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Predictors of an early death in patients diagnosed with colon cancer: a retrospective case-control study in the United Kingdom

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Manuscripts

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ABSTRACT

OBJECTIVE: Despite considerable improvements, five-year survival rates for colon cancer in the United Kingdom (UK) remain poor when compared with other socioeconomically similar countries. Variation in five-year survival can be partly explained by higher rates of death within three months of diagnosis in the UK. This study investigated characteristics of patients who died within three months of a diagnosis of colon cancer with the aim of identifying specific patient factors that can be addressed or accounted for to improve survival outcomes.

DESIGN: A retrospective case-control study design was applied with matching on age, sex and year diagnosed. Colon cancer patient, disease, clinical and service characteristics, diagnosed in a UK region (2005-2010), who survived less than three months from diagnosis (cases) were compared with patients who survived between six and thirty-six months (controls). Patient and clinical data was sourced from General Practice notes and hospital databases 1-3 years pre-diagnosis.

RESULTS: Being older (aged ≥ 78 years) and living in deprivation quintile 5 (OR=2.64, CI: 1.15-6.06), being unmarried and living alone (OR=1.64, CI: 1.07-2.50), being underweight compared to normal weight or obese (OR=3.99, CI: 1.14-14.0) and being older and living in a rural as opposed to urban area (OR=1.96, CI: 1.21-3.17) were all independent predictors of early death from colon cancer. Missing information was also associated with early death including unknown stage, histological type and marital/accommodation status after accounting for other factors.

CONCLUSION: Several factors typically associated with social isolation were a recurring theme in patients who died early from colon cancer death. This association is unexplained by clinical or diagnostic pathway characteristics. Socially isolated patients are a key target group to improve outcomes of the worst surviving patients but further investigation is required to determine if being isolated itself is actually a cause of early death from colon cancer.

Strengths and limitations of this study

- Study sample was generated from a high-quality population-based cancer registry system with relatively few death certificate only (DCO) cases.
- Case-control design provided an efficient method of collecting data and allowed development of a control group that was matched on important non-modifiable characteristics.
- Survival of controls was restricted to a population of patients whose survival was less than three years and similar to the case population.
- Study identified several characteristics which discriminated between cases and controls suggesting that patients who die within the first few months of diagnosis are a specific patient cohort who require attention.

INTRODUCTION

Despite considerable improvements, United Kingdom (UK) survival rates for colon cancer remain poor by international comparison with higher five-year survival reported in Norway, Sweden, Canada and Australia¹ and poorer survival in the UK compared to several countries reported in Eurocare². These deficits have largely been explained by survival at three months post diagnosis³. Patients who survive beyond this period in the UK have similar five-year survival rates to their counterparts in better performing countries¹. Approximately 19% of colorectal cancer patients in the UK and 16% in the Northern Ireland (NI) died within three months of diagnosis between 2006 and 2008^{4, 5}. It was estimated that if survival in England matched that of Norway, 13.6% fewer patients would die within the three month period³. Generally poor survival is linked with a number of factors including late stage disease at diagnosis⁶, poor patient fitness due to coexisting disease⁷ and limited availability of and access to high quality investigations and treatment⁸.

Reasons for diagnostic delay in colorectal cancer are well documented⁹. Lower educational status^{10, 11} and rural residence^{12, 13} have been associated with delayed help seeking. Additionally, stronger social networks have been associated with shorter diagnostic delay^{14, 15, 16}. Clinical characteristics also play a role, patients with co-morbid disease^{11, 17} and/or multiple symptoms¹¹ are less likely to delay compared to those with non-specific symptoms^{17, 18}. Application of referral guidelines by General Practitioners (GPs) has been shown to reduce delay¹⁹ while younger patients^{13, 20}, those of lower socio-economic status²¹ and frequent help seekers^{10, 16} were less likely to be referred. While bowel cancer screening was introduced in the UK in 2007²² and in NI in 2011²³, the vast majority patients are diagnosed clinically²⁴, therefore the role of clinical decision making in early colon cancer diagnosis remains paramount.

The relationship between these factors and surviving past the first few months following a colon cancer diagnosis has not been adequately investigated and their role in explaining

1 international survival differences requires attention. The aim of this study was to investigate
2 patient, clinical and disease factors associated with early death in colon cancer patients in
3 Northern Ireland and to determine factors which might help to identify subgroups in the
4 population for early diagnosis interventions.
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10 **METHODOLOGY**

11 This study employed a retrospective, individually matched, case-control design involving a
12 posthumous review of primary care physician or GP and electronic secondary care notes.
13 The study design was guided by the principles of the Aarhus statement on early diagnosis
14 research²⁵. Principles adhered to in this study include items 1-4, 7-9, and 20 of the Aarhus
15 checklist. Date of initial cancer diagnosis is defined by the NICR as date of first tissue
16 diagnosis in secondary care, not as symptom presentation in primary and/or secondary care.
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27 **Case and control definition and identification**

28 **Cases**

29 Patients diagnosed with primary colon cancer (ICD 10: C18) in NI between January 2005
30 and December 2010 were identified using the Northern Ireland Cancer Registry (NICR).
31 Using death registrations, provided by the NI General Registrar Office, the status and
32 survival of patients was determined. Cases were defined as patients with an observed
33 survival of under 90 days following diagnosis date (as assigned by NICR). A random sample
34 of all eligible cases was selected using random number tables based on pre-defined power
35 calculations.
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45 **Controls**

46 Controls were patients with an observed survival lasting over six months and less than three
47 years leaving a three month buffer between the survival rates of cases and controls.
48 Controls were individually matched, using individual nearest neighbour matching^{26, 27}, to
49 cases by age (within 5-year age bands), sex and year of diagnosis (within 2-year groups).
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1 In both groups, patients with incident cancer identified by death certificate only (DCO) and
2 patients with recurrence of a previous incident colon cancer were excluded.
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6 **Exposure variables and covariates**

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8 Data items were identified through literature review with items and categorisation defined in
9 consultation with a clinical adviser, GP, a colorectal surgeon and an oncologist. Items were
10 classified into seven areas: demographic [marital status, accommodation status, NI Multiple
11 Deprivation Measure (NI MDM quintile), rural/urban status], lifestyle (smoking and alcohol
12 status, health seeking activity including uptake of flu vaccine and frequency of GP
13 attendance) and co-morbidities (Charlson Co-Morbidity score [CCI] and psychiatric
14 illnesses). These characteristics were collected from information recorded between one and
15 three years before diagnosis. Marital and accommodation status were merged in final
16 analysis due to multicollinearity. Disease characteristics included symptoms in the year to
17 diagnosis, disease stage at diagnosis with histology, morphology and grade collected from
18 pathology records held in the NICR. GP and hospital episodes [including symptoms
19 (classified as 'vague' or 'alarm' based on NICE Guidelines for Suspected Cancer Referral
20 guidelines), clinician actions (number of GP episodes before diagnosis and referral) and
21 investigations ordered]. In addition, treatment [first treatment type, treatment intent, surgical
22 resection (y/n), radiotherapy (y/n), chemotherapy (y/n)] and death information (date, place
23 and cause of death) were also collected. Data were collected by two trained data abstractors
24 under the guidance of a medically trained clinical adviser using a common bespoke
25 proforma. Data was sourced from GP records, electronic hospital records including the
26 hospital discharge records, multidisciplinary team (MDT) and oncology data systems. The
27 study target sample was 600 cases matched to 600 controls with this sample it was
28 estimated the study would have over 90% power to detect (at the 5% level) a 10-percentage
29 point difference in the proportion of married cases vs controls where 47% of the control
30 group were married.
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Statistical method

Data was analysed using 'STATA 14 (StataCorp 2015)'. All missing data were categorised as unknown and included in the analysis. Univariate analysis involved cross-tabulation of all categorical variables with case/control status. Conditional logistic regression (CLR) was used to produce unadjusted odds ratios and associated 95% confidence intervals to identify independent factors associated with early death. Patient characteristics, significant at the $p < 0.25$, were included in a minimally adjusted multivariable model to test independence from other co-morbidities, patient and disease characteristics. Stage and pathway to diagnosis characteristics (number of A&E and GP episodes in three months preceding diagnosis) were added to the models to assess the degree to which they explained variation in early death among different patient groups. Age (a binary classification around median age [78 years] of cases) and sex stratified univariate and multivariable analysis were undertaken to investigate differences in patterns in early death between these groups.

Patient and public involvement

Members of the public including patients were not involved in the design or analysis stages of this piece of non-interventional research but research question was designed to explore characteristics of patients who die early after a diagnosis of colon cancer. Ethical approval for this study was granted by the Office of Research Ethics Committees Northern Ireland (12/NI/0034). This committee receives input from lay member(s) of the public before reaching a decision about whether or not to approve research studies.

RESULTS

There were 4,358 colon cancer tumours between 2005 and 2010 registered by the NICR. Of these, 743 (17%) related to patients who died within 3 months of diagnosis and 1,069 related to patients who died between six months and three years. Following exclusions and sampling (Figure 1), 484 cases and the same number of matched controls were generated.

There were no significant differences between cases included in the study and those not included (Supplementary Table 1) regarding stage at diagnosis, deprivation quintile, age and survival. However, the study group included significantly more males than females as well as fewer patients diagnosed in 2009 and 2010 due to resource constraints in data collection.

Univariate analysis

Compared to married patients, odds of early death were higher among single, widowed and those with unknown marital status (Table 1). Those who lived alone, in nursing or residential care or were living with another relative were more likely to die within three months compared to those living with a spouse/partner. Odds of early death were also higher in the most deprived communities (23%) compared to the least deprived (13%) (Table 1).

Table 1: Demographic characteristics of cases and controls and associated odds ratios for early death and 95% confidence intervals

Characteristic		Case		Control		OR†	95% CI
		n	%	n	%		
Accommodation status	Spouse/ partner	156	32.2	233	48.1	1	
	Nursing/residential	48	9.9	23	4.8	3.93	2.18 – 7.09
	Sheltered dwelling	8	1.7	14	2.9	0.91	0.37 – 2.24
	Alone	156	32.2	152	31.4	1.74	1.23 – 2.45
	Lives with relative	53	11.0	40	8.3	2.32	1.41 – 3.82
	Unknown	63	13.0	22	4.6	5.32	3.00 – 9.43
Marital status	Married/Cohabiting	189	39.1	257	53.1	1	
	Divorced	15	3.1	13	2.7	1.51	0.66 – 3.45
	Unknown	44	9.1	15	3.1	3.79	2.06 – 6.96
	Single	74	15.3	53	11.0	1.88	1.25 – 2.84
	Widowed	162	33.5	146	30.2	1.60	1.14 – 2.22

Urban/rural status	Rural	178	63.2	166	65.7	1	
	Urban	306	36.8	318	34.3	0.89	0.68 – 1.17
Deprivation quintile	Q1 (least deprived)	64	13.2	89	18.4	1	
	Q2	95	19.6	92	19.0	1.45	0.76 – 1.74
	Q3	106	21.9	111	22.9	1.01	0.66 – 1.54
	Q4	110	22.7	102	21.1	1.49	0.99 – 2.24
	Q5 (most deprived)	109	22.5	90	18.6	1.47	0.95 - 2.27
Flu uptake	No uptake	71	14.7	47	9.7	1	
	≥1 vaccination	324	66.9	345	71.3	0.63	0.43-0.94
	Unknown	89	18.4	92	19.0	0.65	0.40-1.05
Baseline consultation activity (tertile)	<11	149	30.8	156	32.2	1	
	11-19	148	30.6	162	33.5	0.98	0.71-1.34
	≥20	187	38.6	166	34.3	1.20	0.87-1.67
Smoking	Non-smoker	221	45.7	219	15.7	1	
	Ex-smoker	141	29.1	175	36.2	0.76	0.55 – 1.04
	Current smoker	91	18.8	76	15.7	1.19	0.81 – 1.75
	Unknown	31	6.4	14	2.9	2.30	1.16 – 4.56
Alcohol Consumption	Current drinker	154	31.8	175	36.2	1	
	Ex-drinker	31	6.4	26	5.4	1.37	0.78 – 2.43
	Never drank	189	39.1	191	39.5	1.13	0.82 – 1.55
	Unknown	110	22.7	92	19.0	1.38	0.96 – 1.99

† Conditional logistic regression odds ratios for cases and controls matched on age, sex and year diagnosed

Baseline GP consultation activity was not associated with early death. Regarding flu vaccine uptake, it was not possible to identify patients who were invited for flu vaccine though based on age alone, 86% of cases were eligible. Approximately 70% took the flu vaccine at least once during the 1-3 years period before diagnosis; 15% did not attend for the flu vaccine and attendance for the remaining 18% was unknown. Patients who attended twice in the 1-3 year

period before diagnosis had lower odds of early death than patients who did not attend. Smoking status was not significantly associated with early death with the exception of those who had an unknown smoking status (see Table 1).

Being underweight (BMI <18.5) was strongly associated with early death compared to patients with a normal BMI. Furthermore, obesity was not associated with early death (Table 2). Co-morbidity was common among patients who died early. Almost three quarters (72%) had at least one co-morbidity. CCI score was, however, not associated with early death (mean CCI score for cases was 4.75 compared to 4.90 for controls ($p=0.32$). Dementia was the only co-morbidity within the CCI that was associated with early death - it was present in 8% of cases compared to 4% of controls (Table 2).

Table 2: Presence of individual co-morbidities included in the Charlson score in cases and controls and associated clogit odds ratios and 95% confidence intervals

Characteristic		Case		Control		OR	95% CI
		n	%	n	%		
Underweight	No	467	96.5	479	99.0	1	
	Yes	17	3.5	5	1.0	3.4	1.25–9.22
Obese	No	425	87.8	420	86.8	1	
	Yes	59	12.2	64	13.2	0.91	0.63–1.33
Dementia	No	445	91.9	462	95.5	1	
	Yes	39	8.1	22	4.6	1.85	1.07–3.19
Hypertension	No	279	57.6	260	53.7	1	
	Yes	205	42.4	224	46.3	0.84	0.6–1.10
Ischaemic heart disease	No	391	80.8	386	79.8	1	
	Yes	93	19.2	98	20.3	0.94	0.68–1.29
Parkinson's Disease	No	473	97.7	477	98.6	1	
	Yes	11	2.3	7	1.5	1.57	0.61–4.05
Valvular heart	No	422	87.2	416	86.0	1	

disease	Yes	62	12.8	68	14.1	0.89	0.61–1.31
Myocardial Infarction	No	429	88.6	436	90.1	1	
	Yes	55	11.4	48	9.9	1.16	0.78–1.72
Congestive heart failure	No	459	94.8	451	93.2	1	
	Yes	25	5.2	33	6.8	0.72	0.41–1.27
Peripheral vascular disease	No	452	93.4	462	95.5	1	
	Yes	32	6.6	22	4.6	1.53	0.86–2.72
Cerebrovascular disease	No	433	89.5	440	90.9	1	
	Yes	51	10.5	44	9.1	1.18	0.77–1.81
COPD	No	402	83.1	397	82.0	1	
	Yes	82	16.9	87	18.0	0.98	0.64–1.50
Connective tissue disorder	No	441	91.1	440	90.9	1	
	Yes	43	8.9	44	9.1	0.98	0.64–1.50
Diabetes without complications	No	420	86.8	429	88.6	1	
	Yes	64	13.2	55	11.4	1.20	0.81–1.77
Peptic ulcer	No	446	92.2	456	94.2	1	
	Yes	38	7.9	28	5.8	1.40	0.84–2.34
Liver disease	No	481	99.4	481	99.4	1	
	Yes	3	0.6	3	0.6	1	0.20–4.95
Hemiplegia / Paraplegia	No	484	100.0	482	99.6	1	
	Yes	0	0.0	2	0.4	-	-
Renal disease	No	441	91.1	449	92.8	1	
	Yes	43	8.9	35	7.2	1.24	0.79–1.94
Diabetes with complications	No	465	96.1	460	95.1	1	
	Yes	19	3.9	24	5.0	0.79	0.43–1.45
Cancer	No	468	96.7	456	94.2	1	
	Yes	16	3.3	28	5.8	0.57	0.31–1.06
Leukaemia	No	482	99.6	482	99.6	1	

	Yes	2	0.4	2	0.4	1.00	0.14–7.10
Lymphoma	No	482	99.6	483	99.8	1	
	Yes	2	0.4	1	0.2	2.00	0.18–22.06
Severe liver disease	No	484	100	483	99.8	1	
	Yes	0	0	1	0.2	-	-
Metastatic cancer	No	451	93.2	436	90.0	1	
	Yes	33	6.8	48	9.9	0.64	0.40–1.04

†Conditional logistic regression odds ratios for cases and controls matched on age, sex and year diagnosis

Regarding pre-existing psychiatric conditions, 1% of cases were recorded as having schizophrenia, 1% with a learning disability and 13% with anxiety or depression. None were significantly associated with early death. However, the small number of patients with 'other' psychiatric conditions had higher odds of early death (Table 3). Compared to Dukes Stage A, Dukes Stage D and unknown stage were associated with early death. Unknown histological type, unspecified anatomical site and undetermined grade were also associated with early death. Patients with a family history of colorectal cancer had lower odds of early death compared to patients without a family history (Table 4).

Table 3: Psychiatric illness among cases and controls and associated clogit odds ratios and 95% confidence intervals

Characteristic		Case		Control		OR	95% CI
		n	%	n	%		
Learning disability	No	479	99.0	482	99.6	1	
	Yes	5	1.0	2	0.4	1.24	0.85–1.79
Anxiety/Depression	No	419	86.6	425	87.8	1	
	Yes	65	13.4	59	12.2	1.13	0.76–1.70
Schizophrenia	No	480	99.2	479	99.0	1	
	Yes	4	0.8	5	1.0	0.80	0.22–2.98
Other psychiatric	No	470	97.1	480	99.2	1	

disorder	Yes	14	2.9	4	0.8	3.50	1.15–10.63
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*†*Conditional logistic regression odds ratios for cases and controls matched on age, sex and year diagnosis

Table 4: Disease characteristics among cases and controls and associated odds ratios and 95% confidence intervals

Characteristic		Case		Control		OR†	95% CI
		n	%	n	%		
Anatomical Location	Ascending	52	10.7	57	11.8	1	
	Caecum	93	19.2	136	28.1	0.83	0.52–1.29
	Other	90	18.6	93	19.2	1.19	0.73–1.93
	Descending	29	6.0	18	3.7	1.97	0.97–3.99
	Sigmoid colon	95	19.6	132	27.3	0.86	0.54–1.37
	Not specified	125	25.8	48	9.9	2.96	1.75–5.02
Histological type	Adenocarcinoma	256	52.9	384	79.3	1	
	Mucinous	14	2.9	28	5.8	0.91	0.45–1.81
	Not specified	198	40.9	60	12.4	5.62	3.80–8.34
	Other	16	3.3	12	2.5	1.68	0.77–3.67
Metastases	None	37	7.6	64	13.2	1	
	Bone	9	1.9	1	0.2	17.2	2.48–143.6
	Liver	134	27.7	108	22.3	2.37	1.42–3.95
	Lung	14	2.9	10	2.1	2.55	1.01–6.38
	Other	27	5.6	23	4.8	2.17	1.07–4.41
	Unknown	263	54.3	278	57.4	1.77	1.10–2.84
Dukes Stage	A	8	1.7	19	3.9	1	
	B	46	9.5	85	17.6	1.37	0.54–3.48
	C	47	9.7	127	26.2	1.02	0.41–2.52

	D	160	33.1	140	28.9	2.96	1.23–7.14
	Unknown	233	46.1	113	23.4	5.65	2.30–13.91
Grade (differentiation)	Well/Moderate	93	19.2	190	39.3	1	
	Poor/Undifferentiated	40	8.3	57	11.8	1.45	0.89–2.36
	Not determined	351	72.5	237	50.0	3.32	2.38–4.62
Colorectal polyps	No	467	10.7	57	11.8	1	
	Yes	17	19.2	136	28.1	0.80	0.42–1.54
Bowel cancer family history	No	459	25.8	48	9.9	1	
	Yes	25	6.0	18	3.7	0.52	0.31–0.88

[†]Conditional logistic regression odds ratios for cases and controls matched on age, sex and year diagnosis

Multivariable analysis

Unknown marital status, being single, widowed, divorced and living alone were all associated with early death compared to patients who were married/co-habiting after adjusting for other patient characteristics and co-morbidities. Regarding socio-economic status, a deprivation gradient for early death was apparent in older people living within quintile 5. This relationship existed for all patients in quintile 4. These socially deprived groups had higher odds of early death compared to the least deprived after adjusting for other factors (Table 5). Being under-weight between 1-3 years before diagnosis was significantly associated with early death in multivariable analysis. Unspecified histology and Dukes Stage D disease remained positively associated with early death in multivariable analysis.

Table 5: Multivariable analysis of the association between patient characteristics and early death

	All patients			Male			Female			Aged ≥78 year			Aged <78 year		
	n [#]	O R	95 %	n [#]	O R	95 %	n [#]	O R	95 %	n [#] α	O R	95 %	n [#] α	O R	95 %

			CI			CI			CI			CI			CI	
Marital / accommodation status	Married/co-habiting	371	1.00		248	1.00		123	1.00		125	1.00		246	1.00	
	Married/not co-habiting	26	1.90	0.65-5.57	11	1.27	0.27-6.01	15	3.72	0.70-19.7	17	1.60	0.45-5.67	9	0.63	0.04-10.1
	Institutional care	63	1.93	0.93-4.00	25	1.78	0.57-5.57	38	2.60	0.85-7.82	52	3.02	1.22-7.49	11	0.15	0.01-1.48
	Unknown	127	5.01	2.82-8.89	66	3.87	1.76-8.38	61	8.49	3.12-23.1	68	4.32	1.97-9.47	59	6.36	2.08-19.5
	Living alone & SWD*	270	1.64	1.07-2.50	113	1.55	0.82-2.80	157	2.21	1.04-4.80	116	1.62	0.92-2.91	102	1.52	0.72-3.21
	Other & SWD*	111	1.39	0.80-2.44	47	1.28	0.59-2.74	64	2.03	0.76-5.44	61	0.85	0.37-1.95	50	2.55	0.96-6.82
Deprivation quintile	Q1 Least deprived	153	1.00		87	1.00		66	1.00		83	1.00		70	1.00	
	Q2	187	1.28	0.74-2.25	91	0.84	0.39-1.82	96	2.90	1.10-7.6	96	2.29	1.06-4.93	91	0.60	0.21-1.74
	Q3	217	1.42	0.82-2.42	112	1.28	0.62-2.63	109	2.54	0.81-5.47	107	2.05	0.96-4.38	109	1.29	0.41-2.93
	Q4	212	1.88	1.09-3.23	112	1.60	0.79-3.22	100	3.10	1.14-8.43	101	2.02	0.98-4.15	99	1.71	0.62-4.67

	Q5 Most deprived	199	172	0.98	108	113	0.53	91	470	1.68	92	264	1.15	107	117	0.43
	Being underweight (BMI <18.5)	22	399	1.14	60	274	0.32	16	396	0.65	13	727	1.42	90	234	0.24
Dukes stage	A	80	100		20	100		70	100		10	100		107	100	
	B	46	185	0.64	70	172	0.52	61	490	0.32	69	243	0.43	62	108	0.24
	C	47	151	0.54	82	113	0.33	92	604	0.43	88	217	0.41	86	087	0.18
	D	160	307	1.13	162	198	0.63	138	108	102	109	464	0.84	191	186	0.44
	Unknown	233	299	1.05	176	186	0.56	160	158	106	215	427	0.79	121	172	0.34
Histology type	Adenocarcinoma	440	100		352	100		288	100		296	100		344	100	
	Mucinous	42	120	0.54	17	213	0.59	25	087	0.27	22	106	0.35	20	098	0.24
	Not specified	258	366	2.17	126	502	2.47	132	201	0.82	166	270	1.37	92	109	3.13
	Other	28	116	0.48	15	158	0.44	13	076	0.17	70	058	0.09	21	149	0.45

Rural residence	3	1.	0.9	1	1.	0.7	1	1.	0.8	1	1.	1.2	1	0.	0.3
	4	40	8-	8	29	8-	6	53	6-	7	96	1-	6	76	8-
	4		2.0	2		2.1	2		2.7	8		3.1	6		1.5
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*=SWD= single, widowed, divorced

#: n= cases and controls combined

Additional models that adjusted for pathway characteristics (attendance at A&E and number of GP consultations prior to diagnosis) did not explain the association between marital status and early death ($p < 0.01$). Flu vaccination attendance and baseline consultation activity, dementia, psychiatric illness and smoking status were not significantly associated with early death in multivariable analysis.

Consistent patterns were observed among males and females when data was stratified by sex though the association between deprivation and early death was strongly pronounced among women and not present among men. Age stratification showed the odds of early death were higher for those living in rural areas compared to urban areas among patients aged ≥ 78 years. This association was not apparent in the younger age group. With this exception, there were only minor differences in the factors associated with early death between the two age groups (see Table 5).

DISCUSSION

This study investigated characteristics of patients who died within three months of a diagnosis of colon cancer with the aim of identifying specific patient factors that can be addressed or accounted for to improve survival outcomes. Social isolation was identified as a common characteristic of early death in colon cancer patients. The different forms of social isolation studied included living alone and being unmarried (as opposed to co-habiting with a partner), residing in more deprived communities (as opposed to living in quintile 1-2 communities), living in a rural area when elderly (as opposed to an urban area) and having dementia or a psychiatric illness. Each of these factors was comparatively associated with early death.

Previous studies suggested that poorer outcomes for unmarried people and those living alone were mediated through weak social support²⁸, that exerted its influence on outcomes through later presentation²⁹. This view is consistent with several other studies that reported more negative cancer beliefs³⁰, lower symptom awareness and greater perceived barriers to GP help-seeking among this group³¹. While weaker social support has previously been associated with later stage disease^{32, 33}, in this study, despite the collection of a range of detailed pathway and treatment variables, the association between marital status, accommodation status and early death remained unexplained. Other studies suggested biopsychosocial explanations for poorer cancer outcomes in unmarried cancer patients, including chronic stress³⁴ and weaker immune response³⁵. Marital status and accommodation status have an important association with cancer and health outcomes generally³⁶, yet it is a research area that remains relatively under-investigated.

As in other studies, deprivation quintile was associated with early death, with a gradient in the odds of early death with increasing deprivation score^{4, 37, 38}. However, unlike other studies this association seems restricted to women. Like marital / accommodation status, there was little evidence from this study suggesting that the association between deprivation

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3 and early death is explained by characteristics of the pathway to diagnosis as the
4 associations persisted after adjusting for attendance at A&E in the pathway to diagnosis,
5 Dukes Stage and GP episodes in the three months to diagnosis. There is, of course, no
6 direct biological basis for an association between deprivation and survival, mediating factors
7 may include lower performance status due to higher tobacco consumption³⁹, lower uptake of
8 treatments due to fatalistic cancer beliefs⁴⁰ or differential access to services⁴¹. The
9 association between rural residence and early death among the oldest patients was
10 independent of deprivation and strongly significant. This is likely to relate to either isolation,
11 access to services or both. This is a well-defined target group for early diagnosis
12 interventions but further study is required to investigate the link between lack of social
13 contact and cancer survival if one exists.
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26 Similar to previous work on early colorectal cancer death⁴, a consistent feature associated
27 with early death was that of incomplete data due to limited diagnostic testing. Missing
28 histology, stage, grade and anatomical site may be explained by very ill patient's not
29 receiving complete investigation. These characteristics may therefore be viewed as
30 confounding by indication; as opposed to explaining early death, the associations are
31 explained by early death.
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40 The relationships between unknown marital, accommodation and outcomes are more
41 difficult to explain. Despite the fact that GP records and secondary care databases were
42 searched and the information relates to a period over a year prior to diagnosis of cancer, a
43 strong association with early death was observed indicating the data was not missing at
44 random. Missing data on living status, smoking status and alcohol consumption were also
45 associated with early death in univariate analysis. Further work is required to explain this
46 relationship with possible areas for investigation including the patient/practitioner
47 relationship.
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3 While co-morbidity was a common feature of patients in this study we did not find it
4 associated with dying within three months of diagnosis. Previous studies have observed that
5 co-morbidity exerted the greatest influence in the later phases of the survival pathway⁴²
6 though CCI score has been observed as an independent indicator of early death elsewhere⁴.
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8 We had matched cases and controls on age and as co-morbidity is strongly linked to age,
9 this may have reduced our ability to detect this as an independent factor. In addition, being
10 underweight had a strong independent association with early death. This was measured
11 between one and three years before diagnosis and it is likely either related to disease
12 progressing over a longer time period or to poorer performance status. However, as only 17
13 cases were described as underweight it explains less than 4% of the total early deaths.
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24 While the CCI was not associated with early death, dementia and other psychiatric illness,
25 as individual co-morbid conditions were associated with early death, though in multivariable
26 analysis these relationships did not persist. Those with dementia were more likely to have
27 missing data on stage and anatomical location, perhaps suggesting that these patients were
28 less likely to be investigated for their disease. Similar findings have been reported in other
29 colon cancer studies, with dementia associated with poorer colorectal cancer outcomes,
30 later stage disease⁴³, and less invasive investigation⁴⁴. The relationship between other
31 psychiatric illness and early death was also attenuated by stage again suggesting a role of
32 diagnostic intervals on the pathway to diagnosis explaining early death. Underlying causes
33 of delay may relate to symptom recognition by carer, patient, practitioner as well as patient
34 communication or competing health care priorities.
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48 A key objective of this study was to determine if patient health-seeking characteristics were
49 associated with early death following colon cancer diagnosis. Uptake of the flu vaccine,
50 baseline consultation activity and non-attendance at appointments were identified as three
51 easily captured indicators of health-seeking behaviour. It was hypothesised that patients with
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3 more regular or more compliant health-seeking behaviour would have better outcomes than
4 those without, mediated through longer diagnostic intervals and later stage disease.
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9 With regard to health seeking behaviour, although attendance for the flu vaccine was
10 inversely associated with early death, this association was not significant in multivariable
11 analysis. While attendance for the vaccine may be considered an indicator of health
12 compliance, it is likely to reflect various health attitudes and behaviours, with previous UK
13 studies reporting several social and cultural factors that were associated with flu vaccination
14 uptake as well as perception regarding health status and susceptibility to flu⁴⁵. The other
15 indicator of health seeking, frequent GP attendance, was correlated with co-morbidity in this
16 study; a relationship reported in several other research studies^{10, 46}.
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26 Although ex-smokers were identified in univariate analysis as having non-significantly lower
27 odds of early death, this association was largely explained in the minimally adjusted model
28 with little evidence to suggest that poorer outcomes among smokers is real. While previous
29 studies have demonstrated a significant association between alcohol-related hospital
30 admissions and early death following a colorectal cancer diagnosis³⁸, this association was
31 not observed in the current study possibly because this study was unable to discriminate
32 between heavy and moderate alcohol use.
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43 **Strengths and weaknesses**

44 The study sample was generated from a high-quality population-based cancer registry
45 system with relatively few DCO cases⁴⁷ with full access to General Practice records and
46 hospital clinical records. While missing data was a feature of the study, rather than acting as
47 an impediment to our understanding of characteristics of early death, this appears to be one
48 of the defining features of this patient group. Another recent study of early death has
49 reported a similar pattern of high levels of missing data in those with the poorest outcomes⁴.
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3 However, the fact that remains that missing data was a feature of this study and potential
4 improvements to the way data is recorded in the NICR are continuously being made.
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9 The study investigated a broad range of factors that may be associated with early death and
10 allowed for adjustment of a range of confounding factors such as co-morbidity, smoking and
11 alcohol status.
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16 The recording of the patient characteristics that vary over time at between one and three
17 years before diagnosis was an important feature of the study design. Cancer diagnosis has
18 been previously identified as being associated with changes in health-seeking behaviour, co-
19 morbidity, BMI and lifestyle factors. Recording these factors based on over one year before
20 diagnosis strengthens the assessment of causal inference between these variables and
21 early death.
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30 The case-control design in the study provided an efficient methodology to collect data and
31 allowed the development of a control group that was matched on important but non-
32 modifiable characteristics. While age, sex and year of diagnosis were fixed in the current
33 study, their interaction with diagnostic pathway features and other characteristics could not
34 be investigated. Previous studies have shown longer diagnostic timelines, later stage
35 disease at diagnosis, lower symptom awareness and more negative cancer beliefs to be
36 variable depending on age⁴⁸ and sex⁴⁹. In addition, the matching on age may have reduced
37 variation in other characteristics such as co-morbidity. The study population was also
38 selected in a period before the introduction of screening, therefore all patients in both the
39 case and control group were clinically diagnosed. While bowel screening was introduced in
40 NI in 2011²³ and now represents an important pathway in cancer diagnosis, the majority of
41 patients are still diagnosed clinically and the early clinical detection with symptoms remains
42 both important and relevant.
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3 The use of a control group of longer survivors provided useful comparative information to
4 investigate risk of early death, with the choice of a control sample of deceased colon cancer
5 patients removing any risk of consent bias from the study. However, while a buffer of three
6 months was placed between cases and controls to allow better discrimination between the
7 two, the survival of controls was restricted to a population of patients whose survival was
8 less than three years and perhaps not that dissimilar to the case population. Despite this, the
9 study was able to identify several characteristics which discriminated between cases and
10 controls suggesting that the patients who die within the first few months of diagnosis are a
11 specific patient cohort who require specific attention.
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22 **CONCLUSIONS**

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24 This comprehensive study of early death from colon cancer has identified several population
25 sub-groups that warrant special attention. These include those who are single, living alone,
26 older people living in rural environments, and people from the most deprived communities as
27 well as those living in residential or nursing care. These likely comprise some of the most
28 isolated people in society. However, while the aforementioned variables are an indicator of
29 social isolation, this study was not designed to actually *investigate* isolation (i.e. lack of
30 social contact or poor social support networks). Therefore, further study is required to
31 confirm that social isolation is definitely linked with poor cancer survival outcomes. In
32 addition, further studies are required to better understand the role of missing data in patient
33 records. Finally, additional work ought to be undertaken to determine if these patterns are
34 consistent in other ICBP countries.
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DATA SHARING

Data from the TEDI study is available from the N. Ireland Cancer Registry with approval from the data custodian. Interested parties should contact Dr Anna Gavin, Director, N. Ireland Cancer Registry.

COMPETING INTERESTS

There are no competing interests to be reported by the authors in this study

CONTRIBUTOR STATEMENT

CD designed the study, undertook all statistical analysis and drafted the manuscript. NH was medical adviser for the study, provided advice on statistical analysis and interpretation and contributed to the writing of the manuscript. AM contributed to the writing, editing and submission of the paper. MD provided advice and guidance on the study design and contributed to writing of the manuscript. LA provided advice and guidance on the study design and contributed to writing of the manuscript. LR contributed to the study design, development of data collection forms and data abstractor training and analysis and

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3 interpretation of results. AG was Principal Investigator, funding recipient and contributed to
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5 the writing of the manuscript.

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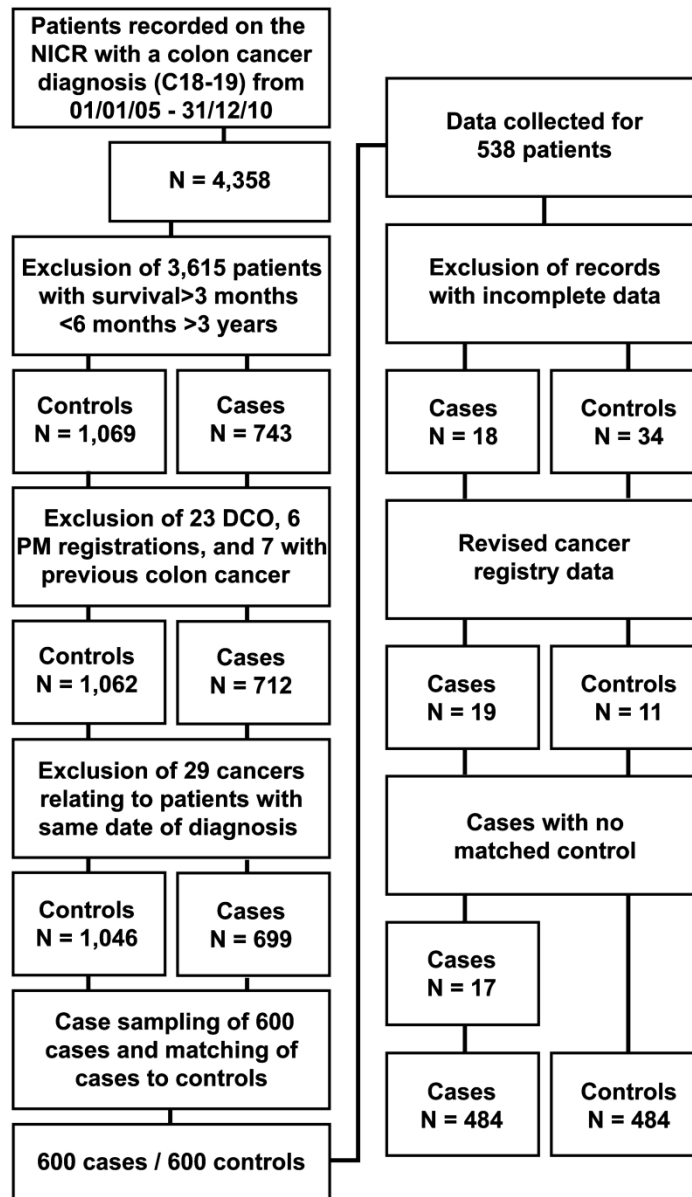
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21 **FIGURE LEGEND**
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24 **Figure 1: case inclusions and exclusions**
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Case inclusions and exclusions

144x247mm (600 x 600 DPI)

Supplementary Table S1: Distribution of the case sample and the remaining patients who died within three months of diagnosis who were not included in the study

Characteristics		Case Sample n=484	Cases not included n=196	P value
Sex %	Male	52.7	44.3	0.05 ^x
	Female	47.3	55.7	
Year diagnosed %	2005-06	39.2	29.4	<0.01 ^x
	2007-08	38.5	18.40	
	2009-10	22.3	51.3	
Dukes stage %	A	2.3	1.0	0.27 ^x
	B	10.1	7.0	
	C	10.7	9.0	
	D	37.0	44.3	
	Unknown	39.9	38.8	
Deprivation quintile %	1 (least deprived)	16.6	15.2	0.87 ^x
	2	20.9	22.7	
	3	17.4	18.7	
	4	24.8	21.7	
	5 (most deprived)	20.3	21.7	
Mean age at diagnosis in years (Standard deviation)		76.5 (10.6)	77.6 (10.3)	0.83 ^t
Mean survival duration in days (Standard deviation)		36.7 (24.7)	33.8 (39.9)	0.82 ^β

P-values presented for chi squared tests (χ), t-tests (t) and Kaplan Meier (β)

STROBE 2007 (v4) checklist of items to be included in reports of observational studies in epidemiology*
Checklist for cohort, case-control, and cross-sectional studies (combined)

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any pre-specified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	2 & 5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	2 & 5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	5
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	6
Bias	9	Describe any efforts to address potential sources of bias	6-7
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6-7
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6-7
		(b) Describe any methods used to examine subgroups and interactions	7
		(c) Explain how missing data were addressed	6, 16
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	5

		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	-
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4
		(b) Give reasons for non-participation at each stage	Fig 1
		(c) Consider use of a flow diagram	Fig 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	Table 1
		(b) Indicate number of participants with missing data for each variable of interest	Table 1-4
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	Table 1-4
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Table 1-6
		(b) Report category boundaries when continuous variables were categorized	Table 1-4
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	Table 5
Discussion			
Key results	18	Summarise key results with reference to study objectives	15
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	18-19
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	19-20
Generalisability	21	Discuss the generalisability (external validity) of the study results	19
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	21

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Predictors of an early death in patients diagnosed with colon cancer: a retrospective case-control study in the United Kingdom

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SCHOLARONE™
Manuscripts

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19 **TITLE: Predictors of an early death in patients diagnosed with**
20
21 **colon cancer: a retrospective case-control study in the United**
22
23 **Kingdom**

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25
26 (Running title: Predictors of an early death from colon cancer)
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28
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30 31 **KEY WORDS**

32
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34
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36

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39
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50 51 **COMPETING INTERESTS**

52
53 There are no competing interests to be reported by the authors in this study
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60 **FIGURES: 1, TABLES: 5, SUPPLEMENTARY TABLES: 1**

ABSTRACT

OBJECTIVE: Despite considerable improvements, five-year survival rates for colon cancer in the United Kingdom (UK) remain poor when compared with other socioeconomically similar countries. Variation in five-year survival can be partly explained by higher rates of death within three months of diagnosis in the UK. This study investigated characteristics of patients who died within three months of a diagnosis of colon cancer with the aim of identifying specific patient factors that can be addressed or accounted for to improve survival outcomes.

DESIGN: A retrospective case-control study design was applied with matching on age, sex and year diagnosed. Patient, disease, clinical, and service characteristics of patients diagnosed with colon cancer in a UK region (2005-2010), who survived less than three months from diagnosis (cases) were compared with patients who survived between six and thirty-six months (controls). Patient and clinical data was sourced from General Practice notes and hospital databases 1-3 years pre-diagnosis.

RESULTS: Being older (aged ≥ 78 years) and living in deprivation quintile 5 (OR=2.64, CI: 1.15-6.06), being unmarried and living alone (OR=1.64, CI: 1.07-2.50), being underweight compared to normal weight or obese (OR=3.99, CI: 1.14-14.0) and being older and living in a rural as opposed to urban area (OR=1.96, CI: 1.21-3.17) were all independent predictors of early death from colon cancer. Missing information was also associated with early death including unknown stage, histological type and marital/accommodation status after accounting for other factors.

CONCLUSION: Several factors typically associated with social isolation were a recurring theme in patients who died early from colon cancer death. This association is unexplained by clinical or diagnostic pathway characteristics. Socially isolated patients are a key target group to improve outcomes of the worst surviving patients, but further investigation is required to determine if being isolated itself is actually cause of early death from colon cancer.

Strengths and limitations of this study

- The study sample was generated from a high-quality population-based cancer registry system with relatively few death certificate only (DCO) cases
- Case-control design provided an efficient method of collecting data and allowed the development of a control group that was matched on important non-modifiable characteristics
- Data used in this study predates the introduction of the national bowel cancer screening programme in this UK region which should mitigate any improvement in survival independently associated with bowel cancer screening
- Survival of controls was restricted to a population of patients whose survival was less than three years and similar to the case population
- Study identified several characteristics which discriminated between cases and controls suggesting that patients who die within the first few months of diagnosis are a specific patient cohort who require attention

INTRODUCTION

Despite considerable improvements, United Kingdom (UK) survival rates for colon cancer remain poor by international comparison with higher five-year survival reported in Norway, Sweden, Canada and Australia¹ and poorer survival in the UK compared to several countries reported in Eurocare². These deficits have largely been explained by survival at three months post diagnosis³. Patients who survive beyond this period in the UK have similar five-year survival rates to their counterparts in better performing countries¹. Approximately 19% of colorectal cancer patients in the UK and 16% in the Northern Ireland (NI) died within three months of diagnosis between 2006 and 2008⁴⁻⁵. It was estimated that if survival in England matched that of Norway, 13.6% fewer patients would die within the three-month period³. Generally poor survival is linked with a number of factors including late stage disease at diagnosis⁶, poor patient fitness due to coexisting disease⁷ and limited availability of and access to high quality investigations and treatment⁸.

Reasons for diagnostic delay in colorectal cancer are well documented⁹. Lower educational status¹⁰⁻¹¹ and rural residence¹²⁻¹³ have been associated with delayed help seeking. Additionally, stronger social networks have been associated with shorter diagnostic delay¹⁴⁻¹⁶. Clinical characteristics also play a role. Patients with co-morbid disease^{11,17} and/or multiple symptoms¹¹ are less likely to delay compared to those with non-specific symptoms¹⁷⁻¹⁸. Application of referral guidelines by General Practitioners (GPs) has been shown to reduce delay¹⁹ while younger patients^{13,20}, those of lower socio-economic status²¹ and frequent help seekers^{10,16} were less likely to be referred. While bowel cancer screening was introduced in the UK in 2007²² and in NI in 2011²³, the vast majority patients are diagnosed clinically²⁴, therefore the role of clinical decision making in early colon cancer diagnosis remains paramount.

The relationship between these factors and surviving past the first few months following a colon cancer diagnosis has not been adequately investigated and their role in explaining international

1 survival differences requires attention. The aim of this study was to investigate patient, clinical
2 and disease factors associated with early death in colon cancer patients in Northern Ireland
3 and to determine factors which might help to identify subgroups in the population for early
4 diagnosis interventions.
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10 11 12 **METHODOLOGY** 13

14 This study employed a retrospective, individually matched, case-control design involving a
15 posthumous review of primary care physician or GP and electronic secondary care notes. The
16 study design was guided by the principles of the Aarhus statement on early diagnosis
17 research²⁵. Principles adhered to in this study include items 1-4, 7-9, and 20 of the Aarhus
18 checklist. Date of initial cancer diagnosis is defined by the Northern Ireland Cancer Registry
19 (NICR) as date of first tissue diagnosis in secondary care, not as symptom presentation in
20 primary and/or secondary care.
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31 **Case and control definition and identification** 32

33 Cases: patients diagnosed with primary colon cancer (ICD 10: C18) in Northern Ireland
34 between January 2005 and December 2010 (prior to introduction of national bowel cancer
35 screening programme in this region) were identified using the NICR. Using death registrations,
36 provided by the General Registrar Office, the status and survival of patients was determined.
37 Cases were defined as patients with an observed survival of under 90 days following diagnosis
38 date (as assigned by NICR). A random sample of all eligible cases was selected using random
39 number tables based on pre-defined power calculations.
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50 Controls: patients with an observed survival lasting over six months and less than three years
51 leaving a three-month buffer between the survival rates of cases and controls. Controls were
52 individually matched, using individual nearest neighbour matching²⁶⁻²⁷ to cases by age (within
53 5-year age bands), sex and year of diagnosis (within 2-year groups). In both groups, patients
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2 with incident cancer identified by death certificate only (DCO) and patients with recurrence of
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4 a previous incident colon cancer were excluded.
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8 **Exposure variables and covariates**

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10 Data items were identified through literature review with items and categorisation defined in
11
12 consultation with a clinical adviser, GP, a colorectal surgeon and an oncologist. Items were
13
14 classified into seven areas: demographic factors acting as a surrogate for social isolation
15
16 (marital status, accommodation status, NI Multiple Deprivation Measure [NI MDM quintile],
17
18 rural/urban status), lifestyle (smoking and alcohol status, health seeking activity including
19
20 uptake of flu vaccine and frequency of GP attendance) and co-morbidities (Charlson Co-
21
22 Morbidity score [CCI] and psychiatric illnesses). These characteristics were collected from
23
24 information recorded between one and three years before diagnosis. Marital and
25
26 accommodation status were merged in final analysis due to multicollinearity.
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31 Disease characteristics included symptoms in the year to diagnosis, disease stage at
32
33 diagnosis with histology, morphology and grade collected from pathology records held in the
34
35 NICR. GP and hospital episodes [including symptoms (classified as 'vague' or 'alarm' based
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37 on NICE Guidelines for Suspected Cancer Referral guidelines), clinician actions (number of
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39 GP episodes before diagnosis and referral) and investigations ordered]. In addition, treatment
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41 [first treatment type, treatment intent, surgical resection (y/n), radiotherapy (y/n),
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43 chemotherapy (y/n)] and death information (date, place and cause of death) were also
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45 collected. Data were collected by two trained data abstractors under the guidance of a
46
47 medically trained clinical adviser using a common bespoke proforma. Data was sourced from
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49 GP records, electronic hospital records including the hospital discharge records,
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51 multidisciplinary team (MDT) and oncology data systems. Assuming $\beta=0.8$ and a 2-sided test
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53 with a significance level of 5%, a sample size of N=960 (480 cases matched to 480 controls)
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55 sufficiently powers this study to detect an odds ratio of 2.1 for any risk factor with a prevalence
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2 of 5%, an odds ratio of 1.8 for any risk factor with a prevalence of 10%, and an odds ratio of
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4 1.6 for any risk factor with a prevalence of 15%.

8 **Statistical method**

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10 Data was analysed using 'STATA 14 (StataCorp 2015)'. All missing data were categorised as
11
12 unknown and included in the analysis. Univariate analysis involved cross-tabulation of all
13
14 categorical variables with case/control status. Conditional logistic regression (CLR) was used
15
16 to produce unadjusted odds ratios and associated 95% confidence intervals to identify
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18 independent factors associated with early death. Patient characteristics that were deemed to
19
20 be clinically significant and/or statistically significant at the $p < 0.25$, were included in a
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22 minimally adjusted multivariable model to test independence from other co-morbidities, patient
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24 and disease characteristics. Stage and pathway to diagnosis characteristics (number of A&E
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26 and GP episodes in three months preceding diagnosis) were added to the models to assess
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28 the degree to which they explained variation in early death among different patient groups.
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30 Age (a binary classification around median age [78 years; IQR = 19] of cases) and sex
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32 stratified univariate and multivariable analysis were undertaken to investigate differences in
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34 patterns in early death between these groups.
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40 **Patient and public involvement**

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42 Members of the public including patients were not involved in the design or analysis stages of
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44 this piece of non-interventional research, but research question was designed to explore
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46 characteristics of patients who die early after a diagnosis of colon cancer. Ethical approval for
47
48 this study was granted by the Office of Research Ethics Committees Northern Ireland
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50 (12/NI/0034). This committee receives input from lay member(s) of the public before reaching
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52 a decision about whether or not to approve research studies.
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RESULTS

There were 4,358 colon cancer tumours between 2005 and 2010 registered by the NICR. Of these, 743 (17%) related to patients who died within 3 months of diagnosis and 1,069 related to patients who died between six months and three years. Following exclusions and sampling (Figure 1), 484 cases and the same number of matched controls were generated. There were no significant differences between cases included in the study and those not included (Supplementary Table 1) regarding stage at diagnosis, deprivation quintile, age and survival. However, the study group included significantly more males than females as well as fewer patients diagnosed in 2009 and 2010 due to resource constraints in data collection.

Univariate analysis

Compared to married patients, odds of early death were higher among single, widowed and those with unknown marital status (Table 1). Those who lived alone, in nursing or residential care or were living with another relative were more likely to die within three months compared to those living with a spouse/partner. Odds of early death were also higher in the most deprived communities (23%) compared to the least deprived (13%) (Table 1).

Table 1: Demographic characteristics of cases and controls and associated odds ratios for early death and 95% confidence intervals

Characteristic		Case		Control		OR [†]	95% CI
		n	%	n	%		
Accommodation status	Spouse/ partner	156	32.2	233	48.1	1	
	Nursing/residential	48	9.9	23	4.8	3.93	2.18 – 7.09
	Sheltered dwelling	8	1.7	14	2.9	0.91	0.37 – 2.24
	Alone	156	32.2	152	31.4	1.74	1.23 – 2.45
	Lives with relative	53	11.0	40	8.3	2.32	1.41 – 3.82
	Unknown	63	13.0	22	4.6	5.32	3.00 – 9.43
Marital status	Married/Cohabiting	189	39.1	257	53.1	1	

	Divorced	15	3.1	13	2.7	1.51	0.66 – 3.45
	Unknown	44	9.1	15	3.1	3.79	2.06 – 6.96
	Single	74	15.3	53	11.0	1.88	1.25 – 2.84
	Widowed	162	33.5	146	30.2	1.60	1.14 – 2.22
Urban/rural status	Rural	178	63.2	166	65.7	1	
	Urban	306	36.8	318	34.3	0.89	0.68 – 1.17
Deprivation quintile	Q1 (least deprived)	64	13.2	89	18.4	1	
	Q2	95	19.6	92	19.0	1.45	0.76 – 1.74
	Q3	106	21.9	111	22.9	1.01	0.66 – 1.54
	Q4	110	22.7	102	21.1	1.49	0.99 – 2.24
	Q5 (most deprived)	109	22.5	90	18.6	1.47	0.95 - 2.27
Influenza vaccination uptake	No uptake	71	14.7	47	9.7	1	
	≥1 vaccination	324	66.9	345	71.3	0.63	0.43-0.94
	Unknown	89	18.4	92	19.0	0.65	0.40-1.05
Baseline consultation activity (tercile)	<11	149	30.8	156	32.2	1	
	11-19	148	30.6	162	33.5	0.98	0.71-1.34
	≥20	187	38.6	166	34.3	1.20	0.87-1.67
Smoking	Non-smoker	221	45.7	219	15.7	1	
	Ex-smoker	141	29.1	175	36.2	0.76	0.55 – 1.04
	Current smoker	91	18.8	76	15.7	1.19	0.81 – 1.75
	Unknown	31	6.4	14	2.9	2.30	1.16 – 4.56
Alcohol Consumption	Current drinker	154	31.8	175	36.2	1	
	Ex-drinker	31	6.4	26	5.4	1.37	0.78 – 2.43
	Never drank	189	39.1	191	39.5	1.13	0.82 – 1.55
	Unknown	110	22.7	92	19.0	1.38	0.96 – 1.99

† Conditional logistic regression odds ratios for cases and controls matched on age, sex and year diagnosed

Baseline GP consultation activity was not associated with early death. Regarding influenza vaccine uptake, it was not possible to identify patients who were invited for vaccination though based on age alone, 86% of cases were eligible. Approximately 70% took the vaccine at least once during the 1-3 years period before diagnosis; 15% did not attend for their vaccination and attendance for the remaining 18% was unknown. Patients who attended twice in the 1-3-year period before diagnosis had lower odds of early death than patients who did not attend. Smoking status was not significantly associated with early death with the exception of those who had an unknown smoking status (see Table 1).

Being underweight (BMI <18.5) was strongly associated with early death compared to patients with a normal or elevated BMI. However, obesity was not associated with early death when compared with being non-obese (Table 2). Co-morbidity was common among patients who died early. Almost three quarters (72%) had at least one co-morbidity. CCI score was, however, not associated with early death when means were compared by way of t-test (mean CCI score for cases was 4.75 compared to 4.90 for controls). Dementia was the only co-morbidity within the CCI that was associated with early death - it was present in 8% of cases compared to 4% of controls (Table 2).

Table 2: Presence of individual co-morbidities included in the Charlson score in cases and controls and associated clogit odds ratios and 95% confidence intervals

Characteristic		Case		Control		OR	95% CI
		n	%	n	%		
Underweight	No	467	96.5	479	99.0	1	
	Yes	17	3.5	5	1.0	3.4	1.25–9.22
Obese	No	425	87.8	420	86.8	1	
	Yes	59	12.2	64	13.2	0.91	0.63–1.33
Dementia	No	445	91.9	462	95.5	1	
	Yes	39	8.1	22	4.6	1.85	1.07–3.19
Hypertension	No	279	57.6	260	53.7	1	

	Yes	205	42.4	224	46.3	0.84	0.6–1.10
Ischaemic heart disease	No	391	80.8	386	79.8	1	
	Yes	93	19.2	98	20.3	0.94	0.68–1.29
Parkinson's Disease	No	473	97.7	477	98.6	1	
	Yes	11	2.3	7	1.5	1.57	0.61–4.05
Valvular heart disease	No	422	87.2	416	86.0	1	
	Yes	62	12.8	68	14.1	0.89	0.61–1.31
Myocardial Infarction	No	429	88.6	436	90.1	1	
	Yes	55	11.4	48	9.9	1.16	0.78–1.72
Congestive heart failure	No	459	94.8	451	93.2	1	
	Yes	25	5.2	33	6.8	0.72	0.41–1.27
Peripheral vascular disease	No	452	93.4	462	95.5	1	
	Yes	32	6.6	22	4.6	1.53	0.86–2.72
Cerebrovascular disease	No	433	89.5	440	90.9	1	
	Yes	51	10.5	44	9.1	1.18	0.77–1.81
COPD	No	402	83.1	397	82.0	1	
	Yes	82	16.9	87	18.0	0.98	0.64–1.50
Connective tissue disorder	No	441	91.1	440	90.9	1	
	Yes	43	8.9	44	9.1	0.98	0.64–1.50
Diabetes without complications	No	420	86.8	429	88.6	1	
	Yes	64	13.2	55	11.4	1.20	0.81–1.77
Peptic ulcer	No	446	92.2	456	94.2	1	
	Yes	38	7.9	28	5.8	1.40	0.84–2.34
Liver disease	No	481	99.4	481	99.4	1	
	Yes	3	0.6	3	0.6	1	0.20–4.95
Hemiplegia / Paraplegia	No	484	100.0	482	99.6	1	
	Yes	0	0.0	2	0.4	-	-

Renal disease	No	441	91.1	449	92.8	1	
	Yes	43	8.9	35	7.2	1.24	0.79–1.94
Diabetes with complications	No	465	96.1	460	95.1	1	
	Yes	19	3.9	24	5.0	0.79	0.43–1.45
Cancer	No	468	96.7	456	94.2	1	
	Yes	16	3.3	28	5.8	0.57	0.31–1.06
Leukaemia	No	482	99.6	482	99.6	1	
	Yes	2	0.4	2	0.4	1.00	0.14–7.10
Lymphoma	No	482	99.6	483	99.8	1	
	Yes	2	0.4	1	0.2	2.00	0.18–22.06
Severe liver disease	No	484	100	483	99.8	1	
	Yes	0	0	1	0.2	-	-
Metastatic cancer	No	451	93.2	436	90.0	1	
	Yes	33	6.8	48	9.9	0.64	0.40–1.04

† Conditional logistic regression odds ratios for cases and controls matched on age, sex and year diagnosis

Regarding pre-existing psychiatric conditions, 1% of cases were recorded as having schizophrenia, 1% with a learning disability and 13% with anxiety or depression. None were significantly associated with early death. However, the small number of patients with 'other' psychiatric conditions had higher odds of early death (Table 3). Compared to Dukes Stage A, Dukes Stage D and unknown stage were associated with early death. Unknown histological type, unspecified anatomical site and undetermined grade were also associated with early death. Patients with a family history of colorectal cancer had lower odds of early death compared to patients without a family history (Table 4).

Table 3: Psychiatric illness among cases and controls and associated clogit odds ratios and 95% confidence intervals

Characteristic		Case		Control		OR	95% CI
		n	%	n	%		
Learning disability	No	479	99.0	482	99.6	1	
	Yes	5	1.0	2	0.4	1.24	0.85–1.79
Anxiety/Depression	No	419	86.6	425	87.8	1	
	Yes	65	13.4	59	12.2	1.13	0.76–1.70
Schizophrenia	No	480	99.2	479	99.0	1	
	Yes	4	0.8	5	1.0	0.80	0.22–2.98
Other psychiatric disorder	No	470	97.1	480	99.2	1	
	Yes	14	2.9	4	0.8	3.50	1.15–10.63

† Conditional logistic regression odds ratios for cases and controls matched on age, sex and year diagnosis

Table 4: Disease characteristics among cases and controls and associated odds ratios and 95% confidence intervals

Characteristic		Case		Control		OR†	95% CI
		n	%	n	%		
Anatomical Location	Ascending	52	10.7	57	11.8	1	
	Caecum	93	19.2	136	28.1	0.83	0.52–1.29
	Other	90	18.6	93	19.2	1.19	0.73–1.93
	Descending	29	6.0	18	3.7	1.97	0.97–3.99
	Sigmoid colon	95	19.6	132	27.3	0.86	0.54–1.37
	Not specified	125	25.8	48	9.9	2.96	1.75–5.02
Histological type	Adenocarcinoma	256	52.9	384	79.3	1	
	Mucinous	14	2.9	28	5.8	0.91	0.45–1.81
	Not specified	198	40.9	60	12.4	5.62	3.80–8.34

	Other	16	3.3	12	2.5	1.68	0.77–3.67
Metastases	None	37	7.6	64	13.2	1	
	Bone	9	1.9	1	0.2	17.2	2.48-143.6
	Liver	134	27.7	108	22.3	2.37	1.42-3.95
	Lung	14	2.9	10	2.1	2.55	1.01-6.38
	Other	27	5.6	23	4.8	2.17	1.07-4.41
	Unknown	263	54.3	278	57.4	1.77	1.10-2.84
Dukes Stage	A	8	1.7	19	3.9	1	
	B	46	9.5	85	17.6	1.37	0.54–3.48
	C	47	9.7	127	26.2	1.02	0.41–2.52
	D	160	33.1	140	28.9	2.96	1.23–7.14
	Unknown	233	46.1	113	23.4	5.65	2.30–13.91
Grade (differentiation)	Well/Moderate	93	19.2	190	39.3	1	
	Poor/Undifferentiated	40	8.3	57	11.8	1.45	0.89-2.36
	Not determined	351	72.5	237	50.0	3.32	2.38-4.62
Colorectal polyps	No	467	10.7	57	11.8	1	
	Yes	17	19.2	136	28.1	0.80	0.42–1.54
Bowel cancer family history	No	459	25.8	48	9.9	1	
	Yes	25	6.0	18	3.7	0.52	0.31–0.88

† Conditional logistic regression odds ratios for cases and controls matched on age, sex and year diagnosis

Multivariable analysis

Unknown marital status, being single, widowed, divorced and living alone were all associated with early death compared to patients who were married/co-habiting after adjusting for other patient characteristics and co-morbidities. Regarding socio-economic status, a deprivation

1
2 gradient for early death was apparent in older people living within quintile 5. This relationship
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4 existed for all patients in quintile 4. These socially deprived groups had higher odds of early
5
6 death compared to the least deprived after adjusting for other factors (Table 5). Being under-
7
8 weight between 1-3 years before diagnosis was significantly associated with early death in
9
10 multivariable analysis. Unspecified histology and Dukes Stage D disease remained positively
11
12 associated with early death in multivariable analysis. Additional models that adjusted for
13
14 pathway characteristics (attendance at A&E and number of GP consultations prior to
15
16 diagnosis) did not explain the association between marital status and early death ($p < 0.01$).
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18 Flu vaccination attendance and baseline consultation activity, dementia, psychiatric illness
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20 and smoking status were not significantly associated with early death in multivariable analysis.
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28 **Table 5: Multivariable analysis of the association between patient characteristics and**
29 **early death**

		All patients			Male			Female			Aged ≥ 78 year		
		n [#]	OR	95% CI	n [#]	OR	95% CI	n [#]	OR	95% CI	n [#] ^a	OR	95% CI
Marital / accommodation status	Married and co-habiting	371	1.00		248	1.00		123	1.00		125	1.00	
	Married not co-habiting	26	1.90	0.65-5.57	11	1.27	0.27-6.01	15	3.72	0.70-19.7	17	1.60	0.45-5.67
	Institution care	63	1.93	0.93-4.00	25	1.78	0.57-5.57	38	2.60	0.85-7.82	52	3.02	1.22-7.49
	Unknown	127	5.01	2.82-8.89	66	3.87	1.76-8.38	61	8.49	3.12-23.1	68	4.32	1.97-9.47
	Living alone & SWD*	270	1.64	1.07-2.50	113	1.55	0.86-2.80	157	2.21	1.01-4.80	168	1.62	0.90-2.91
	Other & SWD*	111	1.39	0.80-2.44	47	1.28	0.59-2.74	64	2.03	0.76-5.44	61	0.85	0.37-1.95

Deprivation quintile	Q1 Least deprived	153	1.00		87	1.00		66	1.00		83	1.00	
	Q2	187	1.28	0.74-2.25	91	0.84	0.39-1.82	96	2.90	1.10-7.6	96	2.29	1.06-4.93
	Q3	217	1.42	0.83-2.42	112	1.28	0.62-2.63	105	2.09	0.81-5.4	107	2.05	0.96-4.38
	Q4	212	1.88	1.09-3.23	112	1.60	0.79-3.22	100	3.10	1.14-8.4	113	2.02	0.98-4.15
	Q5 Most deprived	199	1.72	0.98-3.02	108	1.13	0.53-2.33	91	4.70	1.68-13.2	92	2.64	1.15-6.06
Being underweight (BMI <18.5)		22	3.99	1.14-14.0	6	2.74	0.32-21.1	16	3.96	0.65-24.2	13	7.27	1.42-68.8
Dukes stage	A	8	1.00		20	1.00		7	1.00		10	1.00	
	B	46	1.85	0.64-5.32	70	1.72	0.52-5.67	61	4.90	0.32-75.1	69	2.43	0.43-13.7
	C	47	1.51	0.54-4.27	82	1.13	0.33-3.82	92	6.04	0.43-83.9	88	2.17	0.41-11.6
	D	160	3.07	1.13-8.38	162	1.98	0.63-6.21	138	13.8	1.02-188	109	4.64	0.84-25.7
	Unknown	233	2.99	1.05-8.53	176	1.86	0.58-6.02	160	15.8	1.06-236	215	4.27	0.79-22.9
Histology type	Adeno-carcinoma	440	1.00		352	1.00		288	1.00		296	1.00	
	Mucinous	42	1.20	0.54-2.66	17	2.13	0.59-7.64	25	0.87	0.27-2.72	22	1.06	0.35-3.27
	Not specified	258	3.66	2.17-6.17	126	5.02	2.47-10.2	132	2.01	0.82-4.90	166	2.70	1.37-5.31
	Other	28	1.16	0.48-2.83	15	1.58	0.44-5.66	13	0.76	0.17-3.46	7	0.58	0.09-3.77
Rural residence		344	1.40	0.98-2.01	182	1.29	0.78-2.17	162	1.53	0.86-2.74	178	1.96	1.21-3.17

*=SWD= single, widowed, divorced

#: n= cases and controls combined

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2 Consistent patterns were observed among males and females when data was stratified by sex
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4 though the association between deprivation and early death was strongly pronounced among
5
6 women and not present among men. Age stratification showed the odds of early death were
7
8 higher for those living in rural areas compared to urban areas among patients aged ≥ 78 years.
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10 This association was not apparent in those aged < 78 . Otherwise, there were no significant
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12 differences in the factors associated with early death between those aged < 78 and those aged
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14 ≥ 78 (see Table 5 – only data for ≥ 78 shown).
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For peer review only

DISCUSSION

Summary of findings

This study investigated characteristics of patients who died within three months of a diagnosis of colon cancer, by way of univariate and multivariate analyses, with the aim of identifying specific patient factors that can be addressed or accounted for to improve survival outcomes.

Social isolation was identified as a common characteristic of early death in colon cancer patients. The different forms of social isolation studied included living alone and being unmarried (as opposed to co-habiting with a partner), residing in more deprived communities (as opposed to living in quintile 1-2 communities), living in a rural area when elderly (as opposed to an urban area) and having dementia or a psychiatric illness. Each of these factors was comparatively associated with early death. Previous studies suggested that poorer outcomes for unmarried people and those living alone were mediated through weak social support²⁸, that exerted its influence on outcomes through later presentation²⁹. This view is consistent with several other studies that reported more negative cancer beliefs³⁰, lower symptom awareness and greater perceived barriers to GP help-seeking among this group³¹. While weaker social support has previously been associated with later stage disease³²⁻³³, in this study, despite the collection of a range of detailed pathway and treatment variables, the association between marital status, accommodation status and early death remained unexplained. Other studies suggested biopsychosocial explanations for poorer cancer outcomes in unmarried cancer patients, including chronic stress³⁴ and weaker immune response³⁵. Marital status and accommodation status have an important association with cancer and health outcomes generally³⁶, yet it is a research area that remains relatively under-investigated.

As in other studies, deprivation quintile was associated with early death, with a gradient in the odds of early death with increasing deprivation score^{4,37-38}. However, unlike other studies this association seems restricted to women. Like marital / accommodation status, there was little evidence from this study suggesting that the association between deprivation and early death

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3 is explained by characteristics of the pathway to diagnosis as the associations persisted after
4 adjusting for attendance at A&E in the pathway to diagnosis, Dukes Stage and GP episodes
5 in the three months to diagnosis. There is no direct biological basis for an association between
6 deprivation and survival. Mediating factors may include lower performance status due to
7 higher tobacco consumption³⁹, lower uptake of treatments due to fatalistic cancer beliefs⁴⁰ or
8 differential access to services⁴¹. The association between rural residence and early death
9 among the oldest patients was independent of deprivation and strongly significant. This is
10 likely to relate to either isolation, access to services or both. This is a well-defined target group
11 for early diagnosis interventions, but further study is required to investigate the link between
12 lack of social contact and cancer survival if one exists.
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26 Similar to previous work on early colorectal cancer death⁴, a consistent feature associated
27 with early death was that of incomplete data due to limited diagnostic testing. Missing
28 histology, stage, grade and anatomical site may be explained by very ill patient's not receiving
29 complete investigation. These characteristics may therefore be viewed as confounding by
30 indication; as opposed to explaining early death, the associations are explained by early
31 death. The relationships between unknown marital, accommodation and outcomes are more
32 difficult to explain. Despite the fact that GP records and secondary care databases were
33 searched, and the information relates to a period over a year prior to diagnosis of cancer, a
34 strong association with early death was observed indicating the data was not missing at
35 random. Missing data on living status, smoking status and alcohol consumption were also
36 associated with early death in univariate analysis. Further work is required to explain this
37 relationship with possible areas for investigation including the patient/practitioner relationship.
38 While co-morbidity was a common feature of patients in this study, we did not find it associated
39 with dying within three months of diagnosis. Previous studies have observed that co-morbidity
40 exerted the greatest influence in the later phases of the survival pathway⁴² though CCI score
41 has been observed as an independent indicator of early death elsewhere⁴. We had matched
42 cases and controls on age and as co-morbidity is strongly linked to age, this may have reduced
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3 our ability to detect this as an independent factor. In addition, being underweight had a strong
4 independent association with early death. This was measured between one and three years
5 before diagnosis and it is likely either related to disease progressing over a longer time period
6 or to poorer performance status. However, as only 17 cases were described as underweight
7 it explains less than 4% of the total early deaths.
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15 While the CCI was not associated with early death, dementia and other psychiatric illness, as
16 individual co-morbid conditions, were associated with early death. However, in multivariable
17 analysis these relationships did not persist. Those with dementia were more likely to have
18 missing data on stage and anatomical location, perhaps suggesting that these patients were
19 less likely to be investigated for their disease. Similar findings have been reported in other
20 colon cancer studies, with dementia associated with poorer colorectal cancer outcomes, later
21 stage disease⁴³, and less invasive investigation⁴⁴. The relationship between other psychiatric
22 illness and early death was also attenuated by stage again suggesting a role of diagnostic
23 intervals on the pathway to diagnosis explaining early death. Underlying causes of delay may
24 relate to symptom recognition by carer, patient, practitioner as well as patient communication
25 or competing health care priorities. A key objective of this study was to determine if patient
26 health-seeking characteristics were associated with early death following colon cancer
27 diagnosis. Uptake of the flu vaccine, baseline consultation activity and non-attendance at
28 appointments were identified as three easily captured indicators of health-seeking behaviour.
29 It was hypothesised that patients with more regular or more compliant health-seeking
30 behaviour would have better outcomes than those without, mediated through longer diagnostic
31 intervals and later stage disease.
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54 With regard to health seeking behaviour, although attendance for the flu vaccine was inversely
55 associated with early death, this association was not significant in multivariable analysis. While
56 attendance for the vaccine may be considered an indicator of health compliance, it is likely to
57 reflect various health attitudes and behaviours, with previous UK studies reporting several
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3 social and cultural factors that were associated with flu vaccination uptake as well as
4 perception regarding health status and susceptibility to flu⁴⁵. The other indicator of health
5 seeking, frequent GP attendance, was correlated with co-morbidity in this study; a relationship
6 reported in several other research studies^{10,46}. Although ex-smokers were identified in
7 univariate analysis as having non-significantly lower odds of early death, this association was
8 largely explained in the minimally adjusted model with little evidence to suggest that poorer
9 outcomes among smokers is real. While previous studies have demonstrated a significant
10 association between alcohol-related hospital admissions and early death following a colorectal
11 cancer diagnosis³⁸, this association was not observed in the current study possibly because
12 this study was unable to discriminate between heavy and moderate alcohol use.
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27 **Strengths and weaknesses**

28 The study sample was generated from a high-quality population-based cancer registry system
29 with relatively few DCO cases⁴⁷ with full access to General Practice records and hospital
30 clinical records. While missing data was a feature of the study, rather than acting as an
31 impediment to our understanding of characteristics of early death, this appears to be one of
32 the defining features of this patient group. Another recent study of early death has reported a
33 similar pattern of high levels of missing data in those with the poorest outcomes⁴. However,
34 the fact that remains that missing data was a feature of this study and potential improvements
35 to the way data is recorded in the NICR are continuously being made. The study investigated
36 a broad range of factors that may be associated with early death and allowed for adjustment
37 of a range of confounding factors such as co-morbidity, smoking and alcohol status. We
38 present results from both univariate and multivariate analysis but place greater emphasis upon
39 results from multivariate analysis due to the complex nature of interacting factors causing early
40 death from colon cancer. The recording of the patient characteristics that vary over time at
41 between one and three years before diagnosis was an important feature of the study design.
42 Cancer diagnosis has been previously identified as being associated with changes in health-
43 seeking behaviour, co-morbidity, BMI and lifestyle factors. Recording these factors based on
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3 over one year before diagnosis strengthens the assessment of causal inference between
4 variables and early death.
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9 The case-control design in the study provided an efficient methodology to collect data and
10 allowed the development of a control group that was matched on important but non-modifiable
11 characteristics. While age, sex and year of diagnosis were fixed in the current study, their
12 interaction with diagnostic pathway features and other characteristics could not be
13 investigated. Previous studies have shown longer diagnostic timelines, later stage disease at
14 diagnosis, lower symptom awareness and more negative cancer beliefs to be variable
15 depending on age⁴⁸ and sex⁴⁹. In addition, the matching on age may have reduced variation
16 in other characteristics such as co-morbidity. The study population was also selected in a
17 period before the introduction of screening, therefore all patients in both the case and control
18 group were clinically diagnosed. While bowel screening was introduced in NI in 2011²³ and
19 now represents an important pathway in cancer diagnosis, the majority of patients are still
20 diagnosed clinically and the early clinical detection of symptoms remains both important and
21 relevant – particularly for cancer stage and by extension, cancer survival. Future iterations of
22 this work would likely benefit from matching of cases and controls on cancer stage in addition
23 to age, sex, and year of diagnosis.
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43 The use of a control group of longer survivors provided useful comparative information to
44 investigate risk of early death, with the choice of a control sample of deceased colon cancer
45 patients removing any risk of consent bias from the study. However, while a buffer of three
46 months was placed between cases and controls to allow better discrimination between the
47 two, the survival of controls was restricted to a population of patients whose survival was less
48 than three years and similar to the case population. Despite this, the study was able to identify
49 several characteristics which discriminated between cases and controls suggesting that the
50 patients who die within the first few months of diagnosis are a specific patient cohort who
51 require attention.
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CONCLUSIONS

This comprehensive study of early death from colon cancer has identified several population sub-groups that warrant special attention. These include those who are single, living alone, older people living in rural environments, and people from the most deprived communities as well as those living in residential or nursing care. These likely comprise some of the most isolated people in society. However, while the aforementioned variables are an indicator of social isolation, this study was not designed to actually *investigate* isolation (i.e. lack of social contact or poor social support networks). Therefore, further study is required to confirm that social isolation is definitely linked with poor cancer survival outcomes. Further studies are also required to better understand the role of missing data in patient records. Furthermore, additional work ought to be undertaken to determine if these patterns are consistent in other ICBP countries. Finally, because of increased colon cancer survival, future studies investigating risk factors for an *early death* using a case control methodology would likely benefit from comparing cases who suffer early mortality with controls who survive beyond five or perhaps even ten years as opposed to the three-year survival control group used in this study⁵⁰⁻⁵².

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DATA SHARING

Data from the TEDI study is available from the N. Ireland Cancer Registry with approval from the data custodian. Interested parties should contact Dr Anna Gavin, Director, N. Ireland Cancer Registry.

COMPETING INTERESTS

There are no competing interests to be reported by the authors in this study

CONTRIBUTOR STATEMENT

CD designed the study, undertook all statistical analysis and drafted the manuscript. NH was medical adviser for the study, provided advice on statistical analysis and interpretation and contributed to the writing of the manuscript. AM contributed to the writing, editing and submission of the paper. MD provided advice and guidance on the study design and contributed to writing of the manuscript. LA provided advice and guidance on the study design and contributed to writing of the manuscript. LR contributed to the study design, development of data collection forms and data abstractor training and analysis and interpretation of results. AG was Principal Investigator, funding recipient and contributed to the writing of the manuscript.

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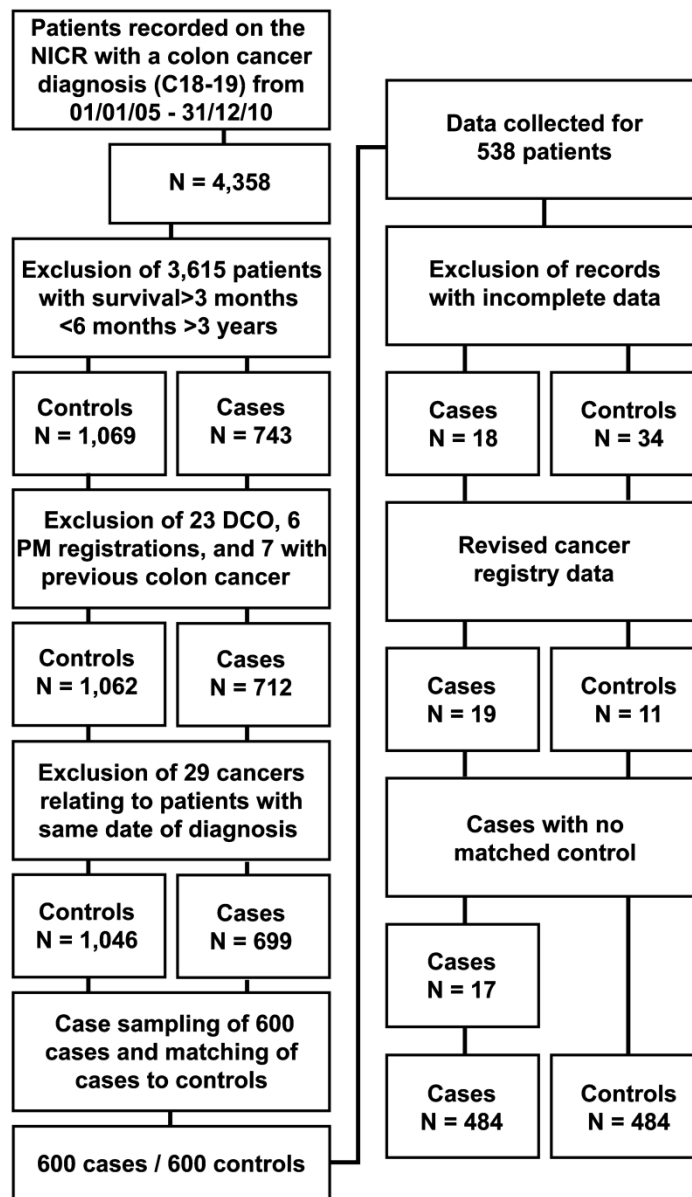
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3 **Figure legend**
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5 Figure 1: case inclusions and exclusions
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For peer review only



Case inclusions and exclusions

144x247mm (600 x 600 DPI)

Supplementary Table S1: Distribution of the case sample and the remaining patients who died within three months of diagnosis who were not included in the study

Characteristics		Case Sample n=484	Cases not included n=196	P value
Sex %	Male	52.7	44.3	0.05 ^x
	Female	47.3	55.7	
Year diagnosed %	2005-06	39.2	29.4	<0.01 ^x
	2007-08	38.5	18.40	
	2009-10	22.3	51.3	
Dukes stage %	A	2.3	1.0	0.27 ^x
	B	10.1	7.0	
	C	10.7	9.0	
	D	37.0	44.3	
	Unknown	39.9	38.8	
Deprivation quintile %	1 (least deprived)	16.6	15.2	0.87 ^x
	2	20.9	22.7	
	3	17.4	18.7	
	4	24.8	21.7	
	5 (most deprived)	20.3	21.7	
Mean age at diagnosis in years (Standard deviation)		76.5 (10.6)	77.6 (10.3)	0.83 ^t
Mean survival duration in days (Standard deviation)		36.7 (24.7)	33.8 (39.9)	0.82 ^β

P-values presented for chi squared tests (χ), t-tests (t) and Kaplan Meier (β)

STROBE checklist of items to be included in reports of observational studies in epidemiology*
Checklist for cohort, case-control, and cross-sectional studies (combined)

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any pre-specified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	2 and 5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	2 and 5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	5
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	6
Bias	9	Describe any efforts to address potential sources of bias	6-7
Study size	10	Explain how the study size was arrived at	6-7
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	6-7
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6-7
		(b) Describe any methods used to examine subgroups and interactions	7
		(c) Explain how missing data were addressed	Fig 1
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	5

		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	-
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	5 and fig 1
		(b) Give reasons for non-participation at each stage	Fig 1
		(c) Consider use of a flow diagram	Fig 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	Table 1
		(b) Indicate number of participants with missing data for each variable of interest	Table 1-4
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	Table 1-4
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Table 1-5
		(b) Report category boundaries when continuous variables were categorized	Table 1-4
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	Table 5
Discussion			
Key results	18	Summarise key results with reference to study objectives	18-20
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	21
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	19-20
Generalisability	21	Discuss the generalisability (external validity) of the study results	21-22
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	23

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.