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Six-year mortality risk in relation to visual impairment and eye disease: Results from a population-based cohort study of people aged 50 years and above in Nakuru, Kenya

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-029700
Article Type:	Research
Date Submitted by the Author:	07-Feb-2019
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Keywords:	blindness, Kenya, mortality

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3 Six-year mortality risk in relation to visual impairment and eye disease: Results from a
4 population-based cohort study of people aged 50 years and above in Nakuru, Kenya
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44 Manuscript word count: 3139
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Abstract

Objective: To estimate the association between (1) visual impairment (VI) and (2) eye disease and 6-year mortality risk within a cohort of elderly Kenyan people.

Design, setting and participants: The baseline of the Nakuru Posterior Segment Eye Disease Study was formed from a population-based survey of 4318 participants aged ≥ 50 years, enrolled in 2007-2008. Detailed ophthalmic and anthropometric examinations were undertaken on all participants at baseline, and a questionnaire was administered, including past medical and ophthalmic history. Participants were retraced in 2013-2014 for a second examination phase. Vital status was recorded for all participants through information from community members. Cumulative incidence of mortality, and its relationship with baseline VI and types of eye disease was estimated. Inverse probability weighting was used to adjust for nonparticipation.

Primary outcome measures: Cumulative incidence of mortality in relation to visual impairment level at baseline.

Results: Of the baseline sample, 2,170 (50%) were re-examined at follow-up and 407 (10%) were known to have died. Compared to those with normal vision (visual acuity (VA) $\geq 6/12$), the 6-year mortality risk was higher among people with VI ($<6/18$ - $\geq 6/60$; RR=1.75, 1.28-2.40) or severe VI/blindness ($<6/60$; RR=1.98, 1.04-3.80). These associations remained after adjustment for Non Communicable Disease (NCD) risk factors (mortality: RR=1.56, 95% CI 1.14-2.15; SVI/blind: RR=1.46, 95% CI 0.80-2.68). Mortality risk was also associated with presence of diabetic retinopathy at baseline (RR=3.18, 95% CI=1.98-5.09), cataract (RR=1.26, 0.95-1.66), and presence of both cataract and VI (RR=1.57, 1.24-1.98). Mortality risk was higher among people with age-related macular degeneration at baseline (with or without VI), compared with those without (RR=1.42, 0.91-2.22 and RR=1.34, 0.99-1.81, respectively).

Conclusions: Visual acuity was related to six-year mortality risk in this cohort of elderly Kenyan people, potentially because both VI and mortality are related to ageing and risk factors for NCD.

Strengths and limitations of this study

- The cohort comprised of a representative population-based sample in an area of ethnic, socioeconomic, and educational diversity.
- There was comprehensive assessment of ophthalmic characteristics and risk factors at baseline and follow-up.
- Data on mortality was collected through informant report, rather than from death certificates.
- There was a high loss to follow-up in this study, raising the possibility of selection bias.

Funding/Support: This study was supported by grant G1001934 from the Medical Research Council, grant 1310 from Fight for Sight, the British Council for the Prevention of Blindness, and the International Glaucoma Association (Dr Bastawrous). Dr Burton is supported by grant 098481/Z/12/Z from the Wellcome Trust. Dr Weiss is supported by grant G0700837 from the Medical Research Council and Department for International Development. The funding sources had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and the decision to submit the manuscript for publication.

Author Contributions: Professor Kuper had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

- *Study concept and design:* Bastawrous, Mathenge, Foster, Burton, Kuper.
- *Acquisition, analysis, or interpretation of data:* Bastawrous, Wing, Rono, Weiss, Macleod, Burton.
- *Drafting of the manuscript:* Kuper, Bastawrous, Macleod.
- *Critical revision of the manuscript for important intellectual content:* Bastawrous, Mathenge, Gichangi, Wing, Rono, Weiss, Foster, Burton, Kuper.
- *Statistical analysis:* Kuper, Bastawrous, Wing, Weiss, Macleod.
- *Obtained funding:* Bastawrous, Kuper.

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- *Administrative, technical, or material support:* Bastawrous, Mathenge, Gichangi, Rono, Kuper.
 - *Study supervision:* Bastawrous, Foster, Burton, Kuper.

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Conflict of Interest: None.

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Data sharing: Data is available on request from Andrew Bastawrous or Hannah Kuper.

Introduction

Visual impairment (VI) is common, affecting approximately 253 million people globally.¹ It can impact on different aspects of people's lives, including reducing quality of life, and increasing poverty and depression.²⁻⁵ There is growing evidence from Europe, North America, Asia and Australia that VI and specific eye conditions are linked to increased risk of mortality,⁶⁻¹⁷ but data are lacking for Low and Middle Income Countries (LMIC), particularly from Sub-Saharan Africa.

There are several potential pathways by which VI may be linked with mortality. Both VI and mortality are related to ageing, and so confounding or residual confounding may explain the reported associations. There are also common underlying risk factors for both VI and mortality, such as smoking, obesity, and poverty. For instance, VI due to age-related macular degeneration (AMD) is more common among smokers,¹⁸ and smoking increases risk of mortality. An underlying disease may also cause both VI and mortality, for instance diabetic retinopathy (DR) is related to poor control of diabetes, which also causes increased mortality. People with VI may find it more difficult to seek health-care, due to a range of barriers,¹⁹ thereby increasing their mortality risk. Changes in the eye may be a marker of ageing, or accelerated ageing,²⁰ and thereby linked to mortality. Finally, VI could exacerbate frailty, depression and functional difficulties, all linked to increased mortality.^{3 21 22}

It is important to explore whether there is an association between VI and mortality and, if there is, to identify possible pathways for this link, in order to understand how to reduce the vulnerability of people with VI to increased morbidity and mortality. Furthermore, these data may be useful to advocate for scaling up of eye health services in LMICs. The objective of this study was to investigate the association between VI and six-year mortality risk within the Nakuru Eye Disease Cohort Study, a cohort of elderly Kenyan people.

Materials and Methods

The methodology of the Nakuru Eye Disease Cohort Study has been reported previously,²³ and is summarised here.

Baseline Study Population

The baseline population based survey was conducted in 2007/8. A total of 100 clusters each of 50 participants were selected with a probability proportional to the size of the population across Nakuru district. Households were selected within clusters using a modified compact segment sampling method.²⁴ Eligible individuals were those aged ≥ 50 years living in the household for at least three months in the previous year, and multiple subjects could be included per household.

Baseline Ophthalmic and General Examination

All participants were invited to undergo a comprehensive ophthalmic examination at a screening clinic.²³ The objectives of the survey and the examination process were explained to those eligible in the local dialect, in the presence of a witness. A subject was examined only after informed written (or thumbprint) consent was obtained.

All participants underwent logMAR visual acuity testing on each eye separately and corrected visual acuity (by refraction or pinhole) when less than 20/40 Snellen equivalent. Participants had 2 non-stereoscopic, digital, 45° fundus photographs (1 disc and 1 macula centered) taken per eye by an ophthalmic clinical officer. Digital images were graded for the presence of AMD and DR at an approved grading center (Moorfields Eye Hospital Reading Centre) by a senior grader, with adjudication by a clinician for confirmed cases and 5% of randomly selected images to ensure quality control. The presence of cataract was recorded by the ophthalmologist (WM) on slit-lamp examination after pupil dilation.

Detailed interviews were undertaken in the local language on demographic details, information on risk factors, socioeconomic status (SES), and full medical history.

A nurse performed and recorded measures of participants: height (Leicester Height Measure); weight (Seca 761); and three measures of blood pressure (Omron® Digital Automatic Blood Pressure Monitor Model HEM907), each ten minutes apart. Capillary blood was taken from all participants for random blood glucose (Accutrend GC system).

Assessment of vital status at follow-up

Follow-up was conducted from January 2013 to March 2014. A meeting was held approximately one week before the follow-up examination clinic for a given cluster. A list of study participants was given to the chief and a local village guide was recruited to assist location of the study participants. The village guide was someone who knew and was well known by the community (or the village chief him/herself). The Advance Team visited homes of baseline participants on the day prior to the examination clinic and confirmed their identity using National Identity cards and invited them to attend the examination clinic the following day. All identified participants were also asked to help locate baseline participants that had not been found.

Each local field guide was asked to classify the baseline study participant for that cluster as “available”, “died”, “moved away” or “unknown”. A participant was defined to have died if this was verified by at least two people from amongst the village chief, local guide or available study participant. Those who were known to have moved away were contacted when possible to either arrange follow-up at a more suitable location for the participant or to identify if they were alive or had died in the follow-up period. Any participant for whom nobody could identify as being alive or having moved away was recorded as “unknown”.

Definitions and Statistical Analyses

All participants who had complete examinations at baseline were considered “at-risk” for mortality during follow up. Follow-up status at 6 years was categorised as:

- i) Deceased (confirmed dead, as described above);
- ii) Alive (i.e. re-examined at follow-up, retraced but refused or unavailable at follow-up, or moved away but known to be alive)
- iii) Unknown (i.e. not retraced at follow-up, death not verified as described above, or moved away but vital status unknown).

A socioeconomic status (SES) score was developed based on information collected on job, housing conditions, and ownership of material goods and livestock, based on previous work in the same population.²⁵ Hypertension was defined on the basis of the average of the second and third reading, with cut-offs used of systolic blood pressure ≥ 140 mmHG and/or diastolic blood

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3 pressure ≥ 90 mmHG and/or self-reported hypertension medication. Diabetes was defined as (1)
4 Self-reported in the history, or (2) random glucose of ≥ 11.0 mmol/L.
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8 Statistical analysis was performed using STATA v14 (Stata Corp). All analyses accounted for
9 the cluster survey design using Taylor linearized variance estimation to calculate standard
10 errors. Pearson Chi-squared tests corrected for the survey-design were used to calculate p-
11 values to assess differences between participants whose mortality status is known and those
12 where mortality status is unknown, i.e. lost to follow-up (LTFU).
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17 An inverse probability-weighting (IPW) model²⁶ was developed, in order to allow estimation
18 of mortality risk while accounting for those LTFU. Multivariable logistic regression was used
19 to identify independent baseline covariates associated with LTFU. Covariates for which there
20 was evidence of univariable association with the outcome ($p < 0.10$ across all categories of the
21 variable) were kept in a multivariable model (age, sex, rural/urban and mother tongue). From
22 this final model, the probability of being followed up was estimated, based on the presence or
23 absence of each of these baseline covariates. The inverse of this probability formed the
24 weighting to be applied to account for those LTFU.
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31 The final step was to remove those individuals LTFU from the cohort, so that all subsequent
32 analysis would be performed on only those with complete outcome records, with IPW applied
33 to account for those LTFU. A sensitivity analyses for this approach involved repeating the
34 analyses without applying IPW (i.e. standard unweighted complete case analysis), and
35 assessing the impact on the results.
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43 Six-year mortality risk was calculated by dividing the number of deaths identified at follow-up
44 by the number of people at risk at baseline. 95% confidence intervals were estimated assuming
45 a Poisson distribution of events. This was done for the population overall, and stratified by each
46 covariate.
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50 Age/sex-adjusted risk ratios for each covariate in relation to mortality were estimated using a
51 Poisson regression model with robust error variance to allow for the clustered design and
52 including IPW. Mortality status was the binary outcome and the distribution was assumed to
53 be Poisson. These analyses were adjusted for the clustered design, as well as the use of IPW,
54 by setting the clusters as the primary sampling unit and weighting using the inverse probability
55 of being followed-up. The model was further adjusted using a set of four socioeconomic (SES)
56 variables only (SES quartile, location, ethnic group, education), then a set of five non-
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3 communicable disease (NCD) risk factors only (smoking, alcohol, diabetes, hypertension,
4 BMI) before finally adjusting for all nine SES and NCD variables.
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10 ***Patient and Public Involvement***
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12 Patients and the public were not directly involvement in the development of the research
13 question and outcome measures, or the design or conduct of study. There are no plans to
14 disseminate results directly to the study participants.
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20 ***Ethical Approval***
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23 The study adhered to the tenets of the Declaration of Helsinki and was approved by the Ethics
24 Committee of London School of Hygiene and Tropical Medicine at both baseline and follow-
25 up (LSHTM Ref 6192). Baseline approval was provided by the Kenya Medical Research
26 Institute Ethics Committee and by the African Medical and Research Foundation (AMREF)
27 Ethics Committee, Kenya for the follow-up (AMREF-ESRC P44/12). For both phases,
28 approval was granted by the Rift Valley Provincial Medical Officer and the Nakuru District
29 Medical Officer of Health. Approval was sought from the administrative heads in each cluster.
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Results

At baseline 4,414 participants were examined. The follow-up assessment was conducted, on average, 5.6 years (SD 0.6) after the baseline, expressed for simplicity as 6 years (meaning that there was 6 years between the baseline and follow-up wave, rather than that each participant was followed-up on average for 6 years as the time of loss to follow-up or death was not known for individuals). Of the baseline participants, 3032 were known to be alive at follow-up (69% 2,170 re-examined at the follow-up plus 862 known to be alive but not re-examined), 409 (9%) were known to have died, and 973 had unknown vital status (22%)..

Table 1 provides the baseline characteristics of participants who had died during the follow-up, those who were re-examined at follow-up and those who were LTFU. In comparison to those who had died, those who were re-examined were younger, more likely to be female, Kalenjin speakers, and had higher SES, while those of unknown status were more likely to be of “other” tribes and urban residence.

Table 2 shows the weighted 6-year mortality risk by level of VI. Overall, the 6-year mortality risk increased with worsening levels of VI, from 97/1000 (95% CI 84-111/1000) among those with normal vision to 385/1000 (245-548/1000) among those who were blind. This pattern was observed in both males and females, but was less clear in people aged <60 years given the low mortality in this group and consequent small numbers. In each sub-group, the lowest risk of mortality was among people with normal vision. Mortality risk among people with VI was higher for males than for females, and among those ≥ 60 years versus <60 years. Estimates changed little after weighting for LTFU (web table for unweighted estimates).

Compared to those with normal vision ($VA > 6/12$), the mortality risk was significantly higher among people with VI ($VA < 6/18 - \geq 6/60$; $RR = 1.75$, 95% CI 1.28-2.40) or SVI/blindness ($VA < 6/60$; $RR = 1.98$, 1.04-3.80) (Table 3). There was a weakening of the association after adjustment for non-communicable disease (NCD) risk factors or full adjustment for both socio-economic status (SES) and NCD risk factors, although the overall trends between worsening vision and increased 6-year mortality risk remained evident (VI: $RR = 1.56$, 95% CI 1.14-2.15; SVI/blind: $RR = 1.46$, 95%CI 0.80-2.68).

People with any VI had a higher mortality risk than those without VI ($RR = 1.54$, 95%CI 1.22-1.93), and this association remained after adjustment for SES and NCD risk factors ($RR = 1.37$, 95%CI 1.10-1.71) (Table 4). Other risk factors associated with 6-year mortality risk after comprehensive adjustment included increasing age (oldest versus youngest age group: $RR = 4.68$, 95%CI 3.55-6.18) and diabetes ($RR = 2.34$, 95%CI 1.81-3.03). Being underweight was associated with an increased 6-year mortality risk (underweight versus

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3 normal: RR=1.60, 95%CI 1.24-2.07).
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6 Risk of mortality was analysed by prevalence of specific eye diseases at baseline (Table 5).
7 The presence of cataract (or aphakia/pseudophakia) and any VI (i.e. VA <6/18 in better eye)
8 was associated with higher mortality risk (RR=1.57, 95%CI 1.24-1.98), whereas cataract
9 alone (or aphakia/pseudophakia) was not (RR=1.26, 95%CI 0.95-1.66). Mortality risk was
10 higher among people with AMD at baseline (with or without VI), compared with those
11 without, although these associations were not statistically significant (RR=1.42, 95%CI 0.91-
12 2.22 and RR=1.34, 95%CI 0.99-1.81, respectively). DR was associated with a three-fold
13 increased mortality risk (RR=3.18, 95%CI 1.98-5.09). The number of people with DR and
14 any VI were too small to make meaningful inferences.
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Discussion

VI was associated with increased mortality risk during six years of follow-up in a cohort of elderly Kenyan people. The risk of mortality increased with worsening vision. This association was reduced after adjustment for the presence of NCD risk factors, and to a lesser extent for SES indicators. Among eye conditions, DR was most strongly associated with mortality risk, although the number affected was small. Cataract with VI was also associated with elevated mortality, as were AMD and cataract without visual loss at baseline (although these estimates lacked precision).

Previous studies have also shown a positive relationship between VI and mortality, with evidence available from the USA⁶⁻⁹, UK¹⁰, Australia^{11 12}, Japan¹³, Singapore^{14 15}, China¹⁶ and India¹⁷. Others have failed to find evidence for this association, including in India²⁷, Iceland²⁸, and Taiwan.²⁹ Data from LMICs are sparse, in particular for Sub-Saharan Africa, and so comparison of our study findings to those from similar settings is not possible.

On the basis of our findings and those in the wider literature consideration can be given to the potential pathway for the association between VI and mortality. There was clear evidence for confounding by age, as both VI and mortality are independently related to older age. Consequently, imperfect adjustment for age may have allowed for residual confounding as a partial explanation for the association. There was little evidence for confounding by SES, although in this setting high SES was associated with greater prevalence of NCD risk factors,³⁰ and a somewhat reduced mortality risk. The presence of NCD risk factors may also act as confounders of the association of VI on mortality, since the association was attenuated after adjustment for these indicators, as found in other studies.¹⁰ Significant associations persisted, however, between VI and mortality after comprehensive multivariable adjustment in this study, as occurred in previous studies,^{12-14 17 31} suggesting that residual confounding or direct effects of VI on mortality may be operational.

Exploring the relationship between different eye conditions and mortality may help to clarify whether independent biological pathways exist. DR is known to be associated with increased mortality,^{15 32} as was also shown in this study. This link is unsurprising given the well-known relationship between uncontrolled diabetes with both DR and mortality. However, the relatively small number of people with DR in this population means that this link cannot be the

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3 sole driver of the VI-mortality association. Our study as well as others have shown cataract to
4 be associated with increased mortality.^{6 12 17 33 34}, though this association is not always
5 demonstrated.^{15 35} Some studies have suggested that this relationship varies by cataract type.⁸
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It is hypothesized that the association between cataract and mortality arises as lens opacification (cataract) is an indicator of accelerated ageing.^{20 39} The evidence for a link between AMD and mortality is more complex; some studies show that late AMD is associated with mortality, but not early AMD.^{6 40-42} Others found no association between AMD and mortality,^{15 33 35} or only among women.⁴³

There are other potential pathways between VI and mortality not explored in this study. For example, NCD risk factors may be mediators of the effect of VI on mortality (rather than confounders) for reasons such as lower ability to access NCD treatment, less exercise, poorer diets and so on. Consequently, the association between VI and mortality adjusted by NCD risk factors would be an underestimate of the total effect. There were also concerns about the accuracy of assessment of visual fields in this population. Consequently it was not possible to determine the presence of glaucoma at baseline, although others have suggested a link between glaucoma and mortality.^{15 44} We also did not assess the impact of VI in accessing health care, although the Australian Blue mountain study showed that difficulties in walking explained some of the link between VI and mortality.¹¹ Only 18 people with cataract underwent cataract surgery during the follow-up period, so it was not possible to assess the impact on mortality.

There are several further limitations of the study, which need to be considered when interpreting the findings. There was a lack of data on date of death, and no verification from death certificates, as these are rarely available in many African settings,⁴⁵ including Kenya. Cause of death could not be determined, and so we could not assess whether the relationship was stronger between VI and specific causes of mortality, notably cardiovascular and non-cancer causes, as demonstrated in previous studies,^{15 46-48} which would lend weight to a biological pathway for the association. The follow-up study was conducted after a period of post-election violence in the area. Consequently, there was a high loss to follow-up in this study, raising the possibility of selection bias influencing the findings, although patterns changed little after weighting for loss to follow up. Furthermore, the mortality rate may have been higher in this period, due to violence, and may have biased the association with VI if these deaths were disproportionately among people with VI, or among younger people (with lower prevalence of VI). Date of loss to follow-up or death was not recorded, and so survival analysis was not possible. Another concern is that reports of local informants was used to categorise some people who had moved away as “known to be alive”, which may have created

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3 inaccuracies. The study may have been under-powered for some of the sub-group analyses,
4 such as assessing the link between type of eye disease and mortality. We did not evaluate the
5 association of different sub-types of AMD or cataract in relation to mortality because of small
6 numbers. VI classification did not include loss of visual fields, and so the prevalence of
7 functionally significant sight loss may have been underestimated. Self-reported diabetes was
8 not confirmed (e.g. from medical records). In terms of strengths, this was the first study of its
9 kind in sub-Saharan Africa to assess the association between VI and mortality. The study
10 participants comprised a representative population-based sample in an area of ethnic,
11 socioeconomic, and educational diversity. There was comprehensive assessment of ophthalmic
12 characteristics and risk factors at baseline, and every attempt was made to follow up all
13 participants, and to record vital status.
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23 In conclusion, visual acuity was related to six-year mortality risk in this cohort of elderly
24 Kenyan people. The most likely explanation for the association is that both VI and mortality
25 are related to ageing and NCD risk factors. The implication is that continuity of care is needed,
26 as people with VI require linkages to preventative and treatment services. Furthermore, we
27 must advocate for the scale-up of eye care services in Kenya, as VI is linked to premature
28 mortality.
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Table 1. Baseline Characteristics of the Nakuru Eye Disease Cohort Study

Baseline Characteristics		Deceased N=409	Followed-up or known to be alive n=3,032	p- value*	Unknown status N=973	p-value **
Age in years, mean (SD)		71.6 (12.8)	62.4 (9.6)	<0.001	63.2 (10.6)	0.60
Sex, % (n)	Female	42% (173)	53% (1,612)	<0.001	53% (516)	0.59
	Male	58% (236)	47% (1,420)		47% (457)	
Tribe, % (n)	Kikuyu	69%(283)	62% (1,881)	0.02	61% (596)	0.005
	Kalenjin	23% (93)	24% (736)		19% (186)	
	Other	8% (33)	14% (415)		20% (191)	
Education, % (n)***	None	6% (26)	9% (283)	<0.001	12% (114)	0.21
	Primary	44% (179)	32% (952)		33% (323)	
	Secondary	43% (174)	48% (1,448)		44% (427)	
	Higher	7% (29)	11% (327)		11% (105)	
Residence, % (n)	Rural	74% (303)	71% (2,167)	0.43	51% (498)	<0.001
	Urban	26% (106)	29% (865)		49% (475)	
SES Quartile, % (n)***	Lower	33% (136)	24% (709)	0.002	26% (247)	0.17
	Middle lower	24% (96)	26% (776)		23% (219)	
	Middle upper	24% (97)	26% (777)		23% (218)	
	Upper	19% (79)	24% (731)		29% (281)	

*p-value describes the strength of evidence that each variable is associated with mortality, among those where we know the mortality status (Null hypothesis is

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5 that the odds of death are equal in each category of the variable)
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7 **p-value describes the strength of evidence that each variable is associated with mortality status being missing (i.e. comparison of known versus unknown
8 mortality status). (Null hypothesis is that odds of knowing the mortality status of an individual at follow up are equal in each group)
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11 *** There were 27 missing values for education, and 48 missing values for SES.
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Table 2. 6-Year weighted mortality risk by level of VI among the Nakuru Eye Disease Cohort Study Participants, stratified by age and gender

WEIGHTED USING IPWs	Overall		Male		Female		<60 years		≥60 years	
	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)
<i>Visual acuity at baseline (better eye presenting)</i>										
All individuals	409 / 3441	119(106,134)	236 / 1656	143(123,165)	173 / 1785	98(84,113)	86 / 1503	56(45,70)	323 / 1938	169(151,188)
Normal (≥6/12)	280 / 2901	97(84,111)	162 / 1378	118(98,142)	118 / 1523	77(64,93)	78 / 1420	54(42,68)	202 / 1481	138(120,159)
Near Normal (<6/12-≥6/18)	27 / 170	158(107,226)	14 / 84	168(104,258)	13 / 86	148(85,245)	3 / 27	106(32,301)	24 / 143	168(114,241)
VI (<6/18-≥6/60)	77 / 275	283(236,336)	45 / 142	316(252,388)	32 / 133	249(178,338)	3 / 31	99(31,271)	74 / 244	307(252,368)
SVI (<6/60-≥3/60)	4 / 16	260(86,566)	2 / 10	187(34,603)	2 / 6	379(51,875)	2 / 2	-	2 / 14	136(27,475)
Blind (<3/60)	19 / 50	385(245,548)	13 / 30	436(247,646)	6 / 20	310(133,570)	0 / 6	-	19 / 44	438(279,612)
Any VI (<6/18)	100 / 341	297(248,351)	60 / 182	328(268,395)	40 / 159	262(191,347)	5 / 39	137(57,297)	95 / 302	318(262,380)

Table 3: Association between visual acuity category and 6-year mortality risk

VA category	Age-sex adjusted RR	Age-sex, SES* adjusted RR	Age-sex, NCD risk** factor adjusted RR	Fully adjusted*** RR
Normal ($\geq 6/12$)	Reference	Reference	Reference	Reference
Near Normal ($< 6/12$ - $\geq 6/18$)	0.92 (0.57 – 1.50)	0.84 (0.51 – 1.39)	0.87 (0.51 – 1.48)	0.82 (0.48 – 1.41)
VI ($< 6/18$ - $\geq 6/60$)	1.75 (1.28 – 2.40)	1.77 (1.30 – 2.40)	1.56 (1.13 – 2.16)	1.56 (1.14 – 2.15)
SVI/blind ($< 6/60$)	1.98 (1.04 – 3.80)	1.95 (1.01 – 3.76)	1.51 (0.82 – 2.77)	1.46 (0.80 – 2.68)
p-value	0.004	0.003	0.04	0.03

*SES = SES quartile, location, ethnic group, education

**NCD risk factor = smoking, alcohol, diabetes, hypertension, BMI

***All SES and NCD risk factors

Table 4. Multivariable analysis of baseline co-variables and 6-year mortality risk in the Nakuru Eye Disease Cohort Study

	No at risk	Deaths	Risk per 1,000/6yrs (95%CI)	Age-sex-adjusted Risk Ratio	Age-sex-SES adjusted Risk Ratio	Age-Sex-SES-NCD risk factor adjusted Risk Ratio
Any VI (<6/18)						
- No	3071	307	100(87,115)	Reference	Reference	Reference
- Yes	341	100	297(248,351)	1.54(1.22,1.93)	1.55(1.24,1.94)	1.37(1.10,1.71)
Gender						
- Male	1656	236	143(123,165)	Reference	Reference	Reference
- Female	1785	173	98(84,113)	0.74(0.63,0.87)	0.68(0.56,0.83)	0.82(0.63,1.06)
Age						
- 50-59	1503	86	56(45,70)	Reference	Reference	Reference
- 60-69	1036	96	94(77,115)	1.64(1.24,2.17)	1.58(1.19,2.09)	1.40(1.07,1.83)
- 70-79	571	107	191(161,224)	3.27(2.55,4.20)	3.15(2.39,4.15)	2.74(2.09,3.60)
- 80+	331	120	363(311,419)	6.36(4.85,8.33)	5.76(4.39,7.57)	4.68(3.55,6.18)
SES risk factors						
Location						
- Rural	2470	303	123(108,140)	Reference	Reference	Reference
- Urban	971	106	110(83,145)	1.14(0.90,1.45)	1.15(0.90,1.48)	1.18(0.91,1.53)
SES quartile						
- Lower	845	136	164(137,197)	Reference	Reference	Reference

- Lower middle	872	96	110(91,134)	0.75(0.60,0.93)	0.72(0.58,0.91)	0.75(0.59,0.95)
- Upper middle	874	97	110(89,134)	0.86(0.66,1.11)	0.82(0.63,1.06)	0.84(0.65,1.09)
- Upper	810	79	99(78,126)	0.89(0.69,1.14)	0.78(0.58,1.05)	0.76(0.56,1.03)
Ethnic group						
- Kikuyu	2164	283	131(116,148)	Reference	Reference	Reference
- Kalenjin	829	93	113(91,139)	0.83(0.66,1.03)	0.78(0.62,0.99)	0.81(0.63,1.04)
- Other	448	33	76(48,119)	0.84(0.56,1.26)	0.82(0.55,1.21)	0.81(0.55,1.20)
Education						
- No education	309	26	86(58,125)	Reference	Reference	Reference
- Primary	1131	179	161(138,188)	0.95(0.65,1.41)	0.96(0.63,1.46)	0.97(0.63,1.50)
- Secondary	1622	174	107(91,125)	0.85(0.59,1.22)	0.84(0.57,1.24)	0.87(0.59,1.28)
- College/ Uni	356	29	81(52,124)	0.94(0.57,1.55)	0.95(0.57,1.55)	1.01(0.62,1.64)
Risk factors for NCD						
Smoking						
- Never	2396	256	107(92,124)	Reference	Reference	Reference
- Former	252	33	131(93,180)	1.20(0.85,1.69)	1.20(0.85,1.71)	1.15(0.79,1.66)
- Current	775	120	156(132,184)	1.19(0.93,1.52)	1.17(0.91,1.50)	1.19(0.91,1.55)
Diabetes						
- No	3202	354	111(98,125)	Reference	Reference	Reference
- Yes	216	54	248(194,313)	2.16(1.69,2.77)	2.20(1.69,2.84)	2.34(1.81,3.03)
Hypertension						

- No	1670	179	108(92,126)	Reference	Reference	Reference
- Yes	1737	229	132(113,153)	1.08(0.90,1.29)	1.06(0.88,1.28)	1.11(0.92,1.34)
Alcohol						
- Never	1335	117	87(73,104)	Reference	Reference	Reference
- Former	1520	221	147(125,171)	1.18(0.95,1.48)	1.18(0.94,1.49)	1.15(0.90,1.46)
- Current	559	70	125(100,157)	1.15(0.86,1.53)	1.18(0.87,1.60)	1.08(0.77,1.51)
BMI						
- Underweight	468	99	216(169,271)	1.55(1.20,2.00)	1.57(1.22,2.02)	1.60(1.24,2.07)
- Normal	1697	199	117(101,136)	Reference	Reference	Reference
- Overweight	779	66	86(66,111)	0.90(0.69,1.18)	0.87(0.66,1.14)	0.81(0.62, 1.05)
- Obese	447	32	73(52,100)	0.89(0.63,1.25)	0.86(0.59,1.24)	0.83(0.57,1.20)

Table 5: Risk of mortality during 6 years of follow up by the presence of specific eye diseases at baseline

	No at risk	Deaths	Risk per 1,000/6yrs (95%CI)	Age-sex-adjusted Risk Ratio
Cataract present				
- No	1921	142	72.5(59.1,88.6)	Reference
- Yes	1478	265	181.3(161.6,202.8)	1.26(0.95,1.66)
Cataract and VI present (<6/18)				
- No	3103	313	100.7(87.5,115.5)	Reference
- Yes	296	94	322(267.3,381.8)	1.57(1.24,1.98)
AMD present				
- No	2270	225	99.2(83.3,117.8)	Reference
- Yes	319	57	183.4(141.3,234.5)	1.34(0.99,1.81)
AMD and VI present (<6/18)				
- No	2529	265	105.5(89.9,123.4)	Reference
- Yes	60	17	282.7(178.5,416.8)	1.42(0.91,2.22)
DR present				

- No	2513	264	105.7(90.4,123.3)	Reference
- Yes	55	18	318.4(199.4,467.0)	3.18(1.98,5.09)
DR and VI present (<6/18)				
- No	2563	280	109.9(94.1,128.0)	Reference
- Yes	5	2	401.6(37.5,920.4)	2.54(0.57,11.36)

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Web Table 1. 6-Year adjusted mortality risk by level of VI among the Nakuru Eye Disease Cohort Study Participants, stratified by age and gender (Unweighted)

	Overall		Male		Female		<60 years		≥60 years	
	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)
<i>Visual acuity at baseline (better eye presenting)</i>										
All individuals	409 / 3441	119(106,134)	236 / 1656	143(124,164)	173 / 1785	97(83,113)	86 / 1503	57(46,71)	323 / 1938	167(150,185)
Normal (≥6/12)	280 / 2901	97(84,111)	162 / 1378	118(98,140)	118 / 1523	78(64,93)	78 / 1420	55(44,69)	202 / 1481	136(118,157)
Near Normal (<6/12-≥6/18)	27 / 170	159(109,226)	14 / 84	167(104,256)	13 / 86	151(87,250)	3 / 27	111(34,309)	24 / 143	168(115,238)
VI (<6/18-≥6/60)	77 / 275	280(234,331)	45 / 142	317(252,390)	32 / 133	241(172,325)	3 / 31	97(30,268)	74 / 244	303(250,363)
SVI (<6/60-≥3/60)	4 / 16	250(83,552)	2 / 10	200(37,622)	2 / 6	333(42,851)	2 / 2	-	2 / 14	143(28,490)
Blind (<3/60)	19 / 50	380(242,541)	13 / 30	433(246,642)	6 / 20	300(129,553)	0 / 6	-	19 / 44	433(275,604)
Any VI (<6/18)	100 / 341	293(245,347)	60 / 182	330(268,398)	40 / 159	252(184,333)	5 / 39	128(52,281)	95 / 302	315(260,375)

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cohort studies

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	1, 2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	6, 7
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	6, 7
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	7
Bias	9	Describe any efforts to address potential sources of bias	8
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	7,8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	7,8
		(b) Describe any methods used to examine subgroups and interactions	7,8
		(c) Explain how missing data were addressed	7,8
		(d) If applicable, explain how loss to follow-up was addressed	7,8
		(e) Describe any sensitivity analyses	7,8
Results			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	10
		(b) Give reasons for non-participation at each stage	10
		(c) Consider use of a flow diagram	-
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10
		(b) Indicate number of participants with missing data for each variable of interest	In tables
		(c) Summarise follow-up time (eg, average and total amount)	10
Outcome data	15*	Report numbers of outcome events or summary measures over time	10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Tables 1 and 2
		(b) Report category boundaries when continuous variables were categorized	All tables
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	-
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	10
Discussion			
Key results	18	Summarise key results with reference to study objectives	12
Limitations			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	13
Generalisability	21	Discuss the generalisability (external validity) of the study results	12
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	3

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ OPEN response

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1. The Nakuru Eye Disease Cohort Study was described as a two-stage cluster sampling with “a probability proportional to the size of the population” for the selection of clusters and “a modified compact segment sampling method” for the selection of households within clusters. However, the authors responded that “the original survey was a self-weighting sample, so no adjustments were made for sampling probability”. This appeared to be contradictory to each other. Similarly, the authors stated that “All analyses accounted for the cluster survey design using Taylor linearized variance estimation to calculate standard errors”, which again was contradictory to the statement “no adjustments were made for sampling probability”. Therefore, the authors need to clarify whether their analyses were corrected for the cluster survey design only or IPW model only or both.

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Response: The analyses corrected for the cluster survey design and IPW model, which was already mentioned in the text, but has now been further clarified (page 8, last paragraph).

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If the authors controlled for both, please describe how they did this.

Response: Control for both was undertaken by using svyset command in Stata with cluster as the primary sampling unit and weighting using the inverse of the probability of being followed up. This has now been clarified in the text (page 8, last paragraph).

If they only corrected for one, please be aware that the study sample was not a random independent (and representative) sample and there would be limitations to the generalizability of the study results and conclusions (and this would no longer be a strength of this study).

Response: We corrected for both.

Please also note that the development of the IPW model should be corrected for the cluster survey design too as the study sample was not a random independent sample.

Response: Thank you for the comment, the IPW model was corrected for the cluster survey design too and this has been clarified in the text (page 8, last paragraph).

It will be helpful if the authors can provide the final covariates included in the IPW model.

Response: The covariates were age, sex, rural/urban, mother tongue. This has been clarified in the text (Page 8, paragraph 3).

2. The authors mentioned that the overall mortality was estimated by assuming a Poisson distribution of events for the population overall and stratified by each covariate. However, it is not clear what is the unit for adjusted Poisson regression models. Did the authors analyze the mortality status for each subject as the outcome and assume this binary outcome following a Poisson distribution?

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3 Response: Mortality status was the binary outcome and the distribution was assumed to be Poisson.
4 This has been clarified in the text (page 8, last paragraph).
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8 Also, the duration of follow-up was not the same for each subject, so the assumption of same
9 duration of follow-up for all study participants was questionable. Since the duration of follow-up was
10 not taken into account in the analysis and the unit of analysis was subject, what was the rationale for
11 the choice of Poisson regression models versus logistic regression models?
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13 Response: The study participants were only contacted at two time points and so it was not possible
14 to estimate the follow-up for each individual participant. Poisson regression models were chosen
15 rather than logistic regression as these generate risk ratios instead of odds ratios and are therefore
16 easier to interpret.
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21 3. As the date of death was not available in this study, the mean follow-up of 5.6 year must only be
22 calculated among 2,170 subjects who were re-examined, not among all 3,441 subjects who were
23 included in this study (or all 4,414 subjects who were examined at baseline). If so, please state this
24 clearly in the paper. In addition, if this was true, the overall mean follow-up for the total study
25 population should be much shorter, and I don't think that it is appropriate to state 6-year mortality
26 risk as the outcome for this study. It is also inappropriate to use the term simply due to its use in
27 other publications as it is clearly not accurate in this study. For example, if the authors will conduct
28 another follow-up examination in this cohort with only 10% subjects who will be re-examined and
29 they calculate the mean follow-up as 15 years among these 10% subjects, will they still call such a
30 future study as 15-year mortality based on the mean follow-up of 10% subjects when they know 90%
31 subjects have <15 years of follow-up?
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35 Response: The mean follow-up refers to the time between baseline and follow-up, not the average
36 time that each individual is followed-up in the cohort. This has been clarified in the text (Page 10,
37 paragraph 1).
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41 4. Were results in Table 3 based on the Poisson regression models? If so, the estimates should be RR
42 not OR.
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44 Response: We have corrected these to read RR, not OR (Table 3).
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BMJ Open

Mortality during six years of follow-up in relation to visual impairment and eye disease: Results from a population-based cohort study of people aged 50 years and above in Nakuru, Kenya

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-029700.R1
Article Type:	Research
Date Submitted by the Author:	04-Apr-2019
Complete List of Authors:	Kuper, Hannah; The London School of Hygiene & Tropical Medicine, Clinical Research Mathenge, Wanjiku; Univ London London Sch Hyg Macleod, David; Univ London London Sch Hyg Foster, Allen; Univ London London Sch Hyg Gichangi, Michael; Division of Preventive Ophthalmic Services, Ministry of Public Health and Sanitation Rono, Hillary; Univ London London Sch Hyg Wing, Kevin; London School of Hygiene and Tropical Medicine, Weiss, Helen; London School of Hygiene and Tropical Medicine, Burton, Matthew J; London School of Hygiene and Tropical Medicine Bastawrous, Andrew; Univ London London Sch Hyg ,
Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Epidemiology, Ophthalmology
Keywords:	blindness, Kenya, mortality

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3 Mortality during six years of follow-up in relation to visual impairment and eye disease:
4 Results from a population-based cohort study of people aged 50 years and above in Nakuru,
5 Kenya
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46 Manuscript word count: 3139
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Abstract

Objective: To estimate the association between (1) visual impairment (VI) and (2) eye disease and 6-year mortality risk within a cohort of elderly Kenyan people.

Design, setting and participants: The baseline of the Nakuru Posterior Segment Eye Disease Study was formed from a population-based survey of 4318 participants aged ≥ 50 years, enrolled in 2007-2008. Ophthalmic and anthropometric examinations were undertaken on all participants at baseline, and a questionnaire was administered, including medical and ophthalmic history. Participants were retraced in 2013-2014 for a second examination. Vital status was recorded for all participants through information from community members. Cumulative incidence of mortality, and its relationship with baseline VI and types of eye disease was estimated. Inverse probability weighting was used to adjust for nonparticipation.

Primary outcome measures: Cumulative incidence of mortality in relation to VI level at baseline.

Results: Of the baseline sample, 2,170 (50%) were re-examined at follow-up and 407 (10%) were known to have died (adjusted risk of 11.9% over 6 years). Compared to those with normal vision (visual acuity (VA) $\geq 6/12$, risk=9.7%), the 6-year mortality risk was higher among people with VI ($<6/18$ - $\geq 6/60$; risk=28.3%; RR=1.75, 1.28-2.40) or severe VI/blindness ($<6/60$; risk=34.9%; RR=1.98, 1.04-3.80). These associations remained after adjustment for Non Communicable Disease (NCD) risk factors (mortality: RR=1.56, 95% CI 1.14-2.15; SVI/blind: RR=1.46, 95% CI 0.80-2.68). Mortality risk was also associated with presence of diabetic retinopathy at baseline (RR=3.18, 95% CI=1.98-5.09), cataract (RR=1.26, 0.95-1.66), and presence of both cataract and VI (RR=1.57, 1.24-1.98). Mortality risk was higher among people with age-related macular degeneration at baseline (with or without VI), compared with those without (RR=1.42, 0.91-2.22 and RR=1.34, 0.99-1.81, respectively).

Conclusions: Visual acuity was related to six-year mortality risk in this cohort of elderly Kenyan people, potentially because both VI and mortality are related to ageing and risk factors for NCD.

Strengths and limitations of this study

- The cohort comprised of a representative population-based sample in an area of ethnic, socioeconomic, and educational diversity.
- There was comprehensive assessment of ophthalmic characteristics and risk factors at baseline and follow-up.
- Data on mortality was collected through informant report, rather than from death certificates.
- There was a high loss to follow-up in this study, raising the possibility of selection bias.

Funding/Support: This study was supported by grant G1001934 from the Medical Research Council, grant 1310 from Fight for Sight, the British Council for the Prevention of Blindness, and the International Glaucoma Association (Dr Bastawrous). Dr Burton is supported by grant 098481/Z/12/Z from the Wellcome Trust. Dr Weiss is supported by grant G0700837 from the Medical Research Council and Department for International Development. The funding sources had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and the decision to submit the manuscript for publication.

Author Contributions: Professor Kuper had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

- *Study concept and design:* Bastawrous, Mathenge, Foster, Burton, Kuper.
- *Acquisition, analysis, or interpretation of data:* Bastawrous, Wing, Rono, Weiss, Macleod, Burton.
- *Drafting of the manuscript:* Kuper, Bastawrous, Macleod.
- *Critical revision of the manuscript for important intellectual content:* Bastawrous, Mathenge, Gichangi, Wing, Rono, Weiss, Foster, Burton, Kuper.
- *Statistical analysis:* Kuper, Bastawrous, Wing, Weiss, Macleod.
- *Obtained funding:* Bastawrous, Kuper.

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- *Administrative, technical, or material support:* Bastawrous, Mathenge, Gichangi, Rono, Kuper.
 - *Study supervision:* Bastawrous, Foster, Burton, Kuper.

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Conflict of Interest: None.

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Data sharing: Data is available on request from Andrew Bastawrous or Hannah Kuper.

Introduction

Visual impairment (VI) is common, affecting approximately 253 million people globally.¹ It can impact on different aspects of people's lives, including reducing quality of life, and increasing poverty and depression.²⁻⁵ There is growing evidence from Europe, North America, Asia and Australia that VI and specific eye conditions are linked to increased risk of mortality,⁶⁻¹⁷ but data are lacking for Low and Middle Income Countries (LMIC), particularly from Sub-Saharan Africa.

There are several potential pathways by which VI may be linked with mortality. Both VI and mortality are related to ageing, and so confounding or residual confounding may explain the reported associations. There are also common underlying risk factors for both VI and mortality, such as smoking, obesity, and poverty. For instance, VI due to age-related macular degeneration (AMD) is more common among smokers,¹⁸ and smoking increases risk of mortality. An underlying disease may also cause both VI and mortality, for instance diabetic retinopathy (DR) is related to poor control of diabetes, which also causes increased mortality. People with VI may find it more difficult to seek health-care, due to a range of barriers,¹⁹ thereby increasing their mortality risk. Changes in the eye may be a marker of ageing, or accelerated ageing,²⁰ and thereby linked to mortality. Finally, VI could exacerbate frailty, depression and functional difficulties, all linked to increased mortality.^{3 21 22}

It is important to explore whether there is an association between VI and mortality and, if there is, to identify possible pathways for this link, in order to understand how to reduce the vulnerability of people with VI to increased morbidity and mortality. Furthermore, these data may be useful to advocate for scaling up of eye health services in LMICs. The objective of this study was to investigate the association between VI and six-year mortality risk within the Nakuru Eye Disease Cohort Study, a cohort of elderly Kenyan people.

Materials and Methods

The methodology of the Nakuru Eye Disease Cohort Study has been reported previously,²³ and is summarised here.

Baseline Study Population

The baseline population based survey was conducted in 2007/8. A total of 100 clusters each of 50 participants were selected with a probability proportional to the size of the population across Nakuru district. Households were selected within clusters using a modified compact segment sampling method.²⁴ Eligible individuals were those aged ≥ 50 years living in the household for at least three months in the previous year, and multiple subjects could be included per household.

Baseline Ophthalmic and General Examination

All participants were invited to undergo a comprehensive ophthalmic examination at a screening clinic.²³ The objectives of the survey and the examination process were explained to those eligible in the local dialect, in the presence of a witness. A subject was examined only after informed written (or thumbprint) consent was obtained.

All participants underwent logMAR visual acuity testing on each eye separately and corrected visual acuity (by refraction or pinhole) when less than 20/40 Snellen equivalent. Participants had 2 non-stereoscopic, digital, 45° fundus photographs (1 disc and 1 macula centered) taken per eye by an ophthalmic clinical officer. Digital images were graded for the presence of AMD and DR at an approved grading center (Moorfields Eye Hospital Reading Centre) by a senior grader, with adjudication by a clinician for confirmed cases and 5% of randomly selected images to ensure quality control. The presence of cataract was recorded by the ophthalmologist (WM) on slit-lamp examination after pupil dilation.

Detailed interviews were undertaken in the local language on demographic details, information on risk factors, socioeconomic status (SES), and full medical history.

A nurse performed and recorded measures of participants: height (Leicester Height Measure); weight (Seca 761); and three measures of blood pressure (Omron® Digital Automatic Blood Pressure Monitor Model HEM907), each ten minutes apart. Capillary blood was taken from all participants for random blood glucose (Accutrend GC system).

Assessment of vital status at follow-up

Follow-up was conducted from January 2013 to March 2014. A meeting was held approximately one week before the follow-up examination clinic for a given cluster. A list of study participants was given to the chief and a local village guide was recruited to assist location of the study participants. The village guide was someone who knew and was well known by the community (or the village chief him/herself). The Advance Team visited homes of baseline participants on the day prior to the examination clinic and confirmed their identity using National Identity cards and invited them to attend the examination clinic the following day. All identified participants were also asked to help locate baseline participants that had not been found.

Each local field guide was asked to classify the baseline study participant for that cluster as “available”, “died”, “moved away” or “unknown”. A participant was defined to have died if this was verified by at least two people from amongst the village chief, local guide or available study participant. Those who were known to have moved away were contacted when possible to either arrange follow-up at a more suitable location for the participant or to identify if they were alive or had died in the follow-up period. Any participant for whom nobody could identify as being alive or having moved away was recorded as “unknown”.

Definitions and Statistical Analyses

All participants who had complete examinations at baseline were considered “at-risk” for mortality during follow up. Follow-up status at 6 years was categorised as:

- i) Deceased (confirmed dead, as described above);
- ii) Alive (i.e. re-examined at follow-up, retraced but refused or unavailable at follow-up, or moved away but known to be alive)
- iii) Unknown (i.e. not retraced at follow-up, death not verified as described above, or moved away but vital status unknown).

A socioeconomic status (SES) score was developed based on information collected on job, housing conditions, and ownership of material goods and livestock, based on previous work in the same population.²⁵ Hypertension was defined on the basis of the average of the second and third reading, with cut-offs used of systolic blood pressure ≥ 140 mmHG and/or diastolic blood

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3 pressure ≥ 90 mmHG and/or self-reported hypertension medication. Diabetes was defined as (1)
4 Self-reported in the history, or (2) random glucose of ≥ 11.0 mmol/L.
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8 Statistical analysis was performed using STATA v14 (Stata Corp). All analyses accounted for
9 the cluster survey design using Taylor linearized variance estimation to calculate standard
10 errors. Pearson Chi-squared tests corrected for the survey-design were used to calculate p-
11 values to assess differences between participants whose mortality status is known and those
12 where mortality status is unknown, i.e. lost to follow-up (LTFU).
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17 An inverse probability-weighting (IPW) model²⁶ was developed, in order to allow estimation
18 of mortality risk while accounting for those LTFU. Multivariable logistic regression was used
19 to identify independent baseline covariates associated with LTFU. Covariates for which there
20 was evidence of univariable association with the outcome ($p < 0.10$ across all categories of the
21 variable) were kept in a multivariable model (age, sex, rural/urban and mother tongue). From
22 this final model, the probability of being followed up was estimated, based on the presence or
23 absence of each of these baseline covariates. The inverse of this probability formed the
24 weighting to be applied to account for those LTFU.
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31 The final step was to remove those individuals LTFU from the cohort, so that all subsequent
32 analysis would be performed on only those with complete outcome records, with IPW applied
33 to account for those LTFU. A sensitivity analyses for this approach involved repeating the
34 analyses without applying IPW (i.e. standard unweighted complete case analysis), and
35 assessing the impact on the results.
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43 Six-year mortality risk was calculated by dividing the number of deaths identified at follow-up
44 by the number of people at risk at baseline. 95% confidence intervals were estimated assuming
45 a Poisson distribution of events. This was done for the population overall, and stratified by each
46 covariate.
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51 Age/sex-adjusted risk ratios for each covariate in relation to mortality were estimated using a
52 Poisson regression model with robust error variance to allow for the clustered design and
53 including IPW. Mortality status was the binary outcome and the distribution was assumed to
54 be Poisson. These analyses were adjusted for the clustered design, as well as the use of IPW,
55 by setting the clusters as the primary sampling unit and weighting using the inverse probability
56 of being followed-up. The model was further adjusted using a set of four socioeconomic (SES)
57 variables only (SES quartile, location, ethnic group, education), then a set of five non-
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3 communicable disease (NCD) risk factors only (smoking, alcohol, diabetes, hypertension,
4 BMI) before finally adjusting for all nine SES and NCD variables.
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10 ***Patient and Public Involvement***
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12 Patients and the public were not directly involvement in the development of the research
13 question and outcome measures, or the design or conduct of study. There are no plans to
14 disseminate results directly to the study participants.
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20 ***Ethical Approval***
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23 The study adhered to the tenets of the Declaration of Helsinki and was approved by the Ethics
24 Committee of London School of Hygiene and Tropical Medicine at both baseline and follow-
25 up (LSHTM Ref 6192). Baseline approval was provided by the Kenya Medical Research
26 Institute Ethics Committee and by the African Medical and Research Foundation (AMREF)
27 Ethics Committee, Kenya for the follow-up (AMREF-ESRC P44/12). For both phases,
28 approval was granted by the Rift Valley Provincial Medical Officer and the Nakuru District
29 Medical Officer of Health. Approval was sought from the administrative heads in each cluster.
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Results

At baseline 4,414 participants were examined. The follow-up assessment was conducted, on average, 5.6 years (SD 0.6) after the baseline, expressed for simplicity as 6 years (meaning that there was 6 years between the baseline and follow-up wave, rather than that each participant was followed-up on average for 6 years as the time of loss to follow-up or death was not known for individuals). Of the baseline participants, 3032 were known to be alive at follow-up (69% 2,170 re-examined at the follow-up plus 862 known to be alive but not re-examined), 409 (9%) were known to have died, and 973 had unknown vital status (22%)..

Table 1 provides the baseline characteristics of participants who had died during the follow-up, those who were re-examined at follow-up and those who were LTFU. In comparison to those who had died, those who were re-examined were younger, more likely to be female, Kalenjin speakers, and had higher SES, while those of unknown status were more likely to be of “other” tribes and urban residence.

Table 2 shows the weighted 6-year mortality risk by level of VI. Overall, the 6-year mortality risk was 11.9% over 6 years. Risk increased with worsening levels of VI, from 9.7% (95% CI 8.4%-11.1%) among those with normal vision to 38.5% (24.5-54.8%) among those who were blind. This pattern was observed in both males and females, but was less clear in people aged <60 years given the low mortality in this group and consequent small numbers. In each subgroup, the lowest risk of mortality was among people with normal vision. Mortality risk among people with VI was higher for males than for females, and among those ≥ 60 years versus <60 years. Estimates changed little after weighting for LTFU (web table for unweighted estimates).

Compared to those with normal vision (VA $>6/12$, risk=9.7%), the mortality risk was significantly higher among people with VI (VA $<6/18$ - $\geq 6/60$; risk=28.3%; RR=1.75, 95% CI 1.28-2.40) or SVI/blindness (VA $<6/60$: risk=34.9%; RR=1.98, 1.04-3.80) (Table 3). There was a weakening of the association after adjustment for non-communicable disease (NCD) risk factors or full adjustment for both socio-economic status (SES) and NCD risk factors, although the overall trends between worsening vision and increased 6-year mortality risk remained evident (VI: RR=1.56, 95% CI 1.14-2.15; SVI/blind: RR=1.46, 95%CI 0.80-2.68).

People with any VI had a higher mortality risk than those without VI (29.7% versus 9.7%; RR=1.54, 95%CI 1.22-1.93), and this association remained after adjustment for SES and NCD risk factors (RR=1.37, 95%CI 1.10-1.71) (Table 4). Other risk factors associated with 6-year mortality risk after comprehensive adjustment included increasing age (oldest versus youngest age group: RR=4.68, 95%CI 3.55-6.18) and diabetes (RR=2.34, 95%CI 1.81-3.03). Being underweight was associated with an increased 6-year mortality risk (underweight

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3 versus normal: RR=1.60, 95%CI 1.24-2.07).
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6 Risk of mortality was analysed by prevalence of specific eye diseases at baseline (Table 5).
7 The presence of cataract (or aphakia/pseudophakia) and any VI (i.e. VA <6/18 in better eye)
8 was associated with higher mortality risk (RR=1.57, 95%CI 1.24-1.98), whereas cataract
9 alone (or aphakia/pseudophakia) was not (RR=1.26, 95%CI 0.95-1.66). Mortality risk was
10 higher among people with AMD at baseline (with or without VI), compared with those
11 without, although these associations were not statistically significant (RR=1.42, 95%CI 0.91-
12 2.22 and RR=1.34, 95%CI 0.99-1.81, respectively). DR was associated with a three-fold
13 increased mortality risk (RR=3.18, 95%CI 1.98-5.09). The number of people with DR and
14 any VI were too small to make meaningful inferences.
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Discussion

VI was associated with increased mortality risk during six years of follow-up in a cohort of elderly Kenyan people. The risk of mortality increased with worsening vision. This association was reduced after adjustment for the presence of NCD risk factors, and to a lesser extent for SES indicators. Among eye conditions, DR was most strongly associated with mortality risk, although the number affected was small. Cataract with VI was also associated with elevated mortality, as were AMD and cataract without visual loss at baseline (although these estimates lacked precision).

Previous studies have also shown a positive relationship between VI and mortality, with evidence available from the USA⁶⁻⁹, UK¹⁰, Australia^{11 12}, Japan¹³, Singapore^{14 15}, China¹⁶ and India¹⁷. Others have failed to find evidence for this association, including in India²⁷, Iceland²⁸, and Taiwan.²⁹ Data from LMICs are sparse, in particular for Sub-Saharan Africa, and so comparison of our study findings to those from similar settings is not possible.

On the basis of our findings and those in the wider literature consideration can be given to the potential pathway for the association between VI and mortality. There was clear evidence for confounding by age, as both VI and mortality are independently related to older age. Consequently, imperfect adjustment for age may have allowed for residual confounding as a partial explanation for the association. There was little evidence for confounding by SES, although in this setting high SES was associated with greater prevalence of NCD risk factors,³⁰ and a somewhat reduced mortality risk. The presence of NCD risk factors may also act as confounders of the association of VI on mortality, since the association was attenuated after adjustment for these indicators, as found in other studies.¹⁰ Significant associations persisted, however, between VI and mortality after comprehensive multivariable adjustment in this study, as occurred in previous studies,^{12-14 17 31} suggesting that residual confounding or direct effects of VI on mortality may be operational.

Exploring the relationship between different eye conditions and mortality may help to clarify whether independent biological pathways exist. DR is known to be associated with increased mortality,^{15 32} as was also shown in this study. This link is unsurprising given the well-known relationship between uncontrolled diabetes with both DR and mortality. However, the relatively small number of people with DR in this population means that this link cannot be the

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3 sole driver of the VI-mortality association. Our study as well as others have shown cataract to
4 be associated with increased mortality.^{6 12 17 33 34}, though this association is not always
5 demonstrated.^{15 35} Some studies have suggested that this relationship varies by cataract type.⁸
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sole driver of the VI-mortality association. Our study as well as others have shown cataract to be associated with increased mortality.^{6 12 17 33 34}, though this association is not always demonstrated.^{15 35} Some studies have suggested that this relationship varies by cataract type.⁸ It is hypothesized that the association between cataract and mortality arises as lens opacification (cataract) is an indicator of accelerated ageing.^{20 39} The evidence for a link between AMD and mortality is more complex; some studies show that late AMD is associated with mortality, but not early AMD.^{6 40-42} Others found no association between AMD and mortality,^{15 33 35} or only among women.⁴³

There are other potential pathways between VI and mortality not explored in this study. For example, NCD risk factors may be mediators of the effect of VI on mortality (rather than confounders) for reasons such as lower ability to access NCD treatment, less exercise, poorer diets and so on. Consequently, the association between VI and mortality adjusted by NCD risk factors would be an underestimate of the total effect. There were also concerns about the accuracy of assessment of visual fields in this population. Consequently it was not possible to determine the presence of glaucoma at baseline, although others have suggested a link between glaucoma and mortality.^{15 44} We also did not assess the impact of VI in accessing health care, although the Australian Blue mountain study showed that difficulties in walking explained some of the link between VI and mortality.¹¹ Only 18 people with cataract underwent cataract surgery during the follow-up period, so it was not possible to assess the impact on mortality.

There are several further limitations of the study, which need to be considered when interpreting the findings. There was a lack of data on date of death, and no verification from death certificates, as these are rarely available in many African settings,⁴⁵ including Kenya. Cause of death could not be determined, and so we could not assess whether the relationship was stronger between VI and specific causes of mortality, notably cardiovascular and non-cancer causes, as demonstrated in previous studies,^{15 46-48} which would lend weight to a biological pathway for the association. The follow-up study was conducted after a period of post-election violence in the area. Consequently, there was a high loss to follow-up in this study, raising the possibility of selection bias influencing the findings, although patterns changed little after weighting for loss to follow up. We did not adjust for the population sampling weights in our analysis, and so there could be concerns about the representativeness of the sample, although the selected sample had a similar demographic distribution to the general population.⁴⁹ Furthermore, the mortality rate may have been higher in this period, due to violence, and may have biased the association with VI if these deaths were disproportionately among people with VI, or among younger people (with lower prevalence of VI). Date of loss

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3 to follow-up or death was not recorded, and so survival analysis was not possible. Another
4 concern is that reports of local informants was used to categorise some people who had moved
5 away as “known to be alive”, which may have created inaccuracies. The study may have been
6 under-powered for some of the sub-group analyses, such as assessing the link between type of
7 eye disease and mortality. We did not evaluate the association of different sub-types of AMD
8 or cataract in relation to mortality because of small numbers. VI classification did not include
9 loss of visual fields, and so the prevalence of functionally significant sight loss may have been
10 underestimated. Self-reported diabetes was not confirmed (e.g. from medical records). In
11 terms of strengths, this was the first study of its kind in sub-Saharan Africa to assess the
12 association between VI and mortality. The study participants comprised a representative
13 population-based sample in an area of ethnic, socioeconomic, and educational diversity. There
14 was comprehensive assessment of ophthalmic characteristics and risk factors at baseline, and
15 every attempt was made to follow up all participants, and to record vital status.
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27 In conclusion, visual acuity was related to six-year mortality risk in this cohort of elderly
28 Kenyan people. The most likely explanation for the association is that both VI and mortality
29 are related to ageing and NCD risk factors. The implication is that continuity of care is needed,
30 as people with VI require linkages to preventative and treatment services. Furthermore, we
31 must advocate for the scale-up of eye care services in Kenya, as VI is linked to premature
32 mortality.
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Table 1. Baseline Characteristics of the Nakuru Eye Disease Cohort Study

Baseline Characteristics		Deceased N=409	Followed-up or known to be alive n=3,032	p- value*	Unknown status N=973	p-value **
Age in years, mean (SD)		71.6 (12.8)	62.4 (9.6)	<0.001	63.2 (10.6)	0.60
Sex, % (n)	Female	42% (173)	53% (1,612)	<0.001	53% (516)	0.59
	Male	58% (236)	47% (1,420)		47% (457)	
Tribe, % (n)	Kikuyu	69%(283)	62% (1,881)	0.02	61% (596)	0.005
	Kalenjin	23% (93)	24% (736)		19% (186)	
	Other	8% (33)	14% (415)		20% (191)	
Education, % (n)***	None	6% (26)	9% (283)	<0.001	12% (114)	0.21
	Primary	44% (179)	32% (952)		33% (323)	
	Secondary	43% (174)	48% (1,448)		44% (427)	
	Higher	7% (29)	11% (327)		11% (105)	
Residence, % (n)	Rural	74% (303)	71% (2,167)	0.43	51% (498)	<0.001
	Urban	26% (106)	29% (865)		49% (475)	
SES Quartile, % (n)***	Lower	33% (136)	24% (709)	0.002	26% (247)	0.17
	Middle lower	24% (96)	26% (776)		23% (219)	
	Middle upper	24% (97)	26% (777)		23% (218)	
	Upper	19% (79)	24% (731)		29% (281)	

*p-value describes the strength of evidence that each variable is associated with mortality, among those where we know the mortality status (Null hypothesis is

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5 that the odds of death are equal in each category of the variable)
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7 **p-value describes the strength of evidence that each variable is associated with mortality status being missing (i.e. comparison of known versus unknown
8 mortality status). (Null hypothesis is that odds of knowing the mortality status of an individual at follow up are equal in each group)
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11 *** There were 27 missing values for education, and 48 missing values for SES.
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Table 2. 6-Year weighted mortality risk by level of VI among the Nakuru Eye Disease Cohort Study Participants, stratified by age and gender

WEIGHTED USING IPWs	Overall		Male		Female		<60 years		≥60 years	
	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)
<i>Visual acuity at baseline (better eye presenting)</i>										
All individuals	409 / 3441	119(106,134)	236 / 1656	143(123,165)	173 / 1785	98(84,113)	86 / 1503	56(45,70)	323 / 1938	169(151,188)
Normal (≥6/12)	280 / 2901	97(84,111)	162 / 1378	118(98,142)	118 / 1523	77(64,93)	78 / 1420	54(42,68)	202 / 1481	138(120,159)
Near Normal (<6/12-≥6/18)	27 / 170	158(107,226)	14 / 84	168(104,258)	13 / 86	148(85,245)	3 / 27	106(32,301)	24 / 143	168(114,241)
VI (<6/18-≥6/60)	77 / 275	283(236,336)	45 / 142	316(252,388)	32 / 133	249(178,338)	3 / 31	99(31,271)	74 / 244	307(252,368)
SVI (<6/60-≥3/60)	4 / 16	260(86,566)	2 / 10	187(34,603)	2 / 6	379(51,875)	2 / 2	-	2 / 14	136(27,475)
Blind (<3/60)	19 / 50	385(245,548)	13 / 30	436(247,646)	6 / 20	310(133,570)	0 / 6	-	19 / 44	438(279,612)
Any VI (<6/18)	100 / 341	297(248,351)	60 / 182	328(268,395)	40 / 159	262(191,347)	5 / 39	137(57,297)	95 / 302	318(262,380)

Table 3: Association between visual acuity category and 6-year mortality risk

VA category	Age-sex adjusted RR	Age-sex, SES* adjusted RR	Age-sex, NCD risk** factor adjusted RR	Fully adjusted*** RR
Normal ($\geq 6/12$)	Reference	Reference	Reference	Reference
Near Normal ($< 6/12$ - $\geq 6/18$)	0.92 (0.57 – 1.50)	0.84 (0.51 – 1.39)	0.87 (0.51 – 1.48)	0.82 (0.48 – 1.41)
VI ($< 6/18$ - $\geq 6/60$)	1.75 (1.28 – 2.40)	1.77 (1.30 – 2.40)	1.56 (1.13 – 2.16)	1.56 (1.14 – 2.15)
SVI/blind ($< 6/60$)	1.98 (1.04 – 3.80)	1.95 (1.01 – 3.76)	1.51 (0.82 – 2.77)	1.46 (0.80 – 2.68)
p-value	0.004	0.003	0.04	0.03

*SES = SES quartile, location, ethnic group, education

**NCD risk factor = smoking, alcohol, diabetes, hypertension, BMI

***Age-sex, plus all SES and NCD risk factors

Table 4. Multivariable analysis of baseline co-variables and 6-year mortality risk in the Nakuru Eye Disease Cohort Study

	No at risk	Deaths	Risk per 1,000/6yrs (95%CI)	Age-sex-adjusted Risk Ratio	Age-sex-SES adjusted Risk Ratio	Age-Sex-SES-NCD risk factor adjusted Risk Ratio
Any VI (<6/18)						
- No	3071	307	100(87,115)	Reference	Reference	Reference
- Yes	341	100	297(248,351)	1.54(1.22,1.93)	1.55(1.24,1.94)	1.37(1.10,1.71)
Gender						
- Male	1656	236	143(123,165)	Reference	Reference	Reference
- Female	1785	173	98(84,113)	0.74(0.63,0.87)	0.68(0.56,0.83)	0.82(0.63,1.06)
Age						
- 50-59	1503	86	56(45,70)	Reference	Reference	Reference
- 60-69	1036	96	94(77,115)	1.64(1.24,2.17)	1.58(1.19,2.09)	1.40(1.07,1.83)
- 70-79	571	107	191(161,224)	3.27(2.55,4.20)	3.15(2.39,4.15)	2.74(2.09,3.60)
- 80+	331	120	363(311,419)	6.36(4.85,8.33)	5.76(4.39,7.57)	4.68(3.55,6.18)
SES risk factors						
Location						
- Rural	2470	303	123(108,140)	Reference	Reference	Reference
- Urban	971	106	110(83,145)	1.14(0.90,1.45)	1.15(0.90,1.48)	1.18(0.91,1.53)
SES quartile						
- Lower	845	136	164(137,197)	Reference	Reference	Reference

- Lower middle	872	96	110(91,134)	0.75(0.60,0.93)	0.72(0.58,0.91)	0.75(0.59,0.95)
- Upper middle	874	97	110(89,134)	0.86(0.66,1.11)	0.82(0.63,1.06)	0.84(0.65,1.09)
- Upper	810	79	99(78,126)	0.89(0.69,1.14)	0.78(0.58,1.05)	0.76(0.56,1.03)
Ethnic group						
- Kikuyu	2164	283	131(116,148)	Reference	Reference	Reference
- Kalenjin	829	93	113(91,139)	0.83(0.66,1.03)	0.78(0.62,0.99)	0.81(0.63,1.04)
- Other	448	33	76(48,119)	0.84(0.56,1.26)	0.82(0.55,1.21)	0.81(0.55,1.20)
Education						
- No education	309	26	86(58,125)	Reference	Reference	Reference
- Primary	1131	179	161(138,188)	0.95(0.65,1.41)	0.96(0.63,1.46)	0.97(0.63,1.50)
- Secondary	1622	174	107(91,125)	0.85(0.59,1.22)	0.84(0.57,1.24)	0.87(0.59,1.28)
- College/ Uni	356	29	81(52,124)	0.94(0.57,1.55)	0.95(0.57,1.55)	1.01(0.62,1.64)
Risk factors for NCD						
Smoking						
- Never	2396	256	107(92,124)	Reference	Reference	Reference
- Former	252	33	131(93,180)	1.20(0.85,1.69)	1.20(0.85,1.71)	1.15(0.79,1.66)
- Current	775	120	156(132,184)	1.19(0.93,1.52)	1.17(0.91,1.50)	1.19(0.91,1.55)
Diabetes						
- No	3202	354	111(98,125)	Reference	Reference	Reference
- Yes	216	54	248(194,313)	2.16(1.69,2.77)	2.20(1.69,2.84)	2.34(1.81,3.03)
Hypertension						

- No	1670	179	108(92,126)	Reference	Reference	Reference
- Yes	1737	229	132(113,153)	1.08(0.90,1.29)	1.06(0.88,1.28)	1.11(0.92,1.34)
Alcohol						
- Never	1335	117	87(73,104)	Reference	Reference	Reference
- Former	1520	221	147(125,171)	1.18(0.95,1.48)	1.18(0.94,1.49)	1.15(0.90,1.46)
- Current	559	70	125(100,157)	1.15(0.86,1.53)	1.18(0.87,1.60)	1.08(0.77,1.51)
BMI						
- Underweight	468	99	216(169,271)	1.55(1.20,2.00)	1.57(1.22,2.02)	1.60(1.24,2.07)
- Normal	1697	199	117(101,136)	Reference	Reference	Reference
- Overweight	779	66	86(66,111)	0.90(0.69,1.18)	0.87(0.66,1.14)	0.81(0.62, 1.05)
- Obese	447	32	73(52,100)	0.89(0.63,1.25)	0.86(0.59,1.24)	0.83(0.57,1.20)

Table 5: Risk of mortality during 6 years of follow up by the presence of specific eye diseases at baseline

	No at risk	Deaths	Risk per 1,000/6yrs (95%CI)	Age-sex-adjusted Risk Ratio
Cataract present				
- No	1921	142	72.5(59.1,88.6)	Reference
- Yes	1478	265	181.3(161.6,202.8)	1.26(0.95,1.66)
Cataract and VI present (<6/18)				
- No	3103	313	100.7(87.5,115.5)	Reference
- Yes	296	94	322(267.3,381.8)	1.57(1.24,1.98)
AMD present				
- No	2270	225	99.2(83.3,117.8)	Reference
- Yes	319	57	183.4(141.3,234.5)	1.34(0.99,1.81)
AMD and VI present (<6/18)				
- No	2529	265	105.5(89.9,123.4)	Reference
- Yes	60	17	282.7(178.5,416.8)	1.42(0.91,2.22)
DR present				

- No	2513	264	105.7(90.4,123.3)	Reference
- Yes	55	18	318.4(199.4,467.0)	3.18(1.98,5.09)
DR and VI present (<6/18)				
- No	2563	280	109.9(94.1,128.0)	Reference
- Yes	5	2	401.6(37.5,920.4)	2.54(0.57,11.36)

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Web Table 1. 6-Year adjusted mortality risk by level of VI among the Nakuru Eye Disease Cohort Study Participants, stratified by age and gender (Unweighted)

	Overall		Male		Female		<60 years		≥60 years	
	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)	N	Risk per 1,000/6yrs (95%CI)
<i>Visual acuity at baseline (better eye presenting)</i>										
All individuals	409 / 3441	119(106,134)	236 / 1656	143(124,164)	173 / 1785	97(83,113)	86 / 1503	57(46,71)	323 / 1938	167(150,185)
Normal (≥6/12)	280 / 2901	97(84,111)	162 / 1378	118(98,140)	118 / 1523	78(64,93)	78 / 1420	55(44,69)	202 / 1481	136(118,157)
Near Normal (<6/12-≥6/18)	27 / 170	159(109,226)	14 / 84	167(104,256)	13 / 86	151(87,250)	3 / 27	111(34,309)	24 / 143	168(115,238)
VI (<6/18-≥6/60)	77 / 275	280(234,331)	45 / 142	317(252,390)	32 / 133	241(172,325)	3 / 31	97(30,268)	74 / 244	303(250,363)
SVI (<6/60-≥3/60)	4 / 16	250(83,552)	2 / 10	200(37,622)	2 / 6	333(42,851)	2 / 2	-	2 / 14	143(28,490)
Blind (<3/60)	19 / 50	380(242,541)	13 / 30	433(246,642)	6 / 20	300(129,553)	0 / 6	-	19 / 44	433(275,604)
Any VI (<6/18)	100 / 341	293(245,347)	60 / 182	330(268,398)	40 / 159	252(184,333)	5 / 39	128(52,281)	95 / 302	315(260,375)

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cohort studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1, 2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	6, 7
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	6, 7
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	7
Bias	9	Describe any efforts to address potential sources of bias	8
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	7,8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	7,8
		(b) Describe any methods used to examine subgroups and interactions	7,8
		(c) Explain how missing data were addressed	7,8
		(d) If applicable, explain how loss to follow-up was addressed	7,8
		(e) Describe any sensitivity analyses	7,8
Results			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	10
		(b) Give reasons for non-participation at each stage	10
		(c) Consider use of a flow diagram	-
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	10
		(b) Indicate number of participants with missing data for each variable of interest	In tables
		(c) Summarise follow-up time (eg, average and total amount)	10
Outcome data	15*	Report numbers of outcome events or summary measures over time	10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Tables 1 and 2
		(b) Report category boundaries when continuous variables were categorized	All tables
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	-
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	10
Discussion			
Key results	18	Summarise key results with reference to study objectives	12
Limitations			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	13
Generalisability	21	Discuss the generalisability (external validity) of the study results	12
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	3

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.