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Could implementation of mifepristone address Canada's urban-rural abortion access disparity? A mixed methods implementation study protocol

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Manuscripts

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3 1 **Could implementation of mifepristone address Canada's urban-rural abortion access**
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5 2 **disparity? A mixed methods implementation study protocol**
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17
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19 Integrated knowledge translation, Knowledge mobilization, Implementation, Health policy,

20 Abortion

21
22 Word count: 4914

23

1 ABSTRACT**2 Introduction**

3 In January 2017, mifepristone-induced medical abortion was made available in Canada. In this
4 study, we will seek to 1) understand facilitators and barriers to the implementation of
5 mifepristone across Canada, 2) assess the impact of a “community of practice” clinical and
6 health service support platform, and 3) engage in and assess the impact of integrated
7 knowledge translation (iKT) activities aimed to improve health policy, systems, and service
8 delivery issues to enhance patient access to mifepristone.

9 Methods & Analysis

10 This prospective mixed-methods implementation study will involve a national sample of
11 physicians and pharmacists recruited via an online training program, professional networks, and
12 a purpose-built community of practice website. Surveys that explore constructs related to
13 Diffusion of Innovation and Godin’s behaviour change frameworks will be conducted at
14 baseline and at 6 months, and qualitative data will be collected from electronic interactions on
15 the website. Survey participants and a purposeful sample of decision makers will be invited to
16 participate in in-depth interviews. Descriptive analyses will be conducted for quantitative data.
17 Thematic analysis guided by the theoretical frameworks will guide interpretation of qualitative
18 data. We will conduct and assess iKT activities involving Canada's leading health system and
19 health professional leaders, including evidence briefs, GIS-maps, face-to-face meetings, and
20 regular electronic exchanges. Findings will contribute to understanding the mechanisms of iKT
21 relationships and activities that have a meaningful effect on uptake of evidence into policy and
22 practice.

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3 **1 Ethics & Dissemination**
4

5
6 2 Ethical approval was received from the University of British Columbia Children's and Women's
7
8 3 Hospital Ethics Review Board (H16-01006). Full publication of the work will be sought in an
9
10 4 international peer-reviewed journal. Findings will be disseminated to research participants
11
12 5 through newsletters and media interviews, and to policy makers through invited evidence
13
14 6 briefs, and face to face presentations.
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20 **8 ARTICLE SUMMARY**
21

22 **9 Strengths and limitations of this study**
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24

- 25 10 • This study will provide critical evidence about the effect of the full range of health
26
27 11 policy, system, and service determinants on access to medication abortion.
28
29
30 12 • We will employ an integrated knowledge translation approach (iKT), where decision
31
32 13 makers and practitioners are actively involved in collecting, analyzing, and interpreting
33
34 14 our study data.
35
36
37 15 • We anticipate that our iKT approach of having decision makers on the research team
38
39 16 will have the potential to accelerate the implementation of mifepristone in Canada, as
40
41 17 decision makers will be more likely to accept and act on our co-produced knowledge,
42
43 18 more rapidly mitigate barriers, and improve equitable access to abortion.
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46 19 • Results will be generalizable to other nations that have similar abortion restrictions for
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48 20 medication abortion.
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1 INTRODUCTION

2 Induced abortion is a common, safe, and legal reproductive health procedure in Canada, with
3 nearly one in three Canadian women having at least one abortion during their reproductive
4 years.[1–3] However, access to abortion is not equitable. In 2012, 96% of Canadian abortions
5 were performed using surgery, through fewer than 100 facilities, mostly located primarily in
6 Canada's largest cities within 150 km of the US border.[3,4] Historically in Canada, abortion
7 provision has been included within the scope of practice only for physicians, and in 2012, it was
8 offered by fewer than 300 doctors.[3,5] Under these conditions, patients living outside of major
9 cities had to travel inordinate distances to reach service locations, experienced significant wait
10 times, and faced numerous barriers to equitable access to abortion service.[6,7] Notably, The
11 United Nations Human Rights Commissioner's November 2016 Report of the *Committee on*
12 *Elimination of Discrimination Against Women* expressed concern over inequitable abortion
13 access in Canada and called on the government of Canada to demonstrate improvement.[8]
14 Canada's federal drug regulator, Health Canada, approved mifepristone, the gold standard for
15 medical abortion,[5] in July of 2015.[9] Subsequently, mifepristone first became available to
16 Canadians on January 10th, 2017.[10]
17 Mifepristone was first introduced to the global marketplace in 1988. In other nations the drug
18 has not been associated with an increase in overall abortion rates, while it has increased the
19 proportion of medical abortion compared to surgical.[11] Widely differing rates of mifepristone
20 implementation, particularly in primary care settings, have been noted world-wide among
21 countries with approval.[11–16] Uptake in the USA was among the slowest: at 10 years after
22 approval, only 10% of all abortions were provided by mifepristone, compared to 70% in
23 Scotland and 80% in northern Europe.[11,13,14,16] Variation in health systems, provider

1 training, provider support, drug regulations, and legislated restrictions may account for these
2 differences. Canada's geographic disparities in access to abortion care, particularly among rural
3 and remote populations, call for innovative approaches to the implementation of mifepristone
4 services, including strategies to support primary care providers to initiate and sustain abortion
5 services. Mifepristone implementation has the potential to address current abortion service
6 disparities and health access inequities, particularly among disadvantaged populations.
7 When mifepristone was approved in Canada, Health Canada specified several unique
8 restrictions that could act as significant barriers to access. Namely, only physicians may
9 prescribe and dispense mifepristone, and that those who provide mifepristone must be
10 certified through an accredited online training program.[9,17] Our multidisciplinary research
11 team theorizes that mifepristone training and practice could be undertaken by a range of
12 healthcare professionals who are interested in providing mifepristone, including family
13 physicians, nurse practitioners and midwives. Further we postulate that, based on the above
14 cited evidence from international settings, mandatory training and certification without
15 additional practice support will be insufficient to facilitate adoption and distribution of this
16 innovation in the face of the federal restrictions, particularly among primary healthcare
17 professionals in rural areas and/or without prior experience providing abortion. We further
18 hypothesize that the identification and mitigation of implementation barriers and facilitators at
19 the health policy, system, and service delivery levels, particularly those affecting primary care
20 providers, could advance mifepristone practice in Canada and improve equitable abortion care
21 access.

22 Our study is informed by principles of integrated knowledge translation (iKT) [18] and Roger's
23 Theory of the Diffusion of Innovation [19] in seeking to answer the question: *What are the*

1
2
3 1 *factors that influence successful initiation and ongoing provision of medical abortion services*
4
5 2 *among health professionals, and how do these relate to health policies, systems, and services,*
6
7 *and to abortion services access throughout Canada?*
8
9

10 4 11 12 13 5 **METHODS**

14 15 6 **Aims**

16
17
18 7 The aims of this study are:

- 19
20 8 • To understand health policy, system and service facilitators and barriers to the
21
22 9 distribution and implementation of mifepristone abortion practice in primary care.
23
24 10 • To assess the impact of a “Community of Practice” platform to detect and support
25
26 11 clinical, health service, and system challenges faced by clinicians adopting mifepristone
27
28 12 medical abortion practice.
29
30 13 • To evaluate continuous iKT with and by health policy, health system, and health services
31
32 14 decision makers and health professional organizations to reduce barriers, and optimize
33
34 15 facilitators, for mifepristone abortion practice.
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40 16 This study protocol is guided by the Standards for Reporting Implementation Studies (StaRI)
41
42 17 statement.[20]
43
44

45 18 46 47 19 **Conceptual Frameworks**

48
49 20 Our study uses a theoretical framework combining two theories to explain adoption and
50
51 21 diffusion of innovations: *Roger’s Theory of the Diffusion of Innovation* and *Godin’s framework*.
52
53 22 *Greenhalgh et al.* [19] developed constructs to capture determinants for implementation, as
54
55 23 articulated by *Rogers’ Theory of the Diffusion of Innovation*,[21] in health service delivery and
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1 health systems (see Figure 1). This comprehensive theoretical model of dissemination and
2 implementation of health service innovations aims to support research for bridging the gap
3 between knowledge and practice/policy. The model was developed from a systematic meta-
4 narrative review of scientific evidence on factors related to implementation.[19] It articulates
5 key constructs for capturing the complex processes of implementation: characteristics of the
6 innovation and adopter; methods of diffusion and dissemination (e.g. communication and
7 influence); system antecedents and readiness; outer context; resource systems and change
8 agents; and their role in facilitating the implementation process.[19] Cook *et al.* operationalized
9 these constructs into semi-structured survey and interview questions to allow researchers to
10 generate evidence on barriers and enablers to implementation.[22]

11
12 **Figure 1. Determinants of diffusion of innovations in health service delivery organizations,**
13 **adapted from Greenhalgh *et al.* [19]**

14
15 Within these constructs we further explore provider uptake and behaviours using *Godin's*
16 *framework*,[23] integrating the Theory of Planned Behaviour,[24] and Triandis' Theory,[25] to
17 predict intention and uptake of clinical behaviour. The strongest predictors of behaviour are
18 intention, belief about capabilities, and frequency of past behaviour. Intention is influenced by
19 belief about consequences, role identity, moral norm, social influences, and personal
20 characteristics. This framework has good application to practice in the abortion context, where
21 role identity, moral norm, and social factors could have strong influence on behaviour.[26]

22
23 **Design**

1 We designed a prospective mixed methods observational research study on factors that
2 influence implementation of mifepristone in primary care over the initial two years of practice
3 in Canada. We hypothesized that healthcare professionals interested in adopting mifepristone
4 care into their practice would have widely varied professional characteristics, practice locations
5 and settings, and local or health system supports, and would serve a wide variety of
6 disadvantaged and vulnerable populations; all of which may influence implementation and
7 access to care. Our national, interprofessional research team (nursing, medicine, pharmacy,
8 epidemiology, implementation science, medical sociology, computer science, public health, and
9 education) is comprised of senior, mid, and early career investigators, national and provincial
10 policy makers, health care and health professional organizations, clinicians, citizen groups, and
11 trainees. Our design is flexible and will be adapted in response to health system and policy
12 changes. This will allow us to collect data in the setting, samples, and contexts that may provide
13 the richest information to answer our research questions.

15 **Health System Intervention**

16 Mifepristone is marketed, in combination with misoprostol in Canada, as Mifegymiso®, for the
17 indication of early medical abortion (one mifepristone 200 mg tablet and four misoprostol 200
18 mcg tablets). Mifepristone is used in more than 60 countries worldwide, is on the World Health
19 Organization list of essential medicines,[27] and has an excellent safety and effectiveness
20 profile as illustrated by administration to millions of women.[28–30]
21 Mifepristone provided in primary care settings is an innovative health service delivery model for
22 medical abortion. Until now, high-income country drug regulators have placed a range of
23 unique restrictions on the distribution and administration of mifepristone,[31,32] which has

1 largely limited provision of mifepristone to abortion providers in existing urban sexual and
 2 reproductive specific health facilities, that generally provide a high volume of surgical abortion
 3 services. In Australia, for instance, mifepristone by prescription that could be filled in a
 4 pharmacy was approved in 2012, but restrictions including provider and pharmacist training
 5 and certification limited initial uptake.[33] Similar restrictions were approved in Canada as part
 6 of the initial 2015 drug approval [9,17] (see Table 1).

8 **Table 1. Canadian Restrictions for Prescribing and Dispensing Mifepristone, July 2015 [9,17]**

Mandatory training for prescribers and pharmacists
Mandatory registration of prescribers and pharmacists with the manufacturer
Physician-only prescribing
Physician-only dispensing direct to the patient
Mandatory use of a manufacturer-provided consent form to be signed by the patient
Physician's observation of mifepristone ingestion

9
 10 Nonetheless, mifepristone abortion delivered in primary care settings by physicians and other
 11 skilled providers has been shown to be safe and effective.[12–14,28–30,34–36] In this context,
 12 we will seek to identify, initiate, and evaluate two implementation strategies that aim to
 13 overcome clinical, health service, and system challenges faced by clinicians adopting
 14 mifepristone medical abortion practice, particularly in primary care settings.

15
 16 **Implementation Strategy**

17 *A. Community of Practice platform*

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2
3 1 The central iKT strategy for this study is the collaborative interdisciplinary community of
4
5 2 practice – with the objective of sharing real-time clinical best practices, disseminating
6
7 3 information, advocating for and sharing policy changes to support timely and equitable access
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9 4 to mifepristone medical abortion by bringing together health providers, policy, and system
10
11 5 partners and our team of investigators and knowledge users. As Wenger explains,
12
13 6 “communities of practice are groups of people who share a concern, a set of problems, or a
14
15 7 passion about a topic, and who deepen their knowledge and expertise in this area by
16
17 8 interacting on an ongoing basis.”[37] The principle underlying communities of practice is that
18
19 9 practitioners advance their skills and knowledge both on the job and off work through *social*
20
21 10 *relationships*, rather than in classroom settings.[38] Social learning through a social structure
22
23 11 facilitates learning a practice through interactions, relationships, and sharing of resources and
24
25 12 solutions to build skills and knowledge. The rationale for including a community of practice
26
27 13 strategy was derived from the international literature on mifepristone practice in other high-
28
29 14 income nations, and was reinforced by findings from focus group research involving Canadian
30
31 15 physicians in which we developed and pilot tested the survey for this present study.[39,40]
32
33 16 We created a community of practice platform for the present study: the Canadian Abortion
34
35 17 Providers Support-Communauté de pratique canadienne sur l’avortement (CAPS-CPCA), an
36
37 18 internet accessible web site. It is designed to encourage multi-directional interaction of
38
39 19 healthcare professionals engaging in mifepristone practice with the experts and researchers
40
41 20 and will promote sharing best practice resources and facilitators. Interactive pages (“Ask an
42
43 21 Expert” and “Share a Case”) will promote asynchronized dialogue while resource pages
44
45 22 (“What’s happening in your province?”, “Locate a Pharmacy,” and “Helpful Resources”) will
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47 23 provide practical, local knowledge for members to apply in their individual practices. Members
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1 will be provided news updates on topics relevant to mifepristone practice, such as practice
2 tools, billing codes, regulation changes, and universal coverage.

3 *B. Integrated knowledge translation activities*

4 We follow the Canadian Institutes of Health Research definition of iKT, which describes it as “an
5 approach to doing research that applies the principles of knowledge translation to the entire
6 research process. The central premise of iKT is that involving knowledge users as equal partners
7 alongside researchers will lead to research that is more relevant to, and more likely to be useful
8 to, the knowledge users.”[18] We anticipate that our iKT approach will more rapidly mitigate
9 barriers and improve equitable access to abortion, with the assumption that stakeholders will
10 be more likely to accept and act on co-produced knowledge.[41,42] Using iKT processes to
11 achieve particular objectives focuses researchers and stakeholders on the same page to create
12 shared meaning, identify facilitators and barriers to the process of evidence implementation,
13 and co-create empirical knowledge to support health service planning. As a result, the
14 partnership process itself is instrumental in implementing sustainable change.[43] The effect of
15 iKT activities on research outcomes such as practice and policy change is still unclear, largely
16 due to inconsistent description, evaluation, and reporting in most studies.[44] However, there
17 is emerging evidence from Canada and the UK that iKT may lead to increased capacity to use
18 research among knowledge users, greater relevance and usefulness of research evidence to
19 knowledge users, increased use of research in decision-making, and improved patient and
20 health system outcomes.[45]

21 In the context of this study our iKT activities are diverse, responsive, and tailored to the needs
22 and contexts of stakeholders. These activities include but are not limited to: invited evidence
23 briefs, face to face meetings, media interviews, minutes documenting interactions within

1
2
3 1 monthly multidisciplinary team video-conferenced meetings and at annual national
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5 2 collaboration meetings. Face-to-face interaction will optimize relationships, apprise knowledge
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7 3 users of progress, and ensure the flow of ideas. Both clinician and policy maker knowledge
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9 4 users will be welcomed to join our monthly meetings, to contribute actively to the evaluation
10
11 5 and interpretation of data collected each month, and to plan to address identified barriers and
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13 6 facilitators in real time. Knowledge users may identify colleagues for face-to-face meetings
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15 7 relevant to specific phases of the project. Our meeting agendas will address topics from policy
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17 8 development, to education input, to practice. Our investigators and knowledge users will be
18
19 9 invited to convey results to other knowledge user organizations, such as: health professional
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21 10 development at national, provincial, and regional health professional meetings; post-secondary
22
23 11 institution faculty providing health practitioner education programs (informing pre-licensure
24
25 12 training); provincial colleges of health professionals (informing licensure bodies); and
26
27 13 community sexual health organizations across Canada. Quarterly briefs will engage team
28
29 14 knowledge users, health professional participants, community organization partners, and
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31 15 appropriate colleagues and collaborators identified by them, to encourage informed updated
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33 16 approaches.
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45 **Patient and Public Involvement**

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47 19 Patient partners were involved in co-designing the research questions and outcome measures.
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49 20 Patients and representatives from community-based sexual health organizations across Canada
50
51 21 were engaged through a face-to-face symposium in October 2015 and participated in regular
52
53 22 monthly video-conference meetings. Through deliberation and dialogue, they discussed with
54
55 23 the research team their perspectives on priority areas of study, and recruitment strategies for
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1 participants in rural and remote communities. As potential participants did not include patients
2 or members of the public, only health care professionals were asked to assess the burden of the
3 intervention and the time required to participate in research. Representatives from community-
4 based sexual health organizations reviewed and provided feedback on our finalized research
5 questions and design during the monthly videoconferences. They will be involved in
6 disseminating study results to the public through infographics shared in presentations and by
7 email with their networks.

9 **Setting and Participants**

10 This national study will explore mifepristone medical abortion in the context of primary care
11 settings. In Canada, 85% of Canadians have a regular medical doctor [46] and provision of
12 abortion by primary care providers is highly acceptable – the majority of surgical abortion
13 providers are family physicians.[47] For the purposes of this study we define primary care
14 settings as any service delivery environment where a prescriber may provide primary care,
15 including hospitals, abortion facilities, health centres, and private physician offices. Consistent
16 with the initial Health Canada approval of the medication, we defined prescriber as a certified
17 physician.

18 *Group A: Healthcare Professionals engaged with mifepristone practice:*

19 Survey and interview enrollment for part 1 of the study is offered to all certified prescribers and
20 pharmacists who intend to begin practice with mifepristone within the first year they are
21 eligible to do so. As our past studies among abortion providers have recruited ~90% of eligible
22 participants,[3,46–48] we anticipate the cohort will be highly representative. We estimate up to
23 1,000 healthcare professionals would engage in mifepristone practice within the first year.

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3 1 *Group B: Community of practice platform*

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6 2 The community of practice website will engage a wide range of interdisciplinary licensed
7
8 3 healthcare professionals who are interested in providing mifepristone care, including certified
9
10 4 prescribers and pharmacists. We will capture data from all members who enroll in the platform.

11
12
13 5 *Group C: Health policy, system, and services decision makers and non-mifepristone providing*
14
15 6 *health care professionals:*

16
17
18 7 We will recruit influential decision makers across Canada who have the potential to impact
19
20 8 health policy, system, and service factors found to be important determinants of
21
22 9 implementation, as they are identified throughout the study. We will also engage health care
23
24 10 professionals who do not choose to provide mifepristone, particularly if they are providing
25
26 11 similar women's health services, using key informant interviews or focus groups. These non-
27
28 12 mifepristone providing health care professionals represent a population with an important
29
30 13 viewpoint to assist us to understand barriers.

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35 14 *Group D: Knowledge users engaged with iKT activities*

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37 15 Knowledge users have been involved in the research process from idea inception (questions
38
39 16 and design elements posed by our knowledge user collaborators) to the development of this
40
41 17 study to delineate facilitators and inform changes to the health system to facilitate
42
43 18 implementation. They include health policy and practice decision-makers at the regional,
44
45 19 provincial, and federal levels. We will invite these individuals and organizations to participate in
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47 20 data collection for the evaluation of our iKT activities.
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54 22 **Outcomes**

1 We will evaluate the effect of our mixed methods, iKT implementation study on health system
2 and policy decision-making, regulatory changes, and upon uptake of mifepristone. We will
3 assess the uptake of mifepristone medical abortion by measuring the proportion of certified
4 physicians and pharmacists (per professional category) who are providing mifepristone care 1-
5 year post enrollment, at least once in the most recent 3 months in which they were in their
6 usual practice. In addition, we will explore: 1) the number of communities or populations that
7 have access to abortion compared to baseline; 2) the proportion of certified healthcare
8 professionals providing mifepristone at 6 months post enrollment (by professional category and
9 by location, e.g. urban vs rural, province); and 3) the volume of service provision at 1-year and
10 correlates, particularly compared to baseline distribution of abortion service providers and
11 facilities.

12 Additionally, based on our mixed methods analysis, we will develop an empirically-driven
13 framework of diffusion of innovation in a health system, that builds on and extends Greenhagh
14 *et al.*'s theory.

15 We will also be flexible to identify and collect outcomes of interest to our policy maker
16 stakeholders, as part of our ongoing iKT approach.

17

18 **Data Collection**

19 Our project incorporates five key inter-related evaluation components (Figure 2):

- 20 1. Continuous iKT activity interactions with key knowledge users and decision makers in
21 health policy, health system, health professional organization and regulation, and health
22 services delivery contexts; and

- 1
- 2
- 3 1 2. Evaluation of iKT interactions with knowledge users and decision makers, and relation to
- 4
- 5
- 6 2 any associated health policy, system, and service changes during the project.
- 7
- 8 3 3. Surveys and interviews among healthcare professionals who are interested in providing
- 9
- 10 4 mifepristone care;
- 11
- 12
- 13 5 4. Quantitative and qualitative data collected from interactions on a community of
- 14
- 15 6 practice support platform for healthcare professionals, the Canadian Abortion Providers
- 16
- 17 7 Support- Communauté de pratique Canadienne sur l'avortement (CAPS-CPCA) platform;
- 18
- 19
- 20 8 5. Interviews with key health system and services decision makers and informants, and
- 21
- 22 9 with healthcare professionals who are engaged with women's health but choose not to
- 23
- 24 10 provide mifepristone care;
- 25
- 26
- 27
- 28 11
- 29

30 12 **Figure 2. Canada's Mifepristone Implementation Study, components of study design**

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35 14 **1. Surveys:** We will distribute questionnaires among *Healthcare Professionals engaged with*

36

37 15 *mifepristone practice* (Group A) to measure factors related to adoption of mifepristone

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39

40 16 abortion into practice [50] *and* to explore constructs for diffusion of innovation. As appropriate,

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42 17 components of either or both sections will be administered at baseline, 6 and 12 months.

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44

45 18 Participant demographics will be collected at baseline.

46

47 19 **Section 1** Component surveys for the constructs of Diffusion of Innovation will be

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50 20 administered. Constructs that are expected to change over time will be examined at

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52 21 baseline and later time points (e.g., task issues, skills); constructs relating to factors

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54 22 unknown at baseline (e.g., characteristics of diffusion) will be collected at 12 months.

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1 **Section 2** A 12-item questionnaire adapted from Légaré's validated instrument [51]

2 based on the Godin framework will be administered at baseline, 6, and 12 months.

3 The survey instruments used in this study were developed and tested following methods
4 described elsewhere. [51,52] Additionally, we conducted a rigorous process to develop and test
5 the surveys used to measure implementation of mifepristone.[40] The process for adapting and
6 pilot testing the surveys for the present study is described in a forthcoming publication.

7
8 **2. Interviews:** Semi-structured interviews will be conducted with a purposeful sample of the
9 certified physicians and pharmacists of Group A, selected to represent diversity of:
10 demographic characteristics (e.g. gender, age, profession); factors related to adoption and
11 diffusion of mifepristone practice (such as previous abortion practice and rural vs. urban
12 location); and positive and negative experiences of abortion practice within 1-year post-training
13 (to investigate the factors that affect implementation). Recruitment will be facilitated via the
14 online survey. All healthcare professionals enrolled in the broader study will be asked, upon
15 completing the survey, if they would like to be contacted for a follow-up interview. Interested
16 and eligible physician and pharmacist certificants will be contacted to arrange a follow-up
17 interview in person or by phone. No interview participants will be recruited via Group B, the
18 Community of Practice, although certificants from Group A may also be members of the
19 Community.

20 Health policy, system, and services decision makers and non-mifepristone providing health care
21 professionals (Group C) and stakeholders involved in our iKT activities (Group D) will be
22 purposefully sampled based on pre-identified factors [49,53] (e.g. profession, previous
23 experience in abortion policy development or service provision, number of years as a

1
2
3 1 knowledge user with the research team) and invited to participate in an interview. Group C
4
5 2 participants will be invited via third party recruitment with the assistance of the study's
6
7
8 3 knowledge user partners. Group D participants will be invited by email to participate in an
9
10 4 interview with our research team's implementation scientist. As categories emerge from
11
12 5 analysis of transcripts, theoretical sampling will be conducted to pursue emerging themes
13
14 6 related to policy, system, and/or service factors that influence implementation.
15
16
17 7 Interview questions will be theoretically informed by Diffusion of Innovation constructs, and
18
19 8 Cook *et al.*'s interview guide [22] will be pilot tested with a panel of researchers and clinicians
20
21 9 prior to data collection. Interviews will be conducted until we achieve saturation in our data
22
23 10 collection, sampling, and analysis.[54] In our data collection we will seek "informational
24
25 11 redundancy" [55] (new data repeat what was expressed in previous data). We will recruit
26
27 12 participants until no new themes or codes are identified in analysis and we have sufficient data
28
29 13 to illustrate the core constructs of Diffusion of Innovation theory. We will also seek to recruit
30
31 14 participants until our data sufficiently represents a range of the pre-identified factors from our
32
33 15 purposeful sampling strategies.
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42 17 **3. Data Collection through the Community of Practice:** Data from the community of practice
43
44 18 platform will include reports of barriers and facilitators; responses to iterative 1-question polls
45
46 19 (based on surveys); questions to experts and participant usage statistics. Relationships within
47
48 20 the community of practice and with the research team will enable identification of challenges,
49
50 21 which will be shared with knowledge users via the iKT activities listed below.
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57 23 **4. Evaluation of Integrated Knowledge Translation**

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1 To capture and understand the effectiveness of iKT strategies we will document our activities
2 using the Workgroup for Intervention Development and Evaluation Research (WIDER) reporting
3 checklist [56] as recommended by Gagliardi et al.[44] Checklist constructs include: the goal of
4 the activity and iKT partnership, mode of delivery, duration, frequency, participants, and
5 personnel. We will also document funding source, who initiated the activity, and the theory
6 underpinning the activity. Semi-structured interviews with stakeholders, interactions on the
7 Community of Practice platform, and health system, policy, and service changes occurring in
8 real time from our correspondence with knowledge users and decision makers will help us
9 document the effect of our iKT strategies. As described above, these activities will be diverse
10 and responsive to our knowledge user audiences and may include invited evidence briefs,
11 quarterly briefs, face to face meetings, email and phone communication, media interviews,
12 newsletters, and minutes of monthly videoconferences.

13

14 **ANALYSIS**

15 **1. Quantitative Data**

16 Survey responses will be summarized descriptively over the entire sample. Stratified analysis
17 will be performed for key determinants (i.e., Federal, Provincial, or Local according to the
18 issue). For provider characteristics we will collect data on age, gender, rural vs urban setting,
19 professional role (overall and by specialty), previous abortion provision, and independent
20 practice vs working in a setting with two or more abortion providers. In light of Quebec's well-
21 developed support for rural and remote providers,[48,57] we will perform a two-way
22 stratification by a) Quebec vs the rest of Canada and b) rural/urban status. Additionally,
23 location data will be collected on all participants to inform geo-mapping analyses on the

1
2
3 1 emergence and diffusion of mifepristone practice (and the sub-groups by practitioner and with
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5
6 2 relation to provincial, national, or regional policies, systems, and service structures) throughout
7
8 3 Canada. We will analyze interactions of factors using multivariable logistic regression for binary
9
10 4 (e.g. provision of mifepristone) and ordinal (e.g. barriers and facilitators) outcomes, and linear
11
12
13 5 multiple regression for volume of service. Emerging results will be used to inform iKT
14
15
16 6 interactions throughout the project.

17
18 7 Following Morse's guidance, our mixed methods design is quantitatively driven with a
19
20 8 simultaneous qualitative component.[58] Our survey and CAPS analysis will inform the
21
22
23 9 development of probing questions to ask during interviews. Analysis will be simultaneous using
24
25 10 constant comparison methods; qualitative results will be used to enhance description of
26
27
28 11 quantitative results and to corroborate knowledge from our different data sources to clarify key
29
30 12 barriers and facilitators.

31 32 33 34 35 14 **2. Qualitative Data**

36
37 15 Semi-structured interviews, open-ended survey questions, and CAPS website posted
38
39
40 16 discussions will be subjected to thematic analysis [59] by two qualitatively-trained
41
42
43 17 implementation scientists following confidential transcription. Analysis of qualitative data will
44
45 18 involve these iterative, concurrent steps:

- 46
47 19 1) Developing a codebook by identifying contextual codes related to the research
48
49
50 20 objective (identified inductively from the participant data). The two researchers will
51
52 21 first code a sample of transcripts independently and compare their results to ensure
53
54
55 22 accurate interpretation of the data. Discrepancies will be resolved through
56
57 23 discussion with a third researcher.

1
2
3 1 2) Identifying individual, organizational, and system processes (including patterns,
4
5
6 2 relationships, and interactions) between the codes.

7
8 3 3) Organizing the processes into a theoretical framework informed by Diffusion of
9
10 4 Innovation constructs. Relevant domains for implementation will be identified
11
12 5 through research team discussion and consensus.

13
14
15 6 4) Writing the analysis into a descriptive, explanatory narrative that illuminates the
16
17 7 barriers and facilitators to implementation of mifepristone abortion practice.

18
19
20 8 We will test and extend the theory of Diffusion of Innovation. We will consider the frequency of
21
22 9 constructs across the data, presence of conflicting constructs, and perceived relevance of the
23
24 10 constructs on implementation behaviour. Emerging results will be used to inform iKT
25
26 11 interactions throughout the project to identify and mitigate addressable barriers.
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30 12 31 32 13 **3. Analysis of Integrated Knowledge Translation Activities Data**

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35 14 We will analyse the iKT activity and outcome data, evaluating alignment with theoretical model
36
37 15 constructs and addressable barriers identified through the research activities. Qualitative
38
39 16 thematic analysis [59] of stakeholder interviews will explore health system and policy factors
40
41 17 that influence implementation at regional, provincial, and federal levels, as well as the impact
42
43 18 of iKT activities on implementation of mifepristone in primary care. As our additional iKT
44
45 19 strategies will be emergent, dynamic, and chosen in response to knowledge user and
46
47 20 stakeholder need, we will also measure the impact of additional iKT strategies using
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49 21 appropriate methods and outcomes, selection of which will be guided by the Canadian
50
51 22 Academy of Health Sciences Impact Framework.[60] All interactions collected will be compared
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53 23 with any subsequent positive, negative, or null changes to health system factors that influence
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3 1 implementation of mifepristone. The mechanisms related to any iKT activity will be delineated
4
5 2 to assign scaled values for: the impetus (i.e., knowledge user, researcher, media/public); the
6
7
8 3 activity; the participants (categorized as per stakeholder groups); results; and an assignment of
9
10 4 an impact score for the effectiveness of the activity to contribute to changes in health policy,
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12
13 5 system or service delivery advancing mifepristone care.
14

15 6 16 17 18 7 **ETHICS AND DISSEMINATION**

19
20 8 Ethical approval was obtained from the University of British Columbia Children's and Women's
21
22
23 9 Hospital Research Ethics Review Board (H16-01006) prior to enrollment of participants. All
24
25 10 participants in this study will participate in full informed consent. For survey participants,
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27
28 11 completion and submission of the survey will constitute implied consent; for interview
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30 12 participants, a signed consent form will be required prior to participation.
31
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35 14 **Dissemination plan**

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37 15 The study commenced on January 1, 2017 and its expected completion date is January 1, 2020.
38
39
40 16 Full publication of the work will be sought in an international peer-reviewed journal. Findings
41
42
43 17 will be disseminated to research participants through newsletters and media interviews, and to
44
45 18 policy makers through invited evidence briefs, and face to face presentations.
46

47 19 48 49 20 **DISCUSSION**

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52 21 Knowledge and system improvements generated by this project have the potential to increase
53
54 22 the proportion of all abortions that are provided medically. In turn, this could:

- 55
56
57 23 • Reduce need and systems costs for surgical abortion;

- 1 • Increase delivery of services closer to home, reducing travel and wait times;
- 2 • Increase delivery of services by the primary care provider, decreasing the need for
- 3 referrals;
- 4 • Increase abortion safety, as medical abortion can be provided at the earliest and safest
- 5 stages of pregnancy;
- 6 • Increase confidentiality and reduce the need for patients and health care providers to
- 7 face interactions with protesters;
- 8 • Benefit hospitals by relieving pressure on operating room time and wait lists, while
- 9 reducing stigma reported by abortion providers working in operating room settings.

10
11 The proposed timely research, undertaken by our well-established cross-sectoral national
12 network, the Contraception & Abortion Research Team-Groupe de recherche sur l'avortement
13 et la contraception (CART-GRAC),[53,61,62] will identify the determinants of uptake of medical
14 abortion as this health service innovation is implemented in Canada. We aim to understand,
15 and in real time to address, barriers and facilitators to adoption of this new clinical practice.
16 Knowledge about the effect of the full range of health policy, system and service determinants
17 on access to mifepristone abortion is needed to realize the potential to increase equitable, safe,
18 confidential abortion care closer to home for women throughout Canada. Findings also will
19 contribute to understanding the mechanisms of iKT relationships and activities that have a
20 meaningful effect on uptake of evidence into policy and practice.

21

1
2
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9
10
11 4

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19 **AUTHORS' CONTRIBUTIONS**

20
21 8 WVN, EG and SD developed the study concept and approach with input from all co-authors.
22
23
24 9 WVN wrote the first draft of the manuscript. SM significantly contributed to the design of iKT
25
26 10 approach and qualitative interviews, and led all manuscript revisions. EG, SD and RR
27
28 11 significantly contributed to the survey design and WVN, SD and EG to the structure and content
29
30 12 of the Community of Practice platform. TK contributed to the design of the iKT approach. JAS
31
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33
34 14 contributed to study design and practitioner support elements. All authors contributed to
35
36 15 manuscript revisions and reviewed and approved the final manuscript.
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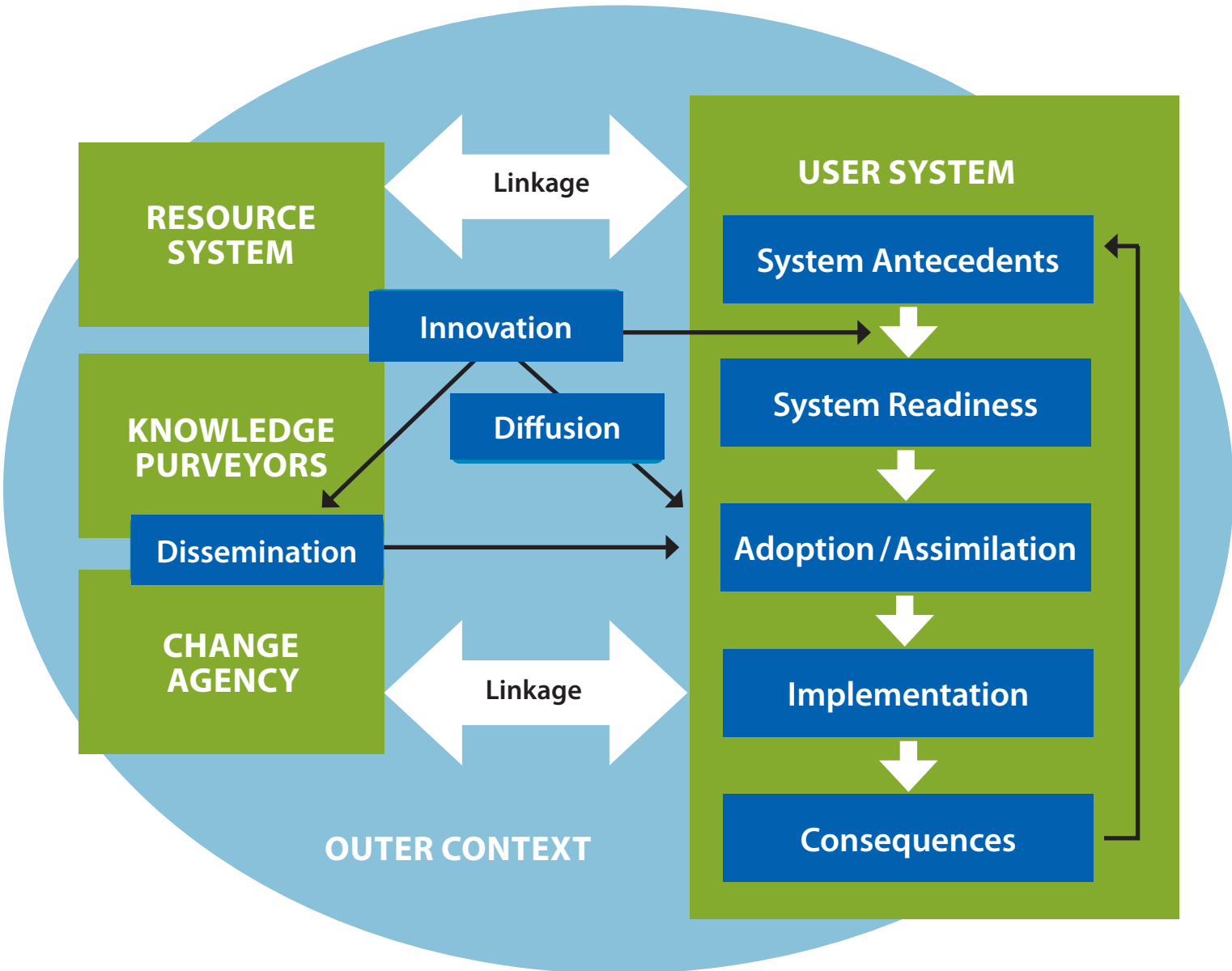
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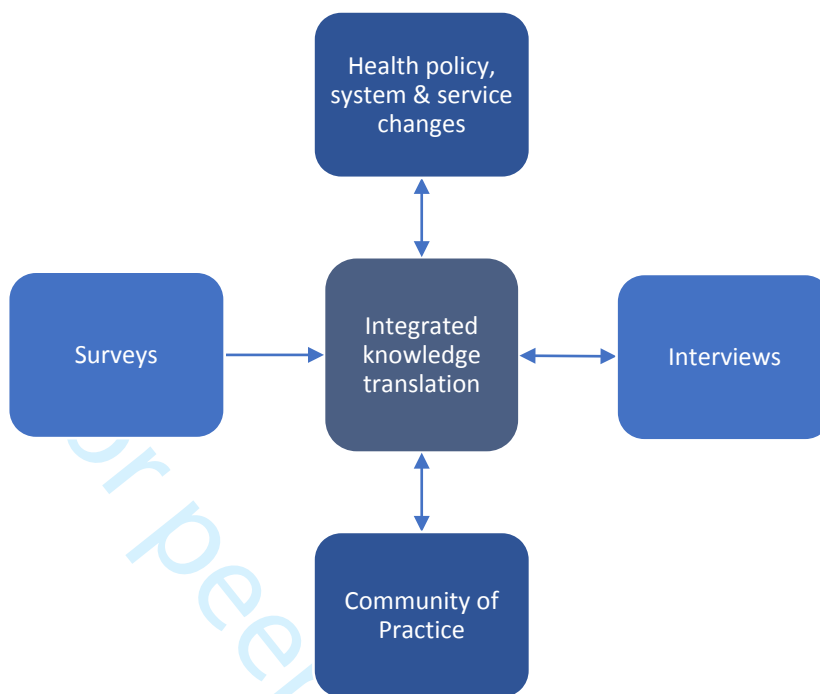
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20 8 The authors declare that they have no competing interests.
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25 10 **WORD COUNT: 4914**
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A Conceptual Model of Diffusion of Innovations in Health Service Delivery and Organizations, adapted from Greenhalgh et al. (2004)

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Could implementation of mifepristone address Canada's urban-rural abortion access disparity? A mixed methods implementation study protocol

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23

1 ABSTRACT**2 Introduction**

3 In January 2017, mifepristone-induced medical abortion was made available in Canada. In this
4 study, we will seek to 1) understand facilitators and barriers to the implementation of
5 mifepristone across Canada, 2) assess the impact of a “community of practice” clinical and
6 health service support platform, and 3) engage in and assess the impact of integrated
7 knowledge translation (iKT) activities aimed to improve health policy, systems, and service
8 delivery issues to enhance patient access to mifepristone.

9 Methods & Analysis

10 This prospective mixed-methods implementation study will involve a national sample of
11 physicians and pharmacists recruited via an online training program, professional networks, and
12 a purpose-built community of practice website. Surveys that explore constructs related to
13 Diffusion of Innovation and Godin's behaviour change frameworks will be conducted at
14 baseline and at 6 months, and qualitative data will be collected from electronic interactions on
15 the website. Survey participants and a purposeful sample of decision makers will be invited to
16 participate in in-depth interviews. Descriptive analyses will be conducted for quantitative data.
17 Thematic analysis guided by the theoretical frameworks will guide interpretation of qualitative
18 data. We will conduct and assess iKT activities involving Canada's leading health system and
19 health professional leaders, including evidence briefs, GIS-maps, face-to-face meetings, and
20 regular electronic exchanges. Findings will contribute to understanding the mechanisms of iKT
21 relationships and activities that have a meaningful effect on uptake of evidence into policy and
22 practice.

23

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3 **1 Ethics & Dissemination**
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6 2 Ethical approval was received from the University of British Columbia Children's and Women's
7
8 3 Hospital Ethics Review Board (H16-01006). Full publication of the work will be sought in an
9
10 4 international peer-reviewed journal. Findings will be disseminated to research participants
11
12 5 through newsletters and media interviews, and to policy makers through invited evidence
13
14 6 briefs, and face to face presentations.
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20 **8 ARTICLE SUMMARY**
21

22 **9 Strengths and limitations of this study**
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- 24
25 10 • The mixed methods design of this study will provide qualitative evidence to enrich the
26
27 11 quantitative results and corroborate knowledge about the effect of health policy,
28
29 12 system, and service determinants on access to medical abortion.
30
31 13 • The potential of our research to make an impact on policy and practice is strengthened
32
33 14 by an integrated knowledge translation approach (iKT), where decision makers and
34
35 15 practitioners are actively involved in collecting, analyzing, and interpreting our study
36
37 16 data.
38
39 17 • Evaluation of our iKT approach of having decision makers on the research team will
40
41 18 contribute critical knowledge on which strategies are most effective at facilitating co-
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43 19 produced knowledge, mitigating barriers, and improving equitable access to abortion.
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1 INTRODUCTION

2 Induced abortion is a common, safe, and legal reproductive health procedure in Canada, with
3 nearly one in three Canadian women having at least one abortion during their reproductive
4 years.[1–3] However, access to abortion is not equitable. In 2012, 96% of Canadian abortions
5 were performed using surgery, through fewer than 100 facilities, mostly located primarily in
6 Canada's largest cities within 150 km of the US border.[3,4] Historically in Canada, abortion
7 provision has been included within the scope of practice only for physicians, and in 2012, it was
8 offered by fewer than 300 doctors.[3,5] Under these conditions, patients living outside of major
9 cities had to travel inordinate distances to reach service locations, experienced significant wait
10 times, and faced numerous barriers to equitable access to abortion service.[6,7] Notably, The
11 United Nations Human Rights Commissioner's November 2016 Report of the *Committee on*
12 *Elimination of Discrimination Against Women* expressed concern over inequitable abortion
13 access in Canada and called on the government of Canada to demonstrate improvement.[8]
14 Canada's federal drug regulator, Health Canada, approved mifepristone, the gold standard for
15 medical abortion,[5] in July of 2015.[9] Subsequently, mifepristone first became available to
16 Canadians on January 10th, 2017.[10]
17 Mifepristone was first introduced to the global marketplace in 1988. In other nations the drug
18 has not been associated with an increase in overall abortion rates, while it has increased the
19 proportion of medical abortion compared to surgical.[11] Widely differing rates of mifepristone
20 implementation, particularly in primary care settings, have been noted world-wide among
21 countries with approval.[11–16] Uptake in the USA was among the slowest: at 10 years after
22 approval, only 10% of all abortions were provided by mifepristone, compared to 70% in
23 Scotland and 80% in northern Europe.[11,13,14,16] Variation in health systems, provider

1 training, provider support, drug regulations, and legislated restrictions may account for these
2 differences. Canada's geographic disparities in access to abortion care, particularly among rural
3 and remote populations, call for innovative approaches to the implementation of mifepristone
4 services, including strategies to support primary care providers to initiate and sustain abortion
5 services. Mifepristone implementation has the potential to address current abortion service
6 disparities and health access inequities, particularly among disadvantaged populations.
7 When mifepristone was approved in Canada, Health Canada specified several unique
8 restrictions that could act as significant barriers to access. Namely, only physicians may
9 prescribe and dispense mifepristone, and that those who provide mifepristone must be
10 certified through an accredited online training program.[9,17] Our multidisciplinary research
11 team theorizes that mifepristone training and practice could be undertaken by a range of
12 healthcare professionals who are interested in providing mifepristone, including family
13 physicians, nurse practitioners and midwives. Further we postulate that, based on the above
14 cited evidence from international settings, mandatory training and certification without
15 additional practice support will be insufficient to facilitate adoption and distribution of this
16 innovation in the face of the federal restrictions, particularly among primary healthcare
17 professionals in rural areas and/or without prior experience providing abortion. We further
18 hypothesize that the identification and mitigation of implementation barriers and facilitators at
19 the health policy, system, and service delivery levels, particularly those affecting primary care
20 providers, could advance mifepristone practice in Canada and improve equitable abortion care
21 access.

22 Our study is informed by principles of integrated knowledge translation (iKT) [18] and Roger's
23 Theory of the Diffusion of Innovation [19] in seeking to answer the question: *What are the*

1
2
3 1 *factors that influence successful initiation and ongoing provision of medical abortion services*
4
5 2 *among health professionals, and how do these relate to health policies, systems, and services,*
6
7 *and to abortion services access throughout Canada?*
8
9

10 4 11 12 13 5 **METHODS**

14 15 6 **Aims**

16
17
18 7 The aims of this study are:

- 19
20 8 • To understand health policy, system and service facilitators and barriers to the
21
22 9 distribution and implementation of mifepristone abortion practice in primary care.
23
24 10 • To assess the impact of a “Community of Practice” platform to detect and support
25
26 11 clinical, health service, and system challenges faced by clinicians adopting mifepristone
27
28 12 medical abortion practice.
29
30 13 • To evaluate continuous iKT with and by health policy, health system, and health services
31
32 14 decision makers and health professional organizations to reduce barriers, and optimize
33
34 15 facilitators, for mifepristone abortion practice.
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40 16 This study protocol is guided by the Standards for Reporting Implementation Studies (StaRI)
41
42 17 statement.[20]
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45 18 46 47 19 **Conceptual Frameworks**

48
49 20 Our study uses a theoretical framework combining two theories to explain adoption and
50
51 21 diffusion of innovations: *Roger’s Theory of the Diffusion of Innovation* and *Godin’s framework*.
52
53 22 *Greenhalgh et al.* [19] developed constructs to capture determinants for implementation, as
54
55 23 articulated by *Rogers’ Theory of the Diffusion of Innovation*,[21] in health service delivery and
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1 health systems (see Figure 1). This comprehensive theoretical model of dissemination and
 2 implementation of health service innovations aims to support research for bridging the gap
 3 between knowledge and practice/policy. The model was developed from a systematic meta-
 4 narrative review of scientific evidence on factors related to implementation.[19] It articulates
 5 key constructs for capturing the complex processes of implementation: characteristics of the
 6 innovation and adopter; methods of diffusion and dissemination (e.g. communication and
 7 influence); system antecedents and readiness; outer context; resource systems and change
 8 agents; and their role in facilitating the implementation process.[19] Cook *et al.* operationalized
 9 these constructs into semi-structured survey and interview questions to allow researchers to
 10 generate evidence on barriers and enablers to implementation.[22]

11
 12 **Figure 1. Determinants of diffusion of innovations in health service delivery organizations,**
 13 **adapted from Greenhalgh *et al.* [19]**

14
 15 Within these constructs we further explore provider uptake and behaviours using *Godin's*
 16 *framework*,[23] integrating the Theory of Planned Behaviour,[24] and Triandis' Theory,[25] to
 17 predict intention and uptake of clinical behaviour. The strongest predictors of behaviour are
 18 intention, belief about capabilities, and frequency of past behaviour. Intention is influenced by
 19 belief about consequences, role identity, moral norm, social influences, and personal
 20 characteristics. This framework has good application to practice in the abortion context, where
 21 role identity, moral norm, and social factors could have strong influence on behaviour.[26]

22
 23 **Design**

1 We designed a prospective mixed methods observational research study on factors that
2 influence implementation of mifepristone in primary care over the initial two years of practice
3 in Canada. We hypothesized that healthcare professionals interested in adopting mifepristone
4 care into their practice would have widely varied professional characteristics, practice locations
5 and settings, and local or health system supports, and would serve a wide variety of
6 disadvantaged and vulnerable populations; all of which may influence implementation and
7 access to care. Our national, interprofessional research team (nursing, medicine, pharmacy,
8 epidemiology, implementation science, medical sociology, computer science, public health, and
9 education) is comprised of senior, mid, and early career investigators, national and provincial
10 policy makers, health care and health professional organizations, clinicians, citizen groups, and
11 trainees. Our design is flexible and will be adapted in response to health system and policy
12 changes. This will allow us to collect data in the setting, samples, and contexts that may provide
13 the richest information to answer our research questions.

15 **Health System Intervention**

16 Mifepristone is marketed, in combination with misoprostol in Canada, as Mifegymiso[®], for the
17 indication of early medical abortion (one mifepristone 200 mg tablet and four misoprostol 200
18 mcg tablets). Mifepristone is used in more than 60 countries worldwide, is on the World Health
19 Organization list of essential medicines,[27] and has an excellent safety and effectiveness
20 profile as illustrated by administration to millions of women.[28–30]
21 Mifepristone provided in primary care settings is an innovative health service delivery model for
22 medical abortion. Until now, high-income country drug regulators have placed a range of
23 unique restrictions on the distribution and administration of mifepristone,[31,32] which has

1 largely limited provision of mifepristone to abortion providers in existing urban sexual and
 2 reproductive specific health facilities, that generally provide a high volume of surgical abortion
 3 services. In Australia, for instance, mifepristone by prescription that could be filled in a
 4 pharmacy was approved in 2012, but restrictions including provider and pharmacist training
 5 and certification limited initial uptake.[33] Similar restrictions were approved in Canada as part
 6 of the initial 2015 drug approval [9,17] (see Table 1).

8 **Table 1. Canadian Restrictions for Prescribing and Dispensing Mifepristone, July 2015**

Mandatory training for prescribers and pharmacists
Mandatory registration of prescribers and pharmacists with the manufacturer
Physician-only prescribing
Physician-only dispensing direct to the patient
Mandatory use of a manufacturer-provided consent form to be signed by the patient
Physician's observation of mifepristone ingestion

9
 10 Nonetheless, mifepristone abortion delivered in primary care settings by physicians and other
 11 skilled providers has been shown to be safe and effective.[12–14,28–30,34–36] In this context,
 12 we will seek to identify, initiate, and evaluate two implementation strategies that aim to
 13 overcome clinical, health service, and system challenges faced by clinicians adopting
 14 mifepristone medical abortion practice, particularly in primary care settings.

15
 16 **Implementation Strategy**

17 *A. Community of Practice platform*

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2
3 1 The central iKT strategy for this study is the collaborative interdisciplinary community of
4
5 2 practice – with the objective of sharing real-time clinical best practices, disseminating
6
7 3 information, advocating for and sharing policy changes to support timely and equitable access
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9 4 to mifepristone medical abortion by bringing together health providers, policy, and system
10
11 5 partners and our team of investigators and knowledge users. As Wenger explains,
12
13 6 “communities of practice are groups of people who share a concern, a set of problems, or a
14
15 7 passion about a topic, and who deepen their knowledge and expertise in this area by
16
17 8 interacting on an ongoing basis.”[37] The principle underlying communities of practice is that
18
19 9 practitioners advance their skills and knowledge both on the job and off work through *social*
20
21 10 *relationships*, rather than in classroom settings.[38] Social learning through a social structure
22
23 11 facilitates learning a practice through interactions, relationships, and sharing of resources and
24
25 12 solutions to build skills and knowledge. The rationale for including a community of practice
26
27 13 strategy was derived from the international literature on mifepristone practice in other high-
28
29 14 income nations, and was reinforced by findings from focus group research involving Canadian
30
31 15 physicians in which we developed and pilot tested the survey for this present study.[39,40]
32
33 16 We created a community of practice platform for the present study: the Canadian Abortion
34
35 17 Providers Support-Communauté de pratique canadienne sur l’avortement (CAPS-CPCA), an
36
37 18 internet accessible web site. It is designed to encourage multi-directional interaction of
38
39 19 healthcare professionals engaging in mifepristone practice with the experts and researchers
40
41 20 and will promote sharing best practice resources and facilitators. Interactive pages (“Ask an
42
43 21 Expert” and “Share a Case”) will promote asynchronized dialogue while resource pages
44
45 22 (“What’s happening in your province?”, “Locate a Pharmacy,” and “Helpful Resources”) will
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47 23 provide practical, local knowledge for members to apply in their individual practices. Members
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1 will be provided news updates on topics relevant to mifepristone practice, such as practice
2 tools, billing codes, regulation changes, and universal coverage.

3 *B. Integrated knowledge translation activities*

4 We follow the Canadian Institutes of Health Research definition of iKT, which describes it as “an
5 approach to doing research that applies the principles of knowledge translation to the entire
6 research process. The central premise of iKT is that involving knowledge users as equal partners
7 alongside researchers will lead to research that is more relevant to, and more likely to be useful
8 to, the knowledge users.”[18] We anticipate that our iKT approach will more rapidly mitigate
9 barriers and improve equitable access to abortion, with the assumption that stakeholders will
10 be more likely to accept and act on co-produced knowledge.[41,42] Using iKT processes to
11 achieve particular objectives focuses researchers and stakeholders on the same page to create
12 shared meaning, identify facilitators and barriers to the process of evidence implementation,
13 and co-create empirical knowledge to support health service planning. As a result, the
14 partnership process itself is instrumental in implementing sustainable change.[43] The effect of
15 iKT activities on research outcomes such as practice and policy change is still unclear, largely
16 due to inconsistent description, evaluation, and reporting in most studies.[44] However, there
17 is emerging evidence from Canada and the UK that iKT may lead to increased capacity to use
18 research among knowledge users, greater relevance and usefulness of research evidence to
19 knowledge users, increased use of research in decision-making, and improved patient and
20 health system outcomes.[45]

21 In the context of this study our iKT activities are diverse, responsive, and tailored to the needs
22 and contexts of stakeholders. These activities include but are not limited to: invited evidence
23 briefs, face to face meetings, media interviews, minutes documenting interactions within

1
2
3 1 monthly multidisciplinary team video-conferenced meetings and at annual national
4
5 2 collaboration meetings. Face-to-face interaction will optimize relationships, apprise knowledge
6
7 3 users of progress, and ensure the flow of ideas. Both clinician and policy maker knowledge
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9 4 users will be welcomed to join our monthly meetings, to contribute actively to the evaluation
10
11 5 and interpretation of data collected each month, and to plan to address identified barriers and
12
13 6 facilitators in real time. Knowledge users may identify colleagues for face-to-face meetings
14
15 7 relevant to specific phases of the project. Our meeting agendas will address topics from policy
16
17 8 development, to education input, to practice. Our investigators and knowledge users will be
18
19 9 invited to convey results to other knowledge user organizations, such as: health professional
20
21 10 development at national, provincial, and regional health professional meetings; post-secondary
22
23 11 institution faculty providing health practitioner education programs (informing pre-licensure
24
25 12 training); provincial colleges of health professionals (informing licensure bodies); and
26
27 13 community sexual health organizations across Canada. Quarterly briefs will engage team
28
29 14 knowledge users, health professional participants, community organization partners, and
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31 15 appropriate colleagues and collaborators identified by them, to encourage informed updated
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33 16 approaches.
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45 **Patient and Public Involvement**

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47 19 Patient partners were involved in co-designing the research questions and outcome measures.
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49 20 Patients and representatives from community-based sexual health organizations across Canada
50
51 21 were engaged through a face-to-face symposium in October 2015 and participated in regular
52
53 22 monthly video-conference meetings. Through deliberation and dialogue, they discussed with
54
55 23 the research team their perspectives on priority areas of study, and recruitment strategies for
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1 participants in rural and remote communities. As potential participants did not include patients
2 or members of the public, only health care professionals were asked to assess the burden of the
3 intervention and the time required to participate in research. Representatives from community-
4 based sexual health organizations reviewed and provided feedback on our finalized research
5 questions and design during the monthly videoconferences. They will be involved in
6 disseminating study results to the public through infographics shared in presentations and by
7 email with their networks.

9 **Setting and Participants**

10 This national study will explore mifepristone medical abortion in the context of primary care
11 settings. In Canada, 85% of Canadians have a regular medical doctor [46] and provision of
12 abortion by primary care providers is highly acceptable – the majority of surgical abortion
13 providers are family physicians.[47] For the purposes of this study we define primary care
14 settings as any service delivery environment where a prescriber may provide primary care,
15 including hospitals, abortion facilities, health centres, and private physician offices. Consistent
16 with the initial Health Canada approval of the medication, we defined prescriber as a certified
17 physician.

18 *Group A: Healthcare Professionals engaged with mifepristone practice:*

19 Survey and interview enrollment for part 1 of the study is offered to all certified prescribers and
20 pharmacists who intend to begin practice with mifepristone within the first year they are
21 eligible to do so. As our past studies among abortion providers have recruited ~90% of eligible
22 participants,[3,46–48] we anticipate the cohort will be highly representative. We estimate up to
23 1,000 healthcare professionals would engage in mifepristone practice within the first year.

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3 1 *Group B: Community of practice platform*

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5
6 2 The community of practice website will engage a wide range of interdisciplinary licensed
7
8 3 healthcare professionals who are interested in providing mifepristone care, including certified
9
10 4 prescribers and pharmacists. We will capture data from all members who enroll in the platform.

11
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13 5 *Group C: Health policy, system, and services decision makers and non-mifepristone providing*
14
15 6 *health care professionals:*

16
17
18 7 We will recruit influential decision makers across Canada who have the potential to impact
19
20 8 health policy, system, and service factors found to be important determinants of
21
22 9 implementation, as they are identified throughout the study. We will also engage health care
23
24 10 professionals who do not choose to provide mifepristone, particularly if they are providing
25
26 11 similar women's health services, using key informant interviews or focus groups. These non-
27
28 12 mifepristone providing health care professionals represent a population with an important
29
30 13 viewpoint to assist us to understand barriers.

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35 14 *Group D: Knowledge users engaged with iKT activities*

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38 15 Knowledge users have been involved in the research process from idea inception (questions
39
40 16 and design elements posed by our knowledge user collaborators) to the development of this
41
42 17 study to delineate facilitators and inform changes to the health system to facilitate
43
44 18 implementation. They include health policy and practice decision-makers at the regional,
45
46 19 provincial, and federal levels. We will invite these individuals and organizations to participate in
47
48 20 data collection for the evaluation of our iKT activities.

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54 22 **Outcomes**

1 We will evaluate the effect of our mixed methods, iKT implementation study on health system
2 and policy decision-making, regulatory changes, and upon uptake of mifepristone. We will
3 assess the uptake of mifepristone medical abortion by measuring the proportion of certified
4 physicians and pharmacists (per professional category) who are providing mifepristone care 1-
5 year post enrollment, at least once in the most recent 3 months in which they were in their
6 usual practice. In addition, we will explore: 1) the number of communities or populations that
7 have access to abortion compared to baseline; 2) the proportion of certified healthcare
8 professionals providing mifepristone at 6 months post enrollment (by professional category and
9 by location, e.g. urban vs rural, province); and 3) the volume of service provision at 1-year and
10 correlates, particularly compared to baseline distribution of abortion service providers and
11 facilities.

12 Additionally, based on our mixed methods analysis, we will develop an empirically-driven
13 framework of diffusion of innovation in a health system, that builds on and extends Greenhagh
14 *et al.*'s theory.

15 We will also be flexible to identify and collect outcomes of interest to our policy maker
16 stakeholders, as part of our ongoing iKT approach.

18 **Data Collection**

19 Our project incorporates five key inter-related evaluation components (Figure 2):

- 20 1. Continuous iKT activity interactions with key knowledge users and decision makers in
21 health policy, health system, health professional organization and regulation, and health
22 services delivery contexts; and

- 1
- 2
- 3 1 2. Evaluation of iKT interactions with knowledge users and decision makers, and relation to
- 4
- 5
- 6 2 any associated health policy, system, and service changes during the project.
- 7
- 8 3 3. Surveys and interviews among healthcare professionals who are interested in providing
- 9
- 10 4 mifepristone care;
- 11
- 12
- 13 5 4. Quantitative and qualitative data collected from interactions on a community of
- 14
- 15 6 practice support platform for healthcare professionals, the Canadian Abortion Providers
- 16
- 17 7 Support- Communaute de pratique Canadienne sur l'avortement (CAPS-CPCA) platform;
- 18
- 19
- 20 8 5. Interviews with key health system and services decision makers and informants, and
- 21
- 22 9 with healthcare professionals who are engaged with women's health but choose not to
- 23
- 24 10 provide mifepristone care;
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- 28 11
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30 12 **Figure 2. Canada's Mifepristone Implementation Study, components of study design**

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35 14 **1. Surveys:** We will distribute questionnaires among *Healthcare Professionals engaged with*

36

37 15 *mifepristone practice* (Group A) to measure factors related to adoption of mifepristone

38

39 16 abortion into practice [49,50] *and* to explore constructs for diffusion of innovation. As

40

41 17 appropriate, components of either or both sections will be administered at baseline, 6 and 12

42

43 18 months. Participant demographics will be collected at baseline.

44

45

46

47 19 **Section 1** Component surveys for the constructs of Diffusion of Innovation will be

48

49 20 administered. Constructs that are expected to change over time will be examined at

50

51 21 baseline and later time points (e.g., task issues, skills); constructs relating to factors

52

53 22 unknown at baseline (e.g., characteristics of diffusion) will be collected at 12 months.

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3 **Section 2** A 12-item questionnaire adapted from Légaré's validated instrument [51]

4
5 based on the Godin framework will be administered at baseline, 6, and 12 months.

6
7
8 The survey instruments used in this study were developed and tested following methods
9
10 described elsewhere. [51,52] Additionally, we conducted a rigorous process to develop and test
11
12 the surveys used to measure implementation of mifepristone.[40] The process for adapting and
13
14 pilot testing the surveys for the present study is described in a forthcoming publication.
15
16

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18
19
20 **2. Interviews:** Semi-structured interviews will be conducted with a purposeful sample of the
21
22 certified physicians and pharmacists of Group A, selected to represent diversity of:
23
24 demographic characteristics (e.g. gender, age, profession); factors related to adoption and
25
26 diffusion of mifepristone practice (such as previous abortion practice and rural vs. urban
27
28 location); and positive and negative experiences of abortion practice within 1-year post-training
29
30 (to investigate the factors that affect implementation). Recruitment will be facilitated via the
31
32 online survey. All healthcare professionals enrolled in the broader study will be asked, upon
33
34 completing the survey, if they would like to be contacted for a follow-up interview. Interested
35
36 and eligible physician and pharmacist certificants will be contacted to arrange a follow-up
37
38 interview in person or by phone. No interview participants will be recruited via Group B, the
39
40 Community of Practice, although certificants from Group A may also be members of the
41
42 Community.
43
44

45
46
47 Health policy, system, and services decision makers and non-mifepristone providing health care
48
49 professionals (Group C) and stakeholders involved in our iKT activities (Group D) will be
50
51 purposefully sampled based on pre-identified factors [49,53] (e.g. profession, previous
52
53 experience in abortion policy development or service provision, number of years as a
54
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1
2
3 1 knowledge user with the research team) and invited to participate in an interview. Group C
4
5 2 participants will be invited via third party recruitment with the assistance of the study's
6
7
8 3 knowledge user partners. Group D participants will be invited by email to participate in an
9
10 4 interview with our research team's implementation scientist. As categories emerge from
11
12 5 analysis of transcripts, theoretical sampling will be conducted to pursue emerging themes
13
14 6 related to policy, system, and/or service factors that influence implementation.
15
16
17 7 Interview questions will be theoretically informed by Diffusion of Innovation constructs, and
18
19 8 Cook *et al.*'s interview guide [22] will be pilot tested with a panel of researchers and clinicians
20
21 9 prior to data collection. Interviews will be conducted until we achieve saturation in our data
22
23 10 collection, sampling, and analysis.[54] In our data collection we will seek "informational
24
25 11 redundancy" [55] (new data repeat what was expressed in previous data). We will recruit
26
27 12 participants until no new themes or codes are identified in analysis and we have sufficient data
28
29 13 to illustrate the core constructs of Diffusion of Innovation theory. We will also seek to recruit
30
31 14 participants until our data sufficiently represents a range of the pre-identified factors from our
32
33 15 purposeful sampling strategies.
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42 17 **3. Data Collection through the Community of Practice:** Data from the community of practice
43
44 18 platform will include reports of barriers and facilitators; responses to iterative 1-question polls
45
46 19 (based on surveys); questions to experts and participant usage statistics. Relationships within
47
48 20 the community of practice and with the research team will enable identification of challenges,
49
50 21 which will be shared with knowledge users via the iKT activities listed below.
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57 23 **4. Evaluation of Integrated Knowledge Translation**

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1 To capture and understand the effectiveness of iKT strategies we will document our activities
2 using the Workgroup for Intervention Development and Evaluation Research (WIDER) reporting
3 checklist [56] as recommended by Gagliardi et al.[44] Checklist constructs include: the goal of
4 the activity and iKT partnership, mode of delivery, duration, frequency, participants, and
5 personnel. We will also document funding source, who initiated the activity, and the theory
6 underpinning the activity. Semi-structured interviews with stakeholders, interactions on the
7 Community of Practice platform, and health system, policy, and service changes occurring in
8 real time from our correspondence with knowledge users and decision makers will help us
9 document the effect of our iKT strategies. As described above, these activities will be diverse
10 and responsive to our knowledge user audiences and may include invited evidence briefs,
11 quarterly briefs, face to face meetings, email and phone communication, media interviews,
12 newsletters, and minutes of monthly videoconferences.

13

14 **ANALYSIS**

15 **1. Quantitative Data**

16 Survey responses will be summarized descriptively over the entire sample. Stratified analysis
17 will be performed for key determinants (i.e., Federal, Provincial, or Local according to the
18 issue). For provider characteristics we will collect data on age, gender, rural vs urban setting,
19 professional role (overall and by specialty), previous abortion provision, and independent
20 practice vs working in a setting with two or more abortion providers. In light of Quebec's well-
21 developed support for rural and remote providers,[48,57] we will perform a two-way
22 stratification by a) Quebec vs the rest of Canada and b) rural/urban status. Additionally,
23 location data will be collected on all participants to inform geo-mapping analyses on the

1
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3 1 emergence and diffusion of mifepristone practice (and the sub-groups by practitioner and with
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5
6 2 relation to provincial, national, or regional policies, systems, and service structures) throughout
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8 3 Canada. We will analyze interactions of factors using multivariable logistic regression for binary
9
10 4 (e.g. provision of mifepristone) and ordinal (e.g. barriers and facilitators) outcomes, and linear
11
12
13 5 multiple regression for volume of service. Emerging results will be used to inform iKT
14
15
16 6 interactions throughout the project.

17
18 7 Following Morse's guidance, our mixed methods design is quantitatively driven with a
19
20 8 simultaneous qualitative component.[58] Our survey and CAPS analysis will inform the
21
22
23 9 development of probing questions to ask during interviews. Analysis will be simultaneous using
24
25 10 constant comparison methods; qualitative results will be used to enhance description of
26
27
28 11 quantitative results and to corroborate knowledge from our different data sources to clarify key
29
30 12 barriers and facilitators.

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35 14 **2. Qualitative Data**

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37 15 Semi-structured interviews, open-ended survey questions, and CAPS website posted
38
39
40 16 discussions will be subjected to thematic analysis [59] by two qualitatively-trained
41
42
43 17 implementation scientists following confidential transcription. Analysis of qualitative data will
44
45 18 involve these iterative, concurrent steps:

- 46
47 19 1) Developing a codebook by identifying contextual codes related to the research
48
49
50 20 objective (identified inductively from the participant data). The two researchers will
51
52 21 first code a sample of transcripts independently and compare their results to ensure
53
54
55 22 accurate interpretation of the data. Discrepancies will be resolved through
56
57 23 discussion with a third researcher.

1
2
3 1 2) Identifying individual, organizational, and system processes (including patterns,
4
5
6 2 relationships, and interactions) between the codes.

7
8 3 3) Organizing the processes into a theoretical framework informed by Diffusion of
9
10 4 Innovation constructs. Relevant domains for implementation will be identified
11
12 5 through research team discussion and consensus.

13
14
15 6 4) Writing the analysis into a descriptive, explanatory narrative that illuminates the
16
17 7 barriers and facilitators to implementation of mifepristone abortion practice.

18
19
20 8 We will test and extend the theory of Diffusion of Innovation. We will consider the frequency of
21
22 9 constructs across the data, presence of conflicting constructs, and perceived relevance of the
23
24 10 constructs on implementation behaviour. Emerging results will be used to inform iKT
25
26 11 interactions throughout the project to identify and mitigate addressable barriers.
27
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30 12 31 32 13 **3. Analysis of Integrated Knowledge Translation Activities Data**

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34
35 14 We will analyse the iKT activity and outcome data, evaluating alignment with theoretical model
36
37 15 constructs and addressable barriers identified through the research activities. Qualitative
38
39 16 thematic analysis [59] of stakeholder interviews will explore health system and policy factors
40
41 17 that influence implementation at regional, provincial, and federal levels, as well as the impact
42
43 18 of iKT activities on implementation of mifepristone in primary care. As our additional iKT
44
45 19 strategies will be emergent, dynamic, and chosen in response to knowledge user and
46
47 20 stakeholder need, we will also measure the impact of additional iKT strategies using
48
49 21 appropriate methods and outcomes, selection of which will be guided by the Canadian
50
51 22 Academy of Health Sciences Impact Framework.[60] All interactions collected will be compared
52
53 23 with any subsequent positive, negative, or null changes to health system factors that influence
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1 implementation of mifepristone. The mechanisms related to any iKT activity will be delineated
2 to assign scaled values for: the impetus (i.e., knowledge user, researcher, media/public); the
3 activity; the participants (categorized as per stakeholder groups); results; and an assignment of
4 an impact score for the effectiveness of the activity to contribute to changes in health policy,
5 system or service delivery advancing mifepristone care.

7 **ETHICS AND DISSEMINATION**

8 Ethical approval was obtained from the University of British Columbia Children's and Women's
9 Hospital Research Ethics Review Board (H16-01006) prior to enrollment of participants. All
10 participants in this study will participate in full informed consent. For survey participants,
11 completion and submission of the survey will constitute implied consent; for interview
12 participants, a signed consent form will be required prior to participation.

14 **Dissemination plan**

15 The study commenced on January 1, 2017 and its expected completion date is January 1, 2020.
16 Full publication of the work will be sought in an international peer-reviewed journal. Findings
17 will be disseminated to research participants through newsletters and media interviews, and to
18 policy makers through invited evidence briefs, and face to face presentations.

20 **DISCUSSION**

21 Knowledge and system improvements generated by this project have the potential to increase
22 the proportion of all abortions that are provided medically. In turn, this could:

- 23 • Reduce need and systems costs for surgical abortion;

- 1 • Increase delivery of services closer to home, reducing travel and wait times;
- 2 • Increase delivery of services by the primary care provider, decreasing the need for
- 3 referrals;
- 4 • Increase abortion safety, as medical abortion can be provided at the earliest and safest
- 5 stages of pregnancy;
- 6 • Increase confidentiality and reduce the need for patients and health care providers to
- 7 face interactions with protesters;
- 8 • Benefit hospitals by relieving pressure on operating room time and wait lists, while
- 9 reducing stigma reported by abortion providers working in operating room settings.

10
11 The proposed timely research, undertaken by our well-established cross-sectoral national
12 network, the Contraception & Abortion Research Team-Groupe de recherche sur l'avortement
13 et la contraception (CART-GRAC),[53,61,62] will identify the determinants of uptake of medical
14 abortion as this health service innovation is implemented in Canada. We aim to understand,
15 and in real time to address, barriers and facilitators to adoption of this new clinical practice. In
16 addition, we have planned separate studies to assess health outcomes and costs of
17 mifepristone using linked administrative datasets, as well as investigate the role of nurse
18 practitioners and registered midwives in the provision of medical abortion in Canada.
19 Knowledge about the effect of the full range of health policy, system and service determinants
20 on access to mifepristone abortion is needed to realize the potential to increase equitable, safe,
21 confidential abortion care closer to home for women throughout Canada. Findings also will
22 contribute to understanding the mechanisms of iKT relationships and activities that have a
23 meaningful effect on uptake of evidence into policy and practice.

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13 5

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19 **AUTHORS' CONTRIBUTIONS**

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21 8 WVN, EG and SD developed the study concept and approach with input from all co-authors.
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24 9 WVN wrote the first draft of the manuscript. SM significantly contributed to the design of iKT
25
26 10 approach and qualitative interviews, and led all manuscript revisions. EG, SD and RR
27
28 11 significantly contributed to the survey design and WVN, SD and EG to the structure and content
29
30 12 of the Community of Practice platform. TK contributed to the design of the iKT approach. JAS
31
32 13 led the design of the pharmacist recruitment and data collection. MB, CD, RR, AW and MSW
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34 14 contributed to study design and practitioner support elements. All authors contributed to
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36 15 manuscript revisions and reviewed and approved the final manuscript.
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44 **FUNDING STATEMENT**

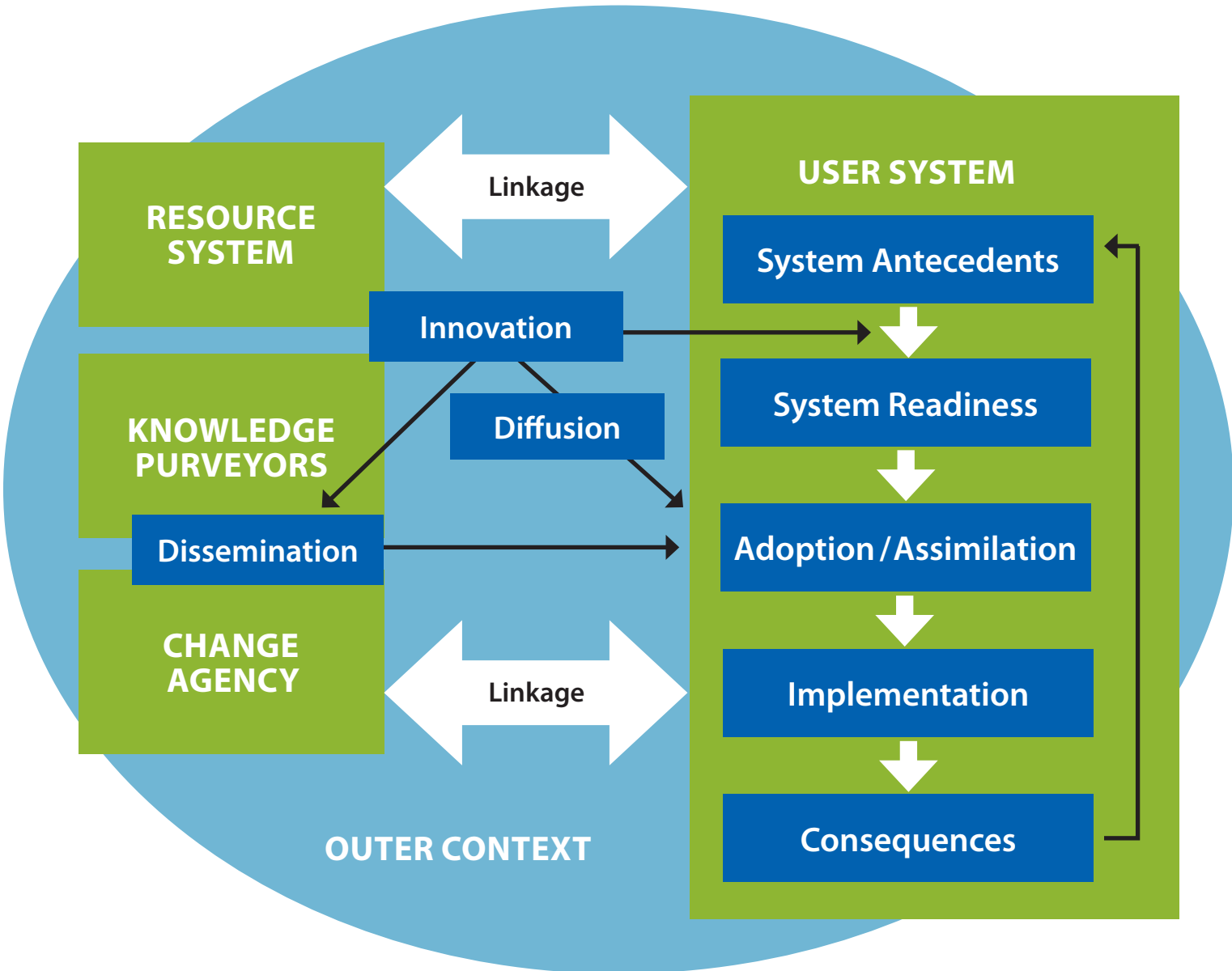
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18 7 **COMPETING INTERESTS STATEMENT**

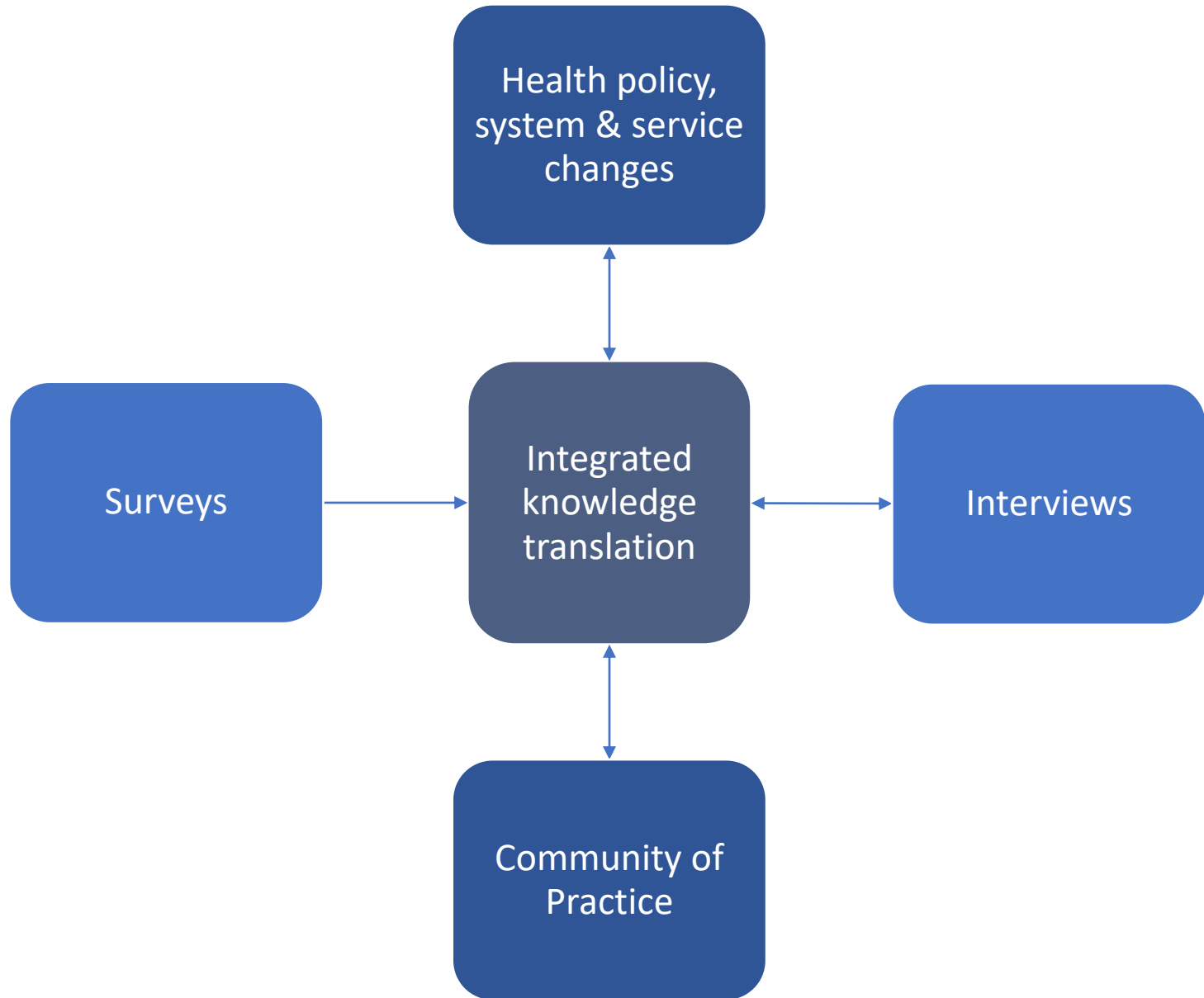
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20 8 The authors declare that they have no competing interests.
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A Conceptual Model of Diffusion of Innovations in Health Service Delivery and Organizations, adapted from Greenhalgh et al. (2004)

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