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Global Patterns and Trends in Breast Cancer Incidence and Mortality according to Socio-demographic Index

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5	1	Title page:
6 7 8	2	Global Patterns and Trends in Breast Cancer Incidence and
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10 11 12	3	Mortality according to Socio-demographic Index
13 14	4	
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26 Abstract:

Objectives: Disparities existed in the global burden of breast cancer. We aimed to figure the recent
patterns and trends in incidence and mortality from breast cancer, and to assess breast cancer
associated health inequalities according to development.

Methods: Estimates of breast cancer incidence and mortality data from 1990 to 2016 were obtained from the Global Health Data Exchange database. Patterns in 2016 were described with age-standardized and age-specific incidence, mortality and mortality-to-incidence ratio (MIR) according to socio-demographic index (SDI) levels. Trends were assessed via the annual percent change using joinpoint regression. The between-country health inequalities were measured with the Gini coefficients and concentration indexes.

Results: Countries with higher levels of SDI were shown to have worse incidence burdens in 2016, though the health inequality in breast cancer incidence, in terms of Gini coefficients and concentration indexes decreased since 1990. In keeping with the opposite trends in mortality rates between high and low SDI countries, the concentration indexes for mortality also declined and even turned negative in 15-49 and 50-69 years age groups, pointing towards increasing concentration in mortality burdens of undeveloped regions. Conversely, both the overall inequality and the part related to socioeconomic development in MIR increased. In 2016, MIRs showed distinct gradients from the high to low SDI regions for all age groups.

44 Conclusions: Patterns and trends in breast cancer incidence and mortality closely correlated with 45 SDI levels. Our findings highlighted that primary prevention of breast cancer in high SDI 46 countries with high incidence and developing of cost-effective detection and treatment 47 interventions in low SDI countries with poor MIRs are two pressing needs in future decades.

50 Keywords: breast cancer, mortality-to-incidence ratio, socio-demographic index, Gini
51 coefficient, concentration index

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3 4 5	52	
6 7	53	Article summary
8 9 10 11	54	Strengths and limitations of this study :
12 13	55	To our knowledge, this study was a first overview about the global patterns and trends in breast
14 15	56	cancer incidence and mortality in relation to levels of SDI. Limitations should also be considered
16 17	57	when interpreting the results of our investigation. Firstly, this study was subject to the limitations
18 19	58	of the GBD 2016 study, such as data sources and statistical assumptions, which were detailed in
20 21 22	59	the GBD 2016 reports. Secondly, joinpoint analysis is sensitive to parameter settings. The pattern
22 23 24	60	groupings of trends in incidence and mortality may change if parameters are set differently or
25 26	61	more data are involved in the analysis. Thirdly, district data within each country, information on
27 28	62	disease stage or histopathological characteristics were unavailable in GBD 2016 database. In the
29 30	63	United States, for example, nationwide distributions and trends in breast cancer burdens differed
31 32	64	by ethnicity, state, disease stage and intrinsic subtype. More studies are needed to further
33 34	65	understand disparities due to these biases worldwide.
35 36	66	
37 38	67	
39 40 41	68	Introduction
42 43	69	Breast cancer is the most common cancer and the first leading cause of cancer death among
44 45	70	women, with an estimated 2.4 million new cases and 523 thousand deaths worldwide in 2015 ¹ .
46 47	71	Where and in which socioeconomic status a woman lives can significantly affect her odds of
48 49 50	72	developing breast cancer and whether she will ultimately survive ¹ . Breast cancer is not confined
50 51 52	73	to high-income countries, however, it more often occurred in developed regions, where the
53 54	74	incidence rates were multifold higher compared with those in low- and middle-income countries
55 56	75	(LMICs) ¹⁻³ . The cancer-related mortality rates in those LMICs were not fit in their low incidence
57 58 59 60	76	rates ³⁻⁵ . Along with better awareness of risk factors, regular mammography screening and

> sufficient and effective medical services, the mortality rates in many high-income countries significantly declined in recent decades, and their incidence rates also stabled or even decreased since around 2000. Rather, in many resource-poor settings or countries undergoing rapid transition, both the incidence and mortality rates from breast cancer have been increasing, partially attributed by changes in reproductive patterns, increases in awareness but backward detections or treatments^{6, 7}.

> Disparities do exist in the global burden of breast cancer, especially among counties and regions with different levels of development. Understanding the exact correlations between the disease burden and socioeconomic status are critical for the world's health policymakers to formulate appropriate measures adapted to local conditions. Socio-demographic Index (SDI) was first introduced in the Global Burden of Disease Study 2015 (GBD 2015) by the Institute for Health Metrics and Evaluation to quantificationally measure the development of a country or region⁸. The aims of our study were to describe the current patterns and trends in breast cancer incidence and mortality according to the country-level wellbeing, and to further explore distributions and changes in the breast cancer associated health inequality according to the spectrum of development, by combining the latest updated SDI data with breast cancer incidence and mortality data during 1990 and 2016 available at the GBD 2016 database.

96 Material and Methods

97 Patient and Public Involvement

Breast cancer was defined by the International Classification of Disease - Revision 10 with code
C50. Incidence and mortality data from 195 individual countries and predefined five SDI groups
between 1990 and 2016 were collected from the Global Health Data Exchange database⁵. Annual
incidence and mortality rates by a 5-year age bracket from age 15 to 95+ years were obtained for
each involved country. Detailed methods pertaining to estimation of age-standardized incidence

and mortality rate (ASIR and ASMR) per 100,000 population had been previously described in
the GBD 2016 reports^{3, 4}. Because women aged 50-69 years were the major population
participating in regular screening programs, we further calculated age-specific incidence and
mortality rates per 100,000 population into three subgroups by age: 15-49, 50-69 and 70+ years,
which were adjusted within age groups according to the new world population age-standard³.
Mortality-to-incidence ratio (MIR) was calculated by dividing the breast cancer mortality rate for
a given year, age-group, country or SDI group by its corresponding incidence rate.

111 Socio-demographic index

SDI is a comparable metric of overall development achieved by using an equal weighting of lag-distributed income per capita, average years of education in the population over 15 years, and total fertility rate⁹. SDI values on a scale of 0 to 1. A greater value of SDI implies higher level of development. SDI data for the involved 195 countries from 1990 to 2016 were obtained from the Global Health Data Exchange database⁵. Countries were grouped into quintiles based on their SDI values in 2016: high, high-middle, middle, low-middle and low SDI groups. Detailed methods describing computation of the SDI as well as choosing of the quintile cutoffs were reported elsewhere^{1, 3}.

121 Gini coefficient and concentration index

Gini coefficient and concentration index drawn from the field of economics were used to measure breast cancer associated health inequality in our study^{10, 11}. Gini coefficient was calculated based on the Lorenz curve, and it ranged from 0 to 1, 0 representing perfect equality and 1 total inequality¹¹. Annual ASIRs, ASMRs, age-specific incidence and mortality rates and MIRs of breast cancer for 195 countries were used to calculate the Gini coefficients, to find out the trends in between-country health inequality during 1990 and 2016. Concentration index was derived from the concentration curve and commonly used to measure socioeconomic-related health

inequality¹². Concentration indexes were computed by relating the above breast cancer metrics to corresponding national SDIs. The value of this index varies between -1 and +1. Positive (negative) values of the concentration index indicated the disease burden owing to the occurrence or death of breast cancer were more concentrated in countries with high (low) levels of development measured by SDI¹². The absolute value demonstrates the degree of a "pro-developed" or "prounderdeveloped" distribution in health limitations, and zero means an absence of inequality associated to the socioeconomic gradient instead of absence of inequality.

137 Statistical analyses

For a normal distribution but heterogeneity in variances of incidence, mortality and MIR data, one-way ANOVA was performed to determine the statistical significance of differences in incidence rates, mortality rates and MIRs across SDI-based country groups, followed by pairwise comparisons using Tamhane T2 test¹³. Liner regression model was used to test for the correlation between breast cancer indicators and SDI values. Joinpoint piecewise linear regression analysis was performed to identify time points when significant changes occurred as well as temporal trends in age-standardized and age-specific incidence and mortality rates during 1990 and 2016¹⁴. Default parameters were used, except for setting the minimum number of data points between two joints and at either end of the data to 5. To avoid over-fitting at the truncating points, maximum number of joinpoints was defined as 2. The best-fit point where the rate had changed prominently was decided by means of a permutation test, and the P value for each permutation test was estimated using Monte Carlo methods¹⁴. Statistics on annual percent change (APC) for each segment and average annual percent change (AAPC) for the overall period were summarized using the optimal joinpoint model. All joinpoint trend analyses were undertaken via the joinpoint statistical software (Version 4.5.0.1) from the surveillance research program of the United States National Cancer Institute¹⁵. The Gini coefficient was computed by the AINEQUAL module¹⁶, and the concentration index by the CONIDEX module¹⁷ using Stata 14.0 software (Stata Corp,

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155 Texas, USA). Other statistical analyses were performed with SPSS 20.0 (IBM Corp, Chicago,156 USA).

159 Results

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160 Current profiles in breast cancer incidence and mortality according to SDIs

161 Figure 1 showed distinct distributions of counts and proportions of new cases and deaths due to 162 breast cancer in five SDI groups in 2016. There were 719 thousand new cases in high SDI 163 countries, about 20 times of that of 37 thousand in low SDI groups. Death number in these two 164 groups were 162 and 32 thousand, respectively. About half of the new cases were occurred in 165 women aged 50-69 years across all SDI groups. In countries belonging to middle, low-middle or 166 low SDI group, more than one third new cases happened in young ages between 15 and 49 years, 167 along with more proportion of deaths in this age group. Deaths in age 70 years or elder, by contrast, 168 made up 50.9% of total breast cancer deaths in high SDI countries.

169 One-way ANOVA suggested significant differences in both age-standardized and age-specific 170 incidence rates and MIRs (P < 0.01), but not mortality rates among countries in different SDI 171 groups. Pairwise comparisons indicated lower MIRs in countries representing the higher level of 172 development based on SDI, where the mortality rates were not proportional to their high incidence 173 rates (Figure 2). The Incidence rates in all age groups were shown to have a positive dose-response 174 relationship with SDIs, otherwise than a negative dose-response relationship between MIRs and 175 SDIs (Figure 3). Besides, the rate ratios exhibited well-fitting linear relationships in all age groups, 176 whereas the incidence and mortality rates in elder age groups were more scattered across countries 177 with varied SDIs.

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179 Temporal trends in breast cancer incidence and mortality across SDI groups

180 According to the joinpoint trend analyses (Table 1), the ASIRs in high and high-middle SDI

groups plateaued after a quick increase at early 1990s. The ASIRs in high SDI group even displayed a declining trend by 0.1% per year since 2000. However, significant increases were found in middle, low-middle and low SDI groups through the whole period from 1990 to 2016 (Supplementary figure 1A). The AAPC in ASIR for the middle SDI group was 2.1%, far ahead of increases in other groups. Trends of incidence rates in 15-49, 50-69 and 70+ years age groups were comparable with those of ASIRs across SDI groups (Supplementary table 1 and figure 1B). Changes in ASMRs were contradictory among SDI groups as shown in table 2 and supplementary figure 2A. In high SDI group, the ASMR continuously decreased from 24.2 in 1990 to 17.6 in 2016, with an AAPC of -1.3%. The ASMR in high-middle SDI group began to decline in 1994, and an accelerated decrease (APC: -1.9%) was witnessed between 2004 and 2016. The ASMR in middle SDI group also slightly diminished in 2002 to 2016 with an average decrease of 0.5% per year. Opposite trends were displayed in the low-middle (2002-2016, APC: 0.7%) and low SDI groups (2009-2016, APC: 0.8%), especially in recent years. Patterns of change in three age groups were similar with those of ASMR in each SDI group, however, the spectrum of change differed (Supplementary table 2 and figure 2B). For example, our results showed a much less decreasing in more developed regions and more increasing in less developed regions in the mortality for 70+ years age group compared with other age groups, which was much less-than-ideal.

200 Health inequality in worldwide breast cancer

The Gini coefficients for the incidence of breast cancer decreased continuously from 1990 to 2016 (Figure 4A), the values of which computed from ASIRs and incidence rates in 15-49, 50-69 and 70+ years age groups had dropped to 0.33, 0.30, 0.34 and 0.38 by 2016, compared with those of 0.38, 0.35, 0.39 and 0.43 in 1990, respectively. Similarly, the Gini coefficients calculated with mortality rates in all age groups except the 15-49 years age group showed markedly declining trends during the same period. Though the between-country inequalities due to both breast cancer

incidence and mortality decreased, the Gini coefficients according to the distribution of MIRs
conversely increased. For instance, the Gini coefficients derived from age-standardized MIRs
reached up to 0.29 in 2016 from a base of 0.23 in 1990.

The concentration indexes according to breast cancer age-standardized and age-specific incidence and mortality rates were all above zero in 1990, suggesting that the inequalities associated with socioeconomic development were more concentrated in countries with higher level of development measured by SDI. Moreover, the concentration indexes for the 70+ years age group were much greater than those in other age groups. As can be seen in Figure 4B, both the concentration indexes of incidence and mortality rates decreased between 1990 and 2016, and the rates of descent sped up since late 1990s. The concentration indexes computed with mortality rates in 15-49 and 50-69 years age groups inclined to zero and became negative in 1998 and 2013, respectively. In contrast, the concentration indexes based on age-standardized and age-specific (15-49, 50-69 and 70+ years age group) MIRs were below zero, with values of -0.21, -0.22, -0.22and -0.18 in 1990, and by 2016, the values of which had decreased to -0.28, -0.31, -0.30 and -0.25, respectively.

224 Discussion

The socioeconomic development associated inequality in global incidence of breast cancer has been decreasing since 1990. Still, countries with higher levels of development on the basis of SDIs were shown to have worse incidence burdens by 2016. In keeping with the opposite trends for mortality rates between countries with high and low SDIs, the concentration indexes by mortality fell and even turned to be negative in 15-49 and 50-69 years age groups in recent years, pointing towards a transition in the concentration of mortality burdens from the developed to undeveloped regions. Conversely, both the overall inequality and the part correlated with socioeconomic development in MIR - a health measure derived from the rate ratio of mortality

and incidence - increased from 1990 to 2016. In 2016, MIRs showed distinct gradients from thehigh to low SDI regions in all age groups.

With epidemiological data reported for specific countries, it has been a prevailing perception that inequalities existed in breast cancer incidence worldwide, especially between the highincome countries and LMICs¹⁸⁻²¹. However, evidence about the quantitative relationship between the breast cancer burdens and national socioeconomic development was still limited. On the basis of GLOBOCAN 2012 estimates, incidence burden due to breast cancer distributed with obvious disparities among countries in different levels of human development index $(HDI)^2$, which was in accordance with our results in the light of data from the GBD 2016 study and SDI-a newly developed indicator for socioeconomic status of a given country. The overall inequality in breast cancer incidence had not yet been eliminated and was still more concentrated in countries with higher levels of SDI. The prevalence of breast cancer is somewhat associated with a so-called western lifestyle (ie, specific reproductive patterns and excess body weight)^{22, 23}, making it a marker for the extent of development. Trend analyses in our study demonstrated a quick increasing in breast cancer incidence in countries belonging to the middle SDI group. This fact might suggest that countries with middle levels of SDI were undergoing rapid social and economic transitions²⁴. In many LMICs, burden of infection-related cancers, such as cervical, gastric and liver cancer, remained top ranking, instead of breast cancer^{1, 2}. Mammographic screening programs were generally implemented in high-income countries, for women aged 50-69 years²⁵⁻²⁷. Our subgroup analysis based on age conformed transient rises in incidence of women at this age group and subsequent falls in those elder than 70 years in high SDI countries.

The mortality rates from breast cancer did not differ significantly from the low to high SDI regions. Inequalities in breast cancer caused deaths were possibly offset by better outcomes in more developed countries with early detections and advanced treatments, and small scale of incidence but limited access to health cares in most LMICs^{28, 29}. Therefore, mortality rates could not well represent the exact trends and current status of cancer caused death burdens. Cancer survival was another important indicator for death burden of malignancies. According to data

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from 59 countries in CONCORD-2 study³⁰, five-year survival for patients diagnosed with breast cancer during 2005-09 in the North America, Australia, Israel, Brazil, and most Northern and Western European countries had reached up to 85% or higher, while it remained 60% or lower in many LMICs, such as India, Mongolia, Algeria and South Africa. However, comprehensive survival data were scarce in most countries, especially in those with limited resources. It remained an important issue to conclude the extent of socioeconomic development associated inequalities in the survivorship of breast cancer and to compare the current survival status in each country across the world. Here in our study, we analyzed the trends of inequalities in breast cancer MIRs, which evaluated the departure of mortality in relation to incidence from expectation and was suggested as an approximation for cancer survival³¹⁻³³. Our results indicated widening disparities in the MIRs of breast cancer among countries with different levels of development.

HDI was a metric composed by life expectancy at birth, mean and expected years of schooling and gross national income per capita³⁴, and was used by a few researches to investigate how macro-socioeconomic determinants correlated with national disease burdens^{2, 28, 35}. Nevertheless, it could be confusing when a measure of overall health (life expectancy at birth) was one important component of the index used to evaluate how socioeconomic development influences health. In the GBD 2015 study, SDI was first developed to identify where countries or geographic areas sit on the spectrum of societal development⁸. As reproductive patterns were proved to be risk factors for breast cancer²², SDI, a yardstick constructed based on measures of income, education, and fertility rate, might be more appropriate to weigh the influence of socioeconomic status on the global patterns and trends in health inequality due to breast cancer.

To our knowledge, this study was a first overview about the global patterns and trends in breast cancer incidence and mortality in relation to levels of SDI. Limitations should also be considered when interpreting the results of our investigation. Firstly, this study was subject to the limitations of the GBD 2016 study, such as data sources and statistical assumptions, which were detailed in the GBD 2016 reports^{3, 4}. Secondly, joinpoint analysis is sensitive to parameter settings. The pattern groupings of trends in incidence and mortality may change if parameters are set differently

or more data are involved in the analysis. Thirdly, district data within each country, information on disease stage or histopathological characteristics were unavailable in GBD 2016 database. In the United States, for example, nationwide distributions and trends in breast cancer burdens differed by ethnicity, state, disease stage and intrinsic subtype^{36, 37}. More studies are needed to further understand disparities due to these biases worldwide.

293 Conclusions

The socioeconomic development associated health inequality in breast cancer incidence has been declining since 1990. Countries undergoing an economic and lifestyle transition were experiencing growing prevalence of breast cancer. Nonetheless, the incidence burden was still more concentrated in countries with higher SDIs by 2016. These findings highlighted that public health clinicians and cancer control specialists should pay more attention to primary prevent of breast cancer especially in these high-incidence countries. Breast cancer mortality, in less developed countries, deviated from expectation seriously in relation to their low incidence. This situation even deteriorated with ever-increasing between-country inequalities for rate ratios from 1990 until 2016. Planners should try to carry out more sensitive and cost-effective detection and treatment interventions, particularly in low and low-middle SDI settings with limited healthcare resources, to combat premature deaths due to breast cancer.

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312 Competing interests

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2 3		
4 5	313	The authors declare that they have no conflict of interest.
6 7	314	
8 9	315	
10 11 12	316	Data sharing statement
13 14	317	No additional data available.
15 16	318	
17 18	319	
19 20 21	320	Authors' contributions
22 23	321	Kaimin Hu designed the study, acquired and analyzed the data and prepared the figures. Peili
24 25	322	Ding and Yinan Wu interpreted the results and wrote the first draft of the manuscript. Tao Pan
26 27	323	and Wei Tian interpreted the results and supported the research funding. Suzhan Zhang was the
28 29	324	principle investigator and designed the study. All authors commented on manuscript drafts,
30 31	325	approved the final version and declared no conflict of interest.
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Figure legend:

Figure 1. Distribution of breast cancer incidence and mortality counts and proportions by age at the global level and SDI quintiles in 2016.

Figure 2. Patterns for breast cancer, in terms of age-standardized and age-specific (A) incidence rates, (B) mortality rates and (C) MIRs by SDI group in 2016. Black squares represent the medians of all rates from the countries included in each SDI level. Lines denote the interquartile ranges. *P < 0.05, ***P < 0.001.

Figure 3. Relationship between the incidence rates, mortality rates, MIRs and SDI levels by age. The best-fitted line according to linear regression analysis was showed.

Figure 4. Trends in (A) the Gini coefficients and (B) concentration indexes computed from health metrics of breast cancer, in terms of age-standardized and age-specific incidence rates, mortality rates and MIRs, across countries worldwide during 1990 and 2016.

Table

 Table 1. Breast cancer age-standardized incidence rates in 1990, 2016 and joinpoint trend analysis between 1990 and 2016 by SDI settings.

	1990			2016		Trend1		Trend2		Trend3		AAPC (%)	
	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	AAI C (70)
Global	815.4	41.0	39.8-43.1	1681.9	45.6	43.6-48.2	1990-1995	1.5*	1995-2000	0.2*	2000-2016	0.1*	0.4*
High SDI	463.0	83.6	82.0-85.1	719.4	88.9	86.5-93.0	1990-1995	1.3*	1995-2000	0.2*	2000-2016	-0.1*	0.2*
High-middle SDI	153.1	37.1	36.0-38.6	329.0	46.5	42.9-50.5	1990-1995	3.2*	1995-2016	0.4*			0.9*
Middle SDI	116.0	19.3	18.1-22.1	408.8	33.2	30.4-36.0	1990-2000	2.6*	2000-2009	2.0*	2009-2016	1.5*	2.1*
Low-middle SDI	69.7	17.7	14.9-21.9	187.0	23.1	21.1-27.8	1990-1999	1.3*	1999-2010	0.4*	2010-2016	1.8*	1*
Low SDI	15.6	16.3	13.6-20.9	37.0	18.8	17.1-20.8	1990-1995	0.9*	1995-2010	0.3*	2010-2016	0.8*	0.5*

95% UI, 95% uncertainty interval; ASR, age-standardized rate; APC, annual percent change; AAPC, average annual percent change. *P < 0.05.

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	1990			2016			Trend1		Trend2		Trend3		AAPC (%)
	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	AAPC (70)
Global	336.9	17.2	16.4-18.8	535.3	14.6	13.8-15.6	1990-1994	0.8*	1994-2002	-0.6*	2002-2016	-1.1*	-0.7*
High SDI	141.1	24.2	23.8-24.7	162.1	17.6	16.9-18.3	1990-1995	-0.6*	1995-2010	-1.6*	2010-2016	-1*	-1.3*
High-middle SDI	65.5	15.9	15.2-17.0	97.9	13.7	12.2-15.7	1990-1994	2.9*	1994-2004	-0.4*	2004-2016	-1.9*	-0.6*
Middle SDI	65.5	11.6	10.7-13.7	138.5	11.8	10.8-12.7	1990-2002	0.8*	2002-2016	-0.5*			0.1*
Low-middle SDI	50.7	13.6	11.4-17.3	104.5	13.8	12.1-17.2	1990-1999	0.7*	1999-2012	-0.6*	2012-2016	0.7*	0
Low SDI	13.9	15.7	13.0-20.2	31.9	17.6	15.7-19.9	1990-1996	0.9*	1995-2009	0	2009-2016	0.8*	0.5*
								19V	0	74			

Global

High SDI

Middle SDI

Low SDI

0%

10% 20% 30%

40% 50% 60% 70% 80%

= 15-49 years = 50-69 years = 70+ years

Proportion of total case (%)

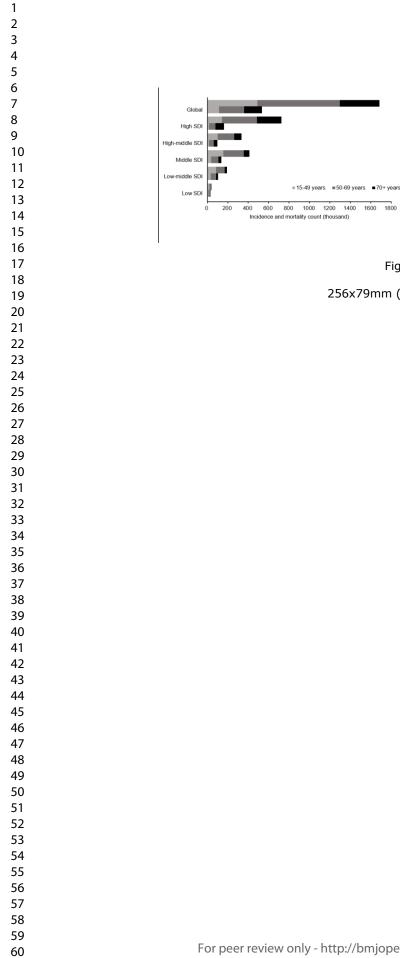
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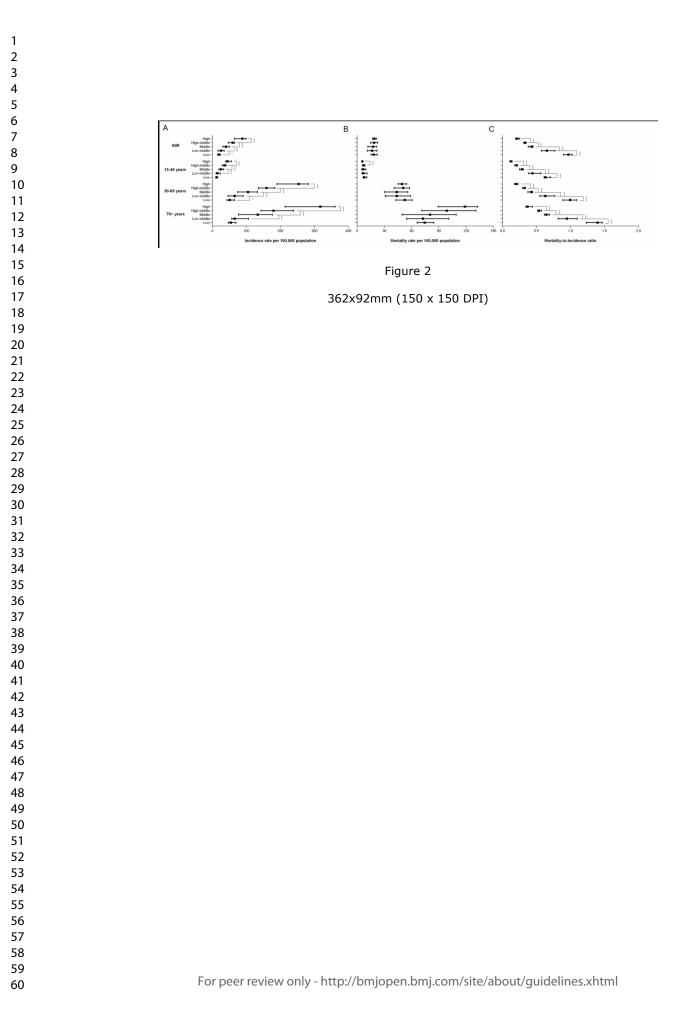
High-middle SDI

Low-middle SDI

Figure 1

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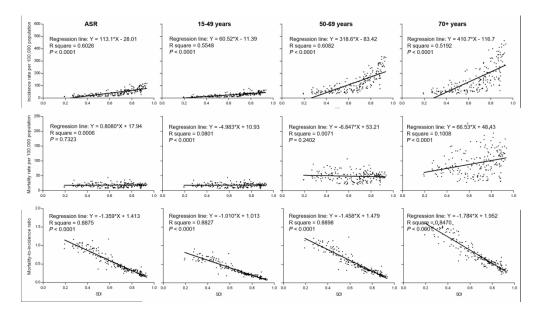
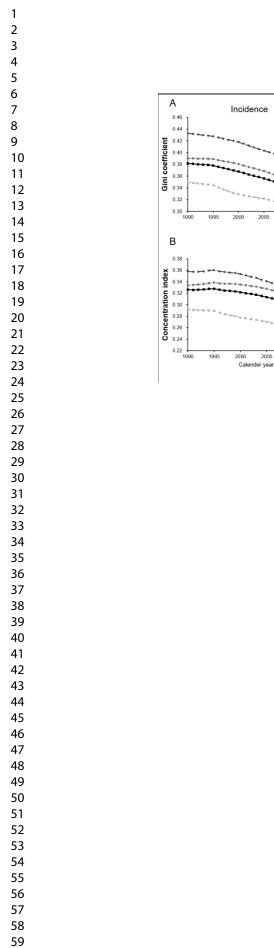


Figure 3

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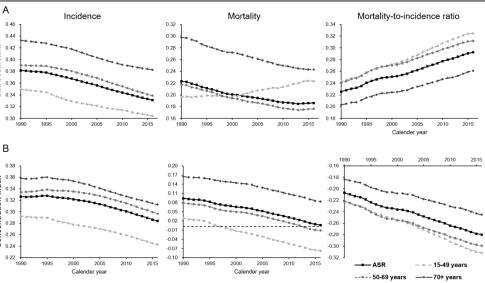


Figure 4

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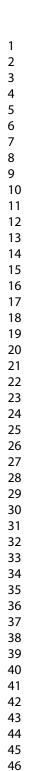
Supplementary figures and tables

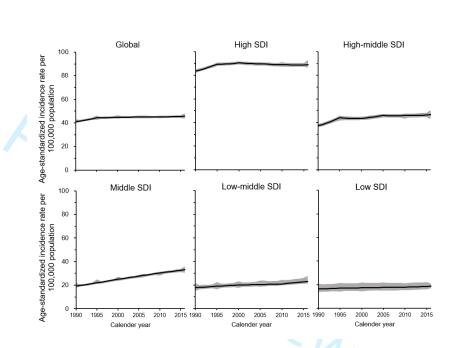
Supplementary table 1. Breast cance	er age-specific incidence rate	s in 1990, 2016 and joinpoint tre	end analysis between 19	90 and 2016 by SDI settings.
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			199	0		201	6	Trer	nd1	Tren	ld2	Trer	nd3	- AAPC (%)
	Age	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	AAPC (%
	15-49 years	248.2	22.7	21.0-24.6	484.0	25.4	23.6-27.5	1990-1995	1.6*	1995-2011	0	2011-2016	0.7*	0.4*
Global	50-69 years	372.8	107.0	102.4-113.5	809.6	124.4	117.4-132.8	1990-1995	1.4*	1995-2005	0.6*	2005-2016	0.2*	0.6*
	70+ years	194.4	165.8	159.8-172.8	388.3	167.8	159.8-178.5	1990-1995	1.7*	1995-2008	-0.4*	2008-2016	-0.2*	0.1*
	15-49 years	111.6	47.3	45.3-49.4	137.8	49.4	46.6-52.6	1990-1995	1.0*	1995-2000	0.2	2000-2016	-0.1*	0.2*
High SDI	50-69 years	208.8	225.6	216.1-235.4	345.1	252.7	239.8-268.1	1990-1995	1.5*	1995-2000	0.8*	2000-2016	0*	0.5*
	70+ years	142.7	310.7	297.6-324.2	236.5	303.7	287.7-324.7	1990-1995	1.4*	1995-2008	-0.6*	2008-2016	-0.1*	-0.1*
	15-49 years	48.8	24.8	23.0-26.9	96.9	29.0	25.3-33.0	1990-1995	3.3*	1995-2000	-1.5*	2000-2016	0.4*	0.6*
High-middle SDI	50-69 years	76.1	101.6	94.5-110.0	163.0	128.1	113.7-143.2	1990-1994	3.5*	1994-2006	0.8*	2006-2016	0	0.9*
	70+ years	28.2	106.1	99.1-114.0	69.2	146.0	133.2-161.1	1990-1995	4.0*	1995-2007	1.2*	2007-2016	-0.1*	1.3*
	15-49 years	51.0	14.0	12.2-16.2	152.2	22.9	20.4-25.6	1990-1995	2.9*	1995-1999	2.2*	1999-2016	1.6*	1.9*
Middle SDI	50-69 years	50.5	50.8	46.2-59.0	201.0	91.0	81.0-100.8	1990-2004	2.7*	2004-2010	2.0*	2010-2016	1.5*	2.3*
	70+ years	14.4	52.8	48.5-62.3	55.6	89.8	80.8-98.7	1990-2000	2.4*	2000-2010	2.2*	2010-2016	1.2*	2.1*
	15-49 years	30.6	12.4	9.2-16.2	79.5	16.0	14.2-19.1	1990-2000	1.3*	2000-2010	0.2*	2010-2016	1.8*	1.0*
Low-middle SDI	50-69 years	31.4	47.1	38.9-59.8	84.4	61.9	54.7-76.1	1990-1999	1.3*	1999-2010	0.4*	2010-2016	1.9*	1.0*
	70+ years	7.6	50.6	42.9-63.7	23.1	65.2	57.8-82.6	1990-2000	1.0*	2000-2010	0.7*	2010-2016	1.4*	1.0*
	15-49 years	7.0	10.9	8.1-15.5	16.4	12.2	10.2-14.6	1990-1995	1.1*	1995-2010	0.1*	2010-2016	0.8*	0.4*
Low SDI	50-69 years	7.0	43.9	35.5-56.2	16.4	50.7	44.2-57.4	1990-1998	0.8*	1998-2010	0.3*	2010-2016	0.8*	0.5*
	70+ years	1.6	48.5	39.8-60.8	4.3	57.3	50.5-63.5	1990-2010	0.6*	2010-2016	0.8*			0.6*

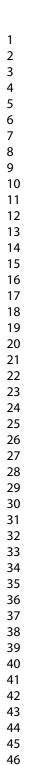
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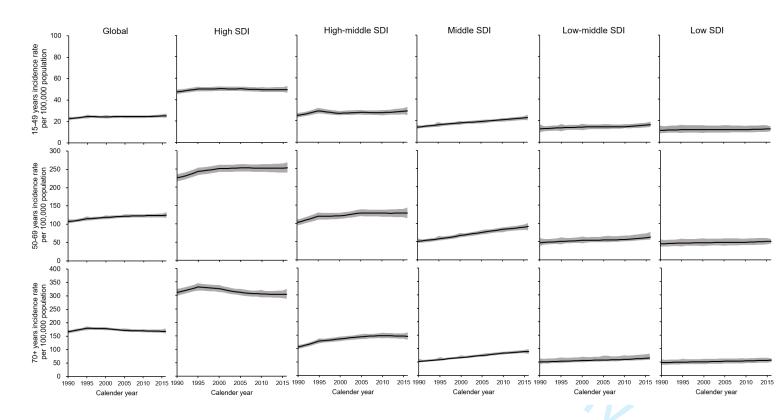
		199		00	2016			Trend1		Trend2		Trend3		
	Age	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	- AAPC (%)
	15-49 years	80.7	7.4	6.6-8.4	114.3	6.0	5.6-6.6	1990-1995	0.8*	1995-2012	-1.4*	2012-2016	-0.3*	-0.8*
Global	50-69 years	156.5	44.9	42.2-49.4	246.9	38.0	35.6-41.4	1990-1994	0.5*	1994-2003	-0.5*	2003-2016	-1.1*	-0.7*
	70+ years	99.6	85.6	81.8-92.2	174.1	74.5	69.9-80.5	1990-1994	0.9*	1994-2002	-0.5*	2002-2016	-1.0*	-0.5*
	15-49 years	20.9	8.9	8.6-9.1	16.0	5.7	5.4-6.0	1990-1994	-1.1*	1994-2012	-2.1*	2012-2016	-0.4	-1.7*
High SDI	50-69 years	59.8	64.2	62.2-66.3	63.5	46.2	43.9-48.5	1990-1995	-0.8*	1995-2012	-1.5*	2012-2016	-0.7*	-1.3*
	70+ years	60.4	128.9	123.9-134.0	82.6	99.5	93.2-106.4	1990-1995	0	1995-2009	-1.3*	2009-2016	-1.0*	-1.0*
	15-49 years	14.5	7.5	6.9-8.2	17.2	5.2	4.5-5.9	1990-1994	2.7*	1994-2016	-2.2*			-1.5*
High-middle SDI	50-69 years	33.0	43.9	40.7-48.0	46.2	36.5	31.4-43.2	1990-1994	2.7*	1994-2004	-0.4*	2004-2016	-2.1*	-0.7*
	70+ years	17.9	67.9	63.2-74.0	34.4	71.1	62.6-82.0	1990-1994	3.5*	1994-2003	0.9*	2003-2016	-1.2*	0.2*
	15-49 years	22.5	6.3	5.6-7.3	37.0	5.6	5.0-6.2	1990-2002	0.3*	2002-2008	-1.8*	2008-2016	-0.7*	-0.5*
Middle SDI	50-69 years	30.9	31.1	28.2-37.1	70.0	31.8	28.8-34.7	1990-2002	0.8*	2002-2012	-0.2*	2012-2016	-0.9*	0.1*
	70+ years	12.1	45.8	41.6-57.5	31.5	51.7	46.8-56.7	1990-2002	1.1*	2002-2011	0.2*	2011-2016	-0.7*	0.5*
	15-49 years	17.7	7.3	5.5-9.7	33.1	6.7	5.8-8.2	1990-1999	0.5*	1999-2012	-1.2*	2012-2016	0.6	-0.4*
Low-middle SDI	50-69 years	25.8	38.9	31.4-50.6	51.2	37.9	32.7-48.1	1990-1999	0.7*	1999-2012	-0.8*	2012-2016	0.5	-0.1*
	70+ years	7.2	47.8	37.8-66.7	20.2	57.5	47.8-74.6	1990-2001	0.9*	2001-2011	0.3*	2011-2016	1.0*	0.7*
	15-49 years	5.0	7.9	5.8-11.4	10.9	8.3	6.7-10.3	1990-1995	1.2*	1995-2010	-0.3*	2010-2016	0.7*	0.2*
Low SDI	50-69 years	7.0	44.4	35.7-58.2	15.7	49.1	42.1-56.5	1990-1996	1.0*	1996-2009	0	2009-2016	0.7*	0.4*
	70+ years	1.9	59.9	48.7-76.3	5.2	72.6	62.3-82.3	1990-1995	1.0*	1995-2009	0.5*	2009-2016	1.1*	0.8*



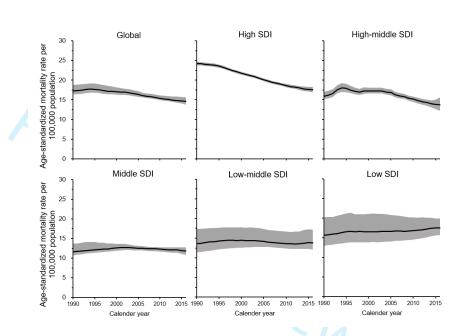


Supplementary figure 1A. Trends in breast cancer age-standardized incidence rate (ASIR) according to SDI settings, 1990-2016. The black lines represent the estimated ASIRs, and areas shaded in gray denote 95% uncertainty intervals.

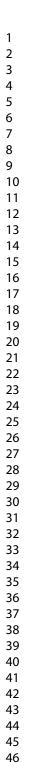


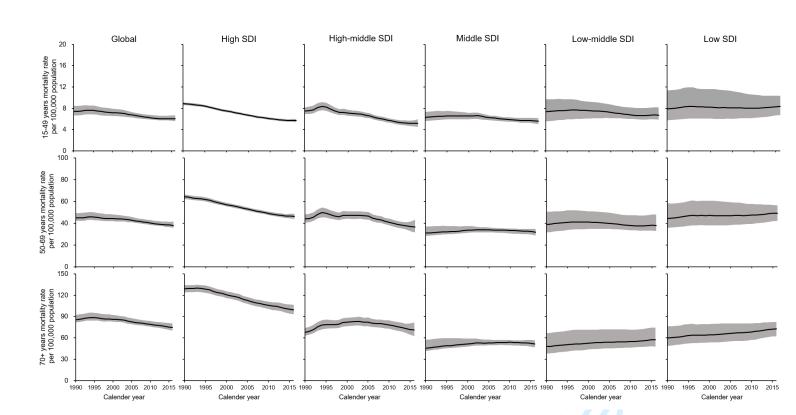


Supplementary figure 1B. Trends in incidence rate for 15-49, 50-69 and 70+ years age groups according to SDI settings, 1990-2016. The black lines represent the estimated age-specific rates adjusted within each group by the new world population age-standard, and areas shaded in gray denote 95% uncertainty intervals.



Supplementary figure 2A. Trends in breast cancer age-standardized mortality rate (ASMR) according to SDI settings, 1990-2016. The black lines represent the estimated ASMRs, and areas shaded in gray denote 95% uncertainty intervals.





Supplementary figure 2B. Trends in mortality rate for 15-49, 50-69 and 70+ years age groups according to SDI settings, 1990-2016. The black lines represent the estimated age-specific rates adjusted within each group by the new world population age-standard, and areas shaded in gray denote 95% uncertainty intervals.

Section/Topic	ltem #	Recommendation	Reported on page #	
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	#1	
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	#2	
Introduction				
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	#4	
Objectives	3	State specific objectives, including any prespecified hypotheses	#4	
Methods				
Study design	4	Present key elements of study design early in the paper	#5-6	
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	#5-6	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	#5-6	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	#5-6	
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	#5-6	
Bias	9	Describe any efforts to address potential sources of bias	#5-6	
Study size	10	Explain how the study size was arrived at	#5-6	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	#5-6	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	#6-7	
		(b) Describe any methods used to examine subgroups and interactions	#6-7	
		(c) Explain how missing data were addressed	#6-7	
		(d) If applicable, describe analytical methods taking account of sampling strategy	#6-7	
		(e) Describe any sensitivity analyses	#6-7	

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cross-sectional studies*

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Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility,	#7-9
		confirmed eligible, included in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	#7-9
		(c) Consider use of a flow diagram	#7-9
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	#7-9
		(b) Indicate number of participants with missing data for each variable of interest	#7-9
Outcome data	15*	Report numbers of outcome events or summary measures	#7-9
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence	#7-9
		interval). Make clear which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	#7-9
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	#7-9
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	#7-9
Discussion			
Key results	18	Summarise key results with reference to study objectives	#9-10
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	#11-12
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	#9-11
Generalisability	21	Discuss the generalisability (external validity) of the study results	#9-11
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on	#12-13
		which the present article is based	

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Global Patterns and Trends in Breast Cancer Incidence and Mortality according to Socio-demographic Index: An Observational Study Based on the Global Burden of Diseases

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13 14 15	4	Observational Study Based on the Global Burden of Diseases
16 17	5	
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28 Abstract:

 Objectives: Disparities existed in the global burden of breast cancer. We aimed to figure out the recent patterns and trends in incidence and mortality from breast cancer, and to assess health inequalities related to breast cancer according to socioeconomic development.

Methods: Estimates of breast cancer incidence and mortality data from 1990 to 2016 were obtained from the Global Health Data Exchange database. Patterns in 2016 were described with age-standardized and age-specific incidence, mortality and mortality-to-incidence (MI) ratio according to socio-demographic index (SDI) levels. Trends were assessed via the annual percent change using joinpoint regression. The between-country health inequalities were measured with the Gini coefficients and concentration indexes.

Results: Countries with higher levels of SDI were shown to have worse incidence burdens in 2016, though the health inequality in breast cancer incidence, in terms of Gini coefficients and concentration indexes, decreased since 1990. In keeping with the opposite trends in mortality rates between high and low SDI countries, the concentration indexes for mortality also declined and even turned negative in the age of 15-49 and 50-69 groups, pointing towards increasing concentration in mortality burdens of undeveloped regions. Conversely, both the overall inequality and the part related to socioeconomic development in MI ratio increased. In 2016, MI ratios showed distinct gradients from high to low SDI regions for all age groups.

46 Conclusions: Patterns and trends in breast cancer incidence and mortality closely correlated with 47 SDI levels. Our findings highlighted that the two pressing needs in the following decades are 1) 48 the primary prevention of breast cancer in high SDI countries with high incidence and 2) the 49 development of cost-effective detection and treatment interventions in low SDI countries with 50 poor MI ratios.

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6 7 8	53	Keywords: breast cancer, mortality-to-incidence ratio, socio-demographic index, Gini
8 9 10	54	coefficient, concentration index
11 12	55	
13 14	56	
15 16 17	57	Article summary
18 19 20	58	Strengths and limitations of this study :
21 22	59	Patterns and trends of breast cancer burden worldwide was evaluated in relation to levels of socio-
23 24	60	demographic index in this study.
25 26 27	61	Gini coefficient and concentration index revealed the extent, trend and concentration of health
28 29	62	inequality caused by breast cancer.
30 31	63	The findings might be limited by the fact that secondary estimated data from the Global Burden
32 33	64	of Disease database was used in this study and estimates for some countries with poor-quality law
34 35	65	data could be biased.
36 37	66	
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40 41 42	68	Introduction
43 44	69	Breast cancer is the most common cancer and the first leading cause of cancer death among
45 46	70	women, with an estimated 2.4 million new cases and 523 thousand deaths worldwide in 2015 ¹ .
47 48	71	Where and in which socioeconomic status a woman lives can significantly affect her odds of
49 50	72	developing breast cancer and whether she will ultimately survive ¹ . Breast cancer is not confined
51 52	73	to high-income countries. However, it often occurred in developed regions, where the incidence
53 54	74	rates were multifold higher compared with those in low- and middle-income countries (LMICs) ¹⁻³ .
55 56 57	75	The cancer-related mortality rates in those LMICs were not fit in their low incidence rates ³⁻⁵ .
58 59 60	76	Along with better awareness of risk factors, regular mammography screening and sufficient and

effective medical services, the mortality rates in many high-income countries significantly declined in recent decades, and their incidence rates also kept stable or even decreased since around 2000. In many resource-poor settings or countries undergoing rapid transition, both the incidence and mortality rates from breast cancer have been increasing, partially attributed by changes in reproductive patterns and delayed detections or treatments regardless of the increase in awareness^{6, 7}.

Disparities do exist in the global burden of breast cancer, especially among counties and regions with different levels of development. Understanding the exact correlations between the disease burden and socioeconomic status is critical for the world's health policymakers to formulate appropriate measures according to local conditions. Socio-demographic Index (SDI) was first introduced in the Global Burden of Disease Study 2015 (GBD 2015) by the Institute for Health Metrics and Evaluation to quantificationally measure the development of a country or region⁸. Through combining the latest updated SDI data with breast cancer incidence and mortality data during 1990 and 2016 available at the GBD 2016 database, this study aimed to describe the current patterns and trends in breast cancer incidence and mortality according to the country-level wellbeing, thus further exploring distributions and changes in the breast cancer associated health inequality according to the spectrum of development.

96 Material and Methods

Breast cancer was defined by the International Classification of Disease - Revision 10 with code
C50. Incidence and mortality data from 195 individual countries and predefined five SDI groups
between 1990 and 2016 were collected from the Global Health Data Exchange database⁵. Annual
incidence and mortality rates by a 5-year age bracket from age 15 to 95+ were extracted for each
involved country. Detailed methods pertaining to estimation of age-standardized incidence and
mortality rate (ASIR and ASMR) per 100,000 population had been previously described in the

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1 2 2		
3 4 5	103	GBD 2016 reports ^{3, 4} . Women aged between 50 to 69 constituted the major population
6 7	104	participating in regular screening programs. We further calculated age-specific incidence and
8 9	105	mortality rates per 100,000 population into three subgroups by age: 15-49, 50-69 and 70+, which
10 11	106	were adjusted within age groups in terms of the new world population age-standard ³ . Mortality-
12 13	107	to-incidence (MI) ratio was calculated by dividing the breast cancer mortality rate for a given
14 15	108	year, age-group, country or SDI group by its corresponding incidence rate.
16 17 18	109	
19 20	110	Patient and Public Involvement
21 22	111	Patients or public were not involved in the recruitment and conduct of this study.
23 24	112	
25 26	113	Ethics approval
27 28	114	Ethical approval was not obtained because the data included in this study were publicly available.
29 30	115	
31 32 33	116	Socio-demographic index
34 35	117	SDI is a comparable metric of overall development achieved by using an equal weighting of lag-
36 37	118	distributed income per capita, average years of education in the population over 15 years, and
38 39	119	total fertility rate ⁹ . SDI values on a scale of 0 to 1. A greater value of SDI implies higher level of
40 41	120	development. SDI data for the involved 195 countries from 1990 to 2016 were obtained from the
42 43	121	Global Health Data Exchange database ⁵ . Countries were grouped into quintiles based on their SDI
44 45	122	values in 2016: high, high-middle, middle, low-middle and low SDI groups. Detailed methods
46 47 48	123	describing computation of the SDI as well as the choice of the quintile cutoffs were reported
48 49 50	124	elsewhere ^{1, 3} .
50 51 52	125	
53 54	126	Gini coefficient and concentration index
55 56	127	Gini coefficient and concentration index drawn from the field of economics were used to measure
57 58	128	breast cancer associated health inequality in our study ^{10, 11} . Gini coefficient was calculated based
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on the Lorenz curve, and it ranged from 0 to 1, 0 representing perfect equality and 1 total inequality¹¹. Annual ASIRs, ASMRs, age-specific incidence and mortality rates and MI ratios of breast cancer for 195 countries were used to calculate the Gini coefficients and to find out the trends in between-country health inequality during 1990 and 2016. Concentration index was derived from the concentration curve and commonly used to measure socioeconomic-related health inequality¹². Concentration indexes were computed by relating the above breast cancer metrics to corresponding national SDIs. The value of this index varies between -1 and +1. Positive (negative) value of the concentration index indicated the disease burden owing to the occurrence or death of breast cancer was more concentrated in countries with high (low) levels of development measured by SDI¹². The absolute value demonstrates the degree of a "pro-developed" or "pro-underdeveloped" distribution in health limitations. Zero means an absence of inequality associated to the socioeconomic gradient instead of the absence of inequality. Statistical analyses For a normal distribution but heterogeneity in variances of incidence, mortality and MI ratio data, one-way ANOVA was performed to determine the statistical significance of differences in incidence rates, mortality rates and MI ratios across SDI-based country groups, followed by pairwise comparisons using Tamhane T2 test¹³. Liner regression model was used to test for the correlation between breast cancer indicators and SDI values. Joinpoint piecewise linear regression

analysis was performed to identify time points when significant changes occurred as well as

temporal trends in age-standardized and age-specific incidence and mortality rates during 1990

and 2016¹⁴. Default parameters were used, except for setting the minimum number of data points

between two joints and at either end of the data to 5. To avoid over-fitting at the truncating points,

maximum number of joinpoints was defined as 2. The best-fit point where the rate had changed

prominently was decided by means of a permutation test, and the P value for each permutation

test was estimated using Monte Carlo methods¹⁴. Statistics on annual percent change (APC) for

each segment and average annual percent change (AAPC) for the overall period were summarized
using the optimal joinpoint model. All joinpoint trend analyses were undertaken via the joinpoint
statistical software (Version 4.5.0.1) from the surveillance research program of the United States
National Cancer Institute¹⁵. The Gini coefficient was computed by the AINEQUAL module¹⁶,
and the concentration index by the CONINDEX module¹⁷ using Stata 14.0 software (Stata Corp,
Texas, USA). Other statistical analyses were performed with SPSS 20.0 (IBM Corp, Chicago,
USA).

163 Results

164 Current profiles in breast cancer incidence and mortality according to SDIs

Figure 1 showed distinct distributions of counts and proportions of new cases and deaths due to breast cancer in five SDI groups in 2016. There were 719 thousand new cases in high SDI countries, about 20 times of that of 37 thousand in low SDI groups. Death number in these two groups were 162 and 32 thousand, respectively. About half of the new cases occurred in women aged 50 to69 across all SDI groups. In countries belonging to middle, low-middle or low SDI group, more than one third new cases happened in young ages between 15 and 49, along with more proportion of deaths in this age group. Deaths in the age of 70 or elder, by contrast, made up 50.9% of total breast cancer deaths in high SDI countries.

One-way ANOVA suggested significant differences in both age-standardized and age-specific incidence rates and MI ratios (P < 0.01), but did not imply discrepancies of mortality rates among countries in different SDI groups. Pairwise comparisons indicated lower MI ratios in countries representing the higher level of development based on SDI, where the mortality rates were not proportional to their high incidence rates (Figure 2). The Incidence rates in all age groups were shown to have a positive dose-response relationship with SDIs, otherwise than a negative dose-response relationship between MI ratios and SDIs (Figure 3). Besides, the rate ratios exhibited well-fitting linear relationships in all age groups, whereas the incidence and mortality rates in 181 elder age groups were more scattered across countries with varied SDIs.

183 Temporal trends in breast cancer incidence and mortality across SDI groups

According to the joinpoint trend analyses (Table 1), the ASIRs in high and high-middle SDI groups plateaued after a quick increase at early 1990s. The ASIRs in the high SDI group even displayed a declining trend by 0.1% per year since 2000. However, significant increases were found in middle, low-middle and low SDI groups through the whole period from 1990 to 2016 (Supplementary figure 1A). The AAPC in ASIR for the middle SDI group was 2.1%, far ahead of increases in other groups. Trends of incidence rates in groups of 15-49, 50-69 and 70+ years old were comparable with those of ASIRs across SDI groups (Supplementary table 1 and figure 1B).

Changes in ASMRs were contradictory across SDI groups as shown in table 2 and supplementary figure 2A. In the high SDI group, the ASMR continuously decreased from 24.2 in 1990 to 17.6 in 2016, with an AAPC of -1.3%. The ASMR in high-middle SDI group began to decline in 1994, and an accelerated decrease (APC: -1.9%) was witnessed between 2004 and 2016. The ASMR in the middle SDI group also slightly diminished from 2002 to 2016 with an average decrease of 0.5% per year. Opposite trends were displayed in the low-middle (2002-2016, APC: 0.7%) and low SDI groups (2009-2016, APC: 0.8%), especially in recent years. Patterns of change in three age groups were similar with those of ASMR in each SDI group, but the spectrum of change differed (Supplementary table 2 and figure 2B). For example, our results showed a much less decrease in more developed regions and more increase in less developed regions in the mortality among the group aged 70+, which was much less-than-ideal.

204 Global health inequality related to breast cancer

205 The Gini coefficients for the incidence of breast cancer decreased continuously from 1990 to 2016

206 (Figure 4A), the values of which computed from ASIRs and incidence rates in the age groups of

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207 15-49, 50-69 and 70+ had dropped to 0.33, 0.30, 0.34 and 0.38 by 2016, compared with those of
208 0.38, 0.35, 0.39 and 0.43 in 1990, respectively. Similarly, the Gini coefficients calculated with
209 mortality rates in all age groups except the 15-49 group showed markedly declining trends during
210 the same period. On the contrary, the Gini coefficients, according to the distribution of MI ratios,
211 increased, which reached up to 0.29 in 2016 from a base of 0.23 in 1990.

The concentration indexes according to breast cancer age-standardized and age-specific incidence and mortality rates were all above zero in 1990, suggesting that the inequalities associated with socioeconomic development concentrated in countries with a higher level of development measured by SDI. Moreover, the concentration indexes for the 70+ group were much greater than those in others. As can be seen in Figure 4B, both the concentration indexes of incidence and mortality rates decreased between 1990 and 2016, and the rates of descent sped up since late 1990s. The concentration indexes computed with mortality rates in the age groups of 15-49 and 50-69 inclined to zero and became negative in 1998 and 2013, respectively. In contrast, the concentration indexes based on age-standardized and age-specific MI ratios were below zero, with values of -0.21, -0.22, -0.22 and -0.18 in 1990, and by 2016, the values of which had decreased to -0.28, -0.31, -0.30 and -0.25, respectively.

225 Discussion

The socioeconomic development associated inequality in global incidence of breast cancer has been decreasing since 1990. Still, countries with higher levels of development on the basis of SDIs were shown to have worse incidence burdens by 2016. In keeping with the opposite trends for mortality rates between countries with high and low SDIs, the concentration indexes of mortality fell and even turned to be negative in the age groups of 15-49 and 50-69 in recent years, pointing towards a transition in the concentration of mortality burdens from the developed to undeveloped regions. Conversely, both the overall inequality and the part correlated with

socioeconomic development in MI ratio - a health measure derived from the rate ratio of mortality
and incidence - increased from 1990 to 2016. In 2016, MI ratios showed distinct gradients from
the high to low SDI regions among all age groups.

With epidemiological data reported for specific countries, it has been a prevailing perception that inequalities existed in breast cancer incidence worldwide, especially between the high-income countries and LMICs¹⁸⁻²¹. However, evidence about the quantitative relationship between the breast cancer burdens and national socioeconomic development was still limited. On the basis of GLOBOCAN 2012 estimates, incidence burden due to breast cancer distributed with obvious disparities among countries in different levels of human development index $(HDI)^2$, which was in accordance with our results in the light of data from the GBD 2016 study and SDI-a newly developed indicator for socioeconomic status of a given country. The overall inequality in breast cancer incidence had not yet been eliminated and still concentrated in countries with higher levels of SDI. The prevalence of breast cancer is somewhat associated with a so-called western lifestyle (ie, specific reproductive patterns and excess body weight)^{22, 23}, making it a marker for the extent of development. Trend analyses in our study demonstrated a quick increasing in breast cancer incidence in countries belonging to the middle SDI group. This fact might suggest that countries with middle levels of SDI were undergoing rapid social and economic transitions²⁴. In many LMICs, burdens due to infection-related cancers, such as cervical, gastric and liver cancer, remained top ranking, instead of breast cancer^{1, 2}. Mammographic screening programs were generally implemented in high-income countries, especially for women aged 50 to 69 years²⁵⁻²⁷. Our subgroup analysis based on age conformed transient rises in incidence of women at this age group and subsequent falls in those elder than 70 years in high SDI countries.

The mortality rates from breast cancer did not differ significantly from the low to high SDI regions. Inequalities in deaths caused by breast cancer were possibly offset by better outcomes in more developed countries because of early detections and advanced treatments, and small scale of incidence but limited access to health cares in most LMICs^{28, 29}. Therefore, mortality rates could not well represent the exact trends and current status of death burdens caused by cancer.

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Cancer survival was another important indicator for death burden of malignancies. According to data from 59 countries in CONCORD-2 study³⁰, five-year survival for patients diagnosed with breast cancer during 2005-09 in the North America, Australia, Israel, Brazil, and most Northern and Western European countries had reached up to 85% or higher, while it remained 60% or lower in many LMICs, such as India, Mongolia, Algeria and South Africa. However, comprehensive survival data were scarce in most countries, especially in those with limited resources. It remained an important issue to conclude the extent of socioeconomic development associated inequalities in the survivorship of breast cancer and to compare the current survival status in each country across the world. Here in our study, we analyzed the trends of inequalities in breast cancer MI ratios, which evaluated the departure of mortality in relation to incidence from expectation and was suggested as an approximation for cancer survival³¹⁻³³. Our results indicated widening disparities in the MI ratios of breast cancer among countries with different levels of development. HDI was a metric composed by life expectancy at birth, mean and expected years of schooling and gross national income per capita³⁴. It was used by a few researches to investigate how macro-socioeconomic determinants correlated with national disease burdens^{2, 28, 35}. Nevertheless, it could be confusing when a measure of overall health (life expectancy at birth) was one important component of the index used to evaluate how socioeconomic development influences health. In the GBD 2015 study, SDI was first developed to identify where countries or geographic areas sit on the spectrum of societal development⁸. As reproductive patterns were proved to be risk factors for breast cancer²², SDI, a yardstick constructed based on measures of income, education, and fertility rate, might be more appropriate to weigh the influence of socioeconomic status on the global patterns and trends in health inequality resulting from breast cancer.

To our knowledge, this study was a first overview about the global patterns and trends in breast cancer incidence and mortality in relation to levels of SDI. Limitations should also be considered when the results of our investigation were interpreted. Firstly, this study was subject to the limitations of the GBD 2016 study, such as data sources and statistical assumptions, which were detailed in the GBD 2016 reports^{3, 4}. Estimates for most LMICs with poor-quality law data could

be biased, especially for MI ratios calculated based on incidence and mortality data. Better primary data from national wide observational studies or cancer registries are needed for these countries in the future. Secondly, joinpoint analysis is sensitive to parameter settings. The pattern groupings of trends in incidence and mortality may change if parameters are set differently or more data are involved in the analysis. Thirdly, district data within each country, information on disease stage or histopathological characteristics were unavailable in GBD 2016 database. In the United States, for example, nationwide distributions and trends in breast cancer burdens differed by ethnicity, state, disease stage and intrinsic subtype^{36, 37}. More studies are needed to further understand disparities due to these biases worldwide.

297 Conclusions

The socioeconomic development associated health inequality in breast cancer incidence has been declining since 1990. Countries undergoing an economic and lifestyle transition were experiencing a growing prevalence of breast cancer. Nonetheless, the incidence burden still concentrated in countries with higher SDIs by 2016. These findings highlighted that public health clinicians and cancer control specialists should pay more attention to the primary prevention of breast cancer especially in those high-incidence countries. Breast cancer mortality, in less developed countries, deviated from expectation seriously in relation to their low incidence. This situation even deteriorated with ever-increasing between-country inequalities for rate ratios from 1990 until 2016. Planners should try to carry out more sensitive and cost-effective detection and treatment interventions, particularly in low and low-middle SDI settings with limited healthcare resources, so as to combat premature deaths caused by breast cancer.

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6 7	314	
8 9	315	
10 11 12	316	Competing interests
13 14	317	The authors declared no conflict of interest.
15 16	318	
17 18	319	
19 20 21	320	Data sharing statement
22 23	321	The data used in this study is collected from the Global Health Data Exchange database.
24 25	322	
26 27	323	
28 29 30	324	Authors' contributions
31 32	325	Kaimin Hu designed the study, extracted and analyzed the data and prepared the figures. Peili
33 34	326	Ding and Yinan Wu wrote the first draft of the manuscript. Tao Pan and Wei Tian revised the
35 36	327	paper critically. Suzhan Zhang was the principle investigator and designed the study. All authors
37 38	328	commented on manuscript drafts, approved the final version and declared no conflict of interest.
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Figure legend:

Figure 1. Distribution of breast cancer incidence and mortality counts and proportions by age at the global level and SDI quintiles in 2016.

Figure 2. Patterns for breast cancer, in terms of age-standardized and age-specific (A) incidence rates, (B) mortality rates and (C) MI ratios by SDI group in 2016. Black squares represent the medians of all rates from the countries included in each SDI level. Lines denote the interquartile ranges. *P < 0.05, ***P < 0.001.

Figure 3. Relationship between the incidence rates, mortality rates, MI ratios and SDI levels by age. The best-fitted line according to linear regression analysis was showed.

Figure 4. Trends in (A) the Gini coefficients and (B) concentration indexes computed from health metrics of breast cancer, in terms of age-standardized and age-specific incidence rates, mortality rates and MI ratios, across countries worldwide during 1990 and 2016.

Table

 Table 1. Breast cancer age-standardized incidence rates in 1990, 2016 and joinpoint trend analysis between 1990 and 2016 by SDI settings.

	1990			2016		Trend1		Trend2		Trend3		AAPC (%)	
	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	AAI C (70)
Global	815.4	41.0	39.8-43.1	1681.9	45.6	43.6-48.2	1990-1995	1.5*	1995-2000	0.2*	2000-2016	0.1*	0.4*
High SDI	463.0	83.6	82.0-85.1	719.4	88.9	86.5-93.0	1990-1995	1.3*	1995-2000	0.2*	2000-2016	-0.1*	0.2*
High-middle SDI	153.1	37.1	36.0-38.6	329.0	46.5	42.9-50.5	1990-1995	3.2*	1995-2016	0.4*			0.9*
Middle SDI	116.0	19.3	18.1-22.1	408.8	33.2	30.4-36.0	1990-2000	2.6*	2000-2009	2.0*	2009-2016	1.5*	2.1*
Low-middle SDI	69.7	17.7	14.9-21.9	187.0	23.1	21.1-27.8	1990-1999	1.3*	1999-2010	0.4*	2010-2016	1.8*	1*
Low SDI	15.6	16.3	13.6-20.9	37.0	18.8	17.1-20.8	1990-1995	0.9*	1995-2010	0.3*	2010-2016	0.8*	0.5*

95% UI, 95% uncertainty interval; ASR, age-standardized rate; APC, annual percent change; AAPC, average annual percent change. *P < 0.05.

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	1990			2016			Trer	nd1	Trer	nd2	Trer	AAPC (%)	
	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	AAPC (70)
Global	336.9	17.2	16.4-18.8	535.3	14.6	13.8-15.6	1990-1994	0.8*	1994-2002	-0.6*	2002-2016	-1.1*	-0.7*
High SDI	141.1	24.2	23.8-24.7	162.1	17.6	16.9-18.3	1990-1995	-0.6*	1995-2010	-1.6*	2010-2016	-1*	-1.3*
High-middle SDI	65.5	15.9	15.2-17.0	97.9	13.7	12.2-15.7	1990-1994	2.9*	1994-2004	-0.4*	2004-2016	-1.9*	-0.6*
Middle SDI	65.5	11.6	10.7-13.7	138.5	11.8	10.8-12.7	1990-2002	0.8*	2002-2016	-0.5*			0.1*
Low-middle SDI	50.7	13.6	11.4-17.3	104.5	13.8	12.1-17.2	1990-1999	0.7*	1999-2012	-0.6*	2012-2016	0.7*	0
Low SDI	13.9	15.7	13.0-20.2	31.9	17.6	15.7-19.9	1990-1996	0.9*	1995-2009	0	2009-2016	0.8*	0.5*
								19V	0	74			

Global

High SDI

Middle SDI

Low SDI

0%

10% 20% 30%

40% 50% 60% 70% 80%

= 15-49 years = 50-69 years = 70+ years

Proportion of total case (%)

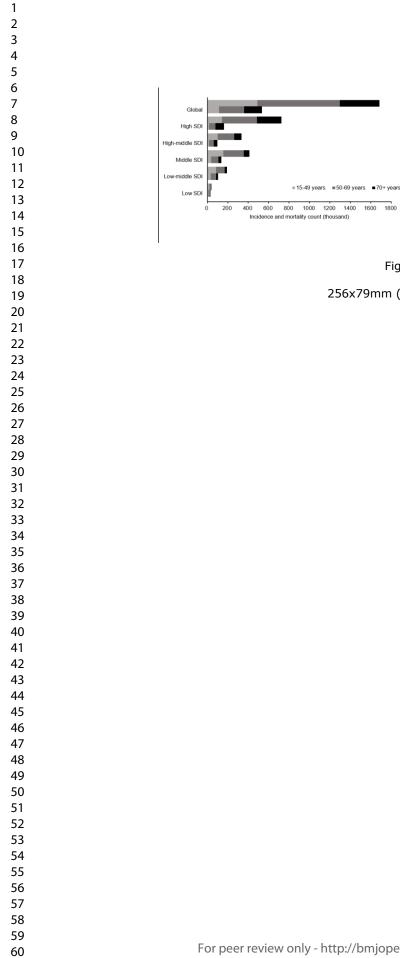
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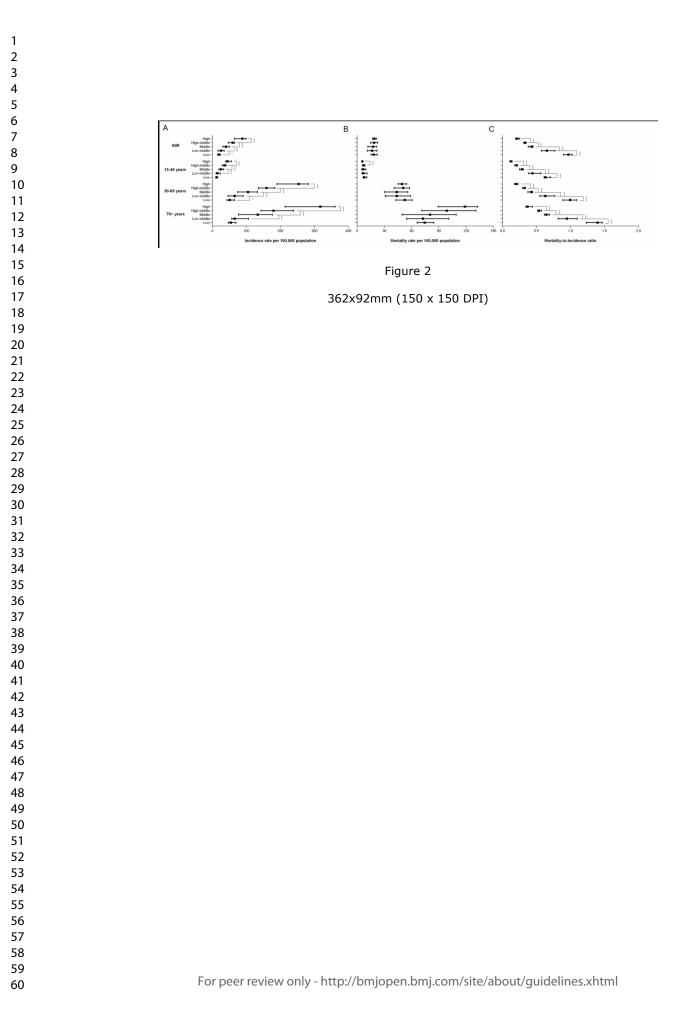
High-middle SDI

Low-middle SDI

Figure 1

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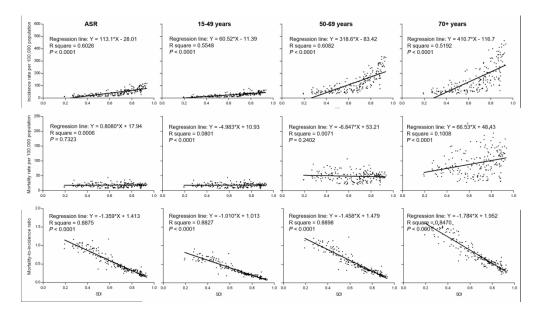
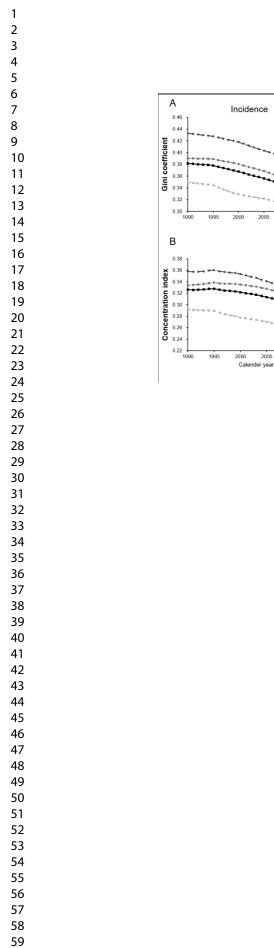


Figure 3

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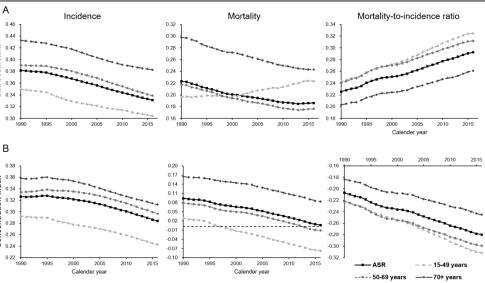


Figure 4

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Supplementary figures and tables

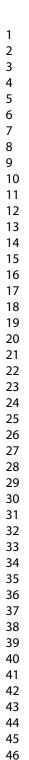
			199	0		201	6	Tren	nd1	Tren	ld2	Trei	nd3	- AAPC (%
	Age	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	- AAPC (%
	15-49 years	248.2	22.7	21.0-24.6	484.0	25.4	23.6-27.5	1990-1995	1.6*	1995-2011	0	2011-2016	0.7*	0.4*
Global	50-69 years	372.8	107.0	102.4-113.5	809.6	124.4	117.4-132.8	1990-1995	1.4*	1995-2005	0.6*	2005-2016	0.2*	0.6*
	70+ years	194.4	165.8	159.8-172.8	388.3	167.8	159.8-178.5	1990-1995	1.7*	1995-2008	-0.4*	2008-2016	-0.2*	0.1*
	15-49 years	111.6	47.3	45.3-49.4	137.8	49.4	46.6-52.6	1990-1995	1.0*	1995-2000	0.2	2000-2016	-0.1*	0.2*
High SDI	50-69 years	208.8	225.6	216.1-235.4	345.1	252.7	239.8-268.1	1990-1995	1.5*	1995-2000	0.8*	2000-2016	0*	0.5*
	70+ years	142.7	310.7	297.6-324.2	236.5	303.7	287.7-324.7	1990-1995	1.4*	1995-2008	-0.6*	2008-2016	-0.1*	-0.1*
	15-49 years	48.8	24.8	23.0-26.9	96.9	29.0	25.3-33.0	1990-1995	3.3*	1995-2000	-1.5*	2000-2016	0.4*	0.6*
High-middle SDI	50-69 years	76.1	101.6	94.5-110.0	163.0	128.1	113.7-143.2	1990-1994	3.5*	1994-2006	0.8*	2006-2016	0	0.9*
	70+ years	28.2	106.1	99.1-114.0	69.2	146.0	133.2-161.1	1990-1995	4.0*	1995-2007	1.2*	2007-2016	-0.1*	1.3*
	15-49 years	51.0	14.0	12.2-16.2	152.2	22.9	20.4-25.6	1990-1995	2.9*	1995-1999	2.2*	1999-2016	1.6*	1.9*
Middle SDI	50-69 years	50.5	50.8	46.2-59.0	201.0	91.0	81.0-100.8	1990-2004	2.7*	2004-2010	2.0*	2010-2016	1.5*	2.3*
	70+ years	14.4	52.8	48.5-62.3	55.6	89.8	80.8-98.7	1990-2000	2.4*	2000-2010	2.2*	2010-2016	1.2*	2.1*
	15-49 years	30.6	12.4	9.2-16.2	79.5	16.0	14.2-19.1	1990-2000	1.3*	2000-2010	0.2*	2010-2016	1.8*	1.0*
Low-middle SDI	50-69 years	31.4	47.1	38.9-59.8	84.4	61.9	54.7-76.1	1990-1999	1.3*	1999-2010	0.4*	2010-2016	1.9*	1.0*
	70+ years	7.6	50.6	42.9-63.7	23.1	65.2	57.8-82.6	1990-2000	1.0*	2000-2010	0.7*	2010-2016	1.4*	1.0*
	15-49 years	7.0	10.9	8.1-15.5	16.4	12.2	10.2-14.6	1990-1995	1.1*	1995-2010	0.1*	2010-2016	0.8*	0.4*
Low SDI	50-69 years	7.0	43.9	35.5-56.2	16.4	50.7	44.2-57.4	1990-1998	0.8*	1998-2010	0.3*	2010-2016	0.8*	0.5*
	70+ years	1.6	48.5	39.8-60.8	4.3	57.3	50.5-63.5	1990-2010	0.6*	2010-2016	0.8*			0.6*

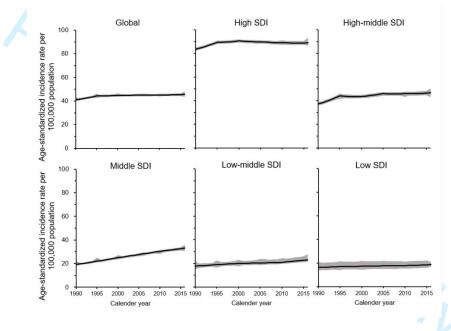
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	•		1990			2016			Trend1		Trend2		Trend3	
	Age –	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	- AAPC (%)
	15-49 years	80.7	7.4	6.6-8.4	114.3	6.0	5.6-6.6	1990-1995	0.8*	1995-2012	-1.4*	2012-2016	-0.3*	-0.8*
Global	50-69 years	156.5	44.9	42.2-49.4	246.9	38.0	35.6-41.4	1990-1994	0.5*	1994-2003	-0.5*	2003-2016	-1.1*	-0.7*
	70+ years	99.6	85.6	81.8-92.2	174.1	74.5	69.9-80.5	1990-1994	0.9*	1994-2002	-0.5*	2002-2016	-1.0*	-0.5*
	15-49 years	20.9	8.9	8.6-9.1	16.0	5.7	5.4-6.0	1990-1994	-1.1*	1994-2012	-2.1*	2012-2016	-0.4	-1.7*
High SDI	50-69 years	59.8	64.2	62.2-66.3	63.5	46.2	43.9-48.5	1990-1995	-0.8*	1995-2012	-1.5*	2012-2016	-0.7*	-1.3*
	70+ years	60.4	128.9	123.9-134.0	82.6	99.5	93.2-106.4	1990-1995	0	1995-2009	-1.3*	2009-2016	-1.0*	-1.0*
High-middle SDI	15-49 years	14.5	7.5	6.9-8.2	17.2	5.2	4.5-5.9	1990-1994	2.7*	1994-2016	-2.2*			-1.5*
	50-69 years	33.0	43.9	40.7-48.0	46.2	36.5	31.4-43.2	1990-1994	2.7*	1994-2004	-0.4*	2004-2016	-2.1*	-0.7*
	70+ years	17.9	67.9	63.2-74.0	34.4	71.1	62.6-82.0	1990-1994	3.5*	1994-2003	0.9*	2003-2016	-1.2*	0.2*
Middle SDI	15-49 years	22.5	6.3	5.6-7.3	37.0	5.6	5.0-6.2	1990-2002	0.3*	2002-2008	-1.8*	2008-2016	-0.7*	-0.5*
	50-69 years	30.9	31.1	28.2-37.1	70.0	31.8	28.8-34.7	1990-2002	0.8*	2002-2012	-0.2*	2012-2016	-0.9*	0.1*
	70+ years	12.1	45.8	41.6-57.5	31.5	51.7	46.8-56.7	1990-2002	1.1*	2002-2011	0.2*	2011-2016	-0.7*	0.5*
	15-49 years	17.7	7.3	5.5-9.7	33.1	6.7	5.8-8.2	1990-1999	0.5*	1999-2012	-1.2*	2012-2016	0.6	-0.4*
Low-middle SDI	50-69 years	25.8	38.9	31.4-50.6	51.2	37.9	32.7-48.1	1990-1999	0.7*	1999-2012	-0.8*	2012-2016	0.5	-0.1*
	70+ years	7.2	47.8	37.8-66.7	20.2	57.5	47.8-74.6	1990-2001	0.9*	2001-2011	0.3*	2011-2016	1.0*	0.7*
	15-49 years	5.0	7.9	5.8-11.4	10.9	8.3	6.7-10.3	1990-1995	1.2*	1995-2010	-0.3*	2010-2016	0.7*	0.2*
Low SDI	50-69 years	7.0	44.4	35.7-58.2	15.7	49.1	42.1-56.5	1990-1996	1.0*	1996-2009	0	2009-2016	0.7*	0.4*
	70+ years	1.9	59.9	48.7-76.3	5.2	72.6	62.3-82.3	1990-1995	1.0*	1995-2009	0.5*	2009-2016	1.1*	0.8*

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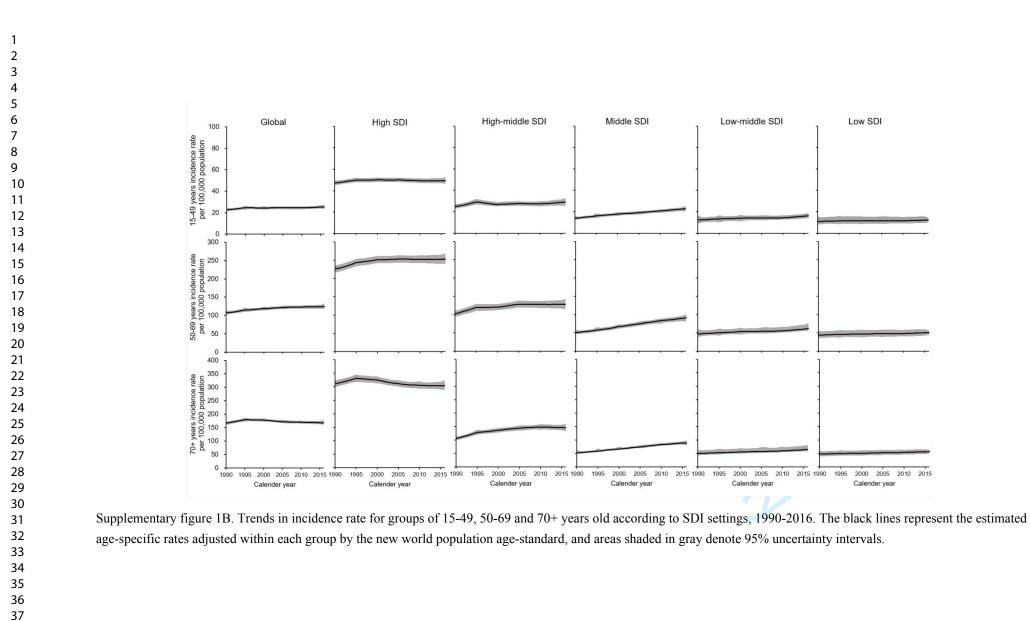




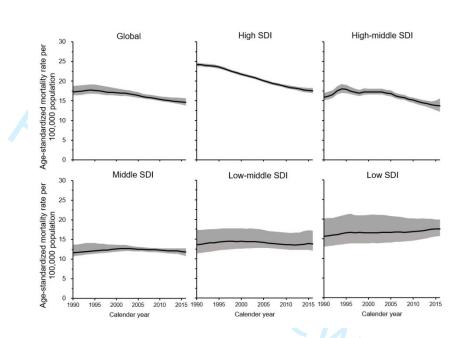
Supplementary figure 1A. Trends in breast cancer age-standardized incidence rate (ASIR) according to SDI settings, 1990-2016. The black lines represent the estimated ASIRs, and areas shaded in gray denote 95% uncertainty intervals.

 Low SDI

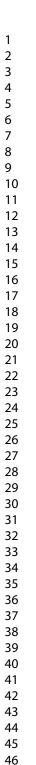
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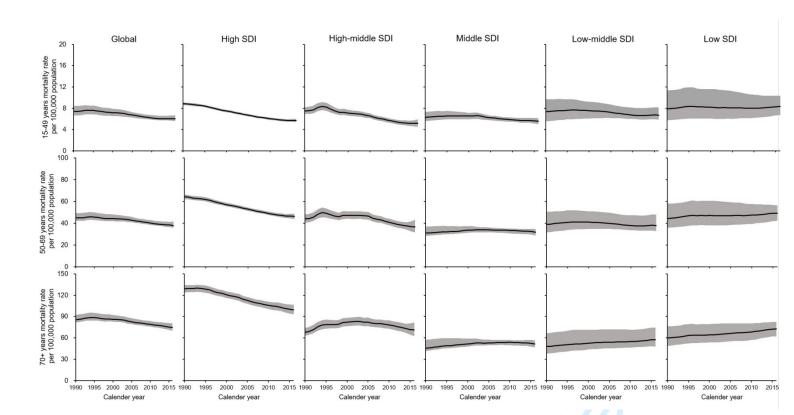


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Supplementary figure 2A. Trends in breast cancer age-standardized mortality rate (ASMR) according to SDI settings, 1990-2016. The black lines represent the estimated ASMRs, and areas shaded in gray denote 95% uncertainty intervals.





Supplementary figure 2B. Trends in mortality rate for groups of 15-49, 50-69 and 70+ years old according to SDI settings, 1990-2016. The black lines represent the estimated age-specific rates adjusted within each group by the new world population age-standard, and areas shaded in gray denote 95% uncertainty intervals.

Section/Topic	ltem #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	#1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	#2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	#4
Objectives	3	State specific objectives, including any prespecified hypotheses	#4
Methods			
Study design	4	Present key elements of study design early in the paper	#5-6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	#5-6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	#5-6
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	#5-6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	#5-6
Bias	9	Describe any efforts to address potential sources of bias	#5-6
Study size	10	Explain how the study size was arrived at	#5-6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	#5-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	#6-7
		(b) Describe any methods used to examine subgroups and interactions	#6-7
		(c) Explain how missing data were addressed	#6-7
		(d) If applicable, describe analytical methods taking account of sampling strategy	#6-7
		(e) Describe any sensitivity analyses	#6-7

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cross-sectional studies*

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Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility,	#7-9
		confirmed eligible, included in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	#7-9
		(c) Consider use of a flow diagram	#7-9
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	#7-9
		(b) Indicate number of participants with missing data for each variable of interest	#7-9
Outcome data	15*	Report numbers of outcome events or summary measures	#7-9
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence	#7-9
		interval). Make clear which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	#7-9
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	#7-9
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	#7-9
Discussion			
Key results	18	Summarise key results with reference to study objectives	#9-10
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	#11-12
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	#9-11
Generalisability	21	Discuss the generalisability (external validity) of the study results	#9-11
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on	#12-13
		which the present article is based	

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Global Patterns and Trends in Breast Cancer Incidence and Mortality According to Socio-demographic Indices: An Observational Study Based on the Global Burden of Diseases

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Primary Subject Heading :	Epidemiology
Secondary Subject Heading:	Oncology, Global health
Keywords:	breast cancer, mortality-to-incidence ratio, socio-demographic index, Gini coefficient, concentration index

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3 4 5 6	1	Title page:
7 8 9	2	Global Patterns and Trends in Breast Cancer Incidence and
10 11 12	3	Mortality According to Socio-demographic Indices: An
13 14 15	4	Observational Study Based on the Global Burden of Diseases
16 17	5	
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20 21	7	Peili Ding ^{1,#}
22 23	8	Yinan Wu ¹
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Objectives: Disparities exist in the global burden of breast cancer. We aimed to investigate the

recent patterns and trends in the incidence and mortality rate of breast cancer; we also assessed

Methods: Estimates of breast cancer incidence and mortality between 1990 and 2016 were

obtained from the Global Health Data Exchange database. Data in 2016 were then described using

the age-standardized and age-specific incidence, mortality and mortality-to-incidence (MI) ratio,

according to the socio-demographic index (SDI) levels. Trends were assessed by measuring the

annual percent change using the joinpoint regression. Inequalities with respect to between-country

Results: Countries with higher SDI levels had a worse disease incidence burden in 2016, while

the health inequality in breast cancer incidence decreased since 1990. The mortality rate showed

opposite trends between high and low SDI countries, with the concentration indices declining and

even turning negative in the 15-49 and 50-69 age groups, suggesting an increase in the mortality

burden in undeveloped regions. Conversely, inequality related to the MI ratio increased. In 2016,

Conclusions: Patterns and trends in breast cancer incidence and mortality closely correlated with

SDI levels. Our findings highlighted that the two pressing needs in the next decades are the

primary prevention of breast cancer in high SDI countries with high incidence and the

the MI ratios showed distinct gradients from high to low SDI regions in all age groups.

health systems were measured using the Gini coefficients and concentration indices.

health inequalities related to breast cancer according to socioeconomic development factors.

Design: An observational study based on the Global Burden of Diseases.

26 Email: <u>zrsj@zju.edu.cn</u>

29 Word count: 3065

Abstract:

52	development of cost-effective diagnosis and treatment interventions in low SDI countries with
53	poor MI ratios.
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56	Keywords: breast cancer, mortality-to-incidence ratio, socio-demographic index, Gini
57	coefficient, concentration index
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60	Article summary
61	Strengths and limitations of this study :
62	• This study was the first overview of the current global patterns and long-term period trends
63	in breast cancer burden, stratified according to the levels of socio-demographic development.
64	• Gini coefficient and concentration index were used to evaluate the extent, trend and
65	concentration of health inequality caused by breast cancer.
66	• The study is limited by the use of secondary estimated data from the Global Burden of
67	Disease database, as the estimates for some countries with poor-quality raw data could be
68	biased.
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71	Introduction
72	Breast cancer is the most common cancer and the first leading cause of cancer death among
73	women, with an estimated 2.4 million new cases and 523,000 deaths worldwide in 2015 ¹ . Where
74	and in which socioeconomic status women live can significantly affect their odds of developing
75	breast cancer and whether she will ultimately survive ¹ . The incidence rate in high-income
76	countries is higher than in low- and middle-income countries (LMICs) ¹⁻³ . Because of better

awareness of the risk factors, regular mammography screening and sufficient and effective medical services, the breast cancer mortality rates in many high-income countries significantly declined in the last decades, and the incidence rates kept stable or even decreased since the 2000. Breast cancer is not confined to high-income countries. The cancer-related mortality rates in LMICs do not correspond to their low incidence rates³⁻⁵. In many resource-poor settings or countries undergoing rapid transition, both the incidence and mortality rates of breast cancer increased, partially due to changes in the reproductive patterns and delayed diagnosis and treatments, independently from the increase in breast cancer awareness^{6, 7}.

Disparities do exist in the global burden of breast cancer, especially among counties with different development levels. Understanding the exact correlations between the disease burden and the socioeconomic status is critical for the world's health policymakers to formulate appropriate measures according to local conditions. The Socio-demographic Index (SDI) was first introduced in the Global Burden of Disease Study 2015 (GBD 2015) by the Institute for Health Metrics and Evaluation to quantitatively measure the development of a country or region⁸. Through combining the latest SDI data with breast cancer incidence and mortality data between 1990 and 2016, this study aimed to describe the current patterns and trends in breast cancer incidence and mortality according to the country-level wellbeing. This approach will enable a comprehensive investigation on the distributions and changes in the breast cancer-associated health inequalities, according to the spectrum of countries' development.

98 Material and Methods

Breast cancer was defined by the International Classification of Disease-Revision 10 with code
C50. Incidence and mortality data between 1990 and 2016 from 195 individual countries,
belonging to 5 predefined SDI groups were collected from the Global Health Data Exchange
database⁵. The annual incidence and mortality rates, stratified in 5-year age bracket from age 15

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103	to 95+, were extracted for each involved country. Detailed methods for the estimation of age-
104	standardized incidence and mortality rate (ASIR and ASMR, respectively) per 100,000
105	population had been previously described in the GBD 2016 reports ^{3, 4} . Women aged between 50
106	and 69 years constituted the major population participating in regular screening programs. We
107	further calculated the age-specific incidence and mortality rates per 100,000 population into three
108	subgroups: 15-49, 50-69 and 70+ years of age, which were adjusted following the new world
109	population age-standard ³ . Mortality-to-incidence (MI) ratio was calculated by dividing the breast
110	cancer mortality rate for a given year, age-group, country, and SDI group by its corresponding
111	incidence rate.
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113	Patient and Public Involvement
114	Patients or public were not involved in the recruitment and conduct of this study.
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115	Ethics approval
	Ethics approval Ethical approval was not obtained because the data included in this study were publicly available.
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116 117 118 119 120 121 122	Ethical approval was not obtained because the data included in this study were publicly available. Socio-demographic index (SDI) SDI is a comparable metric of overall development calculated using an equal weighting of lag- distributed income per capita, average years of education in the population over 15 years, and total fertility rate ⁹ . SDI is expressed on a scale of 0 to 1. A greater value of SDI implies a higher
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129 Gini coefficient and concentration index

The Gini coefficient and concentration index, used in the economics field, were adopted to measure breast cancer-associated health inequalities in our study^{10, 11}. The Gini coefficient was calculated based on the Lorenz curve. It ranges between 0 and 1, with 0 representing perfect equality and 1 total inequality¹¹. Annual ASIRs, ASMRs, age-specific incidence, age-specific mortality rates and MI ratios of breast cancer from 195 countries were used to calculate the Gini coefficients and to describe the health inequality trend between countries from 1990 to 2016. The concentration index, derived from the concentration curve, is commonly used to measure socioeconomic-related health inequality¹². Concentration indices were computed by relating the abovementioned breast cancer metrics to the corresponding national SDIs. The value of the index varies between -1 and +1. A positive (negative) value of the concentration index indicated that the breast cancer disease burden was more concentrated in countries with high (low) levels of development, as measured by the SDI¹². The absolute value is related to the degree of a "pro-developed" or "pro-underdeveloped" distribution in health limitations. A value of zero means an absence of inequality associated with the socioeconomic gradient, rather than an absolute absence of inequality.

146 Statistical analyses

For comparing data with a normal distribution but heterogeneity in variances, such as the incidence, mortality and MI ratio across 5 SDI-based country groups, we performed the one-way ANOVA, followed by pairwise comparisons using the Tamhane T2 test¹³. The liner regression model was used to test the correlation between the indicators for breast cancer burden and SDI values. The joinpoint piecewise linear regression analysis was performed to identify the time points where significant changes occurred as well as to identify temporal trends for the age-standardized and age-specific incidence and mortality rates between 1990 and 2016¹⁴. Default parameters were used, except the minimum number of data points between two joints and at either

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end of the data, which was set to 5. To avoid over-fitting at the truncating points, the maximum number of joinpoints was defined as 2. The best-fit point where the rate had significantly changed was assessed with a permutation test, and the P value for each permutation test was estimated using Monte Carlo methods¹⁴. Statistics on the annual percent change (APC) for each segment and the average annual percent change (AAPC) for the overall period were summarized using the optimal joinpoint model. All joinpoint trend analyses were performed using the joinpoint statistical software (Version 4.5.0.1) from the surveillance research program of the United States National Cancer Institute¹⁵. The Gini coefficient was computed using the AINEOUAL module¹⁶. and the concentration index with the CONINDEX module¹⁷ by the Stata 14.0 software (Stata Corp. Texas, USA). Other statistical analyses were performed with the SPSS 20.0 software (IBM Corp, Chicago, USA). èc (c

Results

Current profiles in breast cancer incidence and mortality rates according to SDIs

Figure 1 showed the year 2016 distribution of counts and proportions of new cases and deaths due to breast cancer in the 5 SDI groups. There were 719,000 new cases in high SDI countries, about 20 times higher than the 37,000 in low SDI countries. The number of deaths in these two groups were 162,000 and 32,000, respectively. About half of the new cases occurred in women aged between 50 and 69 years across all SDI groups. In countries belonging to the middle, low-middle, and low SDI group, more than one-third of the new cases appeared in the 15-49 age group, along with higher death proportion in this age group. By contrast, deaths in the age of 70 or older accounted for 50.9% of the total breast cancer-related deaths in high SDI countries.

The one-way ANOVA analysis suggested significant differences for both the age-standardized and the age-specific incidence rates and MI ratios (P < 0.01), but not for the mortality rates among countries belonging to different SDI groups. Pairwise comparisons indicated lower MI ratios in countries representing the highest level of development based on SDI, where the mortality rates

181 were not proportional to their high incidence rates (Figure 2). The Incidence rates in all age groups 182 were shown to have a positive relationship with SDI, while we observed a negative relationship 183 between MI ratios and SDI (Figure 3). Moreover, the MI ratios exhibited well-fitting linear 184 relationships in all age groups, whereas the incidence and mortality rates in the elder age groups 185 were more scattered across countries with different SDIs.

187 Temporal trends in breast cancer incidence and mortality across SDI groups

According to the joinpoint trend analysis (Table 1), the ASIR in high and high-middle SDI groups reached a plateau after a quick increase in the early 1990s. The ASIR in the high SDI group even showed a declining trend of 0.1% per year since the year 2000. In contrast, significant increases were found in the middle, low-middle and low SDI groups through the whole period (Supplementary figure 1A). The ASIR AAPC for the middle SDI group was 2.1%, the highest increase among the SDI groups. The trend of incidence rates changes in the 15-49, 50-69, and 70+ age groups was comparable with the ASIR values across SDI groups (Supplementary table 1 and supplementary figure 1B).

Changes in ASMR were contradictory across SDI groups, as shown in table 2 and supplementary figure 2A. In the high SDI group, the ASMR continuously decreased from 24.2 in 1990 to 17.6 in 2016, with an AAPC of -1.3%. The ASMR in the high-middle SDI group began to decline in 1994, and we observed an accelerated decrease (APC: -1.9%) between 2004 and 2016. The ASMR in the middle SDI group also slightly diminished from 2002 to 2016, with an average decrease of 0.5% per year. Opposite trends were instead observed in the low-middle (2002-2016, APC: 0.7%) and low SDI groups (2009-2016, APC: 0.8%), especially in recent years. The change patterns in the 3 age groups were similar to the ASMR in each SDI group, but the degree of change differed (Supplementary table 2 and supplementary figure 2B). For example, our results showed, among the 70+ age group, a lower decrease in more developed regions and a higher increase in less developed regions in the mortality rate.

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207208 Global health inequality related to breast cancer

The Gini coefficients for the incidence of breast cancer decreased continuously from 1990 to 2016 (Figure 4A). The values calculated from the ASIRs and the incidence rate in the 15-49, 50-69 and 70+ age groups dropped to 0.33, 0.30, 0.34 and 0.38 by 2016, starting from 0.38, 0.35, 0.39 and 0.43 in 1990, respectively. Similarly, the Gini coefficients calculated with mortality rates showed markedly declining trends during the same period in all age groups, except the 15-49 group. On the contrary, the Gini coefficients calculated with the MI ratios distribution increased, reaching a value of 0.29 in 2016 from the 0.23 in 1990.

216 The concentration indices according to the breast cancer age-standardized and age-specific 217 incidence and mortality rates were all above zero in 1990, suggesting that the inequalities 218 associated with socioeconomic development were more concentrated in countries with a higher 219 level of development, as measured by SDI. Moreover, the concentration indices for the 70+ group 220 were higher than those for the other groups. Both the concentration indices of incidence and 221 mortality rate decreased between 1990 and 2016, with the decrease rate accelerating since late 222 1990s, as shown in figure 4B. The mortality rate concentration indices in the age groups of 15-49 223 and 50-69 crossed the zero and became negative in 1998 and 2013, respectively. In contrast, the 224 concentration indices based on age-standardized and age-specific MI ratios were already below zero in 1990, with values of -0.21, -0.22, -0.22 and -0.18. By 2016, the values had decreased to -225 226 0.28, -0.31, -0.30 and -0.25.

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229 Discussion

The socioeconomic development-associated inequality in the global incidence of breast cancer
has been decreasing since 1990. However, countries with higher levels of development according
to the SDI had a worse incidence burden by 2016. Consistently with the opposite trends between

countries with high and low SDI regarding the mortality rate, in recent years the mortality
concentration index turned to be negative in the 15-49 and 50-69 age groups. This observation
points towards a transition in the concentration of mortality burden from developed to
undeveloped countries. Conversely, both the overall inequality and the inequality correlated with
socioeconomic development calculated using the MI ratio increased from 1990 to 2016. In 2016,
the MI ratio distribution showed a distinct gradient from high to low SDI countries among all age
groups.

Thanks to the availability of epidemiological data from individual countries, the prevailing perception has been that inequalities existed in breast cancer incidence worldwide, especially between the high-income countries and LMICs¹⁸⁻²¹. However, quantitative evidence about the relationship between the global breast cancer burden and national socioeconomic development were still limited. According to the GLOBOCAN 2012 estimates, the breast cancer incidence burden was distributed with obvious disparities among countries with different levels of human development index (HDI)². This is consistent with our results, which were based on the SDI and data from the GBD 2016 study. We observed that the overall inequality in breast cancer incidence had not yet been eliminated and is still concentrated in countries with high SDI levels. The higher prevalence of breast cancer is somewhat associated with the so-called western lifestyle (i.e., specific reproductive patterns and excessive body weight)^{22, 23}, making it a marker for the extent of development. Trend analyses in our study demonstrated a fast increase in the breast cancer incidence rate in countries belonging to the middle SDI group. This result might suggest that countries with middle levels of SDI were undergoing rapid social and economic transitions in the period considered in the study²⁴. In many LMICs, the burden of infection-related cancers, such as cervical, gastric and liver cancer, remained higher than that of breast cancer^{1, 2}. Mammographic screening programs were generally implemented in high-income countries, especially for women aged between 50 and 69 years²⁵⁻²⁷. Consistently, our subgroup analysis based on the age confirmed a transient rise in the incidence for women in this age group and a subsequent fall in the 70+ group in high SDI countries.

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The mortality rates did not differ significantly from low to high SDI countries. Inequalities in breast cancer deaths were possibly offset by better clinical outcomes in more developed countries because of early diagnosis and the development of advanced treatments, while a small scale of incidence but a limited access to health care existed in most LMICs^{28, 29}. Therefore, mortality rates could not well represent the exact trends and current status of cancer-related death burden. Cancer survival is another important indicator for evaluating the malignancies-related death burden. According to the data from 59 countries used in the CONCORD-2 study³⁰, the 5-year survival rate for patients diagnosed with breast cancer during the 2005-2009 period was 85% or higher in North America, Australia, Israel, Brazil, and most Northern and Western European countries, while it remained 60% or lower in many LMICs, such as India, Mongolia, Algeria and South Africa. However, the availability of comprehensive survival data was scarce in most countries, especially in those with limited resources. Thus, calculating the role of socioeconomic development-associated inequalities in the survival rate of breast cancer patients and comparing the current survival status in each country across the world remained critical issues. In the present study, we analyzed the trends of inequalities for breast cancer MI ratios, which is a marker that estimate the departure of mortality in relation to incidence from expectation and is suggested as an approximation for cancer survival³¹⁻³³. Our results suggest a widening disparity according to the breast cancer MI ratios among countries with different levels of development.

HDI was a metric composed by life expectancy at birth, mean and expected years of schooling and gross national income per capita³⁴. It was used to investigate how macro-socioeconomic determinants correlated with national disease burdens^{2, 28, 35}. However, the use of this index is not ideal to evaluate how socioeconomic development influences health, because the measure of overall health (life expectancy at birth) is one important component of the index and can introduce a bias. In the GBD 2015 study, the SDI was first developed to identify where countries or geographic areas sit on the spectrum of social development⁸. As reproductive patterns were proved to be risk factors for breast cancer²², the SDI, a yardstick based on income, education, and fertility rate measurements, might be more appropriate than HDI to weigh the influence of

socioeconomic status on the global patterns and trends in health inequality of breast cancer.

To our knowledge, this study is the first overview of the global patterns and trends in breast cancer incidence and mortality in relation to SDI levels. However, the following limitations should be considered when interpreting the results of our investigation. First, this study is subject to the limitations of the GBD 2016 study, such as data sources and statistical assumptions, which are detailed in the GBD 2016 reports^{3, 4}. Estimates for most LMICs could be biased due to poor-quality raw data, especially for MI ratios. Better primary data from nation-wide observational studies or cancer registries in these countries are needed for future studies. Second, the joinpoint analysis is particularly sensitive to the parameter settings. The pattern trends of incidence and mortality may change if parameters are set differently or more data are analyzed. Third, regional data within each country, information on disease stage and histopathological characteristics were unavailable in the GBD 2016 database. In the United States, for example, nation-wide distributions and trends in breast cancer burden can differ by ethnicity, state, disease stage, and intrinsic subtype^{36, 37}. Thus, more studies are needed to further understand the disparities worldwide and eliminate the biases in the data.

303 Conclusions

The socioeconomic development-associated health inequality in breast cancer incidence has been declining since 1990. Countries undergoing an economic and lifestyle transition were experiencing a growing incidence of breast cancer. Nonetheless, in 2016 the incidence burden still concentrated in countries with a higher SDI. These findings highlighted that public health clinicians and cancer control specialists should pay more attention to the primary prevention of breast cancer, especially in high-incidence countries. In less developed countries, breast cancer mortality greatly deviated from the expectation based on their low incidence. Furthermore, this situation deteriorated in the considered period, with an ever-increasing rate ratio inequality between countries from 1990 to 2016. Public health planners should carry out more sensitive and

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3 4	313	cost-effective detection and treatment interventions, particularly in low and low-middle SDI
5 6 7	314	settings with limited healthcare resources, to counteract the premature deaths caused by breast
, 8 9	315	cancer.
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12 13	317	
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19 20	320	81602716 and 81802628).
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23 24	322	
25 26 27	323	Competing interests
28 29	324	The authors declared no conflict of interest.
30 31	325	
32 33	326	
34 35 36	327	Data sharing statement
37 38	328	The data used in this study is collected from the Global Health Data Exchange database. Available
39 40	329	from: http://www.healthdata.org/gbd-results-tool.
41 42	330	
43 44 45	331	
46 47	332	Authors' contributions
48 49	333	Kaimin Hu designed the study, extracted and analyzed the data and prepared the figures. Peili
50 51	334	Ding and Yinan Wu wrote the first draft of the manuscript. Tao Pan and Wei Tian revised the
52 53 54	335	paper critically. Suzhan Zhang was the principle investigator and designed the study. All authors
55 56	336	commented on manuscript drafts and approved the final version.
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21 22	345		National Cancer Incidence, Mortality, Years of Life Lost, Years Lived With Disability, and
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Figure legend:

Figure 1. Distribution of breast cancer incidence and mortality counts and proportions by age at the global level and SDI quintiles in 2016.

Figure 2. Patterns for breast cancer, in terms of age-standardized and age-specific (A) incidence rates, (B) mortality rates and (C) MI ratios by SDI group in 2016. Black squares represent the medians of all rates from the countries included in each SDI level. Lines denote the interquartile ranges. *P < 0.05, ***P < 0.001.

Figure 3. Relationship between the incidence rates, mortality rates, MI ratios and SDI levels by age. The best-fitted line according to linear regression analysis was shown.

Figure 4. Trends in (A) the Gini coefficients and (B) concentration indexes computed from health metrics of breast cancer, in terms of age-standardized and age-specific incidence rates, mortality rates and MI ratios, across countries worldwide between 1990 and 2016.

Table

Table 1. Breast cancer age-standardized incidence rates in 1990, 2016 and joinpoint trend analysis between 1990 and 2016 by SDI settings.

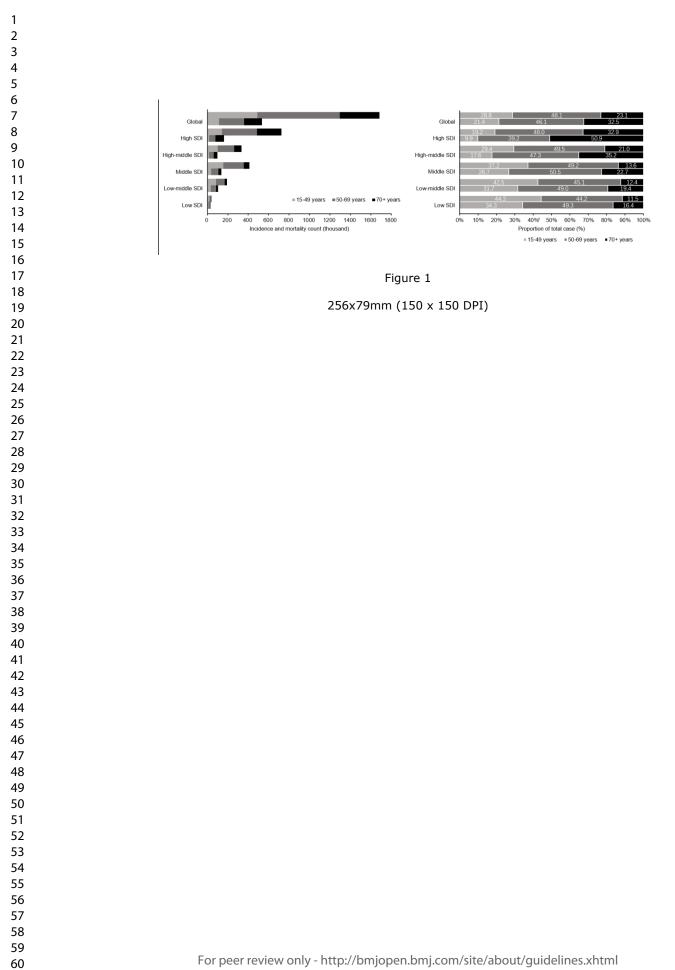
		199	0	2016		Trend1		Trend2		Trend3		AAPC (%)	
	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	AAI C (70)
Global	815.4	41.0	39.8-43.1	1681.9	45.6	43.6-48.2	1990-1995	1.5*	1995-2000	0.2*	2000-2016	0.1*	0.4*
High SDI	463.0	83.6	82.0-85.1	719.4	88.9	86.5-93.0	1990-1995	1.3*	1995-2000	0.2*	2000-2016	-0.1*	0.2*
High-middle SDI	153.1	37.1	36.0-38.6	329.0	46.5	42.9-50.5	1990-1995	3.2*	1995-2016	0.4*			0.9*
Middle SDI	116.0	19.3	18.1-22.1	408.8	33.2	30.4-36.0	1990-2000	2.6*	2000-2009	2.0*	2009-2016	1.5*	2.1*
Low-middle SDI	69.7	17.7	14.9-21.9	187.0	23.1	21.1-27.8	1990-1999	1.3*	1999-2010	0.4*	2010-2016	1.8*	1*
Low SDI	15.6	16.3	13.6-20.9	37.0	18.8	17.1-20.8	1990-1995	0.9*	1995-2010	0.3*	2010-2016	0.8*	0.5*

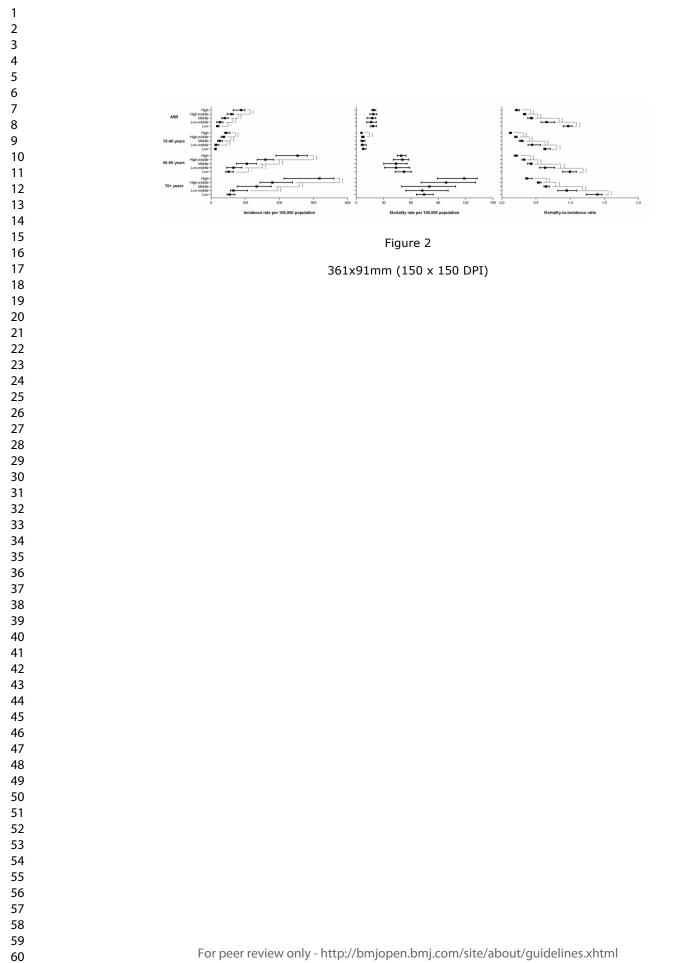
95% UI, 95% uncertainty interval; ASR, age-standardized rate; APC, annual percent change; AAPC, average annual percent change. **P* < 0.05.

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		199	0	2016			Trend1		Trend2		Trend3		AAPC (%)
	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	7111 C (70)
Global	336.9	17.2	16.4-18.8	535.3	14.6	13.8-15.6	1990-1994	0.8*	1994-2002	-0.6*	2002-2016	-1.1*	-0.7*
High SDI	141.1	24.2	23.8-24.7	162.1	17.6	16.9-18.3	1990-1995	-0.6*	1995-2010	-1.6*	2010-2016	-1*	-1.3*
High-middle SDI	65.5	15.9	15.2-17.0	97.9	13.7	12.2-15.7	1990-1994	2.9*	1994-2004	-0.4*	2004-2016	-1.9*	-0.6*
Middle SDI	65.5	11.6	10.7-13.7	138.5	11.8	10.8-12.7	1990-2002	0.8*	2002-2016	-0.5*			0.1*
Low-middle SDI	50.7	13.6	11.4-17.3	104.5	13.8	12.1-17.2	1990-1999	0.7*	1999-2012	-0.6*	2012-2016	0.7*	0
Low SDI	13.9	15.7	13.0-20.2	31.9	17.6	15.7-19.9	1990-1996	0.9*	1995-2009	0	2009-2016	0.8*	0.5*
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Table 2. Breast cancer age-standardized mortality rates in 1990, 2016 and joinpoint trend analysis between 1990 and 2016 by SDI settings.





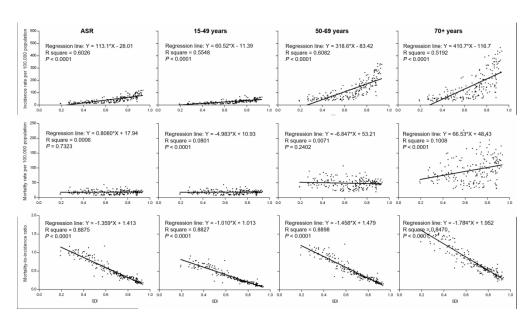


Figure 3

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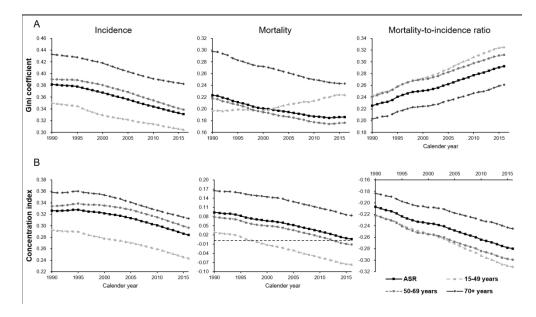


Figure 4

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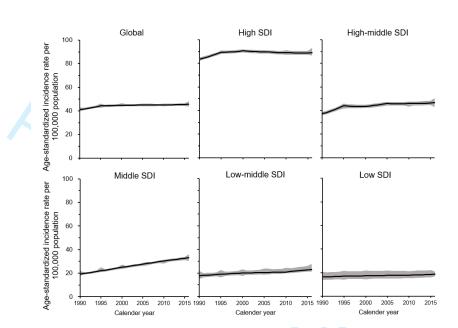
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Supplementary figures and tables

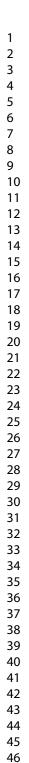
Supplementary table 1. Breast cancer age-specific incidence rates in 1990, 2016 and the joinpoint trend analysis between 1990 and 2016 by SDI settings.

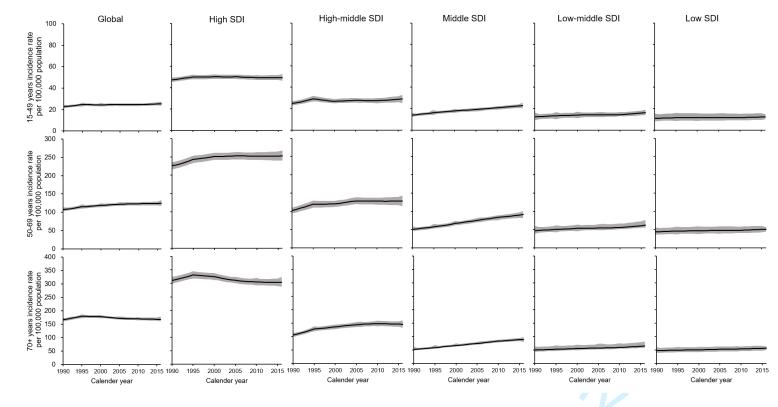
			199	90		201	6	Trer	nd1	Tren	nd2	Trer	nd3	- AAPC (%
	Age	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	- AAPC (%
	15-49 years	248.2	22.7	21.0-24.6	484.0	25.4	23.6-27.5	1990-1995	1.6*	1995-2011	0	2011-2016	0.7*	0.4*
Global	50-69 years	372.8	107.0	102.4-113.5	809.6	124.4	117.4-132.8	1990-1995	1.4*	1995-2005	0.6*	2005-2016	0.2*	0.6*
	70+ years	194.4	165.8	159.8-172.8	388.3	167.8	159.8-178.5	1990-1995	1.7*	1995-2008	-0.4*	2008-2016	-0.2*	0.1*
	15-49 years	111.6	47.3	45.3-49.4	137.8	49.4	46.6-52.6	1990-1995	1.0*	1995-2000	0.2	2000-2016	-0.1*	0.2*
High SDI	50-69 years	208.8	225.6	216.1-235.4	345.1	252.7	239.8-268.1	1990-1995	1.5*	1995-2000	0.8*	2000-2016	0*	0.5*
	70+ years	142.7	310.7	297.6-324.2	236.5	303.7	287.7-324.7	1990-1995	1.4*	1995-2008	-0.6*	2008-2016	-0.1*	-0.1*
	15-49 years	48.8	24.8	23.0-26.9	96.9	29.0	25.3-33.0	1990-1995	3.3*	1995-2000	-1.5*	2000-2016	0.4*	0.6*
High-middle SDI	50-69 years	76.1	101.6	94.5-110.0	163.0	128.1	113.7-143.2	1990-1994	3.5*	1994-2006	0.8*	2006-2016	0	0.9*
	70+ years	28.2	106.1	99.1-114.0	69.2	146.0	133.2-161.1	1990-1995	4.0*	1995-2007	1.2*	2007-2016	-0.1*	1.3*
	15-49 years	51.0	14.0	12.2-16.2	152.2	22.9	20.4-25.6	1990-1995	2.9*	1995-1999	2.2*	1999-2016	1.6*	1.9*
Middle SDI	50-69 years	50.5	50.8	46.2-59.0	201.0	91.0	81.0-100.8	1990-2004	2.7*	2004-2010	2.0*	2010-2016	1.5*	2.3*
	70+ years	14.4	52.8	48.5-62.3	55.6	89.8	80.8-98.7	1990-2000	2.4*	2000-2010	2.2*	2010-2016	1.2*	2.1*
	15-49 years	30.6	12.4	9.2-16.2	79.5	16.0	14.2-19.1	1990-2000	1.3*	2000-2010	0.2*	2010-2016	1.8*	1.0*
Low-middle SDI	50-69 years	31.4	47.1	38.9-59.8	84.4	61.9	54.7-76.1	1990-1999	1.3*	1999-2010	0.4*	2010-2016	1.9*	1.0*
	70+ years	7.6	50.6	42.9-63.7	23.1	65.2	57.8-82.6	1990-2000	1.0*	2000-2010	0.7*	2010-2016	1.4*	1.0*
	15-49 years	7.0	10.9	8.1-15.5	16.4	12.2	10.2-14.6	1990-1995	1.1*	1995-2010	0.1*	2010-2016	0.8*	0.4*
Low SDI	50-69 years	7.0	43.9	35.5-56.2	16.4	50.7	44.2-57.4	1990-1998	0.8*	1998-2010	0.3*	2010-2016	0.8*	0.5*
	70+ years	1.6	48.5	39.8-60.8	4.3	57.3	50.5-63.5	1990-2010	0.6*	2010-2016	0.8*			0.6*

	Age -		199	00		201	6	Trer	nd1	Tren	nd2	Trer	nd3	
		Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	- AAPC (%)
	15-49 years	80.7	7.4	6.6-8.4	114.3	6.0	5.6-6.6	1990-1995	0.8*	1995-2012	-1.4*	2012-2016	-0.3*	-0.8*
Global	50-69 years	156.5	44.9	42.2-49.4	246.9	38.0	35.6-41.4	1990-1994	0.5*	1994-2003	-0.5*	2003-2016	-1.1*	-0.7*
	70+ years	99.6	85.6	81.8-92.2	174.1	74.5	69.9-80.5	1990-1994	0.9*	1994-2002	-0.5*	2002-2016	-1.0*	-0.5*
	15-49 years	20.9	8.9	8.6-9.1	16.0	5.7	5.4-6.0	1990-1994	-1.1*	1994-2012	-2.1*	2012-2016	-0.4	-1.7*
High SDI	50-69 years	59.8	64.2	62.2-66.3	63.5	46.2	43.9-48.5	1990-1995	-0.8*	1995-2012	-1.5*	2012-2016	-0.7*	-1.3*
	70+ years	60.4	128.9	123.9-134.0	82.6	99.5	93.2-106.4	1990-1995	0	1995-2009	-1.3*	2009-2016	-1.0*	-1.0*
	15-49 years	14.5	7.5	6.9-8.2	17.2	5.2	4.5-5.9	1990-1994	2.7*	1994-2016	-2.2*			-1.5*
High-middle SDI	50-69 years	33.0	43.9	40.7-48.0	46.2	36.5	31.4-43.2	1990-1994	2.7*	1994-2004	-0.4*	2004-2016	-2.1*	-0.7*
	70+ years	17.9	67.9	63.2-74.0	34.4	71.1	62.6-82.0	1990-1994	3.5*	1994-2003	0.9*	2003-2016	-1.2*	0.2*
Middle SDI	15-49 years	22.5	6.3	5.6-7.3	37.0	5.6	5.0-6.2	1990-2002	0.3*	2002-2008	-1.8*	2008-2016	-0.7*	-0.5*
	50-69 years	30.9	31.1	28.2-37.1	70.0	31.8	28.8-34.7	1990-2002	0.8*	2002-2012	-0.2*	2012-2016	-0.9*	0.1*
	70+ years	12.1	45.8	41.6-57.5	31.5	51.7	46.8-56.7	1990-2002	1.1*	2002-2011	0.2*	2011-2016	-0.7*	0.5*
	15-49 years	17.7	7.3	5.5-9.7	33.1	6.7	5.8-8.2	1990-1999	0.5*	1999-2012	-1.2*	2012-2016	0.6	-0.4*
Low-middle SDI	50-69 years	25.8	38.9	31.4-50.6	51.2	37.9	32.7-48.1	1990-1999	0.7*	1999-2012	-0.8*	2012-2016	0.5	-0.1*
	70+ years	7.2	47.8	37.8-66.7	20.2	57.5	47.8-74.6	1990-2001	0.9*	2001-2011	0.3*	2011-2016	1.0*	0.7*
	15-49 years	5.0	7.9	5.8-11.4	10.9	8.3	6.7-10.3	1990-1995	1.2*	1995-2010	-0.3*	2010-2016	0.7*	0.2*
Low SDI	50-69 years	7.0	44.4	35.7-58.2	15.7	49.1	42.1-56.5	1990-1996	1.0*	1996-2009	0	2009-2016	0.7*	0.4*
	70+ years	1.9	59.9	48.7-76.3	5.2	72.6	62.3-82.3	1990-1995	1.0*	1995-2009	0.5*	2009-2016	1.1*	0.8*

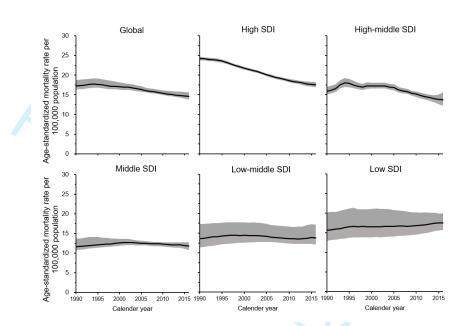


Supplementary figure 1A. Trends in breast cancer age-standardized incidence rate (ASIR) according to SDI settings, 1990-2016. The black lines represent the estimated ASIRs, and areas shaded in gray represent 95% uncertainty intervals.



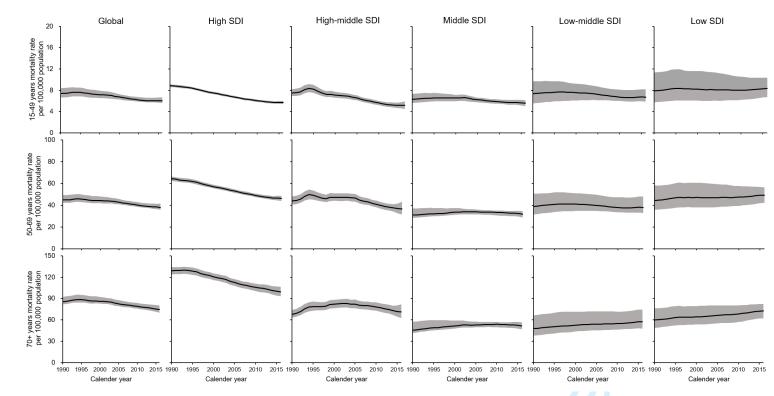


Supplementary figure 1B. Trends in incidence rate for 15-49, 50-69 and 70+ age groups according to SDI settings, 1990-2016. The black lines represent the estimated agespecific rates adjusted within each group following the new world population age-standard, and areas shaded in gray represent the 95% uncertainty intervals.



Supplementary figure 2A. Trends in breast cancer age-standardized mortality rate (ASMR) according to SDI settings, 1990-2016. The black lines represent the estimated ASMRs, and areas shaded in gray represent 95% uncertainty intervals.





Supplementary figure 2B. Trends in mortality rate for 15-49, 50-69 and 70+ age groups according to SDI settings, 1990-2016. The black lines represent the estimated agespecific rates adjusted within each group following the new world population age-standard, and areas shaded in gray represent the 95% uncertainty intervals.

Section/Topic	ltem #	Recommendation	Reported on page
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	#1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	#2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	#4
Objectives	3	State specific objectives, including any prespecified hypotheses	#4
Methods			
Study design	4	Present key elements of study design early in the paper	#5-6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	#5-6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	#5-6
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	#5-6
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	#5-6
Bias	9	Describe any efforts to address potential sources of bias	#5-6
Study size	10	Explain how the study size was arrived at	#5-6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	#5-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	#6-7
		(b) Describe any methods used to examine subgroups and interactions	#6-7
		(c) Explain how missing data were addressed	#6-7
		(d) If applicable, describe analytical methods taking account of sampling strategy	#6-7
		(e) Describe any sensitivity analyses	#6-7

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility,	#7-9
		confirmed eligible, included in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	#7-9
		(c) Consider use of a flow diagram	#7-9
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	#7-9
		(b) Indicate number of participants with missing data for each variable of interest	#7-9
Outcome data	15*	Report numbers of outcome events or summary measures	#7-9
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence	#7-9
		interval). Make clear which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	#7-9
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	#7-9
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	#7-9
Discussion			
Key results	18	Summarise key results with reference to study objectives	#9-10
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	#11-12
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	#9-11
Generalisability	21	Discuss the generalisability (external validity) of the study results	#9-11
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on	#12-13
		which the present article is based	

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Global Patterns and Trends in the Breast Cancer Incidence and Mortality According to Socio-demographic Indices: An Observational Study Based on the Global Burden of Diseases

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7 8 9	2	Global Patterns and Trends in the Breast Cancer Incidence and
10 11 12	3	Mortality According to Socio-demographic Indices: An
13 14 15	4	Observational Study Based on the Global Burden of Diseases
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29 Word count: 3160

Abstract:

Objectives: Disparities in the global burden of breast cancer have been identified. We aimed to investigate recent patterns and trends in the breast cancer incidence and associated mortality. We also assessed breast cancer-related health inequalities according to socioeconomic development

36 factors.

37 Design: An observational study based on the Global Burden of Diseases.

38 Methods: Estimates of breast cancer incidence and mortality during 1990–2016 were obtained 39 from the Global Health Data Exchange database. Subsequently, data obtained in 2016 were 40 described using the age-standardized and age-specific incidence, mortality and mortality-to-41 incidence (MI) ratios according to socio-demographic index (SDI) levels. Trends were assessed 42 by measuring the annual percent change using the joinpoint regression. The Gini coefficients and 43 concentration indices were used to identify between-country inequalities.

44 Results: Countries with higher SDI levels had worse disease incidence burdens in 2016, whereas 45 inequalities in the breast cancer incidence had decreased since 1990. Opposite trends were 46 observed in the mortality rates of high and low SDI countries. Moreover, the decreasing 47 concentration indices, some of which became negative, among people aged 15–49 and 50–69 48 years suggested an increase in the mortality burdens in undeveloped regions. Conversely, 49 inequality related to the MI ratio increased. In 2016, the MI ratios exhibited distinct gradients 50 from high to low SDI regions across all age groups.

51 Conclusions: The patterns and trends in breast cancer incidence and mortality closely correlated

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4 5	52	with the SDI levels. Our findings highlighted the primary prevention of breast cancer in high SDI
6 7	53	countries with a high disease incidence and the development of cost-effective diagnostic and
8 9	54	treatment interventions for low SDI countries with poor MI ratios as the two pressing needs in the
10 11	55	next decades.
12 13	56	
14 15	57	
16 17	58	Keywords: breast cancer, mortality-to-incidence ratio, socio-demographic index, Gini
18 19	59	coefficient, concentration index
20 21		coefficient, concentration index
22 23	60	
24	61	
25 26 27	62	Article summary
28 29	63	Strengths and limitations of this study:
30 31 22	64	• This study provides the first overview of current global patterns and long-term trends in
32 33 34	65	breast cancer burdens stratified according to socio-demographic development.
35 36	66	• The Gini coefficient and concentration index were used to evaluate the extent, trend, and
37 38	67	concentration of health inequalities caused by breast cancer.
39 40	68	• The study was limited by the use of secondary estimated data from the Global Burden of
41 42	69	Disease database, as the estimates for some countries with poor-quality raw data may have
43 44	70	been biased.
45 46	71	
47 48	72	
49 50 51	73	Introduction
52 53	74	Breast cancer is the most common type of cancer worldwide and the leading cause of cancer-
54 55	75	related deaths among women, with an estimated 2.4 million new cases and 523,000 deaths
56 57	76	reported in 2015 ¹ . A woman's place of residence and socioeconomic status are significant
58 59 60	77	determinants of the odds of developing breast cancer and the ultimate survival outcome ¹ . The
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breast cancer incidence rate is higher in high-income countries than in low- and middle-income countries (LMICs)¹⁻³. In many high-income countries, a better awareness of the risk factors, regular mammography screening, and sufficient and effective medical services have led to significant decrease in breast cancer mortality rates in recent decades and stable or even decreasing incidence rates since 2000. However, breast cancer is not restricted to high-income countries. The low cancer incidence rates in LMICs have not necessarily translated to lower cancer-related mortality rates³⁻⁵. Both the breast cancer incidence and related mortality have increased in many resource-poor settings or countries, partially due to changes in reproductive patterns and delays in diagnosis and treatment, which are independent of an increase in breast cancer awareness^{6, 7}.

Disparities in the global burden of breast cancer have been identified, especially among counties with different levels of development. Global health policy makers rely on understanding of the exact correlations between the disease burden and socioeconomic status to formulate appropriate measures according to local conditions. The Institute for Health Metrics and Evaluation first introduced the socio-demographic index (SDI) in the Global Burden of Disease Study 2015 (GBD 2015) as a quantitative measure of development in a country or region⁸. This study aimed to describe current patterns and trends in breast cancer incidence and mortality among countries according to national-level wellbeing by combining the latest SDI data with breast cancer incidence and mortality data collected between 1990 and 2016. This approach would enable a comprehensive investigation of the distribution of breast cancer-associated health inequalities and related changes according to the level of national development.

101 Materials and Methods

Breast cancer was defined using code C50 from the International Classification of DiseaseRevision, 10th edition. Incidence and mortality data from 195 individual countries across 5

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predefined SDI groups during 1990–2016 were collected from the Global Health Data Exchange database⁵. The annual incidence and mortality rates for subjects aged 15 to 95+ years were extracted for each involved country and stratified into 5-year age brackets. Detailed methods for estimating the age-standardized incidence and mortality rates (ASIR and ASMR, respectively) per 100,000 women in a population were described in the GBD 2016 reports^{3, 4}. Women aged 50-69 years comprised the largest population participating in regular screening programs. We further calculated the age-specific incidence and mortality rates per 100,000 women into three age subgroups: 15–49, 50–69 and 70+ (including 70) years, and these rates were adjusted according to the new world population age-standard³. The mortality-to-incidence (MI) ratio was calculated by dividing the breast cancer mortality rate for a given year, age group, country, and SDI group by the corresponding incidence rate. Patient and Public Involvement Neither patients nor the public were involved in the recruitment and conduct of this study. Ethics approval Ethical approval was not obtained because the data included in this study were publicly available. SDI The SDI, a comparable metric of overall development, was calculated using the lag-distributed income per capita, average years of education in the population older than 15 years, and total fertility rate, with equal weighting of these variables⁹. The SDI is expressed using a scale of 0 to 1, with a greater value indicating a higher level of development. SDI data from the 195 countries

127 involved in the study during 1990–2016 were obtained from the Global Health Data Exchange

128 database⁵. Countries were classified into the following quintiles based on their SDI values in 2016:

129 high, high-middle, middle, low-middle, and low SDI. Detailed methods describing the calculation

130 of SDI and the selection of the quintile cutoffs have been previously reported^{1,3}.

132 Gini coefficient and concentration index

We adopted the Gini coefficient and concentration index, which are used in the field of economics, to measure breast cancer-associated health inequalities in our study^{10, 11}. The Gini coefficient is calculated based on the Lorenz curve. The coefficient ranges between 0 and 1, with 0 and 1 representing perfect equality and total inequality, respectively¹¹. The annual ASIRs, ASMRs, age-specific incidence rates, age-specific mortality rates, and MI ratios of breast cancer from the 195 included countries were used to calculate the Gini coefficients and describe trends in health inequality between countries from 1990 to 2016. The concentration index, which is derived from the concentration curve, is a common measure of socioeconomic-related health inequality¹². The concentration indices were calculated by correlating the abovementioned breast cancer metrics with the corresponding national SDIs. The concentration index values range between -1 and +1. A positive or negative concentration index value indicated that the breast cancer disease burden was more concentrated in countries with high or low levels of development, respectively, as measured by the SDI¹². The absolute index value was related to the degree of a "pro-developed" or "pro-underdeveloped" distribution of health limitations. A value of zero indicated an absence of inequality associated with the socioeconomic gradient rather than an absolute absence of inequality.

150 Statistical analyses

We performed one-way ANOVA, followed by pairwise comparisons with the Tamhane T2 test to compare variables with normal distributions but heterogeneous variances, such as the incidence, mortality and MI ratio, across five SDI-based country groups¹³. A linear regression model was used to test the correlations between indicators of the breast cancer burden and the SDI values. A joinpoint piecewise linear regression analysis was performed to identify the time points

corresponding to significant changes and identify temporal trends in the age-standardized and age-specific incidence and mortality rates between 1990 and 2016¹⁴. Default parameters were used for all analyses except for the minimum number of data points between two joints or at either end of the data; these two values were set to 5. The maximum number of joinpoints was set to 2 to avoid over-fitting at the truncating points. The best-fit point corresponding to a significant change in the rate was assessed using a permutation test, and the P value for each test was estimated using Monte Carlo methods¹⁴. Statistics relating to the annual percent change (APC) for each segment and average annual percent change (AAPC) for the overall period were summarized using the optimal joinpoint model. All joinpoint trend analyses were performed using joinpoint statistical software (Version 4.5.0.1; Surveillance Research Program of the United States National Cancer Institute, Bethesda, MD, USA)¹⁵. The Gini coefficient and concentration index values were computed using the AINEQUAL¹⁶ and CONINDEX modules¹⁷ of Stata 14.0 software (Stata Corp, College Station, TX, USA). Other statistical analyses were performed using SPSS 20.0 software (IBM Corp., Armonk, NY, USA).

171 Results

172 Current profiles in breast cancer incidence and mortality rates according to SDIs

Figure 1 presents the distribution of counts and proportions of new breast cancer cases and related deaths in the 5 SDI groups during the year 2016. Approximately 719,000 new cases were reported in high SDI countries, and this value was about 20 times higher than the 37,000 new cases reported in low SDI countries. Moreover, 162,000 and 32,000 deaths were reported in these groups, respectively. Approximately half of all new breast cancer cases occurred in women aged 50-69 years across all SDI groups. In middle, low-middle, and low SDI countries, more than a third of new breast cancer cases were identified in women aged 15-49 years, and this group also had a higher proportion of related deaths. In contrast, in high SDI countries, people age 70+ years accounted for 50.9% of all reported breast cancer-related deaths.

One-way ANOVA suggested significant differences in both the age-standardized and age-specific incidence rates and MI ratios (P < 0.01) but not in the mortality rates among countries belonging to different SDI groups. Pairwise comparisons showed the mortality rates were not proportional to the corresponding high incidence rates in countries with higher level of development indicated by SDI, and the lowest MI ratios were observed in high SDI countries (Figure 2). In all age groups, positive relationships existed between the incidence rates and SDI values, and negative relationships existed between the MI ratios and SDI values (Figure 3). Moreover, the MI ratios exhibited well-fitting linear relationships in all age groups, whereas the incidence and mortality rates in older age groups were more scattered among countries with different SDIs.

193 Temporal trends in breast cancer incidence and mortality across SDI groups

According to the joinpoint trend analysis (Table 1), the ASIRs in high and high-middle SDI groups plateaued after rapidly increasing in the early 1990s. In the high SDI group, the ASIR even exhibited a declining trend of 0.1% per year since 2000. In contrast, significant increases in the ASIRs were observed in the middle, low-middle and low SDI groups over the whole study period (Supplementary Figure 1A). The AAPC in ASIR was 2.1% for the middle SDI group, and this was the highest increase among the SDI groups. The trends in incidence rate changes among women aged 15–49, 50–69, and 70+ years were comparable with the ASIR values across the SDI groups (Supplementary Table 1 and Supplementary Figure 1B).

Changes in the ASMR varied across the SDI groups, as shown in Table 2 and Supplementary
Figure 2A. In the high SDI group, the ASMR decreased continuously from 24.2 in 1990 to 17.6
in 2016, with an AAPC of -1.3%. In the high-middle SDI group, the ASMR began to decline in
1994, with an accelerated decrease (APC: -1.9%) between 2004 and 2016. In the middle SDI
group, the ASMR also decreased slightly from 2002 to 2016, with an average decrease of 0.5%
per year. Opposite trends were observed in the low-middle (2002–2016, APC: 0.7%) and low SDI

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groups (2009–2016, APC: 0.8%), especially in more recent years. Although the patterns of change in the three age groups were similar to the ASMR in each SDI group, the degrees of change differed among the groups (Supplementary Table 2 and Supplementary Figure 2B). For example, among subjects aged 70+ years, we observed lesser decreases and greater increases in the mortality rate in more and less developed regions, respectively.

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214 Global health inequality related to breast cancer

The Gini coefficients for the incidence of breast cancer continuously decreased from 1990 to 2016 (Figure 4A). The values calculated from the ASIRs and incidence rates among women aged 15– 49, 50–69, and 70+ years decreased to 0.33, 0.30, 0.34 and 0.38 by 2016, respectively, from starting values of 0.38, 0.35, 0.39, and 0.43 in 1990, respectively. Similarly, the Gini coefficients calculated using the mortality rates exhibited markedly declining trends over the same period in all age groups, except those aged 15–49 years. In contrast, the Gini coefficients calculated using the age-standardized MI ratio distributions increased, from 0.23 in 1990 to 0.29 in 2016.

222 In 1990, all the concentration indices based on the breast cancer age-standardized and age-223 specific incidence and mortality rates exceeded zero, suggesting that the inequalities associated 224 with socioeconomic development were more concentrated in countries with higher levels of 225 development (as indicated by SDI). Moreover, the concentration indices were higher among 226 subjects aged 70+ years than in other groups. Both the concentration indices for the incidence and 227 mortality rate decreased between 1990 and 2016, and the rate of decrease began to accelerate in 228 the late 1990s (Figure 4B). The concentration indices for mortality rates in the age groups of 15– 229 49 and 50–69 years decreased below zero and became negative in 1998 and 2013, respectively. 230 In contrast, the concentration indices based on age-standardized MI ratios and age-specific rate 231 ratios for age groups of 15–49, 50–69, and 70+ years were already below zero in 1990, with values 232 of -0.21, -0.22, -0.22, and -0.18, respectively. By 2016, these values had decreased to -0.28, -0.31, 233 -0.30, and -0.25, respectively.

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235 Discussion 236 237 Socioeconomic development-associated inequalities in the global incidence of breast cancer have 238 continued to decrease since 1990. However, countries with higher levels of development 239 according to the SDI reported a worse burden of breast cancer incidence by 2016. Consistent with 240 the opposite trends in mortality rates between countries with high and low SDI values, the 241 mortality concentration indices among women aged 15-49 and 50-69 years have become 242 negative in recent years. This phenomenon suggests a shift in the concentration of the mortality 243 burden from developed to undeveloped countries. Conversely, both the overall inequality and 244 inequality associated with socioeconomic development, which was calculated using the MI ratio, 245 increased from 1990 to 2016. In 2016, the MI ratio distribution exhibited a distinct gradient from 246 high to low SDI countries across all age groups.

247 The availability of epidemiological data from individual countries has led to a prevailing 248 perception that inequalities exist in the global breast cancer incidence, especially between high-249 income countries and LMICs¹⁸⁻²¹. However, there remains a paucity of quantitative evidence 250 regarding the relationship between the global breast cancer burden and national levels of 251 socioeconomic development. According to the GLOBOCAN 2012 estimates, the breast cancer 252 incidence burden was distributed among countries at different human development index (HDI) 253 levels, with obvious disparities². The results of that report are consistent with our results, which 254 were based on the SDI and data from the GBD 2016 study. We observed that the overall inequality 255 in the breast cancer incidence had not yet been eliminated and remained concentrated in countries 256 with high SDI levels. This higher prevalence of breast cancer is somewhat associated with the socalled western lifestyle (i.e., specific reproductive patterns and excessive body weight)^{22, 23}, and 257 258 thus can be used as a marker of the extent of development. Our trend analyses demonstrated rapid 259 increases in the breast cancer incidence rates of countries classified in the middle SDI group. This

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result suggests that countries with SDI levels near the middle of the spectrum were undergoing rapid social and economic changes during the study period²⁴. In many LMICs, the burdens of infection-related cancers, including cervical, gastric, and liver cancer, remained higher than those of breast cancer^{1, 2}. Moreover, high-income countries have generally implemented mammographic screening programs, especially for women aged 50–69 years²⁵⁻²⁷. Consistently, our age-based subgroup analysis confirmed a transient increase in the incidence of breast cancer among women aged 50-69 years and a subsequent decrease among those aged 70+ years in countries with high SDI values.

The mortality rates did not differ significantly between low and high SDI countries. Inequalities in breast cancer deaths were possibly offset by better clinical outcomes in more developed countries due to early diagnosis and the development of advanced treatments; in contrast, the situation in most LMICs were characterized by a low incidence of breast cancer but limited access to health cares^{28, 29}. Therefore, the mortality rates do not represent the exact trends and current statuses of the burdens of cancer-related death. Cancer survival is another important indicator used to evaluate the malignancy-related death burden. According to data from 59 countries in the CONCORD-2 study³⁰, the 5-year survival rates of patients diagnosed with breast cancer during 2005–2009 were ≥85% in North America, Australia, Israel, Brazil, and most Northern and

277 Western European countries but ≤60% in many LMICs, such as India, Mongolia, Algeria and

South Africa. However, little comprehensive survival data were available from most countries, especially those with limited resources. Accordingly, the determination of the effects of socioeconomic development-associated inequalities on the survival rates of breast cancer patients and comparisons of current survival statuses among various countries across the world remained critical issues. In this study, we analyzed the trends in inequality of the breast cancer MI ratio, a marker used to estimate the extent to which actual mortality differs from the expected mortality relative to disease incidence; the marker has been suggested as an approximation of cancer survival³¹⁻³³. Our results suggest increasing disparities according to breast cancer MI ratios among

countries with different levels of development.

The HDI, a metric comprising the life expectancy at birth, mean and expected years of education, and gross national income per capita³⁴, was used to investigate the correlations between macro-socioeconomic determinants and national disease burdens^{2, 28, 35}. However, this index is not ideal for evaluating the effects of socioeconomic development on health because the measure relies on the overall health (i.e., life expectancy at birth), which could introduce bias. The SDI was initially developed in the GBD 2015 study, to determine the placement of countries or geographic areas on the spectrum of social development⁸. Given the role of reproductive patterns as risk factors for breast cancer²², the SDI, a measure based on measures of income, education, and fertility rate, might be more appropriate than the HDI when assessing the degree of influence of the socioeconomic status on global patterns and trends in health inequality associated with breast cancer.

To our knowledge, this study provides the first overview of global patterns and trends in breast cancer incidence and mortality according to the SDI. However, our results should be interpreted in light of the following limitations. First, this study is subject to the limitations of the GBD 2016 study such as the data sources and statistical assumptions, as detailed in the related reports^{3, 4}. For most LMICs, the estimates, particularly the MI ratios, might have been biased due to poor-quality raw data. Future studies will require better primary data from nation-wide observational studies or cancer registries. Second, the joinpoint analysis is particularly sensitive to parameter settings. Accordingly, trends in the patterns of incidence and mortality may change if the parameters are changed or more data are analyzed. Third, the GBD 2016 database did not provide regional data within each country or information about disease stages and histopathological characteristics. In the United States, for example, nation-wide distributions and trends in breast cancer burden can differ by ethnicity, state, disease stage and intrinsic subtype^{36, 37}. Therefore, more studies are needed to understand the global disparities more fully and to eliminate biases in the data.

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Conclusions 312

313 The patterns and trends in breast cancer incidence and mortality closely correlated with the SDI 314 levels. The health inequality associated with the breast cancer incidence according to the SDI has 315 been decreasing since 1990. Countries with middle-level SDI values, which may have been 316 experiencing shifts in economic and lifestyle factors, exhibited increasing incidence rates of breast 317 cancer. Nonetheless, the incidence burden in 2016 remained more concentrated in countries with 318 higher SDI levels. These findings emphasize that public health clinicians and cancer control 319 specialists should pay more attention to the primary prevention of breast cancer, especially in 320 most developed countries with high incidence. In low-middle and low SDI countries, the actual 321 breast cancer mortality rates differed greatly from the expected mortality rates based on the 322 corresponding low incidence rates. Public health planners should implement more sensitive and 323 cost-effective detection and treatment interventions to counteract the premature deaths caused by 324 breast cancer, particularly in less developed countries with limited healthcare resources. Lich 325

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Competing interests 332

The authors declared no conflict of interest. 333

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Data sharing statement 336

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4 5	337	The data used in this study is collected from the Global Health Data Exchange database. Available
6 7	338	from: http://www.healthdata.org/gbd-results-tool.
8 9	339	
10 11	340	
12 13 14	341	Authors' contributions
15 16	342	Kaimin Hu designed the study, extracted and analyzed the data and prepared the figures. Peili
17 18	343	Ding and Yinan Wu wrote the first draft of the manuscript. Tao Pan and Wei Tian revised the
19 20	344	paper critically. Suzhan Zhang was the principle investigator and designed the study. All authors
21 22	345	commented on manuscript drafts and approved the final version.
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27 28 29	348	Acknowledgement
30 31	349	The authors would like to thank Editage (www.editage.cn) for English language editing.
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Figure legend:

Figure 1. Distribution of breast cancer incidence and mortality counts and proportions by age at the global level and SDI quintiles in 2016.

Figure 2. Patterns for breast cancer, in terms of age-standardized and age-specific (A) incidence rates, (B) mortality rates and (C) MI ratios by SDI group in 2016. Black squares represent the medians of all rates from the countries included in each SDI level. Lines denote the interquartile ranges. *P < 0.05, ***P < 0.001.

Figure 3. Relationship between the incidence rates, mortality rates, MI ratios and SDI levels by age. The best-fitted line according to linear regression analysis was shown.

Figure 4. Trends in (A) the Gini coefficients and (B) concentration indices calculated based on health metrics of breast cancer, in terms of age-standardized and age-specific incidence rates, mortality rates and MI ratios, across 195 countries worldwide between 1990 and 2016.

Table

Table 1. Breast cancer age-standardized incidence rates in 1990, 2016 and joinpoint trend analysis between 1990 and 2016 by SDI settings.

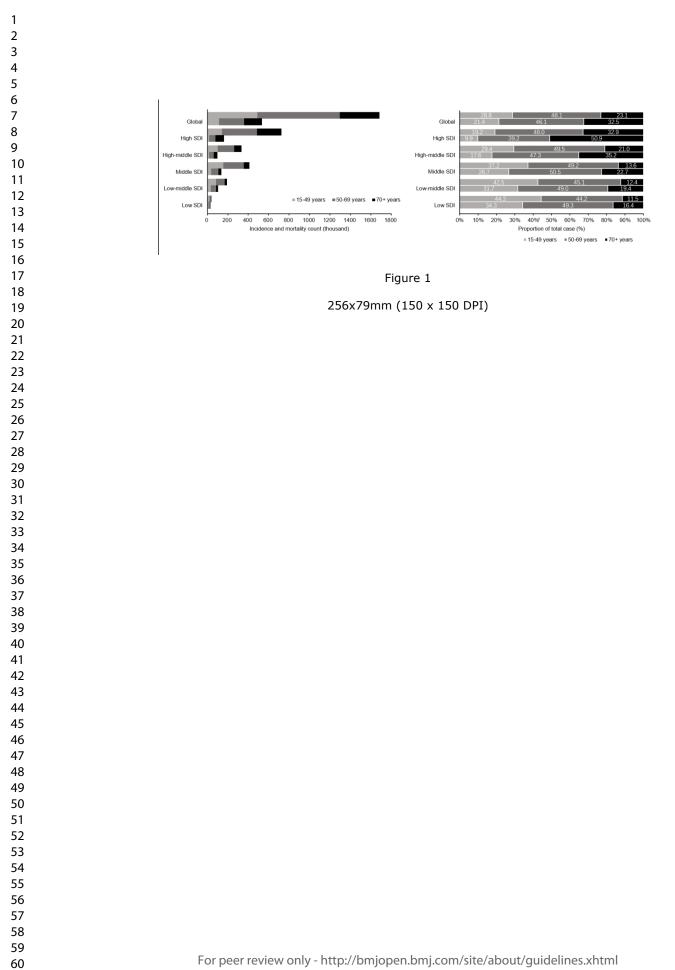
		199	0		2016			Trend1		Trend2		Trend3	
	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	AAPC (%)
Global	815.4	41.0	39.8-43.1	1681.9	45.6	43.6-48.2	1990-1995	1.5*	1995-2000	0.2*	2000-2016	0.1*	0.4*
High SDI	463.0	83.6	82.0-85.1	719.4	88.9	86.5-93.0	1990-1995	1.3*	1995-2000	0.2*	2000-2016	-0.1*	0.2*
High-middle SDI	153.1	37.1	36.0-38.6	329.0	46.5	42.9-50.5	1990-1995	3.2*	1995-2016	0.4*			0.9*
Middle SDI	116.0	19.3	18.1-22.1	408.8	33.2	30.4-36.0	1990-2000	2.6*	2000-2009	2.0*	2009-2016	1.5*	2.1*
Low-middle SDI	69.7	17.7	14.9-21.9	187.0	23.1	21.1-27.8	1990-1999	1.3*	1999-2010	0.4*	2010-2016	1.8*	1*
Low SDI	15.6	16.3	13.6-20.9	37.0	18.8	17.1-20.8	1990-1995	0.9*	1995-2010	0.3*	2010-2016	0.8*	0.5*

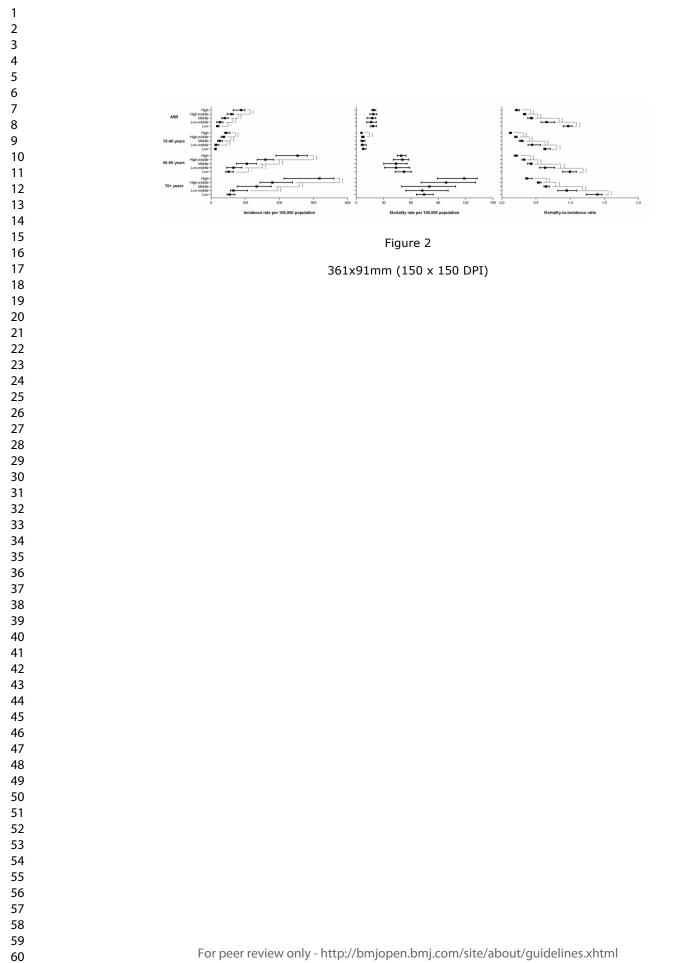
95% UI, 95% uncertainty interval; ASR, age-standardized rate; APC, annual percent change; AAPC, average annual percent change. **P* < 0.05.

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	1990			2016			Trend1		Trend2		Trend3		AAPC (%)
	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	7111 C (70)
Global	336.9	17.2	16.4-18.8	535.3	14.6	13.8-15.6	1990-1994	0.8*	1994-2002	-0.6*	2002-2016	-1.1*	-0.7*
High SDI	141.1	24.2	23.8-24.7	162.1	17.6	16.9-18.3	1990-1995	-0.6*	1995-2010	-1.6*	2010-2016	-1*	-1.3*
High-middle SDI	65.5	15.9	15.2-17.0	97.9	13.7	12.2-15.7	1990-1994	2.9*	1994-2004	-0.4*	2004-2016	-1.9*	-0.6*
Middle SDI	65.5	11.6	10.7-13.7	138.5	11.8	10.8-12.7	1990-2002	0.8*	2002-2016	-0.5*			0.1*
Low-middle SDI	50.7	13.6	11.4-17.3	104.5	13.8	12.1-17.2	1990-1999	0.7*	1999-2012	-0.6*	2012-2016	0.7*	0
Low SDI	13.9	15.7	13.0-20.2	31.9	17.6	15.7-19.9	1990-1996	0.9*	1995-2009	0	2009-2016	0.8*	0.5*
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Table 2. Breast cancer age-standardized mortality rates in 1990, 2016 and joinpoint trend analysis between 1990 and 2016 by SDI settings.





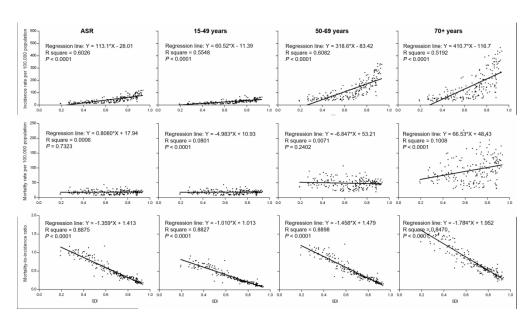


Figure 3

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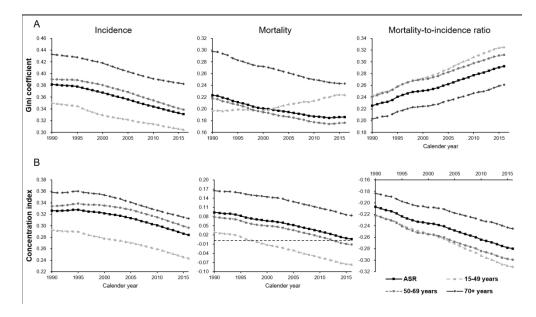


Figure 4

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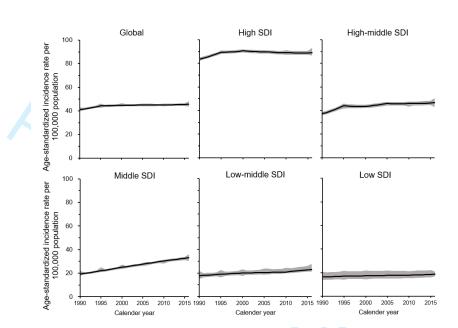
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Supplementary figures and tables

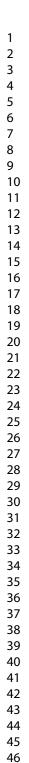
Supplementary table 1. Breast cancer age-specific incidence rates in 1990, 2016 and the joinpoint trend analysis between 1990 and 2016 by SDI settings.

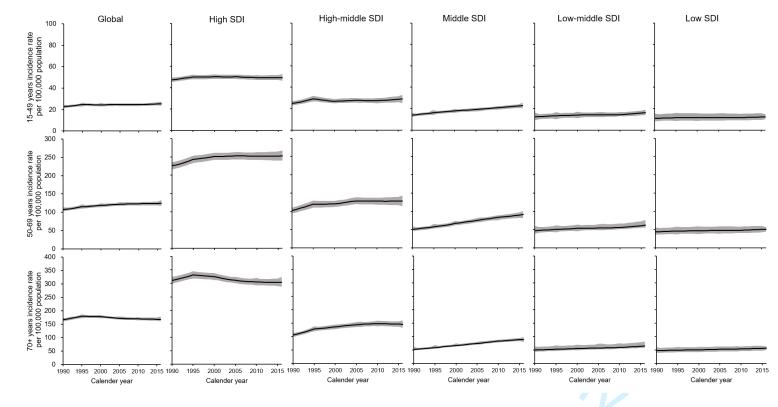
		1990			2016			Trend1		Trend2		Trend3		
	Age	Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	– AAPC (%
Global	15-49 years	248.2	22.7	21.0-24.6	484.0	25.4	23.6-27.5	1990-1995	1.6*	1995-2011	0	2011-2016	0.7*	0.4*
	50-69 years	372.8	107.0	102.4-113.5	809.6	124.4	117.4-132.8	1990-1995	1.4*	1995-2005	0.6*	2005-2016	0.2*	0.6*
	70+ years	194.4	165.8	159.8-172.8	388.3	167.8	159.8-178.5	1990-1995	1.7*	1995-2008	-0.4*	2008-2016	-0.2*	0.1*
High SDI	15-49 years	111.6	47.3	45.3-49.4	137.8	49.4	46.6-52.6	1990-1995	1.0*	1995-2000	0.2	2000-2016	-0.1*	0.2*
	50-69 years	208.8	225.6	216.1-235.4	345.1	252.7	239.8-268.1	1990-1995	1.5*	1995-2000	0.8*	2000-2016	0*	0.5*
	70+ years	142.7	310.7	297.6-324.2	236.5	303.7	287.7-324.7	1990-1995	1.4*	1995-2008	-0.6*	2008-2016	-0.1*	-0.1*
High-middle SDI	15-49 years	48.8	24.8	23.0-26.9	96.9	29.0	25.3-33.0	1990-1995	3.3*	1995-2000	-1.5*	2000-2016	0.4*	0.6*
	50-69 years	76.1	101.6	94.5-110.0	163.0	128.1	113.7-143.2	1990-1994	3.5*	1994-2006	0.8*	2006-2016	0	0.9*
	70+ years	28.2	106.1	99.1-114.0	69.2	146.0	133.2-161.1	1990-1995	4.0*	1995-2007	1.2*	2007-2016	-0.1*	1.3*
Middle SDI	15-49 years	51.0	14.0	12.2-16.2	152.2	22.9	20.4-25.6	1990-1995	2.9*	1995-1999	2.2*	1999-2016	1.6*	1.9*
	50-69 years	50.5	50.8	46.2-59.0	201.0	91.0	81.0-100.8	1990-2004	2.7*	2004-2010	2.0*	2010-2016	1.5*	2.3*
	70+ years	14.4	52.8	48.5-62.3	55.6	89.8	80.8-98.7	1990-2000	2.4*	2000-2010	2.2*	2010-2016	1.2*	2.1*
Low-middle SDI	15-49 years	30.6	12.4	9.2-16.2	79.5	16.0	14.2-19.1	1990-2000	1.3*	2000-2010	0.2*	2010-2016	1.8*	1.0*
	50-69 years	31.4	47.1	38.9-59.8	84.4	61.9	54.7-76.1	1990-1999	1.3*	1999-2010	0.4*	2010-2016	1.9*	1.0*
	70+ years	7.6	50.6	42.9-63.7	23.1	65.2	57.8-82.6	1990-2000	1.0*	2000-2010	0.7*	2010-2016	1.4*	1.0*
Low SDI	15-49 years	7.0	10.9	8.1-15.5	16.4	12.2	10.2-14.6	1990-1995	1.1*	1995-2010	0.1*	2010-2016	0.8*	0.4*
	50-69 years	7.0	43.9	35.5-56.2	16.4	50.7	44.2-57.4	1990-1998	0.8*	1998-2010	0.3*	2010-2016	0.8*	0.5*
	70+ years	1.6	48.5	39.8-60.8	4.3	57.3	50.5-63.5	1990-2010	0.6*	2010-2016	0.8*			0.6*

	Age	1990			2016			Trend1		Trend2		Trend3		
		Case	ASR	95% UI	Case	ASR	95% UI	Period	APC (%)	Period	APC (%)	Period	APC (%)	- AAPC (%)
	15-49 years	80.7	7.4	6.6-8.4	114.3	6.0	5.6-6.6	1990-1995	0.8*	1995-2012	-1.4*	2012-2016	-0.3*	-0.8*
Global	50-69 years	156.5	44.9	42.2-49.4	246.9	38.0	35.6-41.4	1990-1994	0.5*	1994-2003	-0.5*	2003-2016	-1.1*	-0.7*
	70+ years	99.6	85.6	81.8-92.2	174.1	74.5	69.9-80.5	1990-1994	0.9*	1994-2002	-0.5*	2002-2016	-1.0*	-0.5*
High SDI	15-49 years	20.9	8.9	8.6-9.1	16.0	5.7	5.4-6.0	1990-1994	-1.1*	1994-2012	-2.1*	2012-2016	-0.4	-1.7*
	50-69 years	59.8	64.2	62.2-66.3	63.5	46.2	43.9-48.5	1990-1995	-0.8*	1995-2012	-1.5*	2012-2016	-0.7*	-1.3*
	70+ years	60.4	128.9	123.9-134.0	82.6	99.5	93.2-106.4	1990-1995	0	1995-2009	-1.3*	2009-2016	-1.0*	-1.0*
High-middle SDI	15-49 years	14.5	7.5	6.9-8.2	17.2	5.2	4.5-5.9	1990-1994	2.7*	1994-2016	-2.2*			-1.5*
	50-69 years	33.0	43.9	40.7-48.0	46.2	36.5	31.4-43.2	1990-1994	2.7*	1994-2004	-0.4*	2004-2016	-2.1*	-0.7*
	70+ years	17.9	67.9	63.2-74.0	34.4	71.1	62.6-82.0	1990-1994	3.5*	1994-2003	0.9*	2003-2016	-1.2*	0.2*
Middle SDI	15-49 years	22.5	6.3	5.6-7.3	37.0	5.6	5.0-6.2	1990-2002	0.3*	2002-2008	-1.8*	2008-2016	-0.7*	-0.5*
	50-69 years	30.9	31.1	28.2-37.1	70.0	31.8	28.8-34.7	1990-2002	0.8*	2002-2012	-0.2*	2012-2016	-0.9*	0.1*
	70+ years	12.1	45.8	41.6-57.5	31.5	51.7	46.8-56.7	1990-2002	1.1*	2002-2011	0.2*	2011-2016	-0.7*	0.5*
Low-middle SDI	15-49 years	17.7	7.3	5.5-9.7	33.1	6.7	5.8-8.2	1990-1999	0.5*	1999-2012	-1.2*	2012-2016	0.6	-0.4*
	50-69 years	25.8	38.9	31.4-50.6	51.2	37.9	32.7-48.1	1990-1999	0.7*	1999-2012	-0.8*	2012-2016	0.5	-0.1*
	70+ years	7.2	47.8	37.8-66.7	20.2	57.5	47.8-74.6	1990-2001	0.9*	2001-2011	0.3*	2011-2016	1.0*	0.7*
Low SDI	15-49 years	5.0	7.9	5.8-11.4	10.9	8.3	6.7-10.3	1990-1995	1.2*	1995-2010	-0.3*	2010-2016	0.7*	0.2*
	50-69 years	7.0	44.4	35.7-58.2	15.7	49.1	42.1-56.5	1990-1996	1.0*	1996-2009	0	2009-2016	0.7*	0.4*
	70+ years	1.9	59.9	48.7-76.3	5.2	72.6	62.3-82.3	1990-1995	1.0*	1995-2009	0.5*	2009-2016	1.1*	0.8*



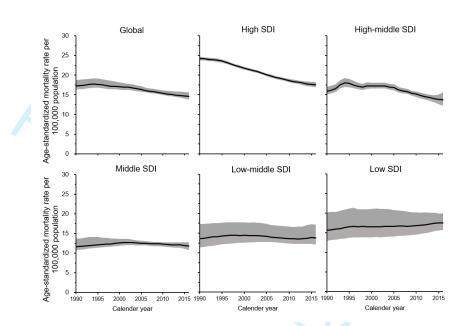
Supplementary figure 1A. Trends in breast cancer age-standardized incidence rate (ASIR) according to SDI settings, 1990-2016. The black lines represent the estimated ASIRs, and areas shaded in gray represent 95% uncertainty intervals.





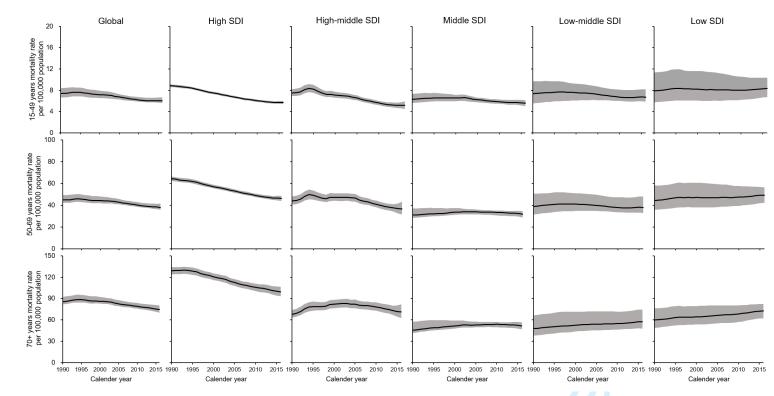
Supplementary figure 1B. Trends in incidence rate for 15-49, 50-69 and 70+ age groups according to SDI settings, 1990-2016. The black lines represent the estimated agespecific rates adjusted within each group following the new world population age-standard, and areas shaded in gray represent the 95% uncertainty intervals.

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Supplementary figure 2A. Trends in breast cancer age-standardized mortality rate (ASMR) according to SDI settings, 1990-2016. The black lines represent the estimated ASMRs, and areas shaded in gray represent 95% uncertainty intervals.





Supplementary figure 2B. Trends in mortality rate for 15-49, 50-69 and 70+ age groups according to SDI settings, 1990-2016. The black lines represent the estimated agespecific rates adjusted within each group following the new world population age-standard, and areas shaded in gray represent the 95% uncertainty intervals.

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Section/Topic	tion/Topic Item # Recommendation						
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	#1				
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	#2				
Introduction							
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	#4				
Objectives	3	State specific objectives, including any prespecified hypotheses	#4				
Methods							
Study design	4	Present key elements of study design early in the paper	#5-6				
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	#5-6				
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	#5-6				
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	#5-6				
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	#5-6				
Bias	9	Describe any efforts to address potential sources of bias	#5-6				
Study size	10	Explain how the study size was arrived at	#5-6				
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	#5-6				
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	#6-7				
		(b) Describe any methods used to examine subgroups and interactions	#6-7				
		(c) Explain how missing data were addressed	#6-7				
		(d) If applicable, describe analytical methods taking account of sampling strategy	#6-7				
		(e) Describe any sensitivity analyses	#6-7				

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	#7-9
		(b) Give reasons for non-participation at each stage	#7-9
		(c) Consider use of a flow diagram	#7-9
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	#7-9
		(b) Indicate number of participants with missing data for each variable of interest	#7-9
Outcome data	15*	Report numbers of outcome events or summary measures	#7-9
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence	#7-9
		interval). Make clear which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	#7-9
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	#7-9
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	#7-9
Discussion			
Key results	18	Summarise key results with reference to study objectives	#9-10
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	#11-12
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	#9-11
Generalisability	21	Discuss the generalisability (external validity) of the study results	#9-11
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	#12-13

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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