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Cumulative exposure to ionizing radiation from diagnostic imaging procedures: a 12-year follow-up population-based analysis.

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Abstract

Objectives: To calculate each patient's cumulative radiation exposure and the recurrent tests during a 12-year study period, according to sex and age, in a cohort of patients in routine practice.

Design: Retrospective cohort study.

Setting: A general hospital with a catchment population of 224,751 people, in the Southeast of Spain.

Participants: Population belonged to the catchment area of that hospital during the year 2007. We collected all consecutive diagnostic imaging tests undergone by this population until 31st December 2018. We excluded: imaging tests that did not involve radiation exposure and patients who had an imagen test in this hospital but did not belong to its catchment area.

Main outcome measures: The cumulative incidence radiation exposure and the recurrent imaging tests by sex and age at each at entry of study. We also collected the patients' clinical context (patients with malignancy history).

Results: Of the 224,751 people, 154,520 (68.8%) underwent an imaging test. The population had 1,335,752 imaging tests during the period of study: 1,110,077 (83.0%) plain radiography; 156,848 (11.8%) CT; 63,157 (4.8%) fluoroscopy, and 5,670 (0.4%) interventional radiography. 25.4% of the patients who had a CT, underwent 5 or more CTs (5.4% in the 0 to 15 age group); 9.7% of the patients who had a fluoroscopy examination, underwent 5 or more fluoroscopy examinations (2.1% in the 0 to 15 age group. A total of 7142 (4.6%) patients received more than 50 mSv, with differences in men and women and

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according to age. Out of 2,298 patients who received more than 100 mSv, 620 (27.0 %) had no malignancy history.

Conclusions: A significant proportion of patients received doses higher than 50 mSv during the 12-year period of study. The rate of recurrent examinations was high, especially in older patients, but also relevant in the 0 to 15 age group.

Article summary section: Strengths and limitations of this study.

- This is the first study that quantifies the cumulative radiation exposure and recurrent imaging test in clinical practice, following the Basic Safety Standards Directive adopted by the European Union (EU) in 2013.
- The strength of this study is that it evaluates all radiological investigations performed in a cohort of 224,751 patients in routine practice during a 12-year study period, according to sex and age.
- We used the available evidence to estimate the effective dose for each examination, as is proposed by the dosedatamed project. This is not ideal, but it does not affect the overall result.

Introduction

The Basic Safety Standards Directive was adopted by the European Union (EU) in 2013¹ to be transposed into national law by 6 February 2018. One key and innovative surveillance mechanism in this revised directive is to record the radiation dose received by each patient undergoing a medical imaging test. The directive mainly focuses on CT and procedures involving interventional radiology, all of which are associated with a relatively high dose of radiation. Other diagnostic procedures such as conventional radiography, however, are also frequently repeated in patients during their lives with a potential impact on health and could be included in these evaluations. However, these evaluations have not still been developed in the European countries.

A full evaluation of the radiation exposure from all medical diagnostic procedures in Europe has been previously carried out in the project DoseDataMed I² and II³. This project, based on national surveys, includes information on 36 European countries regarding population frequencies and radiation dose of x-ray and nuclear medicine radiodiagnostic procedures. Although this project has led to a significant advance in the evaluation of population doses, we still do not have data regarding the cumulative dose in routine practice received by patients during long time periods. Some previous studies carried out in routine practice have evaluated the cumulative incidence of effective dose by focusing on specific pathologies⁴, population groups⁵ or the effect of recurrent CT⁶. However, none of them have evaluated the cumulative radiation exposure derived from all diagnostic procedures carried out in routine practice during a long period of time, for both adults and children. Given that the number of people who have these examinations many times during their lifetime

has increased⁶, the detection of patients with high cumulative radiation derived from recurrent imaging tests will help clinicians to reduce patient-specific associated cancer risks.

The purpose of this study was to quantify the number of all radiological investigations performed in a cohort of patients in routine practice to calculate each patient's cumulative radiation exposure and the recurrent tests during a eriod, accoru... 12-year study period, according to sex and age.

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Methods

Study design

We conducted a retrospective cohort study to analyse the individual cumulative effective dose in routine practice and the recurrent imaging diagnostic tests.

Setting

The target population for the study were all residents in the catchment area of San Juan Hospital (Alicante), in the Valencian Community (Spain), a general centre, with a catchment population of 234,424 people. This is a referral hospital for all individuals living in the catchment area who belong to the National Health Care System (NHS). The majority of the Spanish population uses the NHS as the main medical service (the publicly funded insurance scheme covers 98.5% of the Spanish population).

Participants

We selected the population who belonged to the catchment area of that hospital during the year 2007, and collected all consecutive diagnostic imaging tests undergone by this population until 31st December 2018 (in any care setting, inpatient, outpatient, or emergency department). We excluded: imaging tests that did not involve radiation exposure (ie, MRI and ultrasound) and patients who had an imagen test in this hospital but did not belong to its catchment area.

Sources and variables

We collected the following data from Medical Image Bank of the Valencian Community from the Department of Universal Health and Public Health Service (BIMCV-CSUSP): sex and age at entry in the study, radiological examination

and date. Both the images and the patient data were anonymized and deidentified by the Health Informatics Department of the Hospital of San Juan using R&D Cloud CEIB Architecture⁷ This digital register started in 2007 in our setting.

We also examined the clinical context of patients receiving the highest dose radiation. We classified patients with diagnosis of neoplasm as patients at high risk of receiving high doses of radiation, as it was previously done⁶. Thus, for all patients who underwent an imagen test, we checked if they had the ICD11 code of neoplasms through the digital register, which started in 1993.

We estimated the associated radiation effective dose using previous published evidence⁸.

Patient and public involvement:

Patients and the public were not involved in the design, conduct and reporting of the research.

Statistical analysis

The average dose values for individual examinations were analysed by a frequency distribution test. Data were expressed as the median and 25–75 percentiles for non-normally distributed values. Differences were evaluated by the Mann–Whitney U test. Categorical variables were expressed as percentages and differences were evaluated by Chi squared test.

The statistical analyses of the data were performed with SPSS (Version 25.0; SPSS Inc, Chicago, IL). A p-value 0.005 was considered significant.

Results

Cohort characteristics

The cohort included 224,751 people: 53.7% women and 46.3% men. Of these, 154,520 (68.8%) underwent an imaging test associated with radiation during the period of study, with different frequency for men (66.6%) and women (70.6%) (p<0.001) (table 1). Imaging test frequency ranged from 56.5% in the 20 to 30 age group to the highest percentage, 73.1%, in the 60 to 80 year old group.

Characteristics of imaging tests undergone during period of study.

Overall, the population had a total of 1,335,752 imaging tests during the period of study.

The type of imaging tests carried out were: 1,110,077 (83.0%) plain radiography; 156,848 (11.8%) CT; 63,157 (4.8%) fluoroscopy, and 5,670 (0.4%) interventional radiography. Men were more likely to have CT (14.3%) than women (10.1%) and women were more likely to have fluoroscopy (7.1%) than men (1.6) (p= 0.035). Moreover, the percentage of people who had a CT increased with age (from 1.2% in the 0 to 5 age group to 15.4% in the 60 to 70 age group (table 2).

Recurrent imaging tests.

The population exposed undergone a median of 5 imaging tests and 52.9% of the patients who had an imagen test during the period of study underwent 5 or more examinations. Women were more likely to have more cumulative imaging tests than men during this period (maximum 221 in men and 633 in women; IQR 2-10 in men and 2-12 in women, p<0.001). Page 9 of 30

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Table 3 shows distribution data for per-patient imaging tests (median and maximum) by age and sex for each type of imaging test.

Moreover, 8.2% of the patients who had an imagen test during the period of study and 25.4% (12,602/49,544) of the patients who had a CT, underwent 5 or more CTs (5.4% (112/2,063) in the 0 to 15 age group), with a maximum of 75 examinations; 1.8% of the patients who had an imagen test and 9.7% (2,849/29,314) of the patients who had a fluoroscopy examination, underwent 5 or more fluoroscopy examinations (2.1% (23/1,100) in the 0 to 15 age group), with a maximum of 18 examinations; 0.2% of the patients who had an imagen test and 5.8% of the patients who had an interventional radiography, underwent 3 or more interventional radiographies, with a maximum of 10 examinations, and 21.2% of the patients who had an imagen test and 21.6% (32,778/151,980) of the patients who had a plain radiography, underwent 10 or more plain radiographies (10.1% (2,185/21,620) in the 0 to 15 age group), with a maximum of 559 examinations.

Men were more likely to have more than 5 CTS than women (27.8% vs 23.3%, p<0.001), and less likely to have more than 5 fluoroscopy examinations (2.3% vs 11.6%, p<0.001) and more than 10 plain radiographies than women (19.6% vs 23.2%, p<0.001, respectively) (data not shown).

Cumulative effective dose received during the period of study.

The median total cumulative effective dose including all modalities in all population exposed was 2.10 mSv (maximum 3980.30). Women received more effective dose than men (median 2.38 vs median 1.90, p<0.001). The cumulative incidence of effective dose increased with age: median 0.72

(maximum 47.15) in the 0 to 5 age group and median 10.20 (maximum 3980.309) in the 70 to 80 age group (p<0.001) (table 4).

A total of 4,844 (3.1%) people received cumulative doses between 50-100 mSv and 2,298 (1.5%) people received doses greater than 100 mSv. Men were more likely to have cumulative effective dose above 50 mSv (both between 50 and 100 mSv (3.5%) and higher than 100 mSv (1.8%), than women (2.9% and 1.2%, respectively) (p<0.001). Of the 2,298 patients who received more than 100 mSv during the 12-year study period, 725 (33.3%) were patients in the 60 to 70 age group; 565 (24.6%) were patients in the 50 to 60 age group; 462 (20.1%) were patients in the 70 to 80 age group, and 350 (15.2%) were patients in the 40 to 50 age group (table 5).

Classification of high-risk patients. 🥒

Of the 154,520 patients who had an imagen test during the period of study, 11,072 (7.1%) had a diagnosis of cancer during the period of study. Out of 2,298 patients who received more than 100 mSv, 1,678 (73.0 %) had a diagnosis of cancer, compared with 43.14% of patients who received between 50 and 100 mSv and 4.9 % of patients who received less than 50 mSv.

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Discussion

This study provides important information on the cumulative radiation dose received by patients in routine practice. We showed that the median total cumulative effective dose during the 12-year study period was 2.10 mSv (maximum 3,980.30), lower than the 100 mSv threshold often considered for significant risks. However, 4,844 (3.1%) people received between 50 and 100 mSv and 2,298 (1.5%) more than 100 mSv during the study period.

According to stochastic effect theory and based on the estimated incidence of fatal cancer from the International Commission of Radiation Protection (ICRP) as well as from the Biological Effects of Ionising Radiation Committee VII (BEIR VII) released in 2005, for an adult, an effective dose of 100 mSv results in a risk of fatal cancer of approximately 1 in 200, and 1 in 100 for combined fatal and non-fatal cancer⁹. In our study, we only showed data from a 12-year period, so, the percentage of patients with an effective dose higher than 100 mSv during their lifetime will be even higher. Moreover, although the BEIR VII report concludes that at doses lower than 100 mSv, the risk of cancer is small¹⁰, the Radiation Effect Research Foundation (RERF) in Japan, defends a "linear-no-threshold" risk model, where the risk of cancer follows in a linear fashion at lower doses, without a threshold. Smallest dose, therefore, has the potential to cause a small increase in cancer risk¹¹.

We also found high rates of recurrent CT (25.4% of the patients who had a CT, underwent 5 or more CTs, with a maximum of 75 examinations). Previous studies have shown higher rates of recurrent CT (33% of patients underwent 5 or more CT examinations)⁶, but they included a longer followed-up period (22 years vs 12 years). Moreover, 5.8% of the patients who had an interventional

 radiography, underwent 3 or more interventional radiographies during the period of study, with a maximum of 10 examinations. Both, interventional radiography and CT, are associated with a relatively high dose of radiation. Plain radiography and fluoroscopy, although are not associated with so high doses, also showed a high recurrent rate.

Most of our population younger than 15 years old received effective dose lower than 50 mSv during the period of study; however, more than 40% of this population underwent 5 or more imaging tests during this period and 5% of them had 5 or more CTs. Moreover, the maximum number of plain radiography, CT, fluoroscopy and interventional radiography examinations undergone was 86, 17, 8 and 7, respectively in this age group. Although the linear no-threshold model is very controversial and is considered of little relevance for doses below 100mSv, we have to take into account that children are more sensitive to ionizing radiation effects due to their high radiosensitivity^{12, 13}. In addition, previous studies have shown a possible risk of cancer from radiation associated with commonly used procedures, such as computed tomography scan, in children at very young ages¹⁴.

As in previous studies⁶, most of the patients who received more than 100 mSv had history of malignancy. However, 27% of them had no underlying malignant disease. In both group of patients, clinicians should balance the risk of the cumulative exposure against the benefit of recurrent imaging.

There is increasing international interest in reducing radiation doses from imaging procedures¹⁵. However, previous studies showed the difficulties when implementing initiatives to reduce radiation exposure into clinical practice. For instance, communication with patients regarding associated risk is essential to

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get a rational use of diagnostic imaging test, but there is a lack of knowledge in the general population regarding radiation exposure and the associated risks related to imaging tests¹⁶. In addition, recent studies showed that most clinicians were unaware of radiation exposure associated with imaging tests¹⁷ and that less than 50% of the imaging tests carried out in clinical practice were considered appropriate according to the available recommendations and 29.1% of the total collective effective dose was associated with inappropriate imaging tests¹⁸.

Assessing the amount of effective dose that patients receive during their lifetime, as the European Commission of Radiological Protection recommends⁶, could therefore be considered a useful tool in the reduction of cancer risk in particular groups of patients to help clinicians to reach a shared decision with patients when asking for imaging tests. However, a big effort should be done given the great variation in CT protocols and radiation doses across countries and related with technical parameters¹⁹.

Limitations of our study included the retrospective design and lack of information regarding patients who might have been imaged outside the healthcare system, as well as radiation derived from nuclear medicine that also represents an important proportion of the collective population dose^{2, 3}. We used the available evidence to estimate the effective dose for each examination, as is proposed by the dosedatamed project². However, this type of estimation has inherent limitations, although it does not affect the overall result. It is also true that our results may differ from those of studies in different settings. Nevertheless, we included a general hospital and its catchment area (with a total population over 200,000 people). Even though our results could

have some limited generalisability in other settings, analysing this population provides important insights, showing as far as we know, the first evaluation of the cumulative incidence of effective dose in routine practice (including adults and children) according to age and sex over a 12 year-period.

Conclusions

A significant proportion of patients received doses higher than 50 mSv during the 12-year period of study. Moreover, the rate of recurrent examinations was high, especially in older patients, but also relevant in the 0 to 15 age group. These data could help clinicians to make an informed decision when asking for each imaging test, which would lead to lower cumulative lifetime radiation, and consequently a reduction in associated risks.

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Competing interest declaration

There are not competing interest to declare.

Contributors: BL, IGA and JMS conceived of and designed the study. BL and JMS acquired the data. BL and JMS prepared the data and BL, JMS and IGA interpreted statistical analyses. BL did the statistical analyses and drafted the data tables. BL, JMS and IGA co-wrote the manuscript. All authors critically revised the paper for important intellectual content and approved the final version.

Ethical approval: The study was approved by the institutional review board at San Juan Alicante Hospital (14/301).

Data sharing: No additional data available.

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1. Characteristics of study population by final exposure status*, based on 12-year period of study (2007-2018).

Characteristics	No (%) of people exposed	Total no of people in study
Sex		
Men	69,265 (66.6)	104,056
Women	85255 (70.6)	120,695
Age at entry to study (years)		
0-5	7,631 (62.4)	12,224
5-10	7,185 (60.1)	11,965
10-15	6,978 (62.1)	11,23
15-20	6,834 (59.3)	11,526
20-30	17,590 (56.5)	31,142
30-40	27,609 (66.9)	41,287
40-50	24,364 (70.4)	34,625
50-60	18,783 (70.4)	26,671
60-70	16,093 (73.1)	22,028
70-80	14,052 (73.1)	19,225
>80	7,401 (70.3)	10,523
Total no of people in study	154,520 (68.8)	224,751

*Exposure status at end of study. All study members were classified as unexposed on entry to the study.

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2- Characteristics of the imagen tests received by sex and age during the period of study.

Characteristics	Plain radiography	Computed	Fluoroscopy	Interventional	Total
		tomography		radiography	
Sex					
Men	467,373 (83.5)	79,796 (14.3)	9,159 (1.6)	3,288 (0.6)	559,616
Women	631,917 (82.6)	77,052 (10.1)	53,998 (7.1)	2,382 (0.3)	765,349
Age at entry to study (years)		- Ch	6		
0-5	33,570 (97.2)	423 (1.2)	541 (1.6)	4	34,538
5-10	36,427 (95.5)	1,050 (2.8)	648 (1.7)	14	38,138
10-15	35,333 (93.8)	1,703 (4.5)	610 (1.6)	33 (0.1)	37,679
15-20	32,274 (92.9)	1,850 (5.3)	570 (1.6)	38 (0.1)	34,732
20-30	78,990 (90.3)	6,285 (7.2)	2,078 (2.4)	127 (0.1)	87,480
30-40	131,846 (88.1)	13,201 (8.8)	4,304 (2.9)	327 (0.2)	149,678
40-50	153,479 (80.8)	22,609 (11.9)	13,093 (6.9)	609 (0.3)	189,790
50-60	166,589 (76.6)	30,630 (14.1)	19,081 (8.8)	1,073 (0.5)	217,373

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60-70	184,943 (77.9)	36,428 (15,4)	14,365 (6,1)	1.563 (0.7)	237,299
70.00	177 888 (91 4)			1,000 (0.7)	218 553
/0-80		32,227 (14.8)	6,929 (3.2)	1,509 (0.7)	210,000
>80	69,738 (85.6)	10,442 (12.8)	938 (1.2)	373 (0.5)	81,491
Total (n/%)	1,110,077 (83.0)	156,848 (11.8)	63,157 (4.8)	5,670 (0.4)	1,335,752
			23		
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Characteristics	Plain radi	ography	Computed	tomography	Fluorosco	ру	Intervent radiogra	ional ohy
	Median	Maximum	Median	Maximum	Median	Maximum	Median	Maximum
Sex								
Men	4	213	2	71	1	18	1	10
Women	4	559	2	75	2	14	1	9
Age at entry to study (years)			6					
0-5	3	41	1	5	1	8	1	1
5-10	4	86		17	2	8	1	2
10-15	4	72	1	11	1	8	1	7
15-20	3	64	1	14	1	6	1	2
20-30	3	165	1	36	1	7	1	9
30-40	3	112	1	39	1	10	1	4
40-50	4	373	2	57	2	13	1	6
50-60	6	160	2	62	2	18	1	7
60-70	8	213	2	75	2	14	1	9
70-80	9	139	2	69	1	11	1	10
>80	7	101	2	35	1	8	1	5

 age during the

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Page 25 of 30

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4. Cumulative incidence of effective dose (mSv) per person exposed during the period of study.

Characteristics	Median	Percentil 25	Percentil 75	Percentil 95	Maximum
Sex					
Men	1.90	0.2	10.20	51.74	629.58
Women	2.38	0.38	10.10	43.80	3980.30
Age at entry to study (years)		°er,			
0-5	0.21	0.06	1.60	7.66	47.15
5-10	0.48	0.03	2.38	10.02	94.58
10-15	0.67	0.06	2.64	13.10	196.16
15-20	0.74	0.10	2.98	14.66	159.51
20-30	1.00	0.11	4.02	18.65	222.27
30-40	1.23	0.38	5.75	25.98	297.14
40-50	2.00	0.38	10.12	44.22	716.16
50-60	4.27	0.64	17.08	73.34	629.58
60-70	8.35	1.47	25.72	93.60	506.08

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>80 5.30 1.19 15.96 45.14 204.32 Total 2.10 0.36 10.15 47.22 3980.30	>80 5.30 1.19 15.96 45.14 204.32 Total 2.10 0.36 10.15 47.22 3980.30	70-80	10.20	2.40	26.00	80.17	3980.30
Total 2.10 0.36 10.15 47.22 3980.30	Total 2.10 0.36 10.15 47.22 3980.30	>80	5.30	1.19	15.96	45.14	204.32
	For peer review on t	Total	2.10	0.36	10.15	47.22	3980.30

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5. Classification of population according to the cumulative incidence of effective dose during the period of study.

Characteristics	0-50 mSv	>50-100 mSv	>100 mSv	p valor
Sex	4			<0.001
Men	65,623 (94.7)	2,407 (3.5)	1,235 (1.8)	
Women	81,756 (95.9)	2,437 (2.9)	1,063 (1.2)	
Age at entry to study (years)		19°		<0.001
0-5	7,631 (100.0)	. 6		
5-10	7,179 (99.9)	6 (0.1)	0	
10-15	6,953 (99.6)	21 (0.3)	4 (0.3)	
15-20	6,814 (99.7)	17 (0.2)	3 (0.1)	O_{I}
20-30	17,450 (99.2)	97 (0.6)	43 (0.2)	7
30-40	27,145 (98.3)	353 (1.3)	111 (0.4)	
40-50	23,358 (95.9)	656 (2.7)	350 (1.4)	
50-60	17,201 (91.6)	1,017 (5.4)	565 (3.0)	
60-70	14,045 (87.3)	1,323 (8.2)	725 (4.5)	

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Total	147,379 (95.4)	4,844 (3.1)	2,298 (1.5)	
>80	7,113 (96.1)	253 (3.4)	35 (0.5)	
70-80	12,490 (88.9)	1,101 (7.8)	462 (3.3)	

		Item No	Recommendation
YES	Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title
Pages 1 and 3			or the abstract
			(b) Provide in the abstract an informative and balanced summary of
			what was done and what was found
	Introduction		
YES Pages 5-	Background/rationale	2	Explain the scientific background and rationale for the investigation
6			being reported
YES Page 6	Objectives	3	State specific objectives, including any prespecified hypotheses
	Methods		
YES Page 7	Study design	4	Present key elements of study design early in the paper
YES	Setting	5	Describe the setting, locations, and relevant dates, including periods
Page 7			of recruitment, exposure, follow-up, and data collection
YES page 7	Participants	6	<i>Cross-sectional study</i> —Give the eligibility criteria, and the sources
			and methods of selection of participants
YES Pages 7-	Variables	7	Clearly define all outcomes, exposures, predictors, potential
8			confounders, and effect modifiers. Give diagnostic criteria, if
			applicable
YES Pages 7-	Data sources/	8*	For each variable of interest, give sources of data and details of
8	measurement		methods of assessment (measurement). Describe comparability of
			assessment methods if there is more than one group
YES Page 8	Bias	9	Describe any efforts to address potential sources of bias
NA	Study size	10	Explain how the study size was arrived at
YES Page 8	Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If
			applicable, describe which groupings were chosen and why
YES Page 8	Statistical methods	12	(a) Describe all statistical methods, including those used to control
			for confounding
			(b) Describe any methods used to examine subgroups and
			interactions
			(c) Explain how missing data were addressed
			Cross-sectional study—If applicable, describe analytical methods
			taking account of sampling strategy
			(e) Describe any sensitivity analyses

Continued on next page

	Results		
YES Page 9	Participants	13*	 (a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed (b) Give reasons for non-participation at each stage (c) Consider use of a flow diagram
YES Page 9	Descriptive data	14*	 (a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders (b) Indicate number of participants with missing data for each variable of interest (c) <i>Cohort study</i>—Summarise follow-up time (eg, average and total amount)
YES Page 9- 11	Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over time Case-control study—Report numbers in each exposure category, or summary measures of exposure Cross-sectional study—Report numbers of outcome events or summary measures
YES Page 9- 11	Main results	16	 (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period
NA	Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses
	Discussion		
YES Page 12	Key results	18	Summarise key results with reference to study objectives
YES Page 14	Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias
YES Pages 12- 13	Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence
YES Page 15	Generalisability	21	Discuss the generalisability (external validity) of the study results
	Other informati	on	
NA	Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Cumulative exposure to ionizing radiation from diagnostic imaging tests: a 12-year follow-up population-based analysis in Spain.

Journal:	BMJ Open
Manuscript ID	bmjopen-2019-030905.R1
Article Type:	Research
Date Submitted by the Author:	22-Jul-2019
Complete List of Authors:	Lumbreras Lacarra, Blanca; Miguel Hernandez University of Elche, Salinas, Josee María; Information Technology Department, San Juan Hospital, Spain Gonzalez-Alvarez, Isabel; Radiodiagnostic Department, San Juan Hospital, Spain
Primary Subject Heading :	Radiology and imaging
Secondary Subject Heading:	Public health
Keywords:	RADIOLOGY & IMAGING, EPIDEMIOLOGY, PREVENTIVE MEDICINE



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2 3 4	1	Title: Cumulative exposure to ionizing radiation from diagnostic imaging
5 6 7	2	tests: a 12-year follow-up population-based analysis in Spain.
7 8 9 10	3	Authors: Lumbreras B*, Salinas JM, González-Álvarez I.
11 12 13	4	
14 15 16	5	
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24 Abstract

Objectives: To calculate each patient's cumulative radiation exposure and the recurrent tests during a 12-year study period, according to sex and age, in routine practice.

28 **Design**: Retrospective cohort study.

Setting: A general hospital with a catchment population of 224,751 people, in
the Southeast of Spain.

Participants: Population belonged to the catchment area of that hospital in
2007. We collected all consecutive diagnostic imaging tests undergone by this
population until 31st December 2018. We excluded: imaging tests that did not
involve radiation exposure.

Main outcome measures: The cumulative effective dose and the recurrent
 imaging tests by sex and age at entry of study.

37 **Results:** Of the 224,751 people, 154,520 (68.8%) underwent an imaging test.

38 The population had 1,335,752 imaging tests during the period of study:

39 1,110,077 (83.0%) plain radiography; 156,848 (11.8%) computed tomography

40 (CT); 63,157 (4.8%) fluoroscopy, and 5,670 (0.4%) interventional radiography.

41 25.4% of the patients who had a CT, underwent 5 or more CTs (5.4% in the 0 to

42 20 age group). The median total cumulative effective dose was 2.10 mSv

43 (maximum 3980.30) and 16.30 mSv (maximum 1419.30 mSv) if we considered

44 only doses associated with CT. Women received more effective dose than men

45 (median 2.38 vs median 1.90, p<0.001). A total of 7142 (4.6%) patients

46 received more than 50 mSv, with differences in men and women (p<0.001) and

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3 4	47	2.5% of the patients in the 0 to 20 age group, if we considered only doses				
5 6 7	48	associated with CT.				
8 9	49	Conclusions: Nearly 5% of patients received doses higher than 50 mSv durin				
10 11 12	50	the 12-year period of study and 2.5% of the patients in the 0 to 20 age group, if				
12 13 14	51	we considered only doses associated with CT. The rate of recurrent				
15 16	52	examinations was high, especially in older patients, but also relevant in the 0 to				
17 18 10	53	20 age group.				
20 21	54					
22 23 24	55	Article summary section: Strengths and limitations of this study.				
25 26	56	- This study follows the Basic Safety Standards Directive adopted by the				
27 28 20	57	European Union (EU) in 2013 in order to assess the amount of effective				
30 31	58	dose that patients receive during their lifetime.				
32 33	59	- The analysis of medical records allowed us to evaluate all imaging tests				
34 35	60	performed in a cohort of 224,751 patients in routine practice during a 12-				
36 37 38	61	year study period, according to sex and age.				
39 40	62	- The retrospective design did not allow a detailed assessment of the				
41 42	63	longitudinal nature of the exposure.				
43 44	64	- Instead of recording the effective dose for each individual examination,				
45 46 47	65	we used the available evidence, as is proposed by the Dosedatamed				
48 49	66	project.				
50 51	67	- The inclusion of a general hospital and its catchment area could have led				
52 53 54	68	to some limited generalisability in other settings.				
55 56 57	69					
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71 Introduction

The use of ionising radiation in medicine provides valuable diagnostic
information that undoubtedly benefits many patients. However, this radiation is

⁷⁴ also the greatest source of artificial radiation exposure¹.

In the last decades, there has been an increase in utilization of X-rays,

76 particularly of Computerized Tomography (CT). Although a single CT scan does

not present a significant risk for patients' health, each additional scan increases

the potential for cancer-inducing biological damage² and patients may receive

79 multiple CT scans over time³.

80 According to stochastic effect theory and based on the estimated incidence of

81 fatal cancer from the International Commission of Radiation Protection (ICRP),

as well as from the Biological Effects of Ionising Radiation Committee VII (BEIR

VII), an effective dose of 100 mSv results in a risk of fatal cancer of

approximately 1 in 200 in adults, and 1 in 100 for combined fatal and non-fatal

⁸⁵ cancer⁴. Moreover, although the BEIR VII report concludes that at doses lower

than 100 mSv, the risk of cancer is small⁵, the Radiation Effect Research

Foundation (RERF) in Japan, defends a "linear-no-threshold" risk model, where
the risk of cancer follows in a linear fashion at lower doses, without a threshold.

Smaller doses, therefore, have the potential to cause a small increase in cancer
risk⁶.

A recent study in France⁷ estimated that 0.7% of all new cancer cases in 2015 were attributable to medical ionizing radiation. In Spain, a rate of 10.9 scans per 1000 children and young adults (0 to 20 years old) was estimated in 2013, and

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a total of 168.6 cancer cases (95% CI: 30.1–421.1) will be attributable to these
 CTs⁸.

96 Concern regarding the effects of ionizing radiation from these medical tests on
97 population health and the estimated increased risk of cancer for the population
98 in general, and for children and young adults in particular (0-20 years old)^{9, 10}
99 has led to several initiatives to reduce the use of ionizing radiation.

The Basic Safety Standards Directive was adopted by the European Union (EU))0 in 2013¹¹ to be transposed into national law by 6 February 2018. One key and 01)2 innovative surveillance mechanism in this revised directive is to record the radiation dose received by each patient undergoing a medical imaging test. The)3 directive mainly focuses on CT and tests involving interventional radiology, all of)4 which are associated with a relatively high dose of radiation. Other diagnostic)5 tests such as conventional radiography, however, are also frequently repeated)6 in patients during their lives with a potential impact on health and could be)7 included in these evaluations. However, these evaluations have not still been)8 developed in the European countries as a systematically procedure.)9

LO A full evaluation of the radiation exposure from all medical diagnostic tests in Europe has been previously carried out in the project Dose DataMed I¹² and 11 II¹³. This project, based on national surveys, includes information on 36 12 European countries regarding population frequencies and radiation dose of x-L3 ray and nuclear medicine radiodiagnostic tests. Although this project has led to ۱4 L5 a significant advance in the evaluation of population doses, we still do not have data regarding the cumulative dose in routine practice received by patients 16 during long time periods. Some previous studies carried out in routine practice L7

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> have evaluated the cumulative effective dose by focusing on specific 8 pathologies¹⁴, population groups¹⁵ or the effect of recurrent CT¹⁶. The 9 previously mentioned study in France⁷, assessed the cumulative exposure in 0 adults of 30 years of age and older, using 2007 national frequencies of imaging 1 tests and adjusted for changes in the use of these tests over time. However, 2 none of them have evaluated the cumulative radiation exposure derived from all 3 diagnostic tests carried out in routine practice during a long period of time, for 4 both adults and children. 5

Given that the number of people who have these examinations many times 6 7 during their lifetime has increased¹⁶, the detection of patients with high cumulative radiation derived from recurrent imaging tests will help clinicians to 8 9 reduce patient-specific associated cancer risks. Moreover, the identification of the clinical context of patients with high cumulative radiation doses due to 0 repeat imaging could help clinicians to reduce the use of ionizing radiation¹⁷. 1 2 According to previous literature, patients with a diagnosis of neoplasm are prone to have recurrent imaging tests¹⁶. 3

The purpose of this study was to quantify the number of all radiological
investigations performed in a cohort of patients in routine practice to calculate
each patient's cumulative radiation exposure and the recurrent tests during a
12-year study period, according to sex. age, focusing on children and young
adults (0 to 20 years old) and imaging test. In addition, we identified the clinical
context of patients with potentially high cumulative radiation risks.

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2		
3 4	142	Methods
5 6 7	143	Study design
8 9 10	144	We conducted a retrospective cohort study to analyse the individual cumulative
11 12 12	145	effective dose in routine practice and the recurrent imaging diagnostic tests.
13 14 15	146	Setting
16 17 18	147	The target population for the study were all residents in the catchment area of
19 20	148	San Juan Hospital (Alicante), in the Valencian Community (Spain), a general
21 22 23	149	centre, with a catchment population of 234,424 people. This is a referral
24 25	150	hospital for all individuals living in the catchment area who belong to the
26 27	151	National Health Care System (NHS). The majority of the Spanish population
28 29 20	152	uses the NHS as the main medical service (the publicly funded insurance
30 31 32	153	scheme covers 98.5% of the Spanish population) and hence, only a small
33 34	154	percentage of patients are likely to have had imaging tests outside this setting.
35 36 37 38	155	Participants
39 40	156	We selected the population who belonged to the catchment area of that hospital
41 42	157	during the year 2007, and collected all consecutive diagnostic imaging tests
43 44 45	158	undergone by this population until 31 st December 2018 (in any care setting,
46 47	159	inpatient, outpatient, or emergency department). Cohort members remained in
48 49	160	the study until their exit date or they left the catchment area. We assigned each
50 51 52	161	person to the unexposed group from the date of entry until the date of the first
52 53 54	162	imaging test, and to the exposed group from the date of the first imaging test
55 56	163	until the exit date. In addition, in those patients who did not account for the 12
57 58	164	years of follow-up, we assumed future practice estimating the proportion of
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imaging tests that would have been carried out during the remaining period if
the patients had been in the cohort, except for the > 80 age group, where did
not implemented this strategy given that the expectancy life in Spain is 82.83
years old.

We excluded: imaging tests that did not involve radiation exposure (ie, MRI and ultrasound) and patients who had an imaging test in this hospital but did not belong to its catchment area.

172 We classified the population in different age groups, and we focused our

173 estimations in the 0-20 year old group due to their increased cancer risk.

In order to check generalizability of our data, we compare our population with
 Spanish population on the 31st December 2007¹⁸.

176 Imaging test frequency

We collected the following data from Medical Image Bank of the Valencian
Community from the Department of Universal Health and Public Health Service
(BIMCV-CSUSP): sex and age at entry in the study, radiological examination
and date. Both the images and the patient data were anonymized and deidentified by the Health Informatics Department of the Hospital of San Juan
using R&D Cloud CEIB Architecture⁷ This digital register started in 2007 in our
setting.

According to previous studies¹⁶, each imaging test received was classified as a
single radiation exposure. However, abdomen and pelvis tests carried out in the
same process were included as a single abdomen-pelvis test, while an
abdomen or pelvis test in a different process, even in the same patient, were

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3 4	188	included as two different tests. Thoracic and lumbar spine tests were included
5 6	189	when they were performed alone but not when performed together with chest or
7 8 9	190	abdominal tests.
10 11 12	191	Effective dose estimate:
13 14 15	192	Given that it was impossible to get individual machine parameters for all
16 17	193	imaging tests, we estimated the associated radiation effective dose per test
18 19	194	according to its region of anatomical coverage by age and using previously
20 21 22	195	published evidence ¹⁹ . This review provides values of the typical effective doses
22 23 24	196	associated with the 20 most frequent imaging tests for adults and children and
25 26	197	for the most widely used set of weights (ICRP60) as well as for the most recent
27 28	198	(ICRP103). We based our estimates on ICRP103, except in those cases where
29 30 21	199	we did not have enough information. In addition, we estimated the effective
31 32 33	200	dose of imaging tests different from the 20 most frequent imaging tests in Dose
34 35	201	Datamed 2 project according to previous bibliography ²⁰⁻²² (supplementary
36 37 38	202	material tables 1 and 2).
39 40 41	203	Clinical classification of high-risk patients.
42 43	204	We examined the clinical context of patients receiving the highest dose
44 45 46	205	radiation. In accordance with previous studies ¹⁶ , we classified patients with
40 47 48	206	diagnosis of neoplasm as patients at high risk of receiving high doses of
49 50	207	radiation. We reviewed the digital register to establish which patients, who
51 52	208	underwent an imaging test, had the ICD11 code of neoplasms (from 1993,
55 55	209	when the register started, until the date of the first imaging test they underwent
56 57 58	210	in our study).
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211 Patient and public involvement:

Patients and the public were not involved in the design, conduct and reporting ofthe research.

214 Statistical analysis

We estimated the imaging test frequency as the number of people having at 215 least 1 test during the study period until the 31st December 2018 (final exposure 216 217 status) and it was classified by sex and age. We also estimated the per-patient 218 cumulative diagnostic imaging test during the period of study by adding up the 219 number of tests received by each patient, and then evaluated the differences by sex and age group using the Chi squared test. We also calculated the median 220 and maximum number of imaging tests in our population and assessed the 221 differences by sex and age using the Mann–Whitney U test. 222

Cumulative effective dose estimates were obtained by adding effective dose
estimates received in each test in the patient's history. Data were expressed as
the median, maximum and 25, 75, 95 percentiles. Differences by sex and age
group were assessed using the Mann–Whitney U test.

We also classified the population according to the cumulative effective dose received during the period of study in the following way: 0-50 mSv, >50-100 mSv and >100 mSv⁹ and evaluated the differences in these groups by sex and age group using the Chi squared test.

We carried out a sub-group analysis to analyse the different cumulative
effective dose in patients having CT and in those having plain radiograph
(supplementary material).

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2 3 4	234	The statistical analyses of the data were performed with SPSS (Version 25.0;
5 6	235	SPSS Inc, Chicago, IL). A p-value 0.05 was considered significant.
/ 8 9 10	236	Results
11 12 12	237	Cohort characteristics
14 15	238	The cohort included 232,446 people: 53.7% women and 46.3% men. The
16 17 18	239	distribution by sex and age was similar to Spanish general population.
19 20	240	Of 232,446 people included in the cohort study, 154,520 (68.8%) underwent an
21 22	241	imaging test associated with radiation during the period of study, with different
23 24 25	242	frequency for men (69,265/107,622; 66.6%) and women (85,255/123,196;
26 27	243	70.6%) (p<0.001) (table 1). The number of people having at least 1 exam during
28 29 20	244	the study period (defined as imaging test frequency) ranged from 56.5% in the
30 31 32	245	20 to 30 age group to the highest percentage, 73.1%, in the 60 to 80 year old
33 34	246	group.
35 36 37 38	247	Characteristics of imaging tests undergone during period of study.
39 40	248	Overall, the population had a total of 1,335,752 imaging tests during the period
41 42 43	249	of study.
44 45	250	The type of imaging tests carried out were: 1,110,077 (83.0%) plain
46 47 48	251	radiography; 156,848 (11.8%) CT; 63,157 (4.8%) fluoroscopy, and 5,670 (0.4%)
49 50	252	interventional radiography. Men were more likely to have CT (14.3%) than
51 52	253	women (10.1%) and women were more likely to have fluoroscopy (7.1%) than
53 54 55	254	men (1.6) (p= 0.035). Moreover, the percentage of people who had a CT
56 57	255	increased with age (from 1.2% in the 0 to 5 age group to 15.4% in the 60 to 70
58 59	256	age group (table 2).
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257 Recurrent imaging tests.

The population exposed undergone a median of 5 imaging tests and 52.9% of the patients underwent 5 or more examinations. Women were more likely to have more cumulative imaging tests than men during this period (maximum 221 in men and 633 in women; interquartile range 2-10 in men and 2-12 in women, p<0.001).

Table 3 shows distribution data for per-patient imaging tests (median and
maximum) by age and sex for each type of imaging test.

Moreover, 8.2% of the patients who had an imaging test during the period of study and 25.4% (12,602/49,544) of the patients who had a CT, underwent 5 or

267 more CTs (5.5% (174/3,187) in the 0 to 20 age group), with a maximum of 75

examinations; 1.8% of the patients who had an imaging test and 9.7%

269 (2,849/29,314) of the patients who had a fluoroscopy examination, underwent 5

or more fluoroscopy examinations (1.9% (28/1,478) in the 0 to 20 age group),

with a maximum of 18 examinations; 0.2% of the patients who had an imaging

test and 5.8% of the patients who had an interventional radiography, underwent

273 3 or more interventional radiographies, with a maximum of 10 examinations,

and 21.2% of the patients who had an imaging test and 21.6% (32,778/151,980)

of the patients who had a plain radiography, underwent 10 or more plain

radiographies (10.1% (2,849/28,356) in the 0 to 20 age group), with a maximum

of 559 examinations.

278 Men were more likely to have more than 5 CTS than women (27.8% vs 23.3%, p<0.001), and less likely to have more than 5 fluoroscopy examinations (2.3%

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2 3 4	280	vs 11.6%, p<0.001) and more than 10 plain radiographies than women (19.6%
5 6 7	281	vs 23.2%, p<0.001, respectively) (data not shown).
, 8 9 10	282	Cumulative effective dose received during the period of study.
11 12	283	The median total cumulative effective dose including all imaging tests in all
13 14 15	284	population exposed was 2.10 mSv (maximum 3980.30). Women received more
16 17	285	effective dose than men (median 2.38 vs median 1.90, p<0.001). The
18 19	286	cumulative effective dose increased with age: median 0.72 (maximum 47.15) in
20 21	287	the 0 to 5 age group and median 10.20 (maximum 3980.309) in the 70 to 80
22 23 24	288	age group (p<0.001) (table 4).
25 26 27	289	If we consider the cumulative effective dose associated with plain-radiograph
27 28 29	290	(table S3), the median total cumulative effective dose was 0.70 mSv (maximum
30 31	291	2112.79). There were also differences by sex: women received more effective
32 33 34	292	dose than men (median 1.02 vs median 0.64, p<0.001).
35 36 37	293	Considering the cumulative effective dose associated with CT (table S4), the
38 39	294	median total cumulative effective dose was 16.30 mSv (maximum 1419.30).
40 41	295	Men received more effective dose than women (median 19.80 vs median 13.20,
42 43 44	296	p<0.001). 2.5% of the patients in the 0 to 20 group received more than 50 mSv.
45 46	297	A total of 4,844 (3.1%) people received cumulative doses between 50-100 mSv
47 48 49	298	and 2,298 (1.5%) people received doses greater than 100 mSv. Men were more
50 51	299	likely to have cumulative effective dose above 50 mSv (both between 50 and
52 53	300	100 mSv (3.5%) and higher than 100 mSv (1.8%), than women (2.9% and
54 55 56	301	1.2%, respectively) (p<0.001). Of the 2,298 patients who received more than
57 58	302	100 mSv during the 12-year study period, 725 (33.3%) were patients in the 60
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303	to 70 age group; 565 (24.6%) were patients in the 50 to 60 age group; 462
304	(20.1%) were patients in the 70 to 80 age group, and 350 (15.2%) were patients
305	in the 40 to 50 age group (table 5).
306	If we consider the cumulative effective dose associated with plain-radiograph,
307	almost 100% of people received cumulative effective dose below 50 mSv.
308	Considering the cumulative effective dose associated with CT, 17.8% of people
309	received doses above 50 mSv (8.2% above 100 mSv).
310	Classification of high-risk patients.
311	Of the 154,520 patients who had an imaging test during the period of study,
312	11,072 (7.1%) had a diagnosis of cancer during the period of study. Out of
313	2,298 patients who received more than 100 mSv, 1,678 (73.0 %) had a
314	diagnosis of cancer, compared with 43.14% of patients who received between
315	50 and 100 mSv and 4.9 % of patients who received less than 50 mSv.
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324 Discussion

This study provides important information on the cumulative radiation dose received by patients in routine practice. We showed that the median cumulative effective dose including all the imaging tests during the 12-year study period was 2.10 mSv (maximum 3,980.30). However, the median cumulative effective dose associated with only CT was 16.30 (maximum 1419.30).

The median cumulative effective dose was therefore lower than the 100 mSv
 threshold often considered for significant risks in stochastic theory²³.

32 Nevertheless, 4,844 (3.1%) people received between 50 and 100 mSv and 2,298 (1.5%) more than 100 mSv during the study period. In addition, 17.8% of 33 people who had CTs received doses above 50 mSv and 8.2% of them, doses 34 above 100 mSv. A previous study evaluated CT use in general practice during 35 an 8-year period (1998-2005) and showed that nearly 50% of the population 36 37 had CT and 1.2% of them received doses >100 mSv. The longer follow-up period in our study (12 years vs 8 years) does not justify the much higher 38 cumulative effective dose associated with CT shown in our patients. 39

However, our frequencies are lower than those reported in a previous study where 15% received estimated cumulative effective doses of more than 100 mSv¹⁶. This study included adult patients who had received CT during the previous 22 years while our cohort study included general population. In addition, we only showed data from a 12-year period, so the percentage of patients with an effective dose higher than 100 mSv during their lifetime will be even higher. Moreover, according to linear theory, smaller doses have the

Page 16 of 47

potential to cause a small increase in cancer risk²⁴. However, the cancer rates
did not change in our cohort during the period of study.

Previous research focused on patients <20 years of age²⁵ showed that of the 22,867 patients who had CT during an 8-year period, 1.6% received doses higher than 50 mSv. In our cohort study, the percentage was lower, but we included all imaging tests (radiography and CT). In the subgroup analysis by type of imaging test, we observed higher rates in the CT group in the 0 to 20 age group (2.5%). Greater efforts to decrease the number of recurrent CTs in children have to be implemented, taking into account that a recent study showed that even low doses of ionizing radiation increase the risk of childhood leukemia²⁶.

These results which show high rates of population undergoing imaging tests are in line with the DoseData Med II project³, in which Spain had one of the greatest frequency of imaging tests per 1000 population in comparison with the European average.

We also found high rates of recurrent CT (25.4% of the patients who had a CT, underwent 5 or more CTs, with a maximum of 75 examinations). Previous studies have shown higher rates of recurrent CT (33% of patients underwent 5 or more CT examinations)⁶, but they included a longer followed-up period (22 years vs 12 years). Moreover, 5.8% of the patients who had an interventional radiography, underwent 3 or more interventional radiographies during the period of study, with a maximum of 10 examinations. Both interventional radiography and CT, are associated with a relatively high dose of radiation. Plain radiography and fluoroscopy, although they are not associated with such high

Page 17 of 47

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doses, also showed a high recurrent rate. Additional measures should be 371 372 applied to control these recurrent rates, particularly to subgroups who are more 373 prone to recurrent controls such as patients with chronic diseases like cancer. 374 In fact, as in previous studies¹⁶, most of the patients who received more than 100 mSv had previous history of malignancy. However, 27% of them had no 375 underlying malignant disease. In both groups of patients, clinicians should 376 balance the risk of the cumulative exposure against the benefit of recurrent 377 imaging. 378 379 Most of our population younger than 20 years old received effective dose lower

than 50 mSv during the period of study; however, more than 40% of this 380 population underwent 5 or more imaging tests during this period and 5% of 381 them had 5 or more CTs. Moreover, the maximum number of plain radiography, 382 CT, fluoroscopy and interventional radiography examinations undergone was 383 86, 17, 8 and 7, respectively in this age group. The linear no-threshold model is 384 very controversial and is considered of little relevance for doses below 100mSv; 385 nevertheless, we have to take into account that children are more sensitive to 386 ionizing radiation effects due to their high radiosensitivity^{27, 28}. In addition, 387 previous studies have shown a possible risk of cancer from radiation associated 388 with commonly used tests, such as computed tomography scan, in children at 389 very young ages²⁹. 390

There is increasing international interest in reducing radiation doses from imaging tests³⁰. Previous studies have shown difficulties when implementing initiatives to reduce radiation exposure into clinical practice. For instance, communication with patients regarding associated risk is essential to get a

> rational use of diagnostic imaging test, but there is a lack of knowledge in the general population regarding radiation exposure and the associated risks related to these tests^{31, 32}. In addition, recent studies showed that most clinicians were unaware of radiation exposure associated with imaging tests³³⁻³⁵ and that less than 50% of the imaging tests carried out in clinical practice were considered appropriate according to the available recommendations and 29.1% of the total collective effective dose was associated with inappropriate imaging tests³⁶.

Assessing the amount of effective dose that patients receive during their lifetime, as the European Commission of Radiological Protection recommends¹¹, could therefore be considered a useful tool to raise awareness among clinicians and patients regarding the risks associated, and to help them to reach a shared decision when asking for imaging tests to reduce cancer risk. However, an effort should be made to reduce the great variation in CT protocols, technical parameters and radiation doses across countries ³⁷. Limitations of our study included the retrospective design and lack of information regarding patients who might have been imaged outside the healthcare system, as well as radiation derived from nuclear medicine that also represents a relevant proportion of the collective population dose^{12, 13}. However, as we stated previously, the publicly funded insurance scheme covers 98.5% of the Spanish population and only a small percentage of patients are likely to have had imaging tests outside this setting.

417 Moreover, given that we studied the imaging tests carried out during a 12-year
418 period, some patients could have been lost to follow-up. Based on practice

Page 19 of 47

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during this 12-year period, we estimated the proportion of imaging tests that 419 420 would have been carried out during the remaining period if the patients had 421 been in the cohort.

422 We used the available evidence to estimate the effective dose for each imaging test, as is proposed by the Dosedatamed project^{12, 13}. However, this type of 423 estimation has inherent limitations; it does not take into account the test date, 424 the scanner model or the patient's characteristics. Nevertheless, it does not 425 affect the overall result. It is also true that our results may differ from those of 426 studies in different settings. We used effective dose to quantify the radiation 427 428 exposure associated with each imaging test instead of organ doses. Absorbed organ doses are important for some procedures that either involve high doses 429 or include sensitive tissues in the primary radiation beam⁴. However, our aim 430 was not to assess cancer risks associated with medical ionizing radiation but to 431 compare across the different imaging tests carried out in our population. 432

433 We included a general hospital and its catchment area (with a total population over 200,000 people). Even though our results could have some limited 434 435 generalisability in other settings, analysing this population provides important insights, showing as far as we know, the first evaluation of the cumulative 436 effective dose in routine practice (including adults and children) according to 437 438 age and sex over a 12 year-period. In addition, as we showed in the result section, the population included in this study is similar to general Spanish 439 440 population.

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Conclusions

A total of 4,844 (3.1%) people received cumulative doses between 50-100 mSv and 2,298 (1.5%) people received doses greater than 100 mSv during the 12-year period of study. Considering only the doses associated with CT, 2.5% of the patients in the 0 to 20 age group received doses above 50 mSv. Moreover, the rate of recurrent examinations was high, especially in older patients, but also relevant in the 0 to 20 age group. These data could help clinicians to make an informed decision when asking for each imaging test, which would lead to , t. .on, and . lower cumulative lifetime radiation, and consequently a reduction in associated risks.

Page 21 of 47

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5 6 7	465	agency in the public, commercial or not-for-profit sectors.					
8 9 10	466	Competing interest declaration					
11 12 13	467	There are not competing interest to declare.					
14 15	468	Contributors: BL, IGA and JMS conceived of and designed the study. BL and					
16 17	469	JMS acquired the data. BL and JMS prepared the data and BL, JMS and IGA					
18 19 20	470	interpreted statistical analyses. BL did the statistical analyses and drafted the					
20 21 22	471	data tables. BL, JMS and IGA co-wrote the manuscript. All authors critically					
23 24	472	revised the paper for important intellectual content and approved the final					
25 26 27	473	version.					
28 29	474	Ethical approval: The study was approved by the institutional review board at					
30 31 32	475	San Juan Alicante Hospital (14/301).					
33 34	476	Data sharing: Data generated by the research that supports this manuscript					
35 36 37	477	will be available as soon as possible wherever legally and ethically possible.					
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Page 27 of 47

BMJ Open

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 Table 1. Characteristics of study population by final exposure status (Exposure status at end of study. All study members were classified as unexposed on entry to the study), based on 12-year period of study (2007-2018) and comparison with Spanish general population on the 31st December 2007.

Age at entry to study	Number (%) of people having at least 1 imaging test in the study*			Total number (%) of people in the study			Total number (%) of Spanish general population		
(years)	Men	Women	Total	Men	Women	Total	Men	Women	Total
0-5	3938 (5.7)	3693 (5.3)	7,631 (4.9)	5660 (5.3)	6564 (5.3)	12,224 (5.3)	1287417 (5.6)	1213658 (5.1)	2501081 (5.3)
>5-10	3798 (5.5)	3387 (4.9)	7,185 (4.6)	5540 (5.1)	6425 (5.2)	11,965 (5.1)	1259447 (5.5)	1181161 (4.9)	2440613 (5.2)
>10-15	3611 (5.2)	3367 (4.9)	6,978 (4.5)	5199 (4.8)	6031 (4.9)	11,230 (4.8)	1269835 (5.5)	1194802 (5.0)	2464643 (5.3)
>15-20	3581 (5.2)	3253 (4.7)	6,834 (4.4)	5337 (5.0)	6189 (5.0)	11,526 (5.0)	1188946 (5.2)	1113600 (4.7)	2302551 (4.9)
>20-30	8520 (12.3)	9070 (13.1)	17,590 (11.4)	14419 (13.4)	16723 (13.6)	31,142 (13.4)	2476624 (10.8)	2418216 (10.1)	4894851 (10.4)
>30-40	11318 (16.3)	16291 (23.5)	27,609 (17.9)	19116 (17.8)	22171 (18.0)	41,287 (17.8)	3239875 (14.1)	3248190 (13.6)	6488079 (13.8)
>40-50	10119 (14.6)	14245 (20.6)	24,364 (15.8)	16031 (14.9)	18594 (15.1)	34,625 (14.9)	3914804 (17.0)	3837041 (16.0)	7751862 (16.5)
>50-60	8512 (12.3)	10271 (14.8)	18,783 (12.2)	12349 (11.5)	14322 (11.6)	26,671 (11.5)	3356615 (14.6)	3431770 (14.3)	6788400 (14.5)
>60-70	7329 (10.6)	8764 (12.7)	16,093 (10.4)	10199 (9.5)	11829 (9.6)	22,028 (9.5)	2439786 (10.6)	2650101 (11.1)	5089898 (10.8)
>70-80	5997 (8.7)	8056 (11.6)	14,052 (9.1)	8901 (8.3)	10324 (8.4)	19,225 (8.3)	1614748 (7.0)	1975175 (8.3)	3589930 (7.6)
>80	2542 (3.7)	4859 (7.0)	7,401 (4.8)	4872 (4.5)	5651 (4.6)	10,523 (4.5)	959627 (4.2)	1662367 (6.9)	2621998 (5.6)
Total (number/%)	69,265 (100.0)	85,255 (100.0)	154,520 (100.0)	107,622 (100.0)	123,196 (100.0)	232,446 (100.0)	23,007,724 (100.0)	23,926,081 (100.0)	46,933,905 (100.0)

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*p-value <0.05: differences in the number of people having at least 1 imaging test in the study by sex in all age groups and in the total.

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Table 2. Number and percentage of each type of imaging test received, classified by sex and age during the period of study.

Age at entry to study (years)	Number radiogra	(%) of plain phy*		Number (% tomograp	%) of compu hy*	ited	Number	r (%) of fluo	roscopy*	Number radiogra	r (%) of interv aphy*	ventional
	Men	Women	Total	Men	Women	Total	Men	Women	Total	Men	Women	Total
0-5	17411 (3.7)	16159 (2.6)	33,570 (3.0)	235 (0.3)	188 (0.2)	423 (0.3)	239 (2.6)	302 (0.6)	541 (0.9)	4 (0.1)	0	4 (0.1)
5-10	20124 (4.3)	16303 (2.6)	36,427 (3.3)	577 (0.7)	473 (0.6)	1,050 (0.7)	362 (4.0)	286 (0.5)	648 (1.0)	8 (0.2)	6 (0.3)	14 (0.2)
10-15	20053 (4.3)	15280 (2.4)	35,333 (3.2)	955 (1.2)	748 (1.0)	1,703 (1.1)	298 (3.3)	312 (0.6)	610 (1.0)	21 (0.6)	12 (0.5)	33 (0.6)
15-20	18408 (3.9)	13866 (2.2)	32,274 (2.9)	1070 (1.3)	780 (1.0)	1,850 (1.2)	214 (2.3)	356 (0.7)	570 (0.9)	21 (0.6)	17 (0.7)	38 (0.7)
20-30	40301 (8.6)	38689 (6.1)	78,990 (7.2)	3162 (4.0)	3123 (4.1)	6,285 (4.0)	617 (6.7)	1461 (2.7)	2,078 (3.3)	58 (1.8)	69 (2.9)	127 (2.2)
30-40	57707 (12.3)	74139 (11.7)	131,846 (12.0)	6604 (8.3)	6597 (8.6)	13,201 (8.4)	1139 (12.4)	3165 (5.9)	4,304 (6.8)	217 (6.6)	110 (4.6)	327 (5.8)
40-50	61353 (13.1)	92126 (14.5)	153,479 (13.9)	10918 (13.7)	11691 (15.2)	22,609 (14.4)	1347 (14.7)	11746 (21.8)	13,093 (20.7)	401 (12.2)	208 (8.7)	609 (10.7)
50-60	67366 (14.4)	99223 (15.7)	166,589 (15.1)	16560 (20.8)	14070 (18.3)	30,630 (19.5)	1809 (19.8)	17272 (32.0)	19,081 (30.2)	671 (20.4)	402 (16.9)	1,073 (18.9)
60-70	75355	109588	184,943	19665	16763	36,428	1754	12611	14,365	981	582 (24.4)	1,563

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Page	32	of 47	
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		(16.1)	(17.3)	(16.8)	(24.6)	(21.8)	(23.2)	(19.2)	(23.4)	(22.7)	(29.8)		(27.6)
	70-80	67088 (14.3)	110800 (17.5)	177,888 (16.2)	15925 (20.0)	16302 (21.2)	32,227 (20.5)	1130 (12.3)	5799 (10.7)	6,929 (11.0)	766 (23.3)	743 (31.2)	1,509 (26.6)
	>80	22730 (4.9)	47008 (7.4)	69,738 (6.3)	4125 (5.2)	6317 (8.2)	10,442 (6.7)	250 (2.7)	688 (1.3)	938 (1.5)	140 (4.3)	233 (9.8)	373 (6.6)
	Total (n/%)	467,373 (100.0)	631,917 (100.0)	1,110,077 (100.0)	79,796 (100.0)	77,052 (100.0)	156,848 (100.0)	9,159 (100.0)	53,998 (100.0)	63,157 (100.0)	3,288 (100.0)	2,382 (100.0)	5,670 (100.0)
625	*p valo	or<0.05: diff	erences b	by sex in in	all age g	roups and	in the total.		1	1		I	
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Table 3. Cumulative diagnostic imaging tests per person exposed by sex and age during the period of study.

Age at entry to study (years)	Plain rad (median/ı	iography maximum)	4	Compute (median/	ed tomograpi /maximum)	у	Fluoro (mediai	scopy n/maximum)	Interve (media	ntional radio n/maximum)	ography
	Men	Women	Total	Men	Women	Total	Men	Women	Total	Men	Women	Total
0-5	3 (40)	3 (41)	3 (41)	1 (4)	1 (5)	1 (5)	1 (8)	1 (7)	1 (8)	1 (1)	-	1 (1)
5-10	4 (40)	4 (86)	4 (86)*	1 (17)	1 (11)	1 (17)	2 (8)	1 (5)	2 (8)	1 (2)	1 (1)	1 (2)
10-15	4 (72)	3 (49)	4 (72)*	1 (11)	1 (11)	1 (11)*	1 (8)	1 (5)	1 (8)*	1 (7)	1 (3)	1 (7)
15-20	4 (47)	3 (64)	3 (64)*	1 (14)	1 (13)	1 (14)*	1 (6)	1 (6)	1 (6)	1 (2)	1 (2)	1 (2)
20-30	3 (165)	3 (62)	3 (165)*	1 (36)	1 (21)	1 (36)	1 (5)	1 (7)	1 (7)*	1 (3)	1 (9)	1 (9)
30-40	3 (112)	3 (80)	3 (112)*	1 (29)	2 (39)	1 (39)*	1 (8)	1 (10)	1 (10)*	1 (4)	1 (3)	1 (4)
40-50	4 (101)	4 (373)	4 (373)*	1 (44)	2 (57)	2 (57)*	1 (7)	2 (13)	2 (13)*	1 (6)	1 (5)	1 (6)
50-60	5 (160)	7 (95)	6 (160)*	2 (62)	2 (49)	2 (62)*	1 (18)	2 (13)	2 (18)*	1 (7)	1 (5)	1 (7)
60-70	7 (213)	9 (173)	8 (213)*	2 (71)	3 (75)	2 (75)*	1 (10)	2 (14)	2 (14)*	1 (9)	1 (6)	1 (9)
70-80	8 (139)	10 (559)	9 (559)*	2 (69)	3 (74)	2 (69)*	1 (8)	1 (11)	1 (11)*	1 (10)	1 (7)	1 (10)

>	·80	6 (85)	7 (101)	7 (101)*	2 (23)	2 (35)	2 (35)*	1 (5)	1 (8)	1 (8)*	1 (4)	1 (5)	1 (5)
Т	otal	4 (213)	4 (559)	4 (559)*	2 (71	2 (75)	2 (75)*	1 (18)	2 (14)	2 (18)*	1 (10)	1 (9)	1 (10
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Page 35 of 47

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Percentil 25-75

0.06-1.93

0.02-2.48

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0.10-3.08

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p-valor

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< 0.001

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11 12	660	Tab	ole 4. Cumulativ	ve effect	ive dose (r	nSv) per p	erson ex	kposed du	iring the p	eriod of :	study.
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16 17			Age at entry to	Total			Men			Women	
18 19 20			study (years)	Median	Percentil 25-75	Maximum	Median	Percentil 25-75	Maximum	Median	Perce 25-75
21 22			0-5	0.21	0.06-1.60	47.15	0.20	0.06-1.28	37.38	0.21	0.06-1
23 24			5-10	0.48	0.03-2.38	94.58	0.42	0.04-2.26	94.58	0.52	0.02-2
25			10-15	0.67	0.06-2.64	196.16	0.64	0.06-2.56	196.16	0.77	0.07-2
20			15-20	0.74	0.10-2.98	159.51	0.65	0.10-2.80	159.51	0.83	0.10-3
28 29 30			20-30	1.00	0.11-4.02	222.27	0.69	0.10-3.18	222.27	1.38	0.22-4
31			30-40	1.23	0.38-5.75	297.14	1.09	0.16-5.14	297.14	1.29	0.38-6
32 33 34			40-50	2.00	0.38-10.12	716.16	2.03	0.30- 10.87	389.44	1.96	0.38-9
35 36 37			50-60	4.27	0.64-17.08	629.58	4.17	0.62- 18.05	629.58	4.32	0.65- 16.26
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60-70	8.35	1.47-25.72	506.08	9.80	1.47- 29.21	506.08	7.63	1.48- 23.46	489.57	<0.001
70-80	10.20	2.40-26.00	3980.30	12.05	2.60- 30.46	502.08	8.81	2.18- 23.21	3980.30	<0.001
>80	5.30	1.19-15.96	204.32	6.54	1.28- 18.98	183.80	5.00	1.10-4.58	204.32	<0.001
Total	2.10	0.36-10.15	3980.30	1.90	0.2-10.20	629.58	2.38	0.38- 10.10	3980.30	<0.001

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Age at entry to	Total (n	umber, perce	entage)	Men (nu	mber, perce	entage)	Women	(number, pe	rcentage)	p-valor
study (years)	0-50 mSv	>50-100 mSv	>100 mSv	0-50 mSv	>50-100 mSv	>100 mSv	0-50 mSv	>50-100 mSv	>100 mSv	
0-5	7,631 (100.0)	K		3938 (100.0)			3693 (100.0)			
5-10	7,179 (99.9)	6 (0.1)	6	3793 (99.9)	5 (0.1)		3386 (100.0)			0.135
10-15	6,953 (99.6)	21 (0.3)	4 (0.3)	3597 (99.6)	11 (0.3)	3 (0.1)	3356 (99.7)	10 (0.3)	1	0.647
15-20	6,814 (99.7)	17 (0.2)	3 (0.1)	3570 (99.7)	9 (0.3)	2 (0.1)	3244 (99.7)	8 (0.2)	1	0.884
20-30	17,450 (99.2)	97 (0.6)	43 (0.2)	8462 (99.3)	39 (0.5)	19 (0.2)	8988 (99.1)	58 (0.6)	24 (0.3)	0.227
30-40	27,145 (98.3)	353 (1.3)	111 (0.4)	11101 (98.2)	158 (1.4)	59 (0.5)	16044 (98.5)	195 (1.2)	52 (0.3)	0.011
40-50	23,358 (95.9)	656 (2.7)	350 (1.4)	9678 (95.6)	295 (2.9)	146 (1.4)	13680 (96.0)	361 (2.5)	204 (1.4)	0.193
50-60	17,201 (91.6)	1,017 (5.4)	565 (3.0)	7681 (90.2)	506 (5.9)	325 (3.8)	9520 (92.7)	511 (5.0)	240 (2.3)	<0.001
60-70	14,045 (87.3)	1,323 (8.2)	725 (4.5)	6227 (85.0)	694 (9.5)	408 (5.6)	7818 (89.2)	629 (7.2)	317 (3.6)	<0.001
70-80	12,490 (88.9)	1,101 (7.8)	462 (3.3)	5151 (85.9)	594 (9.9)	252 (4.2)	7339 (91.1)	507 (6.3)	210 (2.6)	<0.001

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681	>80	7,113	253 (3.4)	35 (0.5)	2425	96 (3.8)	21 (0.8)	4688	157 (3.2)	14 (0.3)	0.00
		(96.1)			(95.4)			(96.5)			
682	Total	147,379	4,844	2,298	65623	2407 (3.5)	1235 (1.8)	81756	2437 (2.9)	1063 (1.2)	<0.0
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Table S1. Imaging tests included and doses used per examination (mSv) (adults).

Tests	Anatomical sites	Doses (mSv)	
Plain radiography			
	Abdomen	0.5	
	Cervical spine	0.05	
	Chest/Thorax	0.05	
	Lumbar spine	0.8	
	Pelvis/Hip	0.37	
	Breast (incl. mammography)	0.64	
	Thoracic spine	0.50	
	Skull	0.1	
0.	Knee	0.005	
	Other extremities	0.001	
	Shoulder	0.01	
Fluoroscopy 🥂			
	Hysterosalpingography	1.95	
	Urography	3.5	
	Ba enema	5.8	
	Ba meal	3.6	
	Ba follow-through	3.5	
	Endoscopic retrograde	4.0	
	cholangiopancreatography		
Computed tomography			
	Abdomen	6.8	
	Chest	7.0	
	Chest for pulmonary embolism	15.0	
	Abdomen-chest	15.3	
	Head	1.7	
	Neck	3.0	
	Pelvis	7.4	
	Abdomen and pelvis	10.0	
	Cervical spine	7.0	
	Trunk	12.3	
	Skull	2.0	
	Lumbar spine	6.0	
	Thoracic spine	7.0	
Interventional radiology			
	Head and/or neck angiography	5.0	
	Coronary angiography	7.0	
	(diagnostic)		
	Coronary percutaneous	15.0	
	transluminal angioplasty, stent		
	placement, or radiofrequency		
	ablation		
	I horacic angiography of	5.0	
	pulmonary artery or aorta		

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Abdominal angiography or	12.0
aortography	

Table S2. Imaging tests included and doses used per examination (mSv) (children).

Tests	Anatomical sites	<1	>1-5	>5-10	>10-15
		year	years	years	years
	0	old	old	old	old
Plain					
radiography					
	Abdomen	0.07	0.09	0.15	0.27
	Cervical spine	0.02	0.03	0.05	0.10
	Chest/Thorax	0.05	0.05	0.05	0.06
	Lumbar spine	0.4	0.5	0.6	0.8
	Pelvis/Hip	0.08	0.10	0.15	0.21
	Thoracic spine	0.39	0.41	0.77	1.18
Fluoroscopy					
	Hysterosalpingography				
	Urography	0.5	0.5	0.7	1.0
	Ba enema	2.3	2.3	2.3	2.3
	Ba meal	0.7	0.6	0.9	1.0
	Ba follow-through	1.2	1.2	1.2	1.2
Computed	•				
tomography					
	Abdomen	7.9	7.9	7.9	7.9
	Chest	3.9	2.8	4.2	6.8
	Head	1.7	1.6	1.8	1.6
	Pelvis	7.9	7.9	7.9	7.9
	Trunk	3.9	3.0	5.6	8.3

etilav (voare)	- tui			Men			Women			p-valor
M	ledian	Percentil 25-75	Maximum	Median	Percentil 25-75	Maximum	Median	Percentil 25-75	Maximum	-
0-5 0.	.20	0.06-1.01	47.14	0.20	0.06-0.90	26.34	0.20	0.06-1.16	47.14	0.453
5-10 0.	.39	0.04-1.42	24.90	0.38	0.04-1.30	24.90	0.40	0.02-1.53	23.40	0.456
10-15 0.	.64	0.10-1.28	14.64	0.64	0.10-1.28	14.64	0.64	0.10-1.38	13.14	0.097
15-20 0.	.64	0.20-1.28	23.26	0.64	0.12-1.28	14.96	0.64	0.30-1.38	23.26	0.043
20-30 0.	.64	0.38-1.88	30.01	0.64	0.20-1.34	22.51	0.64	0.46-1.92	30.01	<0.001
30-40 0.	.74	0.48-2.08	46.73	0.64	0.26-1.58	36.48	0.64	0.64-2.86	46.73	<0.001
40-50 1.	.02	0.64-2.16	716.15	0.64	0.48-1.92	26.39	1.28	0.64-2.56	716.15	<0.001
50-60 1.	.28	0.64-2.54	54.27	0.94	0.64-1.92	41.31	1.28	0.64-2.56	54.27	<0.001
60-70 1.	.28	0.64-2.68	51.02	1.28	0.64-2.56	44.78	1.28	0.64-3.20	51.02	<0.001
70-80 1.	.28	0.64-3.10	2112.79	1.28	0.64-2.56	27.22	1.32	0.64-3.20	2112.79	<0.001
> 80 1.	.28	0.64-2.66	33.34	1.28	0.64-2.56	19.97	1.28	0.64-3.08	33.34	<0.001
Total 0.	.70	0.30-2.00	2112.79	0.64	0.20-1.90	44.78	1.02	0.40-2.56	2112.79	<0.001

Table S3. Cumulative effective dose (mSv) per person exposed during the period of study (plain radiography).

Age at entry to study (years)	Total			Men			Women			p-valor
(jourd)	Median	Percentil 25-75	Maximum	Median	Percentil 25-75	Maximu m	Median	Percentil 25-75	Maximum	
0-5	3.80	1.90-7.70	50.20	3.80	1.90-9.70	50.20	2.00	1.90-7.30	32.10	0.834
5-10	3.90	1.90-9.70	93.00	4.00	1.90-9.70	93.00	3.80	1.90-9.70	74.40	0.414
10-15	5.90	1.90-13.20	195.40	6.60	1.90-13.20	195.40	4.50	1.90- 14.60	156.80	0.239
15-20	5.80	1.90-19.35	316.40	6.60	1.90-17.00	316.40	5.00	1.90- 22.60	165.00	0.146
20-30	7.70	1.90-22.60	414.40	8.80	1.90-22.60	345.60	7.60	1.90- 22.60	414.40	0.004
30-40	9.70	1.90-22.60	558.30	9.70	3.80-22.60	558.30	9.70	1.90- 22.60	458.60	0.439
40-50	17.90	5.00-35.30	710.80	18.60	6.60-38.90	710.80	15.00	5.00- 33.90	703.30	0.003
50-60	22.60	6.60-45.90	1083.10	22.60	7.30-54.55	1083.10	19.80	6.60- 41.20	732.40	<0.001
60-70	22.60	5.70-54.40	891.40	24.50	7.70-67.10	754.20	22.40 🧹	5.70- 45.20	891.40	<0.001
70-80	22.60	3.80-45.20	1419.30	22.60	7.30-53.70	957.50	17.35	3.80- 39.60	1419.30	<0.001
>80	13.20	3.80-28.30	393.00	19.10	3.80-33.45	316.60	10.40	3.80- 26.40	393.00	< 0.001
Total	16.30	3.80-35.90	1419.30	19.80	5.70-43.50	1083.10	13.20	3.80- 31.80	1419.30	<0.001

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STROBE Statement-checklist of items that should be included in reports of observational studies

		Item No	Recommendation
YES	Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title
Pages 1 and 3			or the abstract
-			(b) Provide in the abstract an informative and balanced summary of
			what was done and what was found
	Introduction		
YES Pages 5-	Background/rationale	2	Explain the scientific background and rationale for the investigation
6			being reported
YES Page 6	Objectives	3	State specific objectives, including any prespecified hypotheses
	Methods		
YES Page 7	Study design	4	Present key elements of study design early in the paper
YES	Setting	5	Describe the setting, locations, and relevant dates, including periods
Page 7			of recruitment, exposure, follow-up, and data collection
YES page 7	Participants	6	Cross-sectional study—Give the eligibility criteria, and the sources
			and methods of selection of participants
YES Pages 7-	Variables	7	Clearly define all outcomes, exposures, predictors, potential
8			confounders, and effect modifiers. Give diagnostic criteria, if
			applicable
YES Pages 7-	Data sources/	8*	For each variable of interest, give sources of data and details of
8	measurement		methods of assessment (measurement). Describe comparability of
			assessment methods if there is more than one group
YES Page 8	Bias	9	Describe any efforts to address potential sources of bias
NA	Study size	10	Explain how the study size was arrived at
YES Page 8	Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If
			applicable, describe which groupings were chosen and why
YES Page 8	Statistical methods	12	(<i>a</i>) Describe all statistical methods, including those used to control
			for confounding
			(b) Describe any methods used to examine subgroups and
			interactions
			(c) Explain how missing data were addressed
			Cross-sectional study—If applicable, describe analytical methods
			taking account of sampling strategy
			(<u>e</u>) Describe any sensitivity analyses

Continued on next page

	Results		
YES	Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially
Page 9			eligible, examined for eligibility, confirmed eligible, included in the study,
			completing follow-up, and analysed
			(b) Give reasons for non-participation at each stage
			(c) Consider use of a flow diagram
YES	Descriptive	14*	(a) Give characteristics of study participants (eg demographic, clinical, social)
Page 9	data		and information on exposures and potential confounders
			(b) Indicate number of participants with missing data for each variable of interest
			(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)
YES	Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over
Page 9-			time
11			<i>Case-control study</i> —Report numbers in each exposure category, or summary
			measures of exposure
			Cross-sectional study—Report numbers of outcome events or summary measures
YES	Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates
Page 9-			and their precision (eg, 95% confidence interval). Make clear which confounders
11			were adjusted for and why they were included
			(b) Report category boundaries when continuous variables were categorized
			(c) If relevant, consider translating estimates of relative risk into absolute risk for
			a meaningful time period
NA	Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and
			sensitivity analyses
	Discussion		
YES	Key results	18	Summarise key results with reference to study objectives
Page 12			
YES	Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or
Page 14			imprecision. Discuss both direction and magnitude of any potential bias
YES	Interpretation	20	Give a cautious overall interpretation of results considering objectives,
Pages 12-			limitations, multiplicity of analyses, results from similar studies, and other
13			relevant evidence
YES	Generalisability	21	Discuss the generalisability (external validity) of the study results
Page 15			
	Other informati	on	
NA	Funding	22	Give the source of funding and the role of the funders for the present study and, if
			applicable, for the original study on which the present article is based
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*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.