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PseudoFuN: Deriving functional potentials of pseudogenes from integrative relationships with genes and miRNAs across 32 cancers --Manuscript Draft--

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Abstract:	been of medical interest regarding regula are a direct byproduct of their close seque Novel pseudogene-gene functional association integration of biomedical data, such as set expression, pseudogene expression, and information has been integrated, and the relied on 1:1 pseudogene-parent gene re homologous genes/pseudogenes. Result families that expand beyond the current 1 PGG databases by i) CUDAlign GPU acc gene families (totaling 1.6 billion individua GPU hours) and ii) BLAST-based assign Secondly, we create an open-source web integrative functional relationships of seque expression, pseudogene expression, and databases (>462,000,000 pseudogene-ge families) that can be queried and downloa consistent with previous 1:1 pseudogene- powerful including millions of de novo pse we find multiple known (e.g., miR-20a-PT SOX15- PPP4R1L) miRNA-gene-pseudo PseudoFuN provides a "one stop shop" for potential regulatory relationships related to Conclusions: Thousands of new pseudogene-ge simple-to-use online tool by bioinformatic	tiations can be identified through the equence homology, functional pathways, gene miRNA expression. However, not all of the vast majority of previous pseudogene studies lationships without leveraging other s: We produce pseudogene-gene (PGG) :1 paradigm. Firstly, we construct expansive elerated local alignment of all pseudogenes to al local alignments and more than 40,000 ment of pseudogenes to gene families. application (PseudoFuN) to search for uence homology, miRNA expression, gene gene ontology. We produce four "flavors" of ene pairwise alignments and 133,770 PGG aded using PseudoFuN. These databases are gene annotation and also are much more eudogene-gene associations. For example, EN-PTENP1) and novel (e.g., miR-375- gene associations in prostate cancer. or identifying and visualizing thousands of o pseudogenes in TCGA cancers. ene-gene associations can be explored in the expression and differential expression with a			
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majority of previous pseudogene studies relied on 1:1 pseudogene-parent gene relationships without leveraging other homologous genes/pseudogenes. Results: We produce pseudogene-gene (PGG) families that expand beyond the current 1:1 paradigm. Firstly, we construct expansive PGG databases by i) CUDAlign GPU accelerated local alignment of all pseudogenes to gene families (totaling 1.6 billion individual local alignments and more than 40,000 GPU hours) and ii) BLAST-based assignment of pseudogenes to gene families. Secondly, we create an open-source web application (PseudoFuN) to search for integrative functional relationships of sequence homology, miRNA expression, gene expression, pseudogene expression, and gene ontology. We produce four "flavors" of databases (>462,000,000 pseudogene-gene pairwise alignments and 133,770 PGG families) that can be gueried and downloaded using PseudoFuN. These databases are consistent with previous 1:1 pseudogene-gene annotation and also are much more powerful including millions of *de novo* pseudogene-gene associations. For example, we find multiple known (e.g., miR-20a-PTEN-PTENP1) and novel (e.g., miR-375-SOX15- PPP4R1L) miRNA-gene-pseudogene associations in prostate cancer. PseudoFuN provides a "one stop shop" for identifying and visualizing thousands of potential regulatory relationships related to pseudogenes in TCGA cancers. Conclusions: Thousands of new pseudogene-gene associations can be explored in the context of miRNA-gene-pseudogene co-expression and differential expression with a simple-to-use online tool by bioinformaticians and oncologists alike.

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Keywords: Pseudogenes, database, functional prediction, gene regulation, network analysis,
 high performance computing, graphics processing unit, competing endogenous RNA

1 Background

Pseudogenes were previously considered unimportant relics of evolution that played an unclear role in biological processes¹. However, more pseudogenes have been discovered to be involved in gene regulation²⁻⁴. These regulatory relationships between pseudogenes and genes have increasingly been explored, such as the transcriptional regulation of PTEN by pseudogene PTENP1 in several cancer conditions⁵. PTEN acts as a tumor suppressor gene, which is underexpressed in gastric cancer. However by overexpressing PTENP1 in gastric cancer, both PTEN underexpression and cell proliferation are mitigated via the regulatory relationship between PTEN and PTENP1⁶. Relationships between these pseudogenes and their parent genes have been found to play critical roles indicating functional potentials of these pseudogenes^{7,8}. This point can most clearly be seen in the importance of sequence homology between pseudogenes and coding genes plays in competing endogenous RNA (ceRNA) networks^{9,10}. In ceRNA networks the pseudogenes act as decoy targets for the miRNAs targeting a protein-coding gene. In short, researchers have made huge strides in understanding pseudogenes from genomic variation to functional potentials^{11,12}, and from "deciphering" the mechanism of ceRNA networks¹³ to experimental validation¹⁴.

With this progress, there has been renewed interest in pseudogenes, especially in relation to cancer¹⁵. This interest has even uncovered biomarkers in human cancer including but not limited to SUMO1P3 upregulation as a diagnostic biomarker in gastric cancer and OCT4-pg4 expression as a prognostic biomarker in hepatocellular carcinoma (HCC)¹⁶⁻¹⁸. Pseudogene expression has been used to stratify tumor subtypes in 7 distinct cancer types¹⁹. However, due to the close sequence homology between pseudogenes and their parent genes, identifying the expression profile unique to a pseudogene or highly homologous gene can be challenging. Efforts have been made to address these technical challenges in estimating pseudogene expression using modified alignment and quantification techniques²⁰. Perhaps more intriguingly

is that pseudogenes can be somatically acquired in cancer development effectively "representing a new class of mutations" that can be either activating or inactivating mutations which function as an "on/off switch"^{21,22}. Specific pseudogenes have been implicated in specific cancers. For example, FTH1 regulates tumorigenesis in prostate cancer²³, TP73-AS1 regulates proliferation in esophageal squamous cell carcinoma²⁴, and pseudogenes NKAPP1, MSTO2P and RPLP0P2 are associated with poor prognosis in lung adenocarcinoma²⁵.

8 For these reasons, having a complete understanding of these pseudogene-gene relationships is 9 important. While studying these relationships, a common conception is to only consider the 10 pseudogenes in relation to their parent genes with highest homology^{7-9,26}. There have also been 11 pioneer studies probing pseudogene functions through aligning them to parent proteins 12 (corresponding to the parent genes) and then to parent protein domains^{7,27,28}.

The conventional idea of single parent genes may not be comprehensive enough to model the complex phylogenetic relationships involving multiple genes and pseudogenes in a homolog family. While pseudogenes diverged from their parent genes distantly in the past, only the daughter protein-coding genes other than the original parent gene may now exist. The result is that aligning to the true phylogenetic parent gene itself may not be possible. For this reason, we advocate the use of homologous gene families rather than single parent genes to compare against pseudogenes. By viewing the homologies as a weighted network instead of a single scalar value we believe that new relationships can be uncovered.

We build the pseudogene-gene (PGG) family databases using two methods: i) CUDAlign²⁹
based-local alignment of all pseudogenes to gene families (totaling 1.6 billion individual local
alignments and more than 40,000 GPU hours). By aligning all pseudogenes to all gene families
(CUDAlign), we can study underlying sequence homology and more easily set cutoffs to assign

pseudogenes to gene families. ii) BLAST ³⁰-based assignment of pseudogenes to gene families. This provides a fast heuristic search option. BLAST derivative methods have been commonly used to find parent genes in previous pseudogene studies^{31,32}. Using these two methods we show that these pseudogenes are usually assigned to the gene family of their parent genes but are often not exclusively so. Besides, most pseudogenes can be categorized into processed pseudogenes and unprocessed pseudogenes depending on whether they came from retrotranscription of mRNAs^{11,33,34}. We take these differences into account using both of our methods (CUDAlign and BLAST).

Furthermore, we make these data publicly downloadable from GitHub³⁵. We also create an R Shiny web application called PseudoFuN³⁶ that supports guerying the PGG databases, interactive visualization and functional analysis of the PGG networks, and visualization of pseudogene-gene co-expression and miRNA binding (including binding prediction with Miranda³⁷, PicTar³⁸, and TargetScan³⁹) using The Cancer Genome Atlas and GTEx (Genotype-Tissue Expression) project derived public data^{20,40,41}. Besides, we provide another interactive web application hosted by the Ohio Supercomputer Center⁴² (OSC), which supports querying novel sequences against any of our PGG databases and visualization of the resulting PGG networks.

The PGG databases can be used to study pseudogene-gene-miRNA co-expression indicative of ceRNA networks across the entire Cancer Genome Atlas. With these diverse tools provided by PseudoFuN, it is possible to generate hypotheses regarding i) the regulatory roles of pseudogenes across tumor and normal tissue, ii) pseudogene-gene relationships through de novo reassignment of pseudogenes to gene families and iii) functional annotation of pseudogenes. We expect these databases and tools to have more use in cancer studies.

1 Methods

2 Construction of Pseudogene-Gene (PGG) Database

To generate these gene families, we use two methods: i) CUDAlign-based local alignment of pseudogenes against consensus sequences representing gene families, and ii) BLAST-based search of pseudogene sequences against all gene sequences (Figure 1). These two approaches can be thought of as heuristic but different processes. The local sequence alignment approach is heuristic in that only two gene sequences are used from each gene family to reduce the search space. These sequences are the most similar and representative sequences to all the other gene sequences in the family. The BLAST-based approach is heuristic in that not all sequences are fully aligned during the process due to the seed-and-extend steps of BLAST⁴³. The result is that not every relationship between pseudogene and gene family is recorded which is an advantage in runtime but a disadvantage in studying underlying sequence homology.

i) CUDAlign-based local alignment of gene families

Gene homolog families were generated using the Ensembl biomart gene homolog database^{44,45}.
The pairs of homologous genes were separated into connected components using python
networkx package⁴⁶. These connected component sub-graphs are considered gene families in
this study. To reduce the number of alignments that needed to be performed, we selected
consensus genes from each family that would be used to represent the entire family.

The consensus sequences were selected by aligning every member of the gene family to every other member using local alignment with CUDAlign²⁹. The two members of the family with the largest sum alignment scores across all other family members were selected as the consensus sequences to increase the number of candidate sequences. If only one member existed in the family, then that member was the consensus sequence. Using the list of these consensus

sequences we then aligned every consensus sequence to every pseudogene in the human genome GRCh38 annotated by GENCODE Release 25 (GENCODEv25)⁴⁷.

Specifically the pseudogenes are split up into processed, unprocessed and other (unclear whether processed or unprocessed), based on their mechanisms of formation⁴⁸. We performed different alignment procedures for processed and unprocessed pseudogenes respectively. The processed pseudogenes were aligned to all consensus gene transcripts with the highest local alignment score recorded. The unprocessed pseudogenes were aligned to the full genomic sequences of each of the consensus genes with the highest local alignment score recorded. Theoretically unprocessed pseudogenes can align to both exonic and intronic regions of DNA, while processed pseudogene can only align to exonic regions. In our previous database we did not perform this two-procedure strategy in part to reduce the runtime of the problem⁴⁹. These changes make the database much more complete and biologically relevant. The other pseudogenes were aligned to both the transcripts and the genomic sequence recording the highest score.

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These scores, one for each combination of pseudogene to gene family, were stored for further analysis. Pseudogenes were assigned to families using a cutoff score (i.e., percentiles of the alignment scores per PGG alignment matrix) and a maximum number of assignments (i.e., the top four alignments above a cutoff). If greater than top four alignments were used, the PGG families were too large to calculate the pairwise alignment matrix. The resulting sets of pseudogenes and genes are called pseudogene-gene (PGG) families. This method was used to allow a pseudogene to be assigned multiple families as well as prevent pseudogenes from being assigned families if their alignment score was low. We used the 99th percentile cutoff (corresponding alignment score 54), 99.9th percentile cutoff (135), and the 99.99th percentile cutoff (198) to generate three resultant databases named CUDAlign54, CUDAlign135, and

CUDAlign198 respectively. A fourth database that is less stringent, CUDAlign18, is also included in the web applications using a 97.5th percentile cutoff (18). All these flavors of databases are available for search in our web applications.

ii) BLAST-based generation of PGG families

In contrast to the local alignment of every combination of pseudogene to gene family, PGG families were also created by assigning the pseudogenes to the family containing its closest BLAST search match. This approach was used to contrast with the CUDAlign method, which uses up to the top 4 matches. The pseudogenes were separated into processed, unprocessed and other. Then, all genes in the GENCODE Release 25 annotation were used to generate genomic, transcript, and combined BLAST databases (BlastDB). The processed pseudogenes would be BLAST searched against transcript BlastDB, unprocessed against the genomic sequence BlastDB, and the rest pseudogenes were BLAST searched against the combined genomic/transcript BlastDB. The pseudogene was assigned to the gene family containing the best match from the BLAST search.

Comparison between PGG families and pseudogene-parent gene pairs

We also conduct a comparison to the Pseudogene.org resource⁵⁰. In this comparison, we consider pseudogenes and parent gene pairs from pseudogene.org psiDr³¹ database (old)⁵¹ and on GENCODE Release 10 from pseudogene.org psiCube¹¹ database (new)⁵². From our databases, we consider every combination of pseudogene to gene within a PGG family as a pair (for example, a family with 3 genes and 2 pseudogenes would have $C_2^3 = 6$ pairs). Since we have multiple flavors of PGG databases including the BLAST-based version and the CUDAlignbased versions, we compare the intersections between two Pseudogene.org versions and our

BLAST/CUDAlign-based versions. We show the intersections of pseudogene-gene pairs in Venn Diagrams.

Development of PseudoFuN web applications

Aside from generating different flavors of the PGG databases, we assemble them into an online R Shiny application called PseudoFuN³⁶ which supports gene and pseudogene symbol queries against out PGG databases, generates dynamic networks, produces Gene Ontology⁵³ (GO) tables and additional functional analysis features (Table 1). The functionalities, such as calculating the gene co-expression for any resultant PGG network in any of the TCGA⁵⁴ cancers types, are important for ceRNA network hypothesis generation in human cancers. For more information, please visit the PseudoFuN website and follow the README and tutorial.

Additionally we create another web application hosted by the Ohio Supercomputer Center
(OSC) OnDemand⁵⁵ platform. This application has multiple functionalities including the query of
Ensembl gene ID or a novel sequence against one selected flavor of our databases. For each of
these features we provide a simple-to-use interface that allows users to select which database
to query, allows download of the query hits, and allows users to interactively explore the PGG
family networks including GO information.

20 Use cases in multiple cancers

Furthermore three use cases are provided to show the potential utility of PseudoFuN to researchers and oncologists looking for functional relationships between pseudogenes, genes, and miRNAs. Use Case I validates known pseudogene-gene functional relationships. Use Case II identifies high confidence novel miRNA-pseudogene-gene relationships. Use Case III is primarily focused on agreement with a validation study. We focused on pseudogenes/genes that were differentially expressed in low RARG/low TACC1/high miR-96 compared to the reverse in

prostate cancer cell lines and also differentially expressed in our PGG networks in TCGA prostate cancer samples.

4 Results

5 Local alignment of gene families

We performed 1.6 billion local alignments between all pseudogenes and all gene family
consensus sequences. The process required over 40,000 GPU hours on the Oakley cluster at
the OSC. The highest scores for each gene family and pseudogene were stored in a
17,273x26,754 matrix of pseudogene-to-gene-family alignment scores (~462 million elements).
From this matrix, we are able to explore global pseudogene-gene family homology relationships
and assign pseudogenes to one or more gene families with high sequence homology.

As one might expect, the number of pseudogenes with high alignments (defined as above a percentile threshold) to many gene families is relatively low. It can be seen that the majority of pseudogenes will align to one gene family in the CUDAlign databases (Figure 2). We evaluate alignment of pseudogenes to genes using the Smith-Watermann local pairwise alignment score⁵⁶ between a pseudogene and a gene. These scores indicate the highest score possible for two sequences based on their specific dynamic programing matrix which is solved by the Smith-Watermann algorithm. The cutoffs we use, 18, 54, 135, and 198, indicate the 97.50th, 99.0th, 99.90th and 99.99th percentiles of alignment scores in our alignment matrix between all pseudogenes and consensus sequences. Another feature of note is that there are some pseudogenes that align to many gene families (e.g., 9 pseudogenes, UBE2Q2P1, RP11-313J2.1, TPTEP1, BMS1P1, CTD-2245F17.3, SCAND2P, GTF2IP7, WHAMMP3, IGLV3-2, have alignment scores above 54 in 15,000 gene families and 571 pseudogenes, see Supplementary Table 2, have alignment scores above 54 in 1,000 gene families).

In contrast to previous belief in single gene-pseudogene homology, some pseudogenes are related to many genes. It is worth considering that these high homology pseudogenes (e.g., FTLP10 with 3,006 gene family pairwise alignments over a 54 threshold) may have a role in regulating major biological processes⁵⁷ and disease⁵⁸. Of the 9 highest homology pseudogenes (Supplementary Table 2), one, RP11-313J2.1, is a zinc finger pseudogene and two, CTD-2245F17.3 and SCAND2P, are located in the promoters of zinc finger genes. Four pseudogenes in the 9 highest homology pseudogenes (RP11-313J2.1, CTD-2245F17.3, SCAND2P, and WHAMMP3) also have 92-96% sequence identity with zinc finger genes (ZNF72P, ZNF518A, ZNF37A and ZNF788P/ZNF20 respectively) when BLAST searched against the human genome. Of the 571 highest homology pseudogenes (Supplementary Table 2), we found 27 zinc finger pseudogenes. Using EnrichR⁵⁹ we identified enrichment in GO Molecular Function GO:0004430 1-phosphatdylinositol 4-kinase activity (Fisher's exact test p-value = 0.001), and enrichment for GO Biological Process GO:0070475 rRNA base methylation (Fisher's exact test p-value = 0.003). In the ARCHS4 database⁶⁰ 324 transcription factors were significantly co-expressed (Benjamini-Hochberg adjusted Fisher's exact test p-value < 0.05) with members of the 571 highest homology pseudogenes. Of those 324 transcription factors, 228 were zinc finger genes. These findings show that the highest homology pseudogenes, like zinc finger genes, likely contain repetitive elements that align to many genomic loci.

20 BLAST generation of PGG families

The BLAST generated database was larger than the CUDAlign generated databases with 68,578 total connections. This database was also much simpler to compute with since it was not an exhaustive search. These conclusions make it a simple method to quickly estimate the pseudogene-to-gene relationships.

26 Direct comparison to pseudogene parents

We compare our databases to the previous pseudogene-parent gene databases retrieved from Pseudogene.org resources (Figure 3). It shows that our methods reconstruct most of the pseudogene-parent-gene relationships identified by Pseudogene.org. The overall consistency of our databases (BLAST and CUDAlign) with both Pseudogenes.org databases (new and old) was 75% (i.e., all our databases combined). Individually, the BLAST-based database contained 61% of the Pseudogene.org relationships (both new and old) and the CUDAlign 54 cutoff contained 60% of the Pseudogene.org relationships (both new and old). Our databases also generate a larger pool of possible interactions. It is worth noting that 391 pseudogenes and 152 genes in the new Pseudogene.org (GENCODE Release 10) are not present in the GENCODE Release 25 annotation used in our analysis. These genes and pseudogenes together account for 1030 edges that were used in our comparison. Accounting for these differences in the annotation, we are able to reconstruct 85% of the pseudogene-gene relationships in the new Pseudogene.org database. Since these associations were generated without prior pseudogene-gene relationship information and the annotations have changed slightly since Pseudogenes.org, our methods prove to independently identify known and unknown pseudogene-gene relationships at a high rate. Development of a pseudogene query tool The R Shiny application is a comprehensive hypothesis generating tool that is freely available on the internet³⁶. This tool provides a wide array of functionality that a researcher can access quickly and download results as the raw data for more in-depth analysis. These features are outlined in detail in Table 1. Use Cases: Assisting functional study of ceRNA networks in cancer To illustrate the utility of our databases and tools we present three use cases.

Use Case I: To validate known pseudogene-gene relationships, we first identified 31 benchmark pseudogene-gene relationships from three studies^{15,16,23} and guery our databases. These studies represent prominent regulatory pseudogenes in cancers by established laboratories. We query a gene/pseudogene name one at a time and PseudoFuN will return the top PGG network(s) that contain the query (Table 2). In general, we found that our databases together were able to identify 87% of the benchmarking cases (Table 2) and the CUDAlign versions were able to identify 65% of the benchmarking cases. Perhaps most importantly, three of the cases identified by CUDAlign (ATP8A2, CXADR, PERP) were not identified by the more traditional BLAST approach (Table 2) showing that consensus sequence alignment can identify some overlooked relationships. Next, individual benchmark cases were evaluated in more detail (Supplementary Figure 2).

PTENP1 is a processed pseudogene homologous to PTEN, a tumor suppressor gene. PTENP1 is selectively lost in cancer and may regulate PTEN expression as a miRNA decoy target^{5,6}. We have observed differential co-expression patterns of PGG families in tumor vs. normal for PTENP1 network in multiple cancers including breast cancer (Supplementary Figure 3B,C). We identified known miRNAs (has-miR-93 targets PTEN in breast cancer⁶¹) targeting PTEN PGG network nodes providing insights into ceRNA regulation (Supplementary Figure 3D). These insights are important since some pseudogenes competitively bind to miRNAs thus regulate gene expression. We also identify hsa-miR-103a-3p, known to regulate PTEN in endometrial⁶² and colorectal cancers⁶³, in breast cancer (Supplementary Figure 3D). The miRNA hsa-miR-20a, known to regulate PTEN by the ceRNA mechanism in prostate cancer⁶⁴, was also identified in breast cancer. The ceRNA network regulatory relationship is governed by effect modulation of miRNA on gene expression by pseudogene expression (Supplementary Figure 1A,C,E). This leads to a correlation between pseudogene (miRNA decoy targets) and gene (miRNA targets) expression (Supplementary Figure 1D). That means both these pseudogenes

and homologous genes competitively bind to miRNAs. KRAS-KRASP1 regulatory network was also identified by our database (Supplementary Figure 2). KRAS and KRASP1 are known to be involved in ceRNA network regulation^{5,10,64}. PseudoFuN guery of KRAS identified co-expression patterns in prostate cancer consistent with ceRNA network regulation by hsa-miR-145, a known modulator of KRAS in prostate cancer⁶⁵. The FTH1 query also resulted in the identification of pseudogenes (FTH1P2, FTH1P8, FTH1P11, FTH1P16) that regulate FTH1 in prostate cancer²³ as well novel miRNAs that may be involved in ceRNA network regulation of FTH1 in prostate cancer. GBP1 is an IFN- α induced transcript that is involved in immune response in prostate cancer⁶⁶. The GBP1 involved PGG network also contained the pseudogene GBP1P1 which may have a ceRNA regulatory role in breast cancer⁶⁷ and in some neurodegenerative diseases⁶⁸.

Use Case II: We wanted to identify possible gene-miRNA relationships of interest within our database. We chose to study these relationships with respect to miR-96, a known cancer regulator microRNA in prostate cancer⁶⁹. Through differential expression analysis between tumors in the TCGA-PRAD cohort with lower expression of RARG and TACC1 (also a miR-96 target) and high expression miR-96 (low RARG/low TACC1/high miR-96), compared to the reverse, we previously identified altered SOX15 gene expression is significantly associated with worse disease-free survival. We visualized expression patterns of SOX15 PGG families, and corresponding miRNA associations. miR-96 is included as a validation.

Interestingly we identified the pseudogene PPP4R1L as a potential member of a SOX15 ceRNA
network (Figure 4A). PPP4R1L and SOX15 are both significantly differentially expressed
between tumor and normal controls (Bonferroni corrected p-value = 3.42×10⁻⁷, 2.01×10⁻¹⁴
respectively, Figure 4E). PPP4R1L and SOX15 are significantly co-expressed (Pearson
correlation coefficient (PCC)=0.51, p-value<2.2×10⁻¹⁶) in tumor tissue but much less correlated
in normal controls in prostate cancer (PCC=0.24, p-value=0.09, Figure 4B,C). Positively

correlated expression is an assumption when determining ceRNA network relationships⁷⁰ (Supplementary Figure 1). Both SOX15 and PPP4R1L are likely regulated by hsa-miR-375 based on the TCGA prostate cancer dataset. hsa-miR-375 is associated with docetaxel resistance in prostate cancer^{71,72} and PPP4R1L knock-down in HeLa cells induces taxol resistance⁷³. These findings are intriguing since taxol and docetaxel are closely related chemical compounds. PPP4R1L is also located in a region associated with high mutation rates in cancer cell lines⁷³ which could be indicative of mutational "on/off switches" in pseudogene regulation.

Use Case III: We were most interested in the deferentially expressed (DE) genes (and related pseudogenes) that both appeared in our PGG database and were contained in networks with genes differentially expressed in low RARG/low TACC1/high miR-96 compared to vice versa. We searched the DE genes in our PGG database, and identified the top networks with enriched number of DE genes. As a result, parent genes HTR7, CNN2, MSN and TAGLN2 are differentially expressed; they generate pseudogenes, which are specifically expressed in prostate cancer samples¹⁶. These four parent genes are also detected in our 5 top PGG families involving miR-96 regulated (direct or indirect) DE genes. We identified HTR7P1 pseudogene in the same PGG family as HTR7 gene, which is potentially regulated by hsa-miR-607 and has-miR-3654 in the TCGA prostate cancer dataset (Supplementary Figure 4). 11 CNN2 pseudogenes (CNN2P1-CCN2P4, CNN2P6-CNN2P12) were identified in the CNN2 PGG family along with TAGLN2 and TAGLN2P1. TAGLN2P1 is differentially expressed between the tumor and normal samples in the prostate dataset (Supplementary Figure 5, Bonferroni corrected p-value = 6.23×10-4). MSN and MSNP1 were in the same PGG family and hsa-miR-96 potentially regulates MSN in the TCGA prostate cancer dataset (Supplementary Figure 5). In addition, although our DE genes were detected from prostate cancer, we further compared them with DE pseudogenes identified in four other cancer types and we observed interesting results (see Supplementary Materials - Potential regulatory roles in cancer).

2 Discussion

 We identify 133,770 PGG families that have significant potential to reveal important information about regulatory pseudogene-gene relationships in health and disease. Within these families we identify both new and existing regulatory networks that contain pseudogenes such as PTENP1, KRAS1P, FTH1P8/11/16, and GBP1P1 (Figure 4). Since all genes and all pseudogenes are included in our database there are thousands of opportunities to identify new regulatory relationships. These thousands of opportunities can be easily stratified using gene name, pseudogene name and cancer type. Our PseudoFuN web application makes it a simple and intuitive process to query pseudogenes (or genes) to identify which gene families they may be regulating as well as the functions that are attributed to the members of the network. We also have an application hosted by the OSC that allows the querying of novel sequences against our database.

From these networks, we can also identify possible relationships of differentially expressed pseudogenes in various cancers. For instance, both PPP4R1L pseudogene and SOX15 are differentially expressed in prostate cancer and associated with hsa-miR-375. These types of relationships should be further evaluated along with more complex regulation with multiple miRNAs, pseudogenes, and genes. It is experimentally shown that SOX15 is regulated by hsa-miR-9669. It may be important to include hsa-miR-96 in the hsa-miR-375-SOX15-PPP4R1L potential ceRNA network. Aside from PGG family specific differential pseudogene expression, the PseudoFuN application allows for comprehensive differential pseudogene expression (DPgE) analysis in any of the TCGA cancer datasets.

The use of this database also has utility in integrative analysis where the databases can be
used as a mask for other data modalities. Some examples would be using the nodes (genes)

and pseudogenes) in each of the PGG families as groups in gene expression experiments. Similarly, these groups could be used for feature reduction when visualizing data. We hope researchers can use these relationships we have identified to reduce large numbers of candidate associations down to numbers that can be easily validated and generate new candidates when querying novel sequences. For instance, miRNA-gene pairs filtered through the sets of PGG families would identify high priority ceRNA candidates.

Conclusions

We generate multiple large databases of pseudogene gene family relationships and the tools to study them for use by biomedical researchers. These databases are more comprehensive than previous pseudogene-gene databases by including many more homology relationships in PGG families, thus more powerful for experiment validation and knowledge discovery. These databases are useful in identifying pseudogene-gene regulatory relationships in 32 cancer types and show high similarity with known pseudogene-gene relationships. Aside from the known relationships we identify many unknown relationships. Furthermore, these databases and associated analyses can be easily accessed online or through the OSC OnDemand platform, allowing for novel hypotheses to be assessed quickly by biomedical researchers. We find evidence of both known regulatory pseudogene-gene relationships and novel hypothesized relationships that we plan to validate. PseudoFuN is a comprehensive, dynamic tool that allows any bioinformatician or oncologist to find novel regulatory pseudogenes within their cancer or gene network of interest.

Availability of Supporting Data

We have made the PGG family data publicly downloadable from GitHub³⁵. We also created an R Shiny web application called PseudoFuN³⁶ that supports querying the PGG databases,

interactive visualization and functional analysis of the PGG networks, and visualization of pseudogene-gene co-expression and miRNA binding. Apache License 2.0 is associated with PseudoFuN (R Shiny web application). These data are also available on GigaDB⁷⁴. Besides, we provide another interactive web application hosted on Ohio Supercomputer Center (OSC) OnDemand, which supports querying novel sequences against any of our PGG databases and visualization of the resulting PGG networks. Availability of supporting source code and requirements Project name: PseudoFuN Project home page: https://github.com/yanzhanglab/PseudoFuN_app, https://github.com/OSC/pseudofun, https://integrativeomics.shinyapps.io/pseudofun app/ Operating system: Platform independent Programming language: R, Python, Java Script Other requirements: NA License: CC, MIT RRID: SCR 017095

- OSC OnDemand application access: Please contact yan.zhang@osumc.edu.

Additional Files

There is an additional Supplementary Materials file containing additional information on the data

and additional analyses. It includes the following figures and tables:

Supplementary Figure 1. Example of ceRNA network regulation of gene expression. A) A

- graphical view of how pseudogene expression can regulate gene expression. B) A cellular view
- of ceRNA network regulation. C) Equations used to model the correlation between gene and
- pseudogene expression in a ceRNA network. D) The distribution of the gene-pseudogene

correlations based on the models in C. E) The effect that pseudogene expression has on the
 miRNA induced change in gene expression.

Supplementary Figure 2. PseudoFuN online output for PTEN PGG family. A) Interactive
graph visualization of the PTEN PGG network. B) TCGA prostate co-expression matrix for
PTEN PGG family genes and pseudogenes across normal samples. C) TCGA prostate coexpression matrix for PTEN PGG family genes and pseudogenes across tumor samples. D)
Negatively correlated miRNAs for all members of the PTEN PGG family. E) Differential gene
and pseudogene expression for tumor and normal samples for each member of the PTEN PGG
family in the prostate cancer TCGA dataset.

Supplementary Figure 3. PseudoFuN online output for HTR7 PGG family. A) Interactive graph visualization of the HTR7 PGG network. B) TCGA breast cancer co-expression matrix for HTR7 PGG family genes and pseudogenes across normal samples. C) TCGA breast cancer coexpression matrix for HTR7 PGG family genes and pseudogenes across tumor samples. D) Negatively correlated miRNAs for all members of the HTR7 PGG family in breast cancer. E) Differential gene and pseudogene expression for tumor and normal samples for each member of the HTR7 PGG family in the breast cancer TCGA dataset.

Supplementary Figure 4. PseudoFuN online output for CNN2/TAGLN2 PGG family. A) Interactive graph visualization of the CNN2/TAGLN2 PGG network. B) TCGA prostate co-expression matrix for CNN2/TAGLN2 PGG family genes and pseudogenes across normal samples. C) TCGA prostate co-expression matrix for CNN2/TAGLN2 PGG family genes and pseudogenes across tumor samples. D) Negatively correlated miRNAs for all members of the CNN2/TAGLN2 PGG family. E) Differential gene and pseudogene expression for tumor and normal samples for each member of the CNN2/TAGLN2 PGG family in the prostate cancer TCGA dataset.

Supplementary Figure 5. PseudoFuN online output for MSN PGG family. A) Interactive
graph visualization of the MSN PGG network. B) TCGA prostate co-expression matrix for MSN
PGG family genes and pseudogenes across normal samples. C) TCGA prostate co-expression
matrix for MSN PGG family genes and pseudogenes across tumor samples. D) Negatively
correlated miRNAs for all members of the MSN PGG family. E) Differential gene and
pseudogene expression for tumor and normal samples for each member of the MSN PGG
family in the prostate cancer TCGA dataset.

8 Supplementary Figure 6. The PGG families in our network with the most DE genes after 9 miR-96 treatment. The line weights indicate the sequence homology between members of the 10 PGG family. Red nodes indicate miR-96 targets. Yellow nodes with names indicate other genes 11 contained in the PGG family. Yellow nodes without names are pseudogenes contained within 12 the network.

Supplementary Figure 7. The user interface of the OSC OnDemand web application. A) is the main query page where a user can search either sequences or Ensembl gene IDs. B) is a representative output of one of the gene searches. This includes an interactive network and the GO information.

Supplementary Figure 8. GBP1P1 DE in TCGA prostate cancer (information retrieved from
Han et al.).

Supplementary Table 1. DE parent gene/pseudogenes potentially regulated by miR-96 in
 prostate cancer vs. TCGA derived DE pseudogenes.

Abbreviations

- 22 PseudoFuN: Pseudogene Functional Networks
- PGG: Pseudogene-Gene (i.e., PGG families)
- 24 TCGA: The Cancer Genome Atlas

1 2 3		
3 4 5	1	ceRNA: Competing Endogenous RiboNucleic Acid
6 7	2	HCC: HepatoCellular Carcinoma
8 9	3	BLAST: Basic Local Alignment and Search Tool
10 11	4	OSC: Ohio Supercomputer Center
12 13 14	5	GO: Gene Ontology
15 16	6	DE: Differential Expression
17 18	7	DGE: Differential Gene Expression
19 20	8	DPgE: Differential Pseudogene Expression
21 22 23	9	
24 25	10	Acknowledgments
26 27	11	This work is partially supported by NIH-NLM MIDAS Training Fellowship (4T15LM011270-05)
28 29 20	12	awarded to Travis Johnson, and The Ohio State University Startup Funds and OSU
30 31 32	13	Comprehensive Cancer Center Support Grant (P30CA016058) to Yan Zhang. The authors also
33 34	14	thank the Ohio Supercomputer Center (OSC) for providing computing resources.
35 36	15	
37 38 39	16	Author contributions
40 41	17	TSJ, SL, ZH and YZ performed data analyses. TSJ, EF and ZH developed the web applications.
42 43	18	YZ and TSJ conceived and initiated this project. YZ and KH supervised the project. MJC
44 45	19	provided experimental data. All authors contributed to biological interpretation. TSJ, YZ, MJC
46 47 48	20	and SDL wrote the manuscript. All authors read and approved the manuscript.
49 50	21	
51 52	22	Ethics, consent and permissions
53 54 55	23	Not applicable.
55 56 57	24	
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Not applicable.

Competing interests

The authors declare that they have no competing interests.

Figure Captions

Figure 1. Workflow for both CUDAlign and BLAST databases. Left side PGG families are
produced using the BLAST matches. Right side PGG families are produced using the
pseudogene-gene-family alignment matrix with percentile cutoffs using CUDAlign.

Figure 2. The number pseudogenes that align to gene families. The x-axis is the number of gene families, which have an alignment score above a specified cutoff (the different colored lines). The y-axis is the number of pseudogenes with an alignment score higher than the cutoff to the number of gene families on the x-axis. The inset grey box is a closer view of the low range gene family numbers (1-10) to show more granular patterns.

Figure 3. Comparison of database members. The top 6 plots are comparisons between the
CUDAlign databases using different cutoffs, the BLAST database, and the Pseudogene.org
parent genes. The bottom row shows intra-database comparisons, left: Pseudogene.org,
middle: CUDAlign databased of different alignment score cutoffs, right: relative size of all
databases.

Figure 4. PseudoFuN online output for SOX15 PGG family. A) Interactive graph visualization of the SOX15 PGG network. B) TCGA prostate co-expression matrix for SOX15 PGG family genes and pseudogenes across normal samples. C) TCGA prostate co-expression matrix for SOX15 PGG family genes and pseudogenes across tumor samples. D) Negatively correlated miRNAs for all members of the SOX15 PGG family. E) Differential gene and pseudogene expression for tumor and normal samples for each member of the SOX15 PGG family in the prostate cancer TCGA dataset.

22 Tables

23 Table 1 Summary of features that are freely available at the PseudoFuN website.

•	PseudoFuN features	Additional description
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Interactive visualization of PGG family	Users can query any single gene or
networks including the query	pseudogene symbol, e.g., PTENP1.
pseudogene/gene	Nodes are colored by sub-clusters within
	the network.
Functional enrichment analysis of PGG	Functional enrichment can be conducted
family	on the genes within the PGG family on
	Biological Process, Molecular Function or
	Cellular Components annotations. The GC
	functional enrichment is calculated with:
	1. Fisher's exact test ⁷⁵
	2. Kolmogorov-Smirnov (KS) Classic ⁷⁶
	3. Kolmogorov-Smirnov (KS) Elim ⁷⁶
Genomic loci mapping of PGG family	The genes in the PGG family can be
	mapped back to the genome using a circu
	plot to identify potential loci of interest.
Data download for all of the figures	Users can also download results including
	1. the differential pseudogene expression
	(DPgE) table for all pseudogenes in the
	selected cancer
	2. the gene and pseudogene expression
	3. miRNA correlation table
Links to other gene databases for more	By directly clicking the node in the networ
information	users can open the GeneCards and
	Ensembl websites ^{44,77} for detailed gene
	information.
Gene/pseudogene co-expression analysis	Once a PGG family has been identified th
across the entire TCGA	gene/pseudogene co-expression matrix is
	calculated across one of the 32 available
	TCGA cancer types.
Tumor vs. normal differential expression of	The gene/pseudogene differential
genes/pseudogenes across all TCGA	expression is calculated for all members of
cancer types	the selected PGG family. There is also an
	option to run differential expression on a
	specified cancer for all pseudogenes whic
	can be viewed or downloaded as a table.
Predicted miRNA targets involved in the	The miRNA targets involved in the
PGG families across all TCGA cancer	selected cancer and PGG family are
types	displayed to show which miRNAs could
	regulate the PGG family members. This is
	by using the miRNA correlation tables fror
	the TCGA.
Differential Pseudogene Expression	Differential pseudogene expression is
(DPgE) Analysis	calculated for each of the pseudogenes in

expression information ²⁰ . The online tool
allows for manipulation and download of
the table.

> Table 2. Benchmarking analysis of PseudoFuN databases. Genes indicate the gene with which the pseudogenes are associated in the literature. BLAST and CUDAlign columns indicate the specific databases. PMID indicates the literature from which the gene-psuedogene relationship was derived. Benchmark totals are included at the bottom of the table. Yellow highlighting indicates gene-pseudogene relationships found using BLAST but not CUDAlign. Green highlighting indicate indicates gene-pseudogene relationships found by CUDAlign but not by BLAST. Orange highlighting indicate where neither type of database identified the benchmark gene-pseudogene relationship. Benchmark totals are included at the bottom of the table.

Gene	BlastDB	CUDAlign18	CUDAlign54	CUDAlign135	CUDAlign198	PMID
PTEN	Yes	No	No	No	No	26442270
TUSC	No	No	No	No	No	26442270
INTS6	Yes	No	No	No	No	26442270
OCT4	Yes	Yes	Yes	Yes	Yes	26442270
HMGA1	Yes	Yes	Yes	Yes	Yes	26442270
CYP4Z1	No	No	No	No	No	26442270
BRAF	Yes	No	No	No	No	26442270
KLK4	No	No	No	No	No	22726445
ATP8A2	No	Yes	Yes	No	No	22726445
CXADR	No	Yes	Yes	Yes	Yes	22726445
CALM2	Yes	Yes	Yes	Yes	Yes	22726445
TOMM40) Yes	Yes	Yes	Yes	Yes	22726445
NONO	Yes	Yes	Yes	Yes	Yes	22726445
PERP	No	Yes	Yes	Yes	Yes	22726445
DUSP8	Yes	Yes	No	No	No	22726445
YES1	Yes	Yes	No	No	No	22726445
GJA1	Yes	No	No	No	No	22726445
AURKA	Yes	Yes	Yes	Yes	Yes	22726445
RHOB	No	No	No	No	No	22726445
HMGB1	Yes	Yes	Yes	Yes	Yes	22726445
EIF4A1	Yes	Yes	No	No	No	22726445
EIF4H	Yes	Yes	Yes	Yes	Yes	22726445
SNRP6	Yes	Yes	Yes	Yes	Yes	22726445
RAB1	Yes	No	No	No	No	22726445
VDAC1	Yes	Yes	No	No	No	22726445
RCC2	Yes	No	No	No	No	22726445
PTMA	Yes	Yes	Yes	Yes	Yes	22726445
NDUFAS	Yes	Yes	Yes	Yes	Yes	22726445
CES7	Yes	No	No	No	No	22726445
EPCAM	Yes	Yes	Yes	Yes	Yes	22726445
FTH1	Yes	Yes	Yes	Yes	Yes	29240947
Hits	24/31	20/31	16/31	15/31	15/31	
Total hits						

⁵⁹ 11

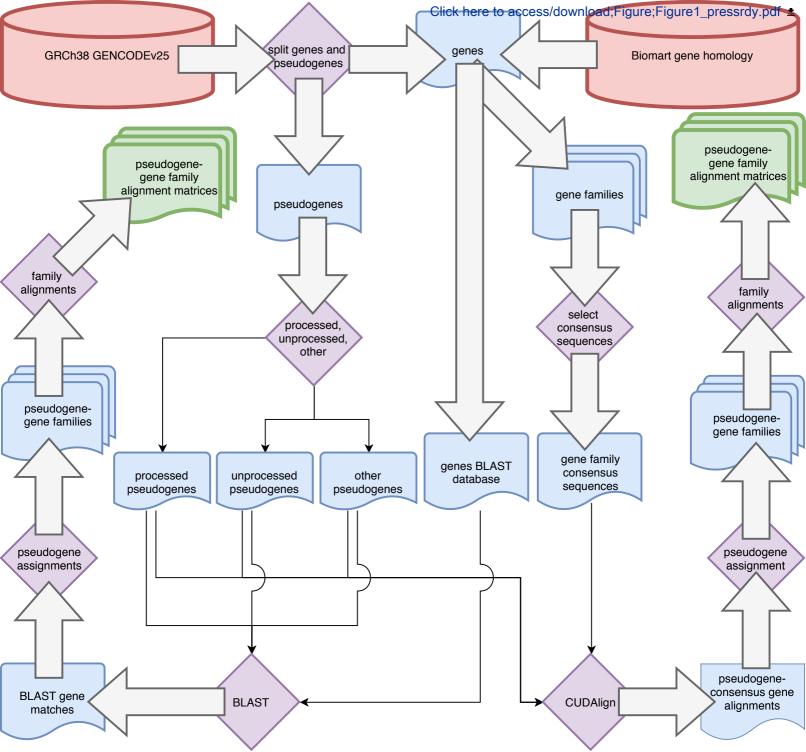
References

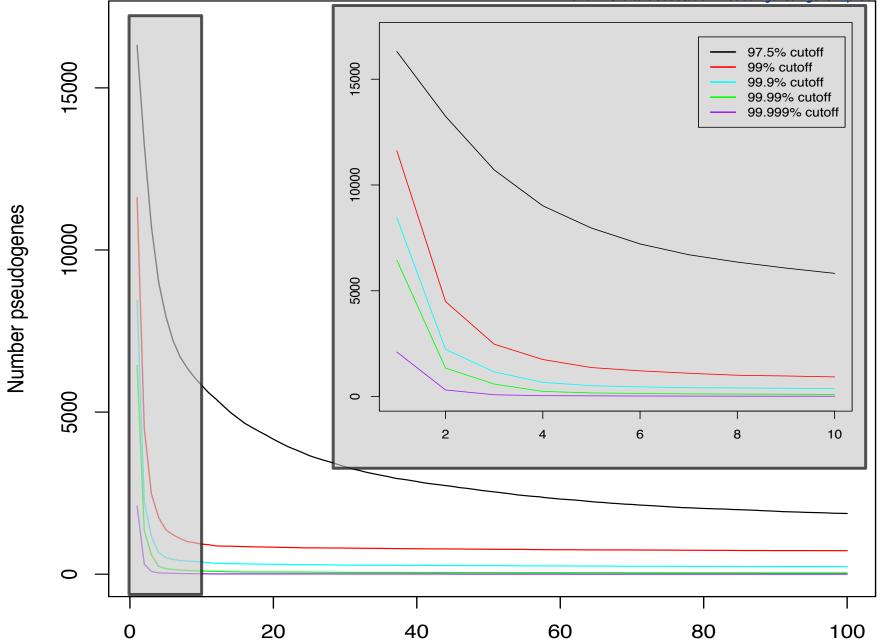
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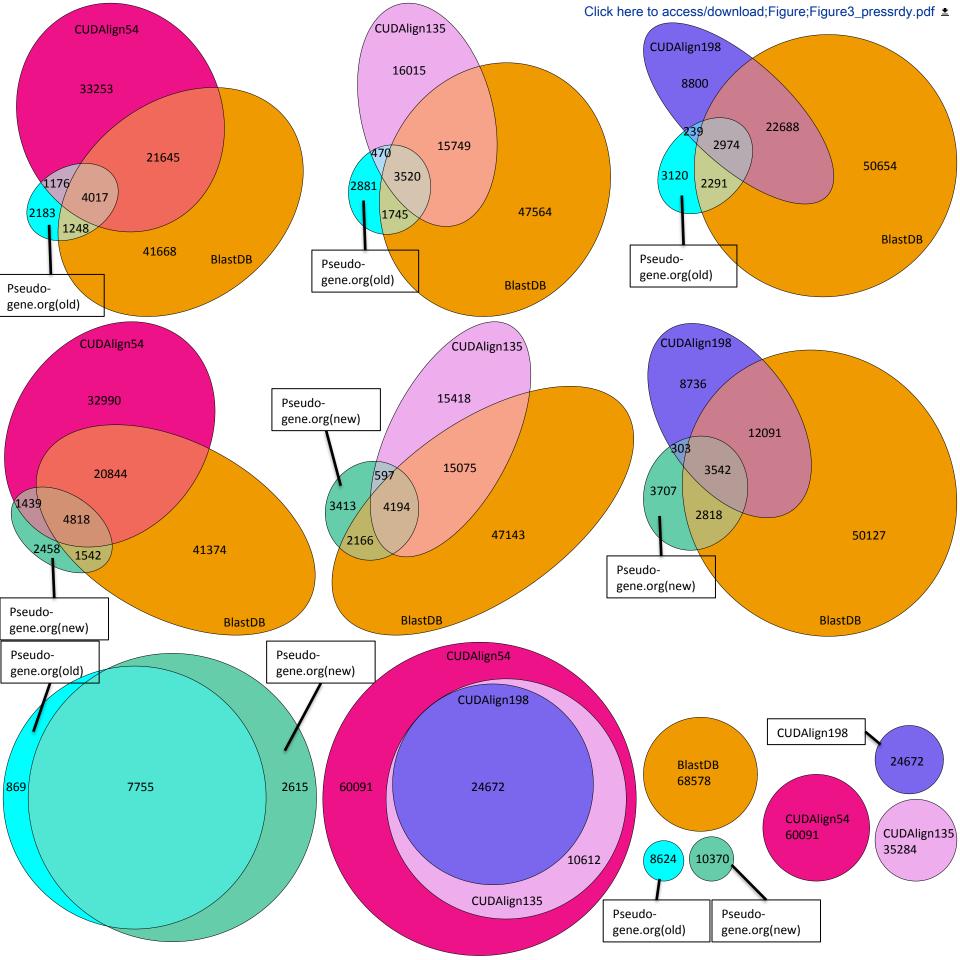
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Scores above threshold



Pseudogene: PPP4R1L: ENST00000422302.2

Gene: SOX15: ENSG00000129194

0.4

0.2

0.0

-0.4

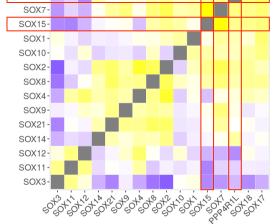
TCGA Expression Panel

Α

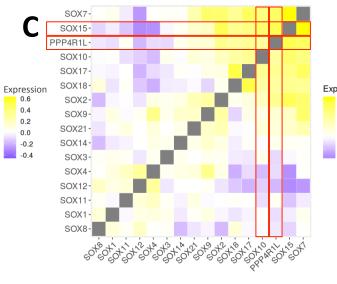
Gene: sox15; Database: CUDAlign18; Cancer: PRAD; Network: 1.

Please be patient plots may take a few seconds to render.

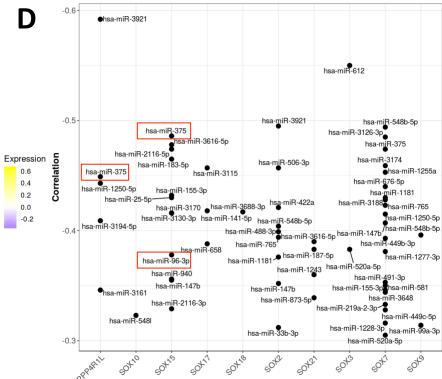




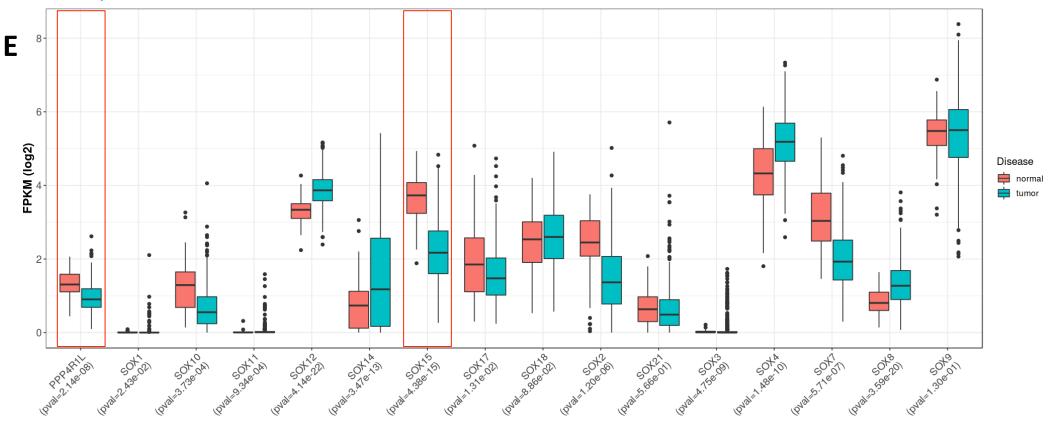




Gene and Pseudogene miRNA Associations



Differential Expression Tumor vs. Normal



Supplementary Material

Click here to access/download Supplementary Material PseudoFuN_suppl_Giga_20190328.docx



THE OHIO STATE UNIVERSITY

March 28, 2019

Yan Zhang, Ph.D. Assistant Professor Department of Biomedical Informatics College of Medicine The Ohio State University 310-B Lincoln Tower, 1800 Cannon Drive Columbus, OH 43210 Phone: (614) 688-9643 Email: Yan.Zhang@osumc.edu

Dear Editor,

Here attached is our manuscript "PseudoFuN: Deriving functional potentials of pseudogenes from integrative relationships with genes and miRNAs across 32 cancers" after minor revision. We have solved all the editorial issues and addressed all the left points raised by the reviewers.

We thank you and the reviewers for all the great suggestions that have helped us make the project better. And we hope that this improved version is ready to be handed over to the journal production team. We look forward to your feedback.

Sincerely,

You Zhang

Yan Zhang, Ph.D. Assistant Professor Department of Biomedical Informatics The Ohio State University

Response Letter

Response to editorial issues:

1) Please include a citation to your new GigaDB repository to the bibliography, and cite it from the "data availability" section (the same way as you have done it for the GitHub site).

The citation is:

[xx] Johnson T; Li S; Franz E; Huang Z; Li SD; Campbell MJ; Huang K; Zhang Y Supporting data for "PseudoFuN: Deriving functional potentials of pseudogenes from integrative relationships with genes and miRNAs across 32 cancers" GigaScience Database 2019. <u>https://urldefense.proofpoint.com/v2/url?u=http-3A_dx.doi.org_10.5524_100577&d=DwIGaQ&c=k9MF1d71ITtkuJx-</u> PdWme51dKbmfPEvxwt8SFEkBfs4&r=ekJXXuaVVww1UTqb5FGVAqKm1D8j8S7Zredd9hA

PdWme51dKbmfPEvxwt8SFEkBfs4&r=ekJXXuaVVww1UTqb5FGVAqKm1D8j8S7Zredd9hA kY7k&m=-JC_daUTsqZc2BJqT0Y7Gk63PpDti3noA1ESRxQKWG4&s=SyHXIQfP5vHlv7kVDFTMlWU8RPnS3jS-u9bzUGKalg&e=

In the data availability section, please write, for example, "Supporting data and an archival copy of the code are availablwe via the GigaScience database, GigaDB [xx]", or similar wording.

Authors' answer: Done.

2) Please include a section "Availability of supporting source code and requirements", formatted as described in our Instructions for authors.

List the following:

Project name: e.g. My bioinformatics project Project home page: e.g. https://urldefense.proofpoint.com/v2/url?u=https-3A_github.com_ISA-2Dtools&d=DwIGaQ&c=k9MF1d71ITtkuJx-PdWme51dKbmfPEvxwt8SFEkBfs4&r=ekJXXuaVVww1UTqb5FGVAqKm1D8j8S7Zredd9hA kY7k&m=-JC_daUTsqZc2BJqT0Y7Gk63PpDti3noA1ESRxQKWG4&s=NtadpIgDdzemmFJrMEk2A_FtIP9KrILUbNh9_7DiCc&e= Operating system(s): e.g. Platform independent Programming language: e.g. Java Other requirements: e.g. Java 1.3.1 or higher, Tomcat 4.0 or higher License: e.g. GNU GPL, FreeBSD etc. RRID: if applicable, e.g. RRID: SCR_014986

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Please register any new software application in the <u>https://urldefense.proofpoint.com/v2/url?u=http-</u> <u>3A_SciCrunch.org&d=DwIGaQ&c=k9MF1d71ITtkuJx-</u> PdWme51dKbmfPEvxwt8SFEkBfs4&r=ekJXXuaVVww1UTqb5FGVAqKm1D8j8S7Zredd9hA kY7k&m=- <u>JC daUTsqZc2BJqT0Y7Gk63PpDti3noA1ESRxQKWG4&s=ctsWxoeEpsMQfIAvLUT27I ssqxL</u> <u>ZZ 7mE5J68Ke8hA&e=</u> database to receive a RRID (Research Resource Identification Initiative ID) number, and include this in your manuscript. This will facilitate tracking, reproducibility and re-use of your tool.

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Related to software availability, you write "we provide another interactive web application hosted on Ohio Supercomputer Center (OSC) OnDemand, which supports querying novel sequences against any of our PGG databases and visualization of the resulting PGG networks." - please add details in the manuscript how this web application can be accessed.

Authors' answer: All done. We have added a section and made a Research Resource Identification Initiative ID, SCR_017095.

3) Please remove any highlighting in red that was made for the purpose of peer review.

Authors' answer: Done.

4) Please also note the minor comment of the reviewer (below)

Authors' answer: Yes. We have added the missing highlighting color back into Table 2.

5) Please submit your revised version of the text in Word /LaTex (whatever you used to prepare the document) - we only seem to have a PDF version at the moment.

Authors' answer: We will submit Word text with this revision.

PS: We added one affiliation for the corresponding author, and modified the Acknowledgements in the main text.

Response to reviewer reports:

Reviewer #2: I appreciate the effort that the authors put in to addressing my comments and added the additional benchmarking table 2.

One minor comment concerning table 2 is that the highlighting described in the figure could not be seen in the table, otherwise I have no further amendments.

Authors' answer: We appreciate the great suggestions from the reviewers. We have added the missing highlighting color back into Table 2.