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Limb Ischaemia Management collaboration (LIMb): study protocol for a prospective cohort study

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<u>Title: Limb Ischaemia Management collaboration (LIMb): study protocol</u> for a prospective cohort study

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Study protocol

Abstract

Introduction

Severe limb ischaemia (SLI) is the end-stage of peripheral arterial occlusive disease where the viability of the limb is threatened. Around 25% of patients with SLI will ultimately require a major lower limb amputation which has a substantial adverse impact on quality of life. A newly established rapid-access vascular limb salvage clinic and modern revascularisation techniques may reduce amputation rate. The aim of this study is to investigate the 12-month amputation rate in a contemporary cohort of patients and compare this to a historical cohort. Secondary aims are to investigate the use of frailty and cognitive assessments, and cardiac MRI in risk-stratifying patients with SLI undergoing intervention and establish a biobank for future biomarker analyses.

Methods & analysis

This single-centre prospective cohort study will recruit patients aged 18-110 years presenting with SLI. Those undergoing intervention will be eligible to undergo additional venepuncture (for biomarker analysis) and/or cardiac MRI. Those aged ≥65 years and undergoing intervention will also be eligible to undergo additional frailty, cognitive and delirium assessments. Patients will be followed-up at 12 and 24 months and subsequently via data-linkage with NHS digital records to 10 years post-recruitment. Those undergoing cardiac MRI and/or frailty assessments will receive additional follow-up during the first 12 months to investigate for peri-operative myocardial infarction and frailty related outcomes, respectively. A sample size of 420 patients will be required to detect a 10% reduction in amputation rate in comparison to a similar sized historical cohort, with 90% power and 5%

type-I error rate. Statistical analysis of this comparison will be by adjusted and unadjusted logistic regression analyses.

Ethics & dissemination

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Manuscript word count: 3,960 Ethical approval for this study has been granted by the UK National Research Ethics Service (19/LO/0132). Results will be disseminated to participants, via National and International

Article summary

Strengths & limitations of this study

- This prospective study of a contemporary cohort of patients with severe limb ischaemia (including both patients with and without diabetes) will provide a valuable update to observational evidence.
- The inclusion of patients lacking capacity reduces potential selection bias.
- The use of comprehensive assessments of frailty syndromes and cardiac MRI may provide valuable additional strategies to assess risk.
- The single-centre study design will limit the generalisability of its results.
- The retrospective collection of historical control data and observational nature of the study will limit the strength of evidence of the results.

Introduction

Peripheral arterial occlusive disease (PAOD) is a chronic condition caused by atherosclerosis of arteries supplying the lower limbs. In high-income countries its prevalence is over 10% in those aged over 65.¹ PAOD is often asymptomatic but as the disease progresses patients may develop symptoms such as intermittent claudication.² Severe limb ischaemia (SLI) is the end-stage of PAOD in which the viability of the limb is threatened due to the degree of arterial disease and subsequent ischaemia in the peripheral tissues, and is characterised by ischaemic rest pain and tissue necrosis, leading to ulceration and gangrene.³ Treatment options include open surgical and endovascular revascularisation, with or without surgical debridement of affected tissues and/or amputation of toes. In some patients revascularisation is not possible and primary major lower limb amputation, or amputation after failed attempted revascularisation, is required.⁴

Lower limb amputation is a life-changing tragedy for the patient and a huge financial burden to the NHS. Between 2003 and 2013 over 4000 major lower limb amputations were performed each year in England alone.⁵ With the rising prevalence of diabetes and diabetic foot disease, a diabetes-related major lower limb amputation is performed every 30 seconds worldwide and over 7000 diabetes-related major and minor amputations are now performed each year in England and Wales.⁶⁷ Major lower limb amputation negatively affects quality of life due its significant impact on mobility, independence, social isolation and ability to carry out activities of daily living.⁸ Only around 20% of those undergoing an amputation for PAOD are independently ambulant one-year post procedure.⁹¹⁰

Frailty, cognitive impairment and delirium

A major challenge in managing patients with SLI is decision making regarding optimum treatment strategies. As well as anatomical considerations regarding revascularisation strategy, an accurate assessment of both the risk of treatment failure and morbidity associated with a procedure should be taken into account. This is particularly challenging in patients with SLI as they are often elderly with multiple comorbidities. 11 Frailty is a distinct health state and is increasingly recognised as a major contributor to adverse outcomes in surgical patients. 12 Frailty is defined as "a medical syndrome with multiple causes and contributors that is characterized by diminished strength, endurance, and reduced physiologic function that increases an individual's vulnerability for developing increased dependency and/or death". 13 Around 10% of people aged over 65 years are frail, increasing to more than 30% of those aged over 85, whilst prevalence of frailty in patients with SLI may be over 50%. 11 14 15 Frail patients are less able to respond to acute stressors, such as major surgery, and are therefore more susceptible to adverse health outcomes such as mortality and post-operative complications. 16 17 Multiple validated tools used to assess patients for frailty have been developed which can be utilised in pre-operative assessment. 13 18 Both cognitive impairment and sarcopenia are also of interest in this cohort as they are associated with frailty, vascular disease and vascular risk factors. 19-21 Cognitive impairment, encompassing a spectrum of diseases from mild cognitive impairment to dementia, manifests as memory problems, poor concentration and difficulty making decisions about things affecting everyday life. Sarcopenia is a "progressive and generalised skeletal muscle disorder that is associated with increased likelihood of adverse outcomes" and defined as low muscle strength plus low muscle quantity or quality and/or low physical performance.²² Sarcopenia has been assessed both clinically and radiologically (using cross-sectional

imaging) in vascular patients.²³ ²⁴ Frailty, cognitive impairment and sarcopenia have been implicated in worse outcome following vascular surgery.¹⁷ Post-operative delirium is one such outcome which has been shown to be associated both with frailty in vascular patients and worse short- and long-term outcome following vascular surgery.²⁵ ²⁶

Coronary artery disease (CAD)

CAD is a highly prevalent and major co-morbidity of patients with PAOD. Very few vascular surgery patients have normal coronary arteries on coronary angiography.²⁷ Coronary angiography has also shown around 60% of vascular surgery patients have severe CAD (>70% stenosis), and in those without symptoms or history of ischaemic heart disease undergoing vascular surgery, around 70% have evidence of CAD, half of whom have severe disease.^{27 28} Patients with PAOD have a 20-60% increased risk for myocardial infarction (MI) and a two- to six-fold increased risk of death due to CAD related events. ²⁹ Peri-operative MI (based on elevated troponin) has been reported in up to 24% of vascular patients, with 82% of these not exhibiting symptoms.³⁰

Cardiac magnetic resonance imaging (MRI) is the most sensitive imaging modality for the detection of myocardial necrosis/infarct and is highly accurate for the detection of reversible ischaemia in a single examination. Modern software allows images to be acquired completely free-breathing, using motion-correction techniques and highly accelerated sequences, which is particularly important for the frail or breathless patient. In addition myocardial blood flow can be quantified fully automatically within minutes of acquisition allowing measurement of stress and rest myocardial blood flows and perfusion reserve. The identification of significant cardiac disease in these patients may allow

further risk assessment and stratification to ensure that each patient has an optimum treatment plan.

Rationale

The most widely cited estimates of outcomes in patients with SLI are from the Trans-Atlantic Consensus Statement II (2007) which state that 50% will initially undergo revascularisation and 25% primary amputation, and at one-year 30% will be alive and have had an amputation whilst 25% will have died.⁴ In recent years there has been a marked demographic shift with increasing prevalence of diabetes among patients with SLI and intervening in older, frailer patients. 11 38 Whilst there has been little change in recommended medical therapy of PAOD, 439 new endovascular technologies and improved techniques such as ultra-distal bypass and Covered Endovascular Revascularisation of Aortic Bifurcation allow increasingly aggressive revascularisation strategies. 40-42 The Leicester Vascular Institute (based at Glenfield Hospital, University Hospitals of Leicester NHS Trust) is a tertiary referral vascular unit providing all vascular services for Leicestershire and Rutland, compromising a diverse population of approximately 1.1 million. A recently established rapid-access limb salvage clinic aims to improve outcomes in patients with SLI and ultimately reduce the need for major lower limb amputation in line with recent guidelines from The Vascular Society of Great Britain and Ireland. 43 A contemporary cohort study will provide useful evidence of outcomes in the context of current SLI demographics, revascularisation strategies and improved care pathways.

Study aims

Primary aim

The primary aim of this study is to determine the proportion of patients with SLI undergoing major lower limb amputation within 12 months of presentation. The primary hypothesis is that contemporary amputation rate is lower than historical data.

Secondary aims

Secondary aims are to assess clinically important short- (≤3-months), medium- (3-12 months) and long-term (>12 months) outcomes in those undergoing and not undergoing amputation. We also aim to determine prevalence and degree of frailty, cognitive impairment, and cardiac disease (detected by stress MRI), as well as establish a biobank for future analysis of biomarkers, in those patients undergoing procedures for SLI. This may allow risk stratification, and also to investigate whether patients with a higher risk of poor peri-operative outcome or long-term worse prognosis are undergoing procedures with increased risk of morbidity and mortality.

Methods & analysis

Study design

This study will be a single-centre prospective observational cohort study undertaken at the Leicester Vascular Institute. Recruitment will continue for a period of 2 years with a total of 10 years follow-up.

Primary outcome measure

The primary outcome measure of the study is major amputation rate.

Secondary outcome measures

Secondary outcome measures for short- and medium-term follow-up data include, but not limited to: quality of life, functional performance, anxiety and depression, morbidity, cognitive decline, frailty and mobility as well as short- (≤3 months), medium- (3-12 months) and long-term (>12 months) mortality.

Study participants and eligibility

Eligibility & consent

All patients with SLI attending the Leicester Vascular Institute will be screened for their eligibility. Those eligible will be given verbal and written information detailing the nature of the study and time to decide whether they want to participate. Patients will be eligible for inclusion up until the point they undergo an intervention. Full written informed consent will be taken for patients agreeing to participate in the study. Consent will be gained to allow investigators to undertake additional assessments not routinely performed in this cohort of patients, as well as to review medical records for both baseline data and outcomes measured at various points during the 24 months following recruitment. Consent will also be taken for data-linkage with NHS digital records for long-term outcomes at 10 years post recruitment.

The researchers will have primary responsibility for assessing the capacity of the individual to participate in research according to the Mental Capacity Act 2005. Given the low risk and burden of this study to patients, it is reasonable to seek consultee consent in patients who do not have capacity. A 'hierarchy' of consent will be followed; this approach to the enrolment process has successfully been used in recent NIHR-funded studies.⁴⁴

- Potential patients with capacity are recruited, provided they are willing to provide consent.
- Where patients do not have capacity, they may be recruited using consultee
 agreement (such as an unpaid carer or next of kin) under section 31(2) of the Mental
 Capacity Act 2005.
- 3. Checks will be made with the potential patient's GP or hospital records that there is no relevant advance decision relating to research under section 33(2).
- 4. We will use a consultee under section 32(3) whenever possible.

Personal consultees will be provided with verbal and written information detailing their role and the nature of the study. They will be given time to decide whether they feel the patient would want to participate in the study and have an opportunity to ask questions prior to completing the personal consultee declaration form. Both the clinical and research team will endeavour to identify a potential personal consultee for any eligible patient that lacks capacity, however if one cannot be identified the patient will not be invited to participate in the study.

Primary cohort

All patients attending the Leicester Vascular Institute with a diagnosis of SLI will be considered for inclusion to the study. SLI is defined as pain at night or at rest and/or ulceration or gangrene in the affected limb(s) for a minimum of two weeks attributed to confirmed PAOD.⁴⁵

<u>Historical controls</u>

A retrospective cohort of historical controls with SLI will be identified for a 24 month period (2013-2015) prior to establishing the Leicester Vascular Institute. Approximately 420

patients with a primary admission diagnosis of SLI will be identified from local coding data using the ICD-10 code I70.2. Hospital paper and electronic records will be interrogated to identify baseline characteristics and outcomes including major amputation. This will be cross-referenced against Trust Hospital Episode Statistics data for major amputations (OPCS-4 code X09) to ensure accuracy.

Additional assessments

Patients recruited to the primary cohort who are offered intervention (minor amputation/debridement, revascularisation or primary major amputation) will also be given the opportunity to participate in additional assessments, depending on them meeting the inclusion/exclusion criteria. These additional assessments will investigate frailty and cognitive impairment, and cardiac dysfunction on short- and medium-term outcomes.

Patients undergoing intervention will also be eligible for venepuncture to collect serum and plasma samples to establish a biobank for future biomarker analyses. Patients who are treated medically with no planned intervention will be eligible for recruitment to the primary cohort only.

Inclusion & Exclusion criteria

Full inclusion and exclusion criteria are detailed in Table 1.

Table 1: Inclusion & Exclusion criteria

Primary Cohort

Inclusion criteria:

- Patients presenting to the Leicester Vascular Institute with SLI
- Patients aged 18 110 years

Exclusion criteria:

- SLI not caused by PAOD
- Patients undergoing intervention during their index presentation prior to recruitment
- Patients lacking capacity with no accompanying next of kin, relative, partner of friend who can act as a personal consultee
- Patients who cannot read, write or understand English
- Any significant disease or disorder which may either put the patients at risk because of participation in the study or may influence the results of the study or the patient's ability to participate in the study

Frailty & cognitive impairment additional assessments

Inclusion criteria:

- Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI
- Patients aged >65 years

Exclusion criteria:

No additional exclusion criteria

Cardiac MRI additional assessments

Inclusion criteria:

 Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI

Exclusion criteria:

- Absolute contraindications to cardiac MRI:
- Pregnancy; non MR safe PPM/ICD; non MR safe intra-auricular implants; non MR safe intracranial clips; severe claustrophobia; unstable angina
- Contraindication to gadolinium contrast agent:
 - Known adverse reaction; chronic renal failure (eGFR <30mL/min/1.73m²)
- Patients lacking capacity to consent for cardiac MRI

Biomarkers additional assessments

Inclusion criteria:

 Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI

Exclusion criteria:

No additional exclusion criteria

eGFR, Estimated glomerular filtration rate; ICD, Implantable cardioverter-defibrillator; MRI, Magnetic resonance imaging; PAOD, Peripheral arterial occlusive disease; PPM, Permanent pacemaker; SLI, Severe limb ischaemia.

Study procedures

A study overview with potential recruitment numbers (based on local audit data 2018/19) is detailed in Figure 1. Eligible patients may be recruited to multiple additional assessments dependent upon their consent.

Primary cohort

Baseline data will be collected from the standard admission proforma as part of routine attendance at the Leicester Vascular Institute, which includes:

- Presenting complaint
- Past medical history/comorbidities
- Social history
- Current regular medications
- Observations
- Examination findings (lower limb pulses; Society of Vascular Surgery Wound, Ischaemia, foot Infection [WIfI] score;³⁸ Site, Ischaemia, Neuropathy, Bacterial infection, And Depth [SINBAD] score⁴⁶)
- Ankle brachial pressure index (ABPI)
- Lower limb arterial duplex report
- Clinical Frailty Scale (CFS)⁴⁷
- Vascular Quality of Life (VascuQol) Questionnaire⁴⁸

The following study data that will be collected in addition to routine clinic practice include:

- Barthel Activities of Daily Living (ADL) index⁴⁹
- Hospital Anxiety and Depression Scale (HADS)⁵⁰

At 12 months (+/- 1 month) patients will receive telephone follow-up to collect CFS, Barthel ADL index, HADS, VascuQol and limb salvage data. These same data will be collected again at 24 months (+/- 1 month). Electronic hospital records will be interrogated to collect data regarding procedures patients have undergone for SLI during the 12 months post recruitment.

A long-term data-linkage process will be employed once the first patient has been recruited. This will provide mortality data for patients in the primary cohort and aid in project management (i.e. not sending follow-up requests to deceased patients). After the two-year follow-up period, patients in the primary cohort will be linked with NHS digital records to provide long-term follow-up data for a total 10 year period following recruitment.

Frailty & cognitive impairment additional assessments

Additional data collected at baseline will include:

- Edmonton Frail Scale (EFS)51
- Montreal Cognitive Assessment (MoCA)⁵²
- Short Physical Performance Battery (SPPB)⁵³
- Grip strength (bilateral) measured using a dynamometer
- Single Question in Delirium (SQiD)⁵⁴
- 4 'A's Test (4AT) for rapid delirium screening⁵⁵

At 24 and 72 hours post-operatively patients will undergo screening for delirium using both the SQiD and 4AT. Patients who have been discharged from hospital prior to 24 and/or 72 hours post-operatively will undergo screening for delirium via telephone using the SQiD only (asking a relative or carer).

At 3 months (+/- 1 month) and 12 months (+/- 1 months) patients will attend a follow-up clinic where the following assessments will be undertaken: CFS, EFS, MoCA, SPPB, grip strength, Barthel ADL index, VascuQoL and HADS. No additional telephone follow-up will be required at 12 months as all relevant assessments will be undertaken during the 12 month clinic visit.

For patients who agree to participate in the frailty and cognitive impairment additional assessment, advanced consent will be gained to undertake delirium assessments post-operatively. It is possible that during the course of the follow-up period, patients may lose capacity before completing the study follow-up. Accordingly, advanced consent will be gained at the point of recruitment to undertake all the required assessments during follow-up. In the event that a patient loses capacity during the course of the study, a personal consultee will be sought to both confirm ongoing participation in the study and help complete the follow-up assessments.

Cardiac MRI additional assessments

The cardiac MR scan will be performed on a 3T scanner (Siemans Skyra or Vida) using a 32ch cardiac coil. The MRI protocol is outlined in Figure 2 and will include⁵⁶:

- i. Left ventricular (LV) function cine imaging
- ii. Adenosine and/or dobutamine stress and rest perfusion imaging
- iii. Late gadolinium enhancement
- iv. If time permits and the patient is able to tolerate the scan, additional sequences such as aortic distensibility and angiography may be performed.

Patients will be fully counselled about the procedure (which may cause slight discomfort and claustrophobia) and written consent gained prior to the MRI scan. A standard MRI

safety questionnaire will be completed prior to the scan to ensure the safety requirements are satisfied. A 12-lead ECG will be performed within 72 hours prior to the cardiac MRI scan.

Two intravenous cannulae will be inserted for administration of adenosine or dobutamine and gadolinium contrast agent. Patients may receive intravenous sedation if they are anxious or claustrophobic. Adenosine will be administered at 140µg/kg/min, limited to 3-4 minutes to minimise patient discomfort. If there is no haemodynamic response and/or the patient does not experience any effects, the infusion may be prolonged or the dose may be increased incrementally to 210µg/kg/min (at the discretion of the supervising physician). In patients with an absolute contraindication to adenosine (severe asthma/COPD, high degree of atrioventricular block, theophylline usage) dobutamine/atropine will be administered as the stressor agent. The dose of dobutamine will commence at 20µg/kg/min for those with preserved ejection (10µg/kg/min for patients with significant LV dysfunction) and increased incrementally until age-predicted target heart rate is reached (≥85% of 220-age beats per minute). It is anticipated the maximum dose administered will be 30µg/kg/min.⁵⁷ Atropine, 0.6-1.8mg, may be used to achieve predicted maximum heart rate. Chest pain, arrhythmias and induction of wall motion abnormality are indications to stop the infusion and a patient's heart rate and blood pressure will be monitored closely. Patients will be reassured throughout and it will be made clear they can stop the MRI scan at any time.

Cardiac MR analysis will be both qualitative and quantitative. Local on-site reporting will include any regional motion abnormality by visual analysis using the 17-segment AHA model,⁵⁸ and each segment will be scored as 0 (normal), 1 (mild hypokinesia), 2 (severe hypokinesia), 3 (akinesia), or 4 (dyskinesia). Hypoperfusion (ischaemia) will be assessed by a visual comparison of stress and rest cardiac MR perfusion scan in 16 of the 17 AHA model

segments (excluding the apical cap segment) with scores of: 0 (normal), 1 (equivocal), 2 (subendocardial ischaemia), or 3 (transmural ischaemia). Any infarct (scar) will be reported based on the late gadolinium-enhancement images (17 segment AHA model) with scores of 0 (none), 1 (1-25%), 2 (26-50%), 3 (51-75%), or 4 (>75%) for each segment. The MRI results will remain blinded unless there is a clinical indication for reversible ischaemia testing. In such cases a limited clinical report including details of LV function, ischaemia and scar tissue will be available to the clinical team if indicated, to inform decision making.

Patients who undergo open surgical revascularisation or major lower limb amputation (major surgery) will undergo an additional follow-up scan at 2-4 months post-operatively to investigate for incidence of peri-operative myocardial infarction.⁵⁹

All patients undergoing cardiac MRI will be consented to have an additional axial image at the level of the 3rd lumbar vertebrae during the initial scan only, which will be performed before the cardiac sequences. This will image psoas, paraspinal and abdominal wall muscles allowing the total skeletal muscle areas to be measured as assessments of sarcopenia.⁶⁰

Biomarkers additional assessments

Venepuncture will be performed by a qualified member of the research team and blood collected using an S-Monovette® 9ml K2 EDTA blood tube and an S-Monovette® 7.5ml Serum Gel blood tube with the aim of collecting 6 x 500µL aliquots of both serum and plasma. All samples will be stored at the NIHR Leicester Biomedical Research Centre located at Glenfield Hospital for subsequent analysis. Consent will be gained to use these samples in future biomarker analyses. All samples will be anonymously data-linked with clinical baseline and outcome data for patients recruited to the biomarkers additional assessments.

Study assessment schedule

An overview of study procedures and assessment schedule is detailed in Table 2.



Table 2: Study procedures and patient assessment schedule

Procedure/assessment	Baseline	24 hrs post intervention	72 hrs post intervention	3 month F/U visit	12 month F/U visit	12 month telephone F/U	24 month telephone F/U
Patient consent/ consultee declaration	•						
Clinical frailty scale	•	<u> </u>		•	•	•	•
Barthel Index	•	^		•	•	•	•
VascuQoL	•	7/		•	•	•	•
HADS	•	106	20	•	•	•	•
Edmonton frail scale	•			•	•		
MoCA	•			•	•		
SPPB	•			•	•		
Grip strength	•			•	•		
SQiD	•	•	•				
4AT	•	•	•				
Cardiac MRI	•			•			
Blood sample collection	•						

4AT, 4 'A's Test (4AT) for rapid delirium screening; HADS, Hospital Anxiety and Depression Scale; MoCA, Montreal Cognitive Assessment; MRI, Magnetic Resonance Imaging; SQiD, Single Question in Delirium; VascuQoL, Vascular Quality of Life questionnaire.

Additional assessments: Frailty & cognitive; Cardiac MRI; Biomarkers.

<u>Definition of end of study</u>

The end of study date is defined by the date the long-term outcomes are collected from NHS digital, which will be ongoing for 10 years following the date the final patient is recruited to the primary cohort.

Discontinuation/withdrawal from the study

Each patient, or personal consultee, has the right to withdraw from the study at any time and this will be explained at recruitment and each follow-up contact. The reason for withdrawal (if given) will be recorded in the patient's medical records and collected as part of the research data. Patient data collected up to the point of withdrawal will be used in the analysis unless the patient (or personal consultee) has withdrawn consent for all data to be used. Long-term data will be collected via data-linkage unless consent is withdrawn.

Study patient and public involvement (PPI) group

A PPI group involving patients, and their carers, with experience of being treated for SLI at the Leicester Vascular Institute has been established for this study. Members of the PPI group have been directly involved in the development of the study, the information material and provided insight on the burden of the study from a patient's perspective. The group will continue to meet throughout the duration of the study to be informed of the progress and have the opportunity to advise regarding the ongoing delivery of the study.

Data & analysis

Study data will be collected and managed using REDCap (Research Electronic Data Capture) hosted at the University of Leicester.⁶¹ REDCap is a secure, web-based application designed to support data capture for research studies.

A full statistical analysis plan will be written and agreed by all investigators before database lock. A range of continuous and categorical data will be collected at baseline and at various time points on the patients recruited into the primary cohort and the additional assessments. Descriptive statistics of the baseline data will be presented using appropriate tabular and graphical methods both for the prospective cohort and the historical controls. Comparability of the cohorts in terms of baseline characteristics will be assessed using appropriate statistical tests.

The proportion of patients undergoing a major amputation within the first 12 months will be calculated and presented with 95% confidence intervals. The prospective cohort will be compared to the historical controls using logistic regression with major amputation within 12 months as the dependent variable. The model will be run both unadjusted and adjusted for important confounders such as age, sex and comorbidities. A similar modelling strategy will be employed to assess the association between interventions and short-, medium- and long-term outcomes. Cox proportional hazards modelling will be used to assess association with both mortality and amputation free survival. Statistical analyses will be two-sided with a 5% significance level.

Sample size calculation

The historical amputation rate among patients with SLI is around 25%.⁴ We anticipate a reduction in amputation rate among this contemporary cohort in the region of 10%. A sample size of 335 patients will be required to detect a 10% reduction in amputation rate in comparison to a similar sized historical cohort, with 90% power and type-I error rate of 5%. The target sample size will be 420 patients to account for a potential loss to follow-up of 20%. A minimum of 335 patients in the contemporary cohort will enable an estimate of

amputation rate of 15% with a 95% confidence interval of 11.3-19.2%, which is clinically acceptable.

Ethics & dissemination

This study has received ethical approval from the UK National Research Ethics Service (19/LO/0132). The study sponsor is the University of Leicester and all their standard operating procedures will be followed ensuring this study complies with all relevant legislation and guidelines. Direct access will be granted to authorised representatives from the sponsor, host institution and regulatory authorities to permit study related monitoring, audit and inspection. The Chief Investigator (RS) will ensure that this study is conducted in full conformity with the Declaration of Helsinki (last amended October 2013).

As this is not considered a high-risk study, adverse events or adverse reactions will not be reported and there are many serious adverse events/reactions (SAEs/SARs) that are reasonably expected in this group of patients. Expected SAEs/SARs (Supplementary File 1) will not be reported. All suspected unexpected SAEs/SARs related to the study will be documented on the SAE form and reported to the sponsor within 24 hours of the investigator becoming aware of the event.

A summary of findings will be available to patients on request. It is the intention to disseminate any findings from the study at relevant national and international meetings and in peer-reviewed medical journals. Results will also be distributed through social media and in plain language using our University accounts to increase access to the general public. All clinicians and researchers involved will be acknowledged in written papers. For each output a writing team will be convened from the study group and external collaborators (where

necessary). Authorship will be decided on a paper-by-paper basis. Anonymised study data will be available on request to the Chief Investigator (RS).

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Figure Legends

Figure 1: Study overview. Numbers are estimates for the whole two-year recruitment period and based on local audit data from 2018/19.

MRI, Magnetic resonance imaging.

Figure 2: Cardiac MRI protocol including Dixon function for abdominal visceral and subcutaneous fat.

L3, 3rd Lumbar vertebra; 4/3/2 Ch, 4/3/2 chamber; SAO, sagittal oblique.

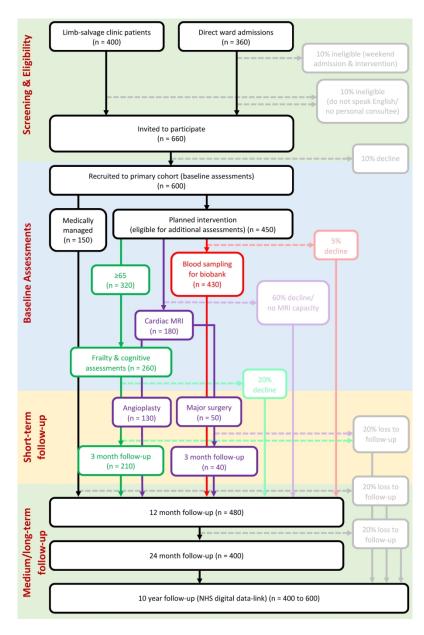


Figure 1: Study overview.

Numbers are estimates for the whole two-year recruitment period and based on local audit data from 2018/19.

MRI, Magnetic resonance imaging

175x266mm (300 x 300 DPI)

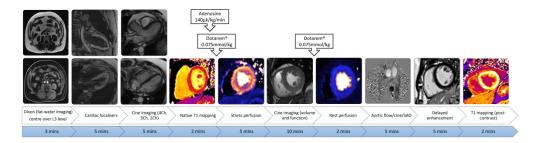


Figure 2: Cardiac MRI protocol including Dixon function for abdominal visceral and subcutaneous fat. L3, 3rd lumbar vertebra; 4/3/2Ch, 4/3/2 chamber; SAO, sagittal oblique.

338x90mm (300 x 300 DPI)

Expected adverse events and serious adverse events

Cardiac

Angina

Ankle swelling and

peripheral oedema

Arrhythmias Cardiac arrest

Cardiac failure

Myocardial infarction

Palpitations

Poor exercise tolerance

Shortness of breath

Tiredness and exhaustion

Respiratory

Chest infection

Cough

Exacerbation of COPD

Pneumonia

Pulmonary embolus

Respiratory failure

Leg problems

Bruising Cellulitis

Debridement of leg/foot

wound

DVT

Foot amputation Haematoma

Lymph leak

Minor amputation of toes

Osteomyelitis of leg/foot/toes

Pain

Phlebitis

Wound breakdown

Wound infection

Diabetes

Drug reactions

Hyperglycaemia

Hypoglycaemia

Infections

Injections site problems

Neuropathy

General

Abdominal pain

Anxiety

Arm / hand pain

Backache

Breast lumps

Breast pain

Cellulitis Constipation

Delirium

Depression

Diarrhoea

Earache Falls

Fractures

Gastrointestinal bleeding

Gastro-oesophageal

reflux disease

Gynaecological bleeding

Head injury

Headache

Indigestion

Influenza

Intra-abdominal

infections and sepsis

Jaundice

Joint inflammation

Joint pain

Leg/foot pain Liver disease

LIVEI GISCUSC

Malaise

Malignancy

Neck and spine pain

Neck pain

Necrotising fasciitis

Nose bleeds

Osteoarthritis

Other forms of arthritis

Parkinson disease

Pelvic pain

Peptic ulcer disease

Piles and perianal disease

Prostatism

Psychiatric illness

Rectal bleeding

Renal impairment

Sciatica Skin lesions

Skin rashes

Soft tissue inflammation

Stress Stroke

Transient ischaemic

attack

Toothache

Urinary retention
Urinary tract infection

Vomiting

BMJ Open

Leg Ischaemia Management collaboration (LIMb): study protocol for a single-centre prospective cohort study

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SCHOLARONE™ Manuscripts

<u>Title: Leg Ischaemia Management collaboration (LIMb): study protocol</u> <u>for a single-centre prospective cohort study</u>

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Author contributions: All authors contributed to the study design. J.S.M.H. contributed to writing the study protocol and drafted and revised the paper. S.N., A.N., S.S., S.P.C., V.J.H. and G.P.M. contributed to writing the study protocol and revised the draft paper. T.J.P. is the study manager, edited the study protocol and revised the draft paper. S.S. wrote the data analysis plan and study database, and revised the draft paper. M.N. and L.J.G. wrote the statistical analysis plan and revised the draft paper. G.M., H.R., T.R., and M.J.B. revised the draft paper. R.S.M.D. is principle investigator and revised the draft paper. R.D.S. is chief investigator, wrote the study protocol and revised the draft paper.

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Competing interests statement: R. Davies has acted as a speaker for Gore Medical and has received educational grants from Terumo/Aortic outside the submitted work.

Study protocol

Abstract

Introduction

Severe limb ischaemia (SLI) is the end-stage of peripheral arterial occlusive disease where the viability of the limb is threatened. Around 25% of patients with SLI will ultimately require a major lower limb amputation which has a substantial adverse impact on quality of life. A newly established rapid-access vascular limb salvage clinic and modern revascularisation techniques may reduce amputation rate. The aim of this study is to investigate the 12-month amputation rate in a contemporary cohort of patients and compare this to a historical cohort. Secondary aims are to investigate the use of frailty and cognitive assessments, and cardiac MRI in risk-stratifying patients with SLI undergoing intervention and establish a biobank for future biomarker analyses.

Methods & analysis

This single-centre prospective cohort study will recruit patients aged 18-110 years presenting with SLI. Those undergoing intervention will be eligible to undergo additional venepuncture (for biomarker analysis) and/or cardiac MRI. Those aged ≥65 years and undergoing intervention will also be eligible to undergo additional frailty and cognitive assessments. Follow-up will be at 12 and 24 months and subsequently via data-linkage with NHS digital to 10 years post-recruitment. Those undergoing cardiac MRI and/or frailty assessments will receive additional follow-up during the first 12 months to investigate for peri-operative myocardial infarction and frailty related outcomes, respectively. A sample size of 420 patients will be required to detect a 10% reduction in amputation rate in comparison to a similar sized historical cohort, with 90% power and 5% type-I error rate.

Statistical analysis of this comparison will be by adjusted and unadjusted logistic regression analyses.

Ethics & dissemination

Ethical approval for this study has been granted by the UK National Research Ethics Service (19/LO/0132). Results will be disseminated to participants, via scientific meetings, peer-reviewed medical journals and social media.

Study registration

ClinicalTrials.gov [NCTXXXXXXXX]

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Article summary

Strengths & limitations of this study

- This prospective study of a contemporary cohort of patients with severe limb ischaemia (including both patients with and without diabetes) will provide a valuable update to observational evidence.
- The inclusion of patients lacking capacity reduces potential selection bias.
- The use of comprehensive assessments of frailty syndromes and cardiac MRI may provide valuable additional strategies to assess risk.
- The single-centre study design will limit the generalisability of its results.
- The retrospective collection of historical control data and observational nature of the study will limit the strength of evidence of the results.

Introduction

Peripheral arterial occlusive disease (PAOD) is a chronic condition caused by atherosclerosis of arteries supplying the lower limbs. In high-income countries its prevalence is over 10% in those aged over 65.¹ PAOD is often asymptomatic but as the disease progresses patients may develop symptoms such as intermittent claudication.² Severe limb ischaemia (SLI) is the end-stage of PAOD in which the viability of the limb is threatened due to the degree of arterial disease and subsequent ischaemia in the peripheral tissues, and is characterised by ischaemic rest pain and tissue necrosis, leading to ulceration and gangrene.³ Treatment options include open surgical and endovascular revascularisation, with or without surgical debridement of affected tissues, amputation of toes and drainage of sepsis. In some patients revascularisation is not possible and primary major lower limb amputation, or amputation after failed attempted revascularisation, is required.⁴

Lower limb amputation is a life-changing tragedy for the patient and a huge financial burden to the NHS. Between 2003 and 2013 over 4000 major lower limb amputations were performed each year in England alone.⁵ With the rising prevalence of diabetes and diabetic foot disease (DFD), a diabetes-related major lower limb amputation is performed every 30 seconds worldwide and over 7000 diabetes-related major and minor amputations are now performed each year in England and Wales.⁶⁷ Major lower limb amputation negatively affects quality of life due its significant impact on mobility, independence, social isolation and ability to carry out activities of daily living.⁸ Only around 20% of those undergoing an amputation for PAOD are independently ambulant one-year post procedure.⁹¹⁰

Frailty, cognitive impairment and delirium

A major challenge in managing patients with SLI is decision making regarding optimum treatment strategies. As well as anatomical considerations regarding revascularisation strategy, an accurate assessment of both the risk of treatment failure and morbidity associated with a procedure should be taken into account. This is particularly challenging in patients with SLI as they are often elderly with multiple comorbidities. 11 Frailty is a distinct health state and is increasingly recognised as a major contributor to adverse outcomes in surgical patients. 12 Frailty is defined as "a medical syndrome with multiple causes and contributors that is characterized by diminished strength, endurance, and reduced physiologic function that increases an individual's vulnerability for developing increased dependency and/or death". 13 Around 10% of people aged over 65 years are frail, increasing to more than 30% of those aged over 85, whilst prevalence of frailty in patients with SLI may be over 50%. 11 14 15 Frail patients are less able to respond to acute stressors, such as major surgery, and are therefore more susceptible to adverse health outcomes such as mortality and post-operative complications. 16 17 Multiple validated tools used to assess patients for frailty have been developed which can be utilised in pre-operative assessment. 13 18 Both cognitive impairment and sarcopenia are also of interest in this cohort as they are associated with frailty, vascular disease and vascular risk factors. 19-21 Cognitive impairment, encompassing a spectrum of diseases from mild cognitive impairment to dementia, manifests as memory problems, poor concentration and difficulty making decisions about things affecting everyday life. Sarcopenia is a "progressive and generalised skeletal muscle disorder that is associated with increased likelihood of adverse outcomes" and defined as low muscle strength plus low muscle quantity or quality and/or low physical performance.²² Sarcopenia has been assessed both clinically and radiologically (using cross-sectional

imaging) in vascular patients.²³ ²⁴ Frailty, cognitive impairment and sarcopenia have been implicated in worse outcome following vascular surgery.¹⁷ Post-operative delirium is one such outcome which has been shown to be associated both with frailty in vascular patients and worse short- and long-term outcome following vascular surgery.²⁵ ²⁶

Coronary artery disease (CAD)

CAD is a highly prevalent and major co-morbidity of patients with PAOD. Very few vascular surgery patients have normal coronary arteries on coronary angiography.²⁷ Coronary angiography has also shown that around 60% of vascular surgery patients have severe CAD (>70% stenosis), and in those without symptoms or history of ischaemic heart disease undergoing vascular surgery, around 70% have evidence of CAD, half of whom have severe disease.^{27 28} Patients with PAOD have a 20-60% increased risk for myocardial infarction (MI) and a two- to six-fold increased risk of death due to CAD related events. ²⁹ Peri-operative MI (based on elevated troponin) has been reported in up to 24% of vascular patients, with 82% of these not exhibiting symptoms.³⁰

Cardiac magnetic resonance imaging (MRI) is the most sensitive imaging modality for the detection of myocardial necrosis/infarct and is highly accurate for the detection of reversible ischaemia in a single examination. Modern software allows images to be acquired completely free-breathing, using motion-correction techniques and highly accelerated sequences, which is particularly important for the frail or breathless patient. In addition myocardial blood flow can be quantified fully automatically within minutes of acquisition allowing measurement of stress and rest myocardial blood flow and perfusion reserve. The identification of significant cardiac disease in these patients may allow

further risk assessment and stratification to ensure that each patient has an optimum treatment plan.

Rationale

The most widely cited estimates of outcomes in patients with SLI are from the Trans-Atlantic Consensus Statement II (2007) which state that 50% will initially undergo revascularisation and 25% primary amputation, and at one-year 30% will be alive and have had an amputation whilst 25% will have died.⁴ In recent years there has been a marked demographic shift with increasing prevalence of diabetes among patients with SLI and intervention in older, frailer patients. 11 38 Whilst there has been little change in recommended medical therapy of PAOD, 439 new endovascular technologies and improved techniques such as ultra-distal bypass and Covered Endovascular Revascularisation of Aortic Bifurcation (CERAB) allow increasingly aggressive revascularisation strategies.⁴⁰⁻⁴² The Leicester Vascular Institute (based at Glenfield Hospital, University Hospitals of Leicester NHS Trust) is a tertiary referral vascular unit providing all vascular services for Leicestershire and Rutland, compromising a diverse population of approximately 1.1 million. Both a recently established rapid-access limb salvage clinic, and direct vascular admission for all patients admitted as an emergency for DFD, aim to improve outcomes in patients with SLI and ultimately reduce the need for major lower limb amputation in line with recent guidelines from The Vascular Society of Great Britain and Ireland. 43 A contemporary cohort study will provide useful evidence of outcomes in the context of current SLI demographics, revascularisation strategies and improved care pathways. Additionally, this prospective cohort study provides an opportunity to make a detailed assessment of frailty, cognitive impairment, and ischaemic heart disease (as detected by cardiac MR) in patients with SLI, as

well as establish a biobank for future biomarker analysis, to establish each of their roles in risk stratifying patients undergoing intervention for SLI.

Study aims

Primary aim

The primary aim of this study is to determine the proportion of patients with SLI undergoing major lower limb amputation within 12 months of presentation. The primary hypothesis is that contemporary amputation rate is lower than historical data.

Secondary aims

Secondary aims are to assess clinically important short- (≤3-months), medium- (3-12 months) and long-term (>12 months) outcomes in those undergoing and not undergoing amputation. We also aim to determine prevalence and degree of frailty, cognitive impairment, and cardiac disease (detected by stress MRI), as well as establish a biobank for future analysis of biomarkers, in those patients undergoing procedures for SLI. This may allow risk stratification, and also to investigate whether patients with a higher risk of poor peri-operative outcome or long-term worse prognosis are undergoing procedures with increased risk of morbidity and mortality.

Methods & analysis

Study design

This study will be a single-centre prospective observational cohort study undertaken at the Leicester Vascular Institute. Recruitment will continue for a period of 2 years with a total of 10 years follow-up.

Primary outcome measure

The primary outcome measure of the study is major amputation rate.

Secondary outcome measures

Secondary outcome measures for short-, medium- and long-term follow-up data include, but not limited to: quality of life, functional performance, anxiety and depression, morbidity, mortality and amputation-free survival.

Study participants and eligibility

Eligibility & consent

All patients with SLI attending the Leicester Vascular Institute will be screened for their eligibility. Those eligible will be given verbal and written information detailing the nature of the study and time to decide whether they want to participate. Patients will be eligible for inclusion up until the point they undergo an intervention. Full written informed consent will be taken for patients agreeing to participate in the study. Consent will be gained to allow investigators to undertake additional assessments not routinely performed in this cohort of patients, as well as to review medical records for both baseline data and outcomes measured at various points during the 24 months following recruitment. Consent will also be taken for data-linkage with NHS digital records for long-term outcomes at 10 years post recruitment.

The researchers will have primary responsibility for assessing the capacity of the individual to participate in research according to the Mental Capacity Act 2005. Given the low risk and burden of this study to patients, it is reasonable to seek consultee consent in patients who do not have capacity. A 'hierarchy' of consent will be followed; this approach to the enrolment process has successfully been used in recent NIHR-funded studies.⁴⁴

- Potential patients with capacity are recruited, provided they are willing to provide consent.
- Where patients do not have capacity, they may be recruited using consultee
 agreement (such as an unpaid carer or next of kin) under section 31(2) of the Mental
 Capacity Act 2005.
- 3. Checks will be made with the potential patient's GP or hospital records that there is no relevant advance decision relating to research under section 33(2).
- 4. We will use a consultee under section 32(3) whenever possible.

Personal consultees will be provided with verbal and written information detailing their role and the nature of the study. They will be given time to decide whether they feel the patient would want to participate in the study and have an opportunity to ask questions prior to completing the personal consultee declaration form. Both the clinical and research team will endeavour to identify a potential personal consultee for any eligible patient that lacks capacity, however if one cannot be identified the patient will not be invited to participate in the study.

Primary cohort

All patients attending the Leicester Vascular Institute with a diagnosis of SLI will be considered for inclusion to the study. SLI is defined as pain at night or at rest and/or ulceration or gangrene in the affected limb(s) for a minimum of two weeks attributed to confirmed PAOD.⁴⁵

<u>Historical controls</u>

A retrospective cohort of historical controls with SLI will be identified for a 24 month period (2013-2015) prior to establishing the Leicester Vascular Institute at the Glenfield Hospital

site. Approximately 420 patients with a primary admission diagnosis of SLI will be identified from local coding data using the ICD-10 code I70.2. Hospital paper and electronic records will be interrogated to identify baseline characteristics and outcomes including major amputation. This will be cross-referenced against Trust Hospital Episode Statistics data for major amputations (OPCS-4 code X09) to ensure accuracy.

Additional assessments

Patients recruited to the primary cohort who are offered intervention (minor amputation/debridement, revascularisation or primary major amputation) will also be given the opportunity to participate in additional assessments, depending on them meeting the inclusion/exclusion criteria. These additional assessments will investigate frailty and cognitive impairment, and cardiac dysfunction on short- and medium-term outcomes.

Patients undergoing intervention will also be eligible for venepuncture to collect serum and plasma samples to establish a biobank for future biomarker analyses. Patients who are treated medically with no planned intervention will be eligible for recruitment to the primary cohort only.

Inclusion & Exclusion criteria

Full inclusion and exclusion criteria are detailed in Table 1.

Table 1: Inclusion & Exclusion criteria

Primary Cohort

Inclusion criteria:

- Patients presenting to the Leicester Vascular Institute with SLI
- Patients aged 18 110 years

Exclusion criteria:

- SLI not caused by PAOD
- Patients undergoing intervention during their index presentation prior to recruitment
- Patients lacking capacity with no accompanying next of kin, relative, partner of friend who can act as a personal consultee
- Patients who cannot read, write or understand English
- Any significant disease or disorder which may either put the patients at risk because of participation in the study or may influence the results of the study or the patient's ability to participate in the study

Frailty & cognitive impairment additional assessments

Inclusion criteria:

- Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI
- Patients aged >65 years

Exclusion criteria:

No additional exclusion criteria

Cardiac MRI additional assessments

Inclusion criteria:

 Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI

Exclusion criteria:

- Absolute contraindications to cardiac MRI:
- Pregnancy; non MR safe PPM/ICD; non MR safe intra-auricular implants; non MR safe intracranial clips; severe claustrophobia; unstable angina
- Contraindication to gadolinium contrast agent:
- Known adverse reaction; chronic renal failure (eGFR <30mL/min/1.73m²)
- Patients lacking capacity to consent for cardiac MRI

Biomarkers additional assessments

<u>Inclusion criteria:</u>

 Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI

Exclusion criteria:

No additional exclusion criteria

eGFR, Estimated glomerular filtration rate; ICD, Implantable cardioverter-defibrillator; MRI, Magnetic resonance imaging; PAOD, Peripheral arterial occlusive disease; PPM, Permanent pacemaker; SLI, Severe limb ischaemia.

Study procedures

A study overview with potential recruitment numbers (based on local audit data 2018/19) is detailed in Figure 1. Eligible patients may be recruited to multiple additional assessments dependent upon their consent.

Primary cohort

Baseline data will be collected from the standard admission proforma as part of routine attendance at the Leicester Vascular Institute, which includes:

- Presenting complaint
- Past medical history/comorbidities
- Social history (including smoking status)
- Current regular medications
- Observations
- Examination findings (lower limb pulses; Society of Vascular Surgery Wound, Ischaemia, foot Infection [WIfI] score;³⁸ Site, Ischaemia, Neuropathy, Bacterial infection, And Depth [SINBAD] score⁴⁶)
- Ankle brachial pressure index (ABPI)
- Lower limb arterial duplex report
- Clinical Frailty Scale (CFS)⁴⁷
- Vascular Quality of Life (VascuQol) Questionnaire⁴⁸

The following study data that will be collected in addition to routine clinic practice include:

- Barthel Activities of Daily Living (ADL) index⁴⁹
- Hospital Anxiety and Depression Scale (HADS)⁵⁰

At 12 months (+/- 1 month) patients will receive telephone follow-up to collect CFS, Barthel ADL index, HADS, VascuQol and limb salvage data. These same data will be collected again at 24 months (+/- 1 month). Electronic hospital records will be interrogated to collect data regarding procedures patients have undergone for SLI during the 12 months post recruitment.

A long-term data-linkage process will be employed once the first patient has been recruited. This will provide mortality data for patients in the primary cohort and aid in project management (i.e. not sending follow-up requests to deceased patients). After the two-year follow-up period, patients in the primary cohort will be linked with NHS digital records to provide long-term follow-up data for a total 10 year period following recruitment.

Frailty & cognitive impairment additional assessments

Additional data collected at baseline will include:

- Edmonton Frail Scale (EFS)51
- Montreal Cognitive Assessment (MoCA)52
- Short Physical Performance Battery (SPPB)⁵³
- Grip strength (bilateral) measured using a dynamometer
- Single Question in Delirium (SQiD)⁵⁴
- 4 'A's Test (4AT) for rapid delirium screening⁵⁵

At 24 and 72 hours post-operatively patients will undergo screening for delirium using both the SQiD and 4AT. Patients who have been discharged from hospital prior to 24 and/or 72 hours post-operatively will undergo screening for delirium via telephone using the SQiD only (asking a relative or carer).

At 3 months (+/- 1 month) and 12 months (+/- 1 months) patients will attend a follow-up clinic where the following assessments will be undertaken: CFS, EFS, MoCA, SPPB, grip strength, Barthel ADL index, VascuQoL and HADS. No additional telephone follow-up will be required at 12 months as all relevant assessments will be undertaken during the 12 month clinic visit.

For patients who agree to participate in the frailty and cognitive impairment additional assessment, advanced consent will be gained to undertake delirium assessments post-operatively. It is possible that during the course of the follow-up period, patients may lose capacity before completing the study follow-up. Accordingly, advanced consent will be gained at the point of recruitment to undertake all the required assessments during follow-up. In the event that a patient loses capacity during the course of the study, a personal consultee will be sought to both confirm ongoing participation in the study and help complete the follow-up assessments.

Cardiac MRI additional assessments

The cardiac MR scan will be performed on a 3T scanner (Siemans Skyra or Vida) using a 32ch cardiac coil. The MRI protocol is outlined in Figure 2 and will include⁵⁶:

- i. Left ventricular (LV) function cine imaging
- ii. Adenosine and/or dobutamine stress and rest perfusion imaging
- iii. Late gadolinium enhancement
- iv. If time permits and the patient is able to tolerate the scan, additional sequences such as aortic distensibility and angiography may be performed.

Patients will be fully counselled about the procedure (which may cause slight discomfort and claustrophobia) and written consent gained prior to the MRI scan. A standard MRI

safety questionnaire will be completed prior to the scan to ensure the safety requirements are satisfied. A 12-lead ECG will be performed within 72 hours prior to the cardiac MRI scan.

Two intravenous cannulae will be inserted for administration of adenosine or dobutamine and gadolinium contrast agent. Patients may receive intravenous sedation if they are anxious or claustrophobic. Adenosine will be administered at 140µg/kg/min, limited to 3-4 minutes to minimise patient discomfort. If there is no haemodynamic response and/or the patient does not experience any effects, the infusion may be prolonged or the dose may be increased incrementally to 210µg/kg/min (at the discretion of the supervising physician). In patients with an absolute contraindication to adenosine (severe asthma/COPD, high degree of atrioventricular block, theophylline usage) dobutamine/atropine will be administered as the stressor agent. The dose of dobutamine will commence at 20µg/kg/min for those with preserved ejection (10µg/kg/min for patients with significant LV dysfunction) and increased incrementally until age-predicted target heart rate is reached (≥85% of 220-age beats per minute). It is anticipated the maximum dose administered will be 30µg/kg/min.⁵⁷ Atropine, 0.6-1.8mg, may be used to achieve predicted maximum heart rate. Chest pain, arrhythmias and induction of wall motion abnormality are indications to stop the infusion and a patient's heart rate and blood pressure will be monitored closely. Patients will be reassured throughout and it will be made clear they can stop the MRI scan at any time.

Cardiac MR analysis will be both qualitative and quantitative. Local on-site reporting will include any regional motion abnormality by visual analysis using the 17-segment AHA model,⁵⁸ and each segment will be scored as 0 (normal), 1 (mild hypokinesia), 2 (severe hypokinesia), 3 (akinesia), or 4 (dyskinesia). Hypoperfusion (ischaemia) will be assessed by a visual comparison of stress and rest cardiac MR perfusion scan in 16 of the 17 AHA model

segments (excluding the apical cap segment) with scores of: 0 (normal), 1 (equivocal), 2 (subendocardial ischaemia), or 3 (transmural ischaemia). Any infarct (scar) will be reported based on the late gadolinium-enhancement images (17 segment AHA model) with scores of 0 (none), 1 (1-25%), 2 (26-50%), 3 (51-75%), or 4 (>75%) for each segment. Patients who undergo open surgical revascularisation or major lower limb amputation (major surgery) will undergo an additional follow-up scan at 2-4 months post-operatively to investigate for incidence of peri-operative myocardial infarction.⁵⁹

All patients undergoing cardiac MRI will be consented to have an additional axial image at the level of the 3rd lumbar vertebrae during the initial scan only, which will be performed before the cardiac sequences. This will image psoas, paraspinal and abdominal wall muscles allowing the total skeletal muscle areas to be measured as assessments of sarcopenia.⁶⁰

Biomarkers additional assessments

Venepuncture will be performed by a qualified member of the research team and blood collected using an S-Monovette® 9ml K2 EDTA blood tube and an S-Monovette® 7.5ml Serum Gel blood tube with the aim of collecting 6 x 500µL aliquots of both serum and plasma. All samples will be stored at the NIHR Leicester Biomedical Research Centre located at Glenfield Hospital for subsequent analysis. Consent will be gained to use these samples in future biomarker analyses. All samples will be anonymously data-linked with clinical baseline and outcome data for patients recruited to the biomarkers additional assessments.

Study assessment schedule

An overview of study procedures and assessment schedule is detailed in Table 2.

Table 2: Study procedures and patient assessment schedule

Procedure/assessment	Baseline	24 hrs post intervention	72 hrs post intervention	3 month F/U visit	12 month F/U visit	12 month telephone F/U	24 month telephone F/U
Patient consent/ consultee declaration	•						
Clinical frailty scale	•			•	•	•	•
Barthel Index	•	Or		•	•	•	•
VascuQoL	•	10		•	•	•	•
HADS	•	, – (0,	•	•	•	•
Edmonton frail scale	•			•	•		
MoCA	•			•	•		
SPPB	•			•	•		
Grip strength	•			•	•		
SQiD	•	•	•				
4AT	•	•	•				
Cardiac MRI	•			•			
Blood sample collection	•						

4AT, 4 'A's Test (4AT) for rapid delirium screening; HADS, Hospital Anxiety and Depression Scale; MoCA, Montreal Cognitive Assessment; MRI, Magnetic Resonance Imaging; SQiD, Single Question in Delirium; VascuQoL, Vascular Quality of Life questionnaire.

Additional assessments: Frailty & cognitive; Cardiac MRI; Biomarkers.



<u>Definition of end of study</u>

The end of study date is defined by the date the long-term outcomes are collected from NHS digital, which will be ongoing for 10 years following the date the final patient is recruited to the primary cohort.

Discontinuation/withdrawal from the study

A patient, or personal consultee, may withdraw from the study at any point without giving any reason with no effect to their medical care or legal rights. This will be explained at recruitment and each follow-up contact. If the patient (or personal consultee) withdrawing from the study voluntarily offers a reason for their withdrawal this will be documented in the medical notes and collected as part of the research data. Patient data collected up to the point of withdrawal will be used in the analysis unless the patient (or personal consultee) has withdrawn consent for all data to be used. Similarly if they withdraw consent for blood samples to be used prior to analysis these will be destroyed. Long-term data will be collected via data-linkage unless consent is withdrawn.

Study patient and public involvement (PPI) group

A PPI group involving patients, and their carers, with experience of being treated for SLI at the Leicester Vascular Institute has been established for this study. Members of the PPI group have been directly involved in the development of the study, the information material and provided insight on the burden of the study from a patient's perspective. The group will continue to meet throughout the duration of the study to be informed of the progress and have the opportunity to advise regarding the ongoing delivery of the study.

Planned study dates

The study began on 10th May 2019 and recruitment will continue until the 9th May 2021. The study will end on 9th May 2031 after completion of 10-year follow-up via NHS digital.

Data & analysis

Study data will be collected and managed using REDCap (Research Electronic Data Capture) hosted at the University of Leicester. 61 REDCap is a secure, web-based application designed to support data capture for research studies.

A full statistical analysis plan will be written and agreed by all investigators before database lock. A range of continuous and categorical data will be collected at baseline and at various time points on the patients recruited into the primary cohort and the additional assessments. Descriptive statistics of the baseline data will be presented using appropriate tabular and graphical methods both for the prospective cohort and the historical controls. Comparability of the cohorts in terms of baseline characteristics will be assessed using appropriate statistical tests, for example t-tests for continuous variables and chi square tests for categorical.

The proportion of patients undergoing a major amputation within the first 12 months will be calculated and presented with 95% confidence intervals (95% CI). The prospective cohort will be compared to the historical controls using logistic regression with major amputation within 12 months as the dependent variable. Given there maybe systematic differences between the prospective and historical cohorts adjustment for propensity score will be used. The propensity score model will include all baseline characteristics available in both cohorts as independent variables with cohort (prospective/historical) as the dependent variable. The final form of the propensity score model will specified in the statistical analysis plan prior to analysis commencing. The model will be run both unadjusted and

adjusted for the propensity score. An adjustment for propensity score, rather than propensity score matching, will be used to ensure that all participants are included in the analysis. 62 63

A similar modelling strategy will be employed to assess the association between interventions (amputation versus no amputation) and short-, medium- and long-term outcomes. Cox proportional hazards modelling will be used to assess association with both mortality and amputation free survival. The prevalence (95% CI) will also be calculated for frailty, cognitive impairment, and cardiac disease (detected by stress MRI).

The assumptions of all models fitted will be assessed. The levels and reasons for missing data for all key outcomes and confounders will be assessed. Where more than 5% of data are missing, multiple imputation will be used. Where less than 5% are missing a complete case analysis will be performed.

Statistical analyses will be two-sided with a 5% significance level.

Sample size calculation

The historical amputation rate among patients with SLI is around 25%.⁴ We anticipate a reduction in amputation rate among this contemporary cohort in the region of 10%. A sample size of 335 patients will be required to detect a 10% reduction in amputation rate in comparison to a similar sized historical cohort, with 90% power and type-I error rate of 5%. The target sample size will be 420 patients to account for a potential loss to follow-up of 20%. A minimum of 335 patients in the contemporary cohort will enable an estimate of amputation rate of 15% with a 95% confidence interval of 11.3-19.2%, which is clinically acceptable.

Ethics & dissemination

This study has received ethical approval from the UK National Research Ethics Service (19/LO/0132). The study sponsor is the University of Leicester and all their standard operating procedures will be followed ensuring this study complies with all relevant legislation and guidelines. Direct access will be granted to authorised representatives from the sponsor, host institution and regulatory authorities to permit study related monitoring, audit and inspection. The Chief Investigator (RS) will ensure that this study is conducted in full conformity with the Declaration of Helsinki (last amended October 2013).

As this is not considered a high-risk study, adverse events or adverse reactions will not be reported. There are many serious adverse events (SAEs) that are reasonably expected in this group of patients. Expected SAEs (Supplementary File 1) that are unrelated to the study will not be reported. All unexpected SAEs and serious adverse reactions (SARs) related to the study will be documented on the SAE form and reported to the sponsor within 24 hours of the investigator becoming aware of the event.

A summary of findings will be available to patients on request. It is the intention to disseminate any findings from the study at relevant national and international meetings and in peer-reviewed medical journals. Results will also be distributed through social media and in plain language using our University accounts to increase access to the general public. All clinicians and researchers involved will be acknowledged in written papers. For each output a writing team will be convened from the study group and external collaborators (where necessary). Authorship will be decided on a paper-by-paper basis. Anonymised study data will be available on request to the Chief Investigator (RS).

Discussion

The results of this study should provide a valuable update to current observational evidence regarding contemporary outcomes of patients with SLI. The additional assessments will also provide useful observational evidence, which may help risk-stratify patients both in terms of risk of amputation and mortality based on frailty, cognitive impairment and cardiac MRI findings. Future biomarker analyses of samples collected during the study may also provide evidence for established and novel biomarkers in the risk-stratification of patients with SLI. The facility to include patients without capacity to consent will reduce selection bias and should provide far more representative data particularly regarding older patients with SLI, and the prevalence of frailty and cognitive impairment in this cohort.

Limitations

There are a number of limitations to this study. As this is a single-centre study the generalisability of the results will be limited, particularly as amputation rate will be impacted on by local management strategies and patient demographics. Additionally, there is not the resource to provide for recruitment of non-English speaking patients. Whilst we do not anticipate significant numbers of patients will be excluded for this reason, as Leicester has an ethnically diverse population, results from this study may not be reflective of the whole population.

Prospective data has not been collected for patients with SLI presenting prior to the establishment of the rapid-access limb salvage clinic and improved SLI and DFD management pathways: subsequently data will be retrospectively collected for a historical cohort to compare amputation rates. Any observed differences in amputation rates may therefore be due to factors other than modern revascularisation techniques and improved

care pathways. Statistical analyses with adjustment for propensity score may mitigate this to a degree, however any observed effect may still be liable to unidentified confounding. Furthermore, retrospective data collected will not be as reliable as the data from the prospective cohort limiting the comparison between the two cohorts, however it is difficult to determine whether this may under- or over-estimate the amputation rate in the historical cohort prior to completing historical data collection. Frailty, cognitive function, cardiac MRI and biomarker analysis will not be available for patients in the historical cohort so no comparisons will be possible.

Conclusion

The LIMb study will report the current amputation rate in patients presenting with severe limb ischaemia. It aims to quantify the impact of a rapid-access vascular limb salvage clinic and improved management pathways on amputation rate, and the potential role for cardiac MR, frailty and cognitive assessments, and biomarker analysis in risk stratifying patients undergoing intervention for SLI.

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Figure Legends

Figure 1: Study overview. Numbers are estimates for the whole two-year recruitment period and based on local audit data from 2018/19.

MRI, Magnetic resonance imaging.

Figure 2: Cardiac MRI protocol including Dixon function for abdominal visceral and subcutaneous fat.

L3, 3rd Lumbar vertebra; 4/3/2 Ch, 4/3/2 chamber; SAO, sagittal oblique.

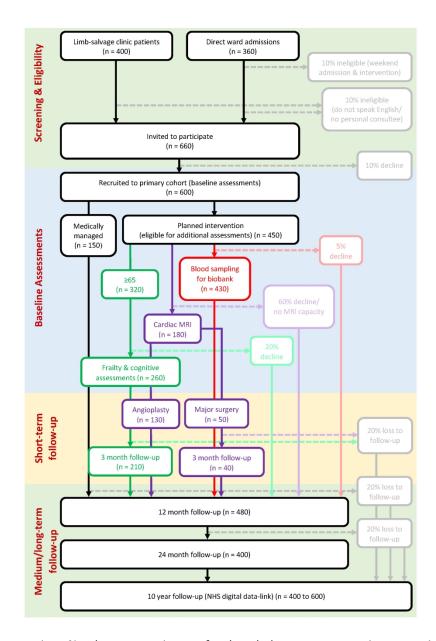


Figure 1: Study overview. Numbers are estimates for the whole two-year recruitment period and based on local audit data from 2018/19.

MRI, Magnetic resonance imaging.

182x271mm (300 x 300 DPI)

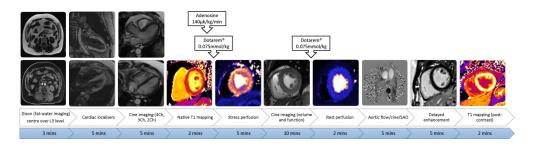


Figure 2: Cardiac MRI protocol including Dixon function for abdominal visceral and subcutaneous fat. L3, 3rd Lumbar vertebra; 4/3/2 Ch, 4/3/2 chamber; SAO, sagittal oblique.

338x91mm (300 x 300 DPI)

Expected adverse events and serious adverse events

Cardiac

Angina

Ankle swelling and peripheral oedema

Arrhythmias Cardiac arrest Cardiac failure

Myocardial infarction

Palpitations

Poor exercise tolerance Shortness of breath

Tiredness and exhaustion

Respiratory

Chest infection

Cough

Exacerbation of COPD

Pneumonia

Pulmonary embolus

Respiratory failure

Leg problems

Bruising Cellulitis

Debridement of leg/foot

wound DVT

Foot amputation Haematoma

Lymph leak

Minor amputation of toes

Osteomyelitis of leg/foot/toes

Pain Phlebitis

Wound breakdown

Wound infection

Diabetes

Drug reactions

Hyperglycaemia

Hypoglycaemia

Infections

Injections site problems

Neuropathy

General

Abdominal pain

Anxiety

Arm / hand pain

Backache

Breast lumps

Breast pain Cellulitis

Constipation

Delirium Depression

Diarrhoea Earache

Falls

Fractures

Gastrointestinal bleeding

Gastro-oesophageal

reflux disease

Gynaecological bleeding

Head injury

Headache

Indigestion

Influenza

Intra-abdominal

infections and sepsis

Jaundice

Joint inflammation

Joint pain

Leg/foot pain

Liver disease Malaise

iviaiaise

Malignancy

Neck and spine pain

Neck pain

Necrotising fasciitis

Nose bleeds

Osteoarthritis

Other forms of arthritis

Parkinson disease

Pelvic pain

Peptic ulcer disease

Piles and perianal disease

Prostatism

Psychiatric illness

Rectal bleeding Renal impairment

Sciatica

Skin lesions Skin rashes

Soft tissue inflammation

Stress Stroke

Transient ischaemic

attack Toothache

Urinary retention

Urinary tract infection

Vomiting

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Leg Ischaemia Management collaboration (LIMb): study protocol for a prospective cohort study at a single UK centre

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<u>Title: Leg Ischaemia Management collaboration (LIMb): study protocol</u> for a prospective cohort study at a single UK centre

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Study protocol

Abstract

Introduction

Severe limb ischaemia (SLI) is the end-stage of peripheral arterial occlusive disease where the viability of the limb is threatened. Around 25% of patients with SLI will ultimately require a major lower limb amputation which has a substantial adverse impact on quality of life. A newly established rapid-access vascular limb salvage clinic and modern revascularisation techniques may reduce amputation rate. The aim of this study is to investigate the 12-month amputation rate in a contemporary cohort of patients and compare this to a historical cohort. Secondary aims are to investigate the use of frailty and cognitive assessments, and cardiac MRI in risk-stratifying patients with SLI undergoing intervention and establish a biobank for future biomarker analyses.

Methods & analysis

This single-centre prospective cohort study will recruit patients aged 18-110 years presenting with SLI. Those undergoing intervention will be eligible to undergo additional venepuncture (for biomarker analysis) and/or cardiac MRI. Those aged ≥65 years and undergoing intervention will also be eligible to undergo additional frailty and cognitive assessments. Follow-up will be at 12 and 24 months and subsequently via data-linkage with NHS digital to 10 years post-recruitment. Those undergoing cardiac MRI and/or frailty assessments will receive additional follow-up during the first 12 months to investigate for peri-operative myocardial infarction and frailty related outcomes, respectively. A sample size of 420 patients will be required to detect a 10% reduction in amputation rate in comparison to a similar sized historical cohort, with 90% power and 5% type-I error rate.

Statistical analysis of this comparison will be by adjusted and unadjusted logistic regression analyses.

Ethics & dissemination

Ethical approval for this study has been granted by the UK National Research Ethics Service medical journals

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Article summary

Strengths & limitations of this study

- This prospective study of a contemporary cohort of patients with severe limb ischaemia (including both patients with and without diabetes) will provide a valuable update to observational evidence.
- The inclusion of patients lacking capacity reduces potential selection bias.
- The use of comprehensive assessments of frailty syndromes and cardiac MRI may provide valuable additional strategies to assess risk.
- The single-centre study design will limit the generalisability of its results.
- The retrospective collection of historical control data and observational nature of the study will limit the strength of evidence of the results.

Introduction

Peripheral arterial occlusive disease (PAOD) is a chronic condition caused by atherosclerosis of arteries supplying the lower limbs. In high-income countries its prevalence is over 10% in those aged over 65.1 PAOD is often asymptomatic but as the disease progresses patients may develop symptoms such as intermittent claudication.² Severe limb ischaemia (SLI) is the end-stage of PAOD in which the viability of the limb is threatened due to the degree of arterial disease and subsequent ischaemia in the peripheral tissues, and is characterised by ischaemic rest pain and tissue necrosis, leading to ulceration and gangrene.³ Treatment options include open surgical and endovascular revascularisation, with or without amputation of toes, surgical drainage of infection and debridement of infected/necrotic tissue. In some patients revascularisation is not possible and primary major lower limb amputation, or amputation after failed attempted revascularisation, is required.⁴ Lower limb amputation is a life-changing tragedy for the patient and a huge financial burden to the NHS. Between 2003 and 2013 over 4000 major lower limb amputations were performed each year in England alone. With the rising prevalence of diabetes and diabetic foot disease (DFD), a diabetes-related major lower limb amputation is performed every 30 seconds worldwide and over 7000 diabetes-related major and minor amputations are now performed each year in England and Wales. ⁶⁷ Major lower limb amputation negatively affects quality of life due its significant impact on mobility, independence, social isolation and ability to carry out activities of daily living.8 Only around 20% of those undergoing an amputation for PAOD are independently ambulant one-year post procedure. 910

Frailty, cognitive impairment and delirium

A major challenge in managing patients with SLI is decision making regarding optimum treatment strategies. As well as anatomical considerations regarding revascularisation strategy, an accurate assessment of both the risk of treatment failure and morbidity associated with a procedure should be taken into account. This is particularly challenging in patients with SLI as they are often elderly with multiple comorbidities. 11 Frailty is a distinct health state and is increasingly recognised as a major contributor to adverse outcomes in surgical patients. 12 Frailty is defined as "a medical syndrome with multiple causes and contributors that is characterized by diminished strength, endurance, and reduced physiologic function that increases an individual's vulnerability for developing increased dependency and/or death". 13 Around 10% of people aged over 65 years are frail, increasing to more than 30% of those aged over 85, whilst prevalence of frailty in patients with SLI may be over 50%. 11 14 15 Frail patients are less able to respond to acute stressors, such as major surgery, and are therefore more susceptible to adverse health outcomes such as mortality and post-operative complications. 16 17 Multiple validated tools used to assess patients for frailty have been developed which can be utilised in pre-operative assessment. 13 18 Both cognitive impairment and sarcopenia are also of interest in this cohort as they are associated with frailty, vascular disease and vascular risk factors. 19-21 Cognitive impairment, encompassing a spectrum of diseases from mild cognitive impairment to dementia, manifests as memory problems, poor concentration and difficulty making decisions about things affecting everyday life. Sarcopenia is a "progressive and generalised skeletal muscle disorder that is associated with increased likelihood of adverse outcomes" and defined as low muscle strength plus low muscle quantity or quality and/or low physical performance.²² Sarcopenia has been assessed both clinically and radiologically (using cross-sectional

imaging) in vascular patients.²³ ²⁴ Frailty, cognitive impairment and sarcopenia have been implicated in worse outcome following vascular surgery.¹⁷ Post-operative delirium is one such outcome which has been shown to be associated both with frailty in vascular patients and worse short- and long-term outcome following vascular surgery.²⁵ ²⁶

Coronary artery disease (CAD)

CAD is a highly prevalent and major co-morbidity of patients with PAOD. Very few vascular surgery patients have normal coronary arteries on coronary angiography.²⁷ Coronary angiography has also shown that around 60% of vascular surgery patients have severe CAD (>70% stenosis), and in those without symptoms or history of ischaemic heart disease undergoing vascular surgery, around 70% have evidence of CAD, half of whom have severe disease.^{27 28} Patients with PAOD have a 20-60% increased risk for myocardial infarction (MI) and a two- to six-fold increased risk of death due to CAD related events. ²⁹ Peri-operative MI (based on elevated troponin) has been reported in up to 24% of vascular patients, with 82% of these not exhibiting symptoms.³⁰

Cardiac magnetic resonance imaging (MRI) is the most sensitive imaging modality for the detection of myocardial necrosis/infarct and is highly accurate for the detection of reversible ischaemia in a single examination. Modern software allows images to be acquired completely free-breathing, using motion-correction techniques and highly accelerated sequences, which is particularly important for the frail or breathless patient. In addition myocardial blood flow can be quantified fully automatically within minutes of acquisition allowing measurement of stress and rest myocardial blood flow and perfusion reserve. The identification of significant cardiac disease in these patients may allow

further risk assessment and stratification to ensure that each patient has an optimum treatment plan.

Rationale

The most widely cited estimates of outcomes in patients with SLI are from the Trans-Atlantic Consensus Statement II (2007) which state that 50% will initially undergo revascularisation and 25% primary amputation, and at one-year 30% will be alive and have had an amputation whilst 25% will have died.⁴ In recent years there has been a marked demographic shift with increasing prevalence of diabetes among patients with SLI and intervention in older, frailer patients. 11 38 Whilst there has been little change in recommended medical therapy of PAOD, 439 new endovascular technologies and improved techniques such as ultra-distal bypass and Covered Endovascular Revascularisation of Aortic Bifurcation (CERAB) allow increasingly aggressive revascularisation strategies.⁴⁰⁻⁴² The Leicester Vascular Institute (based at Glenfield Hospital, University Hospitals of Leicester NHS Trust) is a tertiary referral vascular unit providing all vascular services for Leicestershire and Rutland, compromising a diverse population of approximately 1.1 million. Both a recently established rapid-access limb salvage clinic, and direct vascular admission for all patients admitted as an emergency for DFD, aim to improve outcomes in patients with SLI and ultimately reduce the need for major lower limb amputation in line with recent guidelines from The Vascular Society of Great Britain and Ireland. 43 A contemporary cohort study will provide useful evidence of outcomes in the context of current SLI demographics, revascularisation strategies and improved care pathways. Additionally, this prospective cohort study provides an opportunity to make a detailed assessment of frailty, cognitive impairment, and ischaemic heart disease (as detected by cardiac MR) in patients with SLI, as

well as establish a biobank for future biomarker analysis, to establish each of their roles in risk stratifying patients undergoing intervention for SLI.

Study aims

Primary aim

The primary aim of this study is to determine the proportion of patients with SLI undergoing major lower limb amputation within 12 months of presentation. The primary hypothesis is that contemporary amputation rate is lower than historical data.

Secondary aims

Secondary aims are to assess clinically important short- (≤3-months), medium- (3-12 months) and long-term (>12 months) outcomes in those undergoing and not undergoing amputation. We also aim to determine prevalence and degree of frailty, cognitive impairment, and cardiac disease (detected by stress MRI), as well as establish a biobank for future analysis of biomarkers, in those patients undergoing procedures for SLI. This may allow risk stratification, and also to investigate whether patients with a higher risk of poor peri-operative outcome or long-term worse prognosis are undergoing procedures with increased risk of morbidity and mortality.

Methods & analysis

Study design

This study will be a single-centre prospective observational cohort study undertaken at the Leicester Vascular Institute. Recruitment will continue for a period of 2 years with a total of 10 years follow-up.

Primary outcome measure

The primary outcome measure of the study is major amputation rate.

Secondary outcome measures

Secondary outcome measures for short-, medium- and long-term follow-up data include, but not limited to: quality of life, functional performance, anxiety and depression, morbidity, mortality and amputation-free survival.

Study participants and eligibility

Eligibility & consent

All patients with SLI attending the Leicester Vascular Institute will be screened for their eligibility. Those eligible will be given verbal and written information detailing the nature of the study and time to decide whether they want to participate. Patients will be eligible for inclusion up until the point they undergo an intervention. Full written informed consent will be taken for patients agreeing to participate in the study. Consent will be gained to allow investigators to undertake additional assessments not routinely performed in this cohort of patients, as well as to review medical records for both baseline data and outcomes measured at various points during the 24 months following recruitment. Consent will also be taken for data-linkage with NHS digital records for long-term outcomes at 10 years post recruitment.

The researchers will have primary responsibility for assessing the capacity of the individual to participate in research according to the Mental Capacity Act 2005. Given the low risk and burden of this study to patients, it is reasonable to seek consultee consent in patients who do not have capacity. A 'hierarchy' of consent will be followed; this approach to the enrolment process has successfully been used in recent NIHR-funded studies.⁴⁴

- Potential patients with capacity are recruited, provided they are willing to provide consent.
- Where patients do not have capacity, they may be recruited using consultee
 agreement (such as an unpaid carer or next of kin) under section 31(2) of the Mental
 Capacity Act 2005.
- 3. Checks will be made with the potential patient's GP or hospital records that there is no relevant advance decision relating to research under section 33(2).
- 4. We will use a consultee under section 32(3) whenever possible.

Personal consultees will be provided with verbal and written information detailing their role and the nature of the study. They will be given time to decide whether they feel the patient would want to participate in the study and have an opportunity to ask questions prior to completing the personal consultee declaration form. Both the clinical and research team will endeavour to identify a potential personal consultee for any eligible patient that lacks capacity, however if one cannot be identified the patient will not be invited to participate in the study.

Primary cohort

All patients attending the Leicester Vascular Institute with a diagnosis of SLI will be considered for inclusion to the study. SLI is defined as pain at night or at rest and/or ulceration or gangrene in the affected limb(s) for a minimum of two weeks attributed to confirmed PAOD.⁴⁵

<u>Historical controls</u>

A retrospective cohort of historical controls with SLI will be identified for a 24 month period (2013-2015) prior to establishing the Leicester Vascular Institute at the Glenfield Hospital

site. Approximately 420 patients with a primary admission diagnosis of SLI will be identified from local coding data using the ICD-10 code I70.2. Hospital paper and electronic records will be interrogated to identify baseline characteristics and outcomes including major amputation. This will be cross-referenced against Trust Hospital Episode Statistics data for major amputations (OPCS-4 code X09) to ensure accuracy.

Additional assessments

Patients recruited to the primary cohort who are offered intervention (minor amputation/debridement, revascularisation or primary major amputation) will also be given the opportunity to participate in additional assessments, depending on them meeting the inclusion/exclusion criteria. These additional assessments will investigate frailty and cognitive impairment, and cardiac dysfunction on short- and medium-term outcomes.

Patients undergoing intervention will also be eligible for venepuncture to collect serum and plasma samples to establish a biobank for future biomarker analyses. Patients who are treated medically with no planned intervention will be eligible for recruitment to the primary cohort only.

Inclusion & Exclusion criteria

Full inclusion and exclusion criteria are detailed in Table 1.

Table 1: Inclusion & Exclusion criteria

Primary Cohort

Inclusion criteria:

- Patients presenting to the Leicester Vascular Institute with SLI
- Patients aged 18 110 years

Exclusion criteria:

- SLI not caused by PAOD
- Patients undergoing intervention during their index presentation prior to recruitment
- Patients lacking capacity with no accompanying next of kin, relative, partner of friend who can act as a personal consultee
- Patients who cannot read, write or understand English
- Any significant disease or disorder which may either put the patients at risk because of participation in the study or may influence the results of the study or the patient's ability to participate in the study

Frailty & cognitive impairment additional assessments

Inclusion criteria:

- Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI
- Patients aged >65 years

Exclusion criteria:

No additional exclusion criteria

Cardiac MRI additional assessments

Inclusion criteria:

 Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI

Exclusion criteria:

- Absolute contraindications to cardiac MRI:
- Pregnancy; non MR safe PPM/ICD; non MR safe intra-auricular implants; non MR safe intracranial clips; severe claustrophobia; unstable angina
- Contraindication to gadolinium contrast agent:
- Known adverse reaction; chronic renal failure (eGFR <30mL/min/1.73m²)
- Patients lacking capacity to consent for cardiac MRI

Biomarkers additional assessments

<u>Inclusion criteria:</u>

 Patients recruited to the primary cohort in whom a decision has been made to undergo an intervention for SLI

Exclusion criteria:

No additional exclusion criteria

eGFR, Estimated glomerular filtration rate; ICD, Implantable cardioverter-defibrillator; MRI, Magnetic resonance imaging; PAOD, Peripheral arterial occlusive disease; PPM, Permanent pacemaker; SLI, Severe limb ischaemia.

Study procedures

A study overview with potential recruitment numbers (based on local audit data 2018/19) is detailed in Figure 1. Eligible patients may be recruited to multiple additional assessments dependent upon their consent.

Primary cohort

Baseline data will be collected from the standard admission proforma as part of routine attendance at the Leicester Vascular Institute, which includes:

- Presenting complaint
- Past medical history/comorbidities
- Social history (including smoking status)
- Current regular medications
- Observations
- Examination findings (lower limb pulses; Society of Vascular Surgery Wound, Ischaemia, foot Infection [WIfI] score;³⁸ Site, Ischaemia, Neuropathy, Bacterial infection, And Depth [SINBAD] score⁴⁶)
- Ankle brachial pressure index (ABPI)
- Lower limb arterial duplex report
- Clinical Frailty Scale (CFS)⁴⁷
- Vascular Quality of Life (VascuQol) Questionnaire⁴⁸

The following study data that will be collected in addition to routine clinic practice include:

- Barthel Activities of Daily Living (ADL) index⁴⁹
- Hospital Anxiety and Depression Scale (HADS)⁵⁰

At 12 months (+/- 1 month) patients will receive telephone follow-up to collect CFS, Barthel ADL index, HADS, VascuQol and limb salvage data. These same data will be collected again at 24 months (+/- 1 month). Electronic hospital records will be interrogated to collect data regarding procedures patients have undergone for SLI during the 12 months post recruitment.

A long-term data-linkage process will be employed once the first patient has been recruited. This will provide mortality data for patients in the primary cohort and aid in project management (i.e. not sending follow-up requests to deceased patients). After the two-year follow-up period, patients in the primary cohort will be linked with NHS digital records to provide long-term follow-up data for a total 10 year period following recruitment.

Frailty & cognitive impairment additional assessments

Additional data collected at baseline will include:

- Edmonton Frail Scale (EFS)51
- Montreal Cognitive Assessment (MoCA)52
- Short Physical Performance Battery (SPPB)⁵³
- Grip strength (bilateral) measured using a dynamometer
- Single Question in Delirium (SQiD)⁵⁴
- 4 'A's Test (4AT) for rapid delirium screening⁵⁵

At 24 and 72 hours post-operatively patients will undergo screening for delirium using both the SQiD and 4AT. Patients who have been discharged from hospital prior to 24 and/or 72 hours post-operatively will undergo screening for delirium via telephone using the SQiD only (asking a relative or carer).

At 3 months (+/- 1 month) and 12 months (+/- 1 months) patients will attend a follow-up clinic where the following assessments will be undertaken: CFS, EFS, MoCA, SPPB, grip strength, Barthel ADL index, VascuQoL and HADS. No additional telephone follow-up will be required at 12 months as all relevant assessments will be undertaken during the 12 month clinic visit.

For patients who agree to participate in the frailty and cognitive impairment additional assessment, advanced consent will be gained to undertake delirium assessments post-operatively. It is possible that during the course of the follow-up period, patients may lose capacity before completing the study follow-up. Accordingly, advanced consent will be gained at the point of recruitment to undertake all the required assessments during follow-up. In the event that a patient loses capacity during the course of the study, a personal consultee will be sought to both confirm ongoing participation in the study and help complete the follow-up assessments.

Cardiac MRI additional assessments

The cardiac MR scan will be performed on a 3T scanner (Siemans Skyra or Vida) using a 32ch cardiac coil. The MRI protocol is outlined in Figure 2 and will include⁵⁶:

- i. Left ventricular (LV) function cine imaging
- ii. Adenosine and/or dobutamine stress and rest perfusion imaging
- iii. Late gadolinium enhancement
- iv. If time permits and the patient is able to tolerate the scan, additional sequences such as aortic distensibility and angiography may be performed.

Patients will be fully counselled about the procedure (which may cause slight discomfort and claustrophobia) and written consent gained prior to the MRI scan. A standard MRI

safety questionnaire will be completed prior to the scan to ensure the safety requirements are satisfied. A 12-lead ECG will be performed within 72 hours prior to the cardiac MRI scan.

Two intravenous cannulae will be inserted for administration of adenosine or dobutamine and gadolinium contrast agent. Patients may receive intravenous sedation if they are anxious or claustrophobic. Adenosine will be administered at 140µg/kg/min, limited to 3-4 minutes to minimise patient discomfort. If there is no haemodynamic response and/or the patient does not experience any effects, the infusion may be prolonged or the dose may be increased incrementally to 210µg/kg/min (at the discretion of the supervising physician). In patients with an absolute contraindication to adenosine (severe asthma/COPD, high degree of atrioventricular block, theophylline usage) dobutamine/atropine will be administered as the stressor agent. The dose of dobutamine will commence at 20µg/kg/min for those with preserved ejection (10µg/kg/min for patients with significant LV dysfunction) and increased incrementally until age-predicted target heart rate is reached (≥85% of 220-age beats per minute). It is anticipated the maximum dose administered will be 30µg/kg/min.⁵⁷ Atropine, 0.6-1.8mg, may be used to achieve predicted maximum heart rate. Chest pain, arrhythmias and induction of wall motion abnormality are indications to stop the infusion and a patient's heart rate and blood pressure will be monitored closely. Patients will be reassured throughout and it will be made clear they can stop the MRI scan at any time.

Cardiac MR analysis will be both qualitative and quantitative. Local on-site reporting will include any regional motion abnormality by visual analysis using the 17-segment AHA model,⁵⁸ and each segment will be scored as 0 (normal), 1 (mild hypokinesia), 2 (severe hypokinesia), 3 (akinesia), or 4 (dyskinesia). Hypoperfusion (ischaemia) will be assessed by a visual comparison of stress and rest cardiac MR perfusion scan in 16 of the 17 AHA model

segments (excluding the apical cap segment) with scores of: 0 (normal), 1 (equivocal), 2 (subendocardial ischaemia), or 3 (transmural ischaemia). Any infarct (scar) will be reported based on the late gadolinium-enhancement images (17 segment AHA model) with scores of 0 (none), 1 (1-25%), 2 (26-50%), 3 (51-75%), or 4 (>75%) for each segment. Patients who undergo open surgical revascularisation or major lower limb amputation (major surgery) will undergo an additional follow-up scan at 2-4 months post-operatively to investigate for incidence of peri-operative myocardial infarction.⁵⁹

All patients undergoing cardiac MRI will be consented to have an additional axial image at the level of the 3rd lumbar vertebrae during the initial scan only, which will be performed before the cardiac sequences. This will image psoas, paraspinal and abdominal wall muscles allowing the total skeletal muscle areas to be measured as assessments of sarcopenia.⁶⁰

Biomarkers additional assessments

Venepuncture will be performed by a qualified member of the research team and blood collected using an S-Monovette® 9ml K2 EDTA blood tube and an S-Monovette® 7.5ml Serum Gel blood tube with the aim of collecting 6 x 500µL aliquots of both serum and plasma. All samples will be stored at the NIHR Leicester Biomedical Research Centre located at Glenfield Hospital for subsequent analysis. Consent will be gained to use these samples in future biomarker analyses. All samples will be anonymously data-linked with clinical baseline and outcome data for patients recruited to the biomarkers additional assessments.

Study assessment schedule

An overview of study procedures and assessment schedule is detailed in Table 2.

Table 2: Study procedures and patient assessment schedule

Procedure/assessment	Baseline	24 hrs post intervention	72 hrs post intervention	3 month F/U visit	12 month F/U visit	12 month telephone F/U	24 month telephone F/U
Patient consent/ consultee declaration	•						
Clinical frailty scale	•			•	•	•	•
Barthel Index	•	Or		•	•	•	•
VascuQoL	•	10		•	•	•	•
HADS	•	, – (0,	•	•	•	•
Edmonton frail scale	•			•	•		
MoCA	•			•	•		
SPPB	•			•	•		
Grip strength	•			•	•		
SQiD	•	•	•				
4AT	•	•	•				
Cardiac MRI	•			•			
Blood sample collection	•						

4AT, 4 'A's Test (4AT) for rapid delirium screening; HADS, Hospital Anxiety and Depression Scale; MoCA, Montreal Cognitive Assessment; MRI, Magnetic Resonance Imaging; SQiD, Single Question in Delirium; VascuQoL, Vascular Quality of Life questionnaire.

Additional assessments: Frailty & cognitive; Cardiac MRI; Biomarkers.



<u>Definition of end of study</u>

The end of study date is defined by the date the long-term outcomes are collected from NHS digital, which will be ongoing for 10 years following the date the final patient is recruited to the primary cohort.

Discontinuation/withdrawal from the study

A patient, or personal consultee, may withdraw from the study at any point without giving any reason with no effect to their medical care or legal rights. This will be explained at recruitment and each follow-up contact. If the patient (or personal consultee) withdrawing from the study voluntarily offers a reason for their withdrawal this will be documented in the medical notes and collected as part of the research data. Patient data collected up to the point of withdrawal will be used in the analysis unless the patient (or personal consultee) has withdrawn consent for all data to be used. Similarly if they withdraw consent for blood samples to be used prior to analysis these will be destroyed. Long-term data will be collected via data-linkage unless consent is withdrawn.

Study patient and public involvement (PPI) group

A PPI group involving patients, and their carers, with experience of being treated for SLI at the Leicester Vascular Institute has been established for this study. Members of the PPI group have been directly involved in the development of the study, the information material and provided insight on the burden of the study from a patient's perspective. The group will continue to meet throughout the duration of the study to be informed of the progress and have the opportunity to advise regarding the ongoing delivery of the study.

Planned study dates

The study began on 10th May 2019 and recruitment will continue until the 9th May 2021. The study will end on 9th May 2031 after completion of 10-year follow-up via NHS digital.

Data & analysis

Study data will be collected and managed using REDCap (Research Electronic Data Capture) hosted at the University of Leicester. 61 REDCap is a secure, web-based application designed to support data capture for research studies.

A full statistical analysis plan will be written and agreed by all investigators before database lock. A range of continuous and categorical data will be collected at baseline and at various time points on the patients recruited into the primary cohort and the additional assessments. Descriptive statistics of the baseline data will be presented using appropriate tabular and graphical methods both for the prospective cohort and the historical controls. Comparability of the cohorts in terms of baseline characteristics will be assessed using appropriate statistical tests, for example t-tests for continuous variables and chi square tests for categorical.

The proportion of patients undergoing a major amputation within the first 12 months will be calculated and presented with 95% confidence intervals (95% CI). The prospective cohort will be compared to the historical controls using logistic regression with major amputation within 12 months as the dependent variable. Given there maybe systematic differences between the prospective and historical cohorts adjustment for propensity score will be used. The propensity score model will include all baseline characteristics available in both cohorts as independent variables with cohort (prospective/historical) as the dependent variable. The final form of the propensity score model will specified in the statistical analysis plan prior to analysis commencing. The model will be run both unadjusted and

adjusted for the propensity score. An adjustment for propensity score, rather than propensity score matching, will be used to ensure that all participants are included in the analysis. 62 63

A similar modelling strategy will be employed to assess the association between interventions (amputation versus no amputation) and short-, medium- and long-term outcomes. Cox proportional hazards modelling will be used to assess association with both mortality and amputation free survival. The prevalence (95% CI) will also be calculated for frailty, cognitive impairment, and cardiac disease (detected by stress MRI).

The assumptions of all models fitted will be assessed. The levels and reasons for missing data for all key outcomes and confounders will be assessed. Where more than 5% of data are missing, multiple imputation will be used. Where less than 5% are missing a complete case analysis will be performed.

Statistical analyses will be two-sided with a 5% significance level.

Sample size calculation

The historical amputation rate among patients with SLI is around 25%.⁴ We anticipate a reduction in amputation rate among this contemporary cohort in the region of 10%. A sample size of 335 patients will be required to detect a 10% reduction in amputation rate in comparison to a similar sized historical cohort, with 90% power and type-I error rate of 5%. The target sample size will be 420 patients to account for a potential loss to follow-up of 20%. A minimum of 335 patients in the contemporary cohort will enable an estimate of amputation rate of 15% with a 95% confidence interval of 11.3-19.2%, which is clinically acceptable.

Ethics & dissemination

This study has received ethical approval from the UK National Research Ethics Service (19/LO/0132). The study sponsor is the University of Leicester and all their standard operating procedures will be followed ensuring this study complies with all relevant legislation and guidelines. Direct access will be granted to authorised representatives from the sponsor, host institution and regulatory authorities to permit study related monitoring, audit and inspection. The Chief Investigator (RS) will ensure that this study is conducted in full conformity with the Declaration of Helsinki (last amended October 2013).

As this is not considered a high-risk study, adverse events or adverse reactions will not be reported. There are many serious adverse events (SAEs) that are reasonably expected in this group of patients. Expected SAEs (Supplementary File 1) that are unrelated to the study will not be reported. All unexpected SAEs and serious adverse reactions (SARs) related to the study will be documented on the SAE form and reported to the sponsor within 24 hours of the investigator becoming aware of the event.

A summary of findings will be available to patients on request. It is the intention to disseminate any findings from the study at relevant national and international meetings and in peer-reviewed medical journals. Results will also be distributed through social media and in plain language using our University accounts to increase access to the general public. All clinicians and researchers involved will be acknowledged in written papers. For each output a writing team will be convened from the study group and external collaborators (where necessary). Authorship will be decided on a paper-by-paper basis. Anonymised study data will be available on request to the Chief Investigator (RS).

Discussion

The results of this study should provide a valuable update to current observational evidence regarding contemporary outcomes of patients with SLI. The additional assessments will also provide useful observational evidence, which may help risk-stratify patients both in terms of risk of amputation and mortality based on frailty, cognitive impairment and cardiac MRI findings. Future biomarker analyses of samples collected during the study may also provide evidence for established and novel biomarkers in the risk-stratification of patients with SLI. The facility to include patients without capacity to consent will reduce selection bias and should provide far more representative data particularly regarding older patients with SLI, and the prevalence of frailty and cognitive impairment in this cohort.

Limitations

There are a number of limitations to this study. As this is a single-centre study the generalisability of the results will be limited, particularly as amputation rate will be impacted on by local management strategies and patient demographics. Additionally, there is not the resource to provide for recruitment of non-English speaking patients. Whilst we do not anticipate significant numbers of patients will be excluded for this reason, as Leicester has an ethnically diverse population, results from this study may not be reflective of the whole population.

Prospective data has not been collected for patients with SLI presenting prior to the establishment of the rapid-access limb salvage clinic and improved SLI and DFD management pathways: subsequently data will be retrospectively collected for a historical cohort to compare amputation rates. Any observed differences in amputation rates may therefore be due to factors other than modern revascularisation techniques and improved

care pathways. Statistical analyses with adjustment for propensity score may mitigate this to a degree, however any observed effect may still be liable to unidentified confounding. Furthermore, retrospective data collected will not be as reliable as the data from the prospective cohort limiting the comparison between the two cohorts, however it is difficult to determine whether this may under- or over-estimate the amputation rate in the historical cohort prior to completing historical data collection. Frailty, cognitive function, cardiac MRI and biomarker analysis will not be available for patients in the historical cohort so no comparisons will be possible.

Conclusion

The LIMb study will report the current amputation rate in patients presenting with severe limb ischaemia. It aims to quantify the impact of a rapid-access vascular limb salvage clinic and improved management pathways on amputation rate, and the potential role for cardiac MR, frailty and cognitive assessments, and biomarker analysis in risk stratifying patients undergoing intervention for SLI.

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Figure Legends

Figure 1: Study overview. Numbers are estimates for the whole two-year recruitment period and based on local audit data from 2018/19.

MRI, Magnetic resonance imaging.

Figure 2: Cardiac MRI protocol including Dixon function for abdominal visceral and subcutaneous fat.

L3, 3rd Lumbar vertebra; 4/3/2 Ch, 4/3/2 chamber; SAO, sagittal oblique.

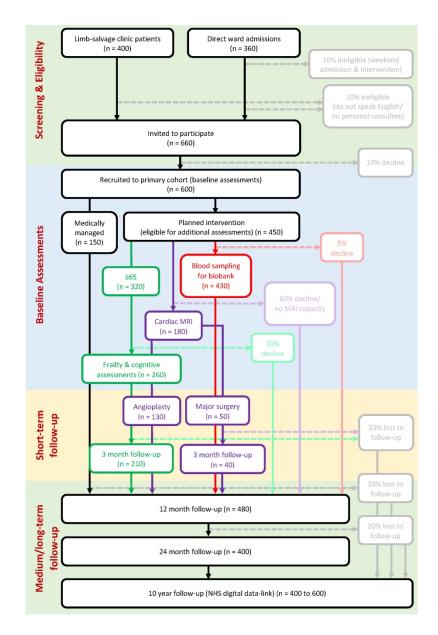


Figure 1: Study overview. Numbers are estimates for the whole two-year recruitment period and based on local audit data from 2018/19.

MRI, Magnetic resonance imaging.

182x271mm (300 x 300 DPI)

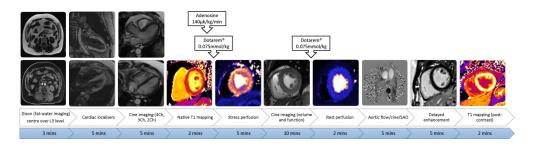


Figure 2: Cardiac MRI protocol including Dixon function for abdominal visceral and subcutaneous fat. L3, 3rd Lumbar vertebra; 4/3/2 Ch, 4/3/2 chamber; SAO, sagittal oblique.

338x91mm (300 x 300 DPI)

Expected adverse events and serious adverse events

Cardiac

Angina

Ankle swelling and peripheral oedema

Arrhythmias Cardiac arrest Cardiac failure

Myocardial infarction

Palpitations

Poor exercise tolerance Shortness of breath

Tiredness and exhaustion

Respiratory

Chest infection

Cough

Exacerbation of COPD

Pneumonia

Pulmonary embolus

Respiratory failure

Leg problems

Bruising Cellulitis

Debridement of leg/foot

wound DVT

Foot amputation Haematoma

Lymph leak

Minor amputation of toes

Osteomyelitis of leg/foot/toes

Pain Phlebitis

Wound breakdown

Wound infection

Diabetes

Drug reactions

Hyperglycaemia

Hypoglycaemia

Infections

Injections site problems

Neuropathy

General

Abdominal pain

Anxiety

Arm / hand pain

Backache

Breast lumps

Breast pain Cellulitis

Constipation

Delirium Depression

Diarrhoea Earache

Falls

Fractures

Gastrointestinal bleeding

Gastro-oesophageal

reflux disease

Gynaecological bleeding

Head injury

Headache

Indigestion

Influenza

Intra-abdominal

infections and sepsis

Jaundice

Joint inflammation

Joint pain

Leg/foot pain

Liver disease

Malaise

Malignancy

Neck and spine pain

Neck pain

Necrotising fasciitis

Nose bleeds

Osteoarthritis

Other forms of arthritis

Parkinson disease

Pelvic pain

Peptic ulcer disease

Piles and perianal disease

Prostatism

Psychiatric illness

Rectal bleeding Renal impairment

Sciatica

Skin lesions Skin rashes

Soft tissue inflammation

Stress Stroke

Transient ischaemic

attack Toothache

Urinary retention

Urinary tract infection

Vomiting