Reviewers' comments:

Reviewer #1 (Remarks to the Author):

The manuscript by Hadoux et al. provides the first evidence for the clinical utility of a noninvasive, label-free, live retinal hyperspectral (HS) imaging tool in humans to assess retinal reflectance score, which correlated with brain amyloid burden. The authors analyzed the spectral signature of retinal tissue – assessed by a previously developed retinal HS imaging approach – and explored the extent to which this correlates with brain amyloid-PET status. The manuscript reports several key findings: 1) the ability of retinal HS imaging to differentiate between individuals with high brain amyloid-PET load and controls; 2) A significant correlation between retinal HS score and brain amyloid status; 3) the reproducibility of results using a second cohort of subjects and HS imaging tools. This is a timely and clinically significant study demonstrating the potential utility of in vivo retinal HS imaging as a biomarker for AD. The authors present their results clearly, provide sufficient rationale, and effectively discuss the significance and limitations of their sophisticated and well-performed study. However, concerns exist regarding the fundamental nature and specificity of their retinal findings. At the minimum, authors should demonstrate in postmortem retinal tissues from AD/MCI patients compared to controls that accumulation of misfolded proteins (e.g. Aβ aggregates) could explain the observed variations in retinal HS signature, especially in the reported disease-significant regions F1 and S1. The findings could be strengthened by investigating the extent to which spectral signature in the retina represents Aβ pathology both in the retina and brain of MCI and AD cases, and whether longitudinal changes in retinal HS score correlate with disease progression.

Specific points:

1) Authors ought to be more careful in the use of amyloid vs. Aβ burden. The two terms are not always interchangeable and Aβ is a more specific term.

2) As expected, MMSE scores were lower in Aβ PET-positive cases; however, RNFL thickness was higher in Aβ PET-positive vs. -negative cases, which is contradictory to the vast majority of previous studies. These results and their implications should be discussed.

3) Based on the importance of RNFL thickness findings in AD and its previous correlations with MMSE scores, retinal HS data should be also presented with normalization to the RNFL thickness. 4) Does retinal vasculature impact retinal HS measurement? From the images it seems vasculature was excluded from regions of interest. Do retinal HS scores correlate with any of the retinal biomarkers previously published?

5) Generally, it is highly recommended to include key correlations between retinal HS scores and other demographic and disease-related metrics. These analyses could provide insights into whether retinal HS is affected by aging or other factors and if it can predict disease in the brain and/or cognitive function.

6) Authors indicate without citation(s) that similar to regional variation in retinal Aβ levels, retinal HS scores vary by region. By retinal Aβ levels, the authors mean retinal Aβ plaque burden? If yes, please specify and include the proper citation. It would be also important to, if possible, comparatively assess the regional variation of the two measures and to include a more in-depth discussion on this topic.

7) Background literature is somewhat missing. For example, the original manuscript identifying retinal Aβ deposits in MCI and AD patients is necessary to include.

8) Correlation data, especially between retinal HS scores and brain Aβ burden are intriguing but more discussion on their strength and biological interpretation could be included.

9) Finally, what does retinal HS score represent in the tissue? The authors do not pinpoint the underlying cause of variation in retinal spectra between Aβ-PET-positive and -negative subjects. Fundamentally, authors ought to demonstrate in patients' ex vivo tissues the co-presence of Aβ deposits (or other misfolded proteins or retinal abnormalities) with differential retinal HS scores (especially in the reported disease-affected regions, F1 and S1). This could provide an explanation for the variability in retinal HS signatures.

Reviewer #2 (Remarks to the Author):

The author's overall strategy appears to be to isolate components of spectral variability among subjects that potentially mask a spectral biomarker for AD. This is refered to as a correction for spectral variability. By use of a spectral classification method called DROP-D, a spectral model free of confounding variability is obtained, and HS scores for each class (or study group) are determined from the model. If I have understood correctly, the spectral represention of the disparity between model spectra (PET + and -) is explained using curve fits with an Aβ optical density spectrum recorded in vitro. Would authors please comment? I find that the methodology for much of the analytical methods is not explained in enough detail or demonstrated clearly in the captioned figures. A more rigorous presentation of analysis stragetgy and steps is needed. Often vague language is used to decribe analysis steps and so it can be difficult to follow the work and have confidence about the authors' conclusions. Use of equations to document spectral normalisation and other areas of the analysis would help. On the other hand, presentations of study protocol, data collection, ethics, and cataract reach standards of technical and scientific writing.

Main concerns

The Aβ molecule has a broad absorption at 400 nm which is outside the range of wavelengths used. The authors' show the in vitro spectrum of light scatter of soluble Aβ. It is unlikely, given the differences in in vitro and in vivo environments, that the laboratory spectrum would match the scatter contribution in human recordings from the retina. However this spectrum could possibly act as a template for the in vivo case. Thus, although the authors' HS scores showed significant differences between study groups, pointing toward a biomarker, it is difficult to conclude from the curve fits that the spectral difference was due to retinal Aβ. To strengthen this part of the manuscript, would the authors please provide details about their curve fit, which parameters were used and allowed to change, and the concentration of the measured Aβ. Is 1 mg/ml the solution concentration used?

An additional caution is that near the start of analysis the authors apparently normalised reflectance spectra from each retinal area using the average spectrum in order to highlight the degree of intersubject variability (in lines 141-144). In fact, in the human population there is large variation in retinal melanin level that needs to be taken into account if spectral biomarkers are to be obtained from retinal data. However, it is likely that the AD biomarker is present in these average spectra. If separate averaged spectra from PET + and - groups were used to normalise within each group, the presence of the desired marker could have been partially erased by this normalisation. Please comment on this possibility.

Minor concerns

Usually the label on the plot axis is written as the name of the variable with units shown in parens. Author's have used a label of Reflectance (Log) on several plots. Suggest relabeling as Log Reflectance or Ln Reflectance, and add (%) if reflectance is expressed as a percentage.

Fig. S1. Control curves are barely visible, with some separation of the control and case curves near 550 nm. Is this due to the control and case curves exactly overlapping or is it becuase err bars mask? Interesting that the err bars appear large within the dynamic range of the reflectance. Err bars should be labeled in Figure as to type (SE, SD, ..).

Fig S3. More explanation needed about the decision that removal of two components is optimal. Fig S5. What were the linear combinations of fundus spectral components that produced W1 and W₂. Please give their respective contributions.

Fig S8. How was the conclusion for good agreement reached? The curves show coherence over only limited wavelength ranges and are closest in the infrared near 750 nm. Is this likely due to lower absorptions by pigments and hemoglobin, or would the spectrum of the light source explain it?

Fig S9. Unfortunately the caption doesn't help in understanding this effect of removal. The analysis done here is complex. Throughout the manuscript, please use figure captions as much as possible to buttress the arguments in text, and explain how to interpret the relationship between the curves chosen for the plot.

Response to Reviewers' comments:

We thank the reviewers for their careful appraisal of our manuscript. In light of these comments we have undertaken additional preclinical experiments and have made major revisions to our manuscript. Major changes include the addition of mouse in vivo hyperspectral imaging findings and a revised simulation of the retinal spectral effect of Aβ, which now appears in the supplementary materials. We have also added a detailed account of the data processing methods (supplementary materials). Our manuscript is significantly stronger for these additions and amendments.

We have provided the comments of each reviewer below and our responses in turn. Our responses highlight and contextualise the amendments that we have made to the manuscript.

Reviewer #1:

The manuscript by Hadoux et al. provides the first evidence for the clinical utility of a non-invasive, label-free, live retinal hyperspectral (HS) imaging tool in humans to assess retinal reflectance score, which correlated with brain amyloid burden. The authors analyzed the spectral signature of retinal tissue – assessed by a previously developed retinal HS imaging approach – and explored the extent to which this correlates with brain amyloid-PET status. The manuscript reports several key findings: 1) the ability of retinal HS imaging to differentiate between individuals with high brain amyloid-PET load and controls; 2) A significant correlation between retinal HS score and brain amyloid status; 3) the reproducibility of results using a second cohort of subjects and HS imaging tools. This is a timely and clinically significant study demonstrating the potential utility of in vivo retinal HS imaging as a biomarker for AD. The authors present their results clearly, provide sufficient rationale, and effectively discuss the significance and limitations of their sophisticated and well-performed study. However, concerns exist regarding the fundamental nature and specificity of their retinal findings. At the minimum, authors should demonstrate in postmortem retinal tissues from AD/MCI patients compared to controls that accumulation of misfolded proteins (e.g. A? aggregates) could explain the observed variations in retinal HS signature, especially in the reported disease-significant regions F1 and S1. The findings could be strengthened by investigating the extent to which spectral signature in the retina represents A? pathology both in the retina and brain of MCI and AD cases, and whether longitudinal changes in retinal HS score correlate with disease progression.

Introductory comments

AD and its previous correlations with MMSE scores, retinal HS data should be also presented with normalization to the RNFL thickness.

significant difference in RNFL thickness between the two groups. For illustrative purposes a normalisation of the HS scores for RNFL thickness is provided below. The findings are similar to that for non-normalised data and are therefore not included in the manuscript.

Reviewer #2:

The author's overall strategy appears to be to isolate components of spectral variability among subjects that potentially mask a spectral biomarker for AD. This is refered to as a correction for spectral variability. By use of a spectral classification method called DROP-D, a spectral model free of confounding variability is obtained, and HS scores for each class (or study group) are determined from the model. If I have understood correctly, the spectral represention of the disparity between model spectra (PET + and -) is explained using curve fits with an Ab optical density spectrum recorded in vitro. Would authors please comment? I find that the methodology for much of the analytical methods is not explained in enough detail or demonstrated clearly in the captioned figures. A more rigorous presentation of analysis stragetgy and steps is needed. Often vague language is used to decribe analysis steps and so it can be difficult to follow the work and have confidence about

the authors' conclusions. Use of equations to document spectral normalisation and other areas of the analysis would help. On the other hand, presentations of study protocol, data collection, ethics, and cataract reach standards of technical and scientific writing.

Introductory comments

References

1. S. S. More, R. Vince, Hyperspectral imaging signatures detect amyloidopathy in Alzheimer's mouse retina well before onset of cognitive decline, *ACS Chem Neurosci* **6**, 306–315 (2015).

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5. C. Y.-L. Cheung, Y.-T. Ong, M. K. Ikram, C. Chen, T. Y. Wong, J. C. de la Torre, Ed. Retinal Microvasculature in Alzheimer's Disease, *Journal of Alzheimer's Disease* **42**, S339–S352 (2014).

6. S. P. Yoon, D. S. Grewal, A. C. Thompson, B. W. Polascik, C. Dunn, J. R. Burke, S. Fekrat, Retinal Microvascular and Neurodegenerative Changes in Alzheimer's Disease and Mild Cognitive Impairment Compared with Control Participants, *Ophthalmology Retina* (2019), doi:10.1016/j.oret.2019.02.002.

Reviewers' comments:

Reviewer #1 (Remarks to the Author):

Hadoux and colleagues addressed nicely the previous comments. Minor concerns remain: 1. Abstract: For accuracy, the manuscript could benefit from toning down the statement "In keeping with this, we have identified a retinal imaging biomarker of brain Ab burden using hyperspectral (HS) imaging." Perhaps using …we explored a possible retinal…is more appropriate. 2. Results: The addition of 5xFAD mouse HS imaging is highly advantageous. To validate increased HS score due to Abeta content in the retina, it is recommended to perform Abeta histology on retinal tissues from mice that underwent HS imaging and compare the histological signal to the in vivo HS score.

3. Introduction (second paragraph): Since the authors mention the studies showing accumulation of retinal Abeta in both in human patients and in mouse models, many references are missing including the original identification manuscripts both in humans and in transgenic mouse models of AD. In general, more background literature is needed for in vivo and ex vivo data on Abeta in the retina.

4. Introduction (last paragraph): "Hyperspectral imaging was pioneered by scientists at NASA for remote sensing of the earth from satellites (22) and has been used extensively in agriculture (23), food processing (24), mineralogy (25) and more recently for medical applications (26)," while interesting, sentence is not necessary for the scope of this manuscript.

5. How would the HS signal appear in other neurodegenerative eye disease such as glaucoma and/or AMD? It would be important if this was included or referenced.

6. Are there any disparities between the left and right retinal HS scores? The extent of intrasubject variability between retinal regions and different eyes is of interest, and it would be great if authors can comment on the feasibility of obtaining HS signals from mid or far-peripheral regions. 7. Discussion: Beyond Abeta accumulation, another possible cause of increased HS score in the retina of PET+ subject could be heightened inflammation.

Reviewer #2 (Remarks to the Author):

The revised manuscript is much improved regarding readibility, however there is still concern about the overall strategy. The description of statistical methods for evaluating retinal spectral components, including the introduction to Drop D methods, are now easier to understand, although only a fraction of readers will follow these in detail. For the new section, mouse HS recordings, please describe the resampling from 320 - 680 / 10 nm (1 nm increments) with xenon to 450 - 680 / 5 nm data for hyperspectral modeling, and the vessel segmentation using 390 - 460 nm averaged images. Would it be technically feasible with your HS system to determine more than a single reflectance spectrum from mouse retina? Or was there no need for this, considering that the goal with mouse was simply to corraborate human results with an established retinal model for Aβ burden? Followup recordings using the fellow with a scond instrument showed that the HS retinal images came from reliable recording technique, however if performed on the same eye this reviewer feels the test would be more conclusive.

The authors have developed an elegant and complex spectral analysis to characterize spectral features of retinal structure that they believe mask a spectral biomarker for AD. This work was undertaken after not finding significant spectral differences (case vs control) in either reflectance or normalised reflectance spectra. These procedures may in fact be necessary to detect the biomarker. This reviewer believes, on the basis of data shown for the normalised version, that there is a significant PET + spectral component in the authors' recordings that is not in the control, and that this could be detected in some form of corrected spectrum without need to mitigate the complex spectral features from retinal structures or components. It is still not confirmed that this is an amyloid biomarker. The authors state that the large dynamic range of spectral intensities (light intensity as a function of wavelength over vis and near ir) in the reflectance spectra from different regions or retina caused these to be unsuited for the visualization of group differences.

Fig. S1 shows that test and control spectra from the six retinal regions, which are plotted on compressed log scales, largely overlap. Accordingly, statistical tests did not produce a significant difference between cases (although $p < 0.05$ was shown for a few wavelengths in area S1, Figure S2). The p values approached significance at wavelengths near hemoglobin peaks, which seems to indicate that inter-subject variation in a retinal component, namely hemoglobin, is cause for most of the difference. This reviewer agrees that the case and control curves do not reveal spectral change that is dependent on case. The authors then performed a correction on the raw spectra by normalisation, using the average raw retinal spectrum from all subjects (PET + and PET -). This procedure takes out the large dynamic range and cancels effects from retinal features that are in common (eg. hemoglobin variations will average and cancel). The more subtle spectral features are now visualizable. Apparently the correction is a necessary step for seeing case-related differences of size well below the dynamic range of retinal reflectance spectra. In the main text the normalised spectra are said to highlight variations from retinal structures at the different locations, and also the large degree of inter-subject variability using uncorrected spectral data (also stated in Figure 2F-K caption). Actually, the SE (error bars) shown in the figure involve the variance of each case spectrum and the average spectrum, and would be determined using error propagation. These new errors would not be exclusive to original variability in the uncorrected spectra. The variability shown on corrected spectra is large compared to changes in mean values about zero or between the test and control spectrum. Some features of the corrected spectra do in fact pertain to retinal structure variability, however the spectra also show a distinct feature related solely to case. Please note that Fig2F-K shows a consistent intensity inversion across wavelengths between the test and control spectra, more so for locations F1 and F2. The curves are flipped. Normalisation using the full average from both groups (PET + and PET -) has produced opposing effects for each group. This is not mentioned by the authors. Such a trend in the corrected spectra would not come from variation in retinal structure so much as from a difference between test and control. If a spectral component from an AD biomarker were present in just one of the groups, normalization by an average taken over case and control would act, by cancellation, to leave a positive residual spectrum of the marker in one group, and a negative residual in the other. Spectra in Fig2F-K are consistent with this possibility. This reviewer believes there is evidence for a biomarker in the authors' data and that it can be recovered without mitigation for effects of retinal structures on retinal spectra. Nonetheless, these more advanced analyses may be of interest to certain readers and are explained in a way these readers would appreciate.

Response to Reviewers' comments:

We thank the reviewers for their careful appraisal of our manuscript. In light of these comments we have undertaken additional preclinical experiments and have made revisions to our manuscript. These include the addition of amyloid beta immunohistochemistry to supplement the mouse in vivo hyperspectral imaging findings. We have further clarified our data processing methods (supplementary materials). Our manuscript is stronger for these additions and amendments.

We have provided the comments of each reviewer below and our responses in turn.

Reviewer #1:

Hadoux and colleagues addressed nicely the previous comments. Minor concerns remain:

Reviewer #2:

The revised manuscript is much improved regarding readibility, however there is still concern about the overall strategy.

REVIEWERS' COMMENTS:

Reviewer #1 (Remarks to the Author):

The authors addressed adequately the previous comments. No more concerns at this time.

Reviewer #2 (Remarks to the Author):

The authors have updated their manuscript with appropriate revisions suggested by this reviewer. By their spectral analysis methods, they showed there is a significant difference in the retinal reflectance over wavelength between PET + subjects with MCI and PET - control subjects, and a similarity in the spectral signatures of human PET + subjects and AB transgenic mice that is missing in control mice. These findings taken together would suggest that a spectral biomarker for the AB burden in Alzheimer's disease may be found in hyperspectral retinal images and may aid in predicting AB load. Direct evidence that the biomarker arises from AB is not easily discerned from this experiment (inconclusive match with in vitro scatter spectrum), so we are not sure if the biomarker represents a molecular marker or has a different origin such as from altered cell and tissue effects caused by the disease. This would be important for the authors to discuss, and may have been alluded to in line 401 of their revised paper. Please expand on the known influences on ocular reflectance, with molecular versus cell/tissue in mind. It seems this manuscript provides support for use of hyperspectral image data as a possible test for the presence of AB burden associated with Alzheimer's disease.

Response to Reviewers' comments:

Reviewer #1 (Remarks to the Author):

Reviewer #2 (Remarks to the Author):

