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Retrospective Review of Tertiary and Neurosyphilis Cases in Alberta, 1973 to 2017

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Retrospective Review of Tertiary and Neurosyphilis Cases in Alberta, 1973 to 2017

Authors and Affiliations:

Takaaki Landry BSc¹; Petra Smyczek MD^{1,2,3}; Ryan Cooper MD¹; Jennifer Gratrix MSc²; Lindsay Bertholet MN²; Ron Read MD PhD⁴; Barbara Romanowski MD^{1,3}; Ameeta E. Singh BMBS MSc^{1,3} ¹University of Alberta, Edmonton, Canada; ²Provincial STI Services, Alberta Health Services, Edmonton, Canada; ³Edmonton STI Clinic, Alberta Health Services, Edmonton, Canada; ⁴University of Calgary, Calgary, Alberta, Canada

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Corresponding Author:

Dr. Ameeta Singh, BMBS (UK), MSc, FRCPC

Alberta Health Services – Edmonton STI Clinic

3B20-11111 Jasper Ave

Edmonton, AB. T5K0L4

Email : ameeta@ualberta.ca

Phone : 780 342 2300 Fax : 780 425 2194

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Abstract

Objectives: To review the prevalence and characteristics of tertiary and neurosyphilis cases in Alberta, Canada in the post-antibiotic era.

Methods: A retrospective review of all neurosyphilis and tertiary syphilis cases reported in Alberta from 1973 to March 2017 was undertaken and cases classified into early neurosyphilis, late neurosyphilis and cardiovascular syphilis. Variables collected included demographics, sexual partners, HIV status, clinical parameters, symptoms and treatment. Data was analyzed using IBM SPSS Statistics Version 19.0.

Results: 254 cases were identified; 251 were neurosyphilis and 3 were cardiovascular. No cases of gummatous syphilis were reported. Early neurosyphilis accounted for 52.4% (n=133) and 46.1% (n=117) were late neurosyphilis cases; one (0.4%) case with unknown duration. Three outbreaks of infectious syphilis were identified during the study period and a concurrent rise in both early and late neurosyphilis was observed during the outbreak periods. The most common manifestation of symptomatic neurosyphilis was ocular involvement which was more likely in early neurosyphilis. Early neurosyphilis cases were more likely to be younger, Caucasian, born in Canada, HIV positive and reporting same sex partners while late neurosyphilis cases were more likely to be older, born outside of Canada and less likely to report same sex partners.

Conclusions: Our review of tertiary and neurosyphilis cases found that early and late neurosyphilis cases continue to occur in the context of cycling syphilis outbreaks. Cardiovascular syphilis cases were extremely rare. Ongoing identification of new cases of

syphilis and clinical evaluation of cases for complications continues to be important in the

Keywords: tertiary syphilis, neurosyphilis, Canada

Strengths and Limitations of this study:

context of global resurgence of syphilis.

- An important strength of our study was the consistent reporting of all cases with positive syphilis serology over the 44 year period by laboratories as well as active follow up of all cases by the provincial STI program.
- Another strength of our study is the retrospective application of current case definitions to all cases by 2 experienced STI clinicians.
- One of the limitations to the retrospective review of data is the possibility of inaccurate classification of cases due to insufficient available information.
- Additional study limitations include changes in data collection practices over time.
- Routine testing for HIV in cases of syphilis was also not conducted in earlier years and as such the number of concurrent HIV infections may have been underestimated.

Manuscript

Background

Syphilis, caused by *Treponema pallidum* subspecies *pallidum*, passes through a series of stages, including primary, secondary, latent and tertiary syphilis if left untreated.¹ Based on data from the pre-antibiotic era, about a third of persons with untreated latent syphilis will develop late neurosyphilis, cardiovascular syphilis or gummatous syphilis.² Gummatous syphilis is characterized by the development of indolent granulomatous lesions³ which typically affect the skin, liver, and bone but can also involve other parts of the body.⁴ Syphilitic aortitis is the most common manifestation of cardiovascular syphilis and typically involves the ascending aorta.⁴⁻⁶

Neurosyphilis can occur at any stage of syphilis.^{1,7} It is classified into early and late forms.¹ Early neurosyphilis affects the cerebrospinal fluid (CSF), cerebral blood vessels, and meninges more often than the brain or spinal cord parenchyma. Typically, manifestations occur within weeks to a few years after primary infection and may occur at the same time as primary or secondary syphilis, or may be asymptomatic. Manifestations may include meningitis with or without cranial nerve involvement, meningovascular disease or stroke. Late neurosyphilis can remain asymptomatic or progress to meningovascular syphilis, tabes dorsalis, or general paresis. Late neurosyphilis is extremely rare in the antibiotic era and usually occurs years to decades after primary infection.1^{,8} HIV infection may affect the natural course of disease as atypical presentations and rapid progression of syphilis in HIV positive individuals has been reported.⁹⁻¹³

In the pre-antibiotic era, an estimated one third of untreated persons developed tertiary syphilis¹⁴ with about 15% progressing to gummatous disease (1-46 years post-infection), 10% to cardiovascular syphilis (20-30 years after infection), and 4-14% to late neurosyphilis (2-50 years after infection).¹ After the introduction of penicillin in the 1940s, the number of cases of syphilis plummeted in the United States, reaching a nadir in 2000.¹ Nowadays, tertiary syphilis is a rare disease due to easy and effective treatment of infectious and latent syphilis. Antibiotic use for other infections is also likely a factor.

In Canada, syphilis (all stages) has been nationally notifiable since 1924. However national reports only include data on infectious syphilis, since only these cases are of major public health significance.¹⁵ In Alberta, all cases of syphilis, including tertiary and neuro-syphilis have been notifiable to a centralized program under the Public Health Act since 1921. Syphilis rates have fluctuated over the last fifty years with a rise in rates during outbreak periods. Since 2000, rates of infectious syphilis have increased dramatically in Alberta (0.6/100,000 population in 2000 to 12.5/100,000 population in 2017), with the most recent resurgence among men who have sex with men (MSM) and up to 30% of patients co-infected with HIV (personal communication Jennifer Gratrix, Provincial STI Services, Alberta Health Services).¹⁶

There are few data on the prevalence and characteristics of tertiary and neuro-syphilis cases in the post antibiotic era. We are aware of only one study from the Netherlands which estimated that 10-13% of all syphilis cases from 1999-2010 had neurosyphilis; these data were limited by the fact that the diagnostic criteria used for neurosyphilis was based on hospital discharge

diagnosis rather than clinical examination or laboratory criteria.¹⁷ We sought to determine the prevalence and characteristics of reported cases of tertiary and neurosyphilis in Alberta from 1973 onwards.

Methods

A retrospective review was conducted of all tertiary and neurosyphilis cases in Alberta (current population 4.3 million) from 1973 to March 2017. All cases of syphilis are reportable by laboratories and clinicians to Provincial STI Services under the Alberta Public Health Act. Cases were classified as defined in Table 1.¹⁸

Table 1: Case Definitions Used for Diagnosis of Neurosyphilis and Tertiary Syphilis (Adapted from¹⁹:

Syphilis stage	Definition
Tertiary syphilis	Reactive treponemal serology together with characteristic late
	abnormalities of the cardiovascular system, bone, skin or other
	structures, in the absence of other known causes of these abnormalities
	and no clinical or laboratory evidence of neurosyphilis
Early Neurosyphilis	Laboratory confirmation of primary, second or early latent syphilis and
(< 1 year after	i) reactive CSF-VDRL in non-bloody CSF
infection)	AND/OR
Asymptomatic	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF

	protein (>45 mg/dL) in the absence of other known cause
	AND
	NO signs or symptoms of neurosyphilis
Early Neurosyphilis	Laboratory confirmation of primary, second or early latent syphilis a
(< 1 year after	i) reactive CSF-VDRL in non-bloody CSF
infection)	AND/OR
Symptomatic	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known cause
	AND
	clinical signs or symptoms of neurosyphilis*
Late neurosyphilis	Reactive treponemal serology (not staged as primary, secondary or e
(>1 year after	latent syphilis) and
infection)	i) reactive CSF-VDRL in non-bloody CSF
Asymptomatic	AND/OR
	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known cause
	AND
	NO clinical signs or symptoms of neurosyphilis

(>1 year after	latent syphilis) and
infection)	iii) reactive CSF-VDRL in non-bloody CSF
Symptomatic	AND/OR
	iv) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes
	AND clinical signs or symptoms of neurosyphilis*

*if ocular or otic signs or symptoms present with a normal CSF examination, patient was classified as symptomatic neurosyphilis (early or late)

Serological testing for syphilis changed during the study period, with reverse sequence syphilis screening (RSSS) using an enzyme immunoassay being introduced in September 2017; prior to this a quantitative Rapid Plasma Reagin (RPR) was used. Since the criteria for classifying neurosyphilis evolved over time, all neurosyphilis cases during the study period were reviewed by two STI physicians and classified into early asymptomatic, early symptomatic, late asymptomatic, late symptomatic neurosyphilis cases; disagreement between the classifications of cases was resolved by consensus between the two physicians (PS and AES).

Reported rates of other stages of syphilis prior to 2000 were obtained from historical surveillance reports from Alberta STI Services. Population denominators were obtained through government population estimates.¹⁹

Variables collected for analysis included demographics, sexual partners, HIV status (testing available since 1985²⁰ and recommended for all syphilis cases once serology available), diagnosis date, clinical parameters, symptoms, and treatment. Variables for cases diagnosed prior to 2004 were captured through chart review, while variables for cases diagnosed after 2004 were extracted from the provincial STI surveillance system. Client reported symptoms were broken into six categories (not mutually exclusive) based on system involvement: ocular (e.g. uveitis, retinal, vision loss), auditory (e.g. hearing loss, tinnitus), stroke, peripheral neuropathy (e.g. impaired gait, numbness), central nervous system (e.g. aphasia, ataxia), and cognitive impairment (e.g. dementia, psychosis).

Treatment data was divided into 3 mutually exclusive categories based on the following minimum treatments: 1) penicillin G 3-4 million units IV q 4 h (18-24 million units/day) for 10 - 14 days, 2) ceftriaxone 2 g IV/IM daily x 10-14 days, 3) Other, which included drugs like chloramphenicol, doxycycline, tetracycline, benzathine penicillin G- long acting, reduced doses of penicillin G or ceftriaxone.

Analysis was stratified by stage of syphilis to compare early and late neurosyphilis by the previously listed variables using Chi-square or Fisher's exact for categorical variables and Mann-Whitney tests for continuous variables. Missing data was categorized as unknown and included in the analysis. As well, each syphilis stage was stratified by asymptomatic and symptomatic for comparison. Associations over time (collection decade) were analyzed using linear association.

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Significant changes in rates over time were assessed using a linear trend model. The significance was set at *p*-value of <0.05. Data was analyzed using IBM SPSS Statistics version 19.0 (IBM, Armonk, NY, USA). This study was approved by the University of Alberta Health Research Ethics Board (Approval Number: Pro00075972).

Patient and Public Involvement

Patients were not involved in the design of this research study.

Results

A total of 254 cases were identified during the study period, of which 251 were neurosyphilis and 3 were cardiovascular (CV) cases; one case of CV syphilis was reported in each of the following years: 1976, 1979, and 1984. No cases of gummatous syphilis were reported during this time period. The neurosyphilis cases were evenly divided as early (52.4%; n=133) and late (46.1%; n=117), with one additional case of unknown duration. Three individuals were diagnosed with two distinct episodes of neurosyphilis over the course of the reporting period. All three of these individuals were men who reported same sex partners, all six episodes were diagnosed between 2005 and 2014, and all categorized as early neurosyphilis. Two of these men were co-infected with HIV.

Between 1975 and 2016, 8,874 total cases of syphilis were reported in Alberta. Of these, 4513 (51%) were infectious (i.e. staged as primary, secondary, early latent) and 4361 (49%) were classified as non-infectious (i.e. late latent, tertiary). Over the time period, three outbreaks of

infectious syphilis were identified (Figure 1). The first outbreak (defined as an increase in cases of two standard deviations above the baseline) occurred between 1981 and 1987, the second outbreak commenced in 2000 and declined in 2011, and a third outbreak began in 2015 and continues. Of the infectious syphilis cases, 2.8% (n=128) were staged as early neurosyphilis. When plotting the rate of early neurosyphilis cases against infectious syphilis cases, significant rises were seen during the outbreak periods (outbreak #2, p<0.001; Figure 2). Of the noninfectious syphilis cases staged during this time, 2.6% (115/4316) were staged with late neurosyphilis. When plotting the rate of late neurosyphilis against infectious syphilis during the outbreak periods, a significant rise in late neurosyphilis cases was also noted toward the end of the outbreak periods (outbreak #2, p=0.02).

Early neurosyphilis cases were significantly younger, more likely to be Caucasian, born in Canada, diagnosed in recent decades (2010's), reported same sex partners, and HIV positive as compared to late neurosyphilis cases (Table 2).

Ea	rly Neurosy	La		Compar				
Asympto	Sympto	Total	p-	Asympto	Sympto	Total	p-	ison of
matic	matic	(n=1	Valu	matic	matic	(n=1	Valu	Early
(n=28)	(n=105)	33)	е	(n=47)	(n=70)	17)	е	and
								Late p-
								Value

Table 2: Characteristics of Early and Late Neurosyphilis (Alberta, 1973 to March 2017; n=250)

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Median	40 (32-	47 (39-	44	0.02	45 (32-	64 (53-	58	<0.0	<0.00
Age	46)	55)	(36-		65)	75)	(45-	01	
(IQR)			54)				70)		
			54)				70)		
Gender									
Female	8 (28.6)	12 (11.4)	20	0.02	6 (12.8)	15 (21.4)	21	0.23	0.54
			(15.0				(17.0		
			(15.0				(17.9		
		0.))		
Male	20 (71.4)	93 (88.6)	113		41 (87.2)	55 (78.6)	96		
			(85.0				(82.1		
			(85.0				(02.1		
)	4)		
Ethnicity									
Indigeno	6 (21.4)	6 (5.7)	12	0.00	6 (12.8)	3 (4.3)	9	0.44	<0.00
us			(9.0)	1			(7.7)		
Caucasia	10 (35.7)	78 (74.3)	88		14 (29.8)	23 (32.9)	37		
n			(66.2			\mathbf{O}	(31.6		
			(0012			5	(0110		
))		
Other	3 (10.7)	4 (3.8)	7		16 (34.0)	27 (38.6)	43		
	- (- /	()			- (/	()	_		
			(5.3)				(36.8		
)		
	0 (22.1)	17 (10 2)	20		11 (22 4)	17 (24 2)	20		
Unknow	9 (32.1)	17 (16.2)	26		11 (23.4)	17 (24.3)	28		
n			(19.5				(23.9		

))		
Municip									
ality									
Calgary	9 (32.1)	32 (30.5)	41	0.62	10 (21.3)	22 (31.4)	32	0.46	0.59
			(30.8				(27.4		
		\sim))		
Edmont	15 (53.6)	48 (45.7)	63		28 (59.6)	35 (50.0)	63		
on			(47.4				(53.8		
))		
Other	4 (14.3)	25 (23.8)	29	4	9 (19.1)	13 (18.6)	22		
			(21.8	1			(18.8		
)	C	4.)		
Country					0				
of Birth					2				
Canada	16 (57.1)	56 (53.3)	72	0.23	23 (48.9)	16 (22.9)	39	0.01	<0.001
			(54.1				(33.3		
))		
Outside	5 (17.9)	9 (8.6)	14		17 (36.2)	36 (51.4)	53		
of			(10.5				(45.3		
Canada))		
Unknow	7 (25.0)	40 (38.1)	47		7 (14.9)	18 (25.7)	25		

n			(35.3				(21.4		
))		
Decade									
of									
Diagnosi									
S		~							
1970's	1 (3.6)	1 (1.0)	2	0.00	4 (8.5)	1 (1.4)	5	0.29	<0.00
			(1.5)	1			(4.3)		
1980's	6 (21.4)	3 (2.9)	9		13 (27.7)	15 (21.4)	28		
			(6.8)	4			(23.9		
)		
1990's	3 (10.7)	5 (4.8)	8		6 (12.8)	9 (12.7)	15		
			(6.0)		Q,		(12.8		
					2)		
2000's	8 (28.6)	28 (26.7)	36		4 (8.5)	20 (28.6)	24		
			(27.1				(20.5		
))		
2010's	10 (35.7)	68 (64.8)	78		20 (42.6)	25 (35.7)	45		
			(58.6				(38.5		
))		
Sexual									

Partners									
heterose	12 (42.9)	46 (43.8)	58	1.00	30 (63.8)	38 (54.3)	68	0.00	<0.002
xual			(43.6				(58.1	2	
))		
Same	14 (50.0)	52 (49.5)	66		11 (23.4)	5 (7.1)	16		
Sex		$\mathbf{\wedge}$	(49.6				(13.7		
		Ò,))		
Unknow	2 (7.1)	7 (6.7)	9		6 (12.8)	27 (38.6)	33		
n			(6.8)				(28.2		
			$\mathbf{\hat{O}}$	4)		
ΗΙν									
Status				C	4.				
Negative	5 (17.9)	68 (64.8)	73	<0.0	19 (40.4)	27 (38.6)	46	0.31	<0.00
			(54.9	01	1		(39.3		
)			0,)		
Positive	17 (60.7)	30 (28.6)	47		8 (17.0)	6 (8.6)	14		
			(35.3				(12.0		
))		
Unknow	6 (21.4)	7 (6.7)	13		20 (42.6)	37 (52.9)	57		
n			(9.8)				(48.7		
)		

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Treatme									
nt									
Penicillin	22 (78.6)	82 (78.1)	104	0.87	21 (44.7)	63 (90.0)	84	<0.0	0.43
G			(78.2				(71.8	01	
))		
Ceftriax	3 (10.7)	14 (13.3)	17		12 (25.5)	5 (7.1)	17		
one		Ò,	(12.8				(14.5		
))		
Other	3 (10.7)	9 (8.6)	12		14 (29.8)	2 (2.9)	16		
			(9.0)	4			(13.7		
				~	D .)		

Among early neurosyphilis cases, 79.0% (n=105) were symptomatic; symptomatic cases were more likely to be older, male, Caucasian, recently diagnosed (2010's), and HIV negative compared to asymptomatic cases. Among late neurosyphilis cases, 59.8% (n=70) were symptomatic; symptomatic cases were more likely to be older, born outside of Canada, and treated with intravenous penicillin G, and less likely to have a same sex partner as compared to asymptomatic cases.

The majority (78.2%; n=136) of symptomatic cases reported a single manifestation. The most common clinical manifestation of the symptomatic cases (39.4%; n=69) was ocular involvement; early neurosyphilis cases were more likely to have ocular involvement (54.3%;

n=57) than late neurosyphilis cases (n=12; 17.1%; p<0.001). The first case of ocular syphilis was reported in 1990 with the majority (66.7%; n=46) of cases being diagnosed between 2010 and 2017. The second most common (34.3%; n=60) manifestation of symptomatic neurosyphilis was cognitive impairment with significantly more late neurosyphilis cases (55.7%; n=39) reporting these symptoms than early cases (20.0%; n=21; p<0.001). One-quarter of symptomatic cases reported peripheral involvement (26.9%; n=47), seven (4.0%) reported strokes and seven cases with central nervous system manifestations like ataxia, aphasia, and reduced level of consciousness. Eleven (6.3%) cases reported auditory symptoms; all cases were diagnosed with early infection (p=0.003). Thirteen (7.4%) cases reported other symptoms like headache or no specific symptoms.

Although the first HIV co-infected case was reported in 1986, over one-half (57.4%; n=35) of HIV co-infected cases were reported between 2010 and 2017. The majority (62.2%; n= 46) of cases with an unknown HIV status occurred between the 1970's and 1980's, prior to the clinical availability of diagnostic serology. As well cases that had HIV test results were significantly younger (47 years; IQR: 37-55) than cases without HIV test results (64 years; IQR: 44-70; p<0.001).

Thirty-six (14.2%) of all the cases were diagnosed without a lumbar puncture result. Nearly all of these clinical cases (97.2%; n=35) were symptomatic. The remaining asymptomatic case was diagnosed based on an inadequate fall in RPR titres over time. There was no significant difference by HIV status for those who had and did not have a lumbar puncture (p=0.62).

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Clinical parameters by HIV status are outlined in Figure 3. Of the 2 HIV-positive and 6 HIVnegative cases with negative clinical parameters, all were symptomatic cases.

The majority (74.4%; n=189) of all cases were treated with intravenous penicillin G. Asymptomatic late neurosyphilis cases were less likely to be treated with penicillin G (44.7%; n=21) as compared to late neurosyphilis (90.0%; n=63; p<0.001). There was a rise in the use of ceftriaxone in late neurosyphilis treatment from no use in the 1970's to 1990's, to 12.5% (n=3) in 2000's and 31.1% (n=14) in the 2010's. Other drug combinations for neurosyphilis was highest in the 1970's (85.7%; n=6) and dropped to a low of 4.3% (n=1) in the 1990's.

Discussion

A review of the trends in reported cases of infectious syphilis from 1975 to 2016 in Alberta shows a cycling in the number of cases over time. During this time period, the first major outbreak of infectious syphilis occurred between 1981 and1987, with the majority of cases between 1983 and 1985.²¹ A quiescent period of approximately two decades followed with a resurgence in infectious cases in 2000 followed by a decline in 2011 and then another rise in 2015. These observations are consistent with a study of long term trends in reported primary and secondary syphilis cases in the United States which showed recurrent peaks and troughs in approximately 10-year cycles.²² This pattern of periodic resurgence of syphilis has variously been attributed to either failure to sustain control efforts, changing risk behaviours (such as crack cocaine use), and waxing and waning partial host immunity to infection at the population level.^{23,24} Interestingly, our province observed a 20-year gap between the outbreak in the mid

1980s and the mid 2000s. The reasons for this prolonged gap are unclear but are likely multifactorial including a well-established and sustained prevention and control program for STIs in the province, emergence of HIV and the mass education that occurred during this time period. Our observed rising rates of infectious syphilis since the mid-2000s are consistent with many jurisdictions across Canada and the United States.^{15,25} The rise in late latent syphilis in 2007 has been attributed to the introduction of RSSS.²⁶

Some studies have reported a rise in cases of neurosyphilis related to outbreaks of infectious syphilis. One possible explanation for this is that the overall rise in rates of infectious syphilis could potentially increase the pool of persons progressing to neurosyphilis and tertiary syphilis. For example, a study conducted in British Columbia, one of Alberta's neighboring provinces, reported that in the context of rising rates of infectious syphilis, the neurosyphilis rate was 0.03 per 100,000 in 1992 and increased 27-fold to 0.8 per 100,000 in 2012.²⁷ Investigators from Guangdong province in China similarly reported an incidence rate increase in neurosyphilis cases from 0.21 cases per 100,000 persons in 2009 to 0.31 cases per 100,000 persons in 2014 and in tertiary cases from 0.28 cases per 100,000 persons in 2009 to 0.36 cases per 100,000 persons in 2014.²⁸ Neither of these studies, however, distinguished between early and late neurosyphilis cases. In our review, we observed a significant rise in early neurosyphilis during the outbreak periods and a significant decline after the outbreak periods, e.g. only one case was observed in 2012 after the second outbreak. We had expected to see a sustained increase in late neurosyphilis cases based on the hypothesis that the number of untreated infected persons with syphilis would increase over time but there was no significant increase during the

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overall observation period. Interestingly, a rise in late neurosyphilis cases was observed towards the end of the outbreak periods, perhaps due to heightened awareness and increased testing due to public health announcements during the outbreak periods.

Early neurosyphilis cases were more likely to be younger, Caucasian, born in Canada, HIV positive and reporting same sex partners. These observations parallel the observed rise in infectious syphilis during the third outbreak and may also be related to selection bias since lumbar punctures were more likely to be performed in HIV positive persons in the early years, especially those with low CD_4 counts (<350) and/or RPR \geq 1:32 dilutions as recommended in the Canadian STI Guidelines.²⁹

The most common manifestation (40%) of symptomatic neurosyphilis was ocular involvement with cases of early neurosyphilis more likely than cases of late neurosyphilis to have ocular involvement (54% vs 17%, p<0.001). Two-thirds of ocular cases were reported between 2010 and 2017, with 46.4% reported among MSM, similar to other studies.³⁰ Late neurosyphilis cases were more likely to be older, born outside of Canada and less likely to report same sex partners, paralleling the demographics of late latent cases of syphilis in our province (data not shown).

Our study identified very few (n=3) cardiovascular cases of tertiary syphilis with all cases identified at the time of a diagnosis of aortic aneurysm. This likely represents an underestimate in the actual number of cases of CV syphilis as we suspect that most patients with aortic aneurysm or initial cardiovascular involvement do not have syphilis testing performed. In

Alberta, the provincial STI program facilitates the assessment of all late stage syphilis cases by a physician who then conducts a neurological and cardiovascular examination. A chest radiograph, recommended in the past in some jurisdictions to look for linear calcification of the ascending aorta, a radiological sign of syphilitic aortitis is not routinely done. Chest radiographs for the evaluation of CV syphilis in asymptomatic patients with LLS is of such low yield, that it is not routinely recommended.³¹ Neither clinical examination nor chest radiograph is likely to be sensitive enough to identify cases of CV syphilis and given the presumed rarity of this condition and that the treatment is the same as for LLS, further evaluations (e.g. echocardiograms) are not warranted.

No cases of gummatous syphilis were reported during our study period. Although syphilitic gummas were reported in up to 15% cases in the pre-antibiotic era, it is possible that the widespread use of antibiotics for other conditions, which may indirectly treat or partially treat syphilis, has affected the occurrence.

One of the strengths of our study is that there was consistent reporting of all cases with positive syphilis serology over time by laboratories and active follow up by the provincial STI program with health care providers. We were able to apply current case definitions retrospectively to all cases; however, one of the limitations to retrospective review of data is the possibility of inaccurate classification of cases. Our review by two experienced medical consultants resulted in only one case where insufficient information was available to classify the case with reasonable accuracy. Additional study limitations include changes in data

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collection practices over time. The information about gender of sex partners may have been inaccurate in earlier years due to stigma associated with same sex partners. Routine testing for HIV in cases of syphilis was also not conducted in earlier years and as such the number of concurrent HIV infections may be underestimated.

In summary, our review of tertiary and neurosyphilis cases in Alberta over a 44-year period found that early and late neurosyphilis cases continue to occur in the context of cycling of infectious syphilis outbreaks. Ocular disease was the most common manifestation of neurosyphilis in our study. On the other hand, cardiovascular syphilis was extremely rare and no cases of gumma were identified. Ongoing identification of syphilis cases with prompt treatment and follow up continues to be important in the context of resurgence of infectious syphilis worldwide.

Author contributions: TL reviewed hard copy records of all cases and conducted data entry into an Excel file; PS and AS reviewed and re-classified all cases; JG conducted data analysis; JG and AS drafted initial versions of the manuscript; all authors helped develop the study design and reviewed drafts of the manuscript.

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Figure 1. Rate per 100,000 Population of Syphilis by Type and Diagnosis Year (Alberta, Canada, 1975-2016)

Figure 2. Rates of Early and Late Neurosyphilis during 2 Different Outbreak Periods with Linear

Trend Line (Alberta, 1975-2016)

Figure 3: Algorithm of diagnosis of neurosyphilis among HIV-positive and HIV-negative cases

(Alberta, 1975-March 2017)

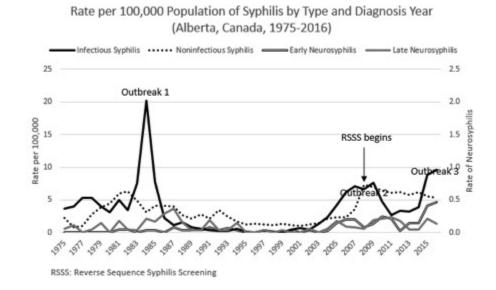


Figure 1. Rate per 100,000 Population of Syphilis by Type and Diagnosis Year (Alberta, Canada, 1975-2016)

(Alberta, 1975-1986; p=0.04)

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Year

(Alberta, 2000-2016; p=0.02)

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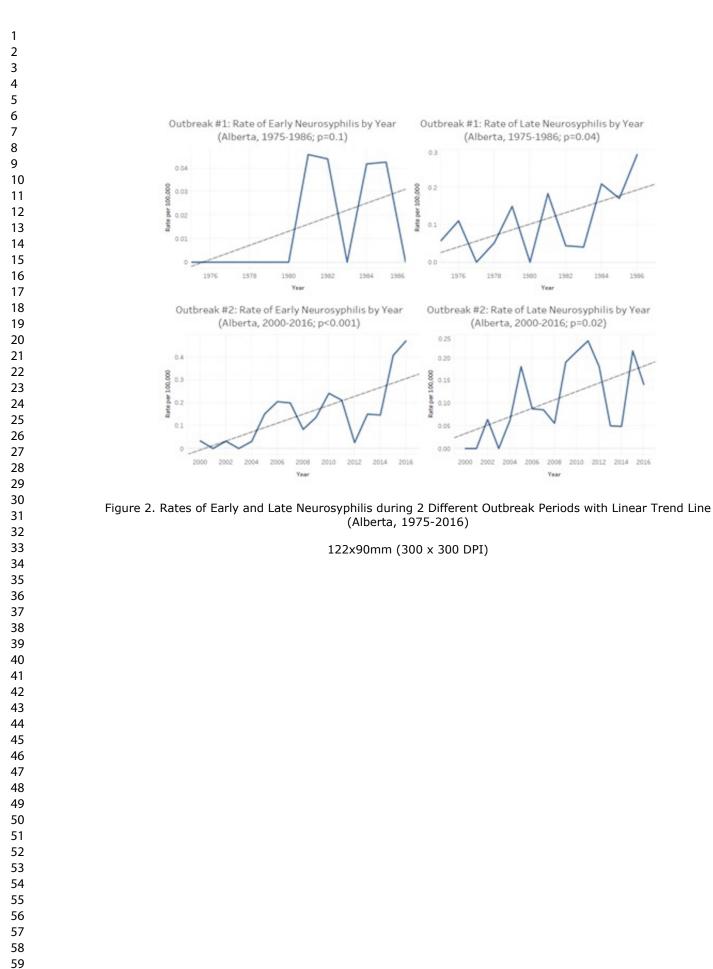
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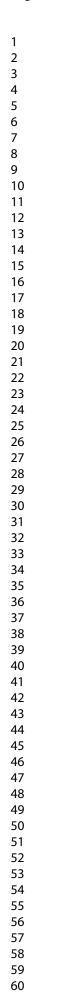
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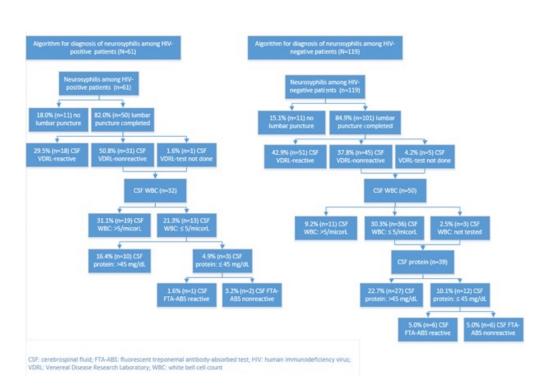


Figure 3: Algorithm of diagnosis of neurosyphilis among HIV-positive and HIV-negative cases (Alberta, 1975-March 2017)

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	Item No	Recommendation	Reported on page #
Title and abstract	1	(<i>a</i>) Indicate the study's design with a commonly used term in the title or	1
		the abstract	
		(b) Provide in the abstract an informative and balanced summary of what	2
		was done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being	4-5
		reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	5-6
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of	6
		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection	6
T		of participants	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders,	6-7
		and effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods	7
measurement		of assessment (measurement). Describe comparability of assessment	
		methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	6
Quantitative	11	Explain how quantitative variables were handled in the analyses. If	7
variables		applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	7
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	7
		(d) If applicable, describe analytical methods taking account of sampling	N/A
		strategy	
		(<u>e</u>) Describe any sensitivity analyses	N/A
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers	7-8
		potentially eligible, examined for eligibility, confirmed eligible, included	
		in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical,	Table 2
		social) and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of	Table 2
		interest	
Outcome data	15*	Report numbers of outcome events or summary measures	8
Main results	16	(<i>a</i>) Give unadjusted estimates and, if applicable, confounder-adjusted	
		estimates and their precision (eg, 95% confidence interval). Make clear	
		which confounders were adjusted for and why they were included	

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		(b) Report category boundaries when continuous variables were	Table 2
		categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute	N/A
		risk for a meaningful time period	
Other analyses	17	Report other analyses done-eg analyses of subgroups and interactions,	Figure 2
		and sensitivity analyses	8-9
Discussion			
Key results	18	Summarise key results with reference to study objectives	11-12
Limitations	19	Discuss limitations of the study, taking into account sources of potential	14
		bias or imprecision. Discuss both direction and magnitude of any potential	
		bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	15
		limitations, multiplicity of analyses, results from similar studies, and other	
		relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	15
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study	3
		and, if applicable, for the original study on which the present article is	
		based	

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Retrospective Review of Tertiary and Neurosyphilis Cases in Alberta, 1973 to 2017

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BMJ Open

Retrospective Review of Tertiary and Neurosyphilis Cases in Alberta, 1973 to 2017

Authors and Affiliations:

Takaaki Landry BSc¹; Petra Smyczek MD^{1,2,3}; Ryan Cooper MD¹; Jennifer Gratrix MSc²; Lindsay

Bertholet MN²; Ron Read MD PhD⁴; Barbara Romanowski MD^{1,3}; Ameeta E. Singh BMBS MSc^{1,3}

¹University of Alberta, Edmonton, Canada; ²Provincial STI Services, Alberta Health Services,

Edmonton, Canada; ³Edmonton STI Clinic, Alberta Health Services, Edmonton, Canada;

⁴University of Calgary, Calgary, Alberta, Canada

Key Words: Syphilis, neurosyphilis, epidemiology, tertiary syphilis

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Competing Interests: The authors declare no competing interests.

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Corresponding Author:

Dr. Ameeta Singh, BMBS (UK), MSc, FRCPC

Alberta Health Services – Edmonton STI Clinic

3B20-11111 Jasper Ave

Edmonton, AB. T5K0L4

Email : ameeta@ualberta.ca

Phone : 780 342 2300 Fax : 780 425 2194

Word Count: Abstract:280; Manuscript:3207; Tables: 3; Figures: 3; References: 32

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2 3 4	1	Abstract
5 6 7	2	Objectives: To review the notification rate and characteristics of tertiary and neurosyphilis
7 8 9	3	cases in Alberta, Canada in the post-antibiotic era.
10 11	4	Methods: A retrospective review of all neurosyphilis and tertiary syphilis cases reported in
12 13 14	5	Alberta from 1973 to March 2017 was undertaken and cases classified into early neurosyphilis,
15 16	6	late neurosyphilis and cardiovascular syphilis. Variables collected included demographics,
17 18	7	sexual partners, HIV status, clinical parameters, symptoms and treatment and distributions
19 20 21	8	were compared between early versus late neurosyphilis and asymptomatic versus symptomatic
22 23	9	cases (stratified by early versus late stage). Data was analyzed using IBM SPSS Statistics Version
24 25 26	10	19.0.
27 28	11	Results: 254 cases were identified; 251 were neurosyphilis and 3 were cardiovascular. No cases
29 30 31	12	of gummatous syphilis were reported. Early neurosyphilis accounted for 52.4% (n=133) and
32 33	13	46.1% (n=117) were late neurosyphilis cases; one (0.4%) case with unknown duration. Three
34 35	14	outbreaks of infectious syphilis were identified during the study period and a concurrent rise in
36 37 38	15	both early and late neurosyphilis was observed during the outbreak periods. The most
39 40	16	common manifestation of symptomatic neurosyphilis was ocular involvement which was more
41 42 43	17	likely in early neurosyphilis. Relative to late neurosyphilis cases, early neurosyphilis cases were
44 45	18	more likely to be younger, Caucasian, born in Canada, HIV positive and reporting same sex
46 47	19	partners while late neurosyphilis cases were more likely to be older, born outside of Canada
48 49 50	20	and less likely to report same sex partners.
51 52	21	Conclusions: Our review of tertiary and neurosyphilis cases found that early and late
53 54 55	22	neurosyphilis cases continue to occur in the context of cycling syphilis outbreaks.
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58 59 60		3 For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

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3 4	23	Cardiovascular syphilis cases were extremely rare. Ongoing identification of new cases of
5 6 7	24	syphilis and clinical evaluation of cases for complications continues to be important in the
7 8 9	25	context of global resurgence of syphilis.
10 11	26	
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15 16	28	Keywords: tertiary syphilis, neurosyphilis, Canada
17 18 19	29	
20 21	30	Strengths and Limitations of this study:
22 23 24	31	An important strength of our study was the consistent reporting of all cases with positive
25 26	32	syphilis serology over the 44 year period by laboratories as well as active follow up of all cases
27 28	33	by the provincial STI program.
29 30 31	34	Another strength of our study is the retrospective application of current case definitions to all
32 33	35	cases by 2 experienced STI clinicians.
34 35	36	One of the limitations to the retrospective review of data is the possibility of inaccurate
36 37 38	37	classification of cases due to insufficient available information.
39 40	38	Additional study limitations include changes in testing policies and practices, as well as changes
41 42	39	in social norms over time.
43 44	40	Routine testing for HIV in cases of syphilis was also not conducted in earlier years and as such
45 46 47	41	the number of concurrent HIV infections may have been underestimated.
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3 4	46	Manuscript					
5 6 7	47	Background					
, 8 9	48	Syphilis, caused by Treponema pallidum subspecies pallidum, passes through a series of stages,					
10 11 12 13 14	49	including primary, secondary, latent, and tertiary syphilis if left untreated. ¹ Based on data from					
	50	the pre-antibiotic era, about a third of persons with untreated latent syphilis will develop late					
15 16	51	neurosyphilis, cardiovascular syphilis or gummatous syphilis. ² Gummatous syphilis is					
17 18 19	52	characterized by the development of indolent granulomatous lesions ³ which typically affect the					
20 21	53	skin, liver, and bone but can also involve other parts of the body. ⁴ Syphilitic aortitis is the most					
22 23 24	54	common manifestation of cardiovascular syphilis and typically involves the ascending aorta. ⁴⁻⁶					
25 26	55						
27 28 29	56	Neurosyphilis can occur at any stage of syphilis. ^{1,7} It is classified into early and late forms. ¹ Early					
30 31	57	neurosyphilis affects the cerebrospinal fluid (CSF), cerebral blood vessels, and meninges more					
32 33 34	58	often than the brain or spinal cord parenchyma. Typically, manifestations occur within weeks to					
35 36	59	a few years after primary infection and may occur at the same time as primary or secondary					
37 38	60	syphilis, or may be asymptomatic. Manifestations may include meningitis with or without					
39 40 41	61	cranial nerve involvement, meningovascular disease or stroke. Late neurosyphilis can remain					
42 43	62	asymptomatic or progress to meningovascular syphilis, tabes dorsalis, or general paresis. Late					
44 45 46	63	neurosyphilis is extremely rare in the antibiotic era and usually occurs years to decades after					
47 48	64	primary infection. ^{1,8} HIV infection may affect the natural course of disease as atypical					
49 50 51	65	presentations and rapid progression of syphilis in HIV positive individuals has been reported. ⁹⁻¹³					
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In the pre-antibiotic era, an estimated one third of untreated persons developed tertiary syphilis with about 15% progressing to gummatous disease (1-46 years post-infection), 10% to cardiovascular syphilis (20-30 years after infection), and 4-14% to late neurosyphilis (2-50 years after infection).¹ After the introduction of penicillin in the 1940s, the number of cases of syphilis plummeted in the United States, reaching a nadir in 2000.¹ Nowadays, tertiary syphilis is a rare disease due to easy and effective treatment of infectious and latent syphilis. Antibiotic use for other infections is also likely a factor. In Canada, syphilis (all stages) has been nationally notifiable since 1924. However national reports only include data on infectious syphilis, since only these cases are of major public health significance.¹⁴ In Alberta, all cases of syphilis, including tertiary and neuro-syphilis have been notifiable to a centralized program under the Public Health Act since 1921. Syphilis notification rates have fluctuated over the last fifty years with a rise in notification rates during outbreak periods. Since 2000, notification rates of infectious syphilis have increased dramatically in Alberta (0.6/100,000 population in 2000 to 12.5/100,000 population in 2017), with the most recent resurgence among men who have sex with men (MSM) and up to 30% of patients co-infected with HIV (personal communication Jennifer Gratrix, Provincial STI Services, Alberta Health Services).¹⁵ There are few data on the prevalence and characteristics of tertiary and neuro-syphilis cases in the post antibiotic era. We are aware of only one study from the Netherlands which estimated that 10-13% of all syphilis cases from 1999-2010 had neurosyphilis; these data were limited by

3 4	89	the fact that the diag	nostic criteria used for neurosyphilis was based on hospital discharge					
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7 8 9	91	00 diagnosis rather than clinical examination or laboratory criteria. ¹⁶ We sought to determine the 01 notification rate and characteristics of reported cases of tertiary and neurosyphilis in Alberta 02 from 1973 onwards. 03 Methods 04 A retrospective review was conducted of all tertiary and neurosyphilis cases in Alberta (current 05 A retrospective review was conducted of all tertiary and neurosyphilis cases in Alberta (current 06 population 4.3 million) from 1973 (when syphilis data was first available by staging) to March 07 2017 (most recent cases staged at time of data collection). All cases of syphilis are reportable by 08 laboratories and clinicians to Provincial STI Services under the Alberta Public Health Act. A 09 paper chart was created for each syphilis case containing laboratory results, medical 000 were also entered into a provincial surveillance database. Cases were classified as defined 011 in Table 1. ¹²⁷ 012 Table 1. Case Definitions Used for Diagnosis of Neurosyphilis and Tertiary Syphilis (Adapted 015 from ¹⁷ : 016 Periary syphilis 017 Reactive treponemal serology together with characteristic late 018 abnormalities of the cardiovascular system, bone, skin or other </td						
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13	90 diagnosis rather than clinical examination or laboratory criteria. ¹⁶ We sought to determine the 91 notification rate and characteristics of reported cases of tertiary and neurosyphilis in Alberta 92 from 1973 onwards. 93 Methods 94 Methods 95 A retrospective review was conducted of all tertiary and neurosyphilis cases in Alberta (curre 96 population 4.3 million) from 1973 (when syphilis data was first available by staging) to March 97 2017 (most recent cases staged at time of data collection). All cases of syphilis are reportable 98 laboratories and clinicians to Provincial STI Services under the Alberta Public Health Act. A 99 paper chart was created for each syphilis case containing laboratory results, medical 100 correspondence, syphilis-relevant history, clinical findings, and staging. Cases diagnosed since 101 2000 were also entered into a provincial surveillance database. Cases were classified as defir 102 in Table 1. ¹⁷ 103 Image: Syphilis Reactive treponemal serology together with characteristic late 105 from ¹⁷ : 106 Tertiary syphilis 107 Reactive treponemal serology together with characteristic late 108 abnormalities of the cardiovas							
15 16								
17 18 19	95	w was conducted of all tertiary and neurosyphilis cases in Alberta (current						
20 21	96	population 4.3 millic	n) from 1973 (when syphilis data was first available by staging) to March					
22 23 24	97	2017 (most recent ca	ases staged at time of data collection). All cases of syphilis are reportable by					
25 26	98	laboratories and clin	icians to Provincial STI Services under the Alberta Public Health Act. A					
27 28	99	paper chart was crea	ted for each syphilis case containing laboratory results, medical					
29 30 31	100	correspondence, syphilis-relevant history, clinical findings, and staging. Cases diagnosed since						
32 33	101	2000 were also ente	red into a provincial surveillance database. Cases were classified as defined					
34 35 36	102	in Table 1. ¹⁷						
37 38	103							
39 40 41	104	Table 1: Case Definitions Used for Diagnosis of Neurosyphilis and Tertiary Syphilis (Adapted						
41 42 43	105	from ¹⁷ :						
44 45 46		Syphilis stage	Definition					
40 47 48		Tertiary syphilis	Reactive treponemal serology together with characteristic late					
49 50			abnormalities of the cardiovascular system, bone, skin or other					
51 52 53			structures, in the absence of other known causes of these					
54 55			abnormalities and no clinical or laboratory evidence of neurosyphilis					
50 57 58			7					
59 60	eer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml							

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Early Neurosyphilis	Laboratory confirmation of primary, second or early latent syphilis and
(< 1 year after	i) reactive CSF-VDRL in non-bloody CSF
infection)	AND/OR
Asymptomatic	
	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes
	AND
	NO signs or symptoms of neurosyphilis
Early Neurosyphilis	Laboratory confirmation of primary, second or early latent syphilis and
(< 1 year after	i) reactive CSF-VDRL in non-bloody CSF
infection)	AND/OR
Symptomatic	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes
	· L
	AND
	clinical signs or symptoms of neurosyphilis*
Late neurosyphilis	Reactive treponemal serology (not staged as primary, secondary or
(>1 year after	early latent syphilis) and
infection)	i) reactive CSF-VDRL in non-bloody CSF
Asymptomatic	AND/OR
	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes

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28 29 30	1
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	AND
	NO clinical signs or symptoms of neurosyphilis
Late neurosyphil	is Reactive treponemal serology (not staged as primary, secondary or
(>1 year after	early latent syphilis) and
infection)	iii) reactive CSF-VDRL in non-bloody CSF
Symptomatic	AND/OR
	iv) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes
	AND clinical signs or symptoms of neurosyphilis*
*if ocular or o	tic signs or symptoms present with a normal CSF examination, patient was
classified as sy	mptomatic neurosyphilis (early or late)
Serological testing	for syphilis changed during the study period, with reverse sequence syphilis
screening (RSSS) ເ	ising an enzyme immunoassay being introduced in September 2007; prior to
this a quantitative	Rapid Plasma Reagin (RPR) was used. Since the criteria for classifying
neurosyphilis evol	ved over time, all neurosyphilis cases during the study period were reviewed
by two STI physici	ans and classified into early asymptomatic, early symptomatic, late
asymptomatic, lat	e symptomatic neurosyphilis cases; disagreement between the classifications
of cases was resol	ved by consensus between the two physicians (PS and AES).
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2								
3 4	117							
5 6 7 8 9 10 11 12 13 14	118	Reported notification rates of other stages of syphilis prior to 2000 were obtained from						
	119	historical surveillance reports from Alberta STI Services beginning in 1975. Population						
	120	denominators were obtained through government population estimates. ¹⁸ An outbreak was						
	121	defined as an increase in infectious syphilis cases of two standard deviations above the baseline						
15 16	122	for the given time period.						
17 18	123							
19 20 21	124	Variables collected for analysis included demographics, sexual partners, HIV status (testing						
22 23	125	available since 1985 ¹⁹ and recommended for all syphilis cases once serology available),						
24 25 26	126	diagnosis date, clinical parameters, symptoms, and treatment. Variables for cases diagnosed						
27 28	127	prior to 2004 were captured through chart review, while variables for cases diagnosed after						
29 30 31 32 33 34 35 36	128	2004 were extracted from the provincial STI surveillance system. Client reported symptoms						
	129	were broken into five categories (not mutually exclusive) based on system involvement: ocular						
	130	(e.g. uveitis, retinal, vision loss), auditory (e.g. hearing loss, tinnitus), ataxia, cognitive						
37 38	131	impairment (e.g. dementia, psychosis), and other (aphasia, stroke, reduced level of						
39 40	132	consciousness, headache, and unspecified neurological symptoms).						
41 42 43	133							
44 45	134	Treatment data was divided into 3 mutually exclusive categories based on the following						
46 47 48	135	minimum treatments: 1) penicillin G 3-4 million units IV q 4 h (18-24 million units/day) for 10 -						
49 50	136	14 days, 2) ceftriaxone 2 g IV/IM daily x 10-14 days, 3) Other, which included drugs like						
51 52 53	137	chloramphenicol, doxycycline, tetracycline, benzathine penicillin G- long acting, reduced doses						
54 55	138	of penicillin G or ceftriaxone.						
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2 3 4	139	
5 6	140	Analysis was stratified by stage of syphilis to compare early and late neurosyphilis by the
7 8 9	141	previously listed variables using Chi-square or Fisher's exact for categorical variables and Mann-
10 11	142	Whitney tests for continuous variables. Missing data was categorized as unknown and included
12 13 14	143	in the analysis. As well, each syphilis stage was stratified by asymptomatic and symptomatic for
15 16	144	comparison. The significance was set at a two-sided <i>p</i> -value of <0.05. A sensitivity analysis was
17 18 19	145	conducted to determine if associations between early and late neurosyphilis were directionally
20 21	146	consistent over time by analyzing associations with gender, ethnicity, country of birth, and
22 23	147	sexual partners by decades. Data was analyzed using IBM SPSS Statistics version 19.0 (IBM,
24 25 26	148	Armonk, NY, USA). This study was approved by the University of Alberta Health Research Ethics
27 28	149	Board (Approval Number: Pro00075972).
29 30 31	150	
32 33	151	Patient and Public Involvement
34 35 36	152	Patients were not involved in the design of this research study.
37 38	153	
39 40 41	154	Results
41 42 43	155	A total of 254 cases were identified during the study period, of which 251 were neurosyphilis
44 45	156	and 3 were cardiovascular (CV) cases; one case of CV syphilis was reported in each of the
46 47 48	157	following years: 1976, 1979, and 1984. No cases of gummatous syphilis were reported during
49 50	158	this time period. The neurosyphilis cases were evenly divided as early (52.4%; n=133) and late
51 52 53	159	(46.1%; n=117), with one additional case of unknown duration. Three individuals were
54 55	160	diagnosed with two distinct episodes of neurosyphilis over the course of the reporting period.
56 57 58		11
59 60		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

All three of these individuals were men who reported same sex partners, all six episodes were

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diagnosed between 2005 and 2014, and all categorized as early neurosyphilis. Two of these men were co-infected with HIV. Between 1975 and 2016, 8,874 total cases of syphilis were reported in Alberta. Of these, 4,513 (51%) were infectious (i.e. staged as primary, secondary, early latent) and 4,361 (49%) were classified as non-infectious (i.e. late latent, tertiary). Over the time period, three outbreaks of infectious syphilis were identified (Figure 1). The first outbreak occurred between 1981 and 1987, the second outbreak commenced in 2000 and declined in 2011, and a third outbreak began in 2015 and continues. Of the infectious syphilis cases, 2.8% (n=128) were staged as early neurosyphilis. When plotting the notification rate of early neurosyphilis cases, increases in the rate were found at corresponding times to infectious syphilis outbreaks #2 and #3 (Figure 2). Of the noninfectious syphilis cases staged during this time, 2.6% (115/4316) were staged with late neurosyphilis. Similarly, for late neurosyphilis, peaks in notification rates were found shortly after outbreak #1 and outbreak #2 (Figure 2). Early neurosyphilis cases were significantly younger, more likely to be Caucasian, born in Canada, diagnosed in recent decades (2010's), reported same sex partners, and HIV positive as compared to late neurosyphilis cases (Table 2). Sensitivity analysis found similar directionally associations for gender, country of birth, and ethnicity for early neurosyphilis. Throughout the decades, cases reporting same sex partners had the highest proportion of cases, except during the 2000's. Consistent directional associations were found for gender and sexual partners. During the 2000's, missing values for

183 ethnicity and country of birth were the lowest, causing the other ethnicity and non-Canadian

184 born to their highest proportions during the 5 decades.

185 Table 2: Characteristics of Early and Late Neurosyphilis (Alberta, 1973 to March 2017; n=250)

	Ea	rly Neurosy	philis		La	te Neurosy	philis		Compar
	Asympto	Sympto	Total	p-	Asympto	Sympto	Total	p-	ison of
	matic	matic	(n=1	Valu	matic	matic	(n=1	Valu	Early
	(n=28)	(n=105)	33)	е	(n=47)	(n=70)	17)	е	and
									Late p-
			0						Value
Median	40 (32-	47 (39-	44	0.02	45 (32-	64 (53-	58	<0.0	<0.001
Age	46)	55)	(36-	1	65)	75)	(45-	01	
(IQR)			54)		2.		70)		
Gender					0				
Female	8 (28.6)	12	20	0.02	6 (12.8)	15	21	0.23	0.54
		(11.4)	(15.0			(21.4)	(17.9		
))		
Male	20 (71.4)	93	113		41 (87.2)	55	96		
		(88.6)	(85.0			(78.6)	(82.1		
))		
Ethnicit									
У									

Indigeno	6 (21.4)	6 (5.7)	12	0.00	6 (12.8)	3 (4.3)	9	0.44	<0.001
us			(9.0)	1			(7.7)		
Caucasia	10 (35.7)	78	88		14 (29.8)	23	37		
n		(74.3)	(66.2			(32.9)	(31.6		
))		
Other	3 (10.7)	4 (3.8)	7		16 (34.0)	27	43		
		Ô,	(5.3)			(38.6)	(36.8		
)		
Unknow	9 (32.1)	17	26		11 (23.4)	17	28		
n		(16.2)	(19.5	4		(24.3)	(23.9		
)	1)		
Municip					L.				
ality					0				
Calgary	9 (32.1)	32	41	0.62	10 (21.3)	22	32	0.46	0.59
		(30.5)	(30.8			(31.4)	(27.4		
))		
Edmont	15 (53.6)	48	63		28 (59.6)	35	63		
on		(45.7)	(47.4			(50.0)	(53.8		
))		

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Other	4 (14.3)	25	29		9 (19.1)	13	22		
		(23.8)	(21.8			(18.6)	(18.8		
))		
Country									
of Birth									
Canada	16 (57.1)	56	72	0.23	23 (48.9)	16	39	0.01	<0.00
		(53.3)	(54.1			(22.9)	(33.3		
))		
Outside	5 (17.9)	9 (8.6)	14		17 (36.2)	36	53		
of			(10.5	4		(51.4)	(45.3		
Canada)	1)		
Unknow	7 (25.0)	40	47		7 (14.9)	18	25		
n		(38.1)	(35.3		Q.	(25.7)	(21.4		
)		-4)		
Decade						0,			
of						2			
Diagnos									
is									
1970's	1 (3.6)	1 (1.0)	2	0.00	4 (8.5)	1 (1.4)	5	0.29	<0.00
			(1.5)	1			(4.3)		

1980's	6 (21.4)	3 (2.9)	9		13 (27.7)	15	28		
			(6.8)			(21.4)	(23.9		
)		
1990's	3 (10.7)	5 (4.8)	8		6 (12.8)	9 (12.7)	15		
			(6.0)				(12.8		
		\sim)		
2000's	8 (28.6)	28	36		4 (8.5)	20	24		
		(26.7)	(27.1			(28.6)	(20.5		
))		
2010's	10 (35.7)	68	78	4	20 (42.6)	25	45		
		(64.8)	(58.6			(35.7)	(38.5		
)		4.)		
Sexual					Q,				
Partners					2				
heteros	12 (42.9)	46	58	1.00	30 (63.8)	38	68	0.00	<0.001
exual		(43.8)	(43.6			(54.3)	(58.1	2	
))		
Same	14 (50.0)	52	66		11 (23.4)	5 (7.1)	16		
Sex		(49.5)	(49.6				(13.7		
))		

Unknow	2 (7.1)	7 (6.7)	9		6 (12.8)	27	33		
n			(6.8)			(38.6)	(28.2		
)		
HIV									
Status									
Negativ	5 (17.9)	68	73	<0.0	19 (40.4)	27	46	0.31	<0.001
e		(64.8)	(54.9	01		(38.6)	(39.3		
))		
Positive	17 (60.7)	30	47		8 (17.0)	6 (8.6)	14		
		(28.6)	(35.3	4			(12.0		
))		
Unknow	6 (21.4)	7 (6.7)	13		20 (42.6)	37	57		
n			(9.8)		Q,	(52.9)	(48.7		
					12)		
Treatme						O,			
nt									
Penicilli	22 (78.6)	82	104	0.87	21 (44.7)	63	84	<0.0	0.43
n G		(78.1)	(78.2			(90.0)	(71.8	01	
))		

							47		
	Ceftriax	3 (10.7)	14	17	12 (25.5)	5 (7.1)	17		
	one		(13.3)	(12.8			(14.5		
))		
	Other	3 (10.7)	9 (8.6)	12	14 (29.8)	2 (2.9)	16		
				(9.0)			(13.7		
)		
186			Ö,						
187	Among ea	rly neurosy	ohilis cases	, 79.0% (n=1	05) were symp	tomatic; sy	mptoma	tic case	es were
188	more likel	y to be olde	r, male, Ca	ucasian, rece	ently diagnosed	l (2010's), a	and HIV r	negativ	e
189	compared	to asympto	matic case	s. Among lat	e neurosyphilis	cases, 59.	8% (n=7()) were	ļ
190	symptoma	itic; sympto	matic case	s were more	likely to be old	er, born o	utside of	Canada	a, and
191	treated wi	th intraven	ous penicill	in G, and les	s likely to have	a same se	x partner	as con	npared to
192	asymptom	atic cases.							
193									
194	The major	ity (79.9%; I	n=139) of s	ymptomatic	cases reported	a single m	anifestat	tion. Th	ie most
195	common c	linical mani	festation o	f the sympto	omatic cases (4	1.1%; n=72) was oci	ular	
196	involveme	nt; early ne	urosyphilis	cases were	more likely to h	nave ocular	· involver	nent t	han late
197	neurosyph	nilis cases (T	able 3). Th	e first case o	f ocular syphilis	s was repo	rted in 19	990 wit	h the
198	majority (6	58.1%; n=49) of cases b	eing diagno	sed between 2	010 and 20)17. The s	second	most
199	common (33.7%; n=5	9) manifest	ation of sym	ptomatic neuro	osyphilis w	as cognit	ive imp	pairment
200	with signif	icantly mor	e late neur	osyphilis cas	es reporting th	ese sympto	oms than	early o	cases.
201	Twelve (6.	9 %) cases r	eported au	iditory symp	toms and 10.99	% (n=19) re	ported a	taxia. I	Vearly
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202	one-third (29.7%; n=52) of cases reported other symptoms including aphasia, stroke, reduced								
203	level of consciousness, headache, and unspecified neurological symptoms								
Table 3: Manifestations of Early and Late Symptomatic Neurosyphilis (Alberta, 1973 to N									
205	2017; n=175)								
	Manifestation	Early Neurosyphilis	Late Neurosyphilis	P-Value					
		(n=105)	(n=70)						
	Ocular	59 (56.2)	13 (18.6)	<0.001					
	Cognitive Impairment	20 (19.0)	39 (55.7)	<0.001					
	Ataxia	9 (8.6)	10 (14.3)	0.23					
	Auditory	10 (9.5)	2 (2.9)	0.13					
	Other*	30 (28.6)	22 (31.4)	0.69					
206	• *Aphasia, reduced	level of consciousness, head	dache, unspecified neurolo	gical symptoms					
207	Although the first HIV co-	infected case was report	ed in 1986, over one-hal	f (57.4%; n=35) of					
208	HIV co-infected cases we	re reported between 201	0 and 2017. The majorit	y (62.2%; n= 46) of					
209	cases with an unknown H	IIV status occurred betwe	en the 1970's and 1980'	s, prior to the clinical					
210	availability of diagnostic s	serology. Cases that had I	HIV test results were sign	nificantly younger (47					
211	years; IQR: 37-55) than ca	ases without HIV test resu	ults (64 years; IQR: 44-70); p<0.001).					
212									
213	Thirty-six (14.2%) of all th	ne cases were diagnosed	without a lumbar punctu	ire result. Nearly all					
214	of these clinical cases (97	.2%; n=35) were sympton	matic. The remaining asy	mptomatic case was					
215	diagnosed based on an in	adequate fall in RPR titre	es over time. There was r	no significant					
216	difference by HIV status f	or those who had and did	d not have a lumbar pun	cture (p=0.62).					
				19					
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	203 204 205 205 206 207 208 209 210 211 212 213 214 215	 203 level of consciousness, he 204 Table 3: Manifestations of 205 2017; n=175) Manifestation Ocular Ocular Cognitive Impairment Ataxia Auditory Other* 206 *Aphasia, reduced 207 Although the first HIV co- 208 HIV co-infected cases we 209 cases with an unknown H 210 availability of diagnostic si 211 years; IQR: 37-55) than case 212 213 Thirty-six (14.2%) of all the 214 of these clinical cases (97) 215 diagnosed based on an information of the section of the sec	203 level of consciousness, headache, and unspecified 204 Table 3: Manifestations of Early and Late Symptom 205 2017; n=175) Manifestation Early Neurosyphilis (n=105) Ocular 59 (56.2) Cognitive Impairment 20 (19.0) Ataxia 9 (8.6) Auditory 10 (9.5) Other* 30 (28.6) 206 • *Aphasia, reduced level of consciousness, head 207 Although the first HIV co-infected case was report 208 HIV co-infected cases were reported between 201 209 cases with an unknown HIV status occurred between 201 209 cases with an unknown HIV status occurred between 201 210 availability of diagnostic serology. Cases that had H 211 years; IQR: 37-55) than cases without HIV test results 212 Thirty-six (14.2%) of all the cases were diagnosed and of these clinical cases (97.2%; n=35) were symptom 215 diagnosed based on an inadequate fall in RPR titree 216 difference by HIV status for those who had and did	203 level of consciousness, headache, and unspecified neurological symptoms. 204 Table 3: Manifestations of Early and Late Symptomatic Neurosyphilis (Alber 205 2017; n=175) Manifestation Early Neurosyphilis Late Neurosyphilis 0 (n=105) (n=70) Ocular 59 (56.2) 13 (18.6) Cognitive Impairment 20 (19.0) 39 (55.7) Ataxia 9 (8.6) 10 (14.3) Auditory 10 (9.5) 2 (2.9) Other* 30 (28.6) 22 (31.4) 206 * Aphasia, reduced level of consciousness, headache, unspecified neurological symptomatic 207 Although the first HIV co-infected case was reported in 1986, over one-hale 208 HIV co-infected cases were reported between 2010 and 2017. The majoritic 209 cases with an unknown HIV status occurred between the 1970's and 1980' 210 availability of diagnostic serology. Cases that had HIV test results were sign 211 years; IQR: 37-55) than cases without HIV test results (64 years; IQR: 44-70) 213 Thirty-six (14.2%) of all the cases were diagnosed without a lumbar punctor 214 of these clinical cases (97.2%; n=35) were symptomatic. The remaining asy					

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3 4	217	Clinical parameters by HIV status are outlined in Figure 3. Of the 2 HIV-positive and 6 HIV-	
5 6 7	218	negative cases with negative clinical parameters, all were symptomatic cases.	
8 9	219		
10 11 12	220	The majority (74.4%; n=189) of all cases were treated with intravenous penicillin G.	
12 13 14	221	Asymptomatic late neurosyphilis cases were less likely to be treated with penicillin G (44.7%;	
15 16 17	222	n=21) as compared to symptomatic late neurosyphilis (90.0%; n=63; p<0.001). There was a ris	se
17 18 19	223	in the use of ceftriaxone in late neurosyphilis treatment from no use in the 1970's to 1990's,	to
20 21 22	224	12.5% (n=3) in 2000's and 31.1% (n=14) in the 2010's. Other drug combinations for	
22 23 24	225	neurosyphilis was highest in the 1970's (85.7%; n=6) and dropped to a low of 4.3% (n=1) in th	e
25 26	226	1990's.	
27 28 29	227		
30 31	228	Discussion	
32 33 34	229	A review of the trends in reported cases of infectious syphilis from 1975 to March, 2017 in	
35 36	230	Alberta shows a cycling in the number of cases over time. During this time period, the first	
37 38 39	231	major outbreak of infectious syphilis occurred between 1981 and 1987, with the majority of	
40 41	232	cases between 1983 and 1985. ²⁰ A quiescent period of approximately two decades followed	
42 43 44	233	with a resurgence in infectious cases in 2000 followed by a decline in 2011 and then another	
44 45 46	234	rise in 2015. These observations are consistent with a study of long term trends in reported	
47 48	235	primary and secondary syphilis cases in the United States which showed recurrent peaks and	
49 50 51	236	troughs in approximately 10-year cycles. ²¹ This pattern of periodic resurgence of syphilis has	
52 53	237	variously been attributed to either failure to sustain control efforts, changing risk behaviours	
54 55 56	238	(such as crack cocaine use), and waxing and waning partial host immunity to infection at the	
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population level.^{22,23} Interestingly, our province observed a 20-year gap between the outbreak in the mid 1980s and the mid 2000s. The reasons for this prolonged gap are unclear but are likely multi-factorial including a well-established and sustained prevention and control program for STIs in the province, emergence of HIV and the mass education that occurred during this time period. This theory is supported by declining notification rates of gonorrhea and chlamydia until 1998 and then subsequent rises to current rates.²⁴ Our observed rising notification rates of infectious syphilis since the mid-2000s are consistent with many jurisdictions across Canada and the United States.^{14,25} The rise in late latent syphilis in 2007 has been attributed to the introduction of RSSS.²⁶ Some studies have reported a rise in cases of neurosyphilis related to outbreaks of infectious syphilis. One possible explanation for this is that the overall rise in notification rates of infectious syphilis could potentially increase the pool of persons progressing to neurosyphilis and tertiary syphilis. For example, a study conducted in British Columbia, one of Alberta's neighboring provinces, reported that in the context of rising rates of infectious syphilis, the neurosyphilis rate was 0.03 per 100,000 in 1992 and increased 27-fold to 0.8 per 100,000 in 2012.²⁷ Investigators from Guangdong province in China similarly reported an incidence rate increase in neurosyphilis cases from 0.21 cases per 100,000 persons in 2009 to 0.31 cases per 100,000 persons in 2014 and in tertiary cases from 0.28 cases per 100,000 persons in 2009 to 0.36 cases per 100,000 persons in 2014.²⁸ Neither of these studies, however, distinguished between early and late neurosyphilis cases. In our review, we observed a significant rise in early neurosyphilis during the outbreak periods and a significant decline after the outbreak periods,

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61 e.g. only one case was observed in 2012 after the second outbreak. We had expected to see a 62 sustained increase in late neurosyphilis cases based on the hypothesis that the number of 63 untreated infected persons with syphilis would increase over time but there was no significant 64 increase during the overall observation period. Interestingly, a rise in late neurosyphilis cases 65 was observed towards the end of the outbreak periods, perhaps due to heightened awareness 66 and increased testing due to public health announcements during the outbreak periods and 67 also because late (tertiary) neurosyphilis can occur as soon as two years post-infection.¹ 68 Although individuals diagnosed with late symptomatic neurosyphilis are not infectious and 69 therefore not of concern from a public health perspective, these individuals would benefit from 70 screening and appropriate treatment for syphilis to prevent complications of tertiary syphilis.¹ 71 72 Early neurosyphilis cases were more likely to be younger, Caucasian, born in Canada, HIV 73 positive and reporting same sex partners. These observations parallel the observed rise in 74 infectious syphilis during the third outbreak and may also be related to selection bias since 75 lumbar punctures were more likely to be performed in HIV positive persons in the early years, 76 especially those with low CD₄ counts (<350) and/or RPR > 1:32 dilutions as recommended in the 77 Canadian STI Guidelines.²⁹ In addition, most clinicians providing care to HIV positive individuals 78 in Alberta would have offered regular syphilis screening in HIV positive individuals, as endorsed for several years in the U.S. Department of Health and Human Services guidelines.³⁰ 79 80 81 The most common manifestation (40%) of symptomatic neurosyphilis was ocular involvement 82 with cases of early neurosyphilis more likely than cases of late neurosyphilis to have ocular

1 2		
3 4	283	involvement (54% vs 17%, p<0.001). Two-thirds of ocular cases were reported between 2010
5 6 7	284	and 2017, with 46.4% reported among MSM, similar to other studies. ³¹ Late neurosyphilis cases
7 8 9	285	were more likely to be older, born outside of Canada and less likely to report same sex
10 11	286	partners, paralleling the demographics of late latent cases of syphilis in our province (data not
12 13 14	287	shown).
15 16	288	
17 18 19	289	Our study identified very few (n=3) cardiovascular cases of tertiary syphilis with all cases
20 21	290	identified at the time of a diagnosis of aortic aneurysm. This likely represents an underestimate
22 23 24	291	in the actual number of cases of CV syphilis as we suspect that most patients with aortic
24 25 26	292	aneurysm or initial cardiovascular involvement do not have syphilis testing performed. In
27 28	293	Alberta, the provincial STI program facilitates the assessment of all late stage syphilis cases by a
29 30 31	294	physician who then conducts a neurological and cardiovascular examination. A chest
32 33	295	radiograph, recommended in the past in some jurisdictions to look for linear calcification of the
34 35 36	296	ascending aorta, a radiological sign of syphilitic aortitis is not routinely done. Chest radiographs
37 38	297	for the evaluation of CV syphilis in asymptomatic patients with LLS is of such low yield, that it is
39 40 41	298	not routinely recommended. ³² Neither clinical examination nor chest radiograph is likely to be
42 43	299	sensitive enough to identify cases of CV syphilis and given the presumed rarity of this condition
44 45 46	300	and that the treatment is the same as for LLS, further evaluations (e.g. echocardiograms) are
40 47 48	301	not warranted.
49 50	302	
51 52 53	303	No cases of gummatous syphilis were reported during our study period. Although syphilitic
54 55	304	gummas were reported in up to 15% cases in the pre-antibiotic era, it is possible that the
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>)5 widespread use of antibiotics for other conditions, which may indirectly treat or partially treat)6 syphilis, has affected the occurrence.

)8 One of the strengths of our study is that there was consistent reporting of all cases with)9 positive syphilis serology over time by laboratories and active follow up by the provincial STI 0 program with health care providers. We were able to apply current case definitions 1 retrospectively to all cases; however, one of the limitations to retrospective review of data is 2 the possibility of inaccurate classification of cases. Our review by two experienced medical 3 consultants resulted in only one case where insufficient information was available to classify 4 the case with reasonable accuracy. Additional study limitations include changes in and quality 5 of data collection practices over time, with improved data quality over time. The information 6 about gender of sex partners may have been inaccurate in earlier years due to stigma 7 associated with same sex partners. Routine testing for HIV in cases of syphilis was also not 8 conducted in earlier years and as such the number of concurrent HIV infections may be 9 underestimated. 20 In summary, our review of tertiary and neurosyphilis cases in Alberta over a 44-year period 21 22 found that early and late neurosyphilis cases continue to occur in the context of cycling of 23 infectious syphilis outbreaks. Ocular disease was the most common manifestation of 24 neurosyphilis in our study. On the other hand, cardiovascular syphilis was extremely rare and 25 no cases of gumma were identified. Ongoing identification of syphilis cases with prompt

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3 4	326	treatment and follow up continues to be important in the context of resurgence of infectious
5 6 7	327	syphilis worldwide.
7 8 9	328	
10 11	329	Author contributions: TL reviewed hard copy records of all cases and conducted data entry into
12 13 14	330	an Excel file; PS and AS reviewed and re-classified all cases; JG conducted data analysis; JG and
15 16	331	AS drafted initial versions of the manuscript; TL, PS, RC, JG, LB, RR, BR and AES helped develop
17 18 19	332	the study design and reviewed drafts of the manuscript.
20 21	333	the study design and reviewed drafts of the manuscript.
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1 2		
2 3 4	436	Figure 1. Rate per 100,000 Population of Syphilis by Type and Diagnosis Year (Alberta, Canada,
5 6	437	1975-2016)
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10 11	439	Figure 2. Rates of Early and Late Neurosyphilis during 2 Different Outbreak Periods with Linear
12 13 14	440	Trend Line (Alberta, 1975-2016)
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17 18	442	Figure 3: Algorithm of diagnosis of neurosyphilis among HIV-positive and HIV-negative cases
19 20 21	443	(Alberta, 1975-March 2017)
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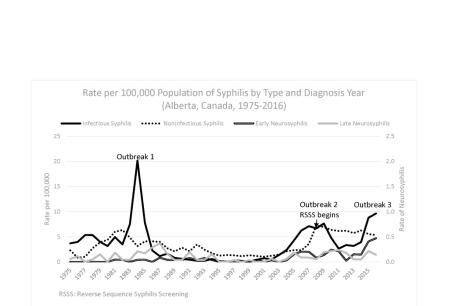


Figure 1. Rate per 100,000 Population of Syphilis by Type and Diagnosis Year (Alberta, Canada, 1975-2016)

215x279mm (300 x 300 DPI)

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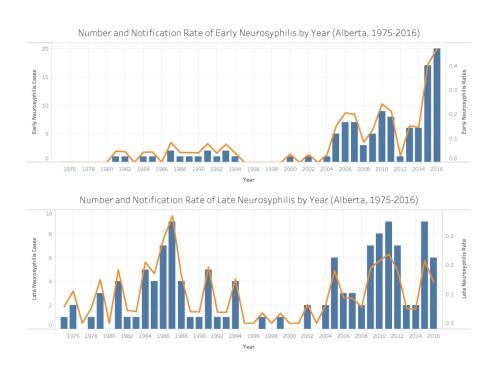


Figure 2. Rates of Early and Late Neurosyphilis during 2 Different Outbreak Periods with Linear Trend Line (Alberta, 1975-2016)

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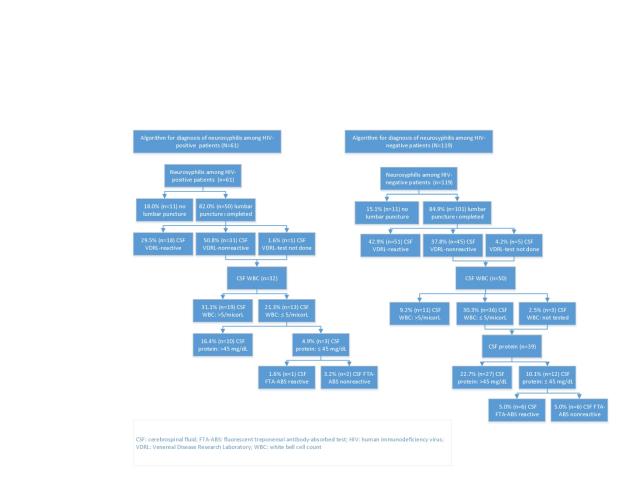


Figure 3: Algorithm of diagnosis of neurosyphilis among HIV-positive and HIV-negative cases (Alberta, 1975-March 2017)

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STROBE Statement—Checklist of items that should be included in reports of cross-sectional studies

	Item No	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or	1
		the abstract	
		(b) Provide in the abstract an informative and balanced summary of what	2
		was done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being	4-5
		reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	5-6
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of	6
-		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection	6
		of participants	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders,	6-7
		and effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods	7
measurement		of assessment (measurement). Describe comparability of assessment	
		methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	6
Quantitative	11	Explain how quantitative variables were handled in the analyses. If	7
variables		applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	7
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	7
		(d) If applicable, describe analytical methods taking account of sampling	N/A
		strategy	
		(e) Describe any sensitivity analyses	N/A
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers	7-8
		potentially eligible, examined for eligibility, confirmed eligible, included	
		in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical,	Table 2
•		social) and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of	Table 2
		interest	
Outcome data	15*	Report numbers of outcome events or summary measures	8
Main results	16	(<i>a</i>) Give unadjusted estimates and, if applicable, confounder-adjusted	
		estimates and their precision (eg, 95% confidence interval). Make clear	
		which confounders were adjusted for and why they were included	

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		(b) Report category boundaries when continuous variables were	Table 2
		categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute	N/A
		risk for a meaningful time period	
Other analyses	17	Report other analyses done-eg analyses of subgroups and interactions,	Figure 2
		and sensitivity analyses	8-9
Discussion			
Key results	18	Summarise key results with reference to study objectives	11-12
Limitations	19	Discuss limitations of the study, taking into account sources of potential	14
		bias or imprecision. Discuss both direction and magnitude of any potential	
		bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	15
		limitations, multiplicity of analyses, results from similar studies, and other	
		relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	15
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study	3
		and, if applicable, for the original study on which the present article is	
		based	

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Retrospective Review of Tertiary and Neurosyphilis Cases in Alberta, 1973 to 2017

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Secondary Subject Heading:	Epidemiology
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Retrospective Review of Tertiary and Neurosyphilis Cases in Alberta, 1973 to 2017

Authors and Affiliations:

Takaaki Landry BSc¹; Petra Smyczek MD^{1,2,3}; Ryan Cooper MD¹; Jennifer Gratrix MSc²; Lindsay

Bertholet MN²; Ron Read MD PhD⁴; Barbara Romanowski MD^{1,3}; Ameeta E. Singh BMBS MSc^{1,3}

¹University of Alberta, Edmonton, Canada; ²Provincial STI Services, Alberta Health Services,

Edmonton, Canada; ³Edmonton STI Clinic, Alberta Health Services, Edmonton, Canada;

⁴University of Calgary, Calgary, Alberta, Canada

Key Words: Syphilis, neurosyphilis, epidemiology, tertiary syphilis

Funding Statement: TL received funding as a post secondary summer student from Alberta Health Services.

Competing Interests: The authors declare no competing interests.

Data Sharing Statement: No additional data available

Corresponding Author:

Dr. Ameeta Singh, BMBS (UK), MSc, FRCPC

Alberta Health Services – Edmonton STI Clinic

3B20-11111 Jasper Ave

Edmonton, AB. T5K0L4

Email : ameeta@ualberta.ca

Phone : 780 342 2300 Fax : 780 425 2194

Word Count: Abstract:280; Manuscript:3207; Tables: 3; Figures: 3; References: 32

2		
3 4	1	Abstract
5 6 7	2	Objectives: To review the notification rate and characteristics of tertiary and neurosyphilis
, 8 9	3	cases in Alberta, Canada in the post-antibiotic era.
10 11	4	Methods: A retrospective review of all neurosyphilis and tertiary syphilis cases reported in
12 13 14	5	Alberta from 1973 to March 2017 was undertaken and cases classified into early neurosyphilis,
15 16	6	late neurosyphilis and cardiovascular syphilis. Variables collected included demographics,
17 18 19	7	sexual partners, HIV status, clinical parameters, symptoms and treatment and distributions
20 21	8	were compared between early versus late neurosyphilis and asymptomatic versus symptomatic
22 23 24	9	cases (stratified by early versus late stage). Data was analyzed using IBM SPSS Statistics Version
24 25 26	10	19.0.
27 28	11	Results: 254 cases were identified; 251 were neurosyphilis and 3 were cardiovascular. No cases
29 30 31	12	of gummatous syphilis were reported. Early neurosyphilis accounted for 52.4% (n=133) and
32 33	13	46.1% (n=117) were late neurosyphilis cases; one (0.4%) case with unknown duration. Three
34 35 36	14	outbreaks of infectious syphilis were identified during the study period and a concurrent rise in
37 38	15	both early and late neurosyphilis was observed during the outbreak periods. The most
39 40 41	16	common manifestation of symptomatic neurosyphilis was ocular involvement which was more
42 43	17	likely in early neurosyphilis. Relative to late neurosyphilis cases, early neurosyphilis cases were
44 45 46	18	more likely to be younger, Caucasian, born in Canada, HIV positive and reporting same sex
47 48	19	partners
49 50	20	Conclusions: Our review of tertiary and neurosyphilis cases found that early and late
51 52 53	21	neurosyphilis cases continue to occur in the context of cycling syphilis outbreaks.
54 55	22	Cardiovascular syphilis cases were extremely rare. Ongoing identification of new cases of
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2 3 4	23	syphilis and clinical evaluation of cases for complications continues to be important in the
5 6	24	context of global resurgence of syphilis.
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12 13 14	27	Keywords: tertiary syphilis, neurosyphilis, Canada
15 16 17 18 19 20 21 22 23 24	28	
	29	Strengths and Limitations of this study:
	30	• An important strength of our study was the consistent reporting of all cases with positive
	31	syphilis serology over the 44 year period by laboratories as well as active follow up of all cases
25 26	32	by the provincial STI program.
27 28	33	Another strength of our study is the retrospective application of current case definitions to all
29 30	34	cases by 2 experienced STI clinicians.
31 32 33 34 35 36 37 38 39 40	35	One of the limitations to the retrospective review of data is the possibility of inaccurate
	36	classification of cases due to insufficient available information.
	37	Additional study limitations include changes in testing policies and practices, as well as changes
	38	in social norms over time.
40 41 42	39	• Routine testing for HIV in cases of syphilis was also not conducted in earlier years and as such
43 44	40	the number of concurrent HIV infections may have been underestimated.
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1 2		
3 4	46	Manuscript
5 6 7	47	Background
, 8 9	48	Syphilis, caused by Treponema pallidum subspecies pallidum, passes through a series of stages,
10 11 12	49	including primary, secondary, latent, and tertiary syphilis if left untreated. ¹ Based on data from
12 13 14	50	the pre-antibiotic era, about a third of persons with untreated latent syphilis will develop late
15 16 17 18 19	51	neurosyphilis, cardiovascular syphilis or gummatous syphilis. ² Gummatous syphilis is
	52	characterized by the development of indolent granulomatous lesions ³ which typically affect the
20 21	53	skin, liver, and bone but can also involve other parts of the body. ⁴ Syphilitic aortitis is the most
22 23 24	54	common manifestation of cardiovascular syphilis and typically involves the ascending aorta. ⁴⁻⁶
25 26	55	
27 28 29	56	Neurosyphilis can occur at any stage of syphilis. ^{1,7} It is classified into early and late forms. ¹ Early
30 31	57	neurosyphilis affects the cerebrospinal fluid (CSF), cerebral blood vessels, and meninges more
32 33 34	58	often than the brain or spinal cord parenchyma. Typically, manifestations occur within weeks to
35 36	59	a few years after primary infection and may occur at the same time as primary or secondary
37 38	60	syphilis, or may be asymptomatic. Manifestations may include meningitis with or without
39 40 41	61	cranial nerve involvement, meningovascular disease or stroke. Late neurosyphilis can remain
42 43	62	asymptomatic or progress to meningovascular syphilis, tabes dorsalis, or general paresis. Late
44 45 46	63	neurosyphilis is extremely rare in the antibiotic era and usually occurs years to decades after
47 48	64	primary infection. ^{1,8} HIV infection may affect the natural course of disease as atypical
49 50 51	65	presentations and rapid progression of syphilis in HIV positive individuals has been reported. ⁹⁻¹³
52 53 54 55	66	
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In the pre-antibiotic era, an estimated one third of untreated persons developed tertiary syphilis with about 15% progressing to gummatous disease (1-46 years post-infection), 10% to cardiovascular syphilis (20-30 years after infection), and 4-14% to late neurosyphilis (2-50 years after infection).¹ After the introduction of penicillin in the 1940s, the number of cases of syphilis plummeted in the United States, reaching a nadir in 2000.¹ Nowadays, tertiary syphilis is a rare disease due to easy and effective treatment of infectious and latent syphilis. Antibiotic use for other infections is also likely a factor. In Canada, syphilis (all stages) has been nationally notifiable since 1924. However national reports only include data on infectious syphilis, since only these cases are of major public health significance.¹⁴ In Alberta, all cases of syphilis, including tertiary and neuro-syphilis have been notifiable to a centralized program under the Public Health Act since 1921. Syphilis notification rates have fluctuated over the last fifty years with a rise in notification rates during outbreak periods. Since 2000, notification rates of infectious syphilis have increased dramatically in Alberta (0.6/100,000 population in 2000 to 12.5/100,000 population in 2017), with the most recent resurgence among men who have sex with men (MSM) and up to 30% of patients co-infected with HIV (personal communication Jennifer Gratrix, Provincial STI Services, Alberta Health Services).¹⁵ There are few data on the prevalence and characteristics of tertiary and neuro-syphilis cases in the post antibiotic era. We are aware of only one study from the Netherlands which estimated that 10-13% of all syphilis cases from 1999-2010 had neurosyphilis; these data were limited by

3 4	89	the fact that the diag	nostic criteria used for neurosyphilis was based on hospital discharge
5 6 7	90	diagnosis rather that	n clinical examination or laboratory criteria. ¹⁶ We sought to determine the
7 8 9	91	notification rate and	characteristics of reported cases of tertiary and neurosyphilis in Alberta
10 11	92	from 1973 onwards.	
12 13 14	93		
15 16	94	Methods	
17 18 19	95	A retrospective revie	w was conducted of all tertiary and neurosyphilis cases in Alberta (current
20 21	96	population 4.3 millic	n) from 1973 (when syphilis data was first available by staging) to March
22 23 24	97	2017 (most recent ca	ases staged at time of data collection). All cases of syphilis are reportable by
25 26	98	laboratories and clin	icians to Provincial STI Services under the Alberta Public Health Act. A
27 28	99	paper chart was crea	ted for each syphilis case containing laboratory results, medical
29 30 31	100	correspondence, syp	hilis-relevant history, clinical findings, and staging. Cases diagnosed since
32 33	101	2000 were also ente	red into a provincial surveillance database. Cases were classified as defined
34 35 36	102	in Table 1. ¹⁷	
37 38	103		
39 40 41	104	Table 1: Case Definit	ions Used for Diagnosis of Neurosyphilis and Tertiary Syphilis (Adapted
41 42 43	105	from ¹⁷ :	
44 45 46		Syphilis stage	Definition
40 47 48		Tertiary syphilis	Reactive treponemal serology together with characteristic late
49 50			abnormalities of the cardiovascular system, bone, skin or other
51 52 53			structures, in the absence of other known causes of these
54 55 56			abnormalities and no clinical or laboratory evidence of neurosyphilis
50 57 58			7
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Early Neurosyphilis	Laboratory confirmation of primary, second or early latent syphilis and
(< 1 year after	i) reactive CSF-VDRL in non-bloody CSF
infection)	AND/OR
Asymptomatic	
	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes
	AND
	NO signs or symptoms of neurosyphilis
Early Neurosyphilis	Laboratory confirmation of primary, second or early latent syphilis and
(< 1 year after	i) reactive CSF-VDRL in non-bloody CSF
infection)	AND/OR
Symptomatic	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes
	· La
	AND
	clinical signs or symptoms of neurosyphilis*
Late neurosyphilis	Reactive treponemal serology (not staged as primary, secondary or
(>1 year after	early latent syphilis) and
infection)	i) reactive CSF-VDRL in non-bloody CSF
Asymptomatic	AND/OR
	ii) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes

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	AND
	NO clinical signs or symptoms of neurosyphilis
Late neurosyphil	is Reactive treponemal serology (not staged as primary, secondary or
(>1 year after	early latent syphilis) and
infection)	iii) reactive CSF-VDRL in non-bloody CSF
Symptomatic	AND/OR
	iv) elevated CSF leukocytes (>5/micro) and/or elevated CSF
	protein (>45 mg/dL) in the absence of other known causes
	AND clinical signs or symptoms of neurosyphilis*
*if ocular or o	tic signs or symptoms present with a normal CSF examination, patient was
classified as sy	mptomatic neurosyphilis (early or late)
Serological testing	for syphilis changed during the study period, with reverse sequence syphilis
screening (RSSS) ເ	ising an enzyme immunoassay being introduced in September 2007; prior to
this a quantitative	Rapid Plasma Reagin (RPR) was used. Since the criteria for classifying
neurosyphilis evol	ved over time, all neurosyphilis cases during the study period were reviewed
by two STI physici	ans and classified into early asymptomatic, early symptomatic, late
asymptomatic, lat	e symptomatic neurosyphilis cases; disagreement between the classifications
of cases was resol	ved by consensus between the two physicians (PS and AES).
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2 3 4	117	
5 6	118	Reported notification rates of other stages of syphilis prior to 2000 were obtained from
7 8 9	119	historical surveillance reports from Alberta STI Services beginning in 1975. Population
10 11	120	denominators were obtained through government population estimates. ¹⁸ An outbreak was
12 13 14	121	defined as an increase in infectious syphilis cases of two standard deviations above the baseline
15 16 17	122	(previous 5 year quarterly average) for the given time period.
17 18 19	123	
20 21	124	Variables collected for analysis included demographics, sexual partners, HIV status (testing
22 23 24	125	available since 1985 ¹⁹ and recommended for all syphilis cases once serology available),
25 26	126	diagnosis date, clinical parameters, symptoms, and treatment. Variables for cases diagnosed
27 28 29	127	prior to 2004 were captured through chart review, while variables for cases diagnosed after
30 31	128	2004 were extracted from the provincial STI surveillance system. Client reported symptoms
32 33 34	129	were broken into five categories (not mutually exclusive) based on system involvement: ocular
35 36	130	(e.g. uveitis, retinal, vision loss), auditory (e.g. hearing loss, tinnitus), ataxia, cognitive
37 38	131	impairment (e.g. dementia, psychosis), and other (aphasia, stroke, reduced level of
39 40 41	132	consciousness, headache, and unspecified neurological symptoms).
42 43	133	
44 45 46	134	Treatment data was divided into 3 mutually exclusive categories based on the following
47 48	135	minimum treatments: 1) penicillin G 3-4 million units IV q 4 h (18-24 million units/day) for 10 -
49 50 51	136	14 days, 2) ceftriaxone 2 g IV/IM daily x 10-14 days, 3) Other, which included drugs like
52 53	137	chloramphenicol, doxycycline, tetracycline, benzathine penicillin G- long acting, reduced doses
54 55	138	of penicillin G or ceftriaxone.
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3 4	139	
5 6 7	140	Analysis was stratified by stage of syphilis to compare early and late neurosyphilis by the
8 9	141	previously listed variables using Chi-square or Fisher's exact for categorical variables and Mann-
10 11 12	142	Whitney tests for continuous variables. Missing data was categorized as unknown and included
13 14	143	in the analysis. As well, each syphilis stage was stratified by asymptomatic and symptomatic for
15 16 17	144	comparison. The significance was set at a two-sided <i>p</i> -value of <0.05. A sensitivity analysis to
17 18 19	145	verify univariate findings by cases diagnosed pre- and post-2000 was considered; however,
20 21 22	146	small cell sizes precluded the inclusion of early neurosyphilis and changes to syphilis screening
22 23 24	147	in 2007 increasing the diagnosis of late latent syphilis cases were already known. Data was
25 26	148	analyzed using IBM SPSS Statistics version 19.0 (IBM, Armonk, NY, USA). This study was
27 28 29	149	approved by the University of Alberta Health Research Ethics Board (Approval Number:
30 31	150	Pro00075972).
32 33 34	151	
35 36	152	Patient and Public Involvement
37 38 39	153	Patients were not involved in the design of this research study.
40 41	154	
42 43	155	Results
44 45 46	156	A total of 254 cases were identified during the study period, of which 251 were neurosyphilis
47 48	157	and 3 were cardiovascular (CV) cases; one case of CV syphilis was reported in each of the
49 50 51	158	following years: 1976, 1979, and 1984. No cases of gummatous syphilis were reported during
52 53	159	this time period. The neurosyphilis cases were evenly divided as early (52.4%; n=133) and late
54 55 56	160	(46.1%; n=117), with one additional case of unknown duration. Three individuals were
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diagnosed with two distinct episodes of neurosyphilis over the course of the reporting period.
All three of these individuals were men who reported same sex partners, all six episodes were
diagnosed between 2005 and 2014, and all categorized as early neurosyphilis. Two of these
men were co-infected with HIV.

166 Between 1975 and 2016, 8,874 total cases of syphilis were reported in Alberta. Of these, 4,513 167 (51%) were infectious (i.e. staged as primary, secondary, early latent) and 4,361 (49%) were 168 classified as non-infectious (i.e. late latent, tertiary). Over the time period, three outbreaks of 169 infectious syphilis were identified (Figure 1). The first outbreak occurred between 1981 and 170 1987, the second outbreak commenced in 2000 and declined in 2011, and a third outbreak 171 began in 2015 and continues. Of the infectious syphilis cases, 2.8% (n=128) were staged as early 172 neurosyphilis. When plotting the notification rate of early neurosyphilis cases, increases in the 173 rate were found at corresponding times to infectious syphilis outbreaks #2 and #3 (Figure 2). Of 174 the noninfectious syphilis cases staged during this time, 2.6% (115/4316) were staged with late 175 neurosyphilis. Similarly, for late neurosyphilis, peaks in notification rates were found shortly 176 after outbreak #1 and outbreak #2 (Figure 2). 177 Early neurosyphilis cases were significantly younger, more likely to be Caucasian, born in 178 Canada, diagnosed in recent decades (2010's), reported same sex partners, and HIV positive as

- 179 compared to late neurosyphilis cases (Table 2).
- 180 Table 2: Characteristics of Early and Late Neurosyphilis (Alberta, 1973 to March 2017; n=250)

Early Neurosyphilis	Late Neurosyphilis	Compar
		12

	Asympto	Sympto	Total	p-	Asympto	Sympto	Total	p-	ison o
	matic	matic	(n=1	Valu	matic	matic	(n=1	Valu	Early
	(n=28)	(n=105)	33)	e	(n=47)	(n=70)	17)	e	and
									Late p
									Value
Median	40 (32-	47 (39-	44	0.02	45 (32-	64 (53-	58	<0.0	<0.001
Age	46)	55)	(36-		65)	75)	(45-	01	
(IQR)			54)				70)		
Gender									
Female	8 (28.6)	12	20	0.02	6 (12.8)	15	21	0.23	0.54
		(11.4)	(15.0	1		(21.4)	(17.9		
)		4.)		
Male	20 (71.4)	93	113		41 (87.2)	55	96		
		(88.6)	(85.0		2	(78.6)	(82.1		
)			0)		
Ethnicit									
у									
Indigeno	6 (21.4)	6 (5.7)	12	0.00	6 (12.8)	3 (4.3)	9	0.44	<0.001
us			(9.0)	1			(7.7)		

Caucasia	10 (35.7)	78	88		14 (29.8)	23	37		
n		(74.3)	(66.2			(32.9)	(31.6		
))		
Other	3 (10.7)	4 (3.8)	7		16 (34.0)	27	43		
			(5.3)			(38.6)	(36.8		
		\sim)		
Unknow	9 (32.1)	17	26		11 (23.4)	17	28		
n		(16.2)	(19.5			(24.3)	(23.9		
))		
Municip				4					
ality				~					
Calgary	9 (32.1)	32	41	0.62	10 (21.3)	22	32	0.46	0.59
		(30.5)	(30.8		0	(31.4)	(27.4		
)		2)		
Edmont	15 (53.6)	48	63		28 (59.6)	35	63		
on		(45.7)	(47.4			(50.0)	(53.8		
))		
Other	4 (14.3)	25	29		9 (19.1)	13	22		
		(23.8)	(21.8			(18.6)	(18.8		
))		

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Country									
of Birth									
Canada	16 (57.1)	56	72	0.23	23 (48.9)	16	39	0.01	<0.001
		(53.3)	(54.1			(22.9)	(33.3		
))		
Outside	5 (17.9)	9 (8.6)	14		17 (36.2)	36	53		
of		0	(10.5			(51.4)	(45.3		
Canada))		
Unknow	7 (25.0)	40	47		7 (14.9)	18	25		
n		(38.1)	(35.3	4		(25.7)	(21.4		
)	3)		
Decade					4.				
of					9				
Diagnosi					2				
S						0			
1970's	1 (3.6)	1 (1.0)	2	0.00	4 (8.5)	1 (1.4)	5	0.29	<0.001
			(1.5)	1			(4.3)		
1980's	6 (21.4)	3 (2.9)	9		13 (27.7)	15	28		
			(6.8)			(21.4)	(23.9		
)		

1990's	3 (10.7)	5 (4.8)	8		6 (12.8)	9 (12.7)	15		
			(6.0)				(12.8		
)		
2000's	8 (28.6)	28	36		4 (8.5)	20	24		
		(26.7)	(27.1			(28.6)	(20.5		
		\land))		
2010's	10 (35.7)	68	78		20 (42.6)	25	45		
		(64.8)	(58.6			(35.7)	(38.5		
))		
Sexual			9	4					
Partners				1					
Heteros	12 (42.9)	46	58	1.00	30 (63.8)	38	68	0.00	<0.002
exual		(43.8)	(43.6		0	(54.3)	(58.1	2	
)		.4)		
Same	14 (50.0)	52	66		11 (23.4)	5 (7.1)	16		
Sex		(49.5)	(49.6			2	(13.7		
))		
Unknow	2 (7.1)	7 (6.7)	9		6 (12.8)	27	33		
n			(6.8)			(38.6)	(28.2		
)		

HIV									
Status									
Negative	5 (17.9)	68	73	<0.0	19 (40.4)	27	46	0.31	<0.0
		(64.8)	(54.9	01		(38.6)	(39.3		
))		
Positive	17 (60.7)	30	47		8 (17.0)	6 (8.6)	14		
		(28.6)	(35.3				(12.0		
))		
Unknow	6 (21.4)	7 (6.7)	13		20 (42.6)	37	57		
n			(9.8)	4		(52.9)	(48.7		
				~)		
Treatme					4.				
nt					0				
Penicilli	22 (78.6)	82	104	0.87	21 (44.7)	63	84	<0.0	0.43
n G		(78.1)	(78.2			(90.0)	(71.8	01	
))		
Ceftriax	3 (10.7)	14	17		12 (25.5)	5 (7.1)	17		
one		(13.3)	(12.8				(14.5		
))		

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	Other	3 (10.7)	9 (8.6)	12		14 (29.8)	2 (2.9)	16		
				(9.0)				(13.7		
)		
181										
82	Among ea	arly neurosyp	hilis cases,	79.0% (n=105)	were sympt	comatic; syr	nptoma	itic cas	es were
83	more likel	y to be older	r, male, Cau	casian,	recentl	y diagnosed	(2010's), a	nd HIV r	negativ	ve
84	compared	l to asympto	matic cases	. Among	g late n	eurosyphilis	cases, 59.8	3% (n=70	0) were	e
85	symptoma	atic; symptoi	matic cases	were m	ore like	ely to be old	er, born ou	tside of	Canad	a, and
86	treated w	ith intravend	ous penicillir	n G, and	less lik	ely to have	a same sex	partner	as cor	npared to
37	asympton	natic cases.								
88										
89	The major	rity (79.9%; r	139) of sy	mptoma	atic cas	es reported	a single ma	anifestat	tion. Tł	ne most
90	common	clinical mani	festation of	the sym	ptoma	tic cases (41	l.1%; n=72)	was oc	ular	
91	involveme	ent; early ne	urosyphilis d	cases we	ere moi	re likely to h	ave ocular	involver	ment tl	han late
92	neurosypl	hilis cases (Ta	able 3). The	first cas	se of oc	ular syphilis	was repor	ted in 19	990 wit	th the
93	majority (68.1%; n=49) of cases be	eing dia	gnosed	between 20	010 and 20:	17. The s	second	l most
94	common	(33.7%; n=59) manifesta	tion of s	sympto	matic neuro	osyphilis wa	is cognit	ive im	pairment
95	with signi	ficantly more	e late neuro	syphilis	cases r	eporting the	ese sympto	ms than	early	cases.
96	Twelve (6	.9 %) cases r	eported aud	litory sy	mptom	ns and 10.9%	6 (n=19) rej	oorted a	itaxia. I	Nearly
197	one-third	(29.7%; n=5	2) of cases r	eportec	l other	symptoms i	ncluding ap	ohasia, s	troke,	reduced
198	level of co	onsciousness	, headache,	and uns	specifie	ed neurologi	cal sympto	ms.		
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200 2017; n=175)

Manifestation	Early Neurosyphilis	Late Neurosyphilis	P-Value
	(n=105)	(n=70)	
Ocular	59 (56.2)	13 (18.6)	<0.001
Cognitive Impairment	20 (19.0)	39 (55.7)	<0.001
Ataxia	9 (8.6)	10 (14.3)	0.23
Auditory	10 (9.5)	2 (2.9)	0.13
Other*	30 (28.6)	22 (31.4)	0.69

•
•

 *Aphasia, reduced level of consciousness, headache, unspecified neurological symptoms

Although the first HIV co-infected case was reported in 1986, over one-half (57.4%; n=35) of HIV co-infected cases were reported between 2010 and 2017. The majority (62.2%; n= 46) of cases with an unknown HIV status occurred between the 1970's and 1980's, prior to the clinical availability of diagnostic serology. Cases that had HIV test results were significantly younger (47 years; IQR: 37-55) than cases without HIV test results (64 years; IQR: 44-70; p<0.001).

208 Thirty-six (14.2%) of all the cases were diagnosed without a lumbar puncture result. Nearly all

209 of these clinical cases (97.2%; n=35) were symptomatic. The remaining asymptomatic case was

- 210 diagnosed based on an inadequate fall in RPR titres over time. There was no significant
- 211 difference by HIV status for those who had and did not have a lumbar puncture (p=0.62).
- 212 Clinical parameters by HIV status are outlined in Figure 3. Of the 2 HIV-positive and 6 HIV-
- 213 negative cases with negative clinical parameters, all were symptomatic cases.

2			
3 4	214		
5 6 7	215	The majority (74.4%; n=189) of all cases were treated with intravenous penicillin G.	
, 8 9	216	Asymptomatic late neurosyphilis cases were less likely to be treated with penicillin G (44.7%;	
10 11 12	217	n=21) as compared to symptomatic late neurosyphilis (90.0%; n=63; p<0.001). There was a ris	se
12 13 14	218	in the use of ceftriaxone in late neurosyphilis treatment from no use in the 1970's to 1990's, t	to
15 16	219	12.5% (n=3) in 2000's and 31.1% (n=14) in the 2010's. Other drug combinations for	
17 18 19	220	neurosyphilis was highest in the 1970's (85.7%; n=6) and dropped to a low of 4.3% (n=1) in th	e
20 21	221	1990's.	
22 23 24	222		
25 26	223	Discussion	
27 28 29	224	A review of the trends in reported cases of infectious syphilis from 1975 to March, 2017 in	
30 31	225	Alberta shows a cycling in the number of cases over time. During this time period, the first	
32 33	226	major outbreak of infectious syphilis occurred between 1981 and 1987, with the majority of	
34 35 36	227	cases between 1983 and 1985. ²⁰ A quiescent period of approximately two decades followed	
37 38	228	with a resurgence in infectious cases in 2000 followed by a decline in 2011 and then another	
39 40 41	229	rise in 2015. These observations are consistent with a study of long term trends in reported	
42 43	230	primary and secondary syphilis cases in the United States which showed recurrent peaks and	
44 45 46	231	troughs in approximately 10-year cycles. ²¹ This pattern of periodic resurgence of syphilis has	
47 48	232	variously been attributed to either failure to sustain control efforts, changing risk behaviours	
49 50 51	233	(such as crack cocaine use), and waxing and waning partial host immunity to infection at the	
52 53	234	population level. ^{22,23} Interestingly, our province observed a 20-year gap between the outbrea	k
54 55	235	in the mid 1980s and the mid 2000s. The reasons for this prolonged gap are unclear but are	
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236 likely multi-factorial including a well-established and sustained prevention and control program 237 for STIs in the province, emergence of HIV and the mass education that occurred during this 238 time period. This theory is supported by declining notification rates of gonorrhea and chlamydia 239 until 1998 and then subsequent rises to current rates.²⁴ Our observed rising notification rates of 240 infectious syphilis since the mid-2000s are consistent with many jurisdictions across Canada and 241 the United States.^{14,25} The rise in late latent syphilis in 2007 has been attributed to the 242 introduction of RSSS.²⁶ 243 244 Some studies have reported a rise in cases of neurosyphilis related to outbreaks of infectious 245 syphilis. One possible explanation for this is that the overall rise in notification rates of 246 infectious syphilis could potentially increase the pool of persons progressing to neurosyphilis 247 and tertiary syphilis. For example, a study conducted in British Columbia, one of Alberta's 248 neighboring provinces, reported that in the context of rising rates of infectious syphilis, the 249 neurosyphilis rate was 0.03 per 100,000 in 1992 and increased 27-fold to 0.8 per 100,000 in 250 2012.²⁷ Investigators from Guangdong province in China similarly reported an incidence rate 251 increase in neurosyphilis cases from 0.21 cases per 100,000 persons in 2009 to 0.31 cases per 252 100,000 persons in 2014 and in tertiary cases from 0.28 cases per 100,000 persons in 2009 to 253 0.36 cases per 100,000 persons in 2014.²⁸ Neither of these studies, however, distinguished 254 between early and late neurosyphilis cases. In our review, we observed a significant rise in early 255 neurosyphilis during the outbreak periods and a significant decline after the outbreak periods, 256 e.g. only one case was observed in 2012 after the second outbreak. We had expected to see a 257 sustained increase in late neurosyphilis cases based on the hypothesis that the number of

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untreated infected persons with syphilis would increase over time but there was no significant
increase during the overall observation period. Interestingly, a rise in late neurosyphilis cases
was observed towards the end of the outbreak periods, perhaps due to heightened awareness
and increased testing due to public health announcements during the outbreak periods and
also because late (tertiary) neurosyphilis can occur as soon as two years post-infection. ¹
Although individuals diagnosed with late symptomatic neurosyphilis are not infectious and
therefore not of concern from a public health perspective, these individuals would benefit from
screening and appropriate treatment for syphilis to prevent complications of tertiary syphilis. ¹
Early neurosyphilis cases were more likely to be younger, Caucasian, born in Canada, HIV
positive and reporting same sex partners. These observations parallel the observed rise in
infectious syphilis during the third outbreak and may also be related to selection bias since
lumbar punctures were more likely to be performed in HIV positive persons in the early years,
especially those with low CD ₄ counts (<350) and/or RPR \geq 1:32 dilutions as recommended in the
Canadian STI Guidelines. ²⁹ In addition, most clinicians providing care to HIV positive individuals
in Alberta would have offered regular syphilis screening in HIV positive individuals, as endorsed
for several years in the U.S. Department of Health and Human Services guidelines. ³⁰
The most common manifestation (40%) of symptomatic neurosyphilis was ocular involvement
with cases of early neurosyphilis more likely than cases of late neurosyphilis to have ocular
involvement (54% vs 17%, p<0.001). Two-thirds of ocular cases were reported between 2010
and 2017, with 46.4% reported among MSM, similar to other studies. ³¹ Late neurosyphilis cases
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3 4	280	were more likely to be older, born outside of Canada and less likely to report same sex
5 6 7	281	partners, paralleling the demographics of late latent cases of syphilis in our province (data not
8 9	282	shown).
10 11 12	283	
12 13 14	284	Our study identified very few (n=3) cardiovascular cases of tertiary syphilis with all cases
15 16	285	identified at the time of a diagnosis of aortic aneurysm. This likely represents an underestimate
17 18 19	286	in the actual number of cases of CV syphilis as we suspect that most patients with aortic
20 21	287	aneurysm or initial cardiovascular involvement do not have syphilis testing performed. In
22 23 24	288	Alberta, the provincial STI program facilitates the assessment of all late stage syphilis cases by a
25 26	289	physician who then conducts a neurological and cardiovascular examination. A chest
27 28 29	290	radiograph, recommended in the past in some jurisdictions to look for linear calcification of the
29 30 31	291	ascending aorta, a radiological sign of syphilitic aortitis is not routinely done. Chest radiographs
32 33	292	for the evaluation of CV syphilis in asymptomatic patients with LLS is of such low yield, that it is
34 35 36	293	not routinely recommended. ³² Neither clinical examination nor chest radiograph is likely to be
37 38	294	sensitive enough to identify cases of CV syphilis and given the presumed rarity of this condition
39 40 41	295	and that the treatment is the same as for LLS, further evaluations (e.g. echocardiograms) are
42 43	296	not warranted.
44 45 46	297	
47 48	298	No cases of gummatous syphilis were reported during our study period. Although syphilitic
49 50 51	299	gummas were reported in up to 15% cases in the pre-antibiotic era, it is possible that the
52 53	300	widespread use of antibiotics for other conditions, which may indirectly treat or partially treat
54 55	301	syphilis, has affected the occurrence.
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2 3 4	302		
5 6 7	303	One of the strengths of our study is that there was consistent reporting of all cases with	
8 9	304	positive syphilis serology over time by laboratories and active follow up by the provincial STI	
10 11	305	program with health care providers. We were able to apply current case definitions	
12 13 14	306	retrospectively to all cases; however, one of the limitations to retrospective review of data is	5
15 16	307	the possibility of inaccurate classification of cases. Our review by two experienced medical	
17 18 19	308	consultants resulted in only one case where insufficient information was available to classify	
20 21	309	the case with reasonable accuracy. Additional study limitations include changes in and qualit	y
22 23	310	of data collection practices over time, with improved data quality over time. The informatio	n
24 25 26	311	about gender of sex partners may have been inaccurate in earlier years due to stigma	
27 28	312	associated with same sex partners. Routine testing for HIV in cases of syphilis was also not	
29 30 31	313	conducted in earlier years and as such the number of concurrent HIV infections may be	
32 33	314	underestimated.	
34 35 36	315		
37 38	316	In summary, our review of tertiary and neurosyphilis cases in Alberta over a 44-year period	
39 40	317	found that early and late neurosyphilis cases continue to occur in the context of cycling of	
41 42 43	318	infectious syphilis outbreaks. Ocular disease was the most common manifestation of	
44 45	319	neurosyphilis in our study. On the other hand, cardiovascular syphilis was extremely rare and	b
46 47 48	320	no cases of gumma were identified. Ongoing identification of syphilis cases with prompt	
49 50	321	treatment and follow up continues to be important in the context of resurgence of infectiou	s
51 52 53	322	syphilis worldwide.	
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3 4	324	Author contributions: TL reviewed hard copy records of all cases and conducted data entry into
5 6 7	325	an Excel file; PS and AS reviewed and re-classified all cases; JG conducted data analysis; JG and
7 8 9	326	AS drafted initial versions of the manuscript; TL, PS, RC, JG, LB, RR, BR and AES helped develop
10 11 12	327	the study design and reviewed drafts of the manuscript.
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2 3 4	434	Figure 1. Rate per 100,000 Population of Syphilis by Type and Diagnosis Year (Alberta, Canada,
5 6	435	1975-2016)
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10 11	437	Figure 2. Number and Notification Rate of Early and Late Neurosyphilis by Year (Alberta, 1975-
12 13 14	438	2016)
14 15 16	439	
17 18 10	440	Figure 3: Algorithm of diagnosis of neurosyphilis among HIV-positive and HIV-negative cases
19 20 21	441	(Alberta, 1975-March 2017)
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27 28	444	(Alberta, 1975-March 2017)
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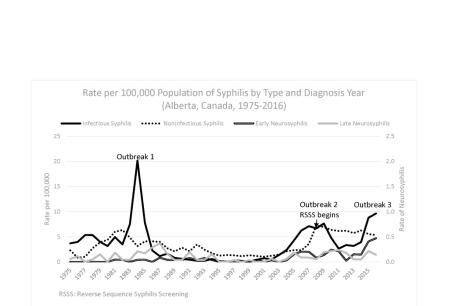


Figure 1. Rate per 100,000 Population of Syphilis by Type and Diagnosis Year (Alberta, Canada, 1975-2016)

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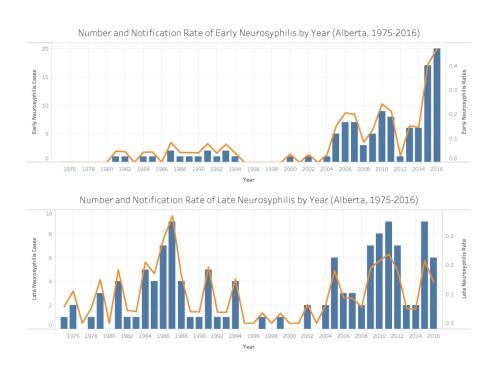


Figure 2. Rates of Early and Late Neurosyphilis during 2 Different Outbreak Periods with Linear Trend Line (Alberta, 1975-2016)

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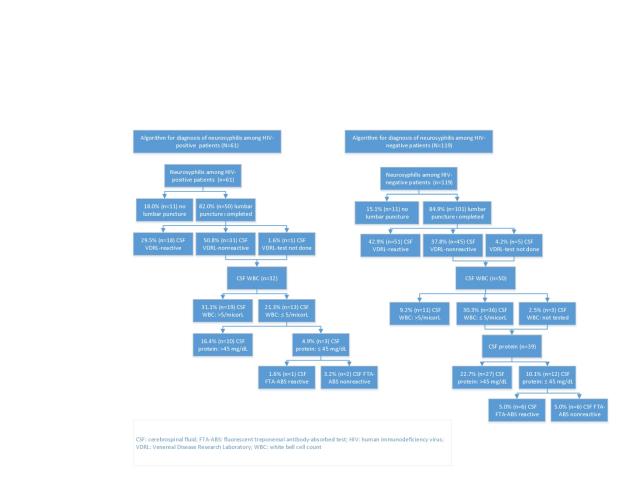


Figure 3: Algorithm of diagnosis of neurosyphilis among HIV-positive and HIV-negative cases (Alberta, 1975-March 2017)

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STROBE Statement—Checklist of items that should be included in reports of cross-sectional studies

	Item No	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or	1
		the abstract	
		(b) Provide in the abstract an informative and balanced summary of what	2
		was done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being	4-5
		reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	5-6
Methods			
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of	6
		recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection	6
-		of participants	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders,	6-7
		and effect modifiers. Give diagnostic criteria, if applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of methods	7
measurement		of assessment (measurement). Describe comparability of assessment	
		methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	6
Quantitative	11	Explain how quantitative variables were handled in the analyses. If	7
variables		applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	7
		confounding	
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	7
		(d) If applicable, describe analytical methods taking account of sampling	N/A
		strategy	
		(e) Describe any sensitivity analyses	N/A
Results			
Bias Study size Quantitative variables Statistical methods Results Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers	7-8
		potentially eligible, examined for eligibility, confirmed eligible, included	
		in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical,	Table 2
		social) and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of	Table 2
		interest	
Outcome data	15*	Report numbers of outcome events or summary measures	8
Main results	16	(<i>a</i>) Give unadjusted estimates and, if applicable, confounder-adjusted	
		estimates and their precision (eg, 95% confidence interval). Make clear	
		which confounders were adjusted for and why they were included	

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		(b) Report category boundaries when continuous variables were	Table 2
		categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute	N/A
	risk	risk for a meaningful time period	
Other analyses	17	Report other analyses done-eg analyses of subgroups and interactions,	Figure 2
		and sensitivity analyses	8-9
Discussion			
Key results	18	Summarise key results with reference to study objectives	11-12
Limitations	19	Discuss limitations of the study, taking into account sources of potential	14
		bias or imprecision. Discuss both direction and magnitude of any potential	
		bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	15
		limitations, multiplicity of analyses, results from similar studies, and other	
		relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	15
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study	3
		and, if applicable, for the original study on which the present article is	
		based	

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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