

BMJ Open

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (<http://bmjopen.bmj.com>).

If you have any questions on BMJ Open's open peer review process please email info.bmjopen@bmj.com

BMJ Open

Are 'dual users' who smoke and use e-cigarettes interested in using varenicline to stop smoking altogether, and can they benefit from it?

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2018-026642
Article Type:	Research
Date Submitted by the Author:	12-Sep-2018
Complete List of Authors:	Hajek, Peter; Queen Mary University of London, Tobacco Dependence Research Unit Peerbux, Sarrah; Queen Mary University of London Phillips-Waller, Anna; Queen Mary University of London Smith, Charlotte; Queen Mary University of London Pittaccio, Kate; Queen Mary University of London Przulj, Dunja; Queen Mary University of London,
Keywords:	vaping, varenicline, e-cigarettes, smoking cessation

SCHOLARONE™
Manuscripts

Peer Review Only

1
2
3 **Are 'dual users' who smoke and use e-cigarettes interested in using**
4 **varenicline to stop smoking altogether, and can they benefit from it?**
5

6 Peter Hajek, Sarrah Peerbux, Anna Phillips-Waller, Charlotte Smith, Kate
7 Pittaccio, Dunja Przulj*
8
9

10 Health and Lifestyle Research Unit, Wolfson Institute of Preventive Medicine,
11 Barts and The London School of Medicine and Dentistry, Queen Mary
12 University of London, 2 Stayner's Road, London E1 4AH, UK
13
14

15 Peter Hajek Professor of Clinical Psychology
16 Sarrah Peerbux Research Health Psychologist
17 Anna Phillips-Waller Research Manager
18 Charlotte Smith Research Health Psychologist
19 Kate Pittaccio Research Health Psychologist
20 Dunja Przulj, Post-doc Research Assistant
21
22

23
24 * Corresponding author
25

26 d.przulj@qmul.ac.uk
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Abstract

Objectives: It is not known whether smokers who use e-cigarettes (EC), or 'dual users', are interested in stop-smoking medications and whether they can benefit from them.

Design: Cohort follow-up monitoring changes in smoking, EC use and nicotine intake. Participants were posted questionnaires and saliva kits at baseline, three and six months. Those interested in varenicline were posted the medication alongside telephone support for 12 weeks.

Setting: UK, nationwide.

Participants: Dual users smoking at least 10 cigarettes/day for more than one year before initiating EC use and using EC for at least 1 month; and using both EC and cigarette separately or concurrently for at least 3 days/week.

Main outcome measure: Self-reported abstinence from smoking for at least three months at the six month follow-up.

Results: Of 204 participants, 60.7% expressed interest in varenicline and 39.2% started varenicline (varenicline users, VU). VU were more dependent smokers ($F=6.2$, $p=0.01$) with higher cigarette consumption ($F=8.7$, $p<0.004$) using higher nicotine strength e-liquids ($F=13.9$, $p<0.001$) than varenicline non-users (VN). VU were more likely than VN to report abstinence from smoking at 6 months (17.5% vs 4.8%, $p=0.006$, $RR=3.6$, 95%CI: 1.4-9.0), vaping (12.1% vs 1.6%, $p=0.007$, $RR=7.8$, 95%CI: 1.7 to 34.5) and both smoking and vaping (10.0% vs 1.6%, $p=0.02$, $RR=6.2$, 95%CI: 1.4-28.5). VU reported a greater reduction in enjoyment of vaping by the end of treatment ($F=4.7$, $p=0.03$) and recorded a greater reduction in nicotine intake than VN (for the whole sample at three months, $F=13.9$, $p<.001$; and for the whole sample and the subgroup of non-abstainers at six months, $F=26.5$, $p<.001$ and $F=17.9$, $p<.001$, respectively).

Conclusion: Varenicline is likely to promote successful abstinence from both smoking and vaping. A randomised trial is needed to confirm this. Among dual users who want to stop smoking, there is a high level of interest in smoking-cessation treatments.

Strengths and Limitations of the Study

- This study is the first to examine interest in varenicline among dual users and its effects on smoking and vaping
- The study collected objective measures of nicotine intake via salivary cotinine.
- The main limitation of the study is that it was not a randomized trial.
- The weekly support calls may have boosted the quit rate in the varenicline group

For peer review only

Background

Smokers who use e-cigarettes (EC) do so mostly to stop or reduce smoking. Among US adult EC users, 85% used EC to stop smoking or reduce health risks of smoking (1). Some of the smokers who initiate EC use (vaping) stop smoking soon after starting to use e-cigarettes, others abandon vaping, and some use both EC and cigarettes for various periods of time (2, 3). In these 'dual users', EC seem to provide rewards that are sufficient to maintain vaping, but not sufficient to stop smoking.

Compared to smokers who switch to vaping completely, dual users were reported to have lower education and income (4) and to be more likely to have smoking peers (5). They recourse to cigarettes in stressful situations and when rapid nicotine uptake is required (6, 7), but also in hedonic situations (8). They also typically have a history of failed quit attempts (9). It seems likely that dual users tend to be more dependent smokers who wish to stop smoking, but are finding smoking cessation difficult.

As dual users are typically interested in stopping smoking altogether, the question arises as to whether they could benefit from using stop-smoking medications. No data exist on whether dual users are interested in stop-smoking treatments and on their reactions to them. The present study was set up to collect the first information on this topic.

We examined what proportion of dual users are interested in using varenicline, and what impact such treatment has on smoking and vaping behaviour and on nicotine intake. Separately from these objectives, the study is also monitoring changes in vaping and smoking in dual users over an extended period of time, together with attitudinal and other measures. These results will be reported separately.

Methods

Aims

To assess interest among dual users in using varenicline to stop smoking altogether and to monitor changes in smoking and vaping in those who did and those who did not opt for varenicline treatment.

Study design

Cohort follow-up study.

Participants

Participants were recruited via Facebook advertising and leaflets between November 2015 and January 2017. The patient information sheet explained

1
2
3 that some smokers who start to use e-cigarettes (EC) continue using
4 conventional cigarettes (CC) as well and that little is known about the way
5 such use develops over time. It is also not clear how many dual users
6 succeed in stopping smoking altogether and what proportion is interested in
7 receiving stop-smoking medication to do so. Dual users are being invited to
8 take part in the study to clarify these issues.
9

10
11 Dual users were eligible to take part if they were aged 18 years and over;
12 smoked at least 10 cigarettes a day for more than one year prior to initiating
13 EC use; have been using EC for at least 1 month; were using both products
14 (EC and CC) separately or concurrently for at least 3 days a week; and were
15 interested in stopping smoking altogether.
16
17

18 **Procedures**

19 Potential participants were screened over the telephone or via e-mail. If
20 eligible, they were posted study details and the consent form, together with
21 the baseline questionnaire and saliva kit. When the study team received the
22 questionnaire and saliva samples, those participants interested in receiving
23 varenicline were screened by the clinic doctor to confirm that they could be
24 provided with the medication. All participants interested in using varenicline
25 were eligible to receive it. Participants were then called to confirm that they
26 were still interested in using varenicline, and if they were, they were informed
27 that the medication (the initial four-week supply) would be posted to them.
28
29

30
31 They were asked to call the study team on receipt of the medication and
32 before they started using it. At this call, they were guided in setting up their
33 target quit day (TQD) 1-2 weeks later, and in starting to use the medication.
34 They then received brief telephone calls weekly over the first six weeks,
35 followed by three calls at fortnightly intervals. The content of the calls followed
36 the standard practice of telephone support at the participating stop-smoking
37 clinic, i.e. monitoring medication use and whether further supplies are needed,
38 and providing motivational support. The calls also collected data on CC and
39 EC use. Medication was posted as needed for up to three months.
40
41

42
43 All participants (whether using varenicline or not) were contacted by
44 telephone or e-mail at three and six 6 months. Saliva sampling kits and study
45 questionnaires were posted to them with a request to call the study team
46 when the materials were received. The package included £20 at baseline and
47 £10 at three and six months as a compensation for participant's effort and
48 time.
49
50

51
52 Patients and/or the public have not been involved in this study thus far.
53
54
55
56
57

Stop-smoking medication

Varenicline was provided by the manufacturer Pfizer. The dosing was as per product labelling.

Measures

The baseline questionnaire recorded demographic details, health status, smoking history and cigarette dependence assessed via Fagerstrom Test of Cigarette Dependence (FTCD) (10), vaping history and interest in using varenicline.

Participants who opted for varenicline were asked about their varenicline use (used as prescribed: Yes or No) and about their smoking and vaping each week.

At three and six months follow-ups, all participants were asked about average number of cigarettes smoked per day and EC cartridges or ml e-liquid used per day.

Participants who were still smoking and/or vaping were asked about their enjoyment of smoking and vaping, i.e. "How much do you enjoy smoking/vaping?" on a scale of 1-10 where 1=not at all and 10=extremely.

Salivary samples were collected at baseline and at three and six months, and assayed for cotinine and anabasine at ABS laboratories Ltd. UK.

Table 1 shows the schedule of assessments.

Table 1: Schedule of assessments

	Baseline	3 M	6M
Measures/ procedures			
Baseline questionnaire	X		
Interest in receiving varenicline	X		
Salivary cotinine and anabasine	X	X	X
Smoking/vaping status/rate *	X	X	X
Enjoyment of smoking and vaping *	X	X	X

*Measures collected also at each phone call with participants opting for treatment

Sample size and data analysis

No data exist on the level of interest among dual users in receiving assistance in smoking cessation, or on the effects of varenicline in this population. We opted for recruiting at least 200 dual users to obtain key estimates with reasonable confidence intervals.

Changes in smoking and vaping variables, and differences between subgroups of participants who did and did not opt for varenicline treatment, were assessed using analysis of variance for continuously distributed endpoints and chi-square tests for categorical endpoints.

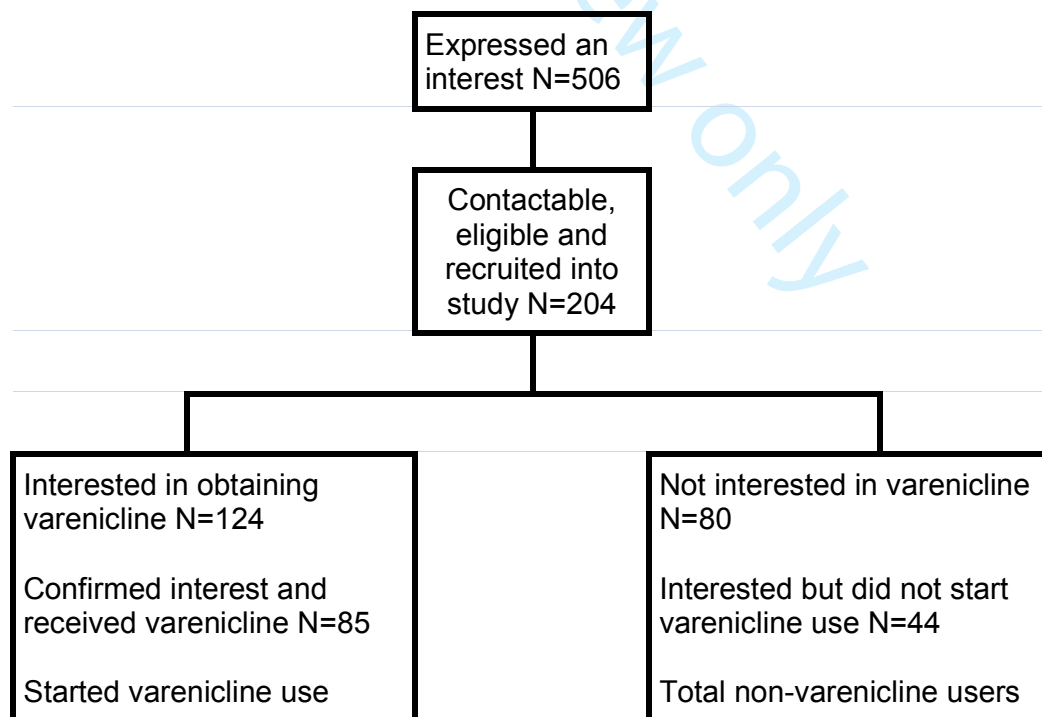
The primary outcome was self-reported abstinence from smoking for at least three months at the six months follow-up. We also assessed abstinence from vaping and from both smoking and vaping. As sensitivity analyses, we assessed self-reported abstinence over the previous one months and over the previous seven days. We did not include abstinence measures linked to a TQD because only the varenicline users were asked to set up a TQD. Participants lost to follow-up were included as non-abstainers.

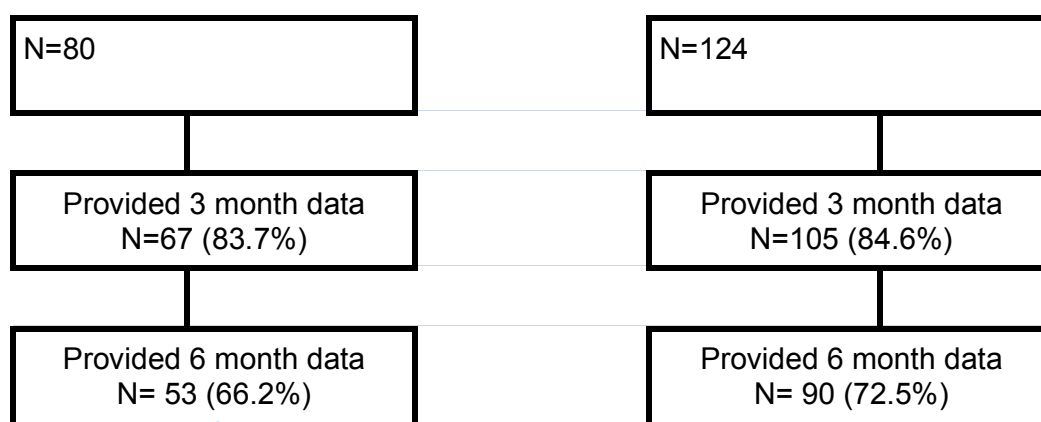
The study was approved by the NHS Research Ethics Committee, England (reference number: 15/WM/0334).

Results

We did not turn down responders who contacted us after the final advertising wave and recruited 204 participants in total. The participants flow is shown in Figure 1.

Figure 1: Participant flow chart





Of the 204 participants, 124 (61%) expressed an initial interest in receiving varenicline, 85 (42%) confirmed their interest and were sent the medication; and 80 (39%) started treatment. Of the five who received the medication but decided not to start using it, three were experiencing stressful events and felt this was not the right time to start stop-smoking treatment, one stopped smoking on their own in the meantime, and one lost the medications and later dropped out of the study.

Table 1 shows baseline characteristics of the subgroups that started and did not start varenicline use. Dual users who opted for varenicline were more dependent smokers with higher cigarette consumption who were using higher nicotine strength e-liquids.

Table 1. Baseline characteristics of participants who did and did not use varenicline

	Used varenicline (N=80)	Did not use v. (N=124)	Difference
Age (SD)	33.6 (11.6)	30.4 (11.2)	F=3.8, p=0.05
% women	28.7%	30.6%	$\chi^2 = 0.08$ p=0.77
% in full-time employment	72.5%	75.0%	$\chi^2 = 0.01$ p=0.92
FTCD (SD)	4.9 (2.2)	4.1 (2.1)	F=6.2, p=0.01
Ethnicity (% white British)	93.8%	90.3%	$\chi^2 = 0.8$ p=0.39
CPD (SD) now	11.6 (5.1)	9.2 (6.0)	F=8.7, p<0.01
CPD (SD) before	20.7 (10.1)	22.4 (8.6)	F=1.7,

starting to vape			p=0.20
Enjoyment of smoking(SD)	6.2 (2.4)	6.1 (2.5)	F=0.09 p=0.77
Enjoyment of vaping(SD)	7.2 (2.3)	7.5 (2.3)	F=0.69 p=0.41
Months of vaping 22(SD)	18 (13.6)	22 (36.9)	F=1.01 p=0.32
E-liquid nicotine concentration (mg/ml) (SD)	13.9 (9.9)	9.3 (7.4)	F=13.9, p<0.001
Using refillable EC (%)	95.0%	91.9%	$\chi^2 = 3.4$ p=0.19

CPD=Cigarettes per day

FTCD=Fagerstrom Test of Cigarette Dependence

Table 2 shows the proportions of participants who stopped smoking, vaping or both at each time point. Participants who used varenicline were more likely to stop smoking, stop vaping, or stop both at all time points.

Table 2: Cessation of smoking and vaping in participants who did and did not use varenicline

	% (N) Used v (N=80)	% (N) Did not use v (N=124)	p-value, RR and 95%CI
Stopped smoking			
3M – past 7 days	43.8% (35)	8.9% (11)	P<0.001, RR=4.9 [2.7,9.1]
3M – past 30 days	32.5% (26)	5.6% (7)	P<0.001,RR=5.8 [2.6,12.6]
6M – past 7 days	31.3% (25)	10.5% (13)	P<0.001, RR=3.0 [1.6, 5.5]
6M – past 90 days	17.5% (14)	4.8% (6)	P= 0.006, RR=3.6 [1.4,9.0]
Stopped vaping			
3M – past 7 days	23.8% (19)	3.2% (4)	P<0.001, RR=7.4 [2.6,20.9]
3M – past 30 days	18.7% (15)	1.6% (2)	P<0.001, RR=11.6[2.7,49.5]
6M – past 7 days	25% (20)	3.2% (4)	P<0.001, RR=10.3 [3.2,33.6]
6M – past 90 days	12.1% (10)	1.6% (2)	P=0.007, RR=7.8 [1.7,34.5]

Stopped both			
3M – past 7 days	20.0% (16)	1.6% (2)	P<0.001, RR=12.4 [3.0,52.5]
3M – past 30 days	16.3% (13)	1.6% (2)	P=0.002 RR=10.1 [2.3,43.5]
6M – past 7 days	16.3% (13)	1.6% (2)	P=0.002, RR=10.1 [2.3,43.5]
6M – past 90 days	10.0% (8)	1.6% (2)	P=0.02, RR=6.2 [1.4,28.5]

* Primary outcome

M= Months

In the analysis above, 44 people who expressed interest in varenicline but did not start using it were included among non-users. To examine a possibility that this subsample may have been people less likely to modify their smoking and vaping, we conducted a sensitivity analysis with the 44 participants excluded. The results were virtually identical.

We were able to verify self-reports of abstinence in participants who claimed to have stopped both smoking and vaping via cotinine assays. There were four participants who failed validation at three months (3 in the varenicline group and one in no varenicline group) and one participant who failed validation at 6 months (varenicline group). Including participants who failed validation as non-abstainers did not change the results much (RR=6.2 to RR=20.2; p=0.02 to p<0.001).

Table 3 shows varenicline use among all participants who started the medication and among those who were abstinent from smoking at three months, by the end of the varenicline use period. Adherence to varenicline treatment was relatively high, with even some of the smokers who failed to quit completely continuing varenicline use for the full three months.

Table 3: Adherence to varenicline treatment (N, %)

Week post-TQD	All v. users (N=80)	Quitters at three months* (N=26)	Non-quitters (N=54)
1	46 (57.5%)	22 (84.6%)	24 (44.4%)
2	41 (51.2%)	20 (76.9%)	21 (38.8%)
3	30 (37.5%)	16 (61.5%)	14 (25.9%)
4	31 (38.7%)	15 (57.6%)	16 (29.6%)
6	23 (28.7%)	15 (57.6%)	8 (14.8%)
8	14 (17.5%)	8 (30.7%)	6 (11.1%)

10	12 (15.0%)	7 (26.9%)	5 (9.2%)
12	19 (24%)	9 (35%)	10 (19%)

*no smoking in last 30 days

TQD= Target Quit Day

Table 4 shows changes in enjoyment of vaping and smoking by the end of the varenicline use period at three months in participants who were still using their products and who provided the ratings. The varenicline group recorded bigger reductions in enjoyment of both smoking and vaping, but this only reached significance for reduced enjoyment of vaping.

Table 4. Changes in enjoyment of smoking and vaping compared to baseline at three months in participants who still smoked/vaped

	Used v	Did not use v	Difference
Mean difference in enjoyment of <i>vaping</i> from baseline (SD)	-0.8 (2.2) N=46	-0.2 (1.5) N=99	F=4.7 p=0.032
Mean difference in enjoyment of <i>smoking</i> from baseline (SD)	-1.3 (2.8) N=28	-0.5 (2.1) N=90	F=2.2 p=0.14

Table 5 shows changes in cotinine levels in the two groups. We obtained usable cotinine samples from 135 participants at 3M and 115 at 6M. Varenicline use was associated with a significant reduction in nicotine intake and the effect persisted at 6 months. Among the subsamples of participants who continued to smoke, the varenicline group reduced their nicotine intake at both time points while the other group increased it, although the difference was only significant at six months.

Table 5. Change from baseline in cotinine levels (ng/ml) in participants who used and did not use varenicline

	3M		All	6M		All
	Abstainers from smoking*	Non-abstainers		Abstainers from smoking**	Non-abstainers	
<i>Used varenicline</i>						
Baseline (SD)	275 (107)	269 (132)	272 (121)	261 (101)	320 (132)	302 (125)
Follow-up (SD)	100 (145)	245 (202)	187 (194)	90 (101)	245 (176)	198 (172)
Difference	-175	-24	-85	-171	-75	-104

(N)	N=21	N=30	N=51	N=13	N=30	N=43
<i>Did not use varenicline</i>						
Baseline (SD)	298 (153)	299 (171)	298 (168)	397 (174)	293 (181)	301 (180)
Follow-up (SD)	257 (158)	325 (182)	319 (181)	300 (281)	327 (179)	324 (184)
Difference	-41	+26	+21	-97	+34	+23
(N)	N=7	N=77	N=84	N=5	N=67	N=72
<i>Difference between v users and non-users</i>	F=3.4 P=0.076	F=2.2 P=0.145	F=13.9 p<0.001	F=0.8 P=0.39	F=17.9 P<0.001	F=26.5 P<0.001

*no smoking in last 30 days

**no smoking in last 90 days

+/- indicates increase or decrease from baseline, respectively

We obtained usable anabasine samples from 126 participants at 3M and 109 at 6M, but salivary anabasine turned out not be a sensitive enough marker, with a very narrow range of very low values and a number of zero readings in participants who reported regular smoking (varenicline users and non-users had a drop in anabasine levels of 0.5 [SD=1.7] vs 0.3 [SD=1.8] ng/ml, $p=0.51$ at 3M; and 0.3 [SD=2.6] vs 0.1 [SD=2.3] ng/ml, $p=0.59$ at 6M).

Discussion

A large proportion of dual users (61%) expressed interest in using varenicline to help them stop smoking altogether, with 39% starting treatment. Compared to the rest of the sample, dual users who used varenicline had much higher rates of quitting smoking as well as quitting vaping.

The substantial interest among dual users in using a stop smoking medication was unexpected. We assumed initially that because dual users opted for EC in preference to licensed medications (that are offered by the National Health Service and local stop-smoking services virtually free in the UK), that they would show limited interest in using them. The majority however were interested. Attempts at health behaviour changes are often characterised by gaps between intentions and actions and in this case, over a third of those who initially expressed interest did not progress to actual use. This however still left 39% of the sample initiating varenicline treatment.

Dual users who started varenicline treatment were heavier smokers with higher tobacco dependence who were using higher strength nicotine liquids

1
2
3 than the rest of the sample. It seems likely that this subsample was finding
4 reducing or quitting smoking more difficult than the rest of the cohort, but they
5 may have also been more motivated to do so. A randomised trial would be
6 needed to control for such variables. It is possible that the effect of varenicline
7 would be even stronger because the groups would be matched for
8 dependence, but it could also be weaker if the current results were influenced
9 by differences in motivation to quit smoking.
10
11

12
13 The difference in quitting nicotine use between participants who did and those
14 who did not use varenicline was remarkably large (RR=3.6 for stopping
15 smoking; RR=7.8 for stopping vaping, and RR=6.2 for stopping both for at
16 least the past three months at the six month follow-up).
17
18

19 It was hypothesized that one of the key moderators of the effect of varenicline
20 on stopping smoking is its effect on reducing urges to smoke (11). We were
21 unable to monitor withdrawal symptoms in non-varenicline users during the
22 acute withdrawal phase, but we collected ratings of enjoyment of smoking and
23 vaping at different time points. At the end of the varenicline use period at
24 three months, the varenicline group was reporting a significantly greater
25 reduction in enjoyment of vaping, while the difference in reduction of
26 enjoyment of smoking did not reach statistical significance. The varenicline
27 effect on vaping cessation also appeared stronger than its effect on cessation
28 of smoking. The data tally with the previous findings suggesting that the
29 medication exerts its influence in part at least by reducing the reward from
30 nicotine.
31
32
33
34

35 This is further supported by the finding that varenicline use was associated
36 with a reduction in nicotine intake, indexed by salivary cotinine, at all time
37 points. An activity that generates less reward can be expected to subside. A
38 recent trial of nicotine replacement 'preloading' (use of nicotine patches for
39 four weeks while participants continue to smoke ad-lib) identified the reduction
40 in urges to smoke before and after stopping smoking and a reduction in
41 smoke intake as mediators of the treatment effect on abstinence (12). This
42 tallies closely with the present findings.
43
44
45

46 The finding that the varenicline group showed a reduction in nicotine intake in
47 non-abstainers at six months is more difficult to interpret. The experience with
48 varenicline may have had some kind of on-going impact that continued even
49 after the medication ceased, but this could also be a chance finding.
50
51

52 The main limitation of the study is that this was not a randomised comparison.
53 As discussed above, dual users opting for varenicline may have been more
54 motivated to stop smoking than others, although interest in stopping smoking
55 was the inclusion criterion. Participants in the varenicline group also received
56
57
58
59
60

1
2
3 weekly support and this could have made them more likely to respond to
4 follow-up calls and less likely to drop out than the rest of the sample. This
5 however was not the case, the follow-up rates were in fact slightly higher in
6 the non-varenicline group. The weekly support calls may have boosted the
7 quit rate in the varenicline group, although telephone support on its own has
8 been shown to have only modest long-term effects (13).
9

10
11 We were able to validate smoking status in people who claimed to have
12 stopped both smoking and vaping via salivary cotinine, but salivary anabasine
13 turned out not be an accurate enough measure. In dual users, nicotine and its
14 metabolites cannot be used to verify abstinence from smoking, but future
15 studies may consider using urinary index of exposure to tobacco-specific
16 nitrosamine NNK for this purpose (14). With no biochemical confirmation of
17 abstinence from smoking in EC users, the study relied on self-reports and this
18 could have introduced a bias, although we did detect significant differences
19 between the groups in cotinine levels.
20
21
22
23

24 Another possible source of bias that may have affected our results is that only
25 the varenicline group set up a formal quit date. This would have a major
26 influence on the usual indicators of sustained abstinence rates timed from the
27 TQD because only one group was asked to quit on that day. The varenicline
28 effect however was also present when looking at abstinence during months
29 three to six, and also when looking at abstinence for just the past seven days
30 at six months. In the sample of people intending to stop smoking, such
31 measures that are not linked to an early quit date should be less vulnerable to
32 any such effect. The issue of having or not having TQD could be also
33 expected to have little impact on EC use because participants were not asked
34 to stop or reduce vaping. The fact that varenicline use was associated with a
35 similar effect size with regard to quitting vaping mitigates the concern.
36
37
38
39

40 In summary, varenicline offered to dual users is likely to promote successful
41 abstinence from both smoking and vaping, although a randomised trial is
42 needed to confirm the finding. Among dual users who intend to stop smoking
43 altogether, there is a high level of interest in smoking cessation treatments.
44
45
46
47

48 **Funding**

49 The work was supported by an investigator-initiated grant from Pfizer (grant
50 number: WII97671). The funder had no involvement in the study design,
51 conduct, or data analysis.
52
53
54

55 **Conflicts of interest**

56
57
58
59
60

1
2
3 All authors have completed the ICMJE uniform disclosure form at
4 http://www.icmje.org/coi_disclosure.pdf and declare: support from Pfizer for
5 the work; no financial relationships with any organisations that might have an
6 interest in the submitted work in the previous three years; and other
7 relationships or activities that could appear to have influenced the submitted
8 work (PH has provided consultancy to manufacturers of stop-smoking
9 medications).

11 **Contributions**

12 All authors contributed to the planning and conduct of the work, and DP, PH,
13 KP and SP contributed to the reporting of the work. PH and DP are
14 responsible for the overall content as guarantors. The guarantors accept full
15 responsibility for the work and/or the conduct of the study, had access to the
16 data, and controlled the decision to publish. The corresponding author attests
17 that all listed authors meet authorship criteria and that no others meeting the
18 criteria have been omitted.

22 **Data Sharing**

23 Data is available upon request from the corresponding author.

26 **References**

- 29 1. Patel D, Davis KC, Cox S, Bradfield B, King BA, Shafer P, et al.
30 Reasons for current E-cigarette use among US adults. *Preventive medicine*.
31 2016;93:14-20.
- 32 2. Etter JF. Electronic cigarettes: a survey of users. *BMC Public Health*.
33 2010;10:231.
- 34 3. Etter JF, Bullen C. Electronic cigarette: users profile, utilization,
35 satisfaction and perceived efficacy. *Addiction*. 2011;106(11):2017-28.
- 36 4. Schoren C, Hummel K, Vries Hd. Electronic cigarette use: comparing
37 smokers, vapers, and dual users on characteristics and motivational factors.
38 *Tobacco Prevention & Cessation*. 2017;3(April).
- 39 5. Cooper M, Case KR, Loukas A, Creamer MR, Perry CL. E-cigarette
40 dual users, exclusive users and perceptions of tobacco products. *American*
41 *journal of health behavior*. 2016;40(1):108-16.
- 42 6. Adriaens K, Van Gucht D, Baeyens F. Differences between Dual Users
43 and Switchers Center around Vaping Behavior and Its Experiences Rather
44 than Beliefs and Attitudes. *International journal of environmental research and*
45 *public health*. 2017;15(1):12.
- 46 7. Pokhrel P, Herzog TA, Muranaka N, Regmi S, Fagan P. Contexts of
47 cigarette and e-cigarette use among dual users: a qualitative study. *BMC*
48 *public health*. 2015;15(1):859.
- 49 8. Rass O, Pacek LR, Johnson PS, Johnson MW. Characterizing use
50 patterns and perceptions of relative harm in dual users of electronic and
51 tobacco cigarettes. *Experimental and clinical psychopharmacology*.
52 2015;23(6):494.

- 1
- 2
- 3 9. Maglia M, Caponnetto P, Di Piazza J, La Torre D, Polosa R. Dual use
- 4 of electronic cigarettes and classic cigarettes: a systematic review. *Addiction*
- 5 *Research & Theory*. 2017:1-9.
- 6 10. Fagerström K. Determinants of tobacco use and renaming the FTND to
- 7 the Fagerström Test for Cigarette Dependence. *Nicotine & Tobacco*
- 8 *Research*. 2011;14(1):75-8.
- 9 11. Hajek P, McRobbie HJ, Myers KE, Stapleton J, Dhanji A-R. Use of
- 10 varenicline for 4 weeks before quitting smoking: decrease in ad lib smoking
- 11 and increase in smoking cessation rates. *Archives of internal medicine*.
- 12 2011;171(8):770-7.
- 13 12. The Preloading Investigators. Effects on abstinence of nicotine patch
- 14 treatment before quitting smoking: parallel, two arm, pragmatic randomised
- 15 trial. *Bmj*. 2018;361:k2164.
- 16 13. Stead LF, Perera R, Lancaster T. Telephone counselling for smoking
- 17 cessation. *Cochrane Database Syst Rev*. 2006;3(3).
- 18 14. Goniewicz ML, Smith DM. Are Some E-Cigarette Users “Blowing
- 19 Smoke”? Assessing the Accuracy of Self-Reported Smoking Abstinence in
- 20 Exclusive E-Cigarette Users. *Nicotine & Tobacco Research*. 2018:nty085-nty.
- 21
- 22
- 23
- 24
- 25
- 26
- 27
- 28
- 29
- 30
- 31
- 32
- 33
- 34
- 35
- 36
- 37
- 38
- 39
- 40
- 41
- 42
- 43
- 44
- 45
- 46
- 47
- 48
- 49
- 50
- 51
- 52
- 53
- 54
- 55
- 56
- 57
- 58
- 59
- 60

BMJ Open

Are 'dual users' who smoke and use e-cigarettes interested in using varenicline to stop smoking altogether, and can they benefit from it? A cohort study of UK vapers.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2018-026642.R1
Article Type:	Research
Date Submitted by the Author:	21-Jan-2019
Complete List of Authors:	Hajek, Peter; Queen Mary University of London, Tobacco Dependence Research Unit Peerbux, Sarrah; Queen Mary University of London Phillips-Waller, Anna; Queen Mary University of London Smith, Charlotte; Queen Mary University of London Pittaccio, Kate; Queen Mary University of London Przulj, Dunja; Queen Mary University of London,
Primary Subject Heading:	Smoking and tobacco
Secondary Subject Heading:	Addiction, Public health
Keywords:	vaping, varenicline, e-cigarettes, smoking cessation

SCHOLARONE™
Manuscripts

1
2
3 **Are 'dual users' who smoke and use e-cigarettes interested in using**
4 **varenicline to stop smoking altogether, and can they benefit from it? A**
5 **cohort study of UK vapers.**
6
7

8 Peter Hajek¹, Sarrah Peerbux¹, Anna Phillips-Waller¹, Charlotte Smith¹, Kate
9 Pittaccio¹, Dunja Przulj^{1*}

10
11
12 * Corresponding author
13 d.przulj@qmul.ac.uk
14
15

16 ¹Health and Lifestyle Research Unit
17 Wolfson Institute of Preventive Medicine
18 Barts and The London School of Medicine and Dentistry
19 Queen Mary University of London
20 2 Stayner's Road
21 London E1 4AH
22 UK
23
24
25
26
27
28
29

30 **Acknowledgements**

31 The study was funded by an investigator-initiated grant from Pfizer. The
32 funder had no involvement in the study design, conduct, or data analysis.
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Abstract

Objectives: Smokers who use e-cigarettes (EC) do so mostly to stop smoking, but many continue to use both products. It is not known whether these 'dual-users' are interested in stop-smoking medications and whether they can benefit from them.

Setting, participants and measures: Dual-users were recruited over social media and posted study questionnaire and saliva kits at baseline, three and six months. Those interested in varenicline were posted the medication and received weekly calls over the first six weeks, followed by three calls at fortnightly intervals.

Results: Of 204 participants, 124 (60.7%, CI= 54%-68%) expressed interest in receiving varenicline and 80 (39.2%, CI=32%-45%) started varenicline (varenicline users, VU). VU were more dependent smokers ($F=6.2$, $p=0.01$) with higher cigarette consumption ($F=8.7$, $p<0.004$) who were using stronger nicotine e-liquids ($F=13.9$, $p<0.001$) than dual-users not opting for varenicline (varenicline non-users, VN). In terms of abstinence for at least three months at the six-month follow-up, VU were more likely than VN to report abstinence from smoking (17.5% vs 4.8%, $p=0.006$, RR=3.6, CI:1.4-9.0), vaping (12.1% vs 1.6%, $p=0.007$, RR=7.8, CI:1.7-34.5) and both smoking and vaping (8.8% vs 0.8%, $p=0.02$, RR=10.9, CI:1.4-86.6). The differences were significant across sensitivity analyses (RRs=4.9 to 14.0; $p=0.01$ to $p<0.001$ at three months; RRs=3.0 to 14.0; $p=0.01$ to $p<0.001$ at six months). VU reported a greater reduction in enjoyment of vaping by the end of the varenicline use period ($F=4.1$, $p=0.04$) and recorded a significantly greater reduction in nicotine intake than VN at three months, ($F=13.9$, $p<.001$) and six months, ($F=26.5$, $p<.001$).

Conclusion: Varenicline offered to dual-users is likely to promote successful abstinence from both smoking and vaping, although a randomised trial is needed to confirm this. Among dual-users who want to stop smoking, there seems to be a high level of interest in smoking-cessation treatments.

Article Summary

Strengths and Limitations of this study:

- The main strength is that this was the first study of this kind and provides new insights into dual users' interest in stop-smoking treatment and its effects.
- The main limitation is that this was not a randomised comparison.
- Recruitment via social media may have attracted a sample with characteristics that are not representative of the wider population of dual users and the generalisability of the results is thus unclear.

For peer review only

Background

Smokers who use e-cigarettes (EC) do so mostly to stop or reduce smoking. E.g. among US adult EC users, 85% used EC to stop smoking or reduce health risks of smoking (1). Some of the smokers who initiate EC use (vaping) stop smoking soon after starting to use EC, others abandon vaping, and some use both EC and cigarettes for various periods of time (2, 3). In these 'dual users', EC seem to provide rewards that are sufficient to maintain vaping, but not sufficient to stop smoking.

Compared to smokers who switch to vaping completely, dual users were reported to have lower education and income (4) and to be more likely to have smoking peers (5). They recourse to cigarettes in stressful situations and when rapid nicotine uptake is required (6, 7), but also in hedonic situations (8). They also typically have a history of failed quit attempts (9). It seems likely that dual users tend to be more dependent smokers who wish to stop smoking, but are finding smoking cessation difficult.

As dual users are typically interested in stopping smoking altogether, a question arises whether they could benefit from using stop-smoking medications. No data exist on whether dual users are interested in stop-smoking treatments and on their reactions to them. The present study was set up to collect the first information on this topic.

In this exploratory study, we examined what proportion of dual users are interested in using varenicline, and what impact such treatment has on smoking and vaping behaviour and on nicotine intake. Separately from these objectives, the study is also monitoring changes in vaping and smoking in dual users over an extended period of time, together with attitudinal and other measures. These results will be reported separately.

Methods

Aims

To assess interest among dual users in using varenicline to stop smoking altogether and to monitor changes in smoking and vaping in those who did and those who did not opt for varenicline treatment.

Study design

Cohort follow-up study.

Participants

Participants were recruited via Facebook advertising and leaflets between November 2015 and January 2017. The patient information sheet explained

1
2
3 that some smokers who start to use e-cigarettes (EC) continue using
4 conventional cigarettes (CC) as well and that little is known about the way
5 such use develops over time. It is also not clear how many dual users
6 succeed in stopping smoking altogether and what proportion is interested in
7 receiving stop-smoking medication to do so. Dual users are being invited to
8 take part in the study to clarify these issues.
9
10

11
12 Dual users were eligible if they smoked at least 10 cigarettes a day for more
13 than one year prior to initiating EC use; have been using EC for at least 1
14 month; were using both products (EC and CC) separately or concurrently for
15 at least 3 days a week; and were interested in stopping smoking altogether.
16
17
18

19 **Procedures**

20 Potential participants were screened over the telephone or e-mail. If eligible,
21 they were posted study details and the consent form, together with the
22 baseline questionnaire and saliva kit. When the study team received the
23 questionnaire and saliva samples, the medical record part of the
24 questionnaire of participants interested in receiving varenicline was screened
25 to confirm that they can be provided with the medication. All participants
26 interested in using varenicline were eligible for receiving it. The participants
27 were then called to confirm that they remain interested in using the medication
28 and if they were, they were informed that the medication (the initial four-week
29 supply) is being posted to them; and they were asked to call the study team
30 on receipt of the medication and before they started using it.
31
32
33
34
35

36 When participants received the medication and called back, they were guided
37 in setting up their target quit day (TQD) 1-2 weeks later and in starting to use
38 the medication. They then received brief telephone calls weekly over the first
39 six weeks, followed by three calls at fortnightly intervals. The content of the
40 calls followed the standard practice of telephone support at the participating
41 stop-smoking clinic, i.e. monitoring medication use and whether further
42 supplies are needed, and providing motivational support. The calls also
43 collected data on CC and EC use. Medication was posted as needed for up to
44 three months. Participant up-titrated varenicline use from ½ mg per day for
45 three days through ½ mg twice per day for the rest of the first week and to
46 1mg twice a day for the rest of the course, as per product labelling.
47
48
49
50
51

52 All participants (whether asking for varenicline or not) were contacted by
53 telephone or e-mail at three and six months. Saliva sampling kits and study
54 questionnaires were posted to them with a request to call the study team
55 when the materials were received. The package included £20 at baseline and
56 £10 at three and six months as a compensation for participant's effort and
57 time.
58
59
60

Stop-smoking medication

Varenicline was provided by the manufacturer Pfizer. The dosing was as per product labelling.

Measures

The baseline questionnaire recorded demographic details, health status, smoking history and cigarette dependence assessed via Fagerstrom Test of Cigarette Dependence (FTCD) (10), vaping history and interest in using varenicline.

Participants who opted for varenicline were asked about their varenicline use (used as prescribed: Yes or No) and about their smoking and vaping each week.

At three and six month follow-ups, all participants were asked about average number of cigarettes smoked per day and EC cartridges or ml e-liquid used per day.

Participants who were still smoking and/or vaping were asked about their enjoyment of smoking and vaping, i.e. "How much do you enjoy smoking/vaping?" on a scale of 1-10 where 1=not at all and 10=extremely.

Salivary samples were collected at baseline and at three and six month follow ups and assayed for cotinine and anabasine at ABS laboratories Ltd. UK.

Table 1 shows the schedule of assessments.

Table 1: Schedule of assessments

	Baseline	3 M	6M
Measures/ procedures			
Baseline questionnaire	X		
Interest in receiving varenicline	X		
Salivary cotinine and anabasine	X	X	X
Smoking/vaping status/rate *	X	X	X
Enjoyment of smoking and vaping *	X	X	X

*Measures collected also at each phone call with participants opting for treatment

Sample size and data analysis

No data exist on the level of interest among dual users in receiving assistance in smoking cessation, or on the effects of varenicline in this population. We opted for recruiting at least 200 dual users to obtain key estimates with reasonable confidence intervals. For instance, if 10% of the respondents

would be interested in using varenicline, this sample size would provide 95% probability of the true population proportion falling within the range of 5.8% to 14.1%.

Changes in smoking and vaping variables, and differences between subgroups of participants who did and did not opt for varenicline treatment, were assessed using analysis of variance for continuously distributed endpoints and chi-square tests for categorical endpoints. Risk Ratios and 95% confidence intervals were also calculated for abstinence outcomes.

The primary outcome was self-reported abstinence from smoking (not a single puff) for at least three months at the six months follow-up. We also assessed abstinence from vaping and from both smoking and vaping. As sensitivity analyses, we assessed self-reported abstinence over the previous month and over the previous seven days. We did not include abstinence measures linked to a TQD because only the varenicline users were asked to set up a TQD. Participants lost to follow-up were included as non-abstainers. Missing data for other variables were not imputed.

Patient and public involvement

The study was informed by discussions with participants at our previous trials and with patients attending our clinics.

The study was approved by the NHS Research Ethics Committee, England (reference number: 15/WM/0334).

Results

We did not turn down responders who contacted us after the final advertising wave and recruited 204 participants in total. The participants flow is shown in Figure 1.

Figure 1: Participant flow chart

Of the 204 participants, 124 (61%; 95% CI= 54%-68%) expressed the initial interest in receiving varenicline, 85 (42%; 95%CI=35%-48%) were reached (by text, phone or e-mail), confirmed their interest and were sent the medication; and 80 (39%; 95% CI=32%-45%) started treatment. Of the five who received the medication but decided not to start using it, three were experiencing stressful events and felt this was not the right time to start stop smoking treatment, one stopped smoking on their own in the meantime, and one lost the medications and later dropped out of the study.

Table 2 shows baseline characteristics of the subgroups that started and did not start varenicline use. Dual users who opted for varenicline were more dependent smokers with higher cigarette consumption who were using higher nicotine strength e-liquids.

Table 2. Baseline characteristics of participants who did and did not use varenicline

	Used varenicline (N=80)	Did not use v. (N=124)	Difference
Age (SD)	33.6 (11.6)	30.4 (11.2)	F=3.8, p=0.05
% women	28.7%	30.6%	$\chi^2 = 0.08$ p=0.77
% in full-time employment	72.5%	75.0%	$\chi^2 = 0.01$ p=0.92
FTCD (SD)	4.9 (2.2)	4.1 (2.1)	F=6.2, p=0.01
Ethnicity (% white British)	93.8%	90.3%	$\chi^2 = 0.8$ p=0.39
CPD (SD) now	11.6 (5.1)	9.2 (6.0)	F=8.7, p<0.01
CPD (SD) before starting to vape	20.7 (10.1)	22.4 (8.6)	F=1.7, p=0.20
Enjoyment of Smoking (SD)	6.2 (2.4)	6.1 (2.5)	F=0.09 p=0.77
Enjoyment of vaping (SD)	7.2 (2.3)	7.5 (2.3)	F=0.69 p=0.41
Months of vaping (SD)	18 (13.6)	22 (36.9)	F=1.01 p=0.32
E-liquid nicotine concentration (mg/ml) (SD)	13.9 (9.9)	9.3 (7.4)	F=13.9, p<0.001
Using refillable EC (%)	95.0%	91.9%	$\chi^2 = 3.4$ p=0.19

CPD=Cigarettes per day

FTCD=Fagerstrom Test of Cigarette Dependence

Table 3 shows the proportions of participants who stopped smoking, vaping or both at each time point. Participants who used varenicline were more likely to stop smoking, stop vaping, or stop both at all time points.

Table 3: Cessation of smoking and vaping in participants who did and did not use varenicline

	Used v (N=80)	Did not use v (N=124)	p-value, RR and 95%CI
Stopped smoking			
3M – past 7 days	43.8% (35)	8.9% (11)	p<0.001, RR=4.9 [2.7,9.1]
3M – past 30 days	32.5% (26)	5.6% (7)	p<0.001,RR=5.8 [2.6,12.6]
6M – past 7 days	31.3% (25)	10.5% (13)	p<0.001, RR=3.0 [1.6, 5.5]
6M – past 90 days	17.5% (14)	4.8% (6)	p= 0.006, RR=3.6 [1.4,9.0]
Stopped vaping			
3M – past 7 days	23.8% (19)	3.2% (4)	p<0.001, RR=7.4 [2.6,20.9]
3M – past 30 days	18.7% (15)	1.6% (2)	p<0.001, RR=11.6 [2.7,49.5]
6M – past 7 days	25% (20)	3.2% (4)	p<0.001, RR=10.3 [3.2,33.6]
6M – past 90 days	12.1% (10)	1.6% (2)	p=0.007, RR=7.8 [1.7,34.5]
Stopped both **			
3M – past 7 days	11.3% (9)	0.8% (1)	p=0.01, RR=14.0 [1.8,108.1]
3M – past 30 days	8.8% (7)	0.8% (1)	p=0.02 RR=10.9 [1.4,86.6]
6M – past 7 days	11.3% (9)	0.8% (1)	p=0.01, RR=14.0 [1.8,108.1]
6M – past 90 days	8.8% (7)	0.8% (1)	p=0.02, RR=10.9 [1.4,86.6]

* Primary outcome

** Only participants who passed cotinine validation are included

In the analysis above, 44 people who expressed interest in varenicline but did not start using it were included among non-users. To examine a possibility that this subsample may have been people less likely to modify their smoking and vaping, we conducted a sensitivity analysis with the 44 participants excluded. The results were virtually identical.

We were able to verify self-reports of abstinence in participants who claimed to have stopped both smoking and vaping via cotinine assays (see Table 3).

Including participants who failed validation as non-abstainers did not change the results much (RR=10.9 to RR=14.0; p=0.02 to p=0.01).

Table 4 shows varenicline use among all participants who started the medication and among those who were abstinent from smoking at three months, by the end of the varenicline use period. Adherence to varenicline treatment was relatively high, with even some of the smokers who failed to quit completely continuing varenicline use for the full three months.

Table 4: Adherence to varenicline treatment (N, %)

Week post-TQD	All v. users (N=80)	Quitters at three months* (N=26)	Non-quitters (N=54)
1	46 (57.5%)	22 (84.6%)	24 (44.4%)
2	41 (51.2%)	20 (76.9%)	21 (38.8%)
3	30 (37.5%)	16 (61.5%)	14 (25.9%)
4	31 (38.7%)	15 (57.6%)	16 (29.6%)
6	23 (28.7%)	15 (57.6%)	8 (14.8%)
8	14 (17.5%)	8 (30.7%)	6 (11.1%)
10	12 (15.0%)	7 (26.9%)	5 (9.2%)
12	19 (24%)	9 (35%)	10 (19%)

*no smoking in last 30 days

Table 5 shows changes in enjoyment of vaping and smoking by the end of the varenicline use period at three months in participants who were still using their nicotine products and who provided the ratings. The varenicline group recorded bigger reductions in enjoyment of both smoking and vaping, but this only reached significance for reduced enjoyment of vaping.

Table 5. Changes in enjoyment of smoking and vaping compared to baseline at three months in participants who still smoked/vaped

	Used v	Did not use v	Difference
Mean difference in enjoyment of <i>vaping</i> from baseline (SD)	-0.8 (2.2) N=44	-0.2 (1.5) N=99	F=4.1 p=0.04
Mean difference in enjoyment of <i>smoking</i> from baseline (SD)	-1.2 (2.9) N=27	-0.5 (2.1) N=90	F=1.7 p=0.19

Figures 2 and 3 show changes in cotinine levels in the two groups at 3 and 6 months, respectively. We obtained usable cotinine samples from 135

1
2
3 participants at 3 months and 115 at 6 months. Varenicline use was associated
4 with significantly larger reduction in nicotine intake at both 3 and 6 months
5 ($F=13.9$, $p<0.001$ and $F=26.5$, $p<0.001$, respectively). Among the
6 subsamples of participants who continued to smoke, the varenicline group
7 reduced their nicotine intake while the other group increased it, but the
8 between group difference was only significant at six months ($F=17.9$,
9 $p<0.001$).
10
11
12

13
14 **Figure 2: Change from baseline to 3 months in cotinine levels (ng/ml) in**
15 **participants who used and did not use varenicline**
16

17
18 **Figure 3: Change from baseline to 6 months in cotinine levels (ng/ml) in**
19 **participants who used and did not use varenicline**
20
21
22

23 We obtained usable anabasine samples from 126 participants at 3 months
24 and 109 at 6 months, but salivary anabasine turned out not be a sensitive
25 enough marker, with a very narrow range of very low values and a number of
26 zero readings in participants who reported regular smoking (varenicline users
27 and non-users had a drop in anabasine levels of 0.5 [SD=1.7] vs 0.3 [SD=1.8]
28 ng/ml, $p=0.51$ at 3 months; and 0.3 [SD=2.6] vs 0.1 [SD=2.3] ng/ml, $p=0.59$ at
29 6 months).
30
31
32

33 Discussion

34
35
36 A large proportion of dual users (61%) expressed interest in using varenicline
37 to help them stop smoking altogether, with 39% starting treatment. Compared
38 to the rest of the sample, dual users who used varenicline had much higher
39 rates of quitting smoking as well as quitting vaping.
40
41
42

43 The substantial interest among dual users in using a stop smoking medication
44 was unexpected. We assumed initially that because dual users opted for EC
45 in preference to licensed medications (that are offered by the National Health
46 Service and local stop-smoking services virtually free in the UK), they will
47 show limited interest in using them. The majority however were interested.
48 Attempts at health behaviour changes are often characterised by gaps
49 between intentions and actions and in this case, over a third of those who
50 initially expressed interest did not progress to the actual use. This however
51 still left 39% of the sample initiating varenicline treatment.
52
53
54
55

56 Dual users who started varenicline treatment were heavier smokers with
57 higher tobacco dependence who were using higher strength nicotine liquids
58 than the rest of the sample. It seems likely that this subsample was finding
59 reducing or quitting smoking more difficult than the rest of the cohort, but they
60

1
2
3 may have also been more motivated to do so. A randomised trial would be
4 needed to control for such variables. It is possible that the effect of varenicline
5 would be even stronger because the groups would be matched for
6 dependence, but it could also be weaker if the current results were influenced
7 by differences in motivation to quit smoking.
8
9

10
11 The difference in quitting nicotine use between participants who did and those
12 who did not use varenicline was remarkably large (RR=3.6 for stopping
13 smoking; RR=7.8 for stopping vaping, and RR=6.2 for stopping both for at
14 least the past three months at the six month follow-up).
15
16

17
18 It was hypothesized that one of the key moderators of the effect of varenicline
19 on stopping smoking is its effect on reducing urges to smoke (11). We were
20 unable to monitor withdrawal symptoms in non-varenicline users during the
21 acute withdrawal phase, but we collected ratings of enjoyment of smoking and
22 vaping at different time points. At the end of the varenicline use period at
23 three months, the varenicline group was reporting a significantly greater
24 reduction in enjoyment of vaping, while the difference in reduction of
25 enjoyment of smoking did not reach statistical significance. The varenicline
26 effect on vaping cessation also appeared stronger than its effect on cessation
27 of smoking. The data tally with the previous findings suggesting that the
28 medication exerts its influence in part at least by reducing the reward from
29 nicotine (11).
30
31
32
33
34

35 This is further supported by the finding that varenicline use was associated
36 with a reduction in nicotine intake, indexed by salivary cotinine, at all time
37 points. An activity that generates less reward can be expected to subside. A
38 recent trial of nicotine replacement 'preloading' (use of nicotine patches for
39 four weeks while participants continue to smoke ad-lib) identified the reduction
40 in urges to smoke before and after stopping smoking and a reduction in
41 smoke intake as mediators of the treatment effect on abstinence (12, 13). This
42 tallies closely with the present findings.
43
44
45
46

47 The finding that the varenicline group showed a reduction in nicotine intake in
48 non-abstainers at six months is more difficult to interpret. The experience with
49 varenicline may have had some kind of on-going impact that continued even
50 after the medication ceased, but this could also be a chance finding.
51
52

53 The main limitation of the study is that this was not a randomised comparison.
54 As discussed above, dual users opting for varenicline may have been more
55 motivated to stop smoking than others, although interest in stopping smoking
56 was the inclusion criterion. Participants in the varenicline group also received
57 weekly support and this could have made them more likely to respond to
58 follow-up calls and less likely to drop out than the rest of the sample. This
59
60

1
2
3 however was not the case, the follow-up rates were in fact slightly higher in
4 the non-varenicline group. The weekly support calls may have boosted the
5 quit rate in the varenicline group, although telephone support on its own has
6 been shown to have only modest long-term effects (14). We were able to
7 validate smoking status in people who claimed to have stopped both smoking
8 and vaping via salivary cotinine, but salivary anabasine turned out not be an
9 accurate enough measure. In dual users, nicotine and its metabolites cannot
10 be used to verify abstinence from smoking, but future studies may consider
11 using urinary index of exposure to tobacco-specific nitrosamine NNK for this
12 purpose (15). With no biochemical confirmation of abstinence from smoking in
13 EC users, the study relied on self-reports and this could have introduced a
14 bias, although we did detect significant differences between the groups in
15 cotinine levels. Another possible source of bias that may have affected our
16 results is that only the varenicline group set up a formal quit date. This would
17 have a major influence on the usual indicators of sustained abstinence rates
18 timed from the TQD because only one group was asked to quit on that day.
19 The varenicline effect however was also present when looking at abstinence
20 during months three to six, and also when looking at abstinence for just the
21 past seven days at six months. In the sample of people intending to stop
22 smoking, such measures that are not linked to an early quit date should be
23 less vulnerable to any such effect. The issue of having or not having TQD
24 could be also expected to have little impact on EC use because participants
25 were not asked to stop or reduce vaping. The fact that varenicline use was
26 associated with a similar effect size with regard to quitting vaping mitigates
27 the concern. Loss to follow-up represents another study limitation. Finally, this
28 was not a random sample. Recruitment via social media may have attracted a
29 sample with characteristics that are not representative of the wider population
30 of dual users and the generalisability of the results is thus unclear.
31
32
33
34
35
36
37
38
39
40

41
42 In summary, varenicline offered to dual users is likely to promote successful
43 abstinence from both smoking and vaping, although a randomised trial is
44 needed to confirm the finding. Among dual users who intend to stop smoking
45 altogether, there seems to be a high level of interest in smoking cessation
46 treatments.
47
48

49 **Contributions**

50 All authors (PH, DP, SP, APW, CS, KP) contributed to the planning and
51 conduct of the work, and DP, PH, KP, and SP contributed to the reporting of
52 the work. PH and DP are responsible for the overall content as guarantors.
53 The guarantors accept full responsibility for the work and/or the conduct of the
54 study, had access to the data, and controlled the decision to publish. The
55 corresponding author attests that all listed authors meet authorship criteria
56 and that no others meeting the criteria have been omitted.
57
58
59

60 **Competing Interests**

1
2
3 All authors declare: support from Pfizer for the work; no financial relationships
4 with any organisations that might have an interest in the submitted work in the
5 previous three years; and PH has provided consultancy to manufacturers of
6 stop-smoking medications.
7

8 9 **Funding**

10 The study was funded by an investigator-initiated grant from Pfizer. The
11 funder was not involved in the study design, conduct, or data analysis.
12

13 14 **Data Sharing**

15 Data is available upon request from the corresponding author.
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For peer review only

References

1. PATEL D., DAVIS K. C., COX S., BRADFIELD B., KING B. A., SHAFER P. et al. Reasons for current E-cigarette use among US adults, *Preventive medicine* 2016: 93: 14-20.
2. ETTER J. F. Electronic cigarettes: a survey of users, *BMC Public Health* 2010: 10: 231.
3. ETTER J. F., BULLEN C. Electronic cigarette: users profile, utilization, satisfaction and perceived efficacy, *Addiction* 2011: 106: 2017-2028.
4. SCHOREN C., HUMMEL K., VRIES H. D. Electronic cigarette use: comparing smokers, vapers, and dual users on characteristics and motivational factors, *Tobacco Prevention & Cessation* 2017: 3.
5. COOPER M., CASE K. R., LOUKAS A., CREAMER M. R., PERRY C. L. E-cigarette dual users, exclusive users and perceptions of tobacco products, *American journal of health behavior* 2016: 40: 108-116.
6. ADRIAENS K., VAN GUCHT D., BAEYENS F. Differences between Dual Users and Switchers Center around Vaping Behavior and Its Experiences Rather than Beliefs and Attitudes, *International journal of environmental research and public health* 2017: 15: 12.
7. POKHREL P., HERZOG T. A., MURANAKA N., REGMI S., FAGAN P. Contexts of cigarette and e-cigarette use among dual users: a qualitative study, *BMC public health* 2015: 15: 859.
8. RASS O., PACEK L. R., JOHNSON P. S., JOHNSON M. W. Characterizing use patterns and perceptions of relative harm in dual users of electronic and tobacco cigarettes, *Experimental and clinical psychopharmacology* 2015: 23: 494.
9. MAGLIA M., CAPONNETTO P., DI PIAZZA J., LA TORRE D., POLOSA R. Dual use of electronic cigarettes and classic cigarettes: a systematic review, *Addiction Research & Theory* 2017: 1-9.
10. FAGERSTRÖM K. Determinants of tobacco use and renaming the FTND to the Fagerström Test for Cigarette Dependence, *Nicotine & Tobacco Research* 2011: 14: 75-78.
11. HAJEK P., MCROBBIE H. J., MYERS K. E., STAPLETON J., DHANJI A.-R. Use of varenicline for 4 weeks before quitting smoking: decrease in ad lib smoking and increase in smoking cessation rates, *Archives of internal medicine* 2011: 171: 770-777.
12. INVESTIGATORS P. Effects on abstinence of nicotine patch treatment before quitting smoking: parallel, two arm, pragmatic randomised trial, *Bmj* 2018: 361: k2164.
13. INVESTIGATORS. P. Mediators of the effect of nicotine pre-treatment on quitting smoking. *Addiction* (in press). 2018.
14. STEAD L. F., PERERA R., LANCASTER T. Telephone counselling for smoking cessation, *Cochrane Database Syst Rev* 2006: 3.
15. GONIEWICZ M. L., SMITH D. M. Are Some E-Cigarette Users “Blowing Smoke”? Assessing the Accuracy of Self-Reported Smoking Abstinence in Exclusive E-Cigarette Users, *Nicotine & Tobacco Research* 2018: nty085-nty085.

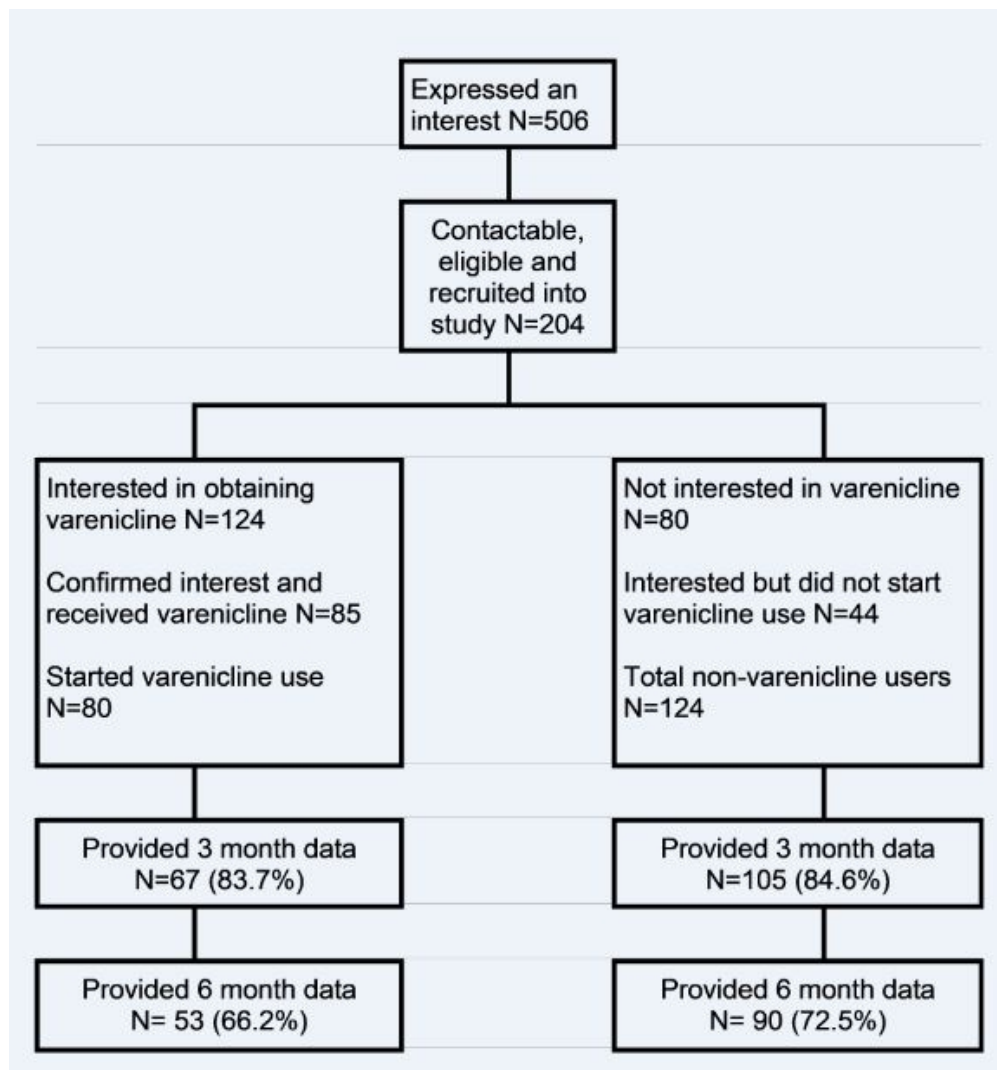


Figure 1: Participant flow chart

48x52mm (300 x 300 DPI)

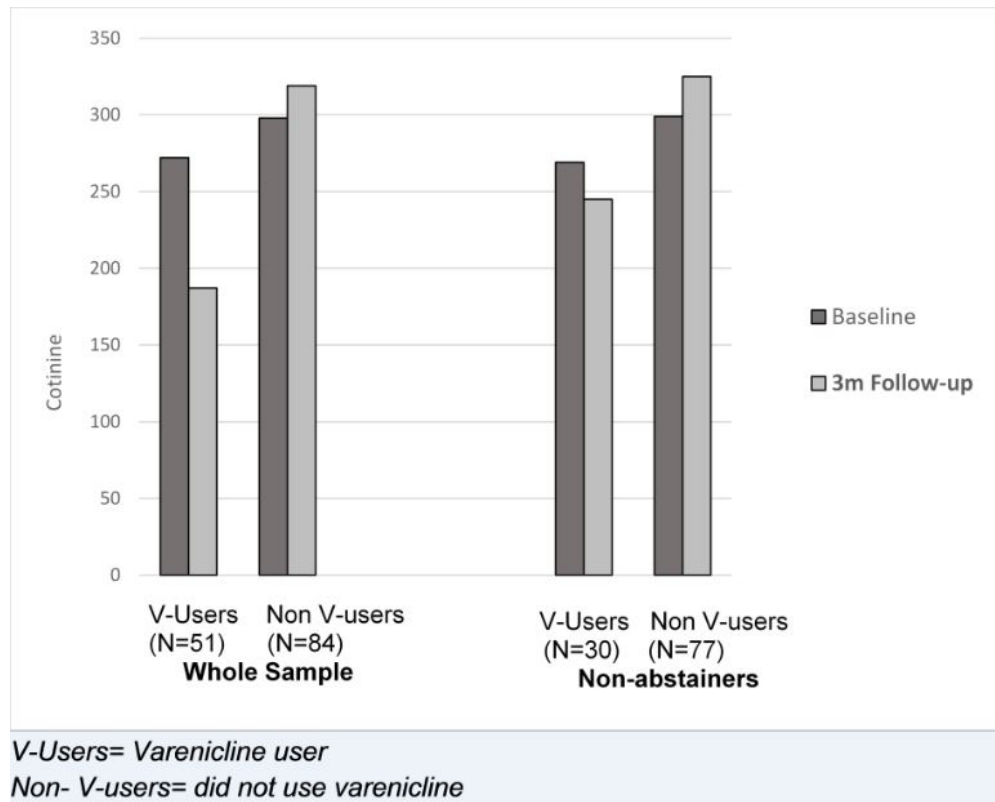
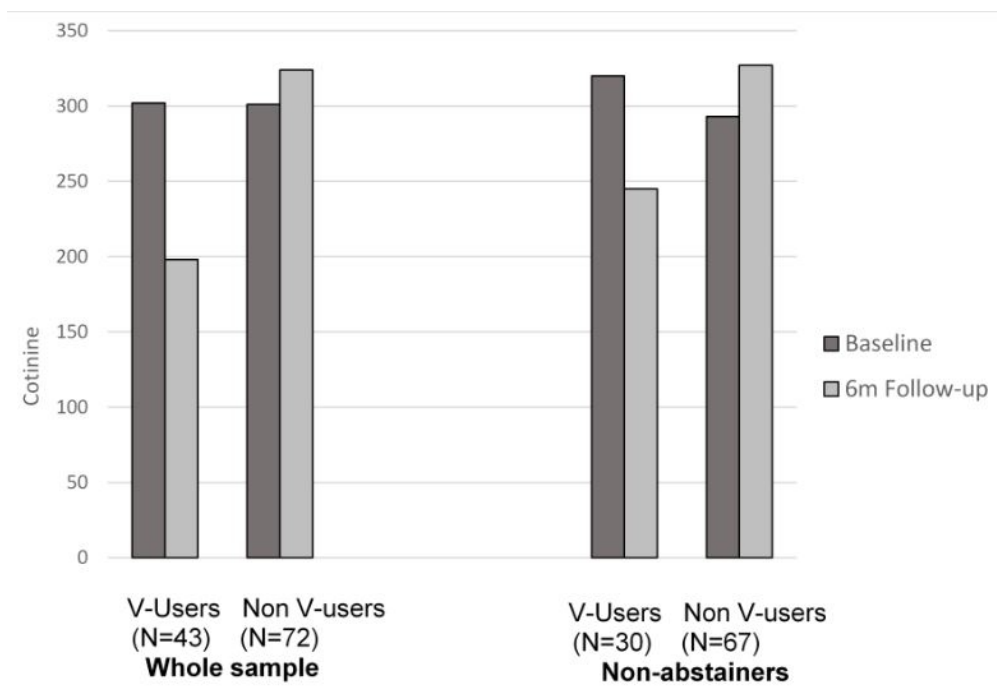


Figure 2: Change from baseline to 3 months in cotinine levels (ng/ml) in participants who used and did not use varenicline

65x52mm (300 x 300 DPI)



V-Users= Varenicline user
Non- V-users= did not use varenicline

Figure 3: Change from baseline to 6 months in cotinine levels (ng/ml) in participants who used and did not use varenicline

66x52mm (300 x 300 DPI)

1 STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract Page 1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found Page 2
Introduction		
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported Page 3
Objectives	3	State specific objectives, including any prespecified hypotheses Page 3
Methods		
Study design	4	Present key elements of study design early in the paper Page 3
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection Page 3-4
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up Page 4
		(b) For matched studies, give matching criteria and number of exposed and unexposed N/A
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable Page 5-6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group Page 5
Bias	9	Describe any efforts to address potential sources of bias N/A
Study size	10	Explain how the study size was arrived at Page 5-6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why Page 5-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding Page 5-6
		(b) Describe any methods used to examine subgroups and interactions Page 6
		(c) Explain how missing data were addressed Page 6
		(d) If applicable, explain how loss to follow-up was addressed Page 6
		(e) Describe any sensitivity analyses Page 6

Results		
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed Figure 1
		(b) Give reasons for non-participation at each stage Figure 1 and page 6
		(c) Consider use of a flow diagram Figure 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders Table 2
		(b) Indicate number of participants with missing data for each variable of interest Figure 1 (shows N providing data)
		(c) Summarise follow-up time (eg, average and total amount) Page 5 and Table 1
Outcome data	15*	Report numbers of outcome events or summary measures over time Page 5 and Table 1
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included Table 3
		(b) Report category boundaries when continuous variables were categorized N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses Tables 4-5, Figures 2-3, pages 9-10
Discussion		
Key results	18	Summarise key results with reference to study objectives Page 10
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias Page 11-12
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence Page 10-12
Generalisability	21	Discuss the generalisability (external validity) of the study results Page 12
Other information		
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based Page 1

*Give information separately for exposed and unexposed groups.

1
2 **Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and
3 published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely
4 available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at
5 <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is
6 available at <http://www.strobe-statement.org>.
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For peer review only