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## Emotional disclosure as a therapeutic intervention in palliative care: a scoping review protocol

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## TITLE

**Emotional disclosure as a therapeutic intervention in palliative care: a scoping review protocol**

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For peer review only

## ABSTRACT

### Introduction

Emotional disclosure (ED) is a term used to describe the psychotherapeutic expression of emotion. ED underlies a variety of therapies aimed at improving wellbeing for various populations, including people with palliative-stage disease and their family carers. Systematic reviews of ED-based psychotherapy have largely focused on expressive writing (EW) as a way of generating ED. However, heterogeneity in intervention format and outcome measures has made it difficult to analyse efficacy. There is also debate about the mechanisms proposed to explain the potential effects of ED.

We present a scoping review protocol to develop a taxonomy of ED-based interventions to identify and categorise the spectrum of interventions that could be classified under the umbrella term of 'emotional disclosure' in the palliative care setting. By mapping these to associated treatment objectives, outcome measures and explanatory frameworks, the review will inform future efforts to design and evaluate ED-based therapies in this population.

### Methods and analysis

The review will be guided by Arksey and O'Malley's five-stage scoping review framework and Levac's extension. The following electronic databases will be searched from database inception: CENTRAL, CINAHL, PsycINFO, Scopus, Web of Science and MEDLINE. We will include peer-reviewed studies and reviews. We will also check grey literature, including clinical trial registers, conference proceedings and reference lists, as well as contacting researchers. Articles will be screened by at least two independent reviewers and data charted using an extraction form developed for this review. Results will be analysed thematically to create a taxonomy of interventions, outcome measures and theoretical frameworks.

### Ethics and dissemination

1  
2  
3 This review does not require ethical approval as it is a secondary analysis of pre-existing, published  
4 data. The results will inform future research in the development of ED-based interventions and  
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6 evaluation of their efficacy in the palliative care setting. We will disseminate findings through peer-  
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8 reviewed journals.  
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## 15 16 **ARTICLE SUMMARY**

### 17 18 19 **Strengths and limitations of this study**

- 20  
21 • Despite the heterogeneity of the intervention repeatedly being cited as a limiting factor in  
22 evaluations of intervention efficacy, no previous study that we are aware of has  
23 systematically mapped the variety of ED-based interventions, outcome measures and  
24 theoretical frameworks used in the palliative care setting.  
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- 27 • A rigorous, systematic approach will be applied to searching, screening, extracting and  
28 analysing the literature based on established scoping review methodological approaches.  
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- 31 • There may be challenges in identifying studies due to the differences in terms used to  
32 describe ED-based interventions.  
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- 35 • The review will be limited to studies of adult populations and published in the English  
36 language.  
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- 39 • The studies included in the review will not be appraised for methodological quality as this is  
40 outside the remit of scoping review methodology.  
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### 49 50 **KEY WORDS**

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53 Emotional disclosure; expressive writing; psychotherapy; palliative care; advanced disease  
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## INTRODUCTION

People living with a terminal illness often experience significant psychological, emotional and physical discomfort.(1,2) Family carers are also likely to experience psychological distress during and after supporting a relative through advanced illness.(2–4) Palliative care services aim to holistically address these physical, psychological and other needs of patients with advanced, life-limiting illness.(5) Psychotherapies form one important element of this palliative treatment approach.(6–8) However, access to such therapies in this setting is restricted by issues arising from limited availability of qualified professionals and evidence-based interventions well-adapted to the population.(9,10) Funding also poses a potential challenge, with a 2015 survey of UK hospices identifying significant concerns over freezing or reduction of statutory funding, and warning of its adverse effects on hospice services.(11,12) Furthermore, guidelines for the provision of psychological services in this population are limited. The National Institute of Health and Care Excellence (NICE) last published guidance on structuring psychological support in palliative care for adults in 2004 and only in cancer. It is not clear how widely these guidelines have been followed.(9,13) Indeed, a recent survey of clinical psychologists working in UK hospices indicated there is significant variability in how psychological support is provided.(9) Palliative care physicians also report limited access to psychological services for patients.(14) Taken together, this suggests that palliative care services might benefit from access to simple interventions that can be delivered by non-specialist health care practitioners, and with the potential for volunteer involvement. This could supplement the support offered by specialist practitioners to clinically distressed patients with complex needs.

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3 Emotional disclosure (ED) is a term used to describe the therapeutic expression of emotions. This  
4  
5 flexible therapy holds potential to be harnessed as a relatively low-cost, simple intervention in  
6  
7 certain formats, such as expressive writing.(15) Its flexibility also means it could be adapted to the  
8  
9 specific needs of patients at a palliative stage of disease, for example, by modifying the method used  
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11 to generate emotional expression (for example, typing or spoken disclosure). ED has long been a  
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13 critical concept associated with the talk-based psychotherapies pioneered by Freud in the early  
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15 1900s.(15) However, rigorous research into the concept and associated therapies was relatively  
16  
17 scant until the 1980s.(15) In 1986, Pennebaker and Beall introduced their influential Expressive  
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19 Writing (EW) intervention, which was designed to generate ED and led to a rapid expansion of  
20  
21 research into this topic.(15,16) EW typically involves participants writing about their emotions  
22  
23 associated with a traumatic experience for 15-20 minutes over 3-5 consecutive days. Since the  
24  
25 introduction of Pennebaker and Beall's EW intervention, the format has been widely adapted to  
26  
27 explore the boundary conditions of the intervention.(16–19) For example, tasks to induce ED have  
28  
29 included writing about positive emotions or future goals, or spoken disclosure.(18–23) Poetry  
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31 therapy is also recognised as an adaptation of the original EW paradigm.(22,24) Moreover, ED is  
32  
33 recognised as a fundamental part of other forms of psychotherapy, such as music therapy(25) and  
34  
35 art therapy.(26) In recent times, patients and family members are increasingly turning to blogging,  
36  
37 social media and chatroom sites to disclose emotions around their experiences of their illness.(27–  
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49 The existence of numerous formats of ED-based therapies and behaviours complicates the process  
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51 of exploring if and how such interventions might work. Yet, a clear understanding of the mechanisms  
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53 linking cause and effect is considered fundamental to the development and study of complex  
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55 interventions.(30,31) In the case of ED, there is unlikely to be a single underlying process, rather a  
56  
57 framework of interacting mechanisms.(19,32,33) Processes that have been proposed to explain EW  
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3 have been reviewed and include emotional inhibition, cognitive adaptation, exposure and emotional  
4 regulation,(19,32,33) yet no consensus has been reached on a unifying framework. Different  
5  
6 methods of generating ED are also likely to invoke different or overlapping processes. For instance,  
7  
8 disclosure through EW may employ mechanisms related to language processing(34–36) and ED  
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10 through art may function through sensory and motor processes.(37) Disclosure via online forums is  
11  
12 likely to involve many of the mechanisms involved in social support.(38–40) Moreover, the  
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14 therapeutic setting may influence which cognitive processes are initiated by ED. For instance, for  
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16 patients at the palliative stage of disease, the potential effects of ED may be mediated by  
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18 mechanisms related to meaning, control or closure in ways that may not occur in a healthy  
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20 population.(41,42)  
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28 Mirroring the unclear processes underlying ED, the efficacy of ED-based therapies remains  
29  
30 uncertain. In general populations, a meta-analysis of 146 studies identified a small but significant  
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32 positive effect of ED-based interventions on both physical and psychological health outcomes in  
33  
34 healthy populations.(43) It has been suggested that moderators, such as demographic, personality,  
35  
36 and existing emotional support, are also likely to influence the efficacy of ED-based therapies,  
37  
38 highlighting the importance of targeting and tailoring such interventions.(43) In people receiving  
39  
40 palliative care, evidence of efficacy also remains unclear. Much of the literature is focused on EW  
41  
42 and reports mixed results. A recent systematic review and meta-analysis of four randomised  
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44 controlled trials (RCTs) that examined EW in patients with advanced disease found it did not have a  
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46 significant effect on any of the selected health-related outcomes.(44) However, the review also  
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48 reported more promising results from studies that conducted linguistic analyses of EW in this  
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50 population; these analyses identified that use of certain words, such as positive emotion words, was  
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52 related to better emotional wellbeing.(45) They also found EW writers used more cognitive words  
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54 associated with causal understanding, which the authors reported suggested cognitive changes.(46)  
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60 Other related reviews have also uncovered mixed results. A systematic review of EW in patients with

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3 breast cancer (irrespective of stage) found the intervention reduced negative somatic symptoms at  
4 a 3-month follow-up, although it had no effect on psychological outcome measures.(47) Another  
5 review(48) assessing EW in a variety of cancers of all stages reported 6 of 13 studies as finding  
6 statistically significant, small to moderate benefits of EW on energy and sleep patterns,(49)  
7 depressive(50) and physical symptoms,(50,51) emotional support,(52) pain,(53) uptake of mental  
8 health services,(54) and healthcare utilisation.(51) However, each of the 13 studies also reported  
9 some null effects, and the authors were unable to conduct a meta-analysis due to the heterogeneity  
10 of interventions and outcome measures used across the studies. A further review of EW in cancer  
11 populations found no evidence of EW efficacy on psychological, physical or quality of life  
12 outcomes,(55) whilst a review of therapeutic writing in patients with long-term illnesses also found  
13 no effects.(22) None of the studies of EW in populations with advanced disease reported significant  
14 negative effects, with the exception of Low et al. (2010), who found women who had been  
15 diagnosed with metastatic cancer for  $\geq 4.7$  years exhibited greater sleep disturbance following EW,  
16 whereas those more recently diagnosed did not.

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19 Such mixed results are also characteristic of other ED-based interventions in clinical populations. A  
20 preliminary scoping of the literature indicates that reviews of studies of explicitly ED-based  
21 interventions in the palliative care setting are lacking, individual studies have identified some  
22 benefits in this population. For instance, Kissane et al. (2016) found supportive-expressive group  
23 therapy improved quality of life and treatment of depression in women with metastatic breast  
24 cancer.(56) Whilst Clements-Cortes (2004) found patients with a terminal illness were able to  
25 decrease depressive symptoms and social isolation and enhance relaxation by expressing their  
26 emotions through music therapy.(57) Indeed, a recent review of music therapy in patients receiving  
27 palliative care found it had a positive effect on pain, fatigue, anxiety and quality of life.(58) Given the  
28 heterogeneity of interventions included in the review, however, not all included interventions were  
29 necessarily designed specifically to evoke ED. Reviews of ED-based interventions in clinical  
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3 populations with serious (although not explicitly palliative-stage) disease have identified mixed  
4 results. A systematic review of 52 trials reported that music interventions may have beneficial  
5 effects on anxiety, pain, fatigue and quality-of-life for people with cancer (of all stages), but that  
6 results were inconsistent across trials.(59) They also noted that, when asked, participants said they  
7 valued the opportunity for emotional expression and processing offered by the therapy. A further  
8 systematic review of Creative Psychological Interventions (CPIs), which encompass the use of music,  
9 art, drama and dance/movement to express and process thoughts and emotions, found evidence of  
10 psychological but not physical benefits of CPIs across ten trials in cancer patients.(60) Similarly, ED-  
11 based interventions in bereaved family members have also demonstrated mixed results,(61,62)  
12 although they have been less widely studied in this group than in patients.  
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28 Whilst the efficacy of ED-based therapies in the palliative care setting remains uncertain, current  
29 reviews recommend further research to assess the true efficacy of each  
30 intervention.(22,44,47,48,55,59,60) This is due to a number of limitations of the current literature.  
31 First, current reviews are significantly limited by the heterogeneity of the format of interventions  
32 and outcome measures used across the studies they are reviewing.(22,44,47,48,55,59,60) Second,  
33 and tellingly, qualitative interviews show participants find certain interventions valuable, even  
34 where null effects are captured by quantitative measures.(48,59) This suggests current studies are  
35 not necessarily investigating the outcome measures that convey the benefit experienced by  
36 patients, which may be more abstract or existential in nature, particularly in patients with a  
37 palliative-stage disease.(48,63) Third, authors have noted a lack of effort to tailor interventions to  
38 the specific needs of people with advanced or terminal illness.(22,44,48) This could encompass, for  
39 example, offering audio recorded disclosure as an alternative to written disclosure in EW studies, as  
40 some patients may lose the ability to write. Finally, methodological quality of studies included in the  
41 systematic reviews has been largely graded as low, with limitations due to sample size,  
42 methodological features such as lack of randomisation and data reporting. In light of these  
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3 significant limitations to existing research, future studies should aim to address these shortfalls. The  
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5 broad nature of therapeutic ED, however, makes future research design challenging. This is due, in  
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7 part, to the significant overlap of terms being used to describe various interventions, and a lack of  
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9 clarity on the most appropriate format and outcome measures and underlying mechanisms.  
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## 14 **Objectives**

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19 Through the flexible yet systematic process afforded by scoping review methodology,(64–66) this  
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21 review will develop a taxonomy of ED-based interventions used in the palliative care setting, for  
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23 people with advanced diseases and their family carers. The taxonomy will identify, categorise and  
24  
25 define classes of intervention that fall under the umbrella term of ‘emotional disclosure’. In line with  
26  
27 recommendations for complex intervention design, we will also map classes of intervention to  
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29 underlying mechanisms, appropriate treatment objectives and outcome measures.(30,31) The  
30  
31 taxonomy will provide researchers with a framework to inform the design of future studies of ED-  
32  
33 based interventions, by guiding selection of intervention format and outcome measures. Moreover,  
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35 the taxonomy will map intervention efficacy, along with any reported facilitators and barriers, to  
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37 intervention format, to help draw out potential mechanisms of action. If researchers use the  
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39 taxonomy to inform study design, this should in turn lay the groundwork for more informative  
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41 systematic reviews of ED-based interventions. Given the unique physical, psychological and  
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43 emotional position of patients at the palliative stage of disease and their family carers, the scope of  
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45 this review will be limited to research conducted in the palliative care setting to ensure the findings  
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47 are applicable to the population of interest. However, the findings could also provide a springboard  
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49 for attempts to develop a taxonomy for ED-based interventions in other populations.  
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## METHODS AND ANALYSIS

The scoping review methodology allows explorative, yet systematic, investigation of the heterogeneous literature on ED-based therapies.(64–66) This protocol is guided by the standard framework proposed by Arksey and O’Malley(65) and expanded by Levac and colleagues(64) and the Joanna Briggs Institute.(66) These guidelines recommend organising the scoping review process into at least five stages, with an optional sixth stage:

- Stage 1. Identifying the research question(s) - complete
- Stage 2. Identifying relevant studies
- Stage 3. Study selection
- Stage 4. Charting the data
- Stage 5. Collating, summarising and reporting the results
- Stage 6. Consultation

The protocol has also been developed in line with scoping review best practice, as summarised in the completed Preferred Reporting Items for Systematic Reviews and Meta-Analyses for Protocols and Scoping Reviews (PRISMA-ScR) included in supplementary file 1. Table 1 summarises the proposed timescale for the review.

	Month (2019)									
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct →
<b>Stage 1.</b> Identifying research question and writing protocol										
<b>Stage 2.</b> Identifying relevant studies (search)										
<b>Stage 3.</b> Study selection (screening)										
<b>Stage 4.</b> Data charting										
<b>Stage 5.</b> Collating,										

summarising and reporting results	
<b>Stage 6. Consultation</b>	<i>Throughout process at key stages (protocol development, screening, collating results)</i>

**Table 1. Timeline for protocol and scoping review**

### **Stage 1: Identifying the research question**

To meet the objectives of the review, as outlined above, we will seek to thematically analyse insights from the following research questions:

- Which psychotherapeutic interventions delivered in patients at the palliative stage of disease and their family carers are categorised as, or explicitly grounded in, principles of ED? E.g. What format are the interventions, how often are they delivered and by whom?
- What are the primary objectives of ED-based interventions delivered in this setting? For example, to enhance overall quality of life, physical or psychological health.
- What outcome measures are used to assess the efficacy of ED-based interventions in this setting?
- What theoretical frameworks are used to explain the mechanisms underlying ED-based interventions in this setting?

### **Stage 2: Identifying relevant studies**

The following inclusion criteria were developed in collaboration with key stakeholders, including physicians, psychologists and supportive care service managers involved in the provision of palliative care. Throughout the screening and data extraction process the criteria will be discussed within the research team and updated where necessary to ensure all relevant literature is being captured.(64)

- **Studies must use or make reference to a psychotherapeutic intervention that the authors state:**

- involves 'emotional disclosure' or involves a task that requires participants to express or communicate feelings or emotions
  - as a core or critical element of the therapy
  - and that aims to improve some aspect of patient or carer wellbeing.
- **Articles published in the English language** (to prevent issues with intricacies of translation interfering with an effective definition of key terms).
  - **The majority of the population of interest are adult participants (aged 18 and above):**
    - With a diagnosis of an advanced disease (e.g. end-stage organ failure or advanced/metastatic/incurable cancers), and/or being explicitly treated with a palliative intent OR
    - Family carers of patients at a palliative stage of a disease.
    - Based on previous related reviews(44) that indicated that few studies meet these criteria, samples which included >50% patients with advanced-stage disease will also be included.
  - **All types of original research from within the peer-reviewed medical and nursing, psychological and social science literature will be included**, including RCTs, comparative studies (e.g. non-randomised experiments, before-and-after studies), qualitative studies, case studies, ethnographies and diary studies.
  - **Peer-reviewed conference abstracts** of papers not published in full will also be included if they are sufficiently detailed.
  - **Review articles** that discuss ED as a psychotherapeutic intervention and make explicit mention of its use in the palliative care setting (or in patients with advanced disease and/or their family carers), including: systematic reviews, meta-analyses, meta-syntheses, scoping reviews, narrative reviews, rapid reviews, critical reviews and integrative reviews, opinion pieces, commentaries and editorial reviews.

#### **Exclusion criteria**

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3 The following resources will be excluded from data extraction and analysis:  
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- 6 • Studies with tasks that were not designed to be emotionally expressive, or which do not list ED  
7 (or similar) as a key feature of the intervention  
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- 9 • Non peer-reviewed sources (e.g. some book chapters and dissertations/theses); however, we  
10 will scan reference lists of relevant resources, and/or contact authors where appropriate  
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16 No date limits will be applied to the searches, in order to capture the breadth of ED therapy delivery  
17 beyond the introduction of the well-cited EW paradigm in 1986.(16)  
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## 20 21 **Databases**

22  
23 The following electronic databases will be searched from database inception to March 2019: the  
24 Cumulative Index to Nursing and Allied Health Literature (CINAHL), Cochrane Central Register of  
25 Controlled Trials (CENTRAL), PsycINFO, Scopus, Web of Science and MEDLINE. We will also check the  
26 European Union Clinical Trials Register, clinicaltrials.gov, the European Association for Palliative Care  
27 (EAPC) and British Psychological Society (BPS) conference abstract proceedings for the last seven  
28 (2012-2018) and 17 years (2001 – 2018) respectively. Additionally, we will check the reference lists  
29 of relevant studies, review articles, book chapters and theses to identify further relevant citations.  
30 Finally, we will contact researchers who have expressed an interest in the field, via a research list  
31 compiled by the BPS, to ask if they are aware of any studies that may be relevant to this review. In  
32 case of uncertainty, authors of relevant studies will be approached to clarify whether studies meet  
33 the inclusion criteria for this review.  
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## 49 **Search strategy**

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51 The search strategy is based on an earlier systematic review exploring EW as a psychotherapeutic  
52 intervention in patients with advanced disease.(44) The search terms have been expanded to  
53 capture ED-based therapies more broadly, as well as including terms for advanced disease and  
54 palliative care. See supplementary file 2 for an example search strategy used in the Ovid MEDLINE  
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3 database that will be modified for each database, utilising keywords, MeSH terms and Boolean  
4  
5 operators as appropriate. As per Levac and colleagues' (64) recommendation for an iterative search  
6  
7 strategy development process, we will review the search criteria throughout the screening process  
8  
9  
10 to update and expand the search if required.

### 11 12 13 **Stage 3: Study selection**

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15 The research team will meet to discuss preliminary inclusion and exclusion criteria during the  
16  
17 protocol development phase. At least two reviewers will independently screen citations for inclusion  
18  
19 to full article review stage. Reviewers will meet at the beginning, midpoint and final stages of the  
20  
21 abstract review process to discuss challenges and uncertainties related to study selection, and to  
22  
23 refine the search strategy and inclusion criteria if needed. Full article review will also be carried out  
24  
25 independently by two researchers for articles which meet the inclusion criteria, or have unclear  
26  
27 relevance during the screening phase. Where disagreements arise around inclusion, a third reviewer  
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29 will be consulted to resolve disputes.  
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### 33 34 **Stage 4: Charting the data**

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36 The research team will collectively develop the data-charting form based on the variables most  
37  
38 relevant to the research questions. The form will be piloted using five articles, and the process and  
39  
40 data-fields discussed between the research team prior to conducting the full data extraction  
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42 procedure. Following full data extraction, the data from each independent reviewer will be  
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44 compared and any discrepancies discussed to achieve consistency between reviewers.  
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48 A preliminary data extraction framework has been developed, tailored to answer each of the pre-  
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50 defined research questions. Along with basic bibliographic information, information will be extracted  
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52 about the study design, patient population, intervention characteristics, intervention objectives,  
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54 outcome measures, underlying theoretical frameworks, intervention efficacy and proposed rationale  
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56 for efficacy.  
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## Stage 5: Collating, summarising and reporting the results

As per the guidelines of Levac and colleagues,(64) this stage will be conducted in three phases:

- 1) Analysis: to include both descriptive, quantitative analysis (e.g. number of relevant studies within each intervention type; sample demographics) and qualitative thematic analysis (to explore how different ED-based interventions may be classified by format, objectives and/or other characteristics to inform the taxonomy)
- 2) Reporting the results of the analysis and producing the outcomes that refer to the study's research question(s)
- 3) Considering the meanings of the findings as they relate to the overall study purpose, and discussing the implications for future research, practice and/or policy

Thematic analysis will be applied to understand the core, defining characteristics of each ED-based intervention.(67) From this analysis, we will work to develop a taxonomy of ED-based interventions. Thematic analysis of the objectives, outcomes and mechanisms will also be conducted, to enable us to map them onto intervention types. Exploratory analysis of facilitators, barriers and efficacy of specific interventions will also be conducted. We will examine whether there are any specific types of intervention that appear in studies using robust designs (e.g. RCTs) to produce higher proportions of positive outcomes associated with specific outcome measures. We will also examine whether these patterns of efficacy are related to specific facilitators or barriers. The aim of this analysis will be to provide an indication of the reported efficacy and setting-specific requirements, with the intention of providing insights into the most useful direction for future work.

Results will be reported as tables, graphs and descriptive themes as appropriate. As well as reporting a taxonomy of ED-based interventions in the palliative care context, we will also discuss how it can be used to help guide future research into and implementation of ED-based psychotherapy in this setting.

## Stage 6: Consultation

Although not mandated by the Arksey and O'Malley(65) framework, in the extension developed by Levac and colleagues'(64) consultation with key stakeholders who may provide insights beyond the literature is essential. The research team who contributed to the development of this protocol includes a range of key stakeholders who will be engaged throughout the review process (including a palliative care consultant, a psychiatrist and researchers with expertise in EW, palliative care research and systematic review processes). An advisory group will also be consulted throughout the review process, including protocol development, results analysis and development of resulting conclusions and recommendations. The group includes health psychologist (Dr Nick Troop), clinical psychologist (Dr Penny Rapaport), former patient carer (Mr Peter Buckle), and evidence synthesis methodologist and palliative care nurse (Dr Kate Flemming).

## PATIENT AND PUBLIC INVOLVEMENT

As described in Stage 6 (Consultation), Mr Peter Buckle is a member of the advisory group. Peter has lived experience of caring for his wife throughout her terminal illness. He is a member of the Marie Curie Research Expert Voices Group, a group of volunteers with personal experiences of living with terminal illness who support Marie Curie's research activities. Peter's insights will be used to throughout the review process, including development of the research protocol, results analysis and dissemination.

## ETHICS AND DISSEMINATION

We present a protocol for a comprehensive and rigorous scoping review of ED-based interventions used in patients and family carers in the palliative care setting. Ethics approval is not required since the study involves only secondary analysis of data that has already been collected. The results will be disseminated through traditional routes, including peer-reviewed journals, local and international conferences on palliative care and health psychology, and press releases, social media and blogs as

appropriate. Through effective dissemination, the results of the review should help to inform more effective development, study and review of ED-based therapies in this patient population.

## DATA AVAILABILITY

This is a review of published or open access literature.

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## AUTHOR STATEMENT

DM contributed to the conception and design of the study, developed and tested the search strategy and inclusion criteria, and drafted the protocol. BC, NK, PS, KA and JC contributed to the conception and design of the protocol, specifically providing insight into the rationale, search strategy and



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3 inclusion criteria refinement, and critical review of the manuscript for clarity and intellectual  
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## 45 **COMPETING INTERESTS STATEMENT**

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48 None declared.  
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## 51 **LICENSING INFORMATION**

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For peer review only

## Supplementary file 1. Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) Checklist

SECTION	ITEM	PRISMA-ScR CHECKLIST ITEM	REPORTED ON PAGE #
<b>TITLE</b>			
Title	1	Identify the report as a scoping review.	1,2
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary that includes (as applicable): background, objectives, eligibility criteria, sources of evidence, charting methods, results, and conclusions that relate to the review questions and objectives.	3-4
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known. Explain why the review questions/objectives lend themselves to a scoping review approach.	5-9
Objectives	4	Provide an explicit statement of the questions and objectives being addressed with reference to their key elements (e.g., population or participants, concepts, and context) or other relevant key elements used to conceptualize the review questions and/or objectives.	10,12
<b>METHODS</b>			
Protocol and registration	5	Indicate whether a review protocol exists; state if and where it can be accessed (e.g., a Web address); and if available, provide registration information, including the registration number.	N/A
Eligibility criteria	6	Specify characteristics of the sources of evidence used as eligibility criteria (e.g., years considered, language, and publication status), and provide a rationale.	12-14
Information sources*	7	Describe all information sources in the search (e.g., databases with dates of coverage and contact with authors to identify additional sources), as well as the date the most recent search was executed.	14
Search	8	Present the full electronic search strategy for at least 1 database, including any limits used, such that it could be repeated.	Supplementary file 2
Selection of sources of evidence†	9	State the process for selecting sources of evidence (i.e., screening and eligibility) included in the scoping review.	15
Data charting process‡	10	Describe the methods of charting data from the included sources of evidence (e.g., calibrated forms or forms that have been tested by the team before their use, and whether data charting was done independently or in duplicate) and any processes for obtaining and confirming data from investigators.	15
Data items	11	List and define all variables for which data were sought and any assumptions and simplifications made.	12-14
Critical appraisal of individual sources of evidence§	12	If done, provide a rationale for conducting a critical appraisal of included sources of evidence; describe the methods used and how this information was used in any data synthesis (if appropriate).	N/A

SECTION	ITEM	PRISMA-ScR CHECKLIST ITEM	REPORTED ON PAGE #
Synthesis of results	13	Describe the methods of handling and summarizing the data that were charted.	15-16
<b>RESULTS</b>			
Selection of sources of evidence	14	Give numbers of sources of evidence screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally using a flow diagram.	N/A
Characteristics of sources of evidence	15	For each source of evidence, present characteristics for which data were charted and provide the citations.	N/A
Critical appraisal within sources of evidence	16	If done, present data on critical appraisal of included sources of evidence (see item 12).	N/A
Results of individual sources of evidence	17	For each included source of evidence, present the relevant data that were charted that relate to the review questions and objectives.	N/A
Synthesis of results	18	Summarize and/or present the charting results as they relate to the review questions and objectives.	N/A
<b>DISCUSSION</b>			
Summary of evidence	19	Summarize the main results (including an overview of concepts, themes, and types of evidence available), link to the review questions and objectives, and consider the relevance to key groups.	N/A
Limitations	20	Discuss the limitations of the scoping review process.	N/A
Conclusions	21	Provide a general interpretation of the results with respect to the review questions and objectives, as well as potential implications and/or next steps.	N/A
<b>FUNDING</b>			
Funding	22	Describe sources of funding for the included sources of evidence, as well as sources of funding for the scoping review. Describe the role of the funders of the scoping review.	23

JB1 = Joanna Briggs Institute; PRISMA-ScR = Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews.

\* Where *sources of evidence* (see second footnote) are compiled from, such as bibliographic databases, social media platforms, and Web sites.

† A more inclusive/heterogeneous term used to account for the different types of evidence or data sources (e.g., quantitative and/or qualitative research, expert opinion, and policy documents) that may be eligible in a scoping review as opposed to only studies. This is not to be confused with *information sources* (see first footnote).

‡ The frameworks by Arksey and O'Malley (6) and Levac and colleagues (7) and the JBI guidance (4, 5) refer to the process of data extraction in a scoping review as data charting.

§ The process of systematically examining research evidence to assess its validity, results, and relevance before using it to inform a decision. This term is used for items 12 and 19 instead of "risk of bias" (which is more applicable to systematic reviews of interventions) to include and acknowledge the various sources of evidence that may be used in a scoping review (e.g., quantitative and/or qualitative research, expert opinion, and policy document).

Adapted from: Tricco AC, Lillie E, Zarin W, O'Brien KK, Colquhoun H, Levac D, et al. PRISMA Extension for Scoping Reviews (PRISMA-ScR): Checklist and Explanation. *Ann Intern Med.* ;169:467–473. doi: 10.7326/M18-0850

**Supplementary file 2. Search strategy for Ovid PsycINFO: 1806 to December 2018**

1 exp Emotions/ (300490)  
2 emotion\* (382265)  
3 feeling\* (103050)  
4 1 OR 2 OR 3 (598583)

\*\*\*\*\*

5 Palliative Care/ (11016)  
6 (palliat\* or terminal\* or endstage or hospice\* or metasta\* or (end adj3 life) or  
7 (care adj3 dying) or ((advanced or late or last or end or final) adj3 (stage\* or  
8 phase\*))).tw. (54673)  
9 5 OR 6 (54847)

\*\*\*\*\*

10 (disclos\* or express\* or communicat\* or talk\* or speak\* or spoke\* or writ\* or  
11 draw\* or sing\*).mp. (1005983)

\*\*\*\*\*

12 4 AND 7 AND 8 (2575)

\*\*\*\*\*

13 Apply filter: Humans (2278)  
14 Apply filter: Adulthood (18+ years) (1320)

# BMJ Open

## Emotional disclosure as a therapeutic intervention in palliative care: a scoping review protocol

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-031046.R1
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<b>Primary Subject Heading</b>:	Palliative care
Secondary Subject Heading:	Mental health, Oncology, Qualitative research
Keywords:	Emotional disclosure, Expressive writing, Psychotherapy, Advanced disease, PALLIATIVE CARE

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Manuscripts

## TITLE

**Emotional disclosure as a therapeutic intervention in palliative care: a scoping review protocol**

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For peer review only



## ABSTRACT

### Introduction

Emotional disclosure (ED) is a term used to describe the psychotherapeutic expression of emotion. ED underlies a variety of therapies aimed at improving wellbeing for various populations, including people with palliative-stage disease and their family carers. Systematic reviews of ED-based psychotherapy have largely focused on expressive writing (EW) as a way of generating ED. However, heterogeneity in intervention format and outcome measures has made it difficult to analyse efficacy. There is also debate about the mechanisms proposed to explain the potential effects of ED.

We present a scoping review protocol to develop a taxonomy of ED-based interventions to identify and categorise the spectrum of interventions that could be classified under the umbrella term of 'emotional disclosure' in the palliative care setting. By mapping these to associated treatment objectives, outcome measures and explanatory frameworks, the review will inform future efforts to design and evaluate ED-based therapies in this population.

### Methods and analysis

The review will be guided by Arksey and O'Malley's five-stage scoping review framework and Levac's extension. The following electronic databases will be searched from database inception: CENTRAL, CINAHL, PsycINFO, Scopus, Web of Science and MEDLINE. We will include peer-reviewed studies and reviews. We will also check grey literature, including clinical trial registers, conference proceedings and reference lists, as well as contacting researchers. Articles will be screened by at least two independent reviewers and data charted using an extraction form developed for this review. Results will be analysed thematically to create a taxonomy of interventions, outcome measures and theoretical frameworks.

### Ethics and dissemination

1  
2  
3 This review does not require ethical approval as it is a secondary analysis of pre-existing, published  
4 data. The results will inform future research in the development of ED-based interventions and  
5  
6 evaluation of their efficacy in the palliative care setting. We will disseminate findings through peer-  
7  
8 reviewed journals.  
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## 15 16 ARTICLE SUMMARY

### 17 18 19 Strengths and limitations of this study

- 20  
21 • Despite the heterogeneity of the intervention repeatedly being cited as a limiting factor in  
22 evaluations of intervention efficacy, no previous study that we are aware of has  
23 systematically mapped the variety of ED-based interventions, outcome measures and  
24 theoretical frameworks used in the palliative care setting.  
25  
26
- 27 • A rigorous, systematic approach will be applied to searching, screening, extracting and  
28 analysing the literature based on established scoping review methodological approaches.  
29  
30
- 31 • There may be challenges in identifying studies due to the differences in terms used to  
32 describe ED-based interventions.  
33  
34
- 35 • The review will be limited to studies of adult populations and published in the English  
36 language.  
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- 39 • The studies included in the review will not be appraised for methodological quality as this is  
40 outside the remit of scoping review methodology.  
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### 50 51 KEY WORDS

52  
53 Emotional disclosure; expressive writing; psychotherapy; palliative care; advanced disease  
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## INTRODUCTION

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7 People living with a terminal illness often experience significant psychological, emotional and  
8  
9 physical discomfort.(1,2) Family carers are also likely to experience psychological distress during and  
10  
11 after supporting a relative through advanced illness.(2–4) Palliative care services aim to holistically  
12  
13 address the physical, psychological and other needs of patients with advanced, life-limiting illness.(5)  
14  
15 Psychotherapies form one important element of this palliative treatment approach.(6–8) However,  
16  
17 access to such therapies in this setting is restricted by issues arising from limited availability of  
18  
19 qualified professionals and evidence-based interventions well-adapted to the population.(9,10)  
20  
21 Funding also poses a potential challenge, with a 2015 survey of UK hospices identifying significant  
22  
23 concerns over freezing or reduction of statutory funding, and warning of its adverse effects on  
24  
25 hospice services.(11,12) Furthermore, guidelines for the provision of psychological services in this  
26  
27 population are limited. The National Institute of Health and Care Excellence (NICE) last published  
28  
29 guidance on structuring psychological support in palliative care for adults in 2004 and only in cancer.  
30  
31 It is not clear how widely these guidelines have been followed.(9,13) Indeed, a recent survey of  
32  
33 clinical psychologists working in UK hospices indicated there is significant variability in how  
34  
35 psychological support is provided.(9) Palliative care physicians also report limited access to  
36  
37 psychological services for patients.(14) Taken together, this suggests that palliative care services  
38  
39 might benefit from access to simple interventions that can be delivered by non-specialist health care  
40  
41 practitioners, and with the potential for volunteer involvement. This could supplement the support  
42  
43 offered by specialist practitioners to clinically distressed patients with complex needs.  
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53 Emotional disclosure (ED) is a term used to describe the therapeutic expression of emotions. This  
54  
55 flexible therapy holds potential to be harnessed as a relatively low-cost, simple intervention in  
56  
57 certain formats, such as expressive writing.(15) Its flexibility also means it could be adapted to the  
58  
59 specific needs of patients at a palliative stage of disease, for example, by modifying the method used  
60

1  
2  
3 to generate emotional expression (for example, typing or spoken disclosure). ED has long been a  
4  
5 critical concept associated with the talk-based psychotherapies pioneered by Freud in the early  
6  
7 1900s.(15) However, rigorous research into the concept and associated therapies was relatively  
8  
9 scant until the 1980s.(15) In 1986, Pennebaker and Beall introduced their influential Expressive  
10  
11 Writing (EW) intervention, which was designed to generate ED and led to a rapid expansion of  
12  
13 research into this topic.(15,16) EW typically involves participants writing about their emotions  
14  
15 associated with a traumatic experience for 15-20 minutes over 3-5 consecutive days. Since the  
16  
17 introduction of Pennebaker and Beall's EW intervention, the format has been widely adapted to  
18  
19 explore the boundary conditions of the intervention.(16–19) For example, tasks to induce ED have  
20  
21 included writing about positive emotions or future goals, or spoken disclosure.(18–23) Poetry  
22  
23 therapy is also recognised as an adaptation of the original EW paradigm.(22,24) Moreover, ED is  
24  
25 recognised as a fundamental part of other forms of psychotherapy, such as music therapy(25) and  
26  
27 art therapy.(26) In recent times, patients and family members are increasingly turning to blogging,  
28  
29 social media and chatroom sites to disclose emotions around their experiences of their illness.(27–  
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31 29)

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40 The existence of numerous formats of ED-based therapies and behaviours complicates the process  
41  
42 of exploring if and how such interventions might work. Yet, a clear understanding of the mechanisms  
43  
44 linking cause and effect is considered fundamental to the development and study of complex  
45  
46 interventions.(30,31) In the case of ED, there is unlikely to be a single underlying process, rather a  
47  
48 framework of interacting mechanisms.(19,32,33) Processes that have been proposed to explain EW  
49  
50 have been reviewed and include emotional inhibition, cognitive adaptation, exposure and emotional  
51  
52 regulation,(19,32,33) yet no consensus has been reached on a unifying framework. Different  
53  
54 methods of generating ED are also likely to invoke different or overlapping processes. For instance,  
55  
56 disclosure through EW may employ mechanisms related to language processing(34–36) and ED  
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3 through art may function through sensory and motor processes.(37) Disclosure via online forums is  
4 likely to involve many of the mechanisms involved in social support.(38–40) Moreover, the  
5 therapeutic setting may influence which cognitive processes are initiated by ED. For instance, for  
6 patients at the palliative stage of disease, the potential effects of ED may be mediated by  
7 mechanisms related to meaning, control or closure in ways that may not occur in a healthy  
8 population.(41,42)

19 Mirroring the unclear processes underlying ED, the efficacy of ED-based therapies remains  
20 uncertain. In general populations, a meta-analysis of 146 studies identified a small but significant  
21 positive effect of ED-based interventions on both physical and psychological health outcomes in  
22 healthy populations.(43) It has been suggested that moderators, such as demographic, personality,  
23 and existing emotional support, are also likely to influence the efficacy of ED-based therapies,  
24 highlighting the importance of targeting and tailoring such interventions.(43) In people receiving  
25 palliative care, evidence of efficacy also remains unclear. Much of the literature is focused on EW  
26 and reports mixed results. A recent systematic review and meta-analysis of four randomised  
27 controlled trials (RCTs) that examined EW in patients with advanced disease found it did not have a  
28 significant effect on any of the selected health-related outcomes.(44) However, the review also  
29 reported more promising results from studies that conducted linguistic analyses of EW in this  
30 population; these analyses identified that use of certain words, such as positive emotion words, was  
31 related to better emotional wellbeing.(45) They also found EW writers used more cognitive words  
32 associated with causal understanding, which the authors reported suggested cognitive changes.(46)  
33 Other related reviews have also uncovered mixed results. A systematic review of EW in patients with  
34 breast cancer (irrespective of stage) found the intervention reduced negative somatic symptoms at  
35 a 3-month follow-up, although it had no effect on psychological outcome measures.(47) Another  
36 review(48) assessing EW in a variety of cancers of all stages reported 6 of 13 studies as finding  
37 statistically significant, small to moderate benefits of EW on energy and sleep patterns,(49)

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2  
3 depressive(50) and physical symptoms,(50,51) emotional support,(52) pain,(53) uptake of mental  
4 health services,(54) and healthcare utilisation.(51) However, each of the 13 studies also reported  
5  
6 some null effects, and the authors were unable to conduct a meta-analysis due to the heterogeneity  
7  
8 of interventions and outcome measures used across the studies. A further review of EW in cancer  
9  
10 populations found no evidence of EW efficacy on psychological, physical or quality of life  
11  
12 outcomes,(55) whilst a review of therapeutic writing in patients with long-term illnesses also found  
13  
14 no effects.(22) None of the studies of EW in populations with advanced disease reported significant  
15  
16 negative effects, with the exception of Low et al. (2010), who found women who had been  
17  
18 diagnosed with metastatic cancer for  $\geq 4.7$  years exhibited greater sleep disturbance following EW,  
19  
20 whereas those more recently diagnosed did not.  
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28 Such mixed results are also characteristic of other ED-based interventions in clinical populations. A  
29  
30 preliminary scoping of the literature indicates that reviews of studies of explicitly ED-based  
31  
32 interventions in the palliative care setting are lacking. However, individual studies have identified  
33  
34 some benefits in this population. Kissane et al. (2016) found supportive-expressive group therapy  
35  
36 improved quality of life and treatment of depression in women with metastatic breast cancer.(56)  
37  
38 Whilst Clements-Cortes (2004) found patients with a terminal illness were able to decrease  
39  
40 depressive symptoms and social isolation and enhance relaxation by expressing their emotions  
41  
42 through music therapy.(57) Indeed, a recent review of music therapy in patients receiving palliative  
43  
44 care found it had a positive effect on pain, fatigue, anxiety and quality of life.(58) Given the  
45  
46 heterogeneity of interventions included in the review, however, not all included interventions were  
47  
48 necessarily designed specifically to evoke ED. Reviews of ED-based interventions in clinical  
49  
50 populations with serious (although not explicitly palliative-stage) disease have identified mixed  
51  
52 results. A systematic review of 52 trials reported that music interventions may have beneficial  
53  
54 effects on anxiety, pain, fatigue and quality-of-life for people with cancer (of all stages), but that  
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56 results were inconsistent across trials.(59) They also noted that, when asked, participants said they  
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3 valued the opportunity for emotional expression and processing offered by the therapy. A further  
4  
5 systematic review of Creative Psychological Interventions (CPIs), which encompass the use of music,  
6  
7 art, drama and dance/movement to express and process thoughts and emotions, found evidence of  
8  
9 psychological but not physical benefits of CPIs across ten trials in cancer patients.(60) Similarly, ED-  
10  
11 based interventions in bereaved family members have also demonstrated mixed results,(61,62)  
12  
13 although they have been less widely studied in this group than in patients.  
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19 Whilst the efficacy of ED-based therapies in the palliative care setting remains uncertain, current  
20  
21 reviews recommend further research to assess the true efficacy of each  
22  
23 intervention.(22,44,47,48,55,59,60) This is due to a number of limitations of the current literature.  
24  
25 First, current reviews are significantly limited by the heterogeneity of the format of interventions  
26  
27 and outcome measures used across the studies they are reviewing.(22,44,47,48,55,59,60) Second,  
28  
29 and tellingly, qualitative interviews show participants find certain interventions valuable, even  
30  
31 where null effects are captured by quantitative measures.(48,59) This suggests current studies are  
32  
33 not necessarily investigating the outcome measures that convey the benefit experienced by  
34  
35 patients, which may be more abstract or existential in nature, particularly in patients with a  
36  
37 palliative-stage disease.(48,63) Third, authors have noted a lack of effort to tailor interventions to  
38  
39 the specific needs of people with advanced or terminal illness.(22,44,48) This could encompass, for  
40  
41 example, offering audio recorded disclosure as an alternative to written disclosure in EW studies, as  
42  
43 some patients may lose the ability to write. Finally, methodological quality of studies included in the  
44  
45 systematic reviews has been largely graded as low, with limitations due to sample size,  
46  
47 methodological features such as lack of randomisation and data reporting. In light of these  
48  
49 significant limitations to existing research, future studies should aim to address these shortfalls. The  
50  
51 broad nature of therapeutic ED, however, makes future research design challenging. This is due, in  
52  
53 part, to the significant overlap of terms being used to describe various interventions, and a lack of  
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55 clarity on the most appropriate format and outcome measures and underlying mechanisms.  
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5 To address these shortcomings, we plan to use scoping review methodology to conduct an  
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8 explorative, yet systematic, investigation of the existing heterogeneous literature. Scoping review  
9  
10 methodology can be used to clarify and map out complex concepts in a robust and replicable  
11  
12 manner.(64–66) It is therefore a suitable method through which to identify, consolidate and  
13  
14 categorise the existing literature into a taxonomy of emotional-disclosure based interventions in the  
15  
16 palliative care setting. Such a taxonomy will provide researchers with a framework to inform the  
17  
18 design of future studies of ED-based interventions, by guiding selection of intervention format and  
19  
20 outcome measures. Moreover, the taxonomy will map intervention efficacy, along with any reported  
21  
22 facilitators and barriers, to intervention format, to help draw out potential mechanisms of action. If  
23  
24 researchers use the taxonomy to inform study design, this should in turn lay the groundwork for  
25  
26 more informative systematic reviews of ED-based interventions. Given the unique physical,  
27  
28 psychological and emotional position (e.g. in terms of needs and experiences) of patients at the  
29  
30 palliative stage of disease and their family carers, the scope of this review will be limited to research  
31  
32 conducted in the palliative care setting. However, these findings could also provide a springboard to  
33  
34 help develop a taxonomy for ED-based interventions in other populations.  
35  
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## 44 **Objectives**

### 45 **Primary objective**

46  
47 To develop a taxonomy of ED-based interventions used in the palliative care setting, for people with  
48  
49 advanced diseases and their family carers. The taxonomy will identify, categorise and define classes  
50  
51 of intervention that fall under the umbrella term of 'emotional disclosure'.  
52  
53  
54

### 55 **Secondary objective**



1  
2  
3 To map classes of intervention defined in the taxonomy to (1) underlying mechanisms, (2)  
4 appropriate treatment objectives, (3) outcome measures, (4) any facilitators and barriers to  
5  
6 intervention feasibility and (5) efficacy.  
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## 24 **METHODS AND ANALYSIS**

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26  
27 This protocol is guided by the standard framework proposed by Arksey and O'Malley(65) and  
28 expanded by Levac and colleagues(64) and the Joanna Briggs Institute.(66) These guidelines  
29 recommend organising the scoping review process into at least five stages, with an optional sixth  
30 stage,  
31  
32  
33  
34 stage:

- 35  
36 • Stage 1. Identifying the research question(s) - complete
- 37  
38 • Stage 2. Identifying relevant studies
- 39  
40 • Stage 3. Study selection
- 41  
42 • Stage 4. Charting the data
- 43  
44 • Stage 5. Collating, summarising and reporting the results
- 45  
46 • Stage 6. Consultation
- 47  
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49

50  
51 The protocol has also been developed in line with scoping review best practice, as summarised in the  
52 completed Preferred Reporting Items for Systematic Reviews and Meta-Analyses for Protocols and  
53 Scoping Reviews (PRISMA-ScR) included in supplementary file 1. Table 1 summarises the proposed  
54 timescale for the review.  
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	Month (2019)									
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct →
<b>Stage 1.</b> Identifying research question and writing protocol	█									
<b>Stage 2.</b> Identifying relevant studies (search)	█	█	█							
<b>Stage 3.</b> Study selection (screening)			█	█						
<b>Stage 4.</b> Data charting				█	█	█				
<b>Stage 5.</b> Collating, summarising and reporting results							█	█	█	█
<b>Stage 6.</b> Consultation	<i>Throughout process at key stages (protocol development, screening, collating results)</i>									

**Table 1. Timeline for protocol and scoping review**

**Stage 1: Identifying the research question**

To meet the objectives of the review, as outlined above, we will seek to thematically analyse insights from the following research questions:

- Which psychotherapeutic interventions delivered in patients at the palliative stage of disease and their family carers are categorised as, or explicitly grounded in, principles of ED? E.g. What format are the interventions, how often are they delivered and by whom?
- What are the primary objectives of ED-based interventions delivered in this setting? For example, to enhance overall quality of life, physical or psychological health.
- What outcome measures are used to assess the efficacy of ED-based interventions in this setting?

- What theoretical frameworks are used to explain the mechanisms underlying ED-based interventions in this setting?
- What are the facilitators and barriers to ED-based intervention feasibility and efficacy in this setting?

## Stage 2: Identifying relevant studies

The following inclusion criteria were developed in collaboration with key stakeholders, including physicians, psychologists and supportive care service managers involved in the provision of palliative care. Throughout the screening and data extraction process the criteria will be discussed within the research team and updated where necessary to ensure all relevant literature is being captured.(64)

- **Studies must use or make reference to a psychotherapeutic intervention that the authors state:**
  - involves 'emotional disclosure' or involves a task that requires participants to express or communicate feelings or emotions
  - as a core or critical element of the therapy
  - and that aims to improve some aspect of patient or carer wellbeing.
- **Articles published in the English language** (to prevent issues with intricacies of translation interfering with an effective definition of key terms).
- **The majority of the population of interest are adult participants (aged 18 and above):**
  - With a diagnosis of an advanced disease (e.g. end-stage organ failure or advanced/metastatic/incurable cancers), and/or being explicitly treated with a palliative intent OR
  - Family carers of patients at a palliative stage of a disease.

- 1  
2  
3       ○ Based on previous related reviews(44) that indicated that few studies meet these  
4  
5               criteria, samples which included >50% patients with advanced-stage disease will also be  
6  
7               included.
- 9  
10 • **All types of original research from within the peer-reviewed medical and nursing,**  
11  
12 **psychological and social science literature will be included,** including RCTs, comparative studies  
13  
14 (e.g. non-randomised experiments, before-and-after studies), qualitative studies, case studies,  
15  
16 ethnographies and diary studies.
  - 17  
18 • **Peer-reviewed conference abstracts** of papers not published in full will also be included if they  
19  
20 are sufficiently detailed.
  - 21  
22 • **Review articles** that discuss ED as a psychotherapeutic intervention and make explicit mention  
23  
24 of its use in the palliative care setting (or in patients with advanced disease and/or their family  
25  
26 carers), including: systematic reviews, meta-analyses, meta-syntheses, scoping reviews,  
27  
28 narrative reviews, rapid reviews, critical reviews and integrative reviews, opinion pieces,  
29  
30 commentaries and editorial reviews.  
31  
32  
33

### 34 35 **Exclusion criteria**

36  
37  
38 The following resources will be excluded from data extraction and analysis:

- 39  
40  
41 • Studies with tasks that were not designed to be emotionally expressive, or which do not list ED  
42  
43 (or similar) as a key feature of the intervention
- 44  
45 • Non peer-reviewed sources (e.g. some book chapters and dissertations/theses); however, we  
46  
47 will scan reference lists of relevant resources, and/or contact authors where appropriate  
48  
49

50  
51 No date limits will be applied to the searches, in order to capture the breadth of ED therapy delivery  
52  
53 beyond the introduction of the well-cited EW paradigm in 1986.(16)  
54

### 55 56 **Databases**

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1  
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3 The following electronic databases will be searched from database inception to March 2019: the  
4  
5 Cumulative Index to Nursing and Allied Health Literature (CINAHL), Cochrane Central Register of  
6  
7 Controlled Trials (CENTRAL), PsycINFO, Scopus, Web of Science and MEDLINE. We will also check the  
8  
9 European Union Clinical Trials Register, clinicaltrials.gov, the European Association for Palliative Care  
10  
11 (EAPC) and British Psychological Society (BPS) conference abstract proceedings for the last seven  
12  
13 (2012-2018) and 17 years (2001 – 2018) respectively. Additionally, we will check the reference lists  
14  
15 of relevant studies, review articles, book chapters and theses to identify further relevant citations.  
16  
17 Finally, we will contact researchers who have expressed an interest in the field, via a research list  
18  
19 compiled by the BPS, to ask if they are aware of any studies that may be relevant to this review. In  
20  
21 case of uncertainty, authors of relevant studies will be approached to clarify whether studies meet  
22  
23 the inclusion criteria for this review.  
24  
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27

### 28 **Search strategy**

29  
30  
31 The search strategy is based on an earlier systematic review exploring EW as a psychotherapeutic  
32  
33 intervention in patients with advanced disease.<sup>(44)</sup> The search terms have been expanded to  
34  
35 capture ED-based therapies more broadly, as well as including terms for advanced disease and  
36  
37 palliative care. See supplementary file 2 for an example search strategy used in the Ovid MEDLINE  
38  
39 database that will be modified for each database, utilising keywords, MeSH terms and Boolean  
40  
41 operators as appropriate. As per Levac and colleagues' (64) recommendation for an iterative search  
42  
43 strategy development process, we will review the search criteria throughout the screening process  
44  
45 to update, expand or limit the search if required.  
46  
47  
48  
49

### 50 **Stage 3: Study selection**

51  
52 The research team will meet to discuss preliminary inclusion and exclusion criteria during the  
53  
54 protocol development phase. At least two reviewers will independently screen citations for inclusion  
55  
56 to full article review stage. Reviewers will meet at the beginning, midpoint and final stages of the  
57  
58 abstract review process to discuss challenges and uncertainties related to study selection, and to  
59  
60

1  
2  
3 refine the search strategy and inclusion criteria if needed. Full article review will also be carried out  
4  
5 independently by two researchers for articles which meet the inclusion criteria, or have unclear  
6  
7 relevance during the screening phase. Where disagreements arise around inclusion, a third reviewer  
8  
9 will be consulted to resolve disputes.  
10  
11

#### 12 13 **Stage 4: Charting the data**

14  
15 The research team will collectively develop the data-charting form based on the variables most  
16  
17 relevant to the research questions. The form will be piloted using five articles, and the process and  
18  
19 data-fields discussed between the research team prior to conducting the full data extraction  
20  
21 procedure. Following full data extraction, the data from each independent reviewer will be  
22  
23 compared and any discrepancies discussed to achieve consistency between reviewers.  
24  
25

26  
27 A preliminary data extraction framework has been developed, tailored to answer each of the pre-  
28  
29 defined research questions. Along with basic bibliographic information, information will be extracted  
30  
31 about the study design, patient population, intervention characteristics, intervention objectives,  
32  
33 outcome measures, underlying theoretical frameworks, intervention efficacy and proposed rationale  
34  
35 for efficacy. A draft data-charting form for primary experimental studies is included in  
36  
37 supplementary file 3.  
38  
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40

#### 41 **Stage 5: Collating, summarising and reporting the results**

42  
43 As per the guidelines of Levac and colleagues,(64) this stage will be conducted in three phases:  
44  
45

- 46  
47 1) Analysis: to include both descriptive, quantitative analysis (e.g. number of relevant studies  
48  
49 within each intervention type; sample demographics) and qualitative thematic analysis (to  
50  
51 explore how different ED-based interventions may be classified by format, objectives and/or  
52  
53 other characteristics to inform the taxonomy)
- 54  
55 2) Reporting the results of the analysis and producing the outcomes that refer to the study's  
56  
57 research question(s)  
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2  
3 3) Considering the meanings of the findings as they relate to the overall study purpose, and  
4  
5 discussing the implications for future research, practice and/or policy  
6  
7

8 Thematic analysis will be applied to understand the core, defining characteristics of each ED-based  
9 intervention.<sup>(67)</sup> From this analysis, we will work to develop a taxonomy of ED-based interventions.  
10  
11 Thematic analysis of the objectives, outcomes and mechanisms will also be conducted, to enable us  
12  
13 to map them onto intervention types. Exploratory analysis of facilitators, barriers and efficacy of  
14  
15 specific interventions will also be conducted. We will examine whether there are any specific types  
16  
17 of intervention that appear in studies using robust designs (e.g. RCTs) to produce higher proportions  
18  
19 of positive outcomes associated with specific outcome measures. We will also examine whether  
20  
21 these patterns of efficacy are related to specific facilitators or barriers. The aim of this analysis will  
22  
23 be to provide an indication of the reported efficacy and setting-specific requirements, with the  
24  
25 intention of providing insights into the most useful direction for future work.  
26  
27  
28  
29

30  
31 Results will be reported as tables, graphs and descriptive themes as appropriate. As well as reporting  
32  
33 a taxonomy of ED-based interventions in the palliative care context, we will also discuss how it can  
34  
35 be used to help guide future research into and implementation of ED-based psychotherapy in this  
36  
37 setting.  
38  
39

#### 40 41 **Stage 6: Consultation**

42  
43 Although not mandated by the Arksey and O'Malley<sup>(65)</sup> framework, in the extension developed by  
44  
45 Levac and colleagues<sup>(64)</sup> consultation with key stakeholders who may provide insights beyond the  
46  
47 literature is essential. The research team who contributed to the development of this protocol  
48  
49 includes a range of key stakeholders who will be engaged throughout the review process (including a  
50  
51 palliative care consultant, a psychiatrist and researchers with expertise in EW, palliative care  
52  
53 research and systematic review processes). An advisory group will also be consulted throughout the  
54  
55 review process, including protocol development, results analysis and development of resulting  
56  
57 conclusions and recommendations. The group includes health psychologist (Dr Nick Troop), clinical  
58  
59  
60

1  
2  
3 psychologist (Dr Penny Rapaport), former patient carer (Mr Peter Buckle), and evidence synthesis  
4  
5 methodologist and palliative care nurse (Dr Kate Flemming).  
6  
7

## 8 9 **PATIENT AND PUBLIC INVOLVEMENT**

10  
11  
12 As described in Stage 6 (Consultation), Mr Peter Buckle is a member of the advisory group. Peter has  
13  
14 lived experience of caring for his wife throughout her terminal illness. He is a member of the Marie  
15  
16 Curie Research Expert Voices Group, a group of volunteers with personal experiences of living with  
17  
18 terminal illness who support Marie Curie's research activities. Peter's insights will be used to  
19  
20 throughout the review process, including development of the research protocol, results analysis and  
21  
22 dissemination.  
23  
24  
25

## 26 27 **ETHICS AND DISSEMINATION**

28  
29  
30 We present a protocol for a comprehensive and rigorous scoping review of ED-based interventions  
31  
32 used in patients and family carers in the palliative care setting. Ethics approval is not required since  
33  
34 the study involves only secondary analysis of data that has already been collected. The results will be  
35  
36 disseminated through traditional routes, including peer-reviewed journals, local and international  
37  
38 conferences on palliative care and health psychology, and press releases, social media and blogs as  
39  
40 appropriate. Through effective dissemination, the results of the review should help to inform more  
41  
42 effective development, study and review of ED-based therapies in this patient population.  
43  
44  
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## 46 47 **DATA AVAILABILITY**

48  
49  
50 This is a review of published or open access literature.  
51  
52  
53

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40 [support/hospice-commissioning-and-funding-survey-results-2015.pdf?sfvrsn=2](https://www.hospiceuk.org/docs/default-source/What-We-Offer/Commissioning-support/hospice-commissioning-and-funding-survey-results-2015.pdf?sfvrsn=2)
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## AUTHOR STATEMENT

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DM contributed to the conception and design of the study, developed and tested the search strategy and inclusion criteria, and drafted the protocol. BC, NK, PS, KA and JC contributed to the conception and design of the protocol, specifically providing insight into the rationale, search strategy and inclusion criteria refinement, and critical review of the manuscript for clarity and intellectual content.

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## COMPETING INTERESTS STATEMENT

None declared.

## LICENSING INFORMATION

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### Supplementary file 1. Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) Checklist

SECTION	ITEM	PRISMA-ScR CHECKLIST ITEM	REPORTED ON PAGE #
<b>TITLE</b>			
Title	1	Identify the report as a scoping review.	1,2
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary that includes (as applicable): background, objectives, eligibility criteria, sources of evidence, charting methods, results, and conclusions that relate to the review questions and objectives.	3-4
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known. Explain why the review questions/objectives lend themselves to a scoping review approach.	5-9
Objectives	4	Provide an explicit statement of the questions and objectives being addressed with reference to their key elements (e.g., population or participants, concepts, and context) or other relevant key elements used to conceptualize the review questions and/or objectives.	10,12
<b>METHODS</b>			
Protocol and registration	5	Indicate whether a review protocol exists; state if and where it can be accessed (e.g., a Web address); and if available, provide registration information, including the registration number.	N/A
Eligibility criteria	6	Specify characteristics of the sources of evidence used as eligibility criteria (e.g., years considered, language, and publication status), and provide a rationale.	12-14
Information sources*	7	Describe all information sources in the search (e.g., databases with dates of coverage and contact with authors to identify additional sources), as well as the date the most recent search was executed.	14
Search	8	Present the full electronic search strategy for at least 1 database, including any limits used, such that it could be repeated.	Supplementary file 2
Selection of sources of evidence†	9	State the process for selecting sources of evidence (i.e., screening and eligibility) included in the scoping review.	15
Data charting process‡	10	Describe the methods of charting data from the included sources of evidence (e.g., calibrated forms or forms that have been tested by the team before their use, and whether data charting was done independently or in duplicate) and any processes for obtaining and confirming data from investigators.	15
Data items	11	List and define all variables for which data were sought and any assumptions and simplifications made.	12-14
Critical appraisal of individual sources of evidence§	12	If done, provide a rationale for conducting a critical appraisal of included sources of evidence; describe the methods used and how this information was used in any data synthesis (if appropriate).	N/A



SECTION	ITEM	PRISMA-ScR CHECKLIST ITEM	REPORTED ON PAGE #
Synthesis of results	13	Describe the methods of handling and summarizing the data that were charted.	15-16
<b>RESULTS</b>			
Selection of sources of evidence	14	Give numbers of sources of evidence screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally using a flow diagram.	N/A
Characteristics of sources of evidence	15	For each source of evidence, present characteristics for which data were charted and provide the citations.	N/A
Critical appraisal within sources of evidence	16	If done, present data on critical appraisal of included sources of evidence (see item 12).	N/A
Results of individual sources of evidence	17	For each included source of evidence, present the relevant data that were charted that relate to the review questions and objectives.	N/A
Synthesis of results	18	Summarize and/or present the charting results as they relate to the review questions and objectives.	N/A
<b>DISCUSSION</b>			
Summary of evidence	19	Summarize the main results (including an overview of concepts, themes, and types of evidence available), link to the review questions and objectives, and consider the relevance to key groups.	N/A
Limitations	20	Discuss the limitations of the scoping review process.	N/A
Conclusions	21	Provide a general interpretation of the results with respect to the review questions and objectives, as well as potential implications and/or next steps.	N/A
<b>FUNDING</b>			
Funding	22	Describe sources of funding for the included sources of evidence, as well as sources of funding for the scoping review. Describe the role of the funders of the scoping review.	23

JB1 = Joanna Briggs Institute; PRISMA-ScR = Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews.

\* Where *sources of evidence* (see second footnote) are compiled from, such as bibliographic databases, social media platforms, and Web sites.

† A more inclusive/heterogeneous term used to account for the different types of evidence or data sources (e.g., quantitative and/or qualitative research, expert opinion, and policy documents) that may be eligible in a scoping review as opposed to only studies. This is not to be confused with *information sources* (see first footnote).

‡ The frameworks by Arksey and O'Malley (6) and Levac and colleagues (7) and the JBI guidance (4, 5) refer to the process of data extraction in a scoping review as data charting.

§ The process of systematically examining research evidence to assess its validity, results, and relevance before using it to inform a decision. This term is used for items 12 and 19 instead of "risk of bias" (which is more applicable to systematic reviews of interventions) to include and acknowledge the various sources of evidence that may be used in a scoping review (e.g., quantitative and/or qualitative research, expert opinion, and policy document).

Adapted from: Tricco AC, Lillie E, Zarin W, O'Brien KK, Colquhoun H, Levac D, et al. PRISMA Extension for Scoping Reviews (PRISMA-ScR): Checklist and Explanation. *Ann Intern Med.* ;169:467–473. doi: 10.7326/M18-0850



**Supplementary file 2. Search strategy for Ovid PsycINFO: 1806 to 2019**

1 exp Emotions/ (300490)  
2 emotion\* (382265)  
3 feeling\* (103050)  
4 1 OR 2 OR 3 (598583)

\*\*\*\*\*

5 Palliative Care/ (11016)  
6 (palliat\* or terminal\* or endstage or hospice\* or metasta\* or (end adj3 life) or  
7 (care adj3 dying) or ((advanced or late or last or end or final) adj3 (stage\* or  
8 phase\*))).tw. (54673)  
9 5 OR 6 (54847)

\*\*\*\*\*

10 (disclos\* or express\* or communicat\* or talk\* or speak\* or spoke\* or writ\* or  
11 draw\* or sing\*).mp. (1005983)

\*\*\*\*\*

12 4 AND 7 AND 8 (2575)

\*\*\*\*\*

13 Apply filter: Humans (2278)  
14 Apply filter: Adulthood (18+ years) (1320)

### Supplementary file 3. Data charting extraction categories

Citation (Authors, year, title, journal, volume, page):

Keywords:

#### 1. Population

- a. Country:
- b. Size (n):
  - a. Total
  - b. Intervention
  - c. Control group(s)
- c. Disease and stage
- d. Age
- e. Sex
- f. Ethnicity
- g. Other of note
- h. Patient healthcare setting (hospice, hospital etc.)

#### 2. Study details

- i. Study design
- j. Study objective

#### 3. Intervention details

- k. Intervention name
- l. Objective of intervention
- m. Task description
- n. Control task description
- o. Number of task sessions total
- p. Task schedule (days between tasks)
- q. Intervention setting (where task is completed)

#### 4. Outcome measures

- r. Primary
- s. Secondary
- t. Other measures (covariates, moderators)
- u. Assessment time-points

#### 5. Results

- v. Primary outcome results
- w. Secondary outcome results
- x. Other results

#### 6. Setting specific intervention details

- y. Explicit adaptations to the intervention
- z. Facilitators and barriers to intervention feasibility

#### 7. Theoretical frameworks described