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Biobanking for discovery of novel cardiovascular biomarkers using imaging-quantified disease burden: protocol for the longitudinal, prospective, BioHEART-CT cohort study

Biobanking for discovery of novel cardiovascular biomarkers using imaging-quantified disease burden: protocol for the longitudinal, prospective, BioHEART-CT cohort study

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Abstract

Introduction: Coronary artery disease (CAD) persists as a major cause of morbidity and mortality worldwide despite intensive identification and treatment of traditional risk factors. Data emerging over the past decade shows a quarter of patients have disease in the absence of any known risk factor, and half have only one traditional risk factor. Improvements in quantification and characterisation of coronary atherosclerosis by CT coronary angiography (CTCA) can provide quantitative measures of subclinical atherosclerosis - enhancing the power of unbiased "omics" studies to unravel the missing biology of personal susceptibility, identify new biomarkers for early diagnosis, and to suggest new targeted therapeutics.

Methods & Analysis: BioHEART-CT is a longitudinal, prospective cohort study, aiming to recruit 5000 adult patients undergoing clinically-indicated CTCA. After informed consent, patient data, blood samples and CTCA imaging data are recorded. Follow-up for all patients is conducted one month after recruitment, and then annually for the life of the study. CTCA data provides volumetric quantification of total calcified and non-calcified plaque, and measures that characterise plaque vulnerability. Comprehensive molecular phenotyping will be performed using state-of-the-art genomics, metabolomics, proteomics, and immunophenotyping. Complex network and machine learning approaches will be applied to biological and clinical datasets to identify novel pathophysiological pathways and to prioritise new biomarkers. Discovery analysis will be performed in the first 1000 patients of BioHEART-CT, with validation analysis in the following 4000 patients. Outcome data will be utilised to build improved risk models for CAD.

Ethics & Dissemination: The study protocol has been approved by the human research ethics committee of North Shore Local Health District in Sydney, Australia. All findings will be published in peer-reviewed journals or at scientific conferences.

 $\frac{L}{2}$ **Registration**: This study is registered with the Australia and New Zealand Clinical Trials Registry - ACTRN12618001322224.

Article Summary

Strengths and Limitations of this Study (max five bullet points)

- BioHEART-CT is a prospective, longitudinal cohort study assessing patients with suspected CAD undergoing CTCA across multiple Australian centres.
- Quantitative measures of coronary atherosclerosis from CTCA datasets will be used in conjunction with biological samples, improving the power to discover new mechanisms and markers for CAD.
- Samples stratified by imaging-quantified disease severity will be analysed by both candidate and unbiased "omics" approaches, utilising modern technologies and bioinformatic analysis to discover new biomarkers using proteomics, metabolomics, lipidomics, transciptomics, genomics and immunophenotyping.
- Longitudinal outcome data will be used to build risk models which can incorporate both traditional and novel risk factors and biomarkers.
- Front Purpley - Limitations of the study include selection bias, as only patients with a clinical indication for CTCA are included, and the geographic isolation of Australia, which may result in the need for confirmation of results in multi-national studies.

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Introduction

Cardiovascular disease has persisted as a major cause of human morbidity and mortality despite continual improvements in preventative therapies. In 2015 cardiovascular disease accounted for one-third of all deaths world-wide¹, and ischaemic heart disease (IHD) remains the leading cause of years of life lost for high and middle sociodemographic groups multi-nationally². This large burden of disease puts significant stress on the health systems of individual countries. In Australia, cardiovascular disease remains the number one killer of Australians³, with local health costs estimated to be \$7.7 billion AUD per annum⁴.

The standard modifiable cardiovascular risk factors (SMuRFs⁵) for atherosclerosis - hypertension, diabetes mellitus, hyperlipidaemia and smoking - were identified in epidemiological studies in the 1960s⁶. The identification and subsequent efforts to target these risk factors at community and primary care levels have led to a substantial reduction in mortality from cardiovascular disease¹. However, substantial disease burden remains and importantly, individual variability in susceptibility to these risk factors is considerable. While continuing our efforts to tackle societal and modifiable risk factors, identifiying undiscovered mechanisms that lead to the development of atherosclerosis is critical. Such work will provide new biomarkers for early detection of subclinical atherosclerosis and open avenues for improved preventative and therapeutic strategies.

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considerable. While continuing our efforts to The importance of new detection mechanisms for subclinical atherosclerosis is highlighted by interrogating the "fine print" of clinical studies, including information not usually presented in the main tables of mansuscripts. Although data regarding the percentage of patients suffering myocardial infarction despite having no SMuRFs is often omitted, a large meta-analysis found that >50% of women and >60% of men presenting with their first ACS had 0 or 1 SMuRF⁷, and similar proportions of SMuRFless patients have been identified in ACS cohort studies $5,8$. In a recent single centre Australian study, we showed that the proportion of patients presenting with ST-elevation myocardial infarction with 0 SMuRFs has risen from 11% to 27% over an 8 year period⁵. These patients have developed extensive CAD without having any of the red flags which would allow doctors to identify their risk of disease and intervene early. Although this is a relatively small piece of the overall IHD pie, the global burden of CAD is immense with an estimated prevalence of 470 million⁹, which makes this 25-30% SMuRFless patient population important from a public health perspective. It is sobering to think that we have made no clear advances that allow us to identify risk and subclinical disease in this subgroup. Indeed, we also have limited information regarding how these patients respond to traditional secondary preventative strategies, and whether their long term outcomes and disease progression differs from those with traditional modifiable risk factors.

Further evidence that novel biological mechanisms contribute substantially to atherosclerosis can be seen in results from large scale genome-wide association studies which have reported that 66% of the identified genes conveying heritable cardiovascular risk are not associated with the traditional risk factors¹⁰. Many of these genes were related to inflammatory processes, and studies such as the CANTOS trial¹¹ confirm the importance of inflammation in CAD. However, some of these important genes have not yet been well characterised, and are not yet associated with any known pathway in atherosclerosis and CAD, highlighting the importance of ongoing discovery work.

To address this gap in our understanding of CAD pathophysiology, we have designed a unique cohort study with biobanked samples to facilitate investigation of novel cardiac risk factors and biomarkers. This biobank includes advanced, quantitative imaging measurements of coronary artery atherosclerosis to allow for accurate phenotyping of the patient groups. This overcomes a

weaknesses of previous genomics studies that have relied on the presence or absence of a coronary artery event to classify individuals as diseased or as a control, improving the statistical power of the subsequent analysis. The advanced imaging dataset and clinical data including baseline and followup are integrated with the collection of a broad range of biological samples for discovery work, utilising state-of-the-art molecular phenotyping platforms and computational bioinformatics.

Objectives

The primary objectives of the BioHEART-CT study are:

- 1. To identify new biomarkers to assist in early CAD risk identification and stratification;
- 2. To identify new mechanistic pathways that may be targeted with novel therapeutic strategies to abrogate CAD risk.

The secondary objectives of the BioHEART-CT study include the following:

- 1. To determine the predictive value of a modified CTCA scoring system for CAD risk which incorporates plaque composition data;
- ives of the BioHEART-CT study include the following:

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- 3. To develop a novel risk scoring system incorporating clinical risk factors, novel biomarkers and CTCA scores to more accurarely predict CVD events.

Methods and Analysis

Study Type

BioHEART-CT is a multicentre, prospective cohort study of patients with suspected CAD, which brings together detailed clinical information with advanced imaging and molecular phenotyping.

Study Population

5000 patients will be recruited from participating hospitals' and associated imaging sites. All recruitment centres are large tertiary hospitals within the city of Sydney, Australia.

Inclusion criteria:

- Patients who have been referred for investigation of suspected CAD
- Age 18 years or older
- Willing and able to provide informed consent

Exclusion criteria:

- Patients highly dependent on medical care who are unable to provide informed consent
- Patients unwilling or unable to participate in ongoing follow-up

Study Protocol

Screening and Enrolment

Eligible patients having a CTCA are invited to participate in the study. Eligibility is confirmed according to the inclusion and exclusion criteria, the study is explained, and informed consent obtained.

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Patient Data Collection

The baseline questionnaire is completed by research staff conducting a face-to-face patient interview. Data obtained includes the following information: demographic data including smoking and alcohol intake, past medical history, relevant family history, medication history, history of cardiac symptoms and indication for CTCA. Data is entered into secure, encrypted databases in a deidentified format. After recruitment all patients are assigned a unique study number and all samples, imaging data and demographic information is identified by this number. The master list including identifiable data is securely stored on an encrypted server and is available only to senior research staff. Relevant details are made available to research staff for follow-up purposes.

Follow-Up & Outcome Adjudication

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5. In BioHEART also consent to review of their e Participants are contacted by phone at approximately 30 days and then annually to determine if any events have occurred. Information recorded for any potential outcome event includes the acuity, need for hospitalisation and indication for admission, hospital attended, treating physician, date of admission and details of the events. New medical or surgical diagnoses since last contact are also recorded. Participants in BioHEART also consent to review of their electronic medical records and data linkage through the Centre for Health Record Linkage (CHeReL), which will be used for independent medical record reviews.

Significant outcome events that trigger a file review to assess for major adverse cardiovascular events (MACE) or secondary outcomes are the following:

- Unstable angina requiring hospitalisation
- Heart failure requiring hospitalisation
- Acute myocardial infarction
- Coronary angiogram with or without percutaneous intervention
- Cardiac bypass graft surgery
- Aortic or mitral valve surgery
- Cerebrovascular accident / transient ischaemic attack
- All-cause mortality

All medical records will be reviewed by an independent adjudication committee to determine if an event should be included in the study results. Detailed criteria for the individual diagnoses included in the outcomes must be met.

MACE is defined as cardiovascular death, non-fatal myocardial infarction or non-fatal stroke. Exploratory outcomes include revascularisation, unstable angina or heart failure requiring hospitalisation.

CTCA Data Acquisition

CTCA scans are obtained on 256 slice scanner, with reconstructions created using appropriate software for the individual machine. Each study is to be protocolled by a radiologist with at least level two accreditation (RANZCR/RANZCP). All radiographers are trained in acquisition and workup of CTCA. Oral metoprolol, or ivabradine if beta blockers are contraindicated, are given to optimise the heart rate if required. Dosing is adjusted by clinical staff according to baseline heart rate and bodyweight. Oral nitroglycerine (600-800 micrograms) is given immediately prior to the scan, and iodinated contrast is injected intravenously. Prospective studies are performed if the heart rate is

sufficiently controlled, otherwise retrospective acquisition is used. Average dose is minimised at all participating sites in line with current recommendations^{12,13}.

CTCA Imaging Analysis

CT data is exported as thin DICOM data and is stored securely in a de-identified manner. Data is later analysed using a dedicated workstation to obtain the primary clinical scores at each site. CTCAs are analysed by standard anatomical arterial region as per the 17-segment model outlined by the Society of Cardiovascular Computed Tomography¹⁴. Each segment is scored according to degree of stenosis and composition of plaque (calcified, soft and mixed), see Figure 2. The segmental scores are aggregated into a modified GENSINI score which represents the total amount of calcified and non-calcified plaque present. Calcium scores are generated using the scanner-associated software by the Agaston method. Total raw calcium scores, raw calcium scores for each vessel, and age and sexmatched calcium percentage scores are recorded.

Further analysis will be performed at a central core laboratory using a Frontier System (syngo.via, Siemens Healthcare, Erlangen), employing a semi-automated soft plaque algorithm.

Biological Sample Collection

A 20-30mL blood sample is taken from an in-situ intravenous cannula, or if this is not available, by venesection, prior to CTCA and drug administration. Blood is collected into EDTA, serum and citrate tubes and stored at 4°C until processing. A lithium heparin tube is collected and processed immediately to extract peripheral blood mononuclear cells (PBMCs) from the buffy coat.

Sample Processing & Storage

Blood samples are processed by hospital pathology scientists experienced in laboratory procedures. Briefly, whole blood is set aside, then tubes are centrifuged at 1861 x *g* for 15 minutes at 4°C prior to aliquoting 500uL samples of serum, EDTA plasma, whole blood, buffy coat and erythrocytes. The citrate tube is centrifuged at 1861 x *g* for a second 15 minutes at 4°C, after which citrate-plasma samples are aliquoted. All aliquoted samples are frozen and stored at -80°C until analysis.

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P For PBMC isolation a standard gradient-separation protocol is used¹⁵. Briefly, blood from the lithium heparin tube is diluted 1:1 with Hanks' Balanced Salt Solution (HBSS). Diluted whole blood is then layered on top of Ficoll-Paque Plus and centrifgued (22°C, 1460 x *g* no brake*,* 20 min) . The buffy coat layer containing PBMCs is then further purified with two wash steps in HBSS using repeat centrifugation as above. PBMCs are then plated at a density of \sim 2.5 x 10⁴ cells/cm² into 0.1% gelatincoated flasks containing endothelial cell growth medium with 2% fetal bovine serum (EGM2 bulletkit, Lonza, Australia) and cultured in standard conditions for up to 21 days with regular monitoring for the spontaneous growth of Endothelial Progenitor Cells (EPCs). Excess PBMCs are immediately frozen in bovine serum (heat-inactivated, Gibco, Australia) containing 10% dimethyl sulfoxide and stored in liquid nitrogen.

Sample Analysis

Samples will be analysed by a variety of methods with the intent of identifying candidate biomarkers and novel metabolites involved in atherosclerosis pathophysiology. There will be two principal approaches used to identify these factors.

First is a candidate approach, investigating currently identified factors that are thought to be involved in human biological pathways that could predispose to atherosclerosis. These factors will be

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assessed within the cohort by specific assays that relate to the biological pathways involved. The candidate areas that have been identified for initial assessment are: soluble factors released by inflammatory signalling within the atherosclerotic plaque, dysregulated redox signalling within the endothelium, disorders of coagulation which lead to hypercoagulable states and/or hypofibrinolysis, soluble platelet factors which indicate platelet dysfunction, and dysregulated signalling within endothelial cells as evidenced by assessment of cultured EPCs derived from individual patient samples. Individual assays have been designed to assess each candidate marker or pathway.

The second approach aims to investigate atherosclerosis biology in an unbiased manner by using "omics" techniques. These assessments will utilise metabolomics, proteomics, transcriptomics, genomics including SNP arrays and immunophenotyping techniques.

Proteomics for the cohort will be assessed utilising a clinical proteomics platform¹⁶ which will give a complete description of the human peptidome in relevant samples, allowing for identification of novel or common factors worthy of further investigation. Metabolomics and lipidomics will be performed utilising six liquid chromatograpy-mass spectrometry platforms which will allow for identification and quantitation of a broad spectrum of metabolites, including amino acids, nucleotides, neutrotransmitters and lipid subtypes¹⁷⁻²¹.

Immunophenotyping will be performed on PBMC samples via mass spectrometry time of flight (CyTOF) which allows for detailed leucocyte phenotyping on an individual basis²². These profiles can be associated with serum levels of established markers of inflammation such as VCAM-1, ICAM-1 and IL-6, as well as correlated with the balance of M1 and M2 phenotype monocytes which have a well established role in atherosclerosis²³.

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x liquid chromatograpy-mass spectrometry Transcriptomic and genomic assays will be utilised on subsets of the cohort of particular interest, who for example may display particular resistance or vulnerability to CAD based on traditional risk factor profile and disease burden. Whole transcriptome and RNA sequencing will be performed with ribosomal depletion, and sequencing of the resulting reads and counting will be based on GENCODE. Whole genome profiling will be performed on individuals with extreme athero-susceptible, or athero-resilient phenotype, allowing for unbiased discovery of genetic variants that may be causally associated with the extreme phenotypes²⁴.

Data Analysis Plan

Statistical machine learning approaches will be applied to these biological and clinical datasets, to identify novel pathophysiological pathways, and to prioritise new markers based on likely causal roles. Discovery analysis will be performed in the first 1000 patients of BioHEART-CT, with validation in the following 4000 patients recruited, and in international collaborators studies (Figure 3.). Sample size planning for developing classifiers using high dimensional data is based on NIH Biometric online calculator assuming 1.3 fold standardised change, 50,000 molecular variables, and balanced extreme groups.

Biomarker and risk factor data analysis will depend on the specifics of the assay or investigation performed. Generally, continuous variables will be presented as means (with standard deviations) or medians (with inter-quartile ranges), categorical variables as proportions (%) with chi-squared or Fisher's exact test used to determine differences between groups. Hazard ratios for a one standard deviation increase in a biomarker, after log transformation to remove effects of outliers, on MACE will be obtained from weighted Cox regression models. All p-values reported will be two-sided, with the 5% threshold used to determine significance.

For testing the prognostic value of prioritised biomarkers, and assuming a C-statistic of 0.75 for traditional risk factors, we estimate that we will require 705 participants to detect a C-statistic of 0.85 with p=0.05, power of 80% and an event rate of 20%. Models developed with different sets of variables and biomarkers and C-statistics for one year risk will be determined and compared. The model's ability to discriminate and reclassify one year risk will be assessed using the integrated discrimination index and the net reclassification improvement.

Discussion

Three main advances have been applied in the design of BioHEART-CT that provide strategic advantage compared to previous studies which also used unbiased approaches to discover novel mechanisms and markers of atherosclerosis. First, this study includes quantification and characterisation of plaque (and the absence of plaque) by CT coronary angiography and advanced imaging algoritims, improving the power of the study compared to those that relied on CAD-related clinical events. Second, this study integrates high through-put, state-of-the-art multi-omic molecular phenotyping with comprehensive clinical data and follow up. And third, the study brings together a global team of biologists and bioinformaticians with complementary approaches and perspectives to enhance the likelihood of new discoveries.

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approving the power of the study compared to those that d, this study integrates high through-pu In previous CAD studies, healthy controls were often defined as patients who had not had a cardiac event, or who had non-obstructive atherosclerosis on invasive coronary angiography which has limited utility in identifying extraluminal plaque. As CAD often remains silent until it is very advanced, those without a myocardial infarction could have quite extensive disease and still be considered a "healthy" control. In BioHEART-CT, we have the capability to use our CTCA subgroup to more precisely phenotype subjects, allowing the accurate creation of a group of healthy controls which truly have no atherosclerotic disease present in their coronary arteries. This group of healthy patients can be used as a comparator for those with various stages of disease, reducing confounding factors and enhancing the capacity to detect real differences in biology.

Additionally, CTCA phenotyping can be utilised to analyse plaque composition in detail. Using scoring systems such as GENSINI²⁵, severity scores can be generated for each individual. We have also developed a modified GENSINI score as outlined above, which gives different weight to the presence of older, calcified plaque and newer, more biologically active non-calcified plaque. Automated detection of soft plaque shows promise as a scalable, reproducible method to add value to existing CTCA analysis²⁶. In identifying patients with high levels of active atherosclerotic plaque, we can create groups enriched for this biological feature, with and without traditional risk factors. This will better enable us to detect novel mechanisms of CAD in those with unexplained susceptibility.

Our unbiased approach to cardiovascular risk factor discovery, applying the advantages of high throughput multi-omics platforms, improved quantification of plaque and its characteristics, and advanced statistical bioinformatics, provides a high chance of discovering previously unidentified mechanisms and markers of disease. We have recently discussed the advantages of integrating multi-omics over isolated genomics studies for the complex disease process of atherosclerosis 27 , and this will be particularly beneficial in such well-phenotyped groups.

Molecular markers found to be associated with atherosclerosis may be direct drivers of disease, or result from the plaque and dysregulated vascular physiology. The closer the marker is to the underlying biology, the more likely it will be to be useful in clinical practice, transforming our ability to identify early vascular disease and susceptibility above and beyond traditional risk calculators. We are well placed to take any markers and their surrounding pathways into preclinical cellular and

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animal models to determine causality and/or mechanisms for association. This will help prioritise markers for testing in prospective clinical trials for their value in improving patient care and outcomes, as well as identifying potential novel signalling pathways involved in disease that may be therapeutic targets.

For Contraction Contraction In conclusion, BioHEART-CT is a study created in response to patients who have had heart attacks and their frequent question from the cardiac catheter laboratory table- "Why me?". While it is imperative that we measure and treat well-established risk factors for atherosclerosis, this approach misses a substantial group of patients with CAD who are not identified as being at risk. We hypothesise that major mechanisms for atherosclerosis remain to be discovered and can be unravelled by comprehensive molecular characterisation, combined with powerful bioinformatic analyses. BioHEART-CT's platforms and team are well positioned for discovering new markers and mechanisms of disease, and to take these both back to the bench to unravel new biology, as well as through to prospective clinical trial to test prognostic and clinical utility.

Ethics and Dissemination

This study was approved by the Human Research Ethics Committee of the North Shore Local Health District, Sydney, Australia – approval number HREC/17/HAWKE/343, and has been registered as a clinical trial on the Australian New Zealand Clinical Trials Registry (ACTRN12618001322224).

Informed consent is obtained from participants prior to enrolment, and the data and samples are de-identified and managed entirely anonymously with the exception of the required information for follow-up phone calls. The most significant risk to the patients in this study is that of venesection, with a possible consequence of mild bruising or superficial infection. Participants can withdraw from the study at any time and this will not have any impact on their clinical care.

The results of this study will be published in peer-reviewed journals and presented at domestic and international scientific meetings. No identifiable information will be published.

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Author's Contributions

KK and SV participated in study design, drafted the manuscript and coordinated manuscript revisions.

GF obtained the research funding and is the principal investigator of the study. All other authors contributed to study design and revisions of the manuscript.

Author's Acknowledgements

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SIDER RY CITY Competing Interests Statement

None declared

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Figure 2: Example of semi-automated analysis of a complex plaque using syngo.via running on a Frontier system (Siemens Healthcare, Erlangen). Panels A & B show a coronary artery lesion with a large calcified component longitudinally and in cross-section. Panels C & D show classification of the calcific (yellow) and fibrous (green) components of this lesion

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Precise phenotype characteristisation including advanced plaque quantification and analysis of CTCA imaging and clinical data will be utilised in candidate and unbiased omics based assessments. Machine learning approaches will be applied to: identify new biomarkers, biological mechanisms of atherosclerosis, and new risk scores.

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Biobanking for discovery of novel cardiovascular biomarkers using imaging-quantified disease burden: protocol for the longitudinal, prospective, BioHEART-CT cohort study

Biobanking for discovery of novel cardiovascular

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coular Health, Kölling Institute and Department of Cardiology, Ro biomarkers using imaging-quantified disease burden: protocol for the longitudinal, prospective, BioHEART-CT cohort study 5 Katharine A. Kott^{*1,2}, Stephen T. Vernon^{*1,2}, Thomas Hansen¹, Christine Yu¹, 6 Kristen J. Bubb¹, Sean Coffey^{1,5}, David Sullivan^{2,3,6}, Jean Y. Yang^{3,4}, John F. O'Sullivan^{2,3,9}, Clara K Chow^{2,10}, Sanjay Patel^{2,3,7,9}, James Chong^{2,10}, David S. Celermajer^{2,7,9}, Leonard Kritharides^{2,11}, Stuart M. Grieve^{2,3,8}, Gemma A. Figtree^{1,2} ¹ Cardiothoracic and Vascular Health, Kolling Institute and Department of Cardiology, Royal North Shore Hospital, Northern Sydney Local Health District, Australia ²Sydney Medical School, Faculty of Medicine and Health, University of Sydney, Australia Charles Perkins Centre, University of Sydney, Australia School of Mathematics and Statistics, University of Sydney, Australia Dunedin School of Medicine, University of Otago, New Zealand Department of Biochemistry, Royal Prince Alfred Hospital, Sydney, Australia Department of Cardiology, Royal Prince Alfred Hospital, Sydney, Australia Department of Radiology, Royal Prince Alfred Hospital, Sydney, Australia The Heart Research Institute, Sydney, Australia ¹⁰ Westmead Institute for Medical Research & Cardiology Department, Westmead Hospital, Sydney, Australia ¹¹ANZAC Research Institute & Cardiology Department, Concord Repatriation General Hospital, Sydney, Australia *The first two authors contributed equally to this publication Corresponding author: Professor Gemma Figtree MBBS DPhil (Oxon) FRACP FAHA Cardiothoracic and Vascular Health, Level 12, Kolling Institute of Medical Research, Royal North Shore Hospital, 26 St Leonards, NSW, 2065, Australia. Email: gemma.figtree@sydney.edu.au. Word Count: 3834

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Abstract

Introduction: Coronary artery disease (CAD) persists as a major cause of morbidity and mortality
worldwide despite intensive identification and treatment of traditional risk factors. Data emerging
over the past decade sh

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 Methods & Analysis: BioHEART-CT is a longitudinal, prospective cohort study, aiming to recruit 5000 11 adult patients undergoing clinically-indicated CTCA. After informed consent, patient data, blood

 samples and CTCA imaging data are recorded. Follow-up for all patients is conducted one month after recruitment, and then annually for the life of the study. CTCA data provides volumetric

- quantification of total calcified and non-calcified plaque, which will be assessed using established
- and novel scoring systems. Comprehensive molecular phenotyping will be performed using state-of-
- the-art genomics, metabolomics, proteomics, and immunophenotyping. Complex network and
- machine learning approaches will be applied to biological and clinical datasets to identify novel
- pathophysiological pathways and to prioritise new biomarkers. Discovery analysis will be performed
- in the first 1000 patients of BioHEART-CT, with validation analysis in the following 4000 patients.
- 20 Outcome data will be utilised to build improved risk models for CAD.

 Ethics & Dissemination: The study protocol has been approved by the human research ethics 22 committee of North Shore Local Health District in Sydney, Australia. All findings will be published in 23 peer-reviewed journals or at scientific conferences.

 $\frac{Z}{Z}$ **Registration**: This study is registered with the Australia and New Zealand Clinical Trials Registry - ACTRN12618001322224.

Article Summary

Strengths and Limitations of this Study (max five bullet points)

- 3 BioHEART-CT is a prospective, longitudinal cohort study assessing patients with suspected 4 CAD undergoing CTCA across multiple Australian centres.
- 5 Quantitative measures of coronary atherosclerosis from CTCA datasets will be used in 6 conjunction with biological samples, improving the power to discover new mechanisms and 7 markers for CAD.
- 8 Samples stratified by imaging-quantified disease severity will be analysed by both candidate 9 and unbiased "omics" approaches, utilising modern technologies and bioinformatic analysis 10 to discover new biomarkers using proteomics, metabolomics, lipidomics, transciptomics, 11 genomics and immunophenotyping.
- 12 Longitudinal outcome data will be used to build risk models which can incorporate both 13 traditional and novel risk factors and biomarkers.
	- 14 Limitations of the study include selection bias, as only patients with a clinical indication for
		- 15 CTCA are included, and the geographic isolation of Australia, which may result in the need 16 for confirmation of results in multi-national studies.

Per review only

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¹ Introduction

2 Cardiovascular disease has persisted as a major cause of human morbidity and mortality despite 3 continual improvements in preventative therapies. In 2015 cardiovascular disease accounted for 4 one-third of all deaths world-wide¹, and ischaemic heart disease (IHD) remains the leading cause of 5 years of life lost for high and middle sociodemographic groups multi-nationally². This large burden of 6 disease puts significant stress on the health systems of individual countries. In Australia, 7 cardiovascular disease remains the number one killer of Australians³, with local health costs

8 estimated to be \$7.7 billion AUD per annum⁴.

9 The standard modifiable cardiovascular risk factors (SMuRFs⁵) for atherosclerosis - hypertension,

10 diabetes mellitus, hyperlipidaemia and smoking - were identified in epidemiological studies in the

11 1960s⁶. The identification and subsequent efforts to target these risk factors at community and

12 primary care levels have led to a substantial reduction in mortality from cardiovascular disease¹.

13 However, substantial disease burden remains and importantly, individual variability in susceptibility

14 to these risk factors is considerable. Conditions such as impaired glucose tolerance and pre-

15 hypertension may also contribute to cardiovascular risk. While continuing our efforts to tackle

16 societal and modifiable risk factors, identifiying undiscovered mechanisms that lead to the

17 development of atherosclerosis is critical. Such work will provide new biomarkers for early detection

18 of subclinical atherosclerosis and open avenues for improved preventative and therapeutic

19 strategies that may be relevant to those with and without known risk factors.

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considerable. Conditions such as impaired glu 20 The importance of new detection mechanisms for subclinical atherosclerosis is highlighted by 21 interrogating the "fine print" of clinical studies, including information not usually presented in the 22 main tables of mansuscripts. Although data regarding the percentage of patients suffering 23 myocardial infarction despite having no SMuRFs is often omitted, a large meta-analysis found that 24 >50% of women and >60% of men presenting with their first ACS had 0 or 1 SMuRF⁷, and similar 25 proportions of SMuRFless patients have been identified in ACS cohort studies ^{5,8}. In a recent single 26 centre Australian study, we showed that the proportion of patients presenting with ST-elevation 27 myocardial infarction with 0 SMuRFs has risen from 11% to 27% over an 8 year period⁵. These 28 patients have developed extensive CAD without having any of the red flags which would allow 29 doctors to identify their risk of disease and intervene early. Although this is a relatively small piece of 30 the overall IHD pie, the global burden of CAD is immense with an estimated prevalence of 470 31 million⁹, which makes this 25-30% SMuRFless patient population important from a public health 32 perspective. It is sobering to think that we have made no clear advances that allow us to identify risk 33 and subclinical disease in this subgroup. Indeed, we also have limited information regarding how 34 these patients respond to traditional secondary preventative strategies, and whether their long term 35 outcomes and disease progression differs from those with traditional modifiable risk factors.

36 Further evidence that novel biological mechanisms contribute substantially to atherosclerosis can be 37 seen in results from large scale genome-wide association studies which have reported that 66% of 38 the identified genes conveying heritable cardiovascular risk are not associated with the traditional 39 risk factors¹⁰. Many of these genes were related to inflammatory processes, and studies such as the 40 CANTOS trial¹¹ confirm the importance of inflammation in CAD. However, some of these important 41 genes have not yet been well characterised, and are not yet associated with any known pathway in 42 atherosclerosis and CAD, highlighting the importance of ongoing discovery work. 49 50 51 52 53 54 55 56 57

43 To address this gap in our understanding of CAD pathophysiology, we have designed a unique cohort 44 study with biobanked samples to facilitate investigation of novel cardiac risk factors and biomarkers. 58 59 60

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1 MACE is defined as cardiovascular death, non-fatal myocardial infarction or non-fatal stroke.

- 2 Exploratory outcomes include revascularisation, unstable angina or heart failure requiring
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3 hospitalisation. 4 CTCA Data Acquisition

5 CTCA scans are obtained on 256 slice scanner, with reconstructions created using appropriate 6 software for the individual machine. Each study is to be protocolled by a radiologist with at least 7 level two accreditation (RANZCR/RANZCP). All radiographers are trained in acquisition and workup 8 of CTCA. Oral metoprolol, or ivabradine if beta blockers are contraindicated, are given to optimise 9 the heart rate if required. Dosing is adjusted by clinical staff according to baseline heart rate and 10 bodyweight. Oral nitroglycerine (600-800 micrograms) is given immediately prior to the scan, and 11 iodinated contrast is injected intravenously. Prospective studies are performed if the heart rate is 12 sufficiently controlled, otherwise retrospective acquisition is used. Average dose is minimised at all 13 participating sites in line with current recommendations^{12,13}.

14 CTCA Imaging Analysis 21 22

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ine with current recommendations^{12,13}.

Statin DICOM data and is stored securely in a de-identified
 15 CT data is exported as thin DICOM data and is stored securely in a de-identified manner. Data is later 16 analysed using a dedicated workstation to obtain the primary clinical scores at each site. CTCAs are 17 analysed by standard anatomical arterial region as per the 17-segment model outlined by the 18 Society of Cardiovascular Computed Tomography¹⁴. Each segment is scored according to degree of 19 stenosis and composition of plaque (calcified, soft and mixed). The segmental scores are aggregated 20 into a modified GENSINI score (Figure 2) which represents the total amount of calcified and non-21 calcified plaque present. Calcium scores are generated using the scanner-associated software by the 22 Agaston method. Total raw calcium scores, raw calcium scores for each vessel, and age and sex-23 matched calcium percentage scores are recorded. 23 24 25 26 27 28 29 30 31 32 33

24 Further analysis will be performed at a central core laboratory using a Frontier System (syngo.via, 25 Siemens Healthcare, Erlangen), employing a semi-automated soft plaque algorithm (Figure 3). 34 35 36

26 Biological Sample Collection 38 39

27 A 20-30mL blood sample is taken from an in-situ intravenous cannula, or if this is not available, by 28 venesection, prior to CTCA and drug administration. Blood is collected into EDTA, serum and citrate 29 tubes and stored at 4°C until processing. A lithium heparin tube is collected and processed 30 immediately to extract peripheral blood mononuclear cells (PBMCs) from the buffy coat. 40 41 42 43 44

31 Sample Processing & Storage 45 46

32 Blood samples are processed by hospital pathology scientists experienced in laboratory procedures. 33 Briefly, whole blood is set aside, then tubes are centrifuged at 1861 x *g* for 15 minutes at 4°C prior to 34 aliquoting 500uL samples of serum, EDTA plasma, whole blood, buffy coat and erythrocytes. The 35 citrate tube is centrifuged at 1861 x *g* for a second 15 minutes at 4°C, after which citrate-plasma 36 samples are aliquoted. All aliquoted samples are frozen and stored at -80°C until analysis. 47 48 49 50 51 52 53

37 For PBMC isolation a standard gradient-separation protocol is used¹⁵. Briefly, blood from the lithium 38 heparin tube is diluted 1:1 with Hanks' Balanced Salt Solution (HBSS). Diluted whole blood is then 39 layered on top of Ficoll-Paque Plus and centrifgued (22°C, 1460 x *g* no brake*,* 20 min) . The buffy coat 40 layer containing PBMCs is then further purified with two wash steps in HBSS using repeat 41 centrifugation as above. PBMCs are then plated at a density of \sim 2.5 x 10⁴ cells/cm² into 0.1% gelatin-42 coated flasks containing endothelial cell growth medium with 2% fetal bovine serum (EGM2 54 55 56 57 58 59 60

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- 3 immediately frozen in bovine serum (heat-inactivated, Gibco, Australia) containing 10% dimethyl
- 4 sulfoxide and stored in liquid nitrogen.

5 Sample Analysis

- 6 Samples will be analysed by a variety of methods with the intent of identifying candidate biomarkers
- 7 and novel metabolites involved in atherosclerosis pathophysiology. There will be two principal
- 8 approaches used to identify these factors. Table 1 provides a summary of all variables collected and
- 9 planned assays.

10 TABLE 1 Summary of variables and planned assays

13 assessed within the cohort by specific assays that relate to the biological pathways involved. The 14 candidate areas that have been identified for initial assessment are: soluble factors released by

- 15 inflammatory signalling within the atherosclerotic plaque, dysregulated redox signalling within the
- 16 endothelium, disorders of coagulation which lead to hypercoagulable states and/or hypofibrinolysis,
- 17 soluble platelet factors which indicate platelet dysfunction, and dysregulated signalling within
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1 endothelial cells as evidenced by assessment of cultured EPCs derived from individual patient 2 samples. Individual assays have been designed to assess each candidate marker or pathway.

- 3 The second approach aims to investigate atherosclerosis biology in an unbiased manner by using
- 4 "omics" techniques. These assessments will utilise metabolomics, proteomics, transcriptomics,
- 5 genomics including SNP arrays and immunophenotyping techniques. The cells utilised for
- 6 transcriptomcs will be patient-derived EPCs and PBMCs. 10 11
- 7 Proteomics for the cohort will be assessed utilising a clinical proteomics platform¹⁶ which will give a 12
- 8 complete description of the human peptidome in relevant samples, allowing for identification of 13
- 9 novel or common factors worthy of further investigation. Metabolomics and lipidomics will be 14 15
- 10 performed utilising six liquid chromatograpy-mass spectrometry platforms which will allow for 16
- 11 identification and quantitation of a broad spectrum of metabolites, including amino acids, 17
- 12 nucleotides, neutrotransmitters and lipid subtypes¹⁷⁻²¹. 18
- 13 Immunophenotyping will be performed on PBMC samples via mass spectrometry time of flight 19 20
- 14 (CyTOF) which allows for detailed leucocyte phenotyping on an individual basis²². These profiles can 21
- 15 be associated with serum levels of established markers of inflammation such as VCAM-1, ICAM-1 22
- 16 and IL-6, as well as correlated with the balance of M1 and M2 phenotype monocytes which have a 23 24
- 17 well established role in atherosclerosis 23 . 25
- 18 Transcriptomic and genomic assays will be utilised on subsets of the cohort of particular interest, 19 who for example may display particular resistance or vulnerability to CAD based on traditional risk 20 factor profile and disease burden. Whole transcriptome and RNA sequencing will be performed with 21 ribosomal depletion, and sequencing of the resulting reads and counting will be based on GENCODE. 22 Whole genome profiling will be performed on individuals with extreme athero-susceptible, or 23 athero-resilient phenotype, allowing for unbiased discovery of genetic variants that may be causally 26 27 28 29 30 31 32 33
- 24 associated with the extreme phenotypes 24 . 34

25 Data Analysis Plan 36

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- ansmitters and lipid subtypes¹⁷⁻²¹.

will be performed on PBMC samples via mass spectrome

for detailed leucocyte phenotyping on an individual basis

rum levels of established markers of inflammation such a

prelated wit 26 Statistical machine learning approaches will be applied to these biological and clinical datasets, to 27 identify novel pathophysiological pathways, and to prioritise new markers based on likely causal 28 roles. Discovery analysis will be performed in the first 1000 patients of BioHEART-CT, with validation 29 in the following 4000 patients recruited, and in international collaborators studies (Figure 4). Sample 30 size planning for developing classifiers using high dimensional data is based on NIH Biometric online 31 calculator assuming 1.3 fold standardised change, 50,000 molecular variables, and balanced extreme 32 groups. 37 38 39 40 41 42 43 44 45
- 33 Biomarker and risk factor data analysis will depend on the specifics of the assay or investigation 34 performed. Generally, continuous variables will be presented as means (with standard deviations) or 35 medians (with inter-quartile ranges), categorical variables as proportions (%) with chi-squared or 36 Fisher's exact test used to determine differences between groups. Hazard ratios for a one standard 37 deviation increase in a biomarker, after log transformation to remove effects of outliers, on MACE 38 will be obtained from weighted Cox regression models. All p-values reported will be two-sided, with 39 the 5% threshold used to determine significance. 46 47 48 49 50 51 52 53 54 55
- 40 For testing the prognostic value of prioritised biomarkers, and assuming a C-statistic of 0.75 for 41 traditional risk factors, we estimate that we will require 705 participants to detect a C-statistic of 42 0.85 with p=0.05, power of 80% and an event rate of 20%. Models developed with different sets of 43 variables and biomarkers and C-statistics for one year risk will be determined and compared. The 56 57 58 59 60
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	- 1 model's ability to discriminate and reclassify one year risk will be assessed using the integrated 2 discrimination index and the net reclassification improvement.

³ Discussion

4 Three main advances have been applied in the design of BioHEART-CT that provide strategic 5 advantage compared to previous studies which also used unbiased approaches to discover novel 6 mechanisms and markers of atherosclerosis. First, this study includes quantification and 7 characterisation of plaque (and the absence of plaque) by CT coronary angiography and advanced 8 imaging algoritims, improving the power of the study compared to those that relied on CAD-related 9 clinical events. Second, this study integrates high through-put, state-of-the-art multi-omic molecular 10 phenotyping with comprehensive clinical data and follow up. And third, the study brings together a 11 global team of biologists and bioinformaticians with complementary approaches and perspectives to 12 enhance the likelihood of new discoveries. 13 14 15 16 17 18 19

13 In previous CAD studies, healthy controls were often defined as patients who had not had a cardiac 14 event, or who had non-obstructive atherosclerosis on invasive coronary angiography which has 15 limited utility in identifying extraluminal plaque. As CAD often remains silent until it is very 16 advanced, those without a myocardial infarction could have quite extensive disease and still be 17 considered a "healthy" control. In BioHEART-CT, we have the capability to use our CTCA subgroup to 18 more precisely phenotype subjects, allowing the accurate creation of a group of healthy controls 19 which truly have no atherosclerotic disease present in their coronary arteries. This group of healthy 20 patients can be used as a comparator for those with various stages of disease, reducing confounding 21 factors and enhancing the capacity to detect real differences in biology. 20 21 22 23 24 25 26 27 28 29 30 31

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ies, healthy controls were often defined as patients who h
n-obstructive atherosclerosis o 22 Additionally, CTCA phenotyping can be utilised to analyse plaque composition in detail. Using scoring 23 systems such as GENSINI²⁵, severity scores can be generated for each individual. We have also 24 developed a modified GENSINI score as outlined above, which gives different weight to the presence 25 of older, calcified plaque and newer, more biologically active non-calcified plaque. Automated 26 detection of soft plaque shows promise as a scalable, reproducible method to add value to existing 27 CTCA analysis²⁶. In identifying patients with high levels of active atherosclerotic plaque, we can 28 create groups enriched for this biological feature, with and without traditional risk factors. This will 29 better enable us to detect novel mechanisms of CAD in those with unexplained susceptibility. 30 Our unbiased approach to cardiovascular risk factor discovery, applying the advantages of high 32 33 34 35 36 37 38 39 40 41 42

31 throughput multi-omics platforms, improved quantification of plaque and its characteristics, and 32 advanced statistical bioinformatics, provides a high chance of discovering previously unidentified 33 mechanisms and markers of disease. We have recently discussed the advantages of integrating 34 multi-omics over isolated genomics studies for the complex disease process of atherosclerosis²⁷, and 35 this will be particularly beneficial in such well-phenotyped groups. 43 44 45 46 47 48 49

36 Molecular markers found to be associated with atherosclerosis may be direct drivers of disease, or 37 result from the plaque and dysregulated vascular physiology. The closer the marker is to the 38 underlying biology, the more likely it will be to be useful in clinical practice, transforming our ability 39 to identify early vascular disease and susceptibility above and beyond traditional risk calculators. We 40 are well placed to take any markers and their surrounding pathways into preclinical cellular and 41 animal models to determine causality and/or mechanisms for association. This will help prioritise 42 markers for testing in prospective clinical trials for their value in improving patient care and 43 outcomes, as well as identifying potential novel signalling pathways involved in disease that may be 44 therapeutic targets. 50 51 52 53 54 55 56 57 58 59 60

- 1 In conclusion, BioHEART-CT is a study created in response to patients who have had heart attacks
- 2 and their frequent question from the cardiac catheter laboratory table- "Why me?". While it is 3 imperative that we measure and treat well-established risk factors for atherosclerosis, this approach
- 4 misses a substantial group of patients with CAD who are not identified as being at risk. We
- 5 hypothesise that major mechanisms for atherosclerosis remain to be discovered and can be
- 6 unravelled by comprehensive molecular characterisation, combined with powerful bioinformatic
- 7 analyses. BioHEART-CT's platforms and team are well positioned for discovering new markers and
- 8 mechanisms of disease, and to take these both back to the bench to unravel new biology, as well as
- 9 through to prospective clinical trial to test prognostic and clinical utility.

¹⁰ Ethics and Dissemination 15 16 17

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11 This study was approved by the Human Research Ethics Committee of the North Shore Local Health 12 District, Sydney, Australia – approval number HREC/17/HAWKE/343, and has been registered as a 13 clinical trial on the Australian New Zealand Clinical Trials Registry (ACTRN12618001322224).

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stralian New Zealand Clinical Trials Registry (ACTRN1261:
obtained from participants prior to enrolment, and the da
 14 Informed consent is obtained from participants prior to enrolment, and the data and samples are 15 de-identified and managed entirely anonymously with the exception of the required information for 16 follow-up phone calls. The most significant risk to the patients in this study is that of venesection, 17 with a possible consequence of mild bruising or superficial infection. Participants can withdraw from 18 the study at any time and this will not have any impact on their clinical care.

19 The results of this study will be published in peer-reviewed journals and presented at domestic and 20 international scientific meetings. No identifiable information will be published.

²¹ Author's Contributions

22 KK and SV participated in study design, drafted the manuscript and coordinated manuscript 23 revisions.

- 24 GF obtained the research funding and is the principal investigator of the study.
- 25 All other authors (TH, CY, KB, SC, DS, JY, JO, CC, SP, JC, DS, LK, SG) contributed to study design and
- 26 revisions of the manuscript.

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Figure 1: BioHEART-CT Study Design

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Figure 2: Semi-quantitative plaque analysis incorporating the established GENSINI scoring system25 and adding an additional multiplier for plaque composition to create a modifified GENSINI score.

210x148mm (300 x 300 DPI)

Figure 3: Example of semi-automated analysis of a complex plaque using syngo.via running on a Frontier system (Siemens Healthcare, Erlangen). Panels A & B show a coronary artery lesion with a large calcified component longitudinally and in cross-section. Panels C & D show classification of the calcific (yellow) and fibrous (green) components of this lesion.

358x220mm (300 x 300 DPI)

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Figure 4: Overview of the Analytic Approach.

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