Appendix 1 ICD-10 and ICD-9 coding for frailty syndromes

Group	ICD -10	Description (ICD-10)	ICD-9	Description (ICD-9)
1. Dementia and Delirium	F00	Dementia in Alzheimer's disease	2904	Arteriosclerotic dementia
	F01	Vascular dementia	2941- 2942	Dementia in other diseases and unspecified dementia
	F02	Dementia in other diseases classified elsewhere	2930- 2931	Subacute delirium and delirium due to conditions classified elsewhere
	F03	Unspecified dementia	V4031	Wandering in diseases classified elsewhere
	F05	Delirium not induced by alcohol and other psychoactive	3310	Alzheimer's disease
	G30	Alzheimer's disease	3312	Senile degeneration of brain
	G31 1	Senile degeneration of brain, not elsewhere classified	2900- 2903	Senile and presenile dementia, dementia with delirium
	G31 0	Circumscribed brain atrophy	33119	Other frontotemporal dementia
	F04	Organic amnesic syndrome, not induced by alcohol and other psychoactive substances	33182	Dementia with lewy bodies
	R41	Other symptoms and signs involving cognitive functions and awareness	2908- 2909	Other senile psychotic conditions
			2948- 2949	Other persistent mental disorders due to conditions classified elsewhere
			2940	Amnestic disorder in conditions classified elsewhere
2. Mobility Problems	R26	Abnormalities of gait and mobility	7812	Abnormality of gait
robiomo	R29 8	Other and unspecified symptoms and signs involving the nervous and musculoskeletal systems	78199	Other symptoms involving nervous and musculoskeletal systems
3. Falls and Fractures	S32	Fracture of lumbar spine and pelvis	8054- 8055	Fracture of lumbar vertebra without mention of spinal cord injury
	S33	Dislocation, sprain and strain of joints and ligaments of lumbar spine and pelvis	8064- 8065	Fracture of lumbar spine with spinal cord injury
	S42	Fracture of shoulder and upper arm	8056- 8057	Fracture of sacrum and coccyx without mention of spinal cord injury
	S43	Dislocation, sprain and strain of joints and ligaments of shoulder girdle	8066- 8067	Fracture of sacrum & coccyx with spinal cord injury
	S52	Fracture of forearm	808- 809	Fracture of pelvis and Ill-defined fractures of bones of trunk
	S53	Dislocation, sprain and strain of joints and ligaments of elbow	8392- 8393	Dislocation, thoracic & lumbar vertebra
	S62	Fracture at wrist and hand level	83941	Dislocation, coccyx and sacrum
	S63	Dislocation, sprain and strain of joints and	83952 846	Sprains & strains of sacroiliac region
		ligaments at wrist and hand level		·
	S72	Fracture of femur	8472- 8474	Sprain of lumbar, sacrum, coccyx
	S73	Dislocation, sprain and strain of joint and ligaments of hip	8485	Sprain of pelvic
	W0- W1	Falls	810- 812	Fracture of clavicle, scapula, humerus
	M8 0	Osteoporosis with pathological fracture	831- 835	Dislocation of shoulder, elbow, wrist, finger, hip
	M8 1	Osteoporosis without pathological fracture	840- 843	Sprains & strains of shoulder, upper arm, elbow, forearm, wrist, hand, hip, thigh
	R29 6	Tendency to fall, not elsewhere classified	83961 & 83971	Dislocation, sternum
	R55	Syncope and collapse	8484	Sternum sprain
	R54	Senility	813- 817	Fracture of radius & ulna, carpal bone(s), metacarpal bone(s), phalanges of hand
	M9 66	Fracture of bone following insertion of orthopaedic implant, joint prosthesis, or bone plate	820- 821	Fracture of neck of femur and other parts of femur
			E88	Falls

			7330	Osteoporosis
			7331	Pathological fracture
			V1588	History of fall
				•
			7802	Syncope and collapse
			797	Senility without mention of psychosis
			9964	Mechanical complication of internal orthopedic device implant and graft
4. Pressure Ulcers and Weight Loss	L89	Decubitus ulcer and pressure area	7072	Pressure ulcer
	R63 4	Abnormal weight loss	7070	Decubitus ulcer
	R63 6	Insufficient intake of food and water due to self neglect	7832	Abnormal Loss of Weight
	Z72 4	Inappropriate diet and eating habits	V691	Inappropriate diet and eating habits
5. Incontinence	R32	Unspecified urinary incontinence	7883	Incontinence of urine
	R15	Faecal incontinence	7876	Incontinence of feces
6. Dependence and Care	Z74	Problems related to care-provider dependency	V604	No other household member able to render care
	Z75	Problems related to medical facilities and other health care	V63	Unavailability of other medical facilities for care
7. Anxiety and Depression	F38	Other mood [affective] disorders	2969	Other & unspecified affective psychoses
-	F41	Other anxiety disorders	3000	Anxiety states
	F43	Reaction to severe stress, and adjustment disorders	308	Acute reaction to stress
	F44	Dissociative [conversion] disorders	309	Adjustment reaction
	F06 4	Organic anxiety disorder	3001	Hysteria
	F32	Depressive episode	2962	Major depressive disorder, single episode
	F33	Recurrent depressive disorder	2963	Major depressive disorder, recurrent episode
	F20 4	Post-schizophrenic depression	2965	Bipolar affective disorder, depressed
	F25 1	Schizoaffective disorder, depressive type	3004	Dysthymic disorder
	F31	Bipolar affective disorder	3090	Adjustment disorder with depressed mood
	F34 1	Dysthymia	3091	Prolonged depressive reaction
	F41 2	Mixed anxiety and depressive disorder	3092	Adjustment reaction with predominant disturbance of other emotions
	F43 2	Adjustment disorders	2968	Manic-depressive psychosis, other & unspecified
			2980	Depressive type psychosis
			3011	Affective personality disorder
			311	Depressive disorder, not elsewhere classified

Appendix 2: Odds Ratios for Frailty Score after adjustment for age, gender, country for the outcomes of in-hospital mortality, 30-day non-elective readmission and upper quartile length of stay (for country), by elective and non-elective population groups within the Global Comparators Dataset (Derivation)

In-hospital mortality

Table 12: Odds Ratios of Frailty Score for in-hospital mortality adjusted for age, gender country within each subgroup (elective and non-elective)

Elective

	Odds Ratio	Lower CI	Upper CI	P-value
(Intercept)	0.001	0.000	0.001	<0.001
Age	1.041	1.029	1.054	<0.001
Sex - F	Reference			
Sex - M	1.441	1.277	1.626	<0.001
Country - Australia	Reference			
Country - Belgium	1.039	0.836	1.292	0.730
Country - Denmark	0.913	0.668	1.248	0.569
Country - Finland	0.318	0.227	0.446	<0.001
Country - Italy	0.702	0.496	0.994	0.046
Country - Netherlands	1.413	1.107	1.803	0.005
Country - Norway	0.616	0.492	0.770	<0.001
Country - United Kingdom	0.566	0.467	0.686	<0.001
Country - United States	0.838	0.686	1.023	0.082
Frailty Score	1.277	1.247	1.308	<0.001

	Odds Ratio	Lower CI	Upper CI	P-value
(Intercept)	0.002	0.002	0.003	<0.001
Age	1.040	1.037	1.043	<0.001
Sex - F	Reference			
Sex - M	1.305	1.265	1.346	<0.001
Country - Australia	Reference			
Country - Belgium	1.338	1.213	1.478	<0.001
Country - Denmark	1.480	1.371	1.598	<0.001
Country - Finland	0.936	0.864	1.015	0.109
Country - Italy	1.682	1.462	1.936	<0.001
Country - Netherlands	1.525	1.361	1.709	<0.001
Country - Norway	1.001	0.942	1.062	0.987
Country - United Kingdom	1.492	1.419	1.570	<0.001
Country - United States	0.897	0.844	0.953	<0.001
Frailty Score	1.109	1.103	1.116	<0.001

30-day non-elective readmission

Table 13: Odds Ratios of Frailty Score for 30-day non-elective readmission adjusted for age, gender country within each subgroup (elective and non-elective)

Elective

	Odds Ratio	Lower CI	Upper CI	P-value
(Intercept)	0.037	0.021	0.065	<0.001
Age	1.002	0.995	1.009	0.622
Sex - F	Reference			
Sex - M	1.159	1.087	1.236	<0.001
Country - Australia	Reference			
Country - Belgium	0.893	0.758	1.053	0.179
Country - Denmark	1.573	1.339	1.847	<0.001
Country - Finland	1.153	1.003	1.326	0.045
Country - Italy	0.500	0.391	0.640	<0.001
Country - Netherlands	1.174	0.988	1.395	0.068
Country - Norway	1.616	1.434	1.821	<0.001
Country - United Kingdom	1.094	0.975	1.228	0.125
Country - United States	1.323	1.168	1.498	<0.001
Admission History	1.453	1.411	1.495	<0.001
Frailty Score	1.106	1.060	1.154	<0.001

	Odds Ratio	Lower CI	Upper CI	P-value
(Intercept)	0.112	0.091	0.136	<0.001
Age	0.998	0.996	1.001	0.201
Sex - F	Reference			
Sex - M	1.167	1.137	1.198	<0.001
Country - Australia	Reference			
Country - Belgium	0.803	0.722	0.893	<0.001
Country - Denmark	1.317	1.231	1.408	<0.001
Country - Finland	0.995	0.931	1.063	0.879
Country - Italy	0.760	0.646	0.893	0.001
Country - Netherlands	0.774	0.683	0.877	<0.001
Country - Norway	1.582	1.507	1.660	<0.001
Country - United Kingdom	1.362	1.302	1.425	<0.001
Country - United States	1.274	1.211	1.340	<0.001
Admission History	1.315	1.303	1.326	<0.001
Frailty Score	1.056	1.031	1.082	<0.001

Upper Quartile Length of Stay (for country)

Table 14: Odds Ratios of Frailty Score for Upper Quartile Length of Stay (for country) adjusted for age, gender country within each subgroup (elective and non-elective)

Elective

	Odds Ratio	Lower CI	Upper CI	P-value
(Intercept)	0.065	0.045	0.094	<0.001
Age	1.016	1.011	1.020	<0.001
Sex - F	Reference			
Sex - M	0.966	0.927	1.008	0.112
Country - Australia	Reference			
Country - Belgium	0.415	0.376	0.457	<0.001
Country - Denmark	0.616	0.549	0.691	<0.001
Country - Finland	0.511	0.467	0.558	<0.001
Country - Italy	1.053	0.953	1.162	0.310
Country - Netherlands	0.763	0.691	0.843	<0.001
Country - Norway	0.767	0.713	0.825	<0.001
Country - United Kingdom	0.294	0.273	0.316	<0.001
Country - United States	0.819	0.765	0.878	<0.001
Frailty Score	1.365	1.347	1.382	<0.001

	Odds Ratio	Lower CI	Upper CI	P-value
(Intercept)	0.284	0.245	0.330	<0.001
Age	0.995	0.993	0.996	<0.001
Sex - F	Reference			<0.001
Sex - M	1.055	1.034	1.076	<0.001
Country - Australia	Reference			<0.001
Country - Belgium	1.766	1.658	1.881	<0.001
Country - Denmark	1.570	1.492	1.652	<0.001
Country - Finland	1.705	1.628	1.786	<0.001
Country - Italy	2.270	2.074	2.484	<0.001
Country - Netherlands	2.268	2.112	2.435	<0.001
Country - Norway	1.303	1.254	1.353	<0.001
Country - United Kingdom	1.508	1.459	1.559	<0.001
Country - United States	1.434	1.382	1.488	<0.001
Frailty Score	1.199	1.194	1.205	<0.001

Appendix 3: Odds Ratios for Frailty Score after adjustment for age, gender, calendar year for the outcomes of in-hospital mortality, 30-day non-elective readmission and upper quartile length of stay (for country), by elective and non-elective population groups in Hospital Episode Statistics dataset (Validation)

In-hospital mortality

Table 15: Odds Ratios of Frailty Score for in-hospital mortality adjusted for age, gender and calendar year within each subgroup (elective and non-elective)

Elective

	Odds Ratio	Lower CI	Upper CI	Z-value	P-value
(Intercept)	0.001	0.001	0.001	-338.153	0.000
Age	1.051	1.050	1.051	206.705	0.000
Sex - F	Reference				
Sex - M	1.274	1.267	1.281	84.839	0.000
Calendar Year - 2012	Reference				
Calendar Year - 2013	0.938	0.931	0.945	-16.172	0.000
Calendar Year – 2014	0.851	0.844	0.857	-40.603	0.000
Calendar Year – 2015	0.865	0.858	0.871	-36.727	0.000
Frailty Score	1.173	1.171	1.174	279.196	0.000

	Odds Ratio	Lower CI	Upper CI	Z-value	P-value
(Intercept)	0.001	0.001	0.001	-353.600	0.000
Age	1.055	1.055	1.056	227.822	0.000
Sex - F	Reference				
Sex - M	1.233	1.226	1.240	73.302	0.000
Calendar Year - 2012	Reference				
Calendar Year - 2013	0.936	0.929	0.944	-16.598	0.000
Calendar Year – 2014	0.850	0.844	0.857	-40.640	0.000
Calendar Year – 2015	0.869	0.862	0.876	-35.371	0.000
Frailty Score	1.108	1.107	1.109	315.847	0.000

30-day non-elective readmission

Table 16: Odds Ratios of Frailty Score for 30-day non-elective readmission adjusted for age, gender and calendar year within each subgroup (elective and non-elective)

Elective

_	Odds Ratio	Lower CI	Upper CI	Z-value	P-value
(Intercept)	0.055	0.054	0.057	-186.458	0.000
Age	1.011	1.010	1.011	58.247	0.000
Sex - F	Reference				
Sex - M	1.119	1.114	1.123	53.787	0.000
Calendar Year - 2012	Reference				
Calendar Year - 2013	0.994	0.989	1	-1.918	0.055
Calendar Year – 2014	1.015	1.009	1.021	5.090	0.000
Calendar Year – 2015	1.018	1.012	1.024	6.228	0.000
Previous Emergency					
Admissions	1.443	1.440	1.445	379.358	0.000
Frailty Score	1.045	1.044	1.047	77.860	0.000

<u>.</u>	Odds Ratio	Lower CI	Upper CI	Z-value	P-value
(Intercept)	0.053	0.051	0.054	-191.317	0.000
Age	1.011	1.011	1.012	62.570	0.000
Sex - F	Reference				
Sex - M	1.121	1.117	1.126	54.752	0.000
Calendar Year - 2012	Reference				
Calendar Year - 2013	0.993	0.987	0.998	-2.526	0.012
Calendar Year – 2014	1.012	1.007	1.018	4.231	0.000
Calendar Year – 2015	1.015	1.010	1.021	5.218	0.000
Previous Emergency					
Admissions	1.439	1.436	1.442	376.406	0.000
Frailty Score	1.030	1.030	1.031	85.172	0.000

Upper quartile length of stay

Table 17: Odds Ratios of Frailty Score for upper quartile length of stay adjusted for age, gender and calendar year within each subgroup (elective and non-elective)

Elective

_	Odds Ratio	Lower CI	Upper CI	Z-value	P-value
(Intercept)	0.030	0.029	0.031	-258.331	0.000
Age	1.023	1.023	1.024	143.925	0.000
Sex - F	Reference				
Sex - M	0.940	0.937	0.944	-32.930	0.000
Calendar Year - 2012	Reference				
Calendar Year - 2013	0.975	0.970	0.980	-9.874	0.000
Calendar Year – 2014	0.891	0.886	0.895	-44.736	0.000
Calendar Year – 2015	0.872	0.868	0.877	-52.705	0.000
Frailty Score	1.193	1.192	1.193	593.715	0.000

_	Odds Ratio	Lower CI	Upper CI	Z-value	P-value
(Intercept)	0.031	0.030	0.032	-255.862	0.000
Age	1.023	1.022	1.023	139.087	0.000
Sex - F	Reference				
Sex - M	0.948	0.944	0.951	-28.576	0.000
Calendar Year - 2012	Reference				
Calendar Year - 2013	0.979	0.974	0.984	-8.288	0.000
Calendar Year – 2014	0.896	0.891	0.900	-42.538	0.000
Calendar Year – 2015	0.878	0.874	0.883	-50.020	0.000
Frailty Score	1.055	1.055	1.055	602.049	0.000

Appendix 4 Case-mix adjustment for older persons utilising administrative data

Author	Year	Country	Study population	N	Data Source	Outcome	Predictors	Model performance
Von Korff et al.(1)	1991	United States	Population based pharmacy data	122911	Administrative	Mortality and hospitalisation	Consensus based Chronic Disease Score(CDS)	
Rosen et		United	Long-term facility resident (Veterans		Administrative (Patient Assessment File(PAF), Patient Treatment File(PTF), Extended Care	Decline in	International Classification of Diseases, Ninth Revision Clinical Modification (ICD-9), demographics, treatments,	AUC for decline
al.(2)	2001	States	Affairs)	39839	File(ECF))	functional status	activities of daily living	status is 0.70
Desai et al.(3)	2002	United States	≥70 admitted to geriatric service	1376	Administrative (Management Information System)	Mortality	International Classification of Diseases system version 9 (ICD-9)	AUC 0.76 for mortality in derivation and AUV 0.68 in validation)
Kautter et		United			Administrative The Medicare Current Beneficiary Survey (MCBS)		ADLs, Long-term institution	
al(4)	2004	States	Medicare	17597		Cost	status, Age	
			Individual patients aged ≥ 65, ≥ 75, and ≥ 85who had at least two		Administrative			
Dalametri		l locke el	emergency		(Hospital	Non-elective	Individual patients aged ≥	
Roland et al.(5)	2005	United Kingdom	admissions	227206	Episode Statistics)	hospital readmission	65who had at least two emergency admissions	

							Deyo-Charlson, comorbidity	
							score ≥ 2 , any prior	
						Non-elective	hospitalization 6 or more	
Inoye et		United	Primary care			hospital	primary care visits, ≥ 85 years	
	2008	States	clinic	3919	Administrative	admission	unmarried status	ALIC 0.72
al.(6)	2006	States	Clinic	3919	Administrative		unmamed status	AUC 0.73
						Resource		
						utilisation	VEC Freilty Coore (42 items	
						(number of	VES Frailty Score(13-item	
			Deffects			physician visits	function-based self-report	
			Patients			in 3 months,	questionnaire The Adjusted	
			receiving			number of ED	Clinical Groups-diagnoses	
			Comprehensive			visits in a year,	based predictive model (ACG	
			Geriatric			and number of	Dx-PM) based on age, sex,	ACG predict
Sternberg			Assessment via		Administrative;	hospitalizations	diagnostic codes, and	frailty defined by
et al.(7)	2012	Israel	OPD	221	and survey	in the year)	pharmacy data	VES - AUC 0.63
							Healthcare services, Berenson-	
							Eggers Type of Service	
							(BETOS) codes- American	
					Administrative		Medical Association's Current	
					(Medicare)		Procedural Terminology (CPT)	
					and Medicare		codes, or the CMS, Healthcare	
			US Medicare		Current		Common Procedure Coding	
			beneficiaries		Beneficiary		System (HCPCS level II) codes,	
Davidoff et		United	aged ≥ 65		Survey		demographic	AUC 0.92 for
al.(8)	2013	States	years	14788	(MCBS),	Disability Status		disability status
							AHRQ's (Agency for Healthcare	
							Research and Quality) Clinical	
					Administrative		Classification System	
					(Hospital	Non-elective	International Classification of	
Bottle et		United	Admitted with		Episode	hospital	Diseases system version 10	
al.(9)	2014	Kingdom	heart failure	84212	statistics)	readmission	(ICD-10)	
								AUC Mortality
								0.74, AUC
			US Medicare				Demographic measures,	cardiac
			beneficiaries				cardiovascular conditions,	catheterisation
			aged ≥ 65			Mortality,	comorbidities, previous	0.79, Including
Chrischilles		United	years admitted			cardiac	hospitalization, and Function	the FRIs
et al.(10)	2014	States	with acute	144112	Administrative	catheterisation	related indicators(FRI)	improved

			myocardial					prediction models
			infarction					
Ruiz et al.(11)	2015	United Kingdom	Individual patients aged ≥ 65 with hospital admission	2788900	Administrative (Hospital Episode Statistics)	Mortality, Non- elective hospital readmission, Hospital admission	≥ 65 years old, who have at least 3 simultaneous diagnoses of major clinical conditions.	
			≥ 65		Administrative and Medicare Current Beneficiary	Functional	demographics, International Classification of Diseases, Ninth Revision Clinical Modification (ICD-9) diagnosis/procedure and durable medical equipment codes for frailty-associated conditions, (Current Procedural Terminology (CPT) and	
Faurot et al.(12)	2015	United States	community dwelling	6391	Survey (MCBS)	Functional decline	Healthcare Common Procedure Coding System (HCPC))	
Hope et al.(13)	2015	United States	>70 admitted to	47427	Administrative (Medicare)	Mortality	International Classification of Diseases, Ninth Revision Clinical Modification (ICD-9) diagnosis & claims for skilled nursing facility: creation of four categories: 1)Cancer 2)Chronic Organ Failure3)Frailty4) Robust	
			>65 non- elective			Mortality, non- elective readmission,		AUC of 0.624– 0.659 for inpatient mortality, 0.63– 0.654 for institutionalisation
Soong et al.	2015	United Kingdom	admission to hospital	2 099 252	Administrative	functional decline	ICD-10 coded Frailty Syndromes	and 0.57–0.63 for 30-day

								emergency readmission.
Briggs et al.(14)	2016	Ireland	Patients admitted with dementia to single hospital	929	Administrative	Cost	International Classification of Diseases system version 10 (ICD-10)	
()			>65 years		Administrative Discharge Abstract Database, Ontario Health Insurance Plan Database, Registered Persons		John's Hopkins Adjusted Clinical Groups (ACG, Johns Hopkins University) frailty-	
McIsaac et al.(15)	2016	Canada	Elective non- cardiac surgery	202811	Database	Inpatient mortality	defining diagnoses indicator,	
Kim et al.(16)	2017	United States	≥ 65 community dwelling	10017	Administrative (Medicare) and Medicare Current Beneficiary Survey (MCBS)	Mortality, disability, mobility impairment, and recurrent falls	International Classification of Diseases, Ninth Revision Clinical Modification (ICD-9) (Current Procedural Terminology (CPT) and Healthcare Common Procedure Coding System (HCPC)) to create a frailty index	
Gilbert et al.(17)	2018	United Kingdom	>75 years elective and non-elective admissions to hospital	1 013 590	Administrative Hospital Episode Statistics	Mortality, long length of stay, non-elective readmission	ICD-10 Codes identified by cluster analysis for Bed days, Hospital costs, and ICD-10 coded Frailty Syndromes	AUC 0.60 for 30-day mortality, 0.68 for long hospital stay, 0.56 for 30-day readmission.

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