Optimization of the energy for Breast monochromatic absorption X-ray Computed Tomography

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Additional Materials

In spatial domain, the noise of CT images is defined as the standard deviation σ measured on a homogeneous ROI (Region Of Interest). In frequency domain, the Noise Power Spectrum (NPS) describes the component of the variance σ^2 for each spatial frequency. The variance σ^2 can be calculated integrating the 2D NPS over the frequency domain¹

$$\sigma^{2} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} NPS(f_{x}, f_{y}) df_{x} df_{y} \quad (1)$$

For CT reconstructions with FBP algorithm, the theoretical NPS has been previously reported by various authors. ^{2,3,4,5,6}

As reported by (Riederer *et al* 1978^2), the NPS for a single reconstructed voxel can be written as follows:

$$NPS(q) = \frac{\pi \Delta x}{m \langle n \rangle} \cdot \frac{|W(q)|^2}{q} \quad (2)$$

where $q = \sqrt{x^2 + y^2}$ is the spatial frequency in polar coordinates, $\langle n \rangle$ the average number of photons per pixel per projection, *m* the number of projections, Δx the pixel size and |W(q)| the spectral response of the reconstruction algorithm. In particular (Kijewski and Judi 1987⁶), considering also the linear interpolations used by the reconstruction algorithm to estimate the projection values between the measured points during the backprojection, derived the following mathematical formulation for $|W(q)|^2$ in FBP algorithm:

$$|W(q)|^{2} = \left(\operatorname{sinc}^{2}(\pi q \Delta x)\right)^{2} \cdot \sum_{k=-\infty}^{+\infty} \left(q - \frac{k}{\Delta x}\right)^{2} \cdot \left|\operatorname{H}\left(q - \frac{k}{\Delta x}\right)\right|^{2} \cdot \operatorname{rect}(q \Delta x - k) \quad (3)$$

In Eq. (3), $\left(q - \frac{k}{\Delta x}\right)^2$ is the contribution of the Ram-Lak filter, $\left(sinc^2(\pi q \Delta x)\right)^2$ the low-pass filtering introduced by the linear interpolations and H $\left(q - \frac{k}{\Delta x}\right)$ is the apodization function which can be employed to reduce the high frequency components of the noise. The expressions for some function H(q) commonly employed in FBP are listed in Table A1.

Filter	H(q)	
Shepp-Logan	$sinc(\pi q \Delta x)$	
Hamming $0.54 + 0.46 \cos(2\pi q)$		
Hann	$0.5 + 0.5 \cos(2\pi q \Delta x)$	

Table A1: List of some apodization functions commonly employed in FBP algorithm

The noise variance for each reconstructed point derives from Eq. (1) and, in polar coordinates, is²

$$\sigma^{2} = \int_{0}^{2\pi} d\theta \int_{0}^{+\infty} NPS(q) q \, dq = \frac{2\pi^{2}\Delta x}{m\langle n \rangle} \cdot \int_{0}^{+\infty} |W(q)|^{2} \, dq \qquad (4)$$

Moreover, the function

$$rect(\mathbf{x}) = \begin{cases} 1, |\mathbf{x}| < 1/2\\ 0, \text{ otherwise} \end{cases}$$
(5)

allows to rewrite Eq. (3) as a piecewise function

$$|W(q)|^{2} = \begin{cases} (q)^{2} \cdot |H(q)|^{2} \cdot \left(\operatorname{sinc}^{2}(\pi q \Delta x)\right)^{2}, & 0 < q < \frac{1}{2\Delta x}, \quad k = 0\\ \left(q - \frac{k}{\Delta x}\right)^{2} \cdot \left|H\left(q - \frac{k}{\Delta x}\right)\right|^{2} \cdot \left(\operatorname{sinc}^{2}(\pi q \Delta x)\right)^{2}, \quad \left(\frac{2k-1}{2\Delta x}\right) < q < \left(\frac{2k+1}{2\Delta x}\right), \quad k \neq 0 \end{cases}$$
(6)

By combining Eq. (4) and Eq. (6) we obtain

$$\sigma^2 = \frac{2\pi^2 \Delta x}{m\langle n \rangle} \left(\int_0^{1/2\Delta x} |W(q)|^2 dq + \sum_k \int_{(2k-1)/2\Delta x}^{(2k+1)/2\Delta x} |W(q)|^2 dq \right) \equiv \frac{\beta}{m\langle n \rangle}$$
(7)

where β has the dimension of a squared spatial frequency.

The Nyquist frequency (q_{Ny}) of a CT image sampled with a voxel of linear size Δx , is $q_{Ny} = 1/2\Delta x$. In the backprojection process, the frequency components of the projection data which exceed q_{Ny} are folded back into a region below q_{Ny} due to the discrete sampling. In Eq. (7) this means that the integrals in the summation (of $|W(q)|^2$ for $q > q_{Ny}$) are the contributions of the aliasing effect to the global noise as shown in Figure A1.



Figure A1: $\Delta x = 0.120 \ mm$ (a) frequency response of the square of Ram-Lak filter; (b) frequency response of the square of the linear interpolation kernel, i.e. $(sinc^2(\pi q\Delta x))^2$; (c) spectral response of the reconstruction algorithm ($|W(q)|^2$, Eq. (3)). The shaded area is the noise which is folded back (aliasing).

The integrals in Eq. (7) can be evaluated numerically. We used Matlab for numerical integrations and, for a pixel of size $\Delta x = 0.12 \text{ mm}$ and a Ram-Lak filter, we obtained

$$\sigma_{Ram-Lak}^2 = \frac{\beta_{Ram-Lak}}{m\langle n \rangle} \approx \frac{2\pi^2 \Delta x}{m\langle n \rangle} \left(9.448 + \mathbf{1.737}\right) \approx \frac{26.49}{m\langle n \rangle} \ mm^{-2} \tag{8}$$

In Eq. (8) the contribution of Aliasing to the noise is in bold. The results for Eq. (7) when using the apodization windows listed in Table A1 are reported in Table A2.

Filter	σ^2	$\beta (mm^{-2})$
Ram-Lak	$\frac{2\pi^2 \Delta x}{m\langle n \rangle} (9.448 + 1.737) \approx \frac{26.49}{m\langle n \rangle} mm^{-2}$	$\beta_{Ram-Lak} = 26.49$
Shepp-Logan	$\frac{2\pi^2 \Delta x}{m\langle n \rangle} (6.457 + 0.8822) \approx \frac{17.38}{m\langle n \rangle} mm^{-2}$	$\beta_{Shepp-Logan} = 17.38$
Hamming	$\frac{2\pi^2 \Delta x}{m\langle n \rangle} (1.8169 + 0.0457) \approx \frac{4.411}{m\langle n \rangle} \ mm^{-2}$	$\beta_{Hamming} = 4.411$
Hann	$\frac{2\pi^2 \Delta x}{m\langle n \rangle} (1.5679 + 0. 0224) \approx \frac{3.714}{m\langle n \rangle} \ mm^{-2}$	$\beta_{Hann} = 3.714$

Table A2: σ^2 for different FBP filters

The noise variance calculated in Eq. (7) is function of the mean number of registered X-ray quanta $\langle n \rangle$. According to the Lambert-Beer law, considering a CT scan with a monochromatic parallel beam of energy E, $\langle n \rangle$ is function of the number of incident quanta to the sample $\langle n \rangle_0$, the geometry of the sample and the distribution of the linear attenuation coefficients $\mu_{Sample}(x, y, E)$ of which the sample is made. For a homogeneous circular sample of radius R, the transmitted X-ray quanta $\langle n \rangle$ passing from the center is:

$$\langle n \rangle = \langle n \rangle_0 \cdot e^{-2 \int_0^R \mu_{Sample} \, dR} = \langle n \rangle_0 \cdot e^{-2 \mu_{Sample} \cdot R} \tag{9}$$

Indicating the total number of photons per pixel impinging on the phantom as $N_{ph} = m \langle n \rangle_0$ and by combining (7) and (9), the variance $\sigma^2(0,0)$ at the center of the CT reconstruction with FBP is:

$$\sigma^2(0,0) = \frac{\beta}{N_{ph} \cdot e^{-2\mu_{Sample} \cdot R}} \quad (10)$$

We underline that, if the sample is placed at a some distance D from the detector and the space is filled with air, the Eq. (10) has to be corrected for the attenuation of the air:

$$\sigma_{corr}^2(0,0) = \frac{\beta}{N_{ph} \cdot e^{-2\mu_{Sample} \cdot R}} \cdot \frac{1}{e^{-\mu_{air} \cdot D}} \quad (11)$$

where μ_{air} is the linear attenuation coefficient of the air.

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