GigaScience

A haplotype-resolved draft genome of the European sardine (Sardina pilchardus) --Manuscript Draft--

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Abstract:	Background: The European sardine (Sardina pilchardus Walbaum, 1792) has a high cultural and economic importance throughout its distribution. Monitoring studies of sardine populations report an alarming decrease in stocks due to overfishing and environmental change, which has resulted in historically low captures along the Iberian Atlantic coast. Consequently, there is an urgent need to better understand the causal factors of this continuing decrease in the sardine stock. Important biological and ecological features such as levels of population diversity, structure, and migratory patterns can be addressed with the development and use of genomics resources. Findings: The sardine genome of a single female individual was sequenced using Illumina HiSeq X Ten 10X Genomics linked-reads generating 113.8 Gb of data. Three draft genomes were assembled: two haploid genomes with a total size of 935 Mbp (N50 103Kb) each, and a consensus genome with a total size of 950 Mbp (N50 97Kb). The genome completeness assessment captured 84% of Actinopterygii Benchmarking Universal Single-Copy Orthologs. To obtain a more complete analysis, the transcriptomes of eleven tissues were sequenced and used to aid the functional annotation of the genome, resulting in 40 777 genes predicted. Variant calling on nearly half of the haplotype genome resulted in the identification of more than 2.3 million phased SNPs with heterozygous loci. Conclusions: A draft genome was obtained with the 10X Genomics linked-reads technology, despite a high level of sequence repeats and heterozygosity that are expected genome characteristics of a wild sardine. The reference sardine genome and respective variant data are a cornerstone resource of ongoing population genomics studies to be integrated into future sardine stock assessment modelling to better manage this valuable resource.			
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database (UniProtKB, RRID:SCR_004426) [35]. After the five Maker runs the selected 40 777 genes models are the ab initio predictions supported by the transcriptome and proteome evidence."

#4: There is no mention of how evidence such as transcriptome evidence from the sardine or other species was used to annotate via MAKER.

Reply 4: The use of sardine transcriptome is now better explained in the maker workflow description (see above reply 3).

Other species were used in the annotation for protein evidence, while for transcriptome evidence we used solely the transcriptome from the sardine. The transcriptome generated was quite extensive and representative of the adult stage of the sardine.

#5: In addition, it is stated in line 183 that 17,199 (65%) proteins received functional annotation. This seem like low efficiency as over a third of potential genes remain unannotated even though there is a wealth of protein sequence data available from fish genomes. This could be due to gene prediction calling a large number of false positives, but it is hard to interperet based on the brief explanation of a custom pipeline.

Reply 5: We have revised the annotation procedure using the Maker annotation pipeline. We did an extra Maker iterative run (Protein2genome) leading to an improved genome annotation with more gene models and with better AED score (median 0.16, data not shown). With this improvement we are now able to functionally annotate 95.3% of the predicted gene coding proteins. Many of the false positive predicted genes were eliminated because now we have the ab initio predictions supported by both protein and transcriptome evidences.

#6: There are other unjustified cuttoffs such as the fact that only half of the genome was used for some analyses (line 190).

Reply 6: The reason for the cuttoff is that the LongRanger software has a maximum input of 1000 scaffolds as reference genome. We have rewritten the sentence (line 261):

"FASTQ files were processed using the 10x Genomics LongRanger v2.2.2 pipeline [41] with a maximum input limit of one thousand scaffolds, defined as reference genome, and representing about half of the genome size (488.5 Mb)."

#7: The authors mention that the genome contains high heterozygosity, but offer no point of reference or comparison to other species so that it is demonstrated to be high. Reply 7: A sentence addressing this point was added to the manuscript line 274: "This high SNP heterozygosity (1/206), observed solely in the comparison of the phased alleles, is higher than the average nucleotide diversity of the previously reported marine fish of wild populations: 1/390 in yellow drum [44], 1/309 in herring [45], 1/435 in coelacanth [46], 1/500 in cod [47] and 1/700 in stickleback [48]."

#8: Lastly, there is little discussion about the transcriptomes and how they were used for the genome analyses.

Reply 8: The transcriptome was used for the genome annotation and is now better described and discussed (reply 3).

In addition, the results of the UTR gene prediction based on the transcriptomes is now presented in the following added sentence (line 225): "Based on the transcriptomic evidence, 12 761 gene models were annotated with untranslated regions (UTR) features, more specifically 9 486 gene models with either 5' or 3' UTR and 3 275 gene models with both UTR features."

9: This is also an awesome resource that is established by this study, but it needs more attention in the manuscript. A commented manuscript is attached. Reply 9: The comments in the manuscript have all been dealt with and corrections made:

PDF-# 9.1: this sentence is a bit unclear. Regarding previous sentence: "A VCF file was obtained containing 2,369,617 filtered SNPs (Additional file 1), in concordance with the estimated mean distance between heterozygous SNPs in the whole genome of 197 bp, by the Supernova input report."

Reply 9.1: This sentence was rewritten for clarity (line 270): "A VCF file was obtained containing 2 369 617 filtered SNPs (Additional file 1) resulting in a mean distance

between heterozygous phased SNPs of 206 bp. Similar results were obtained in the Supernova input report estimation (Table 1) of mean distance between heterozygous SNPs in the whole genome of 197 bp."

PDF-# 9.2: This custom pipeline needs elaboration and description. It is a black box. For example, how was protein homology assessed? What did you use as evidence for annotation? sardine transcriptomes? proteins from other fishes? Reply 9.2: see replies 3 and 4.

PDF-# 9.3: This seems like a low number, and should be addressed in the discussion. What about the other 35%? It seems like the ab initio gene prediction might be calling a lot of false positives. However, it is difficult to see how evidence was used in the pipeline to identify protein coding genes. Reply 9.3: see replies 3 and 4.

PDF-# 9.4: how were they asses with TransRate?

Reply 9.4: The following was added (line 293): "...with read evidence for assembly optimization, by specifying the contigs fasta file and respective left and right edited reads to be mapped."

PDF-# 9.5: This should be described in the above paragraph. Regarding the information: "... including 11 tissue-specific assemblies and a mulit-tissue assembly." Reply 9.5: The sentence in the previous paragraph was edited to: "The same parameters were used for each of the 11 tissue-specific de novo assemblies."

PDF-# 9.6: why such a low number? Regarding "Of the 170,478 transcripts contigs, 27,078 (16%) were inferred to ORF protein sequences."

Reply 9.6: The values could be explained based on several reasons: 1) the de novo contigs may represent all types of expression products, such as non-coding RNA, by products of mRNA processing (eg, intron cleavage) or even artefacts of the de novo assembly. From our experience in transcriptome assembly, the bigger the input of RNAseq reads (553 M edited reads) the higher the number of assembled contigs (170 478) that contain non-coding products. The ORF number (27 078) is closer to the expected amount of coding expression products.

PDF-# 9.7: again why such a reduction in confirmed transcripts? Regarding "Query of SwissProt (e-value cutoff of 1e-5) via blastx of total contigs resulted in 43,458 (26%) annotated transcripts."

Reply 9.7: see reply 9.6.

PDF-# 9.8: how do we know the heterozygosity is high? What are we comparing it to? Reply 9.8: A sentence was added to address this point (line 275): "This high SNP heterozygosity (1/206), observed solely in the comparison of the phased alleles, is higher than the average nucleotide diversity of the previously reported marine fish of wild populations: 1/390 in yellow drum [44], 1/309 in herring [45], 1/435 in coelacanth [46], 1/500 in cod [47] and 1/700 in stickleback [48]."

10: Figure 1 is also blurry and it is difficult to see the head region of the fish. Reply 10: We have replaced figure 1.

11: Overall, more detail and justification is needed for methods and results, and the study would benefit by a comparison or the use of available data from other fish genomes. If these changes are implemented, the study would provide an excellent resource for a valuable fishery.

Reply 11: All suggested changes have been made. We thank the reviewer for valuable remarks which we greatly improved the manuscript.

Reviewer #2: The authors of this manuscript report the sequencing of the Europe sardine genome and transcriptome data of selected tissues. Although the obtained resources are novel and valuable, the manuscript does not provide sufficient data to validate their reliability and utility.

#12: The 'Conclusion' part of the Abstract does not provide any conclusion from this study.

Reply 12: The abstract has been modified to address this remark: "A draft genome was obtained with the 10X Genomics linked-reads technology, despite a high level of sequence repeats and heterozygosity that are expected genome characteristics of a wild sardine. The reference sardine genome and respective variant data are a cornerstone resource of ongoing population genomics studies to be integrated into future sardine stock assessment modelling to better manage this valuable resource."

13: The epithet of the species name in the title ('Pilchardus') should not be capitalized.

Reply 13: This typo has been corrected.

14: In Abstract: 'Two haploid and a consensus draft genomes were assembled, with a total size of 935 Mbp (N50 103 Kb) and 950Mbp (N50 97 Kb), respectively.' - it is confusing to distinguish which length stats is applied to which genome assembly, in this sentence.

Reply 14: This sentence has now been rephrased: "Three draft genomes were assembled: two haploid genomes with a total size of 935 Mbp (N50 103Kb) each, and a consensus genome with a total size of 950 Mbp (N50 97Kb)."

15: In the public database NCBI Assembly, I have found two genome assemblies for this species, whose IDs are SP_G and UP_Spi. It is not clear to me which of these corresponds to the Illumina-based or the Chromium-based assembly in the manuscript. The authors need to sort out this problem and present their correspondences in a more clear-cut way.

Reply 15: We submitted our assemblies to the ENA archive project PRJEB27990, with the accession number of the three assemblies GCA_900499035.1 (consensus assembly), UOTT01000000 (haplotype1), and UOTU01000000 (haplotype2). The consensus assembly (SP_G) the reviewer accessed in the NCBI public database was synchronized automatically with ENA. The UP_Spi is a genome draft assembly submitted soon after from another study by other authors (Machado et al, 2018). All ID accessions are now clearly described in the manuscript. At the time of our manuscript submission neither the other genome (UP_Spi) nor the corresponding publication was available. Now we cite and compare the assemblies from the two studies (Table 2).

16: The composition of the two genome assemblies in NCBI Assembly differs particularly in the length of the shortest sequence (200bp vs 1000bp) which can largely affect other length-based metrics, including the N50 scaffold length. I wonder what the authors' policy behind this variable length cut-off was, and also how they describe it in the manuscript. If the authors did not have any coherent policy, they should reconsider this point and revise the manuscript and the genome assemblies in the NCBI database.

Reply 16: Only the consensus assembly of our study is present in NCBI, no filtering was performed on the contigs/scaffolds to inflate the N50. The Supernova default was 1000bp contig minimum size.

17: Also, in the genome assemblies available at NCBI Assembly, I observed a weird distribution of the lengths of 'N' tracts (stretches of undetermined bases) - they are all round numbers for SP_G, while 'N' tracts with the length of 20 is the majority. I wonder whether the authors noticed these, and think that it is worth reasoning possible causes. Reply 17: We did noticed such behaviour from the Supernova assembler reflected in the pseudohaplotypes output assemblies. The simple explanation is Supernova is able to estimate the gap size based on barcodes spanning the gaps, i.e gaps have linkage evidence through the barcodes linking reads to DNA molecules, and not solely gaps based on reads pairs. Further detailed explanation can be found in Supernova publication (https://www.biorxiv.org/content/early/2016/08/19/070425) in particular at "Supplemental Note 5. Supernova gap size estimation."

We now include the "N per 100Kb" in table 2 and discuss the issue starting at line 164.

18: For completeness assessment of the genome assemblies they obtained, the authors used the eukaryote ortholog set as well as the Actinopterygii ortholog set. I wonder why the former was used, instead of the vertebrate or metazoan ortholog set. Also, in describing the numbers of orthologs retrieved by BUSCO, the authors should clearly state which category, namely, complete, fragmented, or missing. Reply 18: We had used the eukaryote ortholog set as a substitution of the core genes

CEGMA representation. Following your recommendation, we now present the BUSCO results using the Metazoan ortholog set to represent the coverage of core genes. Following the BUSCO user guide, we also present the results of "actinopterygii" ortholog set as the most related lineage to the sardine. The results now include all the information requested such as complete, single copy. duplicated, fragmented and missing genes, in the following paragraph: "The genome completeness assessment was estimated with Benchmarking Universal Single-copy Orthologs (BUSCO) v3.0.1 (BUSCO, RRID:SCR_015008) [23]. The genome was queried (options -m geno -sp zebrafish) against the "metazoa.odb9" lineage set containing 978 orthologs from sixty-five eukaryotic organisms to assess the coverage of core eukaryotic genes, and against the "actinopterygii.odb9" lineage set containing 4584 orthologs from 20 different ray-finned fish species as the most taxonspecific lineage available for the sardine. Using the metazoan odb9 database, 95.4% of the genome had significant matches: 84.5% were complete genes (76.7% singlecopy genes and 9.8% duplicates) and 8.9% were fragmented genes. By contrast, using the actinopterygii odb9 database, 84.2% (76.0% complete genes and 8.2% fragmented) had a match, with 69.3% of genes occurring as single copy and 6.7% as duplicates." # 19: Because Figure 2 seems to completely rely on the tool GenomeScope, the authors should cite its source at least in its legend. Reply 19: This reference is now also added in the figure 2 legend. We thank the reviewer for valuable remarks which we greatly improved the manuscript. Additional Information: Question Response Are you submitting this manuscript to a No special series or article collection? Experimental design and statistics Yes Full details of the experimental design and statistical methods used should be given in the Methods section, as detailed in our Minimum Standards Reporting Checklist. Information essential to interpreting the data presented should be made available in the figure legends. Have you included all the information requested in your manuscript? Yes Resources A description of all resources used, including antibodies, cell lines, animals and software tools, with enough information to allow them to be uniquely identified, should be included in the Methods section. Authors are strongly encouraged to cite Research Resource Identifiers (RRIDs) for antibodies, model organisms and tools, where possible.

Have you included the information requested as detailed in our Minimum Standards Reporting Checklist?	
Availability of data and materials	Yes
All datasets and code on which the conclusions of the paper rely must be either included in your submission or deposited in publicly available repositories (where available and ethically appropriate), referencing such data using a unique identifier in the references and in the "Availability of Data and Materials" section of your manuscript.	
Have you have met the above requirement as detailed in our Minimum Standards Reporting Checklist?	

- 1 A haplotype-resolved draft genome of the European sardine (Sardina
- *pilchardus*)
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Abstract

Background: The European sardine (*Sardina pilchardus* Walbaum, 1792) has a high cultural and economic importance throughout its distribution. Monitoring studies of sardine populations report an alarming decrease in stocks due to overfishing and environmental change, which has resulted in historically low captures along the Iberian Atlantic coast. Consequently, there is an urgent need to better understand the causal factors of this continuing decrease in the sardine stock. Important biological and ecological features such as levels of population diversity, structure, and migratory patterns can be addressed with the development and use of genomics resources.

Findings: The sardine genome of a single female individual was sequenced using Illumina HiSeq X Ten 10X Genomics linked-reads generating 113.8 Gb of data. Three

 draft genomes were assembled: two haploid genomes with a total size of 935 Mbp (N50 103Kb) each, and a consensus genome with a total size of 950 Mbp (N50 97Kb). The genome completeness assessment captured 84% of Actinopterygii Benchmarking Universal Single-Copy Orthologs. To obtain a more complete analysis, the transcriptomes of eleven tissues were sequenced and used to aid the functional annotation of the genome, resulting in 40 777 genes predicted. Variant calling on nearly half of the haplotype genome resulted in the identification of more than 2.3 million phased SNPs with heterozygous loci. **Conclusions:** A draft genome was obtained with the 10X Genomics linked-reads technology, despite a high level of sequence repeats and heterozygosity that are expected genome characteristics of a wild sardine. The reference sardine genome and respective variant data are a cornerstone resource of ongoing population genomics studies to be integrated into future sardine stock assessment modelling to better manage this valuable resource.

Keywords: European sardine; Sardina; genome; transcriptome; haplotype; SNP

Data description

Background

The European sardine (*Sardina pilchardus* Walbaum, 1792) (NCBI:txid27697, Fishbase ID:1350) (Figure 1) is a small pelagic fish occurring in temperate boundary currents of the Northeast Atlantic down to Cape Verde off the west coast of Africa, and throughout the Mediterranean to the Black Sea [1]. Two subspecies are generally recognised: *Sardina pilchardus pilchardus* occupies the north-eastern Atlantic and the North Sea whereas *S. pilchardus sardina* occupies the Mediterranean and Black seas,

 and the North African coasts south to Cape Verde, with a contact zone near the Strait of Gibraltar [1, 2]. As with other members of the Clupeidae family (e.g. herring, Clupea harengus, Fishbase ID:24) and allis shad (Alosa alosa, NCBI: txid278164, Fishbase ID:101) [3], the sardine experiences strong population fluctuations in abundance, possibly reflecting environmental fluctuations, including climate change [4, 5]. The sardine is of major economic and social importance throughout its range with a reported commercial catch for 2016 of 72 183 tonnes in European waters [6]. In Portugal, the sardine is an iconic and culturally revered fish and plays a central role in tourist events, such as summer festivals, throughout the country. However, recent stock assessment data strongly suggests the Iberian sardine fisheries is under threat. A recent report by the International Council for the Exploration of the Sea [6] noted a sharp decrease in the Iberian Atlantic coast sardine stock and advised that catches in 2017 should be no more than 23 000 tonnes. The sardine fishery biomass has suffered from declining annual recruitment between 1978 and 2006, and more recently, it has fluctuated around historically low values indicating a high risk of collapse of the Iberian Atlantic stocks [6]. A number of sardine populations have been identified by morphometric methods, including as many as five populations in the north-eastern Atlantic (including the Azores), two off the Moroccan coast, and one in Senegalese waters [1, 7]. Each of these recognized sardine populations is subjected to specific climatic and oceanic conditions, mainly during larval development, which directly influence the recruitment of the sardine fisheries [4, 8, 9]. However, because of phenotypic plasticity, morphological traits are strongly influenced by environmental conditions and the underlying genetics that define those populations has proven elusive [10]. While the

recognition of subspecies and localised populations might indicate significant genetic

 structure, the large population sizes and extensive migration of sardines are likely to increase gene flow and reduce population differences, suggesting, at its most extensive, a panmictic population with little genetic differentiation within the species' range [11].

It is now well established that to fully understand the genetic basis of evolutionarily and ecologically significant traits, the gene and regulatory element composition of different individuals or populations needs to be assessed [see e.g., 12, 13]. Therefore, we provide a European sardine draft genome, providing the essential tool to assess the genetic structure of the sardine population(s) and for genetic studies of the life-history and ecological traits of this small pelagic fish, which will be instrumental for conservation and fisheries management.

Genome sequencing

Sardines were caught during commercial fishing operations in the coastal waters off Olhão, Portugal, and maintained live at the experimental fish culture facilities (EPPO) of the Portuguese Institute for the Sea and Atmosphere (IPMA), Olhão, Portugal [14]. A single adult female was anesthetised with 2-phenoxyethanol (1:250 v/v), blood was collected in a heparinized syringe, and the fish euthanized by cervical section. Eleven tissues were dissected out - gill together with branchial arch, liver, spleen, ovary, midgut, white muscle, red muscle, kidney, head kidney, brain together with pituitary, and caudal fin (including skin, scales, bone and cartilage) – into RNA*later* (Sigma-Aldrich, USA) at room temperature followed by storage at -20 °C. Fish maintenance and sample collection were carried out in accordance with the guidelines of the European Union Council (86/609/EU) and Portuguese legislation for the use of laboratory animals from the Veterinary Medicines Directorate (DGAV), the Portuguese

competent authority for the protection of animals, Ministry of Agriculture, Rural Development and Fisheries, Portugal (permit 010238 of 19/04/2016). Total RNA was extracted using a total RNA purification kit (Maxwell® 16 Total RNA Purification Kit, Promega) and digested twice with DNase (DNA-free kit, Ambion, UK). The total RNA samples where kept at -80°C until shipment to the RNAseq service provider Admera Health Co. (USA) which confirmed a RIN above 8 (Qubit Tapestation) upon arrival. The mRNA library preparation was performed with NEBNext® Poly(A) mRNA Magnetic Isolation Module kit and NEBNext® Ultra™ Directional RNA Library Prep kit for sequencing using Illumina HiSeq 4000 paired-end 150 bp cycle to generate about 596 million paired-end reads in total. The genomic DNA (gDNA) was isolated from 20 µl of fresh blood using the DNeasy blood and tissue kit (Quiagen), followed by RNase treatment according to the manufacturer's protocol. The integrity of the gDNA was confirmed using pulsed-field gel electrophoresis and showed fragment sizes largely above 50 kbp. The gDNA was stored at -20 °C before shipping to the service provider (Genome.one, Darlinghurst, Australia). Microfluidic partitioned gDNA libraries using the 10x Genomics Chromium System were made using 0.6 ng of gDNA input. Sequencing (150bp paired-end cycle) was performed in a single lane of the Illumina HiSeq X Ten instrument (Illumina, San Diego, CA, USA). Chromium library size range (580-850 bp) was determined with

Genome size estimation

polymerase chain reaction.

A total of 759 million paired-end reads were generated representing 113.8 Gb nucleotide sequences with 76.1% bases >= Q30. Raw reads were edited to trim 10X

LabChip GX Touch (PerkinElmer) and library yield (6.5-40 pM) by quantitative

29 131

30 ³¹ 132

32 33 ₃₄ 133

35 ³⁶ **134**

37 38

39 40 41 136

42 43

44 45 46 138

47 48

49 50 51 140

52 ⁵³ 141

54 55 ₅₆ 142

57 ⁵⁸ **143**

135

137

139

Genomics proprietary barcodes with a python script "filter 10xReads.py" [15] prior to kmer counting with Jellyfish v2.2.10 (Jellyfish, RRID:SCR 005491) [16]. Six hundred and seventy million edited reads (90.5 Gb) were used to obtain the frequency distribution of 23-mers. The histogram of the kmer counting distribution was plotted in GenomeScope v1.0.0 (Genoscope, RRID:SCR_002172) [17] (Figure 2) with maximum kmer coverage of 10 000 for estimation of genome size, heterozygosity and repeat content. The estimated sardine haploid genome size was 907 Mbp with a repeat content of 40.7% and a heterozygosity level of 1.43% represented in the first peak of the distribution. These high levels of heterozygosity and repeat content indicated a troublesome genome characteristic for de novo assembly.

De novo genome assembly

The de novo genome assembly was performed using the paired-end sequence reads from the partitioned library as input for the Supernova assembly algorithm v2.0.0(7fba7b4) (Supernova assembler, RRID:SCR_016756) (10x Genomics, San Francisco, CA, USA) [18]. Two haplotype-resolved genomes, SP haploid1 (ENA accession ID <u>UOTT01000000</u>) SP haploid2 (ENA accession ID and UOTU01000000), were assembled with phased scaffolds using the Supernova "mkoutput pseudohap" option. For the assembly process the Supernova run parameters for maximum reads (--maxreads) and barcode fraction (--barfrac) were set for 650M input reads and 80% of barcodes, respectively. Preliminary trials defined an optimal raw coverage of 78-fold, above the 56-fold suggested in the Supernova protocol; this reduced the problem (to some extent) of the complexity of the high repeat content (Table 1). A fraction of the 607.36 million read pairs were used after a quality control step embedded in the Supernova pipeline to remove reads that were not

barcoded, not properly paired, or low-quality. Input reads had a 138.5 bp mean length after proprietary 10X barcode trimming and a N50 of 612 per barcode/DNA molecule (Table 1).

Further scaffolding and gap closure procedures were performed with Rails

v1.2/Cobbler v0.3 pipeline script [19] to obtain the final consensus genome sequence named SP G (ENA accession ID GCA 900499035.1) using the parameters anchoring sequence length (-d 100) and minimum sequence identity (-i 0.95). Three scaffolding and gap closure procedures were performed iteratively with one haplotype of the initial assembly as the assembly per se, and previous de novo assemblies from Supernova v1.2.2, (315M/100% and 450M/80% reads/barcodes). By closing several gaps within scaffolds and merging other scaffolds into longer and fewer scaffolds (117 259), this procedure resulted into a slightly longer genome size of 949.62 Mb, which slightly deflated the scaffold N50 length to 96.6 Kb (Table 2). The assembly metrics of the three assemblies are described in Table 2 together with a recently published Illumina paired-end assembled sardine genome (UP Spi) [20]. The total assembly size of our genome (SP_G) is 950 Mb and the UP_Spi is 641 Mb (Table 2). Because the SP_G and UP Spi assembly sizes are of different orders of magnitude, in addition to N50 we present NG50 values [21] for an estimated genome size of 950 Mb (Table 2). In the SP_G assembly, 905 scaffolds (LG50) represents half of the estimated genome with an NG50 value of 96.6 Kb, in comparison to LG50 of 15 422 and NG50 of 12.6 Kb in the UP Spi assembly. The ungapped length of the SP G assembly is 828 Mb. The larger gaps of the SP_G assembly compared to the UP_Spi can be explained by the Supernova being able to estimate gap size based on the bar codes spanning the gaps, i.e. gaps have linkage evidence through the barcodes linking reads to DNA molecules and not solely gaps based on reads pairs [22]. Such gaps are reflected in

the large number of N's per 100kb in our assemblies (Table 2). The number of scaffolds in SP_G is 117 259 (largest 6.843 Mb) and in UP_Spi is 44 627 (largest 0.285 Mb).

The genome completeness assessment was estimated with Benchmarking Universal Single-copy Orthologs (BUSCO) v3.0.1 (BUSCO, RRID:SCR_015008) [23]. The genome was queried (options -m geno -sp zebrafish) against the "metazoa.odb9" lineage set containing 978 orthologs from sixty-five eukaryotic organisms to assess the coverage of core eukaryotic genes, and against the "actinopterygii.odb9" lineage set containing 4584 orthologs from 20 different ray-finned fish species as the most taxon-specific lineage available for the sardine. Using the metazoan odb9 database, 95.4% of the genome had significant matches: 84.5% were complete genes (76.7% single-copy genes and 9.8% duplicates) and 8.9% were fragmented genes. By contrast, using the actinopterygii odb9 database, 84.2% (76.0% complete genes and 8.2% fragmented) had a match, with 69.3% of genes occurring as single copy and 6.7% as duplicates.

The EMBRIC configurator service [24] was used to create a fish specific checklist (named finfish) for the submission of the sardine genome project to the European Nucleotide Archive (ENA) (European Nucleotide Archive, RRID:SCR_006515) (project accession PRJEB27990).

Repeat Content

The SP_G consensus assembly was used as a reference genome to build a *de novo* repeat library running RepeatModeler v1.0.11 (RepeatModeler, RRID:SCR_015027) [25] with default parameters. The model obtained from RepeatModeler was used, together with Dfam_consensus database v20171107 [26] and RepBase

⁵⁵ ₅₆ **215**

57 58 **216**

RepeatMasker Edition library v20170127 [27] to identify repetitive elements and low complexity sequences running RepeatMasker v4.0.7 (RepeatMasker, RRID:SCR_012954) [28]. The analysis carried out revealed that 23.33% of the assembled genome consists of repetitive elements.

Genome annotation

The Maker v2.31.10 (MAKER, RRID:SCR_005309) [29] pipeline was used iteratively (five times) to annotate the SP_G consensus genome. The annotations generated in each iteration were kept in the succeeding annotation steps and in the final General Feature Format (GFF) file. During the first Maker run the de novo transcriptome was mapped to the genome using blastn v2.7.1 (BLASTN, RRID:SCR_001598) [30] (est2genome parameter in Maker). Moreover, the repetitive elements found with RepeatMasker were used in the Maker pipeline. This initial gene models created by Maker were then used to train Hidden Markov Model (HMM) based gene predictors. The preliminary GFF file generated by this first iteration run was used as input to train SNAP v2006-07-28 [31]. Using the scripts provided directly by Maker (maker2zff) and SNAP (fathom, forge and hmm-assembler.pl) an HMM file was created and used as input for the next Maker iteration (snaphmm option in maker configuration file). For the next iteration, the gene-finding software Augustus v3.3 (Augustus, RRID:SCR_008417) [32] was self-trained running BUSCO with the specific parameter (--long), that turn on the Augustus optimization mode for self-training. The resulted predicted species model from Augustus was included in the pipeline in the third Maker run. For the fourth iteration, GeneMark-ES v4.32 (GeneMark, RRID:SCR 011930) [33], a self-training gene prediction software, was executed and the resulting HMM file was integrated into the Maker pipeline. As further evidence for the annotation, in the

last run of Maker, the genome was queried using blastx v2.7.1 (BLASTX, RRID:SCR_001653) (protein2genome parameter in Maker), against the deduced herring (GCF_000966335.1), (Clupea harengus, NCBI:txid7950, Fishbase ID:24) zebrafish (Danio rerio, NCBI:txid7955, Fishbase ID:4653) (GCF_000002035.6), blind cave fish (Astyanax mexicanus, NCBI:txid7994, Fishbase ID:2740) (GCF 000372685.2), European sardine [20] and all proteins from teleost fishes in the UniProtKB/Swiss-Prot database (UniProtKB, RRID:SCR_004426) [34]. After the five Maker runs the selected 40 777 genes models are the ab initio predictions supported by the transcriptome and proteome evidence. Based on the transcriptomic evidence, 12 761 gene models were annotated with untranslated regions (UTR) features, more specifically 9 486 gene models with either 5' or 3' UTR and 3 275 gene models with both UTR features. InterProScan v. 5.30 (InterProScan, RRID:SCR_005829) [35] and NCBI blastp v2.8.1 (BLASTP, RRID:SCR_001010) [30] were used to functionally annotate the 40 777 predicted protein coding genes. Thirty-three thousand five hundred and fifty-three (33 553) (82.3%) proteins were successfully annotated using blastp (e-value 1e-05) against the UniProtKB/Swiss-Prot database and another 5 228 were annotated using the NCBI non-redundant protein database (nr). In addition to the above, 37 075 (90.9%) proteins were successfully annotated using InterProScan with all the InterPro v72.0 (InterPro, RRID:SCR_006695) [36] databases: CATH-Gene3D (Gene3D, RRID:SCR 007672), Hamap (HAMAP, RRID:SCR 007701), PANTHER (PANTHER, RRID:SCR_004726), RRID:SCR 004869), Pfam (Pfam, PIRSF (PIRSF, RRID:SCR 003352), PRINTS (PRINTS, RRID:SCR 003412), ProDom (ProDom, RRID:SCR_006969), ProSite Patterns (PROSITE, RRID:SCR_003457), ProSite Profiles, SFLD (Structure-function linkage database, RRID:SCR_001375), SMART

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(SMART, RRID:SCR 005026), SUPERFAMILY (SUPERFAMILY, ² 243 RRID:SCR 007952), and TIGRFAM (JCVI TIGRFAMS, RRID:SCR 005493). In total, 38 880 (95.3%) of the predicted proteins received a functional annotation. The annotated genome assembly is published [37] in the wiki-style annotation portal 10 246 ORCAE [38]. **247** OrthoFinder v2.2.7 [39] was used to identify paralogy and orthology in our Swiss-prot annotated deduced proteome and in the deduced proteomes from herring, blind cave fish and zebrafish. The resulting orthogroups were plotted using ivenn (iVenn, 17 249 RRID:SCR_016343) [40] (Figure 3), where paralagous (two or more genes) and **251** singletons were identified within species specific orthogroups. The deduced ²⁴ **252** sardine proteome has 3 413 paralogous groups containing 11 406 genes, of which 31 ₂₇ 253 are sardine specific orthogroups. The amount of sardine singletons (9 856) can be ²⁹ **254** partially due to fragmented predicted genes, but can reflect also some evolutionary **255** divergence which requires further study to understand the biological relevance. In **256** total, 25 560 orthogroups containing at least a single protein were identified in sardine, ³⁶ 257 of which 12 958 orthologroups are common to all four fish species. Within the Clupeidae, the sardine and the herring share 14 780 orthogroups with 922 family-**258** ⁴¹ 259 specific orthogroups. ⁴⁵ **260** Variant calling between phased alleles

FASTQ files were processed using the 10x Genomics LongRanger v2.2.2 pipeline [41] with a maximum input limit of one thousand scaffolds, defined as reference genome, and representing about half of the genome size (488.5 Mb). The LongRanger pipeline was run with default settings, with the exception of vcmode to define the Genome Analysis Toolkit (GATK) v4.0.3.0 (GATK, RRID:SCR_001876)

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2.86 Mb and the N50 phase block was 0.476 Mb.

Single nucleotide polymorphisms (SNP's) were furthered filtered to obtain only phased and heterozygous SNP's between the two alleles with a coverage higher than 10-fold using VCFtools v0.1.16 (VCFtools, RRID:SCR_001235). A VCF file was obtained containing 2 369 617 filtered SNPs (Additional file 1) resulting in a mean distance between heterozygous phased SNPs of 206 bp. Similar results were obtained in the Supernova input report estimation (Table 1) of mean distance between heterozygous SNPs in the whole genome of 197 bp. This high SNP heterozygosity (1/206), observed solely in the comparison of the phased alleles, is higher than the average nucleotide diversity of the previously reported marine fish of wild populations: 1/390 in yellow drum [43], 1/309 in herring [44], 1/435 in coelacanth [45], 1/500 in cod [46] and 1/700 in stickleback [47].

[42] as the variant caller and the somatic parameters. The longest phase block was

De novo transcriptome assembly

(e.g. adapters) using TrimGalore v0.4.5 wrapper tool (TrimGalore, RRID:SCR_016946) [15], low-quality base trimming with Cutadapt v1.15 (cutadapt, RRID:SCR_011841) [48] and the output overall quality reports of the edited reads with FastQC v0.11.5 (FastQC, RRID:SCR_014583) [49].

The 553 million edited paired-end reads were *de novo* assembled as a multi-tissue assembly using Trinity v2.5.1 (Trinity, RRID:SCR_013048) [50] with a minimum contig length of 200 bp, 50x coverage read depth normalization, and RF strand-specific read

orientation. The same parameters were used for each of the 11 tissue specific de novo

The 596 million paired-end raw transcriptomic reads were edited for contamination

assemblies. The genome and transcriptome assemblies were conducted on the Portuguese National Distributed Computing Infrastructure [49].

The twelve de novo transcriptome assemblies (Table 3) were each quality assessed using TransRate v1.0.3 [51] with read evidence for assembly optimization, by specifying the contigs fasta file and respective left and right edited reads to be mapped. The multi-tissue assembly with all reads resulted in an assembled transcriptome of 170 478 transcript contigs following the TransRate step. Functional annotation was performed using the Trinotate v3.1.1 pipeline [24] and integrated into a SQLite database. All annotations were based on the best deduced open reading frame (ORF) obtained with the Transdecoder v1.03 [51]. Of the 170 478 transcripts contigs, 27 078 (16%) were inferred to ORF protein sequences. Query of the UniProtKB/Swiss-Prot (e-value cutoff of 1e-5) database via blastx v2.7.1 of total contigs resulted in 43 458 (26%) annotated transcripts. The ORFs were queried against UniProtKB/Swiss-Prot (e-value cutoff of 1e-5) via blastp v2.7.1 and PFAM using hmmscan (HMMER v3.1b2) (Hmmer, RRID:SCR 005305) [52] resulting in 19 705 (73% of ORF) and 16 538 (61%) of ORF) UniProtKB/Swiss-Prot and PFAM annotated contigs respectively. The full annotation report with further functional annotation, such as signal peptides, transmembrane regions, eggnog, Kyoto Encyclopedia of Genes and Genomes (KEGG) (KEGG, RRID:SCR_012773), and Gene Ontology annotation (Gene Ontology, RRID:SCR_002811) are listed in tabular format in Additional file 2.

Ray-finned fish phylogeny

We conducted a phylogenetic analysis of ray-finned fish (Actinopterygii) taxa based on 97 genes obtained from the newly constructed proteome.

Sequence alignments for 106 proteins from 17 fish species were obtained from [20]. Gene models for each protein were constructed with hmmbuild (HMMER v3.1b2) [53] using default options and orthologous genes from the new proteome searched for using hmmsearch (HMMER) with an e-value cuttoff of 10e-3. Best protein hits from 10 316 the new genome according to the bitscores were aligned to the original protein **317** sequence alignments using hmmalign (HMMER) with default options. Gapped and poorly aligned sites were identified by Gblocks v0.91b (Gblocks, RRID:SCR_015945) [54] using default options and removed using p4 v1.3.0 [55]. Protein alignment 17 319 statistics were calculated, and the proteins concatenated into a single alignment using ₂₂ **321** novel scripts in p4. Of the 106 fish proteins alignments, 97 contained sites which were ²⁴ **322** considered correctly aligned by the Gblocks analysis; statistics for these alignments ₂₇ 323 are presented in Table S1 (Additional file 3). The concatenated sequence alignment ²⁹ **324** of the 97 proteins contained 14 515 sites without gaps of which 7 391 were constant, 7 123 variable, and 3 879 parsimony informative. **326** The best-fitting empirical protein model of the concatenated data was evaluated using ModelFinder [56] in IQ-TREE v1.6.7.1 [57]. The best-fitting empirical substitution model was estimated to be the JTT model [58] with a discrete gamma-distribution of **328** ⁴¹ 329 among-site rate variation (4 categories) and empirical composition frequencies (typical 44 330 notation: $JTT+\Gamma_4+F$). ⁴⁶ 331 Optimal maximum likelihood tree searches (100 replicates) and bootstrap analyses (300 replicates) were conducted using RAxML v8.2.12 (RAxML, RRID:SCR 006086) **333** [59] with the best-fitting model. The optimal maximum likelihood tree (-In likelihood: 146565.6438) is presented in Figure 4 with bootstrap support values given at nodes, and is rooted to the outgroups Petromyzon marinus (lamprey) and Latimeria **335** chalumnae (coelacanth).

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Conclusion

Despite the sardine genome having a high level of repeats and heterozygosity, factors which pose a challenge to de novo genome assembly, a more than adequate draft genome was obtained with the 10X Genomics linked-reads (Chromium) technology. The Chromium technology's ability to tag and cluster the reads to individual DNA molecules has proven advantages for scaffolding, just as long reads technologies such as Nanopore and Pacific Biosciences, but with high coverage and low error rates. The advantage of linked-reads for *de novo* genomic assemblies is evident in comparison to typical short read data, especially in the case of wild species with highly heterozygous genomes, where the latter often result in many uncaptured genomic regions and with a lower scaffolding yield due to repeated content. The high degree of heterozygosity identified here in the sardine genome illustrates I future problems for monitoring sardine populations using low-resolution genetic data. However, the phased SNPs obtained in this study can be used to initiate the development of a SNP genetic panel for population monitoring, with SNPs representative of haplotype blocks, allowing insights into the patterns of linkage disequilibrium and the structure of haplotype blocks across populations. The genomic and transcriptomic resources reported here are important tools for future studies to understand sardine response at the levels of physiology, population genetics and ecology of the causal factors responsible for the recruitment and collapse of the sardine stock in Iberian Atlantic coast. Besides the commercial interest, the sardine plays a crucial role at a key trophic level by bridging energy from the primary producers to the top predators in the marine ecosystem. Therefore, disruption of the

sardine population equilibrium is likely to reverberate throughout the food chain via a trophic cascade. Consequently, these genomic and genetic resources are the prerequisites needed to develop tools to monitor the population status of the sardine and thereby provide an important bio-monitoring system for the health of the marine environment.

Availability of the supporting data

Raw data, assembled transcriptomes, and assembled genomes are available at the European Bioinformatics Institute ENA archive with the project accession PRJEB27990. The annotated genome assembly is published in the wiki-style annotation portal ORCAE [37].

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Figure legends ₁ 567 2 3 568 Figure 1. The European sardine, Sardina pilchardus (photo credit ©Eduardo Soares, 7 569 IPMA) 570 12 571 Figure 2. The histogram of the 23-mer depth distribution was plotted in 13 ¹⁴ 572 GenomeScope [17] to estimate genome size (907Mb), repeat content (40.7%) and ₁₇ 573 heterozygosity level (1.43%). Two kmer coverage peaks are observed at 28X and 18 19 574 50X. 20 21 22 575 23 Figure 3. Optimal maximum likelihood tree (-In likelihood: 146565.6438) under a 24 **576** 25 ²⁶ 577 best-fitting JTT+Γ₄+F substitution model of 97 concatenated proteins. Maximum 27 28 29 578 likelihood bootstrap support values are given below or to the right of nodes. Scale 30 ³¹ 579 bar represents mean numbers of substitutions per site. The Actinopterygii ingroup 32 34 580 was rooted to two outgroup taxa, namely Petromyzon marinus (lamprey) and 35 ³⁶ **581** Latimeria chalumnae (coelacanth) (not shown). 37 38 582 39 40 41 583 Figure 4. Venn diagram representing paralogous and orthologous groups 42 43 584 between sardine, blind cave fish, zebrafish, and herring obtained with OrthoFinder 44 45 46 585 and plotted with Jvenn [40]. Orthogroups of singleton genes are showed in 47 ⁴⁸ 586 parenthesis. 49 50 51 **587** 52 53 54 55 56 57 58

1 588 2	Additional files
3 4 5 589	Additional file 1. Heterozygous SNPs identified in the phased haploid blocks listed
6 7 590 8	in a VCF file format.
⁹ 591 ¹⁰ 592	Additional file 2. Annotation of all tissues transcriptome assembly in a tabular
12 13 593	format.
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17 18 595 19	Additional file 3. Sequence alignment statistics of the 97 proteins concatenated for
²⁰ 596 ²²	the phylogenetics analyses.
23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 55 56 57 58 58 59 60 60 60 60 60 60 60 60 60 60 60 60 60	

Table 1. Descriptive metrics, estimated by Supernova, of the input sequence data for the *de novo* genome assembly.

Number of paired reads used	607.36 M
Mean read length after trimming	138.50 bp
Median insert size	345 bp
Weighted mean DNA molecule size	46.41 Kb
N50 reads per barcode	612
Raw coverage	78.35 X
Effective read coverage	52.91 X
Mean distance between heterozygous SNPs	197 bp

Table 2. Descriptive metrics of sardine genome assemblies. SP_haploid1/SP_haploid2: haploids genomes (<u>UOTT01000000</u> and <u>UOTU01000000</u>). SP_G: consensus genome (NCBI representative genome assembly, GCA_900499035.1). UP_Spi: Illumina paired-end assembled genome from [20] (GCA_003604335.1). Values for scaffolds equal or larger than 1Kb, 10Kb and 100 Kb are presented in separated rows.

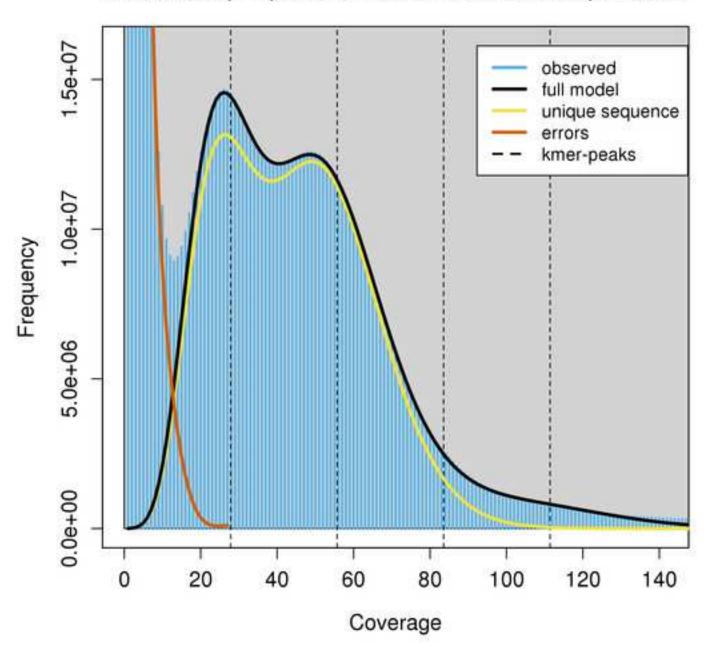
Scaffolds	Spil_haploid1	Spil_haploid2	SP_G	UP_Spi	
Largest	6.835 Mb	6.850 Mb	6.843 Mb	0.285 Mb	
Number					
>=100Kb	874	872	890	309	
>= 10Kb	8 301	8 298	8 760	18 863	
>= 1Kb (total)	117 698	117 698	117 259	44 627	
L50 / N50					
>=100Kb	135 / 906.0 Kb	134 / 925.2 Kb	137 / 899.1 Kb	130 / 122.5 Kb	
>= 10Kb	242 / 572.7 Kb	242 / 568.2 Kb 254 / 552.2 Kb		4 594 / 32.9 Kb	
>= 1Kb (total)	859 / 102.9 Kb	860 / 102.7 Kb	860 / 102.7 Kb 903 / 96.6 Kb		
LG50/NG50	935 / 87.7 Kb	939 / 87.1 Kb	905 / 96.6 Kb	15 422 / 12.6 Kb	
Assembly size					
>=100Kb	469.371 Mb	468.838 Mb	473.550 Mb	39.274 Mb	
>= 10Kb	622.165 Mb	621.688 Mb	636.491 Mb	513.719 Mb	
>= 1Kb (total)	935.548 Mb	935.082 Mb	949.618 Mb	641.169 Mb	
GC content	43.9 %	43.9 %	43.9 %	44.5 %	
N's per 100 Kb	12 955	12 961	12 834	169	

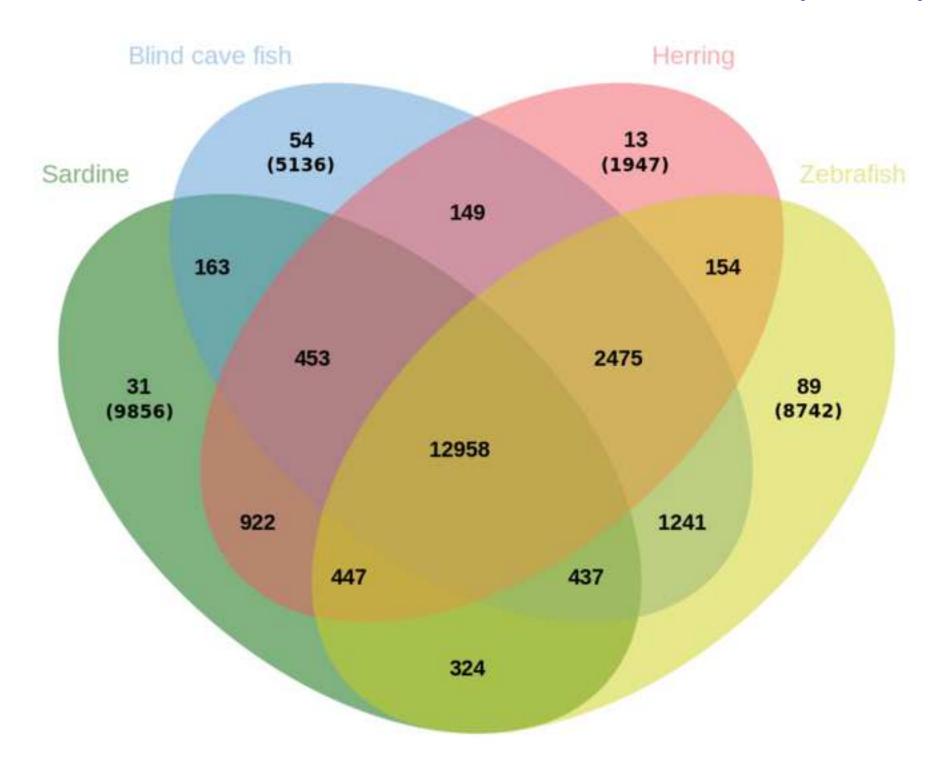
Table 3 – Summary statistics of transcriptome data for the eleven tissues.

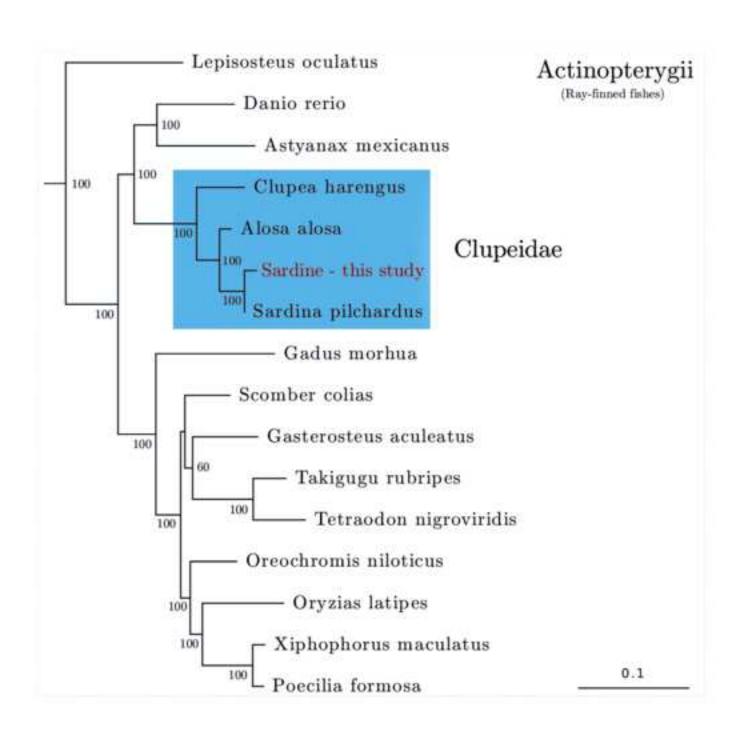
Tissue	Paired raw reads	Contigs	CDS deduced	SwissProt annotated	Accession number
Gill/Branchial Arch	29 783 994	62 526	29.3%	38.6%	ERS2629269
Liver	33 479 471	53 104	29.7%	40.1%	ERS2629273
Spleen	25 634 530	66 419	31.6%	40.4%	ERS2629276
Ovary	22 241 327	42 521	38.1%	42.5%	ERS2629270
Midgut	28 016 117	75 782	31.0%	39.5%	ERS2629274
White Muscle	24 409 160	49 266	35.4%	44.8%	ERS2629277
Red Muscle	30 653 774	55 873	30.3%	42.1%	ERS2629275
Kidney	27 861 879	59 495	30.8%	37.3%	ERS2629272
Head Kidney	25 280 960	65 888	32.2%	38.4%	ERS2629271
Brain/Pituitary	24 467 352	75 620	24.5%	37.1%	ERS2629267
Caudal Fin (Skin/Cartilage/Bone)	26 342 097	64 832	23.9%	38.0%	ERS2629268
All Tissues	298 170 661	170 478	15.9%	25.5%	ERS2629362



GenomeScope Profile len:907,057,586bp uniq:59.3% het:1.43% kcov:27.9 err:0.979% dup:2.57% k:23







additional file 1

Click here to access/download **Supplementary Material**Spil_SNP_phased_COV10_nbc.vcf

additional file 2

Click here to access/download **Supplementary Material** additional_file_2.txt Additional file 3

Click here to access/download **Supplementary Material** additional_file_3.docx

March 11, 2019

Dear Editor,

Please find the revised manuscript "A haplotype-resolved draft genome of the European sardine (Sardina pilchardus)" by Louro et al. for publication in GigaScience as a Data Note article.

We have followed the reviewers' suggestions and made the required changes and corrections which are detailed in separate file.

We take the opportunity to thank the Editor and reviewers for their detailed comments which greatly helped to improve the manuscript.

We hope that the manuscript can now be accepted in GigaScience.

Yours sincerely,

Adelino Canário