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# **BMJ Open**

# The rate of normal lung function decline in ageing adults: a systematic review of prospective cohort studies

Journal:	BMJ Open
Manuscript ID	bmjopen-2018-028150
Article Type:	Research
Date Submitted by the Author:	23-Nov-2018
Complete List of Authors:	Thomas, Elizabeth; Bond University Faculty of Health Sciences and Medicine, Faculty of Health Sciences and Medicine Guppy, Michelle; Bond University Faculty of Health Sciences and Medicine, Centre for Research in Evidence-Based Practice; University of New England, School of Rural Medicine Straus, Sharon; University of Toronto Department of Medicine; St. Michael's Hospital, Li Ka Shing Knowledge Institute Bell, Katy; University of Sydney, School of Public Health; Bond University Faculty of Health Sciences and Medicine, Centre for Research in Evidence-Based Practice Glasziou, Paul; Bond University Faculty of Health Sciences and Medicine, Centre for Research in Evidence-Based Practice
Keywords:	Ageing, age-related decline, lung function tests, cohort studies, systematic review



The rate of normal lung function decline in ageing adults: a systematic review of prospective cohort studies

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Word Count: 3825

Key Words Ageing, age-related decline, lung function tests, cohort studies, systematic review

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# ABSTRACT

Objective To conduct a systematic review investigating the normal age-related changes in lung function in adults without known lung disease.

Design Systematic review.

Data sources MEDLINE, Embase and CINAHL were searched for eligible studies from inception to December 11, 2017. This was supplemented by manual searches of reference lists and clinical trial registries.

Eligibility criteria We planned to include prospective cohort studies and randomised controlled trials (control arms) that measured changes in lung function over time in asymptomatic adults without known respiratory disease.

Review methods Two authors independently determined the eligibility of studies, extracted data, and assessed the risk of bias of included studies using the modified Newcastle Ottawa Scale.

Results From 2194 records screened, we identified 15 cohort studies with 30,712 participants. All included studies demonstrated decline in lung function - FEV1, FVC and peak expiratory flow rate (PEFR) with age. In studies with longer follow-up (>10 years), rates of decline in  $FEV_1$  ranged from 17.7 to 29.2 ml/year (median 21.3 ml/year). Overall, men had faster absolute rates of decline (median 43.5ml/year) compared to women (median 30.5ml/year). Differences in relative FEV1 change from baseline, however, were not observed between men and women. The For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

ratio of FEV1/FVC was reported as an outcome in only one study, declining by 0.29% per year. An age-specific analysis showed that the rate of  $FEV_1$  function decline accelerates with each decade of age.

**Conclusions** Lung function - FEV1, FVC and PEFR - decline with age in people without known lung disease. The definition of chronic airway disease may need to be reconsidered to allow for normal ageing, and ensure that people likely to benefit from interventions are identified rather than healthy people who may be harmed by potential overdiagnosis and overtreatment. The first step would be to apply age, sex and ethnicity-adjusted FEV<sub>1</sub>/FVC thresholds to the disease definition of COPD. **Registration** PROSPERO CRD42018087066

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# Strengths and limitations

- This is the first review to provide estimates for the median decline in spirometry measures including the  $FEV_1$ , FVC and the  $FEV_1/FVC$  ratio based on longitudinal data.
- We used a modified version of the Newcastle-Ottawa Scale to assess risk of bias.
- The review may be prone to volunteer bias, and therefore may underestimate lung function decline among asymptomatic people.
- Only one study specifically reported the change of the  $FEV_1/FVC$  ratio with age, and we did not have access to unpublished individual participant data to allow calculation of the  $FEV_1/FVC$  ratio change where this was not reported.

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## INTRODUCTION

In 2016, the World Health Organization estimated that chronic obstructive pulmonary disease (COPD) affected 251 million people worldwide, with its prevalence continuing to rise with an ageing population.<sup>1</sup> Current guidelines in UK<sup>2</sup>, Australasia<sup>3</sup>, Europe and the United States<sup>4</sup> recommend that COPD is diagnosed if an individual has symptoms such as dyspnoea or sputum production, if they have known risk factors such as smoking or biomass fuel exposure, and if they demonstrate post-bronchodilator airflow limitation on spirometry. Airflow limitation on spirometry is defined when the ratio of forced expiratory volume in one second (FEV<sub>1</sub>) to forced vital capacity (FVC) is less than 70% after bronchodilator administration.<sup>2,3</sup> However, this arbitrary diagnostic threshold has attracted criticism as it does not adjust for age or sex.<sup>5-10</sup>

Ageing is invariably accompanied by changes in lung function due to factors such as loss of lung elasticity, weakened muscles of respiration, and decreased surface area for alveolar gas exchange. Several published cross-sectional studies<sup>9 11-13</sup> and longitudinal studies<sup>14 15</sup> report that lung function parameters such as FEV<sub>1</sub> and FVC decline with age.

The 2018 update of the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria<sup>16</sup> continues to suggest the use of the fixed ratio rather than an FEV1 or FVC that lies outside of For peer review only-http://bmjopen.bmj.com/site/about/guidelines.xhtml

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the lower limit of normal (LLN) range. While the fixed ratio threshold may be simple for clinicians to use, it does not consider that lung function measurements may change with age and vary with gender and ethnicity. Many laboratory tests already have different reference range values for different ages and electronic spirometry machines do the same. The GOLD criteria acknowledge that this arbitrary fixed threshold may overdiagnose normal healthy older adults as diseased and underdiagnose some younger people with disease as healthy.<sup>17 18</sup>

Longitudinal studies need to be identified so that normal changes in lung function can be calculated for different ages. Monitoring change could be used in practice to complement a single time point measurement to identify people who are not within the expected normal range. We aimed to perform a systematic review of prospective cohort studies and randomised controlled trials, that examined changes in lung function with age in asymptomatic individuals with no known lung disease who have never smoked. This knowledge will enable further work to develop age-, sex- and ethnicity-specific estimates that may be especially useful in a primary care setting. This implies that people are only diagnosed with COPD if their spirometry measurements fall outside of the normal range for their age, sex and ethnicity, rather than on the basis of a fixed value.

#### METHODS

## Protocol registration

The protocol for this review was drafted in accordance with the PRISMA statement and the Meta-analyses of Observational Studies in Epidemiology (MOOSE) reporting guidelines. It was registered on PROSPERO (CRD42018087066) and is available from http://www.crd.york.ac.uk/PROSPERO/display\_record.php?ID=CRD4201 8087066, see Supplementary File 1.

# Search strategy and inclusion criteria

We conducted electronic searches of MEDLINE, EMBASE and CINAHL databases from inception through to December 2017, using the search strategy specified in Supplementary File 2. This was developed with an information specialist. Electronic searches were complemented by manual searching through reference lists of studies that were identified for potential inclusion as well as backwards and forward searching. We also searched the WHO Clinical Trials registry and ClinicalTrials.gov registries using the key words "normal ageing", "lung function decline", "FEV1 decline", "FVC decline" and "lung decline".

We included cohort studies and also planned to include the control arms of randomised controlled trials that measured the decline of lung function in an aging population. The inclusion criteria were:

- Longitudinal studies that followed adults past the age of
   65 years;
- Participants did not have a known risk factor for respiratory disease (such as smoking, occupational

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inhalation), though studies could have included a comparator arm with participants with risk factors;

- Participants without respiratory symptoms such as wheeze, dyspnoea, chronic cough;
- Participants without known respiratory disease (chronic airways disease, asthma);
- Three or more measurements of lung function undertaken;
- Studies with a follow-up period of three years or longer; and
- Studies that measure lung function (i.e. FEV<sub>1</sub>, FVC, peak expiratory flow rate [PEFR]).

# Study selection and data extraction

Two authors (ETT, MG) independently screened the titles and abstracts of studies identified in the initial search for eligibility. Prior to commencing screening, a small subset of 50 titles were screened by the two reviewers as a calibration exercise to check for >80% agreement. Similarly, after screening, a calibration exercise was conducted for screening the full texts of the studies and targeting >80% agreement. The remaining full texts were retrieved and reviewed independently by the authors to determine eligibility for inclusion. Non-English publications were translated using Google Translate or with the assistance of a translator. Disagreements were resolved by consensus through discussion or with a third reviewer (PG).

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If there were multiple reports of the same study, the most recent publication with longest length of follow up was selected for inclusion, and if the two studies had a similar length of follow up then the study with the largest sample size was included. Two authors independently extracted data from the studies. The Excel data extraction form was piloted using ten studies prior to data extraction as a calibration exercise to check for adequate agreement (>80%) between the reviewers. Any disagreements were resolved by consensus or with a third reviewer. Extracted measures included study setting, year and duration, participant eligibility criteria, sample size, participants demographics (ethnicity, gender, baseline age), any known risk factors or exposures, baseline organ function, organ function measurements, number and frequency of measurements, average length of follow up and loss to follow up. We also accounted for the proportion of the cohort that subsequently developed symptoms or disease during the course of the followup.

We assessed risk of bias of included studies using the six items of the Newcastle Ottawa Scale (NOS)<sup>19</sup> for assessing quality of included cohort studies. Disagreements were resolved by discussion or a third reviewer.

Assessed factors included:

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1 2	• Representativeness of the exposed cohort (e.g. low risk:
3 4	random selection; high risk: non-random selection e.g.
5 6 7	volunteer sampling)
8 9	• Ascertainment of exposure - age (e.g. low risk: from
10 11	medical records; high risk: self-reported)
12 13 14	ullet Demonstration that the outcome of interest was not present
15 16	at start of study (e.g. low risk: participants were
17 18	excluded on the basis of demonstrated air flow limitation;
19 20 21	high risk: if participants were not screened)
21 22 23	• Assessment of outcome (e.g. low risk: spirometry; high
24 25	risk: subjective measure of lung function)
26 27	• Adequate duration of follow up (e.g. low risk: equal to or
28 29 30	greater than 3 years follow-up; high risk: less than 3
31 32	years of follow-up)
33 34	• Adequate follow up of cohorts (e.g. low risk: less than 20%
35 36 37	attrition, loss to follow-up explained; high risk: greater
38 39	than 20% attrition, unexplained loss to follow-up)
40 41	
42 43	Studies were assessed as good quality if they had low risk of
44 45 46	bias in all six domains, moderate quality if they had low risk
47 48	of bias in four or five domains and low quality if they had low
49 50	risk of bias for three or fewer domains.
52 53	
54 55	Statistical analysis

For each study cohort, we extracted the annual decline rates for each lung function measure. If these were not reported, we calculated crude decline rates for all reported lung function measure by subtracting the final measure from the initial measure and dividing the result by the duration of follow up. If these data were not available, we determined crude rates of decline from the graphs provided or contacted the study authors for original data. The data were first analysed descriptively using graphs to determine whether it was appropriate to pool the data. For continuous outcomes, the mean difference (MD) (or standardized mean difference if studies used different measuring scales) and standard deviations were calculated. The data were reported as an annual decline (unit/year). The overall rates of decline and corresponding 95% confidence intervals were presented in a forest plot. We planned to perform a metaanalysis to pool the estimates of decline.

We presented the data by functional parameter (FEV1, FEV0.75, FVC, PEFR), and planned to compare annual decline rates by sex and ethnicity in absolute and relative terms, where data were available. We also extracted and presented age-specific decline rates by decade of age if studies reported these data. We planned to separately analyse the data of those who developed disease during follow-up. We also planned to examine for birth cohort effects if the data were available. Sensitivity analyses were planned for study duration greater than ten years.

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# Patient and Public Involvement

Patients were not involved in the design, data extraction or data analysis of this review.

## RESULTS

# Study characteristics

From searches of Medline, Embase and CINAHL performed on December 11 2017, we identified 2,194 records. An additional 54 records were identified from clinical trials registries and reference list searches. From these, we retrieved 130 papers for full text review; 114 of these did not meet our selection criteria and a further six were removed as duplicates. In total, 15 studies<sup>20-34</sup> were included in the systematic review (with one study contributing two data sets<sup>29</sup>) (Figure 1). The studies included 30,712 participants and were conducted between 1959 and 2012 ranging from five to twenty-four years in duration (Table 1).

3 <b>-</b> 4 5 6 7	Source ID	Cohort	Study duration (years)	Study Centres	Study Setting	Study period	Sample Size	Mean Age (SD)	%Male	Outcome	Time points of measurement
8 <b>-</b> 9	Ahmadi-Abhari 2014	EPIC-Norfolk	13	1	England	1993 - 2011	8062	58.5 (9.2)**	45	FEV1, FVC	3 (0, 4,13 years)
10 11 12	Bartholomew 1998	Busselton Population Health Surveys	6	1	Australia	1966 - 1981	1499	41.6 (16.1)	29.7	FEV1, FVC	3 (0,3,6 years)
13 14 15	Burchfiel 1995	Kuakini Honolulu Heart Program	6	1	USA	1965 - 1975	1248	54.6*	100	FEV1	3 (0,2,6 years)
16 17 18 19 20 21	Burrows 1986	Tucson Epidemiological study of obstructive Lung Disease (TESOLD)	9.6	1	USA	1972 - 1983	466	48.3 (19.1)	33.9	FEV1	mean 5.2
22 23 24	Griffith 2001	Cardiovascular Health Study	7	4	USA	1989 - 1997	5242	73.5 / 72.7 (5.5)/ (5.2)	42.4	FEV1, FVC	3 (0,4,7 years)
25 25 26	Lange 1998	Copenhagen City Heart Study	15	1	Denmark	1976 - 1994	4305	51.7^	37	FEV1	3- Cycle 1: 1976 - 1978, Cycle 2: 1981-1983, Cycle 3: 1991-1994
27 28 29 30 31	Liao 2015	Framingham Heart Study	17	1	USA	1983 - 2007	543	47.6 (10.5)**	38.1**	FEV1, FEV1/FVC	5 - Cycle 1: 1983-1987, Cycle 2: 1987-1991, Cycle 3: 1991-1995, Cycle 4: 1995-1998, Cycle 5: 2007
32 33 34	Maselko 2006	MacArthur Successful Aging study	7	3	USA	1988-1995	544	74	31.8	PEFR	3 (0,3,7 years),
35 36 37	Pearson 1998	Baltimore Longitudinal Study of Aging	Males: 11.5 Females: 5 7	1	USA	1962 - 1991	173	42.4	52.6	FEV1	4.6 / 3 (every 2 years)
38 39 40 41 42	Pelkonen 2001	Seven Countries Study	30	2	Finland	1959 - 1989	200	47.6 (30 years) 49.4 (15 years)	100	FEV0.75	6 (0,5,10,15,20,25,30 years)
42 43 44				For	peer review o	nly - http://bmj	open.bmj.com/site/	about/guideline	s.xhtml		14

# $\frac{1}{2}$ Table 1. Characteristics of included studies

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1 2											
3 4 5 6	Proctor 2006	Origins of Variance in the Old-Old (OCTO-Twin)	8	1	Sweden	1991 – 2003		83.2 (2.8)	33.0	PEFR	5 (0,2,4,6,8 years)
7 8 9	Sherman 1992	Six Cities study of Air Pollution and Health	12	6	USA	1974-1989	1486	47.2 / 48.2** (12.3) / (12.5)	32.0	FEV1, FVC	4 (0,3,6,12 years)
10 11 12 13 14 15 16	Triebner 2017	European Community Respiratory Health Survey	19.7^	8	Denmark; Germany; Spain; France; Iceland; Norway; Sweden; Fstonia	1991-2012	648	36.2**^	0	FEV1, FVC	3 - Cycle 1: 1991-1994 Cycle 2: 1998-2002 Cycle 3: 2010-2012
17	Wang 2004	-	5	1	USA	1985 - 1992	71	37^ (19-65)**	100	FEV1	3-11; every 6 months
19 20 21 22 23 24	Xu 1995	Dutch Study on Asthma and Chronic Obstructive Pulmonary Diseases	24	2	The Netherlands	1965-1990	6293	35.06 / 44.5 (10.5)/ (11.4)	22.5	FEV1	9 (every 3 years)
24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41	*Calcula ** estim ^ Media # / # inc	ited from taking th ates include sm n (Range) dicates Males / F	ne midpoint okers <sup>-</sup> emales	of each	age group and	averaging acc	ording to nu	mber of people in	each age	group	
42 43 44 45				I	For peer review or	ıly - http://bmjop	en.bmj.com/s	ite/about/guidelines.	xhtml		15

# Overall age-related lung function decline

A meta-analysis was not performed due to substantial heterogeneity across the included studies, and a narrative synthesis was undertaken instead. Twelve studies reported changes in  $FEV_1$  as an outcome. All studies demonstrated a decline with age, with overall rates of decline from each study ranging from 9.9 to 56.0ml/year (median 27.5ml/year). Seven of these studies examined the differences in rates of decline between males and females, showing greater absolute FEV1 decline in males (median 43.5ml/year) than females (median 30.5ml/year) (Table 2, Figure 2). Relative rates of  $FEV_1$  decline were calculated for men in eight studies and women in six studies that reported baseline FEV1 values. There was no statistically significant difference between men and women's relative change of  $FEV_1$  from baseline (p=0.7).  $FEV_{0.75}$  decline was reported in one study.<sup>29</sup> This study provided two data sets (follow up after 15 years, 30 years) provided in Table 2.

Four studies reported changes in FVC, with rate of decline estimates ranging from 14.1ml/year in the youngest cohort<sup>32</sup> (median age 36.2 years) to 65.6ml/year in the older cohort<sup>24</sup> (mean age 73.0 years). In studies that measured FEV1 and FVC over time, there was a greater decline in FEV1 than FVC in one study, and greater decline in FVC than FEV1 in three studies. These measures are average estimates across study participants

1 2	and do not enable calculation of individuals' FEV1/FVC ratios.
3 4	In the one study where individuals' ${ m FEV}_1/{ m FVC}$ ratios were
5 6	reported as an outcome $^{26}$ , there was a decline by 0.29% per year.
/ 8 9	PEFR was reported as an outcome in two studies, $^{27\ 30}$ which showed
10 11	decline rates ranging from -6.6L/min/year in females to -
12 13	11.5L/min/year in males.
13         14         15         16         17         18         19         20         21         22         23         24         25         26         27         28         29         30         31         32         33         34         35         36         37         38         39         40         41         42         43         44         45         46         47         48         49         50         51         52         53         54         55         56	
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Source ID	Mean age	Duration	Sam	ple size	Mean abs decl (S	solute unit ine/yr SD)	Overal decli	l relative ne (%)	Confounding variables					
			MALE	FEMALE	MALE FEMALE		MALE FEMALE		ALE FEMALE MALE FEMALE MAL		MALE	FEMALE		
FEV₁ (mL)														
Ahmadi 2014	58.5** (9.2)	13	3621	4441	-1 (7	17.7 8.6)			Smoking; CRP categories					
Bartholomew 1998	41.6 (16.1)	6	445	1054	-43.5 (100.4)	-30.5 (144.8)	1.1	1.2	Smoking; Increased BMI					
Burchfiel 1995	54.6^	6	1248		-21.6°		0.7		Smoking status					
Burrows 1986	48.3 (19.1)	9.6	158	308	-10.3°	-9.1° (5.7)			-					
Griffith 2001	73.0** (5.3)	7	1976**	2604**	-52.3 (3.1) ª	-47.0 (2.8)ª	1.9	1.7	Caucasian vs African American (only 2 measurements), Smoking					
Lange 1998	51.7^	15	1592	2713	-23.5 (10.4)	-18.3 (10.0)	0.8	0.8	Asthmatics vs non-asthmatic, Smoker vs non smoker					
Liao 2015	47.4** (10.6)	17	207***	336***	-2 (14	5.8 .0)**			Smoking, Height, Less vs more likely dust exposure					
Pearson 1998	42.4	11.5/5.7	91	82	-43.5	-35.1	1.0	1.3	-					
Sherman 1992	47.9 (12.4)	12	475	1011	-32.8 (29.5)	-27.5 (20.4)	1.0	1.1	Smoking					
Triebner 2017	36.2†	19.7 <sup>†</sup>		648		-22.4 (36.4)			Menopausal status, BMI					
Wang 2004	37† (19-65)	5	71		-56.0 (45.0)		1.3							
Xu 1995*	42.4^ (11.9)	24	1418	4875	-28.3 (138.5)	-16.0 (135.5)	0.7	0.5						
	(11.9)		For peer	review only - ht	(138.5)	(135.5)	oout/guideline	es.xhtml						

Table 2. Reported rates of annual lung function decline (FEV1, FVC, PEFR, FEV0.75) in a nonsmoking, non-diseased, asymptomatic population from 16 cohort studies.

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3	FVC (mL)									
4 5	Ahmadi 2014	58.5** (9.2)	13	3621	4441	-31	.1 3 1)			Smoking; CRP categories
6 7	Bartholomew	41.6	6	445	1054	-47.2 (104.0)	-36.0	1.0	1.1	Smoking
8	1550	(10.1)				(104.0)	(104.0)			
9	Griffith 2001	73.0**	7	1976**	2604**	-78.4ª	-65.6ª	2.9	2.4	Caucasian vs African American (only
10		(5.3)				(4.2)	(3.8)			2 measurements), Smoking
11										
12	Triebner 2017	36.2†	19.7†		648		-14.1			Menopausal status, BMI
13							(42.8)			
14	FEV <sub>1</sub> /FVC									
15	Liao 2015	47.4**	17	207** 🧹	336**	-0.00	)29			Smoking, Less vs more likely dust
16		(10.6)				(0.002	23)**			exposure
17										
18	FEV <sub>0.75</sub> (mL)									
19	Pelkonen	47.6	30	100		-34.8		1.0		Smoking
20	2001(a)									_
21	Pelkonen	49.4	15	200		-46.4		1.4		Smoking
22	2001(b)									
23	PEFR (L/min)									
24	Maselko 2006	74	7	173	371	-8.6	-8.6	2.0	2.3	Smoking
25						(30.3)	(34.7)			
26										
27	Proctor 2006*	83.2	8	191	388	-11.5	-6.6	2.9	2.4	
28		(2.8)				(2.2) <sup>a</sup>	(1.1) <sup>a</sup>			
29	*A nor	n-linear relati	ionship was	also reported	in the author	s' data analysis	S.			
21	** Bas	ed on estima	ates includin	g smokers			<i>.</i> .			
22	***Esti	mates base	d on the ass	umption that	there was an	equal proportio	n of non-smoke	rs who were m	ale/female	
32	Avera	ige derived f	rom taking ti	ne miapoint v	alue of each a	age group and	calculating the o	overall mean a	ge accordi	ng to proportion in each group.
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# Age-specific lung function decline by decade of age

The age-specific rates of  $FEV_1$  change by decade of age were extracted or calculated from three studies.<sup>22 23 28</sup> In all but one study, estimates of decline increased from the fourth (age 30-40 years) to eighth (age 70-80 years) decades of life (Table 3). Two other studies also reported that the rate of decline may be non-linear in multiple regression models of  $FEV_1$  and FVC decline (where age squared was also a statistically significant

variable).<sup>34 35</sup>

Study ID	Sample	Absolute mean decade-specific FEV <sub>1</sub> function decline rates (ml/year)							
	Size (n)	30-39	40-49	50-59	60-69	70-79			
Burchfiel 1995*	Male (1248)		-19.5**	-21.6	-25.0				
Burrows 1986	Male (158)	+2.83	-3.01	-8.85	-14.69	-20.53			
	Female (308)	+2.73	-2.51	-7.76	-13.01	-18.26			
Pearson 1998	Female (82)	-23.8	-33.4	-30	-23.4	-25.8			
	Male (91)	-34	-34	-34	-34	-34			

# Table 3. Age-specific lung function decline by decade of age as

reported in three cohorts

# \*Estimates adjust for covariates including height and age \*\*Includes participants 45-49.

Two studies examined lung function change within age brackets that did not conform to our decade-specific analysis.

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Bartholomew 1998<sup>21</sup> reported greater decline rates in never smokers aged above 45 years (females: -30.7ml/year, males -45.8ml/year) compared to those aged below 45 years (females: -24.3ml/year, males: -36.8ml/year). Lange 1998<sup>25</sup> compared decline rates in both male and female non-smokers in 20-year age groups. Females aged 60-79 years had the greatest decline rates (-31.7 ± 2.1ml/year) compared to the 40-59 age group (-17.7 ± 1.4ml/year) and the 20-39 age group which reported an increase of  $5.0 \pm$ 2.7ml/year. Similarly, males aged 60-79 years had the greatest decline rates (-37.1 ± 3.7ml/year) compared to the 40-59 year age group (-24.2 ± 2.6ml/year) and the 20-39 year age group (-4.6 ± 4.2ml/year).

# Overall rates of mortality/symptom/disease development

Few studies reported these outcomes in an initially asymptomatic, non-smoking population. One study (Proctor)<sup>30</sup> reported 85% mortality rate in the elderly cohort (age range 79 - 96) over eight years. Another study (Lange 1998)<sup>25</sup> reported that in their study of non-asthmatics, 364 (2%) patients who did not report having asthma at the beginning of the study, later reported it in follow up. However, this estimate included smokers. One study (Wang)<sup>33</sup> performed their analyses on a highly screened population, meaning they excluded participants from all analyses who developed disease or symptoms during study follow up. No studies reported the rates of lung function change in

those who developed disease during the course of the study compared with those who did not.

# Sensitivity analyses

Heterogeneity in study duration was explored in Figure 3A. After removing studies with a follow up of less than ten years, the median rate of decline of  $FEV_1$  was 21.3ml/year (Figure 3B).

# Predictors of the rate of decline in lung function in people without known lung disease

### Smoking

Although we didn't include smokers in our main analysis, some studies did compare non-smokers and smokers which we report here. The decline rates were compared in non-smokers with smokers in eight studies<sup>21 22 24-27 29 31</sup>. In all six studies measuring FEV<sub>1</sub>, smoking was observed to increase the rate of FEV<sub>1</sub> decline.<sup>21 22 24-26 31</sup> In the two studies measuring FVC, smoking increased FVC decline<sup>21 24</sup>. FEV<sub>1</sub>/FVC decline was greater in smokers than nonsmokers in one study<sup>26</sup> and FEV<sub>0.75</sub> in another study<sup>29</sup>.

### BMI

Two studies reported the association of BMI with  $FEV_1$  change. In Bartholomew 1998<sup>21</sup>, increased BMI significantly affected  $FEV_1$ decline (p = 0.008 for females; p=0.007 for males). However, an estimate for this association was not provided. In Triebner

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2	2017 <sup>32</sup> , obese individuals reported greater declines of FEV1
3 4	(29ml/year) and FVC (25ml/year) compared to individuals with
5 6	normal BMI (FEV1 22ml/year, FVC 10ml/year).
7 8 0	Ethnicity
9 10 11	Griffith <sup>24</sup> was the only study that assessed ethnicity,
12 13	specifically comparing African-American participants to White
14 15	participants. We did not include the African-American cohort in
16 17	our applusie of only two more unon to use performed on this
18	our analysis as only two measurements were performed on this
19 20	population. However, $\ensuremath{FEV}_1$ and $\ensuremath{FVC}$ declines were greater in Whites
21 22	compared to African-Americans.
25 24 25	Systolic blood pressure
26 27	Griffith <sup>24</sup> examined the correlation of systolic blood pressure
28 29	greater than 160mmHg with $\text{FEV}_1$ and FVC decline and found that
30 31	declines were on average 5.6ml/year and 10.9ml/year greater
32 33	respectively (p <0.01).
35 36	Dust exposure
37 38	Liao <sup>26</sup> explored the effects of dust exposure on $FEV_1$ and $FEV_1/FVC$
39 40	decline. Participants with more dust exposure experienced a mean
41 42	$FEV_1$ decline that was 4.5ml/year greater than participants with
43 44	less dust exposure ( $p=0.007$ ). Dust exposure did not
45 46	eignificantly affect FEW (EWC natio dealine suggesting that EVC
47 48	Significantly affect FEV1/FVC facto decline, suggesting that FVC
49 50	declined in parallel to FEV1.
51 52	Menopausal status
53 54	Triebner <sup>32</sup> reported that menopausal status affected the rate of
55 56	decline, with rates of $\text{FEV}_1$ decline on average 3.8ml/year greater
57 58	

in peri-menopausal women, and 5.2ml/year greater in postmenopausal women. FVC decline was 10.2ml/year greater in peri-menopausal women, and 12.5ml/year greater in postmenopausal women, compared to pre-menopausal women.

# Risk of bias

Risk of bias was determined using a modified version of the Newcastle-Ottawa Scale<sup>19</sup> (Figures 4, 5). No studies received low risk of bias in all domains, but four studies had a low risk of bias in all but one domain.<sup>23 28 31</sup> Thirteen studies (81%) were graded as having low risk of bias for representativeness of the population. Six studies (38%) were judged as low risk of bias on how they ascertained the age of the participants (from Medicare eligibility lists or health records). Five cohort studies (31%) clearly demonstrated that pulmonary impairment was not present in participants at the beginning of the study. All studies (100%) used a spirometer to measure lung function which is a validated objective instrument. All studies (100%) had adequate duration of follow-up (three years or longer). Fourteen studies (88%) had a high risk of bias for having high attrition rates in their studies (>20%).

# DISCUSSION

Statement of principal findings

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This systematic review of fifteen prospective cohort studies conducted in thirteen countries provides a summary of all the available evidence looking at lung function change with age. Lung function declines with age in normal, asymptomatic adults with higher rates of decline in absolute lung function parameters in men compared to women. However, the relative rates of decline from baseline between men and women do not differ significantly. The decline in absolute and relative lung function parameters also accelerates with age and is exacerbated by factors such as smoking and BMI. We were unable to compare lung function decline rates of different ethnicities due to insufficient data. There was a paucity of longitudinal studies that reported changes in FEV<sub>1</sub>/FVC rather than reporting the two parameters separately.

# Strengths and weaknesses of the study

This systematic review examined all the available primary studies to allow an examination of the consistency of estimates of decline in FEV1, FVC, FEV1/FVC ratio and PEFR. This review particularly focused on older adults; this group is relatively understudied and yet more prone to overdiagnosis and misdiagnosis.<sup>6 & 18</sup> While the majority of current prediction equations of lung function are based on cross-sectional studies<sup>36-39</sup> our review searched for longitudinal studies as they change in lung function may provide a complement to measurement

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at one time point in predicting future lung function.<sup>37</sup> Our review included participants who were ageing normally, but may have had non-pulmonary co-morbidities such as hypertension and diabetes mellitus. This enabled us to investigate a population that was more representative of a normal ageing population.

Our review has some limitations. We did not have access to unpublished individual participant data to allow calculation of  $FEV_1/FVC$  for the majority of studies, where this were not reported. Individual patient data would also allow a more robust analysis of changes in lung function between individuals in the studies. We were unable to pool the results due to significant heterogeneity across the populations. This review's findings are also limited by the quality of the included studies, all of which were judged moderate or low quality. Since this review is based on limited populations, the findings may not be generalisable to all individuals, especially those of non-Caucasian ethnicities or from less economically developed countries where smoking and air pollution may be more prevalent for example. The review's findings may underestimate lung function decline among asymptomatic people, as volunteer bias may be present with cohort studies where healthier individuals may be more likely to participate.

Our review did not consider the extent of short term withinperson variation, or "noise", in lung function measurements,

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which is likely to be considerable. <sup>40 41</sup> Any observed change in
measurement is a combination of the true change, or "signal",
and the random background "noise". The clinical utility of
monitoring lung function to decide whether or not COPD is
present, is in part determined by the ratio of signal to noise
in the measurements <sup>42</sup> Changes in measured lung function over a
lennen newied of time men he mene likely to indicate some two
longer period of time may be more likely to indicate some true
change rather than just background noise43, therefore we
specified in our inclusion criteria that eligible studies should
measure lung function on a minimum of three occasions.
We observed substantial heterogeneity across all of the included
studies and results. This may be due to inherent differences
within the populations studied (including distribution of ages,
proportion of men vs women and ethnicities) or the duration of
follow up, or that decline in normal healthy people may vary
across individuals without causing disease. We explored
differences in duration of follow-up as a potential source of
heterogeneity in a sensitivity analysis excluding studies with
less than ten years of follow up, but found that this did not
change the median estimate substantially.
Variation within the results may be explained by the "horse-
racing effect" where an initially low FEV, measurement may
facing effect, where an initially fow FEV1 measurement may
reflect a greater loss of function in the preceding years and
hence predicts faster decline in subsequent years.44 45 Regression
to the mean, due to inclusion of people with randomly high (or

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low) measured lung function in the primary studies, may also have contributed to heterogeneity of the results.<sup>46</sup> A simple way that primary studies may assess for a horse racing effect, while allowing for regression to the mean, is by constructing Bland-Altman plots of change vs mean FEV1 level<sup>47</sup> (or substituting PEFR for mean FEV1as these are highly correlated.<sup>48</sup>)

# Comparison with previous research

A number of cross-sectional studies have compared people diagnosed with COPD using a fixed threshold and the lower limit of normal (LLN) definition, reporting that the GOLD criteria leads to misdiagnosis of COPD.<sup>5-8</sup> <sup>49</sup> A prospective cohort study found that the fixed threshold of the GOLD criteria overdiagnosed a large proportion of elderly people over the age of 70, and the LLN criteria tended to under-diagnose COPD, when compared to the reference standard which consisted of an expert panel who used all available diagnostic information including spirometry.<sup>18</sup>

# Meaning of the study: possible explanations and implications for clinicians and policymakers

This review has found that lung function declines with age in all studied populations. The rate of decline appears to accelerate with age, and age-specific estimates of  $FEV_1$ , FVC and  $FEV_1/FVC$  ratio may be more appropriate for diagnosis of COPD than

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the fixed threshold currently used across all ages. Currently, prediction equations for calculating mean lung function values as well as the lower-limit of normal (LLN) for all ages are based on data from cross-sectional studies, however it is argued that this is problematic as they do not factor in the important dimension of time.<sup>50 51</sup> Therefore, more reliable age-specific estimates and prediction equations are required. Clinicians need to consider whether 'abnormal' spirometry results may in fact represent normal ageing. This is especially true for making a formal diagnosis of COPD. If a patient is symptomatic and has airflow obstruction as defined by GOLD criteria, it may be necessary to consider alternative diagnoses such as a dysphoea of cardiac origin. One proposal for identifying individuals who are experiencing greater loss of lung function than expected, is to develop 'decline charts' that predict  $FEV_1$  or  $FEV_1/FVC$  loss for different ages. This can allow clinicians to monitor lung function over time and assess whether individuals are tracking along expected decline curves. These

would also need to account for noise in measurement.

Future research should focus on conducting long-term longitudinal studies in less-studied populations, with emphasis on older adults. These studies should examine the rates of decline in people who eventually become symptomatic or develop

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disease. This information can guide clinicians to predict what rate of lung function decline may be a prognostic indicator of COPD onset and progression. Further well-designed prospective studies that investigate changes in FEV<sub>1</sub>/FVC may allow for the development of algorithms that predict individuals' expected lung function over time according to their sex, smoking history, age, BMI and ethnicity. The observed change in lung function parameters might then be compared to the expected change to help the clinician determine whether this is extreme enough to warrant diagnosis of disease.

### ACKNOWLEDGEMENTS

The authors would like to thank Mr. Justin Clark for his assistance with the literature search, Miss Mari Tashiro for her help with the translation of Japanese studies, Dr Mark Jones for his advice on statistical analysis and Dr Claudia Dobler for her specialist input on this review.

#### MANUSCRIPT PREPARATION

This manuscript was prepared in accordance with the PRISMA statement and the Meta-analyses of Observational Studies in Epidemiology (MOOSE) reporting guidelines.

# DETAILS OF FUNDING

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KJLB and PG have received funding from the Australian National Health and Medical Research Council (Centre for Research Excellence Grant No 1104136, Australia Fellowship No. 527500 and Program Grant No 633003). The funders had no role in design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript.

# ETHICAL APPROVAL

Ethical approval was not required for this study.

### DATA SHARING STATEMENT

No additional data available.

### CONTRIBUTOR STATEMENT

ETT was involved with devising the review methods, conducting electronic searches, screening of abstracts, data extraction, data analysis and interpretation, and co-drafting of the review. MG was involved with devising the review methods, screening of abstracts, data extraction, data analysis and interpretation and co-drafting the review. KJLB was involved with devising the review methods, data analysis and interpretation, and codrafting the review. SS was involved with devising the review methods, data analysis and interpretation, and co-

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review. PG was involved with devising the review methods, data analysis and interpretation, and co-drafting the review.

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All authors have completed the ICMJE uniform disclosure form at http://www.icmje.org/coi\_disclosure.pdf and declare: no support from any organization for the submitted work; no financial relationships with any organizations that might have an interest in the submitted work in the previous three years, no other relationships or activities that could appear to have influenced the submitted work.

# TRANSPARENCY DECLARATION

The lead author (ETT) affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and

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#### Figure Legends

Figure 1. Study flow diagram showing the process for inclusion of prospective RCTs and cohort studies for estimating the rate of lung function decline with age.

Figure 2. Estimates of the rate of  $FEV_1$  decline in males and females

Figure 3A. Effect of duration of study on the estimates of FEV<sub>1</sub> decline

Figure 3B. Sensitivity analysis exploring the effect of study duration on the estimates of  $FEV_1$  decline with studies with less than ten years of follow-up removed.

Figure 4. Risk of bias summary for prospective cohort studies estimating the rate of lung function decline with age, assessed using a modified form of the Newcastle-Ottawa Scale.

Figure 5. Graphical representation of the risk of bias in prospective cohort studies estimating the rate of lung function decline with age.



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#### Figure 2. Estimates of the rate of FEV1 decline in males and females

296x209mm (150 x 150 DPI)

# Average FEV1 decline by study duration



Average FEV1 decline in studies with follow up of at least 10 years



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Figure 5. Graphical representation of the risk of bias in prospective cohort studies estimating the rate of lung function decline with age.

249x81mm (72 x 72 DPI)

The rate of normal organ function decline with advancing age: protocol for a systematic review.

#### Authors

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#### **Key Words**

Normal ageing, organ function, age-related decline

#### ABSTRACT

**Background** The unprecedented rise in life expectancy in the last few decades has led to an increasing proportion of elderly people. Elderly individuals present a particularly complex challenge to health care due to their multiple comorbidities, frailty as well as their functional decline. In order to better understand and guide the care of geriatric patients, it is necessary to understand the natural rate of decline of various organ functions, so as not to inappropriately label them as having disease. This protocol is for a systematic review, which aims to calculate the rate of annual decline of lung, liver and pancreatic function as well as bone mineral density.

**Methods** An electronic literature search will be conducted in MEDLINE, EMBASE AND CINAHL from inception. Reference lists of included studies will also be searched for relevant prospective cohort studies and randomized controlled trials, which meet the pre-specified inclusion and exclusion criteria. The article selection and risk of bias of included studies will be determined independently by two reviewers. If possible, a meta-analysis will be conducted to pool estimates on the overall rate as well as the decade-specific rates of decline of the specified organ functions in a healthy aging cohort, and compare these estimates with cohorts that are exposed to risk factors.

**Discussion** This review aims to determine the rate of decline of organ function with age, and determine any predictors of decline. The results from this review will enable clinicians to better differentiate between physiological age-related decline and pathological decline when interpreting laboratory test results. This will prevent the overdiagnosis of elderly people with diseases that in fact represent normal ageing.

### Systematic review registration PROSPERO CRD42018087066

#### BACKGROUND Description of the condition

Advances in modern medicine have resulted in unprecedented rise in life expectancy. The average person's life expectancy has risen by 5 years in the last fifteen years alone, the fastest rate of growth since the 1960s<sup>1</sup>. This has led to a rise in the number and proportion of persons aged 65 years and older with multiple chronic conditions and frailty, posing a complex social and economic challenge to healthcare systems.

Ageing is accompanied by physiological changes in the function of most (if not all) organs and senses. The physiological functions of some organs, including the lungs and kidneys, have been documented to reach a peak in early adulthood and then decline thereafter with age<sup>2</sup>. The rates of age-related functional decline are dependent on a number of factors, including genetics and environmental factors<sup>3,4</sup>.

Measured lung function parameters decrease with age, due to factors such as loss of elasticity, weakened muscles of respiration and decreased surface area for alveolar gas exchange<sup>6</sup>. Several longitudinal studies have been performed to monitor and calculate the rate of FEV<sub>1</sub> (Forced expiratory volume in 1 second) decline, and highlight those who are at risk of developing disease<sup>3,7,8</sup>.

The liver also demonstrates measurable changes with age, with liver weight reported to decrease by as much as 20% after the age of 50 years<sup>2</sup>. Although some studies show that liver function tests do not change with age<sup>2,9,10</sup>, it is also established that albumin,- which is a marker of synthetic liver function, decreases with age (though this may in part, be due to other factors such as malnutrition or renal losses<sup>11</sup>). It has also been shown that the liver metabolises drugs slower in aged cohorts compared to younger cohorts<sup>2,12,13</sup>.

With advancing age, there is a progressive loss in number and function of insulin-producing beta-cells in the pancreas. This, coupled with increasing systemic insulin resistance in glucose receptors can result in the development of diabetes mellitus in the elderly<sup>14</sup>. Few studies have demonstrated this by monitoring healthy individuals for the development of impaired glucose tolerance or fasting glucose<sup>15</sup>.

Bone mineral density measurements also exhibits change with age, resulting in an increased risk of developing osteoporosis, which predisposes older people to minimal trauma fractures. Females have an accelerated decline of bone mass after the onset of menopause, due to declining oestrogen levels. Other factors, such as vitamin D, calcium levels, parathyroid gland function, renal function and gastrointestinal absorption also play a role in maintaining bone mass and skeletal function<sup>16</sup>.

Normal ageing may result in changes in laboratory test values and biomarkers, but these changes do not necessarily represent clinical impairment.<sup>5</sup> Even if laboratory tests show values that lie outside the reference ranges, organs have functional reserves that cannot easily be measured by standard laboratory testing. Laboratory test results should not be used as the sole basis for which a diagnosis of disease is made; rather, these values should be integrated with the patient's clinical symptoms in order to make a diagnosis.<sup>5</sup> A measured decrease in organ function also may not represent clinically significant decline,

instead demonstrating the normal process of ageing. One explanation for this may be that the demands of the elderly cohorts' activities of daily living are no longer the same as their younger counterparts.

#### Why it is important to do this review

Elderly people have increasingly been labelled with conditions such as prediabetes, chronic airways disease, osteopenia or liver disease as a result of laboratory testing. Although these conditions may represent a risk of progression to serious disease, which causes premature death, in many cases they may never progress to symptomatic disease and may even represent an expected level of function at that age.

A commonly-reported example is in chronic kidney disease, which is arbitrarily diagnosed by an eGFR (estimated glomerular filtration rate) threshold less than 60ml/min/1.73<sup>2</sup> for more than 3 months. There are no adjustments to this eGFR threshold for age, race or gender. Over 45% of the population over the age of 70 years have a diagnosis of chronic kidney disease according to this threshold<sup>17,18</sup>. Many of these individuals, however, never develop kidney failure or end stage renal disease, and have been inappropriately labeled (overdiagnosed) as having disease<sup>19</sup>.

It is important to distinguish pathological aging from physiological decline. Some measures of organ function (such as eGFR) are not calibrated by age or gender, causing overdiagnosis of healthy individuals with disease, which may never manifest or cause harm, and subsequent overtreatment. It is therefore important to clarify what constitutes normal for healthy, aging individuals. To our knowledge, no systematic review has been done to identify and compare the rates of functional decline across organs, and whether there are risk factors/predictors that are in common.

#### **OBJECTIVE**

This review aims to determine the average rate of decline of lung function, liver function, pancreatic endocrine function and bone mineral density in healthy individuals with advancing age.

#### **METHODS**

#### **Eligibility criteria**

#### **Types of studies**

This review will consider prospective cohort studies or randomised controlled trials, which employ longitudinal designs (only if they include a control arm that does not receive treatment) with a minimum duration of three years and three separate measurements. Studies that report the age-related decline of the specified organ functions will be eligible for inclusion, irrespective of publication status and language of publication.

#### **Types of participants**

Studies will be considered eligible for inclusion if they follow a cohort of adults to the age of 65 years or more. Participants who have a known risk factor, medical illness or pre-disease specific to the outcome being studied (i.e. participants with diabetes when investigating pancreatic function decline) will be excluded. Appropriate participants will be included irrespective of sex or ethnicity. Studies including pregnant women or children will be excluded.

#### Type of exposure

We will include studies involving ageing adults with no known comorbidities. Studies will be eligible for inclusion if they follow a normal cohort. Studies that only followed cohorts with risk factors or known exposures and did not compare them to a normal cohort will be excluded. We plan to assess whether there are certain predictors of decline that organs have in common. Examples of risk factors may include:

- Smoking
- Symptomatic hypertension
- High BMI
- Hyperlipidemia
- Diabetes mellitus
- Alcohol consumption

#### Types of outcome measures

We will include studies which report annual decline, or repeated measurements of organ function over time, to at least the age of 65 years. Studies should record a minimum of three measurements of organ function. Examples of these parameters include:

- Forced expiratory volume in 1 second (FEV<sub>1</sub>) for lung function
- Albumin as a marker of synthetic liver function
- Fasting blood sugar levels for pancreatic endocrine function
- Bone mineral density

#### SEARCH METHODS FOR IDENTIFICATION OF STUDIES

#### **Electronic searches**

We conducted electronic searches of MEDLINE, EMBASE and CINAHL databases from inception through to October 2017, using the search strategy at the end of this document. This was developed with the assistance of an information specialist.

#### Searching other resources

Electronic searches were complemented by manual searching through reference lists of studies that were identified for potential inclusion as well as backwards and forward searching.

#### DATA COLLECTION AND ANALYSIS

#### Selection of studies

Two authors will independently screen titles and abstracts of all studies identified by the searches for potential inclusion. Prior to commencing screening, a small subset of 50 titles will be screened by the two reviewers as a calibration exercise to check for >80% agreement. After screening, a calibration exercise will be conducted screening the full texts of the studies targeting >80% agreement. The remaining full texts will then be retrieved and reviewed independently by the authors to determine eligibility for inclusion. Disagreements will be resolved by discussion or with another reviewer. If there are multiple reports of the same study, the most recent publication with longest length of follow up will be included.

#### Data extraction and management

Two authors will independently extract data from the studies using a data extraction form. This form will be piloted using ten studies prior to data extraction as a calibration exercise to check for adequate agreement (>80%) between the reviewers. Data extraction will be performed using Excel and any disagreements will be resolved by discussion or by another reviewer. Extracted measures will include setting and year of the study, duration of the study, population size, ethnicity, baseline age, baseline organ function, organ function measurements, number and frequency of measurements, any known risk factors or exposures, proportion of those exposed, average length of follow up and loss to follow up. A random sample of the extraction will also be cross-checked by a third reviewer. All the measured outcomes (functional parameters) will initially be charted to show how often they are used in studies. A group of geriatricians and primary care physicians will be recruited from Bond University and Gold Coast Hospital and Health Service. Using the modified Delphi approach, these clinicians will be asked to independently rank the organ function parameters that they deem to be the most clinically relevant marker of organ function. The survey will be performed online. The highest ranked outcomes will then be included in the data analysis.

#### Assessment of risk of bias in included studies

Two authors will independently appraise the quality of the included studies, using the <u>Newcastle Ottawa</u> <u>Scale</u> (NOS) for assessing risk of bias in cohort studies. Disagreements will be resolved by discussion or a third reviewer. Factors that will be assessed include:

- Representativeness of the exposed cohort
- Selection of the non-exposed cohort
- Ascertainment of exposure
- Demonstration that the outcome of interest was not present at start of study
- Comparability of cohorts on the basis of design or analysis
- Assessment of outcome
- Adequate duration of follow up
- Adequate follow up of cohorts
- Other important biases

Risk of bias for randomised controlled trials will be assessed using the Cochrane Risk of Bias tool which assesses the following domains:

- Random sequence generation
- Allocation concealment
- Blinding of participants and personnel
- Blinding of outcome assessors
- Incomplete outcome data
- Selective reporting
- Other biases

#### Measures of treatment effect

The data will first be extracted and analysed descriptively using graphs, to determine whether it is appropriate to pool the data. If deemed appropriate, RevMan will be used to pool the data. For continuous outcomes the mean difference (MD) (or standardized mean difference if studies use different measuring scales) and corresponding 95% confidence interval (95% CI) will be calculated. The data will be extracted and reported as an annual percentage decline. The overall rates of decline and corresponding

confidence intervals will be presented visually in a forest plot. If the data allow, we will also extract and stratify decade-specific decline rates. If this is not possible, then a descriptive synthesis will be presented.

#### Subgroup analysis

We plan to re-analyse the data by organ function parameter if more than one marker is deemed appropriate as a useful measure of a certain organ's function (e.g. location of bone mineral density measurement). We will compare decline rates of different ethnicities and sex. As well as this we will separately analyse the data of those develop disease during the course of the study and those who had known risk factors. We will also look for birth cohort effects if the data allow (i.e. cohorts who have suffered deprivation early in life may show more functional decline later in life).

#### Dealing with missing data

If data were missing from studies published within the last 5 years, we plan to contact authors via email to obtain the individual data set.

#### Assessment of heterogeneity

Statistical heterogeneity may be assessed by calculating the chi squared score, as well as the  $I^2$  statistic. Studies will be judged to have significant heterogeneity if the P value for the chi squared test was <0.1. If using mixed models, we will report random effects as the measure of heterogeneity. The degree of

heterogeneity will be determined by the  $I^2$  as follows (as specified in the Cochrane handbook):

- 0% to 40%: might not be important;
- 30% to 60%: may represent moderate heterogeneity;
- 50% to 90%: may represent substantial heterogeneity;
- 75% to 100%: considerable heterogeneity.

If there is considerable heterogeneity within the studies for the outcome, reasons for heterogeneity will be explored and results will not be pooled.

#### Assessment of reporting biases

If available, outcomes reported in the protocol of the studies will be judged against the final publication to assess for any reporting bias. If there are any discrepancies, these will be reported. If study protocols are not available, the outcomes listed in the methodology of the study will compared to the final reported outcomes in the results. Authors will be contacted if there are any missing data or outcomes.

#### Data synthesis

Where data are sufficiently similar and are thought to be clinically relevant by a group of geriatricians and primary care physicians, we will pool the study estimates of organ function. A random effects model will be used in the meta-analysis to allow for between study differences.

#### Sensitivity analysis

Sensitivity analyses will be conducted to check whether heterogeneity in the overall outcomes can be explained by either of the following:

- the presence of low quality studies with high risk of bias (assessed as having one or more domains with a high risk of bias according to the NOS).
- duration of the study or time-points of measurement

This review aims to provide an estimate of annual organ function decline across various organs that is part of normal aging in people without symptomatic disease. This will enable clinicians to distinguish age-appropriate laboratory test results from values which represent increased risk of disease. It is more reasonable to assess the health of individuals with reference to others in their age cohorts, not in comparison to healthy young individuals. Determining these 'normal' changes with aging will also avoid the psychological consequences of disease-labelling and side effects of unnecessary drug treatment. Researchers will be able to use this data to plan more longitudinal studies in different cohorts and investigate additional factors that affect changes in organ function. Further research will also be required to determine whether it is possible to regain function and if so, up until what point this is possible once a risk factor is removed.

#### ABBREVIATIONS

FEV<sub>1</sub>– Forced expiratory volume in 1 second eGFR - estimated glomerular filtration rate NOS- Newcastle Ottawa Scale

#### DECLARATIONS

**ETHICS APPROVAL AND CONSENT TO PARTICIPATE** Not applicable

**CONSENT FOR PUBLICATION** 

Not applicable

#### AVAILABILITY OF DATA AND MATERIAL

Not applicable

#### **COMPETING INTERESTS**

The authors declare that they have no competing interests.

#### FUNDING

PG has received funding from the Australian National Health and Medical Research Council (Australia Fellowship No. 527500 and Program Grant No 633003). The funders had no role in design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript.

#### **AUTHORS' CONTRIBUTIONS**

ETT, SS and PG were involved in the conception and design of the review. ETT developed the search strategy. ETT drafted the manuscript, and MG, SS, KB and PG contributed to the drafting of the review protocol. All authors approved the final version of the article.

#### ACKNOWLEDGEMENTS

The authors would like to thank Justin Clark for his assistance with the literature search, as well as Richard Stevens and Ben Feakins for their advice on statistical analysis.

#### SEARCH STRATEGY

Query number	Medline Search
1	("Aging/ethnology"[Mesh] OR "Aging/physiology"[Mesh] OR "Age-related"[tiab] OR "Age related"[tiab] OR Function[tiab] OR Healthy[tiab])
2	(Decline[tiab] OR Declines[tiab] OR Declined[tiab] OR Decrease[tiab] OR Decreased[tiab])
3	("Middle Aged"[Mesh] OR "Aged"[Mesh] OR Aged[tiab] OR Elderly[tiab] OR Old[tiab] OR Older[tiab])
4	("Longitudinal Studies"[Mesh] OR Longitudinal[tiab] OR Trend[tiab] OR Trends[tiab] OR Trajectories[tiab] OR Trajectory[tiab] OR "Follow-up"[tiab] OR "Follow up"[tiab] OR "Rate of"[tiab] OR "Rates of"[tiab])
5	(Cohort[tiab] OR Observational[tiab] OR Prospective[tiab] OR Compared[tiab] OR Investigated[tiab] OR Evaluating[tiab] OR Analysis[tiab] OR Analyzed[tiab] OR Statistics[tiab] OR Data[tiab] OR Baseline[tiab])
6	(Humans[Mesh] OR Humans[tiab] OR Human[tiab] OR Population[tiab])
7	1 - 6
8	"Lung Volume Measurement"[tiab] OR "Lung Capacities"[tiab] OR "Respiratory Function Test"[tiab] OR "Pulmonary Function Tests"[tiab] OR "Lung Function Tests"[tiab] OR "Lung Function Test"[tiab] OR "Pulmonary Function Test"[tiab] OR "Airway Resistance"[tiab] OR "Blood Gas Analysis"[tiab] OR "Oximetry"[tiab] OR "Bronchial Provocation Tests"[tiab] OR "Capnography"[tiab] OR "Exercise Test"[tiab] OR "Lung Compliance"[tiab] OR "Lung Volume Measurements"[tiab] OR "Total Lung Capacity"[tiab] OR "Maximal Respiratory Pressures"[tiab] OR "Plethysmography, Whole Body"[tiab] OR "Pulmonary Gas Exchange"[tiab] OR "Pulmonary Diffusing Capacity"[tiab] OR "Ventilation-Perfusion Ratio"[tiab] OR "Pulmonary Ventilation"[tiab] OR "Forced Expiratory Flow Rates"[tiab] OR "Forced Expiratory Volume"[tiab] OR "Maximal Voluntary Ventilation"[tiab] OR "Spirometry"[tiab] OR "Bronchospirometry"[tiab] OR "Work of Breathing"[tiab] OR "Maximal Expiratory Flow Rate"[tiab] OR "Maximal Expiratory Flow-Volume Curves"[tiab] OR "Maximal Midexpiratory Flow Rate"[tiab] OR "Peak Expiratory Flow Rate"[tiab] OR "Expiratory Volume, Forced"[tiab] OR "Expiratory Volumes, Forced"[tiab] OR "FEVt"[tiab] OR "Forced Vital Capacity, Timed"[tiab] OR "Timed Vital Capacity"[tiab] OR "Capacity, Timed Vital"[tiab]

9	"Liver Function Test" [tiab] OR "Serum Albumin" [tiab] OR "Plasma Albumin" [tiab] OR "Bilirubin" [tiab] OR "Prothrombin Time" [tiab] OR "International Normalized Ratios" [tiab] OR "International Normalized Ratio" [tiab] OR "INR" [tiab] OR "Thrombotest" [tiab] OR "Quick Test" [tiab] OR "Transaminases" [tiab] OR "Aminotransferases" [tiab] OR "Alanine Transaminase" [tiab] OR "Aspartate Aminotransferases" [tiab] OR "Aspartate Aminotransferase, Cytoplasmic" [tiab] OR "Aspartate Aminotransferase, Mitochondrial" [tiab] OR "Liver/anatomy and histology" [Mesh] OR "Liver/diagnostic imaging" [Mesh] OR "Echography" [tiab] OR "Ultrasound Imaging" [tiab] OR "Diagnostic Ultrasounds" [tiab] OR "Echotomography" [tiab] OR "Ultrasonic Diagnosis" [tiab] OR "Computer Echotomography" [tiab] OR "Ultrasonic Tomography" [tiab] OR "Organ Size" [tiab] OR "Organ Weight" [tiab] OR "Organ Volume" [tiab]
10	"Blood Sugar"[tiab] OR "Sugar, Blood"[tiab] OR "Glucose, Blood"[tiab] OR "Blood Glucose"[tiab] OR "Glucose Tolerance Test"[tiab] OR "Glucose Tolerance Tests"[tiab] OR "Oral Glucose Tolerance Test"[tiab] OR "OGTT"[tiab] OR "Oral Glucose Tolerance"[tiab] OR "Intravenous Glucose Tolerance Test"[tiab] OR "Intravenous Glucose Tolerance"[tiab] OR "Endocrine Diagnostic Technic"[tiab] OR "Endocrine Diagnostic Technique"[tiab] OR "Endocrine Diagnostic Techniques"[tiab] OR "Blood Glucose Self Monitoring"[tiab] OR "Blood Glucose Self- Monitoring"[tiab] OR "Blood Sugar Self Monitoring"[tiab] OR "Blood Sugar Self- Monitoring"[tiab] OR "Home Blood Glucose Monitoring"[tiab] OR "Glucose Clamp Technique"[tiab] OR "Glucose Clamp Techniques"[tiab] OR "Glucose Clamp Technique"[tiab] OR "Glucose Clamp Techniques"[tiab] OR "Glucose Clamp Technique"[tiab] OR "Glucose Clamp Techniques"[tiab] OR "Glucose Clamp Technic"[tiab] OR "Glucose Clamp Technics"[tiab] OR "Euglycaemic Clamps"[tiab] OR "Glucose Clamp"[tiab] OR "Glucose Clamps"[tiab] OR "Hb A1a+b"[tiab] OR "Hb A1c"[tiab] OR "Glucose Clamps"[tiab] OR "Glucose Clamps"[tiab] OR "Hb A1a+b"[tiab] OR "Hb A1c"[tiab] OR "Hb A1"[tiab] OR "Hemoglobin A(1)"[tiab] OR "Hemoglobin, Glycosylated A1b"[tiab] OR "Hb A1b"[tiab] OR "Hemoglobin A(1)"[tiab] OR "Hemoglobin, Glycosylated A1a 1"[tiab] OR "Glycated Hemoglobins"[tiab] OR "Insulin Resistance"[tiab] OR "Glycated Hemoglobins"[tiab] OR "Insulin Resistance"[tiab] OR "Insulin Sensitivity"[tiab] OR "Langerhans Islands"[tiab] OR "Islet Cells"[tiab] OR "Islet Cell"[tiab]
11	"Bone Densities"[tiab] OR "Bone Density"[tiab] OR "Bone Mineral Density"[tiab] OR "Bone Mineral Densities"[tiab] OR "Bond Mineral Content"[tiab] OR "Bone Mineral Contents"[tiab] OR "Photon Absorptiometry"[tiab] OR X-Ray Densitometry"[tiab] OR "Single-Photon Absorptiometry"[tiab] OR "Dual Energy X-Ray Absorptiometry Scan"[tiab] OR "DXA Scan"[tiab] OR "DEXA Scan"[tiab] OR "Dual-Photon Absorptiometry"[tiab] OR "Dual Energy Radiographic Absorptiometry"[tiab] OR "X-Ray Absorptiometry"[tiab]
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19. Moynihan R, Glassock R, Doust J. Chronic kidney disease controversy: how expanding definitions are unnecessarily labelling many people as diseased. BMJ 2013;347:f4298.

#### SEARCH STRATEGY

Query	Medline Search	Hits
1	("Aging/ethnology"[Mesh] OR "Aging/physiology"[Mesh] OR "Age-related"[tiab] OR "Age related"[tiab] OR Function[tiab] OR Healthy[tiab])	2500185
2	(Decline[tiab] OR Declines[tiab] OR Declined[tiab] OR Decrease[tiab] OR Decreased[tiab])	2111642
3	("Middle Aged"[Mesh] OR "Aged"[Mesh] OR Aged[tiab] OR Elderly[tiab] OR Old[tiab] OR Older[tiab])	5507544
4	("Longitudinal Studies"[Mesh] OR Longitudinal[tiab] OR Trend[tiab] OR Trends[tiab] OR Trajectories[tiab] OR Trajectory[tiab] OR "Follow-up"[tiab] OR "Follow up"[tiab] OR "Rate of"[tiab] OR "Rates of"[tiab])	1973987
5	(Cohort[tiab] OR Observational[tiab] OR Prospective[tiab] OR Compared[tiab] OR Investigated[tiab] OR Evaluating[tiab] OR Analysis[tiab] OR Analyzed[tiab] OR Statistics[tiab] OR Data[tiab] OR Baseline[tiab])	9089651
6	(Humans[Mesh] OR Humans[tiab] OR Human[tiab] OR Population[tiab])	17712772
7	1 - 6	22913
8	"Lung Volume Measurement"[tiab] OR "Lung Capacities"[tiab] OR "Respiratory Function Test"[tiab] OR "Pulmonary Function Tests"[tiab] OR "Lung Function Tests"[tiab] OR "Lung Function Test"[tiab] OR "Pulmonary Function Test"[tiab] OR "Airway Resistance"[tiab] OR "Blood Gas Analysis"[tiab] OR "Oximetry"[tiab] OR "Bronchial Provocation Tests"[tiab] OR "Capnography"[tiab] OR "Exercise Test"[tiab] OR "Lung Compliance"[tiab] OR "Lung Volume Measurements"[tiab] OR "Total Lung Capacity"[tiab] OR "Lung Volume Measurements"[tiab] OR "Total Lung Capacity"[tiab] OR "Maximal Respiratory Pressures"[tiab] OR "Plethysmography, Whole Body"[tiab] OR "Pulmonary Gas Exchange"[tiab] OR "Pulmonary Diffusing Capacity"[tiab] OR "Ventilation- Perfusion Ratio"[tiab] OR "Pulmonary Ventilation"[tiab] OR "Forced Expiratory Flow Rates"[tiab] OR "Forced Expiratory Volume"[tiab] OR "Maximal Voluntary Ventilation"[tiab] OR "Spirometry"[tiab] OR "Bronchospirometry"[tiab] OR "Work of Breathing"[tiab] OR "Maximal Expiratory Flow Rate"[tiab] OR "Maximal Expiratory Flow-Volume Curves"[tiab] OR "Maximal Midexpiratory Flow Rate"[tiab] OR "Peak Expiratory Flow Rate"[tiab] OR "Maximal Expiratory Flow-Volume Curves"[tiab] OR "Expiratory Volume, Forced"[tiab] OR "Expiratory Volumes, Forced"[tiab] OR "Expiratory Volume, Forced"[tiab] OR "Expiratory Volumes, Forced"[tiab] OR "Forted Icapacity, Timed"[tiab] OR "Forced Vital Capacity, Timed"[tiab] OR "Timed Vital"[tiab] OR	81312
9	7 AND 8	1131

	MOOSE
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Reporting of	background shou
1	Problem definition
2	Hypothesis state
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## **Checklist for Meta-analyses of Observational Studies**

Recommendation

Reporting of	background should include			
1	Problem definition			
2	Hypothesis statement	4-5		
3	Description of study outcome(s)	5-6		
4	Type of exposure or intervention used			
5	Type of study designs used			
6	Study population	6		
Reporting of	search strategy should include			
7	Qualifications of searchers (eg, librarians and investigators)	5, Title page		
8	Search strategy, including time period included in the synthesis and key words	5-6, Appendix 1		
9	Effort to include all available studies, including contact with authors	5-6		
10	Databases and registries searched	5-6		
11	Search software used, name and version, including special features used (eg, explosion)	5-6		
12	Use of hand searching (eg, reference lists of obtained articles)	5-6		
13	List of citations located and those excluded, including justification	9, Figure 1		
14	Method of addressing articles published in languages other than English	6		
15	Method of handling abstracts and unpublished studies	-		
16	Description of any contact with authors	8		
Reporting of	methods should include			
17	Description of relevance or appropriateness of studies assembled for assessing the hypothesis to be tested	5-9		
18	Rationale for the selection and coding of data (eg, sound clinical principles or convenience)	6-8		
19	Documentation of how data were classified and coded (eg, multiple raters, blinding and interrater reliability)	6-7		
20	Assessment of confounding (eg, comparability of cases and controls in studies where appropriate)	7-8		
21	Assessment of study quality, including blinding of quality assessors, stratification or regression on possible predictors of study results	7-8		
22	Assessment of heterogeneity	8-9		
23	Description of statistical methods (eg, complete description of fixed or random effects models, justification of whether the chosen models account for predictors of study results, dose-response models, or cumulative meta-analysis) in sufficient detail to be replicated	8-9		
24	Provision of appropriate tables and graphics	Table 1-3, Figures 2-5		
Reporting of	results should include			
25	Graphic summarizing individual study estimates and overall estimate	Figure 2,3		
26	Table giving descriptive information for each study included	Table 1		
27	Results of sensitivity testing (eg, subgroup analysis)	15,16, Figure 2,3		
28	Indication of statistical uncertainty of findings	-		

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Item No	Recommendation	Reported on Page No	
Reporting of discussion should include			
29	Quantitative assessment of bias (eg, publication bias)	18, Figure 4,5	
30	Justification for exclusion (eg, exclusion of non-English language citations)	Figure 1	
31	Assessment of quality of included studies	18,19	
Reporting of conclusions should include			
32	Consideration of alternative explanations for observed results	18-22	
33	Generalization of the conclusions (ie, appropriate for the data presented and within the domain of the literature review)	21,22	
34	Guidelines for future research	22	
35	Disclosure of funding source	23	

77077: Stroup DF, Berlin JA, Morton SC, et al, for the Meta-analysis Of Observational Studies in Epidemiology (MOOSE) Group. Meta-analysis of Observational Studies in Epidemiology. A Proposal for Reporting. *JAMA*. 2000;283(15):2008-2012. doi: 10.1001/jama.283.15.2008.

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# PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reported on page #		
TITLE		·			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1		
ABSTRACT					
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	3,4		
Rationale	3	Describe the rationale for the review in the context of what is already known.	4,5		
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	5		
METHODS					
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	5		
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	5,6		
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	5,6		
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	Appendix 1		
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	6		
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	7		
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	7		
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	7,8		
2 Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	8		
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I <sup>2</sup> ) for each metavanalysis http://bmjopen.bmj.com/site/about/guidelines.xhtml	8,9		

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# PRISMA 2009 Checklist

Checklist item         Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).         Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.         Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.         For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	Reported on page # 7,8 9 9 9, Figure 1				
Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).         Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.         Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.         For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	7,8 9 9, Figure 1				
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For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	0				
	5				
Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	18, Figure 4				
For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	9-16, Table 2,3, Figure 2,3				
Present results of each meta-analysis done, including confidence intervals and measures of consistency.	N/A				
Present results of any assessment of risk of bias across studies (see Item 15).	18, Figure 5				
Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	16				
Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	18				
Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	19,20				
Provide a general interpretation of the results in the context of other evidence, and implications for future research.	21-22				
Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic Feviewer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	23				
	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).         For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.         Present results of each meta-analysis done, including confidence intervals and measures of consistency.         Present results of any assessment of risk of bias across studies (see Item 15).         Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).         Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).         Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).         Provide a general interpretation of the results in the context of other evidence, and implications for future research.         Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic feviewer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml				

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# **PRISMA 2009 Checklist**

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

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referred Reporting Transce Information, visit: two. Page 2 of 2

# **BMJ Open**

# The rate of normal lung function decline in ageing adults: a systematic review of prospective cohort studies

Journal:	BMJ Open
Manuscript ID	bmjopen-2018-028150.R1
Article Type:	Research
Date Submitted by the Author:	01-Mar-2019
Complete List of Authors:	Thomas, Elizabeth; Bond University Faculty of Health Sciences and Medicine, Centre for Research in Evidence-Based Practice; Gold Coast University Hospital Guppy, Michelle; Bond University Faculty of Health Sciences and Medicine, Centre for Research in Evidence-Based Practice; University of New England, School of Rural Medicine Straus, Sharon; University of Toronto Department of Medicine; St. Michael's Hospital, Li Ka Shing Knowledge Institute Bell, Katy; University of Sydney, School of Public Health; Bond University Faculty of Health Sciences and Medicine, Centre for Research in Evidence-Based Practice Glasziou, Paul; Bond University Faculty of Health Sciences and Medicine, Centre for Research in Evidence-Based Practice
<b>Primary Subject Heading</b> :	Respiratory medicine
Secondary Subject Heading:	Geriatric medicine
Keywords:	Ageing, age-related decline, lung function tests, cohort studies, systematic review

## SCHOLARONE<sup>™</sup> Manuscripts

The rate of normal lung function decline in ageing adults: a systematic

## review of prospective cohort studies

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#### Word Count: 3825

Key Words Ageing, age-related decline, lung function tests, cohort studies, systematic review

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## ABSTRACT

Objective To conduct a systematic review investigating the normal age-related changes

in lung function in adults without known lung disease.

**Design** Systematic review.

Data sources MEDLINE, Embase and CINAHL were searched for eligible studies from

inception to February 12, 2019, supplemented by manual searches of reference lists

and clinical trial registries.

Eligibility criteria We planned to include prospective cohort studies and randomised controlled trials (control arms) that measured changes in lung function over time in

asymptomatic adults without known respiratory disease.

Data Extraction and Synthesis Two authors independently determined the eligibility of studies, extracted data, and assessed the risk of bias of included studies using the modified Newcastle Ottawa Scale.

**Results** From 4385 records screened, we identified 16 cohort studies with 31,099 participants. All included studies demonstrated decline in lung function -  $FEV_1$ , FVC and peak expiratory flow rate (PEFR) with age. In studies with longer follow-up (>10 years), rates of  $FEV_1$  decline ranged from 17.7 to 46.4 ml/year (median 22.4 ml/year).

Overall, men had faster absolute rates of decline (median 43.5ml/year) compared to women (median 30.5ml/year). Differences in relative FEV<sub>1</sub> change, however, were not observed between men and women. FEV<sub>1</sub>/FVC change was reported in only one study, declining by 0.29% per year. An age-specific analysis suggested the rate of FEV<sub>1</sub> function decline may accelerate with each decade of age. Conclusions Lung function - FEV<sub>1</sub>, FVC and PEFR - decline with age in individuals without known lung disease. The definition of chronic airway disease may need to be reconsidered to allow for normal ageing, and ensure that people likely to benefit from interventions are identified rather than healthy people who may be harmed by potential overdiagnosis and overtreatment. The first step would be to apply age, sex and ethnicity-adjusted FEV<sub>1</sub>/FVC thresholds to the disease definition of COPD. Registration PROSPERO CRD42018087066
# Strengths and limitations

• This is the first review to provide estimates for the median decline in

spirometry measures including the FEV<sub>1</sub>, FVC and the FEV<sub>1</sub>/FVC ratio based

on longitudinal data.

- We used a modified version of the Newcastle-Ottawa Scale to assess risk of bias.
- The review may be prone to volunteer bias, and therefore may underestimate

lung function decline among asymptomatic people.

• Only one study specifically reported the change of the FEV<sub>1</sub>/FVC ratio with

age, and we did not have access to unpublished individual participant data to

allow calculation of the FEV<sub>1</sub>/FVC ratio change where this was not reported.

#### **INTRODUCTION**

In 2016, the World Health Organization estimated that chronic obstructive pulmonary disease (COPD) affected 251 million people worldwide, with its prevalence continuing to rise with an ageing population.<sup>1</sup> Current guidelines in UK<sup>2</sup>, Australasia<sup>3</sup>, Europe and the United States<sup>4</sup> recommend that COPD is diagnosed if an individual has symptoms such as dyspnoea or sputum production, if they have known risk factors such as smoking or biomass fuel exposure, and if they demonstrate post-bronchodilator airflow limitation on spirometry. Airflow limitation on spirometry is defined when the ratio of forced expiratory volume in one second (FEV<sub>1</sub>) to forced vital capacity (FVC) is less than 70% after bronchodilator administration.<sup>2,3</sup> However, this arbitrary diagnostic threshold has attracted criticism as it does not adjust for age or sex.5-10 Ageing is invariably accompanied by changes in lung function due to factors such as loss of lung elasticity, weakened muscles of respiration, and decreased surface area for alveolar gas exchange. Several published cross-sectional studies<sup>9 11-13</sup> and longitudinal

studies  $^{14}$   $^{15}$  report that lung function parameters such as  $\ensuremath{\mathsf{FEV}}\xspace_1$  and  $\ensuremath{\mathsf{FVC}}\xspace$  decline with

age.

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The 2018 update of the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria<sup>16</sup> continues to suggest the use of the fixed ratio rather than an FEV<sub>1</sub> or FVC that lies outside of the lower limit of normal (LLN) range. While the fixed ratio threshold may be simple for clinicians to use, it does not consider that lung function measurements may change with age and vary with gender and ethnicity. Many laboratory tests already have different reference range values for different ages and electronic spirometry machines do the same. The GOLD criteria acknowledge that this arbitrary fixed threshold may overdiagnose normal healthy older adults as diseased and underdiagnose some younger people with disease as healthy.<sup>17 18</sup> Longitudinal studies need to be identified so that normal changes in lung function can be calculated for different ages. Monitoring change could be used in practice to complement a single time point measurement to identify people who are not within the expected normal range. We aimed to perform a systematic review of prospective cohort studies and randomised controlled trials, that examined changes in lung function with age in asymptomatic individuals with no known lung disease who have never smoked.  This knowledge would enable further work to develop age-, sex- and ethnicity-specific

estimates that may be especially useful in a primary care setting. This implies that

people are only diagnosed with COPD if their spirometry measurements fall outside of

the normal range for their age, sex and ethnicity, rather than on the basis of a fixed

value.

## **METHODS**

# to or opp Protocol registration

The protocol for this review was drafted in accordance with the PRISMA statement and

the Meta-analyses of Observational Studies in Epidemiology (MOOSE) reporting

guidelines. It was registered on PROSPERO (CRD42018087066) and is available from

http://www.crd.york.ac.uk/PROSPERO/display\_record.php?ID=CRD42018087066, see

Supplementary File 1.

#### Search strategy and inclusion criteria

We conducted electronic searches of MEDLINE, EMBASE and CINAHL databases from inception through to February 2019, using the search strategy specified in Supplementary File 2.

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This was developed with an information specialist. Electronic searches were complemented by manual searching through reference lists of studies that were identified for potential inclusion as well as backwards and forward searching. We also searched the WHO Clinical Trials registry and ClinicalTrials.gov registries using the key words "normal ageing", "lung function decline", "FEV1 decline", "FVC decline" and "lung decline".

We included cohort studies and also planned to include the control arms of randomised controlled trials that measured the decline of lung function in an ageing population. The inclusion criteria were:

Longitudinal studies that followed some or all of the adult participants past the

age of 65 years;

- Three or more measurements of lung function undertaken;
- Studies with a follow-up period of three years or longer; and
- Studies that measure lung function (i.e. FEV<sub>1</sub>, FVC, peak expiratory flow rate [PEFR]).

We excluded studies if the participants did not meet the pre-specified age criteria; if the population of interest were reported to include smokers or those with risk factors such as occupational inhalation; if participants were reported to have respiratory symptoms such as wheeze, dyspnea or chronic cough; or if the study included participants with known respiratory disease such as asthma or COPD.

#### 

Study selection and data extraction

# Two authors (ETT, MG) independently screened the titles and abstracts of studies identified in the initial search for eligibility. Prior to commencing screening, a small subset of 50 titles were screened by the two reviewers as a calibration exercise to check for >80% agreement. Similarly, after screening, a calibration exercise was conducted for screening the full texts of the studies and targeting >80% agreement. The remaining full texts were retrieved and reviewed independently by the authors to determine eligibility for inclusion. Non-English publications were translated using Google Translate or with the assistance of a translator. Disagreements were resolved by consensus through discussion or with a third reviewer (PG). If there were multiple reports of the same study, the most recent publication with longest length of follow up was selected for inclusion, and if the two studies had a similar length of follow up then the study with the largest sample size was included. Two authors independently extracted data from the studies. The Excel data extraction form was piloted using ten studies prior to data extraction as a calibration exercise to check for adequate agreement (>80%) between the reviewers. Any disagreements were resolved by

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consensus or with a third reviewer. Extracted measures included study setting, year and duration, participant eligibility criteria, sample size, participants demographics (ethnicity, gender, baseline age), any known risk factors or exposures, baseline lung function, lung function measurements, number and frequency of measurements, average length of follow up and loss to follow up. We also aimed to report the proportion of the cohort that subsequently developed symptoms or disease during follow-up. We assessed risk of bias of included studies using the six items of the Newcastle Ottawa Scale (NOS)<sup>19</sup> for assessing quality of included cohort studies. Disagreements were resolved by discussion or a third reviewer. Assessed factors included: Representativeness of the exposed cohort (e.g. low risk: random selection; high risk: non-random selection e.g. volunteer sampling) Ascertainment of exposure – age (e.g. low risk: from medical records; high risk: self-reported)

• Demonstration that the outcome of interest was not present at start of study (e.g.

low risk: participants were excluded on the basis of demonstrated airflow

limitation; high risk: if participants were not screened)

• Assessment of outcome (e.g. low risk: spirometry; high risk: subjective measure

of lung function)

- Adequate duration of follow up (e.g. low risk: equal to or greater than three years follow-up; high risk: less than three years of follow-up)
- Adequate follow up of cohorts (e.g. low risk: less than 20% attrition, loss to

follow-up explained; high risk: greater than 20% attrition, unexplained loss to

follow-up)

Studies were assessed as good quality if they had low risk of bias in all six domains,

moderate quality if they had low risk of bias in four or five domains and low quality if

they had low risk of bias for three or fewer domains.

Statistical analysis

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For each study cohort, we extracted the annual decline rates for each lung function measure. If these were not reported, we calculated crude decline rates for all reported lung function measure by subtracting the final measure from the initial measure and dividing the result by the duration of follow up. If these data were not available, we determined crude rates of decline from the graphs provided or contacted the study authors for original data. The data were first analysed descriptively using graphs to determine whether it was appropriate to pool the data. For continuous outcomes, the mean difference (MD) (or standardized mean difference if studies used different measuring scales) and standard deviations were calculated. The data were reported as an annual decline (unit/year). The overall rates of decline and corresponding 95% confidence intervals were presented in a forest plot. We planned to perform a metaanalysis to pool the estimates of decline. We presented the data by functional parameter (FEV<sub>1</sub>, FEV<sub>0.75</sub>, FVC, PEFR), and planned to compare annual decline rates by sex and ethnicity in absolute and relative

terms, where data were available. We also extracted and presented age-specific decline

rates by decade of age if studies reported these data. We planned to separately analyse

the data of those who developed disease during follow-up. We also planned to examine

for birth cohort effects if the data were available. Sensitivity analyses were planned for

study duration greater than ten years.

#### Patient and Public Involvement

Patients were not involved in the design, data extraction or data analysis of this review.

# RESULTS

#### Study characteristics

From searches of Medline, Embase and CINAHL performed on February 12 2019, we identified 4331 records. An additional 54 records were identified from clinical trials registries and reference list searches. From these, we retrieved 143 papers for full text review; 115 of these did not meet our selection criteria and a further twelve were removed as duplicates. In total, 16 studies<sup>20-35</sup> were included in the systematic review (with one study contributing two data sets<sup>29</sup>) (Figure 1). The studies included 31,099 participants and were conducted between 1959 and 2014 ranging from five to thirty

1         2         3         4         5         6         7         8         9         10         11         12         13         14         15         16         17         18         19         20         21         22         23         24         25	
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47 48	

<sup>2</sup><sub>3</sub> Table 1. Characteristics of included studies

4 Source ID 5 6 7	Cohort	Study duration (years)	Study centres	Study setting	Study period	Sample Size	Mean age of sample (years, SD)	%Male	Outcome	Time points of measurement
8 Ahmadi-Abhari 9 2014	EPIC-Norfolk	13	1	England	1993 - 2011	8062	58.5** (9.2)	45	FEV <sub>1</sub> , FVC	3 (0, 4,13 years)
10 Bartholomew 12 1998	Busselton Population Health Surveys	6	1	Australia	1966 - 1981	1499	41.6 (16.1)	29.7	FEV <sub>1</sub> , FVC	3 (0,3,6 years)
<sup>13</sup> Burchfiel 1995 14 15	Kuakini Honolulu Heart Program	6	1	USA	1965 - 1975	1248	54.6*	100	FEV <sub>1</sub>	3 (0,2,6 years)
<ul> <li>16 Burrows 1986</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> </ul>	Tucson Epidemiological study of obstructive Lung Disease (TESOLD)	9.6	1	USA	1972 - 1983	466	48.3 (19.1)	33.9	FEV <sub>1</sub>	mean 5.2
<ul> <li>22 Griffith 2001</li> <li>23</li> <li>24</li> </ul>	Cardiovascular Health Study	7	4	USA	1989 - 1997	5242	73.5 / 72.7 (5.5)/ (5.2)	42.4	FEV <sub>1</sub> , FVC	3 (0,4,7 years)
25 Lange 1998 26 27	Copenhagen City Heart Study	15	1	Denmark	1976 - 1994	4305	51.7^	37	FEV <sub>1</sub>	3- Cycle 1: 1976 - 1978, Cycle 2: 1981-1983, Cycle 3: 1991-1994
28 Liao 2015 29 30 31	Framingĥam Heart Study	17	1	USA	1983 - 2007	543	47.6** (10.5)	38.1**	FEV <sub>1</sub> , FEV <sub>1</sub> /FVC	5 - Cycle 1: 1983-1987, Cycle 2: 1987-1991, Cycle 3: 1991-1995, Cycle 4: 1995-1998, Cycle 5: 2007
32 33 Luoto 2018 34 35	Good Aging in Skåne	13.5	1	Sweden	2001 – 2014	387	70.6** (10.6)	44.2**	FEV <sub>1</sub> , FVC	Aged <80: every 6 years Aged 80 or over: every 3 years
<ul> <li><sup>36</sup> Maselko 2006</li> <li><sup>38</sup></li> <li><sup>39</sup></li> <li><sup>40</sup></li> <li><sup>41</sup></li> </ul>	MacArthur Successful Aging study	7	3	USA	1988-1995	544	74	31.8	PEFR	3 (0,3,7 years),
42 43 44 45 46			Fo	r peer review c	only - http://bmj	open.bmj.com/site	e/about/guidelines.	xhtml		1

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<ul> <li>Pearson 1998</li> <li>5</li> <li>6</li> </ul>	Baltimore Longitudinal Study of Aging	Males: 11.5 Females: 5.7	1	USA	1962 - 1991	173	42.4	52.6	FEV <sub>1</sub>	4.6 / 3 (every 2 years)
7 Pelkonen 200 8 9	1 Seven Countries Study	30	2	Finland	1959 - 1989	200	47.6 (30 years) 49.4 (15 years)	100	FEV <sub>0.75</sub>	6 (0,5,10,15,20,25,30 years)
11 Proctor 2006 12 13	Origins of Variance in the Old-Old	8	1	Sweden	1991 – 2003		83.2 (2.8)	33.0	PEFR	5 (0,2,4,6,8 years)
14 15 Sherman 1992 16	2 Six Cities study of Air Pollution and Health	12	6	USA	1974-1989	1486	47.2 / 48.2** (12.3) / (12.5)	32.0	FEV <sub>1</sub> , FVC	4 (0,3,6,12 years)
17 18 Triebner 2017 19 20 21 22 23	European Community Respiratory Health Survey	19.7^	8	Denmark; Germany; Spain; France Iceland; Norway; Sweden; Estonia	1991-2012 ;	648	36.2**^	0	FEV <sub>1</sub> , FVC	3 - Cycle 1: 1991-1994 Cycle 2: 1998-2002 Cycle 3: 2010-2012
<sup>24</sup> Wang 2004 25	-	5	1	USA	1985 - 1992	71	37 <sup>**</sup> (19-65)	100	FEV <sub>1</sub>	3-11; every 6 months
26 Xu 1995 27 28 29 30 31	Dutch Study on Asthma and Chronic Obstructive Pulmonary Diseases	24	2	The Netherlands	1965-1990	6293	35.06 / 44.5 (10.5)/ (11.4)	22.5	FEV <sub>1</sub>	9 (every 3 years)
32       *Calcul         33       ** estin         34       ^ Medi         35       # / # in         36       # / # in         37       38         39       40         41       42         43       44	ated from taking nates include s an (Range) idicates Males /	the midpoint mokers / Females	of each	age group and	averaging acc	ording to nu en.bmj.com/si	mber of people in	each age g	group	1

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# Overall age-related lung function decline

# A meta-analysis was not performed due to substantial heterogeneity across the included studies, and a narrative synthesis was undertaken instead. Thirteen studies reported changes in $FEV_1$ as an outcome. All studies demonstrated a decline with age, with overall rates of decline from each study ranging from 9.9 to 56.0ml/year (median 29.2ml/year). Seven of these studies examined the differences in rates of decline between males and females, showing greater absolute FEV<sub>1</sub> decline in males (median 43.5ml/year) than females (median 30.5ml/year) (Table 2, Figure 2). Relative rates of FEV<sub>1</sub> decline were calculated for men in eight studies and women in six studies that reported baseline FEV<sub>1</sub> values. There was no statistically significant difference between men and women's relative change of $FEV_1$ from baseline (p=0.7). $FEV_{0.75}$ decline was reported in one study.<sup>29</sup> This study provided two data sets (follow up after 15 years, 30 years) provided in Table 2. Five studies reported changes in FVC, with rate of decline estimates ranging from 14.1ml/year in the youngest cohort<sup>32</sup> (median age 36.2 years) to 65.6ml/year in the older cohort<sup>24</sup> (mean age 73.0 years). In studies that measured both FEV<sub>1</sub> and FVC over

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time, there was a greater decline in FEV<sub>1</sub> than FVC in two studies, and greater decline in FVC than FEV<sub>1</sub> in three studies. These measures are average estimates across study participants and do not enable calculation of individuals' FEV1 /FVC ratios. In the one study where individuals' FEV<sub>1</sub>/FVC ratios were reported as an outcome<sup>26</sup>, there was a decline by 0.29% per year. PEFR was reported as an outcome in two studies,<sup>27 30</sup> which showed decline rates ranging from -6.6L/min/year in females to -11.5L/min/year in males. 

Source ID	Mean age of sample (years, SD)	Duration	Sam	ple size	Mean abs decl (S	solute unit ine/yr SD)	Overall decli	relative ne (%)	Variables reported to alter the rate of change		
			MALE	FEMALE	MALE	FEMALE	MALE	FEMALE			
FEV <sub>1</sub> (mL)	=0 =++	4.0	0004								
Ahmadi 2014	58.5** (9.2)	13	3621	4441	-1 (78	-17.7 (78.6)		-17.7 (78.6)			Smoking; CRP categories
Bartholomew 1998	41.6 (16.1)	6	445	1054	-43.5 (100.4)	-30.5 (144.8)	1.1	1.2	Smoking; Increased BMI		
Burchfiel 1995	54.6^	6	1248		-21.6°		0.7		Smoking status		
Burrows 1986	48.3 (19.1)	9.6	158	308	-10.3° (6.3)	-9.1° (5.7)			-		
Griffith 2001	73.0** (5.3)	7	1976**	2604**	-52.3 (3.1)ª	-47.0 (2.8) <sup>a</sup>	1.9	1.7	Caucasian vs African American (onl 2 measurements), Smoking		
Lange 1998	51.7^	15	1592	2713	-23.5 (10.4)	-18.3 (10.0)	0.8	0.8	Asthmatics vs non-asthmatic, Smoker vs non smoker		
Liao 2015	47.4** (10.6)	17	207***	336***	-2 (14	5.8 .0)**			Smoking, Height, Less vs more likel dust exposure		
Luoto 2018	70.6** (10.6)	13	171***	216***	-4( (4	6.4° 7.7)	2	.2°	Smoking, female sex (relative), mal sex (absolute), elevated CRP (relative), BMI (absolute)		
Pearson 1998	42.4	11.5/5.7	91	82	-43.5	-35.1	1.0	1.3	-		
Sherman 1992	47.9 (12.4)	12	475	1011	-32.8 (29.5)	-27.5 (20.4)	1.0	1.1	Smoking		
Triebner 2017	36.2 <sup>†</sup>	19.7†		648		-22.4 (36.4)			Menopausal status, BMI		
Wang 2004	37† (19-65)	5	71		-56.0 (45.0)		1.3				
1992 Triebner 2017 Wang 2004	(12.4) 36.2† 37† (19-65)	19.7† 5	<b>71</b> For peer	648 review only - htt	(29.5) -56.0 (45.0) :p://bmjopen.k	(20.4) -22.4 (36.4) omj.com/site/abo	1.3 but/guidelines	s.xhtml	Menopausal stat		

1 2 3 4 5	Xu 1995*	42.4^ (11.9)	24	1418	4875	-28.3 (138.5)	-16.0 (135.5)	0.7	0.5	
5	FVC (mL)									
, . 8	Ahmadi 2014	58.5**	13	3621	4441	-31.	1			Smoking; CRP categories
9 10 11	Bartholomew 1998	(9.2) v 41.6 (16.1)	6	445	1054	(118. -47.2 (104.0)	1) -36.0 (154.5)	1.0	1.1	Smoking
12 13 14	Griffith 2001	73.0** (5.3)	7	1976**	2604**	-78.4 <sup>a</sup> (4.2)	-65.6ª (3.8)	2.9	2.4	Caucasian vs African American (only 2 measurements), Smoking
15 16 17 18	Luoto 2018	70.6** (10.6)	13	171***	216***	-43.7 (67.2	7° 2)	1	.7	Smoking, female sex (relative), male sex (absolute), low educational level, elevated CRP (relative)
19 20	Triebner 201	<b>7</b> 36.2 <sup>†</sup>	19.7 <sup>†</sup>		648		-14.1 (42.8)			Menopausal status, BMI
21	FEV <sub>1</sub> /FVC									
22 23 24	Liao 2015	47.4** (10.6)	17	207**	336**	-0.00 (0.002	29 3)**			Smoking, Less vs more likely dust exposure
25	$FEV_{0.75}$ (mL)									
26 ' 27	Pelkonen 2001(a)	47.6	30	100		-34.8		1.0		Smoking
28 29	Pelkonen	49.4	15	200		-46.4		1.4		Smoking
30	DEED									
31	(L/min)									
32 33 34	Maselko 2000	6 74	7	173	371	-8.6 (30.3)	-8.6 (34.7)	2.0	2.3	Smoking
35 36	Proctor 2006	* 83.2 (2.8)	8	191	388	-11.5 (2.2)ª	-6.6 (1.1)ª	2.9	2.4	
37 38 39 40 41 42 43 43	*/ ** ^/	A non-linear relatio * Based on estimat **Estimates based Average derived fro	nship was a es including on the assu om taking the	lso reported i smokers mption that th e midpoint va	in the author nere was an llue of each	s' data analysis. equal proportion age group and ca	of non-smoker alculating the o	rs and smok verall mean	ers who were age accordin	e male/female. Ig to proportion in each group. 2

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† median

 

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 SDs were calculated from 95% CI by subtracting the highest from the lowest confidence interval and dividing the result by 3.92.

#/# indicates Male/Female

°Estimates adjust for covariates including height and age

<sup>a</sup>mean (Standard error)

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# Age-specific lung function decline by decade of age

The age-specific rates of FEV<sub>1</sub> change by decade of age were extracted or calculated from three studies.<sup>22 23 28 35</sup> In all but one study, estimates of decline increased from the fourth (age 30-40 years) to eighth decades of life (Table 3). One study could not be included in this comparative analysis as they included smokers and reported decline rates at the end of study follow up (rather than baseline age).<sup>35</sup> This study reported that the rates of relative decline increase from the seventh (-1.7%/year) through to the tenth

decade (-3.1%/year), though absolute rates of decline varied. Another study also

	Absolute mean decade-specific $FEV_1$ function decline rates (ml/year)									
Study ID	Sample Size		Baseline age (years)							
	(n)	30-39	40-49	50-59	60-69	70-79				
Burchfiel 1995*	Male (1248)		-19.5**	-21.6	-25.0					
Burrows 1986	Male (158)	+2.83	-3.01	-8.85	-14.69	-20.53				
	Female (308)	+2.73	-2.51	-7.76	-13.01	-18.26				
Pearson 1998	Female (82)	-23.8	-33.4	-30	-23.4	-25.8				
	Male (91)	-34	-34	-34	-34	-34				

reported that the rate of decline may be non-linear in multiple regression models of

FEV<sub>1</sub> and FVC decline (where age squared was also a statistically significant

variable).34

# Table 3. Age-specific lung function decline by decade of age as reported in four cohorts

\*Estimates adjust for covariates including height and age

\*\*Includes participants 45-49.

The estimates from Burrows were derived from formulae modelling change in  $FEV_1$  with age. See Supplementary File 3 for calculations.

Two studies examined lung function change within age brackets that did not conform to

our decade-specific analysis. Bartholomew 1998<sup>21</sup> reported greater decline rates in

never smokers aged above 45 years (females: -30.7ml/year, males -45.8ml/year)

compared to those aged below 45 years (females: -24.3ml/year, males: -36.8ml/year).

Lange 1998<sup>25</sup> compared decline rates in both male and female non-smokers in 20-year

age groups. Females aged 60-79 years had the greatest decline rates (-31.7  $\pm$ 

2.1ml/year) compared to the 40-59 age group (-17.7  $\pm$  1.4ml/year) and the 20-39 age

group which reported an increase of  $5.0 \pm 2.7$  ml/year. Similarly, males aged 60-79

years had the greatest decline rates (-37.1  $\pm$  3.7ml/year) compared to the 40-59 year

age group (-24.2  $\pm$  2.6ml/year) and the 20-39 year age group (-4.6  $\pm$  4.2ml/year).

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Overall rates of mortality/symptom/disease development Few studies reported these outcomes in an initially asymptomatic, non-smoking population. One study (Proctor)<sup>30</sup> reported 85% mortality rate in the elderly cohort (age range 79 – 96) over eight years. Another study (Lange 1998)<sup>25</sup> reported that in their study of non-asthmatics, 364 (2%) patients who did not report having asthma at the beginning of the study, later reported it in follow up. However, this estimate included smokers. One study (Wang)<sup>33</sup> performed their analyses on a highly screened population, meaning they excluded participants from all analyses who developed disease or symptoms during study follow up. No studies reported the rates of lung function change in those who developed disease during the course of the study compared with those who did not. Sensitivity analyses Heterogeneity in study duration was explored in Figure 3. After removing studies with a

follow up of less than ten years, the median rate of decline of FEV<sub>1</sub> was 22.4ml/year

(Figure 4).

Predictors of the rate of decline in lung function in people without known lung disease *Smoking* Although smokers were not included in our main analysis, some studies did compare non-smokers and smokers which we report here. The decline rates were compared in non-smokers or former smokers with current smokers in nine studies<sup>21</sup> <sup>22</sup> <sup>24</sup> <sup>27</sup> <sup>29</sup> <sup>31</sup> <sup>35</sup>. In the seven studies measuring FEV<sub>1</sub> decline, current smokers were observed to have a faster rate of decline.<sup>21</sup> <sup>22</sup> <sup>24</sup> <sup>26</sup> <sup>31</sup> <sup>35</sup> In the three studies measuring FVC, smoking increased FVC decline<sup>21</sup> <sup>24</sup> <sup>35</sup>. FEV<sub>1</sub>/FVC decline was greater in smokers than nonsmokers in one study<sup>26</sup> and FEV<sub>0.75</sub> in another study<sup>29</sup>. *BMI* 

Three studies reported the association of BMI with FEV<sub>1</sub> change. In Bartholomew 1998<sup>21</sup>, increased BMI significantly affected FEV<sub>1</sub> decline (p = 0.008 for females; p=0.007 for males). However, an estimate for this association was not provided. In Triebner 2017<sup>32</sup>, obese individuals reported greater declines of FEV1 (29ml/year) and FVC (25ml/year) compared to individuals with normal BMI (FEV1 22ml/year, FVC 10ml/year). In Luoto 2018<sup>35</sup>, having a BMI greater than 35 was significantly associated

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with a slower decline of  $FEV_1$  (32ml/year compared to 46ml/year, p = 0.04), but it did not significantly affect FVC decline.

## Ethnicity

Griffith<sup>24</sup> was the only study that assessed ethnicity, specifically comparing African-

American participants to White participants. We did not include the African-American

cohort in our analysis as only two measurements were performed on this population.

However, FEV<sub>1</sub> and FVC declines were greater in Whites compared to African-

Americans.

Systolic blood pressure

Griffith<sup>24</sup> examined the correlation of systolic blood pressure greater than 160mmHg

with FEV<sub>1</sub> and FVC decline and found that declines were on average 5.6ml/year and

10.9ml/year greater respectively (p < 0.01).

#### Dust exposure

Liao<sup>26</sup> explored the effects of dust exposure on FEV<sub>1</sub> and FEV<sub>1</sub>/FVC decline.

Participants with more dust exposure experienced a mean FEV<sub>1</sub> decline that was

4.5ml/year greater than participants with less dust exposure (p= 0.007). Dust exposure

did not significantly affect  $FEV_1/FVC$  ratio decline, suggesting that FVC declined in

parallel to FEV1.

### Menopausal status

Triebner<sup>32</sup> reported that menopausal status affected the rate of decline, with rates of

FEV<sub>1</sub> decline on average 3.8ml/year greater in peri-menopausal women, and 5.2ml/year

greater in postmenopausal women. FVC decline was 10.2ml/year greater in peri-

menopausal women, and 12.5ml/year greater in post-menopausal women, compared to

pre-menopausal women.

#### **Risk of bias**

Risk of bias was determined using a modified version of the Newcastle-Ottawa Scale<sup>19</sup> (Figures 5, 6). No studies received low risk of bias in all domains, but four studies had a low risk of bias in all but one domain.<sup>23 28 31</sup> Thirteen studies (81%) were graded as having low risk of bias for representativeness of the population. Six studies (38%) were judged as low risk of bias on how they ascertained the age of the participants (from Medicare eligibility lists or health records). Four cohort studies (25%) clearly demonstrated that pulmonary impairment was not present in participants at the

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beginning of the study. All studies (100%) used a spirometer to measure lung function which is a validated objective instrument. All studies (100%) had adequate duration of follow-up (three years or longer). Eight studies (50%) had a high risk of bias for having high attrition rates in their studies (>20%).

# DISCUSSION

# Statement of principal findings

This systematic review of sixteen prospective cohort studies conducted in thirteen countries provides a summary of all the available evidence looking at lung function change with age. Lung function declines with age in normal, asymptomatic adults with higher rates of decline in absolute lung function parameters in men compared to women. However, the relative rates of decline from baseline between men and women do not differ significantly. The decline in absolute and relative lung function parameters may accelerate with age and is also exacerbated by smoking. We were unable to compare lung function decline rates of different ethnicities due to insufficient data. There was a paucity of longitudinal studies that reported changes in FEV<sub>1</sub>/FVC rather than reporting the two parameters separately.

Strengths and weaknesses of the study This systematic review examined all the available primary studies to allow an examination of the consistency of estimates of decline in FEV<sub>1</sub>, FVC, FEV<sub>1</sub>/FVC ratio and PEFR. This review particularly focused on older adults; this group is relatively understudied and yet more prone to overdiagnosis and misdiagnosis.<sup>6 8 18</sup> While the majority of current prediction equations of lung function are based on cross-sectional studies<sup>36-39</sup> our review searched for longitudinal studies as they change in lung function may provide a complement to measurement at one time point in predicting future lung function.<sup>37</sup> Our review included participants who were ageing normally, but may have had non-pulmonary co-morbidities such as hypertension and diabetes mellitus. This enabled us to investigate a population that was more representative of a normal ageing population.

Our review has some limitations. We did not have access to unpublished individual participant data to allow calculation of FEV $_1$ /FVC for the majority of studies, where this were not reported. Five studies separately measured changes in both FEV $_1$  and FVC,

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however is difficult to conclude whether the rate of decline in FEV<sub>1</sub> and FVC is proportional. Out of the five studies that reported both FEV<sub>1</sub> and FVC decline, two studies<sup>32</sup> demonstrated that FEV<sub>1</sub> declines faster than FVC, but in the three remaining studies<sup>20 21 24</sup>, the FVC declined at a faster rate (See Table 2). Longitudinal studies that specifically measure the FEV<sub>1</sub>/FVC would provide the most reliable measure of this decline. Individual patient data would also allow a more robust analysis of changes in lung function between individuals in the studies. We were unable to pool the results due to significant heterogeneity across the populations. This review's findings are also limited by the quality of the included studies, all of which were judged moderate or low quality. Since this review is based on limited populations, the findings may not be generalisable to all individuals, especially those of non-Caucasian ethnicities or from less economically developed countries where smoking and air pollution may be more prevalent for example. The review's findings may underestimate lung function decline among asymptomatic people, as volunteer bias may be present with cohort studies where healthier individuals may be more likely to participate. Our study aimed to examine the rate of lung function change in the For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

elderly, however the majority of included studies did not focus on this age group. COPD misdiagnosis particularly affects those older than 80 years of age, therefore more studies are required in the elderly. Our review did not consider the extent of short term within-person variation, or "noise", in lung function measurements, which is likely to be considerable.<sup>40 41</sup> Any observed change in measurement is a combination of the true change, or "signal", and the random background "noise". The clinical utility of monitoring lung function to decide whether or not COPD is present, is in part determined by the ratio of signal to noise in the measurements.<sup>42</sup> Changes in measured lung function over a longer period of time may be more likely to indicate some true change rather than just background noise<sup>43</sup>. therefore we specified in our inclusion criteria that eligible studies should measure lung function on a minimum of three occasions. We observed substantial heterogeneity across all of the included studies and results. This may be due to inherent differences within the populations studied (including distribution of ages, proportion of men vs women and ethnicities) or the duration of follow up, or that decline in normal healthy people may vary across individuals without

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causing disease. We explored differences in duration of follow-up as a potential source of heterogeneity in a sensitivity analysis excluding studies with less than ten years of follow up, but found that this did not change the median estimate substantially. Quality of spirometry, as well as properly maintained and calibrated equipment, causing measurement error and contributing to the "noise" in measurement discussed above, is likely to have contributed to variation in the results. Only nine of the included studies specifically reported that the spirometers used in their studies were calibrated and the measurements had to be acceptable and reproducible, following the American Thoracic Society guidelines on the standardization of spirometry.<sup>44</sup> Two studies used peak flow meters to measure PEFR. These instruments are well known to vary in consistency and accuracy. Maselko et al, used a Mini-Wright meter, but the second study by Proctor et al, did not specify which peak flow meter they used. The majority of studies specified that they excluded patients with known disease or

symptoms at the commencement of the study. However most of the studies did not

report whether any of the participants in their study sample developed symptoms or

respiratory disease in the course of follow-up. Thus, undiagnosed COPD or other respiratory, cardiac, renal or other diseases that cause decline in lung function, may have contributed to heterogeneity in the results.

Variation within the results may be also explained by the "horse-racing effect", where an initially low FEV<sub>1</sub> measurement may reflect a greater loss of function in the preceding years and hence predicts faster decline in subsequent years (just as the position of the horse in halfway through the race is related to its speed in the early part of the race and hence speed for the final part of the race).<sup>45 46</sup> Regression to the mean, due to inclusion of people with randomly high (or low) measured lung function in the primary studies, may also have contributed to heterogeneity of the results.<sup>47</sup> A simple way that primary studies may assess for a horse racing effect, while allowing for regression to the mean, is by constructing Bland-Altman plots of change vs mean FEV<sub>1</sub> level<sup>48</sup> (or substituting PEFR for mean FEV<sub>1</sub> as these are highly correlated.<sup>49</sup>)

Comparison with previous research

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To date, there have been no systematic reviews or meta-analyses examining the rate of lung function decline with age, to assess the potential impact of the fixed threshold on COPD misdiagnosis. Cross-sectional studies have compared people diagnosed with COPD using a fixed threshold and the lower limit of normal (LLN) definition, reporting that the GOLD criteria leads to misdiagnosis of COPD.<sup>5-8 50</sup> A prospective cohort study found that the fixed threshold of the GOLD criteria overdiagnosed a large proportion of elderly people over the age of 70, and the LLN criteria tended to under-diagnose COPD, when compared to the reference standard which consisted of an expert panel who used all available diagnostic information including spirometry.<sup>18</sup> Meaning of the study: possible explanations and implications for clinicians and policymakers This review has found that lung function declines with age in all studied populations. The rate of decline appears to accelerate with age, and age-specific estimates of FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC ratio may be more appropriate for diagnosis of COPD than the fixed threshold currently used across all ages. Currently, prediction equations for calculating mean lung function values as well as the lower-limit of normal (LLN) for all ages are

based on data from cross-sectional studies, however it is argued that this is problematic as they do not factor in the important dimension of time.<sup>51 52</sup>Spirometers used in practice commonly derive their reference values from the National Health and Nutrition Examination Survey (NHANES), a cross-sectional study which was conducted in the USA between 1988 – 1994. Though the predicted values do reflect a decline in FEV<sub>1</sub> and FEV<sub>1</sub>/FVC with age, these decline rates may not be as reliable as the estimates from longitudinal studies included in our review. According to the NHANES III, the median rate of FEV<sub>1</sub> decline for a Caucasian male of 1.75m aged between 30-80 is 32ml/year and a female with an average height of 1.6m has an FEV<sub>1</sub> that declines a median of 25ml/year. Both of these estimates are lower than the median FEV<sub>1</sub> decline of the studies in our review, which was 43.5ml/year and 30.5ml/year for men and women respectively. Therefore the predicted age-specific lung function used in spirometers may often mislabel people as having abnormal lung function when they are actually within normal limits.<sup>53</sup> More reliable age-specific estimates and prediction equations are required.

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Clinicians need to consider whether 'abnormal' spirometry results may in fact represent normal ageing. This is especially true for making a formal diagnosis of COPD. If a patient is symptomatic and has airflow obstruction as defined by GOLD criteria, it may be necessary to consider alternative diagnoses such as a dyspnoea of cardiac origin. One proposal for identifying individuals who are experiencing greater loss of lung function than expected, is to develop 'decline charts' that predict FEV<sub>1</sub> or FEV<sub>1</sub>/FVC loss for different ages. This can allow clinicians to monitor lung function over time and assess whether individuals are tracking along expected decline curves. These would also need to account for noise in measurement. Future research should focus on conducting long-term longitudinal studies in lessstudied populations, with emphasis on older adults. These studies should examine the rates of decline in people who eventually become symptomatic or develop disease. This information can guide clinicians to predict what rate of lung function decline may be a prognostic indicator of COPD onset and progression. Further well-designed prospective studies that investigate changes in FEV<sub>1</sub>/FVC may allow for the development of

algorithms that predict individuals' expected lung function over time according to their

sex, smoking history, age, BMI and ethnicity. The observed change in lung function

parameters might then be compared to the expected change to help the clinician

determine whether this is extreme enough to warrant diagnosis of disease.

#### ACKNOWLEDGEMENTS

The authors would like to thank Mr. Justin Clark for his assistance with the literature

search, Miss Mari Tashiro for her help with the translation of Japanese studies, Dr Mark

Jones for his advice on statistical analysis and Dr Claudia Dobler for her specialist input

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on this review.

#### MANUSCRIPT PREPARATION

This manuscript was prepared in accordance with the PRISMA statement and the Meta-

analyses of Observational Studies in Epidemiology (MOOSE) reporting guidelines.

#### **DETAILS OF FUNDING**

KJLB and PG have received funding from the Australian National Health and Medical

Research Council (Centre for Research Excellence Grant No 1104136, Australia

Fellowship No. 527500 and Program Grant No 633003). The funders had no role in

design and conduct of the study; collection, management, analysis, and interpretation of

the data; and preparation, review, or approval of the manuscript.

# ETHICAL APPROVAL

. Ins study. Ethical approval was not required for this study.

# DATA SHARING STATEMENT

No additional data available.

# CONTRIBUTOR STATEMENT

ETT was involved with devising the review methods, conducting electronic searches,

screening of abstracts, data extraction, data analysis and interpretation, and co-drafting

of the review. MG was involved with devising the review methods, screening of

abstracts, data extraction, data analysis and interpretation and co-drafting the review.

KJLB was involved with devising the review methods, data analysis and interpretation,

and co-drafting the review. SS was involved with devising the review methods, data

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analysis and interpretation, and co-drafting the review. PG was involved with devising

the review methods, data analysis and interpretation, and co-drafting the review.

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for the submitted work; no financial relationships with any organizations that might have

an interest in the submitted work in the previous three years, no other relationships or

activities that could appear to have influenced the submitted work.
# TRANSPARENCY DECLARATION

The lead author (ETT) affirms that the manuscript is an honest, accurate, and

transparent account of the study being reported; that no important aspects of the study

have been omitted; and that any discrepancies from the study as originally planned

have been explained.

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# Figure Legends

Figure 1. Study flow diagram showing the process for inclusion of prospective RCTs

and cohort studies for estimating the rate of lung function decline with age.

Figure 2. The rate of FEV<sub>1</sub> decline in thirteen study populations, grouped by sex.

Figure 3. The rate of FEV<sub>1</sub> decline in thirteen study populations by years of follow-up.

The size of the circle corresponds to individual study sample size.

Figure 4. Sensitivity analysis, excluding studies with less than ten years of follow-up.

The size of the circle corresponds to individual study sample size.

Figure 5. Risk of bias summary for prospective cohort studies estimating the rate of lung

function decline with age, assessed using a modified form of the Newcastle-Ottawa

Scale.

Figure 6. Graphical representation of the risk of bias in prospective cohort studies

estimating the rate of lung function decline with age.

**Figure 1.** Study flow diagram showing the process for inclusion of prospective RCTs and cohort studies for estimating the rate of lung function decline with age.



Study or Subgroup	Mean Change	SE	Mean Change IV, Random, 95% Cl		Mean Change IV, Random, 95% Cl	
1.2.1 Males						
Burchfiel 1995	-21.6	0	Not estimable			
Pearson 1998	-43.5	0	Not estimable			
Lange 1998	-23.5	0.3	-23.50 [-24.09, -22.91]			+
Burrows 1986	-10.3	0.5	-10.30 [-11.28, -9.32]			+
Sherman 1992	-32.8	1.4	-32.80 [-35.54, -30.06]		-+	
Griffith 2001	-52.3	3.1	-52.30 [-58.38, -46.22]	+-		
Xu 1995	-28.3	3.7	-28.30 [-35.55, -21.05]			 
Bartholomew 1998	-43.5	4.8	-43.50 [-52.91, -34.09]			
Wang 2004	-56	5.3	-56.00 [-66.39, -45.61]			
1.2.2 Females						
Pearson 1998	-35.1	0	Not estimable			
Lange 1998	-18.3	0.2	-18.30 [-18.69, -17.91]			+
Burrows 1986	-9.1	0.3	-9.10 [-9.69, -8.51]			4
Sherman 1992	-27.5	0.6	-27.50 [-28.68, -26.32]		+	1
Triebner 2017	-22.4	1.4	-22.40 [-25.14, -19.66]			
Xu 1995	-16	1.9	-16.00 [-19.72, -12.28]	1		<b>-+</b>
Griffith 2001	-47	2.8	-47.00 [-52.49, -41.51]		+	   
Bartholomew 1998	-30.5	4.5	-30.50 [-39.32, -21.68]			I I I
1.2.3 Combined groups						
Liao 2015	-25.8	0.6	-25.80 [-26.98, -24.62]		+	- - 
Ahmadi-Abhari 2014	-17.7	0.9	-17.70 [-19.46, -15.94]			+
Luoto 2018	-46.4	2.4	-46.40 [-51.10, -41.70]	+	-+	     
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# Supplementary File 1. The rate of normal organ function decline with advancing age: protocol for a systematic review.

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# Key Words

Normal ageing, organ function, age-related decline

# ABSTRACT

**Background** The unprecedented rise in life expectancy in the last few decades has led to an increasing proportion of elderly people. Elderly individuals present a particularly complex challenge to health care due to their multiple comorbidities, frailty as well as their functional decline. In order to better understand and guide the care of geriatric patients, it is necessary to understand the natural rate of decline of various organ functions, so as not to inappropriately label them as having disease. This protocol is for a systematic review, which aims to calculate the rate of annual decline of lung, liver and pancreatic function as well as bone mineral density.

**Methods** An electronic literature search will be conducted in MEDLINE, EMBASE AND CINAHL from inception. Reference lists of included studies will also be searched for relevant prospective cohort studies and randomized controlled trials, which meet the pre-specified inclusion and exclusion criteria. The article selection and risk of bias of included studies will be determined independently by two reviewers. If possible, a meta-analysis will be conducted to pool estimates on the overall rate as well as the decade-specific rates of decline of the specified organ functions in a healthy aging cohort, and compare these estimates with cohorts that are exposed to risk factors.

**Discussion** This review aims to determine the rate of decline of organ function with age, and determine any predictors of decline. The results from this review will enable clinicians to better differentiate

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between physiological age-related decline and pathological decline when interpreting laboratory test results. This will prevent the overdiagnosis of elderly people with diseases that in fact represent normal ageing.

Systematic review registration PROSPERO CRD42018087066

# BACKGROUND

# Description of the condition

Advances in modern medicine have resulted in unprecedented rise in life expectancy. The average person's life expectancy has risen by 5 years in the last fifteen years alone, the fastest rate of growth since the 1960s<sup>1</sup>. This has led to a rise in the number and proportion of persons aged 65 years and older with multiple chronic conditions and frailty, posing a complex social and economic challenge to healthcare systems.

Ageing is accompanied by physiological changes in the function of most (if not all) organs and senses. The physiological functions of some organs, including the lungs and kidneys, have been documented to reach a peak in early adulthood and then decline thereafter with age<sup>2</sup>. The rates of age-related functional decline are dependent on a number of factors, including genetics and environmental factors<sup>3,4</sup>.

Measured lung function parameters decrease with age, due to factors such as loss of elasticity, weakened muscles of respiration and decreased surface area for alveolar gas exchange<sup>6</sup>. Several longitudinal studies have been performed to monitor and calculate the rate of FEV<sub>1</sub> (Forced expiratory volume in 1 second) decline, and highlight those who are at risk of developing disease<sup>3,7,8</sup>.

The liver also demonstrates measurable changes with age, with liver weight reported to decrease by as much as 20% after the age of 50 years<sup>2</sup>. Although some studies show that liver function tests do not change with age<sup>2,9,10</sup>, it is also established that albumin,- which is a marker of synthetic liver function, decreases with age (though this may in part, be due to other factors such as malnutrition or renal losses<sup>11</sup>). It has also been shown that the liver metabolises drugs slower in aged cohorts compared to younger cohorts<sup>2,12,13</sup>.

With advancing age, there is a progressive loss in number and function of insulin-producing beta-cells in the pancreas. This, coupled with increasing systemic insulin resistance in glucose receptors can result in the development of diabetes mellitus in the elderly<sup>14</sup>. Few studies have demonstrated this by monitoring healthy individuals for the development of impaired glucose tolerance or fasting glucose<sup>15</sup>.

Bone mineral density measurements also exhibits change with age, resulting in an increased risk of developing osteoporosis, which predisposes older people to minimal trauma fractures. Females have an accelerated decline of bone mass after the onset of menopause, due to declining oestrogen levels. Other factors, such as vitamin D, calcium levels, parathyroid gland function, renal function and gastrointestinal absorption also play a role in maintaining bone mass and skeletal function<sup>16</sup>.

Normal ageing may result in changes in laboratory test values and biomarkers, but these changes do not necessarily represent clinical impairment.<sup>5</sup> Even if laboratory tests show values that lie outside the reference ranges, organs have functional reserves that cannot easily be measured by standard laboratory testing. Laboratory test results should not be used as the sole basis for which a diagnosis of disease is made; rather, these values should be integrated with the patient's clinical symptoms in order to make a diagnosis.<sup>5</sup> A measured decrease in organ function also may not represent clinically significant decline, instead demonstrating the normal process of ageing. One explanation for this may be that the demands of the elderly cohorts' activities of daily living are no longer the same as their younger counterparts.

#### Why it is important to do this review

Elderly people have increasingly been labelled with conditions such as prediabetes, chronic airways disease, osteopenia or liver disease as a result of laboratory testing. Although these conditions may represent a risk of progression to serious disease, which causes premature death, in many cases they may never progress to symptomatic disease and may even represent an expected level of function at that age.

A commonly-reported example is in chronic kidney disease, which is arbitrarily diagnosed by an eGFR (estimated glomerular filtration rate) threshold less than 60ml/min/1.73<sup>2</sup> for more than 3 months. There are no adjustments to this eGFR threshold for age, race or gender. Over 45% of the population over the age of 70 years have a diagnosis of chronic kidney disease according to this threshold<sup>17,18</sup>. Many of these individuals, however, never develop kidney failure or end stage renal disease, and have been inappropriately labeled (overdiagnosed) as having disease<sup>19</sup>.

It is important to distinguish pathological aging from physiological decline. Some measures of organ function (such as eGFR) are not calibrated by age or gender, causing overdiagnosis of healthy individuals with disease, which may never manifest or cause harm, and subsequent overtreatment. It is therefore important to clarify what constitutes normal for healthy, aging individuals. To our knowledge, no systematic review has been done to identify and compare the rates of functional decline across organs, and whether there are risk factors/predictors that are in common.

#### OBJECTIVE

This review aims to determine the average rate of decline of lung function, liver function, pancreatic endocrine function and bone mineral density in healthy individuals with advancing age.

#### METHODS

#### **Eligibility criteria**

#### **Types of studies**

This review will consider prospective cohort studies or randomised controlled trials, which employ longitudinal designs (only if they include a control arm that does not receive treatment) with a minimum

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duration of three years and three separate measurements. Studies that report the age-related decline of the specified organ functions will be eligible for inclusion, irrespective of publication status and language of publication.

# **Types of participants**

Studies will be considered eligible for inclusion if they follow a cohort of adults to the age of 65 years or more. Participants who have a known risk factor, medical illness or pre-disease specific to the outcome being studied (i.e. participants with diabetes when investigating pancreatic function decline) will be excluded. Appropriate participants will be included irrespective of sex or ethnicity. Studies including pregnant women or children will be excluded.

# Type of exposure

We will include studies involving ageing adults with no known comorbidities. Studies will be eligible for inclusion if they follow a normal cohort. Studies that only followed cohorts with risk factors or known exposures and did not compare them to a normal cohort will be excluded. We plan to assess whether there are certain predictors of decline that organs have in common. Examples of risk factors may include:

- Smoking
- Symptomatic hypertension
- High BMI
- Hyperlipidemia
- Diabetes mellitus
- Alcohol consumption

#### Types of outcome measures

We will include studies which report annual decline, or repeated measurements of organ function over time, to at least the age of 65 years. Studies should record a minimum of three measurements of organ function. Examples of these parameters include:

- Forced expiratory volume in 1 second (FEV<sub>1</sub>) for lung function
- Albumin as a marker of synthetic liver function
- Fasting blood sugar levels for pancreatic endocrine function
- Bone mineral density

# SEARCH METHODS FOR IDENTIFICATION OF STUDIES

#### **Electronic searches**

We conducted electronic searches of MEDLINE, EMBASE and CINAHL databases from inception through to October 2017, using the search strategy at the end of this document. This was developed with the assistance of an information specialist.

#### Searching other resources

Electronic searches were complemented by manual searching through reference lists of studies that were identified for potential inclusion as well as backwards and forward searching.

#### DATA COLLECTION AND ANALYSIS

#### Selection of studies

Two authors will independently screen titles and abstracts of all studies identified by the searches for potential inclusion. Prior to commencing screening, a small subset of 50 titles will be screened by the two reviewers as a calibration exercise to check for >80% agreement. After screening, a calibration exercise will be conducted screening the full texts of the studies targeting >80% agreement. The remaining full texts will then be retrieved and reviewed independently by the authors to determine eligibility for inclusion. Disagreements will be resolved by discussion or with another reviewer. If there are multiple reports of the same study, the most recent publication with longest length of follow up will be included.

#### Data extraction and management

Two authors will independently extract data from the studies using a data extraction form. This form will be piloted using ten studies prior to data extraction as a calibration exercise to check for adequate agreement (>80%) between the reviewers. Data extraction will be performed using Excel and any disagreements will be resolved by discussion or by another reviewer. Extracted measures will include setting and year of the study, duration of the study, population size, ethnicity, baseline age, baseline organ function, organ function measurements, number and frequency of measurements, any known risk factors or exposures, proportion of those exposed, average length of follow up and loss to follow up. A random sample of the extraction will also be cross-checked by a third reviewer. All the measured outcomes (functional parameters) will initially be charted to show how often they are used in studies. A group of geriatricians and primary care physicians will be recruited from Bond University and Gold Coast Hospital and Health Service. Using the modified Delphi approach, these clinicians will be asked to independently rank the organ function parameters that they deem to be the most clinically relevant marker of organ function. The survey will be performed online. The highest ranked outcomes will then be included in the data analysis.

#### Assessment of risk of bias in included studies

Two authors will independently appraise the quality of the included studies, using the <u>Newcastle Ottawa</u> <u>Scale</u> (NOS) for assessing risk of bias in cohort studies. Disagreements will be resolved by discussion or a third reviewer. Factors that will be assessed include:

- Representativeness of the exposed cohort
- Selection of the non-exposed cohort
- Ascertainment of exposure
- Demonstration that the outcome of interest was not present at start of study
- Comparability of cohorts on the basis of design or analysis
- Assessment of outcome
- Adequate duration of follow up

- Adequate follow up of cohorts
- Other important biases

Risk of bias for randomised controlled trials will be assessed using the Cochrane Risk of Bias tool which assesses the following domains:

- Random sequence generation
- Allocation concealment
- Blinding of participants and personnel
- Blinding of outcome assessors
- Incomplete outcome data
- Selective reporting
- Other biases

# Measures of treatment effect

The data will first be extracted and analysed descriptively using graphs, to determine whether it is appropriate to pool the data. If deemed appropriate, RevMan will be used to pool the data. For continuous outcomes the mean difference (MD) (or standardized mean difference if studies use different measuring scales) and corresponding 95% confidence interval (95% CI) will be calculated. The data will be extracted and reported as an annual percentage decline. The overall rates of decline and corresponding confidence intervals will be presented visually in a forest plot. If the data allow, we will also extract and stratify decade-specific decline rates. If this is not possible, then a descriptive synthesis will be presented.

# Subgroup analysis

We plan to re-analyse the data by organ function parameter if more than one marker is deemed appropriate as a useful measure of a certain organ's function (e.g. location of bone mineral density measurement). We will compare decline rates of different ethnicities and sex. As well as this we will separately analyse the data of those develop disease during the course of the study and those who had known risk factors. We will also look for birth cohort effects if the data allow (i.e. cohorts who have suffered deprivation early in life may show more functional decline later in life).

# Dealing with missing data

If data were missing from studies published within the last 5 years, we plan to contact authors via email to obtain the individual data set.

# Assessment of heterogeneity

Statistical heterogeneity may be assessed by calculating the chi squared score, as well as the  $I^2$  statistic. Studies will be judged to have significant heterogeneity if the P value for the chi squared test was <0.1. If using mixed models, we will report random effects as the measure of heterogeneity. The degree of

heterogeneity will be determined by the  $I^2$  as follows (as specified in the Cochrane handbook):

- 0% to 40%: might not be important;
- 30% to 60%: may represent moderate heterogeneity;

- 50% to 90%: may represent substantial heterogeneity;
- 75% to 100%: considerable heterogeneity.

If there is considerable heterogeneity within the studies for the outcome, reasons for heterogeneity will be explored and results will not be pooled.

# Assessment of reporting biases

If available, outcomes reported in the protocol of the studies will be judged against the final publication to assess for any reporting bias. If there are any discrepancies, these will be reported. If study protocols are not available, the outcomes listed in the methodology of the study will compared to the final reported outcomes in the results. Authors will be contacted if there are any missing data or outcomes.

# Data synthesis

Where data are sufficiently similar and are thought to be clinically relevant by a group of geriatricians and primary care physicians, we will pool the study estimates of organ function. A random effects model will be used in the meta-analysis to allow for between study differences.

# Sensitivity analysis

Sensitivity analyses will be conducted to check whether heterogeneity in the overall outcomes can be explained by either of the following:

- the presence of low quality studies with high risk of bias (assessed as having one or more domains with a high risk of bias according to the NOS).
- duration of the study or time-points of measurement

# DISCUSSION

This review aims to provide an estimate of annual organ function decline across various organs that is part of normal aging in people without symptomatic disease. This will enable clinicians to distinguish age-appropriate laboratory test results from values which represent increased risk of disease. It is more reasonable to assess the health of individuals with reference to others in their age cohorts, not in comparison to healthy young individuals. Determining these 'normal' changes with aging will also avoid the psychological consequences of disease-labelling and side effects of unnecessary drug treatment. Researchers will be able to use this data to plan more longitudinal studies in different cohorts and investigate additional factors that affect changes in organ function. Further research will also be required to determine whether it is possible to regain function and if so, up until what point this is possible once a risk factor is removed.

# ABBREVIATIONS

**FEV**<sub>1</sub> – Forced expiratory volume in 1 second **eGFR** - estimated glomerular filtration rate **NOS-** Newcastle Ottawa Scale

# DECLARATIONS

ETHICS APPROVAL AND CONSENT TO PARTICIPATE

Not applicable

# CONSENT FOR PUBLICATION

Not applicable

# AVAILABILITY OF DATA AND MATERIAL

Not applicable

# COMPETING INTERESTS

The authors declare that they have no competing interests.

# FUNDING

PG has received funding from the Australian National Health and Medical Research Council (Australia Fellowship No. 527500 and Program Grant No 633003). The funders had no role in design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript.

# **AUTHORS' CONTRIBUTIONS**

ETT, SS and PG were involved in the conception and design of the review. ETT developed the search strategy. ETT drafted the manuscript, and MG, SS, KB and PG contributed to the drafting of the review protocol. All authors approved the final version of the article.

# ACKNOWLEDGEMENTS

The authors would like to thank Justin Clark for his assistance with the literature search, as well as Richard Stevens and Ben Feakins for their advice on statistical analysis.

# PUBMED SEARCH STRATEGY

- ("forced expiratory volume"[tiab] OR FEV[tiab] OR "forced vital capacity"[tiab] OR FVC[tiab] OR spirometry[Mesh] OR spirometry[tiab] OR "lung function"[tiab] OR "pulmonary function"[tiab] OR "Expiratory Flow"[tiab])
  - AND

- ("Aging/ethnology"[Mesh] OR "Aging/physiology"[Mesh] OR "Age-related"[tiab] OR "Age related"[tiab] OR Function[tiab] OR Healthy[tiab])
   AND
- 3. (Decline[tiab] OR Declines[tiab] OR Declined[tiab] OR Decrease[tiab] OR Decreased[tiab]) AND
- ("Middle Aged"[Mesh] OR "Aged"[Mesh] OR Aged[tiab] OR Elderly[tiab] OR Old[tiab] OR Older[tiab]) AND
- ("Longitudinal Studies"[Mesh] OR "Follow-Up Studies"[Mesh] OR Longitudinal[tiab] OR Trend[tiab] OR Trends[tiab] OR Trajectories[tiab] OR Trajectory[tiab] OR "Follow-up"[tiab] OR "Follow up"[tiab] OR "Rate of"[tiab] OR "Rates of"[tiab]) AND
- (Cohort[tiab] OR Observational[tiab] OR Prospective[tiab] OR Compared[tiab] OR Investigated[tiab] OR Evaluating[tiab] OR Analysis[tiab] OR Analyzed[tiab] OR Statistics[tiab] OR Data[tiab] OR Baseline[tiab]) AND
- 7. (Humans[Mesh] OR Humans[tiab] OR Human[tiab] OR Population[tiab])

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# Supplementary File 2. Medline Search Strategy, performed 12th February 2019

- ("forced expiratory volume"[tiab] OR FEV[tiab] OR "forced vital capacity"[tiab] OR FVC[tiab] OR spirometry[Mesh] OR spirometry[tiab] OR "lung function"[tiab] OR "pulmonary function"[tiab] OR "Expiratory Flow"[tiab]) AND
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- 3. (Decline[tiab] OR Declines[tiab] OR Declined[tiab] OR Decrease[tiab] OR Decreased[tiab]) AND
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- 6. (Cohort[tiab] OR Observational[tiab] OR Prospective[tiab] OR Compared[tiab] OR Investigated[tiab] OR Evaluating[tiab] OR Analysis[tiab] OR Analyzed[tiab] OR Statistics[tiab] OR Data[tiab] OR Baseline[tiab]) AND
- 7. (Humans[Mesh] OR Humans[tiab] OR Human[tiab] OR Population[tiab])

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Supplementary	Supplementary File 3. Sample calculations of decline rates for each study						
Ahmadi-Abhari 2014							
Mean FEV1 deo	Mean FEV1 decline of people with baseline CRP $\leq$ 10mg/L who are never smokers						
CRP	n	Annual change (multivariable adjusted)					
≤1	3430	-17.16					
1.1 – 3	3012	-18.53					
3.1 - 10	1620	=17.15					
Mean FEV1 cha	Mean FEV1 change = $\frac{(3430 \times 17.16) + (3012 \times 18.53) + (1620 \times 17.15)}{(3430 + 3012 + 1620)}$						
	= - 17.7	7 ml / year					
Mean FVC decl	ine of people wi	th baseline CRP $\leq$ 10mg/L who are never smokers					
CRP	n	Annual change (multivariable adjusted)					
≤1	3430	-31.57					
1.2 – 3	3012	-30.57					
3.1 – 10	1620	-30.87					
Mean FEV1 change = $\frac{(3430 \times 31.57) + (3012 \times 30.57) + (1620 \times 30.87)}{(3430 + 3012 + 1620)}$							
= - 31.1 ml / year							

To calculate the standard deviations each group from the given 95% confidence intervals the following formula was used:

$$SD = \sqrt{n} \times \frac{upper \ limit - lower \ limit}{3.92}$$

E.g. The standard deviation of FEV1 decline in the CRP  $\leq$  1 category was calculated as follows:

$$SD = \sqrt{3430} \times \frac{19.9 - 14.41}{3.92}$$
$$= \sqrt{3430} \times 1.4$$
$$= 81.99$$

In this way standard deviations for all of the 3 included groups were calculated for both outcomes

CRP	n	Annual FEV1 change (multivariable adjusted)	Standard deviation
≤1	3430	-17.16	81.99
1.3 – 3	3012	-18.53	79.36
3.1 – 10	1620	-17.15	69.23

CRP	n	Annual FVC change (multivariable adjusted)	Standard deviation
≤1	3430	-31.57	122.99
1.4 – 3	3012	-30.57	119.42
3.1 - 10	1620	-30.87	104.00

The combined standard deviation was calculated using the following formula, available from the Cochrane handbook<sup>1</sup> (where only two groups are combined at a time).

Combined 
$$SD_{Group 1,2} = \sqrt{\frac{(n_1 - 1) SD_1^2 + (n_2 - 1) SD_2^2 + \frac{n_1 n_2}{n_1 + n_2} (m_1^2 + m_2^2 - 2(m_1 m_2))}{(n_1 + n_2 - 1)}}$$
  

$$= \sqrt{\frac{(3430 - 1) 81.99^2 + (3012 - 1) 79.36^2 + \frac{3430 \times 3012}{3430 + 3012} (-17.16^2 + -18.53^2 - 2(-17.16 \times -18.53))}{(3430 + 3012 - 1)}}$$

$$= \frac{(23050972.78 + 18963306.91 + 1603.72(637.83 - 635.95))}{6441}$$

$$= 80.77$$

Then the combined values of Group 1 and 2 are treated as one group as follows

Group 3 will be assigned to the values of SD<sub>2</sub>,  $m_2$  and  $n_2$ 

Combined 
$$SD_{Group \ 1,2,3} = \sqrt{\frac{(n_1 - 1) SD_1^2 + (n_2 - 1) SD_2^2 + \frac{n_1 n_2}{n_1 + n_2} (m_1^2 + m_2^2 - 2(m_1 m_2))}{(n_1 + n_2 - 1)}}$$
  

$$= \sqrt{\frac{(6442 - 1) 80.77^2 + (1620 - 1) 69.23^2 + \frac{6442 \times 1620}{6442 + 1620} (-17.80^2 + -17.15^2 - 2(-17.80 \times -17.15))}{(6442 + 1620 - 1)}}$$

$$= \frac{(42019750.07 + 7759531.71 + 1294.47(610.96 - 610.54))}{8061}$$

$$= 78.58$$

The same calculations were carried out for the combined standard deviations of the FVC readings across the 3 CRP groups

#### Bartholomew 1998

See Table 3 – Female never smokers

FEV1 6 year change from baseline (all ages)	= -0.178
Mean FEV1 annual decline	$=\frac{0.178}{6}$

= - 30.5ml/year

FVC 6 year change from baseline (all ages) = -0.218 For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

	$=\frac{0.218}{6}$
	= - 36.3ml/year
See Table 3 – Male never smokers	
FEV1 6 year change from baseline (all ages)	= -0.261
Mean FEV1 annual decline	$=\frac{-0.261}{6}$
	= -43.5ml/year
FVC 6 year change from baseline (all ages)	= -0.283
	$=\frac{-0.283}{6}$
	= 47.2ml/year

#### **Burchfiel 1995**

Annual FEV1 decline (ml/year) extracted from Table 2

Male never smokers change from Exam 1-3 = -21.6ml/year

#### Burrows 1986

Values of FEV1 decline extracted from Figure 3 for both males and females, where in males, height was assumed to be 1.75m and females 1.6m.

Using the formulae provided by the authors to predict  $\Delta$ FEV1:

Males:  $\Delta$ FEV1 = 21.82 – 0.109Age x Height<sup>3</sup>

Females:  $\Delta$ FEV1 = 19.79 – 0.205Age x Height<sup>2</sup>

The relevant values were then derived from the graph and then input into the formulae to produce the following values.

Male

Age	Height (cubed = 5.36)	FEV1 change
25	1.75	7.216*
30	1.75	4.295*
35	1.75	1.374*
40	1.75	-1.547
45	1.75	-4.468
50	1.75	-7.389
55	1.75	-10.309
60	1.75	-13.23
65	1.75	-16.151
70	1.75	-19.072

Mean decline rate: -10.309ml/yr (SD 6.31), where the \*figures were not used in the overall decline calculation.

#### Female

Age	Height (cubed = 5.36)	FEV1 change
25	1.6	6.67*
30	1.6	4.046*

35	1.6	1.422*
40	1.6	-1.202
45	1.6	-3.826
50	1.6	-6.45
55	1.6	-9.074
60	1.6	-11.698
65	1.6	-14.322
70	1.6	-16.946

Mean decline rate: -9.074 ml/yr (SD 5.668), where the \*figures were not used in the overall decline calculation.

#### Griffith 2001

Rates extracted from Table 4 (random effects model) for FEV1

**Females** 

Mean -0.047L/year (SE 0.0028)

Males

Mean = -0.047 + (-0.0053)

= -0.0523L/year

SE = 
$$\sqrt{(0.0028)^2 + (0.0013)}$$

= 0.0031

Table 5 for FVC

Females

Mean -0.0656L/year (SE 0.0038)

Males

SE

Mean = -0.0656 + (-0.0128)

$$=\sqrt{(0.0038)^2 + (0.0019)^2}$$

= 0.0042

Lange 1998

Males  
Mean = 
$$-0.047 + (-0.0053)$$
  
=  $-0.0523L/year$   
SE =  $\sqrt{(0.0028)^2 + (0.0013)^2}$   
=  $0.0031$   
*Table 5 for FVC*  
Females  
Mean - $0.0656L/year$  (SE  $0.0038$ )  
Males  
Mean =  $-0.0656 + (-0.0128)$   
=  $-0.0784L/year$   
SE =  $\sqrt{(0.0038)^2 + (0.0019)^2}$   
=  $0.0042$   
Lange 1998  
Combined mean (m) of all groups: =  $\frac{(m_1 \times n_1) + (m_2 \times n_2) + (m_3 \times n_3)}{n_1 + n_2 + n_3}$ 

Using values from Table 3 for non-asthmatic non-smoking women and men. The means, no. of subjects and standard deviations were combined for the 20-39 age group, 40-59 group and 60-79 group.

Females

Combined mean 
$$= \frac{(433 \times 5.0) + (1471 \times (-17.7)) + (809 \times (-31.7))}{2713}$$

= -18.25ml/year

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$$\begin{array}{l} \mbox{Combined } SD_{encep1,2} &= \sqrt{\frac{(n_1-1)SD_1^2 + (n_2-1)SD_2^2 + \frac{n_1n_2}{(n_1+n_2)^2}(n_1+n_2^2-2(n_1,n_2))}{(n_1+n_2-1)}} \\ &= \sqrt{\frac{(433-1)2.7^2 + (1471) - 1)4^2 + \frac{(433+1471)}{(133+1471-1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471)}{(133+1471-1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471)}{(133+1471-1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471)}{(133+1471-1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471)}{(133+1471-1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471)}{(133+1471-1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471-1)}{(130+1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471-1)}{(130+1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471-1)}{(130+1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471-1)}{(130+1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471-1)}{(130+1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471) + 1^2 + \frac{(433+1471-1)}{(130+1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471-1)}{(100+1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471-1)}{(120+1)}} \\ &= \sqrt{\frac{(432)2.7^2 + (1471-1)}{(120+1)$$

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$$= 9.643 \text{ (combined SD of Group 1,2)}$$
Group 1 and 2
$$n_1 1137 \qquad m_1 - 18.046 \qquad SD 9.643$$
Group 3
$$n_2 455 \qquad m_2 - 31.7 \qquad SD 3.7$$
Combined SD<sub>Group1,2,3</sub>

$$= \sqrt{\frac{(n_1 - 1) SD_1^2 + (n_2 - 1) SD_2^2 + \frac{n_1 n_2}{n_1 + n_2}(m_1^2 + m_2^2 - 2(m_1 m_2))}{(n_1 + n_2 - 1)}}$$

$$= \sqrt{\frac{(1137 - 1) 9.643^2 + (455 - 1) 3.7^2 + \frac{1137 \times 455}{1137 + 445}((-18.046)^2 + (-31.7)^2 - 2(572.06)))}{(1137 + 455 - 1)}}$$

$$= \sqrt{\frac{(105633.74) + (6215.26) + 324.96(186.43))}{1591}}$$

$$= \sqrt{\frac{111849 + 60582.29}{1591}}$$
Combined SD males
$$= 10.41$$

#### Liao 2015

FEV1 and FEV1/FVC decline were extracted from Table III (Linear Mixed Model)

Time dependent estimates (SE)

Years after baseline

FEV1 = 25.8 (0.6)

FEV1/FVC = -0.0029 (0.0001)

#### Luoto 2018

Value for absolute  $FEV_1$  decline for never smokers was extracted from Table 3 (Basic model adjusted for age, sex and smoking status)

 $FEV_1$  absolute decline = -46.4

SD calculated from 95% CI using formula:

$$SD = \sqrt{n} \times \frac{upper \ limit - lower \ limit}{3.92}$$
$$SD = \sqrt{387} \times \frac{-41.7 - 51.2}{3.92}$$
$$SD = 47.7$$

Relative FEV<sub>1</sub> decline was extracted from Table 4 (basic model, non-smoker) = -2.23%/year

SD was calculated using the 95% CI as done for absolute decline values

$$SD = \sqrt{387} \times \frac{-2.00 - -2.46}{3.92}$$

Value for absolute FVC decline for never smokers was extracted from Table 5 (Basic model adjusted for age, sex and smoking status)

FVC absolute decline = -43.7

SD calculated from 95% CI using formula:

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$s_{D} = \sqrt{n} \times upper$	limit — lower limit
$SD = \sqrt{n} \times$	3.92
$c_{D} = \sqrt{287} \times$	-37.050.4
$SD = \sqrt{307} x$	3.92
SD =	67.2

Relative FVC decline was extracted from Table 6 (basic model, non-smoker) = -1.68%/year

SD was calculated using the 95% CI as done for absolute decline values

$$SD = \sqrt{387} \times \frac{-1.46 - -1.93}{3.92}$$

SD = 2.4

#### Maselko 2006

PEFR decline extracted from Table 3 (never smokers)

Yearly decline

Men

Time (L/min/year) -8.61 (SE 2.3) P<0.01

Women

Time (L/min/year) -8.58 (SE 1.8) P<0.01

#### Pearson 1998

Figures of FEV1 decline extracted from Table 1 using the following calculation:

Yearly decline = 
$$\frac{FEV_{last visit} - FEV_{first visit}}{mean follow up time (years)}$$

Men

Yearly decline  $=\frac{3.8L-4.3L}{11.5 years}$ 

= 0.0435L/year

Women

Yearly decline  $=\frac{2.6L-2.8L}{5.7 \ years}$ 

= 0.0351L/year

#### Pelkonen 2001

Figures of 15 year FEV1 decline extracted from Table 1 (Never smokers n=200) = -46.4ml/year (p<0.001)

Figures of 30 year FEV1 decline extracted from Table 1 (Never smokers n=100) = -34.8/year (p<0.001)

#### Proctor 2006

PEFR decline calculated from Table 1 using the follow calculation, where EFR is expiratory flow rate.

$$Yearly \ decline = \frac{EFR_{Year 8} - EFR_{Year 0}}{8 \ years}$$

Men

$$Yearly \ decline = \frac{298.36 - 390.34}{8 \ years}$$

= -11.50L/min/year

Women

Yearly decline =  $\frac{224.62 - 277.20}{8 \text{ years}}$ = -6.57 L/min/year

#### Sherman 1992

FEV1 Slopes extracted from Table 5, specifically never-smokers who experienced no symptoms (mean [SD] ml/year).

Men 32.8 (29.5) ml/year

Women 27.5 (20.4) ml/year

#### Triebner 2017

Exact figures of FEV1 and FVC decline for both men and women (never smokers) were obtained by contacting the author.

Graphically represented in Figure 4.

Women

FEV1 decline -22.4ml/year (SD 36.4)

FVC decline -14.1ml/year (SD 42.8)

#### Wang 2004

5-year FEV1 slope extracted from Table 1, looking at healthy males.

Mean -56ml/year (SD 45)

#### Xu 1995

Estimates of height-adjusted FEV1 for different ages in both male and females and for different birth cohorts were obtained from the graph in Figure 2.

Time related FEV1 changes were calculated as follows:

#### Birth after 1946

$$Men = \frac{3800ml - 4100ml}{40 - 25} = \frac{-300ml}{40 - 25} = -20ml/year$$

$$Women = \frac{2800ml - 3000ml}{40 - 25} = \frac{-20ml}{40 - 25} = -13.3ml/year$$

$$Cohort 1935 - 1946$$

$$Men = \frac{3400ml - 4100ml}{50 - 25} = \frac{-600ml}{25} = -24ml/year$$

$$Women = \frac{2500ml - 2930ml}{50 - 25} = \frac{-430ml}{25} = -17.2ml/year$$

$$Cohort 1923 - 1934$$

$$Men = \frac{2780ml - 3640ml}{65 - 35} = \frac{-860ml}{30} = -28.7ml/year$$

$$Women = \frac{2050ml - 2700ml}{65 - 35} = \frac{-650ml}{30} = -21.7ml/year$$

$$Men = \frac{2700ml - 3300ml}{65 - 45} = \frac{-600ml}{20} = -30ml/year$$

$$Women = \frac{1970ml - 2450ml}{65 - 45} = \frac{-480ml}{20} = -24ml/year$$

#### Reference

1. The Cochrane Collaboration; 2011 [updated March 2011. Available from: https://handbook-5-1.cochrane.org/chapter\_7/table\_7\_7\_a\_formulae\_for\_combining\_groups.htm accessed August 3rd 2018.

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# PRISMA 2009 Checklist

4 5 <b>Se</b>	ction/topic	#	Checklist item	Reported on page #	
7 <b>TIT</b>	ïLE				
8 9 Title	е	1	Identify the report as a systematic review, meta-analysis, or both.	1	
10 AB	ABSTRACT				
12 Stru 13 14	uctured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2,3	
15 INT	RODUCTION				
$\frac{16}{17}$ Rat	tionale	3	Describe the rationale for the review in the context of what is already known.	4,5	
18 Obj 19	jectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	5	
20 21 ME	METHODS				
22 Pro 23 24 25	tocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	5, Supplementary File 1	
26 Elig 27	gibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	5,6	
28 Infc 29 30	ormation sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	5,6	
31 Sea	arch	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	Supplementary File 2	
33 34 Stu 35	dy selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	6,7	
36 Dat 37	ta collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	7	
38 39 40	ta items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	7	
41 Ris 42 stu	k of bias in individual dies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	7,8	
43 Sur 44	mmary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	8,9	
<u></u> 45			For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml		

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4 5	Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I <sup>2</sup> ) for each meta-analysis.	8,9
6 7	Page 1 of 2			
, 8 9	Section/topic	#	Checklist item	Reported on page #
1 1 1	Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	7,8
13	Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	9
1: 1(				
1: 18	Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	9, Figure 1
2( 2	Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	9, Table 1
22 23 24	Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	19, Figure 4
2: 2( 2)	Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	9-19, Table
28 29 30	8 9 0		071	Figure 2,3
3 <sup>.</sup> 3	Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	N/A
3: 34	Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	19, Figure 5
3: 3( 3) 3( 3)	Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	16-19, Figure 2, 3B
39 4(				
4 4	Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	20
43 44 41	Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	20-23
40	- б			

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4	Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	23-25
6	FUNDING			
7 8	Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	25,26
9 1 10 11 12 13 14 15 16 17 18	<i>From:</i> Moher D, Liberati A, Tetzlaff doi:10.1371/journal.pmed1000097	J, Altm	an DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6 For more information, visit: www.prisma-statement.org. Page 2 of 2	6(7): e1000097.
20 21 22 23 24 25 26				
20 27 28 29 30 31 32 33				
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