

Instructions

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Identifying information.

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Mikosz 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Andrew	rst Name)	2. Surname (Last Name) Mikosz	3. Date 26-October-2018
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Karina Serban
5. Manuscript Title Lectin compleme	e ent pathway in emphys	sema	
6. Manuscript Ider Blue-201807-138	ntifying Number (if you kr 80LE	now it)	
	1		
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
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of compensation clicking the "Add) with entities as descri	bed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyric	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Mikosz 2



Section 5.	
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	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
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Mikosz 3



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Strange 1



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4. Are you the cor	responding author?	☐ Yes 🗸 No	Corresponding Author's Na Karina Serban	ame
5. Manuscript Title Lectin Complem	e ent Pathway in Emphy	sema		
6. Manuscript Idea Blue-201807-138	ntifying Number (if you kr 30LE	now it)		
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Name of Entity		Grant	n-Financial other? Co	omments
Alpha-1 Foundation		✓	Spec	cimen collection
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work	</th

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Section 6. Disclosure Statement
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Dr. Strange reports grants from the Alpha-1 Foundation, outside the submitted work.

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Jonigk 1



Section 1.	Identifying Inform	ation			
1. Given Name (Fi Danny	rst Name)	2. Surname (La Jonigk	st Name)	3. Date 28-October-2018	
4. Are you the cor	responding author?	Yes ✓] No	Corresponding Author's Name	
5. Manuscript Title Lectin compleme	e ent pathway in emphys	sema			
6. Manuscript Ider Blue-201807-138	ntifying Number (if you kr 30LE	ow it)			
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Section 2.	The Work Under Co	onsideration f	for Public	ation	
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Do you have any	patents, whether plan	ned, pending or	issued, bro	oadly relevant to the work? Yes V No	

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Dr. Jonigk has no	othing to disclose.			

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Petrache 1



Section 1. Identifying Inforn	nation		
1. Given Name (First Name) Irina	2. Surname (Last Name) Petrache		3. Date 29-October-2018
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Nar Karina Serban	me
5. Manuscript Title Lectin complement pathway in emphy	sema		
6. Manuscript Identifying Number (if you ki Blue-201807-1380LE	now it)	_	
Section 2. The Work Under C			
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Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter-	g but not limited to grants, da		
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Are there any relevant conflicts of inter	est?		
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Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work?	✓ Yes No
If yes, please fill out the appropriate inf Excess rows can be removed by pressin		e more than one entity pre	ss the "ADD" button to add a row.
Patent? Pendi	ng? Issued? Licensed?	Royalties? Licensee?	Comments

Petrache 2



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
Materials and methods for using dipose stem cells to treat lung injury nd disease"	√					We would like to declare that IP has patents applications related to therapeutic use of adipose stem and progenitor cells (ASC), which are known to secrete TSG-6. The authors have no other conflicts of interest to declare.
Section 5. Relationship	ns not cov	ered abo	ove			
Are there other relationships or a potentially influencing, what you	activities tha	nt readers	could perce	eive to have	influenced, or th	at give the appearance of
Yes, the following relationships/conditions/circumstances are present (explain below): No other relationships/conditions/circumstances that present a potential conflict of interest						
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Section 6. Disclosure S	tatement					
Based on the above disclosures, below.	this form wi	ll automa	atically gene	rate a disclo	sure statement,	which will appear in the box
Dr. Petrache reports In addition injury and disease" pending.	, Dr. Petrach	ie has a p	atent "Mate	rials and me	thods for using a	adipose stem cells to treat lung

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1. Given Name (Fi	rst Name)	2. Surname (Last Name) Stolk	3. Date 27-October-2018
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name Karina Serban
5. Manuscript Title Lectin compleme	e ent pathway in emphy:	sema	
6. Manuscript Ider Blue-201807-138	ntifying Number (if you kr 30LE	now it)	
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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Serban 1



Section 1. Identifying Inform	aation		
1. Given Name (First Name)	2. Surname (Last Name)		3. Date
Karina	Serban		29-October-2018
4. Are you the corresponding author?	✓ Yes No		
5. Manuscript Title Lectin complement pathway in emphys	sema		
6. Manuscript Identifying Number (if you kr Blue-201807-1380LE	now it)		
Section 2. The Work Under Co	onsideration for Publica	tion	
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)?			
Are there any relevant conflicts of interest	est? ✓ Yes No		
If yes, please fill out the appropriate info Excess rows can be removed by pressing		more than one entity p	press the "ADD" button to add a row.
Name of Institution/Company	Grant	Financial Other? Co	omments
2016 Alpha-1 Anti-trypsin Laurell's Training Award from the European Respiratory Society and Grifols	V		
Section 3. Polyvent financial			
Relevant financial	activities outside the su	bmitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the instructions. Use	one line for each entity	r; add as many lines as you need by
Are there any relevant conflicts of interest	est?		
Section 4. Intellectual Proper	rty Patents & Copyrigh	nts	
Do you have any patents, whether plan			rk? ☐ Yes 🗸 No

Serban 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Serban reports grants from 2016 Alpha-1 Anti-trypsin Laurell's Training Award from the European Respiratory Society and Grifols, during the conduct of the study; .

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Serban 3



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

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Sandhaus 1



Section 1.	Identifying Inform	nation			
1. Given Name (First Name) Robert		2. Surname (Last Name) Sandhaus	3. Date 29-October-2018		
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Karina Serban		
5. Manuscript Title Lectin compleme	e ent pathway in emphys	sema			
6. Manuscript Identifying Number (if you know it) Blue-201807-1380LE					
Section 2.	The Work Under Co	onsideration for Public	ation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo					
Section 3.	Relevant financial	activities outside the s	ubmitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo					
Section 4.	Intellectual Proper	rty Patents & Copyric	jhts		
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No		

Sandhaus 2



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
I am the Medical Director and Executive Vice-President of AlphaNet and the Clinical Director of the Alpha-1 Foundation, both are not-for-profit organizations performing clinical studies and providing grants for advancement of understanding of alpha-1 antitrypsin deficiency. Both provide salary support to me.
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statement on occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Sandhaus reports: I am the Medical Director and Executive Vice-President of AlphaNet and the Clinical Director of the Alpha-1 Foundation, both are not-for-profit organizations performing clinical studies and providing grants for advancement of understanding of alpha-1 antitrypsin deficiency. Both provide salary support to me

Evaluation and Feedback

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Sandhaus 3



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3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Janciauskiene 1



Section 1. Identifying Inform	nation				
1. Given Name (First Name) Sabina	2. Surname (Last Name) Janciauskiene	3. Date 28-October-2018			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Karina Serban			
5. Manuscript Title Lectin complement pathway in emphysema					
6. Manuscript Identifying Number (if you know it) Blue-201807-1380LE					
Section 2. The Work Under C	onsideration for Public	cation			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No					
Section 3. Relevant financial	activities outside the s	submitted work.			
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo					
Section 4. Intellectual Prope	rty Patents & Copyric	yhts			
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes 🗸 No			

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Section 5. Relationships not severed above				
Relationships not covered above				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
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Section 6. Disclosure Statement				
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.				
Dr. Janciauskiene has nothing to disclose.				

Evaluation and Feedback

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Janciauskiene 3