A Circulating Tumor Cell-RNA Assay for Assessment of Androgen Receptor Signaling Inhibitor Sensitivity in Metastatic Castration-Resistant Prostate Cancer

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SUPPLEMENTARY METHODS

Manufacture Materials and Methods of the TR-NanoVelcro CTC Purification System

Fabrication of Silicon Nanowire Substrates (SiNWS)

Silicon wafers (p-type, (100)-orientation, resistivity of ca. 10-20 Ω^* cm) were acquired from Silicon Quest International, Inc. (CA, USA). Sulfuric acid (98%), hydrogen peroxide (30%), silver nitrate (>99.8%), hydrofluoric acid (48%), ethanol (>99.5%), and 3-mercaptopropyl trimethoxysilane (95%) were purchased from Sigma-Aldrich Co. (MO, USA). All chemicals were used without additional purification.

Polymer Brush Synthesis and Conjugation

Anhydrous dichloromethane, N,N-dimethylformamide, triethylamine, 3toluene. (APTES, aminopropyltriethoxysilane 98%), copper(I) bromide (98%), 2-bromo-2methylpropionyl bromide (98%). N-(3-(dimethylamino)propyl)-N'-ethylcarbodiimide hydrochloride (EDC, ≥98%), biotin (97%), and 2-aminoethyl methacrylate hydrochloride were obtained from Sigma-Aldrich Co. (MO, USA). N-Isopropylacrylamide (NIPAM, >98.0%) was purchased from Tokyo Chemical Industry Co., Ltd. (TCI) America (OR, USA). All chemicals were used without additional purification.

Fabrication of PDMS Chaotic Mixer

PDMS chaotic mixers were fabricated based on a soft lithographic approach[1, 2]. The patterned silicon master mold (or silicon replicate) was fabricated by a standard two-step photolithographic procedure. A negative photoresist (SU8-2100, MicroChem Corp., MA, USA) was spin-coated with a 100 µm thickness onto a 3 in. silicon wafer. After exposure to UV and further development, a serpentine fluidic channel with a rectangular cross shape (length 22 cm and width 1.0 mm) was obtained. Another negative photoresist (35 µm, SU8-2025, MicroChem Corp., MA, USA) was spin-coated on the same wafer. Prior to UV irradiation, the mask was aligned (Karl Suss America Inc., VT, USA) to get an accurate alignment between the prior pattern and the pattern to be imprinted. The fabricated pattern contained ceiling "ridges" that promote chaotic mixing effect in the fluid channel. The mold was then exposed to trimethylchlorosilane vapor for 2-3 min and then transferred to a Petri dish. To prepare a 6-mm thick chip, a well-mixed PDMS prepolymer (GE Silicones, NY, USA; RTV 615 A and B in 10 to 1 ratio) was poured into the mold and kept in an

oven at 80°C for 48 h. The PDMS chaotic mixers were then peeled off from the mold, and two through-holes were punched at the fabric channel's ends for connection with the fluidic handler.

Preparation of Thermoresponsive NanoVelcro Substrates

Photolithography and Wet Etching To Introduce[1, 3] SiNWS onto a Silicon Wafer. Lithographically patterned SiNWS were prepared by a standard photolithography and a chemical wet etching process [4]. Photoresist (AZ 5214) was spin-coated onto a silicon wafer with 100 µm thickness. After exposure of UV light and development, the silicon wafer was kept in etching solution containing deionized water, HF (4.6 M), and silver nitrate (0.2 M). Then, the substrate was treated with boiling aqua regia (3:1 (v/v) HCl/HNO₃) for 15 min. The patterned photoresist on the silicon substrate was removed by rinsing with acetone and ethanol. After being washed with deionized water and then dried with nitrogen, the patterned SiNWS were obtained. Covalently Grafting[5, 6] PIPAAm Polymer Brushes onto SiNWS. The surfaces of the lithographically patterned SiNWS were modified with APTES (1% (v/v) in toluene) to have amine groups. The APTES-grafted SiNWS were reacted with 2-bromo-2-methylpropionyl bromide (9.1 mL, 72 mmol, atom transfer radical polymerization (ATRP) initiator) in the solution of dichloromethane (200 mL) and triethylamine (10 mL, 72 mmol). Then, NIPAM and 2-aminoethyl methacrylate hydrochloride were polymerized on the surface of the ATRP initiator conjugated SiNWS in the presence of Cu(I)Br. PIPAAm containing three different amine group densities (i.e., 2.5, 5.0, and 10.0%) were obtained by controlling the mixing ratios of copolymer precursors. Finally, biotin (0.48 g, 1.9 mmol) was conjugated on PIPAAm-grafted SiNWS via EDC reaction for streptavidinmedicated conjugation of anti-EpCAM.

Analytical validation Studies of the NanoVelcro CTC-RNA Assay and the CTC-PCS1 Panel

We tested a PCa cell line (i.e., 22Rv1) in the NanoVelcro CTC-RNA assay to determine the sensitivity and dynamic range of the assay for measuring CTC-PCS1 RNA signature. We prepared dilutions of 22Rv1 cells with different cell numbers (n = 5, 10, 50, and 100 cells, mimicking the CTC numbers present in 2-mL clinical blood samples [3, 6-11]) and tested the assay for quantifying RNA transcripts of a housekeeping gene (i.e., HPRT) and the 16 CTC-PCS1 genes. We demonstrated that the NanoVelcro CTC-RNA assay showed high detection sensitivity for quantifying RNA counts of the HPRT gene and the 16 CTC-PCS1 genes at the cell number as low as 5 cells (~100 counts of HPRT and ~1500 total counts in CTC-PCS1 panel genes detected in 5

cells, **Supplementary Figure 2A and 2B**). Moreover, the RNA expression detected by our assay showed high linear correlation with 22Rv1 cell numbers (R-square= 0.8298 and 0.8130, respectively). The results indicated that the NanoVelcro CTC-RNA assay exhibited the sensitivity and linearity needed to detect the CTC numbers in the dynamic range of 5-100 cells, which is expected from clinical blood samples.

To demonstrate that the CTC-PCS1 panel detects the CTC-derived PCS1 signatures in the presence of WBC background, we quantified the RNA expression of the CTC-PCS1 panel with NanoString nCounter platform using RNA samples extracted from 2 PCa cell lines (i.e., 22Rv1 and LNCaP) and healthy donor PBMCs. We prepared the samples by extracting RNA from different cell numbers of the PCa cell lines (n = 5, 10, 50, and 100 cells) as well as heathy donor PBMCs (n = 50, 100, 500, and 1000 cells). The cell number ranges mimic the CTC and background WBC numbers (i.e., 5-100 PCa cells and 50-1000 WBCs) observed in the CTC samples purified by the TR-NanoVelcro system [6] from 2-mL of patient blood. We found that the 22Rv1 and LNCaP cells have significantly higher CTC-PCS1 panel gene counts than that of the healthy donor PBMCs in the given dynamic range (Supplementary Figure 2C). This was supported by simple linear fitting of the calibration lines. The slopes of curves of 22Rv1 and LNCaP cells are 47 and 44 counts/cell respectively, while the slope of the curve of the healthy donor PBMCs is 3 counts/cell. This result suggested that the CTC-PCS1 panel detects the PCa-specific RNA signature mostly contributed by PCa cells. The RNA expression from background WBCs in the system would have minimal effect to the RNA readout. This further validated the PCa-specific RNA panel selection process of the CTC-PCS1 panel and paved the way for testing in clinical CTC samples with some WBC background.

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37°C- CTC Capture



4°C- CTC Release

Supplementary Figure 1. The working mechanism of TR-NanoVelcro CTC Purification system. In 37°C, the capture agent (anti-EpCAM) portions of the polymer brush are exposed and CTCs are captured on the thermoresponsive brushes. While the device is cooled down to 4°C, the anti-EpCAM portions of the polymer brush are laid flat and the CTCs are released from the thermoresponsive brushes.



Supplementary Figure 2. Analytical validation studies of the NanoVelcro CTC-RNA assay and CTC-PCS1 panel. (A) The HPRT RNA expression of PCa cell line 22Rv1 in different cell numbers measured by the NanoVelcro CTC-RNA assay (R-square= 0.8298). (B) NanoVelcro CTC-RNA assay quantification of the total CTC-PCS1 panel (16 genes) RNA expression of PCa cell line 22Rv1 in different cell numbers (R-square= 0.8130). (C) The total CTC-PCS1 panel (16 genes) RNA expression directly quantified by NanoString nCounter platform using PCa cell lines 22Rv1, LNCaP and healthy donor PBMCs in different cell numbers. Slopes of the curve- 22Rv1: 47 counts/cell, LNCaP: 44 counts/cell, healthy donor PBMC: 3 counts/cell.

Patient ID	Sample ID	Age	Race	Treatment	ARSI-S/ ARSI-R	Serum PSA at CTC draw	Disease metastasis sites	Previous CRPC Systemic Treatment
Patient 1	Sample 1	59	White	Abiraterone	Sensitive	0.9	Bone, Liver	Bicalutamide, Docetaxel
Patient 1	Sample 13	59	White	Abiraterone	Resistant	7	Bone, Liver	Bicalutamide, Docetaxel
Patient 2	Sample 4	71	White	Enzalutamide	Sensitive	< 0.1	Lymph node	Bicalutamide, Ketoconazole
Patient 2	Sample 10	72	White	Enzalutamide	Resistant	3.1	Lymph node	Bicalutamide, Ketoconazole
Patient 3	Sample 2	82	White	Abiraterone	Sensitive	676	Bone, Lymph node	Radium-223, Enzalutamide, Apalutamide
Patient 3	Sample 20	83	White	Abiraterone	Resistant	2212.6	Bone, Lymph node	Radium-223, Enzalutamide, Apalutamide
Patient 4	Sample 7	73	White	Enzalutamide	Sensitive	51.9	Bone	Bicalutamide, Docetaxel, Abiraterone
Patient 4	Sample 27	73	White	Enzalutamide	Resistant	185.6	Bone	Bicalutamide, Docetaxel, Abiraterone
Patient 5	Sample 19	75	White	Abiraterone	Sensitive	14.7	Bone	Apalutamide
Patient 5	Sample 11	77	White	Abiraterone	Resistant	1953.5	Bone	Apalutamide
Patient 6	Sample 18	79	White	Abiraterone	Sensitive	713	Bone	Apalutamide
Patient 6	Sample 26	80	White	Abiraterone	Resistant	1040	Bone	Apalutamide
Patient 7	Sample 24	71	White	Enzalutamide	Sensitive	16.4	Adrenal gland	None
Patient 7	Sample 30	72	White	Enzalutamide	Resistant	0.3	Adrenal gland	None
Patient 8	Sample 25	72	White	Enzalutamide	Sensitive	1.2	Lymph node	Bicalutamide, Sipuleucel-T, Abiraterone, Docetaxel
Patient 8	Sample 29	73	White	Enzalutamide	Resistant	70.6	Lymph node	Bicalutamide, Sipuleucel-T, Abiraterone, Docetaxel
Patient 9	Sample 3	74	White	Abiraterone	Sensitive	2.4	Bone, Lung	Bicalutamide
Patient 10	Sample 5	76	White	Abiraterone	Sensitive	484.9	Bone	None
Patient 11	Sample 6	62	White	Abiraterone	Resistant	2541.1	Bone, Lymph node, Brain	Apalutamide
Patient 12	Sample 8	76	American Indian	Enzalutamide	Sensitive	0.1	Lymph node	Bicalutamide
Patient 13	Sample 9	71	White	Enzalutamide	Sensitive	0.8	Bone, Lung	Docetaxel
Patient 14	Sample 12	84	White	Enzalutamide	Resistant	319.3	Bone	Ketoconazole, Abiraterone, Radium-223
Patient 15	Sample 14	75	African American	Abiraterone	Sensitive	< 0.1	Bone, Lymph node	None
Patient 16	Sample 15	63	White	Enzalutamide	Resistant	14.9	Lymph node	Bicalutamide, Docetaxel
Patient 17	Sample 16	69	African American	Abiraterone	Sensitive	14.9	Bone	Bicalutamide
Patient 18	Sample 17	83	White	Enzalutamide	Resistant	37.6	Bone	Bicalutamide
Patient 19	Sample 21	75	American Indian	Enzalutamide	Sensitive	0.6	Lymph node	Bicalutamide
Patient 20	Sample 22	66	Asian	Enzalutamide	Sensitive	< 0.1	Bone	None
Patient 21	Sample 23	58	White	Abiraterone	Resistant	7.8	Bone, Lung	Bicalutamide
Patient 22	Sample 28	81	White	Enzalutamide	Resistant	0.2	Bone	Bicalutamide
Patient 23	Sample 31	56	White	Enzalutamide	Sensitive	4.1	Bone, Lymph node	Bicalutamide, Docetaxel

Supplementary Table 1. Patient demographics. The demographics of total 31 samples from 23 patients. The Patient ID, Sample ID, Age, Race, ARSI Treatment, ARSI-S/ARSI-R status, Serum PSA at CTC draw, Disease metastasis sites and Previous Systemic Treatment are recorded as above.