

## Supplementary Appendix

This appendix has been provided by the authors to give readers additional information about their work.

Supplement to: Egan MF, Kost J, Voss T, et al. Randomized trial of verubecestat for prodromal Alzheimer's disease. *N Engl J Med* 2019;380:1408-20. DOI: [10.1056/NEJMoa1812840](https://doi.org/10.1056/NEJMoa1812840)

## Supplementary Appendix

Supplementary materials for:

### **Randomized Trial of Verubecestat for Prodromal Alzheimer's Disease**

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## **Eligibility for Biomarker Substudies**

All patients with valid baseline and post-dose MRI scans were included in the MRI volumetric substudy. All patients enrolled in the trial were eligible for participation in the CSF substudy provided that the investigative site was willing to participate. The PET substudy was restricted to sites that had appropriate capability for 18F-flutemetamol imaging.

## **Conduct of CDR**

All raters were required to have clinical experience with AD patients and the CDR or a similar scale. All clinical interviews were recorded. A subset (~6,000) were reviewed by a group of central raters with high inter-rater reliability. Ratings were selected for review based on an algorithm which included the first two ratings for all raters and factored in time since last review and number of prior errors. Raters were provided feedback on administration, scoring errors, and interview quality.

## **Interim Analysis**

The external Data Monitoring Committee (eDMC) met every six months to review a comprehensive package of data, including safety data, as specified in their Charter. Details of the interim analysis (IA) are provided in the final amendment of the protocol in section 8.2.13. Briefly, the protocol had an optional futility IA when 50% of the planned number of PET positive subjects had the opportunity to treat for 24 months. The eDMC conducted a futility IA in February 2018 using all longitudinal data on the primary endpoint. In addition, data from the ADAS-Cog13 and ADSC-ADL<sub>MCI</sub> were also analyzed.

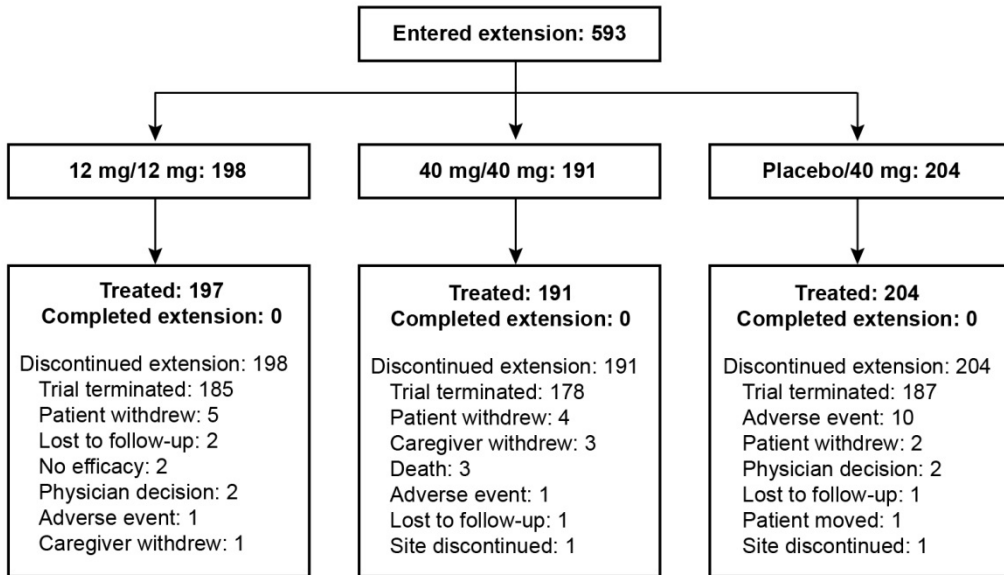
The decision of whether or not to terminate the trial for futility was to be based on the conditional powers (CP), (i.e., the likelihood of correctly detecting a treatment difference at the end of the trial given the results at the interim) from the comparisons of the high dose to placebo and the low dose to placebo. The CPs were to be computed assuming that the observed trend at the interim would continue to the end of the trial. Consideration could be given to terminate the trial for futility if the CP for the primary endpoint for both doses was less than 5%. The probability of incorrectly stopping the trial,  $\beta$ , at the IA would be <1% under the primary set of assumptions. The primary analysis model was used at the IA. The futility criteria were met.

## **Pharmacokinetics**

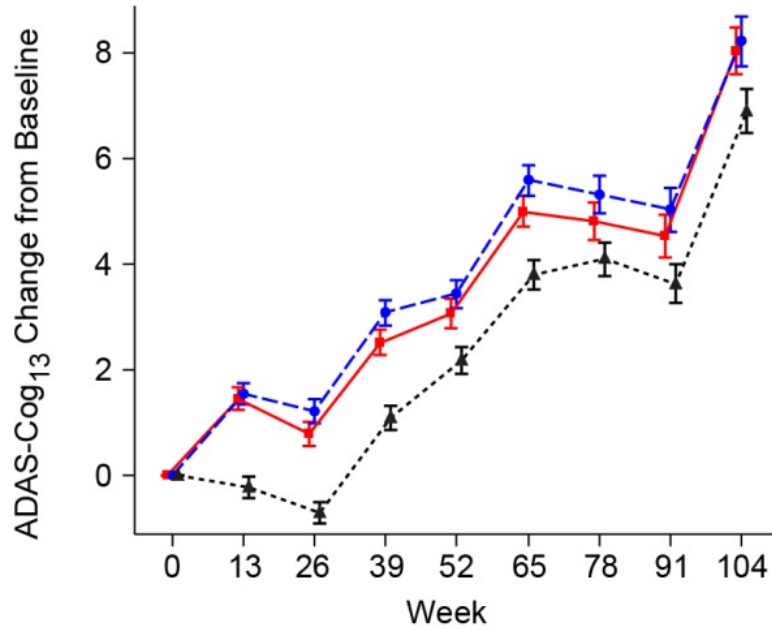
Population pharmacokinetic analysis of the plasma and dried blood spot concentrations obtained during select clinic visits estimated the verubecestat mean steady-state area-under-the-curve as 1.56 (SD 0.32) and 5.15 (SD 1.07)  $\mu\text{M}\cdot\text{hr}$  for the 12mg (n=483) and 40mg (n=480) doses, respectively.



**Figure S1.** Patient disposition for the extension



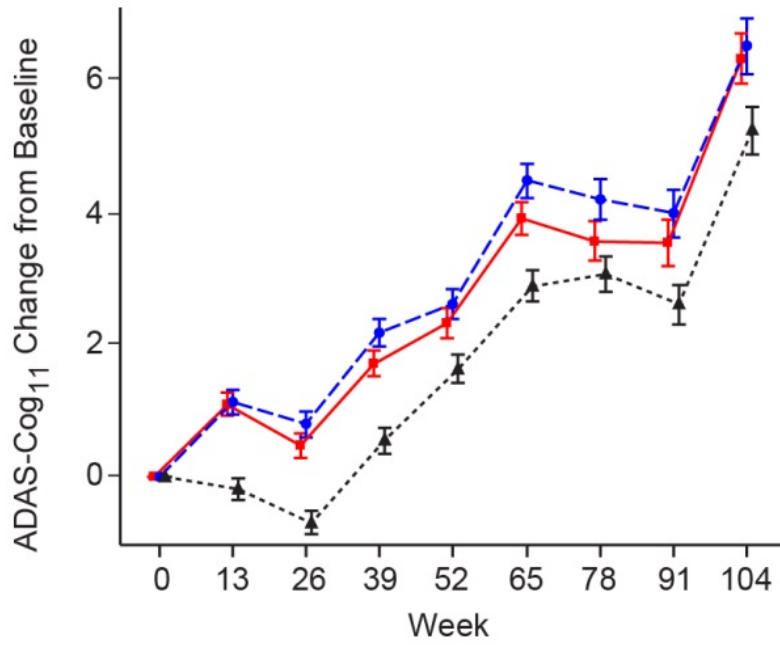
**Figure S2.** Model-based mean (SE) change from baseline ADAS-Cog<sub>13</sub> scores



**Count Table**

12 mg	467	458	452	438	424	402	338	281	233
40 mg	463	453	437	427	422	393	330	276	225
Placebo	474	470	458	453	443	417	349	286	233

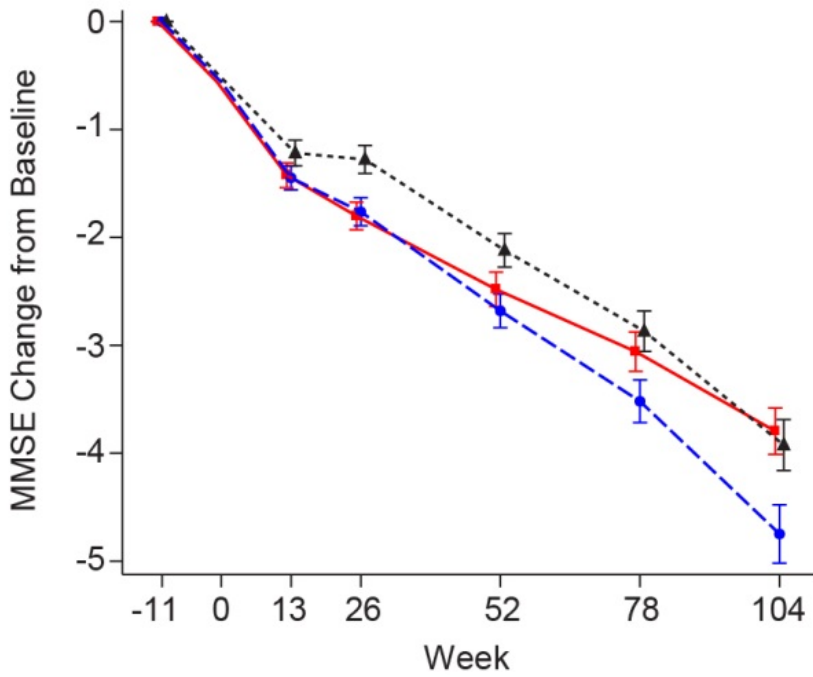
**Figure S3.** Model-based mean (SE) change from baseline ADAS-Cog<sub>11</sub> scores



**Count Table**

12 mg	467	462	452	438	425	403	339	282	233
40 mg	463	454	438	427	422	393	330	276	225
Placebo	474	471	458	453	443	417	351	286	234

**Figure S4.** Model-based mean (SE) change from baseline MMSE scores

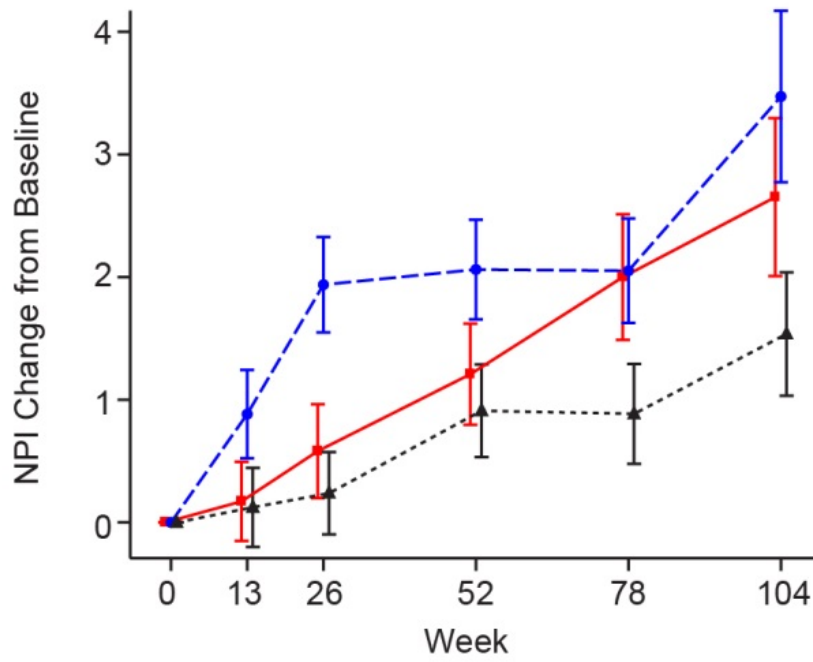


**Count Table**

12 mg	466	455	449	424	341	235
40 mg	463	456	439	419	328	228
Placebo	474	473	460	443	352	238

Baseline is plotted at Week -11, which is the mean assessment time of the baseline measurement as offset from the first dose of trial medication at Week 0. As a result, there is no data plotted at Week 0. The time course of the verubecestat arms between Week -11 and Week 0 was assumed to follow the same course as the placebo arm. From this Week 0 placebo coordinate, the time course for each respective verubecestat arm was extended to the estimate at the first scheduled postdose timepoint.

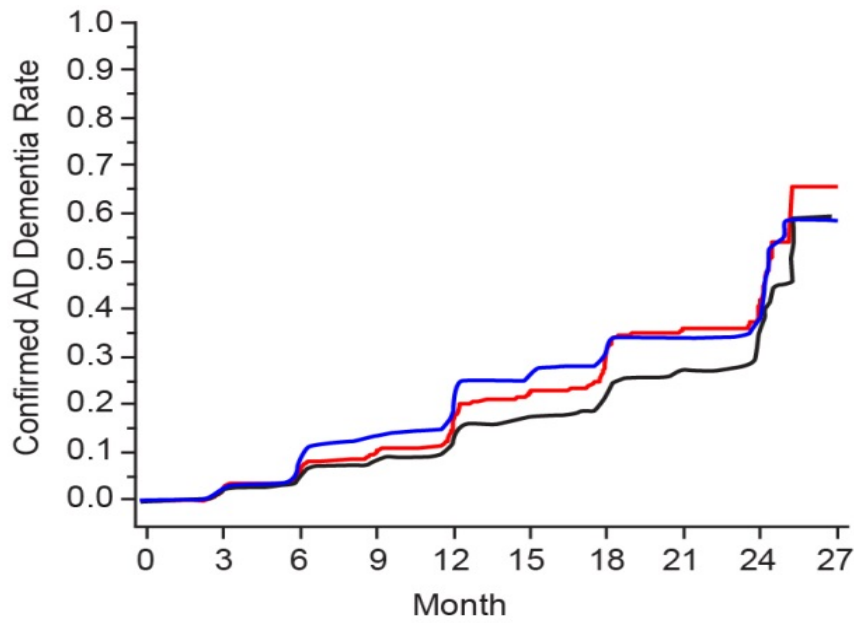
**Figure S5.** Model-based mean (SE) change from baseline NPI scores



**Count Table**

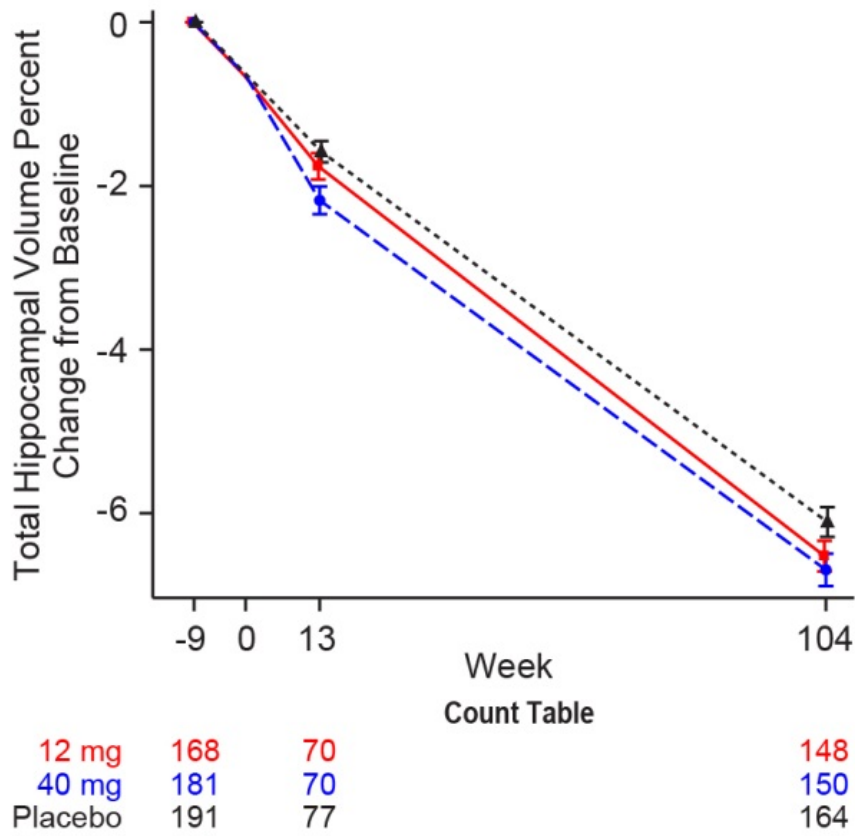
12 mg	462	454	446	419	336	237
40 mg	457	449	434	419	326	221
Placebo	471	468	457	440	351	235

**Figure S6.** Time to progression to AD dementia



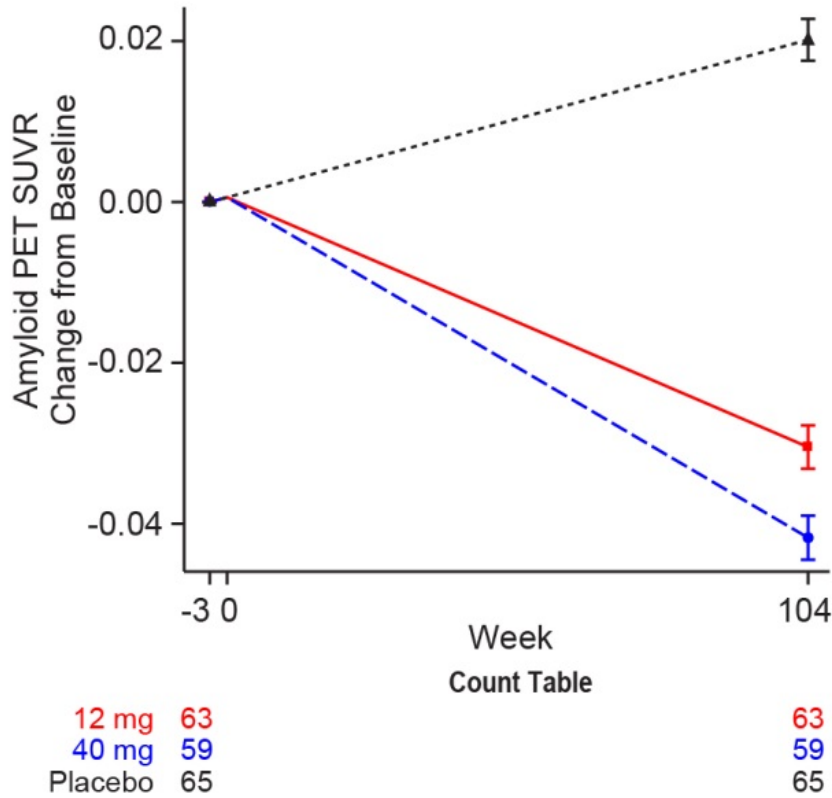
Patients at Risk										
	0	3	6	9	12	15	18	21	24	27
12 mg	480	459	427	402	364	310	227	186	71	0
40 mg	481	450	414	386	350	295	225	184	80	0
Placebo	480	465	442	425	398	354	260	211	80	0
Cases										
12 mg	11	19	16	32	23	30	15	11	10	0
40 mg	10	28	24	27	27	23	4	13	13	0
Placebo	10	15	13	23	19	21	12	20	7	0

**Figure S7.** Model-based mean (SE) change from baseline in MRI hippocampal volume



Baseline is plotted at Week -9, which is the mean assessment time of the baseline measurement as offset from the first dose of trial medication at Week 0. As a result, there is no data plotted at Week 0. The time course of the verubecestat arms between Week -9 and Week 0 was assumed to follow the same course as the placebo arm. From this Week 0 placebo coordinate, the time course for each respective verubecestat arm was extended to the estimate at the first scheduled postdose timepoint.

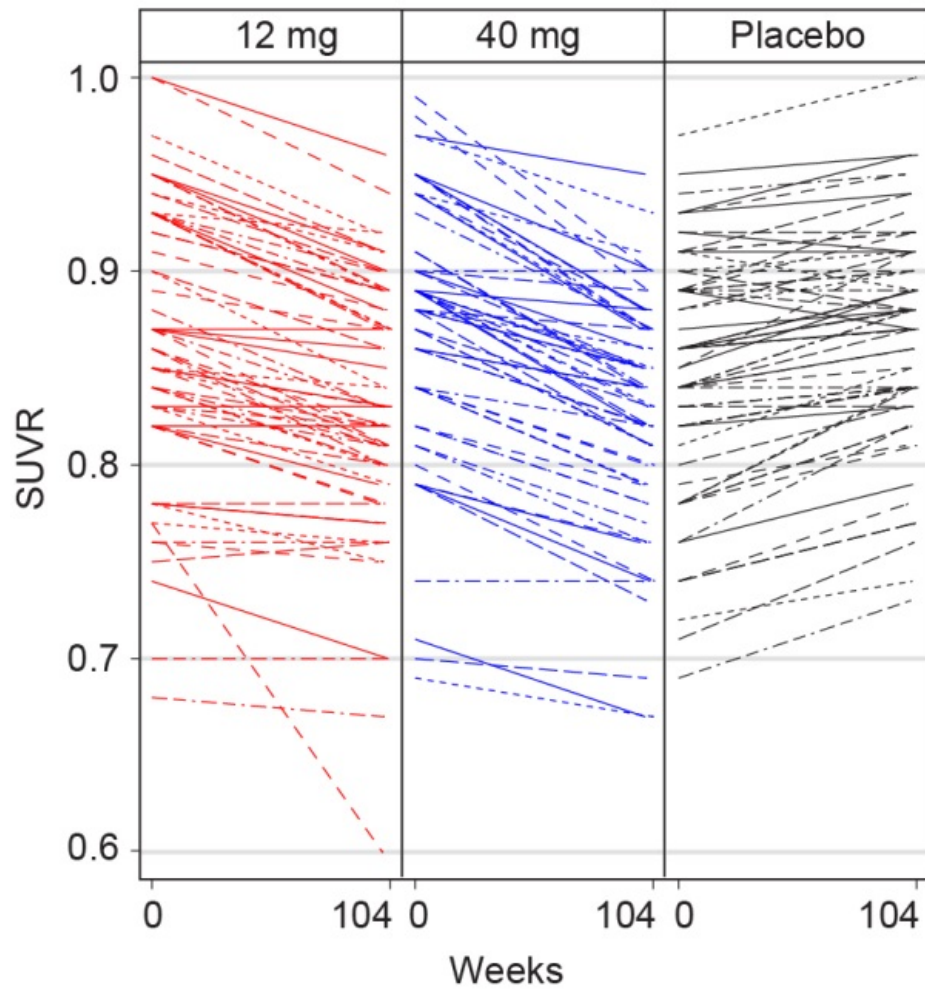
**Figure S8.** Model-based mean (SE) change from baseline in PET amyloid



Baseline is plotted at Week -3, which is the mean assessment time of the baseline measurement as offset from the first dose of trial medication at Week 0. As a result, there is no data plotted at Week 0. The time course of the verubecestat arms between Week -3 and Week 0 was assumed to follow the same course as the placebo arm. From this Week 0 placebo coordinate, the time course for each respective verubecestat arm was extended to the estimate at the first scheduled postdose timepoint.



**Figure S9.** Plots of change from baseline in PET amyloid in individual patients (the dashed and solid lines are to differentiate patients for display purposes and have no other significance)



**Table S1.** Model-based least squares mean change from baseline to each time point for select outcomes, and difference (95% CI) versus placebo

Endpoint	Mean Change			Difference	
	12mg	40mg	Placebo	12mg vs. placebo (95% CI)	40mg vs. placebo (95% CI)
<i>CDR-SB<sup>a</sup></i>					
Week 13	0.14	0.24	0.09	0.05 (-0.05, 0.16)	0.14 (0.03, 0.26)
Week 26	0.35	0.41	0.24	0.11 (-0.02, 0.24)	0.17 (0.03, 0.30)
Week 52	0.79	0.82	0.59	0.19 (0.02, 0.37)	0.23 (0.04, 0.41)
Week 78	1.29	1.22	1.05	0.24 (0.00, 0.48)	0.17 (-0.07, 0.41)
Week 104	1.65	2.02	1.58	0.07 (-0.25, 0.39)	0.44 (0.09, 0.79)
<i>CCS-3D<sup>a</sup></i>					
Week 13	0.06	0.09	-0.02	0.08 (0.02, 0.15)	0.12 (0.05, 0.18)
Week 26	0.10	0.10	0.00	0.11 (0.04, 0.18)	0.11 (0.04, 0.18)
Week 39	0.18	0.26	0.10	0.08 (0.00, 0.16)	0.16 (0.08, 0.24)
Week 52	0.26	0.30	0.19	0.07 (-0.02, 0.16)	0.11 (0.01, 0.20)
Week 65	0.42	0.47	0.35	0.06 (-0.03, 0.16)	0.12 (0.02, 0.22)
Week 78	0.46	0.51	0.45	0.02 (-0.10, 0.13)	0.07 (-0.05, 0.18)
Week 91	0.49	0.59	0.48	0.02 (-0.11, 0.15)	0.11 (-0.03, 0.25)
Week 104	0.77	0.78	0.77	0.00 (-0.16, 0.15)	0.01 (-0.16, 0.17)
<i>ADCS-ADL<sub>MC</sub><sup>b</sup></i>					
Week 13	-0.3	-0.5	-0.3	0.0 (-0.5, 0.5)	-0.2 (-0.7, 0.3)
Week 26	-1.2	-1.1	-0.8	-0.4 (-1.0, 0.2)	-0.3 (-0.9, 0.3)
Week 39	-2.0	-1.8	-0.9	-1.0 (-1.7, -0.3)	-0.8 (-1.5, -0.2)
Week 52	-2.3	-2.1	-1.5	-0.8 (-1.5, -0.1)	-0.6 (-1.3, 0.2)
Week 65	-3.1	-3.1	-2.3	-0.8 (-1.7, 0.1)	-0.8 (-1.7, 0.0)
Week 78	-4.1	-3.7	-3.0	-1.1 (-2.1, -0.1)	-0.7 (-1.7, 0.2)
Week 91	-4.7	-4.8	-3.3	-1.4 (-2.5, -0.3)	-1.5 (-2.6, -0.4)
Week 104	-5.2	-5.8	-4.1	-1.0 (-2.2, 0.2)	-1.7 (-3.0, -0.4)
<i>ADAS-Cog<sub>13</sub><sup>a</sup></i>					
Week 13	1.5	1.5	-0.2	1.7 (1.1, 2.3)	1.8 (1.2, 2.3)
Week 26	0.8	1.2	-0.7	1.5 (0.9, 2.1)	1.9 (1.3, 2.5)
Week 39	2.5	3.1	1.1	1.4 (0.8, 2.1)	2.0 (1.3, 2.6)
Week 52	3.1	3.4	2.2	0.9 (0.1, 1.6)	1.3 (0.5, 2.0)
Week 65	5.0	5.6	3.8	1.2 (0.4, 2.0)	1.8 (1.0, 2.6)
Week 78	4.8	5.3	4.1	0.7 (-0.2, 1.7)	1.2 (0.3, 2.2)
Week 91	4.5	5.0	3.6	0.9 (-0.2, 2.0)	1.4 (0.3, 2.5)
Week 104	8.0	8.2	6.9	1.1 (-0.1, 2.3)	1.3 (0.1, 2.6)

<sup>a</sup>A higher positive mean change score corresponds to greater decline relative to baseline and a negative treatment difference indicates less decline for verubecestat versus placebo.

<sup>b</sup>A higher negative mean change score corresponds to greater decline relative to baseline and a positive treatment difference indicates less decline for verubecestat versus placebo.

**Table S2.** Subgroup analyses of model-based least squares mean change from baseline at week 104 for CDR-SB score, and difference (95% CI) versus placebo

Subgroup status at baseline	Mean Change			Difference (95% CI) <sup>a</sup>	
	12mg	40mg	Placebo	12mg vs. placebo	40mg vs. placebo
<i>APOE4 genotype</i>					
Non-carrier	1.5	2.4	1.5	0.0	0.9
Carrier	1.7	1.9	1.6	0.1 (-0.3, 0.5)	0.3 (-0.1, 0.7)
<i>MMSE score</i>					
≤26	1.7	2.4	1.9	-0.2 (-0.6, 0.3)	0.5 (0.0, 1.0)
≥27	1.6	1.6	1.3	0.3 (-0.2, 0.7)	0.3 (-0.2, 0.8)
<i>Sex</i>					
Male	1.8	1.8	1.6	0.2 (-0.3, 0.6)	0.2 (-0.3, 0.7)
Female	1.5	2.2	1.5	0.0 (-0.5, 0.4)	0.7 (0.2, 1.2)
<i>Age</i>					
<72 y	1.3	2.0	1.3	0.1 (-0.4, 0.5)	0.8 (0.3, 1.3)
≥72 y	2.0	2.0	1.8	0.1 (-0.4, 0.6)	0.1 (-0.4, 0.6)
<i>PET SUVR</i>					
≤0.86	1.6	1.7	1.4	0.2 (-0.3, 0.7)	0.3 (-0.2, 0.8)
>0.86	1.8	2.2	1.8	0.0 (-0.5, 0.6)	0.5 (-0.2, 1.1)

A higher positive mean change score corresponds to greater decline relative to baseline and a negative treatment difference indicates less decline for verubecestat versus placebo.

<sup>a</sup>Confidence intervals only produced for those treatment comparisons for which at least 75 subjects were present in both treatment groups.

**Table S3.** Sensitivity analysis of model-based least squares mean change from baseline at each timepoint for CDR-SB, and difference (95% CI) versus placebo: excludes assessments taken after announcement of trial termination

Endpoint	Mean Change [N]			Difference	
	12mg	40mg	Placebo	12mg vs. placebo (95% CI)	40mg vs. placebo (95% CI)
Week 13	0.14 [457]	0.24 [449]	0.09 [464]	0.05 (-0.05, 0.16)	0.14 (0.03, 0.26)
Week 26	0.35 [445]	0.41 [434]	0.24 [456]	0.11 (-0.02, 0.24)	0.17 (0.03, 0.30)
Week 52	0.79 [420]	0.82 [418]	0.59 [438]	0.20 (0.02, 0.37)	0.23 (0.04, 0.41)
Week 78	1.26 [300]	1.19 [290]	1.06 [302]	0.20 (-0.04, 0.45)	0.14 (-0.12, 0.39)
Week 104	1.60 [210]	1.98 [199]	1.59 [205]	0.01 (-0.33, 0.35)	0.39 (0.02, 0.76)

A higher positive mean change score corresponds to greater decline relative to baseline and a negative treatment difference indicates less decline for verubecestat versus placebo.

**Table S4.** Observed mean (SD) baseline, week 104, and % change-from-baseline scores for CSF biomarkers

<b>Biomarker</b>	<b>Baseline</b>	<b>Week 104</b>	<b>% change-from- baseline</b>
<i>Aβ40 (pg/mL)</i>			
Placebo (n=6)	21600 (6563)	18783 (4871)	-9.0 (23.7)
12 mg (n=5)	16220 (6621)	5460 (2727)	-66.6 (9.1)
40 mg (n=6)	13448 (3478)	1640 (714.2)	-88.1 (2.8)
<i>Aβ42 (pg/mL)</i>			
Placebo (n=6)	544.5 (134.1)	529.2 (137.2)	-2.4 (11.5)
12 mg (n=5)	407.0 (101.7)	160.6 (36.9)	-60.2 (4.7)
40 mg (n=6)	413.5 (130.2)	78.0 (25.0)	-81.0 (2.2)
<i>sAPPβ (ng/mL)</i>			
Placebo (n=6)	316.2 (83.7)	290.7 (100.6)	-5.5 (29.5)
12 mg (n=5)	200.8 (44.0)	59.9 (5.4)	-69.1 (6.6)
40 mg (n=6)	238.3 (62.4)	20.7 (11.2)	-91.7 (2.9)
<i>Total tau (pg/mL)</i>			
Placebo (n=6)	243.5 (97.0)	254.2 (85.0)	10.2 (27.9)
12 mg (n=5)	203.8 (129.1)	243.0 (113.2)	33.2 (44.3)
40 mg (n=6)	159.3 (79.0)	205.0 (57.6)	42.8 (39.7)
<i>Phosphorylated tau (pg/mL)</i>			
Placebo (n=6)	124.2 (69.1)	102.1 (25.0)	-6.0 (28.0)
12 mg (n=5)	125.1 (133.8)	113.6 (114.9)	-6.4 (9.4)
40 mg (n=6)	69.0 (17.0)	68.4 (15.9)	-0.2 (12.0)

**Table S5.** Causes of deaths occurring over 104 weeks (up to 14 days after last dose) in part-1: number (%) of patients

	<b>12mg N=483</b>	<b>40mg N=484</b>	<b>Placebo N=484</b>
<i>Total</i>	3 (0.6)	1 (0.2)	3 (0.6)
<i>Cardiac disorders</i>			
Acute myocardial infarction	1 (0.2)	0 (0.0)	0 (0.0)
<i>Gastrointestinal disorders</i>			
Intestinal obstruction	1 (0.2)	0 (0.0)	0 (0.0)
<i>Infections and infestations</i>			
Pneumonia	0 (0.0)	0 (0.0)	1 (0.2)
<i>Injury, poisoning and procedural complications</i>			
Road traffic accident	0 (0.0)	0 (0.0)	1 (0.2)
<i>Neoplasms</i>			
Lung adenocarcinoma	0 (0.0)	1 (0.2)	0 (0.0)
Pancreatic carcinoma	1 (0.2)	0 (0.0)	0 (0.0)
<i>Psychiatric disorders</i>			
Delirium	0 (0.0)	0 (0.0)	1 (0.2)

**Table S6.** Most severe treatment-emergent suicidal ideation and behavior event summary (within 14 days of last dose) in part-1 using the timeframe between the screening and randomization visits as the reference period

<b>Category</b>	<b>12 mg n/m (%)</b>	<b>40 mg n/m (%)</b>	<b>Placebo n/m (%)</b>
<b>With one or more ideation or behavior events</b>	31/483 (6.4)	44/482 (9.1)	26/482 (5.4)
<b>Suicidal ideation</b>	<b>31/483 (6.4)</b>	<b>44/482 (9.1)</b>	<b>26/482 (5.4)</b>
Passive - wish to be dead	18/ 479 (3.8)	27/477 (5.7)	20/475 (4.2)
Active - nonspecific (without regard to method, intent, or plan)	5/ 482 (1.0)	6/482 (1.2)	1/477 (0.2)
Active - method, (without regard to intent or plan)	5/483 (1.0)	8/482 (1.7)	3/478 (0.6)
Active - method and intent, (without regard to plan)	3/483 (0.6)	1/482 (0.2)	1/482 (0.2)
Active - method, intent and plan	0/483 (0.0)	2/482 (0.4)	1/482 (0.2)
<b>Suicidal behavior</b>	<b>2/483 (0.4)</b>	<b>1/482 (0.2)</b>	<b>1/482 (0.2)</b>
Preparatory actions or behaviors	1/483 (0.2)	0/482 (0.0)	0/482 (0.0)
Aborted attempt	0/483 (0.0)	0/482 (0.0)	0/482 (0.0)
Interrupted attempt	0/483 (0.0)	0/482 (0.0)	1/482 (0.2)
Suicide attempt	1/483 (0.2)	1/482 (0.2)	0/482 (0.0)
Completed suicide	0/483 (0.0)	0/482 (0.0)	0/482 (0.0)
<b>Non-Suicidal Self-Injurious Behavior</b>	<b>0/483 (0.0)</b>	<b>0/482 (0.0)</b>	<b>0/482 (0.0)</b>

For each category, the population (=m) only includes treated participants for whom worsening from baseline was possible. For Suicidal Ideation Categories, worsening is defined as an increasing progression from one category to another along the spectrum (from Passive down to Active – method, intent, and plan). For Suicidal Behavior Categories, worsening is defined as an increasing progression from one category to another along the spectrum (from Preparatory actions or behaviors down to Completed suicide).

**Table S7.** Adverse events within 14 days of last dose in the extension: number (%) of patients and treatment difference (95% CI) in percentages versus placebo/40mg

	12mg/12mg N=197	40mg/40mg N=191	Placebo/40mg N=204	Difference in %s 12mg/12mg vs. placebo/40mg (95 % CI)	Difference in %s 40mg/40mg vs. placebo/40mg (95% CI)
<i>Adverse event summary</i>					
Any adverse event	117 (59.4)	106 (55.5)	135 (66.2)	-6.79 (-16.16, 2.68)	-10.68 (-20.16, -1.04)
Any serious adverse event	10 (5.1)	22 (11.5)	24 (11.8)	-6.69 (-12.42, -1.29)	-0.25 (-6.68, 6.27)
Discontinued treatment due to adverse event	0 (0.0)	1 (0.5)	1 (0.5)	-2.42 (-6.03, 0.61)	-2.38 (-6.01, 0.71)
Death	0 (0.0)	3 (1.6)	0 (0.0)	0.00 (-1.85, 1.92)	1.57 (-0.30, 4.52)
<i>Prespecified adverse events</i>					
ARIA-H <sup>a</sup>	1 (0.5)	0 (0.0)	0 (0.0)	0.51 (-1.35, 2.82)	0.00 (-1.85, 1.98)
ARIA-E <sup>a</sup>	0 (0.0)	0 (0.0)	0 (0.0)	0.00 (-1.85, 1.92)	0.00 (-1.85, 1.98)
Delirium	1 (0.5)	1 (0.5)	0 (0.0)	0.51 (-1.35, 2.82)	0.52 (-1.33, 2.91)
Rash <sup>b</sup>	1 (0.5)	3 (1.6)	5 (2.5)	-1.94 (-5.17, 0.61)	-0.88 (-4.25, 2.36)
<i>Specific adverse events<sup>c</sup></i>					
Rash/dermatitis/urticaria <sup>d</sup>	8 (4.1)	9 (4.7)	17 (8.3)	-4.27 (-9.37, 0.50)	-3.62 (-8.81, 1.37)
Depression	6 (3.0)	2 (1.0)	6 (2.9)	0.10 (-3.62, 3.91)	-1.89 (-5.36, 1.13)
Anxiety	8 (4.1)	3 (1.6)	3 (1.5)	2.59 (-0.71, 6.52)	0.10 (-2.86, 3.22)
Sleep disturbance <sup>d</sup>	2 (1.0)	3 (1.6)	4 (2.0)	-0.95 (-4.05, 1.89)	-0.39 (-3.57, 2.79)
Weight decreased	6 (3.0)	0 (0.0)	8 (3.9)	-0.88 (-4.90, 3.06)	-3.92 (-7.55, -1.91)
Cough	2 (1.0)	1 (0.5)	4 (2.0)	-0.95 (-4.05, 1.89)	-1.44 (-4.48, 1.13)
Psychotic symptoms <sup>d</sup>	2 (1.0)	2 (1.0)	5 (2.5)	-1.44 (-4.73, 1.46)	-1.40 (-4.70, 1.56)
Pruritus	0 (0.0)	3 (1.6)	2 (1.0)	-0.98 (not calculated) <sup>f</sup>	0.59 (-2.12, 3.65)
<i>Other adverse events of interest<sup>e</sup></i>					
Falls and injuries <sup>d</sup>	21 (10.7)	19 (9.9)	25 (12.3)	-1.60 (-7.97, 4.78)	-2.31 (-8.64, 4.04)
Suicidal ideation	7 (3.6)	6 (3.1)	7 (3.4)	0.12 (-3.83, 4.15)	-0.29 (-4.19, 3.67)
Hair color change	0 (0.0)	1 (0.5)	6 (2.9)	-2.94 (-6.27, -1.00)	-2.42 (-5.82, 0.26)
Syncope-like events <sup>d</sup>	0 (0.0)	1 (0.5)	4 (2.0)	-1.96 (-4.94, -0.02)	-1.44 (-4.48, 1.13)

12/12mg = patients on 12mg in part-1 who remained on 12mg in the extension; 40mg/40mg = patients on 40 mg in part-1 who remained on 40mg in the extension; placebo/40mg = patients on 40mg in part-1 who were switched to 40mg in the extension.

<sup>a</sup>ARIA-H includes incident microhemorrhage, superficial siderosis or macrohemorrhage, ARIA-E = incident vasogenic edema.

<sup>b</sup>Rash adverse events that were clinically significant in the investigator's judgment.

<sup>c</sup>Adverse events that met the ">5.0 % in a verubecestat group and >placebo" criteria in part-1 (see Table 3).

<sup>d</sup>Several specific adverse event terms that appeared to be related were combined in a post hoc and unblinded fashion into the composite items shown; psychotic symptoms included adverse event terms with paranoia, delusion and hallucination.

<sup>e</sup>Based on data from previous clinical trials.



<sup>f</sup>Confidence intervals only produced for those comparisons for which at least one of the treatment groups had an incidence >1%.

**Table S8.** Causes of deaths in the extension (up to 14 days after last dose): number (%) of patients

	<b>12mg/12mg N=197</b>	<b>40mg/40mg N=191</b>	<b>Placebo/40mg N=204</b>
<i>Total</i>	0 (0.0)	3 (1.6)	0 (0.0)
<i>Cardiac disorders</i>			
Cardio-respiratory arrest	0 (0.0)	1 (0.5)	0 (0.0)
Myocardial infarction	0 (0.0)	1 (0.5)	0 (0.0)
<i>Infections and infestations</i>			
Pneumonia bacterial	0 (0.0)	1 (0.5)	0 (0.0)