclusions accordingly.

## **Reviewer: 2**

*This is an excellent paper, which is very sounded and well written. In it the authors used an approach developed by Coifman in which diffusion maps are used to generate a geometry of graphs. My only concern is that the manuscript does not mention other similar approaches that are also suitable for the type of analysis done in this work. In fact, it could be argued that they are more appropriate as diffusion maps, but it is a matter of discussion. My suggestion is to include the following (or some of the following) references: M. Pereda, Estrada, E. Visualization and machine learning analysis of complex networks in hyperspherical space. *Pattern Recognition* 86 (2019) 320-331. M. Akbarzadeh, Estrada, E., Communicability geometry captures traffic flows in cities. *Nature Human Behaviour*, 2, 2018, 645-652. E. Estrada, N. Hatano, Communicability angle and the spatial efficiency of networks. *SIAM Review*, 58, 2016, 692-715. E. Estrada, M. G. Sanchez-Lirola, J. A. de la Pena, Hyperspherical Embedding of Graphs and Networks in Communicability Spaces. *Discrete Applied Mathematics* 176 2014, 53-77. Estrada, E., The communicability distance in graphs. *Linear Algebra and its Applications*, 436 2012, 4317-4328.*

We thank the reviewer for the nice words. It is indeed embarrassing that we overlooked the link to the work of Estrada et al. We think about diffusion maps more in the context of harmonic analysis and less in the context of graph embedding. But we agree with the reviewer that the link is clearly there and

now discuss it in the revised version, including several of the suggested references.

### Reviewer: 3

*This is an interesting paper looking at a novel methodology to find the most relevant variables describing a system, going beyond PCA. The method is applied to urban systems, and in particular to census data, taking Bristol as a case study.*

*My first comment, which is already discussed by the authors, is that the step of thresholding seems somehow arbitrary, and there's scope for future work to find a more rigorous methodology. In particular, the thresholding does not correspond to the values but to the number of links constructed between OAs. I would argue that for an initial approximation, considering only 10 neighbours gives good enough results, but a rigorous method in which the distribution of weights is considered to decide on an uneven set of neighbours could be explored. This is comment for future work.*

We thank the reviewer and fully agree that the thresholding step merits more attention. We chose the 10 neighbour rule based on the basic intuition of thresholding strongly but avoiding fragmentation. We also verified that (within reason) other choices do not significantly impact the result, but this will indeed be an important target for future work.

*With respect to the paper in its state for publication, Here's a list of questions, comments: 1) validation: the method seems to be able to pick up places that have related characteristics, such as the universities and student halls. Were the authors able to verify that ALL relevant student places are contained in the OAs picked up by the first eigenvector?*

Yes, in the area under consideration all university accommodation is highlighted by the first eigenvector. We don't have data on the students living in private accommodation, but the distribution suggested by the eigenvector is very plausible. We now point this out in the manuscript.

*2) Difference between census and selected variables: there is indeed a whole problem of how the census differs between England and Wales, and Scotland. It would be insightful to say how the 231 variables were selected.*

The variables selected were those for which the identifying name (column header) in the England and Wales, and Scotland could be easily matched using an unsophisticated automatic method. We have described this now in some more detail in the text.

*3) Given that there's no 1-1 map between OAs in Bristol and any other selected case study, more clarity is needed on how the values are assigned from Bristol to other cities following the distance in the similarity space, in particular, when the destination is a city whose variation is much larger than Bristol.*

If the destination city would have a much greater variation, this type of transfer would fail. However our results show that Bristol is diverse enough to approximate the manifolds for other British cities, including London. Regarding the question of how the most similar area is selected: We pick the closest Bristol OA to each Edinburgh OA by Euclidean distance in the 231 dimensional space. Subsequently not every Bristol OA must have a match in Edinburgh and multiple Edinburgh OAs can be assigned the value from a single Bristol OA. We have added this explanation to the text.

*4) With respect to the meaning of the eigenvectors, this also needs clarification. Given the large set of variables, how were these probed in order to understand from “local knowledge” that the first eigenvector corresponded to student populations? The same comment holds for the second eigenvector. Is there a second process of correlating the results at OA level with the variables? Is there a geographic weighted regression carried on, or what sort of correlation? This needs to be explicit in the text.*

The eigenvectors identify coherent sets of responses to the census. The process of identifying the cause of that coherence for the first two eigenvectors was done by visual inspection of the patterns produced on maps. Measuring the correlation of eigenvectors with each individual column of the census data did not significant correlation in any situation due to the nonlinearity of the underlying manifold. In the results section we have emphasised the identification is by inspection, and returned to this in more detail in the conclusions

*Overall, I found the paper interesting and inspiring for future applications and generalisations. Although as it stands, it does not provide a rigorous answer to the variables that need to be considered in a specific urban context, since it seems that previous knowledge needs to intervene, it does give a first step in this direction, in particular since it goes beyond linear models. For this reason, I strongly recommend publication.*

We appreciate the reviewers comments and thank them for their constructive input that we believe have helped us improve the manuscript. We also appreciate his suggestion for considering the role of the threshold in future work. We agree that a rigorous method would improve the continued application and represent a step forward in method’s development. At present the method rigorously identifies the most informative variables, and with the help of some human interpretation provides at least testable hypothesis regarding the meaning of these variables.

#### **Board Member Comments to Author(s):**

*Although diffusion maps are useful tools for the embedding of graphs, other alternatives not mentioned in the paper are also important. For instance, communicability geometry has emerged as an important embedding to analyse cities,*



*as shown in: <https://www.nature.com/articles/s41562-018-0407-3>. Such approaches should be discussed in the paper.*

We agree that other methods for the embedding of graphs, especially low dimensional embeddings, are also important. We have added reference to these in our conclusions, including the likely role they play in the future analysis of cities.