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Years of life lost or years of potential life lost: Implications for the estimation of the burden of disease

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ABSTRACT

Objectives: The years of life lost (YLL) and the years of potential life lost (YPLL) measures were developed in order to quantify the burden of premature deaths on the society. This study examines the impact of the type of method used on the estimation of the burden of diseases.

Design: Four metrics of burden of disease estimation namely; YPLL, non-age weighted YLL without discounting, and YLL with uniform or non-uniform age weighting and discounting were used to calculate the burden of selected diseases in three countries: Australia, USA and South Africa. Mortality data was obtained from the World Health Organisation (WHO) database. For each metric, the burden of disease was standardised as a percentage of the total national burden of disease.

Results: There were variations in the burden of disease estimates with the four methods. The standardised YPLL estimates were higher than other methods of calculation for diseases common among young adults and lower for diseases common among the elderly. In all three countries, the standardised burden of diseases common among young adults increased after non-uniform age weighting, compared to the decrease observed with diseases of the elderly.

Conclusions: Given the variability in the estimates of the burden of disease with different approaches, a single measure of the burden of disease should not be the only criterion for prioritising health or research funding.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- 1. This study highlights how the choice of burden of disease estimates affect the relative importance of selected diseases in three countries.
- 2. We have examined the diseases based on the ICD-10 broad categorisation; therefore our estimations have not examined the diseases at a granular level.
- 3. In the absence of an objective disease selection process, we have selected the diseases based on three crude age categories.

INTRODUCTION

The metric for estimating the health status of a population has traditionally been the mortality rate. However, in order to identify and prioritise the causes of premature death, as well as quantify the burden of such deaths on the society, the years of life lost (YLL) and the years of potential life lost (YPLL) measures were developed. Both metrics estimate the average number of years a person would have lived had they not died prematurely. Governments and institutions use these metrics to prioritise health funding and research. The years of life lost concept has been in existence since the 1940s.[1] However, it did not gain traction as a planning tool for health promotion and disease prevention until the 1970s and 1980s.[2] The use of YPLL as a measure of premature mortality was introduced by the US Centres for Disease Control (CDC) in 1982, when they started reporting potential years of life lost before the age of 65.[3] YLL as a component of the Disability-Adjusted Life Year was introduced by the global burden of disease (GBD) study published in 1996.[4]

Although the two measures are somewhat similar with respect to what they measure, they differ in the calculations used. For YLL, the number of deaths at a particular age is multiplied by the standard life expectancy at the age at which death occurs. The results for the respective ages or age bands are then summed.[5] Methods of YPLL estimation have differed slightly between authors, but all involved the multiplication of the number of deaths for a particular age by the number of life years remaining for the age, with the subsequent summation of the estimates for all the ages.[6] Deaths beyond a cut-off age, usually the life expectancy in a specific population, are not measurable with YPLL. Furthermore, time-based discounting and age weighting are not incorporated into YPLL calculations. For YLL however, time-based discounting and age weighting may be incorporated. Discount rates have been considered useful for costeffectiveness analyses, and are used to estimate the net present value of years of life lost. The GBD study for example, utilised a discount rate of 3% time of life lost in the future. Which

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implies that a year of healthy life gained next year is worth 3% less than healthy life lived now.[5] Discount rates of up to 5% have been used in cost effectiveness analyses.[7]

It is important that proportionate amounts of resources are allocated to disease research and prevention. How the burden of one disease is perceived relative to others depend on the metric used and whether adjustments were made to those metrics. In this report, we examine the impact of the choice of index (YLL or YPLL), age weighting, and discounting on the estimation of the burden of diseases.

METHODS

The 2014 mortality data for three countries, Australia, USA and South Africa, were obtained from the World Health Organisation (WHO) database. The WHO mortality database contains mortality data by country, year, age, sex and cause of death, submitted to the WHO by its member states on an annual basis since 1950. The causes of death on the database are coded according to the International statistical Classification of Diseases and health related problems 10th revision (ICD-10).[8] Ten diseases, grouped into three categories were selected. The first group consists of ischemic heart disease, cerebrovascular disease, Alzheimer's disease and heart failure. These were diseases with peak mortality after life expectancy. The second group were diseases with peak mortality in younger adults. These include: poisoning, land transport accidents (LTA) and intentional self-harm. A third group consisted of diseases including lung cancer, colorectal cancer and breast cancer, with peak mortality after age 50 but before age of life expectancy (Figure 1 and see Supplementary files 1 and 2). The number of deaths in five-year age intervals (except for infants and elderly over 85 years old: 0,1–4, 5–9, 10–14, ... 80–84, 85+) were extracted onto a Microsoft Excel worksheet and the standard life expectancies for the average ages of deaths for both males and females were obtained from the abridged

WHO standard life table.[9] YLL was calculated, using Microsoft Excel, from the sum of the number of deaths due to a disease multiplied by life expectancy for that age band.

YLL = N * L

Where:

N = Number of deaths at a particular age or age band and L is the standard life expectancy for the age or age band of death.

Four metrics were compared:

- Years of potential life lost: YPLL,
- YLL without age weighting or discounting: YLL
- YLL with non-uniform age weighting: YLL (nuWT & Disc)
- YLL with uniform age weighting and discounting: YLL (uWT & Disc)

Details of the method for calculating non-uniform age weighted (K=1) and non-zero discounted; as well as 3% discounted and uniform age weighted (K=0) YLL are available in the WHO practical guide for national burden of disease studies.[10] To enable comparison, YPLL were calculated by multiplying the number of disease-specific deaths for a given age group by the expected life at the mid-point for each age group up to a cut off age of 79 years by using the formula: $YPLL = \sum_{x} D_{x}(79-A_{x})$

Where D_x = registered number of deaths at age due to a particular cause of death

 A_x = adjusted age at death

For each method, the burden of disease was standardised as a percentage of the total national burden of disease, i.e.

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Standardised burden of disease = (Burden of disease/total burden of diseases) 100

RESULTS

There were variations in the contributions of each disease class to the total national burden of disease in the selected countries, with the four methods of estimation (Figure 2). In all three countries, burden of disease estimation with YPLL yielded the highest estimates for diseases common among younger adults, resulting in a higher contribution of these diseases to the total burden of disease in the respective countries (Figures 3–5). In Australia, the standardised burden of intentional self-harm was 9.3% with YPLL, compared to 5.6%, 6.5% and 4.3% with YLL, YLL (nuWT & Disc) and YLL (uWT & Disc) respectively. The impact of utilising YPLL was much lower for South Africa, with only marginal differences from the other three methods observed (Figure 5). YPLL resulted in the lowest estimate of disease burden for diseases common among the elderly. In the USA, the standardised burden of ischemic heart disease was 9.4% compared to 11.6%, 10.7% and 12.6%, with YLL, YLL (nuWT & Disc) and YLL (uWT & Disc) and

In the three countries, age weighting increased the contributions of diseases common among younger adults to the total burden of disease; while the contributions of diseases of the elderly decreased (Figures 3–5). In Australia, the standardised burden of ischemic heart disease, cerebrovascular disease, heart failure and Alzheimer's disease decreased from 10.8% to 9.6%, 5.1% to 4.3%, 1.1% to 0.8% and 1.4% to 1% respectively after age weighting; while the standardised burden of intentional self-harm, poisoning and land transport accidents increased from 4.3% to 6.5%, 3% to 4.3% and 1.9% to 3%, respectively after age weighting (Figure 3).

DISCUSSION

We have shown that estimates of the relative burden of diseases are highly dependent on the methods of calculation used. This is especially so for countries with long life expectancy, and for diseases that preferentially affected the young or elderly. YPLL estimates were relatively higher for diseases common among younger adults and lower for diseases of the elderly, with YLL demonstrating opposite bias. Similarly, age weighting decreased the contribution of diseases of the elderly to the total burden of disease; while the contributions of diseases of younger adults were increased.

The variations in estimates of the burden of disease can change the relative importance of a disease; such that advocates and researchers interested in promoting research on particular diseases could choose an approach that best supports their cause. In our study, intentional selfharm was the most 'burdensome' of all the 10 diseases in Australia using YPLL estimates, ahead of ischemic heart disease, lung cancer and cerebrovascular disease. However, with the uniform weighted YLL with discount method, intentional self-harm decreased in relevance to the fourth most 'burdensome' disease. Gross et al.[11] showed a positive association between total mortality and the years of life lost from disease and the amount of research funding received from the US National Institutes of Health: While the incidence, prevalence and the number of hospital days attributed to a disease were not associated with the amount of funding, their study showed that research involving ischemic heart disease, stroke, and lung cancer which were the first, second, and third leading causes of death, respectively, in the United States in 1994—were the most funded. The burden of disease is however usually not the only motivation for research funding. In 2005, non-communicable diseases and injuries accounted for about half and 9% of global disease burden respectively, but received 10% and 1% of WHO funding respectively.[12]

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The WHO recommends that individual countries should report on their national burden of disease and they have provided resources on their website for these calculations. The resources provided are for YLL, which indicates a tacit preference for this method.[9] Some national agencies, including the Australian Bureau of Statistics and the US CDC, however, estimate the YPLL. There is currently no consensus on which approach is the most suitable for calculating YLL. Although the WHO has shown a preference for time-based discounting with age weighting, [5] some national burden-of-disease studies have included time discounting without age weighting; [13] while some other studies have utilised neither. [14] Melse et al., in evaluating the burden of disease in the Netherlands, justified their non-utilisation of age. weighting and time-based discounting as a practical way of maintaining transparency of figures.[14] Barendregts et al.[15] reported that the addition of age weights to discounted estimates, resulted in ages 0–27 years becoming more important than 9–54 years. Sensitivity analyses have been recommended to determine the implications of including or excluding timebased discounting and age weighting in the burden of disease estimates.[5] Although unweighted YLL without discount generally produced higher burden estimates than the three other methods for all 10 diseases (see Supplementary files 3-5), we have shown in this study that the adjusted values with this method were closer to age weighted YLL with discount. Both methods yielded results that were consistently between the two extremes of YPLL and uniform weighted YLL with discount (Figures 3–5).

Furthermore, the propriety of age weighting and discounting is a controversial subject and different authors have argued for or against them. Notably, Murray and Acharya opined that age weighting should not be a social construct that is based on our relative desire to take care of children and the elderly, but rather a system premised on how productive an age group is and the need to prioritise their wellbeing.[16] Anand and Hanson argued that all lives are equal in importance and disagreed that people's lives should be valued in terms of their productivity.

They also suggested that discounting and weighting reduces the YLL in females relative to males.[17]

Age weighting attaches different values to life years lived at different ages. Lower weights are usually given to years of healthy life at very young and old ages than for other ages. Timebased discounting is useful in health economics research; it is included in YLL calculation to reflect the preference on life years closer to the present. However, there are sociocultural factors worthy of consideration. For example, the decision as to whether a year of life gained now is worth more than one gained in 10 years will depend on societal perceptions of life, which can be very heterogeneous, especially in highly multicultural societies. Also, when an economic value is attached to a year of life lost, the total values can differ significantly depending on which method is used to calculate the number of years lost.

Using YPLL to rank prematurity-related mortality also has its drawbacks. It does not account for deaths beyond the life expectancy for the country or beyond an arbitrarily selected cut-off age, essentially assigning no burden to death at older ages. Therefore, reporting YPLL often requires a reference to the age threshold against which the YPLL was calculated. YPLL therefore generally underestimates the years lost to disease common in old age. The gulf between YPLL and YLL estimates can be accentuated in countries with aging populations and ranking can also be tilted in favour of diseases that are common early in life.

This study has several limitations. We have examined the diseases based on the ICD-10 broad categorisation. Therefore our estimations have not examined the diseases at a granular level. Comparing the burden of disease estimation for the individual diseases is complex in the absence of an objective selection process, however we have used three crude age categories to select the diseases.

 In conclusion, the choice of appropriate metrics of disease burden is important for the prioritisation of research funding. Given the variability in the estimates of the burden of disease with different approaches, the burden of disease should not be the only criterion for prioritising health or research funding. Different metrics should be considered before resources are allocated.

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Competing interests: The authors declare that they have no competing interests

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Authors' contributions: OE and NB conceptualised and designed the study. OE and JR analysed the data. OE wrote the initial draft of the manuscript. JR and NB critically reviewed the manuscript. All authors read and approved the final manuscript.

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Figure titles and legends

Figure 1: Age distribution of disease mortality in Australia (Dashed line: life expectancy for Australia)

Figure 2: Burden of disease in three countries using four different methods of estimation.

Figure 3: Burden of disease estimates as a proportion of the total burden of disease in Australia

Figure 4: Burden of disease estimates as a proportion of the total burden of disease in USA

Figure 5: Burden of disease estimates as a proportion of the total burden of disease in South Africa.

Supplementary files:

Supplementary file 1: Age distribution of disease mortality in South Africa.

Supplementary file 2: Age distribution of disease mortality in USA.

Supplementary file 3: Unstandardized burden of disease estimates (in '000 years) for Australia

Supplementary file 4 Unstandardized burden of disease estimates (in '000 years) for USA using 4 methods

Supplementary file 5: Unstandardized burden of disease estimates (in '000 years) for South ds Africa using 4 methods



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80%	- 80% -		80%	External causes
70%			70%	-
60%	- 60% -		60%	Mental & behavioural disorders
50%	- 50% -		50%	Diseases of the nervous system
40%	- 40% -		40%	Disease of the
30%	30% -		30%	
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10%	10% -		10%	■Endocrine &
0%	0%		0%	Metabolic diseases
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Burden of disease in three countries using four different methods of estimation.

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Burden of disease estimates as a proportion of the total burden of disease in $\ensuremath{\mathsf{USA}}$

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Supplementary fig 1: Age distribution of disease mortality in South Africa. (Dashed line: Life expectancy)

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Supplementary fig 2: Age distribution of disease mortality in USA. (Dashed line: Life expectancy)

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Supplementary fig 3: Unstandardized burden of disease estimates (in '000 years) for Australia using 4 methods: a: Unweighted and undiscounted YLL; b. Years of potential life lost (YPLL); c. YLL with non-uniform age weighting with discount (nuWT & Disc); d. YLL with uniform age weighting with discount (nuWT & Disc); d.





Supplementary fig 4: Unstandardized burden of disease estimates (in '000 years) for South Africa using 4 methods: a: Unweighted and undiscounted YLL; b. Years of potential life lost (YPLL); c. YLL with nonuniform age weighting with discount (nuWT & Disc); d. YLL with uniform age weighting with discounting (uWT & Disc)



Supplementary fig 5: Unstandardized burden of disease estimates (in '000 years) for USA using 4 methods: a: Unweighted and undiscounted YLL; b. Years of potential life lost (YPLL); c. YLL with non-uniform age weighting with discount (nuWT & Disc); d. YLL with uniform age weighting with discounting (uWT & Disc) BMJ Open

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Years of life lost or years of potential life lost: Implications for the estimation of the burden of disease

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ABSTRACT

Objectives: The years of life lost (YLL) and the years of potential life lost (YPLL) measures were developed in order to quantify the burden of premature deaths on the society. This study examines the impact of the type of method used on the estimation of the burden of diseases.

Design: Four metrics of burden of disease estimation namely; YPLL, non-age weighted YLL without discounting, and YLL with uniform or non-uniform age weighting and discounting were used to calculate the burden of selected diseases in three countries: Australia, USA and South Africa. Mortality data were obtained from the World Health Organization (WHO) database. For each metric, the burden of disease was standardised as a percentage of the total national burden of disease. The total burden of disease for each country was determined by calculating the sum of the YLL for all ICD 10 disease categories recorded on the WHO mortality database.

Results: There were variations in the burden of disease estimates with the four methods. The standardised YPLL estimates were higher than other methods of calculation for diseases common among young adults and lower for diseases common among the elderly. In the three countries, discounting decreased the contributions of diseases common among younger adults to the total burden of disease; while the contributions of diseases of the elderly increased. After discounting with age weighting, there were no distinct patterns for diseases of the elderly and young adults in the three countries.

Conclusions: Given the variability in the estimates of the burden of disease with different approaches, there should be transparency regarding the type of metric used and a generally acceptable method that incorporates all the relevant social values should be developed.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- 1. This study highlights how the choice of burden of disease estimates affect the relative importance of selected diseases in three countries.
- 2. We have examined the diseases based on the ICD-10 broad categorisation; therefore our estimations have not examined the diseases at a granular level.
- 3. In the absence of an objective disease selection process, we have selected the diseases based on three crude age categories.

INTRODUCTION

The metric for estimating the health status of a population has traditionally been the mortality rate. However, in order to identify and prioritise the causes of premature death, as well as quantify the burden of such deaths on the society, the years of life lost (YLL) and the years of potential life lost (YPLL) measures were developed. Both metrics estimate the average number of years a person would have lived had they not died prematurely. Governments and institutions use these metrics to prioritise health funding and research. The years of life lost concept has been in existence since the 1940s.[1] However, it did not gain traction as a planning tool for health promotion and disease prevention until the 1970s and 1980s.[2] The use of YPLL as a measure of premature mortality was introduced by the US Centres for Disease Control (CDC) in 1982, when they started reporting potential years of life lost before the age of 65.[3] YLL as a component of the Disability-Adjusted Life Year was introduced by the global burden of disease (GBD) study published in 1996.[4]

Although the two measures are somewhat similar with respect to what they measure, they differ in the calculations used. For YLL, the number of deaths at a particular age is multiplied by the standard life expectancy at the age at which death occurs. The results for the respective ages or age bands are then summed.[5] YPLL is calculated as deaths of persons up to a cut-off age threshold with the assumption that deaths occurring before this time are untimely .[6] However, the choice of maximum cut-off age is arbitrary, and has differed between authors, with a profound impact on the resultant estimates.

Deaths beyond the cut-off age, usually the life expectancy in a specific population, are not measurable with YPLL. Social values such as time-based discounting and age weighting can be incorporated into YPLL and YLL calculations. Discount rates estimates the net present

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value of years of life lost. Some studies, for example, have utilised a discount rate of 3% time of life lost in the future. Which implies that a year of healthy life gained next year is worth 3%less than healthy life lived now.[5] Discount rates of up to 5% have been used in cost effectiveness analyses.[7] Age weighting implies that the value of life depends on age, such that greater weights are assigned to deaths at younger ages and lower weights to deaths at older ages.[8] Although the World Health Organization (WHO) have adopted the no-discount and no-age weighting methods, [8] age weighting and time-based discounting are still commonly used by researchers. [9–11]

It is important that proportionate amounts of resources are allocated to disease research and prevention. How the burden of one disease is perceived relative to others depend on the metric used and whether adjustments were made to those metrics. In this report, we examine the impact of the choice of index (YLL or YPLL), age weighting, and discounting on the estimation ezie of the burden of diseases.

METHODS

The 2014 mortality data for three countries, Australia, USA and South Africa, were obtained from the WHO database. The WHO mortality database contains mortality data by country, year, age, sex and cause of death, submitted to the WHO by its member states on an annual basis since 1950. The causes of death on the database are coded according to the International statistical Classification of Diseases and health related problems 10th revision (ICD-10).[12] Ten diseases, grouped into three categories were selected. The first group consists of ischemic heart disease, cerebrovascular disease, Alzheimer's disease and heart failure. These were diseases with peak mortality after life expectancy. The second group were diseases with peak mortality in younger adults. These include: poisoning, land transport accidents (LTA) and intentional self-harm. A third group consisted of diseases including lung cancer, colorectal

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cancer and breast cancer, with peak mortality after age 50 but before age of life expectancy at birth (Figure 1 and see Supplementary files 1 and 2). The number of deaths in five-year age intervals (except for infants and elderly over 85 years old: $0, 1-4, 5-9, 10-14, \dots 80-84, 85+$) were extracted onto a Microsoft Excel worksheet and the standard life expectancies for the average ages of deaths (the mean of the lower and upper bound of each age group), for both males and females were obtained from the WHO standard life tables.[13] YLL was calculated, using Microsoft Excel, from the sum of the number of deaths due to a disease multiplied by life expectancy for that age band.

YLL = N * L

Where:

N = Number of deaths at a particular age or age band and L is the standard life expectancy for ê je the age or age band of death.

Four metrics were compared:

- Years of potential life lost: YPLL,
- YLL without age weighting (uniform weighting) or discounting: YLL [0, 0]
- YLL with non-uniform age weighting and discounting: YLL [1, 0.03]
- YLL with uniform age weighting and discounting: YLL [0, 0.03]

Details of the method for calculating non-uniform age weighted (K=1) and non-zero discounted; as well as 3% discounted and uniform age weighted (K=0) YLL are available in the WHO practical guide for national burden of disease studies.[14]

From this guide, we used formula 11.2::

YLL= $N/0.03(1-e^{-0.03L})$ for 3% discounting and uniform age weights

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And for non-zero discounting and age weighting we used formula 11.3:

YLL= N Ce^(ra) /
$$(\beta+r)^2 [e^{-(\beta+r)(L+a)} [-(\beta+r) (L+a)-1] - e^{-(\beta+r)a} [-(\beta+r)a-1]]$$

where N is number of deaths, r is the discount rate of 0.03, C is the age-weighting correction constant of 0.1658, β is the parameter from the age-weighting function value 0.04, a is the age of onset, and L is the duration of disability or time lost due to premature mortality. L was derived from the 2014 WHO life tables for each of the three countries.[13]

To enable comparison, YPLL were calculated by multiplying the number of disease-specific deaths for a given age group by the expected life expectancy for each age group up to a cut off age of 79 years[15] by using the formula: $YPLL = \sum x Dx(79-Ax)$

Where D_x = registered number of deaths at age due to a particular cause of death and A_x = adjusted age at death.

For each method, the burden of disease was standardised as a percentage of the total national burden of disease, i.e.

Standardised burden of disease = (Burden of disease/total burden of diseases) 100

The years of life lost for each disease was expressed as the percentage of the total YLL lost in the population due to premature mortality. The total YLL for each country was determined by calculating the sum of the YLL for all ICD 10 disease categories on the WHO mortality database.

Patients and Public Involvement: Patients and public were not involved in this study.
RESULTS

There were variations in the contributions of each disease class to the total national burden of disease in the selected countries, with the four methods of estimation. In all three countries, burden of disease estimation with YPLL yielded the highest estimates for diseases common among younger adults, resulting in a higher contribution of these diseases to the total burden of disease in the respective countries (Figures 2–4). In Australia, the standardised burden of intentional self-harm was 9.3% with YPLL, compared to 5.1%, 6.0% and 3.9% with YLL (0, 0), YLL (1, 0.03) and YLL (0, 0.03) respectively. In the USA, the standardised burden of intentional self-harm was 5.3% with YPLL, compared to 4.4%, 4.0% and 2.8% with YLL [0, 0], YLL [1, 0.03] and YLL [0, 0.03] respectively. For intentional self-harm in South Africa, YPLL did not differ from other metrics (0.2% respectively) (Figure 4). Conversely, YPLL resulted in the lowest estimate of disease burden for diseases common among the elderly. In the USA, the standardised burden of ischemic heart disease was 9.4% compared to 12.1%, 11.0%, and 12.4%, with YLL(0, 0), YLL (1, 0.03) and YLL (0, 0.03), respectively (Figure 3).

In the three countries, discounting decreased the contributions of diseases common among younger adults to the total burden of disease; while the contributions of diseases of the elderly increased (Figures 2–4). In Australia, the standardised burden of ischemic heart disease, heart failure and Alzheimer's disease increased from 10.9% to 12%, 1.2% to 1.4% and 1.4% to 1.7% respectively after discounting without age weighting; while the standardised burden of intentional self-harm, poisoning and land transport accidents decreased from 5.1% to 3.9%, 3.4% to 2.7% and 2.4% to 1.7%, respectively after discounting without age weighting (Figure 2). A similar pattern was seen with estimates from USA and South Africa (Figures 3 & 4). In the USA, the standardised burden of intentional self-harm, poisoning and land transport accidents decreased from 4.4% to 2.8%, 5.2% to 3.6% and 4.0% to 2.4%; while ischemic heart disease, heart failure and Alzheimer's disease increased from 12.1% to 12.4%, 1.4% to 1.9%

and 1.2% to 2.2% respectively. In South Africa, Ischemic heart disease, heart failure and Alzheimer's disease increased from 1.3% to 1.6%, 1.6% to 1.9% and 0.05 to 0.07% respectively after discounting without age weighting; while minimal decreases were seen with poisoning and land transport accidents 0.8% to 0.7% and 1.8% to 1.7% respectively. There was no difference between discounted and undiscounted YLL estimates for intentional self-harm (0.2%). After discounting with age weighting, there were no distinct patterns for diseases of the old and young in the three countries (Figures 2-4).

DISCUSSION

We have shown that estimates of the relative burden of diseases are highly dependent on the methods of calculation used. This is especially so for countries with long life expectancy, and for diseases that preferentially affected the young or elderly. The standardised YPLL estimates were relatively higher for diseases common among younger adults, but smaller in absolute terms in the two countries (USA and Australia) with higher life expectancies; conversely, the standardised YPLL estimates were lower for diseases of the elderly. On account of the reduction in the contribution of deaths in older age groups with YPLL estimates, the relative contribution of the causes in younger adults increased. Similarly, discounting without age weighting increased the contribution of diseases of the elderly to the total burden of disease; while the contributions of diseases of younger adults decreased.

The variations in estimates of the burden of disease can change the relative 'importance' of a disease; such that advocates and researchers interested in promoting research on particular diseases could choose an approach that best supports their cause. In our study, intentional self-harm was the most 'burdensome' of all the 10 diseases in Australia using YPLL estimates, ahead of ischemic heart disease, lung cancer and cerebrovascular disease. However, with the uniform weighted YLL with discount method, intentional self-harm decreased in relevance to the fourth most 'burdensome' disease. On account of this variability, transparency in the selection of appropriate methods is important given that these estimates may be important for the prioritisation of diseases for research funding. Gillum et al.[16] showed a positive correlation between burden of disease (measured using various indicators, including YLL)and the amount of research funding received from the US National Institutes of Health in 2006; although the degree of correlation was less than in 1996.[16]

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The WHO recommends that individual countries should report on their national burden of disease and they have provided resources on their website for these calculations.[17] The resources provided are for YLL, which indicates a tacit preference for this method. Some national agencies, including the Australian Bureau of Statistics and the US CDC, however, estimate the YPLL. Prior to the 2010 Global Burden of Disease (GBD) study, time-based discounting with or without age weighting were utilised [18]. In the 1990[4] and 2004[19] GBD studies, 3% discounting with age weighting was used; while in the 2001 study, [20] 3% discounting without age weighting was used. Several national burden-of-disease studies have continued to include time discounting with or without age weighting;[9–11] while some other studies have utilised neither.[21] Melse et al., in evaluating the burden of disease in the Netherlands, justified their non-utilisation of age weighting and time-based discounting as a practical way of maintaining transparency of figures.[21] Barendregts et al.[22] reported that the addition of age weights to discounted estimates, resulted in ages 0–27 years becoming more important than 9-54 years. Sensitivity analyses have been recommended to determine the implications of including or excluding time-based discounting and age weighting in the burden of disease estimates.[5] Although unweighted YLL without discount generally produced higher burden estimates than the three other methods for all 10 diseases (see Supplementary files 3-5), we have shown in this study that the adjusted values with this method were closer to ageweighted YLL with discount. Both methods yielded results that were consistently between the two extremes of YPLL and uniform weighted YLL with discount (Figures 2–4).

Furthermore, the propriety of age weighting and discounting is a controversial subject and different authors have argued for or against them. Notably, Murray and Acharya opined that age weighting should not be a social construct that is based on our relative desire to take care of children and the elderly, but rather a system premised on how productive an age group is and the need to prioritise their wellbeing.[23] Anand and Hanson argued that all lives are equal

in importance and disagreed that people's lives should be valued in terms of their productivity. They also suggested that discounting and weighting reduces the YLL in females relative to males.[24]

Age weighting attaches different values to life years lived at different ages. Lower weights are usually given to years of healthy life at very young and old ages than for other ages. Timebased discounting is useful in health economics research; it is included in YLL calculation to reflect the preference on life years closer to the present. However, there are sociocultural factors worthy of consideration. For example, the value of a year of life gained now compared to one gained in 10 years will depend on societal perceptions of life. Also, when an economic value is attached to a year of life lost, the total values can differ significantly depending on which method is used to calculate the number of years lost.

Using YPLL to rank prematurity-related mortality also has its drawbacks. It does not account for deaths beyond the life expectancy at birth for the country or beyond an arbitrarily selected cut-off age, essentially assigning no burden to death at older ages. Therefore, reporting YPLL often requires a reference to the age threshold against which the YPLL was calculated. YPLL therefore generally underestimates the years lost to disease common in old age. The gulf between YPLL and YLL estimates can be accentuated in countries with aging populations and ranking can also be tilted in favour of diseases that are common early in life.

This study has several limitations. We have examined the diseases based on the ICD-10 broad categorisation. Therefore our estimations have not examined the diseases at a granular level. Comparing the burden of disease estimation for the individual diseases is complex in the absence of an objective selection process, however we have used three crude age categories to select the diseases.

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 In conclusion, the choice of appropriate metrics of disease burden is important for the prioritisation of research funding. Given the variability in the estimates of the burden of disease with different approaches, there should be transparency regarding the type of metric used and a generally acceptable method that incorporates all the relevant social values should be developed.

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Authors' contributions: OE and NB conceptualised and designed the study. OE and JR analysed the data. OE wrote the initial draft of the manuscript. JR and NB critically reviewed the manuscript. All authors read and approved the final manuscript.

Data sharing statement: Reusable data for calculated burden of disease estimates are available anytime upon request from Dr Oluwaseun Egunsola. Orcid ID: 0000-0002-0500-9501.

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Figure titles and legends

Figure 1: Age distribution of disease mortality in Australia (Dashed line: life expectancy for Australia)

Figure 2: Burden of disease estimates as a proportion of the total burden of disease in Australia

Figure 3: Burden of disease estimates as a proportion of the total burden of disease in USA

Figure 4: Burden of disease estimates as a proportion of the total burden of disease in South Africa.

Supplementary files:

Supplementary file 1: Age distribution of disease mortality in South Africa.

Supplementary file 2: Age distribution of disease mortality in USA.

Supplementary file 3: Unstandardized burden of disease estimates (in '000 years) for Australia

Supplementary file 4 Unstandardized burden of disease estimates (in '000 years) for USA using 4 methods

Supplementary file 5: Unstandardized burden of disease estimates (in '000 years) for South Africa using 4 methods

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Burden of disease estimates as a proportion of the total burden of disease in USA

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Supplementary fig 1: Age distribution of disease mortality in South Africa. (Dashed line: Life expectancy)

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Supplementary fig 2: Age distribution of disease mortality in USA. (Dashed line: Life expectancy)

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Variability in the burden of disease estimates with or without age weighting and discounting: a methodological study

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Word count: 2226

ABSTRACT

Objectives: This study examines the impact of the type of method used on the estimation of the burden of diseases.

Design – Comparison of methods of estimating disease burden

Setting: Four metrics of burden of disease estimation namely; years of potential life lost (YPLL), non-age weighted years of life lost (YLL) without discounting, and YLL with uniform or non-uniform age weighting and discounting were used to calculate the burden of selected diseases in three countries: Australia, USA and South Africa.

Participants: Mortality data for all individuals from birth were obtained from the World Health Organization (WHO) database.

Outcomes: the burden of ten common diseases with four metrices, and the relative contribution of each disease to the overall national burden when each metric is used.

Results: There were variations in the burden of disease estimates with the four methods. The standardised YPLL estimates were higher than other methods of calculation for diseases common among young adults and lower for diseases common among the elderly. In the three countries, discounting decreased the contributions of diseases common among younger adults to the total burden of disease; while the contributions of diseases of the elderly increased. After discounting with age weighting, there were no distinct patterns for diseases of the elderly and young adults in the three countries.

Conclusions: Given the variability in the estimates of the burden of disease with different approaches, there should be transparency regarding the type of metric used and a generally acceptable method that incorporates all the relevant social values should be developed.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- 1. The ten diseases we chose ensured that the large differences in estimates driven by age at death were determined.
- 2. We relied on WHO data on the burden of 10 important diseases from three different countries, but these data are not comprehensive.
- 3. Larger or smaller differences might be seen with other diseases, or for other countries.

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INTRODUCTION

The metric for estimating the health status of a population has traditionally been the mortality rate. However, in order to identify and prioritise the causes of premature death, as well as quantify the burden of such deaths on the society, the years of life lost (YLL) and the years of potential life lost (YPLL) measures were developed. Both metrics estimate the average number of years a person would have lived had they not died prematurely. Governments and institutions use these metrics to prioritise health funding and research. The years of life lost concept has been in existence since the 1940s.[1] However, it did not gain traction as a planning tool for health promotion and disease prevention until the 1970s and 1980s.[2] The use of YPLL as a measure of premature mortality was introduced by the US Centres for Disease Control (CDC) in 1982, when they started reporting potential years of life lost before the age of 65.[3] YLL as a component of the Disability-Adjusted Life Year was introduced by the global burden of disease (GBD) study published in 1996.[4]

Although the two measures are somewhat similar with respect to what they measure, they differ in the calculations used. For YLL, the number of deaths at a particular age is multiplied by the standard life expectancy at the age at which death occurs. The results for the respective ages or age bands are then summed.[5] YPLL is calculated as deaths of persons up to a cut-off age threshold with the assumption that deaths occurring before this time are untimely .[6] However, the choice of maximum cut-off age is arbitrary, and has differed between authors, with a profound impact on the resultant estimates.

Deaths beyond the cut-off age, usually the life expectancy in a specific population, are not measurable with YPLL. Social values such as time-based discounting and age weighting can be incorporated into YPLL and YLL calculations. Discount rates estimates the net present value of years of life lost. Some studies, for example, have utilised a discount rate of 3% time

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of life lost in the future. Which implies that a year of healthy life gained next year is worth 3% less than healthy life lived now.[5] Discount rates of up to 5% have been used in cost effectiveness analyses.[7] Age weighting implies that the value of life depends on age, such that greater weights are assigned to deaths at younger ages and lower weights to deaths at older ages.[8] Although the World Health Organization (WHO) have adopted the no-discount and no-age weighting methods,[8] age weighting and time-based discounting are still commonly used by researchers.[9–11]

It is important that proportionate amounts of resources are allocated to disease research and prevention. How the burden of one disease is perceived relative to others depend on the metric used and whether adjustments were made to those metrics. In this report, we examine the impact of the choice of index (YLL or YPLL), age weighting, and discounting on the estimation of the burden of diseases.

METHODS

The 2014 mortality data for three countries, Australia, USA and South Africa, were obtained from the WHO database. The WHO mortality database contains mortality data by country, year, age, sex and cause of death, submitted to the WHO by its member states on an annual basis since 1950. The causes of death on the database are coded according to the International statistical Classification of Diseases and health related problems 10th revision (ICD-10).[12] Ten diseases, grouped into three categories were selected. The first group consists of ischemic heart disease, cerebrovascular disease, Alzheimer's disease and heart failure. These were diseases with peak mortality after life expectancy. The second group were diseases with peak mortality in younger adults. These include: poisoning, land transport accidents (LTA) and intentional self-harm. A third group consisted of diseases including lung cancer, colorectal cancer and breast cancer, with peak mortality after age 50 but before age of life expectancy at

birth (Figure 1 and see Supplementary files 1 and 2). The number of deaths in five-year age intervals (except for infants and elderly over 85 years old: 0,1–4, 5–9, 10–14, ... 80–84, 85+) were extracted onto a Microsoft Excel worksheet and the standard life expectancies for the average ages of deaths (the mean of the lower and upper bound of each age group), for both males and females were obtained from the WHO standard life tables.[13] YLL was calculated, using Microsoft Excel, from the sum of the number of deaths due to a disease multiplied by life expectancy for that age band.

YLL = N * L

Where:

N = Number of deaths at a particular age or age band and L is the standard life expectancy for the age or age band of death.

Four metrics were compared:

- Years of potential life lost: YPLL,
- YLL without age weighting (uniform weighting) or discounting: YLL [0, 0]
- YLL with non-uniform age weighting and discounting: YLL [1, 0.03]
- YLL with uniform age weighting and discounting: YLL [0, 0.03]

Details of the method for calculating non-uniform age weighted (K=1) and non-zero discounted; as well as 3% discounted and uniform age weighted (K=0) YLL are available in the WHO practical guide for national burden of disease studies.[14]

From this guide, we used formula 11.2::

YLL= N/0.03(1-e^{-0.03L}) for 3% discounting and uniform age weights

And for non-zero discounting and age weighting we used formula 11.3:

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YLL= N Ce^(ra) /
$$(\beta+r)^2 [e^{-(\beta+r)(L+a)} [-(\beta+r) (L+a)-1] - e^{-(\beta+r)a} [-(\beta+r)a-1]]$$

where N is number of deaths, r is the discount rate of 0.03, C is the age-weighting correction constant of 0.1658, β is the parameter from the age-weighting function value 0.04, a is the age of onset, and L is the duration of disability or time lost due to premature mortality. L was derived from the 2014 WHO life tables for each of the three countries.[13]

To enable comparison, YPLL were calculated by multiplying the number of disease-specific deaths for a given age group by the expected life expectancy for each age group up to a cut off age of 79 years[15] by using the formula: $YPLL = \sum x Dx(79-Ax)$

Where D_x = registered number of deaths at age due to a particular cause of death and A_x = adjusted age at death.

For each method, the burden of disease was standardised as a percentage of the total national burden of disease, i.e.

Standardised burden of disease = (Burden of disease/total burden of diseases) 100

The years of life lost for each disease was expressed as the percentage of the total YLL lost in the population due to premature mortality. The total YLL for each country was determined by calculating the sum of the YLL for all ICD 10 disease categories on the WHO mortality database.

Patients and Public Involvement: Patients and public were not involved in this study.

RESULTS

There were variations in the contributions of each disease class to the total national burden of disease in the selected countries, with the four methods of estimation. In all three countries, burden of disease estimation with YPLL yielded the highest estimates for diseases common among younger adults, resulting in a higher contribution of these diseases to the total burden of disease in the respective countries (Figures 2–4). In Australia, the standardised burden of intentional self-harm was 9.3% with YPLL, compared to 5.1%, 6.0% and 3.9% with YLL [0, 0], YLL [1, 0.03] and YLL [0, 0.03] respectively. In the USA, the standardised burden of intentional self-harm was 5.3% with YPLL, compared to 4.4%, 4.0% and 2.8% with YLL [0, 0], YLL [1, 0.03] and YLL [0, 0.03] respectively. For intentional self-harm in South Africa, YPLL did not differ from other metrics (0.2% respectively) (Figure 4). Conversely, YPLL resulted in the lowest estimate of disease burden for diseases common among the elderly. In the USA, the standardised burden of ischemic heart disease was 9.4% compared to 12.1%, 11.0%, and 12.4%, with YLL [0, 0], YLL [1, 0.03] and YLL [0, 0.3] and YLL [0, 0.03] and YLL [0, 0.03].

In the three countries, discounting decreased the contributions of diseases common among younger adults to the total burden of disease; while the contributions of diseases of the elderly increased (Figures 2–4). In Australia, the standardised burden of ischemic heart disease, heart failure and Alzheimer's disease increased from 10.9% to 12%, 1.2% to 1.4% and 1.4% to 1.7% respectively after discounting without age weighting; while the standardised burden of intentional self-harm, poisoning and land transport accidents decreased from 5.1% to 3.9%, 3.4% to 2.7% and 2.4% to 1.7%, respectively after discounting without age weighting (Figure 2). A similar pattern was seen with estimates from USA and South Africa (Figures 3 & 4). In the USA, the standardised burden of intentional self-harm, poisoning and land transport accidents decreased from 4.4% to 2.8%, 5.2% to 3.6% and 4.0% to 2.4%; while ischemic heart disease, heart failure and Alzheimer's disease increased from 12.1% to 12.4%, 1.4% to 1.9% and 1.2% to 2.2% respectively. In South Africa, Ischemic heart disease, heart failure and

Alzheimer's disease increased from 1.3% to 1.6%, 1.6% to 1.9% and 0.05 to 0.07% respectively after discounting without age weighting; while minimal decreases were seen with poisoning and land transport accidents 0.8% to 0.7% and 1.8% to 1.7% respectively. There was no difference between discounted and undiscounted YLL estimates for intentional self-harm (0.2%). After discounting with age weighting, there were no distinct patterns for diseases of the old and young in the three countries (Figures 2-4).

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DISCUSSION

We have shown that estimates of the relative burden of diseases are highly dependent on the methods of calculation used. This is especially so for countries with long life expectancy, and for diseases that preferentially affected the young or elderly. The standardised YPLL estimates were relatively higher for diseases common among younger adults, but smaller in absolute terms in the two countries (USA and Australia) with higher life expectancies; conversely, the standardised YPLL estimates were lower for diseases of the elderly. On account of the reduction in the contribution of deaths in older age groups with YPLL estimates, the relative contribution of the causes in younger adults increased. Similarly, discounting without age weighting increased the contribution of diseases of the elderly to the total burden of disease; while the contributions of diseases of younger adults decreased.

The variations in estimates of the burden of disease can change the relative 'importance' of a disease; such that advocates and researchers interested in promoting research on particular diseases could choose an approach that best supports their cause. In our study, intentional self-harm was the most 'burdensome' of all the 10 diseases in Australia using YPLL estimates, ahead of ischemic heart disease, lung cancer and cerebrovascular disease. However, with the uniform weighted YLL with discount method, intentional self-harm decreased in relevance to the fourth most 'burdensome' disease. On account of this variability, transparency in the selection of appropriate methods is important given that these estimates may be important for the prioritisation of diseases for research funding. Gillum et al.[16] showed a positive correlation between burden of disease (measured using various indicators, including YLL) and the amount of research funding received from the US National Institutes of Health in 2006; although the degree of correlation was less than in 1996.[16]

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The WHO recommends that individual countries should report on their national burden of disease and they have provided resources on their website for these calculations.[17] The resources provided are for YLL, which indicates a tacit preference for this method. Some national agencies, including the Australian Bureau of Statistics and the US CDC, however, estimate the YPLL. Prior to the 2010 Global Burden of Disease (GBD) study, time-based discounting with or without age weighting were utilised[18]. In the 1990[4] and 2004[19] GBD studies, 3% discounting with age weighting was used; while in the 2001 study, [20] 3% discounting without age weighting was used. Several national burden-of-disease studies have continued to include time discounting with or without age weighting;[9–11] while some other studies have utilised neither.[21] Melse et al., in evaluating the burden of disease in the Netherlands, justified their non-utilisation of age weighting and time-based discounting as a practical way of maintaining transparency of figures.[21] Barendregts et al.[22] reported that the addition of age weights to discounted estimates, resulted in ages 0–27 years becoming more important than 9-54 years. Sensitivity analyses have been recommended to determine the implications of including or excluding time-based discounting and age weighting in the burden of disease estimates.[5] Although unweighted YLL without discount generally produced higher burden estimates than the three other methods for all 10 diseases (see Supplementary files 3-5), we have shown in this study that the adjusted values with this method were closer to ageweighted YLL with discount. Both methods yielded results that were consistently between the two extremes of YPLL and uniform weighted YLL with discount (Figures 2–4).

Furthermore, the propriety of age weighting and discounting is a controversial subject and different authors have argued for or against them. Notably, Murray and Acharya opined that age weighting should not be a social construct that is based on our relative desire to take care of children and the elderly, but rather a system premised on how productive an age group is and the need to prioritise their wellbeing.[23] Anand and Hanson argued that all lives are equal

in importance and disagreed that people's lives should be valued in terms of their productivity. They also suggested that discounting and weighting reduces the YLL in females relative to males.[24]

Age weighting attaches different values to life years lived at different ages. Lower weights are usually given to years of healthy life at very young and old ages than for other ages. Timebased discounting is useful in health economics research; it is included in YLL calculation to reflect the preference on life years closer to the present. However, there are sociocultural factors worthy of consideration. For example, the value of a year of life gained now compared to one gained in 10 years will depend on societal perceptions of life. Also, when an economic value is attached to a year of life lost, the total values can differ significantly depending on which method is used to calculate the number of years lost.

Using YPLL to rank prematurity-related mortality also has its drawbacks. It does not account for deaths beyond the life expectancy at birth for the country or beyond an arbitrarily selected cut-off age, essentially assigning no burden to death at older ages. Therefore, reporting YPLL often requires a reference to the age threshold against which the YPLL was calculated. YPLL therefore generally underestimates the years lost to disease common in old age. The gulf between YPLL and YLL estimates can be accentuated in countries with aging populations and ranking can also be tilted in favour of diseases that are common early in life.

This study has several limitations. We have examined the diseases based on the ICD-10 broad categorisation. Therefore our estimations have not examined the diseases at a granular level. Comparing the burden of disease estimation for the individual diseases is complex in the absence of an objective selection process, however we have used three crude age categories to select the diseases.

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 In conclusion, the choice of appropriate metrics of disease burden is important for the prioritisation of research funding. Given the variability in the estimates of the burden of disease with different approaches, there should be transparency regarding the type of metric used and a generally acceptable method that incorporates all the relevant social values should be developed.

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Authors' contributions: OE and NB conceptualised and designed the study. OE and JR analysed the data. OE wrote the initial draft of the manuscript. JR and NB critically reviewed the manuscript. All authors read and approved the final manuscript.

Data sharing statement: Reusable data for calculated burden of disease estimates are available anytime upon request from Dr Oluwaseun Egunsola. Orcid ID: 0000-0002-0500-9501.
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Figure titles and legends

Figure 1: Age distribution of disease mortality in Australia (Dashed line: life expectancy for Australia)

Figure 2: Burden of disease estimates as a proportion of the total burden of disease in Australia. K=1 represents non-uniform age weighting, K=0 represents uniform age weighting, r is the discount rate of 3%.

Figure 3: Burden of disease estimates as a proportion of the total burden of disease in USA.

K=1 represents age weighting, K=0 represents no age weighting, r is the discount rate of 3%.

Figure 4: Burden of disease estimates as a proportion of the total burden of disease in South Africa.

K=1 represents age weighting, K=0 represents no age weighting, r is the discount rate of 3%.

Supplementary files:

Supplementary file 1: Age distribution of disease mortality in South Africa.

Supplementary file 2: Age distribution of disease mortality in USA.

Supplementary file 3: Unstandardized burden of disease estimates (in '000 years) for Australia

Supplementary file 4 Unstandardized burden of disease estimates (in '000 years) for USA using 4 methods

Supplementary file 5: Unstandardized burden of disease estimates (in '000 years) for South Africa using 4 methods

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Burden of disease estimates as a proportion of the total burden of disease in USA

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Supplementary fig 1: Age distribution of disease mortality in South Africa. (Dashed line: Life expectancy)

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Supplementary fig 2: Age distribution of disease mortality in USA. (Dashed line: Life expectancy)

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