

Premedication Trial for Tracheal Intubation of the NEOnate (PRETTINEO)

A multicenter double blind randomized controlled trial comparing "atropine+propofol" vs "atropine+atracurium+sufentanil" as a premedication prior to endotracheal intubation of the neonate— English Version (translated from the original French version)

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99 **Scientific justification and general description of the research**

100 **Name and description of the experimental drug(s)**

101
102 Propofol (2,6-diisopropylphenol) is a diisopropylphenol belonging to the class of general
103 anesthetics. Anesthetic properties are likely mediated by depression of NMDA receptor neuro-
104 excitatory activity and activation of GABA A receptors ¹.

105 Atropine is a parasympatholytic used in pre-anesthesia as a protection against vagal
106 manifestations.

107 Sufentanil is a rapid, short-acting synthetic morphine used in anesthesia and resuscitation.

108 Atracurium is a non-depolarizing, short-acting, fast-acting neuromuscular blocker used to facilitate
109 tracheal intubation and artificial ventilation.

110

111 **Scientific data from the literature**

112 Prevention of pain in newborns should be a priority for all caregivers, as pain transmission
113 pathways are present in the fetus as early as 22 weeks of gestation². The management of newborn
114 pain by pharmacological or non-pharmacological means was the subject of a consensus by the
115 American Academy of Pediatrics and the Canadian Pediatric Society in 2000 ³. A large
116 observational study conducted in the Paris region in 2005-2006 reports that the median number of
117 painful procedures in neonatal intensive care units is 16 per child per day of hospitalization ⁴.
118 Beyond its ethically obvious nature, the management of newborn pain is important at many levels.
119 Repeated exposure to pain during the neonatal period has adverse consequences on brain
120 development⁵. In the long term, we observe in the former very premature infants an alteration of
121 the sensitivity to the pain (which persists at least until the adolescence) ⁶ and behavioral
122 modifications (anticipatory fear for pain with reactions of withdrawal) ⁷. Premature newborns who
123 have the highest risk of having neurological sequelae are also those who experience the most
124 painful stimulation during their stay in neonatal resuscitation ⁸. Finally, pain contributes to parental
125 stress, which is all the more important because separation is early and prolonged ⁹.

126 Endotracheal intubation is commonly performed in the NICU and delivery room. A
127 declarative survey conducted in France in 46 NICUS and 38 delivery rooms showed that only 74%
128 of newborns were intubated with sedation and / or analgesia ¹⁰. A posteriori analysis of the
129 EPIPAIN study showed that in 12 out of 13 pediatric and neonatal ICUs in Ile de France, specific
130 premedication was only administered in 56% of neonatal intubations ¹¹. Yet this procedure is a
131 painful and unpleasant experience ^{12,13} and there is a definite interest in intubating with
132 premedication, as recently recommended by the American Academy of Pediatrics (AAP) ¹⁴.
133 Premedication reduces the time and number of attempts necessary for intubation ¹⁵. Intubation

134 without analgesia increases intracranial pressure and thus potentially increases the risk of
135 intraventricular hemorrhage¹⁶. In addition, laryngoscopy deforms the larynx and upper airways
136 causing activation of the sympathetic and parasympathetic system responsible for bradycardia and
137 increased intra-thoracic pressure¹⁷.

138 Reasons for not using analgesia may include lack of familiarity with premedication, fear of
139 adverse effects, lack of sufficient evidence of efficacy, or lack of consensus on the optimal regimen
140 of premedication. Several therapeutic classes have been evaluated for premedication before
141 intubation and have been the subject of a recent comprehensive review¹⁸. Barbiturates do not
142 diminish the occurrence of desaturations^{17,19}. Midazolam seems dangerous if used alone²⁰.
143 Opioids used without a neuromuscular blocker are associated with frequent desaturations^{21,22}. On
144 the other hand, the combination of opioid and a neuromuscular blocker improves the conditions of
145 intubation²². This opioid+neuromuscular blocker combination is therefore considered the gold
146 standard of premedication before intubation²³.

147 Propofol is a diisopropylphenol which has many theoretical advantages. Its activity is
148 observed in less than a minute after intravenous administration. The duration of action is brief, the
149 half-life in adults is 1.8 to 4.1 minutes²⁴. The preparation of this drug is fast and easy because it is
150 not necessary to dilute it, which decreases the risk of error. This drug can be used alone because it
151 has no vagolytic action. It decreases the pharyngeal reflex and muscle tone facilitating intubation²⁵
152 and allows the maintenance of spontaneous breathing. Although it is not an analgesic, its
153 effectiveness has been proven in many studies in children. It is commonly used as a premedication
154 for bronchial²⁶ or digestive²⁷ fibroscopy in children. Propofol has also been shown to be effective
155 for difficult intubations, for intubation in patients with vigil coma and for insertion of laryngeal and
156 pharyngeal masks^{28,29}.

157 The use of propofol is common in adults and children, in intensive care and anesthesia.
158 Reported adverse reactions of propofol are mild injection pain and systemic hypotension. From a
159 hemodynamic point of view, propofol decreases cardiac pre-load and post-load that can lead to
160 systemic hypotension due to a decrease in sympathetic tone and vascular resistance³⁰ without any
161 change in myocardial contractility³¹. In most cases, hypotension is brief without requiring volume
162 expansion³². In the respiratory system, it has been shown that propofol can reduce the diameter of
163 the airways; this effect is completely reversible with continuous positive airway ventilation³³. In less
164 than 2% of children undergoing endoscopy of the upper airway spontaneous ventilation under
165 propofol, spontaneously resolving episodes of desaturation have been reported³⁴. Only continuous
166 intravenous infusion of propofol at a dose greater than 5mg / kg / h has been associated in adults
167 and children with severe complications associating zinc deficiency, metabolic acidosis,
168 rhabdomyolysis, hyperkalemia and renal failure that may lead to deaths³⁵. Finally, maternal
169 anesthesia with propofol for caesarean sections does not significantly alter the Apgar score in
170 neonates compared to other analgesic protocols^{36,37}.

171 Several animal studies have been conducted on the possible neurotoxicity of propofol.
172 Indeed, propofol positively modulates the inhibitory function of GABA (gamma-amino-butyric
173 acid) neurotransmitters causing a GABA accumulation by inhibition of reuptake and is an NMDA (N
174 methyl D aspartate) receptor antagonist. In murine models, NMDA antagonists can induce massive
175 neurodegeneration by apoptosis³⁸. However, these events are dependent on the dose
176 administered, the chosen injection schedule (single dose or continuous infusion), the duration of
177 exposure, stage of development and other anesthetic agents administered simultaneously³⁹. Al-
178 Jadhari *et al.* in a dose-response study showed that exposure of neuronal growth cones from
179 chicken embryos resulted in collapse of these embryos, which was reversible if the dose used was
180 low and the exposure time was short³⁹. The toxic doses used in this study are much higher than
181 the doses used in humans. Vutskits *et al* identified an impairment of dendritic growth of rat neurons
182 in vitro at doses considered clinically relevant⁴⁰. In contrast, propofol has beneficial effects
183 described by its antioxidant properties in the adult animal where a model of cerebral ischemia-
184 reperfusion is observed to decrease neuronal apoptosis⁴¹. The mechanism of action involves a
185 decrease in lipid peroxidation⁴² and a decrease in the amount of free radicals⁴³. In animals,
186 propofol also has immunomodulatory effects. There is a decrease in mortality in anesthetized rats
187 after induction of septic shock by bacterial endotoxin⁴⁴. Propofol decreases the synthesis of pro-
188 inflammatory cytokines (TNF α and IL-6) in vivo and in vitro⁴⁵. It alters the immune functions of
189 monocytes and polymorphonuclear neutrophils⁴⁶. It has a protective effect on the lungs after
190 experimental induction of ARDS with oleic acid⁴⁷.

191 The interpretation of such experimental results is delicate and experts recommend the
192 utmost caution in the transposition of animal data to humans⁴⁸. All families of anesthetic drugs
193 (opioids, benzodiazepines, GABA agonists, NMDA antagonists) have been implicated in the
194 development of brain development disorders^{48,49}. However, the fight against pain must remain a
195 priority in Neonatology while ensuring a rigorous and long-term evaluation of new practices.

196
197 Researchers in San Diego and Dartmouth have previously studied the value of adding a
198 fast-acting neuromuscular blocker to an opioid before intubation in an open randomized study²².
199 The atropine-fentanyl combination was compared to the atropine-fentanyl-mivacurium combination.
200 The results of this study showed that the use of neuromuscular blocker combined with analgesia
201 and anti-cholinergic decreased the time and number of attempts needed to intubate (confirmation
202 of the secondary hypothesis) without significantly decreasing episodes of saturation lower than
203 75% (reversal of the main hypothesis). In the mivacurium group (n = 21, mean weight: 1560g,
204 mean age adjusted 31SA), 29% of children experienced a desaturation episode <75% with a
205 duration greater than 30s. The total duration of the procedure was 31% shorter in the group
206 receiving neuromuscular blocker and the total duration of laryngoscopy decreased by 41%.

207 Créteil's team carried out a prospective study for the evaluation of premedication with
208 atropine, sufentanil and atracurium in newborns with less than 32 SA and / or less than 1500g (n =

209 35 intubations, median birth weight: 850g, mean gestational age at birth: 27.6 weeks, median age
210 at intubation: 10 days, (IQR [4-16])⁵⁰. The intubation conditions reported by the operator were
211 "good or excellent" in 94% of cases and the success rate at the first attempt of 75%. However,
212 desaturations below 80% lasting at least one minute were observed in one out of two cases.
213 Episodes of desaturation are therefore a common adverse event in this population during the
214 intubation procedure with the atropine-opioid-neuromuscular blocker combination. The time
215 required to prepare drugs is another disadvantage of this triple therapy since Ghanta *et al.* reported
216 a preparation time of 960 seconds (900 to 1200s)⁵¹. It is necessary to dilute the three products,
217 each dilution exposing to a risk of error. Other notable adverse effects include the induction of
218 thoracic rigidity or laryngospasm by fentanyl and its derivatives, making mechanical ventilation or
219 intubation more difficult⁵². The neuromuscular blockers, in turn, induce prolonged muscle
220 relaxation and apnea requiring rapid initiation of assisted ventilation. But they do not always
221 prevent the occurrence of chest blocking phenomena induced by sufentanil⁵⁰. These two
222 phenomena can contribute to the occurrence of episodes of prolonged and/or severe desaturation.

223 In neonates, the only prospective randomized trial evaluating propofol as premedication
224 before intubation was performed in an Australian center⁵¹. The hypothesis was that by allowing
225 spontaneous breathing, the propofol-treated group would have fewer apneas and therefore
226 potentially fewer episodes of hypoxemia during the procedure. The authors compared propofol at a
227 dose of 2.5 mg / kg renewable as needed (n = 33) to a morphine-atropine-suxamethonium
228 combination (n = 30) in neonates born at 25 to 31 weeks of gestation, with birth weights ranging
229 from 759 to 1612g, intubation weight from 810 to 1972g, and age at intubation from 1 to 33 days.
230 The results showed that sufficient muscle relaxation or sleep was achieved in 60 seconds in each
231 group and that the intubation time was significantly shorter in the "propofol" group (120s versus
232 260s). No difference in blood pressure and heart rate was observed between the groups. The
233 median minimum oxygen saturation values during the procedure were significantly lower in the
234 "morphine-atropine-suxamethonium" group (60 versus 80%). However, this minimum single
235 saturation value does not necessarily indicate the duration or severity of hypoxia. The onset of
236 anesthesia was faster in the "propofol" group (780 vs 1425s) and no serious adverse events were
237 observed during the study, including no grade III or IV intraventricular hemorrhage.

238 Other descriptive studies have been published on the use of propofol as premedication
239 before neonatal intubation. Papoff *et al.* reported the use of fentanyl (1.5 µg / kg in 1 minute) and
240 propofol (2 mg / kg in 20 seconds) in 21 term or near term neonates⁵³. The intubation conditions
241 were good despite the occurrence of abrupt desaturations (> 60%) in 7 cases. In the majority of
242 these cases, these desaturations were accompanied by a drop in blood pressure (undefined) that
243 the authors treated with the administration of 10 ml / kg of normal saline bolus. In conclusion, the
244 authors considered this association as safe and effective.

245 More recently, Welzing *et al.* published a pilot study of 13 newborns less than 8 hours of life
246 eligible for the INSURE procedure (INTubation SURfactant Extubation)⁵⁴. This pilot study was

247 prematurely interrupted due to the frequency of arterial hypotension defined by a mean arterial
248 blood pressure <25 mm Hg. Propofol was administered as a bolus dose of 1 mg / kg in the first 6
249 patients and over 60 seconds in the 7 following. In the first phase (bolus), 3 out of 6 patients
250 experienced hypotension <25 mmHg 10 minutes after administration. In the second phase (1-
251 minute injection), two patients experienced hypotension <25 mm Hg. In these 7 infants, mean
252 pressure decreased from 37 mmHg to 28 mmHg 5 minutes after propofol administration. No
253 significant changes in heart rate and O2 saturation were observed. No complications such as
254 intraventricular hemorrhage, periventricular leukomalacia, necrotizing enterocolitis, retinopathy or
255 bronchopulmonary dysplasia were observed in the 13 children who participated in the study. 85%
256 of intubations were performed under conditions deemed "good" or "excellent".

257 In 2013, Simons *et al.* published their experience with propofol in 62 neonatal intubations⁵⁵.
258 The initial dose of 2 mg / kg was sufficient for 37% of patients. Hypotension occurred in 39% and
259 was more common in the first day of life. However, the diversity of associated pathologies
260 (necrotizing enterocolitis, sepsis) could potentially have increased the risk of hypotension.

261 Between March 2007 and December 2008, the NICU at the Centre Hospitalier
262 Intercommunal de Creteil conducted an observational study of 33 intubations with propofol in
263 infants born after 32 weeks of gestation⁵⁶. The dose of 2.5 mg / kg was administered over 60
264 seconds and could be repeated if necessary. Intubation conditions were rated as "good" or
265 "excellent" in 91% of cases. Desaturation <80% for at least 1 minute occurred in 17 cases (52%)
266 and bradycardia <100 / min for at least 1 minute in 5 cases (15%). Mean arterial blood pressure
267 decreased at 5 and 10 minutes after injection (respectively -6.6 and -9.9 mmHg) but normalized
268 spontaneously 15 minutes after injection. No significant changes in heart rate were observed. The
269 identified risk factors for onset of desaturation were lower pre-intubation SpO2 (93% vs 98%) and
270 longer duration of intubation (394 sec vs 167 sec).

271

272 **Predictable benefits and risks known to patients who are eligible for research**

273

274 The expected benefit for infants participating in this study is the systematic administration of a
275 premedication before tracheal intubation except for life-threatening situations. These infants will
276 also benefit from sustained surveillance during and after the procedure.

277 The expected risks are those commonly described during tracheal intubation in the newborn:
278 bradycardia, desaturation, trauma to the upper airways. Premedication should avoid pain and
279 discomfort, but expose children to the theoretical risk of chest stiffness and low blood pressure.

280 The interpretation of arterial hypotension in preterm infants is extremely difficult⁵⁷. Indeed, it has
281 been shown that the correlation between blood pressure and cardiac output is poor in these
282 children⁵⁸. Upper vena cava flow was more predictive of neurodevelopmental outcome at 3 years
283 than arterial hypotension in premature infants⁵⁹. However, routine measurement is difficult,
284 especially during intubation.

285 Concerning neurodevelopmental outcome, no evidence in human clinical research
286 (exclusively retrospective data) allows to fear a possible toxicity of non-surgical anesthetic
287 treatment in neonates hospitalized in NICU^{48,60,61}. However, short and long term neurological
288 monitoring will be performed.
289

290 **Description and justification of the route of administration, dosage, administration** 291 **schedule and duration of treatment** 292

293 The drugs studied are strictly reserved for the intravenous route. These treatments will be
294 evaluated for a single episode of intubation corresponding to a single administration of the
295 treatments.

296 Determination of doses

297 All children will receive atropine at a dose of 0.02 mg / kg IVD, ie 0.08 ml / kg of the 1 ml solution =
298 0.25 mg. This dose is routinely used in pre-anesthesia to prevent vagal bradycardias associated
299 with the use of neuromuscular blockers⁶². Atropine will be routinely administered to prevent vagal
300 stimulation associated with laryngoscopy⁶³.

301 Regarding propofol, the dose of 2.5 mg / kg was used for intubation of the preterm infant with no
302 significant side effects, especially hemodynamic⁵¹. This study allowed a second injection of 2.5 mg
303 / kg in case of failure of the first dose, which was necessary in 24% of cases⁶⁴. In addition, a
304 pharmacological study in the term and premature newborn showed that a single injection of 3 mg /
305 kg resulted in rapid elimination of the product⁶⁵. This same study established a slower elimination
306 of the product in premature infants and children less than 10 days old. However, the occurrence of
307 spontaneously resolving hypotension has been reported at a dose of 1 mg / kg in children younger
308 than 8 hours⁵⁴. Therefore, for the current study, a dose of 1 mg / kg is proposed, ie 0.1 ml / kg of
309 propofol 1% in infants under 1000 g and 2.5 mg / kg, ie 0.25 ml / kg of propofol 1% in children over
310 1000 g, slow IV over 1 minute. If a satisfactory sedation (see criteria in chapter "Methods") is not
311 obtained an additional dose of 1 mg / kg (ie 3.5 mg / kg maximum cumulative dose), or 0.1 ml / kg,
312 may be administered. Propofol 1% will be increased to a volume of 1 ml in children under 1000 g to
313 allow injection over 60 seconds. It will be used pure for children over 1000 g.

314 If a patient is randomized to the atropine-sufentanil-atracurium group, he or she will receive
315 atracurium after atropine to prevent the risk of sufentanil-related chest rigidity. A dose of 0.3 mg /
316 kg of atracurium will be used. The dose of 0.5 mg / kg has been shown to be effective in neonates
317^{66,67}, as is the dose of 0.3 mg / kg in only 10 patients⁶⁷. Efficient dose-finding studies have
318 established an effective dose range of 0.3 to 0.7 mg / kg in neonates^{68,69}. Finally, the occurrence
319 of rare accidents in the United Kingdom has recommended a dose of 0.25 mg / kg in newborns⁷⁰.
320 We propose for this study a dose of 0.3 mg / kg corresponding to the local experience at Créteil's
321 NICU⁵⁰. The atracurium besilate will be diluted according to the following modality: 1ml = 10 mg in
322 9 ml of D5% resulting in a solution diluted to 1 ml = 1mg. 0.3 ml / kg (ie 0.3 mg / kg) of the IV

323 diluted solution over 30 seconds will therefore be administered. In case of insufficient sedation, an
324 additional dose of 0.1 ml / kg (ie 0.1 mg / kg) may be administered after the injection of sufentanil.
325 Finally sufentanil will be injected. The loading dose of 0.2 µg / kg has been reported twice in the
326 literature in neonates^{71,72}, with both efficacy and good tolerance. In addition, it is regularly used in
327 Créteil's NICU⁵⁰. Very high doses (5 to 15 µg / kg) were administered in neonatal cardiac surgery
328 with good tolerability and improvement in operative follow-up compared to the morphine-halothane
329 group⁷³. However, in view of the pharmacokinetic peculiarities of extremely low birth weight
330 neonates, a dose of 0.1 µg / kg should be used in infants <1000 g and 0.2 µg / kg in infants > 1000
331 g. Sufentanil will first be diluted according to the following scheme: dilute 1 ml = 5 µg in 4 ml of
332 D5% resulting in a solution diluted to 1 ml = 1 µg. 0.1 or 0.2 ml / kg (0.1 or 0.2 µg / kg) of the
333 diluted IV solution will be administered depending on the weight groups over 60 seconds to reduce
334 the risk of thoracic rigidity. In the group of infants<1000 g, the volume of the syringe will be
335 increased to 1 ml to allow injection over 60 seconds. In infants> 1000g, the drug will be used
336 diluted according to the above-mentioned modalities.

337 With regard to the placebo for the studied drugs, the volumes of normal saline used in the
338 propofol arm (0.5 ml maximum cumulative volume in children <1000 g and 0.6 ml / kg in children>
339 1000 g) are considered negligible and without any effect on the blood volume or ionogram because
340 they are lower than the flushing volumes currently used in daily practice. The volume of intralipids
341 20% used in the sufentanil + atracurium arm represents a maximum of 0.2 ml / kg (<1000g) or 0.35
342 ml / kg (> 1000 g) cumulative volume. These intakes correspond respectively to 0.04 g / kg (<1000
343 g) and 0.07 g / kg of purified soybean oil. These minimal intakes do not affect global nutrient
344 intakes of the order of 2 to 3 g / kg / 24h of lipids.

345

346 **Declaration of compliance with the protocol, good clinical practices and the legal and** 347 **regulatory provisions in force**

348

349 The participating investigators undertake to respect the study protocol and to comply with the good
350 practices in force. The legal and regulatory provisions in force will also be respected.

351 The choice of the atropine-opioid-neuromuscular blocker combination for the control group
352 corresponds to the recommendations of the literature^{14,18,23}. The choice of atropine-propofol is
353 based on the pharmacological properties of propofol and the encouraging literature^{51,55,56}.

354

355 **Description of the population to be studied**

356

357 The study will include all premature or term neonates requiring tracheal intubation outside the
358 context of the vital emergency and not presenting a contraindication to the use of the different
359 experimental treatments (see criteria for non-inclusion). These children will be divided into two
360 groups according to their weight at the time of intubation.

361
362
363

364

365 **Purpose and outcomes**

366 **Purpose**

367
368 The aim is to compare two premedications: atropine-propofol and atropine-atracurium-sufentanil
369 regarding desaturations during neonatal tracheal intubation on one hand, and regarding efficacy
370 and tolerance on the other hand

371

372 **Hypothesis**

373
374 "atropine + propofol" compared to "atropine+atracurium+sufentanil" will significantly reduce the
375 frequency of severe hypoxemia.

376

377 **Primary outcome**

378
379 Pulse oxymetry value < 80% for more than 60 seconds.
380 Since desaturation is defined as O₂ saturation of less than 80% for at least 60 seconds, the main
381 objective is to show that premedication with propofol decreases the frequency (percentage) of
382 children with episodes of desaturation during tracheal intubation, the control group receiving
383 atracurium-sufentanil. Both groups will receive atropine beforehand.

384

385 **Secondary outcomes**

386
387 Number of attempts, duration of the procedure, quality of sedation, time to spontaneous respiratory
388 and limb's movements' recovery, changes in physiologic parameters, short- and long-term
389 neurodevelopmental outcomes.

390 The secondary objectives are to confirm the following assumptions:

391 Compared to the atracurium-sufentanil association as premedication before intubation,

392 - propofol will decrease the number of intubation attempts

393 - propofol will reduce the duration of intubation

394 - propofol will maintain the physiological parameters close to the basal state

395 - Propofol will not cause short- and long-term neurological adverse events (2 years)

396 **Methods**

397 **Trial design**

398

399 Study Type: Interventional, multicenter

400 Allocation: Randomized

401 Intervention Model: Parallel Assignment

402 Masking: Quadruple (Participant, Care Provider, Investigator, Outcomes Assessor)

403 Primary Purpose: Treatment

404 **Study proposal and consent from parents**

405

406 The protocol will be exposed to the parents of all infants admitted to the to the neonatal intensive
407 care unit. Parents will be informed about the objectives, methods, expected benefits and potential
408 risks of the study and any inconvenience this may cause to their child. In cases where only one
409 parent is present (absent father or mother hospitalized in another maternity and not immediately
410 transportable), the written informed consent can be obtained from the present parent and the oral
411 consent by telephone from the absent parent, who will sign the consent form as soon as he / she
412 can move. This procedure should remain exceptional if no other solution to meet the parents
413 directly is possible. Parents can also be approached if an upcoming intubation is planned and they
414 are both present. Parents should be informed that they are free to revoke their consent at any time.
415 The investigator will attest by affixing his / her signature at the bottom of the "Consent Form" that
416 he / she has delivered all of the information contained in the information form.

417 The parent (s) will certify by their signature on the same form that they have received this
418 information and that they voluntarily participate in the project without any pressure being exerted
419 on them.

420 Once signed, the original will be archived by the investigator, a copy will be transmitted by the CRA
421 monitor to Activ in sealed envelope in order to respect the anonymity of the subject and a copy will
422 be given to the parents. In case of intubation in an eligible infant with signed parental consent, the
423 child will be included in the study and the parents will be notified according to the usual local
424 practices (telephone call or interview, immediately or after a delay).

425 **Data collection**

426

427 A team member who will not be directly involved in the intubation procedure will be designated as
428 an "observer". He/she will be responsible for the collection of data.

429 The child's birth date, age and corrected age, birth weight and current weight, sex, 5 minutes
430 Apgar score, reason for intubation, personal history of intubation and last cranial ultrasound will be
431 recorded before the start of the procedure. If the child had nocranial ultrasound performed within
432 the previous 7 days, one should be performed before inclusion.

433 The collection of the physiological parameters will begin 1 minute before the first injection and will
434 be continued one hour after. Heart rate, pulse oximetry and blood pressure will be obtained one
435 minute prior to injection of the first drug (atropine) and will be used as the baseline. Throughout the
436 procedure, heart rate, transcutaneous CO₂ partial pressure (TcPCO₂) and oxygen saturation will
437 be monitored continuously and blood pressure will be measured every three minutes by the
438 monitoring system used in each service. O₂ saturation will be measured by oximeters using
439 Masimo technology ⁷⁴ in all participating centers. Heart rate, blood pressure, O₂ saturation,
440 TcPCO₂, cerebral activity via cerebral oximetry and ventilatory constants will be recorded 1 minute
441 before the first injection, then 3, 6, 9, 12, 15, 30, 45 and 60 minutes after the start of the first
442 injection.

443 The observer will collect vital parameters before the procedure and then, during the procedure, the
444 lowest heart rate, lowest saturation and the lowest and highest arterial pressures. He/she will
445 measure the duration of intubation, the recovery time of spontaneous ventilation and the recovery
446 time of spontaneous movements after the first drug injection. By controlling on the central monitor
447 recordings, he/she will confirm the occurrence of the primary outcome (desaturation of less than
448 80% for at least 60 seconds). This recording of physiological data will be stored and printed or
449 saved in a digital format as source data. If no record can be made, the handwritten record sheet
450 completed by the observer will serve as source data.

451 The time will be measured from the insertion at the last ablation of the laryngoscope from the
452 mouth after the success of the intubation. Intubation will be considered successful by clinical
453 confirmation of bilateral lung sounds on auscultation, increased heart rate and saturation, and by
454 the presence of an inspiratory and expiratory curve obtained through the respirator's spirometry
455 sensor. In both groups, the duration of action of the drugs administered will be noted.

456 A standardized collection sheet will indicate the level of training of the operator, the number of
457 attempts for each operator, the total number of attempts required and the existence of any
458 complications such as thoracic stiffness, lacerations of the mouth or lips.

459 For neurological surveillance, aHUS will be performed within 7 days of intubation and will be
460 compared to the pre-intubation examination if it exists. The follow-up of the children will be
461 performed in each unit during outpatient visits at the corrected ages of one and two years. A
462 questionnaire corresponding to the French version of the questionnaire Age and Stages
463 Questionnaire (ASQ) ⁷⁵ will then be completed. In the absence of outpatient visits, parents will be
464 called by telephone at the same dates and asked to complete the same questionnaire.

465 **Intervention**

466
467 After randomization and when the drugs are ready for use, the patient will be equipped with a pulse
468 oxymetry sensor on his right hand. He/she will be positioned in the incubator and pre-oxygenated
469 thanks to an artificial ventilation system connected to a face mask and delivering a positive
470 expiratory pressure (PEP): respirator or bag equipped with a PEEP valve, with an FiO₂ allowing to

471 obtain an SpO₂ ≥ 95%. The intubation will be performed by a junior or senior doctor with a
 472 laryngoscope and an appropriately sized endotracheal tube (ETT) through the orotracheal or
 473 nasotracheal route according to usual local practices. The common practice in the departments
 474 participating in the study is to use the nasotracheal route as first-line and to favor junior doctors as
 475 the first operator if the condition of the child allows it and under the supervision of a Senior doctor.
 476 In case of failure, the second operator is usually a senior. There will be no rule imposed on the
 477 sequence of the operators because this trial aims to compare the premedications under the usual
 478 conditions of practice of the neonatal intubations. However, the level of experience of the operator
 479 (s) will be collected in order to allow a possible adjustment to this criterion if the distribution
 480 between the groups is different.

weight ≤1000 g		
Syringe	SufTrac group	Prop Group
N°1	Atropine (1ml= 250 µg) 20 µg/kg 0.08 ml/kg IV bolus	Atropine (1ml= 250 µg): 20 µg/kg 0,08 ml/kg IV bolus
N°2 <i>Prepare syringe n°5 simultaneously</i>	Atracurium 1 ml= 10 mg + 9 ml 5%D: 0.3 mg/kg -> 1 ml= 1 mg : 0.3 ml/kg of the dilution IV 30 sec	Normal saline 1 ml + 9 ml 5%D 0.3 ml/kg of the dilution IV 30 sec
N°3	Sufentanil 1 ml =5µg + 4 ml 5%D: 0.1 µg/kg -> 1ml =1µg : 0,1 ml/kg of the dilution The volume of the syringe will be increased to 1 ml with 5%D to allow injection over 60 seconds	Normal saline 1 ml + 4 ml 5%D 0.1 ml/kg de la dilution The volume of the syringe will be increased to 1 ml with 5%D to allow injection over 60 seconds
N°4 <i>Prepare syringe n°6 simultaneously</i>	Intralipids 20%: 0.1 ml/kg Increase the volume of the syringe with 5%D for injection over 60 seconds, without exceeding 5 times the initial volume of the syringe	Propofol 1%: 1 mg/kg 0.1 ml/kg Increase the volume of the syringe with 5%D for injection over 60 seconds, without exceeding 5 times the initial volume of the syringe
N° 5	<u>If re-injection required :</u> Same dilution as syringe N°2 : 0.1 ml/kg	<u>If re-injection required :</u> Same dilution as syringe N°2 : 0.1 ml/kg

N° 6	<u>If re-injection required :</u> Same dilution as syringe N°4 : 0.1 ml/kg	<u>If re-injection required :</u> Same dilution as syringe N°4 : 0.1 ml/kg
------	---	---

481 The children will be randomized and 6 syringes will be prepared for each child: 4 syringes
 482 corresponding to the initial injections, 2 syringes for re-injections.
 483 The contents of the syringes are illustrated in the following tables according to weight at
 484 randomization:
 485

weight >1000 g		
Syringe	SufTrac group	Prop Group
N°1	Atropine (1ml= 250 µg) 20 µg/kg 0.08 ml/kg IV bolus	Atropine (1ml= 250 µg): 20 µg/kg 0,08 ml/kg IV bolus
N°2 <i>Prepare syringe n°5 simultaneously</i>	Atracurium 1 ml= 10 mg + 9 ml 5%D: 0.3 mg/kg -> 1 ml= 1 mg : 0.3 ml/kg of the dilution IV 30 sec	Normal saline 1 ml + 9 ml 5%D 0.3 ml/kg of the dilution IV 30 sec
N°3	Sufentanil 1 ml =5µg + 4 ml 5%D 0.2 µg/kg -> 1ml =1µg : 0.2 ml/kg of the dilution The volume of the syringe will be increased to 1 ml with 5%D to allow injection over 60 seconds	Normal saline 1 ml + 4 ml 5%D 0.2 ml/kg of the dilution The volume of the syringe will be increased to 1 ml with 5%D to allow injection over 60 seconds
N°4 <i>Prepare syringe n°6 simultaneously</i>	Intralipids 20%: 0.25 ml/kg Increase the volume of the syringe with 5%D for injection over 60 seconds, without exceeding 5 times the initial volume of the syringe	Propofol 1%: 2.5 mg/kg 0.25 ml/kg Increase the volume of the syringe with 5%D for injection over 60 seconds, without exceeding 5 times the initial volume of the syringe
N° 5	<u>If re-injection required :</u> Same dilution as syringe N°2 : 0.1 ml/kg	<u>If re-injection required :</u> Same dilution as syringe N°2 : 0.1 ml/kg
N° 6	<u>If re-injection required :</u> Same dilution as syringe N°4 : 0.1 ml/kg	<u>If re-injection required :</u> Same dilution as syringe N°4 : 0.1 ml/kg

486

487

488

489

490 The first 4 syringes will be injected to all children. If acceptable sedation is not achieved, syringes 5
491 and 6 will be injected.

492 Sedation will be satisfactory if the following 3 criteria are satisfied:

- 493 - Absence of facial expression,
494 - Absence of spontaneous movement,
495 - Absence of reaction to stimulation

496 In each group, if the oxygen saturation falls below 60%, the procedure will be stopped and
497 ventilation will be resumed with the mask, attempting to increase the saturation to more than 90%
498 within a maximum of three minutes. Beyond these three minutes, or earlier according to the

499 operator's judgment, a new intubation will be attempted. A "senior" will attend each intubation.

500

501 **Outcomes**

502

503 Primary Outcome Measure

504 • Desaturation: Pulse oxymetry value measured by Masimo technology below 80% for 60 seconds
505 or more. Intubation procedure is defined by the time between first laryngoscope insertion and last
506 laryngoscope removal after successful intubation. Successful intubation is defined by clear bilateral
507 breath sounds, increasing heart rate and saturation (if previously low) and appropriate flow curves
508 on the ventilator.

509

510 Secondary outcomes

511 • Number of intubation attempts: each insertion of the laryngoscope in the mouth is considered an
512 attempt.

513 • Duration of intubation procedure: Duration of intubation is defined by the time between first
514 laryngoscope insertion and last laryngoscope removal after successful intubation. Successful
515 intubation is defined by clear bilateral breath sounds, increasing heart rate and saturation (if
516 previously low) and appropriate flow curves on the ventilator.

517 • Heart rate: Heart rate recordings 1 minute before the first injection and at 3, 6, 9, 12, 15, 30, 45
518 and 60 minutes after the first injection

519 • Pulse oxymetry: Pulse oxymetry recordings 1 minute before the first injection and at 3, 6, 9, 12,
520 15, 30, 45 and 60 minutes after the first injection

521 • Mean blood pressure: Blood pressure recordings 1 minute before the first injection and at 3, 6, 9,
522 12, 15, 30, 45 and 60 minutes after the first injection

523 • Transcutaneous PCO₂ (TcPCO₂) measurement: TcPCO₂ recordings 1 minute before the first
524 injection and at 3, 6, 9, 12, 15, 30, 45 and 60 minutes after the first injection

525 • Time to spontaneous respiratory movements' recovery: Time between the first syringe injection
526 and the first onset of the trigger logo of the ventilator used for conventional ventilation if a
527 synchronized mode is used or first inspiratory effort through direct observation if high frequency
528 oscillation or ventilation is used.

529 • Time to spontaneous limbs movements' recovery: Time between the first syringe injection and
530 the first spontaneous limb movements' through direct observation of the neonate.

531 • Quality of sedation: Assessed immediately after completion of the procedure by the operator
532 who succeeded the intubation according to the following scale adapted from Hans ⁷⁶ and Cooper
533 ⁷⁷:

534 Excellent: Relaxed jaw and open vocal cords and no movement when inserting ETT

535 Good: Relaxed jaw and open vocal cords and mild movements when inserting ETT

536 Acceptable: Mild jaw contraction and/or moving vocal cords and/or cough when inserting ETT

537 Poor: Jaw contraction or closed vocal cords or intense cough or rigidity when inserting ETT.

538 • Short term neurological outcome: Head ultrasound

539 • Long term neurodevelopmental outcome: Age and stages questionnaire (ASQ)

540

541 **Measures to reduce bias**

542

543 **Randomization**

544

545 Randomization will be centralized on line using a password. The randomization software will
546 indicate the kit number to be used according to a pre-established randomization table stratified by
547 center and by weight category. This assignment code will allow anonymization of the data. The
548 programming of this randomization will be checked and validated by a second operator before
549 putting it online.

550 To prevent a center from making almost all inclusions, which would impair the representativeness
551 of the results, the number of patients per center will be limited to 100.

552 The number of inclusions may thus vary from one center to another, the important thing being that
553 the two treatment groups remain balanced.

554

555 **Masking**

556

557 The placebo for propofol will be manufactured by Baccinex (Courroux, Switzerland) and will be
558 sent to Theradis Pharma (Cagne-sur-mer, France). Kits containing 1 ampoule of atropine, 2
559 ampoules filled with a transparent fluid and 1 bottle of 50 ml filled with a white emulsion will be
560 packaged and manufactured by the company Theradis Pharma (Cagne-sur-mer, France)
561 according to the regulatory rules. The ampoules and vials will have a strictly identical exterior
562 appearance between the verum and the placebo.

563

564 **Dosage and ways of administration of treatments. Unit shape, packaging and labeling**

565

566 The atropine used will be atropine sulfate RENAUDIN 0.25mg / ml for injection. Each 1 ml ampoule
567 contains 0.25 mg of atropine.

568 Propofol Frésenius 1% is an injectable (oil-in-water, white and isotonic) emulsion in 50 ml vials.

569 Each 50 ml vial contains 500 mg propofol, i.e. 1 ml contains 10 mg propofol. Excipients: soybean
570 oil, egg lecithin, glycerol, oleic acid, sodium hydroxide, water ppi.

571 The atracurium used will be the Tracrium® GLAXOSMITHKLINE solution for injection 10mg / ml

572 containing atracurium besilate in vials of 5ml = 50mg. Excipients: 32% benzenesulfonic acid

573 solution qs pH 3 to 3.8, water.

574 Sufentanil JANSSEN (Sufenta^l) 5µg / ml injectable solution is to be used. Each 10 ml vial contains
575 50 micrograms of sufentanil. Excipients: sodium chloride, sodium hydroxide qs pH4,5-7,0,
576 hydrochloric acid qs pH4,5-7,0, water.

577 Placebo for propofol will be a 20% Intralipid lipid emulsion packaged in 250 ml glass bottles
578 (Frésenius Kasbi) used for parenteral nutrition in newborns. This product will be deconditioned in
579 sterile conditions by Baccinex and reconditioned in sterile conditions in 50 ml vials. Baccinex will
580 perform autoclaving and stability feasibility tests prior to the start of the study.

581 The normal saline solution Lavoisier solution for injection will be packed in vials of 10 and 5 ml
582 constituting respectively the placebo for sufentanil and atracurium. The "heads" of sufentanil and
583 atracurium vials have specific colour lines that do not appear on the "heads" of saline vials,
584 Theradis Pharma will thus mask the heads of the 10 and 5 ml vials.

585 **Duration of participation and follow-up**

586
587 For the primary outcome, a patient will participate from his/her randomization to one hour after the
588 first drug injection. For long-term follow-up, data collection is planned up to two-years corrected
589 age.

590 **Definitive or Temporary Termination Rules**

591
592 For an included patient

593 Since the trial involves a single prior administration of the medicinal product, participation may only
594 be halted if there is a serious adverse reaction during the course of treatment or in case of
595 sedation deemed insufficient by the operator: the operator will then administer the treatments
596 deemed appropriate to the child's condition. However, data for this child will be analyzed (intention-
597 to-treat analysis).

598 For the research

599 The research will be interrupted on the advice of the safety committee, which will examine serious
600 adverse events that may or may not be attributable to the experimental treatments and suspend
601 the study according to predefined criteria (see appropriate paragraph).

602 **Treatment kits monitoring**

603
604 Theradis Pharma will deliver treatment kits to local pharmacies of the participating centers.
605 The distributed and administered treatments will be recorded on a list established by the center
606 and containing the batch number of each kit as well as its expiry date. CTs and pharmacists will be
607 responsible for updating this list and, in each center, the adequacy of treatments to inclusions.

608 **Blinding maintenance and unblinding procedure**

609
610 Unblinding will be performed at the request of the investigators or at the request of the supervisory
611 committee.

612 An investigator will have the possibility to unblind the allocated treatment for a patient only if he/she
613 considers that the knowledge of the treatments administered is indispensable to the care of the
614 patient. In this case, he/she will obtain from the local pharmacy the sealed envelope corresponding
615 to the patient. He/she will have to warn within 48 hours the investigating coordinator of the
616 unblinding procedure.

617 The Safety Committee will have the possibility to waive blinding for one or several patients if a pre-
618 defined number of serious adverse events occur, possibly or certainly attributable to the
619 administration of drugs (see appropriate paragraph).

620 In all other cases, blinding will be maintained.

621 **Source data**

622
623 The source data for each patient will be recorded on an anonymized clinical research form (CRF),
624 in each center, by the designated investigators, and collected by and centralized at ACTIV. The
625 CRF will be verified and validated by the designated investigators at each center and the CRA.
626 These CRFs will be kept under lock at the CHIC and duplicates will be kept in each participating
627 center. In case of missing data or for the purpose of verification, access to the medical chart of
628 each included patient will be granted to the CRA while respecting medical confidentiality.

629

630 **Participants**

631

632 **Inclusion Criteria**

633
634 Any newborn (corrected term <45 weeks of gestation) hospitalized in the neonatal intensive care
635 unit and requiring non-urgent or semi-urgent intubation, equipped with a reliable and usable intra
636 venous access, with parental consent, who was never included in the study previously.

637 **Non-Inclusion Criteria**

- 638
639 - Lack of parental consent (failure to provide correct information to parents)
640 - Parental refusal
641 - Administration of sedative or anesthetic treatment in the previous 24 hours
642 - Hemodynamic failure defined by a mean arterial pressure <corrected GA and / or capillary refill
643 time> 3 seconds
644 - Evidence of ENT malformation or obvious condition suggesting especially difficult intubation
645 - Life-threatening situation requiring intubation without premedication
646 - Participation in another exclusive clinical trial
647 - Impossibility of placing intra venous access
648 - Known intolerance to sufentanil or to opioids

- 649 - Association with morphine antagonists: nalbuphine, buprenorphine, pentazocine
- 650 - Risk of glaucoma
- 651 - Paralytic Ileus
- 652 - Urethro-prostatic disorders with risk of urinary retention
- 653 - Known hypersensitivity to propofol, soybean, peanuts, or any of the excipients of the emulsion
- 654 - Allergy to soya or peanuts
- 655 - Hypersensitivity to atracurium, cisatracurium or benzene sulfonic acid
- 656 - Acute shock
- 657 - Severe dyslipidemia
- 658 - Severe hepatic failure
- 659 - Severe coagulation disorders,
- 660 - Known or suspected hypersensitivity to egg phospholipids, soybean or peanut proteins or to any
- 661 of the active substances or to any of the excipients contained in the intralipids.
- 662

663 **Procedures for premature termination of treatment administration and for patient's**
664 **exclusion**
665

666 **Criteria and procedures for discontinuing treatment and excluding a person from research**

667
668 Premature discontinuation of treatment can only occur in the case of a serious adverse effect
669 during treatment (exceptional situation). The criteria for the immediate cessation of intravenous
670 injection during treatment are:

- 671 - Sudden appearance of a lesion at the injection site
- 672 - Central circulatory disorders with tachycardia > 200 / min or bradycardia < 60 / min. In the event of
- 673 a state of shock, volume expansion and inotropes must be readily available for each intubation.
- 674 A patient will be excluded from the search if the parents withdraw consent.
- 675

676 **Method and timing of data collection**

677
678 Premature cessation of treatment or a patient's exclusion should be transmitted within two working
679 days to the coordinating investigator. A summary of these data will be made every 3 months.

680 **Modality for the replacement of excluded patients**

681
682 No alternative arrangements are planned, these circumstances being considered as exceptional.

683 **Follow-up**

684
685 In the short term, monitoring will be continuous (see methodology).

686 In the medium term, a head ultrasound should be performed within 7 days after the patient's
687 inclusion. All serious adverse events occurring within one week after inclusion of a patient should
688 also be collected and reported (see appropriate paragraph).
689 In the long term, neurodevelopmental follow-up will be carried out during outpatient visits or by
690 phone. Clinical evaluation will be performed during outpatient visits within each participating center.
691 The telephone assessment will be carried out at the corrected ages of one and two years
692 according to the French version of the Age and Stage Questionnaire (ASQ)⁷⁵.

693 **Treatments given to participants**

694 695 **Description of the treatments needed to carry out the research**

696 The treatments used (atropine, propofol and atracurium-sufentanil combination)
697 have been previously described in this Protocol.
698

699 **Medicinal products and treatments authorized and prohibited under the Protocol**

700 Administration of a sedative or anesthetic treatment within 24 hours prior to intubation constitutes a
701 non-inclusion criteria in the study.
702

703 The administration of a morphine agonist-antagonist treatment is contraindicated within 24 hours of
704 inclusion.

705 Any treatment deemed necessary for the patient is permitted. In the absence of extreme agitation
706 or obvious pain, no sedative or anesthetic treatment should be administered within 1 hour of the
707 study treatment.

708 **Method for treatment follow-up and compliance**

709 The treatment kit assignment will be done after randomization under the prescription of the doctor
710 carrying out the study in each unit.

711 The kit number assigned to each patient will be printed and included in the CRF.

712 The volumes of each injected solution will be recorded during the study by direct observation.

713 Given the uniqueness of the administration, no compliance problems are to be feared.

714 The remaining quantities of unused product will be disposed of and empty vials will be sent to the
715 local pharmacies at each center for posting and tracking of batches.
716

717 **Storage conditions of experimental drugs**

718 Propofol can be stored at a temperature not exceeding 25 ° C. It can also be stored at + 4 ° C, at
719 which temperature its stability is 36 months. It must be used within 6 hours of its preparation. The
720 unused quantity should be discarded.
721

722 Atropine can be stored at room temperature or between + 4 ° C and + 8 ° C (lab advice Aguetant).

723 Sufentanil may be stored at room temperature at a temperature not exceeding 25 ° C. It should be
724 used within 24 hours of its preparation. The unused quantity should be discarded.
725 Atracurium should be stored in the refrigerator (between + 2 ° C and + 8 ° C) and should not be
726 frozen. The ampoules should be stored in the box in order to protect them from light. Once
727 prepared, atracurium should be used immediately. The unused quantity should be discarded.
728 Lavoisier sodium chloride 0.9 per cent solution for injection, packaged in glass vials requires no
729 special storage precautions.
730 Intralipids 20% Fresenius must be stored at a temperature below 25 ° C.
731 The study kits will therefore be stored between + 2 ° C and + 8 ° C in a locked refrigerator provided
732 to the centers by the sponsor for study purposes. The key of this refrigerator will be kept and made
733 available in each pharmacy for internal use according to the same modalities as the key allowing
734 the access to narcotics.
735 Within Theradis Pharma, under the procedure "Management of narcotic drugs and psychotropic
736 drugs":
737 The narcotics are stored in a locked area, access to which is protected by a grid. This room
738 contains nothing but narcotics. It is also equipped with a refrigerator and a freezer for special
739 storage conditions.
740 Only the Responsible Pharmacist and the Quality Assurance Manager of the Pharmaceutical
741 Affairs know where the key is located.

742 **Efficacy assessment**

743

744 **Description of Efficacy Assessment Parameters**

745

746 The efficacy of premedication will be evaluated according to the previously stated criteria for
747 sedation to be achieved within two minutes of administration:

- 748 - Absence of facial expression,
- 749 - Absence of spontaneous movement
- 750 - Absence of reaction to stimulation

751 The efficacy of propofol compared to the atracurium-sufentanil combination will be evaluated by a
752 significant decrease in the frequency (percentage) of children with desaturation <80% for at least
753 60 seconds.

754 **Method and timeline for measuring, collecting and analyzing efficacy endpoints**

755

756 Episodes of desaturation <80% for at least 60 seconds will be identified by continuous monitoring
757 analysis. The data collected for each patient, including the number of desaturations, will be
758 collected every three months in each center by a CRA. The frequency of visits may be adapted to
759 the inclusion rate of each center.

760 At the end of the study, the analysis will cover all studied infants.

761

762 **Safety assessment**

763

764 **Safety assessment Parameters**

765

766 An adverse event (AE) is defined as any adverse event in a patient in a clinical trial that is not
767 necessarily linked to the treatment provided in the clinical trial. All adverse events encountered
768 during the study will be recorded in the CRF in the dedicated section. This study falls within the
769 scope of the law of 20 December 1988, as amended, protection of persons who lend themselves to
770 biomedical research, the measures necessary to ensure that the provisions of Article L. 209-12 6th
771 paragraph of the Public Health Code are respected, will be implemented. In particular, all serious
772 adverse events likely to be related to the research will be reported. The investigators of each
773 center will be responsible for establishing the accountability of the experimental treatment for the
774 occurrence of the adverse event according to 3 modalities:

775 - not attributable;

776 - possibly attributable;

777 - certainly attributable.

778 A serious adverse event will be a serious adverse event that is either possibly or certainly
779 attributable to one or more of the experimental drugs.

780 The investigator is responsible for informing the sponsor of any serious adverse event.

781 Responsibility for reporting such events to the supervisory authorities rests with the proponent.

782 Serious adverse events (SAEs) are considered to occur when an AE:

783 - Causes death,

784 - Involves the vital prognosis,

785 - Causes a temporary or permanent disability or incapacity,

786 - Requires or extends the hospitalization of a patient.

787

788 Some of the serious adverse events are listed in the following list, which is however not
789 exhaustive:

790 - Deaths

791 - Heart failure

792 - Pneumothorax

793 - Sepsis

794 - Necrotizing enterocolitis

795 - Grade III or IV intraventricular haemorrhage

796 - Neurological lesion (peri-ventricular leucomalacia, ischemia, haemorrhage)

797 - Severe metabolic acidosis (pH <7.00, excess of base <15 mmol / l)

798

799 **Methods and schedule for AE declaration**

800

801 AEs will be collected within one hour of the start of treatment.

802 Investigators will be required to report the occurrence of any SAE that appears within seven days

803 of inclusion. The declaration of these SAEs must be made without delay to ACTIV as soon as the

804 investigator of the center becomes aware of it. The SAEs will be analyzed in real time.

805 **Procedures for registration and notification of adverse reactions**

806

807 The AEs will be recorded in the CRF after the CRA has verified the data.

808 - Serious adverse events (adverse events possibly or certainly attributable to experimental

809 treatments) will be counted as they are notified and may lead to discontinuation of the study. The

810 pharmacovigilance unit of For Drug Consulting is responsible for analysis and declarations to the

811 competent authorities. The safety committee will be composed of Dr Sophie Saizy-Callaert,

812 pharmacist at CHIC, Prof. Gilles Dhonneur, Anaesthesiologist- Intensivist at the CHU Henri

813 Mondor and a Neonatologist, Dr. Elisabeth Walter, neonatology department, Hôpital Saint Joseph,

814 Paris. The rules for stopping the study will be as follows:

815 - Occurrence of two deaths directly attributable to the administration of drugs (frequency 1%);

816 - Occurrence of three cardiac arrests requiring external cardiac massage and / or adrenaline
817 administration directly attributable to drug administration (frequency 1.5%)

818 - Occurrence of twelve new episodes of intraventricular haemorrhage grade III or IV and / or
819 leucomalacia within 7 days of administration in the population of children under 1000 g
820 (frequency 15%).

821 These SAEs leading to study interruption will be communicated by the study coordinator via e-mail
822 to the investigators of each center who will be responsible for their transmission to the rest of the
823 team.

824 The protocol, patient information note and consent may be amended if new safety information is
825 updated.

826

827 **Follow-up of persons following the occurrence of adverse events**

828

829 Follow-up will be the one planned for the study. In case of a SAE, follow-up adapted to the

830 patient's state of health and corresponding to the usual practices of the service will be offered.

831

832

833

834 **Statistics**

835

836 **Statistical methods and timing of intermediate analysis**

837

838 *Initial analysis plan (as of September 2011) not executed*

839

840 *Pretreatment characteristics between groups (sex, gestational age, chronological age and*
841 *corrected age, birth weight and present weight, 5-minute Apgar score, oxygen saturation, blood*
842 *pressure, cardiac and respiratory rate, history of intubation, intubation) will be compared using*

843 *Mantel and Haenzel tests (sex, gestational age, 5-minute Apgar score) or 2-factors variance*

844 *analysis (all other variables). The randomization is stratified on the weight of the child at the time of*
845 *intubation and on center, the two groups will be not be compared for these characteristics because*
846 *of the randomization process.*

847 *Analysis of primary and secondary outcomes (frequency of the children with desaturation, SpO₂,*
848 *blood pressure and heart rate, number of attempts and total duration of intubation) will also involve*
849 *the Mantel and Haenszel test (frequency of the children with desaturation, indication for intubation*
850 *and number of intubation attempts) and analysis of variance (for total duration of intubation).*

851 *A subgroup analysis according to weight categories will be performed if the interaction test (chi²*
852 *homogeneity) shows a difference in the effect of premedication on the primary outcome according*
853 *to weight categories.*

854 *No interim analysis is planned, the continuation of the study being based on the previously defined*
855 *stopping rules.*

856

857 *Final analysis plan (as of November 2016, established before data was released) executed*

858

859 *Pretreatment characteristics (sex, gestational age, chronological age and corrected age, birth*
860 *weight and present weight, 5-minute Apgar score, oxygen saturation, blood pressure, cardiac and*
861 *respiratory rate, history of intubation, intubation) will be described by groups and no statistical test*
862 *will be performed to compare the two groups at baseline.*

863 *For the frequency of children with desaturation (primary outcome) and worsening of head*
864 *ultrasound, the analysis will be conducted according to the intent to treat principle using a*
865 *generalized mixed model with a log-binomial distribution adjusted on weight at inclusion ($\leq 1000g$,*
866 *$>1000g$) accounting for randomization structure and treating center as a random effect*
867 *(exchangeable within-center correlation structure). Generalized linear mixed models are an*
868 *extension of linear mixed models to allow response variables from different distributions, such as*
869 *binary responses, which is the case for our primary outcome. In that type of model, we have to*

870 specify the distribution of the variable to explain (ie, the outcome). For the primary outcome, which
871 is a binary outcome, we used a log-binomial distribution to obtain an adjusted relative risk.

872

873 The number of intubation attempts will be analyzed using a generalized mixed model with a log-
874 Poisson distribution to account for the nature of variable (ie, counting with one or more several
875 intubation attempts by patient).

876 Duration of intubation will also be evaluated using a generalized mixed linear model. The duration
877 of intubation, the quality of sedation and the time to respiratory and limbs' movements' recovery
878 will be compared between treatment groups using Kruskal-Wallis tests.

879 The analysis of variations in physiological parameters recorded at the predefined time points will
880 be performed using a generalized linear model for repeated data including treatment group, time,
881 time by treatment interaction, baseline parameter value, weight at inclusion ($\leq 1000\text{g}$, $>1000\text{g}$) and
882 treating center as a random effect (exchangeable within-center correlation structure).

883 A subgroup analysis will be conducted according to weight at inclusion ($\leq 1000\text{g}$, $>1000\text{g}$).

884 No interim analysis is planned, the study being based on pre-defined stopping rules.

885

886

887 **Sample size**

888

889 If one wants to show that the frequency of children showing at least one desaturation decreases
890 significantly from 50% to 30% assuming a 2-sided α error of .05 and a power of 0.8, 93 children
891 per group are required, ie 186 children in total .

892 Given that 40% of children should weight less than 1000 g and 60% more than 2000 g, 37 and 56
893 children in each group should be included in each stratum: for 5 participating centers, this would
894 result in 8 children per group for weights ≤ 1000 g and 12 children per group for weights > 1000 g.

895 Taking into account possible withdrawals of consent, recruitment could be carried out by 5 centers
896 according to the following scheme:

897 Center	weight ≤ 1000 g		weight > 1000 g	
898	A	B	A	B
899 1	8	8	12	12
900 2	8	8	12	12
901 3	8	8	12	12
902 4	8	8	12	12
903 5	8	8	12	12

904

905 which would eventually require 200 children.

906 **Expected statistical significance**

907

908 A difference observed between the two groups will be said to be significant if $p < 0.05$.

909 **Statistical Criteria for Stopping the Research**

910
911 No interim analysis is planned. The rules for stopping the test are defined in another paragraph.

912 **Method for taking account of missing, unnecessary or invalid data**

913
914 Missing data will not be accounted for.

915

916 **Management of changes to the initial strategy analysis plan**

917
918 Not applicable to this study.

919

920 **Choice of subjects to include in analyzes**

921
922 The analysis will be on an intent-to-treat basis and will include all randomized children, even if
923 incomplete treatment or ineffective sedation is used.

924

925 **Regulatory and Ethical aspects**

926

927 **Right of access to data and source documents**

928
929 Access to data will be authorized for the CRA, the coordinating investigator and the sponsor in
930 compliance with the rules of medical confidentiality. Direct access to source data will be authorized
931 by the investigator in the event of an audit by the proponent or representatives of regulatory
932 authorities.

933 The source documents will be stored in each center in CRFs composed of single sheets. The copy
934 will be collected by the CRA at each center and the original will be retrieved during the monitoring
935 visits and stored in the Neonatal Resuscitation and Neonatal Department of CHIC, under lock.

936 **Quality control and quality assurance**

937
938 The completeness, consistency and quality of the data collected will be ensured by the
939 investigators designated in each center and verified by the CRA. The principal investigator
940 reserves the right to exclude from the study a center that does not conform to the protocol or does
941 not ensure correct data collection

942 **Ethical Considerations**

943

944 This study will be carried out according to the national ethical rules for minors suitable for
945 biomedical research. This protocol shall be submitted by the coordinating investigator to the
946 opinion of the Comité de Protection des Personnes Ile de France III in accordance with the
947 legislation in France at the start of the trial. Upon receipt of the favorable opinion issued by the
948 Comité de Protection des Personnes and registration of the study at the General Direction of
949 Health, the study may begin.

950 *Declaration of Helsinki*

951 This project was developed and will be carried out in accordance with the principles laid down by
952 the 18th World Medical Assembly in Helsinki in 1964 and their amendments adopted in Tokyo,
953 Venice, Hong Kong, South Africa and Edinburg - Ethical and legislative texts on Biomedical
954 Research).

955 It also complies with the legislation in France (Huriet Law No. 88-1138 of 20 December 1988, as
956 amended, and Public Health Act No. 2004-806 of 9 August 2004) and the "Notice to Promoters
957 and Investigators clinical trials of medicines "published by the Ministry of Health and Family in
958 1987 (see Ethical and Legislative Texts on Biomedical Research).

959 **National Agency for Numerical Data Safety (CNIL)**

960
961 The study is subjected to a declaration to the CNIL, and complies with the criteria for the
962 methodology of reference.

963 **Data processing and retention of research documents and data**

964
965 Data processing: CRFs will be sent to ACTIV for validation, and dual input in real time. Requests
966 for correction on the already monitored CRFs will be edited by ACTIV in the form of queries and
967 handed over to CRA monitors in case of missing or incorrect data. Following the monitoring visits,
968 the dated and signed investigator's responses will be entered on the basis, once refastened to
969 ACTIV.

970 The data will then be treated anonymously by Dr. Dechartres and Mrs Martin-Marchand (INSERM
971 U 1153), respectively methodologist and statistician appointed for this study.

972 The source documents will be kept in the participating centers and at the CHIC for a period of 15
973 years.

974 The documents to be kept by the investigator for a period of 15 years are as follows:

- 975 - the final protocol signed by the investigator
- 976 - any amendments to the protocol
- 977 - one copy of the FIU for each patient
- 978 - an information form
- 979 - a patient identification list
- 980 - Completed FIUs (yellow leaflets)
- 981 - his CV together with an original copy of his financial agreement

982 - signed (original) consents

983 **Funding and Insurance**

984
985 Funding for the study is based on funding through PHRC 2009, combined with an accepted
986 supplement in September 2014. No other funding was requested for this study. The application for
987 funding included in this study takes into account the cost of medical and paramedical time in all
988 centers (two full-time equivalents for the entire study), the cost of the CRA and its regular
989 movements. Regarding drugs, prices were evaluated with the CHIC pharmacy but will be fixed
990 after the procedure respecting the code of the public contracts. Costs related to the double-blind
991 procedure (pharmaceutical manufacturers) are based on a detailed quote from Crid Pharma,
992 Theradis and Baccinex. Pharmacovigilance fees are based on quotes from the company ForDrug
993 Consulting.
994 The CRA of each site will ensure data entry. CRC monitors from CHI Créteil will monitor the study.
995 Insurance has been taken out in accordance with the provisions of article L.1121-10 of the Code of
996 Public Health. Issue 102.760 by the sponsor to SHAM.

997 **Publication rules**

998
999 The results of this study will be published in an international peer-reviewed English-language
1000 journal.
1001 Excluding the first and last author ranks reserved for the coordinating center, the rank will be
1002 proportional to the number of inclusions for each center. A representative of ACTIV will also be
1003 among the authors.

1004 **Feasibility of the study**

1005
1006 Each participating center has a high annual recruitment. They all have solid experience in
1007 multicenter clinical trials. In the prospective study carried out in Creteil's NICU in 2007, 35
1008 newborns (all gestational ages) were intubated over a period of 6 months (personal data), ie 70
1009 intubations per year. It can therefore be estimated that in each center - the number of annual
1010 neonatal admissions is close to that of the CHIC - an average of the lowest of 60 intubations per
1011 year will be achieved (90 over 18 months). The target of 40 inclusions per center over 18 months
1012 therefore requires an inclusion rate of 44% in the eligible population. This rate appears to be
1013 reasonably achievable given the experience of each of the participating centers. In each center, a
1014 part-time research technician will be allocated to identify eligible patients and provide first
1015 information to parents of potentially eligible children. These research technicians will also be
1016 responsible for the quality and completeness of the data collection, the appropriate reporting of
1017 AEs and SAEs by investigators and the keeping of screening logs. The inclusion rate of each
1018 center will be evaluated by the weekly collection of all the intubations carried out ("screening log")
1019 which will be transmitted by fax to the coordinating center. In case of difficulties of inclusion, the

1020 reasons for these difficulties will be discussed with each center and appropriate measures will be
1021 taken during on-site visits.
1022 The proper implementation of the study will be ensured by prior visits to each center explaining the
1023 objectives of the protocol, its conduct, the modeling with training in data collection, the declaration
1024 of AEs and SAEs and to plan the CRA's visits.
1025

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