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Abstract:	The Southern Ocean is the coldest ocean of bottom-dwelling Eocene ancestor of Antarc glaciation and underwent adaptive radiation column niches today. Genome-wide change rapid expansion of the Antarctic Notothenio our understanding, we sequenced and com adapted and neutrally buoyant Antarctic tod Patagonia robalo Eleginops maclovinus rep detected >200 protein gene families that ha had evolved faster in the toothfish, with dive response, lipid metabolism, protein homeos an eggshell protein had functionally diversif Genomic and transcriptomic comparisons r and broad transcriptional upregulation across their prominent role in mitigating oxidative s We found expansion of transposable elemen notothenioid diversification. In addition, the genetic programs towards enhanced fat cell promotion of chondrogenesis while inhibitin collectively contributing to achieving neutral revealed a comprehensive landscape of evin notothenioid cold adaptation and ecological resources for further uncovering mechanism radiation driven by the coldest environment	In Earth but a hotspot of evolution. The tic notothenioid fishes survived polar marine of forming >120 species that fill all water es enabling physiological adaptations and ids remain poorly understood. To advance pared two notothenioid genomes - the cold- othfish Dissostichus mawsoni, and the basal presenting the temperate ancestor. We d expanded and thousands of genes that erse cold-relevant functions including stress stasis and freeze resistance. Besides AFGP, ied to aid in cellular freezing resistance. evealed proliferation of Selcys-tRNA genes as anti-oxidative selenoproteins, signifying stress in the oxygen-rich Southern Ocean. ents, temporally correlated to Antarctic toothfish exhibited remarkable shifts in I differentiation and lipid storage, and g osteogenesis in bone development, I buoyancy and pelagicism. Our study olutionary changes essential for Antarctic expansion. The two genomes are valuable ns underlying the spectacular notothenioids.
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Genomic bases for colonizing the freezing Southern Ocean revealed by the genomes of Antarctic toothfish and Patagonia robalo

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26 Abstract

 The Southern Ocean is the coldest ocean on Earth but a hotspot of evolution. The bottom-dwelling Eocene ancestor of Antarctic notothenioid fishes survived polar marine glaciation and underwent adaptive radiation forming >120 species that fill all water column niches today. Genome-wide changes enabling physiological adaptations and rapid expansion of the Antarctic Notothenioids remain poorly understood. To advance our understanding, we sequenced and compared two notothenioid genomes - the cold-adapted and neutrally buoyant Antarctic toothfish Dissostichus mawsoni, and the basal Patagonia robalo Eleginops maclovinus representing the temperate ancestor. We detected >200 protein gene families that had expanded and thousands of genes that had evolved faster in the toothfish, with diverse cold-relevant functions including stress response, lipid metabolism, protein homeostasis and freeze resistance. Besides AFGP, an eggshell protein had functionally diversified to aid in cellular freezing resistance. Genomic and transcriptomic comparisons revealed proliferation of Selcys-tRNA genes and broad transcriptional upregulation across anti-oxidative selenoproteins, signifying their prominent role in mitigating oxidative stress in the oxygen-rich Southern Ocean. We found expansion of transposable elements, temporally correlated to Antarctic notothenioid diversification. In addition, the toothfish exhibited remarkable shifts in genetic programs towards enhanced fat cell differentiation and lipid storage, and promotion of chondrogenesis while inhibiting osteogenesis in bone development, collectively contributing to achieving neutral buoyancy and pelagicism. Our study revealed a comprehensive landscape of evolutionary changes essential for Antarctic notothenioid cold adaptation and ecological expansion. The two genomes are valuable resources for further uncovering mechanisms underlying the spectacular notothenioids radiation driven by the coldest environment.

Key words: adaptive radiation, climate change, genome, oxidative stress, Antarcticnotothenioids

56 Introduction

58 The Southern Ocean (SO) surrounding Antarctica is the coldest body of water on Earth, 59 having been isolated from other world oceans by the Antarctic Circumpolar Current (ACC)

beginning in the early Oligocene ~32 million years ago (1). The formation of the ACC also impeded species dispersal across the Antarctic Polar Front, and mass extinction of the Antarctic-sequestered fish taxa occurred upon marine glaciation (2). The rich cosmopolitan fish fauna prior to the isolation of Antarctica is represented today by a single predominant group of related fish species - the Antarctic notothenioids. From a common temperate ancestor, likely a swim-bladderless, bottom dwelling perciform species of Eocene age (3), the Antarctic notothenioids have evolved to become highly adapted to life in unyielding cold, spectacularly diverse in sizes and morphological innovations, and diversified into all water column habitats, epitomizing an adaptive radiation and a rare marine species flock (4). Abundant in fish biomass (>90% of catch) and species (\geq 128), they are vital in sustaining the contemporary SO food web (2, 5).

What evolutionary processes and mechanisms propelled the Antarctic notothenioid radiation replete with extraordinary trait diversification during its evolutionary history remain fascinating unanswered questions. Two conspicuous trait outcomes - the evolutionary gain of the novel antifreeze glycoprotein (AFGP) gene and function that averted otherwise inescapable death from freezing (6, 7), and exploitation of open niches vacated by extinction of fishes lacking freeze-resistance, have been recognized as major contributors to the Antarctic notothenioid radiation (8, 9). However, little is known of the myriad subtler adaptive changes that must also have evolved in response to challenges from freezing temperatures and the associated high oxygen concentration – the two foremost modalities of selection pressure from the SO environments that would pervade all levels of organismal functions, from molecules to cells to system physiology. Another prominent hallmark of notothenioid adaptive radiation is the secondary acquisition of pelagicism in some lineages, enabling their ecological expansion from bottom habitats of their negatively buoyant ancestor to upper water column niches. What evolutionary changes occurred in the cellular and developmental programs that enabled neutral buoyancy and secondary pelagicism are also unknown.

To address these fundamental, system-wide questions about Antarctic notothenioid evolution, whole genome sequences of multiple and appropriately chosen species from the diverse Antarctic notothenioids are essential. Thus far, whole genome sequence analysis has been reported for only one notothenioid species, the Antarctic rockcod *Notothenia coriiceps*, providing the key inference that the fast evolving hemoglobin and mitochondrial proteins are adaptive in increasing efficiency of aerobic cellular respiration in the freezing environment (10). *N. coriiceps* is not known to occur in the high latitude Antarctic coastal waters, but is

widely distributed in the lower latitude waters of the Antarctic Peninsula archipelago and the Scotia Arc islands, reaching localities north of the Polar Front around sub-Antarctic islands in the Indian Ocean sector (11), a distribution pattern that suggests a considerable degree of thermal plasticity in this species. It is a heavy, bottom fish and one of the hardest boned Antarctic notothenioids (12), reminiscent of the benthic ancestor. To gain insights into evolutionary adaptations in the most cold-adapted and stenothermal Antarctic notothenioids, as well as into the evolutionary changes leading to acquisition of neutral buoyancy that enabled the transition from the ancestral benthic existence to a pelagic life history, a different and more appropriate model Antarctic notothenioid species would be required.

The Antarctic toothfish Dissostichus mawsoni that grows to giant sizes (2.0 m in length and 140 kg in mass) is an iconic species of the Antarctic notothenioid radiation, with wide distributions in freezing waters of high latitude Antarctic coasts, as far south as 77.5°S (McMurdo Sound), the southern limit of Antarctic marine life. It thus exemplifies the stenothermal cold-adapted character state. Despite its large size, it is the only notothenioid species that achieved complete neutral buoyancy as adults (13, 14); thus this species serves as the best model for examining the evolutionary underpinning of secondary pelagicism in the Antarctic clade. In addition, to discern evolutionary changes from the ancestral temperate state to the derived polar state driven by selection in the cold, oxygen-rich Southern Ocean environment, a closely related basal non-Antarctic notothenioid comparison species that can serve as ancestral proxy would strongly add to the discriminating power of analyses of genome evolution. The most appropriate species for this purpose is a South American notothenioid, the Patagonia robalo Eleginops maclovinus, which is the sole species in the basal family Eleginopsidae. The lineage diverged prior to the isolation of Antarctica, and E. maclovinus is phylogenetically the closest sister species to the Antarctic clade (3). Thus its genome is the best representative of the temperate character of the most recent common ancestor of the Antarctic notothenioids. We conducted genome sequencing and comparative analyses of these two strategically selected species accompanied with extensive transcriptomic characterizations to profile relevant functional outcomes of the genomic changes. Our results provide several new key insights into evolutionary adaptation and secondary pelagicism of the Antarctic notothenioids in the isolated and extremely cold Southern Ocean environment.

Results and Discussion

128 D. mawsoni and E. maclovinus genome sequencing and assembly

The geographic distributions and sampling locations of D. mawsoni and E. maclovinus are illustrated in Fig. 1a. The genome of one D. mawsoni juvenile (12 kg) of undetermined sex, and one young adult male E. maclovinus (~100 gm) were de novo sequenced using Illumina sequencing platforms. The genomes of both species comprise 24 pairs of chromosomes (2n =48) (15, 16). Analyses of 17-mer frequency distribution indicated a genome size of approximately 842 Mb for D. mawsoni and 727 Mb for E. maclovinus (Additional file 1: Fig. S1), consistent with the mean genome sizes of 840 Mb and 780 Mb for the toothfish and robalo respectively determined by flow cytometry (Additional file 1: Table S1a). The raw sequence data after cleaning and error correction (Additional file 1: Tables S1b, S1c) were assembled using SOAPdenovo (17) for D. mawsoni, and Platanus (18) for E. maclovinus followed by scaffold building with SSPACE (19). The assembled toothfish genome had a contig N50 length of 23.1 Kb and scaffold N50 length of 2.2 Mb, while those of the robalo were 10.9 Kb and 0.69 Mb. The assembled toothfish and robalo genomes are approximately 757 Mb and 744 Mb respectively (Table 1; Additional file 1: Tables S2a, S2b), consistent with k-mer and flow cytometry estimates, and achieving over 90% and 95% coverage of the genome size based on flow cytometry of the two species respectively. The completeness of both genomes were assessed with BUSCO (Benchmarking Universal Single-Copy Orthologs) (20), referencing the lineage dataset of actinopterygii_odb9 and orthologs of zebrafish, which reflected the complete BUSCOs at 97.2% for the D. mawsoni genome and 95.0% for the E. maclovinus genome (Additional file 1: Tables S2c). The GC content of the D. mawsoni genome is 0.4070, nearly identical to 0.4066 of *E. maclovinus*, and both are lower than that of a model fish the stickleback Gasterosteus aculeatus (Additional file 1: Fig. S2). The accuracy of the genome assembly was assessed by alignment of the scaffolds to publically available unigenes of D. mawsoni and E. maclovinus, and the coverage of the initial contigs was found to be approximately 98.8% and 99.1%, respectively (Additional file 1: Table S3), suggesting an acceptable quality of the genome assemblies. Alignment of the sequence reads to the assemblies estimated an overall heterozygous rate of approximately 2.58 and 2.40 per Kb for *D. mawsoni* and *E. maclovinus* respectively. (Additional file 1: Table S4).

158 Genome annotation and synteny alignment between *D. mawsoni* and *E. maclovinus*

A total of 22,516 and 22,959 protein-coding genes were annotated in the *D. mawsoni* and *E. maclovinus* genome, respectively by combining the results from homologous and *de novo*prediction methods using the gene modeler GLEAN (Additional file 1: Table S5). The

protein coding genes of the toothfish and robalo, along with the sequenced notothenioid N. coriiceps and model species G. aculeatus and zebrafish Danio rerio were clustered using OrthoMCL(21). We found 8,825 genes that were common to all five species. Genes shared among the notothenioids are similar in number, 12,269 between toothfish and robalo, and 12,421 between toothfish and N. coriiceps (Additional file 1: Fig. S3). In annotations of conserved non-coding RNA genes, we predicted 1,097 tRNA, 110 rRNA, 422 SnRNA, and 295 microRNA genes in the toothfish genome (Additional file 1: Table S6a), while the robalo genome was annotated to carry 1,037 tRNA, 44 rRNA, 891 snRNA and 286 miRNAs (Additional file 1: Table S6b). The much larger number of rRNA copies (2.5 fold) in D. mawsoni than E. maclovinus is consistent with the presence of dual chromosomal loci of rDNA genes detected by in situ fluorescent hybridization in the giant toothfish (15), as opposed to the single rDNA locus in other notothenioids (22, 23). The two species showed largely similar profiles in their microRNAome, with minor differences in the copy number of some individual microRNA (Additional file 1: Table S7). Strikingly, the toothfish genome contains many more selcys-tRNA genes than robalo (84 versus 1; Additional file 1: Table **S8**). This extensive duplication of selcys-tRNA genes, accompanied with high expression of selenoproteins in *D. mawsoni* (detailed in a later section) signify that mitigation of oxidative stress through selenoproteins, many of which are strong antioxidants, is likely an important selection force in the evolution of the Antarctic notothenioid genome in the freezing and oxygen-rich waters.

De novo annotation of repeat sequences revealed >2-fold increase in overall repeat content in D. mawsoni (28.29% of genome) over the basal E. maclovinus (13.40%) (Additional file 1: Table S9). Transposable elements (TEs) including LTR-retrotransposons, non-LTR-retrotransposons (long interspersed transposable elements - LINEs, and short interspersed transposable elements - SINEs), and DNA transposons accounted for a total of 21.38% of the toothfish genome, more than twice that of E. maclovinus (10.02%). Among simple sequence repeats (SSRs), dimer repeats constituted the majority of the SSRs in both genomes, and tetramers and pentamers showed highest levels of increment in D. mawsoni (Additional file 1: Table S10a, Table S10b). The doubling of TE content in the toothfish genome relative to the basal robalo suggests it is a likely contributing factor to the observed trend of increasing genome sizes in more derived Antarctic notothenioid lineages (24).

For global genome alignment, we anchored the *D. mawsoni* and *E. maclovinus* scaffolds to the 21 linkage groups of the well characterized three-spined stickleback genome according to gene collinearity (**Fig. 1b; Additional file 2**). Most of the notothenioid scaffolds had

extensive collinearity to the corresponding stickleback chromosomes. The 84 duplicated selcys-tRNA genes are wide-spread throughout the toothfish genome. Inversion and translocation of genome segments have occurred in both D. mawsoni and E. maclovinus relative to stickleback, but the *D. mawsoni* genome showed more frequent rearrangements. Insertion sites for the expanded TEs of the D. mawsoni genome were random, thereby expanding the lengths of almost all of the linkage groups. Mapping RNAseq transcript data we obtained from white muscles for the two species on their respective synteny showed that the heavier insertion of TEs in the toothfish genome did not appear to adversely affect the expression of the neighboring protein genes as strong gene expression in regions of high TE content was maintained (Fig. 1b).

Burst of LINE expansion in the cold

Involvement of gain (or loss) of mobile element copies in genome size and genome re-structuring affecting species differentiation has increasingly gained empirical support (25, 26). TEs are normally under epigenetic regulation, but waves of TE proliferations could arise from environmental changes that cause physiological stress and disrupt the epigenetic control (27). We therefore examined potential linkage in timing between LINE expansion (2-fold increase in the toothfish versus robalo) and onset of frigid SO marine conditions. A multiple sequence alignment of several LINEs (LINE/I, LINE/L2, LINE/RTE-BovB and LINE_Rex-Babar – the most abundant types in the toothfish genome) was made, which clustered 349 D. mawsoni LINE pairs and 213 E. maclovinus LINE pairs, where the two LINEs within each pair share the highest sequence similarity, approximating the least divergence time. Calculation of the nucleotide substitution rates for each LINE pair identified a burst of emergence of LINEs in the *D. mawsoni* genome with the substitution rates centered at 0.04, which corresponded with a divergence time of 6.5 million years ago (Fig. 2a; see also **Methods**) based on the average substitution rate of the LINEs. This estimated timing of LINE burst expansion correlated with the radiation of the majority of the modern Antarctic notothenioid clades beginning in the late Miocene when seawater temperatures steadily declined (9). In contrast, no burst expansion of LINEs was detected in the E. maclovinus genome, supporting an Antarctic/cold-specific LINE burst in the toothfish, and corroborating our prior empirical evidence for an increase in retrotransposition activity of D. mawsoni LINE-1 resulting in more copies in the transfected cells when subjected to stress from non-physiologically low incubation temperature (28).

230 Accelerated protein evolution in the cold

Ectotherms are vulnerable to disruptive effects on protein structures and reaction rate depression at low temperatures. Antarctic notothenioids in perennially freezing high latitude waters face the extremes of these effects, as well as an oxidative environment due to high oxygen concentrations resulting from increased gas solubility at low temperatures. We examined evidence for adaptive evolution of proteins in response to these selection pressures. The gene models of *D. mawsoni*, *E. maclovinus*, seven other teleost genomes and the mouse genome as outgroup were clustered, from which 2,936 one-to-one single-copy orthologs were obtained to reconstruct a phylogenetic tree (Fig. 2b). Stickleback is the closest species to the notothenioid clade as expected, and E. maclovinus is sister to the two Antarctic notothenioids, D. mawsoni and N. coriiceps. We found evolutionary rates of the orthologous genes based on calculated dN/dS values (the ratio of the rate of non-synonymous substitution to the rate of synonymous substitution) were elevated in the notothenioid lineage compared to the other fish lineages. This faster rate is more pronounced in the two Antarctic species, about twice that of the basal E. maclovinus, suggesting intensified selection pressures driving genome evolution in the Antarctic environment. To identify Gene Ontology categories that were evolving faster in the toothfish or robalo, the dN/dS ratios of 7,584 orthologous genes among the two notothenioids and the stickleback were calculated and the average dN/dS value of the genes associated with each GO term was calculated for each species. These orthologous genes were annotated to 411 GO terms, of which 281 showed significantly higher average dN/dSratios in D. mawsoni, while only 19 demonstrated higher average rates in E. maclovinus (Fig. 2c; Additional file 2). The faster evolving GO processes in D. mawsoni included "gene expression", "protein folding", "tRNA metabolic process", "cell-redox homeostasis", "immune response", "response to stress", "lipid metabolic process", "DNA repair", "vesicle-mediated transport" and others. To assess which D. mawsoni genes experienced positive selection, we tested using the Branch-site model (in PAML) on a reconstructed phylogenetic tree of six fish species with the D. mawsoni lineage assigned as the foreground branch (Additional file 1: Fig. S4). A total of 526 positively selected genes (PSGs) were identified (Additional file 1: Table S11a). The most significantly enriched KEGG pathway (Additional file 1: Table S11b) was "Protein digestion and absorption" ($p = 5.1 \times 10^{-5}$) and GO term (Additional file 1: Table S11c) was "protein binding" ($p = 6.0 \times 10^{-4}$), which indicate that maintenance of protein homeostasis played an important role in shaping the D. mawsoni genome. In addition, a complement of genes (sorbs2a, acox1, apoa1a, scp2a, tnni3k and perilipin-like genes; Additional file 1: Table S11a) involved in the PPAR (peroxisome

proliferator-activated receptors) signaling pathway - the key pathway in adipocyte
development regulation - were found to be under positive selection, suggesting occurrence of
adaptive changes in lipid metabolism in *D. mawsoni*.

268 Gene duplication in the freezing environment

Gene duplication plays fundamental roles in emergence of adaptive features. In the list of predicted protein coding genes from the toothfish and robalo genomes, we identified 202 families that have increased in copy number in D. mawsoni, compared to the other eight fish genomes (Additional file 3). KEGG enrichment analyses of these expanded gene families yielded enrichment in pathways involved in protein homeostasis and lipid and bone metabolism, such as "Protein digestion and absorption", "Regulation of lipolysis in adipocyte", "Fat digestion and absorption", "Ether lipid metabolism" and "Osteoclast differentiation" (Fig. 2d; Additional file 2), suggesting genomic capacity for these functional pathways had increased in D. mawsoni during evolution in chronic cold. Corroborating this cold-specificity is that the expanded gene families found in the basal E. maclovinus relative to the other fish genomes, including D. mawsoni and N. coriiceps, yielded distinctly different enriched KEGG profiles (Fig. 2e); this analysis indicates that the functional traits gained through gene duplication in E. maclovinus were driven by different selective pressures, consistent with our previous findings (29, 30). Due to inherent inefficiency in correctly assembling highly similar DNA sequences in the shotgun sequencing strategy, there are likely many more duplicated genes that had eluded detection. For example, many paralogs of Zona Pellucida protein (ZPs), such as ZPAX1, ZPC1, ZPC2 in D. mawsoni have been shown, through array-based genome hybridization and quantitative PCR, to be duplicated (29, 31).

Gene duplication had contributed prominently to the evolutionary gain of freezing avoidance in Antarctic notothenioids. Generally regarded as a key innovation of the Antarctic notothenioid radiation, the AFGP (antifreeze glycoprotein) gene evolved from a trypsinogen-like protease ancestor followed by extensive intragenic and whole gene duplications, generating a large gene family that would provide an abundance of this novel life-saving protein (7). By referencing to the published AFGP haplotypes that were assembled from BAC clone sequences of the same individual used in this study (Genbank accessions HQ447059 and HQ447060) (32), we identified and assembled the AFGP loci shotgun reads, recaptured the two AFGP haplotypes and integrated them in the draft genome, and localized them to a region syntenic with a scaffold in LG 20 of the stickleback genome (Fig.1b).

Resistance to freezing extends beyond the AFGPs: we found gene duplication for a protein that a priori would not be expected to function in freeze-resistance, zona pellucida protein (or eggshell protein), additionally provided protection against cellular freezing. Products of ZPC5 from D. mawsoni have been shown to enhance freezing resistance of eggs of recipient zebrafish both in vivo and in vitro (31). In this study, we found four copies of ZPC5 in the D. mawsoni genome that encoded three different sized ZPC5 proteins in the toothfish ovary - DmZPC5 1, DmZPC5 2a/DmZPC5 2b, and DmZPC 3 in decreasing order of size, corresponding to gradually shortened C-termini from the conserved ZP domain due to nonsense mutations in exon 9 and exon 10 (Fig. 3a; Additional file 1: Fig. S5a). A single ZCP5 gene in the basal E. maclovinus was found, which corresponded to the full-length DmZPC5_1. We expressed the three DmZPC5 isoforms in Chinese Hamster Ovary (CHO) cells (Additional file 1: Fig. S5b, Fig. 3b) and assaved cell survival rate at freezing temperature (-2°C for 8 hrs). DmZPC5 3 was the most active isoform in maintaining cell viability while DmZPC5_1 was the least active one (Fig. 3c). Further analyses showed that DmZPC5_3 was more likely retained inside the cell than DmZPC5_2 andDmZPC5_1 (Additional file 1: Fig. S6), and less likely to become polymerized inside the cell compared with DmZPC5_1 (Additional file 1: Fig. S7a), corroborating our previous finding that only unpolymerized ZP proteins are active for the ice-melting promoting activity (31). We detected DmZPC5 expression across many tissues besides ovary in D. mawsoni (Additional file 1: Fig. **S7b**), consistent with a distribution expected of a general protective function.

Transcriptomic adaptation to the cold environment

To assess the functional relevance of the detected genomic outcomes to life in freezing condition, we characterized and compared transcriptomes of 12 tissues including brain, liver, red muscle, white muscle, gill, skin, intestine, stomach, spleen, head kidney, caudal kidney and ovary between native specimens of D. mawsoni and E. maclovinus. We found over ten thousand genes were differentially expressed in pairwise comparisons between the two species, with the toothfish showing substantially more up-regulated genes than the robalo. Enrichment test on KEGG pathways yielded many signaling pathways in the tissues of toothfish being significantly enriched in differentially expressed genes (DEGs), including the TGF-beta, AMPK and PPAR pathways, known to play essential roles in development, metabolism and stress responses (Additional file 1: Fig. S8a). GO enrichment analysis of the DEGs demonstrated up-regulation of hundreds of GO biological processes, including translation, transferrin transport, cell redox homeostasis, cellular response to unfolded protein,

ubiquitin-dependent protein catabolic process, regulation of innate immunity, MAPK cascade, positive regulation of apoptosis pathways, many of the pathways involved in lipid metabolism, and anti-ROS pathways represented by "selenium compound metabolic process" and "selenocysteine metabolic process" (Additional file 1: Fig. S8b). These results corroborated the expression profiles in a previous study with the lower depth of sequencing available at that time (29), and additionally revealed further details of transcriptomic cold adaptation from the much deeper sequencing across a comprehensive set of tissue transcriptomes. A striking finding was the greatly increased transcriptional activities across many selenium-containing protein genes in the toothfish tissues compared with robalo (Fig. 4; Additional file 1: Fig. **S9**). Correspondingly, the genes involved in the translation of selenocysteine-containing proteins were also significantly up-regulated in the toothfish (Fig. 4). Selenoproteins have well-known functions in coping with cellular oxidative stress, thus the great expansion of selcys-tRNA genes (Fig. 1b, Additional file 1: Table S8), and the significantly upregulated expression of many kinds of selenoprotein mRNAs indicate augmented anti-ROS capacity has evolved as an important adaptation to the constantly freezing environment, where saturated levels of O₂ and cold-depressed metabolic rates would make oxidative stress a formidable challenge for cellular life. Interestingly, the expression of glutathione peroxidase 4b (gpx4b) (Fig. 4), a selenoprotein uniquely able to reduce lipid hydroperoxides (33, 34), was lower in D. mawsoni, suggesting alternative lipid metabolic programs may exist in the toothfish. Accordingly, we found all isoforms of the major players in lipid droplet assembly (PLN2, PLN5, fitm, seipin) important for lipid storage in adipose tissue, were upregulated in all toothfish tissues examined relative to E. maclovinus, signifying a shift of lipid distribution towards storage in D. mawsoni, as described in detail below.

355 Altered lipid metabolism in *D. mawsoni* for neutral buoyancy

In a striking evolutionary departure from the heavy, bottom-water ancestral character (exemplified by E. maclovinus), a handful of Antarctic notothenioids have secondarily acquired neutral or near neutral buoyancy, enabling ecological diversification into and filling of mid-water niches – a distinctive hall mark of the Antarctic notothenioid adaptive radiation. The giant toothfish D. mawsoni, despite growing to massive sizes, being robustly muscled, and lacking a swim bladder, is the only notothenioid that has attained complete neutrally buoyancy (13, 14). Known morphological specializations include extensive lipid (mostly triglycerides) deposits under skin and in the musculature, and a light skeleton of mostly cartilage and little mineralized bone, adaptations that reduce overall density and provide static

lift (13). To understand the genetic basis of the large accumulation of lipids and reduced
mineralization in *D. mawsoni*, we carried out transcriptome comparisons between *D. mawsoni*and several other notothenioids in which neutral buoyancy is not developed.

We compared gene expression profiles of muscles of *D. mawsoni*, and of the negatively buoyant Antarctic N. coriiceps and the basal E. maclovinus to elucidate evolutionary differences in the mechanisms of intermuscular lipid deposit. Compared with E. maclovinus, genes involved in triacylglycerol synthesis in the toothfish muscle were overrepresented in DEGs (p < 0.05) and markedly upregulated in transcription, including the key enzymes acylglycerol-3-phosphate O-acyltransferase (AGPAT) isoforms and CDP-diacylglycerol synthase (CDS) (Additional file 1: Fig. S10). An important regulator of this process, lipin1, was downregulated in D. mawsoni. Lipin1 is known to exert dual effects on lipid metabolism - it acts as a phosphatidate phosphatase enzyme to form diacylglycerol required for lipid synthesis, but also serves as a transcriptional co-activator to promote fatty acid oxidation (35). The down-regulation of lipin1 was consistent with down-regulation of fatty acid oxidation in D. mawsoni, as about half of the genes involved in fatty acid oxidation were also down-regulated relative to the robalo (Additional file 1: Table S12). At the same time, genes involved in regulation of lipid storage were overrepresented in the DEGs (p < 0.05) and all but one gene (MEST) were upregulated in the toothfish (Additional file 1: Table S13). These data strongly suggest a shift of metabolic pathways from lipid breakdown to lipid biosynthesis and lipid storage in D. mawsoni muscle relative to E. maclovinus, favoring deposit of lipids, thus contributing to neutral buoyancy. Compared with N. coriiceps, D. mawsoni muscle showed an overall trend of upregulation of genes involved in glycerolipid biosynthesis and lipid storage, but the differences are not as dramatic as in the D. mawsoni/E. maclovinus comparison. Expression levels of many genes relevant to lipid oxidation were fairly similar between the two species, suggesting common downregulation in lipid oxidation in the Antarctic species compared to the temperate *E. maclovinus* (Additional file 1: Fig. S10; Additional file 1: Tables S12, S13). Consistent with downregulation of the lipid oxidation in the muscles of the two Antarctic fishes were their lower expression levels of lipid oxidation mitigating selenoenzyme gpx4 compared to the robalo. In total, the transcriptome comparisons revealed substantial genetic reprogramming in D. mawsoni muscle that would favor the large lipid deposition in this species.

396 GO enrichment tests on the muscle DEGs also indicated regulatory change (p = 0.073) in 397 fat cell differentiation between *D. mawsoni* and *E. maclovinus*. Adipogenesis in almost all 398 animals is predominately regulated by peroxisome proliferator activated receptor gamma

 $(PPAR\gamma)(36)$. Expression of PPAR γ in *D. mawsoni* muscle was upregulated by more than 5.6-fold compared to E. maclovinus (Additional file 1: Table S14). In addition, as many as 16 known pro-adipogenetic factors were upregulated from 1 to 8 fold. Several factors in the TGF-beta (TGFB1, smad3), Wnt (Sirt1, sirt2, frizzled-related protein (FRZB)) and Notch (jag1b, Hes1) pathways and Jun dimerization protein 2 (JDP2), reportedly negative regulators of adipogenesis were also upregulated. When compared with N. coriiceps, about half of the DEGs in the D. mawsoni/E. maclovinus comparison under this GO term were statistically insignificant, but remarkably the comparison yielded significant upregulation of 10 pro-adipogenetic factors in D. mawsoni muscle, including the most important regulator, PPARy (Fig. 5a), and only one negative regulating factor (TGFB1) (37, 38). These results strongly suggest that regulatory promotion of adipogenesis in D. mawsoni muscle is a key contributing factor to fat deposition and attainment of neutral buoyancy.

Reduction of ossification in *D. mawsoni*

To reveal the genes involved in the reduced bone ossification in D. mawsoni, we compared the transcriptomes of pelvic girdle bones between D. mawsoni (0% body weight in seawater) and the negatively buoyant Antarctic notothenioids Trematomus bernacchii and Pagothenia borchgrevinki, (3.52% and 2.75% of body weight, respectively, in seawater) (13). A total of 1,733 DEGs showing the same direction of change in the D. mawsoni/P. borchgrevinki and the D. mawsoni/T. bernacchii comparisons were identified and used for further analysis (Additional file 3). We found that 48 genes encoding various ribosomal proteins were significantly reduced in expression in the *D. mawsoni* bone, suggesting either a lower protein translation activity or fewer metabolically active cells in the pectoral girdle than in the other two nototheniids. The DEGs were enriched with hundreds of GO biological processes, including "extracellular matrix", "ossification", "response to hypoxia", "angiogenesis" and "lipid storage", indicating multiple genetic programs were distinctly regulated in the toothfish bone (Additional file 1: Fig. S11). In terms of ossification, it was noteworthy that expressions of the two major regulators of vertebrate bone development, sox9 and runx2 (39) were not significantly altered among the three notothenioids. However, expression of many genes of the BMP pathways, wnt pathways and many regulatory factors known to be involved in the process were specifically altered in *D. mawsoni*, which likely shifted the developmental balance between chondrogenesis and osteogenesis (Fig. 5b; Additional file 1: Table S15). Among these highly upregulated genes (depicted in Fig. 5b), CTGF (Connective Tissue Growth Factor) has been implicated in early events of osteogenic differentiation including

proliferation and recruitment of osteoprogenitors, however, when expressed constitutively, CTGF would inhibit both Wnt-3A and BMP-9 induced osteoblast differentiation (40). HSPG2 (prostaglandin-endoperoxide synthase 2) is required for the chondrogenic and adipogenic differentiation from synovial mesenchymal cells via its regulation of sox9 and PPAR γ , but not for osteogenic differentiation via runx2 (41), and ECM1 (extracellular matrix protein 1) interacts with HSPG2 to regulate chondrogenesis (42). MEF2C, a transcription factor that regulates muscle and cardiovascular development, controls bone development by activating the genetic program for chondrocyte hypertrophy (43). Some of the upregulated genes are known to inhibit osteoblastogenesis, such as Tob2 (44), CTNNBIP1 (45), secreted frizzled-related protein 1 (SRFP1) (46) and ZBTB16 (47). Some members of the TGF-beta superfamily (BMPR1a, TGF-beta1, SMAD1), which were upregulated in D. mawsoni are known to promote both chondrogenesis and osteoblastogenesis (48). CYR61 and PTN specifically promote osteoblastogenesis (49, 50), but expression of PTN is drastically reduced, consistent with reduced hard bone formation. A few genes influence ossification via regulating osteoclastogenesis, for example, Sbno2-promotes osteoclast fusion (51) and activation of the EphA2 signaling on osteoblasts led to bone reabsorption(52). We found genes associated with osteoclast differentiation are significantly enriched in the DEGs (p <0.05) and all were upregulated (Additional file 1: Tables S16, S17). Overall, the gene expression patterns in the toothfish bone demonstrated a genetic shift to chondrogenesis over osteoblastogenesis in bone development, which would reduce bone density and contribute to achieving neutral buoyancy.

Studies have indicated that the majority of clinical conditions associated with human bone loss are accompanied by increased marrow adiposity possibly due to shifting of the balance between osteoblast and adipocyte differentiation in bone marrow stromal (skeletal) stem cells (53). A few signaling pathways such as the TGF-beta/BMP pathways and the Wnt pathway (represented by CTNNBIP and SFRP1 in this case) are known to participate in regulation of both bone and adipocyte development in animals. In the toothfish, we found enriched GO terms relevant to regulation of "response to lipid" and "lipid storage" (Additional file 1: Table S12) indicating possible linkage in the regulatory network that orchestrates the loss of ossification and gain of lipids in D. mawsoni bones.

To verify whether the elevated transcription of the regulatory factors indeed resulted in more abundant protein, we selected the factor CTGF for immunohistochemical staining in the bone and surrounding tissues of pelvic fins of *D. mawsoni* and *E. maclovinus* since it is the only factor for which an effective monoclonal antibody is currently available. Much stronger signal was detected in the *D. mawsoni* fin tissue (Fig. 5c), supporting a correlation between
protein abundance and mRNA transcription in the case of CTGF. This result further supports
the involvement of CTGF in the reduced ossification in *D. mawsoni*.

471 Conclusions

We sequenced and compared the genomes and transcriptomes of the cold-adapted high-latitude Antarctic toothfish D. mawsoni and the basal temperate relative E. maclovinus representing the ancestral character state to deduce Antarctic-specific evolutionary and adaptive changes supporting physiological activities of notothenioid fishes in freezing and oxygen rich Southern Ocean waters, as well as the gain of secondary pelagicism fundamental to Antarctic notothenioid niche expansion and adaptive radiation. The assembled genomes achieved 90% (D. mawsoni) and 95% (E. maclovinus) coverage of the respective genome size estimated by cell flow cytometry, and with greater scaffold N50 than the currently available sole Antarctic notothenioid (N. coriiceps) genome, greatly enhancing comprehensive, genome-wide discovery of evolutionary processes.

We found two-fold expansion of TEs in the Antarctic toothfish over the temperate robalo E. maclovinus and deduced the timing of a burst of one major class of TEs (LINEs) to about 6.5 mya, temporally correlating with the late Miocene onset of steady cooling trend of the Southern Ocean (SO) and diversification of the modern Antarctic notothenioid clade, suggesting a role of cold-induced TE expansion in notothenioid speciation. We found many of the protein coding genes in the toothfish evolved rapidly and experienced positive selection, among which genes relevant to preservation of protein homeostasis were particularly prominent. Multiple gene families have undergone duplication during evolution in the cold, as exemplified by genes that confer resistance to freezing in the cold SO waters: the AFGP family that evolved *de novo* and confers extracellular freeze avoidance, and duplicated zona pellucida ZPC5 genes that functionally diversified to aid in cellular freezing resistance. Through transcriptome comparisons, we found functional output of the cellular apparatus for selenoprotein production in the Antarctic toothfish was greatly elevated compared to the basal temperate robalo, suggesting evolutionary mobilization of antioxidant selenoproteins in mitigating intensified oxidative stresses arising from the O_2 -rich SO environment.

497 The evolutionary transition from the negatively buoyant ancestral character to complete 498 neutral buoyancy in the Antarctic toothfish entailed remarkable genetic reprograming of fat 499 deposition and bone development. We found upregulation of processes of adipogenesis in 500 skeletal muscle, and triacylglycerol synthesis and fat storage were favored over fatty acid 501 oxidation. In bone development, a regulatory cascade favoring chondrogenesis over

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osteoblastogenesis was especially evident. The shift in fat synthesis and storage, together with reduction of ossification are therefore key in evolutionary gain of neutral buoyancy and secondary pelagicism in D. mawsoni, and likely in the handful of other pelagic notothenioids, allowing them to diversify into mid-water niches, a distinctive hallmark of the Antarctic notothenioid adaptive radiation.

The remarkable diversification of Antarctic notothenioids (and several other polar fish lineages) is integral to the conclusion from a recent analysis of latitudinal diversity gradient of marine fishes that high-latitude cold water lineages exhibit exceptionally high rates of speciation compared to tropical lineages, counter to expectation based on latitudinal species richness (54). Rates of molecular evolution based on phylogenetic tree branch lengths are not found to be slower at high latitudes (53). We have shown more definitively in this study that in the cold adapted Antarctic notothenioid fish, evolutionary rates in fact accelerated in thousands of protein coding genes, extensive cold-specific gene duplication and functional diversification had occurred, such as the ZP protein gene families, and TE mobility was remarkably elevated which likely contributing to the observed higher frequency of chromosomal rearrangements. In mammals, ZP3 is known to function in sperm-egg recognition (55) and TE activity is positively related to rate of speciation (56). How these genomic and functional changes elicited by selective pressures from the cold SO temperatures might have acted as intrinsic factors affecting notothenioid speciation are rich questions for further investigation.

In summary, the results of this study provided robust new insights into genomic and transcriptomic alterations enabling cold adaptation and niche expansion of the predominant and ecologically vital Antarctic fish group in the SO. The genomes also serve as valuable resources for future investigations of genomic and evolutionary changes in the diverse Antarctic notothenioid families driven by paleoclimate changes in the SO, studies that may shed light on questions about why the coldest ocean has been a hotspot of species formation.

MATERIALS AND METHODS

Specimens, sampling, and DNA and RNA isolation.

Antarctic toothfish D. mawsoni was collected using vertical setline through drilled hole in sea ice of McMurdo Sound, Antarctica (77º 53'S, 166º 34.4'E and vicinity) during austral summer field seasons (Oct.-Dec). Specimens were transported to the aquarium facility in the US National Science Foundation Crary Lab at McMurdo Station and kept in ambient (-1.6°C) flow through

seawater tanks, and sacrificed at 2-4 weeks post capture for blood and tissue sampling. The temperate basal notothenioid E. maclovinus was collected by rod and reel in the Patagonia waters of southern Chile during austral winter (June) and transported to the NSF R/V Laurence Gould at Punta Arenas in a large Styrofoam cooler of ambient water (~8°C), where they were sacrificed and sampled within a few days prior to southbound transit for winter field season. Additional juvenile specimens of D, mawsoni were collected by trawl from the waters of Antarctic Peninsula during the same winter season, and sampled on ship board shortly after capture. The dissected carcasses of E. maclovinus and juvenile D. mawsoni were kept frozen at -80°C, which provided the pelvic bone samples for immunohistochemical detection for expression of candidate genes in bone development. To preserve high molecular weight (HMW) DNA for genome sequencing, red blood cells of each species were embedded in 1% melt agarose to provide about 20ug DNA per 90uL block using BioRad plug molds (CHEF Mammalian Genomic DNA Plug Kit #1703591, Bio-rad, USA)., lysed in situ and preserved following Amemiya et al (1996) (57). To recover HMW DNA, the agarose plugs were digested with β -agarase (NEB, USA) followed by phenol extraction and dialysis, and quality verified using pulsed field electrophoresis. RNA for transcriptome sequencing was isolated from -20°C ethanol preserved tissues using Trizol (Invitrogen) and quality verified using an Agilent BioAnalyzer. Collection, handling and sampling of the Antarctic toothfish and S. American robalo in this study were carried out in compliance with protocol # 12123 approved by the University of Illinois Institutional Animal Care and Use Committee (IACUC).

Sequencing and genome assembly

The sequencing libraries with insert sizes of 170, 250, 500 bp were prepared for sequence of the paired-end reads, following a modified version of the manufacturer's protocol (Illumina). An integrated protocol from the Mate-Pair Library v2 Sample Preparation Guide (Illumina) and the Paired-End Library Preparation Method Manual (Roche) was used to prepare mate-pair libraries with insert sizes of 3, 6, 10, 15 and 20 kb (Additional file 1: Tables S1b, **S1c**). For the transcriptome sequencing, Poly(A)+ mRNA was purified using the DynaBeads mRNA Purification kit (Life Technologies). Paired-end cDNA libraries were constructed using the RNA-Seq NGS Library Preparation Kit for Whole-Transcriptome Discovery (Gnomegen). All of the libraries are sequenced on an Illumina HiSeq 1500 sequencer. The D. mawsoni genome was assembled using SOAPdenovo (17) to build the contigs and SSPACE (19) to scaffold the contigs. The *E. maclovinus* genome was assembled using Platanus¹⁶ to build the contigs, and SSPACE to scaffold the contigs.

573 Annotation of the genomes

We identified repeats, protein-coding genes and non-coding RNA in the genome assemblies of the two species. First, a de novo repeat annotation of D. mawsoni and E. maclovinus genomes was carried out by successively using RepeatModeler (version 1.0.8) and RepeatMasker (version 4.0.5). De novo repeat libraries of the two species were constructed with two complementary programs, RECON (58) and RepeatScout (59) implemented in the RepeatModeler package. The generated consensus sequences were manually checked by aligning to the Repbase transposable element library (http://www.girinst.org/repbase/) and genes from the NCBI database (nt and nr). The D. mawsoni and E. maclovinus repeat library consisted of 975 and 676 consensus sequences with classification information respectively, which were used to run RepeatMasker on the assembled scaffolds. Secondly, protein-coding genes were predicted using a combination of homology-based and *de novo* approaches. GLEAN was used to create consensus gene set by integrating evidence from each prediction. Then RNA-Seq data were used to rectify gene models. Generated coding genes were aligned to known protein databases, including InterPro (60), KEGG (61) and Uniprot (62), and functional assignment was based on that of the best database match. Thirdly, the tRNA genes were predicted with tRNAscan-SE (63). Aligning the rRNA template sequences from fishes using BlastN with E-value 1e-5 identified the rRNA fragments. The miRNA and snRNA genes were predicted with INFERNAL (64) software against the Rfam database (Release 12) (65).

Phylogenetic reconstruction of 10 vertebrate genomes

Protein coding genes of Atlantic cod (Gadus morhua), tetraodon (Tetraodon nigroviridis), Antarctic notothenioid N. coriiceps, stickleback (Gasterosteus aculeatus), tilapia (Oreochromis niloticus), medaka (Oryzias latipes), zebrafish (Danio rerio) and mouse (Mus musculus) genomes were collected from Ensembl release 84 or NCBI, and D. mawsoni and E. maclovinus genes from this study, were used to build orthologous clusters with OrthoMCL (Ver. 2.0.9) (21) with default parameters and options. A total of 2,936 one-to-one single-copy genes were identified among the ten species. Protein-coding sequences of the orthologs were aligned using PRANK (Ver.140603) (66) under a protein model with default parameters. The coding sequences of the genes were concatenated to a supergene for each species. The supergene sequence dataset was subjected to phylogenetic analysis using MrBayes (67)], implementing best-fit substitution model (GTR+gamma+I) as determined by Modeltest (68).

The analysis was run 800,000 generations, sampling every 100 generations, with the first 2,000 sample set as burn-in. Branch-specific dN and dS were estimated with codeml of the PAML package (69). The analysis of changes in gene family size were computed with CAFÉ (70).

611 GO annotation and identification of positive selection genes

GO terms of the D. mawsoni, E. maclovinus and stickleback orthologs were built with InterproScan (71). The orthologs of each GO terms were concatenated to estimate branch-specific dN and dS using codeml of PAML. A binomial test was used to identify the excess of nonsynonymous changes of GO categories in either D. mawsoni or E. maclovinus lineages referenced to the stickleback. Only the GO terms carrying more than 30 orthologs were put into this calculation. To detect genes evolving under positive selection in D. *mawsoni*, we used the branch-site model in which likelihood ratio test (LRT) p values were computed. Fisher's exact tests were used to test for over-represented functional categories among the positive select genes. GO enrichment analyses of the genes under positive selection were performed using a hypergeometric method.

623 Calling of heterozygous SNPs

All of the paired-end reads were mapped to the assembled scaffolds with the aligner SMALT to detect the heterozygous sequence polymorphism in the genomes. The heterozygous SNPs were called with SSAHA_Pileup (version 0.8; <u>ftp://ftp.sanger.ac.uk/pub/zn1/ssaha_pileup/</u>). Five thresholds were used to post-filter unreliable SNPs: (1) SSAHA_Pileup SNP score >= 20; (2) ratio of two alleles between 3:17 to 17:3; (3) the lowest sequencing depth for each allele >= 5; (4) the minimum distance for adjacent SNPs >= 5 bp; (5) only one polymorphism detected at each SNP position.

632 Transcriptome analyses

RNA-seq data derived from liver, gill, stomach, white muscle, red muscle, skin, small
intestine, brain, head kidney, caudal kidney, spleen, and ovary were analyzed for variations in
gene expression of *D. mawsoni* and *E. maclovinus*. RNA-seq reads were trimmed using
Trimmomatic (Ver. 0.33) (72)with the parameter set to AVGQUAL at 20, TRAILING at 20
and MINLEN at 50. The cleaned Illumina paired-end reads of each tissue were mapped to the
annotated scaffolds of *D. mawsoni* and *E. maclovinus* genome using HISAT2 aligner (Ver.
2.0.4)(73). Cufflinks (Ver. 2.2.1) (74) normalized gene expressions to the quantified

transcription levels (FPKM). Differentially expressions of the genes were assessed using DEGseq (Ver. 1.28.0) (75)with cutoff at q < 0.001(76). GO and KEGG enrichment analyses for the identified differentially expressed genes were performed using cluserProfiler packages (77) with the cutoff at p < 0.05.

645 Construction of gene collinearity among *D. mawsoni*, *E. maclovinus* and stickleback 646 genomes.

The genes of *D. mawsoni* and *E. maclovinus* were aligned to the gene model set of stickleback by Blastp with E-value at 1e-20. Two criteria were used to call syntenic gene blocks in the *D. mawsoni* or *E. maclovinus* scaffolds: (1) Number of the gene on the syntenic block >=3; (2) number of non-syntenic genes between two adjacent syntenic genes <= 10. Each syntenic block was anchored on the stickleback genomes according to the orders of the reference gene.

654 Western-blot analysis of ZP proteins

The proteins were separated on 10% SDS-PAGE at 100V for 90 min in 193 mM glycine and 25 mM Tris (pH 8.8). The resolved proteins were electrophoretically transferred to a nitrocellulose membrane (Millipore) using a Mini-Protean Tetra Cell (BioRad) in a buffer containing 193mM glycine, 25mM Tris (pH 8.3) and 20% methanol. The membrane was treated with blocking agent (5% nonfat milk in 1x TBST) for 2 h at room temperature on a shaker. FLAG antibody or β -actin antibody (Hua An Biotechnology Co. Ltd, Hangzhou, China) was added, and the membranes were incubated at room temperature for 1 h. The membrane was then washed with 1x TBST three times for 15 min each. The secondary antibody (1:2,000 in 1x TBST, Boston Biomedical Inc.) was then added and incubated for 1 h at room temperature. The membrane was washed with 1x TBST twice and 1x TBS once for 15 min each. Color was developed using SuperSignal West Pico Chemiluminescent Substrate (Thermo Scientific) according to the manufacturer's instructions. Images were acquired using a ChemiDoc MP Imaging System (BioRad).

669 Assay of CHO cell survival rate at freezing temperature.

DmZPC5 exons were serially deleted using PCR amplification of the DmZPC5 expression
vector with primers designed to eliminate desired coding sequences (Fig.3b). The full-length
sequences of three DmZPC5 isoforms (DmZPC5-1, DmZPC5-2 and DmZPC5-3) were
engineered to contain a FLAG octapeptide and cloned into the expression vector

pIRES2-EGFP (Additional file 1: Fig. S5). The three constructed vectors and blank control (vector pIRES2-EGFP) were transferred into the CHO cells (American Type Culture Collection CCL-61) obtained from American Type Culture Collection. The CHO cells were cultured in Dulbecco's modified Eagle's medium containing 10% fetal bovine serum (Gibco). CHO cells were incubated at 37°C for 2 days and then kept at -2 °C for 8 hours. The treated CHO cells were collected and washed by DPBS (Dulbecco's Phosphate-Buffered Saline) twice. The cells were stained with 10 μ g/mL propidium iodide at room temperature for 5 minutes, and numbers of PI-stained cells (dead cells) were determined by flow cytometry. The survival rate is calculated with the equation: survival rate = S / (S + D), where S is the number of surviving cells and D is the number the dead cells.

685 Identification of the LINEs and estimation of their divergence time.

The seed sequences of the LINEs (28) were aligned against the D. mawsoni and E. maclovinus draft genomes, respectively, using BlastN at E-value of 1e-10. According to loci of the alignments, the sequences were extracted from the genomes, which were considered as the candidates of the LINEs. If distance of two adjacent candidates was 200 bp or less, these two candidates were connected by the sequence between them. All of the candidates and their corresponding seed sequences were mutually aligned by BlastN. Those candidates with over 60% identity and over 100 bp of alignments to the seed sequences were collected as the LINEs of D. mawsoni and E. maclovinus, respectively.

Alignment of the LINEs was conducted by a multiple sequence aligner ClustalW (78) to cluster any two LINEs with highest sequence similarity into a LINE pair in D. mawsoni or E. maclovinus. The evolutionary distance of two LINES for each LINE pair was calculated by the Kimura two-parameter method (EMBOSS distmat, version 6.6.0.0), which reflected the substitution rate per site between the two LINEs. According to the calculated synonymous substitution rates for 7,958 D. mawsoni-E. maclovinus orthologous pairs, the mean synonymous substitution rate is around 0.227. The peak of substitution rate is at 0.04, which estimated the LINE burst to be about 6.5 million years ago when the species divergence time between D. mawsoni and E. maclovinus is around 37 million years ago (79).

Tissue fixation and immunohistochemistry

Pieces of pelvic bone (with muscle) and the attached fins (no larger than $20 \times 20 \times 5$ mm) were dissected from frozen specimens of young *D. mawsoni* and *E. maclovinus* and immersed in a fixation solution, KINFix which contains (62.5% (v/v) ethanol, 6.71% (v/v) acetic acid,

and 6% (w/v) trehalose (80) for over 24hrs. Tissue are decalcified in EDTA solution (cat. no.041-22031, WAKO) for about 2 weeks. Then the specimen was dehydrated in graded ethanol (70%, 80%, 90%, 95%, $1 \times 1h$ each), 100% ethanol $2 \times 1h$ at room temperature, xylene for $2 \times 1h$, and embedded in low-melting paraffin for $2 \times 1h$, and kept overnight at 56°C, then embedded in paraffin. For each tissue, 5 µm thick serial sections were cut with a microtome (RM2245, Leica). Immunostaining was performed using the EnVision detection system (cat. no.K5007, Dako). Slides were deparafinized in xylene and rehydrated in a descending series of ethanol (100%, 95%, 90% and 70%), and washed in phosphate buffered saline (PBS). Endogenous peroxidases were blocked with 3% H₂O₂ for 10 min, after which the sections were incubated with 5% BSA for 35min. Then, the slides are incubated overnight with the primary CTGF antibody (1:400 dilution) (cat. no.ab6992, Abcam) at 4°C. Next, the sections were washed four times with PBS for 15 min followed by incubation with a goat anti-rabbit secondary antibody for 35min at 37°C. After four washes with PBS, 3, 3'-diaminobenzidine (DAB) was added to visualize the immunoreactivity. All slides were counterstained with haematoxylin. The sections were dehydrated in a mounting series of alcohol (70%, 90%, 100% and 100%) and in xylene. Finally, slides are mounted using neutral balsam mounting medium, and analyzed under a bright field microscope (AXIO imager. M2, ZEISS).

Availability of data and material

All of the Illumina short read sequencing data of this project have been deposited at NCBI under the accession no. BioProject PRJNA401363 (<u>http://www.ncbi.nlm.nih.gov/sra/</u>). The assembled draft genomes and their annotations have been released at the official website of the Shanghai Ocean University (<u>http://202.121.66.128/</u>). The current version of the data set is the first version (v1).

733 Additional files

Additional file 1 : Figs. S1 to S11 and Tables S1 to S17.

Additional file 2 : supporting data for Fig. 1b, Fig.2c, Fig. 2d, and Fig. 4.

Additional file 3 : list of duplicated protein gene families of *D. mawsoni* and *E. maclovinus*.

737 Additional file 4: list of DEGs between *D.mawson*i and two negatively buoyant738 notothenioids.

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753 Authors' contribution

LC and CHCC conceived and managed the project and its components. CHCC and MH performed fish and tissue collections and sample preparations. KRM and XZ contributed to DNA and RNA preparations and genome size determination. YL, MY, and WL performed genome annotation and RNA-seq data analysis. YR and SP conducted de novo genome assembly. KTB confirmed the AFGP loci. QX, YF and LC designed and performed the biological experiments. Sample preparation and genome sequencing were carried out by SJ, WZ and JW. LC, QX, YL and CHCC analyzed the data as a whole and wrote the manuscript, and CHCC and WW contributed interpretation of data and edits to the manuscript.

Competing interests

The authors declare to no competing financial interests.

766 URLs KEGG, http://www.genome.jp/kegg/; KAAS,

- 767 http://www.genome.jp/tools/kaas/;SSPACE,
- 768https://www.baseclear.com/genomics/bioinformatics/basetools/SSPACE;Platanus,769http://platanus.bio.titech.ac.jp/;SMALT, http://www.sanger.ac.uk/resources/software/smalt/;770SOAPdenovo,http://soap.genomics.org.cn;RepeatModeler,771http://www.repeatmasker.org/RepeatModeler.html;RepeatMasker,
- 772 http://www.repeatmasker.org; Repbase, http://www.girinst.org/repbase/; Timetree,

ftp://ftp.ensembl.org/pub/; http://www.timetree.org/;Ensembl, http://evolution.genetics.washington.edu/phylip.html; PAML, http://abacus.gene.ucl.ac.uk/software/paml.html; GLEAN, https://github.com/glean/glean; http://www.ebi.ac.uk/interpro/; Interpro, Infernal, http://eddylab.org/infernal/; Rfam. http://rfam.xfam.org/; OrthoMCL, http://orthomcl.org/orthomcl/; Mrbayes, http://mrbayes.sourceforge.net/; SSAHA_Pileup, ftp://ftp.sanger.ac.uk/pub/zn1/ssaha_pileup/; HISAT2, http://ccb.jhu.edu/software/hisat2/index.shtml. Legends Fig. 1 Sequenced species and genome synteny. (a) Sampling location and geographic distribution. The red and blue filled circles are the geographic distributions of D. mawsoni and E. maclovinus, respectively (www.fishbase.org, version 02/2018 (81) and Hanchet et al.(82)). The red and blue stars show the respective locations where the sequenced individuals were collected. The Antarctic Polar Front, an approximation of the mean position of the Antarctic Circumpolar Current, is adopted from Barker and Thomas(83). The image of D. mawsoni is a courtesy from Elliot DeVries and that of *E. maclovinus* is from Dirk Schories. (b) Gene collinearity among *D*. mawsoni, E. maclovinus and G. aculeatus (Stickleback). The scaffolds of D. mawsoni (the circularized red blocks labelled with "D") and E. maclovinus (the circularized green blocks labelled with "E") are anchored on the twenty-one Stickleback chromosomes (the circularized light blue blocks labelled with "G", 1 to 21), according to the gene collinearity (the connecting yellow lines). The black vertical lines within the D. mawsoni and E. maclovinus scaffolds indicate occurrence of LINEs greater than 500 bps in these positions. The sequence length is indicated by the 5-Mb tick marks on the reference Stickleback chromosomes. The outermost circle of red vertical lines and the innermost circle of green vertical lines indicated

the quantified expression levels (FPKM) of the genes located on the corresponding *D*. *mawsoni* and *E. maclovinus* scaffolds, respectively. The expression profiles are derived from
the transcriptome data of white muscles (see the transcriptome section). The small white
squares and rectangles scattered in the scaffolds show the locations of the Selcys-tRNA genes
of *D. mawsoni* and *E. maclovinus*. The single yellow square shows the location of AFGP
genes in the *D. mawsoni* genome.

Fig. 2 Evolution of the genomes and genes. (a) Timing and frequency of LINE insertion in D. mawsoni and E. maclovinus showing correlation between onset of late Miocene deep cooling and burst LINE insertions in the Antarctic toothfish. The black trace indicates global temperature trends during Oligocene, Miocene, Pliocene (Pli) and Pleistocene (Ple) from 30 to 0 million years ago (mya), modified from Zachos et al. (2008)(84), Near et al. (2012) (9) and Favre et al. (2015) (85). The red and blue line indicate the insertion frequency of LINEs (the percentage of the calculated LINE pairs) in the D. mawsoni and E. maclovinus genomes respectively during these periods. (b) Reconstructed phylogeny of nine teleost fish lineages using 2,936 orthologous genes (mouse serving as outgroup) and the calculated dN/dSratio for each branch, showing a 2-fold faster evolutionary rate in the Antarctic notothenioids. (c) Comparison of adaptive evolution between D. mawsoni and E. maclovinus genomes. Data points represent average dN/dS value of each GO term, each of which consists of at least 30 genes. The red and blue circles show the GO terms with significantly higher dN/dS ratios (p< 0.05, binomial test) in D. mawsoni and E. maclovinus, respectively. The grey circles are those showing no significant difference. GO terms falling on the dashed line of linearity have the same dN/dS ratios in the two species. (d) Gene duplication in D. mawsoni. A subset (26) of the 202 gene families detected to contain higher gene copy numbers in the D. mawsoni genome relative to other species are listed on the left, with their respective KEGG pathway listed on the right. The gene copy numbers are measured by color difference. The pathways highlighted in red are especially abundant in D. mawsoni and might be relevant to physiological adaptation of D. mawsoni in the freezing environment. (e) A subset of duplicated gene families in E. maclovinus, showing different KEGG pathways between D. mawsoni and E. maclovinus in terms of gene duplication. The red highlighted pathway (Ether lipid metabolism) indicates a common duplication occurred in the three Notothenioids.

Fig. 3 Evolutionary and functional analyses of the DmZPC5 genes involved in cellular freezing resistance. (a) Duplication of ZPC5 gene (DmZPC5) in D. mawsoni. Phylogenetic neighbor-joining tree of ZPC5 genes among D. mawsoni, E. maclovinus, Larimichthys crocea (Lc), T. rubripes (Tr) and O. latipes (Ol). The gene structures are illustrated on the right. The different colored blocks indicate the exons encoding signal peptides (red), zp domains (blue) and the remaining exons (incarnadine). The jagged blocks contain the nonsense mutations in DmZPC5-2a/b and DmZPC5-3 genes that cause premature termination of coding sequences. (b) Western-blot analysis of the DmZPC5 isoforms indicated their sizes and temperature sensitive accumulation. Purified proteins encoded by the three DmZPC5 isoforms were detected by an anti-FLAG antibody on the SDS-PAGE gels. All of these three DmZPC5 protein had higher expression levels at 0°C than at 37 °C. (c) Assays of cell survival rate under recombinant expression of different DmZPC5 isoforms in CHO cells at a freezing temperature (-2°C for 8 hrs). The bars represent the mean \pm s.d (n = 3, biological replicates). The sample pIRES2-EGFP is the expression vector as control. Significances of different survival rate are indicated by * (unpaired Student's t-test, p < 0.05) and ** (p < 0.01).

Fig. 4. Comparison of gene expression between D. mawsoni and E. maclovinus tissues. The squares/triangles, circles and diamonds filled in different colors represented the genes involved in three metabolic processes (listed on the right). The genes with significantly higher expression in *D. mawsoni* or *E. maclovinus* are labeled on the corresponding organs.

Fig. 5 Schematic diagram showing changed regulation of buoyancy related developmental pathways. (a). Enhanced adipogenetic pathways in *D. mawsoni* muscle. The genes shadowed with the dark red color were upregulated in *D. mawsoni* while those shadowed in light grey were unchanged. (b). Changed osteogenetic regulation in D. mawsoni bone. Genes shadowed with the dark grey color were upregulated in D. mawsoni while those in light grey were not changed. The arrows (in dark red or dark grey) indicate a positive effect on the process while blocked (in blue) lines indicate inhibitory effect. MSC: Mesenchymal Stem Cell. (c). Immunohistochemical (IHC) staining to detect the abundance of Connective Tissue Growth Factor (CTGF) in cross sections of pelvic fin of D. mawsoni and E. maclovinus. The left panels of each fish are IHC staining without the first antibody as negative control. The presence of CTGF is indicated by the brown signals in the right tissues. Scale bar, 50µm.

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	D. mawsoni	E. maclovinus
Assembly		
Total length (Mb)	756.8	744.4
Contig N50 length (Kb)	23.1	10.9
Scaffold N50 length (Kb)	2,216.2	694.7
Scaffold N90 length (Kb)	202.7	167.2
Largest scaffold (Mb)	13.8	4.9
Quantity of scaffolds (>N90 length)	536	1,185
Annotation		
Quantity of predicted protein-coding genes	22,516	22,959
Quantity of predicted non-coding RNA genes	2,434	2,185
Content of transposable elements	21.38%	10.02%
Heterozygous SNP rate (SNPs per kb)	2.58	2.40

Table 1 Overview of assembly and annotation



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The expanded gene families in F maclovinus

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maclovinus	Shick Coll	10.11
family_000469	244 C	Ī
family_000655		1
family 000704		1
family 000986		1
family 001064		1
family 001243		1
family 001256		1
family 001493		1
family 001564		1
family 001965		1
family 001974		
family 002731		1
family_002734		

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04015: Rap1 signaling pathway
04910: Insulin signaling pathway
04141: Protein processing in endoplasmic reticulum
00565: Ether lipid metabolism
00250: Alanine, aspartate and glutamate metabolism.
04922: Glucagon signaling pathway
04530: Tight junction
04080: Neuroactive ligand-receptor interaction
04723: Retrograde endocannabinoid signaling
04540: Gap junction
00270: Cysteine and methionine metabolism
0.3450: Non-homologous end-joining
00532: Glycosaminoglycan biosynthesis

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Additional file 1

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August 31, 2018

Dear Editor,

We wish to submit our manuscript entitled "Genomic bases for colonizing the freezing Southern Ocean revealed by the genomes of Antarctic toothfish and Patagonia robalo" for consideration for publication in *Gigascience*.

As a brief background to this work and its importance, the endemic Antarctic notothenioid fishes, which comprise the bulk of fish biomass in the Southern Ocean, are derived from a temperate-water ancestor that was a heavy, bottom-dwelling fish that would not have been able to survive in the freezing temperatures found in the current Southern Ocean. Thus, widespread adaptive genetic change underlies the success of contemporary notothenioids, which resist freezing and have come to occupy all depths of the marine water column.

In most case, owing to the difficulties in sample collection, storage and transportation from distant Antarctica, tissues of the icefish are hard to isolate high quality genomic DNA, which limited the use of new sequencing technologies (such as the technology of high-through chromosome conformation capture (Hi-C)). This is why, till now, only tow icefish genomes (Shin SC, et al. The genome sequence of the Antarctic bullhead notothen reveals evolutionary adaptations to a cold environment. *Genome Biol* 15(9), 2014; Ahn et al. Draft genome of the Antarctic dragonfish, *Parachaenichthys charcoti. Gigascience*, 6(8):1-6, 2017) was published. In present study, we isolated the HMW genomic DNA and applied the Illumina sequencing technology to perfrom the whole-genome shotgun sequencing of the two fish genomes through the sequencing libraries with the insert sizes from 170 to 40000 bp. The quality of the assemblies is significantly higher than the two published icefish genomes.

Using the generated genome and annotation data, we compare the genomes of a proxy for the benthic ancestral species, the South American temperate notothenioid Eleginops maclovinus, and a neutrally buoyant, highly freeze-resistant Antarctic pelagic species (Dissostichus mawsoni) to elucidate the mechanisms underlying the latter species' tolerance of subzero temperatures, neutral buoyancy, and ability to cope with the high dissolved oxygen levels of the Southern Ocean. We reveal heretofore unknown aspects of genome evolution that have led to adaptive radiation of notothenioids. Thus, we show that rapid expansion of transposable elements, adaptive evolution of protein-coding genes, reorganization of development programs for lipid synthesis and bone development, exploitation of new genes (egg chorion proteins) for enhancing freeze-resistance, and expansion of genes encoding proteins that provide anti-oxidant function accompanied the decrease in Southern Ocean water temperatures. Our new data also provide a molecular-mechanistic basis for interpreting a recent trend reported by Rabosky et al. (An inverse latitudinal gradient in speciation rate for marine fishes, Nature (2018) 559: 3920395) that cold, high-latitude waters are a 'hotspot' for fish evolution. In the context, the close temporal link between rapid expansion of transposable elements, which support chromosomal/genetic rearrangements and falling water temperatures is an especially noteworthy and novel discovery. Our discoveries concerning modification of the developmental programs essential for bone generation and lipid deposition also have biomedical implications.

Because of the novelty and broad implications of our discoveries for evolutionary biology, biogeography, developmental physiology, and biomedicine, we believe that the *Gigascience* would be an excellent site for our work to be published.

Sincerely

Liangbiao Chen