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Recognition and response to life-threatening situations in women with perinatal mental illness: A qualitative study

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3 **Recognition and response to life-threatening situations in women with perinatal mental illness: A**
4
5 **qualitative study**

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Life-threatening situations in women with perinatal mental illness

Abstract

Objective: Mental illness has persistently been found to be a leading cause of death during pregnancy and the year after birth (the perinatal period). This study aims to explore barriers to detection, response and escalation of mental health related life-threatening near miss events among women with perinatal mental illness.

Design: Qualitative study

Participants: Healthcare professionals (HCP) working in psychiatry, maternity and primary care (n=15) across community and hospital maternity and perinatal services within the UK.

Methods: In-depth semi-structured interviews were conducted with a range of healthcare professionals working with women during the perinatal period. Inductive thematic analysis was employed to analyse the data.

Results: Three overarching themes were identified: Recognition of Severity, Communication of Risk, and Service Provision and Access to Treatment. Differing perspectives of mental illness severity influenced how life-threatening situations among women with perinatal mental illness were described, recognised and communicated between teams. Under-resourced mental health service provision, particularly within emergency and specialist perinatal mental health services, unclear thresholds for escalating care and poor infrastructure for sharing information all contributed to delays in a timely response to crisis situations. Reluctance to prescribe medication or admit women to psychiatric hospital, stigma, and missed appointments created further delays.

Conclusions: Several complex and inter-related healthcare barriers to detection, response and escalation of care for potentially life-threatening situations among women with perinatal mental illness exist. Focusing on how differences in organisational and professional culture contribute to the recognition of severe mental illness and inter-disciplinary communication may help facilitate clearer co-ordination between teams.

Strengths and Limitations of the study

- This is the first study to explore maternal near misses among women with a range of perinatal mental illnesses, which identifies several important barriers to detection & response of life-threatening situations among women with perinatal mental illness.
- As a small explorative qualitative study of healthcare professionals in the UK the study findings may not be generalizable to other settings.
- Women's perspectives are not explored within this study.

Key words: Qualitative, Perinatal Mental Health, Maternal Mortality, Near Miss, Patient Safety

Introduction

Mental illness is common during pregnancy and the first year postpartum (the perinatal period), with major depression or anxiety affecting approximately 10% of women.⁽¹⁾ Recent confidential enquiries in the UK suggest that approximately 1 in 5 women who died had a mental illness and 1 in 7 women died from suicide.^(2, 3) A near miss approach can be a valuable method for studying the processes in place for recognising and responding to clinical deterioration, and has been widely adopted to study severe maternal morbidity.^(4, 5) A maternal near miss is typically defined as 'severe life-threatening complications not resulting in death'.⁽⁵⁾ Several tools for identifying maternal near misses exist,^(4, 6, 7) yet despite the high mortality in perinatal period relating to mental rather than physical health they focus almost entirely on clinical indicators of physical health with limited consideration of mental health.⁽⁸⁾

This represents a significant research gap, since current approaches to investigating maternal morbidity and mortality rely upon identifying preceding clinical characteristics associated with a worsening of condition. Developing strategies to identify women at risk of life-threatening mental illness is therefore of clear clinical importance in order to ensure that timely and appropriate intervention are available to those at greatest risk.

The purpose of this study is to explore potential barriers to detection, response and escalation of mental health related life-threatening events among women with perinatal mental illness.

Methods

Design

Given the absence of prior empirical research into psychiatric maternal near miss events, this study employs a qualitative research design utilising in-depth semi-structured interviews with healthcare professionals (HCP).

Participants and Setting

This UK based study aimed to recruit a sample of HCP working with women with mental illness during the perinatal period (i.e. during pregnancy and up to the first-year post birth). Purposeful and convenience sampling were used to recruit a national sample of HCP from different healthcare disciplines (e.g. Psychiatry, Psychology, Midwifery, Obstetrics, and Health Visiting), across a range of healthcare settings (e.g. inpatient, outpatient and community teams). Rigour and transferability were optimised by sampling respondents with differing characteristics.

Two main recruitment methods were utilised: direct emails to professional mailing lists and promotion of the study to professional groups via social media. Interested participants received an information sheet and were offered the opportunity to discuss the study; those agreeing to participate provided written informed consent prior to the interview. Recruitment to the study was concurrent with data analysis, and data collection proceeded until no further unique themes were identified in successive interviews (saturation). Although there is no agreed method for establishing data saturation, after 15 interviews a range of HCP experiences had been captured, no new themes were emerging and data saturation was reached.

Data Collection

Interviews were semi-structured and followed a topic guide. Questions were open-ended and iteratively revised following initial interviews to allow further exploration of the issues raised. Due to differing definitions of near misses across different disciplines, following a brief exploration of what HCP thought of as a psychiatric near miss event, they were directed to focus on situations that they thought were life-threatening to the service user involved. All interviews were undertaken by one researcher (AE), by phone or face to face. Interviews were audio recorded and transcribed verbatim.

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Data Analysis

Data were managed using qualitative software, NVIVO V.11. Inductive thematic analysis, as described by Braun and Clarke was used to identify common themes in the data.⁽⁹⁾ AE read the transcripts several times to become immersed in the data. Text relating to barriers to detection, response and escalation of potential life-threatening psychiatric events among women with perinatal mental illness was extracted. Initial line-by-line coding was conducted, before grouping meaningful sub-themes into overarching themes. The emerging themes were used to modify the interview schedule and inform subsequent interviews and analysis. Data analysis and interpretation was informed by Systems Theories of healthcare,⁽¹⁰⁾ and the 'Three Delays Model' maternal mortality,^(11, 12) to facilitate exploration of barriers and delays at different levels of the healthcare system and within a women's care pathway, and focused on differing perceptions between HCP and teams.

All authors discussed the initial coding framework and emerging themes to ensure that alternative viewpoints had been considered, reviewed the thematic labels applied and agree on the final overarching and sub-themes. This process helped to highlight alternative interpretations, which were reflective of the different academic and clinical background (maternity, psychiatry and psychology) of the research team.

Patient and Public Involvement

Feedback on the preliminary findings from the thematic analysis was sought from a patient and public involvement group consisting of women, and family members, with lived experience of perinatal mental illness.

Results

Participants

A total of 15 HCP participated in the current study, participant characteristics are shown in Table 1.

Table 1: Characteristics of Interview Participants

Participant Number	Gender	Profession	Setting
01	F	Psychiatrist	Community Perinatal Psychiatry
02	M	Psychiatrist	Adult Psychiatry
03	M	Psychiatrist	Inpatient Mother and Baby Hospital
04	F	Midwife	Community Midwifery
05	F	Psychiatrist	Inpatient/Community Perinatal Psychiatry
06	F	General Practitioner	General Practice
07	F	Midwifery	Community Midwifery/Maternity Hospital
08	F	Health Visitor	Community Health Visiting/Management
09	F	Psychologist	Outpatients Clinic
10	F	Midwife	Community Midwifery/Management
11	F	Psychiatrist	Community Perinatal Psychiatry
12	F	Obstetrician	Maternity Hospital
13	F	Health Visiting	Community Health Visiting
14	F	Midwifery	Community Midwifery/Maternity Hospital
15	F	Midwifery	Community Midwifery

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Inconsistent Definitions and Criteria

There was considerable inconsistency in how HCP conceptualised a maternal near miss or life-threatening event relating to mental health, which was driven by different definitions in use across the specialisms. The most typical examples were attempted suicides, although self-harm, harm to others and severe mental health relapses were also discussed.

As depicted in Figure 1, barriers to identifying and responding to a potentially life-threatening event in mental health occurred at three levels of the healthcare system: Recognition of Severity, Communication of Risk and Service Provision and Access to Treatment.

Figure 1 here

1. Recognition of Severity*Differing Perceptions of Risk*

A primary requisite to providing appropriate and timely mental health treatment is the ability to accurately determine the severity of a woman's symptoms and level of risk. However, differing perception of risk and illness severity across healthcare disciplines acted as a key barrier. This impacted on detection and response to potentially life-threatening situations in two ways. Perinatal Psychiatrists described situations where they felt that primary HCP and general psychiatry teams had underestimated the level of risk of a situation, which contributed to delays in accessing specialist care.

"...she was someone who in the first two weeks postnatally she'd been referred to the CMHT [Community Mental Health Team] ... but, because she wasn't referred urgently (the GP was just a bit concerned) and she was sent an appointment, but by that time she'd already jumped [off a high building ...but survived]." (Perinatal Psychiatrist)

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3 By comparison, primary HCP frequently expressed difficulties escalating care for women that they
4 perceived to be at risk of a life-threatening event when their concerns were not shared by mental
5 health teams. This was most often described within the context of self-harm and created uncertainty
6 about whether self-harm represented a risk of a more life-threatening injury and frustration about a
7 lack of prioritisation of such cases.
8
9
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12

13
14 *"Maybe in their world of mental health it's not so bad. Obviously for me, from my perspective we've*
15 *got a woman who is doing that [harming] to herself and God knows what else she could do"*

16
17
18 *(Midwife)*
19

20
21 *Fluctuations in Mental Illness*
22

23 Unlike many physical illnesses, which typically have a more linear deterioration prior to a maternal
24 near miss, symptoms of mental illness and levels of risk can fluctuate over time, sometimes very
25 rapidly.⁽¹³⁾ Fluctuation in symptom severity was identified as a fundamental challenge when trying
26 to identify women at risk of life-threatening event before it occurred.
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31
32 *"...so in actual fact we assessed her as being relatively low risk but ... she had just had a visit from*
33 *her family which had been quite a distressing visit which had obviously changed the risk... In*
34 *retrospect we didn't respond appropriately to that."* *(Perinatal Psychiatrist)*
35
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38

39 Midwives and Health Visitors highlighted that this lack of regular enquiry about a woman's mental
40 health status throughout the perinatal period also created barriers to recognising signs of
41 deterioration. Competing role demands sometimes meant that a woman's mental health or
42 wellbeing may not be asked about at each visit unless there was cause for concern. This was
43 compounded by a lack of continuity of care, resulting in midwives being less able to detect changes
44 in a woman's mental health.
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Life-threatening situations in women with perinatal mental illness

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3 *"...it's based on booking, so unless the woman actually comes back and says, "Do you know what?*
4 *I'm not feeling very well, I'm not doing very well," it won't be necessarily revisited again during*
5 *pregnancy and also postnatally. "(Midwife)*

Risk Assessment Tools

10
11
12 Although HCP described tools available to assess a women's risk or symptom severity, it was
13
14 apparent that these tools were not reliable or regularly implemented. In some cases this led to
15
16 disagreements among clinicians about the level of risk woman was presenting with, and contributed
17
18 to difficulties in communicating risk between teams.
19
20

21
22 *"...we really just use a standard clinical interview really to find out what they are telling us and what*
23 *we work out from psychiatric examination to tell us how risky they actually are. There isn't really a*
24 *standardized tool which works, I don't think." (Psychiatrist)*

2. Communication of Risk

30
31
32 During the perinatal period a range of HCP are involved in a women's care, communication within
33
34 and between teams is therefore paramount to recognising and responding to high risk situations,
35
36 however several communication barriers were identified.
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Differing Professional Languages

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42 Health Visitors and Midwives spoke about difficulties communicating symptoms and deterioration of
43
44 mental illness to mental health teams, commenting that it felt as if they 'spoke different languages'.
45
46 Primary care staff felt that their training and professional background did not adequately equip them
47
48 to convey concerns about a mothers' mental health or accurately describe particular symptoms. In
49
50 some cases this resulted in several re-referrals to mental health services, potentially creating delays
51
52 to receiving care.
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Life-threatening situations in women with perinatal mental illness

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3 *"...what I used to find is that health visitors would have an issue, a concern, and ring the mental*
4 *health services, but because they weren't really clear about what they were saying or what they were*
5 *doing so they would say, "Well, it wasn't accepted." I'd say, 'Right, ring back and say this [...] and*
6 *give them the language. And then once you did that, the referral was accepted. It's something about*
7 *the language and the culture that each profession uses as well that makes it more likely that a*
8 *woman will be seen appropriately." (Health Visitor)*

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16 This appeared to be compounded by a lack of confidence among junior primary care staff about
17 communicating with specialist mental health teams in relation to illnesses outside of their discipline,
18 whereas support from senior staff helped facilitate these conversations.
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22 *Unclear Referral Thresholds*

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25
26 During the perinatal period clinical guidance in the UK recommends a lower threshold for referral
27 and intervention for mental illness.⁽¹⁴⁾ However, healthcare professionals often described a sense of
28 confusion and frustration about what symptoms would constitute a referral being accepted by
29 secondary mental health teams. This was strongly related to themes of differing perceptions of
30 severity between specialisms and difficulties communicating levels of risk in referral forms. Midwives
31 and Health Visitors often felt that thresholds for secondary mental health services were too high,
32 which led to referrals not being accepted and left primary healthcare professionals unsure of how to
33 manage the mental healthcare of such women.
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44 *"...we are working very much and saying if they have got these pre-existing conditions that the*
45 *referral needs to go in and it needs to bypass the lower level of support. It needs to go straight*
46 *through to the secondary levels so that the Perinatal Team will pick it up. But it's just very difficult to*
47 *write the referral so that you can get it through to meet those thresholds." (Midwife)*

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53 By comparison, Psychiatrists sometimes expressed frustration in the number of 'inappropriate'
54 referrals to mental health services, which they had limited capacity to manage.
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Life-threatening situations in women with perinatal mental illness

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3 *“On the referral form, we've got risk assessments, suicide risk or self-harm, we particularly*
4 *highlighted them you could see that. The problem is ... we've had women who've taken an overdose*
5 *10 years ago and then the nurses, the midwives read that and they put the highest score for suicide*
6 *risk and actually it's very historical.” (Psychiatrist)*
7
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10 11 *Poor Information Sharing and Fragmented Services*

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14
15 The ability to communicate risks and concerns between different health and social care
16 professionals involved in a women's care was also impacted by a lack of integrated clinical records.
17
18 HCP often spoke about managing the care of a woman but not being fully aware of involvement
19 from other services, sometimes having to rely upon women to provide information about their care.
20
21

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23
24 *“I find that because we all have different IT systems where we keep our records, nothing speaks to*
25 *each other; we don't have access to each other's records [...] I think that there are issues with that*
26 *about the fact we can't see what Mental Health have written, Mental Health can't see what we're*
27 *writing, Social Care can't see what any of us are writing.” (Midwife)*
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32 33 **3. Service Provision and Access to Treatment**

34 35 *Lack of Resources*

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39 A key theme from all interviews that contributed to missed opportunities for care among women
40 with potentially life-threatening illnesses was a lack of resources for mental health service provision,
41 which affected the capacity for response to high risk situations. In addition, a lack of appropriate
42 mental health emergency and crisis care services resulted in a deficiency of rapid response in the
43 community. Several HCP viewed Accident and Emergency Departments (A&E) as inappropriate
44 facilities for a mental health crisis, particularly during pregnancy, and highlighted women's
45 reluctance to attend A&E for their mental health.
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Life-threatening situations in women with perinatal mental illness

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3 *"In terms of getting the crisis team to attend or getting the GP to attend out of hours has always*
4 *been... well a bit fraught really and not knowing who to ring for the best and the crisis team saying,*
5 *"Yes, can send someone but it's going to be six hours, which isn't exactly considered a crisis." (Health*
6
7 *Visitor)*

Medication Reluctance

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14
15 It was apparent from some interviews that a reluctance to prescribe psychotropic medication or to
16 recommend an inpatient admission during the perinatal period was also a factor. Other interviews
17 emphasised the role of the women and families in deciding to stop medication during the perinatal
18 period which was felt to contribute to deterioration in mental health.
19
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23
24 *"Sometimes there is sort of a resistance from psychiatry services to up medication and be*
25 *more aggressive with it so that you can actually try and sort out the medical problem."*

26
27
28 *(Obstetrician)*

Missed Appointments

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34 HCP also spoke about how a perceived stigma and fear of social service involvement led to women
35 *"masking their symptoms"* from clinical staff or not attending clinical appointments, which created
36 additional difficulties in spotting early warning signs.
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40

41 *"It's the woman who don't attend or don't disclose, I think they're most at risk groups [...]"*

42
43 *The women that I do see in the clinic are the ones at least I'm worried about, it's those I'm*
44 *not seeing are the ones I'm most worried about." (Psychiatrist)*

Poor Role Clarity

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54 Several HCP also highlighted that poor role clarity was also a factor that contributed to women
55 deemed at risk of a life-threatening event not receiving an appropriate level of treatment. This was
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Life-threatening situations in women with perinatal mental illness

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2
3 particularly the case in situations where a woman was currently well, but at high risk of relapse or
4
5 severe mental illness in the postpartum, which created confusion over whose role it was to monitor
6
7 the well-being of a woman and escalate care if necessary.
8
9

10 *“She doesn’t get accepted (for mental health treatment) and then the response from perinatal is for*
11 *the midwife to monitor and the GP to monitor. I came back and said, “There’s (so few) midwives in*
12 *the team, and what exactly is this midwife, who is a midwife, monitoring? What exactly do want her*
13 *to monitor? Secondly, what is this about the GP? Don’t we understand how GP’s work?” Monitor*
14 *what? [...] “What do you mean monitor?” Midwives are there to monitor the pregnancy, but we are*
15 *not mental health nurses, we are not psychiatrists.” (Midwife)*
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23 Psychiatrists describe discharging women to the primary care staff who did not meet the threshold
24
25 for specialist mental health services, but required closer monitoring and support. However, primary
26
27 care staff often expressed feeling unclear or ill-equipped in their role and how to monitor the mental
28
29 healthcare of these women. Some midwives perceived the mental health of the women they were
30
31 managing to be too severe or high risk and warranted greater support from mental health
32
33 specialists; highlighting a lack of middle tier mental health services to monitor and support women
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35 who may be at risk of deterioration.
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39 Discussion

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41 Accurate identification and treatment of mental illnesses that may become life threatening during
42
43 the perinatal period is an important quality and safety issue and crucial to reducing the maternal
44
45 death rate.^(2, 15) This study illustrates several inter-related barriers to detection, response and
46
47 escalation of mental health care in potentially life-threatening situations. Differing perceptions of
48
49 risk and severity of mental illness between the various HCP involved in a woman’s perinatal care had
50
51 significant consequences for how situations were interpreted and communicated between teams. In
52
53 many cases, these barriers to communication were further compounded by a perception of a lack of
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Life-threatening situations in women with perinatal mental illness

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3 reliable tools to assess risk, unclear thresholds for escalating care and poor infrastructure for sharing
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5 information.

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7
8 These findings are consistent with recent maternal deaths enquiries which have found poor
9
10 communication between maternity and mental health services to be a significant factor in many of
11
12 the mental health related deaths.⁽¹⁶⁾ Healthcare communication is a complex process and this study
13
14 emphasises the important influence of how signs of mental illness are interpreted and
15
16 communicated, which was strongly embedded within HCP training and experiences, confidence and
17
18 professional cultures. In order to improve communication between primary and mental healthcare
19
20 professionals there is a clear need to adopt strategies that target such cultural factors and help
21
22 facilitate communication between teams.
23

24
25 Under-resourced mental health service provision further contributed to women not being assessed
26
27 by a specialist within an adequate time-frame. A shortage of services for moderate mental illness
28
29 led to primary care staff monitoring and managing the care of women they perceived to require
30
31 greater support, creating confusion about referral thresholds. Lack of perinatal mental health
32
33 services in the UK is well-documented,⁽¹⁷⁾ and recent government investments aim to increase access
34
35 by 30,000 women by 2021.⁽¹⁸⁾ However, In addition to a lack of specialist services, HCP emphasised
36
37 several other barriers, which included both healthcare level factors (e.g. a reluctance to prescribe
38
39 medication or admit women to mental health hospitals during pregnancy or early post-birth) and
40
41 patient and family level factors (e.g. stigma and missed appointments).
42
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44

45 This is the first study to explore maternal near misses or life-threatening situations among women
46
47 with perinatal mental illnesses. Interviews with a range of professionals involved in women's
48
49 antenatal and postnatal care allowed us to compare the views of different HCP and the impact that
50
51 this had on recognition and response. Although several previous studies have explored experiences
52
53 of care among women with perinatal mental illness,⁽¹⁹⁻²¹⁾ none have distinguished between women
54
55 with high-risk mental illness, requiring rapid assessment and escalation and those with mild-
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Life-threatening situations in women with perinatal mental illness

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2
3 moderate mental illness. This is important since differing levels of risk and severity require different
4
5 communication strategies and treatment response. Failure to objectively differentiate between
6
7 levels of risk and severity in mental health literature is likely to further compound the challenges
8
9 highlighted in this study.

10
11
12 However, there are some study limitations that require consideration. This is a small qualitative
13
14 study of HCP in the UK, which may not be generalizable to other settings. Importantly, women's
15
16 perspectives are not explored within this study. Given the sensitivity nature of the topic, future
17
18 research will be co-designed with women, and families, with experience of mental illness to adopt
19
20 appropriate and sensitive research methods.

21
22
23 Furthermore, the utility and applicability of the concept of clinical deterioration within the context of
24
25 psychiatric near miss events requires some consideration, since not all psychiatric maternal near
26
27 misses will occur within the context of clinical deterioration. As highlighted by several healthcare
28
29 professionals, an absence of reliable and dynamic methods of risk assessment impeded their ability
30
31 to predict those at most risk of a life-threatening event. This is supported by a recent meta-analysis
32
33 of risk scales, which demonstrated the predictive power of such scales on future suicidal behaviours
34
35 was too low to advocate their use.⁽²²⁾ Instead, a focus on individual needs based assessments and
36
37 modifiable risk factors is recommended.^(22, 23)

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40
41 In conclusion, barriers to response and escalation of mental healthcare for women life-threatening
42
43 perinatal mental illness are strongly influenced by the professional culture and understanding of
44
45 mental illness embedded within different healthcare disciplines. A lack of consensus about what
46
47 constitutes a psychiatric maternal near miss resulted in discrepancies in reporting, recording and
48
49 learning from such events. To prevent future tragedies, there is a need for greater clarity and
50
51 consensus about thresholds for referral to secondary mental health services and the role of
52
53 Midwives and Health Visitors in monitoring women's mental health during the perinatal period.

54
55
56 Focusing on how differences in organisational and professional culture contribute to the recognition
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1
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3 of severe mental illness and inter-disciplinary communication may help facilitate clearer co-
4
5 ordination between teams in the future.
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9

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39
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42
43

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45
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47
48 interpretation. AE drafted the article which was critically revised by all authors. All authors approved
49
50 the final version of the article to be published.
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2
3 **Ethical Approval:** This study was reviewed and approved by King's College London Ethics Committee
4 (Psychiatry, Nursing and Midwifery Research Ethics Subcommittee); Research Ethics Committee
5 Reference LRS-16/17-3861 approval granted 12/12/16.
6
7
8
9

10 **Data sharing statement:** This is a qualitative study and therefore the data generated is not suitable
11 for sharing beyond that contained within the report. Further information can be obtained from the
12 corresponding author.
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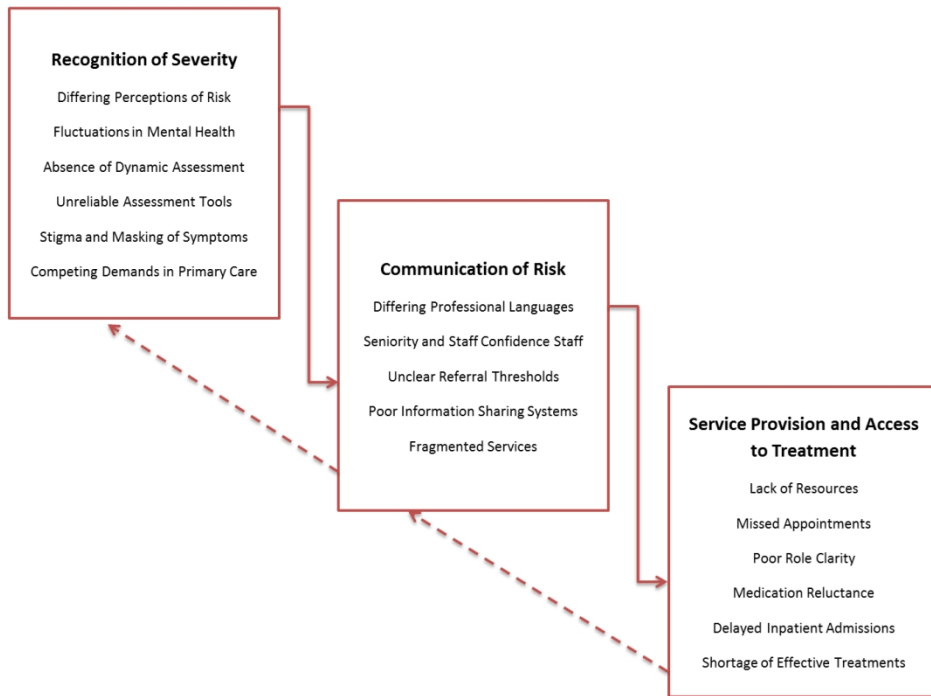


Figure 1: Overview of themes and sub-themes

Standards for Reporting Qualitative Research (SRQR)*

<http://www.equator-network.org/reporting-guidelines/srqr/>

Page/line no(s).

Title and abstract

<p>Title - Concise description of the nature and topic of the study Identifying the study as qualitative or indicating the approach (e.g., ethnography, grounded theory) or data collection methods (e.g., interview, focus group) is recommended</p>	Page 1/Line 3
<p>Abstract - Summary of key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results, and conclusions</p>	Page 2/Lines 6-56

Introduction

<p>Problem formulation - Description and significance of the problem/phenomenon studied; review of relevant theory and empirical work; problem statement</p>	Page 4/Lines 6-41
<p>Purpose or research question – Purpose of the study and specific objectives or questions</p>	Page 4/Lines 39-41

Methods

<p>Qualitative approach and research paradigm - Qualitative approach (e.g., ethnography, grounded theory, case study, phenomenology, narrative research) and guiding theory if appropriate; identifying the research paradigm (e.g., postpositivist, constructivist/ interpretivist) is also recommended; rationale**</p>	Page 4/Lines 50-54 Page 5/Lines 40-51 Page 6 lines 6-27
<p>Researcher characteristics and reflexivity - Researchers' characteristics that may influence the research, including personal attributes, qualifications/experience, relationship with participants, assumptions, and/or presuppositions; potential or actual interaction between researchers' characteristics and the research questions, approach, methods, results, and/or transferability</p>	Page 6/Lines 30-38
<p>Context - Setting/site and salient contextual factors; rationale**</p>	Page 5/Lines 6-17
<p>Sampling strategy - How and why research participants, documents, or events were selected; criteria for deciding when no further sampling was necessary (e.g., sampling saturation); rationale**</p>	Page 5 /Lines 3-18
<p>Ethical issues pertaining to human subjects - Documentation of approval by an appropriate ethics review board and participant consent, or explanation for lack thereof; other confidentiality and data security issues</p>	Page 5/Lines 20-30
<p>Data collection methods - Types of data collected; details of data collection procedures including (as appropriate) start and stop dates of data collection and analysis, iterative process, triangulation of sources/methods, and modification of procedures in response to evolving study findings; rationale**</p>	Page 5/Lines 40-51

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Data collection instruments and technologies - Description of instruments (e.g., interview guides, questionnaires) and devices (e.g., audio recorders) used for data collection; if/how the instrument(s) changed over the course of the study	Page 5/ Lines 40-51
Units of study - Number and relevant characteristics of participants, documents, or events included in the study; level of participation (could be reported in results)	Page 7/Lines 9-50 (Table 1)
Data processing - Methods for processing data prior to and during analysis, including transcription, data entry, data management and security, verification of data integrity, data coding, and anonymization/de-identification of excerpts	Page 6/Lines 6-38
Data analysis - Process by which inferences, themes, etc., were identified and developed, including the researchers involved in data analysis; usually references a specific paradigm or approach; rationale**	Page 6/Lines 6-38
Techniques to enhance trustworthiness - Techniques to enhance trustworthiness and credibility of data analysis (e.g., member checking, audit trail, triangulation); rationale**	Page 5/Lines 6-16 Page 6/Lines 30-38

Results/findings

Synthesis and interpretation - Main findings (e.g., interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory	Pages 15-22 Figure 1
Links to empirical data - Evidence (e.g., quotes, field notes, text excerpts, photographs) to substantiate analytic findings	Pages 8-13

Discussion

Integration with prior work, implications, transferability, and contribution(s) to the field - Short summary of main findings; explanation of how findings and conclusions connect to, support, elaborate on, or challenge conclusions of earlier scholarship; discussion of scope of application/generalizability; identification of unique contribution(s) to scholarship in a discipline or field	Page 14 Lines 42-55 Page 15 line 3-42
Limitations - Trustworthiness and limitations of findings	Page 15/Line 45-56 Page 16/Lines 3-38

Other

Conflicts of interest - Potential sources of influence or perceived influence on study conduct and conclusions; how these were managed	Page 17/Lines 42
Funding - Sources of funding and other support; role of funders in data collection, interpretation, and reporting	Page 17/Lines 11-32

*The authors created the SRQR by searching the literature to identify guidelines, reporting standards, and critical appraisal criteria for qualitative research; reviewing the reference lists of retrieved sources; and contacting experts to gain feedback. The SRQR aims to improve the transparency of all aspects of qualitative research by providing clear standards for reporting qualitative research.

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3 **The rationale should briefly discuss the justification for choosing that theory, approach,
4 method, or technique rather than other options available, the assumptions and limitations
5 implicit in those choices, and how those choices influence study conclusions and
6 transferability. As appropriate, the rationale for several items might be discussed together.
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8 **Reference:**

9 O'Brien BC, Harris IB, Beckman TJ, Reed DA, Cook DA. **Standards for reporting qualitative**
10 **research: a synthesis of recommendations.** *Academic Medicine*, Vol. 89, No. 9 / Sept 2014
11 DOI: 10.1097/ACM.0000000000000388
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BMJ Open

Recognition and response to life-threatening situations among women with perinatal mental illness: A qualitative study

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Keywords:	QUALITATIVE RESEARCH, Perinatal Mental Health, MENTAL HEALTH, Maternal Mortality, Near Miss

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3 **Recognition and response to life-threatening situations among women with perinatal mental**
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8 A. Easter,¹ L.M Howard,² J. Sandall³
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Life-threatening situations in women with perinatal mental illness

Abstract

Objective: Mental illness has persistently been found to be a leading cause of death during pregnancy and the year after birth (the perinatal period). This study aims to explore barriers to detection, response and escalation of mental health related life-threatening near miss events among women with perinatal mental illness.

Design: Qualitative study

Participants: Healthcare professionals (HCP) working in psychiatry, maternity and primary care (n=15) across community and hospital maternity and perinatal services within the UK.

Methods: In-depth semi-structured interviews were conducted with a range of healthcare professionals working with women during the perinatal period. Inductive thematic analysis was employed to analyse the data.

Results: Three overarching themes were identified: recognition of severity, communication of risk, and service provision and access to treatment. Differing perspectives of mental illness severity influenced how life-threatening situations among women with perinatal mental illness were described, recognised and communicated between teams. Under-resourced mental health service provision, particularly within emergency and specialist perinatal mental health services, unclear thresholds for escalating care and poor infrastructure for sharing information all contributed to delays in a timely response to crisis situations. Reluctance to prescribe medication or admit women to psychiatric hospital, stigma, and missed appointments created further delays.

Conclusions: Several complex and inter-related healthcare barriers to detection, response and escalation of care for potentially life-threatening situations among women with perinatal mental illness exist. Focusing on how differences in organisational and professional culture contribute to the recognition of severe mental illness and inter-disciplinary communication may help facilitate clearer co-ordination between teams.

Strengths and Limitations of the study

- This is the first study to explore maternal near misses among women with a range of perinatal mental illnesses, which identifies several important barriers to detection & response of life-threatening situations among women with perinatal mental illness.
- As a small explorative qualitative study of healthcare professionals in the UK the study findings may not be generalizable to other settings.
- Women's perspectives are not explored within this study.

Key words: Qualitative, Perinatal Mental Health, Maternal Mortality, Near Miss

Life-threatening situations in women with perinatal mental illness

Introduction

Mental illness is common during pregnancy and the first year postpartum (the perinatal period), with major depression or anxiety affecting approximately 10% of women.⁽¹⁾ Recent confidential enquiries into maternal deaths in the UK suggest that approximately a quarter (24%) of women who died between 2014-16 had a mental illness and 1 in 5 women died from suicide in the year following birth.⁽²⁾ As such, maternal suicide is the third largest cause of direct maternal deaths occurring during or within 42 days of the end of pregnancy. However, it remains the leading cause of direct deaths occurring within a year after the end of pregnancy, with a mortality rate of 2.8 per 100,000 maternities.

A near miss approach can be a valuable method for studying the processes in place for recognising and responding to clinical deterioration, and has been widely adopted to study severe maternal morbidity.^(3, 4) A maternal near miss is typically defined as 'severe life-threatening complications not resulting in death'.⁽⁴⁾ Several tools for identifying maternal near misses exist,^(3, 5, 6) yet despite the high mortality in perinatal period relating to mental rather than physical health they focus almost entirely on clinical indicators of physical health with limited consideration of mental health.⁽⁷⁾

This represents a significant research gap, since current approaches to investigating maternal morbidity and mortality rely upon identifying preceding clinical characteristics associated with a worsening of condition. Developing strategies to identify women at risk of life-threatening mental illness is therefore of clear clinical importance in order to ensure that timely and appropriate intervention are available to those at greatest risk.

The purpose of this study is to explore potential barriers to detection, response and escalation of mental health related life-threatening events among women with perinatal mental illness.

Methods

Design

Given the absence of prior research into psychiatric maternal near miss events, an exploratory qualitative study, utilising in-depth semi-structured interviews with healthcare professionals (HCP), was adopted. Patient safety incidence, such as near miss events, are often under-reported therefore qualitative methodology may also be useful to explore in-depth the potential complexity of the barriers surrounding detection and response to maternal near miss events.

Participants and Setting

This UK based study aimed to recruit a sample of HCP working with women with mental illness during the perinatal period (i.e. during pregnancy and up to the first-year post birth).

Purposeful and convenience sampling were used to recruit a national sample of HCP from five different healthcare disciplines (i.e. Psychiatry, Psychology, Midwifery, Obstetrics, and Health Visiting), across a range of healthcare settings (i.e. inpatient, outpatient and community teams). Rigour and transferability were optimised by sampling respondents with differing characteristics.

Two main recruitment methods were utilised. First, convenience sampling was used to identify potential participants via direct emails to professional mailing lists and promotion of the study to professional groups via social media (i.e. Twitter and Facebook). Second, purposeful sampling (based on healthcare discipline and setting, and geographical location in the UK) was adopted to identify and directly email potential participants that were not represented in the first round of sampling.

Interested participants received an information sheet and were offered the opportunity to discuss the study; those agreeing to participate provided written informed consent prior to the interview.

Recruitment to the study was concurrent with data analysis, and data collection proceeded until no further unique themes were identified in successive interviews (saturation). Although there is no

Life-threatening situations in women with perinatal mental illness

1
2
3 agreed method for establishing data saturation, after 15 interviews a range of HCP experiences had
4
5 been captured, and it was felt that no new themes were emerging and data saturation was reached.
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Data Collection

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11 Interviews were semi-structured and followed a topic guide. Questions were open-ended and
12
13 iteratively revised following initial interviews to allow further exploration of the issues that arose.
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15 Due to differing definitions of near misses across different disciplines, following a brief exploration of
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17 what HCP thought of as a psychiatric near miss event, they were directed to focus on situations that
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19 they thought were life-threatening to the service user involved. All interviews were undertaken by
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21 one researcher (AE), by phone or face to face. Interviews were audio recorded and transcribed
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23 verbatim.
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Data Analysis

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34 Data were managed using qualitative software, NVIVO V.11. A iterative process of inductive and
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36 deductive thematic analysis, as described by Braun and Clarke, was used to identify common themes
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38 in the data.⁽⁸⁾ AE read the transcripts several times to become immersed in the data. Text relating to
39
40 barriers to detection, response and escalation of potential life-threatening psychiatric events among
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42 women with perinatal mental illness was extracted. Initial line-by-line inductive coding was
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44 conducted, before grouping meaningful sub-themes into overarching themes. Grouping of themes
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46 and sub-themes was informed by two key theories, outlined below, to facilitate a more in-depth
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48 understanding of barriers to detection and response from a healthcare systems perspective, rather
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50 than solely focusing on individual level barriers. The emerging themes were used to modify the
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52 interview schedule and inform subsequent interviews and analysis.
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Theoretical Underpinnings

Life-threatening situations in women with perinatal mental illness

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3 Data analysis and interpretation was informed by Systems Theories of healthcare,⁽⁹⁾ and the 'Three
4 Delays Model'.^(10, 11)
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8 General systems theory, views healthcare practices within the context of a complex and interacting
9 system. It asserts that individuals (e.g. patients, families, healthcare professionals) do not act in
10 isolation; and therefore can only be understood by exploring the healthcare system as a whole and
11 how different levels of the system interact. Factors that contribute to the healthcare system have
12 been conceptualised as the interplay between three fundamental levels: micro (e.g. individual
13 patients and healthcare professionals and their interactions), meso (e.g. the organisational context)
14 and macro (e.g. wider healthcare systems and political context).
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25 The three delays model was originally proposed to help facilitate understanding of the factors that
26 prevent or delay women from accessing safe maternity care. Delays are proposed to occur at three
27 key points: 1) delay in seeking care; 2) delay in reaching care, and 3) delay in receiving care once at
28 an appropriate healthcare facility. The model has been used in a variety of international settings to
29 help understand healthcare factors relating to maternal and perinatal mortality, and implement
30 targeted changes in healthcare systems.
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39 These theories were used to help structure the topic guide and help facilitate exploration of
40 potential barriers at different levels of the healthcare system (e.g. micro, meso and macro) and
41 within a woman's care pathway. To draw out the complexity relating to the number of healthcare
42 professionals and disciplines involved in women's perinatal mental healthcare the analysis focused
43 on desalinating differing perceptions between HCP.
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51 All authors discussed the initial coding framework and emerging themes to ensure that alternative
52 viewpoints had been considered, reviewed the thematic labels applied and agree on the final
53 overarching and sub-themes. This process helped to highlight alternative interpretations, which
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Life-threatening situations in women with perinatal mental illness

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3 were reflective of the different academic and clinical background (maternity, psychiatry and
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5 psychology) of the research team.
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Patient and Public Involvement

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11 Feedback on the preliminary findings from the thematic analysis was sought from a patient and
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13 public involvement (PPI) group consisting of women, and family members, with lived experience of
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15 perinatal mental illness. Discussions held with the PPI group helped to refine the labels assigned to
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17 codes and themes, and their descriptions as presented in this manuscript. In addition, they have
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19 helped to identify areas for future research studies to further understanding of the support needs of
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21 women and families.
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Results

Participants

A total of 15 HCP participated in the current study: 5 psychiatrists, 5 midwives, 2 health visitors, 1 General Practitioner, 1 psychologist and 1 obstetrician. Participants were recruited from the following regions: East of England (n=3), London (n=4), North East England (n=2) South East England (n=1), South West England (n=1), the West Midlands (n=2), Yorkshire and Humber (n=1), Wales (n=1). Five participants were recruited via social media, four responded to mailing lists and six were purposefully recruited via direct email. Participant characteristics are shown in Table 1.

Table 1: Characteristics of Interview Participants

Participant Number	Gender	Profession	Setting
01	F	Psychiatrist	Community Perinatal Psychiatry
02	M	Psychiatrist	Adult Psychiatry
03	M	Psychiatrist	Inpatient Mother and Baby Hospital
04	F	Midwife	Community Midwifery
05	F	Psychiatrist	Inpatient/Community Perinatal Psychiatry
06	F	General Practitioner	General Practice
07	F	Midwife	Community Midwifery/Maternity Hospital
08	F	Health Visitor	Community Health Visiting/Management
09	F	Psychologist	Outpatients Clinic
10	F	Midwife	Community Midwifery/Management
11	F	Psychiatrist	Community Perinatal Psychiatry
12	F	Obstetrician	Maternity Hospital
13	F	Health Visiting	Community Health Visiting

Life-threatening situations in women with perinatal mental illness

14	F	Midwife	Community Midwifery/Maternity Hospital
15	F	Midwife	Community Midwifery

Inconsistent Definitions and Criteria

There was considerable inconsistency in how HCP conceptualised a maternal near miss or life-threatening event relating to mental health, which was driven by different definitions in use across the specialisms. The most typical examples were attempted suicides, although self-harm, harm to others and severe mental health relapses were also discussed.

As depicted in Figure 1, barriers to identifying and responding to a potentially life-threatening event in mental health occurred at three levels of the healthcare system: recognition of severity, communication of risk and service provision and access to treatment.

Figure 1 here**1. Recognition of Severity***Differing Perceptions of Risk*

A primary requisite to providing appropriate and timely mental health treatment is the ability to accurately determine the severity of a woman's symptoms and level of risk. However, differing perception of risk and illness severity across healthcare disciplines acted as a key barrier to identifying the level of mental health assessment or treatment a woman needed. This impacted on detection and response to potentially life-threatening situations in two ways. Perinatal Psychiatrists described situations where they felt that primary HCP (i.e. midwives, health visitors and general practitioners) and non-perinatal psychiatry teams had underestimated the level of risk of a situation, which contributed to delays in accessing specialist care.

Life-threatening situations in women with perinatal mental illness

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3 *“...she was someone who in the first two weeks postnatally she'd been referred to the CMHT*
4 *[Community Mental Health Team] ... but, because she wasn't referred urgently (the GP was just a bit*
5 *concerned) and she was sent an appointment, but by that time she'd already jumped [off a high*
6 *building ...but survived].” (Perinatal Psychiatrist)*
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13 By comparison, primary HCP frequently expressed difficulties escalating care for women that they
14 perceived to be at risk of a life-threatening event when their concerns were not shared by mental
15 health teams. This was most often described within the context of self-harm and created uncertainty
16 about whether self-harm represented a risk of a more life-threatening injury and frustration about a
17 lack of prioritisation of such cases.
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25 *“Maybe in their world of mental health it's not so bad. Obviously for me, from my perspective we've*
26 *got a woman who is doing that [harming] to herself and God knows what else she could do”*
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30 *(Midwife)*
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32 *Fluctuations in Mental Illness*

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35 Unlike many physical illnesses, which typically have a more linear deterioration prior to a maternal
36 near miss, symptoms of mental illness and levels of risk can fluctuate over time, sometimes very
37 rapidly.(12) Fluctuation in symptom severity was identified as a fundamental challenge when trying
38 to identify women at risk of a life-threatening event before it occurred.
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45 *“...so in actual fact we assessed her as being relatively low risk but ... she had just had a visit from*
46 *her family which had been quite a distressing visit which had obviously changed the risk... In*
47 *retrospect we didn't respond appropriately to that.” (Perinatal Psychiatrist)*
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52

53 Midwives and Health Visitors highlighted that this lack of regular enquiry about a woman's mental
54 health status throughout the perinatal period also created barriers to recognising signs of
55 deterioration. Competing role demands sometimes meant that a woman's mental health or
56 wellbeing may not be asked about at each visit unless there was cause for concern. This was
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Life-threatening situations in women with perinatal mental illness

1
2
3 compounded by a lack of continuity of care, resulting in midwives being less able to detect changes
4
5 in a woman's mental health.
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8 *"...it's based on booking, so unless the woman actually comes back and says, "Do you know what?*
9
10 *I'm not feeling very well, I'm not doing very well," it won't be necessarily revisited again during*
11
12 *pregnancy and also postnatally. "(Midwife)*

13 14 15 16 *Risk Assessment Tools*

17
18 Although HCP described tools available to assess women's risk or symptom severity, it was apparent
19
20 that these tools were not reliable or regularly implemented. In some cases this led to disagreements
21
22 among clinicians about the level of risk woman was presenting with, and contributed to difficulties in
23
24 communicating risk between teams.
25
26

27
28 *"...we really just use a standard clinical interview really to find out what they are telling us and what*
29
30 *we work out from psychiatric examination to tell us how risky they actually are. There isn't really a*
31
32 *standardized tool which works, I don't think." (Psychiatrist)*
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35

36 37 **2. Communication of Risk**

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39 During the perinatal period a range of HCP are involved in women's care, communication within and
40
41 between teams is therefore paramount to recognising and responding to high risk situations,
42
43 however several communication barriers were identified.
44
45

46 47 *Differing Professional Languages*

48
49 Health Visitors and Midwives spoke about difficulties communicating symptoms and deterioration of
50
51 mental illness to mental health teams, commenting that it felt as if they 'spoke different languages'.
52
53 Primary care staff felt that their training and professional background did not adequately equip them
54
55 to convey concerns about a mothers' mental health or accurately describe particular symptoms. In
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Life-threatening situations in women with perinatal mental illness

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2
3 some cases this resulted in several re-referrals to mental health services, potentially creating delays
4
5 to receiving care.
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8
9 *"...what I used to find is that health visitors would have an issue, a concern, and ring the mental*
10 *health services, but because they weren't really clear about what they were saying or what they were*
11 *doing so they would say, "'Well, it wasn't accepted.'" I'd say, 'Right, ring back and say this [...] and*
12 *give them the language. And then once you did that, the referral was accepted. It's something about*
13 *the language and the culture that each profession uses as well that makes it more likely that a*
14 *woman will be seen appropriately."* (Health Visitor)
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23 This appeared to be compounded by a lack of confidence among junior primary care staff about
24 communicating with specialist mental health teams in relation to illnesses outside of their discipline,
25 whereas support from senior staff helped facilitate these conversations.
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29

30 *Unclear Referral Thresholds*

31
32
33 During the perinatal period clinical guidance in the UK recommends a lower threshold for referral
34 and intervention for mental illness.⁽¹³⁾ However, healthcare professionals often described a sense of
35 confusion and frustration about what symptoms would constitute a referral being accepted by
36 secondary mental health teams. This was strongly related to themes of differing perceptions of
37 severity between specialisms and difficulties communicating levels of risk in referral forms. Midwives
38 and Health Visitors often felt that thresholds for secondary mental health services were too high,
39 which led to referrals not being accepted and left primary healthcare professionals unsure of how to
40 manage the mental healthcare of such women.
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51
52 *"...we are working very much and saying if they have got these pre-existing conditions that the*
53 *referral needs to go in and it needs to bypass the lower level of support. It needs to go straight*
54 *through to the secondary levels so that the Perinatal Team will pick it up. But it's just very difficult to*
55 *write the referral so that you can get it through to meet those thresholds."* (Midwife)
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Life-threatening situations in women with perinatal mental illness

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3 By comparison, Psychiatrists sometimes expressed frustration in the number of 'inappropriate'
4 referrals to mental health services, which they had limited capacity to manage.
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6
7

8 *"On the referral form, we've got risk assessments, suicide risk or self-harm, we particularly*
9 *highlighted them you could see that. The problem is ... we've had women who've taken an overdose*
10 *10 years ago and then the nurses, the midwives read that and they put the highest score for suicide*
11 *risk and actually it's very historical."* (Psychiatrist)
12
13
14
15
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17

18 *Poor Information Sharing and Fragmented Services*

19

20
21 The ability to communicate risks and concerns between different health and social care
22 professionals involved in women's care was also impacted by a lack of integrated clinical records.
23
24 HCP often spoke about managing the care of a woman but not being fully aware of involvement
25 from other services, sometimes having to rely upon women to provide information about their care.
26
27
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29

30
31 *"I find that because we all have different IT systems where we keep our records, nothing speaks to*
32 *each other; we don't have access to each other's records [...] I think that there are issues with that*
33 *about the fact we can't see what Mental Health have written, Mental Health can't see what we're*
34 *writing, Social Care can't see what any of us are writing."* (Midwife)
35
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41 **3. Service Provision and Access to Treatment**

42

43 *Lack of Resources*

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47 A key theme from all interviews that contributed to missed opportunities for care among women
48 with potentially life-threatening illnesses was a lack of resources for mental health service provision,
49 which affected the capacity for response to high risk situations. In addition, a lack of appropriate
50 mental health emergency and crisis care services resulted in a deficiency of rapid response in the
51 community. Several HCP viewed Accident and Emergency Departments (A&E) as inappropriate
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Life-threatening situations in women with perinatal mental illness

1
2
3 facilities for a mental health crisis, particularly during pregnancy, and highlighted women's
4
5 reluctance to attend A&E for their mental health.
6
7

8 *"In terms of getting the crisis team to attend or getting the GP to attend out of hours has always*
9 *been... well a bit fraught really and not knowing who to ring for the best and the crisis team saying,*
10 *"Yes, can send someone but it's going to be six hours, which isn't exactly considered a crisis."* (Health
11
12
13
14
15 Visitor)

16 17 18 Medication Reluctance

19
20
21 It was apparent from some interviews that a reluctance to prescribe psychotropic medication or to
22
23 recommend an inpatient admission during the perinatal period was also a factor that affected the
24
25 type of treatment women received for mental illness during pregnancy or during early motherhood.
26
27 Other interviews emphasised the role of the women and families in deciding to stop medication
28
29 during the perinatal period which was felt to contribute to deterioration in mental health.
30
31

32
33 *"Sometimes there is sort of a resistance from psychiatry services to up medication and be*
34 *more aggressive with it so that you can actually try and sort out the medical problem."*
35
36

37
38 (Obstetrician)
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40

41 Missed Appointments

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43
44 HCP also spoke about how a perceived stigma and fear of social service involvement led to women
45
46 *"masking their symptoms"* from clinical staff or not attending clinical appointments, which created
47
48 additional difficulties in spotting early warning signs.
49
50

51 *"It's the woman who don't attend or don't disclose, I think they're most at risk groups [...]*
52
53 *The women that I do see in the clinic are the ones at least I'm worried about, it's those I'm*
54
55 *not seeing are the ones I'm most worried about."* (Psychiatrist)
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Poor Role Clarity

Several HCP also highlighted that poor role clarity was also a factor that contributed to women deemed at risk of a life-threatening event not receiving an appropriate level of treatment. This was particularly the case in situations where a woman was currently well, but at high risk of relapse or severe mental illness in the postpartum period, which created confusion over whose role it was to monitor the well-being of a woman and escalate care if necessary.

“She doesn’t get accepted (for mental health treatment) and then the response from perinatal is for the midwife to monitor and the GP to monitor. I came back and said, “There’s (so few) midwives in the team, and what exactly is this midwife, who is a midwife, monitoring? What exactly do want her to monitor? Secondly, what is this about the GP? Don’t we understand how GP’s work?” Monitor what? [...] “What do you mean monitor?” Midwives are there to monitor the pregnancy, but we are not mental health nurses, we are not psychiatrists.” (Midwife)

Psychiatrists described discharging women to the primary care staff who did not meet the threshold for specialist mental health services, but required closer monitoring and support. However, primary care staff often expressed feeling unclear or ill-equipped in their role and how to monitor the mental healthcare of these women. Some midwives perceived the mental health of the women they were managing to be too severe or high risk and warranted greater support from mental health specialists; highlighting a lack of middle tier mental health services to monitor and support women who may be at risk of deterioration.

Discussion

Accurate identification and treatment of mental illnesses that may become life threatening during the perinatal period is an important quality and safety issue and crucial to reducing the maternal death rate.^(14, 15) This study illustrates several inter-related barriers to detection, response and escalation of mental health care in potentially life-threatening situations that occur at all levels of

Life-threatening situations in women with perinatal mental illness

1
2
3 the healthcare system. Differing perceptions of risk and severity of mental illness between the
4
5 various HCP involved in a woman's perinatal care had significant consequences for how situations
6
7 were interpreted and communicated between teams. In many cases, these barriers to
8
9 communication were further compounded by a perception of a lack of reliable tools to assess risk,
10
11 unclear thresholds for escalating care and poor infrastructure for sharing information.
12
13

14
15 These findings are consistent with recent maternal deaths enquiries which have found poor
16
17 communication between maternity and mental health services to be a significant factor in many of
18
19 the mental health related deaths.⁽¹⁶⁾ In particular, the 2017 MBRRACE report highlighted that a
20
21 narrow interpretation of risk (e.g. that focused on a woman's current symptom presentation)
22
23 resulted in an under recognition and treatment of women with mental illness.⁽¹⁷⁾ Healthcare
24
25 communication is a complex process and this study emphasises the important influence of how signs
26
27 of mental illness are interpreted and communicated, which was strongly embedded within HCP
28
29 training and experiences, confidence and professional cultures. In order to improve communication
30
31 between primary and mental healthcare professionals there is a clear need to adopt strategies that
32
33 target such cultural factors and help facilitate communication between teams.
34
35
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37

38
39 Clinical guidelines in the UK recommend the use of two questions ('the Whooley questions') to aid
40
41 identification of depression and other mental illnesses during pregnancy and the early postnatal
42
43 period.⁽¹³⁾ Several previous studies have highlighted barriers to implementing these assessments in
44
45 practice, such as time constraints and lack of training and knowledge about perinatal mental
46
47 health.⁽¹⁸⁾ The present study suggests that the implementation of routine questions to identify
48
49 mental illness may be more complex within the current healthcare system. For example, lack of on-
50
51 going assessment of women's mental health throughout the perinatal period reduced the ability to
52
53 identify significant changes in symptom presentation. Furthermore, challenges in interpreting
54
55 responses to the questions arose from a lack of continuity of care and unclear thresholds and
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Life-threatening situations in women with perinatal mental illness

1
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3 pathways of referral, which created uncertainty among healthcare professionals about the
4
5 appropriate treatment response.
6
7

8 Under-resourced mental health service provision further contributed to women not being assessed
9
10 by a specialist within an adequate time-frame. A shortage of services for moderate mental illness
11
12 led to primary care staff monitoring and managing the care of women they perceived to require
13
14 greater support, creating confusion about referral thresholds. Lack of perinatal mental health
15
16 services in the UK is well-documented,⁽¹⁹⁾ and recent government investments aim to increase access
17
18 by 30,000 women by 2021.⁽²⁰⁾ However, In addition to a lack of specialist services, HCP emphasised
19
20 several other barriers, which included both healthcare level factors (e.g. a reluctance to prescribe
21
22 medication or admit women to mental health hospitals during pregnancy or early post-birth) and
23
24 patient and family level factors (e.g. stigma and missed appointments).
25
26
27

28
29 This is the first study to explore maternal near misses or life-threatening situations among women
30
31 with perinatal mental illnesses. Interviews with a range of professionals involved in women's
32
33 antenatal and postnatal care allowed us to compare the views of different HCP and the impact that
34
35 this had on recognition and response. Although several previous studies have explored experiences
36
37 of care among women with perinatal mental illness,⁽²¹⁻²³⁾ none have distinguished between women
38
39 with high-risk mental illness, requiring rapid assessment and escalation and those with mild-
40
41 moderate mental illness. This is important since differing levels of risk and severity require different
42
43 communication strategies and treatment response. Failure to objectively differentiate between
44
45 levels of risk and severity in mental health literature is likely to further compound the challenges
46
47 highlighted in this study.
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51
52 However, there are some study limitations that require consideration. This is a small qualitative
53
54 study of HCP in the UK, which may not be generalizable to other settings. Importantly, women's
55
56 perspectives are not explored within this study. Given the sensitive nature of the topic, future
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Life-threatening situations in women with perinatal mental illness

1
2
3 research should be co-designed with women, and families, with experience of mental illness to
4
5 adopt appropriate and sensitive research methods.
6
7

8 Furthermore, the utility and applicability of the concept of clinical deterioration and access to timely
9
10 care, as proposed by the 'three delay model' of maternal mortality, requires further consideration
11
12 within the context of psychiatric near miss events, since not all psychiatric maternal near misses will
13
14 occur within the context of clinical deterioration. As highlighted by several healthcare professionals,
15
16 an absence of reliable and dynamic methods of risk assessment impeded their ability to predict
17
18 those at most risk of a life-threatening event. This is supported by a recent meta-analysis of risk
19
20 scales, which demonstrated the predictive power of such scales on future suicidal behaviours was
21
22 too low to advocate their use.⁽²⁴⁾ Instead, a focus on individual needs based assessments and
23
24 modifiable risk factors is recommended.^(24, 25)
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28

29 In conclusion, barriers to response and escalation of mental healthcare for women life-threatening
30
31 perinatal mental illness are strongly influenced by the professional culture and understanding of
32
33 mental illness embedded within different healthcare disciplines. A lack of consensus about what
34
35 constitutes a psychiatric maternal near miss resulted in discrepancies in reporting, recording and
36
37 learning from such events. There are several clinical implications arising from this study, which
38
39 emphasis the need for greater implementation of recommendations made by MBRRACE and the
40
41 National Institute for Health and Care Excellence (NICE),^(2, 13) see Box 1.
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Life-threatening situations in women with perinatal mental illness

Box 1: Key Implications for Practice

Based on the findings of this study, and existing NICE guidance and recommendations from the UK maternal deaths enquiry, ^(2, 15) the following recommendations aim to improve the detection and response to life-threatening situations among women with mental illness:

1. **Clear referral thresholds and pathway** – greater clarity and consensus, between all healthcare professionals involved in providing care for perinatal women, about the thresholds and pathways for referral to secondary mental health services during the perinatal period.
2. **Transparent information sharing** – Improved methods of information sharing between primary care, maternity, mental health teams and social services, which use a common language relating to mental health risk.
3. **Ensure continuity of care when possible** – greater implementation of continuity of care models for all healthcare professionals involved in a woman's care during the perinatal period, which clearly state the roles, responsibilities, and plans for dedicated care coordination.
4. **Regular monitoring of women's mental health** – training and support for primary and maternity healthcare professionals around regular monitoring of mental health at all appointments (e.g. when assessing physical health, mental health should also be assessed) to promote dynamic risk assessment and rapid response to deterioration.

To prevent future tragedies, there is a need for greater clarity and consensus about thresholds for referral to secondary mental health services and the role of Midwives and Health Visitors in monitoring women's mental health during the perinatal period. Focusing on how differences in organisational and professional culture contribute to the recognition of severe mental illness and

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1
2
3 inter-disciplinary communication may help facilitate clearer co-ordination between teams in the
4
5 future.
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9

10
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38

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42
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44
45

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47
48

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50
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52
53 interpretation. AE drafted the article which was critically revised by all authors. All authors approved
54
55 the final version of the article to be published.
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Life-threatening situations in women with perinatal mental illness

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2
3 **Ethical Approval:** This study was reviewed and approved by King's College London Ethics Committee
4
5 (Psychiatry, Nursing and Midwifery Research Ethics Subcommittee); Research Ethics Committee
6
7 Reference LRS-16/17-3861 approval granted 12/12/16.
8
9

10 **Data sharing statement:** This is a qualitative study and therefore the data generated is not suitable
11
12 for sharing beyond that contained within the report. Further information can be obtained from the
13
14 corresponding author.
15
16

17
18 **Figure 1: Overview of themes and sub-themes**
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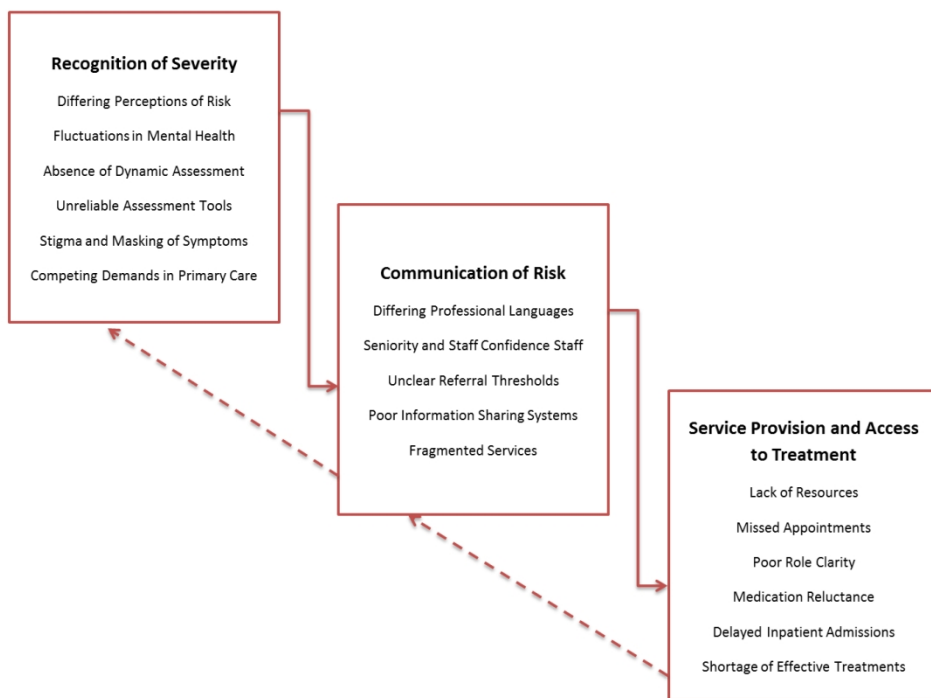


Figure 1: Overview of themes and sub-themes

Standards for Reporting Qualitative Research (SRQR)*

<http://www.equator-network.org/reporting-guidelines/srqr/>

Page/line no(s).

Title and abstract

<p>Title - Concise description of the nature and topic of the study Identifying the study as qualitative or indicating the approach (e.g., ethnography, grounded theory) or data collection methods (e.g., interview, focus group) is recommended</p>	Page 1/Line 3
<p>Abstract - Summary of key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results, and conclusions</p>	Page 2/Lines 6-56

Introduction

<p>Problem formulation - Description and significance of the problem/phenomenon studied; review of relevant theory and empirical work; problem statement</p>	Page 4/Lines 6-41
<p>Purpose or research question – Purpose of the study and specific objectives or questions</p>	Page 4/Lines 39-41

Methods

<p>Qualitative approach and research paradigm - Qualitative approach (e.g., ethnography, grounded theory, case study, phenomenology, narrative research) and guiding theory if appropriate; identifying the research paradigm (e.g., postpositivist, constructivist/ interpretivist) is also recommended; rationale**</p>	Page 4/Lines 50-54 Page 5/Lines 40-51 Page 6 lines 6-27
<p>Researcher characteristics and reflexivity - Researchers' characteristics that may influence the research, including personal attributes, qualifications/experience, relationship with participants, assumptions, and/or presuppositions; potential or actual interaction between researchers' characteristics and the research questions, approach, methods, results, and/or transferability</p>	Page 6/Lines 30-38
<p>Context - Setting/site and salient contextual factors; rationale**</p>	Page 5/Lines 6-17
<p>Sampling strategy - How and why research participants, documents, or events were selected; criteria for deciding when no further sampling was necessary (e.g., sampling saturation); rationale**</p>	Page 5 /Lines 3-18
<p>Ethical issues pertaining to human subjects - Documentation of approval by an appropriate ethics review board and participant consent, or explanation for lack thereof; other confidentiality and data security issues</p>	Page 5/Lines 20-30
<p>Data collection methods - Types of data collected; details of data collection procedures including (as appropriate) start and stop dates of data collection and analysis, iterative process, triangulation of sources/methods, and modification of procedures in response to evolving study findings; rationale**</p>	Page 5/Lines 40-51

1 2 3 4 5	Data collection instruments and technologies - Description of instruments (e.g., interview guides, questionnaires) and devices (e.g., audio recorders) used for data collection; if/how the instrument(s) changed over the course of the study	Page 5/ Lines 40-51
6 7 8	Units of study - Number and relevant characteristics of participants, documents, or events included in the study; level of participation (could be reported in results)	Page 7/Lines 9-50 (Table 1)
9 10 11 12	Data processing - Methods for processing data prior to and during analysis, including transcription, data entry, data management and security, verification of data integrity, data coding, and anonymization/de-identification of excerpts	Page 6/Lines 6-38
13 14 15 16	Data analysis - Process by which inferences, themes, etc., were identified and developed, including the researchers involved in data analysis; usually references a specific paradigm or approach; rationale**	Page 6/Lines 6-38
17 18 19 20	Techniques to enhance trustworthiness - Techniques to enhance trustworthiness and credibility of data analysis (e.g., member checking, audit trail, triangulation); rationale**	Page 5/Lines 6-16 Page 6/Lines 30-38

Results/findings

21 22 23 24 25 26 27	Synthesis and interpretation - Main findings (e.g., interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory	Pages 15-22 Figure 1
28 29 30	Links to empirical data - Evidence (e.g., quotes, field notes, text excerpts, photographs) to substantiate analytic findings	Pages 8-13

Discussion

31 32 33 34 35 36 37 38	Integration with prior work, implications, transferability, and contribution(s) to the field - Short summary of main findings; explanation of how findings and conclusions connect to, support, elaborate on, or challenge conclusions of earlier scholarship; discussion of scope of application/generalizability; identification of unique contribution(s) to scholarship in a discipline or field	Page 14 Lines 42-55 Page 15 line 3-42
39 40 41 42 43	Limitations - Trustworthiness and limitations of findings	Page 15/Line 45-56 Page 16/Lines 3-38

Other

44 45 46 47 48	Conflicts of interest - Potential sources of influence or perceived influence on study conduct and conclusions; how these were managed	Page 17/Lines 42
49 50	Funding - Sources of funding and other support; role of funders in data collection, interpretation, and reporting	Page 17/Lines 11-32

*The authors created the SRQR by searching the literature to identify guidelines, reporting standards, and critical appraisal criteria for qualitative research; reviewing the reference lists of retrieved sources; and contacting experts to gain feedback. The SRQR aims to improve the transparency of all aspects of qualitative research by providing clear standards for reporting qualitative research.

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3 **The rationale should briefly discuss the justification for choosing that theory, approach,
4 method, or technique rather than other options available, the assumptions and limitations
5 implicit in those choices, and how those choices influence study conclusions and
6 transferability. As appropriate, the rationale for several items might be discussed together.
7

8 **Reference:**

9 O'Brien BC, Harris IB, Beckman TJ, Reed DA, Cook DA. **Standards for reporting qualitative**
10 **research: a synthesis of recommendations.** *Academic Medicine*, Vol. 89, No. 9 / Sept 2014
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Recognition and response to life-threatening situations among women with perinatal mental illness: A qualitative study

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Life-threatening situations in women with perinatal mental illness

Abstract

Objective: Mental illness has persistently been found to be a leading cause of death during pregnancy and the year after birth (the perinatal period). This study aims to explore barriers to detection, response and escalation of mental health related life-threatening near miss events among women with perinatal mental illness.

Design: Qualitative study

Participants: Healthcare professionals (HCP) working in psychiatry, maternity and primary care (n=15) across community and hospital maternity and perinatal services within the UK.

Methods: In-depth semi-structured interviews were conducted with a range of healthcare professionals working with women during the perinatal period. An iterative process of inductive and deductive thematic analysis, informed by systems theories of healthcare and the Three Delays Model, was employed to analyse the data.

Results: Three overarching themes were identified: recognition of severity, communication of risk, and service provision and access to treatment. Differing perspectives of mental illness severity influenced how life-threatening situations among women with perinatal mental illness were described, recognised and communicated between teams. Under-resourced mental health service provision, particularly within emergency and specialist perinatal mental health services, unclear thresholds for escalating care and poor infrastructure for sharing information all contributed to delays in a timely response to crisis situations. Reluctance to prescribe medication or admit women to psychiatric hospital, stigma, and missed appointments created further delays.

Conclusions: Several complex and inter-related healthcare barriers to detection, response and escalation of care for potentially life-threatening situations among women with perinatal mental

Life-threatening situations in women with perinatal mental illness

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3 illness exist. Focusing on how differences in organisational and professional culture contribute to the
4
5 recognition of severe mental illness and inter-disciplinary communication may help facilitate clearer
6
7 co-ordination between teams.
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Strengths and Limitations of the study

- 14 • This is the first study to explore maternal near misses among women with a range of
15 perinatal mental illnesses, which identifies several important barriers to detection &
16 response of life-threatening situations among women with perinatal mental illness.
17
18
- 20 • As a small explorative qualitative study of healthcare professionals in the UK the study
21 findings may not be generalizable to other settings.
22
23
- 25 • Women's perspectives are not explored within this study.
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30 **Key words:** Qualitative, Perinatal Mental Health, Maternal Mortality, Near Miss
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Life-threatening situations in women with perinatal mental illness

Introduction

Mental illness is common during pregnancy and the first year postpartum (the perinatal period), with major depression or anxiety affecting approximately 10% of women.⁽¹⁾ Recent confidential enquiries into maternal deaths in the UK suggest that approximately a quarter (24%) of women who died between 2014-16 had a mental illness and 1 in 5 women died from suicide in the year following birth.⁽²⁾ As such, maternal suicide is the third largest cause of direct maternal deaths occurring during or within 42 days of the end of pregnancy. However, it remains the leading cause of direct deaths occurring within a year after the end of pregnancy, with a mortality rate of 2.8 per 100,000 maternities.

A near miss approach can be a valuable method for studying the processes in place for recognising and responding to clinical deterioration, and has been widely adopted to study severe maternal morbidity.^(3, 4) A maternal near miss is typically defined as 'severe life-threatening complications not resulting in death'.⁽⁴⁾ Several tools for identifying maternal near misses exist,^(3, 5, 6) yet despite the high mortality in perinatal period relating to mental rather than physical health they focus almost entirely on clinical indicators of physical health with limited consideration of mental health.⁽⁷⁾

This represents a significant research gap, since current approaches to investigating maternal morbidity and mortality rely upon identifying preceding clinical characteristics associated with a worsening of condition. Developing strategies to identify women at risk of life-threatening mental illness is therefore of clear clinical importance in order to ensure that timely and appropriate intervention are available to those at greatest risk.

The purpose of this study is to explore potential barriers to detection, response and escalation of mental health related life-threatening events among women with perinatal mental illness.

Methods

Design

Given the absence of prior research into psychiatric maternal near miss events, an exploratory qualitative study, utilising in-depth semi-structured interviews with healthcare professionals (HCP), was adopted. Patient safety incidence, such as near miss events, are often under-reported therefore qualitative methodology may also be useful to explore in-depth the potential complexity of the barriers surrounding detection and response to maternal near miss events.

Participants and Setting

This UK based study aimed to recruit a sample of HCP working with women with mental illness during the perinatal period (i.e. during pregnancy and up to the first-year post birth).

Purposeful and convenience sampling were used to recruit a national sample of HCP from five different healthcare disciplines (i.e. Psychiatry, Psychology, Midwifery, Obstetrics, and Health Visiting), across a range of healthcare settings (i.e. inpatient, outpatient and community teams). Rigour and transferability were optimised by sampling respondents with differing characteristics.

Two main recruitment methods were utilised. First, convenience sampling was used to identify potential participants via direct emails to professional mailing lists and promotion of the study to professional groups via social media (i.e. Twitter and Facebook). Second, purposeful sampling (based on healthcare discipline and setting, and geographical location in the UK) was adopted to identify and directly email potential participants that were not represented in the first round of sampling.

Interested participants received an information sheet and were offered the opportunity to discuss the study; those agreeing to participate provided written informed consent prior to the interview.

Recruitment to the study was concurrent with data analysis, and data collection proceeded until no

Life-threatening situations in women with perinatal mental illness

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3 further unique themes were identified in successive interviews (saturation). Although there is no
4
5 agreed method for establishing data saturation, after 15 interviews a range of HCP experiences had
6
7 been captured, and it was felt that no new themes were emerging and data saturation was reached.
8
9

10 *Data Collection*

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13 Interviews were semi-structured and followed a topic guide. Questions were open-ended and
14
15 iteratively revised following initial interviews to allow further exploration of the issues that arose.
16
17

18 Due to differing definitions of near misses across different disciplines, following a brief exploration of
19
20 what HCP thought of as a psychiatric near miss event, they were directed to focus on situations that
21
22 they thought were life-threatening to the service user involved. All interviews were undertaken by
23
24 one researcher (AE), by phone or face to face. Interviews were audio recorded and transcribed
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26 verbatim.
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29 *Data Analysis*

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32 Data were managed using qualitative software, NVIVO V.11. An iterative process of inductive and
33
34 deductive thematic analysis, as described by Braun and Clarke, was used to identify common themes
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36 in the data.⁽⁸⁾ AE read the transcripts several times to become immersed in the data. Initial line-by-
37
38 line inductive coding was conducted, before grouping meaningful sub-themes into overarching
39
40 themes. Grouping of themes and sub-themes was informed by two key theories, outlined below, to
41
42 facilitate a more in-depth understanding of barriers to detection and response from a healthcare
43
44 systems perspective, rather than solely focusing on individual level barriers. The emerging themes
45
46 were used to modify the interview schedule and inform subsequent interviews and analysis.
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51 *Theoretical Underpinnings*

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54 Data analysis and interpretation was informed by Systems Theories of healthcare,⁽⁹⁾ and the 'Three
55
56 Delays Model'.^(10, 11)
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Life-threatening situations in women with perinatal mental illness

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3 General systems theory, views healthcare practices within the context of a complex and interacting
4 system. It asserts that individuals (e.g. patients, families, healthcare professionals) do not act in
5 isolation; and therefore can only be understood by exploring the healthcare system as a whole and
6 how different levels of the system interact. Factors that contribute to the healthcare system have
7 been conceptualised as the interplay between three fundamental levels: micro (e.g. individual
8 patients and healthcare professionals and their interactions), meso (e.g. the organisational context)
9 and macro (e.g. wider healthcare systems and political context).

10
11
12 The three delays model was originally proposed to help facilitate understanding of the factors that
13 prevent or delay women from accessing safe maternity care. Delays are proposed to occur at three
14 key points: 1) delay in seeking care; 2) delay in reaching care, and 3) delay in receiving care once at
15 an appropriate healthcare facility. The model has been used in a variety of international settings to
16 help understand healthcare factors relating to maternal and perinatal mortality, and implement
17 targeted changes in healthcare systems.

18
19
20 These theories were used to help structure the topic guide and help facilitate exploration of
21 potential barriers at different levels of the healthcare system (e.g. micro, meso and macro) and
22 within a woman's care pathway. To draw out the complexity relating to the number of healthcare
23 professionals and disciplines involved in women's perinatal mental healthcare the analysis focused
24 on desalinating differing perceptions between HCP.

25
26
27 All authors discussed the initial coding framework and emerging themes to ensure that alternative
28 viewpoints had been considered, reviewed the thematic labels applied and agree on the final
29 overarching and sub-themes. This process helped to highlight alternative interpretations, which
30 were reflective of the different academic and clinical background (maternity, psychiatry and
31 psychology) of the research team.

32 33 34 **Patient and Public Involvement**

Life-threatening situations in women with perinatal mental illness

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3 Feedback on the preliminary findings from the thematic analysis was sought from a patient and
4 public involvement (PPI) group consisting of women, and family members, with lived experience of
5 perinatal mental illness. Discussions held with the PPI group helped to refine the labels assigned to
6 codes and themes, and their descriptions as presented in this manuscript. In addition, they have
7 helped to identify areas for future research studies to further understanding of the support needs of
8 women and families.
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Results

Participants

A total of 15 HCP participated in the current study: 5 psychiatrists, 5 midwives, 2 health visitors, 1 General Practitioner, 1 psychologist and 1 obstetrician. Participants were recruited from the following regions: East of England (n=3), London (n=4), North East England (n=2) South East England (n=1), South West England (n=1), the West Midlands (n=2), Yorkshire and Humber (n=1), Wales (n=1). Five participants were recruited via social media, four responded to mailing lists and six were purposefully recruited via direct email. Participant characteristics are shown in Table 1.

Table 1: Characteristics of Interview Participants

Participant Number	Gender	Profession	Setting
01	F	Psychiatrist	Community Perinatal Psychiatry
02	M	Psychiatrist	Adult Psychiatry
03	M	Psychiatrist	Inpatient Mother and Baby Hospital
04	F	Midwife	Community Midwifery
05	F	Psychiatrist	Inpatient/Community Perinatal Psychiatry
06	F	General Practitioner	General Practice
07	F	Midwife	Community Midwifery/Maternity Hospital
08	F	Health Visitor	Community Health Visiting/Management
09	F	Psychologist	Outpatients Clinic
10	F	Midwife	Community Midwifery/Management
11	F	Psychiatrist	Community Perinatal Psychiatry
12	F	Obstetrician	Maternity Hospital

Life-threatening situations in women with perinatal mental illness

13	F	Health Visiting	Community Health Visiting
14	F	Midwife	Community Midwifery/Maternity Hospital
15	F	Midwife	Community Midwifery

Inconsistent Definitions and Criteria

There was considerable inconsistency in how HCP conceptualised a maternal near miss or life-threatening event relating to mental health, which was driven by different definitions in use across the specialisms. The most typical examples were attempted suicides, although self-harm, harm to others and severe mental health relapses were also discussed.

As depicted in Figure 1, barriers to identifying and responding to a potentially life-threatening event in mental health occurred at three levels of the healthcare system: recognition of severity, communication of risk and service provision and access to treatment.

Figure 1 here**1. Recognition of Severity***Differing Perceptions of Risk*

A primary requisite to providing appropriate and timely mental health treatment is the ability to accurately determine the severity of a woman's symptoms and level of risk. However, differing perception of risk and illness severity across healthcare disciplines acted as a key barrier to identifying the level of mental health assessment or treatment a woman needed. This impacted on detection and response to potentially life-threatening situations in two ways. Perinatal Psychiatrists described situations where they felt that primary HCP (i.e. midwives, health visitors and general practitioners) and non-perinatal psychiatry teams had underestimated the level of risk of a situation, which contributed to delays in accessing specialist care.

Life-threatening situations in women with perinatal mental illness

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3 *“...she was someone who in the first two weeks postnatally she'd been referred to the CMHT*
4 *[Community Mental Health Team] ... but, because she wasn't referred urgently (the GP was just a bit*
5 *concerned) and she was sent an appointment, but by that time she'd already jumped [off a high*
6 *building ...but survived].” (Perinatal Psychiatrist)*
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13 By comparison, primary HCP frequently expressed difficulties escalating care for women that they
14 perceived to be at risk of a life-threatening event when their concerns were not shared by mental
15 health teams. This was most often described within the context of self-harm and created uncertainty
16 about whether self-harm represented a risk of a more life-threatening injury and frustration about a
17 lack of prioritisation of such cases.
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25 *“Maybe in their world of mental health it's not so bad. Obviously for me, from my perspective we've*
26 *got a woman who is doing that [harming] to herself and God knows what else she could do”*
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30 *(Midwife)*
31

32 *Fluctuations in Mental Illness*

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35 Unlike many physical illnesses, which typically have a more linear deterioration prior to a maternal
36 near miss, symptoms of mental illness and levels of risk can fluctuate over time, sometimes very
37 rapidly.⁽¹²⁾ Fluctuation in symptom severity was identified as a fundamental challenge when trying to
38 identify women at risk of a life-threatening event before it occurred.
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45 *“...so in actual fact we assessed her as being relatively low risk but ... she had just had a visit from*
46 *her family which had been quite a distressing visit which had obviously changed the risk... In*
47 *retrospect we didn't respond appropriately to that.” (Perinatal Psychiatrist)*
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53 Midwives and Health Visitors highlighted that this lack of regular enquiry about a woman's mental
54 health status throughout the perinatal period also created barriers to recognising signs of
55 deterioration. Competing role demands sometimes meant that a woman's mental health or
56 wellbeing may not be asked about at each visit unless there was cause for concern. This was
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Life-threatening situations in women with perinatal mental illness

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3 compounded by a lack of continuity of care, resulting in midwives being less able to detect changes
4
5 in a woman's mental health.
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8 *"...it's based on booking, so unless the woman actually comes back and says, "Do you know what?*
9
10 *I'm not feeling very well, I'm not doing very well," it won't be necessarily revisited again during*
11
12 *pregnancy and also postnatally. "(Midwife)*

13 14 15 16 *Risk Assessment Tools*

17
18 Although HCP described tools available to assess women's risk or symptom severity, it was apparent
19
20 that these tools were not reliable or regularly implemented. In some cases this led to disagreements
21
22 among clinicians about the level of risk woman was presenting with, and contributed to difficulties in
23
24 communicating risk between teams.
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28 *"...we really just use a standard clinical interview really to find out what they are telling us and what*
29
30 *we work out from psychiatric examination to tell us how risky they actually are. There isn't really a*
31
32 *standardized tool which works, I don't think." (Psychiatrist)*
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35 36 **2. Communication of Risk**

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38 During the perinatal period a range of HCP are involved in women's care, communication within and
39
40 between teams is therefore paramount to recognising and responding to high risk situations,
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42 however several communication barriers were identified.
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46 47 *Differing Professional Languages*

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49 Health Visitors and Midwives spoke about difficulties communicating symptoms and deterioration of
50
51 mental illness to mental health teams, commenting that it felt as if they 'spoke different languages'.
52
53 Primary care staff felt that their training and professional background did not adequately equip them
54
55 to convey concerns about a mothers' mental health or accurately describe particular symptoms. In
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Life-threatening situations in women with perinatal mental illness

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3 some cases this resulted in several re-referrals to mental health services, potentially creating delays
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5 to receiving care.
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9 *"...what I used to find is that health visitors would have an issue, a concern, and ring the mental*
10 *health services, but because they weren't really clear about what they were saying or what they were*
11 *doing so they would say, "Well, it wasn't accepted." I'd say, 'Right, ring back and say this [...] and*
12 *give them the language. And then once you did that, the referral was accepted. It's something about*
13 *the language and the culture that each profession uses as well that makes it more likely that a*
14 *woman will be seen appropriately." (Health Visitor)*
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23 This appeared to be compounded by a lack of confidence among junior primary care staff about
24 communicating with specialist mental health teams in relation to illnesses outside of their discipline,
25 whereas support from senior staff helped facilitate these conversations.
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30 *Unclear Referral Thresholds*

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33 During the perinatal period clinical guidance in the UK recommends a lower threshold for referral
34 and intervention for mental illness.⁽¹³⁾ However, healthcare professionals often described a sense of
35 confusion and frustration about what symptoms would constitute a referral being accepted by
36 secondary mental health teams. This was strongly related to themes of differing perceptions of
37 severity between specialisms and difficulties communicating levels of risk in referral forms. Midwives
38 and Health Visitors often felt that thresholds for secondary mental health services were too high,
39 which led to referrals not being accepted and left primary healthcare professionals unsure of how to
40 manage the mental healthcare of such women.
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52 *"...we are working very much and saying if they have got these pre-existing conditions that the*
53 *referral needs to go in and it needs to bypass the lower level of support. It needs to go straight*
54 *through to the secondary levels so that the Perinatal Team will pick it up. But it's just very difficult to*
55 *write the referral so that you can get it through to meet those thresholds." (Midwife)*
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Life-threatening situations in women with perinatal mental illness

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3 By comparison, Psychiatrists sometimes expressed frustration in the number of 'inappropriate'
4 referrals to mental health services, which they had limited capacity to manage.
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8 *"On the referral form, we've got risk assessments, suicide risk or self-harm, we particularly*
9 *highlighted them you could see that. The problem is ... we've had women who've taken an overdose*
10 *10 years ago and then the nurses, the midwives read that and they put the highest score for suicide*
11 *risk and actually it's very historical."* (Psychiatrist)
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17 *Poor Information Sharing and Fragmented Services*

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21 The ability to communicate risks and concerns between different health and social care
22 professionals involved in women's care was also impacted by a lack of integrated clinical records.
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26 HCP often spoke about managing the care of a woman but not being fully aware of involvement
27 from other services, sometimes having to rely upon women to provide information about their care.
28
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30
31 *"I find that because we all have different IT systems where we keep our records, nothing speaks to*
32 *each other; we don't have access to each other's records [...] I think that there are issues with that*
33 *about the fact we can't see what Mental Health have written, Mental Health can't see what we're*
34 *writing, Social Care can't see what any of us are writing."* (Midwife)
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40 **3. Service Provision and Access to Treatment**

41 *Lack of Resources*

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47 A key theme from all interviews that contributed to missed opportunities for care among women
48 with potentially life-threatening illnesses was a lack of resources for mental health service provision,
49 which affected the capacity for response to high risk situations. In addition, a lack of appropriate
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Life-threatening situations in women with perinatal mental illness

1
2
3 facilities for a mental health crisis, particularly during pregnancy, and highlighted women's
4
5 reluctance to attend A&E for their mental health.
6
7

8 *"In terms of getting the crisis team to attend or getting the GP to attend out of hours has always*
9 *been... well a bit fraught really and not knowing who to ring for the best and the crisis team saying,*
10 *"Yes, can send someone but it's going to be six hours, which isn't exactly considered a crisis."* (Health
11
12
13
14
15 Visitor)

16 17 18 Medication Reluctance

19
20
21 It was apparent from some interviews that a reluctance to prescribe psychotropic medication or to
22
23 recommend an inpatient admission during the perinatal period was also a factor that affected the
24
25 type of treatment women received for mental illness during pregnancy or during early motherhood.
26
27 Other interviews emphasised the role of the women and families in deciding to stop medication
28
29 during the perinatal period which was felt to contribute to deterioration in mental health.
30
31

32
33 *"Sometimes there is sort of a resistance from psychiatry services to up medication and be*
34 *more aggressive with it so that you can actually try and sort out the medical problem."*
35
36

37
38 (Obstetrician)
39
40

41 Missed Appointments

42
43
44 HCP also spoke about how a perceived stigma and fear of social service involvement led to women
45
46 *"masking their symptoms"* from clinical staff or not attending clinical appointments, which created
47
48 additional difficulties in spotting early warning signs.
49
50

51 *"It's the woman who don't attend or don't disclose, I think they're most at risk groups [...]*
52
53 *The women that I do see in the clinic are the ones at least I'm worried about, it's those I'm*
54
55 *not seeing are the ones I'm most worried about."* (Psychiatrist)
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Life-threatening situations in women with perinatal mental illness

Poor Role Clarity

Several HCP also highlighted that poor role clarity was also a factor that contributed to women deemed at risk of a life-threatening event not receiving an appropriate level of treatment. This was particularly the case in situations where a woman was currently well, but at high risk of relapse or severe mental illness in the postpartum period, which created confusion over whose role it was to monitor the well-being of a woman and escalate care if necessary.

“She doesn’t get accepted (for mental health treatment) and then the response from perinatal is for the midwife to monitor and the GP to monitor. I came back and said, “There’s (so few) midwives in the team, and what exactly is this midwife, who is a midwife, monitoring? What exactly do want her to monitor? Secondly, what is this about the GP? Don’t we understand how GP’s work?” Monitor what? [...] “What do you mean monitor?” Midwives are there to monitor the pregnancy, but we are not mental health nurses, we are not psychiatrists.” (Midwife)

Psychiatrists described discharging women to the primary care staff who did not meet the threshold for specialist mental health services, but required closer monitoring and support. However, primary care staff often expressed feeling unclear or ill-equipped in their role and how to monitor the mental healthcare of these women. Some midwives perceived the mental health of the women they were managing to be too severe or high risk and warranted greater support from mental health specialists; highlighting a lack of middle tier mental health services to monitor and support women who may be at risk of deterioration.

Discussion

Accurate identification and treatment of mental illnesses that may become life threatening during the perinatal period is an important quality and safety issue and crucial to reducing the maternal death rate.^(14, 15) This study illustrates several inter-related barriers to detection, response and escalation of mental health care in potentially life-threatening situations that occur at all levels of

Life-threatening situations in women with perinatal mental illness

1
2
3 the healthcare system. Differing perceptions of risk and severity of mental illness between the
4
5 various HCP involved in a woman's perinatal care had significant consequences for how situations
6
7 were interpreted and communicated between teams. In many cases, these barriers to
8
9 communication were further compounded by a perception of a lack of reliable tools to assess risk,
10
11 unclear thresholds for escalating care and poor infrastructure for sharing information.
12
13

14
15 These findings are consistent with recent maternal deaths enquiries which have found poor
16
17 communication between maternity and mental health services to be a significant factor in many of
18
19 the mental health related deaths.⁽¹⁶⁾ In particular, the 2017 MBRRACE report highlighted that a
20
21 narrow interpretation of risk (e.g. that focused on a woman's current symptom presentation)
22
23 resulted in an under recognition and treatment of women with mental illness.⁽¹⁷⁾ Healthcare
24
25 communication is a complex process and this study emphasises the important influence of how signs
26
27 of mental illness are interpreted and communicated, which was strongly embedded within HCP
28
29 training and experiences, confidence and professional cultures. In order to improve communication
30
31 between primary and mental healthcare professionals there is a clear need to adopt strategies that
32
33 target such cultural factors and help facilitate communication between teams.
34
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36

37
38 Clinical guidelines in the UK recommend the use of two questions ('the Whooley questions') to aid
39
40 identification of depression and other mental illnesses during pregnancy and the early postnatal
41
42 period.⁽¹³⁾ Several previous studies have highlighted barriers to implementing these assessments in
43
44 practice, such as time constraints and lack of training and knowledge about perinatal mental
45
46 health.⁽¹⁸⁾ The present study suggests that the implementation of routine questions to identify
47
48 mental illness may be more complex within the current healthcare system. For example, lack of on-
49
50 going assessment of women's mental health throughout the perinatal period reduced the ability to
51
52 identify significant changes in symptom presentation. Furthermore, challenges in interpreting
53
54 responses to the questions arose from a lack of continuity of care and unclear thresholds and
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Life-threatening situations in women with perinatal mental illness

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3 pathways of referral, which created uncertainty among healthcare professionals about the
4
5 appropriate treatment response.
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8 Under-resourced mental health service provision further contributed to women not being assessed
9
10 by a specialist within an adequate time-frame. A shortage of services for moderate mental illness
11
12 led to primary care staff monitoring and managing the care of women they perceived to require
13
14 greater support, creating confusion about referral thresholds. Lack of perinatal mental health
15
16 services in the UK is well-documented,⁽¹⁹⁾ and recent government investments aim to increase access
17
18 by 30,000 women by 2021.⁽²⁰⁾ However, In addition to a lack of specialist services, HCP emphasised
19
20 several other barriers, which included both healthcare level factors (e.g. a reluctance to prescribe
21
22 medication or admit women to mental health hospitals during pregnancy or early post-birth) and
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24 patient and family level factors (e.g. stigma and missed appointments).
25
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29 This is the first study to explore maternal near misses or life-threatening situations among women
30
31 with perinatal mental illnesses. Interviews with a range of professionals involved in women's
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33 antenatal and postnatal care allowed us to compare the views of different HCP and the impact that
34
35 this had on recognition and response. Although several previous studies have explored experiences
36
37 of care among women with perinatal mental illness,⁽²¹⁻²³⁾ none have distinguished between women
38
39 with high-risk mental illness, requiring rapid assessment and escalation and those with mild-
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41 moderate mental illness. This is important since differing levels of risk and severity require different
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43 communication strategies and treatment response. Failure to objectively differentiate between
44
45 levels of risk and severity in mental health literature is likely to further compound the challenges
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47 highlighted in this study.
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52 However, there are some study limitations that require consideration. This is a small qualitative
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54 study of HCP in the UK, which may not be generalizable to other settings. Importantly, women's
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56 perspectives are not explored within this study. Given the sensitive nature of the topic, future
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Life-threatening situations in women with perinatal mental illness

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3 research should be co-designed with women, and families, with experience of mental illness to
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5 adopt appropriate and sensitive research methods.
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8 Furthermore, the utility and applicability of the concept of clinical deterioration and access to timely
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10 care, as proposed by the 'three delay model' of maternal mortality, requires further consideration
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12 within the context of psychiatric near miss events, since not all psychiatric maternal near misses will
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14 occur within the context of clinical deterioration. As highlighted by several healthcare professionals,
15
16 an absence of reliable and dynamic methods of risk assessment impeded their ability to predict
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18 those at most risk of a life-threatening event. This is supported by a recent meta-analysis of risk
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20 scales, which demonstrated the predictive power of such scales on future suicidal behaviours was
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22 too low to advocate their use.⁽²⁴⁾ Instead, a focus on individual needs based assessments and
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24 modifiable risk factors is recommended.^(24, 25)
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29 In conclusion, barriers to response and escalation of mental healthcare for women life-threatening
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31 perinatal mental illness are strongly influenced by the professional culture and understanding of
32
33 mental illness embedded within different healthcare disciplines. A lack of consensus about what
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35 constitutes a psychiatric maternal near miss resulted in discrepancies in reporting, recording and
36
37 learning from such events. There are several clinical implications arising from this study, which
38
39 emphasis the need for greater implementation of recommendations made by MBRRACE and the
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41 National Institute for Health and Care Excellence (NICE),^(2, 13) see Box 1.
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Box 1: Key Implications for Practice

Based on the findings of this study, and existing NICE guidance and recommendations from the UK maternal deaths enquiry, ^(2, 15) the following recommendations aim to improve the detection and response to life-threatening situations among women with mental illness:

1. **Clear referral thresholds and pathway** – greater clarity and consensus, between all healthcare professionals involved in providing care for perinatal women, about the thresholds and pathways for referral to secondary mental health services during the perinatal period.
2. **Transparent information sharing** – Improved methods of information sharing between primary care, maternity, mental health teams and social services, which use a common language relating to mental health risk.
3. **Ensure continuity of care when possible** – greater implementation of continuity of care models for all healthcare professionals involved in a woman's care during the perinatal period, which clearly state the roles, responsibilities, and plans for dedicated care coordination.
4. **Regular monitoring of women's mental health** – training and support for primary and maternity healthcare professionals around regular monitoring of mental health at all appointments (e.g. when assessing physical health, mental health should also be assessed) to promote dynamic risk assessment and rapid response to deterioration.

To prevent future tragedies, there is a need for greater clarity and consensus about thresholds for referral to secondary mental health services and the role of Midwives and Health Visitors in monitoring women's mental health during the perinatal period. Focusing on how differences in organisational and professional culture contribute to the recognition of severe mental illness and

Life-threatening situations in women with perinatal mental illness

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3 inter-disciplinary communication may help facilitate clearer co-ordination between teams in the
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5 future.
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10
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47
48

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50
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52
53 interpretation. AE drafted the article which was critically revised by all authors. All authors approved
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55 the final version of the article to be published.
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Life-threatening situations in women with perinatal mental illness

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3 **Ethical Approval:** This study was reviewed and approved by King's College London Ethics Committee
4
5 (Psychiatry, Nursing and Midwifery Research Ethics Subcommittee); Research Ethics Committee
6
7 Reference LRS-16/17-3861 approval granted 12/12/16.
8
9

10 **Data sharing statement:** This is a qualitative study and therefore the data generated is not suitable
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12 for sharing beyond that contained within the report. Further information can be obtained from the
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14 corresponding author.
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18 **Figure 1: Overview of themes and sub-themes**
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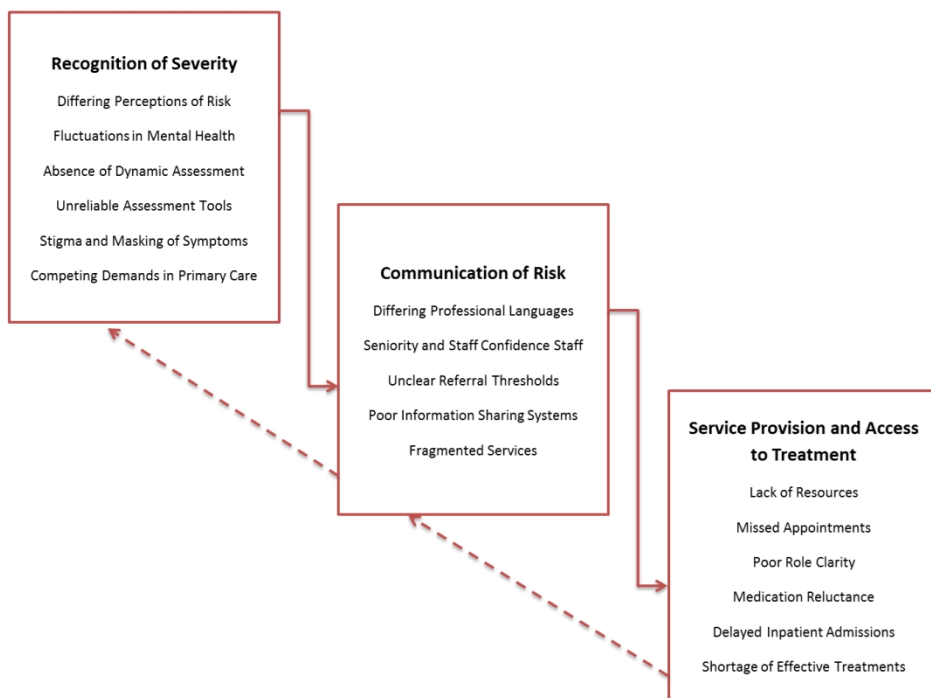


Figure 1: Overview of themes and sub-themes

Standards for Reporting Qualitative Research (SRQR)*

<http://www.equator-network.org/reporting-guidelines/srqr/>

Page/line no(s).

Title and abstract

<p>Title - Concise description of the nature and topic of the study Identifying the study as qualitative or indicating the approach (e.g., ethnography, grounded theory) or data collection methods (e.g., interview, focus group) is recommended</p>	Page 1/Line 3
<p>Abstract - Summary of key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results, and conclusions</p>	Page 2/Lines 6-56

Introduction

<p>Problem formulation - Description and significance of the problem/phenomenon studied; review of relevant theory and empirical work; problem statement</p>	Page 4/Lines 6-41
<p>Purpose or research question – Purpose of the study and specific objectives or questions</p>	Page 4/Lines 39-41

Methods

<p>Qualitative approach and research paradigm - Qualitative approach (e.g., ethnography, grounded theory, case study, phenomenology, narrative research) and guiding theory if appropriate; identifying the research paradigm (e.g., postpositivist, constructivist/ interpretivist) is also recommended; rationale**</p>	Page 4/Lines 50-54 Page 5/Lines 40-51 Page 6 lines 6-27
<p>Researcher characteristics and reflexivity - Researchers' characteristics that may influence the research, including personal attributes, qualifications/experience, relationship with participants, assumptions, and/or presuppositions; potential or actual interaction between researchers' characteristics and the research questions, approach, methods, results, and/or transferability</p>	Page 6/Lines 30-38
<p>Context - Setting/site and salient contextual factors; rationale**</p>	Page 5/Lines 6-17
<p>Sampling strategy - How and why research participants, documents, or events were selected; criteria for deciding when no further sampling was necessary (e.g., sampling saturation); rationale**</p>	Page 5 /Lines 3-18
<p>Ethical issues pertaining to human subjects - Documentation of approval by an appropriate ethics review board and participant consent, or explanation for lack thereof; other confidentiality and data security issues</p>	Page 5/Lines 20-30
<p>Data collection methods - Types of data collected; details of data collection procedures including (as appropriate) start and stop dates of data collection and analysis, iterative process, triangulation of sources/methods, and modification of procedures in response to evolving study findings; rationale**</p>	Page 5/Lines 40-51

1 2 3 4 5	Data collection instruments and technologies - Description of instruments (e.g., interview guides, questionnaires) and devices (e.g., audio recorders) used for data collection; if/how the instrument(s) changed over the course of the study	Page 5/ Lines 40-51
6 7 8	Units of study - Number and relevant characteristics of participants, documents, or events included in the study; level of participation (could be reported in results)	Page 7/Lines 9-50 (Table 1)
9 10 11 12	Data processing - Methods for processing data prior to and during analysis, including transcription, data entry, data management and security, verification of data integrity, data coding, and anonymization/de-identification of excerpts	Page 6/Lines 6-38
13 14 15 16	Data analysis - Process by which inferences, themes, etc., were identified and developed, including the researchers involved in data analysis; usually references a specific paradigm or approach; rationale**	Page 6/Lines 6-38
17 18 19 20	Techniques to enhance trustworthiness - Techniques to enhance trustworthiness and credibility of data analysis (e.g., member checking, audit trail, triangulation); rationale**	Page 5/Lines 6-16 Page 6/Lines 30-38

Results/findings

21 22 23 24 25 26 27	Synthesis and interpretation - Main findings (e.g., interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory	Pages 15-22 Figure 1
28 29 30	Links to empirical data - Evidence (e.g., quotes, field notes, text excerpts, photographs) to substantiate analytic findings	Pages 8-13

Discussion

31 32 33 34 35 36 37 38	Integration with prior work, implications, transferability, and contribution(s) to the field - Short summary of main findings; explanation of how findings and conclusions connect to, support, elaborate on, or challenge conclusions of earlier scholarship; discussion of scope of application/generalizability; identification of unique contribution(s) to scholarship in a discipline or field	Page 14 Lines 42-55 Page 15 line 3-42
39 40 41 42 43	Limitations - Trustworthiness and limitations of findings	Page 15/Line 45-56 Page 16/Lines 3-38

Other

44 45 46 47 48	Conflicts of interest - Potential sources of influence or perceived influence on study conduct and conclusions; how these were managed	Page 17/Lines 42
49 50	Funding - Sources of funding and other support; role of funders in data collection, interpretation, and reporting	Page 17/Lines 11-32

*The authors created the SRQR by searching the literature to identify guidelines, reporting standards, and critical appraisal criteria for qualitative research; reviewing the reference lists of retrieved sources; and contacting experts to gain feedback. The SRQR aims to improve the transparency of all aspects of qualitative research by providing clear standards for reporting qualitative research.

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3 **The rationale should briefly discuss the justification for choosing that theory, approach,
4 method, or technique rather than other options available, the assumptions and limitations
5 implicit in those choices, and how those choices influence study conclusions and
6 transferability. As appropriate, the rationale for several items might be discussed together.
7

8 **Reference:**

9 O'Brien BC, Harris IB, Beckman TJ, Reed DA, Cook DA. **Standards for reporting qualitative**
10 **research: a synthesis of recommendations.** *Academic Medicine*, Vol. 89, No. 9 / Sept 2014
11 DOI: [10.1097/ACM.0000000000000388](https://doi.org/10.1097/ACM.0000000000000388)
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