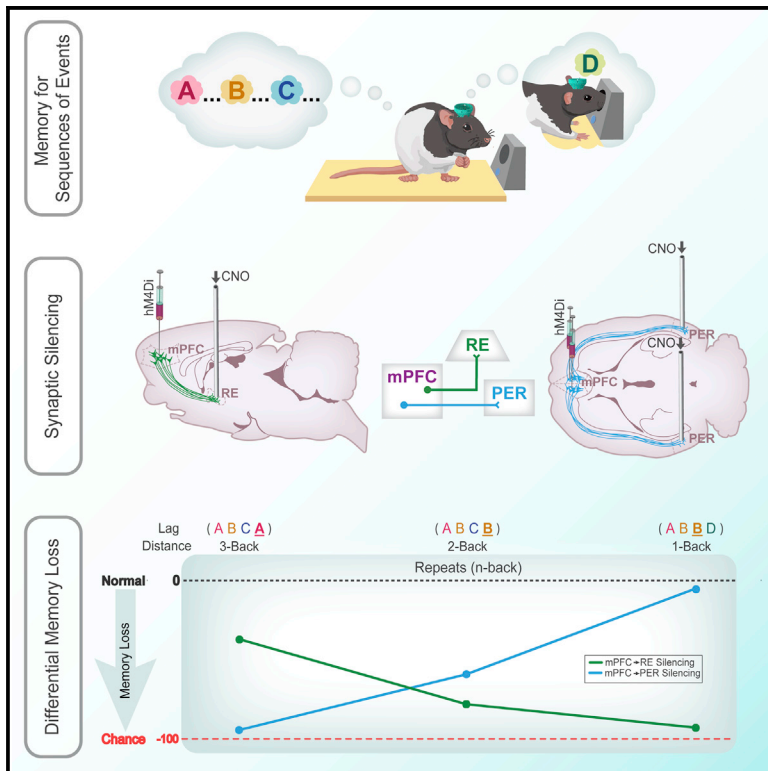


## Prefrontal Pathways Provide Top-Down Control of Memory for Sequences of Events

### Graphical Abstract



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### In Brief

Jayachandran et al. demonstrate that the medial prefrontal cortex has separate projections to the nucleus reuniens of the thalamus and perirhinal cortex. The authors then demonstrate that these pathways differentially control how an episodic-like memory is retrieved.

### Highlights

- Non-overlapping populations of mPFC cells project to the RE and PER
- Suppressing mPFC activity impairs sequence memory
- Silencing mPFC → RE and mPFC → PER leads to distinct deficits in sequence memory
- mPFC → RE and mPFC → PER pathways control sequence memory retrieval



# Prefrontal Pathways Provide Top-Down Control of Memory for Sequences of Events

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## SUMMARY

We remember our lives as sequences of events, but it is unclear how these memories are controlled during retrieval. In rats, the medial prefrontal cortex (mPFC) is positioned to influence sequence memory through extensive top-down inputs to regions heavily interconnected with the hippocampus, notably the nucleus reuniens of the thalamus (RE) and perirhinal cortex (PER). Here, we used an hM4Di synaptic-silencing approach to test our hypothesis that specific mPFC→RE and mPFC→PER projections regulate sequence memory retrieval. First, we found non-overlapping populations of mPFC cells project to RE and PER. Second, suppressing mPFC activity impaired sequence memory. Third, inhibiting mPFC→RE and mPFC→PER pathways effectively abolished sequence memory. Finally, a sequential lag analysis showed that the mPFC→RE pathway contributes to a working memory retrieval strategy, whereas the mPFC→PER pathway supports a temporal context memory retrieval strategy. These findings demonstrate that mPFC→RE and mPFC→PER pathways serve as top-down mechanisms that control distinct sequence memory retrieval strategies.

## INTRODUCTION

We remember our lives as sequences of events, which is at the core of episodic memory. The temporal organization of memory is thought to be useful for disambiguating memories with overlapping content and is studied using a wide array of tasks (Clayton and Dickinson, 1998; Henson, 2001; Tulving, 2002; Agster et al., 2002; Allen and Fortin, 2013; Eichenbaum, 2017a).

Neurobiologically, memory for sequences of events relies on the hippocampus (HC) (Fortin et al., 2002; Kesner et al., 2002; Allen et al., 2016) and medial prefrontal cortex (mPFC)

(Barker et al., 2007; Euston et al., 2007; Hales et al., 2009; Blumenfeld et al., 2011; Tiganj et al., 2017). Each region serves a different role in sequence memory (Hsieh and Ranganath, 2015). The HC is thought to associate information with a spatiotemporal context (Eichenbaum, 2004; Knierim et al., 2006; Knierim, 2015; Skelin et al., 2019), whereas mPFC is thought to influence the retrieval of information relevant to an action or decision (Ferbinteanu et al., 2006; Euston et al., 2012; Preston and Eichenbaum, 2013).

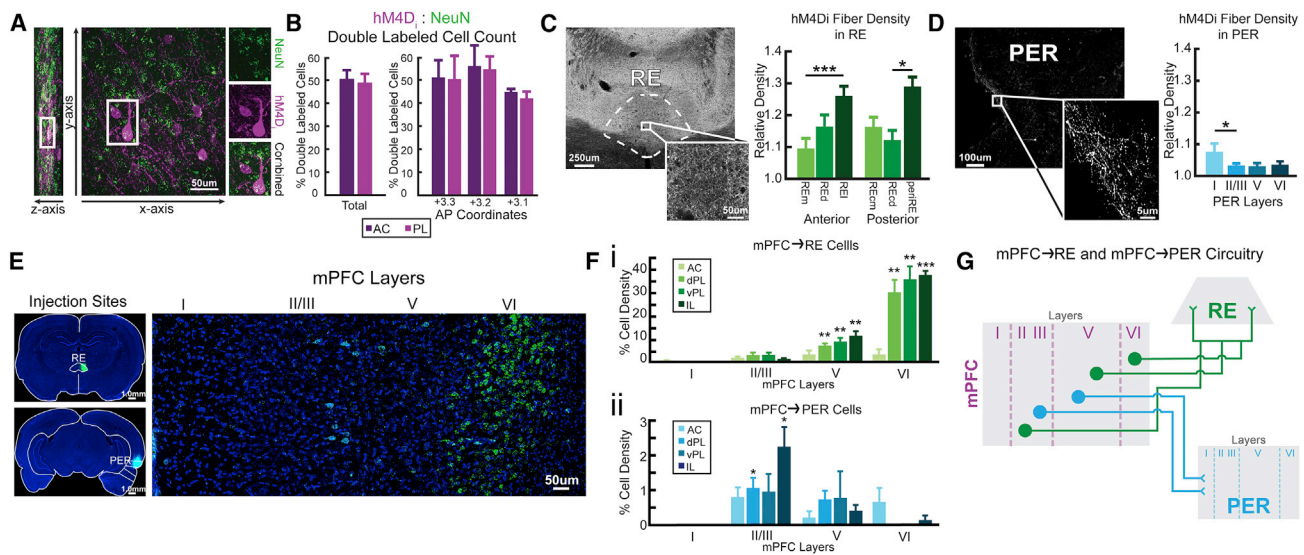
In rats, mPFC is ideally situated to influence memory retrieval through its projections to the thalamus and cortex (Sesack et al., 1989; Chiba et al., 2001; Vertes, 2002; Hoover and Vertes, 2007). mPFC may bias different sequence retrieval strategies through its top-down projection pathways. If this is the case, selective inhibition of distinct mPFC projection pathways should impair sequence memory with different effects.

The most significant mPFC projections target the nucleus reuniens of the thalamus (RE) and the perirhinal cortex (PER) because these regions are interconnected with HC (Eichenbaum, 2017b). The RE receives widely distributed projections from limbic sites (Vertes, 2002, 2004; McKenna and Vertes, 2004), but RE projections primarily innervate HC, parahippocampus, and prefrontal cortex (Vertes, 2006; Vertes et al., 2006). Given the absence of direct projections from mPFC to HC, RE represents the primary route as follows: HC→mPFC→RE→HC (Vertes et al., 2007). Notably, RE is critical to a variety of working memory tasks that have been linked to mPFC deficits (Davoodi et al., 2009; Dolleman-van der Weel et al., 2009; Cassel et al., 2013; Hallock et al., 2013; Xu and Südhof, 2013; Griffin, 2015; Ito et al., 2015; Viena et al., 2018).

Like RE, PER is the nexus of bidirectional communication between HC and mPFC (Furtak et al., 2007). PER is critical to memory for complex stimuli (Murray et al., 2000; Bussey et al., 2002; Aggleton et al., 2010; Barker and Warburton, 2011; Feinberg et al., 2012), as well as for temporal aspects of memory (Murray and Richmond, 2001; Bussey et al., 2005; Allen et al., 2007; Barker et al., 2007; Bang and Brown, 2009; Chen et al., 2016; Naya et al., 2017).

Here, we identify the mPFC→RE and mPFC→PER projection pathways, and then test their contributions to sequence memory using a task that allows for an analysis of the underlying strategy (Allen et al., 2014, 2016). Briefly, rats sampled two sequences of odors and demonstrated sequence memory by identifying





**Figure 1. hM4Di Expression and Retrograde Labeling of RE and PER in mPFC**

(A) hM4Di-mCherry (purple) and NeuN (neuron-specific; green) cells in mPFC.  
 (B) hM4Di expression rates in the prelimbic cortex (PL) and anterior cingulate cortex (AC).  
 (C) Axonal mPFC hM4Di expression in RE. hM4Di fiber density in RE across six subdivisions.  
 (D) Axonal mPFC hM4Di expression in PER across layers.  
 (E) RE (green) and PER (cyan; color was altered for consistency purposes) injection sites with CTB conjugated with Alexa Fluor 488 and 594. mPFC projects to RE and PER from separate cell populations.  
 (F) Cell density of mPFC → RE (i) and mPFC → PER (ii) across subregions and layers.  
 (G) Conceptual model of mPFC neurons with projections to RE and PER. mPFC provides direct excitatory inputs to RE and PER. mPFC → RE and mPFC → PER pathways originate from two distinct cell populations and project from specific cell layers in mPFC. mPFC → RE projects more toward the lateral parts of RE and mPFC → PER projects to layer I of PER.  
 All data are represented as mean ± SEM. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

“in sequence” (InSeq) and “out of sequence” (OutSeq) odors. First we, examined mPFC projections to RE and PER using anterograde and retrograde tracers. Second, we tested the role of mPFC in sequence memory in rats expressing inhibitory DREADDs (designer receptor exclusively activated by designer drugs; hM4Di). Third, we tested the role of mPFC → RE and mPFC → PER pathways using a synaptic-silencing approach (Mahler et al., 2014; Stachniak et al., 2014; Roth, 2016; Smith et al., 2016; Lichtenberg et al., 2017). Finally, we used a lag analysis across specific probes (e.g., ABA, where A is a repeated item from two positions earlier, or ABD, where D skips ahead one position) to look at the unique contributions of mPFC → RE and mPFC → PER pathways. Theoretically, differences in lag performance patterns on repeated items can be used to distinguish the contributions of working memory and temporal context memory.

We found that distinct populations of mPFC cells project to RE and PER. We then show mPFC is critical to sequence memory. Silencing mPFC → RE and mPFC → PER projections abolished sequence memory and led to a unique pattern of behavioral deficits. Silencing mPFC → RE produced a deficit consistent with reduced working memory, whereas silencing mPFC → PER produced a deficit consistent with reduced temporal context memory (i.e., graded memory retrieval based on sequential proximity). These findings advance the concept that separate mPFC circuits target RE and PER and differentially control sequence memory.

## RESULTS

### Incubation Time of AAV-hM4Di (Adeno-Associated Virus) in mPFC Neurons

We targeted mPFC, which has been implicated in the temporal organization of memory (Uyilings et al., 2003; Devito and Eichenbaum, 2011; Tiganj et al., 2017, 2018), using an axon-preferring hM4Di variant (AAV9.CAG.mCherry-2a-hM4Di<sup>nrxn</sup>.WPRE.SV40), referred to as hM4Di<sup>nrxn</sup> (neurexin; Stachniak et al., 2014). This variant exhibits enhanced axonal expression and reduced somatic expression. Activation of the hM4Di<sup>nrxn</sup> variant at synaptic terminals inhibits synaptic transmission without somatic hyperpolarization (Stachniak et al., 2014). The gestation time for these experiments was determined by injecting hM4Di in a separate group of rats ( $n = 4$ , 1 per time point) that were perfused at 1, 2, 4, or 8 weeks after surgery. At 2 weeks, the virus was well expressed (Figure S1).

### Localization of AAV-hM4Di Transduction and Expression Patterns

We used immunohistochemistry to visualize hM4Di expression resulting from the AAV viral constructs and found expression to be mostly restricted to the anterior cingulate and prelimbic cortices of mPFC. To estimate the localization of hM4Di, we processed slices for dual immunofluorescence in a subset of animals ( $n = 3$ ) using antisera for mCherry and NeuN (Figure 1A). We counted mCherry-labeled cells and NeuN-labeled cells at

three anterior-posterior (AP) levels: at the core of the injection site in layers 5/6 of mPFC (AP +3.2); and anterior/posterior to this level ( $\pm 120 \mu\text{m}$ ), defining the ratio of mCherry to NeuN-labeled cells as the percentage of hM4Di-infected cells (Figure 1B). Overall, hM4Di-infected neurons in prelimbic cortex ( $48.99\% \pm 4.32\%$ ) and anterior cingulate cortex ( $50.61\% \pm 3.93\%$ ) did not differ ( $t_{(16)} = 0.779$ ,  $p = 0.447$ ).

### Organization of mPFC Projections to RE and PER

We examined the organization of mPFC projections to RE and PER using anterograde and retrograde methods. First, we mapped mPFC projections to RE and PER using immunolabeling of mCherry terminals taking advantage of the anterograde properties of AAV9.CAG.mCherry-2a-hM4Di<sup>Cre</sup>. Fiber expression patterns were characteristic of mPFC projection sites to the forebrain, including the orbital and insular cortices, ventral striatum, and the entire intralaminar and midline thalamus, including predominantly RE (Figure 1C), PER (Figure 1D), and entorhinal cortices, indicating good anterograde transport and allowing for clozapine *N*-oxide (CNO) inhibition of mPFC terminal fibers in RE (Vertes, 2002; Hoover and Vertes, 2007, 2012; Vertes et al., 2007) and PER (Furtak et al., 2007). The density of mPFC fibers across subdivisions of each terminal site was quantified in a subset of rats ( $n = 3$ ). mPFC fiber densities in RE and PER were tested using one-sample *t* tests against a relative density (RD) = 1 (the referenced area), which yielded significant differences of  $p \leq 0.001$  for all regions and laminar divisions of RE and PER.

RE contained a dense plexus of hM4Di fibers that spanned the rostrocaudal extent of the nucleus. mPFC hM4Di fibers distributed strongest to the lateral aspects of RE including perireunions (periRE) (Figures 1C and 4C). ROIs for RE were taken from six separate subdivisions across the AP plane: medial (REm), dorsal (REd), and lateral (REl) divisions rostrally and medial (REcm, dorsal [REcd], and periRE laterally in caudal RE). Across anterior RE, there was a significant difference in the distribution of mCherry-labeled fibers ( $F_{(2,16)} = 8.81$ ,  $p = 0.003$ ). hM4Di mPFC axons targeted REd (RD =  $1.166 \pm 0.078$ ) and REl (RD =  $1.264 \pm 0.103$ ) with the heaviest of fiber innervation in the lateral “wings” (Figures 1C and 4C). By comparison, the density of mPFC axons in REm (RD =  $1.095 \pm 0.480$ ) was substantially less than REl ( $p = 0.001$ ) and REd ( $p = 0.054$ ). There was a similar pattern of innervation across RE for the posterior aspect of RE, with significant differences in density across subdivisions mediolaterally ( $F_{(2,12)} = 4.334$ ,  $p = 0.038$ ) with intense fiber labeling in periRE (RD =  $1.238 \pm 0.100$ ), followed by REd (RD =  $1.161 \pm 0.063$ ), and fewer fibers covering the medial REcp (RD =  $1.132 \pm 0.051$ ).

In PER, the density of mPFC fibers differed significantly across layers of PER ( $F_{(3,24)} = 7.025$ ,  $p = 0.014$ ), signifying a distinct laminar organization in PER. hM4Di mPFC axons terminated densely in layer I, with significant differences (RD =  $1.075 \pm 0.06$ ) compared to a paucity of fibers in layers II/III (RD =  $1.033 \pm 0.025$ ; Figures 1D and 5C). There were no differences in hM4Di fiber density across layers II/III, V (RD =  $1.029 \pm 0.017$ ), and VI (RD =  $1.035 \pm 0.019$ ).

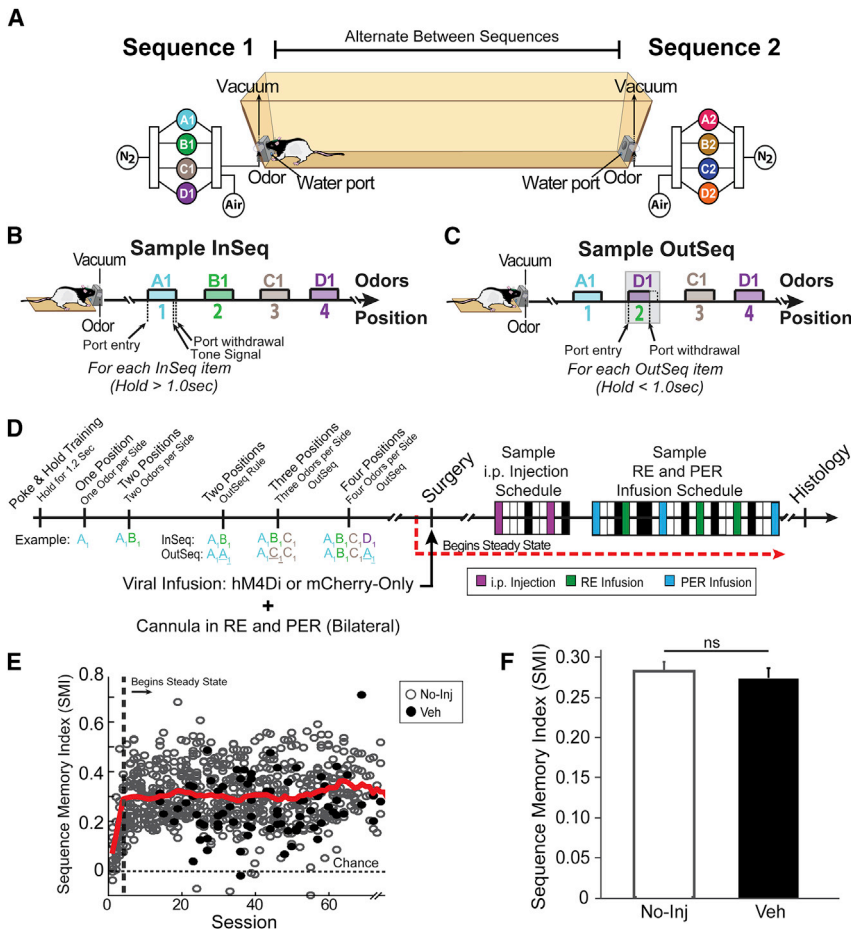
### Separate Populations of mPFC Neurons Project to RE and PER

Next, we tested whether the same cells in mPFC project to both RE and PER, or whether these are separate cell populations.

Rats ( $n = 4$ ) underwent surgery for a dual retrograde fluorescence labeling of cholera toxin subunit B green (CTB-488) and red (CTB-594) in RE and PER. We analyzed cells in the anterior cingulate cortex, prelimbic cortex, and infralimbic cortex across layers for retrograde labeling from either RE and PER. mPFC  $\rightarrow$  RE projecting cells were found in layers II/III, V, and VI (Figures 1E and S2A). These projections were found throughout dorsal and ventral prelimbic cortex, and infralimbic cortex, predominantly in layers V (Figure 1Fi; dPL,  $t_{(3)} = 7.686$ ,  $p = 0.005$ ; vPL,  $t_{(3)} = 4.909$ ,  $p = 0.016$ ; IL,  $t_{(3)} = 5.603$ ,  $p = 0.011$ ) and VI (dPL,  $t_{(3)} = 5.508$ ,  $p = 0.012$ ; vPL,  $t_{(3)} = 6.210$ ,  $p = 0.008$ ; IL,  $t_{(3)} = 21.840$ ,  $p = 2.100 \times 10^{-4}$ ). Only a few cells were found in anterior cingulate cortex. mPFC  $\rightarrow$  PER projecting cells were observed throughout layers II/III and V, with the highest density in layer II/III (Figure 1Fii; dPL,  $t_{(3)} = 3.654$ ,  $p = 0.035$ ; IL,  $t_{(3)} = 3.849$ ,  $p = 0.031$ ), consistent with previous literature (Hwang et al., 2018; Mathiasen et al., 2019). We did not find labeled cells in layer I. Moreover, we did not find cells in mPFC that projected to both RE and PER (no dual-labeled cells), suggesting no overlap. To identify mPFC projection cell types, a set of tissue was processed using the antibody for glutamic acid decarboxylase (GAD67). We did not find any dual-labeled cells in mPFC for GAD67 and CTB-488/594. This confirmed both populations of mPFC cells are excitatory. Notably, RE is populated exclusively by excitatory glutamatergic cells and presumably mPFC cells synapse onto these neurons (Vertes et al., 2007). In PER, mPFC axons synapse onto pyramidal cells (Hwang et al., 2018). Overall, these results show that separate populations of excitatory mPFC neurons, originating from prelimbic and infralimbic cortex, project to RE and PER (Figure 1G).

### Sequence Memory Task and Overall Performance

We trained rats in an odor sequence task (Allen et al., 2016). The behavioral setup was automated and allows for repeated delivery of distinct odors (pure chemical odorants) between two odor ports. We used two sequences, each with four odors that could be delivered at opposite ends of a straight alley (Figure 2A). The use of two sequences eliminated the possibility rats could remember a single sequence throughout the testing period and increased the overall memory load. Furthermore, the use of two sequences allowed us to tease apart different retrieval strategies as rats had to repeatedly retrieve sequences from long-term memory stores. To obtain a water reward, rats had to demonstrate sequence memory by indicating whether the odors were InSeq (holding the nose-poke response  $>1$  s) or OutSeq (withdrawing from the nose port  $<1$  s) within a sequence (Figures 2B and 2C). We calculated a sequence memory index (SMI) to measure overall sequence memory while controlling for individual differences in poke-hold behavior (Allen et al., 2014). The SMI normalizes the proportion of InSeq and OutSeq items presented during a single session for comparison across sessions and scores sequence memory with a value ranging from  $-1$  to  $1$ . A score of  $0$  indicates chance performance. A score of  $1$  indicates perfect performance. Rats were trained in the sequence memory task in progressive stages over several weeks as depicted in Figure 2D. After reaching behavioral criterion (asymptotic sequence memory performance levels over multiple sessions), the rats underwent surgery for bilateral microinjection into mPFC of one of two viral groups



**Figure 2. Sequence Memory Task**

(A) A linear track was used with odor ports at each end where two separate four-odor sequences (A<sub>1</sub>, B<sub>1</sub>, C<sub>1</sub>, D<sub>1</sub>, or A<sub>2</sub>, B<sub>2</sub>, C<sub>2</sub>, D<sub>2</sub>) were presented. (B and C) Rats had to correctly identify the odor as either InSeq (70% of the time; B) or OutSeq (30% of the time; C). (D) After reaching steady-state performance, we focused on two experimental blocks: (1) i.p. injection suppressing mPFC neurons and (2) intracerebral infusions targeting mPFC terminals in RE or PER. The boxes represent a sample schedule. Veh days are denoted in black, and No-Inj days are in white. (E) We used the sequence memory index (SMI) as a summary measure. The red line represents the mean SMI of both No-Inj and Veh sessions (sliding window of 10 sessions). (F) SMI was not significantly different between the No-Inj and Veh sessions. All data are represented as mean ± SEM. ns, not significant.

0.008), with no significant difference between sequences ( $t_{(704)} = 1.279$ ,  $p = 0.201$ ), indicating rats successfully switched between the two sequences. Additionally, performance on either of the sequences did not differ significantly on No-Inj and Veh days (Figure S4A; sequence 1:  $t_{(52)} = -1.237$ ,  $p = 0.222$ ; sequence 2:  $t_{(52)} = 0.082$ ,  $p = 0.935$ ).

**Suppressing mPFC Activity Impairs Sequence Memory**

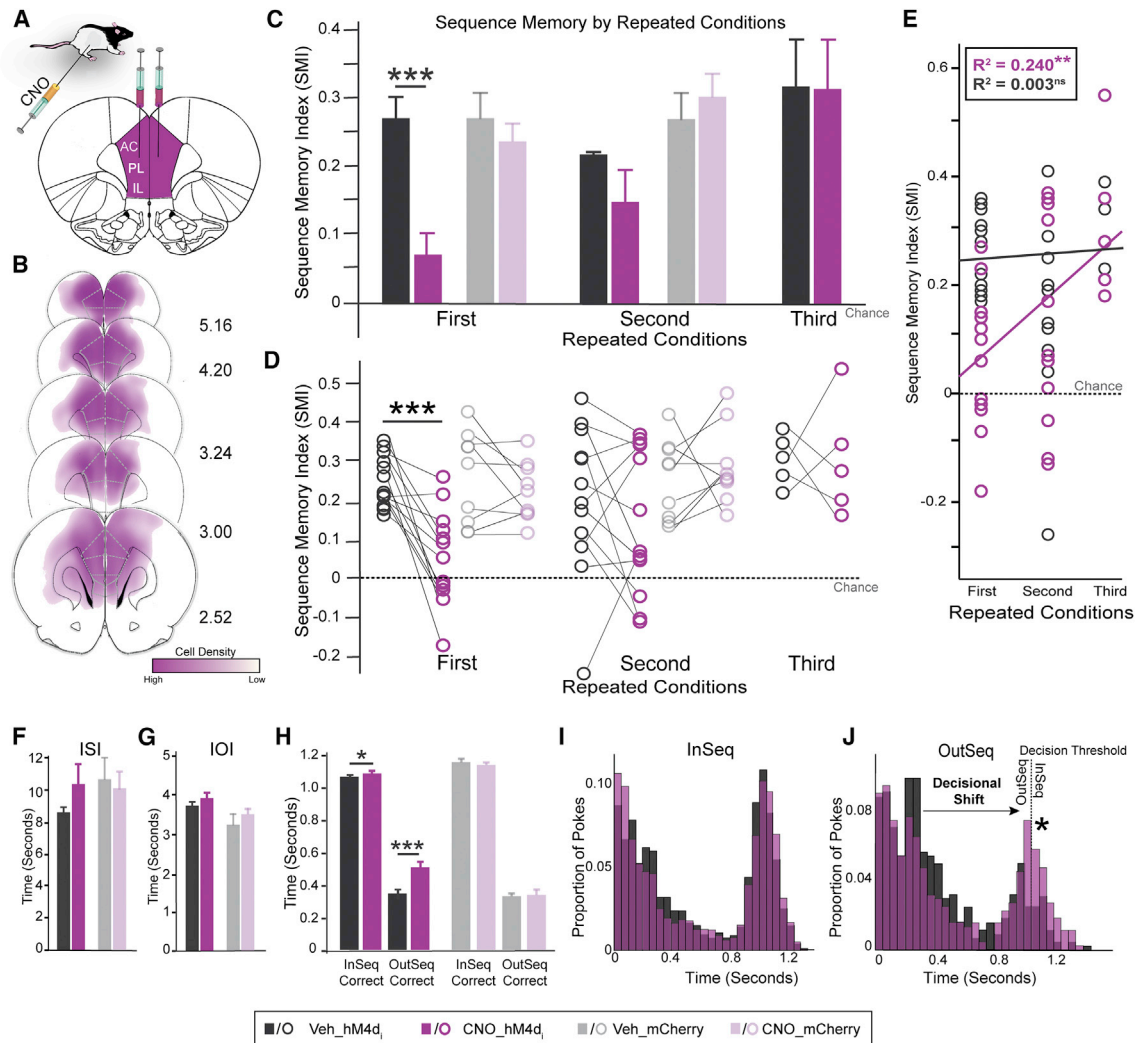
Evidence suggests the mPFC makes essential contributions to sequence mem-

ory (Devito and Eichenbaum, 2011). Here, we examined the contribution of mPFC to sequence memory via systemic CNO (i.p., 1 mg/kg) or Veh injections (Figure 3A). The spread of hM4Di<sup>+</sup> neurons across mPFC was precisely mapped. hM4Di<sup>+</sup> cells were concentrated in the rostral- to mid-levels of the prelimbic and the anterior cingulate cortex, with dense labeling in the deep cortical layers (Figure 3B). While mCherry-only cells were present throughout the rostral caudal extent of the prelimbic cortex, hM4Di<sup>+</sup> expression was limited to the rostral aspects of the anterior cingulate cortex, with only a small number of infected cells in the posterior and ventral divisions of the anterior cingulate cortex. In some hM4Di<sup>+</sup> cases, very small numbers of labeled cells extended into the medial orbital, ventral orbital, infralimbic, medial agranular cortex, and claustrum. The spread of mCherry cells was mapped in the mCherry-only control rats (Figure S5A).

Overall, the rats demonstrated strong sequence memory (Figure 2E; SMI<sub>well-trained</sub>: 0.302 ± 0.034) and performance did not differ significantly between no-injection/no-intracerebral infusion (No-Inj) and vehicle injection/vehicle infusion (Veh) days (Figure 2F;  $t_{(90)} = 0.426$ ,  $p = 0.671$ ). Expected versus observed frequencies were analyzed with G tests to determine whether the observed frequency of InSeq and OutSeq responses for a given session significantly differed from chance. Single-subject analyses revealed every rat differentiated InSeq and OutSeq items at levels well above chance (Figure S3; all G tests had  $p$  values < 0.05). Moreover, the rats performed well on position 2 (SMI<sub>Pos2</sub>: 0.282 ± 0.008), position 3 (SMI<sub>Pos3</sub>: 0.321 ± 0.009), and position 4 (SMI<sub>Pos4</sub>: 0.282 ± 0.018), indicating memory for the entire length of each sequence. Position 1 was excluded because an OutSeq item was never presented in that position. Rats also performed well above chance levels on sequence 1 (SMI<sub>Seq1</sub>: 0.305 ± 0.007) and sequence 2 (SMI<sub>Seq2</sub>: 0.292 ±

0.008), with no significant difference between sequences ( $t_{(704)} = 1.279$ ,  $p = 0.201$ ), indicating rats successfully switched between the two sequences. Additionally, performance on either of the sequences did not differ significantly on No-Inj and Veh days (Figure S4A; sequence 1:  $t_{(52)} = -1.237$ ,  $p = 0.222$ ; sequence 2:  $t_{(52)} = 0.082$ ,  $p = 0.935$ ).

We considered each corresponding Veh and CNO injection as a repeated condition across behavioral sessions. Suppression of mPFC neurons with CNO in the hM4Di<sup>+</sup> group (SMI: 0.056 ± 0.121) significantly reduced SMI scores compared with Veh injection (SMI: 0.254 ± 0.062) the first time we ran this condition (Figures 3C and 3D; first:  $t_{(12)} = 5.200$ ,  $p = 2.210 \times 10^{-4}$ , Cohen's  $d = 1.449$ ), but in the second and third repeats of this condition,



**Figure 3. mPFC Cortex Is Needed for Sequence Memory**

(A) AAV9.hM4Di was injected bilaterally into mPFC.  
 (B) Representation of AAV9.hM4Di viral spread in mPFC for all rats ( $n = 13$ ).  
 (C) Performance differed between hM4Di<sup>+</sup> animals injected with Veh and CNO in the first repeated condition, but not the second and third repeated conditions. No differences between Veh and CNO were detected in the mCherry-only group.  
 (D) Individual rat performance for each repeated condition in both groups.  
 (E) In the hM4Di<sup>+</sup> group, there was a positive linear relationship across repeated conditions after CNO injections, but not after Veh injections.  
 (F) ISI was not significantly different between the Veh and CNO conditions in either group.  
 (G) IOI was not significantly different between the Veh and CNO conditions in either group.  
 (H) In the hM4Di<sup>+</sup> group, nose-poke time was significantly different between the Veh and CNO conditions for both InSeq<sub>correct</sub> and OutSeq<sub>correct</sub> trials. No differences between Veh and CNO were detected in the mCherry-only group.  
 (I) hM4Di<sup>+</sup> group poke times show only subtle shifts in behavior.  
 (J) hM4Di<sup>+</sup> group poke times show a decisional shift between the Veh and CNO conditions with more OutSeq trials incorrectly identified as InSeq.

we observed no significant effect (second:  $t_{(12)} = 1.039$ ,  $p = 0.319$ ; third:  $t_{(4)} = 0.031$ ,  $p = 0.977$ ). Overall, we performed three repeated conditions for the first cohort of hM4Di<sup>+</sup> rats ( $n = 5$ ). For the second cohort of hM4Di<sup>+</sup> rats ( $n = 8$ ) and mCherry-only rats ( $n = 9$ ), we decreased the number of repeated conditions to two, because the third repeated condition with CNO administration had no effect. We found a significant

interaction between the injection and repeated condition ( $F_{(1,12)} = 5.410$ ,  $p = 0.038$ ). Furthermore, a linear regression was performed to determine the relationship between CNO administration and repeated conditions. Figure 3E shows there was a moderate positive linear relationship between the repeated conditions and CNO in the hM4Di<sup>+</sup> group (Pearson's  $r = 0.490$ ,  $R^2 = 0.240$ ,  $p = 0.003$ ), but no relationship between

the repeated condition and Veh in the hM4Di<sup>+</sup> group (Pearson's  $r = 0.057$ ,  $R^2 = 0.003$ ,  $p = 0.380$ ). An ANOVA yielded similar results, revealing a significant relationship between repeated conditions and SMI for CNO injections ( $F_{(1,29)} = 9.167$ ,  $p = 0.005$ ). Importantly, CNO administration had no significant effects in the mCherry-only group (Figures 3C and 3D; first:  $t_{(8)} = 1.208$ ,  $p = 0.262$ ; second:  $t_{(8)} = -0.623$ ,  $p = 0.551$ ), indicating the effects observed in the hM4Di<sup>+</sup> group were specific to hM4Di receptor activity on mPFC neurons. While infected cells in mCherry-only rats were visualized outside of mPFC, extending to the orbital and motor cortices, the relative density and pattern of labeling of terminal fibers to target sites (RE and PER) was similar to hM4Di<sup>+</sup> rats. Furthermore, the lack of behavioral effects on these rats confirmed our findings were not associated with non-specific effects related to the viral construct or CNO. mCherry expression in mPFC from a representative case of hM4Di<sup>+</sup> and mCherry-only is depicted in Figures S5D and S5F. While neuronal labeling was virtually restricted to the injection sites, sporadic cells (less than ~0.1%–1%) were observed at the target sites, most likely associated with weak retrograde transport of adenovirus constructs (Castle et al., 2014; Tervo et al., 2016) as reported for other hM4Di applications (DiBenedictis et al., 2015). Finally, sequence memory performance levels were similar between sequence 1 and sequence 2 during systemic CNO and Veh administration, with no significant differences between them (Figure S4B; first: Veh,  $t_{(11)} = -1.023$ ,  $p = 0.328$ , CNO,  $t_{(11)} = -1.231$ ,  $p = 0.244$ ; second: Veh,  $t_{(9)} = 2.086$ ,  $p = 0.067$ , CNO,  $t_{(10)} = 0.533$ ,  $p = 0.606$ ), suggesting a general sequence memory deficit.

Next, we examined the possibility hM4Di suppression of mPFC activity produced non-mnemonic effects relevant to the sequence task. To test for this possibility, we measured the time it took the rats to run between sequences (inter-sequence interval [ISI]), the time spent between each odor trial (inter-odor interval [IOI]), and nose-poke times. The ISI did not differ significantly between the Veh and CNO conditions in either the hM4Di<sup>+</sup> or mCherry-only groups (Figure 3F; hM4Di<sup>+</sup>:  $t_{(25)} = -1.427$ ,  $p = 0.166$ ; mCherry-only:  $t_{(17)} = 0.454$ ,  $p = 0.655$ ), suggesting rats in both groups ran at similar rates between sequences. Furthermore, we found no effects on the IOI (Figure 3G; hM4Di<sup>+</sup>:  $t_{(25)} = -1.616$ ,  $p = 0.119$ ; mCherry-only:  $t_{(17)} = -1.056$ ,  $p = 0.306$ ), suggesting rats collected water rewards and engaged odors at similar rates. Evaluation of a potential holding bias during the task comparing the Veh and CNO conditions revealed no significant difference between holding ( $t_{(12)} = -0.875$ ,  $p = 0.399$ ) and not holding ( $t_{(12)} = 0.506$ ,  $p = 0.622$ ). We also evaluated whether CNO affected nose-poke times on InSeq<sub>correct</sub> and OutSeq<sub>correct</sub> trials (Figure 3H). In the hM4Di<sup>+</sup> group, CNO suppression of mPFC activity significantly increased nose-poke times in both InSeq<sub>correct</sub> and OutSeq<sub>correct</sub> trials (hM4Di<sup>+</sup>: InSeq<sub>Correct</sub>,  $t_{(25)} = -2.672$ ,  $p = 0.013$ , OutSeq<sub>Correct</sub>,  $t_{(25)} = -3.944$ ,  $p = 0.001$ ). No significant differences were detected in the mCherry-only group (mCherry-only: InSeq<sub>Correct</sub>,  $t_{(17)} = 0.973$ ,  $p = 0.344$ , OutSeq<sub>Correct</sub>,  $t_{(17)} = -0.186$ ,  $p = 0.853$ ), indicating i.p. CNO did not affect nose-poke behavior in the task. The increase in hold times in the hM4Di<sup>+</sup> group may indicate uncertainty regarding whether a trial was InSeq or OutSeq and thus a decisional shift rather than a deficit related to basic nose-poke

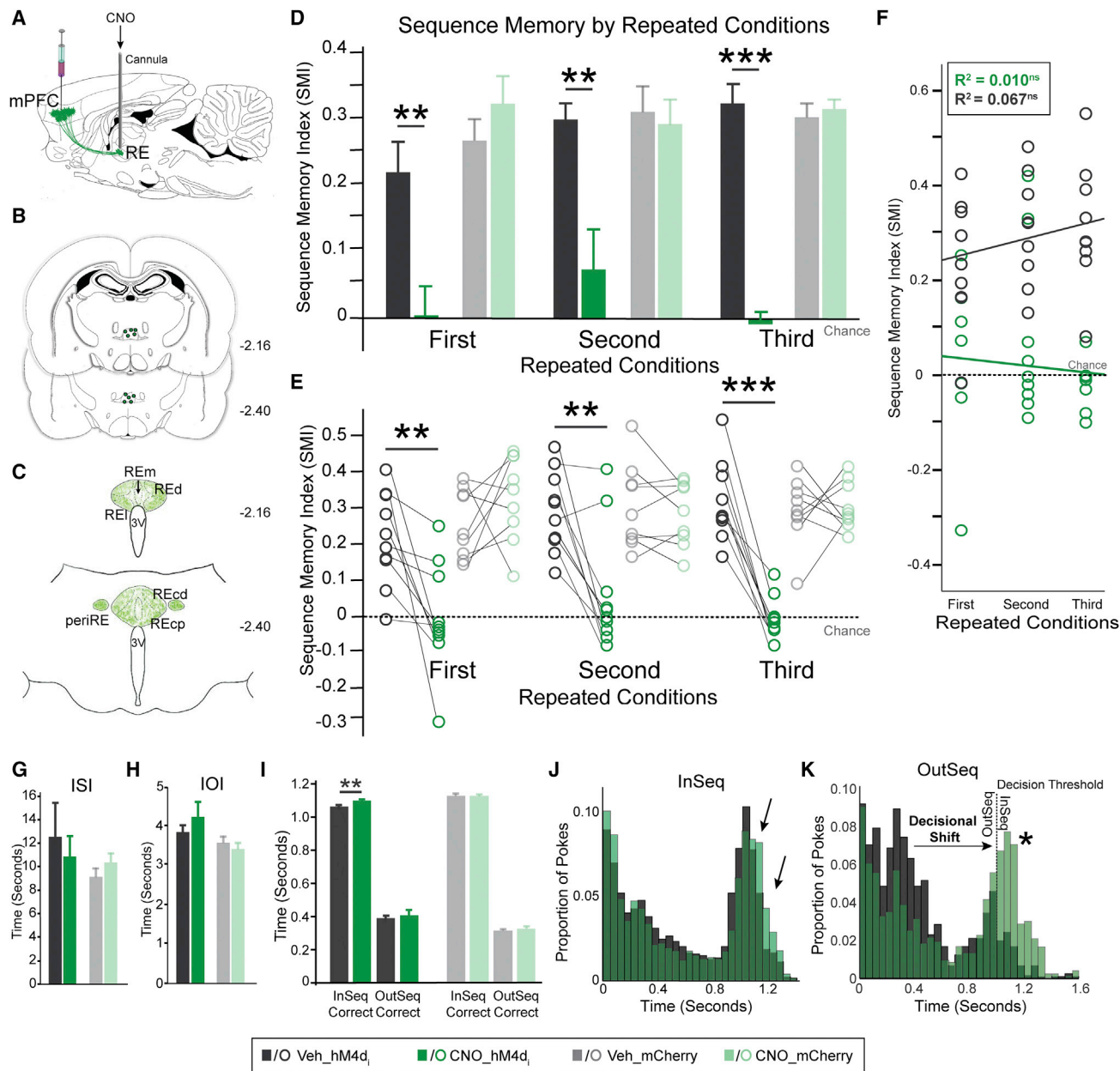
and hold behavior. To further evaluate this possibility, we examined nose-poke distributions for both InSeq and OutSeq trials (Figures 3I and 3J). The InSeq distribution shows the proportion of nose pokes in the hM4Di<sup>+</sup> group remained similar between the Veh and CNO conditions, with only modest differences. On OutSeq trials, however, there was a clear shift in the proportion of trials near the 1-s decision threshold, suggesting a shift toward making InSeq decisions. Thus, the nose-poke differences indicate a decisional shift in the hM4Di<sup>+</sup> group following CNO administration. Overall, these results demonstrate suppression of mPFC neurons by systemic CNO administration in the hM4Di<sup>+</sup> group impaired memory for sequences of events, but the effect diminished with subsequent administrations of CNO.

### Synaptic Silencing of mPFC→RE Projections Abolished Sequence Memory

Our primary goal was to examine whether mPFC inputs to RE and PER, structures heavily interconnected with the HC, contribute to sequence memory (Eichenbaum, 2017b). We tested top-down mPFC inputs using intracerebral CNO infusions (1  $\mu$ L at 1  $\mu$ g/ $\mu$ L per cannula) targeting RE and PER (within subject) on different days. The daily schedule for RE and PER infusions was randomized and counterbalanced (Figure 1F) across rats and repeated conditions to avoid an order effect.

We first examined mPFC→RE projections (Figure 4A). Cannula placement for intracerebral infusions was confirmed with cresyl violet (Figures 4B, S5B, S5E, and S5G). Silencing mPFC terminals in RE in the hM4Di<sup>+</sup> group significantly impaired sequence memory (Figures 4D and 4E). The effects of CNO infusion clearly differed from Veh infusion ( $F_{(1,9)} = 130.850$ ,  $p = 1.000 \times 10^{-6}$ ), with no differences across repeated conditions (Figures 4D and 4E;  $F_{(2,18)} = 1.012$ ,  $p = 0.366$ ). The interaction between factors was not significant ( $F_{(2,18)} = 0.914$ ,  $p = 0.388$ ). Thus, silencing mPFC→RE synapses powerfully and repeatedly abolished sequence memory. Performance of the mCherry-only group showed no significant difference between CNO and Veh conditions or across repeated conditions (Figures 4D and 4E; infusion:  $F_{(1,8)} = 0.492$ ,  $p = 0.503$ ; repeated conditions:  $F_{(2,16)} = 0.025$ ,  $p = 0.967$ ; infusion  $\times$  repeated conditions:  $F_{(2,16)} = 1.931$ ,  $p = 0.184$ ), controlling for non-specific CNO effects in RE. A linear regression was performed to investigate the relationship between SMI (CNO and Veh) and repeated conditions for the hM4Di<sup>+</sup> group, which was not significant (Figure 4F; CNO: Pearson's  $r = 0.101$ ,  $R^2 = 0.010$ ,  $p = 0.307$ ; Veh: Pearson's  $r = 0.259$ ,  $R^2 = 0.067$ ,  $p = 0.096$ ). In the hM4Di<sup>+</sup> group, sequence 1 and sequence 2 were similar across all repeated conditions (Figure S4C; first: Veh,  $t_{(9)} = 0.989$ ,  $p = 0.348$ , CNO,  $t_{(9)} = -1.665$ ,  $p = 0.130$ ; second: Veh,  $t_{(9)} = 0.242$ ,  $p = 0.814$ , CNO,  $t_{(9)} = 0.322$ ,  $p = 0.754$ , third: Veh,  $t_{(9)} = -0.506$ ,  $p = 0.625$ , CNO,  $t_{(9)} = 1.069$ ,  $p = 0.313$ ), indicating a general sequence memory deficit.

We looked at the non-mnemonic effects of mPFC→RE silencing by examining ISI, IOI, and nose-poke behavior. In both the hM4Di<sup>+</sup> and mCherry-only groups, the ISI and IOI were not significantly different between Veh and CNO conditions (Figures 4G and 4H; hM4Di<sup>+</sup>: ISI,  $t_{(29)} = 0.502$ ,  $p = 0.619$ , IOI,  $t_{(29)} = 0.394$ ,  $p = 0.696$ ; mCherry: ISI,  $t_{(26)} = -1.123$ ,  $p = 0.272$ , IOI,  $t_{(26)} = 1.119$ ,  $p = 0.273$ ). The holding bias during the task



**Figure 4. Synaptic Silencing of mPFC → RE Pathway Abolished Sequence Memory**

(A) Guide cannulas targeted RE.

(B) Locations of RE infusion cannulas in all rats (n = 10).

(C) Schematic representation of mPFC hM4Di fiber density in RE.

(D) In the hM4Di<sup>+</sup> group, SMI was significantly different between Veh and CNO conditions in all three repeated conditions. There were no effects in the mCherry-only group.

(E) Individual rat performances for each repeated condition in both groups.

(F) In the hM4Di<sup>+</sup> group, there was no significant relationship between repeated conditions and infusions.

(G) ISI was not significantly different between RE Veh and CNO infusions in either group.

(H) IOI was not significantly different between RE Veh and CNO infusions in either group.

(I) In the hM4Di<sup>+</sup> group, InSeq<sub>correct</sub> nose-poke times were significantly different between Veh and CNO. OutSeq<sub>correct</sub> nose-poke times, however, did not differ significantly between the Veh and CNO conditions. No differences between Veh and CNO were detected in the mCherry-only group.

(J) hM4Di<sup>+</sup> group poke times were relatively similar.

(K) hM4Di<sup>+</sup> group nose-poke times show a decisional shift (indicated by a star) between the Veh and CNO conditions toward more OutSeq odors incorrectly identified as InSeq.

All data are represented as mean ± SEM. \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001; ns, not significant.



did not differ significantly between the Veh and CNO conditions (holding:  $t_{(29)} = -0.639$ ,  $p = 0.528$ ; not holding:  $t_{(29)} = -0.056$ ,  $p = 0.956$ ). The nose-poke times were mostly similar between Veh and CNO conditions in both the hM4Di<sup>+</sup> and mCherry-only groups following RE infusions (Figure 4I; hM4Di<sup>+</sup>: OutSeq<sub>Correct</sub>,  $t_{(29)} = -0.709$ ,  $p = 0.484$ ; mCherry-only: InSeq<sub>Correct</sub>,  $t_{(26)} = -0.020$ ,  $p = 0.984$ , OutSeq<sub>Correct</sub>,  $t_{(26)} = -0.777$ ,  $p = 0.444$ ), but there was a slight and significant increase in the amount of time rats in the hM4Di<sup>+</sup> group held the nose-poke response in the CNO condition on InSeq trials (InSeq<sub>Correct</sub>:  $t_{(29)} = -2.760$ ,  $p = 0.010$ ). We further analyzed poke distributions in the hM4Di<sup>+</sup> group for all InSeq and OutSeq trials (Figures 4J and 4K). The InSeq distribution showed the proportion of nose-pokes remained similar between the Veh and CNO conditions in the hM4Di<sup>+</sup> group, with a slight increase in holding (Figure 4J). As shown in Figure 4K, CNO infusion in the hM4Di<sup>+</sup> group decreased the proportion of OutSeq nose-pokes near the short distribution peak (~0.35–0.6 s) and increased the proportion near the 1-s decision threshold compared with Veh infusion. The nose-poke differences indicate a decisional shift in the hM4Di<sup>+</sup> group following CNO infusions. Overall, these results provide strong evidence that silencing mPFC → RE leads to inaccurate decisions, not a basic deficit in poke and hold behavior, reflecting deficits in sequence memory.

### Synaptic Silencing of mPFC → PER Projections Abolished Sequence Memory

We next examined mPFC → PER projections (Figure 5A). Canula placement for intracerebral infusions was confirmed with cresyl violet (Figures 5B, S5C, S5E, and S5G). Silencing mPFC → PER projections significantly and consistently impaired sequence memory across repeated conditions in the hM4Di<sup>+</sup> group (Figures 5D and 5E; infusion:  $F_{(1,8)} = 62.750$ ,  $p = 4.700 \times 10^{-5}$ ; repeated condition:  $F_{(2,16)} = 1.466$ ,  $p = 0.263$ ; infusion  $\times$  repeated condition:  $F_{(2,16)} = 0.118$ ,  $p = 0.846$ ). Linear regression analysis showed no significant relationship between repeated conditions and SMI following PER infusions with either CNO or Veh in the hM4Di<sup>+</sup> group (Figure 5F; CNO: Pearson's  $r = 0.053$ ,  $R^2 = 0.003$ ,  $p = 0.399$ ; Veh: Pearson's  $r = 0.167$ ,  $R^2 = 0.028$ ,  $p = 0.202$ ). Furthermore, CNO infusion had no significant effect on sequence memory in the mCherry-only group compared with Veh infusion (Figures 5D and 5E; infusion:  $F_{(1,8)} = 0.690$ ,  $p = 0.430$ ; repeated condition:  $F_{(2,16)} = 0.092$ ,  $p = 0.886$ ; infusion  $\times$  repeated condition:  $F_{(2,16)} = 1.056$ ,  $p = 0.351$ ). Additionally, no significant difference was detected between the two sequences under any condition (Figure S4D; first: Veh,  $t_{(9)} = 0.013$ ,  $p = 0.990$ , CNO,  $t_{(9)} = -0.928$ ,  $p = 0.380$ ; second: Veh,  $t_{(9)} = -0.169$ ,  $p = 0.870$ , CNO,  $t_{(9)} = -1.101$ ,  $p = 0.300$ ; third: Veh,  $t_{(9)} = 1.003$ ,  $p = 0.342$ , CNO,  $t_{(9)} = 0.630$ ,  $p = 0.545$ ), thus indicating a general sequence memory deficit.

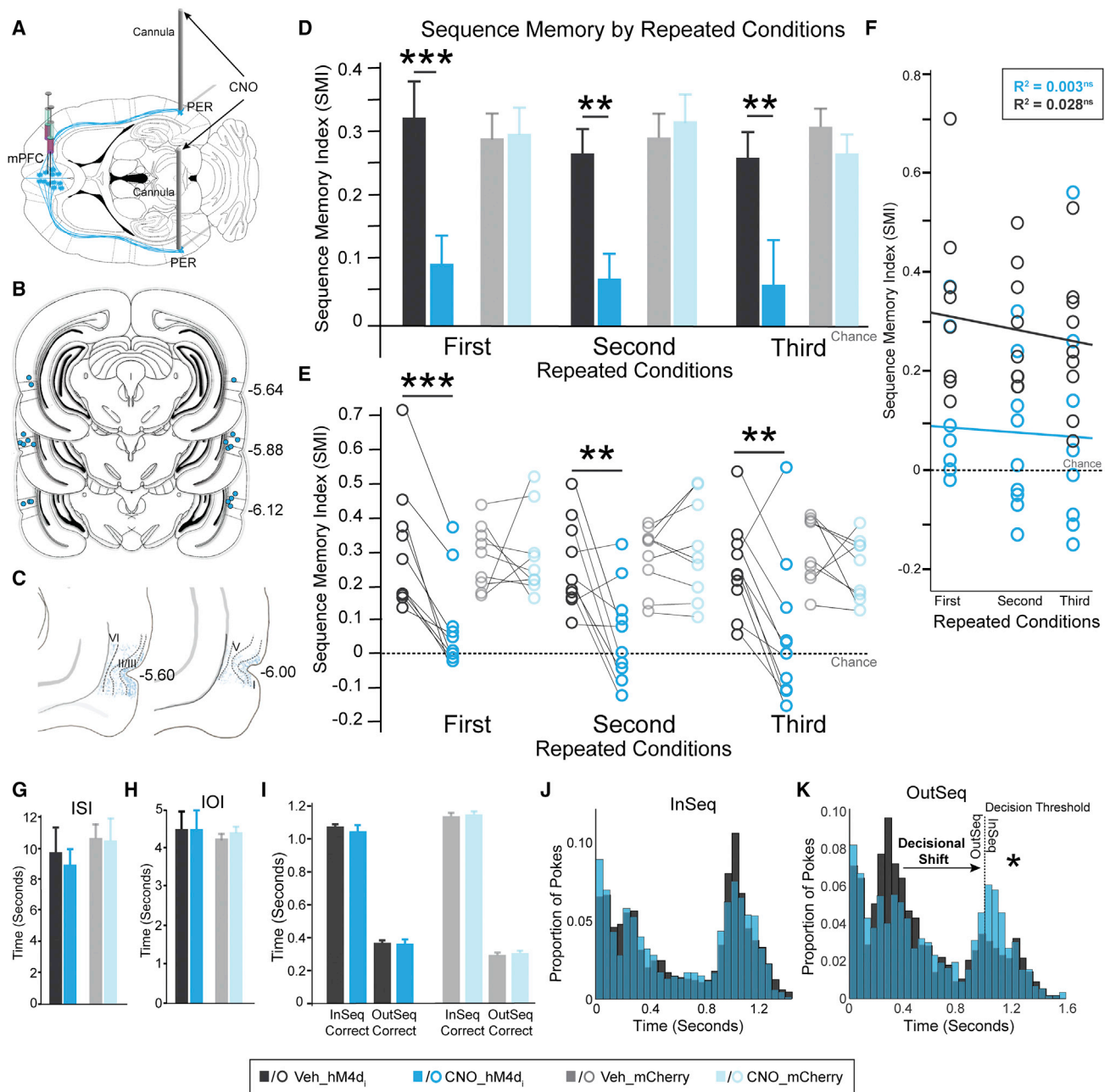
We evaluated non-mnemonic effects of mPFC → PER silencing by examining the ISI, IOI, and nose-poke behavior. In both the hM4Di<sup>+</sup> and mCherry-only groups, the ISI and IOI did not differ significantly between PER infusions of Veh and CNO (Figures 5G and 5H; hM4Di<sup>+</sup>: ISI,  $t_{(29)} = 0.634$ ,  $p = 0.531$ , IOI,  $t_{(29)} = 0.456$ ,  $p = 0.652$ ; mCherry: ISI,  $t_{(26)} = 0.082$ ,  $p = 0.935$ , IOI,  $t_{(26)} = -0.874$ ,  $p = 0.390$ ). Furthermore, the holding bias during the task did not differ significantly between Veh and CNO

conditions (holding:  $t_{(29)} = 0.322$ ,  $p = 0.750$ ; not holding:  $t_{(29)} = 1.595$ ,  $p = 0.122$ ). Additionally, nose-poke times in the hM4Di<sup>+</sup> and mCherry-only groups were similar between PER infusions of Veh and CNO (Figure 5I; hM4Di: InSeq<sub>Correct</sub>:  $t_{(29)} = 0.621$ ,  $p = 0.540$ , hM4Di: OutSeq<sub>Correct</sub>:  $t_{(29)} = 0.055$ ,  $p = 0.956$ , mCherry-only: InSeq<sub>Correct</sub>:  $t_{(26)} = -0.457$ ,  $p = 0.652$ , mCherry-only: OutSeq<sub>Correct</sub>:  $t_{(26)} = -0.707$ ,  $p = 0.486$ ). We followed up this analysis by evaluating the nose-poke distributions in the hM4Di<sup>+</sup> group for all InSeq and OutSeq trials following PER infusions (Figures 5J and 5K). The proportion of nose pokes in the InSeq distribution was similar between Veh and CNO infusions in the hM4Di<sup>+</sup> group (Figure 5J). As shown in Figure 5K, CNO infusion led to a decrease in the proportion of OutSeq nose pokes near the short poke distribution, (~.20–.4 s) and an increase near the 1-s decision threshold, compared with Veh infusion. Thus, the nose-poke differences indicate a decisional shift in the hM4Di<sup>+</sup> group following CNO administration. Similar to mPFC → RE, these results illustrate that silencing mPFC → PER leads to inaccurate decisions rather than a basic deficit in nose-poke and hold behavior, reflecting deficits in sequence memory.

### Differential Role of mPFC Top-Down Inputs to RE and PER

The results demonstrate activity in both mPFC → RE and mPFC → PER pathways are essential to sequence memory. We next directly compared the effects of silencing mPFC → RE and mPFC → PER projections. Overall, the effects of mPFC → RE and mPFC → PER pathway silencing did not differ significantly from each other across repeated conditions in the hM4Di<sup>+</sup> group (region:  $F_{(1,8)} = 1.487$ ,  $p = 0.257$ ; repeated condition:  $F_{(2,16)} = 0.291$ ,  $p = 0.667$ ; repeated condition  $\times$  region:  $F_{(2,16)} = 0.343$ ,  $p = 0.632$ ). We then examined whether silencing mPFC → RE and mPFC → PER pathways impaired different memory retrieval strategies that support sequence memory. Our approach was based on the conceptual model shown in Figure 6A. This model illustrates the theoretical performance curves that would be obtained when using working memory and temporal context memory retrieval strategies plotted as a function of sequential lag distances on OutSeq items. With a working memory strategy, repeated items would be easier to detect at shorter lags because these items occurred more recently. Conversely, with a temporal context memory strategy, repeated items would be easier to detect at longer lags because these items are further away in the original sequence, thereby reducing interference. Therefore, we examined the performance of the OutSeq probe trials across lags, focusing on repeated items (also called backward lags) to test the contributions of temporal context memory and working memory. For this analysis, we calculated the percent change in performance (CNO-Veh) for each rat on each lag, as SMI could not be calculated because we were only analyzing OutSeq trials.

We first looked at items that were repeated in a sequence to see whether silencing mPFC → RE and mPFC → PER projections differentially affected impairment patterns. mPFC → RE silencing resulted in significant differences from no change in performance (0%) on all backward lags (3-back,  $t_{(14)} = -2.388$ ,  $p = 0.016$ ; 2-back,  $t_{(26)} = -4.252$ ,  $p = 1.21 \times 10^{-4}$ ; 1-back,



**Figure 5. Synaptic Silencing of mPFC→PER Pathway Abolished Sequence Memory**

(A) Guide cannulas into the PER.

(B) Locations of PER infusion cannulas in all rats ( $n = 10$ ).

(C) Schematic representation of mPFC hM4Di fiber density in PER (restricted to region of interest).

(D) In the hM4Di<sup>+</sup> group, SMI significantly differed between the Veh and CNO conditions for all three repeated conditions. No differences between Veh and CNO were detected in the mCherry-only group.

(E) Individual rat performance in each repeated condition for both groups.

(F) In the hM4Di<sup>+</sup> group, there was no significant relationship between repeated conditions and infusions.

(G) ISI was not significantly different between PER Veh and CNO conditions for either group.

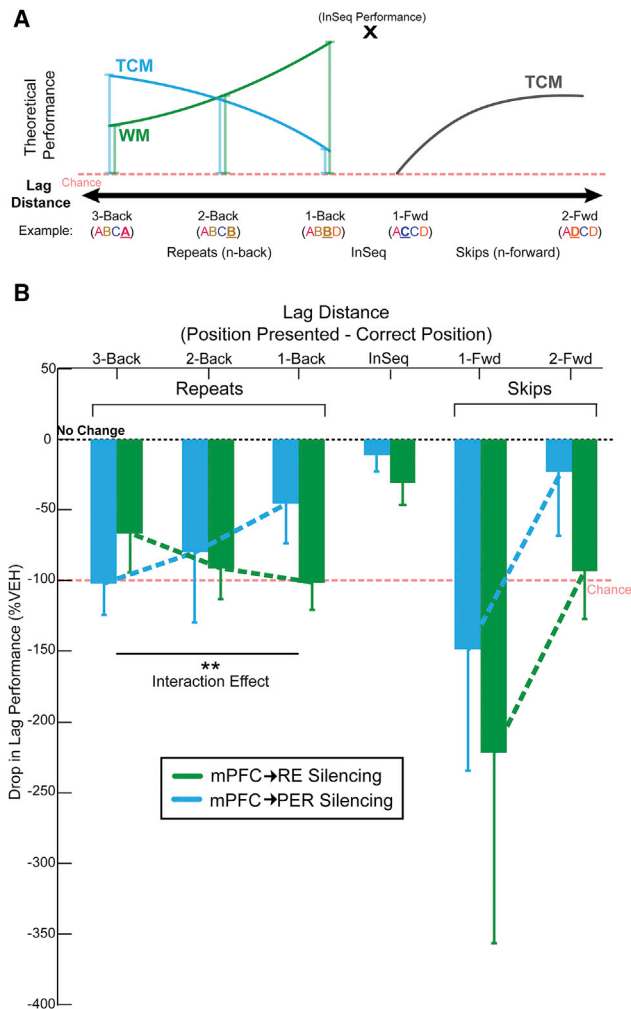
(H) IOI was not significantly different between PER Veh and CNO infusions for either group.

(I) InSeq<sub>correct</sub> and OutSeq<sub>correct</sub> nose-poke times were not significantly different between Veh and CNO conditions in the hM4Di<sup>+</sup> and mCherry-only groups.

(J) hM4Di<sup>+</sup> group nose-poke times show no obvious shifts in nose-poking behavior.

(K) hM4Di<sup>+</sup> group nose-poke times show a decisional shift (indicated by a star) where the rats incorrectly identified OutSeq odors as InSeq.

All data are represented as mean  $\pm$  SEM. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; ns, not significant.



**Figure 6. The mPFC→RE Pathway Supports a Working Memory Retrieval Strategy, while mPFC→PER Pathway Supports a Temporal Context Memory Retrieval Strategy**

(A) If rats were using a working memory strategy, then repeated items would be easiest at short lags. By contrast, if rats were using a temporal context memory strategy, then repeated items would be the easiest to detect with longer lags. (B) mPFC→RE silencing impaired performance most on shorter distances, consistent with a loss of working memory, while mPFC→PER silencing impaired performance most at longer distance, consistent with a loss of temporal context memory. All data are represented as mean  $\pm$  SEM. \*\* $p < 0.01$ .

$t_{(29)} = -5.274$ ,  $p = 6.00 \times 10^{-6}$ ). Additionally, mPFC→PER silencing resulted in a significant difference on the 3-back lag, and showed trends toward significant differences from no change in performance on the 2-back and 1-back lags (3-back,  $t_{(21)} = -4.579$ ,  $p = 8.15 \times 10^{-5}$ ; 2-back,  $t_{(27)} = -1.609$ ,  $p = 0.059$ ; 1-back,  $t_{(28)} = -1.639$ ,  $p = 0.056$ ). These results indicate repeated items were affected by silencing both mPFC→RE and mPFC→PER pathways. An important question is whether the performance patterns differed across lags when directly comparing the effects of mPFC→RE and mPFC→PER pathway silencing. A repeated-measures ANOVA revealed a significant

interaction between mPFC→RE silencing and mPFC→PER silencing (region  $\times$  backward:  $F_{(2,24)} = 5.395$ ,  $p = 0.012$ ), with a large effect size ( $\eta_p^2 = 0.310$ ; Cohen, 1973), but no significant main effects of region or backward lag distance (regions:  $F_{(1,12)} = 0.721$ ,  $p = 0.413$ ; backward:  $F_{(2,24)} = 0.202$ ,  $p = 0.732$ ). In the hM4Di<sup>+</sup> group, CNO infusions in RE had the largest performance-impairing effect on 1-back, then 2-back, and the smallest performance-impairing effect on 3-back lags (Figure 6B). In the hM4Di<sup>+</sup> group, CNO infusions into the PER led to the opposite pattern, with the largest performance drop on 3-back, then 2-back, and the smallest performance drop on 1-back lags (Figure 6B). These impairment patterns match the expected performance decrements (drop lines in Figure 6A) from a selective loss of working memory following mPFC→RE silencing, and a selective loss in temporal context memory following mPFC→PER silencing.

We then evaluated performance during InSeq trials (lag = 0) against no change in performance (0%) following mPFC→RE and mPFC→PER silencing and found no significant differences (InSeq<sub>RE</sub>:  $t_{(29)} = -1.189$ ,  $p = 0.122$ ; InSeq<sub>PER</sub>:  $t_{(28)} = -1.004$ ,  $p = 0.162$ ). The finding suggests InSeq trials were not affected by silencing mPFC→RE and mPFC→PER pathways. We found no significant differences comparing mPFC→RE and mPFC→PER on InSeq performance levels using a paired-samples t test ( $t_{(28)} = -0.982$ ,  $p = 0.334$ ).

Finally, we examined whether performance on items that skipped ahead in the sequence (forward lags) were affected by mPFC→RE and mPFC→PER pathway silencing. mPFC→RE pathway silencing tended to have a significant effect on 1-forward ( $t_{(29)} = -1.644$ ,  $p = 0.055$ ) and 2-forward ( $t_{(29)} = -2.725$ ,  $p = 0.006$ ) lags. mPFC→PER silencing had a significant effect on the 1-forward lag ( $t_{(28)} = 1.732$ ,  $p = 0.047$ ), and no significant effect on the 2-forward lag ( $t_{(27)} = -0.524$ ,  $p = 0.303$ ). Overall, this finding suggests performance levels on items that skipped ahead in the sequence were affected by silencing mPFC→RE and mPFC→PER pathways. Direct comparison of the effects of silencing mPFC→RE and mPFC→PER pathways was performed using repeated-measures ANOVA and revealed no significant interactions in the forward lag direction (region  $\times$  forward:  $F_{(1,27)} = -0.012$ ,  $p = 0.915$ ).

## DISCUSSION

### Summary of Main Findings

We evaluated the hypothesis that top-down prefrontal projections contribute to sequence memory, and separate projections control the execution of different retrieval mechanisms. We first established that two non-overlapping populations of mPFC neurons project to RE and PER. We then demonstrated suppressing mPFC activity impairs sequence memory, indicating mPFC is critical to sequence memory, consistent with other reports (e.g., Hannesson et al., 2004; Devito and Eichenbaum, 2011). This finding alone, however, does not address the role of mPFC as top-down control of sequence memory. Therefore, we directly manipulated mPFC circuitry using an hM4Di synaptic-silencing approach. Suppressing activity in mPFC→RE or mPFC→PER pathway effectively abolished sequence memory. These results unambiguously demonstrate top-down mPFC

projections are essential to sequence memory. Next, we performed a behavioral lag analysis to determine the differential roles of mPFC→RE and mPFC→PER pathways. Silencing mPFC→RE pathway disrupted backward lags with a pattern resembling a loss of working memory, whereas silencing mPFC→PER pathway disrupted backward lags with a pattern resembling a loss of temporal context memory. Theoretically, working memory and temporal context memory (i.e., graded retrieval strength based on temporal proximity) differentially contribute to retrieval performance when items are repeated. On shorter lags (e.g., ABCC, lag = -1), working memory strategies lead to better performance and suppressing mPFC→RE activity impairs performance. At longer lags (e.g., ABCA, lag = -3), temporal context memory strategies lead to better performance and suppressing mPFC→PER activity impairs performance. This strong pathway-specific interaction effect demonstrates, for the first time, top-down mPFC projections control sequence memory, and suggests RE and PER pathways regulate ongoing retrieval strategies.

### Testing mPFC Projections with DREADDs in Sequence Memory

To investigate the top-down role of mPFC in sequence memory, we suppressed synaptic activity in specific mPFC projections (Sesack et al., 1989; Chiba et al., 2001; Vertes, 2002; Hoover and Vertes, 2007) using Gi-coupled (hM4Di) DREADDs (Mahler et al., 2014; Roth, 2016) combined with chronic cannulas targeting RE and PER in rats. hM4Di activation in presynaptic terminals reduces transmission and effectively silences the pathway (Stachniak et al., 2014; Lichtenberg et al., 2017). Here, we focused on terminal fields in RE (Sesack et al., 1989; Chiba et al., 2001; Vertes, 2002; Hoover and Vertes, 2007; Vertes et al., 2015) and PER (Furtak et al., 2007) because both regions support bidirectional communication between the HC and mPFC (e.g., Allen and Fortin, 2013; Vertes et al., 2015; Eichenbaum, 2017b). To confirm our manipulations localized to these pathways, we carefully mapped mPFC hM4Di expression areas, visualized mPFC→RE and mPFC→PER projection terminals via the co-expression of mCherry (enhanced with IHC), and mapped the tip locations of the infusion cannulas within RE and PER.

It is important to control for non-specific CNO or infusion effects when using hM4Di to evaluate brain-behavior relationships (Smith et al., 2016; Gomez et al., 2017). Thus, we used a fully crossed 2 (hM4Di and hM4Di-free) × 2 (CNO and Veh) experimental design. Notably, we only observed effects of any of our manipulations when we activated hM4Di with CNO and tested sequence memory.

We also performed several analyses to test the alternative hypothesis that non-memory-related behavioral effects account for impaired performance in the sequence task. We saw no effects (under any condition) on the time it took rats to run between sequences, on odor sampling, on reward retrieval activity, or on the overall frequency of nose pokes in which the rats held the nose-poke response for >1 s or <1 s (analyzed by ignoring the sequential status of items; see Results). In fact, detailed analysis of all nose-poke times under hM4Di<sup>+</sup> and CNO conditions revealed a pattern resembling a shift toward inaccurate OutSeq decisions. This was most clearly revealed in the analysis of poke

time histograms and the increase in the overall frequency of holds on OutSeq items, without large changes in the distribution variability or peak times. If the rats had shifted to simple reaction time behaviors, we would have expected an increase in premature and variable responses following mPFC inactivation (Narayanan and Laubach, 2006). Finally, the sequence memory effects were consistent across two different sequences. This is important because it eliminates the possibility rats held a single sequence in working memory and focused on a single strategy throughout the entirety of the session, but instead rats were forced to repeatedly retrieve sequences from long-term memory stores.

An important observation we made following repeated i.p. injections was a loss in the behavioral effect on sequence memory, whereas with direct infusions (which were performed after the i.p. injections) we did not see this loss. Varela et al. (2016) saw a similar effect observing a weak, but significant, CNO-dependent decrease in freezing levels after multiple injections. There are several possible reasons for the decreased efficacy, including changes in the CNO/clozapine metabolism, receptor desensitization, and/or compensatory plasticity across the brain. The fact that direct infusions never lost efficacy might argue in favor of a systemic metabolic effect. However, experiments that directly measure CNO and clozapine levels in blood and cerebral spinal fluid following repeated i.p. injections would be needed to test this hypothesis.

### mPFC Pathways to RE and PER Control Retrieval Strategies in Sequence Memory

Generally, mPFC is considered to have a major role in controlling memory retrieval strategies (Shimamura, 1995; Dobbins et al., 2002; Euston et al., 2012; Preston and Eichenbaum, 2013; Jadhav et al., 2016; Eichenbaum, 2017b). We tested this in two specific projection pathways during memory for sequences of events. Importantly, the sequence task we used is related to episodic-like memory processing and depends on the use of multiple cognitive strategies for optimal performance (Allen et al., 2014, 2015).

If rats were using a working memory strategy, then repeated items would be easiest to detect at short lags because those items occurred more recently. On the other hand, if rats were using a temporal context memory strategy (i.e., graded retrieval probabilities determined by the temporal proximity of items in the sequence), then repeated items would be the easiest to detect with longer lags because of reduced interference. Our use of the term temporal context memory refers to the retrieval gradients that are observed in human studies of list learning (e.g., Kragel et al., 2015; Howard and Kahana, 2002). When cued with an item from the list, people will tend to remember the nearby items (short lags), but they are less likely to recall distal items (longer lags). Because verbal recall cannot be performed in animals, the odor sequence task models list learning in rodents using a nonverbal response to probe memory. Temporal context memory is tested with a lag analysis where we expect nearby items to interfere with OutSeq decisions, but not distal items. In the task, working memory is simultaneously tested on reverse lags because delay-match-to-sample strategies can contribute to performance on these trials for nearby

items, but less so on distal items (the opposite pattern expected in temporal context memory). Thus, the sequence task places pressure on the ability of rats to regulate retrieval strategies at different lag distances for optimal performance by emphasizing working memory on shorter lags and temporal context memory on longer lags. Here, suppressing mPFC→RE activity had the largest effect on lags = −1 and the smallest effect on lags = −3. This pattern resembles a reduction in a working memory retrieval strategy, is consistent with several studies demonstrating a role for RE in working memory tasks including spatial working memory (Cassel et al., 2013; Griffin, 2015; Layfield et al., 2015; Vertes et al., 2015; Hallock et al., 2016; Maisson et al., 2018; Viena et al., 2018) and visual-tactile working memory (Hallock et al., 2013), and is similar to the role of RE in fear memory retrieval (Ramanathan et al., 2018).

In contrast, we found that suppressing mPFC→PER had the largest effect on lags = −3 and the smallest effect on lags = −1. This opposite pattern resembles a reduction in a temporal context memory retrieval strategy. Although temporal context memory is primarily attributed to the HC (Howard and Kahana, 2002; Hsieh et al., 2014; Roberts et al., 2014; Kragel et al., 2015), PER may also be involved in temporal context memory. For example, PER is essential for trace conditioning (Kholodar-Smith et al., 2008b; Bang and Brown, 2009) and for unitizing representations of discontinuous events (Kholodar-Smith et al., 2008a; Suter et al., 2013). Alternatively, the present results could reflect a role of PER in disambiguating overlapping object features (e.g., Murray and Bussey, 1999), as sequences contain mostly the same elements (e.g., ABCA versus ABCB), but this idea would not account for the deficit gradients observed as a function of lag distance. Furthermore, PFC-PER disconnection lesions impair rule shifting in a conditional object-place association task, consistent with a pathway-specific role in behavioral flexibility (Hernandez et al., 2017). Generally, the current results demonstrate, for the first time, RE and PER have specific roles in memory for sequences of events, rats use multiple retrieval strategies during sequence memory, and these strategies can be differentially controlled by reducing mPFC→RE and mPFC→PER pathway activity.

Interestingly, rats showed a similar level of performance deficits on items that skipped ahead in the sequence (e.g., ABD) following suppression of both mPFC→RE and mPFC→PER. Theoretically, only temporal context memory or ordinal representational strategies (which were not tested here; see Orlov et al., 2000; Reeders et al., 2018) can support accurate performance when predicting upcoming items. Thus, these results indicate both mPFC→RE and mPFC→PER are critical for making predictions of upcoming items. The exact nature of each pathway's contribution to memory, however, should be further explored in experiments focused on items skipping ahead farther in sequences, by manipulating positional strategies, and in tasks focused on elapsed time memory across multiple delay periods (e.g., Jacobs et al., 2013; MacDonald et al., 2013).

## Conclusions

We present evidence that top-down mPFC pathways targeting RE and PER differentially control the retrieval strategy used to support sequence memory. Generally, the ability to shift retrieval

strategies is important for situation-specific memory access and optimal memory-guided behavior. Importantly, RE and PER pathways endow mPFC with the ability to exert top-down control over episodic memory. Future studies should investigate whether these pathways are vulnerable in disorders that affect the temporal organization of memory, such as schizophrenia and Alzheimer disease.

## STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

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  - Histology
- QUANTIFICATION AND STATISTICAL ANALYSIS
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  - Statistics

## SUPPLEMENTAL INFORMATION

Supplemental Information can be found online at <https://doi.org/10.1016/j.celrep.2019.06.053>.

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## AUTHOR CONTRIBUTIONS

Conceptualization, T.A.A. and M.J.; Methodology, T.A.A., S.V.M., and M.J.; Investigation, M.J., M.S., and S.B.L.; Writing – Original Draft, M.J., S.B.L., and T.A.A.; Writing – Review and Editing, T.A.A., S.B.L., R.P.V., S.V.M., M.S., and M.J.; Funding Acquisition, T.A.A. and R.P.V.; Resources, T.A.A. and R.P.V.; Supervision, T.A.A.

## DECLARATION OF INTERESTS

The authors declare no competing interests.

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## STAR★METHODS

### KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Antibodies</b>		
Rabbit anti-Red Fluorescent Protein	Rockland, Inc	600-401-379
Mouse Anti-NeuN Antibody, clone A60	Millipore Sigma, Inc.	RRID: AB_2149209; MAB377
Anti-GAD67 Antibody, clone 1G10.2	Millipore Sigma, Inc.	RRID: AB_2278725; MAB5406
VectaFluor Duet Immunofluorescence Double Labeling Kit, DyLight 594 Anti-Rabbit (red)/DyLight 488 Anti-Mouse (green)	Vector Laboratories	DK-8828
Biotinylated Goat Anti-Rabbit IgG Antibody	Vector Laboratories	RRID: AB_2313606; BA-1000
Goat anti-Mouse IgG (H+L) Cross-Adsorbed Secondary Antibody, DyLight 405	Invitrogen	35500BID
VECTASTAIN Elite ABC HRP Kit	Vector Laboratories	PK-6200
VECTASHIELD Antifade Mounting Medium	Vector Laboratories	H-1200
VECTASHIELD HardSet Antifade Mounting Medium	Vector Laboratories	H-1400
3,3'-Diaminobenzidine	Sigma-Aldrich	CAS: 91-95-2
<b>Bacterial and Virus Strains</b>		
CAG.mCherry-2a-hM4D <sub>i</sub> <sup>nrxn</sup>	AddGene	52523
AAV9.CAG.mCherry-2a-hM4D <sub>i</sub> <sup>nrxn</sup> .WPRESV40	UPenn Vector Core	Custom
AAV9.CB7.Cl.mCherry.WPRE.rBG	UPenn Vector Core	105544-AAV9
Alexa Fluor 488 Cholera Toxin Subunit B	Invitrogen	C-22841
Alexa Fluor 594 Cholera Toxin Subunit B	Invitrogen	C-22842
<b>Chemicals, Peptides, and Recombinant Proteins</b>		
1-Octanol	Sigma-Aldrich	CAS: 111-87-5
(-) - Limonene	Sigma-Aldrich	CAS: 5989-54-8
l-Menthone	Sigma-Aldrich	CAS: 14073-97-3
Isobutyl Alcohol	Sigma-Aldrich	CAS: 78-83-1
Acetophenone	Sigma-Aldrich	CAS: 98-86-2
(1S) - (-) - beta-Pinene	Sigma-Aldrich	CAS: 18172-67-3
L (-) - Carvone	Sigma-Aldrich	CAS: 6485-40-1
5-Methyl-2-Hexanone	Sigma-Aldrich	CAS: 110-12-3
Clozapine-N-Oxide	Caymen Chemicals	ab141704
<b>Experimental Models: Organisms/Strains</b>		
Rat: Long Evans	Charles River Laboratories	RRID: RGD_2308852
<b>Software and Algorithms</b>		
MATLAB (Version R2016a)	<a href="https://www.mathworks.com/products/matlab.html">https://www.mathworks.com/products/matlab.html</a>	RRID: SCR_001622
OmniPlex (Version 1.17)	<a href="https://plexon.com">https://plexon.com</a>	RRID:SCR_014803
CinePlex (Version 3.6.0)		
SPSS (Version 20)	<a href="https://www.ibm.com/us-en/?ar=1">https://www.ibm.com/us-en/?ar=1</a>	RRID:SCR_002865
Excel 2016	Microsoft Office	
Fluoview FV10-ASW Version 04.02.04.05	<a href="http://www.olympus-lifescience.com/en/">http://www.olympus-lifescience.com/en/</a>	RRID:SCR_014215
FIJI ImageJ 2.0.0v	<a href="https://imagej.nih.gov/ij">https://imagej.nih.gov/ij</a>	RRID:SCR_003070

## LEAD CONTACT AND MATERIALS AVAILABILITY

Requests for further information and requests for resources should be directed to and will be fulfilled by the Lead Contact, Timothy A. Allen ([tallen@fiu.edu](mailto:tallen@fiu.edu)).

## EXPERIMENTAL MODEL AND SUBJECT DETAILS

All experimental procedures using animals were conducted in accordance with the Florida International University Institutional Animal Care and Use Committee (FIU-IACUC). Male Long-Evans rats ( $n = 34$ ; Charles River Laboratories; weighing 250–350 g upon arrival) were used. Rats were individually housed and maintained on a 12-h inverse light/dark cycle (lights off at 10 AM). Rats had *ad libitum* access to food, but access to water was limited to 2–5 min each day, depending on how much water they received as a reward during behavioral training (6–9 ml). All training and testing sessions were conducted during the dark phase (active period) of the light cycle.

## METHOD DETAILS

### Sequence memory task

The sequence memory task (Allen et al., 2014) involves repeated presentations of odor-sequences and requires a rat to determine whether each item (odor) was presented in-sequence (InSeq; by holding the nose-poke response for 1 s) or out-of-sequence (OutSeq; by withdrawing its nose from the port before 1 s). Rats were trained on two sequences, each comprising four distinct odors (e.g., Seq1: A<sub>1</sub>B<sub>1</sub>C<sub>1</sub>D<sub>1</sub>, Seq2: A<sub>2</sub>B<sub>2</sub>C<sub>2</sub>D<sub>2</sub>). Each sequence was presented at either end of a linear track maze. Odor presentations were initiated by a nose-poke, and each trial was terminated after the rat either held the nose-poke response for > 1 s (InSeq) or withdrew its nose from the port before 1 s (OutSeq). There was a 1 s interval between trials. Water rewards (one packet of aspartame for every 500 mL of water) were delivered below the odor port after each correct response. Following an incorrect response, a buzzer sound was emitted and the sequence was terminated. Each sequence was presented 50–100 times per session; approximately half the presentations included all items InSeq (ABCD) and half included one item OutSeq (e.g., ABAD, odor A repeated in the 3<sup>rd</sup> position). Note OutSeq items could be presented in any sequence position except the first position (i.e., sequences always began with an InSeq item). Sequence memory was probed with OutSeq trials (e.g., ABAD; one OutSeq trial randomly presented per sequence) and lag distances were analyzed to reveal the temporal order memory performance.

### Task apparatus

Rats were tested in a noise-attenuated experimental room. The behavioral apparatus comprised a linear track (length, 183 cm; width, 10 cm; height, 43 cm) with walls angled outward at 15° and nose ports at each end through which repeated deliveries of multiple distinct odors could be presented. Photobeam sensors were used to detect nose port entries. Each nose port was connected to an odor delivery system (Med Associates). Odor deliveries were initiated by a nose-poke entry and terminated either when the rat withdrew before 1 s, or after 1 s had elapsed. Water ports were positioned under each nose port for reward delivery. Timing boards (Plexon) and digital input/output devices (National Instruments) were used to measure all event times and control the hardware. All aspects of the task were automated using custom MATLAB scripts (MathWorks R2016a). A 256-channel Omniplex D with video tracking and Cineplex behavior software (Plexon) were used to interface with the hardware in real time and record behavioral data. Odors were organic odorants contained in glass jars (A1: 1-octanol, CAS: 111-87-5; B1: (-) - limonene, CAS: 5989-54-8; C1: l-menthone, CAS: 14073-97-3; D1: isobutyl alcohol, CAS: 78-83-1; A2: acetophenone, CAS: 98-86-2; B2: (1S) - (-) - beta-pinene, CAS: 18172-67-3; C2: L (-) - carvone, CAS: 6485-40-1; D2: 5-methyl-2-hexanone, CAS: 110-12-3) that were volatilized with nitrogen air (flow rate, 2 L/min) and diluted with ultrapure air (flow rate, 1 L/min). To prevent cross-contamination, separate Teflon tubing lines were used for each odor. These lines converged into a single channel at the bottom of the odor port. In addition, a vacuum located at the top of the odor port provided constant negative pressure to quickly evacuate odor traces with a matched flow rate.

### Sequence memory task training

Naive rats were initially trained in a series of incremental stages over 15–20 weeks. Each rat was trained to poke and hold its nose in an odor port to receive a water reward. The minimum required nose-poke duration started at 50ms and was gradually increased (in 15ms steps) until a rat reliably held the nose-poke position for 1.2 s for at least 80% of the time over three sessions (200–300 nose-pokes per session). The rats were then habituated to odor presentations in the port (odor A<sub>1</sub> and A<sub>2</sub>, then odor sequences A<sub>1</sub>B<sub>1</sub> and A<sub>2</sub>B<sub>2</sub>) and each rat was required to maintain its nose-poke response for 1 s to receive a reward. The rats were then trained to identify InSeq and OutSeq items. Rats were initially trained on a two-item sequence: they were presented with “AB” and “AA” sequences in equal proportions. The correct response to the first odor was to hold the nose-poke for 1 s (Odor A was always the first item). For the second odor, rats were required to determine whether the item was InSeq (AB; hold for 1 s to receive reward) or OutSeq (AA; withdraw before 1 s to receive a reward). After reaching criterion on the two-item sequence, the number of items per sequence was increased to three and four in successive stages (criterion: 70% correct across all individual odor presentations over three sessions). After reaching

criterion performance on the two four-odor sequences (70% correct on both InSeq and OutSeq items), rats underwent surgery for cannula implantation.

### Cannula system

A cannula implant system was created using a high-resolution (56  $\mu\text{m}$ ) stereolithography 3D printer (ProJet 1200; 3D Systems), suitable for chronic head stages. A custom-designed 3D-printed cannula assembly was created using CAD software (Autodesk Inventor Pro Edition) and assembled with guide cannulas (27 gauge; outer diameter 0.41 mm; inner diameter 0.31 mm; Component Supply Company, FL) targeting the PER bilaterally (A/P  $-6.0$  mm, M/L  $\pm 6.8$  mm, D/V  $-6.0$  mm) and a single site aimed at RE (at a  $10^\circ$  angle to avoid the superior sagittal sinus; A/P  $-1.8$  mm, M/L  $-1.2$  mm, D/V  $-6.7$  mm).

### AAV9 microsyringe infusions and cannula placement

Rats were anesthetized with isoflurane (induction 5%; maintenance: 2%–3%) mixed with oxygen (800 ml/min) and placed in a stereotaxic apparatus (David Kopf Instruments, Model 900). A protective ophthalmic ointment (Gentak, 0.3%) was applied to the eyes and the scalp was sterilized with applications of isopropyl alcohol (70% in deionized  $\text{H}_2\text{O}$ ) followed by Betadine. The incision site was locally anesthetized with Marcaine® (7.5 mg/ml, 0.5 ml, s.c.) and the skull was exposed following a fish eye incision. Adjustments were made to ensure bregma and lambda were level ( $\pm 0.05$   $\mu\text{m}$  in the D/V plane). Body temperature (35.9–37.5°C) was monitored and maintained throughout surgery using a rectal thermometer and circulating water heating pad. Ringer's solution with 5% dextrose was administered to maintain hydration (5 ml, s.c.), and glycopyrrolate (0.2 mg/ml, 0.5 mg/kg, s.c.) was administered to prevent respiratory difficulties.

Burr holes were drilled bilaterally over mPFC (infusion site; OmniDrill35, World Precision Instruments). Infusions were performed using a 10  $\mu\text{L}$  microsyringe (NanoFil; World Precision Instruments) and an infusion pump (UltraMicroPump III; World Precision Instruments). hM4Di<sup>+</sup> rats ( $n = 13$ ) received 0.5  $\mu\text{L}$  injections of the custom AAV-hM4Di<sup>nrxn</sup> (AAV9.CAG.mCherry-2a-hM4Di<sup>nrxn</sup>, WPRE.SV40; UPenn Vector Core) bilaterally into mPFC (A/P 3.24mm, M/L  $\pm 0.7$ mm, D/V from cortex  $-2.8$ mm) at a flow rate of 50 nl/min. mCherry-only rats ( $n = 9$ ) received 0.5  $\mu\text{L}$  injections of the AAV without hM4Di (AAV9.CB7.Cl.mCherry, WPRE.rBG; UPenn Vector Core) bilaterally into mPFC. Pilot experiments were performed to determine the viral gestation time and viral expression. One group of rats ( $n = 4$ ) was injected with AAV9.hM4Di and then perfused at 1 week, 2 weeks, 4 weeks, or 8 weeks. In another set of rats ( $n = 2$ ), saline was injected into mPFC in the left hemisphere and AAV9.hM4Di was injected into mPFC in the right hemisphere to determine the mCherry fluorescence from the virus. The AAV9.CB7.Cl.mCherry was injected into three rats at dilutions of 1:2, 1:4, or 1:8 to measure the expression rate compared with the hM4Di<sup>+</sup> group to determine the optimal concentration for use in the control group.

Following injection of the viral vector into mPFC, burr holes overlying the PER bilaterally (A/P  $-6.0$  mm, M/L  $\pm 6.8$  mm, D/V  $-6.0$  mm) and RE (at a  $10^\circ$  angle to avoid the superior sagittal sinus; A/P  $-1.8$  mm, M/L  $-1.2$  mm, D/V  $-6.7$  mm) were drilled into the skull. The cannula implant was inserted and secured with skull screws (1/8-inch grade 2 (CP) titanium; Allied Titanium Inc.). The head stage was affixed to the surgical screws with dental cement (methyl, methacrylate, Patterson Dental). Dummy cannulas were inserted into the guide cannulas (extending 0.5  $\mu\text{m}$  beyond the tip of the guide cannula) to protect against debris entering the cannula and prevent scar tissue from developing and blocking the inserted tip of the cannula. A protective cap was affixed atop the cannula implant to protect against impact and debris. Excess skin was sutured (black silk suture 4-0, with reverse cutting needle 19 mm, 1/2 Circle; FEN suture). Neosporin® was applied to the skin surrounding the head stage. At the end of surgery, Flunixin (50 mg/ml, 2.5 mg/kg, s.c.), a nonsteroidal anti-inflammatory analgesic, was administered to the rats. The rats were returned to a clean recovery incubator and monitored until they awoke. One day following surgery, the dummy cannulas were checked, the rats were administered a dose of Flunixin, and Neosporin® was reapplied.

### Suppressing mPFC neurons and projections

According to the protocol published by Roth (2016), clozapine-n-oxide (CNO; Cayman Chemical Company) was dissolved in 0.5% DMSO in 0.9% saline (1.0 mg/ml). The CNO dose was selected on the basis of its behavioral effectiveness and ability to inactivate terminal activity when intracerebrally infused over hM4Di-expressing terminals (Smith et al., 2016). The vehicle (Veh) was a solution of 0.5% DMSO in 0.9% saline. For i.p. injections, either CNO or Veh was administered. Behavioral testing was initiated at 30 min post-injection (randomized). For intracerebral infusions, needles were made so the infusion tip extended 1 mm past the implanted cannula. CNO and Veh were administered over 10min (at a volume of 1  $\mu\text{L}$ ) in both RE and PER. The infusion cannula (32 gauge; outer diameter 0.0095 mm; inner diameter 0.005 mm; Component Supply Company) was left in place for an additional 5min to allow for drug diffusion. Behavioral testing was initiated 30min post-infusion. Both Veh and CNO infusions in RE and PER were counterbalanced and randomized.

### Dual retrograde tracing

Alexa Fluor 488 Cholera Toxin Subunit B (CTB-488) and Alexa Fluor 594 Cholera Toxin Subunit B (CTB-594; Molecular Probes; Invitrogen Inc) were dissolved in neutral phosphate buffer (0.06M PB) at 1% (500 mg dissolved in 50 mL of 0.06M PB) concentration. The solution was aliquoted and stored in a  $-80^\circ\text{C}$  freezer.

Glass pipettes (3.5" 3-000-203 G/X; Drummond Scientific) were pulled using a P2000 Laser-Based MicroPipette Puller (Sutter Instruments) with a tip diameter between 80 – 100 microns. The tip was examined under the confocal microscope (Olympus FV1200) to

verify diameter size and check for any internal flaws. Prior to surgery, the tracer was loaded into a glass pipette which was previously backfilled with mineral oil using a Hamilton syringe (Hamilton Company).

Rats were anesthetized and prepped for surgery as described previously ( $n = 4$ ). The skull was exposed with a straight incision. Adjustments were made to ensure the bregma and lambda were level ( $\pm 0.05 \mu\text{m}$  in the D/V plane). Burr holes overlying RE (at a  $10^\circ$  angle to avoid the superior sagittal sinus; A/P  $-1.8 \text{ mm}$ , M/L  $-1.2 \text{ mm}$ , D/V  $-6.85 \text{ mm}$ ) and PER (A/P  $-6.0 \text{ mm}$ , M/L  $-7.2 \text{ mm}$ , D/V  $-6.6 \text{ mm}$ ) were drilled into the skull (OmniDrill35, World Precision Instruments). Infusions were performed using a glass pipette and an infusion pump (Nanoject III, Drummond Scientific).  $0.3 \mu\text{l}$  was injected into RE and  $0.5 \mu\text{l}$  was injected into PER at a flow rate of  $1 \text{ nl/s}$ . Three of the rats received CTB-488 in RE and CTB-594 in PER and one rat received the opposite. After the tracer was fully injected, 15 mins was allotted to allow for diffusion. Negative pressure was added in order to avoid the spread of the tracer along the injection track. The incision site was sutured (black silk suture 4-0, with reverse cutting needle  $19 \text{ mm}$ ,  $1/2 \text{ Circle}$ ; FEN suture) and dressed with Neosporin®. At the end of surgery, Flunixin ( $50 \text{ mg/ml}$ ,  $2.5 \text{ mg/kg}$ , s.c.), a nonsteroidal anti-inflammatory analgesic, was administered to the rats. The rats were returned to a clean recovery incubator and monitored until they awoke. One day following surgery the rats were administered a dose of Flunixin and Neosporin® was reapplied. After a 2-week incubation period, the rats were perfused.

### Histology

Rats were anesthetized with isoflurane (5%) mixed with oxygen ( $800 \text{ ml/min}$ ), and transcardially perfused with  $100 \text{ mL}$  phosphate-buffered saline, followed by  $200 \text{ mL}$  of 4% paraformaldehyde (PFA, pH 7.4; MilliporeSigma). Brains were post-fixed overnight in 4% PFA and then placed in a 30% sucrose solution for cryoprotection. Frozen brains were cut on a sliding microtome ( $40 \mu\text{m}$ ; coronal plane) into three sets of immediately adjacent sections.

To visualize AAV9.hM4Di expression as well as cannula tracts, half the slices in the first set (set 1) were mounted and coverslipped using Vectashield® antifade mounting medium with 4',6-diamidino-2-phenylindole. An mCherry reporter molecule was expressed with hM4Di and visualized with a confocal microscope (Olympus FV1200) using standard filter cubes. The remaining slices in set 1 were mounted for a cell body-specific cresyl violet stain and coverslipped with Permount to visualize the cannula placement. A separate set of slices was processed for immunohistochemistry to visualize the extent of infected mPFC terminals in each of the cannula target sites (RE and PER). Free-floating sections were placed in primary antibody solution: polyclonal rabbit anti red fluorescent protein (Rockland Inc.) in 0.1% bovine serum albumin (BVA) at a 1:1000 concentration for 24-48h. The sections were then washed with 0.1 M phosphate buffer (PB), placed in a 1:500 dilution of the secondary antibody (biotinylated goat anti-rabbit, Vector Laboratories) for 2h. After washing, the sections were incubated for 1h using the avidin biotin complex (ABC) Elite kit (Vector Labs) in the diluent at a 1:300 concentration. Following the final washes, brown cell bodies and fibers expressing mCherry were visualized by incubating the tissue in 0.022% 3,3'-diaminobenzidine and 0.003% hydrogen peroxide for approximately 2-4min. Sections were then mounted on chrom-alum gelled slides, dehydrated in graded methanols, and placed in xylene before being coverslipped with Permount.

To map the spread of the injection, coronal micrographs of whole slices across the frontal cortex of tissue processed for the mCherry antisera were obtained at 100x using a NikonFI-3 mounted on a Nikon Eclipse E600 microscope for each rat (Figure S6). The micrographs were transposed over rendered plates drawn from Nissl sections and modified schematic plates (Paxinos and Watson, 2004) in Procreate (Savage Software Group). These images were imported into Adobe Illustrator (Adobe Inc.) where labeled cell bodies were identified and used to pixelate and create a shaded image of the injection spread, such that the shading intensity (pixelation) corresponded to the density of cell expression. The opacity of the shading was reduced to 40% and images of all rats were superimposed onto one another to create a final schematic at five anterior posterior levels across mPFC.

A series of tissue was processed in a subset of animals ( $n = 3$ ) for dual immunofluorescence using antibodies for mCherry and NeuN to quantify the DREADD transduction rate in hM4Di<sup>+</sup> rats. For this, free-floating sections were incubated in the primary antibody rabbit anti-red fluorescent protein for 24-48h. Following PB washes, the tissue was placed in an antisera for NeuN, (Mouse Anti-NeuN Antibody, clone A60; EMD Millipore) for 4h. Following another set of PB washes, the tissue was incubated in fluorophore-conjugated secondary antibodies (VectaFluor Duet Immunofluorescence Double Labeling Kit, DyLight 594 Anti-Rabbit/DyLight 488 Anti-Mouse) for an additional 2h before being mounted onto chrom-alum gelled slides, rinsed in deionized H<sub>2</sub>O, and coverslipped using VECTASHIELD HardSet Antifade Mounting Medium.

For the rats ( $n = 4$ ) with the dual retrograde tracer, the first set were mounted and coverslipped using Vectashield® antifade mounting medium with 4',6-diamidino-2-phenylindole. The injection sites and mPFC were visualized with a confocal microscope (Olympus FV1200) using standard filter cubes. To identify mPFC projection cell type, the second set of tissue was processed for immunofluorescence using the antibody for glutamic acid decarboxylase (GAD). Sections were blocked in 0.5% BSA for one hour then placed in the primary antibody, mouse anti-GAD67 (EMD Millipore Inc) at a concentration of 1:2000 for 36h. Following PB washes, the tissue was incubated in the secondary antibody, DyLight 405 goat anti-mouse (Invitrogen Inc) for 3h. Following final washing of tissue, sections were mounted and coverslipped with VECTASHIELD® Antifade Mounting (Vector Labs).

### QUANTIFICATION AND STATISTICAL ANALYSIS

All data were analyzed in MATLAB 2016a (Mathworks), SPSS 20.0.0, Excel 2016, and FIJI ImageJ using custom scripts and functions.

### Quantification of mPFC cells and fiber density in RE and PER

For the dual immunofluorescence using antibodies for mCherry and NeuN identical epifluorescent images of the frontal cortex were captured at 100x with a NikonFI-3 camera using NIS Elements software (Nikon) with filters for red fluorescent protein (hM4Di/ mCherry-labeled neurons) and green fluorescent protein (NeuN-labeled neurons) at three separate anterior posterior levels: at the core of the injection (~3.2 from Bregma), one level anterior, and one level posterior ( $\pm 120 \mu\text{m}$  in distance). Images were then imported into FIJI ImageJ (Version 2.0.0; NIH), and a uniform region of interest at each level of the prelimbic cortex and anterior cingulate cortex, restricted to layers 5/6, which expressed the greatest density of hM4Di neurons, was selected to estimate the maximum percentage of cells infected. Manual cell counts were conducted using the Cell Counter plug-in in FIJI and the ratio of mCherry labeled neurons to neurons expressing NeuN was calculated and averaged across rats.

The pattern of hM4Di expression in terminals was analyzed using DAB immunohistochemistry in a subset of rats ( $n = 3$ ) by capturing serial sections across the anterior posterior (AP) plane of RE and PER using at 100x magnification. Photomicrographs were then converted to 8-bit images in FIJI. The mean density was calculated by determining the absolute value of the difference of the mean gray value from the maximum gray area (255) through a ROI for each terminal site (RE or PER). The mean density was then normalized by dividing it over the mean gray density of a reference area consistent across each structure (3V; rhinal fissure) to obtain the relative density (RD) of each ROI. Normalizing the data removed any variability in the intensity of staining across sections and cases. For RE,  $100\mu\text{m}^2$  ROIs were measured at 6 AP levels for the medial (REm), dorsal (Red) and lateral (REl) division of anterior RE, the medial (REcm), dorsal (REcd) divisions of caudal RE, and perireunions (periRE). For PER,  $50\mu\text{m}^2$  ROIs were measured at 3 AP levels for each layer of cortex. The RD was then used to conduct a quantitative analysis of the distribution and density of hM4Di<sup>+</sup> fibers from mPFC to RE and PER.

The number of dual labeled cells (CTB-594, CTB-488) were counted using the methods mentioned above. Images were taken at 20X magnification using a confocal microscope (FV1200) to look for double-labeling across mPFC layers. Cells were counted in FIJI ImageJ (Version 2.0; NIH) for CTB-488 and CTB-594 labeled cells at eight locations (anterior cingulate cortex, dorsal prelimbic cortex, ventral prelimbic cortex, and infralimbic cortex; at ~3.72 from Bregma and ~3.00 from Bregma). Individual sections were then separated by layers (I, II/III, V, VI) and a uniform ROI ( $300 \mu\text{m}^2$ ) was taken at each layer of mPFC to look for double-labeling. Two separate manual cells counts were conducted using the Cell Counter plug-in in FIJI and the ratio of CTB-488 or CTB-594 labeled cells to the total number of DAPI (blue) labeled cells were counted and averaged across animals. For consistency, we processed the colors of the images so mPFC  $\rightarrow$  RE was always green and mPFC  $\rightarrow$  PER was always cyan.

For the GAD stained sets, we looked for double-labeling of GAD67 (blue) and CTB-488/594 labeled cells in mPFC. Images were taken at 60X magnification and analyzed in FIJI for any dual-labeled cells.

### Statistics

Performance on the task was analyzed using a number of measures. The first position of each sequence was excluded from all analyses as these items are always InSeq. Expected versus observed frequencies were analyzed with G-tests to determine whether the observed frequency of InSeq and OutSeq responses for a given session significantly differed from the frequency expected by chance. G-tests provide a measure of performance that controls for response bias and is a robust alternative to the  $\chi^2$  test, especially for datasets that include cells with smaller frequencies (Sokal and Rohlf, 1995). To compare performance across sessions or animals, a sequence memory index was calculated (SMI; Allen et al., 2014) according to the following equation:

$$\text{SMI} = \frac{(0.9 * \text{IN}_{\text{cor}})(0.1 * \text{OUT}_{\text{cor}}) - (0.9 * \text{IN}_{\text{inc}})(0.1 * \text{OUT}_{\text{inc}})}{\sqrt{(0.9 * \text{IN}_{\text{cor}} + 0.9 * \text{IN}_{\text{inc}})(0.1 * \text{OUT}_{\text{cor}} + 0.1 * \text{OUT}_{\text{inc}}) \times (0.9 * \text{IN}_{\text{cor}} + 0.1 * \text{OUT}_{\text{inc}})(0.9 * \text{IN}_{\text{inc}} + 0.1 * \text{OUT}_{\text{cor}})}}$$

The parameters of the equation are as follows: INcor = InSeq correct, INinc = InSeq incorrect, OUTcor = OutSeq correct, and OUTinc = OutSeq incorrect. The SMI normalizes the proportion of InSeq and OutSeq items presented during a session and reduces sequence memory performance to a single value ranging from  $-1$  to  $1$ . A score of  $1$  represents perfect sequence memory; i.e., a rat correctly held its nose-poke response to all InSeq items and correctly withdrew its nose on all OutSeq items. A score of  $0$  indicates chance performance. Negative SMI scores represent performance levels below that expected by chance. A behavioral curve was analyzed for each rat to determine whether it performed above chance levels by measuring the SMI during each session, excluding CNO infusion days. SMI was calculated to determine if the effects of CNO and Veh differed when administered i.p. and by infusion (RE and PER). Using SPSS, an ANOVA was used to analyze the overall effects of Veh versus CNO between repeated conditions of hM4Di<sup>+</sup> and mCherry-only groups. In addition, a simple linear regression was used to analyze the relationship between repeated conditions and infusions. Nose-poke duration was analyzed using paired t tests to determine whether rats held their responses significantly longer in InSeq<sub>correct</sub> than in OutSeq<sub>correct</sub> trials. Paired t tests were performed to determine any differences between the effects of Veh and CNO on inter-odor-interval and inter-sequence-interval. General poke distributions were created through MATLAB using the session data.

Two distinct types of OutSeq probe trials were used to determine sequence memory: backward lags and forward lags. Backward lags occur when an odor is repeated in the sequence (e.g., ABBD). In this task there were three backward lags ( $-3$ -Back,  $-2$ -Back,

and -1-Back) indicating the number of stimuli between the original position and the repeated position. Forward lags occur when the sequence skips ahead (e.g., ADCD). In this particular task, there are two forward lags (+1-Fwd, and +2-Fwd), indicating the number of stimuli between the repeated position and the original position. Lag analyses were used to measure performance on specific forward and backward lag trials for OutSeq items. The accuracy was calculated for each rat. Each individual rat's performance in the Veh condition was subtracted from its performance in the CNO condition for each lag and then divided by the difference in the lag and Veh accuracy. This produced a value that indicated the percent drop in lag performance based on each individual rat's performance in the Veh condition. A paired t test was performed between RE InSeq and PER InSeq performance levels. A one-tailed, one-sample t test was performed to measure differences against no change for all lag distances. A repeated-measures 2x3 ANOVA with a Greenhouse-Geisser correction was performed on the backward lag trials to analyze any interaction effects between RE and PER infusions. A 2x2 ANOVA with a Greenhouse-Geisser correction was performed for the forward lags between RE and PER infusion. The Greenhouse-Geisser was used because the assumption of sphericity is violated for within-subject analysis in most cases and the Greenhouse-Geisser correction is robust to this violation. Finally, t tests and repeated-measures ANOVA in SPSS were conducted for cell counts and fiber densities.

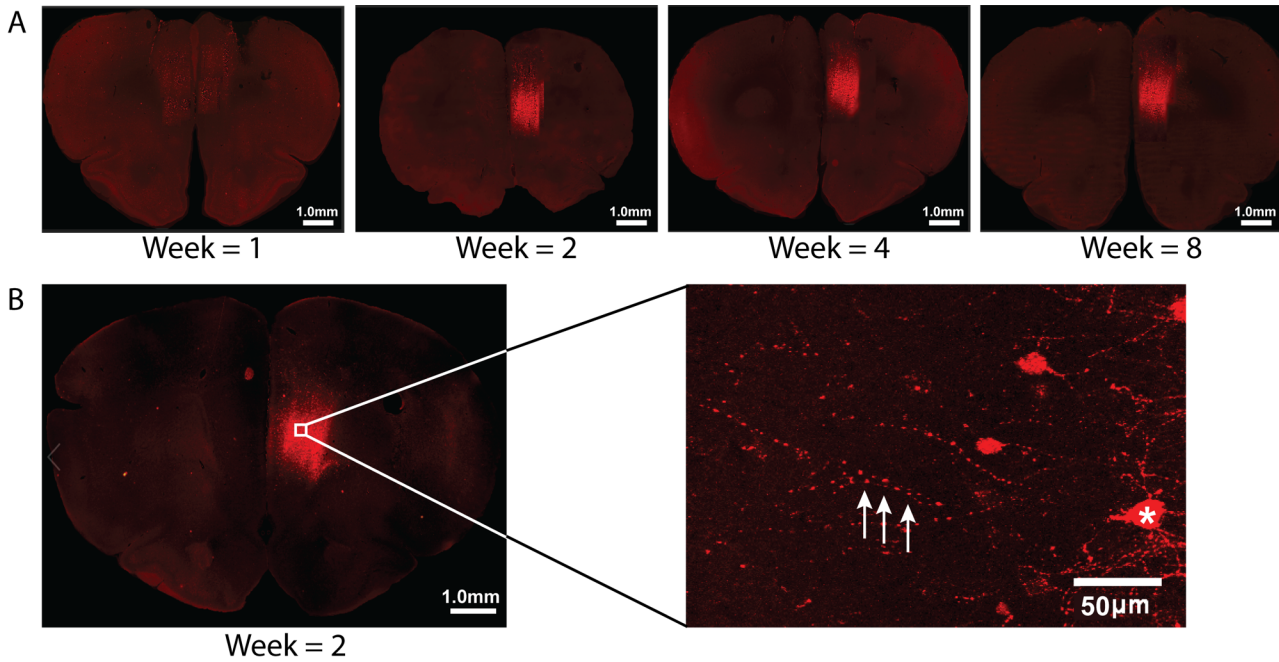
**Cell Reports, Volume 28**

**Supplemental Information**

**Prefrontal Pathways Provide  
Top-Down Control of Memory  
for Sequences of Events**

**Maanasa Jayachandran, Stephanie B. Linley, Maximilian Schlecht, Stephen V. Mahler, Robert P. Vertes, and Timothy A. Allen**

## Supplemental Information



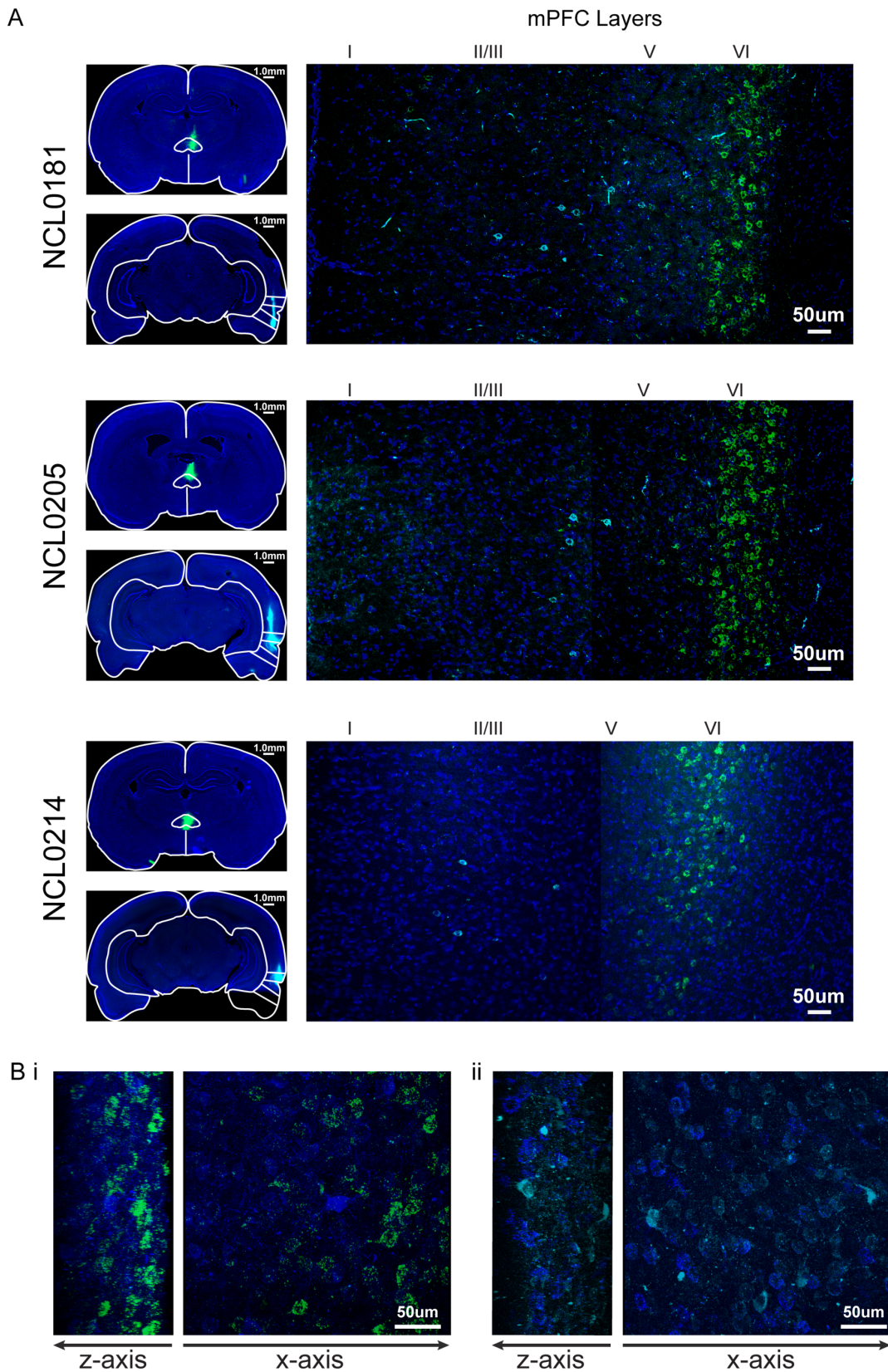
**Figure S1. Incubation time and AAV9.hM4Di expression for these experiments., Related to Figure 1.**

AAV9.hM4Di was fully expressed within 2 weeks within the axons and soma of neurons

(A) The images show that by the 2-week mark, the virus was well expressed, and thus behavioral experiments began after this incubation time.

(B) AAV9.hM4Di expressed along the axons of mPFC neurons, as expected. The magnified fluorescent image shows an example of hM4Di expression in an axon (arrows) and soma (asterisk).

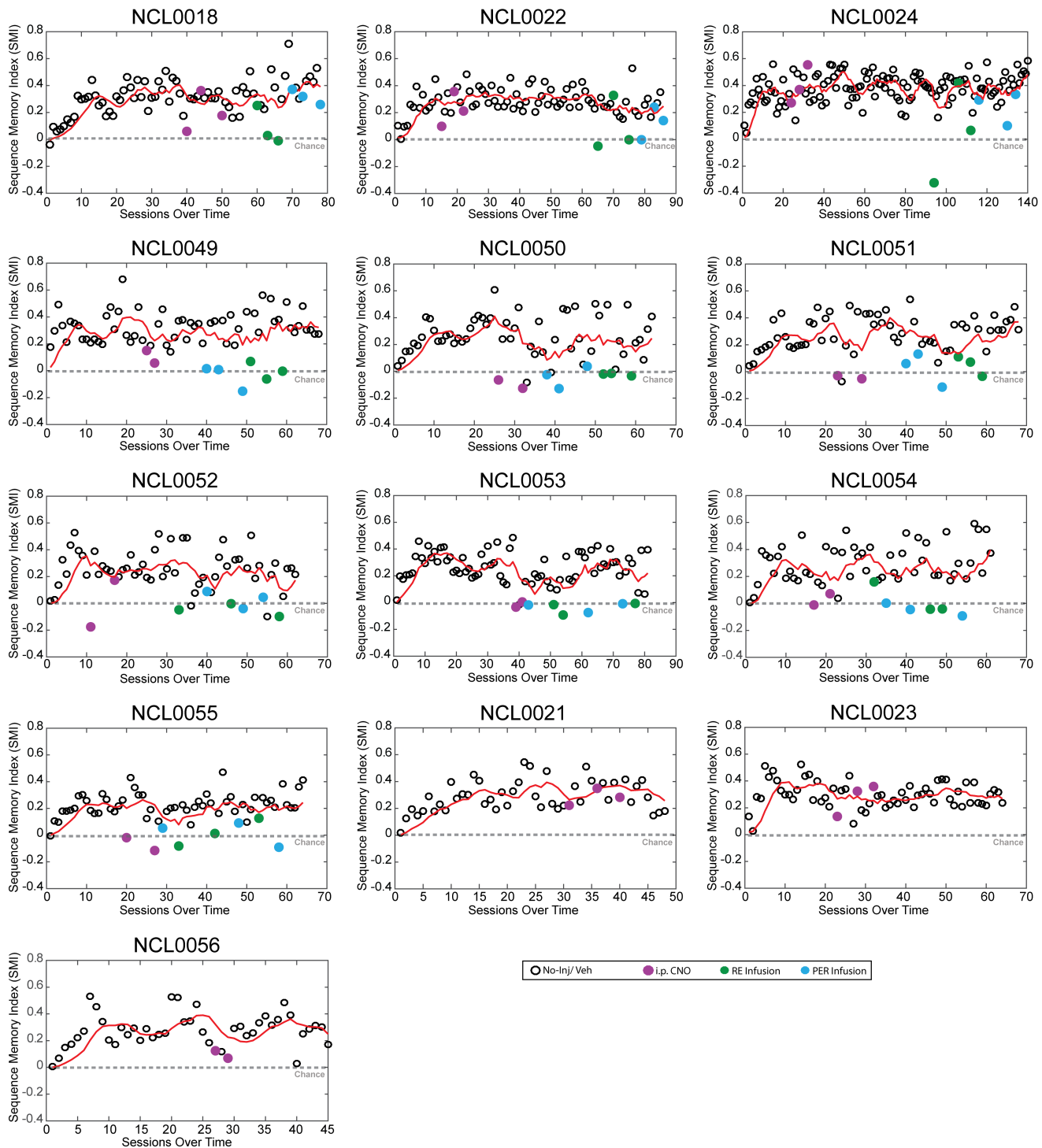




**Figure S2. Dual retrograde labeling and immunofluorescence using GAD67, Related to Figure 1.**

(A) Each rat's confocal photomicrograph of the RE (green) and PER (cyan; color was altered for consistency purposes) injection sites with CTB-488 or CTB-594 and dapi (blue). mPFC layers are displayed on the right that show retrograde labeled cells from RE and PER. Note these layer sections are from ventral prelimbic cortex (vPL).

(B) Representative confocal image of dual labeled GAD67 (blue), CTB-488 (i; mPFC → RE, green), and CTB-594 (ii; mPFC → PER, cyan).



**Figure S3. Individual behavioral performance, Related to Figure 2.**

Each rat's behavioral data was collapsed into a single normalized measure (SMI) for each session. The identification of each rat is indicated at the top of each graph (NCLXXXX). The red line represents the mean SMI as the rat learned the task. All rats reached steady state and were able to maintain their SMI except for when infusions/injections occurred. With each infusion/injection, there was a clear decrease (to chance levels) in SMI.

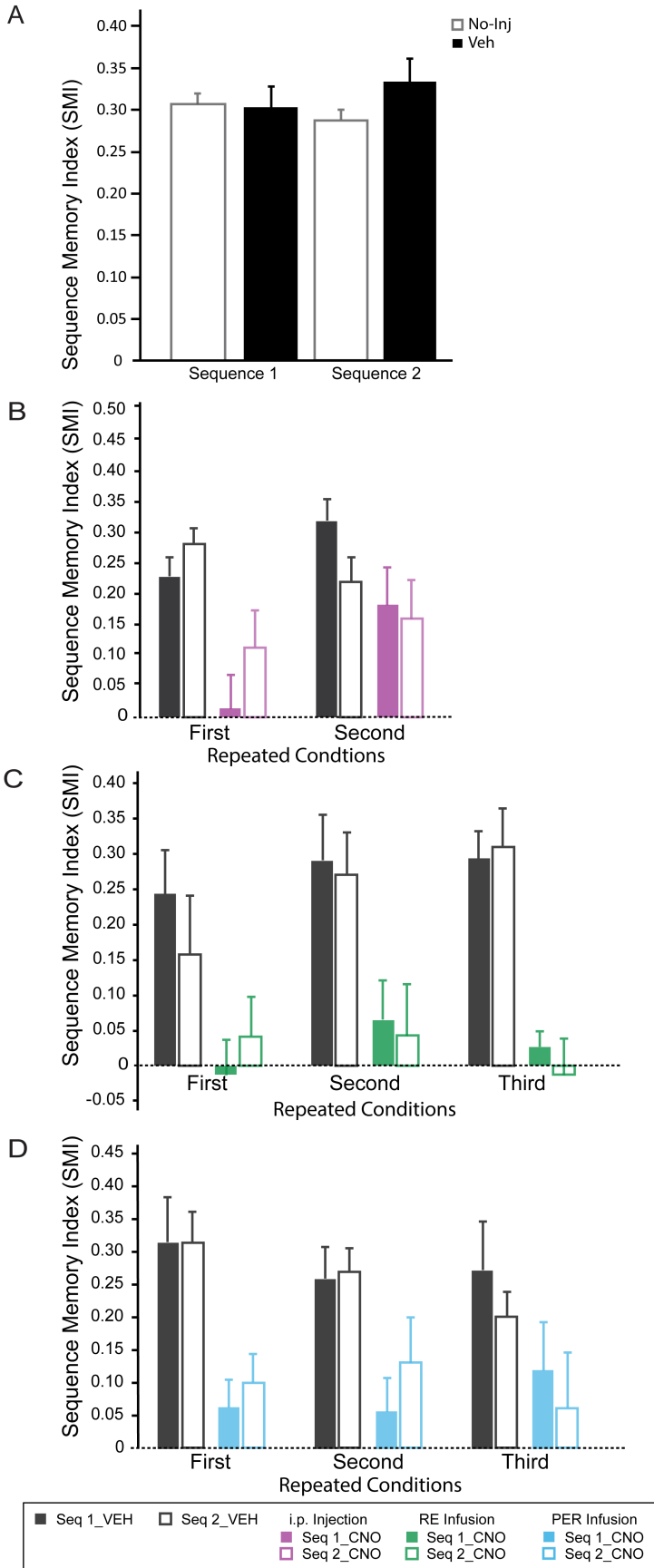
**Figure S4. Sequence 1 vs. sequence 2, Related to Figure 1, 3, 4, and 5.**

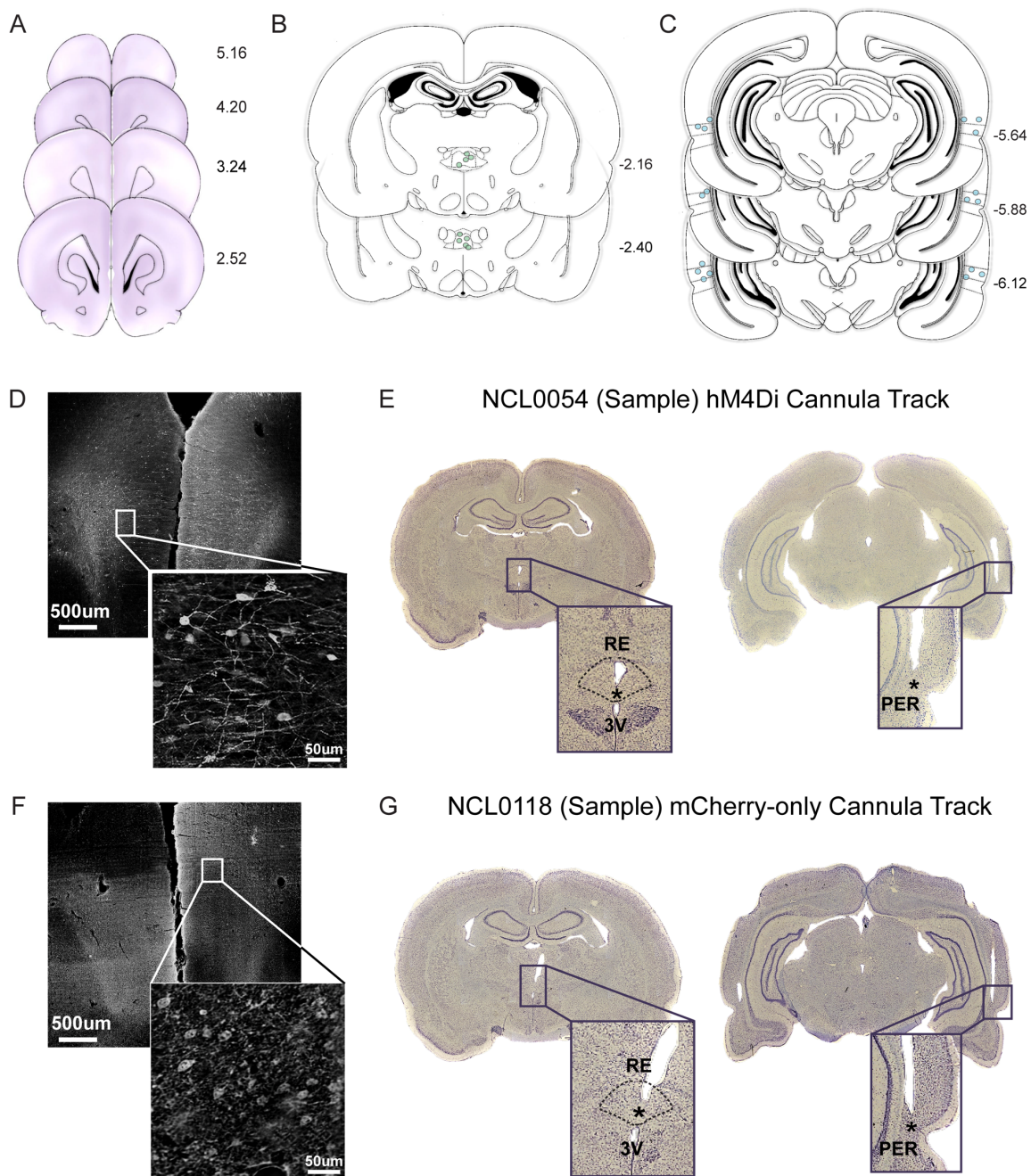
Comparison of sequence 1 vs. sequence 2 revealed that the rats performed at comparable levels on both sequences. (A) Comparing No-Inj vs Veh days in sequence 1 and sequence 2 indicated nonsignificant differences. Moreover, there was no significant difference between sequences for the No-Inj days and Veh days.

(B) There was no significant difference between sequences across repeated conditions for i.p. CNO administration.

(C) SMI was not significantly different between sequences across repeated conditions for mPFC→RE infusions.

(D) Similarly, SMI was not significantly different between the sequences across repeated conditions for mPFC→PER infusions.





**Figure S5. Viral expression and cannula placements in experimental groups, Related to Figure 3, 4, and 5.**

- (A) Schematic representation AAV9.mCherry maximal viral spread in the mPFC for all rats. While infected cells in mCherry-only rats were visualized outside of the medial wall of the PFC, extending to the orbital and motor cortices, the null behavioral effects of these rats confirmed that our findings were not associated with nonspecific effects related to the viral construct or CNO. Numbers to the right of each section indicate distance (mm) anterior to bregma.
- (B) Microinfusion injector tip location in the RE for all AAV9.mCherry rats. Numbers to the right of each section indicate distance (mm) anterior to bregma.
- (C) Microinfusion injector tip location for all AAV9.mCherry rats in the PER. Numbers to the right of each section indicate distance (mm) anterior to bregma.
- (D) Representative image of AAV9.hM4D<sup>nrxn</sup> expression in the mPFC.
- (E) Representative Nissl image of RE and PER (bilateral) for hM4di+. The asterisk indicates the infusion cannula tip location.
- (F) AAV9.mCherry expression in the mPFC from a representative rat.
- (G) Representative Nissl image of the RE and PER (bilateral) for mCherry-only. Asterisk indicates infusion cannula tip location.

**Figure S6. Schematic of viral spread in h4MDi<sup>+</sup> rats, Related to Figure 3 and STAR Methods.**

Illustration of how the spread of the viral injection was analyzed. A representative sample of a schematic rendering of the viral spread at one level for one rat is shown.

(A) Coronal micrographs were obtained at 100x of whole slices across the frontal cortex from immunostained tissue (antisera for mCherry) for each h4MDi<sup>+</sup> rat.

(B) The micrographs were transposed over rendered plates drawn from Nissl sections and modified schematic plates (Swanson, 2004) in Procreate (Savage Software Group).

(C) These images were imported into Adobe Illustrator (Adobe Inc.) and using the 'Image Trace' function, only labeled cell bodies were identified and used to pixelate the image

(D) This produced a shaded image of the injection spread, such that the shading intensity (pixilation) corresponded to cell expression density.

(E) The opacity of each shaded area was reduced to 40% and all rats were superimposed onto one another to create a final schematic for five anterior posterior levels.

