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## Carbon-based archiving: the current progress and future prospects of DNA-based data storage --Manuscript Draft--

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<b>Abstract:</b>	<p>The information explosion has led to a rapid increase in the amount of data to be physically stored. But, the existing storage method (magnetic and optical media) will not be sufficient to store this exponentially growing data in near future. Therefore, the data scientists are continuously looking for better alternatives to store these hefty amounts of data in a space-efficient and stable way. Due to its unique biological properties, the highly dense "DNA" holds a great potential to become the future storage material. In fact, DNA-based data storage has recently emerged as a promising approach for long-term digital information storage. This review summarizes the state-of-the-art methods including digital-to-DNA coding schemes and the media types used in DNA-based data storage, and provide a general overview of the most recent progress achieved in this field and its exciting future.</p>	
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1 **Abstract**

2 The information explosion has led to a rapid increase in the amount of data to be physically  
3 stored. But, the existing storage method (magnetic and optical media) will not be sufficient to  
4 store this exponentially growing data in near future. Therefore, the data scientists are  
5 continuously looking for better alternatives to store these hefty amounts of data in a space-  
6 efficient and stable way. Due to its unique biological properties, the highly dense “DNA”  
7 holds a great potential to become the future storage material. In fact, DNA-based data storage  
8 has recently emerged as a promising approach for long-term digital information storage. This  
9 review summarizes the state-of-the-art methods including digital-to-DNA coding schemes  
10 and the media types used in DNA-based data storage, and provide a general overview of the  
11 most recent progress achieved in this field and its exciting future.

12  
13 **Keywords:**

14 DNA digital storage, Binary-DNA encoding scheme, *in vivo/in vitro* DNA digital storage

15 **Abbreviations**

16 ASCII: American Standard Code for Information Interchange; bp: base pair; DNA oligos:  
17 DNA oligonucleotides; GB: Giga-bytes; Gb: Giga-base-pairs; GF: Galois field; IAS:  
18 Immediate Access Storage; KB: Kilo-bytes; MB: Mega-bytes; Mb: Mega-bases; nt:  
19 nucleotide; RS: Reed-Solomon.

# 1 Introduction to DNA-based data storage

2 The concept of DNA-based data storage was initially introduced by computer scientists and  
3 engineers in 1960s [1]. One of the pioneering attempts was made in 1988 by Joe Davis in his  
4 seminal artwork – “Microvenus” [2], Davis converted an icon into a string of binary digits,  
5 encoded them into a 28 base-pair (bp) synthetic DNA and later successfully sequenced it to  
6 retrieve the “icon” [2]. Although Microvenus was originally designed for interstellar  
7 communications, it demonstrated that non-biological information could also be stored in  
8 DNA. Now the question comes, what makes DNA so inimitable for data storage?

9 There are three unique biological-features that make DNA the focus of the next generation of  
10 digital information storage. Firstly, DNA is remarkably stable compared with other storage  
11 media. With its double-helix-structure and base stacking interaction, DNA can last for a  
12 thousand times longer than a silicon device [3] and thrive in harsh conditions over millennia  
13 [4,5,6,7]. Secondly, DNA possesses a high storage density. Theoretically, each gram (g) of  
14 single-stranded DNA can store up to 455 exabytes of data [8]. As the storage strategy is  
15 continuously being optimized, scientists have now achieved a density that is very close to this  
16 theoretical limit (will be reviewed in the following section). Last but not the least, the  
17 biological properties of DNA enable the current sequencing and chemical synthesis  
18 technologies to read and write the information stored in DNA, thereby making it an excellent  
19 material to store and retrieve the data [8]. The recently announced “the Lunar Library™  
20 project” aims to make a DNA archive with the collection of 10,000 images and 20 books for  
21 long-term backup storage on the Moon. This highlights the advantage and immense potential  
22 of DNA as a medium for long-term digital data storage.

23 The accessibility of DNA-based data storage is mainly driven by two empowering techniques  
24 - DNA synthesis and DNA sequencing [9], of which the former serves for “encoding” and  
25 the later for “decoding”. Typically, digital information is first transcoded into “ATCG”

1 sequence using a predeveloped coding scheme. These sequences are then synthesized into  
2 oligonucleotides (oligos) or long DNA fragments to allow long-term storage. To retrieve the  
3 data, DNA sequencing method is applied to obtain the original “ATCG” sequence from the  
4 synthesized DNA.

## 5 **Overview of current coding schemes for DNA-based data storage**

6 Based on the earlier studies, it can be summarized that an optimal coding scheme usually  
7 outperforms in achieving three main features: 1. High fidelity. During data retrieval, there is  
8 an obvious trade-off between accuracy and redundancy. Hence, to strike a balance,  
9 appropriate coding scheme and error correcting strategy are applied to avoid and rectify errors  
10 induced during DNA synthesis or sequencing. 2. High coding efficiency. By having four  
11 elementary bases, DNA has the theoretical coding potential to store information in quaternary  
12 scaffold at least twice as much as that of binary codes. 3. Flexible accessibility. From a  
13 computer science standpoint, the stored data is expected to have random access.  
14 Correspondingly, all the proposed coding schemes are usually designed to fulfill all the above  
15 features.

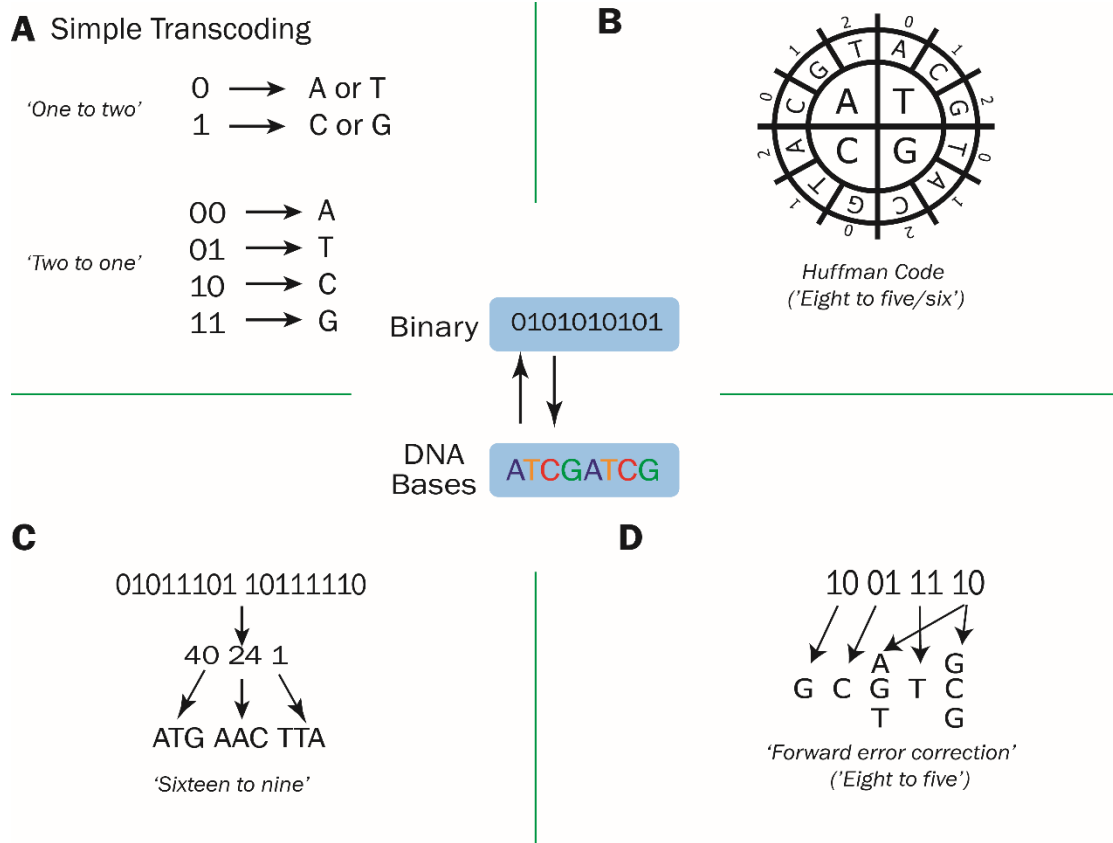


Figure 1 The different binary transcoding methods used in DNA-based data storage schemes. A) One binary bit is mapped to two optional bases [8]. B) Two binary bits are mapped to one fixed base [10]. C) Eight binary bits are transcoded through Huffman coding and then transcoded to five or six bases [11]. D) Two bytes (16 binary bits) are mapped to nine bases [12]. E) Eight binary bits are mapped to five bases [13].

• “Simple” code coding scheme

A “simple” code that aimed to tackle errors generated from DNA sequencing and synthesis (e.g. repeated sequences, secondary structure and abnormal GC content) was first proposed by Church et. al in 2012 [8]. By employing the free base swap strategy, Church and his colleagues encoded approximately 0.65 MB data into ~8.8 Mb DNA oligos of 159 nt in length. It is considered as a milestone study in DNA-based data storage given that a large amount of digital data was successfully stored in DNA [14], which also demonstrated the potential of DNA-based data storage in coping with the challenge of information explosion. However, to allow its base swapping flexibility, this coding scheme sacrifices the information density where each binary code is transcoded into a base (Fig. 1A). Researchers have later

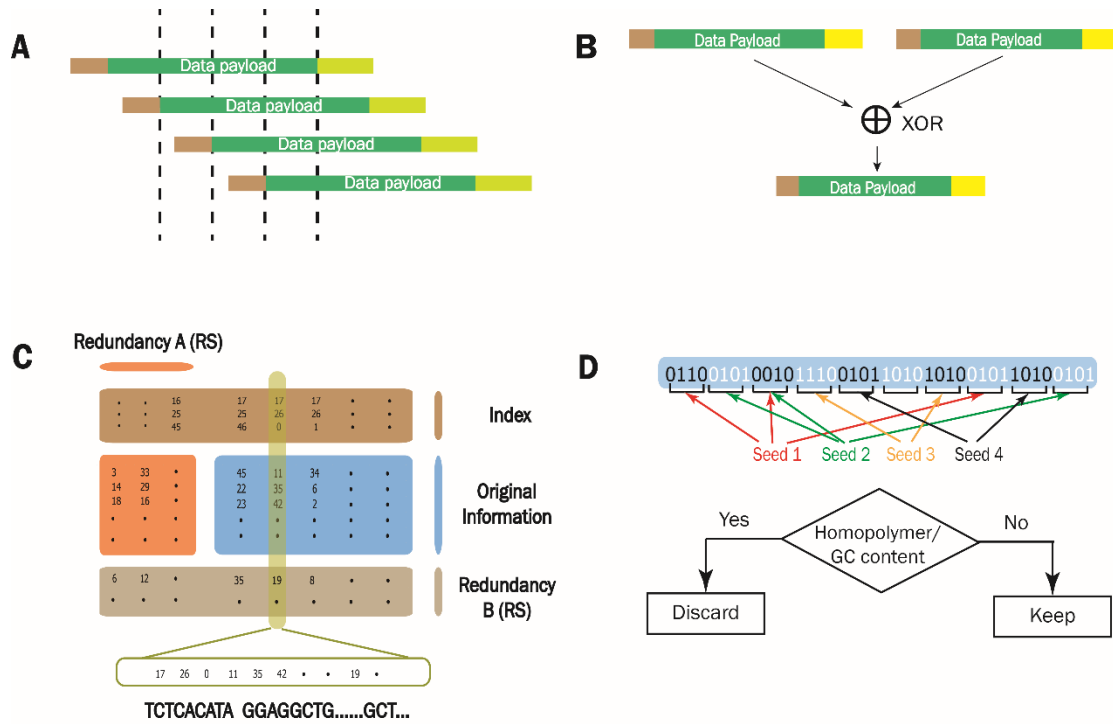


1 developed other coding strategies to overcome this issue while maintaining the comparable  
2 performance.

3 • Huffman coding scheme

4 In 2013, Goldman and colleagues adopted Huffman code in their coding scheme, which  
5 effectively improved the coding potential to 1.58 bits/nt [11]. Before transcoding into DNA  
6 nucleotides, binary data was first converted into ternary Huffman code and then transcoded to  
7 DNA sequence by referring to a rotating encoding table (Fig. 1B). Every Byte of the resulting  
8 data would be substituted by five or six ternary digits (comprises “0”, “1”, “2” only), which  
9 can prevent generating mononucleotide repeats and compress the original data by 25% to  
10 37.5%. Besides, for ASCII text format files, compression further outperforms by mapping the  
11 most common characters to five-digits ternary strings [11]. In addition, this coding scheme  
12 employs simple parity-check coding for error detection and maintains a four-fold coverage  
13 redundancy to prevent error and data loss (Fig. 2A). Nevertheless, it should be noted that the  
14 simple parity-check coding can only detect the errors, but doesn’t correct them. Moreover, the  
15 increased redundancy inevitably lowers the coding efficiency.

1



2

3 Figure 2. The different redundancy types used in the DNA-based data storage schemes: A) Increasing  
 4 redundancy by repetition; B) Increasing redundancy by an exclusive-or (XOR) calculation; C)  
 5 Increasing redundancy by using Reed-Solomon code for two rounds; D) Increasing redundancy by  
 6 using fountain code.

7

8 • Improved Huffman coding scheme

9 In 2016, Bornholt et. al improved Goldman’s encoding scheme by an XOR encoding  
 10 principle [12], which employed an exclusive-or (XOR, ‘ $\oplus$ ’) operation to yield redundancy.

11 As shown in Fig. 2B, every two original sequences, A and B, will generate a redundant  
 12 sequence C by  $A \oplus B$ . Therefore, with any two sequences (AB, AC or BC), one can easily  
 13 recover the third sequence. Moreover, this coding scheme also provides the flexibility in  
 14 providing redundancy according to the level of significance of particular data strands, namely  
 15 “tunable redundancy”. This coding scheme successfully encodes 4 files with the total size of  
 16 151 KB and recovers 3 out 4 files without manual intervention [12].

17 Moreover, the need for amplifying target files in a large-scale database suggests the necessity  
 18 of random-access in DNA-based data storage. Therefore, in 2018, the same team put forward

1 another error-free coding scheme that allowed the users to randomly reach and recover  
2 individual files in a large-scale system. In this coding scheme, unique polymerase chain  
3 reaction (PCR) primers are assigned to individual files after rigorous screening, therefore, it  
4 allows users to randomly access their target file(s). A total of 200 MB data was successfully  
5 stored and recovered in their study, which set a new milestone by complementing the  
6 feasibility of storing large-scale data in DNA [13].

7 • A coding scheme based on Galois Field and Reed-Solomon Code  
8 With special emphasis on error detection and correction, a coding scheme based on the Galois  
9 field and Reed-Solomon (RS) code [14] was proposed by Grass and colleagues in 2015 [15].  
10 Meanwhile, the potential data density was improved to  $\sim 1.78$  bits/nt. With the two-byte ( $8 \times 2$   
11 bits) fundamental information block, this coding scheme introduced a finite field (Galois field  
12 or GF) of DNA nucleotide triplets as its elements (Fig. 1C). To prevent mononucleotide  
13 repeat  $> 3$  nt during encoding, the last two nucleotides of the triplet are varied, which can give  
14 48 different triplets. They indeed employed a GF (47), as 47 is the largest prime number  
15 smaller than 48. The information block is then mapped to the three elements in GF (47), *i.e.*  
16  $256^2$  to  $47^3$ . In order to conduct error detection and corrections, RS code is applied in this  
17 scheme. As shown in Fig. 2C, two rounds of RS coding are applied horizontally and vertically  
18 to the matrix generated by GF transcoding respectively.

19 In this pilot study, 83 kilobytes of text data were encoded *in silico* [15]. Although the data  
20 size was not quite impressive, it underlined the necessity of applying error-correction coding  
21 and significantly enhanced the coding efficiency.

22 • A “forward error correction” coding scheme  
23 Blawat and colleagues proposed a coding scheme to particularly tackle the errors generated  
24 during DNA sequencing, amplification and synthesis (*e.g.* insertion, deletion and swapping).  
25 The potential coding density was 1.6 bits/nt. Two reference coding tables are specified in  
26 advance. The one-byte (8 bits) fundamental information block is assigned to a 5 nt DNA

1 sequence and the 3<sup>rd</sup> and 4<sup>th</sup> nucleotide are swapped (Fig. 1D). The two other criteria are also  
2 applied to prevent mononucleotide repeat during this process: 1) the first three nucleotides  
3 should not be the same; 2) the last two nucleotides should not be the same. Consequently, an  
4 8-bits data block (*i.e.*  $2^8 = 256$  permutations for binary data) is transcoded into 704 different  
5 DNA blocks ( $4^5 - 4^3 - 4^4$ ) [16]. They can be categorized into three clusters: clusters A & B of  
6 complete blocks (256 each), and cluster C of 192 incomplete blocks. Data can then be  
7 mapped to the DNA blocks A and B as required, e.g. alternately mapped to A or B.

8 In their study, 22 Mb of data was successfully encoded and stored in an oligo pool. The data  
9 were retrieved without any error, thereby proving the feasibility of “forward error correction”  
10 coding scheme. But this was not the case for detecting and correcting single-mutation. For  
11 example, “11100011” could be mapped to a DNA block “TGTAG”. However, if an A-to-T  
12 transversion occurs, the DNA block will be changed to “TGTTG”, which will give an error  
13 byte “11101111” after decoding.

14 • Fountain code-based DNA-based data storage coding scheme

15 In 2017, Erilich and Zielinski employed fountain code in their coding scheme [17]. Fountain  
16 code is a widespread coding method of the information communication system, and is well  
17 known for its robustness and high efficiency [18]. Fountain code is also known as a rateless  
18 erasure code, in which data to be stored is divided into  $k$  segments, namely resource packets.  
19 A potentially limitless number of encoded packets could be derived from the resource packets.  
20 When it returns  $n$  ( $n > k$ ) encoded packets, the original resource data will be perfectly  
21 recovered. In practice,  $n$  only need to be slightly larger than  $k$  to yield a greater coding  
22 efficiency as well as robustness for the information communication [19].

23 Similarly, binary data-nucleotide sequence encryption is also carried out. A fundamental two-  
24 bit to one-nucleotide transcoding table is adopted, in which [00, 01, 10, 11] is mapped to [A,  
25 C, G, T], respectively (Fig. 1A). At first, original binary information is segmented to small  
26 blocks. These blocks are chosen according to a pre-designed pseudorandom sequence of

1 numbers. A new data block is then created by the bitwise addition of the selected blocks with  
2 random seeds attached and transcoded to nucleotide blocks according to the transcoding table.  
3 Mononucleotide repeats and abnormal GC content are prevented by a final verification (Fig.  
4 2D) [17].

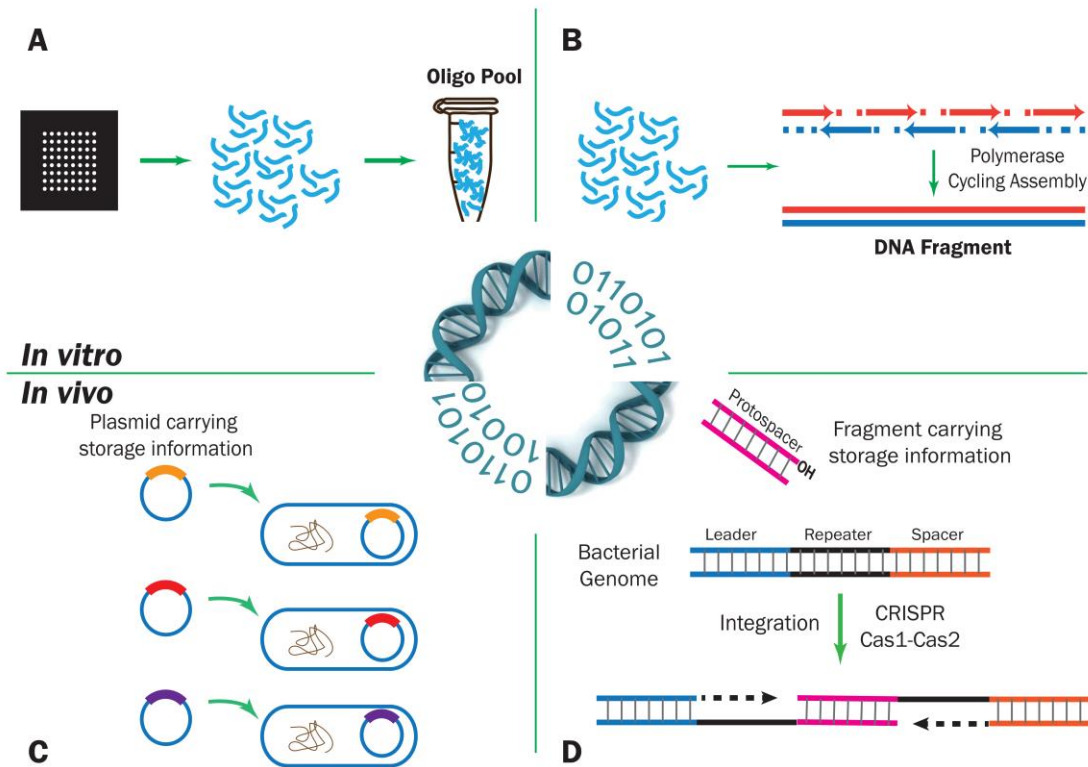
5 The oligos in this coding scheme are correlated and have grid-like topology to realize  
6 extremely low but necessary redundancy. Their study increased the theoretical limit of coding  
7 potential to an unprecedentedly high value of 1.98bits/nt and remarkably reduced the desired  
8 redundancy for an error-free recovery of the source file. Moreover, the mechanism of random  
9 selection and validity verification ensured that long single-nucleotide homopolymers would  
10 not appear in the encoded sequence. However, in this coding scheme, the complexity level of  
11 encoding and decoding is not linearly correlated to the data size. Thus, decoding could be  
12 complicated and may require more resource and longer time for computation. However,  
13 although it is claimed that a 4% loss of total packets would not affect the recovery of the  
14 original file in the report, in terms of the features of DNA Fountain code, loss of more packet  
15 may cause the complete failure of recovery. If the ultimate aim is to permanently store the  
16 data, the amount of redundancy must be raised to ensure the information integrity.

17 If we solely consider DNA-based data storage as a storage process with high fidelity, the  
18 DNA fountain coding appears to be the one and only communication-based coding scheme.  
19 In DNA-based data storage and retrieval, the most common error is caused by a single  
20 nucleotide mutation. To address this issue, the most coding schemes will create high  
21 redundancy in order to tackle the battered condition of current communication channels,  
22 however, these error correction algorithms require complex decoding procedures and much  
23 computing time. Here, fountain-coding scheme firstly shows that it is unnecessary to employ  
24 error detection/correction algorithms, which provide us with an alternative solution towards  
25 improving the performance of DNA coding.

26

## 1 Overview of DNA-based data storage mediums:

2 Currently, the DNA-based data storage employs different mediums to store the encoded DNA  
3 sequences. There are mainly two types of storage mediums: *in vivo* and *in vitro*.



5  
6 Figure 3. Two categories of DNA-based data storage application. Panel A) and B) demonstrate the two  
7 ways of *in vitro* DNA-based data storage; panel C) and D) demonstrate two ways of *in vivo* DNA-  
8 based data storage. A) Chip-based high throughput DNA oligo analysis. DNA oligos carrying digital  
9 information are stored in the form of oligo pool. B) DNA fragments synthesized by polymerase cycling  
10 assembly (PCA), the fragments will carry the information to be stored. C) Digital information inserted  
11 into a plasmid and then the plasmids are transferred into bacterial cells. D) DNA fragments carrying  
12 digital information is inserted into bacterial genome by employing the CRISPR system using Cas1-  
13 Cas2 integrase.

### 14 *In vivo* DNA-based data storage

15 *In vivo* DNA-based data storage was a commonly adopted approach in the pioneering works  
16 of DNA-based data storage, such as the *Microvenus* project, which used bacteria as the  
17 storage medium [2]. Typically, encoded DNA sequences are first cloned into a plasmid and

1 then transferred into the bacteria. Therefore, the DNA sequences and so does the information  
2 it carries can be maintained in the tiny bacteria and their billions of descendants.

3 Nevertheless, the capacity of bacteria for carrying plasmid is limited by the type of plasmids  
4 and their corresponding size. In addition, the mutation of plasmid in bacteria is quite common.  
5 During bacterial replication, the spontaneous mutation may ultimately alter the information  
6 stored in them after few years.

7 Recently, Church *et. al* demonstrated a novel method to encode an image and a short movie  
8 clip into the bacterial genome using the CRISPR-Cas system with Cas1-Cas2 integrase [20].  
9 Although it is reported that the CRISPR-Cas system is not equally efficient to all the  
10 sequences, this work greatly improved the capability of *in vivo* DNA-based data storage.

11 ***In vitro* DNA-based data storage**

12 Apart from *in vivo* DNA-based data storage, the *in vitro* DNA-based data storage is seen more  
13 frequently in the recent studies. One of the most popular form is the oligo library. This is  
14 largely due to the maturation of chip-based high-throughput oligo synthesis technique [21],  
15 making the synthesis of a large amount of DNA oligos more cost-effective.

16 During the synthesis process, each oligo is assigned a short tag, or index, as all the oligos are  
17 completely mixed for high throughput synthesis and sequencing. Current oligo synthesis  
18 technique is able to generate at most 200-mers with relatively high accuracy and purity [22].  
19 Hence, the index should be as short as possible to save the information capacity in each oligo.  
20 Apparently, much more indices will be needed if more DNA oligo sequences are generated  
21 and mixed. However, similar to *in vivo* DNA-based data storage, the larger data size demands  
22 more DNA oligos for *in vitro* DNA-based data storage. This increases the size of indices in an  
23 oligo and thus lower the storing capacity and efficiency.

24 To overcome these problems, longer DNA fragments can be used instead of DNA oligos. In  
25 2017, Yadzi *et. al* successfully encoded 3633 bytes of information (two images) into 17 DNA

1 fragments and recovered the image using homopolymer error correction [23]. Nevertheless,  
2 the current cost of DNA fragment synthesis is higher than that of oligo synthesis, which  
3 increases the overall cost of DNA fragment-based storage.

4 Some other pioneering work also goes beyond our aforementioned DNA-based data storage  
5 system. Song and Zeng proposed a strategy which is claimed to be able to detect and correct  
6 error in each byte [24]. They transformed a short message into *E.coli* stellar competent cells  
7 and proved the reliability of their strategy. Lee *et. al* have incorporated enzymatic DNA  
8 synthesis and DNA-based data storage principles, reporting an enzymatic-based DNA-based  
9 data storage strategy [25]. All this research has laid a sound foundation for the global  
10 application of this novel storage medium.

11  
12 **Challenges of DNA-based data storage**

13 **The limited size of synthetic DNA**

14 As mentioned above, the information encoded in DNA depends on DNA synthesis. While  
15 DNA oligos usually serve as the basic building blocks for gene synthesis, the DNA synthesis  
16 often includes oligo synthesis ( $\leq 200$  mer) and gene synthesis (200-3,000 bp or above)  
17 depending upon the final product size., For cost saving purposes and to reduce the complexity  
18 of DNA synthesis, primary storage unit size is often limited below 200nt [21].

19 Due to this lower limit, information needs to be fragmented and indexed before encoding into  
20 DNA to allow oligo synthesis (encoding) and pool sequencing (decoding) to reconstruct data  
21 in the correct order. Thus, when the amount of information grows, not only do the number of  
22 fragments increase, but the indexing information also accumulates subsequently. Except for  
23 optimizing the index length (see “The future of DNA-based data archiving” below),  
24 techniques for synthesizing longer oligo are considered to be the major challenge before we  
25 can push the envelope.



1 **DNA sequencing-induced errors**

2 Currently, there are two major types of DNA sequencing techniques: real-time, single-  
3 molecule sequencing and massively parallel (or next generation) sequencing. The latter is a  
4 high-throughput sequencing method and is dominant for short-read (<700bp, depending on  
5 the platform) sequencing while the former is on the opposite [9,26].

6 In DNA-based data storage, massively parallel sequencing is widely used for data retrieval  
7 ever since it was first employed by Church *et al.* in 2012. Two main reasons can explain this  
8 prevalence. Firstly, the length of the synthetic DNA generated from encoding is relatively  
9 short, meaning it is more cost-effective to sequence with massively parallel sequencing.  
10 Secondly, the throughput and accuracy (~99.9%) of massively parallel sequencing still far  
11 surpass its counterparts [9]. However, this technique also comes with a limitation. Most  
12 massively parallel sequencing platforms require an *in vitro* template amplification with  
13 primers, to generate a complex template library for sequencing. During this process, copying  
14 errors, sequence-dependent biases (for example, in high- and low-GC regions and at long  
15 mononucleotide repeats) and information loss (for example, methylation) are produced [9].

16 Nevertheless, sequencing with minimal biases and random errors in respect to accuracy and  
17 contiguity is possible, given that rapid progress is now achieved in real-time, single-molecule  
18 sequencing. It is reported that this rising technique can tolerant high GC content and only  
19 generates random errors [27], which is ideal in data retrieval. So, once it also achieves the  
20 high-fidelity, the storage potential of DNA may be further unlocked.

21 **Other considerations regarding DNA sequencing**

22 Apart from the accuracy, the speed and the total cost of DNA sequencing are also major  
23 considerations. Table 1 summarizes the frequently-used sequencing platforms in DNA-based  
24 data storage. We can see that sequencing is still costly and time-consuming. One less  
25 frequently mentioned reason is that although the core sequencing process is automated, there

1 are manual steps in between (e.g. sample preparation), which significantly slow down the  
 2 process. Therefore, a higher level of automation may help to speed up the run and also bring  
 3 down the cost per Gb.

4 Interestingly, this table also shows that an error-prone sequencing platform-Oxford Nanopore  
 5 MinION has become increasingly popular. This is probably due to its potential for high-  
 6 compactness and stand-alone DNA data storage systems [13, 29], although the emergence of  
 7 a growing number of error-tolerant coding schemes is also a contributor [13, 30]. This year,  
 8 Oxford Nanopore also launched a high-throughput sequencing platform-PromethION, which  
 9 has the potential to yield up to 15 Tb of data in 48 hours [31]. As its performance is getting  
 10 closer to its next-generation sequencing counterparts, it may play a bigger role in the future  
 11 study of DNA-based data storage.

Platform	Error Rate	Runtime	Instrument Cost(US\$)	Cost per Gb (US\$)	Reference
Illumina MiSeq	0.1%	4-56h*	\$99K	\$110-1000*	[12]Bornhol et al.,2016
					[15]Grass et al.,2015
					[17]Erlich Y and Zielinski D,2017
					[20]Shipman et al.,2017
Illumina HiSeq 2000	2.0%	3-10d *	\$654K	\$41	[8]Church et al.,2012
					[11]Goldman et al.,2013
Illumina HiSeq 2500	0.1%	7h-11d *	\$690	\$30-230*	[16]Blawat et al.,2016
Illumina NextSeq	0.1%	11-29h*	\$250	\$33-43*	[13]Organick et al.,2018
Oxford Nanopore MinION	12.0 %	up to 48h	\$1K	\$750	[13]Organick et al.,2018 [23]Yazdi et al.,2017

d, days; Gb, gigabase pairs; h, hours; K, thousand; \* varied by read length and version of the reagent kit

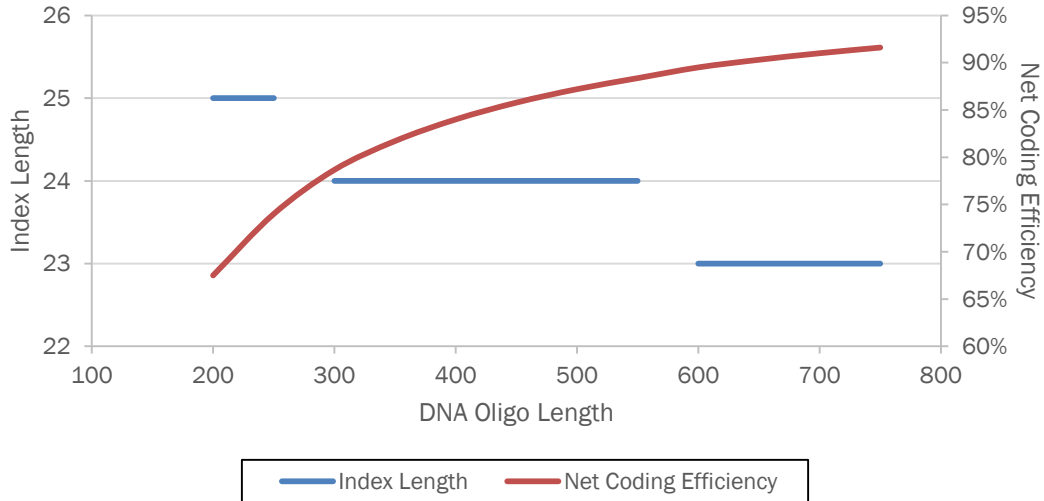
12 Table 1. The summary of frequently-used sequencing platforms in DNA-based data storage (data  
 13 retrieved from [26]).

14  
 15 **The future of DNA-based data archiving**

1 Taken together, DNA-based data storage provides us the immense possibility to manipulate  
2 DNA as a carbon-based archive with an excellent storage density and stability. Imperfect as it  
3 is, it might be the ultimate solution to the current data storage market for long-term archiving.  
4 We are also excited to see that multidisciplinary research companies have already joined this  
5 revolution to make DNA-based archiving as a commercially viable approach.

6 Enterprises with a strong DNA-synthesis background are most commonly seen, given that  
7 DNA-based data storage can significantly benefit from the breakthroughs achieved in DNA  
8 synthesis. It could be foreseen that with the continuously improving enzymatic DNA  
9 synthesis technique, DNA oligo synthesis could break the limitation of 200-mers in the near  
10 future, providing us longer primary storage unit. This will undoubtedly improve the net  
11 coding efficiency with the same length of PCR primer and shorter index sequences. A  
12 modelling was performed for DNA-based data storage of 1GB file under theoretical limitation,  
13 where one DNA base represented two binary bits. For each DNA oligo, the length of forward  
14 and reverse primers was set as 20. In this case, we can deduce the equation representing the  
15 relationship between index length  $i$  and DNA oligo length  $l$ :  $\log_2(l - 40 - i) + i = 32$   
16 (Equation 1). Hence, we could get the correlation between an optimal index length and DNA  
17 oligo length.

18 As Figure 4 shows, with the increase in DNA oligo length, the index length also decreases,  
19 while net coding efficiency increases. It is reported that some startup companies around the  
20 world are now aiming to develop industrial enzymatic DNA synthesis technology. If they can  
21 successfully synthesize oligos over 200-mers, the efficiency of DNA-based data storage is  
22 expected to be remarkably improved.



1

2 Figure 4 The inter-relationship between DNA oligo length, the optimal index length and net coding  
 3 efficiency during the modelling of 1GB digital file transcoding.

4 In addition, the scale of DNA synthesis also affects the information capacity of DNA-based  
 5 data storage per unit mass. High-throughput oligo synthesis is currently directed to microscale  
 6 level with the development of chip-based DNA synthesis technology. In DNA-based data  
 7 storage, the information capacity of a certain mass of DNA sequences also relates to the copy  
 8 number of each DNA molecule. The correlation between information capacity  $C$  and copy  
 9 number  $N_m$  of each oligo can be calculated from:  $C = n \times (N_m \mu \delta \gamma)^{-1}$  (Equation. 2) where  $n$   
 10 represents the number of bytes each oligo carries, normally 10 – 20 bytes/molecule according  
 11 to different coding schemes;  $\mu$  is the number of nucleotides per molecule,  $\delta$  is 320  
 12 Dalton/nucleotide;  $\gamma$  is  $1.67 \times 10^{-24}$  g/Dalton. To date, the copy number of oligos is around  $10^7$   
 13 molecules in the microchip-based high throughput synthesis (without dilution) [17] and  
 14 according to the Equation (2), this will give an information capacity level of  $\sim 10^{13}$  bytes/g. If  
 15 the copy number is decreased to  $10^4$  molecules per oligo, the information capacity will  
 16 increase to  $\sim 10^{16}$  bytes/g. Additionally, synthesis in microscale will also reduce the cost by  
 17 several orders of magnitude and save the dilution step.

18 At present, several DNA synthesis companies are taking the lead on this field based on their  
 19 related expertise, and providing services related to DNA-based data storage. It is reported that

1 Twist Biosciences has already collaborated with Microsoft in their DNA-based data storage  
2 project, providing them oligo pool services [18], with their high-throughput, chip-based DNA  
3 synthesis technique. Given that these companies are starting to push this business forward, it  
4 will be interesting to see how commercial applications develop in the future.

5 Apart from companies with biological backgrounds, IT-based industries are also playing an  
6 important role in this revolution. As the coding schemes used in DNA-based data storage still  
7 need to be improved to yield higher coding efficiency and fidelity, efforts from the IT field  
8 could be of critical importance. For example, from random access data retrieval to scaling up  
9 data storage [13], Microsoft successfully implement its IT philosophy in DNA-based data  
10 storage and is marching steadily towards its goal announced in 2017: a proto-commercial  
11 system in three years storing some amount of data on DNA [32]. In its recent paper  
12 collaborated with a scientist from the University of Washington, an automated end-to-end  
13 DNA-based data storage device was described and 5-bytes of data were automatically  
14 processed by the write, store, and read cycle [29]. Further efforts that can speed up the coding  
15 and decoding process for daily storage applications are still essential.

16 In addition, we are expecting to see a lot more entities and research organizations to join this  
17 cohort in eventually making the carbon-based archiving a reality and go further to reach the  
18 fields of immediate access storage (IAS) or the biological computation. Nevertheless, keeping  
19 DNA-based data storage development under a safe and ethical framework is still of foremost  
20 priority. Since DNA is the basic building block of genetic information for living organisms,  
21 there might be situations where synthesized sequences are being introduced into host living  
22 organisms that might lead to biological incompatibility due to unknown toxicity or other  
23 growth stresses to host organisms. Hence, it is necessary to evaluate the safety of the  
24 sequences prior to its synthesis. We are craving to see the day when DNA become the next-  
25 generation digital information storage media with high safety, capacity and reliability.

26

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**A** Simple Transcoding

'One to two'

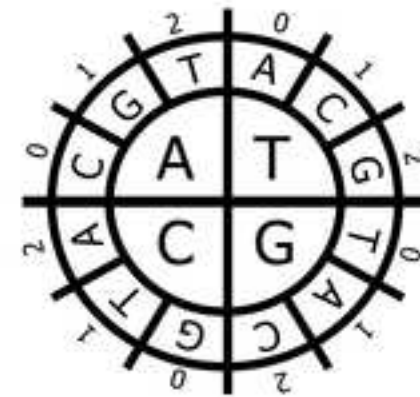
0 → A or T  
1 → C or G

'Two to one'

00 → A  
01 → T  
10 → C  
11 → G

Binary 0101010101

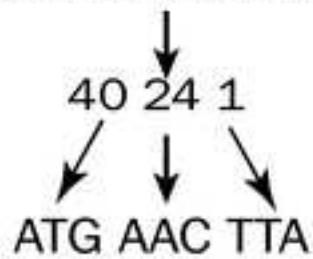
DNA Bases ATCGATCG

**B**

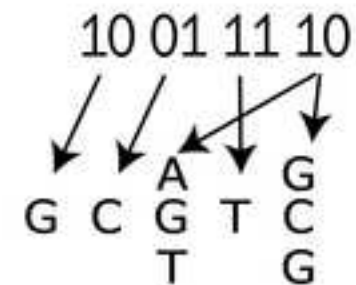
Huffman Code  
'Eight to five/six'

**C**

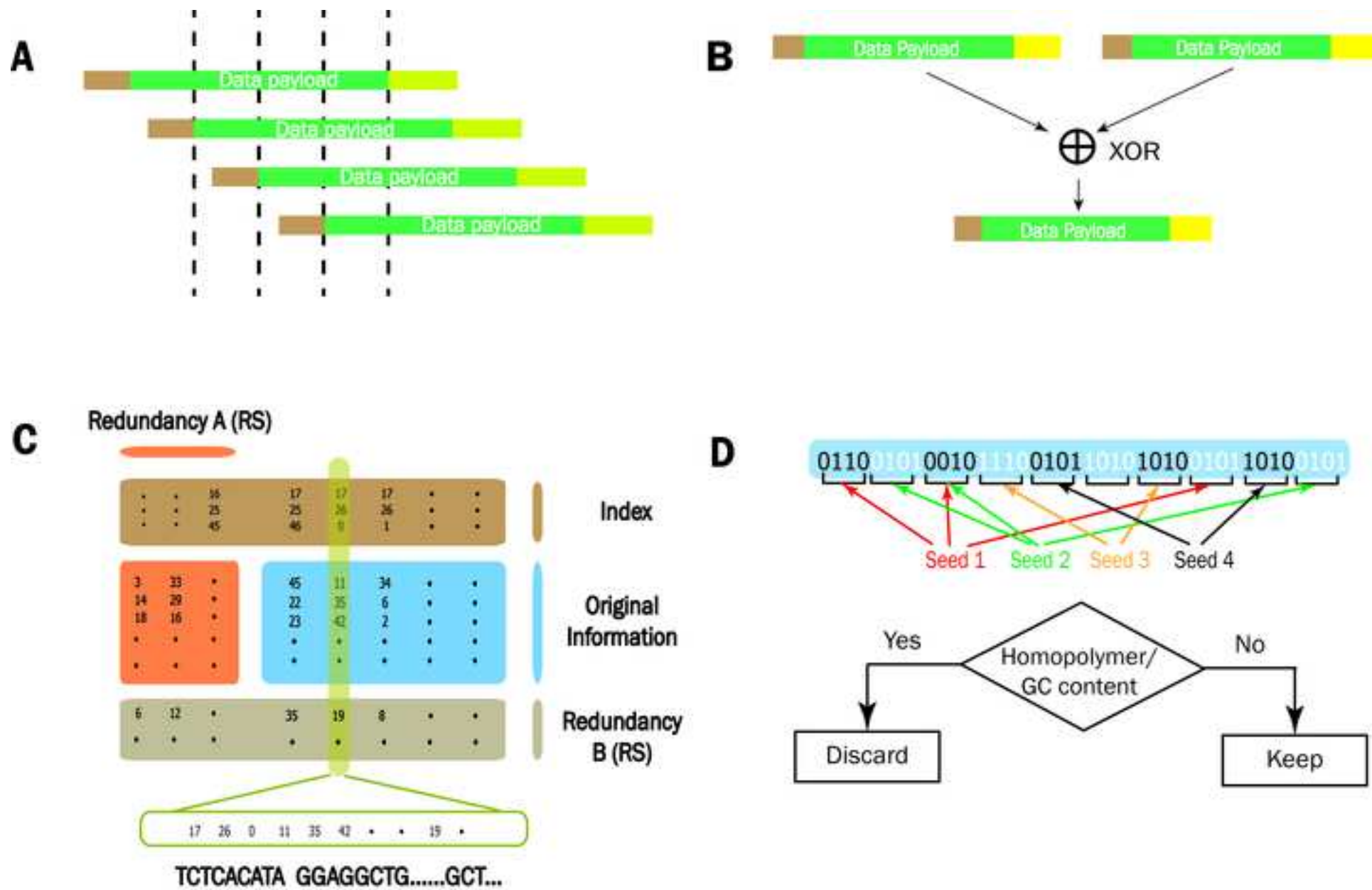
01011101 10111110



'Sixteen to nine'

**D**

'Forward error correction'  
'Eight to five'



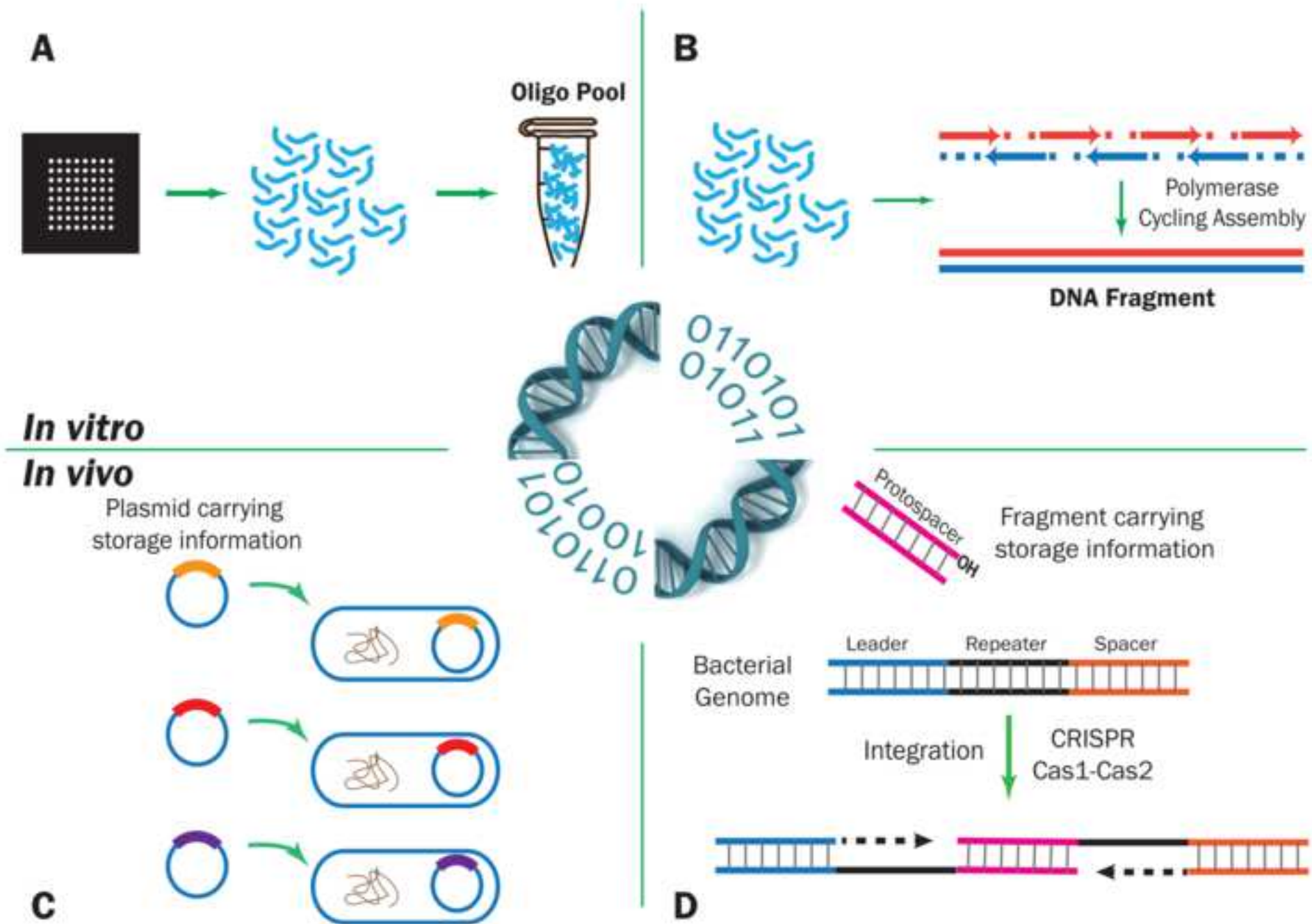
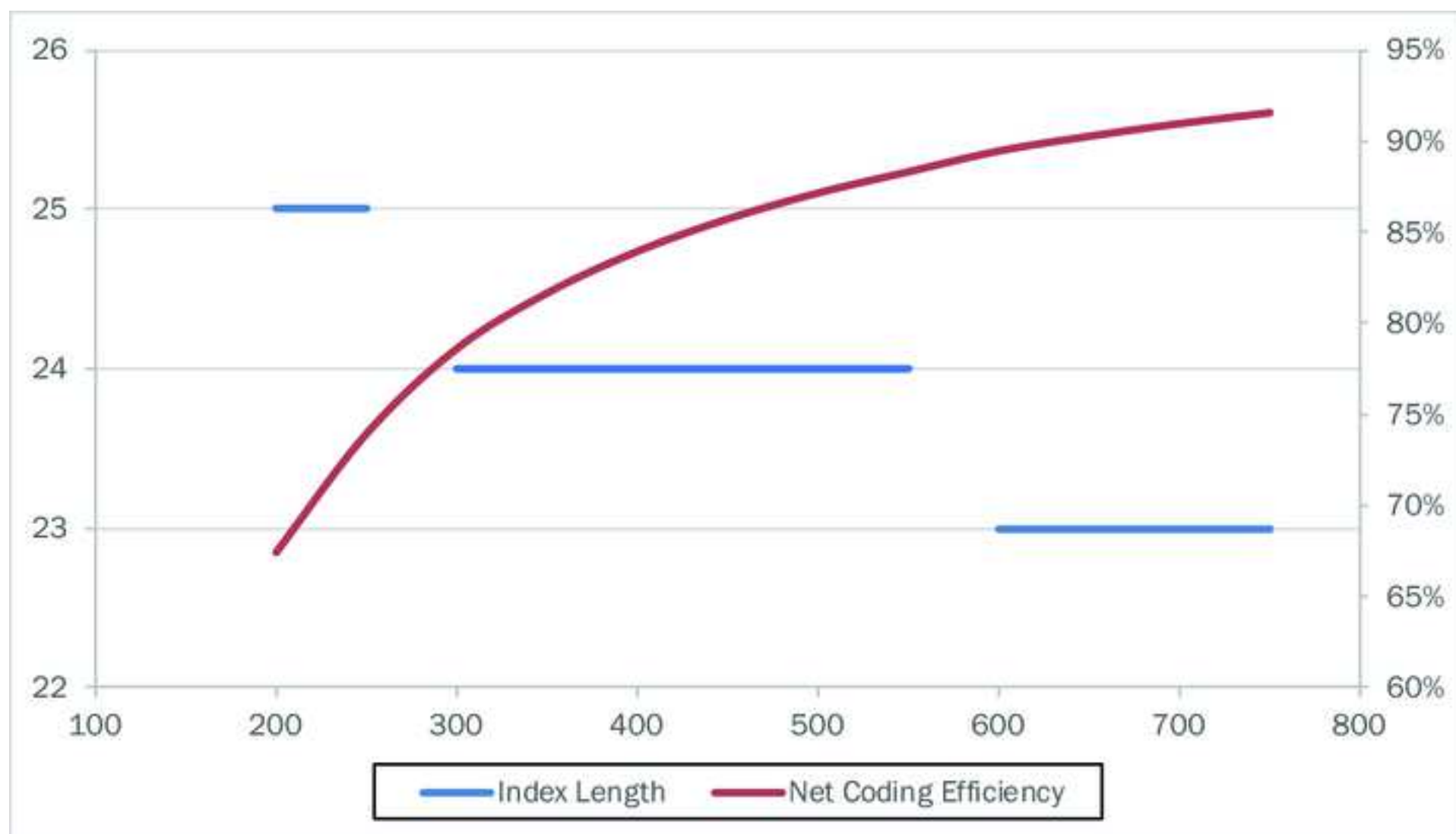


Figure 4



Dear Editor of GigaScience:

We submit our manuscript entitled "Carbon-based archiving: the current progress and future prospects of DNA-based data storage" to GigaScience for publication.

This manuscript is a review of DNA-based storage with focus on current progress summary on coding scheme and media type. We provide scalable measurements and technical opinions of this field, which we believe will be a great add on to people's current understanding and help promote its better development. As DNA-based storage is a promising bio-approach for large scale and long term digital information storage, we consider it is well in scope of the GigaScience's publication criteria.

All authors have read and have abided by the publication ethics as set out by the Commission on Publication Ethics (COPE) for manuscripts submitted to GigaScience.

All authors declared that they have no conflicts of interest to this work.

The work described has not been submitted elsewhere for publication, in whole or in part, and all the authors listed have approved the manuscript that is enclosed.

Thank you very much for your attention and consideration.

Yours sincerely,

Yue (Chantal) Shen

Sha Joe Zhu