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Text messaging support for patients with diabetes or coronary artery disease (SupportMe): Protocol for a pragmatic randomised controlled trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2018-025923
Article Type:	Protocol
Date Submitted by the Author:	08-Aug-2018
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Keywords:	General diabetes < DIABETES & ENDOCRINOLOGY, Coronary heart disease < CARDIOLOGY, Clinical trials < THERAPEUTICS, Telemedicine < BIOTECHNOLOGY & BIOINFORMATICS

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Manuscripts

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4 **Text messaging support for patients with diabetes or coronary artery disease**
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6 **(SupportMe): Protocol for a pragmatic randomised controlled trial**
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41 On behalf of the SupportMe investigators
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ABSTRACT

Introduction

Low-cost interventions providing self-management support are needed for people with coronary artery disease (CAD) and diabetes. Mobile phone text messaging provides a potential vehicle for this. The SupportMe Trial aims to assess the feasibility of embedding a text-messaging program into routine clinical practice, and will determine if this improves cardiovascular risk factor and diabetes control amongst patients with CAD or type 2 diabetes.

Methods and Analysis

SupportMe is a randomised controlled trial to be conducted within the framework of a health district-wide integrated care program for people with CAD or T2DM. One thousand subjects will be recruited, with at least 500 in each group. Intervention subjects will receive 4 text messages a week for 6 months which provide advice, motivation, information and support for disease management and healthy behaviour. The primary outcome is the change in systolic blood pressure. Secondary outcomes include body mass index, waist circumference, low-density lipoprotein cholesterol, physical activity levels, dietary intake, quality of life, mood and smoking cessation, and for subjects with diabetes, glycosylated haemoglobin and fasting serum glucose. A process and economic evaluation will also be conducted.

Ethics and Dissemination

The study has been approved by the Western Sydney Local Health District Human Research Ethics Committee (AU RED HREC/16/WMEAD/331). Results will be disseminated via the scientific forums including peer-reviewed publications and presentations at national and international conferences.

Clinical Trials Registration

ACTRN12616001689460.

Trial Commencement and Completion

Commencement: March 2017

Anticipated completion: June 2019

Current protocol V4.0, December 2017.

Keywords

Randomised controlled trial, type 2 diabetes, coronary artery disease, text messaging

Word Count

3772

ARTICLE SUMMARY

Strengths and Limitations of this Study

- This trial investigates the feasibility of incorporating a text-messaging intervention into routine clinical care across an entire health district.
- This study tests the effectiveness of a single text-messaging program which can be customised for people with more than one chronic disease.
- This trial will be the largest study examining the effectiveness of text messaging support for people with type 2 diabetes.
- The text messages will be provided in English only.
- The study outcomes relate mainly to cardiovascular risk and diabetes control, and not hard clinical outcomes such as major cardiovascular events.

INTRODUCTION

Non-communicable diseases (NCDs) are the leading cause of death globally, accounting for 40 million, or 70% of the total deaths globally in 2015, rising by 14% since 2005¹.

Cardiovascular disease (CVD) accounts for almost half of the NCD deaths. Diabetes caused 4% of the NCD deaths, but its impact is growing rapidly, up by 32% since 2005¹. The International Diabetes Federation estimated the prevalence of diabetes worldwide was 8.3% in 2014, and projected to increase to 9.9% by 2030, to affect more than 500 million people².

The major modifiable determinants of CVD are tobacco smoking, and the related risk factors of physical inactivity, unhealthy diet, hypertension, hypercholesterolaemia, and diabetes³. The incidence of CVD can be reduced by treatments and strategies which address these risk factors^{3,4}. Early glucose and blood pressure control amongst people with type 2 diabetes may reduce mortality and diabetes complications^{5,6}. Despite substantial evidence of clinical benefits, existing interventions are under-utilized and poor adherence to exercise, diet and smoking cessation are problematic⁷.

Information and communication technologies have great potential for the delivery of preventative and educational healthcare programs at a large scale and at low cost⁸. A systematic review reported that such technology used in the detection and follow up of CVD provided better clinical outcomes, mortality reduction and lower health services utilization⁹. Systematic reviews have also found that text-message interventions almost double the likelihood of short-term smoking cessation¹⁰ and medication adherence¹¹, and have provided some evidence of modest effects on weight loss¹², hypertension¹³ and physical activity¹⁴. Previous trials of text messaging for people with diabetes have generally been small but show promise for the improvement of glycaemic parameters^{13,15-17}. However apart from smoking cessation, these programs have not been widely implemented or translated into routine healthcare for patients. Moreover, previous trials have generally focused on single diseases or conditions.

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3 The Tobacco, Exercise and Diet Messages (TEXT ME) study of 710 patients with coronary
4 heart disease (CHD) was the first randomised controlled trial which demonstrated that a
5 text messaging program providing motivation, support and education improved multiple
6 clinical risk factor measures including LDL-cholesterol, blood pressure, body mass index
7 (BMI), physical activity and smoking cessation¹⁸. As part of our long-term goal of developing
8 a text messaging program for people with different or multiple chronic diseases, we have
9 now adapted the TEXT ME intervention to undertake a text messaging support program for
10 people with 2 different chronic diseases, namely type 2 diabetes or coronary artery disease
11 (CAD), or both. The intervention will provide education, clinical management support and
12 healthy lifestyle motivation, with the aim of improving cardiovascular risk factors and
13 diabetes care.
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23 Widespread delivery of text-message based education and support programs requires the
24 intervention to be embedded into routine clinical care for people with chronic disease. This
25 paper describes the protocol for the SupportMe randomised controlled trial. A major goal of
26 SupportMe was to identify and address key questions in the implementation of text-
27 message based education and support program into our existing health systems. Our test
28 bed was the Western Sydney Local Health District (WSLHD) which serves a population of
29 ~970,000 in Western Sydney, Australia.
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39 **METHODS AND ANALYSIS**

44 **Study Design, Setting and Population**

45 SupportMe is a pragmatic randomised clinical trial of 1000 patients with CAD and/or type 2
46 diabetes (Fig 1). The study will be conducted in the ethnically and culturally diverse WSLHD
47 but will also accept subjects from other health districts. Participants will be recruited via
48 referrals from the community and hospital setting. We will leverage the framework of the
49 Western Sydney Integrated Care Program (WSICP)¹⁹ which involves over 200 general
50 practitioners and 50 hospital clinicians to encourage referral to the SupportMe program.
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3 The WSICP seeks to improve health outcomes for patients with chronic disease and improve
4 the continuity of care across hospital and primary care services across the health district.
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8 The program will be advertised to hospital clinicians via internal hospital communication
9 pathways and to the general practitioners (GPs) through the Western Sydney Primary
10 Health Network (which supports GPs). We will provide a variety of referral options including
11 traditional letter-based referrals, fax, phone, email, SMS as well as e-referral through an
12 internet portal.
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18 To be eligible, subjects need to be 18 years or older, with i) CAD, defined as history of prior
19 myocardial infarction or documented >50% of major coronary artery on invasive or non-
20 invasive coronary angiography, and/or ii) type 2 diabetes, with an HbA1c in the last 6
21 months of 7.1%-11.4% (54-101 mmol/mol). Subjects need to own a mobile phone and be
22 able to read text messages in English. We will allocate each referred patient with a
23 screening ID number and maintain a "screening log" of referred subjects, which records
24 basic demographic data, as well as the referral source. We will also record reasons for
25 ineligibility and non-participation. Research assistants will assess the eligibility of subjects
26 referred to SupportMe, explain the details of the study, and obtain consent from those who
27 wish to proceed into the study. For subjects who enter the SupportMe trial, we will keep an
28 "enrolment log" and we will notify their referring clinician and their GP (if this was not the
29 referrer).
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43 **Randomisation**

44 Randomisation will be in a uniform allocation of 1:1, with a block size of 8, stratified by
45 health condition (CHD, Diabetes or CHD and Diabetes), and performed through an electronic
46 platform. Personnel collecting baseline data will be blinded to treatment allocation. The
47 computerised platform will connect with the text messaging platform to commence sending
48 messages to the intervention subjects automatically based on randomisation. To minimise
49 un-blinding at follow-up, participants will be sent a message reminding them not to reveal
50 treatment allocation to follow-up data collectors.
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3 The secure web-based Research Electronic Data Capture (REDCap) web application will be
4 used for participant registration and data collection. Each subject who proceeded to the
5 study will be assigned a unique study identification number in REDCap, and their name,
6 initials, date of birth and contact details will not be recorded to ensure that the dataset is
7 de-identified.
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11 12 13 **Intervention and Control**

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15 The SupportMe intervention is a simple patient-centred intervention designed to provide
16 semi-personalised and customised support in clinical and lifestyle management, as an
17 adjunct to standard care provided by the subjects' usual healthcare professionals.
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19 Participants allocated to intervention will receive four text messages per week that will be
20 sent at random times between 9am and 5 pm during weekdays, over a 6 month period.
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22 These will be unidirectional, in that subjects will be sent messages but be advised that there
23 is no expectation that they respond back nor is there a facility to interact with or discuss
24 their specific health issues with the study team. However, a researcher will monitor
25 messages sent from participants, and a record of these will be maintained. Where there are
26 return messages which are a potential cause for clinical concern, the message will be
27 escalated to a doctor for review. This researcher will not participate in any other data
28 collection or individual level of analysis.
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38 Control subjects will only receive a welcome message at the initiation of their participation
39 in the trial, and a message at 6 months reminding them of their follow-up appointment. It is
40 expected that both intervention and control participants will continue receiving usual care
41 from their regular health professionals.
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46 All participants will be offered brief training at enrolment on how to read a text message
47 and how to delete or save messages. Participants may withdraw from the study at any time
48 via a text message; in which case a team member will contact the participant regarding
49 confirmation and the delivery of text messages to these subjects will be deactivated.
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4 At the end of the 6 month program, maintenance messages will be sent to intervention
5 subjects for a further 6 months at a frequency of 2 per week. Control subjects will be
6 offered the opportunity to receive the SupportMe intervention at that point.
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10 11 12 **SupportMe Message Content** 13

14 The text message content and program structure was developed according to our previously
15 published process²⁰. The text messages provide advice, motivation, information and support
16 for disease management, monitoring of risk factors, and tips and links to engage in healthy
17 behaviours. Each of the 4 messages the intervention subjects receive each week will focus
18 on a different aspect of healthcare, namely (1) general health, (2) nutrition, (3) physical
19 activity, (4) disease self-management. A different set of 4 message banks was developed for
20 each of the 3 strata in the study; CAD, diabetes, and CAD with diabetes, though there are a
21 number of messages common to the 3 strata. In addition, there are subsets of messages
22 which enable a degree of customisation. These are smoker and non-smoker, insulin user and
23 non-insulin user, vegetarian and non-vegetarian. Messages from each bank will be sent in a
24 random order until 26 weeks has passed.
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35 Existing validated messages which were used in the TEXT ME trial were reviewed for use in
36 SupportMe. Additional SupportMe messages, mainly related to diabetes, were developed by
37 a working group including endocrinologists, diabetes educators, dietitians, podiatrists, and
38 clinicians in primary care, community health, population health, existing health promotion
39 programs and consumers. The process followed was similar to that applied to the TEXT ME
40 program^{20,21}, whereby the working group initially developed the text messages, then these
41 were reviewed for readability and to ensure messages were presented with a positive focus.
42 The text messages were modified based on feedback from the diabetes working group, and
43 then underwent user testing with feedback and further modification. Examples of final text
44 messages include: *"Did you exercise today?"*, *"Has your Dr checked & discussed your*
45 *cholesterol levels with you recently? These need regular review"*, *"Healthy eating means at*
46 *least 5 serves of vegetables & 2 serves of fruit every day"*, *"If your sugars are regularly under*
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3 *4mmol/L or over 10mmol/L, it may be time to review your diabetes treatment - speak to*
4 *your Doctor”.*
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8 Our TEXT ME message management engine will deliver the messages for SupportMe. It
9 selects messages from message banks as per prespecified algorithms using patient baseline
10 data entered into the message management system. The engine sends messages through a
11 telecommunications gateway to enable them to be sent to all participants on any Australian
12 phone network at no cost to the participant and at a bulk-rate cost to the study.
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18 **Study Outcomes**

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20 The primary outcome of the study is change in systolic blood pressure after 6 months. This
21 will be measured by a digital sphygmomanometer, three times in the sitting position, with
22 the mean of the last 2 readings being recorded. Secondary outcomes include body mass
23 index, waist circumference, fasting low-density lipoprotein cholesterol, physical activity
24 (measured by the Global Physical Activity Questionnaire²²), quality of life (measured by the
25 12 item short form (SF-12) health survey²³), depression (measured by the Patient Health
26 Questionnaire-9 depression scale²⁴) and dietary intake (measured by the dietary component
27 of the WHO STEPS instrument²⁵), smoking cessation and medication use. Subjects with
28 diabetes will also be evaluated for the additional secondary outcomes of HbA1c and fasting
29 serum glucose. A composite outcome of guideline levels of risk factors achieved will also be
30 analysed. Surveys and measurements will be conducted in face-to-face visits with research
31 assistants who will be blinded to the subject’s treatment allocation.
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42 The protocol requires the closing study visit to be undertaken within one month of the 6
43 month time point. Study visits performed more than one month later will be regarded as
44 protocol deviations, but nonetheless we will endeavour to capture the outcome data.
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49 **Process Measures**

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51 Process data will be collected from referral information, participant surveys, focus groups
52 and analytical information. The screening log will collect information regarding the source of
53 subjects referred to SupportMe, to enable an assessment of its integration into the WSICP
54 and level of clinician engagement. The screening log will also provide data for reasons that
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3 subjects were not enrolled into the SupportMe program. Analytical data extracted from the
4 message software system will provide information about the time that messages are sent
5 and the proportion of text messages successfully delivered (eg, if mobile phone mail boxes
6 are full) will be recorded. A log will be kept of non-protocol participant contact with the
7 study team, the reason for contact and the method used for contact (eg, by telephone,
8 email).

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15 SupportMe participants allocated to the intervention group will be administered a User
16 Survey at the 6-month follow-up assessment. This questionnaire explores the acceptability
17 of the text messages, identification of which messages participants remembered, liked or
18 disliked, what they did with messages (eg, kept them or deleted them immediately), their
19 perceived utility of the text messages and their opinion regarding the intrusiveness, timing,
20 language and content suitability of the text messages. To obtain a more in-depth
21 understanding of the potential barriers and facilitators for integration into existing health
22 services and individual level to uptake of this program, we will also conduct purposive semi-
23 structured interviews with a subsample of participants in the intervention group. Sampling
24 will continue until thematic saturation occurs. We anticipate from previous experience the
25 need to conduct approximately 20 patient interviews. Our research group have extensive
26 experience conducting such evaluations alongside RCTs.

37 38 39 **Economic Evaluation**

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41 We will conduct a cost-effectiveness and cost-utility analysis of SupportMe from a health
42 sector perspective. The costs and health outcomes associated with the intervention will be
43 compared in an incremental cost-effectiveness analysis. Direct health care costs will be
44 determined by patient-level data linkage to the Medical Benefits Schedule (MBS) and
45 Pharmaceutical Benefits Scheme (PBS). The MBS and PBS are government funded schemes
46 which provide subsidies for non-hospital attendances to healthcare providers (eg: general
47 practitioners, specialists and some allied health providers such as dietitians), and for
48 pharmaceutical agents respectively. Cost of hospitalisations will be determined from
49 hospital admission records. We will use the SF-12 to determine Quality Adjusted Life Years
50 (QALYs) in a trial based cost-utility analysis. Observed changes in clinical risk factors e.g. LDL-

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3 cholesterol will inform longer term modelling of serious events and hospitalisations over a
4 lifetime and thus estimate longer term costs, and cost-effectiveness of the intervention. For
5 type 2 diabetes, patient-level risk factors will include measures of HbA1c which will be used
6 in a validated health economic model. The per patient costs of intervention delivery will be
7 used to estimate total costs of scaling up the SupportMe program at a state or national
8 level.
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13 14 15 **Statistical analyses**

16 We plan to recruit 1000 subjects into the study, with at least 500 patients with each of
17 diabetes or CHD. Applying data from our earlier TEXT ME study¹⁸, sample size estimates
18 indicate that 707 subjects are required for 90% power to detect a difference of 2.5 mmHg in
19 the primary outcome of systolic blood pressure between intervention and control groups.
20 Within the strata of diabetes or CHD, a sample size of 500 would have >90% power to detect
21 a 4 mmHg difference in SBP. Data from the Blood Pressure Lowering Treatment Trialist's
22 Collaboration indicate that a reduction in BP of 5 mmHg reduces the risk of major
23 cardiovascular events, irrespective of the form of pharmacotherapy²⁶. We also planned for
24 an adequate sample size to demonstrate an improvement in diabetes control, as measured
25 by HbA1c. Applying local data regarding the distribution of HbA1c²⁷, a sample size of 562 is
26 required for 80% power to detect a difference of 0.26% in HbA1c in the diabetes cohort.
27 This quantum of HbA1c reduction translates to a 5% reduction in risk of diabetes
28 complications²⁸. As we expect at least 20% of CHD patients to also have diabetes, there will
29 be at least 600 patients with diabetes in the total 1000 subject cohort.
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42 The study will follow the intention-to-treat principle for analyses and participants will be
43 analysed at 6 months by original assigned groups. Baseline comparisons between groups
44 will be undertaken by independent t-tests or Mann-Whitney tests for continuous variables
45 and chi square tests for categorical variables.
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50 Our primary analyses will be by analysis of covariance (ANCOVA) with baseline variables of
51 analyses parameters used as covariates where appropriate. Heterogeneity of treatment on
52 the primary endpoint will be assessed in pre-defined subgroups of baseline values for BP,
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3 BMI, LDL-cholesterol, HbA1c, and background BP lowering therapy, ethnicity, age, gender,
4 participation in the WSICP, and medical conditions.
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9 **ETHICS, GOVERNANCE AND DISSEMINATION**

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13 The study has been approved by the Western Sydney Local Health District Human Research
14 Ethics Committee. The study will adhere to the National Health and Medical Research
15 Council ethical guidelines for human research. Written and informed consent will be
16 obtained from all participants. The trial has been registered with the Australian New
17 Zealand Clinical Trials Registry, ACTRN12616001689460. This includes all items from the
18 World Health Organization Trial Registration Set.
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25 The study will be administered by the George Institute and the University of Sydney, with
26 the design and conduct overseen by a project management committee. This committee has
27 expertise in large-scale clinical trials and qualitative research, economic analysis, clinical CVD
28 and diabetes management and healthy policy implementation at both a local and national
29 level. It includes investigators and partners in the program. The program will also report to
30 the WSICP Steering Committee.
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37 A data monitoring safety board comprising a clinician with expertise in diabetes, and a
38 second with expertise in cardiology will evaluate all serious adverse events. A manual of
39 procedures has been developed, outlining study procedures including definitions, study
40 organisational structure, quality control, procedures for collection and recording of data,
41 monitoring and audit of the study, procedures for patient withdrawal, non-compliance and
42 protocol violations.
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49 Only the chief investigators (NWC, JR, AT, TMH, CKC) and trial statistician will have access to
50 the final de-identified dataset. The full protocols and de-identified dataset will be made
51 available from the investigators on reasonable request, and subject to ethics approvals. The
52 findings of this study will be disseminated via the usual scientific forums, including peer-
53 reviewed publications and presentations at national and international conferences.
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3 Authorship will be based on the International Committee of Journal Editors guidelines. We
4 have followed the SPIRIT reporting guidelines²⁹.
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9 **CONCLUSION**

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13 SupportMe will develop new evidence as to whether a single text messaging support
14 program will improve clinical parameters for people with different chronic diseases, namely
15 cardiovascular disease and diabetes, and whether this can be successfully implemented into
16 a wider chronic disease program. The project will also provide an economic analysis and
17 understanding of cost as well as barriers and enablers associated with implementation and
18 patient satisfaction.
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25 Information technology and communication are considered key enablers of successful
26 integrated care programs³⁰. However, this has largely focused on the use of information
27 systems and communication between healthcare providers, rather than between the
28 healthcare program and the patient. The mobile phone is increasingly being recognised as a
29 simple everyday technology which can be used to provide information and self-
30 management support directly to patients. Simple texting programs administered by
31 computerised message management systems make them affordable, scalable and practical
32 to deliver as an adjunct to our existing health services. There are already data that text-
33 messaging can effectively improve clinical outcomes or parameters by supporting people
34 with chronic diseases and conditions such as CVD, asthma, smoking and medication
35 adherence^{18,31}. As earlier discussed the previous data for diabetes has been mixed, and
36 based on small trials, but a recent large randomised controlled trial has shown that a
37 comprehensive text-messaging based diabetes support program significantly reduced
38 HbA1c³².
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51 The challenge now is to develop and test text messaging interventions which are adaptable
52 to people with different or multiple chronic diseases, and to implement these as a part of
53 routine care. Indeed, with the high prevalence of chronic diseases, large scale population
54 health interventions are required. The low cost of text messaging systems without the need
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3 for routine input from a health professional, makes them ideally suited for this purpose. In
4 Australia, 50% of the population has at least one of eight chronic diseases, and half of these
5 people have at least two such conditions³³. Cardiovascular disease is the disease group
6 responsible for the highest expenditure³³. With a high prevalence of chronic disease, and
7 many people having multiple diseases, it is impractical for a patient to enrol in a separate
8 text messaging program for each of their chronic conditions, or for busy clinicians to
9 manage the complexity of referring their patients to different text messaging programs.
10 SupportMe tests the effectiveness of an intervention for people with either CVD or diabetes,
11 or both disorders, and paves the way for a multifaceted text messaging support program to
12 be developed. A single text messaging system, which includes multiple customised modules
13 for people with other prevalent chronic diseases such as chronic obstructive pulmonary
14 disease, chronic kidney disease, and mental health disorders, as well as any combination of
15 these disorders would be highly desirable.

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18 For these individuals, better preventative care and fostering of self-management will
19 decrease the need for access to tertiary services and hospitalisation. Provision of text
20 message programs at the point of hospital or community health engagement will enable the
21 health service to support larger numbers of patients at a low-cost, enhance patient
22 involvement with their care and their perception of the health service supporting them.

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25 A limitation of our program is that messages will be delivered in English only, and in a
26 multicultural society such as Australia, we need to overcome language barriers to
27 healthcare. We intend to develop messages in other languages for future text-messaging
28 programs we plan to undertake. However, this is not a process of simple translation, as the
29 messages need to be culturally and linguistically appropriate for each individual language
30 group, and undergo a rigorous process of testing³⁴.

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33 In summary, by conducting SupportMe within the framework of the Western Sydney
34 Integrated Care Program, and encouraging referrals from clinicians involved in the clinical
35 services offered by the WSICP, we will be testing if a text messaging program can be
36 successfully implemented as standard care for patients in a chronic disease integrated care
37 program which runs across a large health district, and improve clinical outcomes. Ultimately,
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3 for a mobile health initiative to be successful beyond the life of a clinical trial, it has to be a
4 part of standard care. This requires support from fund-holders in health services, to support
5 it as part of a larger program, rather than being a stand-alone intervention.
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11 **Author contributions**

12 CKC, NWC, SMSI, JR, and AT conceived the original study concept. All authors contributed to
13 the design of the study, protocol development, its implementation, and drafting of the
14 manuscript.
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20 **Funding statement**

21 This study is funded by the Translational Research Grants Scheme of NSW Health. JR is
22 funded by a NHMRC Career Development Fellowship [APP1143538]. CKC is funded by a
23 Career Development Fellowship co-funded by the NHMRC and National Heart Foundation
24 [APP1105447]. SMSI is funded by The George Institute for Global Health Post Doctorate
25 Research Fellowship and has received funding from High Blood Pressure Research
26 Foundation of Australia. RH is funded by a Westmead Hospital Jerry Koutts Scholarship.
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34 **Competing Interests**

35 None
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39 **Acknowledgements**

40 This study is supported by the Western Sydney Local Health District, Heart Foundation, New
41 South Wales Agency for Clinical Innovation, Diabetes NSW, the University of Sydney, and the
42 George Institute. We thank Caroline Wu and Tony Barry for setting up the Redcap database
43 and SMS engine, project manager Sandra Bahamad, and the research assistants Lily Chen,
44 Daniel McIntyre, Gilly Rosic and Shelley She.
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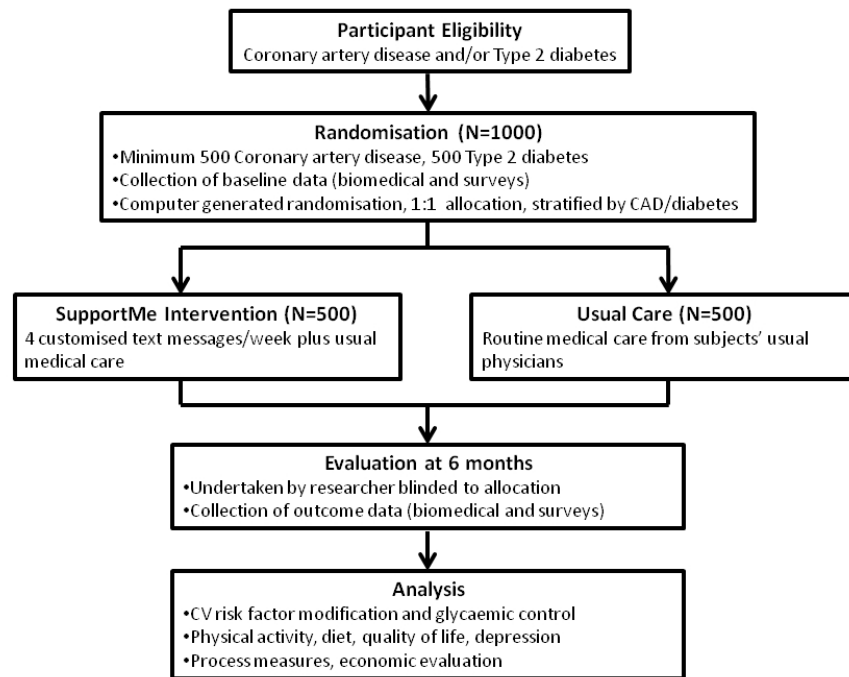


Figure 1: Summary of protocol

254x190mm (96 x 96 DPI)

Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

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In your methods section, say that you used the SPIRIT reporting guidelines, and cite them as:

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		Reporting Item	Page Number
Title	#1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	#2a	Trial identifier and registry name. If not yet registered, name of intended registry	3, 13
Trial registration: data set	#2b	All items from the World Health Organization Trial Registration Data Set	13
Protocol version	#3	Date and version identifier	4
Funding	#4	Sources and types of financial, material, and other support	16
Roles and responsibilities: contributorship	#5a	Names, affiliations, and roles of protocol contributors	1, 16
Roles and responsibilities:	#5b	Name and contact information for the trial sponsor	N/A

1	sponsor contact			
2	information			
3				
4	Roles and	#5c	Role of study sponsor and funders, if any, in study design;	N/A
5	responsibilities:		collection, management, analysis, and interpretation of	
6	sponsor and funder		data; writing of the report; and the decision to submit the	
7			report for publication, including whether they will have	
8			ultimate authority over any of these activities	
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12	Roles and	#5d	Composition, roles, and responsibilities of the coordinating	13
13	responsibilities:		centre, steering committee, endpoint adjudication	
14	committees		committee, data management team, and other individuals	
15			or groups overseeing the trial, if applicable (see Item 21a	
16			for data monitoring committee)	
17				
18				
19				
20	Background and	#6a	Description of research question and justification for	5-6, 14-
21	rationale		undertaking the trial, including summary of relevant studies	15
22			(published and unpublished) examining benefits and harms	
23			for each intervention	
24				
25				
26				
27	Background and	#6b	Explanation for choice of comparators	8
28	rationale: choice of			
29	comparators			
30				
31				
32	Objectives	#7	Specific objectives or hypotheses	6, 14
33				
34				
35	Trial design	#8	Description of trial design including type of trial (eg, parallel	6-7
36			group, crossover, factorial, single group), allocation ratio,	
37			and framework (eg, superiority, equivalence, non-	
38			inferiority, exploratory)	
39				
40				
41				
42	Study setting	#9	Description of study settings (eg, community clinic,	7
43			academic hospital) and list of countries where data will be	
44			collected. Reference to where list of study sites can be	
45			obtained	
46				
47				
48	Eligibility criteria	#10	Inclusion and exclusion criteria for participants. If	7
49			applicable, eligibility criteria for study centres and	
50			individuals who will perform the interventions (eg,	
51			surgeons, psychotherapists)	
52				
53				
54				
55	Interventions:	#11a	Interventions for each group with sufficient detail to allow	8-10
56	description		replication, including how and when they will be	
57			administered	
58				
59				
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1 2 3 4 5 6 7	Interventions: modifications	#11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving / worsening disease)	N/A
8 9 10 11 12	Interventions: adherence	#11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return; laboratory tests)	N/A
13 14 15 16	Interventions: concomitant care	#11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	N/A
17 18 19 20 21 22 23 24 25 26 27	Outcomes	#12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	10-12
28 29 30 31 32 33 34	Participant timeline	#13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	10, Figure 1
35 36 37 38 39 40 41	Sample size	#14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	12
42 43 44 45	Recruitment	#15	Strategies for achieving adequate participant enrolment to reach target sample size	6-7
46 47 48 49 50 51 52 53 54 55 56	Allocation: sequence generation	#16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	7
57 58 59 60	Allocation concealment	#16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed	7

1	mechanism		envelopes), describing any steps to conceal the sequence until interventions are assigned	
2				
3				
4	Allocation:	#16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	7
5	implementation			
6				
7				
8				
9	Blinding (masking)	#17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	7, 10
10				
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14	Blinding (masking):	#17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	N/A
15	emergency			
16	unblinding			
17				
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20	Data collection plan	#18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	10-11
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31	Data collection plan:	#18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	10
32	retention			
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38	Data management	#19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	7, 10-11, 13
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46	Statistics: outcomes	#20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	12
47				
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51	Statistics: additional	#20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	N/A
52	analyses			
53				
54				
55	Statistics: analysis	#20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple	N/A
56	population and			
57	missing data			
58				
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1		imputation)	
2	Data monitoring:	#21a	Composition of data monitoring committee (DMC);
3	formal committee		summary of its role and reporting structure; statement of
4			whether it is independent from the sponsor and competing
5			interests; and reference to where further details about its
6			charter can be found, if not in the protocol. Alternatively, an
7			explanation of why a DMC is not needed
8			
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12	Data monitoring:	#21b	Description of any interim analyses and stopping
13	interim analysis		guidelines, including who will have access to these interim
14			results and make the final decision to terminate the trial
15			
16			
17	Harms	#22	Plans for collecting, assessing, reporting, and managing
18			solicited and spontaneously reported adverse events and
19			other unintended effects of trial interventions or trial
20			conduct
21			
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24	Auditing	#23	Frequency and procedures for auditing trial conduct, if any,
25			and whether the process will be independent from
26			investigators and the sponsor
27			
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29			
30	Research ethics	#24	Plans for seeking research ethics committee / institutional
31	approval		review board (REC / IRB) approval
32			
33			
34	Protocol	#25	Plans for communicating important protocol modifications
35	amendments		(eg, changes to eligibility criteria, outcomes, analyses) to
36			relevant parties (eg, investigators, REC / IRBs, trial
37			participants, trial registries, journals, regulators)
38			
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40	Consent or assent	#26a	Who will obtain informed consent or assent from potential
41			trial participants or authorised surrogates, and how (see
42			Item 32)
43			
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46	Consent or assent:	#26b	Additional consent provisions for collection and use of
47	ancillary studies		participant data and biological specimens in ancillary
48			studies, if applicable
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51	Confidentiality	#27	How personal information about potential and enrolled
52			participants will be collected, shared, and maintained in
53			order to protect confidentiality before, during, and after the
54			trial
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58	Declaration of	#28	Financial and other competing interests for principal
59			
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1	interests		investigators for the overall trial and each study site	
2	Data access	#29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	13
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7	Ancillary and post trial care	#30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	N/A
8				
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13	Dissemination policy: trial results	#31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	13
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21	Dissemination policy: authorship	#31b	Authorship eligibility guidelines and any intended use of professional writers	14
22				
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25	Dissemination policy: reproducible research	#31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	13
26				
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30	Informed consent materials	#32	Model consent form and other related documentation given to participants and authorised surrogates	Appendix
31				
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34	Biological specimens	#33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	N/A
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BMJ Open

Text messaging support for patients with diabetes or coronary artery disease (SupportMe): Protocol for a pragmatic randomised controlled trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2018-025923.R1
Article Type:	Protocol
Date Submitted by the Author:	06-Mar-2019
Complete List of Authors:	Cheung, N Wah; University of Sydney, Redfern, Julie; University of Sydney, Westmead Clinical School Thiagalingam, Aravinda; University of Sydney, Sydney, Australia, Sydney Medical School, ; Westmead Hospital, 3. Cardiology Department Hng, Tien-Ming; Blacktown Mount Druitt Hospital, Diabetes & Endocrinology Shariful Islam, Sheikh Mohammed; The George Institute for Global Health Haider, Rabbia; University of Sydney, Diabetes & Endocrinology Faruque, Sonia; Westmead Hospital, Diabetes & Endocrinology Chow, Clara; University of Sydney, Westmead Applied Research Centre
Primary Subject Heading:	Diabetes and endocrinology
Secondary Subject Heading:	Cardiovascular medicine
Keywords:	General diabetes < DIABETES & ENDOCRINOLOGY, Coronary heart disease < CARDIOLOGY, Clinical trials < THERAPEUTICS, Telemedicine < BIOTECHNOLOGY & BIOINFORMATICS

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Manuscripts

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5 **Text messaging support for patients with diabetes or coronary artery disease**
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7 **(SupportMe): Protocol for a pragmatic randomised controlled trial**
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ABSTRACT

Introduction

Low-cost interventions providing self-management support are needed for people with coronary artery disease (CAD) and diabetes. Mobile phone text messaging provides a potential vehicle for this. The SupportMe Trial aims to assess the feasibility of embedding a text-messaging program into routine clinical practice, and will determine if this improves cardiovascular risk factor and diabetes control amongst patients with CAD or type 2 diabetes.

Methods and Analysis

SupportMe is a randomised controlled trial to be conducted within the framework of a health district-wide integrated care program for people with CAD or T2DM. One thousand subjects will be recruited, with at least 500 in each group. Intervention subjects will receive 4 text messages a week for 6 months which provide advice, motivation, information and support for disease management and healthy behaviour. The primary outcome is systolic blood pressure at 6 months. Secondary outcomes include body mass index, waist circumference, low-density lipoprotein cholesterol, physical activity levels, dietary intake, quality of life, mood and smoking cessation, and for subjects with diabetes, glycosylated haemoglobin and fasting serum glucose. A process and economic evaluation will also be conducted.

Ethics and Dissemination

The study has been approved by the Western Sydney Local Health District Human Research Ethics Committee (AU RED HREC/16/WMEAD/331). Results will be disseminated via the scientific forums including peer-reviewed publications and presentations at national and international conferences.

Clinical Trials Registration

ACTRN12616001689460.

Trial Commencement and Completion

Commencement: March 2017

Anticipated completion: June 2019

Current protocol V4.0, December 2017.

Keywords

Randomised controlled trial, type 2 diabetes, coronary artery disease, text messaging

Word Count

3998

ARTICLE SUMMARY

Strengths and Limitations of this Study

- This trial investigates the feasibility of incorporating a text-messaging intervention into routine clinical care across an entire health district.
- This study tests the effectiveness of a single text-messaging program which can be customised for people with more than one chronic disease.
- This trial will be the largest study examining the effectiveness of text messaging support for people with type 2 diabetes.
- The text messages will be provided in English only.
- The study outcomes relate mainly to cardiovascular risk and diabetes control, and not hard clinical outcomes such as major cardiovascular events.

INTRODUCTION

Non-communicable diseases (NCDs) are the leading cause of death globally, accounting for 40 million, or 70% of the total deaths globally in 2015, rising by 14% since 2005¹.

Cardiovascular disease (CVD) accounts for almost half of the NCD deaths. Diabetes caused 4% of the NCD deaths, but its impact is growing rapidly, up by 32% since 2005¹. The International Diabetes Federation estimated the prevalence of diabetes worldwide was 8.3% in 2014, and projected to increase to 9.9% by 2030, to affect more than 500 million people².

The major modifiable determinants of CVD are tobacco smoking, and the related risk factors of physical inactivity, unhealthy diet, hypertension, hypercholesterolaemia, and diabetes³. The incidence of CVD can be reduced by treatments and strategies which address these risk factors^{3,4}. Early glucose and blood pressure control amongst people with type 2 diabetes may reduce mortality and diabetes complications^{5,6}. Despite substantial evidence of clinical benefits, existing interventions are under-utilized and poor adherence to exercise, diet and smoking cessation are problematic⁷. Hypertension is one risk factor common to both people with CVD and type 2 diabetes that is often inadequately managed. We have previously shown that without support, blood pressure control deteriorates amongst patients with coronary artery disease following discharge from hospital cardiac services⁸. Even patients with diabetes who attend specialist practices often have elevated blood pressure and fail to meet guideline targets^{9,10}.

Information and communication technologies have great potential for the delivery of preventative and educational healthcare programs at a large scale and at low cost¹¹. A systematic review reported that such technology used in the detection and follow up of CVD provided better clinical outcomes, mortality reduction and lower health services utilization¹². Systematic reviews have also found that text-message interventions almost double the likelihood of short-term smoking cessation¹³ and medication adherence¹⁴, and have provided some evidence of modest effects on weight loss^{15,16}, hypertension¹⁶ and physical activity^{16,17}. Previous trials of text messaging for people with diabetes have

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2
3 generally been small but show promise for the improvement of glycaemic parameters^{16,18-20}.
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5 However apart from smoking cessation, these programs have not been widely implemented
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7 or translated into routine healthcare for patients. Moreover, previous trials have generally
8
9 focused on single diseases or conditions.

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12 The Tobacco, Exercise and Diet Messages (TEXT ME) study of 710 patients with coronary
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14 heart disease (CHD) was the first randomised controlled trial which demonstrated that a
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16 text messaging program providing motivation, support and education improved multiple
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18 clinical risk factor measures including LDL-cholesterol, blood pressure, body mass index
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20 (BMI), physical activity and smoking cessation⁸. As part of our long-term goal of developing a
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22 text messaging program for people with different or multiple chronic diseases, we have
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24 adapted the TEXT ME intervention to undertake a text messaging support program for
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26 people with 2 different chronic diseases, namely type 2 diabetes or coronary artery disease
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28 (CAD), or both. The intervention will provide education, clinical management support and
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30 healthy lifestyle motivation, with the aim of improving cardiovascular risk factors, in
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32 particular blood pressure, and diabetes care.

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34 Widespread delivery of text-message based education and support programs requires the
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36 intervention to be embedded into routine clinical care for people with chronic disease. This
37
38 paper describes the protocol for the SupportMe randomised controlled trial. A major goal of
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40 SupportMe was to identify and address key questions in the implementation of text-
41
42 message based education and support program into our existing health systems. Our test
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44 bed was the Western Sydney Local Health District (WSLHD) which serves a population of
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46 ~970,000 in Western Sydney, Australia.

51 **METHODS AND ANALYSIS**

56 **Study Design, Setting and Population**

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58 SupportMe is a pragmatic randomised clinical trial of 1000 patients with CAD and/or type 2
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60 diabetes (Fig 1). The study will be conducted in the ethnically and culturally diverse WSLHD.

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3 Participants will be recruited via referrals from the community and hospital setting. We will
4 leverage the framework of the Western Sydney Integrated Care Program (WSICP)²¹ which
5 involves over 200 general practitioners and 50 hospital clinicians to encourage referral to
6 the SupportMe program. The WSICP seeks to improve health outcomes for patients with
7 chronic disease and improve the continuity of care across hospital and primary care services
8 across the health district.
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16 The program will be advertised to hospital clinicians via internal hospital communication
17 pathways and to general practitioners (GPs) through the Western Sydney Primary Health
18 Network (which supports GPs). We will provide a variety of referral options including
19 traditional letter-based referrals, fax, phone, email, SMS as well as e-referral through an
20 internet portal.
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27 To be eligible, subjects need to be 18 years or older, with i) CAD, defined as history of prior
28 myocardial infarction or documented >50% occlusion of a major coronary artery on
29 coronary angiography, and/or ii) type 2 diabetes, with an HbA1c in the last 6 months of
30 7.1%-11.4% (54-101 mmol/mol). Subjects need to own a mobile phone and be able to read
31 text messages in English. We will allocate each referred patient with a screening ID number
32 and maintain a "screening log" of referred subjects, which records basic demographic data,
33 as well as the referral source. We will also record reasons for ineligibility and non-
34 participation. Research assistants will assess the eligibility of subjects referred subjects,
35 explain details of the study, and obtain consent from those who wish to proceed into the
36 study. For subjects who enter the SupportMe trial, we will keep an "enrolment log" and we
37 will notify their referring clinician and their GP (if this was not the referrer).
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51 **Randomisation**

52 Randomisation will be in a uniform allocation of 1:1, stratified by health condition (CHD,
53 Diabetes or both), and performed through an electronic platform. Personnel collecting
54 baseline data will be blinded to treatment allocation. The computerised platform will
55 connect with the text messaging platform to commence sending messages to the
56 intervention subjects automatically based on randomisation. To minimise un-blinding at
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3 follow-up, participants will be sent a message reminding them not to reveal treatment
4 allocation to follow-up data collectors.
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9 The secure web-based Research Electronic Data Capture (REDCap) web application will be
10 used for participant registration and data collection. Each subject in the study will be
11 assigned a unique study identification number in REDCap, and their name, initials, date of
12 birth and contact details will not be recorded to ensure that the dataset is de-identified.
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17 18 **Intervention and Control** 19

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21 The SupportMe intervention is a simple patient-centred intervention designed to provide
22 semi-personalised and customised support in clinical and lifestyle management, as an
23 adjunct to standard care provided by the subjects' usual healthcare professionals.
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25 Participants allocated to intervention will receive four text messages per week sent at
26 random times between 9am and 5 pm during weekdays, over a 6 month period. These will
27 be unidirectional, in that subjects will be sent messages but be advised that there is no
28 expectation that they respond back nor is there a facility to interact with or discuss their
29 specific health issues with the study team. However, a researcher will monitor messages
30 sent from participants, and a record of these will be maintained. Where there are return
31 messages which are a potential cause for clinical concern, the message will be escalated to a
32 doctor for review. This researcher will not participate in any other data collection or
33 individual level of analysis.
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44 Control subjects will only receive a welcome message at the initiation of their participation
45 in the trial, and a message at 6 months reminding them of their follow-up appointment. It is
46 expected that both intervention and control participants will continue receiving usual care
47 from their regular health professionals.
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53 All participants will be offered brief training at enrolment on how to read a text message
54 and how to delete or save messages. Participants may withdraw from the study at any time
55 via text message; in which case a team member will contact the participant for confirmation
56 and delivery of text messages to these subjects will be deactivated.
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5 At the end of the 6 month program, maintenance messages will be sent to intervention
6 subjects for a further 6 months at a frequency of 2 per week. Control subjects will be
7 offered the opportunity to receive the SupportMe intervention at that point.
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10 11 12 13 **SupportMe Message Content** 14

15 The text message content and program structure was developed according to our previously
16 published process²². The text messages provide advice, motivation, information and support
17 for disease management, monitoring of risk factors, and tips and links to engage in healthy
18 behaviours. Each of the 4 messages the intervention subjects receive each week will focus
19 on a different aspect of healthcare, namely (1) general health, (2) nutrition, (3) physical
20 activity, (4) disease self-management. A different set of 4 message banks was developed for
21 each of the 3 strata in the study; CAD, diabetes, and CAD with diabetes, though there are a
22 number of messages common to the 3 strata. In addition, there are subsets of messages
23 which enable a degree of customisation. These are smoker and non-smoker, insulin user and
24 non-insulin user, vegetarian and non-vegetarian. Messages from each bank will be sent in a
25 random order until 26 weeks has passed.
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37 Existing validated messages which were used in the TEXT ME trial were reviewed for use in
38 SupportMe. Additional SupportMe messages, mainly related to diabetes, were developed by
39 a working group including endocrinologists, diabetes educators, dietitians, podiatrists, and
40 clinicians in primary care, community health, population health, existing health promotion
41 programs and consumers. The process followed was similar to that applied to the TEXT ME
42 program^{22,23}, whereby the working group initially developed the text messages, then these
43 were reviewed for readability and to ensure messages were presented with a positive focus.
44 The text messages were modified based on feedback from the diabetes working group, and
45 then underwent user testing with feedback and further modification. Examples of final text
46 messages include: *"Did you exercise today?"*, *"Has your Dr checked & discussed your*
47 *cholesterol levels with you recently? These need regular review"*, *"Healthy eating means at*
48 *least 5 serves of vegetables & 2 serves of fruit every day"*, *"If your sugars are regularly under*
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3 *4mmol/L or over 10mmol/L, it may be time to review your diabetes treatment - speak to*
4 *your Doctor”.*
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9 Our TEXT ME message management engine will deliver the messages for SupportMe. It
10 selects messages from message banks as per prespecified algorithms using patient baseline
11 data entered into the message management system. The engine sends messages through a
12 telecommunications gateway to enable them to be sent to all participants on any Australian
13 phone network at no cost to the participant and at a bulk-rate cost to the study.
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18 19 20 **Study Outcomes**

21 The primary outcome of the study is systolic blood pressure (sBP) after 6 months. This will
22 be measured by a digital sphygmomanometer, three times in the sitting position, with the
23 mean of the last 2 readings being recorded. Secondary outcomes include body mass index,
24 waist circumference, fasting low-density lipoprotein cholesterol, physical activity (measured
25 by the Global Physical Activity Questionnaire²⁴), quality of life (measured by the 12 item
26 short form (SF-12) health survey²⁵), depression (measured by the Patient Health
27 Questionnaire-9 depression scale²⁶) and dietary intake (measured by the dietary component
28 of the WHO STEPS instrument²⁷), smoking cessation and medication use. Subjects with
29 diabetes will also be evaluated for the additional secondary outcomes of HbA1c and fasting
30 serum glucose. A composite outcome of guideline levels of risk factors achieved will also be
31 analysed. Surveys and measurements will be conducted in face-to-face visits with research
32 assistants who will be blinded to the subject’s treatment allocation.
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45 The protocol requires the closing study visit to be undertaken within one month of the 6
46 month time point. Study visits performed more than one month later will be regarded as
47 protocol deviations, but nonetheless we will endeavour to capture the outcome data.
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52 **Process Measures**

53 Process data will be collected from referral information, participant surveys, focus groups
54 and analytical information. The screening log will collect information regarding the source of
55 referrals to SupportMe, to enable an assessment of its integration into the WSICP and level
56 of clinician engagement. The screening log will also provide data for reasons that subjects
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3 were not enrolled into the SupportMe program. Analytical data extracted from the message
4 software system will provide information about the time that messages are sent and the
5 proportion of text messages successfully delivered (eg, if mobile phone mail boxes are full)
6 will be recorded. A log will be kept of non-protocol participant contact with the study team,
7 the reason for contact and the method used for contact (eg, by telephone, email).
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14 SupportMe participants allocated to the intervention group will be administered a User
15 Survey at the 6-month follow-up assessment. This questionnaire explores the acceptability
16 of the text messages, identification of which messages participants remembered, liked or
17 disliked, what they did with messages (eg, kept them or deleted them immediately), their
18 perceived utility of the text messages and their opinion regarding the intrusiveness, timing,
19 language and content suitability of the text messages. To obtain a more in-depth
20 understanding of the potential barriers and facilitators for integration into existing health
21 services and individual level to uptake of this program, we will also conduct purposive semi-
22 structured interviews with a subsample of participants in the intervention group. Sampling
23 will continue until thematic saturation occurs. We anticipate from previous experience the
24 need to conduct approximately 20 patient interviews. Our research group has extensive
25 experience conducting such evaluations alongside RCTs.
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41 **Economic Evaluation**

42 We will conduct a cost-effectiveness and cost-utility analysis of SupportMe from a health
43 sector perspective. The costs and health outcomes associated with the intervention will be
44 compared in an incremental cost-effectiveness analysis. Direct health care costs will be
45 determined by patient-level data linkage to the Medical Benefits Schedule (MBS) and
46 Pharmaceutical Benefits Scheme (PBS). The MBS and PBS are government funded schemes
47 which provide subsidies for non-hospital attendances to healthcare providers (eg: general
48 practitioners, specialists and some allied health providers such as dietitians), and for
49 pharmaceutical agents respectively. Cost of hospitalisations will be determined from
50 hospital admission records. We will use the SF-12 to determine Quality Adjusted Life Years
51 (QALYs) in a trial based cost-utility analysis. Observed changes in clinical risk factors e.g. LDL-
52 cholesterol will inform longer term modelling of serious events and hospitalisations over a
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3 lifetime and thus estimate longer term costs, and cost-effectiveness of the intervention. For
4 type 2 diabetes, patient-level risk factors will include measures of HbA1c which will be used
5 in a validated health economic model. The per patient costs of intervention delivery will be
6 used to estimate total costs of scaling up the SupportMe program at a state or national
7 level.
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13 14 **Serious Adverse Events**

15 We will record serious adverse events (SAEs) which are fatal, life-threatening, medically
16 important, result in hospitalisation or prolongation of hospitalisation, or cause disability or
17 incapacity. The Clinical Endpoint Adjudication Coordinator will determine whether the event
18 will need adjudication. SAEs related to diabetes or cardiac disease will be adjudicated by an
19 independent physician.
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26 27 **Statistical analyses**

28 We plan to recruit 1000 subjects into the study, with at least 500 patients with each of
29 diabetes or CHD. A sample of 1000 subjects will enable detection of a 3.5 mmHg difference
30 in sBP with 90% power and no loss to follow up (Type 1 error 5%, 2 sided alpha and
31 assuming a conservative standard deviation (SD) of 17) and 80% power if there was
32 approximately 20% loss to follow-up. If the SD of 12mmHg from our earlier TEXT ME study⁸
33 was applied, a sample size of 720 subjects has 90% power to detect a difference of 2.5
34 mmHg with no loss to follow-up, but with 20% loss to follow-up, 900 subjects would be
35 required. Within the strata of diabetes or CHD, a sample size of 500 and accounting for 20%
36 loss to follow-up, would have 80% power to detect a 5 mmHg difference in sBP (SD 17
37 mmHg) and 80% power to detect a 3.5 mmHg difference assuming a SD of 12 mmHg. Data
38 from the Blood Pressure Lowering Treatment Trialist's Collaboration indicate that a
39 reduction in BP of 5 mmHg reduces the risk of major cardiovascular events, irrespective of
40 the form of pharmacotherapy²⁸. We also planned for an adequate sample size to
41 demonstrate an improvement in diabetes control, as measured by HbA1c. Applying local
42 data regarding the distribution of HbA1c⁹, a sample size of 500 without loss to follow-up is
43 required for 80% power to detect a difference of 0.3% in HbA1c in the diabetes cohort
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3 (SD=1.2), but if allowing for 20% drop-out, the required sample size increases to 625. This
4 quantum of HbA1c reduction translates to a 6% reduction in risk of diabetes
5 complications²⁹. As we expect >20% of CHD patients to also have diabetes, there will be at
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7 least 600 patients with diabetes in the total 1000 subject cohort.
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12 The study will follow intention-to-treat principles for analyses. Participants will be analysed
13 at 6 months by original assigned groups. The primary analysis will use analysis of covariance
14 (ANCOVA) adjusting for baseline sBP. To explore the treatment effect by prespecified risk
15 factors, namely baseline high/low BP, BMI groupings, high/normal LDL-cholesterol,
16 high/normal HbA1c, whether they had background BP lowering therapy, ethnicity group,
17 age groups, gender, participation in WSICP and medical conditions, a model of 6 month sBP
18 will be performed adjusting for baseline sBP, treatment, risk factors and the interaction of
19 each risk factor with treatment. The modelled mean differences with 95% confidence
20 intervals for each risk factor will be presented in a forest plot together with tests of
21 interaction to assess whether the treatment effect varies between levels of each risk factor.
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32 The pattern of missing data for the primary and subgroup analysis will be explored to assess
33 if some baseline characteristics predict missing sBP or HbA1c at 6 months using a log
34 binomial regression analysis. The study will be reported following CONSORT2010
35 guidelines³⁰.
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45 **Patient and Public Involvement**

46 Diabetes NSW & ACT, the peak consumer diabetes organisation in the state of New South
47 Wales, participated in the development of the text messages. The text messages relating to
48 coronary artery disease had previously been tested and assessed for acceptability in the
49 TEXT ME trial⁸. Ten patients with diabetes were surveyed prior to the study to obtain
50 feedback regarding the draft new diabetes related text messages. This feedback was
51 considered and messages modified or discarded accordingly.
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ETHICS, GOVERNANCE AND DISSEMINATION

The study has been approved by the Western Sydney Local Health District Human Research Ethics Committee. The study will adhere to the National Health and Medical Research Council ethical guidelines for human research. Written and informed consent will be obtained from all participants. The trial has been registered with the Australian New Zealand Clinical Trials Registry, ACTRN12616001689460. This includes all items from the World Health Organization Trial Registration Set.

The study will be administered by the George Institute and the University of Sydney, with the design and conduct overseen by a project management committee. This committee has expertise in large-scale clinical trials and qualitative research, economic analysis, clinical CVD and diabetes management and healthy policy implementation at both a local and national level. It includes investigators and partners in the program. The program will also report to the WSICP Steering Committee.

A data monitoring safety board comprising a clinician with expertise in diabetes, and a second with expertise in cardiology will evaluate all serious adverse events. A manual of procedures has been developed, outlining study procedures including definitions, study organisational structure, quality control, procedures for collection and recording of data, monitoring and audit of the study, procedures for patient withdrawal, non-compliance and protocol violations.

Only the chief investigators (NWC, JR, AT, TMH, CKC) and trial statistician will have access to the final de-identified dataset. The full protocols and de-identified dataset will be made available from the investigators on reasonable request, and subject to ethics approvals. The findings of this study will be disseminated via the usual scientific forums, including peer-reviewed publications and presentations at national and international conferences. Authorship will be based on the International Committee of Journal Editors guidelines. We have followed the SPIRIT reporting guidelines³¹.

CONCLUSION

SupportMe will develop new evidence as to whether a single text messaging support program will improve clinical parameters for people with different chronic diseases, namely cardiovascular disease and diabetes, and whether this can be successfully implemented into a wider chronic disease program. The project will also provide an economic analysis and understanding of cost as well as barriers and enablers associated with implementation and patient satisfaction.

Information technology and communication are considered key enablers of successful integrated care programs³². However, this has largely focused on the use of information systems and communication between healthcare providers, rather than between the healthcare program and the patient. The mobile phone is increasingly being recognised as a simple everyday technology which can be used to provide information and self-management support directly to patients. Simple texting programs administered by computerised message management systems make them affordable, scalable and practical to deliver as an adjunct to our existing health services. There are already data that text-messaging can effectively improve clinical outcomes or parameters by supporting people with chronic diseases and conditions such as CVD, asthma, smoking and medication adherence^{12,33}. Previous data for diabetes has been mixed, and based on small trials, but a recent large randomised controlled trial has shown that a comprehensive text-messaging based diabetes support program significantly reduced HbA1c³⁴.

The challenge now is to develop and test text-messaging interventions which are adaptable to people with different or multiple chronic diseases, and to implement these as a part of routine care. Indeed, with the high prevalence of chronic diseases, large scale population health interventions are required. The low cost of text-messaging systems without the need for routine input from a health professional, makes them ideally suited for this purpose. In Australia, 50% of the population has at least one of eight chronic diseases, and half of these people have at least two such conditions³⁵. Cardiovascular disease is the disease group

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3 responsible for the highest expenditure³⁵. With a high prevalence of chronic disease, and
4 many people having multiple diseases, it is impractical for a patient to enrol in a separate
5 text-messaging program for each of their chronic conditions, or for busy clinicians to
6 manage the complexity of referring their patients to different text-messaging programs.
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8 SupportMe tests the effectiveness of an intervention for people with either CVD or diabetes,
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10 or both disorders, and paves the way for a multifaceted text-messaging support program to
11 be developed. A single text-messaging system, which includes multiple customised modules
12 for people with other prevalent chronic diseases such as chronic obstructive pulmonary
13 disease, chronic kidney disease, and mental health disorders, as well as any combination of
14 these disorders would be highly desirable.
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23 For these individuals, better preventative care and fostering of self-management will
24 decrease the need for access to tertiary services and hospitalisation. Provision of text-
25 message programs at the point of hospital or community health engagement will enable the
26 health service to support larger numbers of patients at a low-cost, enhance patient
27 involvement with their care and their perception of the health service supporting them.
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34 A limitation of our program is that messages will be delivered in English only, and in a
35 multicultural society such as Australia, we need to overcome language barriers to
36 healthcare. We intend to develop messages in other languages for future text-messaging
37 programs we plan to undertake. However, this is not a process of simple translation, as the
38 messages need to be culturally and linguistically appropriate for each individual language
39 group, and undergo a rigorous process of testing³⁶.
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47 In summary, by conducting SupportMe within the framework of the WSICP, and
48 encouraging referrals from clinicians involved in the clinical services offered by the WSICP,
49 we will be testing if a text-messaging program can be successfully implemented as standard
50 care for patients in a chronic disease integrated care program which runs across a large
51 health district, and improve clinical outcomes. Ultimately, for a mobile health initiative to be
52 successful beyond the life of a clinical trial, it has to be a part of standard care. This requires
53 support from fund-holders in health services, to support it as part of a larger program,
54 rather than being a stand-alone intervention.
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Author contributions

CKC, NWC, SMSI, JR, and AT conceived the original study concept. All authors (CKC, NWC, SMSI, JR, AT, TMH, RH, SF) contributed to the design of the study, protocol development, its implementation, and drafting of the manuscript.

Funding statement

This study is funded by the Translational Research Grants Scheme of NSW Health. JR is funded by a NHMRC Career Development Fellowship [APP1143538]. CKC is funded by a Career Development Fellowship co-funded by the NHMRC and National Heart Foundation [APP1105447]. SMSI is funded by The George Institute for Global Health Post Doctorate Research Fellowship and has received funding from High Blood Pressure Research Foundation of Australia. RH is funded by a Westmead Hospital Jerry Koutts Scholarship.

Competing Interests

None

Acknowledgements

This study is supported by the Western Sydney Local Health District, Heart Foundation, New South Wales Agency for Clinical Innovation, Diabetes NSW, the University of Sydney, and the George Institute. Diabetes NSW & ACT has supported and promoted the study, as well as participated in message development. We thank Caroline Wu and Tony Barry for setting up the Redcap database and SMS engine, project manager Sandra Bahamad, study statistician Simone Marschner and research assistants Lily Chen, Daniel McIntyre, Gilly Rosic and Shelley She.

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8 macaronic Hindi-English 'Hinglish' text message content for a coronary heart disease
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13 **Statement of License to BMJ**

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16 I, N Wah Cheung, the Corresponding Author of this article contained within the original
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3 Figure Legend
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7 Figure 1. Study flow diagram. CAD, coronary artery disease.
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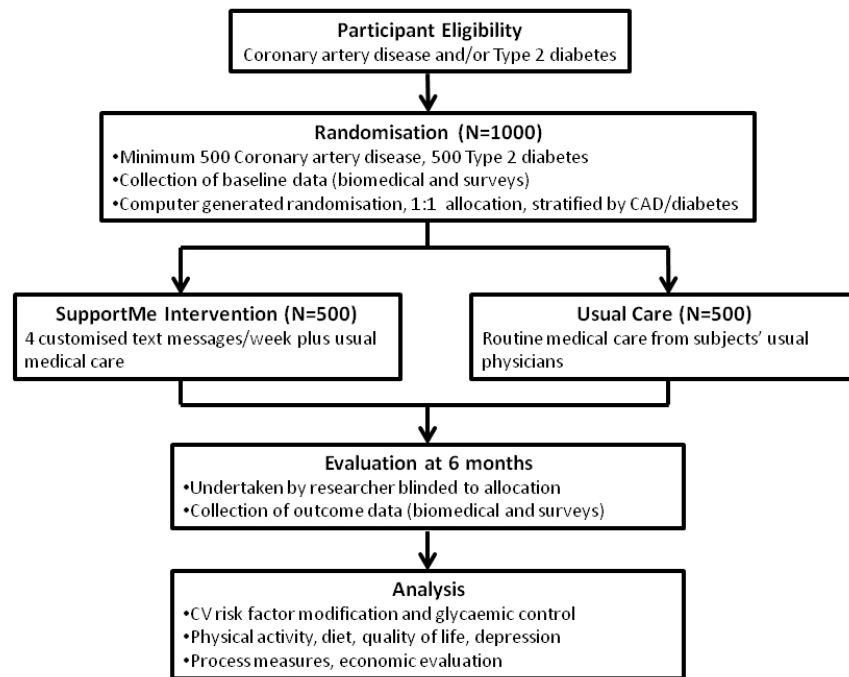


Figure 1: Summary of protocol

254x190mm (96 x 96 DPI)

Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

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Chan A-W, Tetzlaff JM, Altman DG, Laupacis A, Gøtzsche PC, Krleža-Jerić K, Hróbjartsson A, Mann H, Dickersin K, Berlin J, Doré C, Parulekar W, Summerskill W, Groves T, Schulz K, Sox H, Rockhold FW, Rennie D, Moher D. SPIRIT 2013 Statement: Defining standard protocol items for clinical trials. *Ann Intern Med.* 2013;158(3):200-207

		Reporting Item	Page Number
Title	#1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	#2a	Trial identifier and registry name. If not yet registered, name of intended registry	3, 13
Trial registration: data set	#2b	All items from the World Health Organization Trial Registration Data Set	13
Protocol version	#3	Date and version identifier	4
Funding	#4	Sources and types of financial, material, and other support	16
Roles and responsibilities: contributorship	#5a	Names, affiliations, and roles of protocol contributors	1, 16
Roles and responsibilities:	#5b	Name and contact information for the trial sponsor	N/A

1	sponsor contact			
2	information			
3				
4	Roles and	#5c	Role of study sponsor and funders, if any, in study design;	N/A
5	responsibilities:		collection, management, analysis, and interpretation of	
6	sponsor and funder		data; writing of the report; and the decision to submit the	
7			report for publication, including whether they will have	
8			ultimate authority over any of these activities	
9				
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12	Roles and	#5d	Composition, roles, and responsibilities of the coordinating	13
13	responsibilities:		centre, steering committee, endpoint adjudication	
14	committees		committee, data management team, and other individuals	
15			or groups overseeing the trial, if applicable (see Item 21a	
16			for data monitoring committee)	
17				
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20	Background and	#6a	Description of research question and justification for	5-6, 14-
21	rationale		undertaking the trial, including summary of relevant studies	15
22			(published and unpublished) examining benefits and harms	
23			for each intervention	
24				
25				
26				
27	Background and	#6b	Explanation for choice of comparators	8
28	rationale: choice of			
29	comparators			
30				
31				
32	Objectives	#7	Specific objectives or hypotheses	6, 14
33				
34				
35	Trial design	#8	Description of trial design including type of trial (eg, parallel	6-7
36			group, crossover, factorial, single group), allocation ratio,	
37			and framework (eg, superiority, equivalence, non-	
38			inferiority, exploratory)	
39				
40				
41				
42	Study setting	#9	Description of study settings (eg, community clinic,	7
43			academic hospital) and list of countries where data will be	
44			collected. Reference to where list of study sites can be	
45			obtained	
46				
47				
48	Eligibility criteria	#10	Inclusion and exclusion criteria for participants. If	7
49			applicable, eligibility criteria for study centres and	
50			individuals who will perform the interventions (eg,	
51			surgeons, psychotherapists)	
52				
53				
54				
55	Interventions:	#11a	Interventions for each group with sufficient detail to allow	8-10
56	description		replication, including how and when they will be	
57			administered	
58				
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1 2 3 4 5 6 7	Interventions: modifications	#11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving / worsening disease)	N/A
8 9 10 11 12	Interventions: adherence	#11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return; laboratory tests)	N/A
13 14 15 16	Interventions: concomitant care	#11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	N/A
17 18 19 20 21 22 23 24 25 26 27	Outcomes	#12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	10-12
28 29 30 31 32 33 34	Participant timeline	#13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	10, Figure 1
35 36 37 38 39 40 41	Sample size	#14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	12
42 43 44 45	Recruitment	#15	Strategies for achieving adequate participant enrolment to reach target sample size	6-7
46 47 48 49 50 51 52 53 54 55 56	Allocation: sequence generation	#16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	7
57 58 59 60	Allocation concealment	#16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed	7

1	mechanism		envelopes), describing any steps to conceal the sequence until interventions are assigned	
2				
3				
4	Allocation:	#16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	7
5	implementation			
6				
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8				
9	Blinding (masking)	#17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	7, 10
10				
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14	Blinding (masking):	#17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	N/A
15	emergency			
16	unblinding			
17				
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20	Data collection plan	#18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	10-11
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31	Data collection plan:	#18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	10
32	retention			
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38	Data management	#19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	7, 10-11, 13
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46	Statistics: outcomes	#20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	12
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51	Statistics: additional	#20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	N/A
52	analyses			
53				
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55	Statistics: analysis	#20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple	N/A
56	population and			
57	missing data			
58				
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1		imputation)	
2	Data monitoring:	#21a	Composition of data monitoring committee (DMC);
3	formal committee		summary of its role and reporting structure; statement of
4			whether it is independent from the sponsor and competing
5			interests; and reference to where further details about its
6			charter can be found, if not in the protocol. Alternatively, an
7			explanation of why a DMC is not needed
8			
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12	Data monitoring:	#21b	Description of any interim analyses and stopping
13	interim analysis		guidelines, including who will have access to these interim
14			results and make the final decision to terminate the trial
15			
16			
17	Harms	#22	Plans for collecting, assessing, reporting, and managing
18			solicited and spontaneously reported adverse events and
19			other unintended effects of trial interventions or trial
20			conduct
21			
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24	Auditing	#23	Frequency and procedures for auditing trial conduct, if any,
25			and whether the process will be independent from
26			investigators and the sponsor
27			
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29			
30	Research ethics	#24	Plans for seeking research ethics committee / institutional
31	approval		review board (REC / IRB) approval
32			
33			
34	Protocol	#25	Plans for communicating important protocol modifications
35	amendments		(eg, changes to eligibility criteria, outcomes, analyses) to
36			relevant parties (eg, investigators, REC / IRBs, trial
37			participants, trial registries, journals, regulators)
38			
39			
40	Consent or assent	#26a	Who will obtain informed consent or assent from potential
41			trial participants or authorised surrogates, and how (see
42			Item 32)
43			
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46	Consent or assent:	#26b	Additional consent provisions for collection and use of
47	ancillary studies		participant data and biological specimens in ancillary
48			studies, if applicable
49			
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51	Confidentiality	#27	How personal information about potential and enrolled
52			participants will be collected, shared, and maintained in
53			order to protect confidentiality before, during, and after the
54			trial
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58	Declaration of	#28	Financial and other competing interests for principal
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1	interests		investigators for the overall trial and each study site	
2	Data access	#29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	13
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7	Ancillary and post trial care	#30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	N/A
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13	Dissemination policy: trial results	#31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	13
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21	Dissemination policy: authorship	#31b	Authorship eligibility guidelines and any intended use of professional writers	14
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25	Dissemination policy: reproducible research	#31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	13
26				
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30	Informed consent materials	#32	Model consent form and other related documentation given to participants and authorised surrogates	Appendix
31				
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34	Biological specimens	#33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	N/A
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