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Risk of Benign Paroxysmal Positional Vertigo in Patients with Depressive Disorders: A Nationwide Population-Based Cohort Study

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Abstract

Objective: The association between depression and benign peripheral persistent vertigo (BPPV) remains debated. This study aimed to investigate the risk of BPPV in patients with depressive disorders.

Design: Longitudinal nationwide cohort study

Setting: National health insurance research database in Taiwan

Participants: We enrolled 10,297 patients diagnosed with depressive disorders between 2000 and 2009 and compared them to 41,188 selected control patients who had never been diagnosed with depressive disorders (at a 1:4 ratio matched by age, gender and index year) in relation to the risk of developing BPPV.

Methods: The follow-up period was defined as the time from the initial diagnosis of depressive disorders to the date of BPPV, censoring, or 31 December 2009. Cox proportional hazards regression analysis was used to investigate the risk of BPPV by sex, age and comorbidities, with hazard ratios (HRs) and 95% confidence intervals (CIs).

Results: During the 9-year follow-up period, 44 (0.59 per 1,000 person-years) patients with depressive disorders and 99 (0.33 per 1,000 person-years) control patients were diagnosed with BPPV. The incidence risk ratio of BPPV among both cohorts calculating from events of BPPV per 1,000 person-years of observation time was 1.79 (95% CI, 1.23-2.58, p=.002). Following adjustments for age, sex, comorbidities, urbanization, and socioeconomic status, patients with depressive disorders were 1.57 times more likely to develop BPPV (95% CI, 1.09-2.26, p=.014) as compared to control patients. In addition, hyperthyroidism (HR = 3.55, 95% CI, 1.58-7.98, p=.002) was an independent risk factor for the development of new-onset BPPV in patients with depressive disorders.

Conclusions: Patients with depressive disorders may have an increased risk of developing BPPV, especially those who have hyperthyroidism.

Keywords: depressive disorders; benign peripheral persistent vertigo; hyperthyroidism; risk factor; cohort study

Strengths and limitations of this study

- The association between depression and benign peripheral persistent vertigo (BPPV) remains debated. This longitudinal population-based data was conducted to assess the risk of BPPV in patients with depressive disorders.
- 2. The NHIRD lacks detailed clinical data regarding severity and outcomes of BPPV
- 3. Results from our study may underestimate the current condition since only patients seeking medical service would be identified in the Registry of NHIRD.

Introduction

Depressive disorders are common mood disorders occurring in all populations and the Global Burden of Disease 2017 had refereed depressive disorders as a leading cause of health burden across the globe.¹ Patients with depressive disorders have been reported with an increased risk of mortality and propose the classification of depressive disorders as life-threatening.^{2 3} Furthermore, people with depressive disorders have been reported with many somatic symptoms and result in increased need for clinical services, associated economic costs,^{4 5} and considerable loss in quality of life.⁶

Benign paroxysmal positional vertigo (BPPV) have been reported with a lifetime prevalence of 2.4%, is the most common type of peripheral vertigo. Which is characterized by brief spinning sensations, usually induced by a sudden change in head position with respect to gravity, with attacks generally lasting less than 1 minute.⁷ The fundamental pathophysiology of BPPV is dislodged calcium carbonate crystals in the utricle of the inner ear entering the semicircular canals.⁸ Old age ⁷ and several co-morbidities, such as hypertension, diabetes mellitus, hypercholesterolemia, cerebrovascular ischemia, and cervical spondylosis result in the degeneration of the posterior labyrinth and otoconia detachment, have been regarded as risk factors of BPPV.

Psychiatric disorders or emotional stress are frequently observed in patients suffering from vertigo.^{9 10} The results of most studies have been reported the higher rate of coexistence of depression and vestibular disorders¹¹⁻¹³ will lead to a vicious circle and a serious influence on the quality of life¹⁴ and peripheral vertigo may play an essential role in the pathophysiology of development of subsequent depressive disorder, most of these studies report contradictory or conflicting results. Furthermore, when specified to explore the association between depression and BPPV, only a relatively small-scaled case-control study

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indicates that life stressors and related depressive disorder may be seen as a trigger of vestibular dysfunction, that is, a potential precursor of BPPV.¹⁵

Therefore, considering the debates on the association between the depression and BPPV and no large-scaled study have tried to investigate the issue, we designed a nationwide retrospective cohort study to explore the association between depressive disorder and the subsequent BPPV. In addition, independent risk factors for developing BPPV among patients with depressive disorders were also investigated.

Materials and Methods

Data Sources

Nearly 99% of Taiwan's population utilizes health care services as a consequence of the National Health Insurance (NHI) Program Bold Legislative Act enacted in 1995.¹⁶ The program offers comprehensive medical care coverage regarding outpatient, inpatient, emergency visits, and Chinese medicine to all residents of Taiwan. The NHI research database (NHIRD) contains comprehensive information with regard to clinical practice, including prescription details and diagnostic codes in the International Classification of Diseases, Ninth revision, Clinical Modification (ICD-9-CM) format. The NHIRD is managed by the National Health Research Institutes (NHRI) and privacy is maintained according to directives from the Bureau of the NHI.¹⁷ The data source for our study was obtained from the Longitudinal Health Insurance Database 2005 (LHID2005), a dataset of the NHIRD. LHID2005 contains the data of 1,000,000 beneficiaries which were sampled from January 1, 2005 to January 1, 2006, that is, collected from those who were available in the Registry of the NHIRD in 2005. In addition, it is worth emphasizing that although the dataset was collected from those available in 2005, the LHID20005 included all original claim data of 1,000,000 individuals from January 1, 1996 to December 31, 2009. Moreover, the NHRI

affirms that there are no statistical differences in the distributions of age, sex, or health care costs between the data in the LHID2005 and that of the NHIRD.¹⁷

Availability of Data and Materials section

The NHIRD is addressed in publicity by the NHRI and the use of NHIRD is only for research purposes. All applicants must obey the Computer-Processed Personal Data Protection Law¹⁸ and relevant regulations of Bureau of National Health Insurance and NHRI. Moreover, applicants and their supervisor were asking for signing agreements upon application submission. All applications are reviewed for approval of data delivered. Request for the dataset may be sent an e-mail to the NHRI at nhird@nhri.org.tw or call at +886-037-246166 ext. 33603 for immediate service. Office Hour: Monday-Friday 8:00-17:30 (UTC+8).

Study design and subjects

We utilized data from the LHID 2005 and conducted a retrospective cohort study using a dataset collected between January 1, 2000 and December 31, 2004. We enrolled patients \geq 20 years who were diagnosed with depressive disorders by a psychiatrist according to the ICD-9-CM depressive disorders diagnosis codes: 296.2X-296.3X, 300.4, and 311.X. We defined the date of enrolling an adult patient with depressive disorders as case cohort between 2000 and 2004 as enrolment date. For each patient with depressive disorders included in the final cohort, 4 age- and sex-matched control patients without depressive disorders were randomly selected on the same enrolment date from the LHID 2005. We excluded patients who were previously diagnosed with BPPV (ICD-9-CM code 386.11) before the enrollment date. For each patient with depressive disorders included in the final cohort, 4 age- and sex-matched control patients without depressive disorders were randomly selected on the same enrolment date from the LHID 2005. We excluded patients who were previously diagnosed with BPPV (ICD-9-CM code 386.11) before the enrollment date. For each patient with depressive disorders included in the final cohort, 4 age- and sex-matched control patients without depressive disorders were randomly selected from the LHID 2005. The primary clinical outcome assessed was only BPPV diagnosed by neurologists or otorhinolaryngologists. We excluded those who diagnosed

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with acoustic neuroma (ICD-9-CM code 225.1), Meniere's disease (ICD-9-CM code 386.0X), vestibular neuritis (ICD-9-CM code 386.12), labyrinthitis (ICD-9-CM code 386.3X), sudden hearing loss (ICD-9-CM code 388.2), and head injury (ICD-9-CM code 310.2, 800.X, 804.X, 850.X–854.×, 870.X–873.X, 907.0, 907.1, 959.0X, and V15.52) during the follow-up period. The cohort including patients with and without depressive disorders was observed until the development of BPPV, death, withdrawal from the NHI system, or December 31, 2009.

Ethics Statement

This study was approved by the Institutional Review Board of the Kaohsiung Veterans General Hospital (No.: VGHKS14-CT7-07). We could not obtain written consent from study patients as the NHI dataset consists of de-identified secondary data for research purposes. The IRB of Kaohsiung Veterans General Hospital issued a formal written waiver for the need for consent.

Statistical analyses

The incidence of newly diagnosed BPPV in patients with depressive disorders and controls during the observational period was calculated and stratified by sex and age (≥ 65 years or < 65 years). Comparisons between continuous variables were conducted with the independent *t*-test. Chi-squared analysis was used to examine the association of two categorical characteristics between the depressive disorders and control cohort. A Cox proportional hazards model was used to evaluate confounding variables and whether depressive disorders increase the risk of developing BPPV. The confounding variables were age, sex, and common comorbidities including hypertension, diabetes mellitus, dyslipidemia, coronary artery disease, congestive heart failure, hyperthyroidism, hypothyroidism,

cerebrovascular disease, and malignancy; urbanization; and monthly income (table 3). Another Cox proportional-hazards regression model was performed again to identify variables that predicted BPPV in the patients with depressive disorders (table 4). The cumulative incidences of BPPV were compared between depressive disorder and control cohorts using Kaplan–Meier curves and stratified log rank test was applied to determine the differences in the risk for BPPV in the cohort.

Results

Participant Selection

We analyzed 10,297 patients with depressive disorders and 41,188 control patients. The majority of patients in the cohort were female (61%). The median age was 39 years (interquartile range [IQR], 30–51 years), and the median follow-up period was 7.19 years (IQR = 5.96-8.48 years) for patients with depressive disorders and 7.22 years (IQR = 6.00-8.51 years) for control patients (p=0.002). Table 1 includes comparisons of demographic, clinical variables, and socioeconomic data between the control and depressive cohorts. In the depressive disorders group, the most common comorbidities were hypertension (2,124 patients, 20.6%), diabetes mellitus (1,236 patients, 12.0%), and dyslipidemia (1,541 patients, 14.5%). As compared to the controls, depressive disorders patients had a significantly more physical comorbidities. Besides, depressive disorders patients had a significantly higher prevalence in low-income populations (50.4% vs. 44.4%, p<0.001) and in urban areas (64.1% vs. 60.9%, p< 0.001) as compared to non-depressive disorders patients

Incidence Rate of BPPV

During the follow-up period, 44 patients (0.59 per 1,000 person-years) were diagnosed with BPPV in the depressive disorders group, and 99 patients (0.33 per 1,000 person-years) were diagnosed with BPPV in the control group. The incidence risk ratio (IRR) of BPPV between depressive disorders and control patients was 1.79 (95% CI [confidence interval],

1.23–2.58, p=0.002). When stratified by sex and age, the IRR of BPPV remained higher in the depressive disorders than in the control patients. The results are shown in Table 2.

Risks of Newly Diagnosed BPPV among the Patients with and without Depressive Disorders

After adjusting for age, sex, comorbidities, urbanization, and monthly income, there was a higher risk of developing BPPV in patients with depressive disorders than in the control patients (HR = 1.57, 95% CI, 1.09-2.26, p=0.014). Results are summarized in Table 3 and Figure 1.

Risks Factors for BPPV in patients with Depressive Disorders

As shown in Table 4, we predicted the development of BPPV in the depressive disorder cohorts by applying univariate analysis. Univariate logistic regression demonstrated that dyslipidemia (HR = 1.97, 95% CI, 0.99-3.89, p=0.053), hyperthyroidism (HR = 3.74, 95% CI, 1.67-8.38, p<0.001), and cerebrovascular disease (HR =2.31, 95% CI, 0.91-5.85, p=0.079) were possible prognostic factors. Multivariate analysis indicated that only hyperthyroidism (HR =3.55, 95% CI, 1.58-7.98, p=0.002) was an independent risk factor for patients with depressive disorders. All tables were placed at the end of the document text file.

Discussion

The two major findings in our study are as the following. First, patients with depressive disorders presented a 1.57 -fold greater risk of subsequently developing BPPV than did the general population by utilizing a nationwide population-based cohort study. Secondly, only hyperthyroidism (HR = 3.55, 95% CI, 1.58-7.98, p=0.002) was an independent risk factor to develop BPPV among patients with depressive disorders.

The strength of this study is using a nationwide population-based data to evaluate BPPV risk in patients with depressive disorders. Advantages of using our NHIRD in medical research have been previously described,¹⁹ which include enormous sample size,

lack of selection and participation bias and long-term comprehensive follow up. Whereas the results of most studies demonstrated the correlation between BPPV and following depressive disorders,^{20 21} to the best of our knowledge, this is the first study implying that patients with depressive disorders have higher risk of developing BPPV.

Though depressive disorder have been reported to produce somatic symptoms including symptoms like BPPV,²² one research indicated that patients with unrecognized BPPV were more likely to have depressive disorder.²³ Another study pointed out that depressive disorders may be an early presentation of neural circuitry alterations involving connections between the vestibular system and anatomical area such as hippocampus, amygdala, and infralimbic cortex.²⁴ One Asian literature showed that depression symptoms may adversely affect BPPV recurrence.²⁵ Though there was no strong evidence consistent with our findings, evidence mentioned above may indirectly prove our hypothesis.

The pathophysiology of depressive disorders and subsequent BPPV is unknown. There are several proposed mechanisms to explain this association. First, dysregulation of oxidative and inflammatory processes in depressive disorders may result in subsequent BPPV development. Numerous studies have demonstrated patients with depressive disorders have excessive oxidative stress and elevation in inflammatory responses.²⁶⁻²⁹ Evidence supports a role for oxidative stress in otolith dysfunction leading to an increased risk of developing canalolithiasis, an essential step in the pathogenesis of BPPV.³⁰⁻³³ Additional studies conclude depressive disorders associated with oxidative stress result in vestibular hair cells and neuronal damage in the inner ear,³⁴ which contributes to vestibular dysfunction and subsequent BPPV development.^{35 36} Second, depressive disorders may induce abnormalities of the hypothalamus-pituitary-adrenal axis, which may hinder the inner ear blood flow and influence inner ear fluid balance. These abnormalities lead to dysfunction of the otoconial homeostasis,^{15 37} an established risk factor for development of

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BPPV.³⁸ Therefore, alterations to the neuroendocrine system may be the link between depressive disorders and the development of BPPV. Third, BPPV development in depressive disorders may be induced by serotonin dysfunction. The vestibular nucleus complex is composed of a large number of serotonin receptors, and lack of serotonin may result in a substantial impact on the electrophysiological activity of neurons, and dysfunction of the vestibular nucleus complex.³⁹ Previous studies have hypothesized a role for vestibular nucleus damage in the pathogenesis of BPPV development.^{36 40} Finally, the dysregulation of the immune system, frequently observed in depressive disorders,^{41 42} has proved to be an essential part of BPPV pathogenesis. Stone and Francis⁴³ suggest BPPV could develop by immune system's direct attack or indirect attack, resulting in debris within the inner ears. This explanation could be confirmed by the association of several autoimmune diseases, such as systemic sclerosis,⁴⁴ systemic lupus erythematosus, ulcerative colitis, Sjogren's syndrome, rheumatoid arthritis,⁴³ and chronic inflammatory demyelinating polyneuropathy⁴⁵ in the development of BPPV.

We conclude patients with depressive disorders are more likely to develop BPPV if they are afflicted with hyperthyroidism. Mechanical movements of thyroid autoantibodies in the inner ear fluid or the development of autoimmune microangiitis in the labyrinth can result in BPPV in the presence of hyperthyroidism or hypothyroidism.⁴⁶ Other studies support a role for thyroid hormone fluctuations⁴⁷ and circulating anti-thyroid autoantibodies ⁴⁸ related to vestibular dysfunction in subsequent BPPV development. Therefore, dysregulation of the immune system may play a vital role between hyperthyroidism and BPPV as documented by our study.

There are several limitations in this study. The first limitation relates to the lack of detailed information regarding tobacco use, alcohol consumption, head position in bed, and family history of BPPV in patient data collected from the NHIRD, factors which may

influence risk of BPPV development.⁴⁹⁻⁵¹ Thus, we were unable to control for these potentially confounding factors. Second, the NHIRD is an administrative database, which lacks detailed clinical data regarding severity and outcomes of BPPV patients, which interferes with analysis of BPPV prognoses in the cohort. Third, in the claims-based study design, only patients seeking medical service would be identified in the Registry of NHIRD and these identification issues may underestimate the results.

Since profound health burden and extensive health care utilization may be influential with BPPV development.^{52 53} Our findings and findings in other literature raised our attention to unrecognized BPPV and inappropriate treatment among patients with depressive disorders may lead to disabling and related poor quality of life.

Conclusions

In the population-based retrospective study, we found that patients with depressive disorders have statistically higher risk of developing BPPV. Furthermore, hyperthyroidism was identified an independent risk factor to develop BPPV for patients with depressive disorders. Future studies are required to clarify the underlying biological mechanisms of these associations. Clinicians are encouraged to provide appropriate medical care for those who diagnosed with BPPV and preexisting depressive disorder. Monitoring and management depressive symptoms for the high-risk patients are also warranted.

List of abbreviations:

BPPV, benign paroxysmal positional vertigo; NHI, National Health Insurance; LHID 2005, Longitudinal Health Insurance Database 2005; NHIRD, National Health Insurance Research Database; ICD-9-CM, the International Classification of Diseases, ninth revision, Clinical Modification; IRRs, incidence rate ratios; HR, hazard ratio; aHR, adjusted hazard ratio; CI, confidence interval.

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Authors Contributions

Chiao-Lin Hsu and Li-Yu, Hu wrote the manuscript. Cheng-Che Shen and Ti, Lu helped with study design and data collection. Cheng-Che Shen, Shih-Jen Tsai and Yao-Min Hung contributed to the revision of the manuscript. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no conflict of interest.

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Table 1 Baseline Characteristics of Patients with and without Depressive Disorders

	Patients with Depressive Disorders <i>n</i> = 10,297		Patients without Depressive Disorders n=41,188			
Demographic data					P value	
	n	%	n	%		
Age (years) ^a	39 (30–51)		39 (30–51)			
≥65	1,036	10.1	4,143	10.1	.999	
<65	9,261	89.9	37,045	89.9		
Sex						
Male	4,012	39.0	16,048	39.0	1.000	
Female	6,285	61.0	25,140	61.0		
Comorbidities						
Hypertension	2,124	20.6	5,444	13.2	<.001	
Diabetes mellitus	1,236	12.0	3,112	7.5	<.001	
Dyslipidemia	1,541	14.5	3,829	9.3	<.001	
Coronary artery disease	87	0.8	235	0.6	.002	
Congestive heart failure	272	2.6	563	1.4	<.001	
Hyperthyroidism	511	5.0	727	1.8	<.001	
Hypothyroidism	116	1.1	193	0.5	<.001	
Cerebrovascular disease	573	5.6	1,106	2.7	<.001	
Malignant neoplasms	181	1.8	415	1.0	<.001	

Degree of urbanization

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6,599	64.1	25,196	60.9	<.001
2,680	26.0	12,172	29.4	
817	7.9	3,205	7.8	
5,189	50.4	18,340	44.4	<.001
3,819	37.1	16,426	39.7	
1,289	12.5	6,422	15.5	
7.19 (5.96–8.48)		7.22 (6.00-8.51)		.002
	2,680 817 5,189 3,819 1,289	2,680 26.0 817 7.9 5,189 50.4 3,819 37.1 1,289 12.5	2,68026.012,1728177.93,2055,18950.418,3403,81937.116,4261,28912.56,422	2,68026.012,17229.48177.93,2057.85,18950.418,34044.43,81937.116,42639.71,28912.56,42215.5

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Table 2 Person-Time Incidence of Benign Paroxysmal Positional Vertigo in Patients with and without Depressive Disorders

	Patients with I	Depressive	Patients withou	t Depressive		
	Disorders		Disorders		D:1	D 1
		Per 1,000		Per 1,000	– Risk ratio (95% CI)	P value
	No. of BPPV	person-years	No. of BPPV	person-years		
Total	44	0.59	99	0.33	1.79 (1.23–2.58)	.002
Age						
≥65	7	0.98	15	0.51	1.90 (0.66–4.95)	.153
<65	37	0.55	84	0.31	1.77 (1.17–2.64)	.003
Sex						
Male	16	0.56	31	0.27	2.08 (1.16-3.76)	.023
Female	28	0.62	68	0.37	1.66 (1.07–2.56)	.030
	CI, confidence inter	vai				

Table 3 Analyses of Risk Factors for Benign Paroxysmal Positional Vertigoin Patients with and without Depressive Disorders

	Univariate analysis		Multivariate analy	sis
Predictive variables	HR (95% CI)	P value	HR (95% CI)	P value
Depressive Disorders	1.79 (1.26–2.56)	<.001	1.57 (1.09–2.26)	.014
Age ($\geq 65 = 1, <65 = 0$)	1.69 (1.07–2.66)	.002	1.20 (0.71–2.02)	.503
Sex (Female = 1, Male = 0)	1.29 (0.91–1.82)	.158		
Comorbidities				
Hypertension	1.91 (1.30–2.81)	<.001	1.23 (0.76–2.00)	.401
Diabetes mellitus	1.36 (0.80–2.32)	.261		
Dyslipidemia	2.15 (1.42–3.27)	<.001	1.70 (1.05–2.76)	.032
Coronary artery disease	5.06 (1.87–13.67)	<.001	3.00 (1.05-8.61)	.041
Congestive heart failure	1.95 (0.72–5.27)	.188		
Hyperthyroidism	2.90 (1.48–5.70)	.002	2.52 (1.26-5.03)	.009
Hypothyroidism	1.26 (0.18–9.02)	.817		
Cerebrovascular disease	3.23 (1.83–5.71)	<.001	2.19 (1.17–4.11)	.014
Malignant neoplasms	2.09 (0.67-6.55)	.207		
Degree of urbanization				
Urban	Reference		Reference	
Suburban	1.10 (0.77–1.57)	.606	1.10 (0.77–1.56)	.618
Rural	4.38 (0.18–1.08)	.072	0.39 (0.16-0.97)	.043
Income group				
Low income	Reference			
Medium income	0.98 (0.69–1.38)	.886		
High income	0.65 (0.37-1.14)	.133		

HR, hazard ratio; CI, confidence interval

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Table 4 Analyses of Risk Factors for Benign Paroxysmal Positional Vertigoin Patients with Depressive Disorders

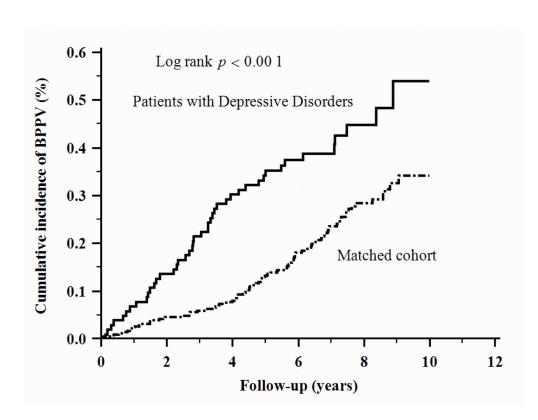
	Univariate analysis		Multivariate analy	sis
Predictive variables	HR (95% CI)	P value	HR (95% CI)	P value
Age (≥65 = 1, <65 = 0)	1.75 (0.78–3.93)	.174		
Sex (Female = 1, Male = 0)	1.10 (0.60–2.04)	.752		
Comorbidities				
Hypertension	0.88 (0.41-1.90)	.747		
Diabetes mellitus	0.98 (0.39–2.49)	.969		
Dyslipidemia	1.97 (0.99–3.89)	.053	1.72 (0.86–3.46)	.127
Coronary artery disease	2.93 (0.40–21.26)	.288		
Congestive heart failure	0.92 (0.13-6.68)	.935		
Hyperthyroidism	3.74 (1.67–8.38)	<.001	3.55 (1.58–7.98)	.002
Hypothyroidism	2.17 (0.30–15.75)	.444		
Cerebrovascular disease	2.31 (0.91–5.85)	.079	1.99 (0.77–5.15)	.154
Malignant neoplasms	2.90 (0.70–11.97)	.142		
Degree of urbanization				
Urban	Reference			
Suburban	1.53 (0.82–2.86)	.180		
Rural	0.31 (0.04–2.28)	.249		
Income group				
Low income	Reference			
Medium income	1.33 (0.71–2.52)	.377		
High income	1.24 (0.50–3.11)	.644		

HR, hazard ratio; CI, confidence interval

Figure legend.

Cumulative incidence of benign paroxysmal positional vertigo in patients with and without depressive disorders. The cumulative incidence of BPPV in patients with depressive disorders was significantly higher than that in the matched cohort. (log-rank test, p < 0.001)

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STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Page No
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the	1
		abstract	
		(b) Provide in the abstract an informative and balanced summary of what was	
		done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of	5,6
6		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of	6
1		participants. Describe methods of follow-up	
		(b) For matched studies, give matching criteria and number of exposed and	
		unexposed	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and	6
		effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods of	5
measurement		assessment (measurement). Describe comparability of assessment methods if	
		there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	6,7
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable,	7
		describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	7
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	
		(c) Explain how missing data were addressed	
		(d) If applicable, explain how loss to follow-up was addressed	
		(<u>e</u>) Describe any sensitivity analyses	
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially	8
i urticipunts	15	eligible, examined for eligibility, confirmed eligible, included in the study,	
		completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social)	9
Descriptive data	17	and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interact	
		(b) Indicate number of participants with missing data for each variable of interest(c) Summarise follow-up time (eg, average and total amount)	

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Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their	8,9
		precision (eg, 95% confidence interval). Make clear which confounders were adjusted for	
		and why they were included	
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a	
		meaningful time period	
Other analyses	17	Report other analyses done-eg analyses of subgroups and interactions, and sensitivity	9
		analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	9
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision.	11
		Discuss both direction and magnitude of any potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations,	10,1
-		multiplicity of analyses, results from similar studies, and other relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	11,
Other informati	on		
Funding	22	Give the source of funding and the role of the funders for the present study and, if	12
-		applicable, for the original study on which the present article is based	

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

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Risk of Benign Paroxysmal Positional Vertigo in Patients with Depressive Disorders: A Nationwide Population-Based Cohort Study

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Primary Subject Heading :	Ear, nose and throat/otolaryngology
Secondary Subject Heading:	Epidemiology, General practice / Family practice, Mental health, Rehabilitation medicine
Keywords:	depressive disorder, hyperthyroidism, risk factor, cohort study, systemic lupus erythematosus, benign paroxysmal positional vertigo

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Risk of Benign Paroxysmal Positional Vertigo in Patients with Depressive Disorders: A Nationwide Population-Based Cohort Study

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Word count: 3234

1 Abstract

 Objective: The association between depression andbenign paroxysmal positional vertigo

3 (BPPV) remains debated. This study aimed to investigate the risk of BPPV in patients with

4 depressive disorders.

Design: Longitudinal nationwide cohort study

6 Setting: National health insurance research database in Taiwan

Participants: We enrolled 10,297 patients diagnosed with depressive disorders between 2000

8 and 2009 and compared them to 41,188 selected control patients who had never been

9 diagnosed with depressive disorders (at a 1:4 ratio matched by age, gender and index date) in

10 relation to the risk of developing BPPV.

Methods: The follow-up period was defined as the time from the initial diagnosis of

12 depressive disorders to the date of BPPV, censoring, or 31 December 2009. Cox proportional

13 hazards regression analysis was used to investigate the risk of BPPV by sex, age, and

14 comorbidities, with hazard ratios (HRs) and 95% confidence intervals (CIs).

Results: During the 9-year follow-up period, 44 (0.59 per 1,000 person-years) patients with

16 depressive disorders and 99 (0.33 per 1,000 person-years) control patients were diagnosed

17 with BPPV. The incidence risk ratio of BPPV among both cohorts calculating from events of

18 BPPV per 1,000 person-years of observation time was 1.79 (95% CI, 1.23–2.58, p= .002).

19 Following adjustments for age, sex, and comorbidities, patients with depressive disorders

20 were 1.55 times more likely to develop BPPV (95% CI, 1.08–2.23, p= .019) as compared to

control patients. In addition, hyperthyroidism (HR = 3.75, 95% CI, 1.67–8.42, p= .001) and

systemic lupus erythematosus (SLE) (HR = 3.47, 95% CI, 1.07-11.22, p= .038) were potential

23 risk factors for new-onset BPPV in patients with depressive disorders.

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24 Conclusions: Patients with depressive disorders may have an increased risk of developing

25 BPPV, especially those who have hyperthyroidism and SLE.

27 *Keywords:* Depressive disorders; benign paroxysmal positional vertigo; hyperthyroidism;

28 systemic lupus erythematosus; risk factor; cohort study

29 Strengths and limitations of this study

30 1. The incidence of benign peripheral persistent vertigo (BPPV) among depressive disorders

31 patient remains unclear. This longitudinal population-based data was conducted to assess the

- 32 risk of BPPV in patients with depressive disorders.
- 2. The NHIRD lacks detailed clinical data regarding severity and outcomes of BPPV

34 3. Results from our study may underestimate the current condition since only patients

35 seeking medical service would be identified in the Registry of NHIRD.

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37 Introduction

	38	Depressive disorders are common mood disorders occurring in all populations and the
I	39	Global Burden of Disease 2017 had refereed depressive disorders as a leading cause of health
	40	burden across the globe. ¹ Patients with depressive disorders have been reported with an
1	41	increased risk of mortality and propose the classification of depressive disorders as
	42	life-threatening. ²³ Furthermore, people with depressive disorders have been reported with
	43	many somatic symptoms and result in increased need for clinical services, associated
	44	economic costs, ^{4 5} and considerable loss in quality of life. ⁶
	45	BPPV have been reported with a lifetime prevalence of 2.4%, is the most common type
	46	of peripheral vertigo. Which is characterized by brief spinning sensations, usually induced by
	47	a sudden change in head position with respect to gravity, with attacks generally lasting less
	48	than 1 minute. The fundamental pathophysiology of BPPV is dislodged calcium carbonate
	49	crystals in the utricle of the inner ear entering the semicircular canals. ⁷ Old age ⁸ and several
	50	co-morbidities, such as hypertension ⁹ , diabetes mellitus ⁹ , hypercholesterolemia ¹⁰ , pre-existing
1	51	cardiovascular, thyroid and autoimmune ¹⁰ disease have been regarded as risk factors of BPPV.
	52	Patients who suffered from BPPV related symptoms and following economic burden have
	53	also been reported ¹¹ .

Page 5 of 32

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54	Psychiatric disorders or emotional stress are frequently observed in patients suffering
55	from vertigo. ^{12 13} The results of most studies have been reported the higher rate of coexistence
56	of depression and vestibular disorders ¹⁴⁻¹⁶ . Which may lead to a vicious circle and a serious
57	influence on the quality of life ¹⁷ . Peripheral vertigo may play an essential role in the
58	pathophysiology of development of subsequent depressive disorder. However, most of these
59	studies report contradictory or conflicting results. Furthermore, when specified to explore the
60	association between depression and BPPV, only a relatively small-scaled case-control study
61	indicates that life stressors and related depressive disorder may be seen as a trigger of
62	vestibular dysfunction, that is, a potential precursor of BPPV. ¹⁸
63	Therefore, considering the debates on the association between the depression and
64	BPPV and no large-scaled study have tried to investigate the issue, we designed a nationwide
65	retrospective cohort study to explore the association between depressive disorder and the
66	subsequent BPPV development. In addition, independent risk factors for developing BPPV
67	among patients with depressive disorders were also investigated.
68	Materials and Methods
69	Data Sources
70	Nearly 99% of Taiwan's population utilizes health care services as a consequence of the
71	National Health Insurance (NHI) Program Bold Legislative Act enacted in 1995. ¹⁹ The
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72	program offers comprehensive medical care coverage regarding outpatient, inpatient,
73	emergency visits, and Chinese medicine to all residents of Taiwan. The NHI research
74	database (NHIRD) contains comprehensive information with regard to clinical practice,
75	including prescription details and diagnostic codes in the International Classification of
76	Diseases, Ninth revision, Clinical Modification (ICD-9-CM) format. The NHIRD is managed
77	by the National Health Research Institutes (NHRI) and privacy is maintained according to
78	directives from the Bureau of the NHI. ²⁰ The data source for our study was obtained from the
79	Longitudinal Health Insurance Database 2000 (LHID2000), a dataset of the NHIRD. The
80	LHID 2000, which contains all original claims data for 1,000,000 subjects, is a representative
81	database randomly selected from the 2000 Registry of Beneficiaries under the NHI program.
82	Which also maintains the registration data of everyone who was a beneficiary of the National
83	Health Insurance program during the period of 1996–2000. Moreover, the NHRI affirms that
84	there are no statistical differences in the distributions of age, sex, or health care costs between
85	the data in the LHID2000 and that of the NHIRD. ²⁰ For each patient with depressive
86	disorders included in the final cohort
87	Availability of Data and Materials section
88	The NHIRD is addressed in publicity by the NHRI and the use of NHIRD is only for
89	research purposes. All applicants must obey the Computer-Processed Personal Data
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Page 7 of 32

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Protection Law²¹ and relevant regulations of Bureau of National Health Insurance and NHRI. Moreover, applicants and their supervisor were asking for signing agreements upon application submission. All applications are reviewed for approval of data delivered. Request for the dataset may be sent an e-mail to the NHRI at nhird@nhri.org.tw or call at +886-037-246166 ext. 33603 for immediate service. Office Hour: Monday-Friday 8:00-17:30 (UTC+8). The NHIRD, which was open to the researchers in Taiwan, was available from the Health and Welfare Data Science Center (HWDC), Ministry of Health and Welfare (MOHW) (http://www.mohw.gov.tw/cht/DOS/).The data underlying this study is from the NHIRD. Interested researchers can obtain the data through formal application to the Ministry of Health and Welfare, Taiwan. In the last sentence of the paragraph, which said "Kindly visit MOHW and NHIA on-site services for National Health Insurance Data." The Database was transferred to a higher-level government administration, called the "Health and Welfare Data Science Center (HWDC)" for more efficient health-related data linkage, wider application, and better security management. At present, interested researchers could still obtain the National Health Insurance Data in Taiwan through formal application to the Health and Welfare Data Science Center (HWDC), Department of Statistics, Ministry of Health and Welfare (MOHW). HWDC, MOHW website (Chinese only currently): http://dep.mohw.gov.tw/DOS/np-2497-113.html

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108 Study design and subjects

7 8	109	We utilized data from the LHID 2000 and conducted a retrospective cohort study using a
9 10 11	110	dataset collected between January 1, 2000 and December 31, 2004. We enrolled patients \geq 20
12 13 14	111	years and received at least twice diagnosis of depressive disorders by psychiatrists with
15 16 17	112	ICD-9-CM depressive disorders diagnosis codes of 296.2X-296.3X, 300.4, and 311.X. We
18 19 20	113	defined the date of enrolling an adult patient with depressive disorders as case cohort between
21 22 23	114	2000 and 2004 as enrolment date. We excluded both in depressive disorders and control
24 25 26	115	groups who were previously diagnosed with BPPV (ICD-9-CM code 386.11) before the
27 28 29	116	enrollment date. We also used A-code (A-code: A249) to exclude patients who had vertigo
30 31 32	117	related diagnoses before diagnosed with BPPV between 1996 and 2000. Which included
33 34 35	118	acute myringitis, chronic myringitis, perforation of tympanic membrane, traumatic perforation
36 37 38	119	of tympanic membrane, cholesteatoma of the middle ear, Meniere's disease, peripheral vertigo,
39 40 41	120	vestibulopathy, vertigo of central origin, labyrinthitis, presbycusis, sudden hearing loss,
42 43 44	121	tinnitus, and otalgia
45 46 47	122	The A-code, a much briefer version of the ICD-9-CM codes, is another disease
48 49 50	123	classification system launched for fulfilling medical claims. The A-code was mainly used for
51 52 53	124	ambulatory care before 2000 in Taiwan and has switched to the ICD-9-CM codes by NHI
54 55 56	125	program since 2000 to perpetuate consistency between different claims records and to truly
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Page 9 of 32

BMJ Open

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126	reflect the distribution of various diseases. The cohort including patients with and without
127	depressive disorders was observed until the development of BPPV, death, withdrawal from
128	the NHI system, or December 31, 2009. The primary clinical outcome in our study was only
129	BPPV diagnosed by neurologists or otorhinolaryngologists. For each patient with depressive
130	disorders included in the final cohort, 4 age- and sex-matched control patients without
131	depressive disorders were randomly selected on the same enrolment date from the LHID 2000.
132	Finally, we identified 10,297 patients with depressive disorders. To assemble a comparison
133	cohort, we randomly selected 41,188 enrollees without a history of depressive disorders.
134	Ethics Statement
135	This study was approved by the Institutional Review Board of the Kaohsiung Veterans
136	General Hospital (No.: VGHKS14-CT7-07). We could not obtain written consent from study
137	patients as the NHI dataset consists of de-identified secondary data for research purposes. The
138	IRB of Kaohsiung Veterans General Hospital issued a formal written waiver for the need for
139	consent.
140	Statistical analyses
141	The incidence of newly diagnosed BPPV in patients with depressive disorders and
142	controls during the observational period was calculated and stratified by sex and age (≥ 65
143	years or < 65 years). Comparisons between continuous variables were conducted with the
144	independent <i>t</i> -test. Chi-squared analysis was used to examine the association of two

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3 4	145	categorical characteristics between the depressive disorders and control cohort. A Cox
5 6	146	proportional hazards model was used to evaluate confounding variables and whether
7 8	147	depressive disorders increase the risk of developing BPPV. The confounding variables were
9 10 11	148	age, sex, and common comorbidities including hypertension, diabetes mellitus, dyslipidemia,
12 13	149	coronary artery disease, hyperthyroidism, hypothyroidism, cerebrovascular disease, and
14 15	150	systemic lupus erythematosus (SLE). Another Cox proportional-hazards regression model
16 17 18	151	was performed again to identify variables that predicted BPPV in the patients with depressive
19 20	152	disorders. The variables that demonstrated a moderately significant statistical relationship
21 22	153	with BPPV in the univariate analysis ($P < .1$) were entered through forward selection in a
23 24 25	154	multivariate analysis.
26 27	155	The cumulative incidences of BPPV were compared between depressive disorder and
28 29	156	control cohorts using Kaplan–Meier curves. Stratified log rank test was applied to determine
30 31 32	157	the differences in the risk for BPPV in the cohort.
33 34	158	Patient and Public involvement
35 36 37	159	The data source used for this study was the claims data of Taiwan's NHIRD. We did not
38 39	160	involve patients/service users in the research question, the outcome measures, or the design or
40 41	161	implementation of the study. There are no plans to disseminate the results of the research to
42 43 44	162	study participants.
45 46 47	163	Results
48 49 50	164	Participant Selection
51 52 53	165	We analyzed 10,297 patients with depressive disorders and 41,188 control patients. The
54 55 56 57	166	majority of patients in the cohort were female (61%). The median age was 39 years
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184	years old have higher IRR of BPPV. The results are shown in Table 2.
183	control patients among both sexes. When stratified with age, only patient younger than 65
182	1.23-2.58, p= .002). The IRR of BPPV remained higher in the depressive disorders than in the
181	between depressive disorders and control patients was 1.79 (95% CI [confidence interval],
180	were diagnosed with BPPV in the control group. The incidence rate ratio (IRR) of BPPV
179	with BPPV in the depressive disorders group, and 99 patients (0.33 per 1,000 person-years)
178	During the follow-up period, 44 patients (0.59 per 1,000 person-years) were diagnosed
177	Person-Time Incidence Rate of BPPV
176	vs. 60.9%, p< .001) as compared to non-depressive disorders patients.
175	prevalence in low-income populations (50.4% vs. 44.4%, p< .001) and in urban areas (64.1%
174	physical comorbidities. Besides, depressive disorders patients had a significantly higher
173	14.5%). As compared to the controls, depressive disorders patients had significantly more
172	patients, 20.6%), diabetes mellitus (1,236 patients, 12.0%), and dyslipidemia (1,541 patients,
171	depressive disorders group, the most common comorbidities were hypertension (2,124
170	clinical variables, and socioeconomic data between the control and depressive cohorts. In the
169	6.00–8.51 years) for control patients (p= .002). Table 1 includes comparisons of demographic,
168	(IQR = $5.96-8.48$ years) for patients with depressive disorders and 7.22 years (IQR =
167	(interquartile range [IQR], 30–51 years), and the median follow-up period was 7.19 years

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5 4 5 6	185	The cumulative incidence of BPPV in the patients with depressive disorders was
7 8 9	186	significantly higher than that in the control cohort (log-rank test, $P < .001$, Figure 1).
9 10 11 12	187	Risks of Newly Diagnosed BPPV among the Patients with and without Depressive
12 13 14 15	188	Disorders
16 17 18	189	After adjusting for age, sex, common comorbidities and SLE there was a higher risk of
19 20 21	190	developing BPPV in patients with depressive disorders than in the control patients (HR =1.55,
22 23	191	95% CI, 1.08–2.23, p= .019). Results are summarized in Table 3.
24 25 26	192	Risks Factors for BPPV in patients with Depressive Disorders
27 28 29	193	As shown in Table 4, we predicted the development of BPPV in the depressive disorder
30 31 32	194	cohorts by applying univariate analysis. Univariate analysis demonstrated that dyslipidemia
33 34 35	195	(HR = 1.97, 95% CI, 0.99–3.89, p= .053), hyperthyroidism (HR = 3.74, 95% CI, 1.67–8.38,
36 37 38	196	p<.001), cerebrovascular disease (HR =2.31, 95% CI, 0.91-5.85, p=.079) and SLE (HR =3.58,
39 40 41	197	95% CI, 1.11-11.56, p= .033) were possible prognostic factors. Multivariate analysis indicated
42 43 44	198	that hyperthyroidism (HR = 3.75, 95% CI, 1.67–8.42, p= .001) and SLE (HR = 3.47, 95% CI,
45 46 47 48	199	1.07-11.22, $p=.038$) were an independent risk factor for patients with depressive disorders.
48 49 50 51	200	Discussion
52 53	201	The two major findings in our study are as the following. First, patients with depressive
54 55 56 57	202	disorders presented a 1.55 -fold greater risk of subsequently developing BPPV than did the
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Page 13 of 32

BMJ Open

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3 4 5	203	general population by utilizing a nationwide population-based cohort study. Secondly, only
6 7 8	204	hyperthyroidism (HR = 3.75, 95% CI, 1.67–8.42, p= .001) and SLE (HR = 3.47, 95% CI,
9 10 11	205	1.07-11.22, p= .038) were independent risk factors to develop BPPV among patients with
12 13 14	206	depressive disorders.
15 16 17	207	The strength of this study is using a nationwide population-based data to evaluate BPPV
18 19 20	208	risk in patients with depressive disorders. Advantages of using our NHIRD in medical
21 22 23	209	research have been previously described, ²² which include enormous sample size, lack of
24 25 26	210	selection and participation bias and long term comprehensive follow up. Whereas the results
27 28 29	211	of most studies demonstrated the correlation between BPPV and following depressive
30 31 32	212	disorders, ^{23 24} to the best of our knowledge, this is the first study implying that patients with
33 34 35	213	depressive disorders have higher risk of developing BPPV.
36 37 38	214	Though depressive disorder have been reported to produce somatic symptoms including
39 40 41	215	symptoms like BPPV, ²⁵ one research indicated that patients with unrecognized BPPV were
42 43 44	216	more likely to have depressive disorder. ²⁶ Another study pointed out that depressive disorders
45 46 47	217	may be an early presentation of neural circuitry alterations involving connections between the
48 49 50	218	vestibular system and anatomical area such as hippocampus, amygdala, and infralimbic
51 52 53	219	cortex. ²⁷ One Asian literature showed that depression symptoms may adversely affect BPPV
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3 4 5	220	recurrence. ²⁸ Though there was no strong evidence consistent with our findings, evidence
6 7 8	221	mentioned above may indirectly prove our hypothesis.
9 10 11	222	The pathophysiology of depressive disorders and subsequent BPPV is unknown. There
12 13 14	223	are several proposed mechanisms to explain this association.First, dysregulation of oxidative
15 16 17 18	224	and inflammatory processes in depressive disorders may result in subsequent BPPV
19 20 21	225	development. Numerous studies have demonstrated patients with depressive disorders have
21 22 23 24	226	excessive oxidative stress and elevation in inflammatory responses. ²⁹⁻³² Evidence supports a
24 25 26 27	227	role for oxidative stress in otolith dysfunction leading to an increased risk of developing
28 29	228	canalolithiasis, an essential step in the pathogenesis of BPPV. ³³⁻³⁶ Additional studies conclude
30 31 32	229	depressive disorders associated with oxidative stress result in vestibular hair cells and
33 34 35	230	neuronal damage in the inner ear, ³⁷ which contributes to vestibular dysfunction and
36 37 38	231	subsequent BPPV development. ^{38 39} Second, depressive disorders may induce abnormalities of
39 40 41	232	the hypothalamus-pituitary-adrenal axis, which may hinder the inner ear blood flow and
42 43 44	233	influence inner ear fluid balance. These abnormalities lead to dysfunction of the otoconial
45 46 47	234	homeostasis, ^{18 40} an established risk factor for development of BPPV. ⁴¹ Therefore, alterations
48 49 50	235	to the neuroendocrine system may be the link between depressive disorders and the
51 52 53	236	development of BPPV. Third, BPPV development in depressive disorders may be induced by
54 55 56	237	serotonin dysfunction. The vestibular nucleus complex is composed of a large number of
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Page 15 of 32

BMJ Open

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 - 	238	serotonin receptors, and lack of serotonin may result in a substantial impact on the
, ,	239	electrophysiological activity of neurons, and dysfunction of the vestibular nucleus complex. ⁴²
0 1	240	Previous studies have hypothesized a role for vestibular nucleus damage in the pathogenesis
2 3 4	241	of BPPV development. ^{39 43} Fourth, the dysregulation of the immune system, frequently
5 6 7	242	observed in depressive disorders, ^{44 45} has proved to be an essential part of BPPV pathogenesis.
8 9 0	243	Stone and Francis ⁴⁶ suggest BPPV could develop by immune system's direct attack or
1 2 3	244	indirect attack, resulting in debris within the inner ears. This explanation could be confirmed
4 5 6	245	by studies demonstrated the association of several autoimmune diseases, such as systemic
.7 .8 .9	246	sclerosis, ⁴⁷ SLE, ulcerative colitis, Sjogren's syndrome, rheumatoid arthritis, ⁴⁶ and chronic
0 1 2	247	inflammatory demyelinating polyneuropathy ⁴⁸ in the development of BPPV. The relation
3 4 5	248	between immune system and BPPV was also in keeping with our result that SLE is a potential
6 7 8	249	risk factor for developing BPPV.
9 0	250	We conclude patients with depressive disorders are more likely to develop BPPV if they
2 3 4	251	are afflicted with hyperthyroidism. Mechanical movements of thyroid autoantibodies in the
-5 -6 -7	252	inner ear fluid or the development of autoimmune microangiitis in the labyrinth can result in
-8 -9 -0	253	BPPV in the presence of hyperthyroidism or hypothyroidism. ⁴⁹ Other studies support a role
1 2 3	254	for thyroid hormone fluctuations ⁵⁰ and circulating anti-thyroid autoantibodies ⁵¹ related to
4 5 6	255	vestibular dysfunction in subsequent BPPV development. Therefore, dysregulation of the
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Page 16 of 32

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256	immune system may play a vital role between hyperthyroidism and BPPV as documented by
257	our study. In addition, we inferred that hyperthyroidism altered calcium metabolism and
258	otoconia dissolve impairment may play a role in developing BPPV among depressive
259	disorders patients. Up to 20 percent of thyrotoxic patients have mild hypercalcemia because
260	of thyroid hormone-mediated bone resorption ⁵² . Otoconia, mainly synthesized from calcium ⁵³ ,
261	which breaks free and moves into the semicircular canals was the fundamental
262	pathophysiology of BPPV ⁸ . Therefore, hyperthyroidism with increased calcium might lead to
263	increased concentration of free calcium in the endolymph and reduce its capacity to dissolve
264	the dislodged otoconia ⁵⁴ , this mechanism involved in the pathophysiology of BPPV.
265	Though there is no direct evidence support the pathophysiology of BPPV occurred in
266	patients co-existence with depressive disorder and hyperthyroidism. Patients suffered from
267	symptoms like palpitation, insomnia, anxiety, and irritability, which symptoms usually
268	belonging to hyperthyroidism and was difficult to discriminate from the psychiatric disorder,
269	have been proposed easily seeking medical treatment ⁵⁵ . Therefore, we proposed that
270	hyperthyroidism related panic -like symptoms may increase the chance of diagnosis of BPPV
271	through greater medical contact.
272	There are several limitations in this study. The first limitation relates to the lack of
273	detailed information regarding tobacco use, alcohol consumption, head position in bed, and

Page 17 of 32

BMJ Open

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3 4 5	274	family history of BPPV in patient data collected from the NHIRD, factors which may
6 7 8	275	influence risk of BPPV development.56-58 Thus, we were unable to control for these
9 10 11	276	potentially confounding factors. Second, the NHIRD is an administrative database, which
12 13 14	277	lacks detailed clinical data regarding severity and outcomes of BPPV patients, which
15 16 17	278	interferes with analysis of BPPV prognoses in the cohort. Third, in the claims-based study
18 19 20	279	design, only patients seeking medical service would be identified in the Registry of NHIRD
21 22 23	280	and these identification issues may either overestimate or underestimate the results. Fourth,
24 25 26	281	our study did not provide any information about medications administered for BPPV.
27 28 29	282	Since profound health burden and extensive health care utilization may be influential
30 31 32	283	with BPPV development. ^{59 60} Our findings and findings in other literature raised our attention
33 34 35	284	to unrecognized BPPV and inappropriate treatment among patients with depressive disorders
36 37 38	285	may lead to disabling and related poor quality of life.
39 40 41	286	Conclusions
42 43 44	287	In the population-based retrospective study, we found that patients with depressive
45 46 47	288	disorders have statistically higher risk of developing BPPV. Furthermore, hyperthyroidism
48 49 50	289	and SLE were identified an independent risk factor to develop BPPV for patients with
51 52 53	290	depressive disorders. Future studies are required to clarify the underlying biological
54 55 56	291	mechanisms of these associations. Clinicians are encouraged to provide appropriate medical
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3 4 5	292	care for those who diagnosed with BPPV and preexisting depressive disorder. Monitoring and
6 7 8	293	management depressive symptoms for the high-risk patients are also warranted.
9 10 11 12	294	List of abbreviations:
13 14 15	295	BPPV, benign paroxysmal positional vertigo; NHI, National Health Insurance; LHID
16 17 18	296	2000, Longitudinal Health Insurance Database 2000; NHIRD, National Health Insurance
19 20 21	297	Research Database; ICD-9-CM, the International Classification of Diseases, ninth revision,
22 23 24	298	Clinical Modification; IRRs, incidence rate ratios; HR, hazard ratio; aHR, adjusted hazard
25 26 27	299	ratio; CI, confidence interval.
28 29	300	Acknowledgments
30 31 32 33	301	The authors would like to thank the Research Center of Medical informatics at
34 35 36	302	Kaohsiung Veterans General Hospital for the technical assistance. The study is based on data
37 38 39	303	from the National Health Insurance Research Database provided by the BNHI, Department of
40 41 42	304	Health, Executive Yuan, Taiwan and managed by NHRI, Taiwan. We express our particular
42 43 44 45	305	gratitude to the government organization BNHI and the non-profit foundation NHRI.
46 47 48	306	Author contributions
49 50 51	307	Chiao-Lin Hsu and Li-Yu, Hu wrote the manuscript. Cheng-Che Shen and Ti, Lu helped
52 53 54	308	with study design and data collection. Cheng-Che Shen, Shih-Jen Tsai and Yao-Min Hung
55 56 57	309	contributed to the revision of the manuscript. All authors read and approved the final
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3 4 5	310	manuscript.
6 7 8	311	Competing interests
9 10 11	312	The authors declare that they have no conflict of interest.
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21 22 23	316	funding sources had no role in the study design or conduct, or in the decision to submit for
24 25 26	317	publication.
27 28 29	318	Legend for Figure 1:
30 31 32	319	Fig.1 Cumulative incidence of benign paroxysmal positional vertigo in depressive disorders
33 34 35 36	320	and comparison cohort
37 38 39	321	The cumulative incidence of benign paroxysmal positional vertigo in patients with depressive
40 41 42	322	disorders was significantly higher than that in the comparison cohor
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Table 1 Baseline Characteristics of Patients with and without Depressive	
Disorders	

	Patients with Depressive Disorders n= 10,297		Patients without Depressive Disorders <i>n</i> = 41,188			
Demographic data					P value	
	n	%	п	%	-	
Age (years) ^a	39 (30–51)		39 (30–51)			
≥65	1,036	10.1	4,143	10.1	.999	
<65	9,261	89.9	37,045	89.9		
Sex						
Male	4,012	39.0	16,048	39.0	1.00	
Female	6,285	61.0	25,140	61.0		
Comorbidities						
Hypertension	2,124	20.6	5,444	13.2	<.00	
Diabetes mellitus	1,236	12.0	3,112	7.5	<.00	
Dyslipidemia	1,541	14.5	3,829	9.3	<.00	
Coronary artery disease	87	0.8	235	0.6	.00	
Hyperthyroidism	511	5.0	727	1.8	<.00	
Hypothyroidism	116	1.1	193	0.5	<.00	
Cerebrovascular disease	573	5.6	1,106	2.7	<.00	
Systemic lupus erythematosus	216	2.1	437	1.1	<.00	
Degree of urbanization						
Urban	6,599	64.1	25,196	60.9	<.00	
Suburban	2,680	26.0	12,172	29.4		
Rural	817	7.9	3,205	7.8		
Income group						
Low income	5,189	50.4	18,340	44.4	<.00	
Medium income	3,819	37.1	16,426	39.7		

High income	1,289	12.5	6,422	15.5	
Follow-up years ^a	7.19 (5.96–8.48)		7.22 (6.00-8.51)		.002
^a Median (interquartile range)					
	2	5			

Table 2 Person-Time Incidence of Benign Paroxysmal Positional Vertigo (BPPV) in Patients with and without Depressive Disorders

3	Patients with I	Depressive	Patients without	at Depressive		
0 1	Disorders		Disorders		Poto ratio (05% CI)	D 1
2		Per 1,000		Per 1,000	_ Rate ratio (95% CI)	P value
3 4	No. of BPPV	person-years	No. of BPPV	person-years		
⁵ Total	44	0.59	99	0.33	1.79 (1.23–2.58)	.002
7 Age						
8 9 ≥65	7	0.98	15	0.51	1.90 (0.66-4.95)	.153
$\frac{20}{21}$ <65	37	0.55	84	0.31	1.77 (1.17–2.64)	.003
²² Sex						
23 24 Male	16	0.56	31	0.27	2.08 (1.16-3.76)	.023
25 26 Female	28	0.62	68	0.37	1.66 (1.07–2.56)	.030
27	CI, confidence inter	val				
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Table 3 Analyses of Risk Factors for Benign Paroxysmal Positional Vertigo
in Patients with and without Depressive Disorders

	Univariate analysis		Multivariate analysis	
Predictive variables	HR (95% CI)	P value	HR (95% CI)	P value
Depressive Disorders	1.79 (1.26–2.56)	<.001	1.55 (1.08-2.23)	.019
Age (≥65 = 1, <65= 0)	1.69 (1.07–2.66)	.002		
Sex (Female = 1, Male = 0)	1.29 (0.91–1.82)	.158		
Comorbidities				
Hypertension	1.91 (1.30–2.81)	<.001		
Diabetes mellitus	1.36 (0.80–2.32)	.261		
Dyslipidemia	2.15 (1.42-3.27)	<.001	1.78 (1.15–2.75)	.010
Coronary artery disease	5.06 (1.87–13.67)	<.001	3.29 (1.18–9.17)	.023
Hyperthyroidism	2.90 (1.48–5.70)	.002	2.46 (1.24-4.87)	.010
Hypothyroidism	1.26 (0.18–9.02)	.817		
Cerebrovascular disease	3.23 (1.83–5.71)	<.001	2.24 (1.21-4.15)	.010
Systemic lupus erythematosus	2.44 (0.90-6.60)	.079		
Degree of urbanization				
Urban	Reference			
Suburban	1.10 (0.77–1.57)	.606		
Rural	4.38 (0.18–1.08)	.072		
Income group				
Low income	Reference			
Medium income	0.98 (0.69–1.38)	.886		
High income	0.65 (0.37-1.14)	.133		

HR, hazard ratio; CI, confidence interval

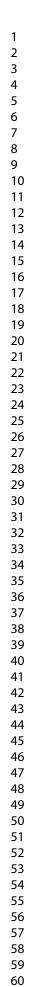
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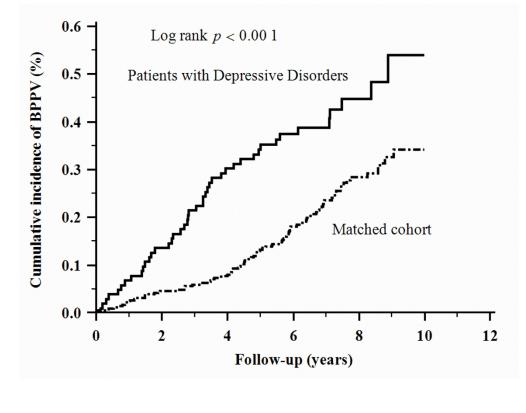
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Table 4 Analyses of Risk Factors for Benign Paroxysmal Positional Vertigoin Patients with Depressive Disorders

	Univariate analysis		Multivariate analysis	
Predictive variables	HR (95% CI)	<i>P</i> value	HR (95% CI)	P value
Age ($\geq 65 = 1, <65 = 0$)	1.75 (0.78–3.93)	.174		
Sex (Female = 1, Male = 0)	1.10 (0.60–2.04)	.752		
Comorbidities				
Hypertension	0.88 (0.41-1.90)	.747		
Diabetes mellitus	0.98 (0.39-2.49)	.969		
Dyslipidemia	1.97 (0.99–3.89)	.053		
Coronary artery disease	2.93 (0.40-21.26)	.288		
Hyperthyroidism	3.74 (1.67-8.38)	<.001	3.75 (1.67-8.42)	.001
Hypothyroidism	2.17 (0.30–15.75)	.444		
Cerebrovascular disease	2.31 (0.91–5.85)	.079		
Systemic lupus	2 59 (1 11 11 5()	022	2 47(1 07 11 22)	0.20
Erythematosus	3.58 (1.11-11.56)	.033	3.47(1.07-11.22)	.038
Degree of urbanization				
Urban	Reference			
Suburban	1.53 (0.82–2.86)	.180		
Rural	0.31 (0.04–2.28)	.249		
Income group				
Low income	Reference			
Medium income	1.33 (0.71–2.52)	.377		
High income	1.24 (0.50–3.11)	.644		

HR, hazard ratio; CI, confidence interval





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STROBE Statement—Checklist of items that should be included in reports of cohort studies

	Item No	Recommendation	Page No
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the	1
		abstract	
		(b) Provide in the abstract an informative and balanced summary of what was	
		done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being	5.6
		reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	9,10
Methods			
Study design	4	Present key elements of study design early in the paper	9,10
Setting	5	Describe the setting, locations, and relevant dates, including periods of	7,8,1
		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of	10
		participants. Describe methods of follow-up	
		(b) For matched studies, give matching criteria and number of exposed and	
		unexposed	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and	11
		effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods of	7,8
measurement		assessment (measurement). Describe comparability of assessment methods if	
		there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	11
Study size	10	Explain how the study size was arrived at	10
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable,	11
		describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	11
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	
		(c) Explain how missing data were addressed	
		(d) If applicable, explain how loss to follow-up was addressed	
		(<u>e</u>) Describe any sensitivity analyses	
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers	12
		potentially eligible, examined for eligibility, confirmed eligible, included in the	
		study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social)	12
		and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of	
		interest	
		(c) Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	Report numbers of outcome events or summary measures over time	13

Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their	13
		precision (eg, 95% confidence interval). Make clear which confounders were adjusted for	
		and why they were included	
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a	
		meaningful time period	
Other analyses	17	Report other analyses done-eg analyses of subgroups and interactions, and sensitivity	10
		analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	14
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision.	18
		Discuss both direction and magnitude of any potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations,	15
		multiplicity of analyses, results from similar studies, and other relevant evidence	
			10
Generalisability	21	Discuss the generalisability (external validity) of the study results	
Generalisability Other informati		Discuss the generalisability (external validity) of the study results	
		Discuss the generalisability (external validity) of the study results Give the source of funding and the role of the funders for the present study and, if	21

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

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Risk of Benign Paroxysmal Positional Vertigo in Patients with Depressive Disorders: A Nationwide Population-Based Cohort Study

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Secondary Subject Heading:	Epidemiology, General practice / Family practice, Mental health, Rehabilitation medicine
Keywords:	depressive disorder, hyperthyroidism, risk factor, cohort study, systemic lupus erythematosus, benign paroxysmal positional vertigo

SCHOLARONE[™] Manuscripts

Risk of Benign Paroxysmal Positional Vertigo in Patients with Depressive Disorders: A Nationwide Population-Based Cohort Study

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Word count: 3376

Abstract

Objective: The association between depression and benign paroxysmal positional vertigo (BPPV) remains debated. This study aimed to investigate the risk of BPPV in patients with depressive disorders.

Design: Longitudinal nationwide cohort study

Setting: National health insurance research database in Taiwan

Participants: We enrolled 10,297 patients diagnosed with depressive disorders between 2000 and 2009 and compared them to 41,188 selected control patients who had never been diagnosed with depressive disorders (at a 1:4 ratio matched by age, sex and index date) in relation to the risk of developing BPPV.

Methods: The follow-up period was defined as the time from the initial diagnosis of depressive disorders to the date of BPPV, censoring, or 31 December 2009. Cox proportional hazard regression analysis was used to investigate the risk of BPPV by sex, age, and comorbidities, with hazard ratios (HRs) and 95% confidence intervals (CIs).

Results: During the 9-year follow-up period, 44 (0.59 per 1,000 person-years) patients with depressive disorders and 99 (0.33 per 1,000 person-years) control patients were diagnosed with BPPV. The incidence rate ratio of BPPV among both cohorts calculating from events of BPPV per 1,000 person-years of observation time was 1.79 (95% CI, 1.23–2.58, p= .002). Following adjustments for age, sex, and comorbidities, patients with depressive disorders were 1.55 times more likely to develop BPPV (95% CI, 1.08–2.23, p= .019) as compared to control patients. In addition, hyperthyroidism (HR = 3.75, 95% CI, 1.67–8.42, p= .001) and systemic lupus erythematosus (SLE) (HR = 3.47, 95% CI, 1.07-11.22, p= .038) were potential risk factors for developing BPPV in patients with depressive disorders.

Conclusions: Patients with depressive disorders may have an increased risk of developing BPPV, especially those who have hyperthyroidism and SLE.

Keywords: Depressive disorders; benign paroxysmal positional vertigo; hyperthyroidism; systemic lupus erythematosus; risk factor; cohort study

Strengths and limitations of this study

1. The incidence of benign peripheral persistent vertigo (BPPV) among depressive disorders patient remains unclear. This longitudinal population-based data was conducted to assess the risk of BPPV in patients with depressive disorders.

2. The NHIRD lacks detailed clinical data regarding severity and outcomes of BPPV

3. Results from our study may underestimate the current condition since only patients seeking medical service would be identified in the Registry of NHIRD.

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1 Introduction

2 Depressive disorders are common mood disorders occurring in all populations and the 3 Global Burden of Disease 2017 had refereed depressive disorders as a leading cause of health 4 burden across the globe.¹ Patients with depressive disorders have been reported with an 5 increased risk of mortality and propose the classification of depressive disorders as 6 life-threatening.^{2 3} Furthermore, people with depressive disorders have been reported with 7 many somatic symptoms and result in increased need for clinical services, associated 8 economic costs,^{4 5} and considerable loss in quality of life.⁶

BPPV have been reported with a lifetime prevalence of 2.4%, is the most common type of peripheral vertigo. Which is characterized by brief spinning sensations, usually induced by a sudden change in head position with respect to gravity, with attacks generally lasting less than 1 minute. The fundamental pathophysiology of BPPV is dislodged calcium carbonate crystals in the utricle of the inner ear entering the semicircular canals.⁷ Old age ⁸ and several co-morbidities, such as hypertension⁹, diabetes mellitus⁹, hypercholesterolemia¹⁰, pre-existing cardiovascular, thyroid and autoimmune¹⁰ disease have been regarded as risk factors of BPPV. Patients who suffered from BPPV related symptoms and following economic burden have also been reported¹¹.

Psychiatric disorders or emotional stress are frequently observed in patients suffering from vertigo.^{12 13} The results of most studies have been reported the higher rate of coexistence of depression and vestibular disorders¹⁴⁻¹⁶. Which may lead to a vicious circle and a serious influence on the quality of life¹⁷. Peripheral vertigo may play an essential role in the pathophysiology of development of subsequent depressive disorder. However, most of these studies report contradictory or conflicting results. Furthermore, when specified to explore the association between depression and BPPV, only a relatively small-scaled case-control study

indicates that life stressors and related depressive disorder may be seen as a trigger of
vestibular dysfunction, that is, a potential precursor of BPPV.¹⁸

Therefore, considering the debates on the association between the depression and BPPV and no large-scaled study have tried to investigate the issue, we designed a nationwide retrospective cohort study to explore the association between depressive disorder and the subsequent BPPV development. In addition, independent risk factors for developing BPPV among patients with depressive disorders were also investigated.

32 Materials and Methods

33 Data Sources

Nearly 99% of Taiwan's population utilizes health care services as a consequence of the National Health Insurance (NHI) Program Bold Legislative Act enacted in 1995.¹⁹ The program offers comprehensive medical care coverage regarding outpatient, inpatient, emergency visits, and Chinese medicine to all residents of Taiwan. The NHI research database (NHIRD) contains comprehensive information with regard to clinical practice, including prescription details and diagnostic codes in the International Classification of Diseases, Ninth revision, Clinical Modification (ICD-9-CM) format. The NHIRD is managed by the National Health Research Institutes (NHRI) and privacy is maintained according to directives from the Bureau of the NHI.²⁰ The data source for our study was obtained from the Longitudinal Health Insurance Database 2000 (LHID2000), a dataset of the NHIRD. The LHID 2000, which contains all original claims data for 1,000,000 subjects, is a representative database randomly selected from the 2000 Registry of Beneficiaries under the NHI program. Which also maintains the registration data of everyone who was a beneficiary of the National Health Insurance program during the period of 1996–2000. Moreover, the NHRI affirms that

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there are no statistical differences in the distributions of age, sex, or health care costs between
the data in the LHID2000 and that of the NHIRD.²⁰

50 Availability of Data and Materials section

The NHIRD is addressed in publicity by the NHRI and the use of NHIRD is only for
research purposes. All applicants must obey the Computer-Processed Personal Data
Protection Law and relevant regulations of the Bureau of National Health Insurance and
NHRI. Moreover, applicants and their supervisor were asking for signing agreements upon
application submission. All applications are required to transmit data for review and approval
and send an e-mail to the NHRI at nhird@nhri.org.tw or call at +886-037-246166 ext. 33603
for immediate service. Office Hour: Monday-Friday 8:00-17:30 (UTC+8).

The NHIRD from the Health and Welfare Data Science Center (HWDC), Ministry of Health and Welfare (MOHW) (http://www.mohw.gov.tw/cht/DOS/) is now available for the researchers in Taiwan. The data is basically from the NHIRD. Researchers in Taiwan who interested in the data can apply to the MOHW. In the last sentence of the paragraph on the website, which said, "Kindly visit MOHW and NHIA on-site services for NHIRD." The Database was delivered to a higher-level government administration, called the "Health and Welfare Data Science Center (HWDC)" for more prompt health-related data linkage, broader application, and better security management. Interested researchers could still apply to the HWDC, Department of Statistics, MOHW for NHI Data at present. HWDC, MOHW website (Chinese only currently): http://dep.mohw.gov.tw/DOS/np-2497-113.html²¹

Study design and subjects

We utilized data from the LHID 2000 and conducted a retrospective cohort study using a dataset collected between January 1, 2000 and December 31, 2004. We enrolled patients \geq 20 years and received at least twice diagnosis of depressive disorders by psychiatrists with ICD-9-CM depressive disorders diagnosis codes of 296.2X-296.3X, 300.4, and 311.X. We defined the date of enrolling an adult patient with depressive disorders as case cohort between 2000 and 2004 as enrolment date. We excluded both in depressive disorders and control groups who were previously diagnosed with BPPV by ICD-9-CM code and A-code at the same time (ICD-9-CM code 386.11 and A-code: A249) to exclude patients who were diagnosed with BPPV before enrollment date. The A-code, a much briefer version of the ICD-9-CM codes, is another disease

classification system launched for fulfilling medical claims, was mainly used for ambulatory care before 2000 in Taiwan. The A-code had switched to the ICD-9-CM codes by NHI program since 2000 to perpetuate consistency between different claims records and to truly reflect the distribution of various diseases. Consequently, we used these two medical code systems at the same time to reduce the discrepancies during the conversion time of A-code and ICD-9-CM codes. Which included acute myringitis, chronic myringitis, perforation of tympanic membrane, traumatic perforation of tympanic membrane, cholesteatoma of the middle ear, Meniere's disease, peripheral vertigo, vestibulopathy, vertigo of central origin, labyrinthitis, presbycusis, sudden hearing loss, tinnitus, and otalgia

The cohort including patients with and without depressive disorders was observed until
the development of BPPV, death, withdrawal from the NHI system, or December 31, 2009.
The primary clinical outcome in our study was only BPPV diagnosed by neurologists or
otorhinolaryngologists. For each patient with depressive disorders included in the final cohort,

BMJ Open

4 age- and sex-matched control patients without depressive disorders were randomly selected
on the same enrolment date from the LHID 2000. Finally, we identified 10,297 patients with
depressive disorders. To assemble a comparison cohort, we randomly selected 41,188
enrollees without a history of depressive disorders.

Ethics Statement

97 This study was approved by the Institutional Review Board of the Kaohsiung Veterans
98 General Hospital (No.: VGHKS14-CT7-07). We could not obtain written consent from study
99 patients as the NHI dataset consists of de-identified secondary data for research purposes. The
100 IRB of Kaohsiung Veterans General Hospital issued a formal written waiver for the need for
101 consent.

Statistical analyses

The incidence of newly diagnosed BPPV in patients with depressive disorders and controls during the observational period was calculated and stratified by sex and age (≥ 65 years or < 65 years). Comparisons between continuous variables were conducted with the independent t-test. Chi-squared analysis was used to examine the association of two categorical characteristics between the depressive disorders and control cohort. A Cox proportional hazard model was used to evaluate confounding variables and whether depressive disorders increase the risk of developing BPPV. The confounding variables were age, sex, and common comorbidities including hypertension, diabetes mellitus, dyslipidemia, coronary artery disease, hyperthyroidism, hypothyroidism, cerebrovascular disease, and systemic lupus erythematosus (SLE). Another Cox proportional-hazards regression model was performed again to identify variables that predicted BPPV in the patients with depressive disorders. The variables that demonstrated a moderately significant statistical relationship

with BPPV in the univariate analysis (P < .1) were entered through forward selection in a multivariate analysis.

The cumulative incidences of BPPV were compared between depressive disorder and control cohorts using Kaplan-Meier curves. Stratified log rank test was applied to determine the differences in the risk for BPPV in the cohort.

Patient and Public involvement

The data source used for this study was the claims data of Taiwan's NHIRD. We did not involve patients/service users in the research question, the outcome measures, or the design or implementation of the study. There are no plans to disseminate the results of the research to study participants.

Results

Participant Selection

We analyzed 10,297 patients with depressive disorders and 41,188 control patients. The majority of patients in the cohort were female (61%). The median age was 39 years (interquartile range [IQR], 30–51 years), and the median follow-up period was 7.19 years (IQR = 5.96-8.48 years) for patients with depressive disorders and 7.22 years (IQR = 1.23)6.00–8.51 years) for control patients (p=.002). Table 1 includes comparisons of demographic, clinical variables, and socioeconomic data between the control and depressive cohorts. In the depressive disorders group, the most common comorbidities were hypertension (2,124 patients, 20.6%), diabetes mellitus (1,236 patients, 12.0%), and dyslipidemia (1,541 patients, 14.5%). As compared to the controls, depressive disorders patients had significantly more physical comorbidities. Besides, depressive disorders patients had a significantly higher prevalence in low-income populations (50.4% vs. 44.4%, p<.001) and in urban areas (64.1%) vs. 60.9%, p< .001) as compared to non-depressive disorders patients.

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During the follow-up period, 44 patients (0.59 per 1,000 person-years) were diagnosed

with BPPV in the depressive disorders group, and 99 patients (0.33 per 1,000 person-years)

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139	Person-Time	Incidence	Rate of	BPPV
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142 were diagnosed with BPPV in the control group. The incidence rate ratio (IRR) of BPPV 143 between depressive disorders and control patients was 1.79 (95% CI [confidence interval], 1.23–2.58, p= .002). The IRR of BPPV remained higher in the depressive disorders than in the 144 145 control patients among both sexes. When stratified with age, only patient younger than 65 146 years old have higher IRR of BPPV. The results are shown in Table 2. 147 The cumulative incidence of BPPV in the patients with depressive disorders was 148 significantly higher than that in the control cohort (log-rank test, P < .001, Figure 1). Risks of Newly Diagnosed BPPV among the Patients with and without Depressive 149 150 Disorders 151 After adjusting for age, sex, common comorbidities and SLE, there was a higher risk of developing BPPV in patients with depressive disorders than in the control patients (HR =1.55, 152 95% CI, 1.08–2.23, p= .019). Results are summarized in Table 3. 153 154 *Risks Factors for BPPV in patients with Depressive Disorders* 155 As shown in Table 4, we predicted the development of BPPV in the depressive disorder 156 cohorts by applying univariate analysis. Univariate analysis demonstrated that dyslipidemia (HR = 1.97, 95% CI, 0.99-3.89, p = .053), hyperthyroidism (HR = 3.74, 95% CI, 1.67-8.38, p = .053)157 p < .001), cerebrovascular disease (HR = 2.31, 95% CI, 0.91-5.85, p = .079) and SLE (HR = 3.58, 158 159 95% CI, 1.11-11.56, p=.033) were possible prognostic factors. Multivariate analysis indicated

- 160 that hyperthyroidism (HR = 3.75, 95% CI, 1.67-8.42, p= .001) and SLE (HR = 3.47, 95% CI,
- 161 1.07-11.22, p= .038) were an independent risk factor for patients with depressive disorders.
 - Discussion 162

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The two major findings in our study are as the following. First, patients with depressive disorders presented a 1.55 -fold greater risk of subsequently developing BPPV than did the general population by utilizing a nationwide population-based cohort study. Secondly, only hyperthyroidism (HR = 3.75, 95% CI, 1.67-8.42, p= .001) and SLE (HR = 3.47, 95% CI, 1.07-11.22, p= .038) were independent risk factors to develop BPPV among patients with depressive disorders.

The strength of this study is using a nationwide population-based data to evaluate BPPV risk in patients with depressive disorders. Advantages of using our NHIRD in medical research have been previously described,²² which include enormous sample size, lack of selection and participation bias and long term comprehensive follow up. Whereas the results of most studies demonstrated the correlation between BPPV and following depressive disorders,^{23 24} to the best of our knowledge, this is the first study implying that patients with depressive disorders have higher risk of developing BPPV.

Though depressive disorder have been reported to produce somatic symptoms including symptoms like BPPV,²⁵ one research indicated that patients with unrecognized BPPV were more likely to have depressive disorder.²⁶ Another study pointed out that depressive disorders may be an early presentation of neural circuitry alterations involving connections between the vestibular system and anatomical area such as hippocampus, amygdala, and infralimbic cortex.²⁷ One Asian literature showed that depression symptoms may adversely affect BPPV recurrence.²⁸ Though there was no strong evidence consistent with our findings, evidence mentioned above may indirectly prove our hypothesis.

184 The pathophysiology of depressive disorders and subsequent BPPV is unknown. There
185 are several proposed mechanisms to explain this association.First, dysregulation of oxidative
186 and inflammatory processes in depressive disorders may result in subsequent BPPV

Page 13 of 28

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BMJ Open

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187 development. Numerous studies have demonstrated patients with depressive disorders have excessive oxidative stress and elevation in inflammatory responses.²⁹⁻³² Evidence supports a 188 role for oxidative stress in otolith dysfunction leading to an increased risk of developing 189 canalolithiasis, an essential step in the pathogenesis of BPPV.³³⁻³⁶ Additional studies conclude 190 depressive disorders associated with oxidative stress result in vestibular hair cells and 191 neuronal damage in the inner ear,³⁷ which contributes to vestibular dysfunction and 192 subsequent BPPV development.^{38 39}Second, depressive disorders may induce abnormalities of 193 194 the hypothalamus-pituitary-adrenal axis, which may hinder the inner ear blood flow and 195 influence inner ear fluid balance. These abnormalities lead to dysfunction of the otoconial homeostasis,^{18 40} an established risk factor for development of BPPV.⁴¹ Therefore, alterations 196 to the neuroendocrine system may be the link between depressive disorders and the 197 198 development of BPPV. Third, BPPV development in depressive disorders may be induced by 199 serotonin dysfunction. The vestibular nucleus complex is composed of a large number of 200 serotonin receptors, and lack of serotonin may result in a substantial impact on the 201 electrophysiological activity of neurons, and dysfunction of the vestibular nucleus complex.⁴² 202 Previous studies have hypothesized a role for vestibular nucleus damage in the pathogenesis of BPPV development.^{39 43}Fourth, the dysregulation of the immune system, frequently 203 observed in depressive disorders,^{44 45} has proved to be an essential part of BPPV pathogenesis. 204 205 Stone and Francis⁴⁶ suggest BPPV could develop by immune system's direct attack or 206 indirect attack, resulting in debris within the inner ears. This explanation could be confirmed by studies demonstrated the association of several autoimmune diseases, such as systemic 207 sclerosis,⁴⁷SLE, ulcerative colitis, Sjogren's syndrome, and rheumatoid arthritis⁴⁶ in the 208 209 development of BPPV. Consistent with the studies mentioned above, we found that SLE is a 210 risk factor for BPPV among depressive cohort (Table 4) but not for all the participants (Table

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3) in this study. Based on the previous studies, several results of the studies could provide the
evidence revealing that the comorbidities with depressive disorder and SLE would result in
the exacerbation of the SLE disease activities, no matter the possible explanations were
psychological or behavioral issues such as lack of insight and poor anti-inflammatory drug
compliance.⁴⁸⁻⁵⁰ The evidence indicated that the severity of inflammatory processes on the
differences between the patients with depressive disorder alone and the depressive patients
comorbid with SLE.

We conclude patients with depressive disorders are more likely to develop BPPV if they are afflicted with hyperthyroidism. Mechanical movements of thyroid autoantibodies in the inner ear fluid or the development of autoimmune microangiitis in the labyrinth can result in BPPV in the presence of hyperthyroidism or hypothyroidism.⁵¹ Other studies support a role for thyroid hormone fluctuations⁵² and circulating anti-thyroid autoantibodies ⁵³ related to vestibular dysfunction in subsequent BPPV development. Therefore, dysregulation of the immune system may play a vital role between hyperthyroidism and BPPV as documented by our study. In addition, we inferred that hyperthyroidism altered calcium metabolism and otoconia dissolve impairment may play a role in developing BPPV among depressive disorders patients. Up to 20 percent of thyrotoxic patients have mild hypercalcemia because of thyroid hormone-mediated bone resorption⁵⁴. Otoconia, mainly synthesized from calcium⁵⁵, which breaks free and moves into the semicircular canals was the fundamental pathophysiology of BPPV⁸. Therefore, hyperthyroidism with increased calcium might lead to increased concentration of free calcium in the endolymph and reduce its capacity to dissolve the dislodged otoconia⁵⁶, this mechanism involved in the pathophysiology of BPPV. Though there is no direct evidence support the pathophysiology of BPPV occurred in patients co-existence with depressive disorder and hyperthyroidism. Patients suffered from

Page 15 of 28

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BMJ Open

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symptoms like palpitation, insomnia, anxiety, and irritability, which symptoms usually 235 236 belonging to hyperthyroidism and was difficult to discriminate from the psychiatric disorder. have been proposed easily seeking medical treatment⁵⁷. Therefore, we proposed that 237 hyperthyroidism related panic-like symptoms may increase the chance of diagnosis of BPPV 238 239 through greater medical contact. 240 There are several limitations in this study. The first limitation relates to the lack of 241 detailed information regarding tobacco use, alcohol consumption, head position in bed, and family history of BPPV in patient data collected from the NHIRD, factors which may 242

influence risk of BPPV development.⁵⁸⁻⁶⁰ Thus, we were unable to control for these 243 244 potentially confounding factors. Second, the NHIRD is an administrative database, which lacks detailed clinical data regarding severity and outcomes of BPPV patients, which 245 246 interferes with analysis of BPPV prognoses in the cohort. Third, in the claims-based study 247 design, only patients seeking medical service would be identified in the Registry of NHIRD and these identification issues may either overestimate or underestimate the results. Fourth, 248 249 our study did not provide any information about medications administered for BPPV. 250 Since profound health burden and extensive health care utilization may be influential with BPPV development.^{61 62} Our findings and findings in other literature raised our attention 251 252 to unrecognized BPPV and inappropriate treatment among patients with depressive disorders may lead to disabling and related poor quality of life. 253

254 **Conclusions**

In the population-based retrospective study, we found that patients with depressive disorders have statistically higher risk of developing BPPV. Furthermore, hyperthyroidism and SLE were identified an independent risk factor to develop BPPV for patients with depressive disorders. Future studies are required to clarify the underlying biological

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mechanisms of these associations. Clinicians are encouraged to provide appropriate medical
care for those who diagnosed with BPPV and preexisting depressive disorder. Monitoring and
management depressive symptoms for the high-risk patients are also warranted.

262 List of abbreviations:

BPPV, benign paroxysmal positional vertigo; NHI, National Health Insurance; LHID
2000, Longitudinal Health Insurance Database 2000; HWDC, Health and Welfare Data
Science Center; MOHW, Ministry of Health and Welfare; NHIRD, National Health Insurance
Research Database; ICD-9-CM, the International Classification of Diseases, ninth revision,
Clinical Modification; IRRs, incidence rate ratios; HR, hazard ratio; aHR, adjusted hazard
ratio; CI, confidence interval.

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275 Author contributions

Chiao-Lin Hsu and Li-Yu, Hu wrote the manuscript. Cheng-Che Shen and Ti, Lu helped
with study design and data collection. Cheng-Che Shen, Shih-Jen Tsai and Yao-Min Hung
contributed to the revision of the manuscript. All authors read and approved the final
manuscript.

280 Competing interests

281 The authors declare that they have no conflict of interest.

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9 10 11	286	publication.				
12 13	287	Legend for Figure 1:				
14 15 16	288	Fig.1 Cumulative incidence of benign paroxysmal positional vertigo in depressive disorders				
10 17 18	289	and comparison cohort				
19 20	290	The cumulative incidence of benign paroxysmal positional vertigo in patients with depressive				
21 22 23	291	disorders was significantly higher than that in the comparison cohort				
23 24 25	292	Data availability statement				
26 27	293	The data that support the findings of this study are available from the Health and Welfare				
28 29 30	294	Data Science Center (HWDC), Ministry of Health and Welfare (MOHW)				
30 31 32	295	(http://www.mohw.gov.tw/cht/DOS/) for the researchers in Taiwan. Data are available at				
33 34	296	http://dep.mohw.gov.tw/DOS/np-2497-113.html (Chinese only currently) with the permission				
35 36 37	297	of HWDC, Department of Statistics, MOHW.				
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Table 1 Baseline Characteristics of Patients with and without Depressive
Disorders

	Patients with Depressive Disorders n= 10,297		Patients without Depressive Disorders n=41,188			
Demographic data					P value	
	n	%	п	%	-	
Age (years) ^a	39 (30–51)		39 (30–51)			
≥65	1,036	10.1	4,143	10.1	.99	
<65	9,261	89.9	37,045	89.9		
Sex						
Male	4,012	39.0	16,048	39.0	1.00	
Female	6,285	61.0	25,140	61.0		
Comorbidities						
Hypertension	2,124	20.6	5,444	13.2	<.00	
Diabetes mellitus	1,236	12.0	3,112	7.5	<.00	
Dyslipidemia	1,541	14.5	3,829	9.3	<.00	
Coronary artery disease	87	0.8	235	0.6	.00	
Hyperthyroidism	511	5.0	727	1.8	<.00	
Hypothyroidism	116	1.1	193	0.5	<.00	
Cerebrovascular disease	573	5.6	1,106	2.7	<.00	
Systemic lupus erythematosus	216	2.1	437	1.1	<.00	
Degree of urbanization						
Urban	6,599	64.1	25,196	60.9	<.00	
Suburban	2,680	26.0	12,172	29.4		
Rural	817	7.9	3,205	7.8		
Income group						
Low income	5,189	50.4	18,340	44.4	<.00	
Medium income	3,819	37.1	16,426	39.7		

High income	1,289	12.5	6,422	15.5
Follow-up years ^a	7.19 (5.96–8.48)		7.22 (6.00-8.51)	
^a Median (interquartile range)				
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Table 2 Person-Time Incidence of Benign Paroxysmal Positional Vertigo (BPPV) in Patients with and without Depressive Disorders

8 - 9		Patients with Depressive		Patients without Depressive				
10	Disorders		Disorders			ן ע		
11 12		No. of BPPV	Per 1,000		Per 1,000	Rate ratio (95% CI)	P value	
13 14		INU. UI DEE V	person-years	No. of BPPV	person-years			
15 16	Total	44	0.59	99	0.33	1.79 (1.23–2.58)	.002	
17	Age							
18 19	≥65	7	0.98	15	0.51	1.90 (0.66–4.95)	.153	
20 21	<65	37	0.55	84	0.31	1.77 (1.17–2.64)	.003	
22 23	Sex							
24	Male	16	0.56	31	0.27	2.08 (1.16-3.76)	.023	
25 26	Female	28	0.62	68	0.37	1.66 (1.07–2.56)	.030	
27 - 28	(CI, confidence inter	val					
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Table 3 Analyses of Risk Factors for Benign Paroxysmal Positional Vertigo
in Patients with and without Depressive Disorders

Predictive variables	Univariate analysis		Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Depressive Disorders	1.79 (1.26–2.56)	<.001	1.55 (1.08–2.23)	.019
Age ($\geq 65 = 1, <65 = 0$)	1.69 (1.07–2.66)	.002		
Sex (Female = 1 , Male = 0)	1.29 (0.91–1.82)	.158		
Comorbidities				
Hypertension	1.91 (1.30–2.81)	<.001		
Diabetes mellitus	1.36 (0.80–2.32)	.261		
Dyslipidemia	2.15 (1.42-3.27)	<.001	1.78 (1.15–2.75)	.010
Coronary artery disease	5.06 (1.87-13.67)	<.001	3.29 (1.18–9.17)	.023
Hyperthyroidism	2.90 (1.48–5.70)	.002	2.46 (1.24-4.87)	.010
Hypothyroidism	1.26 (0.18–9.02)	.817		
Cerebrovascular disease	3.23 (1.83–5.71)	<.001	2.24 (1.21-4.15)	.010
Systemic lupus erythematosus	2.44 (0.90-6.60)	.079		
Degree of urbanization				
Urban	Reference			
Suburban	1.10 (0.77–1.57)	.606		
Rural	4.38 (0.18–1.08)	.072		
Income group				
Low income	Reference			
Medium income	0.98 (0.69–1.38)	.886		
High income	0.65 (0.37-1.14)	.133		

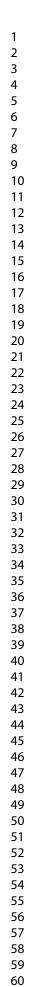
HR, hazard ratio; CI, confidence interval

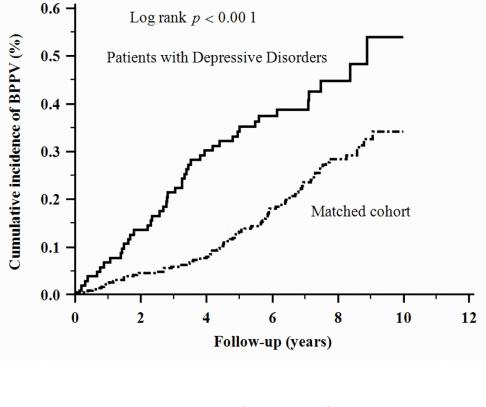
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Table 4 Analyses of Risk Factors for Benign Paroxysmal Positional Vertigo
in Patients with Depressive Disorders

Predictive variables	Univariate anal	ysis	Multivariate an	Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value	
Age ($\geq 65 = 1, <65 = 0$)	1.75 (0.78–3.93)	.174			
Sex (Female = 1, Male = 0)	1.10 (0.60–2.04)	.752			
Comorbidities					
Hypertension	0.88 (0.41-1.90)	.747			
Diabetes mellitus	0.98 (0.39-2.49)	.969			
Dyslipidemia	1.97 (0.99–3.89)	.053			
Coronary artery disease	2.93 (0.40-21.26)	.288			
Hyperthyroidism	3.74 (1.67–8.38)	<.001	3.75 (1.67-8.42)	.001	
Hypothyroidism	2.17 (0.30–15.75)	.444			
Cerebrovascular disease	2.31 (0.91–5.85)	.079			
Systemic lupus		022	2 47(1 07 11 22)	0.20	
Erythematosus	3.58 (1.11-11.56)	.033	3.47(1.07-11.22)	.038	
Degree of urbanization					
Urban	Reference				
Suburban	1.53 (0.82–2.86)	.180			
Rural	0.31 (0.04–2.28)	.249			
Income group					
Low income	Reference				
Medium income	1.33 (0.71–2.52)	.377			
High income	1.24 (0.50–3.11)	.644			

HR, hazard ratio; CI, confidence interval





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