# Supplementary Information

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#### Selecting individuals from UK Biobank

#### Spirometry Quality Control

UK Biobank contains data for 502,682 individuals. Of these, 445,754 had at least two measures of FEV<sub>1</sub> (VariableID: 3063) and FVC (VariableID: 3062), complete information for spirometry method used (VariableID: 23), age (VariableID: 21022), sex (VariableID: 31) standing height (VariableID: 50), and for whom ever smoking status could be derived (derivation of ever smoking status described below). For quality control of spirometry, the pre-derived FEV<sub>1</sub>, FVC and PEF measurements (VariableID: 3063, 3062 and 3064), the blow curve time series measurements (VariableID: 3066) and the Vitalograph spirometer blow quality metrics (VariableID: 20031) were used.

#### Acceptability of blows

To identify "acceptable" blows for inclusion in the analyses of FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC and PEF, the following quality control steps were undertaken;

- Blows were initially deemed to be acceptable if they contained the following values in the Vitalograph spirometer blow quality metrics; "blank", "ACCEPT", BELOW6SEC ACCEPT" and "BELOW6SEC". A total of 777,676 blows from 387,430 participants were deemed acceptable.
- Next, start of blow quality was examined. Blows were excluded if the back-extrapolated volume (as defined using the blow curve time series measurements <sup>1</sup>) was less than 5% of FVC or less than 150ml. Following this exclusion, a total of 776,927 blows from 387,277 participants remained.
- Finally, a comparison of the pre-derived FEV<sub>1</sub> and FVC measurements (VariableID: 3063 and VariableID: 3062) and FEV<sub>1</sub> and FVC newly derived from the blow curve time series measurements (VariableID: 3066) was undertaken. Blows where the pre-derived and newly-derived values differed by 5% were excluded. Following this exclusion, a total of 776,318 "acceptable" blows from 387,052 participants remained for further analysis of FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC and PEF. Whilst PEF was also pre-derived, we identified a subset of individuals had unusually low recorded values, which were inconsistent with the PEF values derived from the time series curves; the predefined PEF values were deemed to be erroneous, therefore no exclusions were undertaken based on comparisons of pre-derived and newly-derived PEF, and the newly-derived PEF values were used for association analyses.

#### Identification of best measures

The "best measure" per individual was defined as the highest measure from the "acceptable" blows for FEV<sub>1</sub>, FVC. FEV<sub>1</sub>/FVC was derived from the selected FEV<sub>1</sub> and FVC. For PEF, which is a measure of flow, the best measure was defined as the blow with the highest acceptable measure of the sum of FEV<sub>1</sub> and FVC. This definition meant that a participant's "best measures" did not necessarily have to be derived from the same blow.

#### Reproducibility of measures

To meet the criterion for reproducibility in our analysis, the "best measures" of FEV<sub>1</sub> and FVC had to be within 250ml of those measures from any other blow. The other blow did not need to be acceptable. Where an individual's best measures for FEV<sub>1</sub> and FVC were not both found to be reproducible, that individual was excluded. 348,936 individuals had acceptable and reproducible measures of both FEV<sub>1</sub> and FVC and were eligible for inclusion in analyses of FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC and PEF.

#### Differences in approach from previous analyses

The previous approach used for quality control of spirometry data was described in<sup>2</sup>. This previous approach utilised the Vitalograph spirometer blow quality metrics to define acceptability only. In the present analysis, following recommendations based on work conducted for the UK Biobank Outcomes Adjudication Working Group [Strachan, personal communication], we have additionally included quality control steps based on the volume-time curves recorded (at 10ms intervals) for each spirogram. Metrics derived from these curve datasets allowed a more comprehensive and systematic assessment of: start of blow quality; end of blow quality; length of blow; and derivation of flow rates. They also permitted a comparison between FEV<sub>1</sub>, FVC and PEF derived from the curve datasets and those pre-derived by the spirometer.

The quality control of spirometry data used in our previous publication<sup>2</sup> applied the ATS/ERS criteria for assessing reproducibility. These criteria, which are widely used in clinical practice, recommend that the best measures of FEV<sub>1</sub> and FVC are within 150ml of any other blow. However, within UK Biobank a subset of 20,347 participants were re-examined after an interval of 2-7 years, of whom 14,238 (70%) performed two or more spirograms with good start-of-blow and end-of blow quality on both occasions. Analysis of the within-subject between-occasion correlation (reliability coefficient) of FEV<sub>1</sub> and FVC in relation to the reproducibility of these measures at the entry examination suggested that the ATS/ERS reproducibility threshold was unduly conservative. For epidemiological studies, where spirometric comparisons are being made between groups rather than for monitoring of individual patients, a more relaxed reproducibility threshold of 250ml could be applied, increasing the available sample size without jeopardising the reliability of FEV<sub>1</sub> or FVC.

For illustration, among the participants with good start-of-blow and end-of-blow quality, using a reproducibility threshold of 250mL, FVC reliability was 0.9199, 0.9033, 0.8886, 0.9086 and 0.9071, respectively, for subjects with intervals of 2, 3, 4, 5 and 6-7 years between the two examinations. The corresponding figures for FEV<sub>1</sub> reliability were 0.9152, 0.9014, 0.8753, 0.8981 and 0.8992.

#### Definition of smoking status for covariate adjustment of association analyses

Smoking initiation (123,890 ever smoked vs 151,706 never smoked) was inferred using answers from questionnaire. Never smokers are those individuals who do not smoke at present and never smoked in the past [code 1239=0 & 1249=4] or do not smoke at present, smoked occasionally or just tried once or twice in the past, but had less than 100 smokes in their lifetime [1239=0 &1249=2/3 & 2644=0]. Ever smokers include current smokers (who smoke at present, on most or all days or occasionally [1239=1/2]), previous smokers (who do not smoke at present and smoked on most or all days in the past [1239=0 &1249=1] or do not smoke at present, smoked occasionally or just tried once or twice in the past, and had more than 100 smokes in their lifetime [1239=0 &1249=2] and individuals who smoked on most/all days or occasionally in the past, and smoked more than 100 times in their life, but prefer not to answer about current smoking [1239=-3 & 1249=1] or 1239=-3 & 1249=2 & 2644=1].

#### Genotyping quality control

The genotyping procedure, genotype quality control and imputation of the UK Biobank individuals is described in detail elsewhere.<sup>3</sup> 968 individuals with outlying heterozygosity or missingness were already excluded from the provided imputed genotypes. We further excluded 378 individuals for whom the submitted gender did not match the genetically inferred gender, 977 samples related to >200 other samples, 188 samples with >10 3<sup>rd</sup> degree relatives and 471 samples with putative sex chromosome aneuploidy, giving 2,008 excluded samples in total leaving 486,369 samples from which to select our discovery set.

## Identification of individuals of European ancestry for inclusion in the genome-wide association analysis of lung function

K-means clustering was used to identify the set of European- ancestry individuals to include in the genome-wide association analysis of lung function. The steps taken to define the sets of non-European ancestry individuals to include in the analysis of heterogeneity of signals is described below.

Principal components (PCs) were provided with the UK Biobank genetic data. K-means clustering using the first two PCs was undertaken for between 3 and 8 clusters after excluding 2,008 samples failing genotyping quality control. The 6 cluster k-means model was selected as most appropriately clustering the 486,369 samples remaining after genotype quality control (QC) into broad ethnic groups giving 453,958 samples of "European ancestry" (**Supplementary Figure 1**). This resulted in an additional 45,865 individuals being eligible for inclusion in addition to the 408,093 passing genotype QC and defined as "white British" by UK Biobank<sup>3</sup>.

Selecting individuals passing spirometry and genotyping quality control for genome-wide association testing There was an overlap of 341,102 individuals (321,057 European) between 348,936 passing spirometry quality control for FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC and PEF and 486,369 passing genotyping quality control.

#### Removal of outlying lung function measures in European samples for discovery GWAS

Adjustment for sex, age, age<sup>2</sup>, height, and smoking status (ever/never) of each lung function measure was undertaken in each ancestry category. 10 European individuals were excluded that were obvious outliers in plots of the adjusted phenotype distributions and the adjustment was repeated. This left 321,047 European individuals for the discovery GWAS of FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC and PEF.

#### Power Calculations and between-trait correlations

Power calculations were performed with the GeneticsDesign R package

(<u>https://bioconductor.org/packages/GeneticsDesign/</u>) (Supplementary Figure 7) to:

**A)** calculate the power to detect a signal passing Tier 1 or Tier 2 criteria i.e. P<10<sup>-3</sup> in the SpiroMeta cohort of 79,055 samples. At this threshold, there would be 75% power to detect an effect size of 0.0325 standard deviations for a variant with MAF 10% and 95% power to detect an effect size of 0.122 standard deviations for a variant with MAF 1% in SpiroMeta.

**B)** calculate the power to confirm a previously reported lung function quantitative trait association in UK Biobank at  $P < 10^{-5}$  (n=321,047).

Effect sizes for previously reported signals range from ~0.025 for MAF > 5% to 0.18 for MAF 2%.

The table below shows the phenotypic correlation between the four different traits in UK Biobank in the upper triangle (bold), with the genetic correlation in the lower triangle. We did not additionally correct for multiple testing of 4 phenotypes due to their correlation.

	Phenotypic correlation						
Genetic correlation		$FEV_1$	FEV <sub>1</sub> /FVC	FVC	PEF		
	$FEV_1$		0.288	0.950	0.846		
	$FEV_1/FVC$	0.408		-0.013	0.316		
	FVC	0.879	-0.076		0.780		
	PEF	0.708	0.621	0.444			

Genetic correlations with height were calculated using LD-score regression (undertaken by the LD-Hub team). The datasets were the Height 2010 GIANT paper<sup>4</sup> and the automated GWAS of UK Biobank variables undertaken by Neale *et al.*<sup>5,6</sup>

Phenotypic correlations were undertaken in the Extended Cohort for E-Health, Environment and DNA (EXCEED cohort, see 'Cohort contributors'). Correlations are Pearson's correlations.

Trait	Genetic correlation between height (PMID 20881960) and UKB variable GWAS	Phenotypic correlation with height (EXCEED cohort)
FEV <sub>1</sub> (UKB variable 20150)	0.501 [95% CI 0.464, 0.538], P=3.67x10 <sup>-146</sup>	0.64 [95% CI 0.617, 0.654], P<2.2x10 <sup>-16</sup>
FVC (UKB variable 20151)	0.586 [95% CI 0.549, 0.623], P=1.75x10 <sup>-203</sup>	0.70 [95% CI 0.682, 0.714], P<2.2x10 <sup>-16</sup>

#### Overlap of samples and genetic correlation between UK Biobank and SpiroMeta.

Association test statistics were regressed against the LD score of each variant using LDSC<sup>5</sup>. The proportion of total inflation due to confounding is (Intercept-1)/(Mean  $\chi 2$  -1), where  $\chi 2$  is the mean statistic from the association testing and the intercept is the intercept of the LD score regression (estimate of inflation due to confounding but not polygenicity). The proportion of inflation due to confounding in the meta-analysis was low (<4%) (**Supplementary Table 27**), hence we did not conclude overlap of samples between UK Biobank and SpiroMeta.

Genome-wide genetic correlation between UK Biobank and SpiroMeta was calculated using LDSC<sup>5</sup> and was 0.993 for FEV<sub>1</sub>, 0.979 for FVC, 0.946 for FEV<sub>1</sub>/FVC and 0.964 for PEF.

There were 70 distinct signals of association ('distinct' as determined by distance >1Mb and linkage disequilibrium  $r^2$ <0.1) that met a threshold of P<5x10<sup>-9</sup> in UK Biobank but which did not meet Tier 1, Tier 2 or Tier 3 selection criteria. Of these of these 70, 12 had P<0.05 in SpiroMeta with a consistent direction of effect. The remaining 58 had P>0.05 in SpiroMeta and of these, 38 had a consistent direction of effect between UK Biobank and SpiroMeta.

#### Conditional analysis with GCTA

All SNPs ±1Mb were extracted around each sentinel variant. GCTA<sup>7</sup> was then used to perform stepwise conditional analysis in order to select independently associated SNPs within each 2Mb region using the single SNP association statistics combined with LD information from reference genotypes representative of the samples in the association testing. For UK Biobank the same genotype data as used for the initial discovery association testing was used as an LD reference; for SpiroMeta, genotypes from 48,943 unrelated participants<sup>2</sup> formed the LD reference set

#### Smoking behaviour association analyses in UKB.

Association analyses with smoking behaviour phenotypes were performed in the 335,641 UKB individuals out of the full 488,377 included in the final release of genetic data that were not in the 152,736 in the interim release (http://www.ukbiobank.ac.uk/scientists-3/genetic-data/), as part of an independent replication for the GSCAN study that included samples from the UK Biobank interim release.

Genotyping quality control was performed using the same criteria as for the lung function analysis (individuals excluded on the basis of sex mismatches, heterozygosity and missingness). Only individuals of European ancestry were included in the association analyses. These were identified by first calculating the minimum and maximum value of the first 4 PCs of the samples defined as white British in UK Biobank [ref to QC paper] and then we included any individual in this PC range regardless of their self-reported ancestry. Individuals who were related to UK Biobank

individuals included in previous releases with a kinship coefficient > 0.075 were excluded from the analyses. Only variants imputed on the HRC panel and with MAC >= 3 were included in the analyses.

Smoking initiation (123,890 ever smoked vs 151,706 never smoked) was inferred using answers from questionnaire as for the smoking covariate adjustment above.

The average number of cigarettes smoked per day (CPD) for all individuals who smoke, or smoked, on most or all days was binned as follows: 1 = 1-5, 2 = 6-15, 3 = 16-25, 4 = 26-35, 5 = 36+. Cigarettes per day was available for 80,015 samples.

All phenotypes used age, age squared, sex, and genetic principal components 1-15 as covariates. Residuals were calculated for each phenotype by linear regression, with the phenotype as the dependent variable and the corresponding covariates as the independent variables. These residuals were then inverse normalized, and the corresponding Z-scores were used as the input phenotype values for the association analysis.

BOLT-LMM version 2.3 was used to conduct association analysis on each chromosome. The variants included in the mixed model were extracted from the genotyped variants by applying the following filters: missingness < 5%, minor allele frequency > 1%, HWE p >  $10^{-6}$ , pruning for LD r2 < 0.2. The hg19 reference map was used to interpolate genetic map coordinates. BOLT-LMM standard errors (and resulting P-values) were inflated by the LD-score intercept, which was calculated using LD-scores provided with LDSC,<sup>5</sup> calculated from 1000 Genomes Project samples.

#### Smoking interaction testing

Association testing for lung function was calculated separately in ever and never smoker subgroups and metaanalysed across UK Biobank and SpiroMeta for up to 176,701 ever smokers and 197,999 never smokers. The Welch test was used to compare genetic effect between ever and never smokers:

$$t = \frac{\beta_1 - \beta_2}{\sqrt{se_1^2 + se_2^2}}$$

with degrees of freedom:

$$d.f. = \frac{(se_1^2 + se_2^2)^2}{\frac{se_1^2}{n_1 - 1} + \frac{se_2^2}{n_2 - 1}}$$

A deviation from equality (P<1.8x10<sup>-4</sup>, i.e. 0.05/279 tests) was considered significant evidence of interaction. For these analyses, phenotypes were inverse normalised after regressing on sex, age, age<sup>2</sup>, and height. Genotyping array was included as a covariate.

Using the *European only* sample as input for relatedness exclusion here resulted in a marginally bigger sample size than that produced when including all ancestries (N = 303,619 cf. N = 303,570).

#### Area Under the Curve and Population Attributable Risk Calculations

We calculated the area under the curve in the COPDGene Non-Hispanic White population using the pROC package in R. Two models were compared: a baseline model with COPD as outcome and age, age<sup>2</sup>, sex, height, smoking (pack-years) and principal components, and then another model with the addition of the weighted genetic risk score.

We calculated the population attributable risk fraction (PARF) as follows:

$$PARF = \frac{P(E)(OR - 1)}{1 + P(E)(OR - 1)}$$

where *P(E)* is set to 0.9, i.e. the probability of possessing more risk alleles than those in the lowest decile of the risk score (the 'probability of the exposure'). *OR* above refers to the odds of having COPD in individuals across deciles 2 to 10 of the risk score compared to the odds of having COPD for individuals in the lowest decile (decile 1) of the risk score.

Before calculating the PARF, we used the European meta-analysis OR of 1.546 (95CI: 1.476-1.620) per SD of the genetic risk score (GRS) to estimate the *OR* for COPD, comparing individuals in deciles 2-10 vs those in decile 1. We assume that the GRS is normally distributed so that log(1.546) is the additive effect on a standard normal variable.

The expected GRS, given that an individual is in decile j of the GRS, is

$$\frac{1}{0.1} \int_{\Phi^{-1}\left(\frac{j-1}{10}\right)}^{\Phi^{-1}\left(\frac{j}{10}\right)} x \phi(x) dx$$

The limits of the integral are the lower and upper values of the GRS for individuals in decile j, assuming the GRS is standard normal. The division by 0.1 ensures the expectation is conditional on the individual being in the decile, which is 1/10 by definition.

Then the expected log OR for decile j is

$$\frac{\log(1.546)}{0.1} \int_{\Phi^{-1}\left(\frac{j-1}{10}\right)}^{\Phi^{-1}\left(\frac{j}{10}\right)} x\phi(x) dx$$

and comparing with decile 1 gives

$$\frac{\log(1.546)}{0.1} \left[ \int_{\Phi^{-1}\left(\frac{j-1}{10}\right)}^{\Phi^{-1}\left(\frac{j}{10}\right)} x\phi(x) dx - \int_{-\infty}^{\Phi^{-1}\left(\frac{1}{10}\right)} x\phi(x) dx \right]$$

We can now proceed to estimate the log OR for deciles 2-10 vs decile 1 as

$$\log(1.546)\left[\frac{1}{0.9}\int_{\Phi^{-1}\left(\frac{1}{10}\right)}^{\infty} x\phi(x)dx - \frac{1}{0.1}\int_{-\infty}^{\Phi^{-1}\left(\frac{1}{10}\right)} x\phi(x)dx\right] = \log(2.339)$$

The estimated bounds of the 95% confidence interval around this new estimate are then calculated using the same method, and entered into the PARF equation, above.

#### SpiroMeta consortium study details

This section provides study descriptions for the cohorts contributing to the SpiroMeta consortium. All participants provided written informed consent and studies were approved by local Research Ethics Committees and/or Institutional Review boards.

Details of the **British 1958 Birth Cohort** biomedical follow-up have been previously reported<sup>8</sup>. Spirometry at age 44–45 years was done in the standing position without nose clips, using a Vitalograph handheld spirometer as previously described<sup>9</sup>. In the analysis, all readings with a best-test variation greater than 10% were excluded.

The **Busselton Health Study** (BHS) is a longitudinal survey of the town of Busselton in the south-western region of Western Australia that began in 1966. In 1994/1995 a cross-sectional community follow-up study was undertaken where blood was taken for DNA extraction. A sample of 1,168 European-ancestry individuals were genotyped using the Illumina 610-Quad BeadChip (BHS1), and subsequent genotyping was carried out on an independent group of 3,428 European-ancestry individuals using Illumina 660W-Quad (BHS2). Spirometric measures of forced expired volume in one second (FEV<sub>1</sub>) and forced vital capacity (FVC) were assessed.

The CROATIA study was initiated to investigate the use of isolated rather than urban populations for the identification of genes associated with medically-relevant quantitative traits. Three cohorts have been recruited as part of the CROATIA study: CROATIA-Vis<sup>10</sup>, CROATIA-Korcula<sup>11</sup> and CROATIA-Split<sup>12</sup>. CROATIA-Vis was the first to be collected when 1,008 Croatians aged 18-93 recruited from the villages of Komiza and Vis on the Dalmatian island of Vis. Recruitment occurred from 2003 to 2004 with participants donating blood for DNA extraction and biochemical measurements as well as undergoing some anthropometric measurements and physiological tests to measure traits such as height, weight and blood pressure, and finally completing several questionnaires relating to general health, medical history, diet and lifestyle. CROATIA-Korcula was recruited from 2007 to 2008 from the town of Korcula and the villages of Lumbarda, Zrnovo and Racisce on the island of Korcula, Croatia with 969 adults aged 18-98 agreeing to participate. This study followed the same recruitment procedures as CROATIA-Vis and the same samples and tests were collected with a few additions to reflect the research interests and expertise in Edinburgh. Volunteers were recruited to be part of the CROATIA-Split cohort in 2009-2010 from the Dalmatian mainland city of Split. This is the main ferry port to the islands and is the second largest city in Croatia and the largest along the Dalmatian coast. 1,012 adults aged 18-85 were recruited using the same methodology and with the same samples collected as in CROATIA-Korcula. Ethical approval was obtained from appropriate regulatory bodies in both Scotland and Croatia and participants gave informed consent prior to joining the study.

**European Prospective Investigation of Cancer (EPIC)-Norfolk** is an ongoing UK-based prospective cohort and part of the Europe-wide multi-centre EPIC study. Details of the study design were described previously.<sup>13</sup> Briefly, 25,639 men and women aged 40-79 in eastern England were recruited through general practice registers and underwent baseline assessment between 1993 and 1997. Participants were further invited to the follow-up assessment (1998 to 2000), and were followed up by 2009 for incident outcomes and by 2013 for mortality.

The **Generation Scotland: Scottish Family Health Study** is a collaboration between the Scottish Universities and the NHS, funded by the Chief Scientist Office of the Scottish Government. GS:SFHS is a family-based genetic epidemiology cohort with DNA, other biological samples (serum, urine and cryopreserved whole blood) and sociodemographic and clinical data from ~24,000 volunteers, aged 18-98 years, in ~7,000 family groups. Participants were recruited across Scotland, with some family members from further afield, from 2006-2011. Most (87%) participants were born in Scotland and 96% in the UK or Ireland. The cohort profile has been published<sup>14</sup>. GS:SFHS operates under appropriate ethical approvals, and all participants gave written informed consent. Generation Scotland is a collaboration between the University Medical Schools and National Health Service in Aberdeen, Dundee, Edinburgh and Glasgow (UK).

The DNA archive established from the **Health 2000** Survey Cohort was used. Details of this study population and phenotyping procedures have been previously reported<sup>15</sup>. Genome-wide genotyping was available for 2124 individuals selected from the Health 2000 cohort as metabolic syndrome cases and their matched controls<sup>16</sup>. Spirometry was done in the standing position without nose clips, using a Vitalograph 2150 spirometer. In the analysis, the maximum permissible difference between the two highest FEV<sub>1</sub> and FVC values was 10%.

The KORA studies (Cooperative Health Research in the Region of Augsburg) are a series of independent population based studies from the general population living in the region of Augsburg, Southern Germany<sup>17,18</sup>. The **KORA S3** study including 4,856 individuals was conducted in 1994/95. Spirometry was measured during a follow up in 1997/98 for all participants younger than 60 years who did not smoke or use inhalers one hour before the test. All spirometric tests were performed strictly adhering to the ECRHS protocol<sup>20,21</sup> using Biomedin Spirometers (Biomedin srl, Padova, Italy). Tests were accounted valid if at least two technically satisfactory manoeuvres could be obtained throughout a maximum of nine trials. FEV<sub>1</sub> and FVC were defined as the maximum value within all valid manoeuvers. For KORA S3 participants without spirometry measurements in 1997/98 we used measurements from the KORA-Age time point conducted in 2008/09. KORA Age contains subjects from all KORA studies born until 1943 (aged 65–90 years) <sup>19</sup>. Spirometry was measured in 935 randomly selected participants. Conditions including the examiner were the same as in KORA F4 (see below) except that inhalation of salbutamol was not performed due to the high number of contraindications anticipated in this aged population.

**KORA F4** including 3,080 individuals was conducted from 2006-2008 as a follow-up study to KORA S4 (1999-2001). Lung function tests were performed in a random subsample of subjects born between 1946 and 1965 (age range 41– 63 years). Spirometry was performed in line with the ATS/ERS recommendations<sup>1</sup> using a pneumotachograph-type spirometer (Masterscreen PC, CardinalHealth, Würzburg, Germany) before and after inhalation of 200µg salbutamol. The present study is based on maximum values of FEV<sub>1</sub> and FVC measured before bronchodilation. The spirometer was calibrated daily using a calibration pump (CardinalHealth, Würzburg, Germany), and additionally, an internal control was used to ensure constant instrumental conditions. For KORA F4 participants without spirometry measurements in 2006-2008, we used measurements from the KORA-Age time point conducted in 2008/09. KORA Age contains subjects from all KORA studies born until 1943 (aged 65-90 years)<sup>19</sup>. Spirometry was measured in 935 randomly selected participants. Conditions including the examiner were the same as in 2008/09 except that inhalation of salbutamol was not performed due to the high number of contraindications anticipated in this aged population.

The **Lothian Birth Cohort 1936** consists of 1,091 relatively healthy individuals assessed on cognitive and medical traits at about 70 years of age. They were all born in 1936 and most took part in the Scottish Mental Survey of 1947. At baseline the sample of 548 men and 543 women had a mean age 69.6 years (s.d. = 0.8). They were all Caucasian, community-dwelling, and almost all lived in the Lothian region (Edinburgh city and surrounding area) of Scotland. A full description of participant recruitment and testing can be found elsewhere<sup>22</sup>. Genotyping was performed at the Wellcome Trust Clinical Research Facility, Edinburgh. Quality control measures were applied and 1,005 participants remained. Lung function assessing peak expiratory flow rate, forced expiratory volume in 1 second, and forced vital capacity (each the best of three), using a Micro Medical Spirometer was assessed, sitting down without nose clips, at age 70 years. The accuracy of the spirometer is  $\pm 3\%$  (to ATS recommendations Standardisation of Spirometry 1994 update for flows and volumes).

The Northern Finland Birth Cohort 1966 (NFBC1966) is a prospective follow-up study of children from the two northernmost provinces of Finland born in 1966.<sup>23</sup>All individuals still living in northern Finland or the Helsinki area (n = 8,463) were contacted and invited for clinical examination. A total of 6007 participants attended the clinical examination at the participants' age of 31 years. DNA was extracted from blood samples given at the clinical examination (5,753 samples available).<sup>24</sup> The subset with DNA is representative of the original cohort in terms of major environmental and social factors. Informed consent was obtained from all subjects. After performing standard sample QC we included 5,402 NFBC1966 participants that were genotyped on an Illumina HumanCNV370DUO Analysis BeadChip. 329,401 variants were included in the imputation scaffold. Variants were imputed to the HRC reference r1.1 2016 on the Michigan Imputation Server. Prior to analysis we excluded variants monomorphic in this dataset. In NFBC1966, we used a Vitalograph P-model spirometer (Vitalograph Ltd., Buckingham, UK), with a volumetric accuracy of ±2% or ±50 mL whichever was greater. The spirometer was calibrated regularly using a 1-Litre precision syringe. The spirometric manoeuvre was performed three times but was repeated if the coefficient of variation between two maximal readings was >4%.

The **Northern Finland Birth Cohort 1986 (NFBC1986)** consists of 99% of all children, who were born in the provinces of Oulu and Lapland in Northern Finland between 1 July 1985 and 30 June 1986. 9,203 live-born individuals entered the study.<sup>25</sup> At the age of 16, the subjects living in the original target area or in the capital area (n=9,215) were invited to participate in a follow-up study including a clinical examination. 7,344 participants attend the study in year 2001/2002, of which 5,654 completed the postal questionnaire, the clinical examination and provided a blood sample.<sup>26</sup> DNA was extracted from all 5,654 blood samples. An informed consent for the use of the data including DNA was obtained from all subjects. After performing standard sample QC we included 3,743 NFBC1986 participants that were genotyped on an Illumina Human Omni Express Exome 8v1.2 BeadChip. 889,119 variants were included in the imputation scaffold. Variants were imputed to the HRC reference r1.1 2016 on the Michigan Imputation Server. For Spirometry measurements, we used a Vitalograph Gold Standard (Model 2150) (Vitalograph Ltd., Buckingham,

UK). The machines were calibrated every day the medical examination took place. The spirometric manoeuvre was performed in an upright sitting position while wearing a nose clip. At least three acceptable manoeuvres were performed. Acceptable manoeuvers did not exceed a difference between two maximal FEV 1 and FVC values of 4 %. The results were recorded with a 0.05 litre accuracy.

The **Northern Sweden Population Health Study** (NSPHS) represents a cross-sectional study conducted in the communities of Karesuando (samples gathered in 2006) and Soppero (2009) in the subarctic region of the County of Norrbotten, Sweden. Spirometry was performed in sitting position without noseclips using a MicroMedicalSpida 5 spirometer (http://www. medisave.co.uk). Three consecutive 28 lung function measurements per participant were done and the maximum value per measured lung function parameter was used for further analysis. Relatedness was taken into account by applying the "polygenic" linear mixed effects model. Genome-wide association analysis was performed using a score test, a family-based association test<sup>27</sup> which uses the residuals and the variance-covariance matrix from the polygenic model and the SNP fixed effect coded under an additive model.

The **Orkney Complex Disease Study** (ORCADES) is an ongoing family-based, cross-sectional study in the isolated Scottish archipelago of Orkney. Spirometry was performed in the sitting position without nose clips, using a Spida handheld spirometer. Measurements were repeated once and the better reading was used for analysis.

The **Prospective Investigation of the Vasculature in Uppsala Seniors** (PIVUS)<sup>28</sup> is a population-based study of cardiovascular health in the elderly. Mailed invitations were sent to subjects who lived in Uppsala, Sweden, within 2 months after their 70th birthday. The subjects were randomly selected from the community register. A total of 1,016 men and women participated in the baseline investigation (participation rate, 50.1%). Spirometry was performed in 901 subjects at baseline in accordance with American Thoracic Society recommendations ( $\alpha$  spirometer; Vitalograph Ltd; Buckingham, UK). The best value from three recordings was used. The Ethics Committee of the University of Uppsala approved the study, and the participants gave their informed consent. Genotyping of all samples was undertaken using the Illumina Omni Express and CardioMetabochip. Genotypes were called using GENCALL. A total of 738,879 SNPs passed quality control (thresholds: call rate < 0.95, and call rate < 0.99 for MAF<5%; HWE *P* < 10-6). SNPs with MAF<1% were removed from the imputation scaffold. Imputation was performed using IMPUTE up to haplotypes from the Haplotype Reference Consortium.

The **SAPALDIA** cohort is a population-based multi-center study in eight geographic areas representing the range of environmental, meteorological and socio-demographic conditions in Switzerland<sup>29,30</sup>. It was initiated in 1991 (SAPALDIA 1) with a follow-up assessment in 2002 (SAPALDIA 2) and 2010 (SAPALDIA3). This study has specifically been designed to investigate longitudinally lung function, respiratory and cardiovascular health; to study and identify the associations of these health indicators with individual long term exposure to air pollution, other toxic inhalants, life style and molecular factors.

The **Study of Health In Pomerania (SHIP)**<sup>31</sup> is a cross-sectional and prospective longitudinal population-based cohort study in Western Pomerania assessing the prevalence and incidence of common diseases and their risk factors. SHIP encompasses the two independent cohorts **SHIP** and **SHIP-TREND**. A total of 4,308 participants were recruited between 1997 and 2001 in the SHIP cohort. Between 2008 and 2012 a total of 4,420 participants were recruited in the SHIP-TREND cohort. Individuals were invited to the SHIP study centre for a computer-assisted personal interviews and extensive physical examinations.

The examinations for **SHIP** were conducted using a body plethysmograph equipped with a pneumotachograph (VIASYS Healthcare, JAEGER, Hoechberg, Germany) which meets the American Thoracic Society (ATS) criteria.<sup>32</sup> The volume signal of the equipment was calibrated with a 3.0 litre syringe connected to the pneumotachograph in accordance with the manufacturer's recommendations and at least once on each day's testing. Barometric pressure, temperature and relative humidity were registered every morning. Calibration of reference gas and volume was examined under ATS-conditions (Ambient Temperature Pressure) and the integrated volumes were BTPS (Body Temperature Pressure Saturated) corrected.<sup>32,33</sup> Lung function variables were measured continuously throughout the baseline breathing and the forced manoeuvres using a VIASYS HEALTHCARE system (MasterScreen Body/Diff.).

Spirometry flow volume loops were conducted in accordance with ATS recommendations<sup>33</sup> in a sitting position and with wearing nose clips. The participants performed at least three forced expiratory lung function manoeuvres in order to obtain a minimum of two acceptable and reproducible values.<sup>34</sup> Immediate on-screen error codes indicating the major acceptability (including start, duration and end of test) and reproducibility criteria supported the attempt for standardised procedures. The procedure was continuously monitored by a physician. The best results for FVC, FEV1, peak expiratory flow (PEF) and expiratory flow at 75%, 50%, 25% of FVC (MEF 75, MEF 50, MEF 25) were taken. The ratio of FEV1 to FVC was calculated from the largest FEV1 and FVC.

In terms of the pulmonary items the computer-assisted interview in **SHIP-TREND** was nearly identical to that of the SHIP. Of the 4.420 subjects who have been investigated in the study, 2.678 (60.6 %) of the subjects have undergone spirometry, body plethysmography, and measurements of diffusing capacity (CO and NO), IOS and respiratory muscle strength. In SHIP-TREND, the following additional methods that are of particular interest in terms of lung health and comorbidities have been applied: polysomnography, analysis of volatile compounds in the exhaled breath, and whole-body MRI. The following devices have been used for the pulmonary investigations in SHIP-TREND: a MasterScreen for body plethysmography, diffusing capacity measurements (single breath) and measurements of respiratory muscle strength (Viasys Healthcare, Hoechberg, Germany), an ABL 500 and later an ABL 80 for blood gas analyses (Radiometer, Copenhagen, Denmark), a MasterScreen PFT Pro CO-NO-Diffusion (CareFusion, Hoechberg, Germany), a MasterSreen IOS for Impuls-Oscillometry (CareFusion, Hoechberg, Germany), and a MicroCO carbon monoxide monitor (CareFusion, Hoechberg, Germany).

The **United Kingdom Household Longitudinal Study (UKHLS)**, also known as Understanding Society (https://www.understandingsociety.ac.uk) is a longitudinal panel survey of 40,000 UK households (England, Scotland, Wales and Northern Ireland) representative of the UK population. Participants are surveyed annually since 2009 and contribute information relating to their socioeconomic circumstances, attitudes, and behaviours via a computer assisted interview. The study includes phenotypical data for a representative sample of participants for a wide range of social and economic indicators as well as a biological sample collection encompassing biometric, physiological, biochemical, and haematological measurements and self-reported medical history and medication use. The United Kingdom Household Longitudinal Study has been approved by the University of Essex Ethics Committee and informed consent was obtained from every participant.

For a subset of individuals who took part in a nurse health assessment, blood samples were taken and genomic DNA extracted. Of these, 10,484 samples were genotyped at the Wellcome Trust Sanger Institute using the Illumina Infinium HumanCoreExome-12 v1.0BeadChip.

Lung function measures in samples from England and Wales were conducted with the NDD Easy On-PC spirometer (NDD Medical Technologies, Zurich, Switzerland). Participants were excluded in the following cases: pregnancy, having had abdominal or chest surgery (past 3 weeks), admitted to the hospital with a heart complaint (in the past 6 weeks), having had recent eye surgery (past 4 weeks), or in case of having a tracheostomy. Subjects were asked to perform up to 8 blows that ideally lasted at least 6 seconds, uninterrupted by coughing, glottis closure, laughing or leakage of air. Upon completion, the measurements were rated either acceptable or unacceptable by the NDD Easy On-PC software.

The Viking Health Study - Shetland (**VIKING**) is a family-based, cross-sectional study that seeks to identify genetic factors influencing cardiovascular and other disease risk in the population isolate of the Shetland Isles in northern Scotland. Genetic diversity in this population is decreased compared to Mainland Scotland, consistent with the high levels of endogamy historically. Participants were recruited between 2013 and 2015, each having at least three grandparents from Shetland. Fasting blood samples were collected and over 300 health-related phenotypes and environmental exposures were measured in each individual. All participants gave informed consent and the study was approved by the South East Scotland Research Ethics Committee.

The **Young Finns Study** (YFS) is a population-based follow up-study started in 1980<sup>35</sup>. The main aim of the YFS is to determine the contribution made by childhood lifestyle, biological and psychological measures to the risk of

cardiovascular diseases in adulthood. In 1980, over 3,500 children and adolescents all around Finland participated in the baseline study. The follow-up studies have been conducted mainly with 3-year intervals. The latest 30-year follow-up study was conducted in 2010-2011 (ages 33-49 years) with 2,063 participants. The study was approved by the local ethics committees (University Hospitals of Helsinki, Turku, Tampere, Kuopio and Oulu) and was conducted following the guidelines of the Declaration of Helsinki. All participants gave their written informed consent.

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#### COPD case-control studies

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#### Study details

Details of the COPDGene Study (NCT00608764, www.copdgene.org) have been previously described.<sup>36,37</sup> Eligible subjects were of non-Hispanic white or African-American ancestry, aged 45-80 years old, with a minimum of 10 pack-years of smoking and no lung disease (other than COPD or asthma). Moderate to severe COPD cases were defined using pre-bronchodilator % predicted FEV<sub>1</sub> < 80% predicted and FEV<sub>1</sub>/FVC < 0.7. Genotyping was performed by Illumina (San Diego, CA) on the HumanOmniExpress array. Subjects were excluded for missingness, heterozygosity, chromosomal aberrations, sex check, population outliers, and cryptic relatedness. Genotyping at the Z and S alleles was performed in all subjects. Subjects known or found to have severe alpha-1 antitrypsin deficiency were excluded. Markers were excluded based on missingness, Hardy-Weinberg P-values, and low minor allele frequency. Imputation on the COPDGene cohorts was performed via the Michigan Imputation Server using minimac3 with the Haplotype Reference Consortium (HRC v1.1) reference panel.<sup>38</sup> Variants with an r2 value of ≤ 0.3 were removed from further analysis.

#### Evaluation of COPD Longitudinally to Identify Predictive Surrogate End-points (ECLIPSE)

Evaluation of COPD Longitudinally to Identify Predictive Surrogate End-points (ECLIPSE; SCO104960, NCT00292552, www.eclipse-copd.com): Details of the ECLIPSE study and genome-wide association analysis have been described previously.<sup>36,37</sup> ECLIPSE was an observational 3-year study of COPD. Both cases and controls were aged 40-75 with at least a 10 pack-year smoking history without other respiratory diseases; cases were defined using pre-bronchodilator % predicted  $FEV_1 < 80\%$  predicted and  $FEV_1/FVC < 0.7$ , and controls had normal spirometry (% predicted  $FEV_1 > 85\%$ ). Genotyping was performed using the Illumina HumanHap 550 V3 (Illumina, San Diego, CA). Subjects and markers with a call rate of < 95% were excluded. Population stratification exclusion and adjustment on self-reported white subjects was performed using EIGENSTRAT (EIGENSOFT Version 2.0). Imputation was performed via the Michigan Imputation Server using minimac3 with the Haplotype Reference Consortium (HRC v1.1) reference panel.<sup>38</sup>

#### National Emphysema Treatment Trial (NETT) and Normative Aging Study (NAS) (NETT/NAS)

Details of the National Emphysema Treatment Trial have been described previously.<sup>39,40</sup> NETT (www.nhlbi.nih.gov/health/prof/lung/nett/) was a multicentre clinical trial to evaluate lung volume reduction surgery. Enrolled subjects had severe airflow obstruction by pre-bronchodilator spirometry (% predicted FEV<sub>1</sub> < 45%) and evidence of emphysema on computed tomography (CT) chest imaging; exclusion criteria included significant sputum production or bronchiectasis. A subset of 382 self-reported white subjects without severe alpha-1 antitrypsin deficiency were enrolled in the NETT Genetics Ancillary Study.

The Normative Aging Study is a longitudinal study of healthy men established in 1963 and conducted by the Veterans Administration (VA).<sup>39,41</sup> Men aged 21 to 80 years from the greater Boston area, free of known chronic medical conditions, were enrolled. Smoking controls were of self-reported white ancestry and at least 10 pack-years of cigarette smoking with no evidence of airflow obstruction on spirometry on their most recent visit. Genotyping for NETT-NAS was performed using the Illumina Quad 610 array (Illumina, San Diego, CA), with quality control, population stratification adjustment, as described previously. Imputation was performed via the Michigan Imputation Server using minimac3 with the Haplotype Reference Consortium (HRC v1.1) reference panel.<sup>38</sup>

#### NORWAY-GenKOLS

Details on the Norwegian GenKOLS (Genetics of Chronic Obstructive Lung Disease, GSK code RES11080) study have been described previously.<sup>42</sup> Subjects with > 2.5 pack years of smoking history were recruited from Bergen, Norway; cases had pre-bronchodilator % predicted FEV<sub>1</sub> < 80% predicted and FEV<sub>1</sub>/FVC < 0.7, while controls had normal spirometry; subjects with severe alpha-1 antitrypsin deficiency and other lung diseases (aside from asthma) were excluded. Genotyping was performed using Illumina HumanHap 550 arrays (Illumina, San Diego, CA), with quality control, population stratification adjustment as previously described. Imputation was performed via the Michigan Imputation Server using minimac3 with the Haplotype Reference Consortium (HRC v1.1) reference panel.<sup>38</sup>

#### **SPIROMICS**

SPIROMICS is a prospective cohort study that enrolled 2,981 participants with the goals of identifying new COPD subgroups and intermediate markers of disease progression.<sup>43,44</sup> SPIROMICS is a well-characterized longitudinal cohort with comprehensive phenotyping including measurements of lung function and quantitative CT scan. Spirometry was performed before and after four inhalations with 90 µg albuterol and 18 µg ipratropium per inhalation according to ATS recommendations. Participants were recruited at each center through physician referral, advertisement in clinical areas or self-referral using the SPIROMICS study website (www.spiromics.com). The research protocol was approved by the institutional review boards of all participating institutions with written informed consent from all participants. In this study, non-Hispanic White smokers (ever or current smoking≥20 packs/year) with genotyping information available were included in this analysis. Smokers with COPD (n=988) were defined as smokers (smoking≥20 packs/year) with post-bronchodilator FEV1/FVC<0.7 and FEV1<0.8 (GOLD stage 2-4) and 'healthy' smoking controls (n=537) were defined as smokers (smoking≥20 packs/year) with post-bronchodilator FEV1/FVC≥0.7 (GOLD stage 0). Details of genome-wide association analysis has been described previously.<sup>45</sup> In brief, DNA was isolated using standard protocols, and SNP genotyping performed using Illumina HumanOmniExpressExome BeadChip and BeadStudio (Illumina, Inc., San Diego, CA). Imputation was performed on the basis of reference panel of HRC r1.1 2016 using Michigan Imputation Sever

(https://imputationserver.sph.umich.edu). Genetic association analysis was performed using PLINK software (http://zzz.bwh.harvard.edu/plink/).

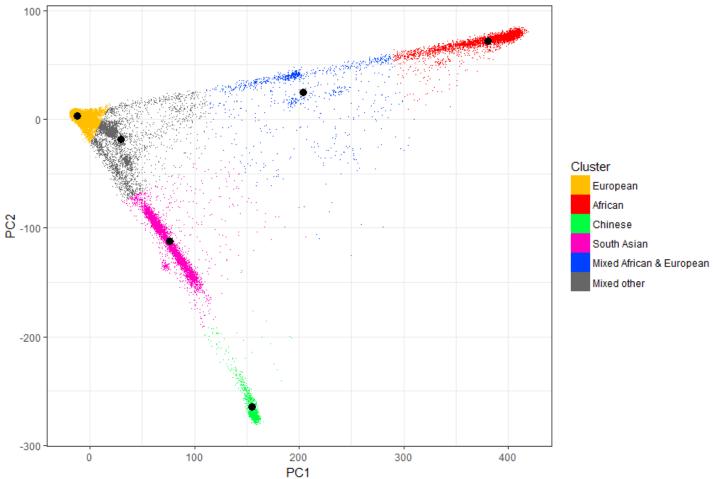
#### **EXCEED** Cohort

The Extended Cohort for E-health, Environment and DNA (EXCEED) is was set up to develop understanding of the genetic, environmental and lifestyle-related causes of health and disease (cohort profile currently in preparation). Participants were recruited primarily through local general practices in Leicester City, Leicestershire and Rutland, with 9,840 participants recruited to date. Baseline data collection included a lifestyle questionnaire, anthropometry measurements, and for approximately half of the participants, spirometry. The correlation between height and lung function (FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC) was calculated in R using Pearson's correlation.

## Supplementary Figures

#### Supplementary Figure 1: 6 ethnic grouping clusters chosen by K-means clustering

A) K-means clustering was performed on the first 2 principal components. 6 clusters were chosen to infer ancestry groupings. The black dots show the cluster centres.

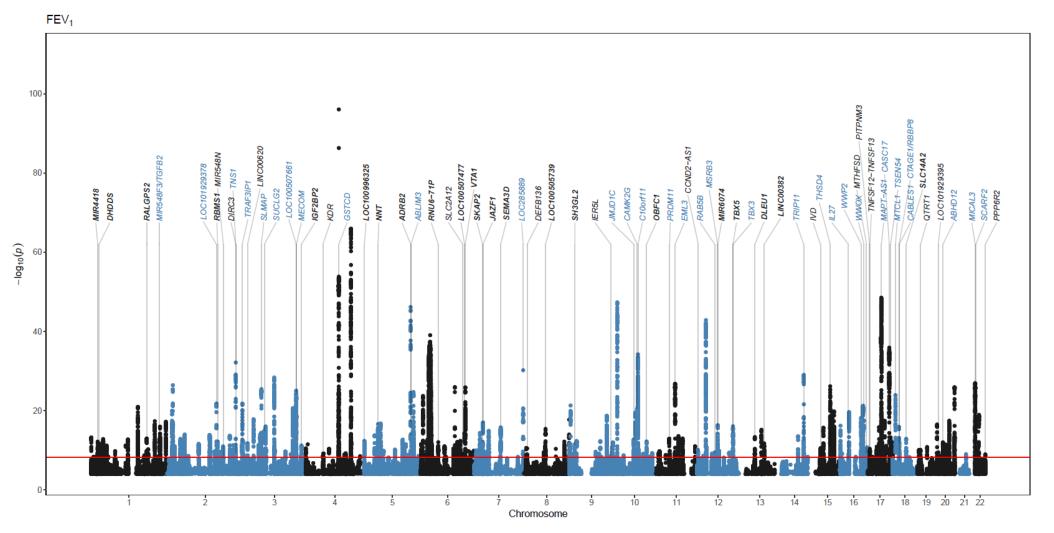


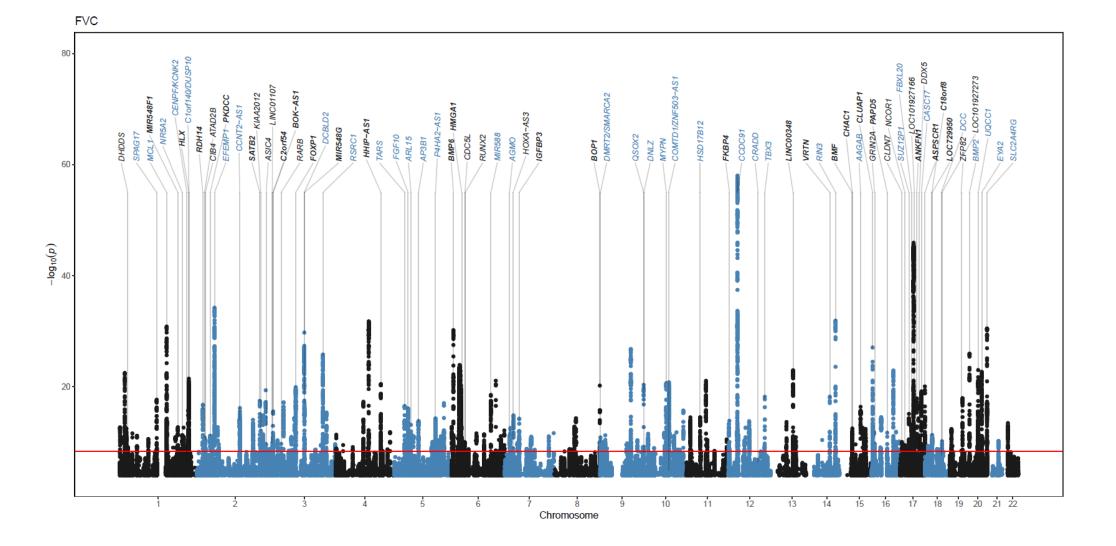
B) Correlation between ancestry groups derived from K-means clustering and self-reported ethnicity; the numbers of samples in each K-means cluster (y-axis) with each self-reported ethnicity (x-axis) are shown.

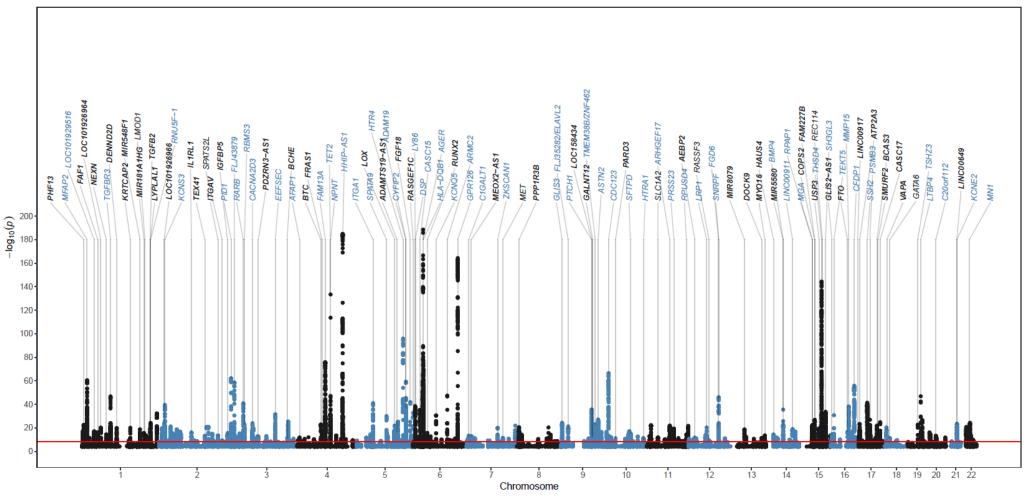
European -	451,791	3	1	5	693	1,465	
African •	2	6,868		1	777	190	
Chinese -	- 4		1,476	372	652	70	
South Asian-	188	43	21	8,656	1,382	290 -	
Mixed African & European -	21	719		10	1,193	77	
Mixed other -	6,322	4	3	361	2,487	222	
	White Black Chinese Asian Mixed Unknown Self-reported ethnicity						

#### Supplementary Figure 2: Manhattan plots

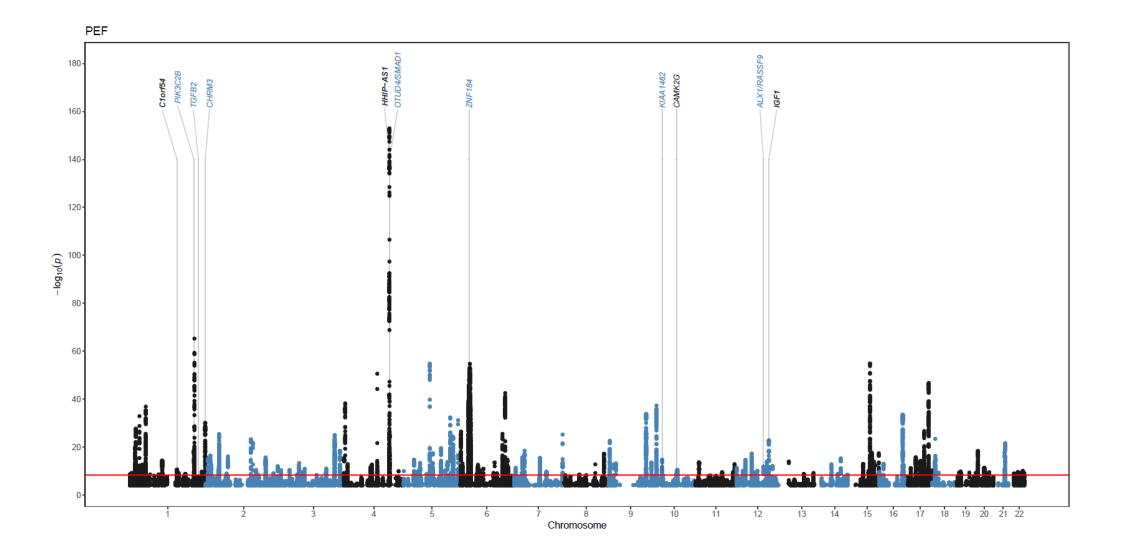
A) P values are from the meta-analysis of UK Biobank and SpiroMeta. Novel signals are labelled in black (Tier 1 in bold); previously reported signals are labelled in blue. The red line is P=5×10<sup>-9</sup>.







FEV1/FVC



#### Supplementary Figure 3: Assessment of previously reported autosomal signals

Description of assessment of 184 autosomal signals for lung function or COPD previously reported in the literature. Signals were pruned for independence, leaving 157 autosomal signals. Corroboration of association was found for 142/157 signals. 2/142 signals were known to be associated with smoking behaviour, and in the current study, we replicated these findings, and also showed no association in non-smokers. After removing these signals, 140 remained. After combining the 140 previous signals with 139 novel signals, 279 signals entered downstream analyses. Whilst this Figure refers to autosomal signals only, for reference, a further previous signal in chromosome X was not corroborated.<sup>46</sup>

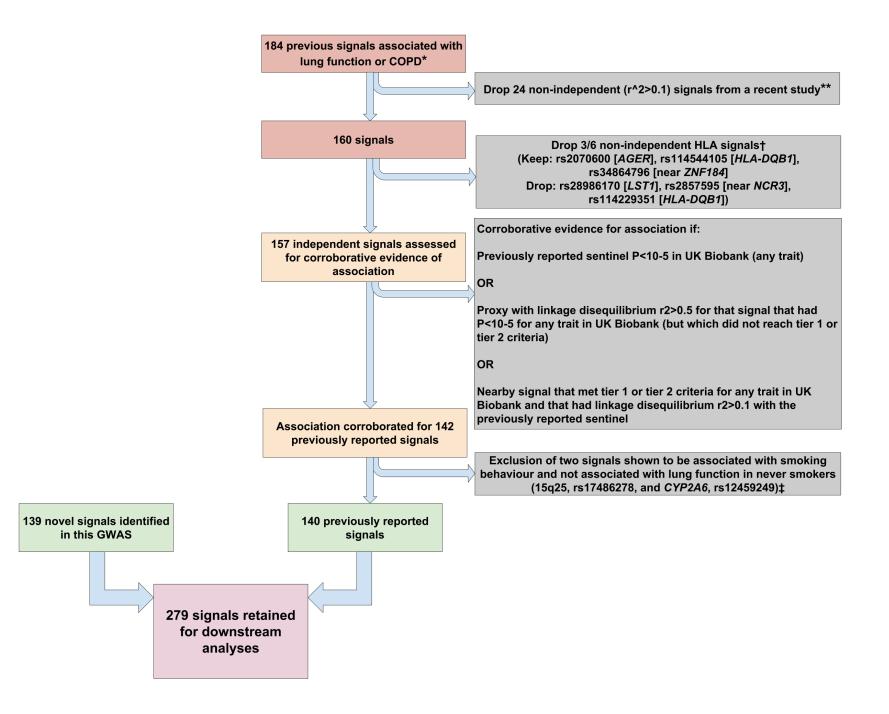
\*=Wilk *et al.* 2009 [PMID: 19300500];<sup>47</sup> Hancock *et al.* 2010 [PMID: 20010835];<sup>48</sup> Repapi *et al.* 2010 [PMID: 20010834];<sup>49</sup> Soler Artigas *et al.* 2011 [PMID: 21946350];<sup>50</sup> Cho *et al.* 2012 [PMID: 22080838];<sup>51</sup> Loth *et al.* 2014 [PMID: 24929828];<sup>52</sup> Lutz *et al.* 2015 [PMID: 26634245];<sup>53</sup> Soler Artigas *et al.* 2015 [PMID: 21946350];<sup>46</sup> Wain *et al.* 2015 [PMID: 28166213];<sup>2</sup> Hobbs *et al.* 2016 [PMID: 26771213];<sup>54</sup> Hobbs *et al.* 2017 [PMID: 28166215];<sup>55</sup> Wain *et al.* 2017 [PMID: 26423011];<sup>56</sup> Wyss *et al.* 2017 [PMID: 26771213];<sup>54</sup> Hobbs *et al.* 2018 [https://wellcomeopenresearch.org/articles/3-4/v1];<sup>58</sup>

\*\*=Wyss et al. 2017 [https://www.biorxiv.org/content/early/2017/10/05/196048]57

<sup>+</sup>=See Wain et al. 2017 [PMID: 28166213] for details of HLA independence analysis.<sup>56</sup>

‡=Lutz et al. 2015 [PMID: 26634245];<sup>53</sup> Cho et al. 2012 [PMID: 22080838]<sup>51</sup>

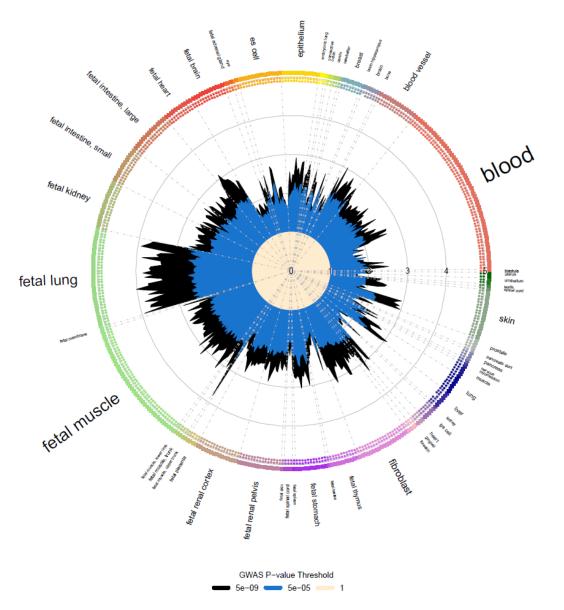
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#### Supplementary Figure 4: Tissue-specific enrichment of overlap with DNase I hotspots with GARFIELD

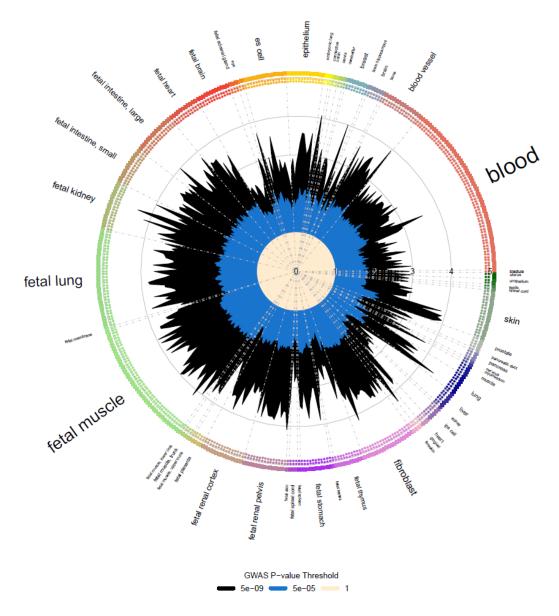
The wheel plots display functional enrichment for associations with **A**) **FEV**<sub>1</sub>/**FVC** and **B**) **FVC** within DNase I hypersensitivity site hotspot regions in the ENCODE and Roadmap Epigenomics project. The radial axis shows fold enrichment calculated at P<5 x 10<sup>-5</sup> and P<5 x 10<sup>-9</sup> for each of the 424 cell lines tested (derived from 55 different tissues). Cell lines are sorted by tissue, represented along the outside edge of the plot with font size proportional to the number of cell lines from that tissue. Fold enrichment values at the different thresholds are plotted with different colours inside the plot (e.g. values at P<  $5 \times 10^{-9}$  are in black). If present, the dots along the inside edge of the plot denote significant enrichment for a given cell line at Bonferroni-adjusted P<0.05 (for 424 tests; P≈1x10<sup>-4</sup>), with the dot at the outer edge of plot corresponding to SNPs with GWAS P< $5 \times 10^{-5}$  used as input, and the innermost dot corresponding to SNPs with GWAS P< $5 \times 10^{-9}$ .

#### A) Enrichment of overlap of SNPs associated with FEV1/FVC with DNase I hotspots



Tissue blastula blood blood vessel bone brain brain hippocampus breast cerebellar cervix colon connective embryonic lung epithelium es cell eve fetal adrenal gland fetal brain fetal heart fetal intestine, large fetal intestine, small fetal kidney fetal lung fetal membrane fetal muscle fetal muscle, lower limb fetal muscle, trunk fetal muscle, upper trunk fetal placenta fetal renal cortex fetal renal pelvis fetal skin fetal spinal cord fetal spleen fetal stomach fetal testes fetal thymus fibroblast foreskin gingival heart ips cell kidney liver lung muscle myometrium nervous pancreas pancreatic duct , prostate . skin spinal cord testis urothelium uterus

#### B) Enrichment of overlap of SNPs associated with FVC with DNase I hotspots

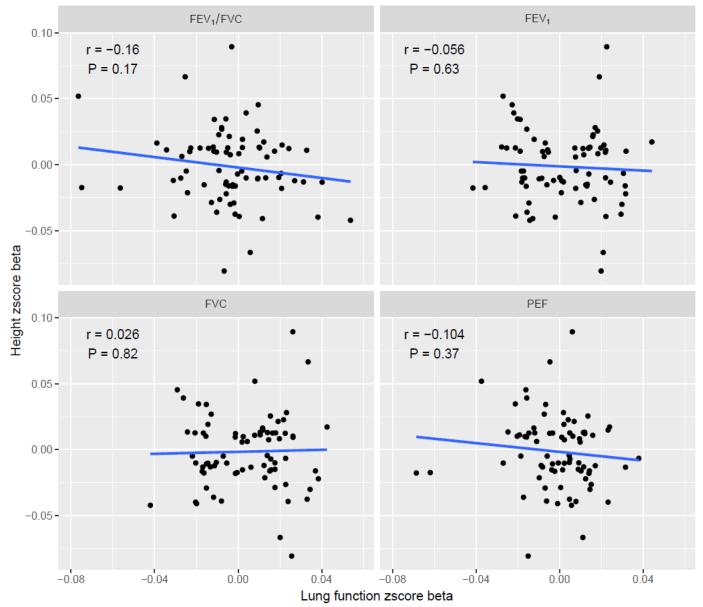


Tissue blastula blood blood vessel bone brain brain hippocampus breast cerebella cervix colon connective embryonic lung epithelium es cell eye fetal adrenal gland fetal brain fetal heart fetal intestine, large fetal intestine, small fetal kidney fetal lung fetal membrane fetal muscle fetal muscle, lower limb fetal muscle, trunk fetal muscle, upper trunk fetal placenta fetal renal cortex fetal renal pelvis fetal skin fetal spinal cord fetal spleen fetal stomach fetal testes fetal thymus fibroblast foreskin gingival heart ips cell kidney liver lung muscle myometrium nervous pancreas pancreatic duct prostate skin spinal cord testis urothelium

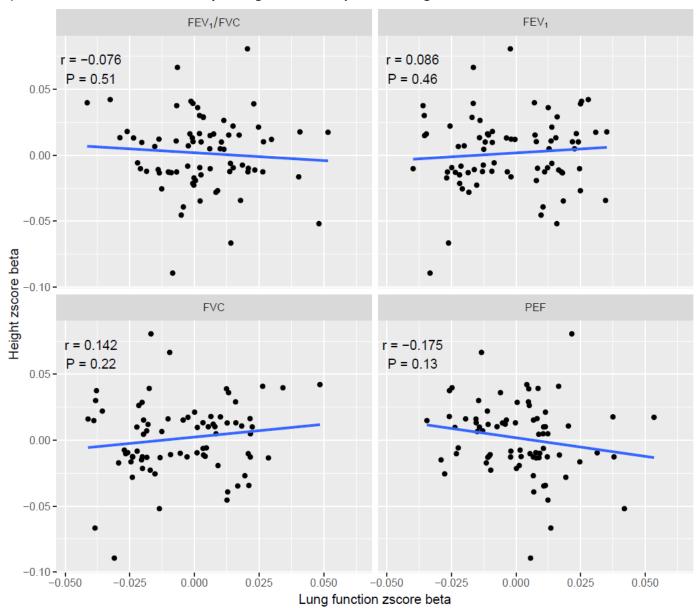
uterus

#### Supplementary Figure 5: Comparison of genetic effects for height and lung function.

Height effect look up in meta-analysis of  $GIANT^{59}$  and UK Biobank for 76/85 signals associated with height in the PheWAS (9 had no proxies at r<sup>2</sup>>0.4),plotted against lung function effect in **A**) UK Biobank and **B**) SpiroMeta. All traits are rank inverse-normal transformed (Z-score). The Pearson correlation r is shown with the P value for the null hypothesis r = 0.



A) GIANT + UK Biobank meta-analysis height effect vs. UK Biobank lung function effect

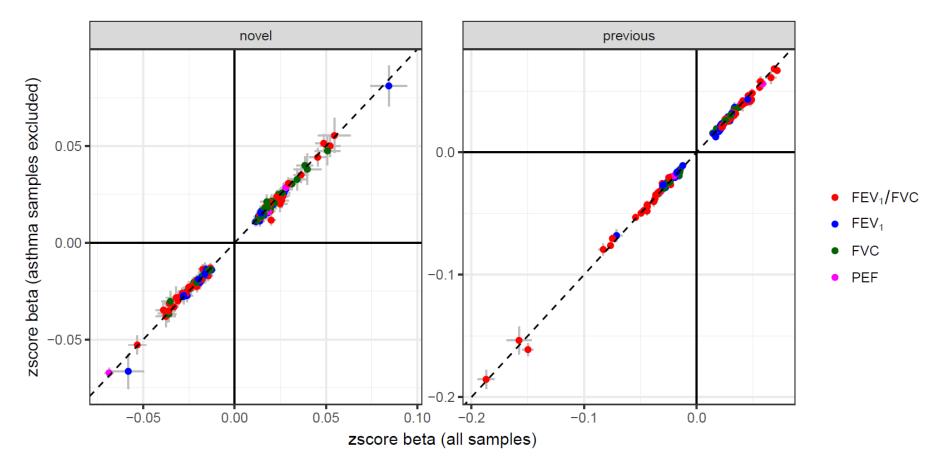


B) GIANT + UK Biobank meta-analysis height effect vs. SpiroMeta lung function effect

9/85 SNPs for which there was no proxy with r<sup>2</sup> > 0.4 in GIANT: rs141942982, rs7838717, rs10998018, rs2812208, rs56383987, rs62070648, rs77672322, rs34093919, rs9274247

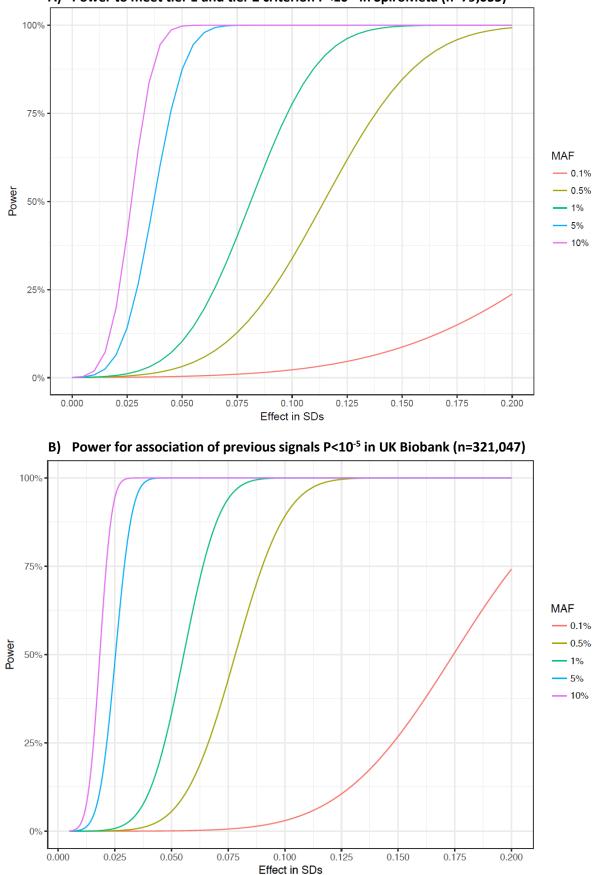
#### Supplementary Figure 6: Comparison of effect sizes after excluding asthma samples

Comparison of effects (inverse-normal rank-transformed Z-scores) in UK Biobank for 139 novel and 140 previously reported signals in all UK Biobank samples with lung function data (x-axis, N=321,047) and after excluding 37,868 samples with doctor diagnosed asthma (y-axis, N=283,179). Doctor diagnosed asthma is self-reported touchscreen answer (UK Biobank field ID: 6152).



#### **Supplementary Figure 7: Power calculations**

Power to detect a range of single variant effect sizes, as standard deviations (SDs) of the continuous lung function phenotypes (FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC or PEF), over a range of minor allele frequencies (MAF).

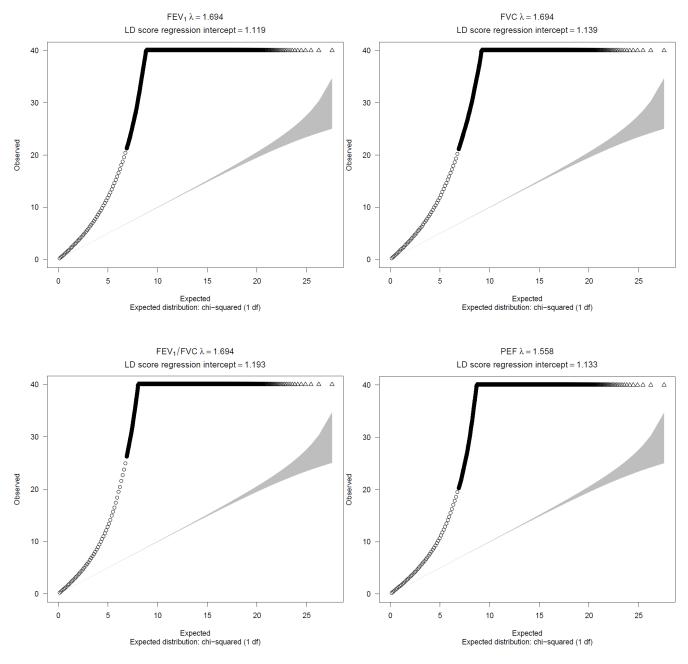


A) Power to meet tier 1 and tier 2 criterion  $P<10^{-3}$  in SpiroMeta (n=79,055)

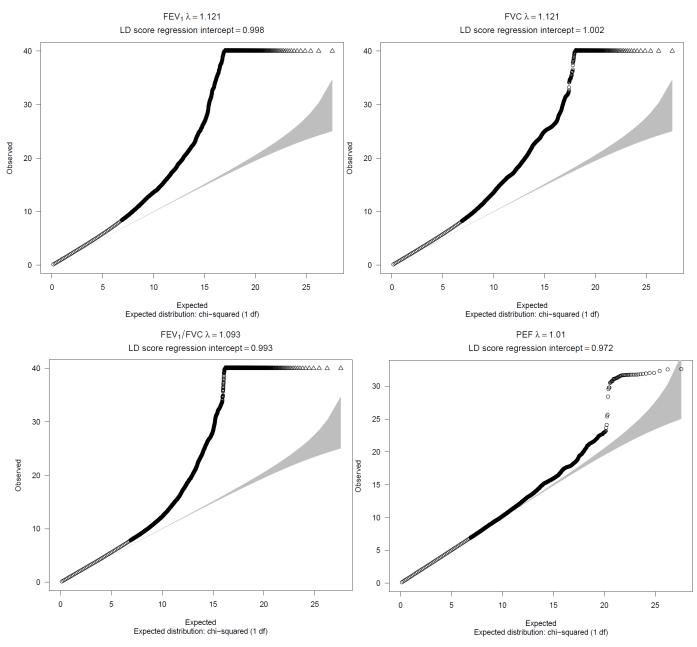
#### **Supplementary Figure 8: QQ plots**

LD score regression implemented in LDSC<sup>5,60</sup> was used to estimate inflation of test statistics due to confounding. The unadjusted genomic inflation factors  $\lambda$  are shown as well as the LD score regression intercept which is the inflation factor adjusted for polygenicity. Genomic control was applied if the LD score regression intercept was larger than 1.05 suggesting residual inflation. Accordingly, genomic control was applied to UK Biobank but not SpiroMeta.

#### **UK Biobank**

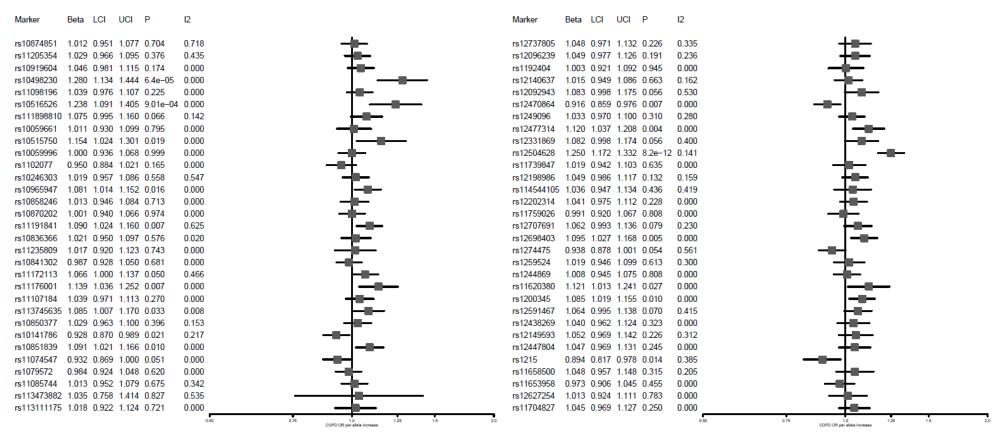


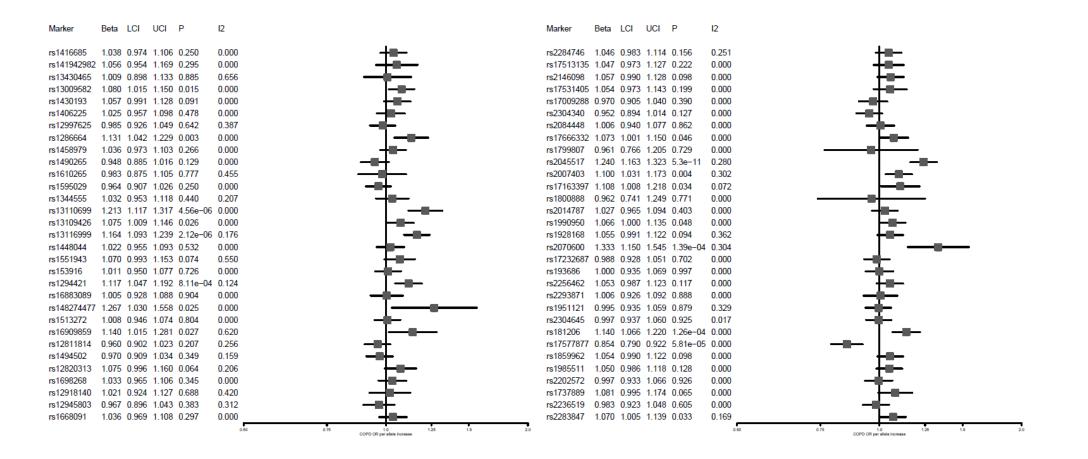
#### SpiroMeta

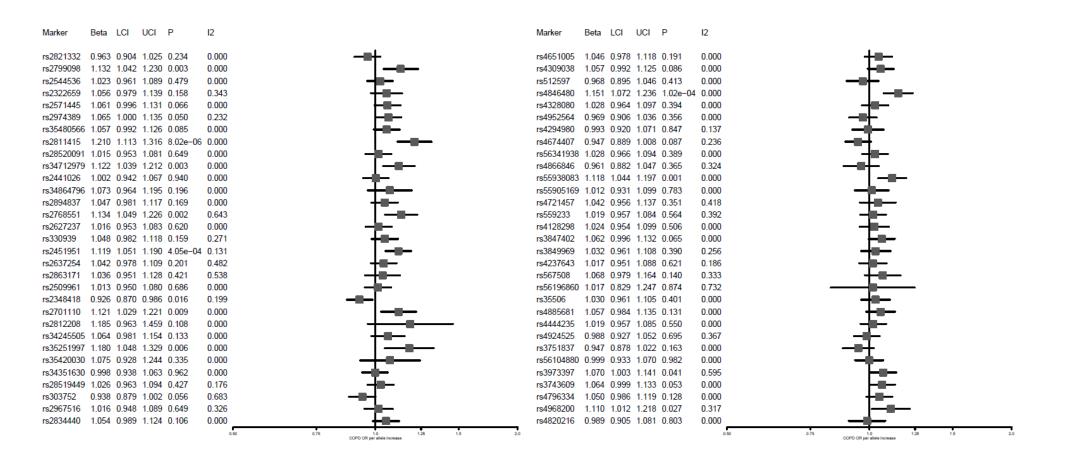


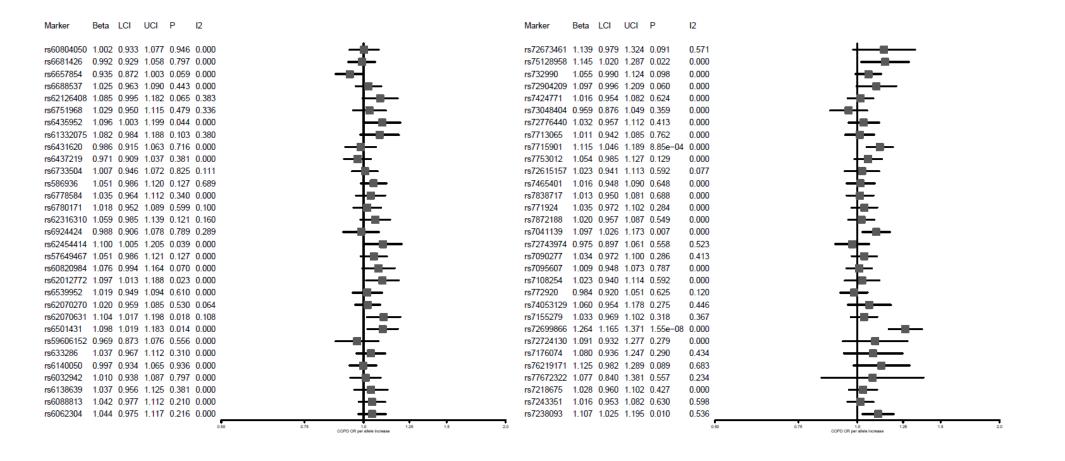
#### Supplementary Figure 9: Meta-analysis of 279 variants in five independent cohorts for association with COPD.

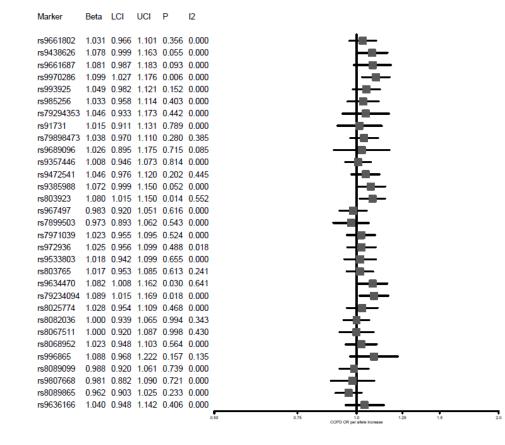
Single-variant results for all 279 variants included in the genetic risk score were obtained from five external study groups of European-descent (COPDGene, GenKOLS, ECLIPSE, NETT-NAS, and SPIROMICS). These results were meta-analysed using a fixed-effect model, and are presented in the follow panels (ordered in genomic order, from chromosomes 1-22). Abbreviations: LCI/UCI=lower/upper bound of 95% confidence interval, I2=value for I<sup>2</sup>-statistic for heterogenetity. Grey boxes represent odds ratios for COPD per 1-allele increase in the FEV<sub>1</sub>/FVC lowering allele. Black horizontal bars denote the 95% confidence interval.





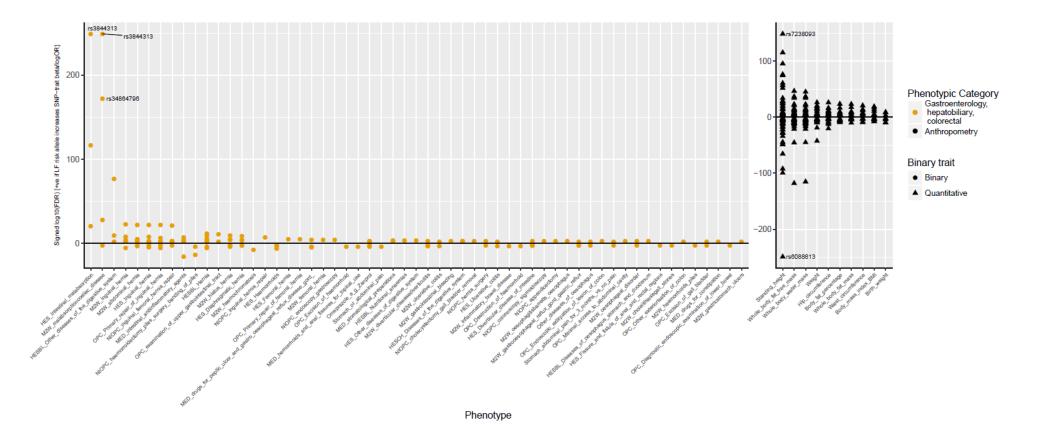


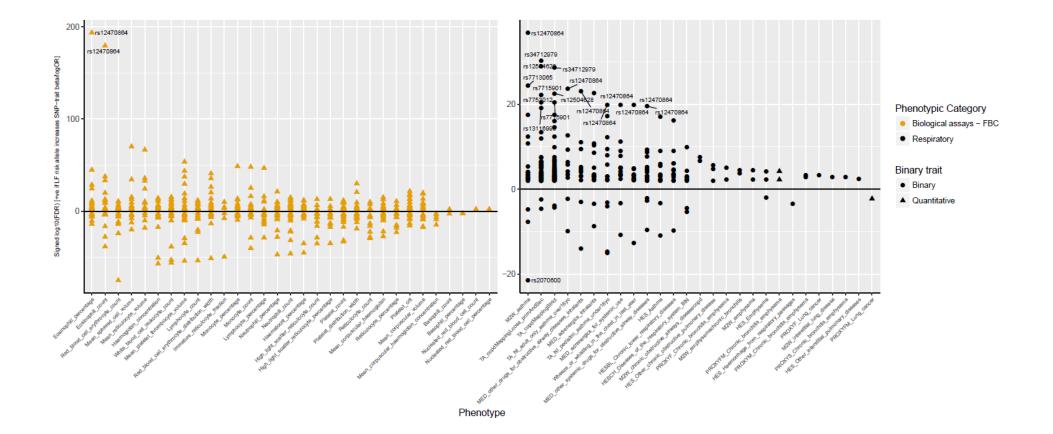


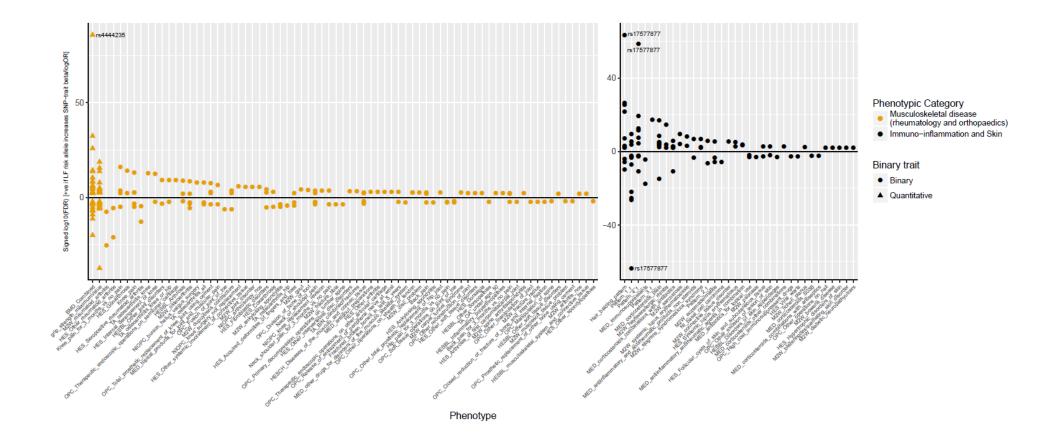


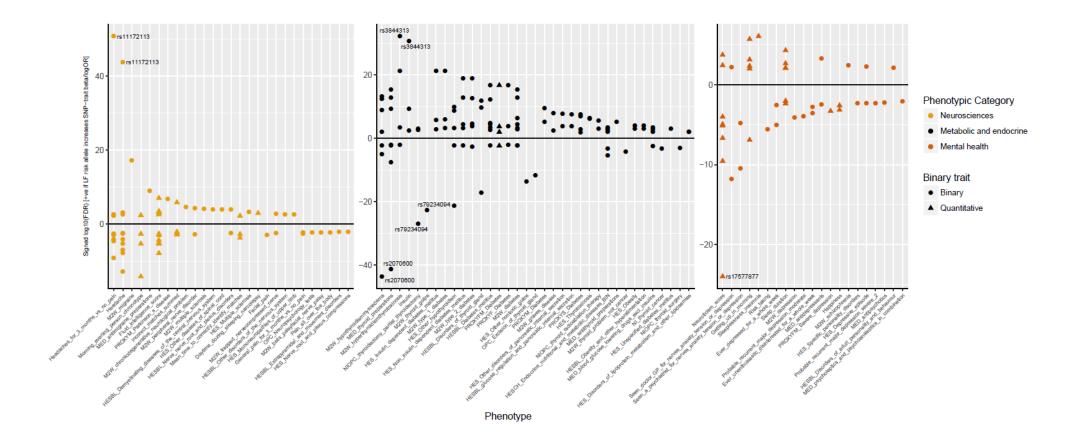
#### Supplementary Figure 10: Individual PheWAS results, separately by trait category

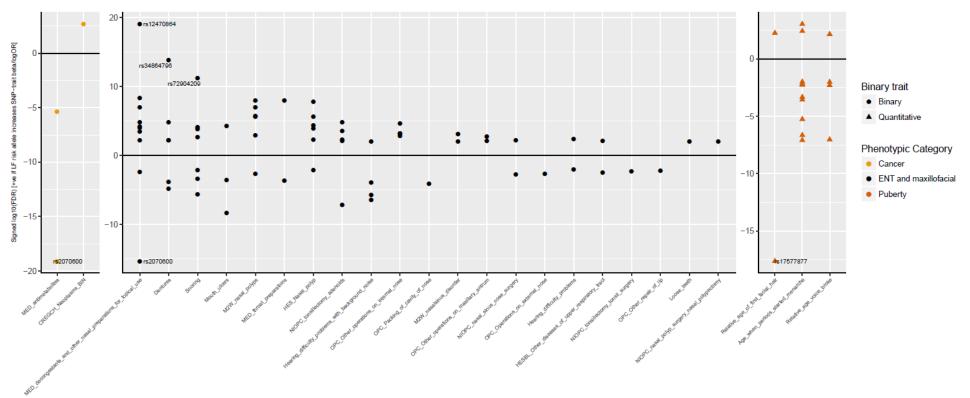
In these extended plots, individual associations passing FDR 1% between the 279 lung function signals and 2411 traits are shown. The y-axis is a signed log10(FDR) i.e. where a positive SNP-trait association is associated with the FEV<sub>1</sub>/FVC decreasing allele, the log10(FDR) is given a positive sign, and where the SNP trait association is negative, the log10(FDR) is given a negative sign. Each category has its own separate subplot. Categories are presented in order of their most significant (according to FDR) association, and within each subplot, results for each trait are presented in decreasing order of FDR. Triangular points indicate quantitative traits, and circular points indicate binary traits. For each category, associations that are >50% of the highest absolute log10(FDR) value are labelled with their rsID. Individual SNP results for associations passing an FDR of 1% (along with details of their categorisation, and the plain English labels used in **Figure 4** of the main manuscript) are available in **Supplementary Table 23**. Due to the size of the full PheWAS data set, individual results of the 279\*2411 SNP-trait associations are available from the authors on request.



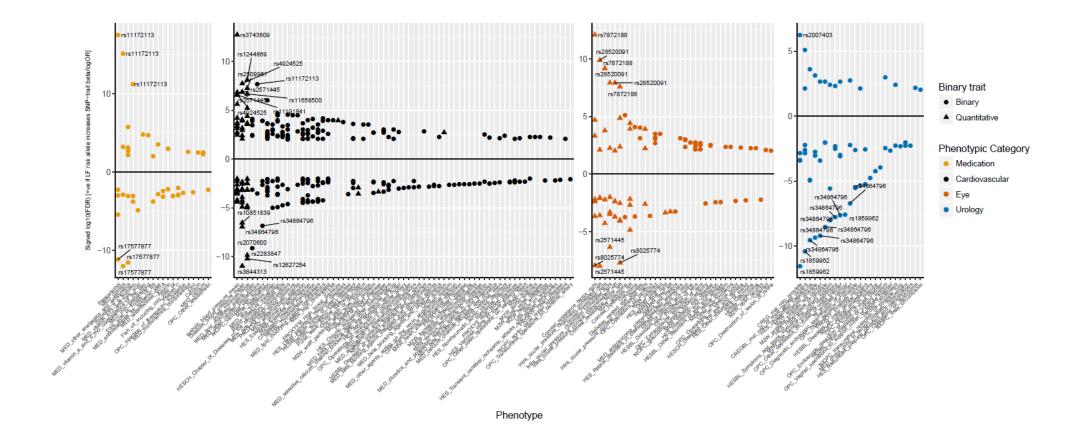


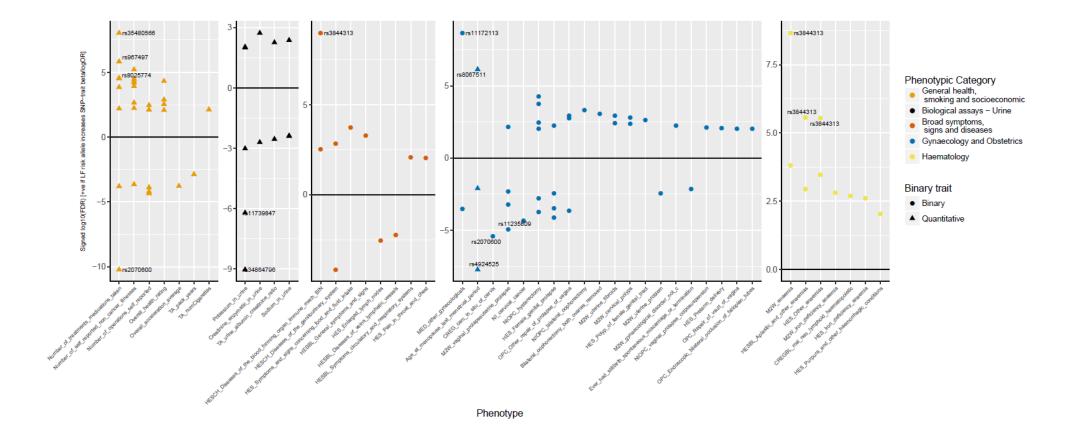


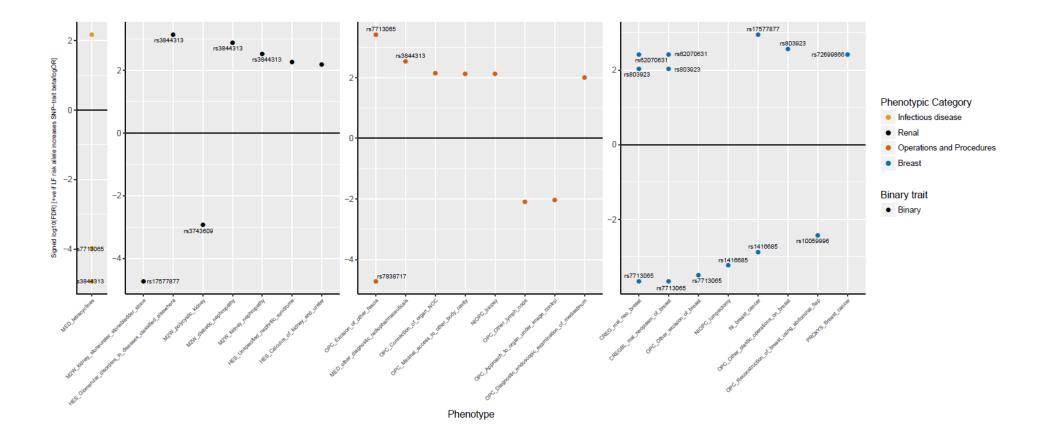




Phenotype







## Supplementary Tables

## Supplementary Table 1: UK Biobank demographics

Demographic information for UK Biobank samples of European ancestry used in discovery.

	All	Females	Males
N Total	321,047	178,489	142,558
Age range (y) at lung function measurement	39-72	39-71	39-72
Mean age, y (s.d.)	56.44 (8.02)	56.24 (7.92)	56.70 (8.12)
Mean height, cm (s.d.)	168.57 (9.13)	162.77 (6.18)	175.83 (6.70)
Mean FEV <sub>1</sub> , L (s.d.)	2.84 (0.76)	2.44 (0.52)	3.34 (0.72)
Mean FVC, L (s.d.)	3.74 (0.96)	3.18 (0.62)	4.43 (0.86)
Mean FEV <sub>1</sub> /FVC (s.d.)	0.76 (0.06)	0.77 (0.06)	0.75 (0.07)
Mean PEF, L/min (s.d.)	406.19 (117.55)	342.12 (74.96)	486.40 (111.84)
N never smokers	173,658	106,298	67,360
N ever smokers	147,389	72,191	75,198
UK BiLEVE array	49,107	24,566	24,541
UK Biobank array	271,940	153,923	118,017

#### Supplementary Table 2: SpiroMeta Studies

B58C (B58C-T1DGC, British 1958 Birth Cohort–Type 1 Diabetes Genetics Consortium; B58C-GABRIEL British 1958 Birth Cohort–GABRIEL consortium; B58C-WTCCC, British 1958 Birth Cohort–Wellcome Trust Case Control Consortium); BHS1&2, Busselton Health Study 1 and 2; the CROATIA- Korcula study; the CROATIA-Split study; the CROATIA-Vis study; EPIC population based, European Prospective Investigation into Cancer and Nutrition Cohort; GS:SFHS, Generation Scotland: Scottish Family Health Study; H2000, Finnish Health 2000 survey; KORA F4, Cooperative Health Research in the Region of Augsburg; KORA S3, Cooperative Health Research in the Region of Augsburg; LBC1936, Lothian Birth Cohort 1936; NFBC1966, Northern Finland Birth Cohort of 1966; NFBC1966, Northern Finland Birth Cohort of 1986; NSPHS, Northern Sweden Population Health Study; ORCADES, Orkney Complex Disease Study; PIVUS, Prospective Investigation of the Vasculature in Uppsala Seniors; SHIP, Study of Health in Pomerania; SHIP-TREND; UKHLS; VIKING; YFS, the Young Finish Study. The total size in this table is not exactly equal to the maximum sample size given in the main text, since some studies had subtly different subsets of individuals entering each of the four lung function trait GWAS.

Study name	N Total	N male	N female	Age range (y) at lung function measureme nt	Mean age, y (s.d.)	Mean height, cm (s.d.)	Mean FEV <sub>1</sub> , L (s.d.)	Mean FVC, L (s.d.)	Mean FEV <sub>1</sub> /FVC (s.d.)	Mean PEF <i>,</i> L/min (s.d.)	N never smokers	N ever smokers	Genotyping Platform	Imputation Panel
B58C	5934	2955	2979	44-45	45.12 (0.38)	169.43 (9.29)	3.30 (0.76)	4.19 (0.98)	0.79 (0.08)		1709	4225	Illumina 550k/610k	1000G
BHS1&2	4355	1922	2433	17-97	51.21 (17.00)	168.00 (9.39)	3.01 (0.96)	3.88 (1.16)	0.77 (0.07)		2301	2054	Illumina 610-Quad (N=1,168 ) & Illumina 660W-Quad (N=3,428 )	1000G
CROATIA-Korcula	826	302	524	18-90	55.63 (13.50)	168.10 (9.20)	2.72 (0.83)	3.29 (0.96)	0.83 (0.1)		403	423	Illumina HumanHap370CNV duo chip	1000G
CROATIA-Split	493	210	283	18-85	49.08 (14.63)	172.60 (9.49)	3.19 (0.91)	3.80 (1.06)	0.84 (0.08)		239	254	Illumina HumanHap370CNV guad chip	1000G
CROATIA-Vis	925	390	535	18-88	55.90 (15.51)	167.80 (9.88)	3.42 (1.21)	4.41 (1.42)	0.77 (0.09)		388	537	Illumina Infinium HumanHap300 BeadChip	1000G
EPIC population based	20771	9664	11107	39-79	59.1 (9.27)	167.1 (9.08)	2.51 (0.74)	3.06 (0.93)	0.83 (0.11)	364.07 (123.16)	9532	11239	Affymetrix UKBioBank Axiom	HRC
GS:SFHS	16048	6633	10415	18-99	46.87 (14.6)	168.4 (9.50)	2.97 (0.88)	3.88 (1.00)	0.76 (0.11)		8581	7467	Illumina OmniExpress+Exome	HRC
H2000	821	394	427	30-75	50.47 (10.91)	169.10 (9.14)	3.29 (0.9)	4.16 (1.07)	0.79 (0.07)		249	572	Illumina HumanHap 610K	1000G
KORA F4	1474	717	757	41-84	55.08 (9.90)	169.15 (9.42)	3.23 (0.85)	4.19 (1.05)	0.77 (0.07)		556	918	Affymetrix Axiom	1000G
KORA S3	1147	551	596	28-89	50.82 (15.23)	169.22 (9.32)	3.34 (0.90)	4.10 (1.06)	0.81 (0.08)		520	627	Illumina Omni 2.5/ Illumina Omni Express	1000G
LBC1936	991	501	490	68-71	69.55 (0.84)	166.44 (8.93)	2.38 (0.67)	3.04 (0.87)	0.79 (0.10)		437	554	Illumina 610-Quadv1	1000G
NFBC1966	5078	2417	2661	30-32	31.15 (0.35)	171.24 (9.09)	3.95 (0.79)	4.72 (0.99)	0.84 (0.06)		2478	2600	Illumina HumanCNV-370DUO Analysis BeadChip	HRC

Study name	N Total	N male	N female	Age range (y) at lung function measureme nt		Mean height, cm (s.d.)	Mean FEV <sub>1</sub> , L (s.d.)	Mean FVC, L (s.d.)	Mean FEV <sub>1</sub> /FVC (s.d.)		N never smokers	N ever smokers	Genotyping Platform	Imputation Panel
NFBC1986	3210	1516	1694	14-16	16.01 (0.37)	169.34 (8.43)	3.78 (0.70)	4.31 (0.85)	0.88 (0.08)		2476	734	Illumina Human Omni Express Exome 8v1.2	HRC
NSPHS	871	400	471	14-91	49.20 (20.00)	164.00 (10.10)	2.92 (0.90)	3.53 (1.06)	0.83 (0.09)		750		Illumina Infinum HapMap 300 v2 & Illumina Human OmniExpress	1000G
ORCADES	1802	719	1083	16-91	54.00 (15.00)	166.00 (9.20)	2.89 (0.83)	3.61 (0.99)	0.79 (0.08)		1022	780	Illumina Hap300, Illumina Omni1 & Illumina OmniX	1000G
PIVUS	806	395	411	69-72	70.20 (0.176)	169.09 (9.208)	2.45 (0.680)	3.23 (0.869)	0.764 (0.103)		393	413	Illumina OmniExpress and Metabochip	HRC
SAPALDIA	1378	665	713	18-61	41.30 (11.20)	169.47 (9.12)	3.53 (0.86)	4.50 (1.04)	0.78 (0.08)		631	747	Illumina 610k quad	1000G
SHIP	1759	860	899	20-80	47.17 (13.67)	169.7 (9.13)	3.28 (0.89)	3.87 (1.03)	0.85 (0.06)	437.58 (125.17)	818	941	Affymetrix SNP 6.0	HRC
SHIP-TREND	804	363	441	21-81	51.24 (13.34)	169.9 (9.00)	3.29 (0.87)	4.14 (1.06)	0.80 (0.06)	392.82 (125.91)	342	462	Illumina Human Omni 2.5	HRC
UKHLS	7442	3290	4152	16-99	53.11 (15.94)	167.7 (9.45)	2.84 (0.90)	3.83 (1.09)	0.75 (0.09)		2938	4504	Illumina CoreExome v1.0	HRC
VIKING	1701	672	1029	18-91	50.72 (14.97)	168 (0.09)	3.07 (0.81)	4.02 (0.96)	0.76 (0.09)	450.08 (130.14)	943	757	Illumina OmniExpress Exome	HRC
YFS	419	198	221	30-47	38.88 (5.07)	172.25 (8.90)	3.73 (0.75)	4.68 (0.99)	0.8 (0.06)		233	186	Illumina 670k custom	1000G

## Supplementary Table 3: SpiroMeta analysis method

Study name	Individual call rate filter (applied before imp'n)	SNP call rate filter (applied before imp'n)	SNP HWE <i>P</i> filter (applied before imp'n)	SNP MAF filter (applied before imp'n)	Other filters	No of SNPs after filtering (before imp'n)	Imputation software and version	Reference panel used for imp'n	Genotype- phenotype association software
B58C	None	>=95%	≥0.0001 (tested on females only for chromosome X)	≥1%	Consistent allele frequencies across data deposits ( $P \ge 0.0001$ for pairwise comparisons) and for chrX SNPs, consistent allele frequencies between males and females ( $P \ge 0.0001$ ).	500,521 (including 11,696 chrX)	MACH 1.0.18 & Minimac 2012-11-16	1000 Genomes Phase 1 March 2012	probABEL 0.1-9e
BHS1&2	0.95	0.95	1.00E-06	0.01	Individuals were removed if they had sex inconsistencies, had heterozygosity >5 s.d. from the mean, were PCA outliers, were 1 individual from a pair of duplicates or had IBD inconsistencies.	521,307	Minimac and MACH1 v1.0.18	b37; 1000 Genomes Phase 1 March 2012	ProbABEL
CROATIA- Korcula	97%	98%	1.00E-06	0.01		316,879	SHAPEIT2, IMPUTE2	b37; ALL (1000 Genomes Phase 1 integrated release v3, April 2012)	ProbABEL
CROATIA- Split	97%	98%	1.00E-06	0.01		321,727	SHAPEIT2, IMPUTE2	b37; ALL (1000 Genomes Phase 1 integrated release v3, April 2012)	ProbABEL
CROATIA-Vis	97%	98%	1.00E-06	0.01		273,671	SHAPEIT2, IMPUTE2	b37; ALL (1000 Genomes Phase 1 integrated release v3, April 2012)	ProbABEL
EPIC population based	None	95%	1.00E-08	Per-plate basis	Monomorphic SNPs; chr 23-26; INDELS; monomorphic; call rate<95%; chr-pos-allels duplicates; delta-AF > 0.2; delta_AF>0.1 if MAF<0.01. Oxford QC:) exclude SNPs if not in HRC ref (no INDEL in HRC ref); 2) exclude if don't match on chr-pos-allele; 3) strand check and flip; 4) exclude if delta- AF>0.2; 5) exclude A/T and G/C SNPs with MAF>0.4 in ref; 6) exclude if chr- pos duplicates	708,715	SHAPEIT v2.r790, Oxford	HRC v1.0, 1000 Genomes p3	BOLT-LMM v2.2
GS:SFHS	97%	98%	1.00E-06	0.01	Genetic ancestry outliers; monomorphic SNPs; high heterozygosity	602,451	SHAPEIT2 v2.r837, Sanger	HRC panel v1.1, European	REGSCAN

Study name	Individual call rate filter (applied before imp'n)	SNP call rate filter (applied before imp'n)	SNP HWE <i>P</i> filter (applied before imp'n)	SNP MAF filter (applied before imp'n)	Other filters	No of SNPs after filtering (before imp'n)	Imputation software and version	Reference panel used for imp'n	Genotype- phenotype association software
H2000	0.95	0.95 (0.99 for SNPs with MAF < 0.05)	1.00E-06	0.01		553,722	IMPUTE version 2.2.2	1,000 Genomes haplotypes Phase I integrated variant set release (v3) in NCBI build 37 (hg19) coordinates	SNPTest
KORA F4	0.97	0.98	5x10-6	0.01	<ul> <li>-mismatch of phenotypic and genetic gender</li> <li>5s.d. from mean heterozygosity rate</li> <li>- check for European ancestry</li> <li>- check for population outlier</li> </ul>	523,260 (chr 1-26) 508,532 (chr 1-22) 14,096(chrX-nonPAR) 444(chrX-PAR1) 58(chrX-PAR2)	SHAPEIT v2, IMPUTE v2.3.0 1000g phase1 all (ALL_1000G_phase1in ated_v3_impute_mac		SNPTEST v2.4.1
KORA S3	0.97	0.98	5x10-6	0.01	person wise: -mismatch of phenotypic and genetic gender - 5s.d. from mean heterozygosity rate - check for European ancestry - check for population outlier SNP wise: only SNPs that were genotyped with good quality on both chips	600641 (chr 1-26) 588307 (chr 1-22) 14625 (chrX-nonPAR)	SHAPEIT v2, IMPUTE v2.3.0	1000g phase1 all (ALL_1000G_phase1integr ated_v3_impute_mac1)	SNPTEST v2.4.1
LBC1936	0.95	0.98	≥0.001	0.01		549,692	minimac 2012-11-16	1000 Genomes version 3, cosmopolitan	mach2qtl
NFBC1966	0.95	0.95	1.00E-04	0.01	Genetic ancestry outliers; monomorphic SNPs; high heterozygosity; Gender mismatch; 0 genetic sex; high heterozygosity; high relatedness	364,535	Eagle v2.3, Michigan	HRC r1.1 2016, European	rvtests
NFBC1986	0.99	0.99	1.00E-04	0.01	Genetic ancestry outliers; monomorphic SNPs; high heterozygosity; Gender mismatch; high heterozygosity; high relatedness	889,119	Eagle v2.3, Michigan	HRC r1.1 2016, European	rvtests
NSPHS	0.9	0.95	3.2E-08 (Infinum) & 1.4E-08 (OmniExpress)	0.01	FDR level of heterozygosity 0.01	306,086 (Infinum) & 631503 (OmniExpress)	Impute2 (v 2.2.2)	hg19, 1000 Genomes	ProbABEL
ORCADES	98%	97%	1.00E-06	1% (Hap300) & monomorp hic (Omni & OmniX)	Subject Heterozygosity FDR<1%	287,208 (Hap300), 843723 (Omni) & 654651 (OmniX)	shapeit.v2.r644.+imput e_v2.2.2_x86_64_static /impute2	1000G Phase I Integrated Release Version 3 Haplotypes (2010-11 data freeze, 2012-03-14 haplotypes).	probABEL v. 0.4.3

Study name	before	SNP call rate filter (applied before imp'n)	SNP HWE <i>P</i> filter (applied before imp'n)	SNP MAF filter (applied before imp'n)	Other filters	No of SNPs after filtering (before imp'n)	Imputation software and version	Reference panel used for imp'n	Genotype- phenotype association software
PIVUS	0.95	0.95 (0.99 if MAF<0.05)	1.00E-06	0.01	Genetic ancestry outliers; monomorphic SNPs; >3SD from mean for heterozygosity, pi-hat>0.125, gender discordance	738,583	SHAPEITv2, Oxford	HRC v1.1, all	SNPTEST v2.5
SAPALDIA	95%	95%	1.00E-06	0.01	none	545,131	Mach 1.0.16.a, minimac-omp RELEASE STAMP 2012-05-29 (autosomes) & MiniMac RELEASE STAMP 2012- 11-16 (chr X)	build37, 1000 Genomes	probABEL
SHIP	0.92	0.95	1.00E-04	None	Genetic ancestry outliers; gender mismatch; pi-hat>0.25; monomorphic SNPS	760,787	Eagle v2.3, Michigan	HRC v1.1 reference, European	Rvtests
SHIP-TREND	0.94	0.95	1.00E-04	None	Genetic ancestry outliers; gender mismatch; pi-hat>0.25; monomorphic SNPS	1,691,610	Eagle v2.3, Michigan	HRC v1.1 reference, European	Rvtests
UKHLS	0.98	0.98	1.00E-04	None	Genetic ancestry, monomorphic SNPs, heterozygosity 3sd <>mean -visualised at 2 different MAF bins (≥1% and <1%); PI_HAT 0.2; Cluster separation score <0.4; sex check, ethnicity duplicates, withdrawn consent. Pre-imputation variants excluded that were: monomorphic, indels, differed to HRC in terms of strand, alleles, allele frequency (>0.2), A/T & G/C SNPs if MAF >0.4 and not in reference panel.	357,230	Autosomes: Eagle v2.3; ChrX: Shapeit v2.r790, Michigan	Autosomes: HRC r1.1 2016; ChrX: HRC r1.1 2017, European	SNPTEST v2.5
VIKING	0.97	0.98	1.00E-06	MAF>0.01 for OMNI markers; MAF>0.000 1 for Exome Chip markers	Genetic ancestry outliers; monomorphic SNPs; Duplicates and siblings	668,762	shapeit2r837 + duohmm; PBWT Sanger	HRC v1.1, European	REGSCAN 0.4

Study name	call rate filter (applied before		SNP HWE <i>P</i> filter (applied before imp'n)		Other filters		•	Reference panel used for imp'n	Genotype- phenotype association software
YFS	0.95	0.95	1.00E-06	0.01	heterozygosity, relatedness	546,674	SHAPEIT v1 and IMPUTE	release v3 March 2012	SNPTEST v.2.4.1

## Supplementary Table 4: 139 novel signals

## See Excel spreadsheet.

139 independent ( $r^{2}<0.1$ ) novel signals of association with lung function (99 tier 1, 40 tier 2): tier 1 signals meet the criteria  $P<5\times10^{-9}$  in UK Biobank and  $P<10^{-3}$  in SpiroMeta; tier 2 signals meet the criteria  $P<5\times10^{-9}$  in the meta-analysis and  $P<10^{-3}$  in both UK Biobank and SpiroMeta. UK Biobank p values have genomic control applied using the LD score regression intercept as the inflation factor (**Supplementary Table 27**). No genomic control was applied to SpiroMeta and the meta-analysis as there was no significant inflation after LD score regression. The allele frequencies and individual variant sample sizes for SpiroMeta were calculated based on a working total sample size of 83,118. For two secondary signals (rs10874851 and rs4796334) the association results are from a conditional analysis (no genomic control) where the primary signal is shown in the "conditioned on" column. Direction of effect is consistent for all signals.

## Supplementary Table 5: Tier 3 signals

## See Excel spreadsheet.

Signals that reached P<5×10<sup>-9</sup> in UK Biobank or the meta-analysis of UK Biobank and SpiroMeta, with consistent directions of effect but did not meet P<10<sup>-3</sup> in SpiroMeta required to qualify as a Tier 2 signal.

## Supplementary Table 6: Association with smoking behaviour

## See Excel spreadsheet.

Look up of smoking behaviour for 139 novel signals and 142 previously reported signals associated with lung function in this study. Also show are: lung function association results from the UK Biobank and SpiroMeta metaanalysis. Bold P value for Smoking initiation (SI) or Cigarettes per day (CPD) indicates association with smoking behaviour P<1.8×10<sup>-4</sup> (Bonferroni threshold for 281 tests).

## Supplementary Table 7: Smoking interaction

## See Excel spreadsheet.

Results from stratified analyses of ever / never smokers in UK Biobank, SpiroMeta and a fixed-effects meta-analysis of the two. Evidence of interaction between ever and never smokers was sought by conducting a Welch test on the results of the stratified meta-analysis. A Bonferroni threshold of  $1.79 \times 10^{-4}$  (p=0.05/279 tests) was used.

## Supplementary Table 8: Previously reported autosomal signals

## See Excel spreadsheet.

184 signals previously reported for lung function or COPD. For inclusion with our 139 novel signals in downstream analyses we first removed 24 non-independent ( $r^2$ >0.1) signals from a recent GWAS of lung function. We also removed 3/6 HLA signals that were not independent, as established in one of our previous publications<sup>56</sup>. We then selected a subset of 142 signals that showed evidence of association in this study (UK Biobank P in bold): P<5×10<sup>-5</sup> in 321,047 UK Biobank samples for any lung function phenotype, either for the reported sentinel or a proxy with  $r^2$ >0.5, or if one of our Tier 1 or 2 signals is in LD  $r^2$ >0.1 with the previously reported sentinel. In downstream analyses, we excluded two signals (15q25 and *CYP2A6*, which have previously been reported to be associated with smoking behaviour, but are not associated with lung function in never smokers in the present study. This left 140 previously reported signals for inclusion in our final set of signals for downstream analyses Where PubMed ID (PMID) is missing, the variants are currently reported on bioRxiv<sup>57</sup>. Whilst this table refers to autosomal signals only, for reference, a further previous signal in chromosome X was not corroborated.<sup>46</sup>

## Supplementary Table 9: Results for 279 lung function signals for all 4 traits

## See Excel spreadsheet.

Results from the meta-analysis of UK Biobank and SpiroMeta for all 279 reported lung function signals for each of the lung function quantitative traits FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC and PEF.

## Supplementary Table 10: Bayesian 99% credible sets

## See Excel spreadsheet.

Bayesian 99% credible sets calculated using Wakefield's method<sup>61</sup> for 276 signals: 139 novel and 137 of 140 previously reported showing significant association in this study (3 HLA signals excluded; **Supplementary Table 8**). Effect sizes and standard errors for the credible set calculation are from the meta-analysis of UK Biobank and SpiroMeta; variants with  $r^2$ >0.4 with the sentinel and P<10<sup>-4</sup> are included in the calculation the prior probability parameter W is 0.04. For previously reported signals we used the sentinel variant from the meta-analysis of UK Biobank and SpiroMeta in this study. 182 signals have the sentinel with the (joint) highest posterior probability (109 novel, 73 previous); 20 signals have only the sentinel in the credible set (12 novel, 8 previous); 8 signals do not contain the sentinel in the credible set. Individual regions for all of these 276 signals are available to download as a separate file.

## Supplementary Table 11: Functional annotation of coding variants in the 99% credible sets

## See Excel spreadsheet.

Variants that entered the functional annotation were those annotated as "exonic", "splicing", "ncRNA\_exonic", "5' UTR" or "3' UTR" (untranslated region) by ANNOVAR. Annotation software used: SIFT, PolyPhen-2 and FATHMM all annotate missense variants, and CADD annotates non-coding variation. Variant annotated as deleterious (1) versus not (0) if the variant was labelled 'deleterious' by SIFT, 'probably damaging' or 'possibly damaging' by PolyPhen-2, if it had a CADD scaled score ≥20, or was annotated as "damaging" by FATHMM. See also **Online Methods**. Column explanations: All=harmful according to at least one of CADD, SIFT, PolyPhen-2, FATHMM; Post Prob=posterior probability for sentinel variant; Highest PP SNP(s)=SNP(s) with highest posterior probabilities for a credible set; Highest PP=value of highest posterior probability for top SNP for a credible set; Highest Flag=annotated SNP is also top SNP for credible set

## Supplementary Table 12: Z-scores and P values for eQTL look up in lung tissue resources

## See Excel spreadsheet

Variants in the 99% credible sets that are associated with gene expression at FDR<5% in an eQTL resource (n=1,111) of lung tissue from Laval University<sup>62</sup>, Canada, Groningen University<sup>63</sup>, Netherlands and University of British Columbia (UBC)<sup>64</sup>, Canada. The sentinel SNP out of our 279 lung function associated SNPs is given, the SNP most highly associated with expression in the 99% credible set of the lung function sentinel, the posterior probability of this SNP within the credible set, the gene expression Z-score and P value and the eQTL SNP most highly associated with gene (eQTL sentinel).

This table includes the eQTL data for all genes where there was a variant in the credible set with FDR<5% for association with expression. Only genes where the eQTL sentinel is in the credible set were added to our list of putative causal genes for downstream analysis.

## Supplementary Table 13: Genes implicated by eQTL or pQTL associations or deleterious variants

## See Excel spreadsheet

(-): COPD risk allele (FEV<sub>1</sub>/FVC decreasing allele) decreases gene expression or protein level. (+): COPD risk allele increases gene expression or protein level. Nine GTEx tissues were screened (n up to 388): Artery Aorta (n=267), Artery Coronary (n=152), Artery Tibial (n=388), Colon Sigmoid (n=203), Colon Transverse (n=246), Esophagus Gastroesophageal Junction (n=213), Esophagus Muscularis (n=335), Small Intestine Terminal Ileum (n=122), and Stomach (n=237) – note direction of gene expression not provided for the genes implicated by these tissues as >1 tissue is screened. 88 genes were implicated where the eQTL sentinel was in our lung function 99% credible set for 58 sentinel SNPs; 5 genes were implicated where the pQTL sentinel was in our lung function 99% credible set for 5 SNPs; 21 genes were implicated by a coding deleterious variant in the 99% credible set for 20 sentinels, giving a union across all 3 look ups of 107 unique putative causal genes. Z-scores and P values for the Lung eQTL look up are in **Supplementary Table 12**.

#### Footnote:

Genes implicated by a new signal: ADORA2B, ANGPTL1, ATP2A3, BCHE, BTC, C18ORF8, C2orf54, CEP72, CEPT1, CHI3L2, CHP1, CRAT, DHDDS, DRAM2, DST, ECM1, FILIP1L, HMGN2, IER5L, INO80, ITGAV, JAZF1, KIAA0753, LRRC45, MET, MRPS21, NEXN, PITPNM3, PKDCC, PPP2R4, RAD51, RPRD2, SENP3, SHISA4, SPATS2L, THBS4, TNFSF13, TTC19, TXNDC17, UBXN2A, ZFP14, ZFP82.

Genes implicated by a previously reported signal that were not previously implicated<sup>56</sup>: AAGAB, AP3B1, ARHGEF17, ATXN2L, C1QTNF5, CCDC101, CDK2, CENPW, CLN3, CRKRS, DSP, EEF1G, EIF3C, FAM168A, FBXL20, GDF5, IQCH, ITPKA, LTK, NPIPL1, PYGB, RPAP1, SBK1, SCARF2, SH2B1, SLMAP, SMAD3, SULT1A1, SULT1A2, TUFM, TYRO3, UQCC1

## Supplementary Table 14: Proteins implicated by pQTL analysis

Lung function sentinel SNPs where one of the SNPs in the 99% credible set is the most highly associated SNP for a protein (top pSNP) in Sun *et al.* protein expression dataset<sup>65</sup> and the association with protein levels is P<5.03x10<sup>-8</sup> (5% Bonferroni-adjusted threshold for 276 independent sentinel SNPs x 3,600 plasma protein levels tested).

Novelty	Nearest Gene	Trait	Sentinel SNP ID	Sentinel SNP chrom: Position (b37)	Sentinel SNP Coded/ Noncoded	Top pSNP	Top pSNP chrom: Position (b37)	Top pSNP Noncoded	Top pSNP Coded	Top pSNP Beta (SE)	P-value	Protein
Novel (Tier 1)	C1orf54	PEF	rs11205354	1:150,476,516	A/G	rs11205385	1:150,476,516	G	A	-0.3153 (0.0245)	8.91E-38	ECM1
Novel (Tier 1)	KRTCAP2	FEV <sub>1</sub> /FVC	rs141942982	1:155,153,537	т/с	rs111508230	1:155,153,537	с	т	0.2170 (0.0388)	2.19E-08	THBS4
Previous	NPNT	FEV <sub>1</sub>	rs34712979	4:106,819,053	A/G	rs34712979	4:106,819,053	G	A	-0.2274 (0.0280)	4.57E-16	NPNT
Previous	P4HA2- AS1	FVC	rs3843503	5:131,567,924	A/G	rs11955347	5:131,567,924	G	A	-0.2464 (0.0245)	9.12E-24	C1QTNF5
Previous	SCARF2	FEV1/FVC	rs9610955	22:20,775,556	T/G	rs738086	22:20,775,556	G	т	0.2997 (0.0318)	4.79E-21	SCARF2

## Supplementary Table 15: Pathway analysis

Gene-based pathway enrichment analyses. Summary of gene-sets overrepresented in known biological pathways and gene ontology (GO) terms. GO term categories (m= molecular function, b= biological process, c= cellular component) and levels (1 to 5 with high level GO terms assigned to level 1) are indicated. The effective size is the number of genes present in that respective pathway or GO term. Pathways or gene sets represented by only 2 genes from the same association signal have been excluded. FDR: False discovery rate. Novel genes from novel and previous signals are marked with a dagger (†) and double dagger (‡), respectively.

Enriched b	piological pa	thways		
P value	FDR	pathway	Genes associated with biological pathway	Total size of pathway gene-set
4.26E-07	9.33E-05	Molecules associated with elastic fibres	ITGAV†; TGFB2; LTBP4; MFAP2; GDF5‡	30
9.51E-07	0.000104	Elastic fibre formation	ITGAV†; TGFB2; LTBP4; MFAP2; GDF5‡	35
3.51E-05	0.00241	Extracellular matrix organization	MMP15; TGFB2; LTBP4; DST†; ITGAV†; P4HA2; MFAP2; GDF5‡; ADAM19	294
0.000158	0.00812	Malaria - Homo sapiens (human)	MET <sup>+</sup> ; TGFB2; LRP1; THBS4 <sup>+</sup>	49
0.000497	0.017	Extracellular vesicle-mediated signaling in recipient cells	MET <sup>+</sup> ; TGFB2; SMAD3 <sup>‡</sup>	30
0.00059	0.018468	Alpha6Beta4Integrin	MET <sup>+</sup> ; DST <sup>+</sup> ; DSP <sup>‡</sup> ; SMAD3 <sup>‡</sup>	74
0.001345	0.036822	TGF-Core	TGFB2; GDF5†; SMAD3‡	42
Enriched g	gene ontolog	gy terms		
P value	FDR	Name of GO term (GO term category/level)	Genes associated with GO term	Total size of pathway gene-set
2.39E-05	0.007332	cytoskeleton organization (b/4)	TGFB2; LRP1; CEP72†; ARHGEF17‡; DST†; CHP1†; INO80†; DSP‡; SMAD3‡; ITPKA‡; PTPA†; CDK2‡; FGD6; MYPN; NEXN†; MAPT; KIAA0753†	1075
0.000271	0.034539	regulation of cartilage development (b/5)	TGFB2; PKDCC <sup>+</sup> ; GDF5 <sup>‡</sup> ; SMAD3 <sup>‡</sup>	62
0.000319	0.025007	ammonium ion metabolic process (b/3)	CRAT+; TGFB2; CEPT1+; SLC22A5; CLN3‡; BCHE+	182
0.00036	0.025007	organelle organization (b/3)	TGFB2; CHP1+; INO80+; BTC+; SMAD3‡; AP3B1‡; GDF5‡; ITPKA‡; CLN3‡; RAD51+; UBXN2A+; MYPN; NEXN+; FGD6; CEP72+; ARHGEF17; DST+; DSP‡; SH2B1‡; CENPW‡; KIAA0753+; ATXN2L‡; LRP1; UQCC1‡; MRPS21+; PTPA+; CDK2‡; MAPT; TTC19+; TUFM‡	3207
0.000371	0.025007	centriole replication (b/3)	CDK2‡; KIAA0753†; CEP72†	28

0.000405	0.004661	protein kinase activity (m/5)	TGFB2; LTBP4; CDK12‡; PKDCC+; ITPKA‡; MET+; CDK2‡; BTC+; LTK‡; SBK1‡; TYRO3‡	646
0.000413	0.034539	positive regulation of cartilage development (b/5)	PKDCC+; GDF5+; SMAD3+	29
0.000691	0.034539	transforming growth factor beta2 production (b/5)	TGFB2; SMAD3‡	8
0.000691	0.034539	mitochondrial respiratory chain complex III assembly (b/5)	UQCC1‡; TTC19†	8
0.000786	0.022497	transmembrane receptor protein kinase activity (m/4)	LTK‡; MET†; LTBP4; TYRO3‡	82
0.000939	0.037577	positive regulation of ossification (b/5)	NPNT; TGFB2; PKDCC†; SMAD3‡	86
0.001016	0.045883	microtubule-based process (b/3)	AP3B1‡; CEP72†; DST†; CHP1†; INO80†; PTPA†; CDK2‡; CLN3‡; MAPT; KIAA0753†	611
0.001136	0.045883	extracellular structure organization (b/3)	MMP15; TGFB2; THSD4; ITGAV†; SMAD3‡; NPNT; MFAP2	318
0.001481	0.049872	phosphorus metabolic process (b/3)	DHDDS†; CHP1†; TGFB2; BTC†; SMAD3‡; PKDCC†; ADORA2B†; MET†; CDK12‡; GDF5‡; ITPKA‡; CARD9; CLN3‡; RAD51†; SULT1A1‡; NUDT5; SULT1A2‡; LTK‡; SBK1‡; TYRO3‡; INPP5E; RSRC1; CEPT1†; ITGAV†; NPNT; PTPA†; CDK2‡; PITPNM3†	3164
0.00149	0.022497	phosphatase binding (m/4)	AP3B1‡; MET†; PTPA†; MAPT; SMAD3‡	165
0.001512	0.04837	regulation of chondrocyte differentiation (b/5)	PKDCC+; GDF5‡; SMAD3‡	45
0.001824	0.022497	phosphotransferase activity, alcohol group as acceptor (m/4)	TGFB2; LTBP4; CDK12‡; PKDCC+; ITPKA‡; MET+; CDK2‡; BTC+; LTK‡; SBK1‡; TYRO3‡	777
0.001846	0.04837	regulation of neuron death (b/5)	TGFB2; LRP1; CHP1 <sup>+</sup> ; GDF5 <sup>‡</sup> ; CLN3 <sup>‡</sup> ; TYRO3 <sup>‡</sup>	255
0.001935	0.04837	catechol-containing compound metabolic process (b/5)	TGFB2; SULT1A1‡; SULT1A2‡	49
0.002171	0.012484	transforming growth factor beta receptor binding (m/5)	TGFB2; GDF5‡; SMAD3‡	51
0.002884	0.026674	transforming growth factor beta binding (m/4)	LTBP4; ITGAV†	16
0.003486	0.016037	protein phosphatase binding (m/5)	AP3B1‡; MET†; MAPT; PTPA†	123
0.004122	0.030499	kinase activity (m/4)	TGFB2; LTBP4; CDK12‡; PKDCC†; ITPKA‡; MET†; CDK2‡; BTC†; LTK‡; SBK1‡; TYRO3‡	864
0.004328	0.016592	transmembrane receptor protein tyrosine kinase activity (m/5)	LTK‡; MET†; TYRO3‡	65

Supplementary Table 16: Stratified LD score regression analysis of FEV<sub>1</sub>/FVC and FVC heritability enrichment at lung and smooth-muscle specific histone marks

Cell type	Chromatin mark	Proportion of overlapping SNPs	Trait	Proportion of heritability <sup>#</sup>	Proportion of heritability standard error	Fold Enrichment	Enrichment standard error	Enrichment P-value
Fetal Lung	H3K4me3	1.07%	FEV1/FVC	14.80%	0.024	13.78	2.23	3.40E-08
	H3K4me3		FVC	9.20%	0.018	8.57	1.65	8.59E-06
	H3K4me1	6.99%	FEV1/FVC	57.09%	0.04	8.16	0.57	2.85E-25
	H3K4me1		FVC	35.84%	0.027	5.13	0.39	4.19E-21
	H3K9ac	1.30%	FEV1/FVC	18.72%	0.027	14.40	2.09	1.36E-10
	H3K9ac		FVC	11.74%	0.021	9.03	1.58	9.90E-07
Lung	H3K4me3	0.56%	FEV1/FVC	4.66%	0.016	8.29	2.82	0.009967
	H3K4me3		FVC	4.47%	0.016	7.95	2.83	0.015267
	H3K4me1	1.75%	FEV1/FVC	12.70%	0.024	7.24	1.39	1.32E-05
	H3K4me1		FVC	6.99%	0.017	3.99	0.94	0.001545
Colon Smooth Muscle	H3K4me3	1.44%	FEV1/FVC	14.39%	0.021	9.99	1.47	3.95E-09
	H3K4me3		FVC	10.67%	0.017	7.41	1.2	2.80E-07
	H3K4me1	3.52%	FEV1/FVC	26.77%	0.028	7.61	0.78	2.04E-15
	H3K4me1		FVC	18.02%	0.021	5.12	0.61	7.99E-11
	H3K9ac	0.57%	FEV1/FVC	5.29%	0.013	9.32	2.33	0.000339
	H3K9ac		FVC	3.14%	0.012	5.54	2.06	0.029484
	H3K27ac	2.54%	FEV1/FVC	15.53%	0.022	6.12	0.88	1.97E-08
	H3K27ac		FVC	11.02%	0.015	4.35	0.61	1.47E-07
Stomach Smooth Muscle	H3K4me3	2.00%	FEV1/FVC	20.39%	0.022	10.15	1.1	1.19E-14
	H3K4me3		FVC	13.79%	0.018	6.86	0.9	3.56E-10
	H3K4me1	2.39%	FEV1/FVC	21.13%	0.023	8.83	0.95	4.51E-14
	H3K4me1		FVC	14.85%	0.02	6.20	0.82	9.61E-10
	НЗК9ас	1.40%	FEV1/FVC	14.55%	0.022	10.38	1.55	5.12E-09
	H3K9ac		FVC	9.27%	0.017	6.62	1.21	4.98E-06
	H3K27ac	2.67%	FEV1/FVC	17.72%	0.023	6.64	0.88	9.50E-10
	H3K27ac		FVC	10.22%	0.015	3.83	0.58	2.03E-06

<sup>#</sup>Proportion of SNP-chip heritability explained by overlapping SNPs

## Supplementary Table 17: DeepSEA prediction of functional effect

## See Excel spreadsheet.

DeepSEA predictions for the SNPs in the 99% credible sets (total n=9446 SNPs) in lung-related cell lines from the RoadMap Epigenome and ENCODE projects. We queried four lung-related cell lines (foetal lung, foetal lung fibroblasts [IMR90], human lung fibroblasts [NHLF] and adenocarcinomic human alveolar basal epithelial cells [A549]) for which 55 chromatin features and transcription factor binding sites were measured. The absolute difference between reference and alternative allele is shown. Only the results for the 161 SNPs with a predicted functional effect (i.e. absolute difference  $\geq 0.1$ ) in  $\geq 1$  cell line are presented. SNPs which have the highest posterior probability in their respective credible sets are coloured in red. Non-significant results (i.e. absolute difference between reference and alternative allele < 0.1) are replaced with a "-" for clarity. E-values (i.e. the expected proportion of SNPs with larger predicted effect for this chromatin feature based on empirical distributions of predicted effects for 1000 Genomes SNPs) for each result are presented in brackets. E-values<0.05 and <0.01 are highlighted in red and green, respectively.

## Supplementary Table 18: Druggability analysis

See Excel spreadsheet. Please note that it is possible to filter this table using the drop-down arrows at the top of each column.

Table showing drugs interacting with either high-priority genes that were identified in eQTL or pQTL analysis or annotated as deleterious (N=107) (**Supplementary Table 13**).

The 107 genes were queried against gene-drug interactions within the Drug-Gene Interactions Database (DGIDB) (<u>http://www.dgidb.org/data/</u>). The 68 drugs (identified from CHEMBL interactions) that mapped to these genes were mapped to CHEMBL IDs and indications (as Medical Subject Headings, or 'MeSH' terms,

<u>https://www.ebi.ac.uk/chembl/drug/indications</u>). For each gene, the sentinel SNP that implicated this gene is given. Drug names associated with each gene, plus CHEMBL IDs, and drug indications (with maximum development phase in brackets) are also shown.

Column explanations:

- Drug=compound/drug name;
- CHEMBL\_ID=compound identification number from CHEMBL;
- OriginalGeneAndSource=The name(s) of the gene (amongst the set of 107 high priority genes) interacting with the drug;
- IndicationPhase=Drug indication (Phase). Phase 1: Testing of drug on healthy volunteers for dose-ranging; Phase 2: Testing of drug on patients to assess efficacy and safety; Phase 3: Testing of drug on patients to assess efficacy, effectiveness and safety; and Phase 4: Approval of drug and post-marketing surveillance.
- MAB=Drug is a monoclonal antibody;
- OriginalGenesPathway=the gene given in the 'Original Gene and Source' column is a gene identified in the 'Enriched Biological Pathways' shown in **Supplementary Table 15**;
- Cancer=the drug is used to treat some form of cancer;
- Phase3or4=the drug has at least one indication annotated as Phase 3 or 4;
- AsthmaCOPD=the drug is already indicated as being used in asthma or COPD;
- Novelty=the drug is implicated for use by genes identified from novel signals in this GWAS.

# Supplementary Table 19: UK Biobank and China Kadoorie Biobank COPD and FEV<sub>1</sub>/FVC weighted genetic risk score association results (per-allele and per standard deviation) by ancestry

Individuals in UKB Biobank and China Kadoorie Biobank were included for this analysis, and UK Biobank individuals were divided into ancestry groups as described in **Supplementary Figure 1**. The weighted genetic risk score was tested for association with COPD and FEV<sub>1</sub>/FVC. COPD was defined as FEV<sub>1</sub>/FVC<0.7 and FEV1<80% predicted (i.e. corresponding to GOLD 2-4 standards). The COPD model (a logistic regression, with COPD coded as COPD [1] vs. no COPD [0]) was adjusted as described in the **Online Methods**. The COPD model was only fitted in ancestral groups with >100 COPD cases. For the FEV<sub>1</sub>/FVC model, linear regression was used. The phenotype was as prepared for the main GWAS described in this paper (see **Online Methods**).

Ancestry	ре	er Allele		per Star	dard Devia	tion	Ρ	Total N	N Control	N Case	Mean	SD
	Effect size* (OR/Beta)	95LCI	95UCI	Effect size* (OR/Beta)	95LCI	95UCI					risk score	risk score
COPD												
UK Biobank African	1.033	1.015	1.050	1.348	1.152	1.577	1.92E-04	4225	4053	172	305.95	9.26
UK Biobank South Asian	1.030	1.020	1.041	1.414	1.254	1.594	1.42E-08	6358	6046	312	308.22	11.66
UK Biobank Chinese**								1607	1558	49	302.38	11.47
UK Biobank European***	1.030	1.029	1.032	1.436	1.411	1.461	<1e-300	303570	288467	15103	307.78	12.16
UK Biobank Mixed African & European								1208	1153	55	305.70	10.67
UK Biobank Mixed Other	1.035	1.024	1.046	1.506	1.325	1.712	3.65E-10	6033	5752	281	305.58	12.04
China Kadoorie Biobank**	1.017	1.014	1.019	1.219	1.182	1.256	3.31E-40	75580	69567	6013	298.22	11.84
FEV <sub>1</sub> /FVC	·											
UK Biobank African	-0.009	-0.013	-0.006	-0.086	-0.116	-0.056	2.12E-08	4225			305.95	9.26
UK Biobank South Asian	-0.015	-0.018	-0.013	-0.181	-0.205	-0.156	3.72E-47	6358			308.22	11.66
UK Biobank Chinese**	-0.012	-0.017	-0.008	-0.142	-0.191	-0.093	1.44E-08	1607			302.38	11.47
UK Biobank European***	-0.018	-0.019	-0.018	-0.224	-0.227	-0.221	<1e-300	303570			307.78	12.16
UK Biobank Mixed African & European	-0.016	-0.022	-0.011	-0.176	-0.231	-0.120	7.01E-10	1208			305.70	10.67
UK Biobank Mixed Other	-0.015	-0.018	-0.013	-0.186	-0.211	-0.162	7.00E-48	6033			305.58	12.04
China Kadoorie Biobank**	-0.007	-0.007	-0.006	-0.078	-0.085	-0.071	2.51E-98	72796			298.22	11.84

\*Effect sizes are odds ratios for COPD results, and change in Z-score units for FEV<sub>1</sub>/FVC results

\*\*Four out of 279 SNPs in UKB Chinese monomorphic. For details on missing SNPs in China Kadoorie Biobank participants see **Online Methods**.

\*\*\*Europeans in UK Biobank were the discovery sample for many of the variants in the risk score, which explains the very low p-values in this subgroup.

#### Supplementary Table 20: Demographics of COPD case-control cohorts included in risk score included in risk score analysis

Descriptive statistics for each cohort are given separately for cases and controls, for five cohorts: the COPD Gene study, the ECLIPSE study (Evaluation of COPD Longitudinally to Identify Predictive Surrogate End-points), GenKOLS (the Bergen, Norway COPD cohort), NETT/NAS (the National Emphysema Treatment Trial [NETT] and the Normative Aging Study [NAS]) and the SPIROMICS study. Abbreviation: SD=standard deviation; age is given in years, height in centimetres, FEV1 and FVC litres.

Cohort	Case- control status	Total N	% female	Age range	Mean age (SD)	Height range	Mean height (SD)	N with spirometry data	Mean FEV1 (SD)	Mean FVC (SD)	Mean FEV1/FVC (SD)	% ever smokers (N with ever smoking data available)	Pack-years range (N with pack- years data available)	Mean pack- years (SD)
COPDGene (African-	Cases	910	44.84	45-81	58.6 (8.15)	137-208	170.96 (10.1)	910	1.39 (0.63)	0.534 (0.121)	2.546 (0.879)	100 (910)	10 - 162 (910)	42.69 (23.48)
American Population)	Controls	1556	40.94	45-80	52.84 (6.01)	142-203	171.15 (9.33)	1556	2.768 (0.644)	0.785 (0.05)	3.535 (0.839)	100 (1556)	10 - 160.4 (1556)	36.11 (19.1)
COPDGene (Non-Hispanic	Cases	3068	45.14	45-81	64.38 (8.28)	134-196	169.72 (9.45)	3068	1.424 (0.659)	2.817 (0.908)	0.495 (0.134)	100 (3068)	10 - 237.6 (3068)	54.89 (27.12)
White Population)	Controls	2110	51.47	45-81	59.18 (8.64)	140-198	169.54 (9.38)	2110	2.924 (0.679)	3.817 (0.892)	0.768 (0.044)	100 (2110)	10 - 172.5 (2110)	37.34 (20.14)
ECLIPSE	Cases	1713	32.87	40-75	63.64 (7.1)	142-201	169.54 (9.02)	1713	1.213 (0.487)	2.766 (0.873)	0.441 (0.111)	100 (1713)	6 - 220 (1713)	50.5 (27.47)
	Controls	147	42.86	40-74	57.32 (9.55)	151-196	171.24 (9.69)	147	3.164 (0.779)	4.085 (1.03)	0.778 (0.054)	100 (147)	10 - 230 (147)	31.01 (25.94)
GenKOLS	Cases	836	39.23	40-90	65.44 (10.1)	146-197	170 (9.02)	836	1.477 (0.699)	2.863 (0.957)	0.502 (0.126)	100 (836)	3 - 130 (836)	31.88 (18.62)
	Controls	692	48.84	40-88	55.43 (9.74)	151-200	172.05 (8.79)	692	3.214 (0.722)	4.169 (0.935)	0.772 (0.041)	100 (692)	2.5 - 90 (692)	19.4 (13.61)
NETT/NAS	Cases	374	36.1	40-85	67.47 (5.76)	142-190	168.76 (9.53)	374	0.726 (0.236)	2.299 (0.775)	0.324 (0.064)	100 (374)	12 - 260 (371)	66.25 (30.66)
	Controls	429	0	48-89	69.86 (7.5)	156-192	174.46 (6.79)	429	3.032 (0.507)	3.83 (0.627)	0.793 (0.053)	100 (429)	10 - 185.5 (429)	40.69 (27.79)
SPIROMICS	Cases	988	44	41-89	65.74 (7.62)	141-197	170.05 (9.64)	988	1.539 (0.605)	3.194 (0.927)	0.48 (0.13)	100 (988)	20.0 - 450 (988)	56.11 (28.78)
	Controls	537	53	40-80	62.95 (9.0)	149-205	169.54 (9.62)	537	2.824 (0.705)	3.678 (0.913)	0.77 (0.04)	100 (537)	20.0 - 400 (537)	44.76 (26.36)

#### Supplementary Table 21: External case-control studies COPD risk score association results (per-allele and per standard deviation)

Results of the association between genetic risk scores and COPD risk are given for both weighted (top) and unweighted (bottom) risk scores (comprising 279 novel and previous signals), for five studies: the COPD Gene study, the ECLIPSE study (Evaluation of COPD Longitudinally to Identify Predictive Surrogate End-points), GenKOLS (the Bergen, Norway COPD cohort), NETT/NAS (the National Emphysema Treatment Trial [NETT] and the Normative Aging Study [NAS]) and the SPIROMICS study. COPD Gene is stratified into African-American and Non-hispanic white subgroups. Effect sizes and 95% confidence intervals are given on two scales: a per-Allele (i.e. raw) scale, and a per standard deviation (SD) scale. Standard deviations for the weighted and unweighted risk scores are given for each cohort separately. Abbreviations: AA=African-American; Nhw=Non-Hispanic white; OR=odds ratio; 95LCI/UCI=lower and upper bounds of 95% confidence intervals; P=p-value; N=sample size. A sensitivity analysis was also run, excluding SNP rs13116999 (see 'Discussion' of manuscript). The per-allele meta-analytic estimate was consistent after excluding this SNP. \*The odd ratios per standard deviation increase in the risk score were estimated as: exp(logOR on the per allele scale × standard deviation of the weighted risk score). \*\*Approximated in R as sqrt(sum(SD^2\*(N-1))/sum(N-1)), where N is a vector of sample sizes, and SD is a vector of standard deviations.

Ancestry	Study group	per Allele		Р	per Sta	andard De	viation*	P*		Ν		Mean	SD	
		OR	95LCI	95UCI		OR	95LCI	95UCI		Total	Cases	Controls	risk score	risk score
W	eighted									1		-		
African	COPDGene (AA)	1.023	1.014	1.032	8.36E-07	1.255	1.147	1.374	8.36E-07	2466	910	1556	306.16	10.09
European	COPDGene (NHW)	1.036	1.03	1.041	1.97E-41	1.535	1.442	1.634	1.97E-41	5178	3068	2110	307.72	12.25
European	ECLIPSE	1.039	1.023	1.055	1.42E-06	1.585	1.314	1.912	1.42E-06	1860	1713	147	309.80	12.16
European	GenKOLS	1.042	1.031	1.052	8.99E-15	1.623	1.436	1.834	8.99E-15	1528	836	692	308.05	11.89
European	NETT/NAS	1.032	1.017	1.047	3.13E-05	1.464	1.223	1.751	3.13E-05	803	374	429	307.54	12.16
European	SPIROMICS	1.037	1.027	1.046	4.47E-14	1.539	1.376	1.721	4.47E-14	1525	988	537	307.90	11.95
Meta-analys	sis of 5 European-ancestry study groups	1.037	1.033	1.041	1.72E-75	1.546	1.476	1.620	1.48E-75	10894	6979	3915	308.13	12.14**
Ur	nweighted													
African	COPDGene (AA)	1.015	1.005	1.025	0.00251	1.147	1.049	1.254	0.00251	2466	910	1556	298.62	9.33
European	COPDGene (NHW)	1.034	1.028	1.04	3.03E-28	1.413	1.329	1.503	3.03E-28	5178	3068	2110	294.74	10.36
European	ECLIPSE	1.037	1.02	1.055	2.45E-05	1.476	1.232	1.769	2.45E-05	1860	1713	147	296.41	10.63
European	GenKOLS	1.046	1.033	1.059	7.58E-13	1.561	1.382	1.764	7.58E-13	1528	836	692	295.60	9.96
European	NETT/NAS	1.019	1.002	1.035	2.73E-02	1.212	1.022	1.439	2.73E-02	803	374	429	294.69	10.48
European	SPIROMICS	1.036	1.025	1.047	1.62E-10	1.435	1.284	1.603	1.62E-10	1525	988	537	294.20	10.27
Meta-analys	sis of 5 European-ancestry study groups	1.035	1.030	1.039	6.71E-52	1.425	1.362	1.492	4.45e-52	10894	6979	3915	295.07	10.35**

## Supplementary Table 22: COPD risk score association results in external case-control studies (per-decile)

Within each study group, individuals were divided according to their value of the weighted genetic risk score. Logistic models were then fitted for each decile, comparing odds of COPD between members of each decile (2-10) and the lowest decile (1, the reference decile). Results were meta-analysed by fixed-effects across the European-ancestry subjects of COPDGene (Non-hispanic white participants), ECLIPSE, GenKOLS, NETT/NAS, SPIROMICS. Results are presented separately for African-American participants of the COPDGene study

Decile	Meta-a	nalysis of	5 Europe	an Cohorts*	COPDGene (African-American)					
	OR	LCI	UCI	Ρ	OR	LCI	UCI	Ρ		
1	1.000				1.000					
2	1.470	1.207	1.790	1.26E-04	0.881	0.566	1.370	0.573		
3	1.572	1.289	1.918	7.97E-06	1.407	0.927	2.135	0.109		
4	2.092	1.712	2.555	4.94E-13	1.281	0.838	1.961	0.253		
5	2.045	1.678	2.491	1.23E-12	1.639	1.083	2.481	0.020		
6	2.033	1.666	2.481	2.93E-12	1.214	0.807	1.825	0.352		
7	2.520	2.054	3.091	7.21E-19	1.215	0.784	1.882	0.383		
8	2.800	2.282	3.435	5.76E-23	1.376	0.902	2.101	0.139		
9	3.961	3.213	4.883	5.15E-38	1.895	1.255	2.863	2.38E-03		
10	4.731	3.793	5.900	3.00E-43	2.660	1.753	4.036	4.25E-06		

\*COPDGene (Non-hispanic white participants), ECLIPSE, GenKOLS, NETT/NAS, SPIROMICS

## Supplementary Table 23: Results for single-variant PheWAS

## See Excel spreadsheet.

Results are given for 2,411 traits studied. Associations between each trait and each of the 279 SNPs entering the genetic risk score were carried out. Total sample sizes (N), as well as numbers of cases and controls are given. Odds ratios (OR) are given for binary traits, and beta coefficients are given for continuous traits. Confidence intervals (LCI95, UCI95) and P values are also provided, along with false discovery rates. 'FDR.Flag' denotes associations passing an FDR of <0.01 (only associations passing an FDR of 1% are included in this table). 'Quant.Resp.Trait' is a flag variable indicating PheWAS results for those SNPs featuring in the main GWAS. 'Figure.Name' denotes the short plain English label used in **Figure 4** in the main text, allowing for cross reference. Information on each SNP is also given (SNP name, chromosome (CHR), position (BP), effect and non-effect alleles, allele frequency, and imputation quality). Results of all 2,411\*279 SNP-trait associations are available from the authors on request, but are not provided here due to their size.

To compare the effect directions in these PheWAS results with the effect direction on lung function, please cross-reference with **Supplementary Table 28**.

#### Supplementary Table 24: Lung function SNPs associated with asthma and eosinophil counts.

25 of 279 lung function SNPs that are associated with asthma in the UK Biobank PheWAS (FDR<1%) for the UK Biobank category M2W\_asthma, except for \*HES\_asthma (rs9385988) and †TA\_NI\_pediatric\_asthma\_under16yo (rs2811415, rs1215 & rs17577877). 12 in bold are additionally associated in the UK Biobank PheWAS with eosinophil counts (FDR<%1 for Eosinophil\_count or Eosinophil\_percentage). 8 SNPs are in LD ( $r^2$ >0.1) with previously reported asthma SNPs. The directions, which correspond to the UKB+SpiroMeta lung function meta-analysis, the asthma PheWAS, and the published asthma association (if known) are + for worse lung function and increased asthma risk (• risk allele not reported for rs3001426).

				Lung function (meta-analysis of UK Biobank & SpiroMeta)				Asthma PheWAS		Asthma published			
Nearest gene	rsid	Position (b37)	Coded/ Noncoded	Coded Freq.	Phenotype	Novel/ previous	Effect (se)	Р	OR (95% CI)	Р	rsid	r²	Directions
IL1RL1	rs12470864	2:102926362	A/G	38.5%	FEV <sub>1</sub> /FVC	Tier 1	-0.02 (0.002)	1.04E-16	1.1 (1.08-1.11)	1.72E-40	rs1420101 <sup>66</sup>	0.952	+++
EEFSEC	rs2811415	3:127991527	A/G	16.0%	FEV <sub>1</sub> /FVC	previous	0.031 (0.003)	2.84E-21	0.93 (0.9-0.96)†	3.42E-05†			
LOC100507661	rs56341938	3:168715808	A/G	48.6%	FEV <sub>1</sub>	previous	-0.024 (0.002)	4.17E-25	1.03 (1.02-1.04)	3.39E-05			++
NPNT	rs34712979	4:106819053	A/G	25.6%	FEV <sub>1</sub> /FVC	previous	-0.068 (0.003)	4.18E-134	1.04 (1.02-1.05)	9.31E-06			++
HHIP-AS1	rs12504628	4:145436324	T/C	60.4%	FEV <sub>1</sub> /FVC	previous	-0.07 (0.002)	5.99E-180	1.03 (1.01-1.04)	6.38E-05			++
P4HA2-AS1	rs7713065	5:131788334	A/C	26.5%	FVC	previous	0.009 (0.003)	9.96E-04	1.09 (1.07-1.1)	7.88E-28	rs3749833 <sup>67</sup>	0.982	-++
HTR4	rs7715901	5:147856392	A/G	60.4%	FEV <sub>1</sub> /FVC	previous	-0.05 (0.003)	2.44E-92	1.03 (1.02-1.04)	3.08E-05			++
ADAM19	rs10515750	5:156810072	T/C	7.0%	FEV <sub>1</sub> /FVC	previous	-0.053 (0.005)	2.38E-30	1.07 (1.04-1.1)	6.58E-07			++
ZSCAN31	rs34864796	6:27459923	A/G	12.5%	PEF	previous	-0.053 (0.004)	1.02E-43	1.06 (1.03-1.08)	1.29E-07			++
AGER	rs2070600	6:32151443	T/C	6.3%	FEV <sub>1</sub> /FVC	previous	0.145 (0.005)	3.00E-189	1.15 (1.12-1.18)	2.20E-24	rs404860 <sup>68</sup>	0.252	-+-
HLA-DQB1	rs3844313	6:32635629	A/G	25.5%	FEV <sub>1</sub> /FVC	previous	-0.044 (0.003)	1.06E-54	1.08 (1.06-1.09)	4.06E-20	rs9273373 <sup>69</sup>	0.234	+++
VTA1	rs9385988	6:142560957	A/G	72.3%	FEV <sub>1</sub>	Tier 1	-0.028 (0.003)	1.40E-26	1.04 (1.02-1.07)*	8.02E-05*			++
JAZF1	rs1513272	7:28200097	T/C	50.0%	FEV <sub>1</sub>	Tier 1	0.02 (0.002)	1.11E-17	0.97 (0.95-0.98)	1.32E-06	rs6977955 <sup>67</sup>	0.255	
PPP1R3B	rs330939	8:9018590	T/G	62.1%	FEV <sub>1</sub> /FVC	Tier 1	0.023 (0.003)	4.46E-21	0.97 (0.96-0.98)	3.99E-05			
LOC101929563	rs10965947	9:23588583	T/C	45.9%	FEV <sub>1</sub> /FVC	previous	0.022 (0.002)	4.27E-20	0.97 (0.96-0.98)	1.57E-05			
IER5L	rs967497	9:131943843	A/G	31.0%	FEV <sub>1</sub>	Tier 2	0.015 (0.003)	2.79E-09	0.97 (0.95-0.98)	2.56E-06			
SUOX	rs772920	12:56390364	C/G	66.6%	FEV <sub>1</sub>	previous	0.015 (0.003)	6.76E-10	0.94 (0.93-0.96)	4.99E-16	rs1701704 <sup>68</sup>	0.888	
LRP1	rs11172113	12:57527283	T/C	58.9%	FEV <sub>1</sub> /FVC	previous	-0.023 (0.002)	7.04E-21	0.96 (0.95-0.98)	1.40E-07	rs3001426 <sup>70</sup>	0.578	+-•
SMAD3	rs8025774	15:67483276	T/C	22.4%	FVC	previous	-0.022 (0.003)	5.63E-15	0.94 (0.92-0.95)	6.89E-15			+-
SH3GL3	rs12438269	15:84502549	T/C	20.7%	FEV <sub>1</sub> /FVC	previous	0.031 (0.003)	5.97E-26	0.96 (0.94-0.97)	6.66E-07			
CLDN7	rs1215	17:7163350	A/G	85.7%	FVC	Tier 2	0.022 (0.003)	9.64E-11	0.93 (0.9-0.96)†	2.77E-06†			
TNFSF12-TNFSF13	rs4968200	17:7448457	C/G	14.2%	FEV <sub>1</sub>	Tier 2	-0.022 (0.003)	4.54E-11	1.05 (1.03-1.07)	1.90E-06			++
FBXL20	rs8067511	17:37611352	T/C	84.5%	FVC	previous	0.018 (0.003)	2.36E-08	0.94 (0.92-0.96)	7.00E-11			
MAPT-AS1	rs17577877	17:44208218	A/G	77.9%	FEV <sub>1</sub>	previous	0.042 (0.003)	4.71E-48	1.05 (1.02-1.08)†	3.51E-04†			-+

						Lung function (meta-analysis of UK Biobank & SpiroMeta)				Asthma Phe	Asthma published			
				Coded/	Coded		Novel/							
	Nearest gene	rsid	Position (b37)	Noncoded	Freq.	Phenotype	previous	Effect (se)	Р	OR (95% CI)	Р	rsid	r <sup>2</sup>	Directions
- [	SLC2A4RG	rs6062304	20:62351539	A/T	32.4%	FVC	previous	0.029 (0.003)	4.04E-31	0.97 (0.96-0.99)	1.84E-04	rs6011033 <sup>67</sup>	0.480	

## Supplementary Table 25: Results for PheWAS of weighted genetic risk score

## See Excel spreadsheet.

Results are given for 2,453 traits studied. The exposure was the 279-SNP weighted genetic risk score. Each trait was assigned a disease category='Final.Category'). Total sample sizes (N), as well as numbers of cases and controls are given. Odds ratios (OR) are given for binary traits, and beta coefficients are given for continuous traits. Confidence intervals (LCI95, UCI95) and P values are also provided, along with false discovery rates. 'FDR.Flag' denotes associations passing an FDR of <0.01. 'Quant.Resp.Trait' is a flag variable indicating PheWAS results for those SNPs featuring in the main GWAS. 'Figure.Name' denotes the short plain English label used in the Figure in the main text, allowing for cross reference.

# Supplementary Table 26: Look-up of new and previously reported lung function signals in GRASP and GWAS catalog

## See Excel spreadsheet.

Tabulated results of a lookup of sentinel variants and variants in their respective 99% credible sets against all associations P< 5x10<sup>-8</sup> in the EBI GWAS catalog (<u>https://www.ebi.ac.uk/gwas/</u>) and GRASP (<u>https://grasp.nhlbi.nih.gov/Overview.aspx</u>). Associations relating to methylation, expression, metabolite or protein levels, as well as associations with lung function were removed. The table first shows the ID and genomic position of the sentinel variant that was associated with the trait in question (either the sentinel variant, or one of its 99% credible set variants was associated with the trait). Next, the details of the association with lung function for this variant in the current study are shown (trait, whether the signal identified in Tier 1 or Tier 2). If this signal is not a novel signal, details of the original sentinel variant and trait are given. For retrieved studies mapping to the sentinel (or its credible set variants), all reported genes across the studies of interest are given, along with all traits, and the PUBMED IDs of the papers from which associations were retrieved.

#### Supplementary Table 27: LD score regression results

Results for the regression of each trait FEV1, FVC, FEV1/FVC and PEF against the LD score of each variant are shown. Total Observed scale h2: Estimate of heritability, Lambda GC: Usual lambda used for genomic control: inflation due to both confounding and polygenicity, Mean  $\chi 2$ : Mean  $\chi 2$  statistic from the association testing, Intercept: Intercept of the LD score regression (estimate of inflation due to confounding but not polygenicity; suggested as a more appropriate genomic-control factor), Ratio: Proportion of total inflation due to confounding (Intercept-1)/(Mean  $\chi 2$  -1). 95% confidence intervals are shown in brackets.

UK Biobank (n=321,047)	FEV <sub>1</sub>	FVC	FEV <sub>1</sub> /FVC	PEF
Total Observed scale h2	0.185 (0.173, 0.198)	0.187 (0.175, 0.199)	0.211 (0.19, 0.232)	0.155 (0.14, 0.17)
Lambda GC	1.841	1.841	1.841	1.695
Mean Chi^2	2.328	2.355	2.578	2.138
Intercept	1.119 (1.096, 1.142)	1.139 (1.113, 1.164)	1.193 (1.162, 1.225)	1.133 (1.106, 1.159)
Ratio	0.09 (0.072, 0.107)	0.102 (0.083, 0.121)	0.123 (0.103, 0.142)	0.117 (0.094, 0.139)

SpiroMeta (n=79,055)	FEV <sub>1</sub>	FVC	FEV <sub>1</sub> /FVC	PEF
Total Observed scale h2	0.126 (0.107, 0.145)	0.116 (0.097, 0.134)	0.095 (0.077, 0.113)	0.094 (0.055, 0.134)
Lambda GC	1.146	1.146	1.114	1.017
Mean Chi^2	1.194	1.178	1.141	1.017
Intercept	0.998 (0.983, 1.013)	1.003 (0.986, 1.019)	0.993 (0.979, 1.007)	0.972 (0.959, 0.986)
Ratio	<0	0.014 (-0.078, 0.106)	<0	<0

Meta-analysis (n up to 400,102)	FEV <sub>1</sub>	FVC	FEV <sub>1</sub> /FVC	PEF
Total Observed scale h2	0.154 (0.144, 0.165)	0.152 (0.142, 0.161)	0.152 (0.137, 0.167)	0.131 (0.118, 0.143)
Lambda GC	1.757	1.781	1.581	1.489
Mean Chi^2	2.291	2.261	2.272	1.919
Intercept	1.041 (1.018, 1.065)	1.04 (1.015, 1.065)	1.033 (1.006, 1.061)	1.006 (0.982, 1.031)
Ratio	0.032 (0.014, 0.05)	0.032 (0.012, 0.051)	0.026 (0.005, 0.048)	0.007 (-0.02, 0.034)

#### Supplementary Table 28: Weights for COPD risk score

## See Excel spreadsheet.

Weights for COPD risk score. Weights for each the 279 variants were selected from the FEV1/FVC ratio results for UK Biobank or SpiroMeta. The FEV1/FVC ratio decreasing allele was chosen (generally this will be the COPD risk *increasing* allele, and that is how the term is used in this paper). To minimise the risk of winner's curse bias, the study which was not used in the discovery of a given signal was used as the source of the weight. For previously reported signals, this meant that most weights were taken from UK Biobank (if UK Biobank was used in signal discovery, SpiroMeta was used to derive weights). For novel signals identified in this study, the source of weight depended on whether the signal was identified in the two-stage (Tier 1) approach, or the joint, one-stage (Tier 2) approach. SpiroMeta was the source of weights for two-stage signals, and for one-stage signals, the smallest absolute effect size from UK Biobank or SpiroMeta was chosen. Betas are the FEV1/FVC ratio effect size from the study defined in the column 'Source'. Weights were calculated as the beta for a given variant, divided by the sum of all 279 betas, multiplied by the number of variants (279), such that the sum of the weights added to 279.

# Supplementary Table 29: Single-variant associations for 279 SNPs with COPD susceptibility in UK Biobank, China Kadoorie Biobank, and a fixed-effect meta-analysis of five European-ancestry cohorts

This table shows association results between the 279 variants and COPD susceptibility in ancestral groups of UK Biobank (unrelated individuals), China Kadoorie Biobank, COPDGene African Americans, and results from the fixed-effect meta analyses of five European-ancestry cohorts (see also **Supplementary Figure 9**). Case and control numbers for each group studied are given above the column headings.

In UK Biobank, single-variant associations with COPD susceptibility were calculated separately for the 279 SNPs using SNPTEST v2.5. Associations were adjusted for age, age<sup>2</sup>, sex, height, smoking status, 10 principal components and genotyping array.

Abbreviations: Chr=Chromosome; BP=position (GRCh37); Risk=FEV<sub>1</sub>/FVC decreasing allele in GWAS; NonRisk=other allele; FreqRisk=allele frequency of risk allele in the 321,047 UK Biobank Europeans studied in the main GWAS; Beta=effect estimate; SE=standard error; P=P-value

Four variants were unavailable in China Kadoorie Biobank, and twelve additional variants required a proxy, given in the column 'CKB\_Proxy\_used', along with the corresponding risk allele for the proxy variant. Two variants were unavailable in UK Biobank Chinese subjects.

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