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The benefits of the 'village': a qualitative exploration of the patient experience of COPD in rural Australia

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Manuscripts

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8 **TITLE:** The benefits of the 'village': a qualitative exploration of the patient experience of
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10 COPD in rural Australia

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12 **Running title: COPD management in a rural setting**
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15
16
17 **Authors**

18
19 Glenister, Kristen^a, Haines, Helen, Disler, Rebecca^a
20

21 **Affiliations**

22
23 a. Department of Rural Health, Faculty of Medicine, Dentistry and Health
24 Sciences | University of Melbourne
25

26
27 Corresponding Author:

28
29 Dr Kristen Glenister

30
31 Research Fellow, Rural Chronic Ill Health

32
33 Department of Rural Health

34
35 'The Chalet'

36
37 Docker Street, Wangaratta, 3677.

38
39 Australia

40
41 +61 3 5823 4532

42
43 kristen.glenister@unimelb.edu.au
44

45
46 Twitter: [@kristenglenist1](https://twitter.com/kristenglenist1), [@HelenHaines1](https://twitter.com/HelenHaines1), [@RebeccaDisler](https://twitter.com/RebeccaDisler)
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48
49

50 **Author contributions:** (1) the conception and design of the study, or acquisition of data, or
51 analysis and interpretation of data: KG, HH, RTD, (2) drafting the article or revising it critically
52 for important intellectual content: KG, HH, RTD, (3) final approval of the version to be
53 submitted: KG, HH, RTD.
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ABSTRACT

TITLE: The benefits of the 'village': a qualitative exploration of the patient experience of COPD in rural Australia

Objectives: This study sought to explore patients' experiences of living with and adapting to COPD in the rural context. Specifically, our research question was 'What are the barriers and facilitators to living with and adapting to COPD in rural Australia?'

Design: Qualitative, semi-structured interviews. Conversations were recorded, transcribed verbatim and analysed using thematic analysis following the consolidated criteria for reporting qualitative research (COREQ) guidelines.

Setting: Patients with COPD, admitted to a sub-regional hospital in Australia were invited to participate in interviews between October and November 2016.

Main outcome measures: Themes were identified that assisted with understanding of the barriers and facilitators to living with and adapting to COPD in the rural context.

Results: Four groups of themes emerged: *Internal Facilitators* (coping strategies; knowledge of when to seek help) and *External Facilitators* (Centrality of a known doctor; health team 'going above and beyond'; and social supports) and *Internal and External Barriers to COPD self-management* (loss of identity, lack of access and clear communication, socio-cultural challenges), that were moderated by feelings of inclusion or isolation in the rural community or 'village'.

Conclusions: Our findings suggest that community inclusion enhances patient's ability to cope and ultimately self-manage COPD. This is facilitated by living in a supportive 'village' environment, and included a central, known doctor and health team willing to go 'above and beyond'. Understanding, or supplementing, these social networks within the broader social structure may assist people to manage chronic disease, regardless of rural or metropolitan location.

Key words (4-10): Chronic obstructive pulmonary disease; general practice; rural; self-management; qualitative.

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3 **What is already known about this topic?**
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- 5
- 6 • **Optimal care of COPD is patient centred, integrated and based upon self-management with**
7 **early access to specialist care**
 - 8 • **Self-management of COPD is more difficult for older patients and those living alone**
 - 9 • **COPD care in rural areas is typically delivered by a more generalist health care team**
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14 **What this study adds:**
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- 16
- 17 • **Community inclusion within a ‘village’ context impacts experience of COPD**
 - 18 • **Patients experience social inclusion through long term, quality relationships with health**
19 **professionals and within social networks**
 - 20 • **Patients who experience inclusion adapt more easily to life with COPD and to the self-**
21 **management approach**
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30 **Article summary:**
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- 33 • **Exploration of a range of social, emotional and health service related supports**
 - 34 • **Exploration in the rural context**
 - 35 • **Qualitative, in depth exploration of the patient experience**
 - 36 • **Limitation: it is unknown whether the findings are specific to rural context**
 - 37 • **Limitation: the impact of social support on overall quality of life in rural context is**
38 **not well understood**
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INTRODUCTION

Chronic obstructive pulmonary disease (COPD) is a chronic condition characterised by non-reversible airways obstruction, cough, phlegm and dyspnoea.(1) COPD is the fourth leading cause of death globally.(2, 3) Social costs include significant disability, poor physical functioning, social isolation, and caregiver burden.(4) Disease trajectory involves progressive deterioration of lung function, decreasing quality of life, and increasing acute exacerbation frequency and hospitalisation.(5, 6) Management of COPD is complex and patients often live with multiple comorbid conditions and may have poor mental health.(7, 8) Optimal care of COPD is founded on seamless, integrated, patient-centred care delivered by a multidisciplinary team with an emphasis on self-management.(9) Engagement with self-management is associated with decreased hospital readmission (10) and increased quality of life.(11) However, research suggests that less than half of patients with COPD will achieve effective self-management, with younger patients and those living with others more able to address the complex disease management requirements.(10) Early access to specialist care enhances support for coordination and self-management of COPD in primary care,(12) with positive relationships with health professionals pointed to as facilitating navigation through and perceived quality of health care.(13)

In the rural context, workforce constraints restrict access to multidisciplinary, specialist providers, with care likely to be delivered by a smaller, more generalist team. A qualitative study in New Zealand found that care pathways for COPD care in rural contexts were unclear and poorly coordinated.(12) A recent study in the United States found that although access to diagnostic testing and specialists was restricted in rural clinics, quality and patterns of healthcare were similar for COPD between urban and rural clinics.(14) In a rural Canadian study, long-term relationships with general practitioners, community support and personalised care helped to overcome issues of restricted specialist access in COPD care.(15) In the Australian context, a study found that self-monitoring of symptoms and support from health professionals assisted patients to manage breathing difficulties and avoid emergency

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3 department presentations.(16) Social inclusion and a sense of belonging in COPD has been shown to
4
5 influence a person's experience of living with COPD,(17, 18) however, less is known about this
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7 experience in the rural context. Literature has pointed to a high degree of social capital within rural
8
9 communities and inclusion of those who belong within social networks,(19) however, the converse
10
11 has also been reported for those who do not experience this inclusion.(20) Following, a review of
12
13 qualitative research into chronic disease management in rural areas across North America, Europe,
14
15 Australia and New Zealand found that the rural environment offered several positive aspects, namely
16
17 personalised care, clinicians being better positioned to provide patient centred care and increased
18
19 community belonging which could counteract vulnerability. (21)
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25 Rural studies focussed on the experience of COPD from a patient's perspective are uncommon. This
26
27 study has sought to explore patient perspectives of living with and adapting to COPD in the rural
28
29 Australian context. Understanding patient perspectives on current barriers and facilitators will inform
30
31 rural workforce and care structure planning.
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36 **Methods:**

37 **Design**

38
39 Qualitative study using semi-structured interviews following the Consolidated criteria for reporting
40
41 qualitative research (COREQ) guidelines.(22) Thematic analysis was chosen as it allowed for synthesis
42
43 focused on a phenomenon of interest;(23) this being the experience of living with and adapting to
44
45 COPD in the rural context. Thematic analysis is also is a transparent method that actively seeks to
46
47 remain close to the primary data and avoids over analysis.(24)
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52 **Sample and setting**

53
54 A convenience sample of patients admitted to a sub-regional Australian hospital with a primary
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56 diagnosis of COPD in the preceding twelve months. In this setting, people with COPD are typically
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58 managed by General Practitioners with or without a Generalist Physician, and some are supported by
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3 community allied health services including access to physiotherapists, occupational therapists and
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5 social-workers in a pulmonary rehabilitation programme.
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10 **Data collection**

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12 Semi-structured questions were developed in consultation with experts in the field of chronic illness
13
14 and community health care and sought to explore experiences of care coordination and living with
15
16 COPD in the rural context, and are listed as Appendix 1. Potential participants were sent an invitation
17
18 letter by the hospital following discharge. Interviews undertaken at a location chosen by the
19
20 participant. The female interviewer (KG, PhD) had training in interviewing techniques and experience
21
22 in chronic disease management and health care delivery research. The interviewer had no prior or
23
24 ongoing relationship with the participants. Interviews were audio-recorded and transcribed verbatim.
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26 Names of people and pets were changed to increase anonymity of participants. No additional data
27
28 available.
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32 **Data analysis**

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34 Thematic synthesis was completed in three stages by two or more authors as follows:(24-26) All data
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36 were entered into NVivo11 QSR®, followed by line-by-line free coding of primary data (Stage 1) (two
37
38 researchers). Free codes were then organised into descriptive themes (Stage 2), with confirmation of
39
40 themes through discussion (two researchers).(24-26) Random selection of data extracts by a third
41
42 independent researchers ensured trustworthiness of the data coding and themes, with disagreements
43
44 resolved through discussion.(24-26) Lastly, central emergent analytical themes were developed
45
46 through group discussion (Stage 3) to provide a broader understanding and meaning to the data within
47
48 the context of patient's experiences of care coordination and living with COPD in the rural Australian
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50 context (three researchers).(24-26) Transparency of method, the use of independent investigators,
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52 and group discussion were used to promote the validity of findings, and rigor and trustworthiness of
53
54 the synthesis process.(24-26) Reflection was actively sought through discussion to minimise bias and
55
56 come to agreement as to data saturation.(27) The final themes, with quotes for illustrative purposes
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3 are summarised in table 1.
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5 **Ethics approval**

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7 Ethics approval was granted by (to be provided post blinded peer review) Human Research Ethics
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9
10 Committee (project 175, August 2016). Signed, informed consent was obtained from each interviewee.
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12 **Patient and Public Involvement statement**

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14 This research involved patient interviews. Patients were not invited to comment on the study design
15
16 and were not consulted to develop patient relevant outcomes or interpret the results. Patients were
17
18 not invited to contribute to the writing or editing of this document for readability or accuracy. We
19
20 will disseminate results to study participants.
21
22

23 **Transparency declaration:**

24
25 The lead author affirms that this manuscript is an honest, accurate and transparent account of the
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27 study being reported and that no important aspects of the study have been omitted, and that any
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29 discrepancies from the study have been explained.
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34 **RESULTS**

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36 Fourteen people with COPD consented to participate, along with a family member if they desired. No
37
38 participants dropped out of the study. Interviews were undertaken between October and November
39
40 2016, with a duration of 19 to 77 minutes.
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45 **Facilitators and barriers to COPD self-management in the rural context**

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47 Thematic analysis resulted in four groups of themes that influenced whether a person with COPD was
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49 able to adapt to and ultimately self-manage their condition in the rural context, including: *Internal*
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51 *Facilitators* (coping strategies; knowledge of when to seek help), *External Facilitators* (Centrality of a
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53 known doctor; health team going above and beyond; and social supports) and *Internal Barriers* (loss
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55 of identity), *External Barriers* (lack of access and clear communication, socio-cultural challenges).
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57 These themes were furthermore moderated by feelings of 'inclusion' (feeling welcomed in the
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3 community) or 'isolation' (feeling emotionally separate from others in the community) within the rural
4 context. These findings are summarised in Figure 1. Ability to adapt to the 'new normal' of life with
5 COPD and self-manage COPD could be considered as a spectrum from positive (adaptation to the new
6 normal with effective self-management) to negative (inability to adapt or self-manage). These themes
7 are summarised in Figure 1.
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18 **Internal Facilitators to COPD self-management**

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20 Internal facilitators that emerged from analysis were the development of coping strategies and
21 learning when to seek help in the context of COPD self-management.
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27 ***Coping strategies:***

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29 Learning to cope was a key theme with a matter of fact and 'making the best of things' approach taken
30 to this new condition: *I didn't get depressed about (COPD diagnosis) or anything ... I thought well*
31 *you've got it, you've got to live with it* (Participant (P)1). Adaptation to a new normal occurred through
32 learning to coping strategies and accepting a new life pace: *'You have to perform at the rate your lungs*
33 *will let you. So you get slower and slower, year-by-year.'*(P11) Specific approaches were used to
34 manage symptoms fluctuations, with one participant voicing: *'Quite often I get attacks... during the*
35 *night if the temperature drops suddenly... But I can control that quite often - I get up and make a cup*
36 *of coffee and sit up in the chair ... then I go back to bed and I'm alright the next morning'*. Others
37 discussed how they learnt how to retain activities that gave them joy: *'...we did a lot of dancing and if*
38 *I got really hot I'd have to go out of the hall into the fresh air ... I'd go out there and I could settle my*
39 *breathing down.'* (P1).
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56 ***Knowing when to seek help***

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58 Development of knowledge in how and when to seek help also facilitated adaptation and capacity for
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3 self-management. Training in seeking help without delay was voiced as important to avoiding acute
4 deterioration: *'Well; self-management, from what they've told me and what they've taught me, is to*
5 *live as comfortably as you can with your disease you've got and don't "buggerise around if you get*
6 *crook" [delay if you get sick].'*(P10). Recognising deterioration was important: *'You're either on a high*
7 *or you're on a low. You can feel yourself going down.'*(P13), as was knowing when to access emergency
8 services: *'Well if it gets to the stage where he can't breathe properly - into the hospital. That's just*
9 *what we do'*(P5).

23 **External Facilitators to COPD self-management**

24 External facilitators related to the centrality of a known doctor; the health team going 'above and
25 beyond', and social support.

32 **Centrality of known doctor**

33 Continuity of care with practitioners led to supportive long-term relationships: *'He's (GP) my rock'* (P9)
34 and *'if (doctor) were to leave and go somewhere else I don't know what I'd do.'*(P3). Coordination
35 between health members was also raised as important: *'with different things she'd (GP) say 'I'll ring*
36 *(specialist) and talk to her about it. So they worked hand in hand'* (P12). Integration of health
37 professionals within the community also facilitated trust and confidence for patients to express their
38 needs: *'(Doctor) happened to be one of my neighbours.... He asked 'how is Rufus (my dog)? How are*
39 *you going? He even said 'what's your wife doing? Your clothesline is chock-a-block (full) every day'.*
40 *Then he started talking, he says 'What is it?' I said, 'look mate, I'm not happy, I want to go home'* (P7).
41 Rural workforce shortages can inhibit urgent care, however those with established relationships were
42 accorded access: *'He said to me, if ever I can't get in, tell them I've got to see him'*(P9), similarly another
43 patient voiced: *'I talk to the girls at the counter and I've got to book in three months ahead. I said I'm*
44 *not booking into you for three months ahead - I said I'll ring you ... she puts me in every time'*(P13).
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Health team going 'above and beyond'

Throughout the interviews participants voiced how health professionals went 'above and beyond' to provide support, from simple presence: *'while I was in hospital (doctor) came in nearly every day and he didn't have to'*(P13), to assisting with community access: *'(Doctor) came 'round to my place and sat down with my medications. She took them up to the chemist herself and got them put into a Webster pack (Dosette-box)'*(P8). Several doctors went as far as providing their private contact details: *'We had to see (doctor) before we went on holiday and she'd give us her mobile number and "if anything happens, call me straight away"'* (P11). Assistance with policy constraints were also noted, these little and kind adjustments keenly felt by participants: *'They let us sneak through the door which brought us right in. Words cannot explain how great they were. We were so comfortable with all the staff that we could have asked them anything'* (P9).

Social support

Community supports created the sense of an 'inclusive village', with non-health workers, such as bus drivers, supporting participants to be independent: *'They're great. They lift me up on the thing (disability access ramp) because I've got the oxygen'*(P6). Family and caregiver support were also clearly articulated both in day-to-day care but also with logistical challenges: *'The other night the power went off. So that's when you really need somebody ... you've got to go and get an oxygen bottle and set it up to breathe.'*(P6). Caregiver support extended to recognition of symptoms and decision-making, as well as recognising when to pace activities: *'(She) will pick very quickly if I'm tiring. You never say stop ... Just one more thing'* (P11).

Similarly, peer support was also raised in the context of community through pulmonary rehabilitation: *(Pulmonary rehabilitation) is amazing. Number 1, you go there for exercises. Number 2- beautiful to sit there and talk to the next person. They all got similar things ... We just listen to one another and then naturally crack up a joke or something ... We look after one another.*(P7)

Internal Barriers to self-management of COPD

Loss of identity, lack of access and clear communication and socio-economic and cultural challenges were raised as the key barriers to self-management to COPD in the rural context.

Loss of Identity

Participants expressed a loss of identity and psychological impact, particularly through changed work-life role: *'I was forced to retire. I didn't like the idea of it. I was depressed'* (P7). This was also expressed as loss of something that brought joy: *'I was that wrecked (by having to retire). It was unbelievable. I don't think that anybody would have loved their jobs as much I loved mine'* (P8). Emotional distress was also connected with the unrelenting nature of the condition: *'I thought, this is not worth it. What's the use in living when you suffer like this even though my mind is clear and everything?'* (P7). One caregiver also expressed distress at seeing the progressive decline and impact: *'He was deteriorating before my eyes. He also suffered depression because of all this pain'* (P13).

External Barriers to self-management of COPD

Lack of access and clear communication

Issues of staff retention in the rural workforce raised barriers to continuity and communication: *'You don't even get to know (the GPs), 18 months and they're gone'* (P14). Reduced numbers of health professionals also caused delays in access: *'I could only access her every six weeks at the least. You could never get her if you were sick.'*(P3) Similarly, limited alternatives left some participants to rely on emergency services: *'I've gone down to see a doctor, and I've clashed, or whatever. So they've rang an ambulance and said, go to hospital.'*(P8). Communication with unfamiliar clinicians also at times left some participants feeling in the dark: *'I was in hospital two weeks ago and it wasn't until a week after I got out, with my GP, that I actually found out what was the matter with me'* (P4). The need to continually re-tell their story was also a frustration when health professionals appeared to not

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3 communicate with one another:
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5 *'there's been a few times where I've gone to emergency. You explain the situation - like, you're*
6 *having trouble breathing as it is. They're trying to say oh, how long have you been like this for?*
7 *Have [they] got any medical records? You'll see what's the matter with me is! And then the*
8 *next doctor comes in for the next shift - they say oh, what are you here for? Talk to each other*
9 *instead of having to ask the patients.'* (P8).
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19 **Socio-cultural challenges**

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21 In contrast to those who voiced positive social inclusion; others expressed social isolation: *I wasn't*
22 *coping. I wasn't well enough to do my own food preparation ... I'm fairly new and I'm not a local - I*
23 *didn't know anyone so you don't like asking someone'* (P14). In contrast to those afforded access
24
25 through established relationships, participants newly arrived felt disconnected from support:
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28 *'The community clinic was the one that I accessed because they HAD TO take new patients.*
29 *That was my only avenue at the time ... I needed to access somebody who knew me because*
30 *[COPD] doesn't go by the record sort of thing ... when you get sick, you're sick enough to*
31 *need care straight away'.*(P14)
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39 Financial impact was raised several times, with participants having to balance treatments choices as
40 well as resource choices to stay well: *'We chose to increase the temperature of the house by a few*
41 *degrees. It's just another cost'* (P11). Requirements to replace stoves for oxygen therapy safety was
42
43 an added burden, and support for such measures perceived to be influenced by social circumstance:
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45 *'If you were living in Housing Commission you would be subsidised, but because we were (self-funded*
46 *retirees) ... nothing'.*(P6).
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DISCUSSION (emphasise the village, rural, strength based, what is novel)

This study explored patient experiences of living with and managing COPD in the rural context. Our results suggested that community inclusion, or inclusion in the 'village' context, moderated adaptation to a 'new normal' of living with COPD, and enhanced a person's ability to cope and ultimately self-manage their condition. Community inclusion also influenced whether a person experienced either a net balance of positive *facilitators* (knowledge of coping strategies and when to seek help, a central, known doctor, a health care team 'going above and beyond', social supports) or more pronounced balance of net negative *barriers* (loss of identity, lack of communication between health care team, socioeconomic or cultural disadvantage) to living with and managing COPD.

The benefits of social connection, social support, living with a caregiver, and peer support through pulmonary rehabilitation are well recognised in COPD and have been reported widely in previous literature (17, 28) and evident in the present study. These benefits have been shown to reduce the likelihood of smoking, increase exercise capacity, reduce emergency department visits and enhance coping.(29, 30) Conversely, loss of identity, (18) poor continuity of care from health professionals, poor communication between members of the health care team,(31) exclusion from social networks, and socioeconomic disadvantage are equally recognised as key barriers.(32) These influences are associated with reduced coping ability, decreased help seeking, (33) the need to continually repeat medical history, and are barriers to develop trusting relationships with health professionals. (34)

The strength of this study is the exploration of a range of medical, emotional and social supports, and the way these impact people living with COPD in the rural context. Our findings suggest that a rural 'village' exists, that encompasses supportive health professionals, family, friends and community members. The extent to which a person living with COPD is included in this 'village' is variable and has a marked influence on coping and management. Inclusion in the 'village', where one's neighbour could also be one's doctor or where family members were given the GP's private telephone number, was

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3 strongly facilitative. There were many examples provided of close, trusting, long term relationships
4 with doctors, health professionals 'going above and beyond', and social supports that enabled COPD
5 management within the rural context. Similarly, self-management of COPD has been depicted as being
6 built upon a pyramid of four categories of professionals (the patient (at the apex), partner, physician
7 and the public's perception of the disease).(35) Perhaps this pyramid also depicts the source and
8 importance of each category of people to a person living with COPD.
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19 Previous studies have reported that COPD related symptoms and behaviours, such as coughing in
20 public and wearing an oxygen mask, heighten feelings of self-blame due to historical smoking, and
21 have been linked to feelings of loneliness and embarrassment. (17, 18) However, self-blame was not
22 a prominent theme in this study, perhaps due to the protective aspects of social 'inclusion' within
23 these established rural communities. Similarly, while much of rural health discourse focuses on deficits
24 in care and experience,(36) and that respiratory care within the explored region is based on a rural
25 generalist model, participants in this study did not speak of 'missing out' on services, information or
26 access to specialists. This may be because those living in rural contexts are unaware of other models
27 of care delivered through metropolitan centres, or that they believed they were receiving the care
28 that met their needs, or the desire to receive services locally or from familiar people was more
29 important than accessing a different model of care elsewhere. Further research is required to
30 understand this perspective, given the unequivocal evidence that there is a lack of access to specialist
31 services in this and other rural contexts.
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50 This study was undertaken at a single site with a sample of people who had been hospitalised with
51 COPD in the preceding 12 months. As such, it is likely to reflect only those perspectives of people who
52 have been in recent contact with health services and were willing to undertake an interview. However,
53 the strength of this work is that it provides important insights into rural healthcare experience and
54 inclusively explored medical, social and emotional supports in this context.
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5 The results of this study suggest that adaptation, coping and effective self-management can be
6 enhanced via a range of medical, emotional and social supports. There is substantial value of
7 pulmonary rehabilitation as a *de facto* community and the benefit of a social 'village' in supporting
8 people with chronic progressive disease. Health professionals may consider assessing patients for level
9 of social/community inclusion and connecting patients with available services in the community. This
10 may be of particular importance given the relationship seen between social exclusion and sub-optimal
11 coping.
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23 Social support is known to positively influence psychological health and self-efficacy of people with
24 COPD, however, less is known about the benefits this confers on overall quality of life and physical
25 functioning,(37) particularly in the rural context. (21) The benefit and experience of living in a
26 supportive 'village' community could be further explored in the urban context to further understand
27 the complexities of non-medical social and emotional support. Furthermore, the patient perspective
28 of coping strategies and self-management approaches could be used to inform more user-friendly
29 education material to address the recognised poor knowledge and understanding of COPD.(38)
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41 CONCLUSION

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43 In this study, the rural context offered an advantage for the people with COPD who experienced
44 inclusion (in the 'village'), with the centrality of a known doctor and a health professional team willing
45 to go 'above and beyond' key to this positive experience. Evidence of the benefit of strong social and
46 family supports were noted, in line with prior studies. Understanding barriers and facilitators to
47 supported COPD self-management will help inform future rural workforce and service development.
48 Further research is needed to understand how social networks within the broader social structural
49 conditions influence the way in which patients with live and manage their disease, and to compare
50 experiences of COPD in rural and urban contexts.
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4
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7 We sincerely thank the team involved in the XXX project (details to be added following peer review).
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11

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Table 1: Example of analysis process and illustrative quotes.

	Category	Sub-category	Code	Condensed meaning unit	Meaning unit
Internal facilitators	Coping Strategies	Individual approaches to self-management	Matter of fact approach to COPD	Making the best of things	I didn't get depressed about it (COPD diagnosis) or anything, no, I thought well you've got it, you've got to live with it (P1)
			Acceptance of slowed pace.	There is no problem with her going on the train with the oxygen.	It just slows you down
			Learning approaches to manage symptoms	But I can control that... I go back to bed and I'm alright the next morning	There is no problem with her going on the train with the oxygen. So that's not a problem. It's just a matter of putting her on the train and the oxygen will last for eight hours. (P3)
			Working to retain activities of joy	I could settle my breathing down	Quite often I get attacks - mild attacks - during the night if the temperature drops suddenly and it's cold, and I haven't had the heater on or something enough that can set me off. But I can control that quite often - I get up and make a cup of coffee and sit up in the chair and turn the heating on and then I go back to bed and I'm alright the next morning (P14)
					...we did a lot of dancing and if I got really hot I'd have to go out of the hall into the fresh air, I felt like I was suffocating in the hall and I'd go out there and I could settle my breathing down by getting the fresh air outside. (P1)
					'So he still goes out and enjoys that, but he doesn't dance every dance like he used to' (P11)
	Knowing when to seek help	Knowing when to seek help	Acting on warning signs	Don't delay if you get unwell	Well self-management from what they've told me and what they've taught me is to live as comfortably as you can with what you've got - with your disease you've got and don't buggerise around if you get crook [delay if you get sick]. That's it. (P15)
			Recognising changes	You can feel yourself going down	You're either on a high or you're low. You can feel yourself going down and I have a boost of prednisolone. I used to have 25 for three days, 12 and a half for three days, and then five for seven days. (P1)

External Facilitators	Centrality of a known doctor	Continuity, trust, and connection	Central to support and coordination of care	Central position of support	He's (GP) my rock (P9) Well if (doctor) were to leave and go somewhere else I don't know what I'd do. (P3) . 'with different things she'd (GP) say 'I'll ring (doctor 1) and talk to her about it. So they worked hand in hand' (P12) .
			Providing access when needed	Doctor integrated as part of community	He happened to be one of my neighbours. He then asked 'how is Rufus (my dog) going? How are you going? He even said 'what's your wife doing? Your clothesline is chock-a-block every day'. Then he started talking, he says 'What is it?' I said, 'look mate, I'm not happy, I want to go home' (P7) He said to me, if ever I can't get in, tell them I've got to see him, yes. (P9) My GP is really good. I say to her, it's no good me making an appointment with you - I said this quite a while back - because I talk to the girls at the counter there and I've got to book in three months ahead. I said I'm not booking into you for three months ahead. I said I'll ring you and let you know when I'm free. When I'm free....She puts me in every time. (P7)
			Converse impact of lack of access	You couldn't get an appointment with her because she was booked out all the time	They only had the one lady doctor - and she'd been there forever apparently, highly regarded. So I got an appointment with her and she did all these tests on me and checked blood tests and everything. Found out that I was okay as such, and - but I could only access her every six weeks at the least. You could never get her if you were sick. Just because you were sick you couldn't get an appointment with her because she was booked out all the time (P3)

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	Health team going above and beyond	Patients supported with inclusive access	Going the extra mile to accommodate and care for patients	<p>Visiting and providing support</p> <p>Giving patients their private number</p> <p>Facilitating access</p>	<p>while I was in hospital (doctor 2) came in nearly every day and he didn't have to' (P13) (Doctor) came round to my place and sat down with my medications. She took them up to the chemist herself and got them put into a (Dosette-box). So I go to the chemist, now, and pick them up in a (Dosette Box). (P8)</p> <p>We had to see her (doctor 1) before we went on holiday and she'd give us her mobile number and "if anything happens, call me straight away"(P11)</p> <p>Well (wife) went overseas. (Doctor) said well what are you doing? I decided not to come ... I'm going to family. (Doctor) said okay, give the family my number. Here's some extra medication, and this is the instructions if something happens. (P11)</p> <p>They let us (daughters of patient with COPD) sneak through the door around the other side, which brought us right in. Words cannot explain how great they were. We were so comfortable with all the staff that we could have asked them anything, every button they pressed on a machine, every tube they played with, they explained to us what they were doing (P9)</p>
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Rural COPD management

	Social support	<p>Community supports assisting with independence</p> <p>Family support</p> <p>Peer support</p>	<p>Community support independent living and watching over and taking care</p> <p>Family support with day to day and in recognising and managing symptoms</p> <p>Community through pulmonary rehabilitation</p>	<p>The bus drivers are great, they lift me up because I've got oxygen</p> <p>He Fell over in the garden one of them lifted him up</p> <p>Family provide care and support – day to day and in time of need</p> <p>Family assist with recognition of symptom changes</p> <p>We look after one another and talk nicely and beautiful</p>	<p>'When I go up the street on the bus, the bus drivers, they're great. They will lift me up on the thing, because I've got the oxygen, I can lift up and that. At the picture theatre I find, and everywhere I go actually, I find them very good' (P6)</p> <p>We get along very well with the maintenance fellows. (Patient with COPD) fell over in the garden out the side and one of the maintenance boys came up, lifted him up' (P5)</p> <p>The other night the power went off. So that's when you really need somebody ... you've got to go and get an oxygen bottle and set it up to breathe. (P6).</p> <p>Like at one stage what was about 12 of us in there with you (Mum, in Critical Care), (nurses) did not bat an eyelid. They (nurses) could see that the family support was what was keeping her going (daughter of participant 9). (She) helps me put my socks on, tells me to get out of bed. Tells me not to drink too much. General company. It's really what it is. (She) will pick very quickly if I'm tiring. You never say stop. Just one more thing. I get that a lot. So I'm running on the Plimsoll line all the time. Just one more thing, then we'll go home. (P11)</p> <p>Pulmonary rehabilitation also created an important community: Oh my goodness, (pulmonary rehabilitation) is amazing. See, number 1, you go there for exercises. Number 2- beautiful to sit there and talk to the next person. They all got similar things. We're talking about how someone feels shit. Somebody's better, somebody's worse. We just listen to one another and then naturally crack up a joke or something which they said laughter is better than medicine. We look after one another and talk nicely and beautiful.(P7)</p>
Internal Barriers	Loss of Identity	<p>Impact of restriction in social worth and contribution</p> <p>Impact on mental health</p>	<p>Loss of social role</p> <p>Mental health distress over unrelenting condition</p>	<p>Forced by COPD into early retirement/ Loss of loved work role</p> <p>Living a life of suffering</p>	<p>I was that wrecked (by having to give up work due to COPD). It was unbelievable. I don't think that anybody would have loved their jobs as much I loved mine' (P8)</p> <p>'I thought, this is not worth it. What's the use in living when you suffer like this even though my mind is clear and everything?' (P7)</p> <p>Oh, my - if I could turn the clock back, every person that told me of the person and the people that were suffering from it, I would listen to the first person and shake the shit away, wouldn't touch it again. (P7)</p>

External Barriers	Lack of access and clear communication	Lack of access and clear communication	<p>High staff turnover</p> <p>Limited alternatives</p> <p>Lack of communication</p> <p>Having to repeatedly explain</p>	<p>Don't even get to know the GPs, 18 months and they're gone</p> <p>I could only access her every six weeks/ I've clashed, they've rang the ambulance and said go to hospital</p> <p>Don't they read the files?</p> <p>The next doctor comes in, you've got to explain it again.</p>	<p>You don't even get to know (the GPs), 18 months and they're gone (P14) But the new one didn't like (local town) so they left. He was replaced by an equally good one who didn't like (local town) and left. (P3).</p> <p>They only had the one lady doctor - and she'd been there forever apparently, highly regarded. ... but I could only access her every six weeks at the least. You could never get her if you were sick. (P3)</p> <p>I've gone down to see a doctor, and I've clashed, or whatever. So they've rang an ambulance and said, go to hospital (P8)</p> <p>I was in hospital two weeks ago and it wasn't until a week after I got out with my GP that I actually found out what was the matter with me'. (P14)</p> <p>There's been a few times where I've gone to emergency. You explain the situation - like, you're having trouble breathing as it is. They're trying to say oh, how long have you been like this for? Have [they] got any medical records? You'll see what's the matter with me is! Do you know what I mean? ... and then the next doctor comes in for the next shift. Then they say oh, what are you here for? Talk to each other instead of having to ask the patients. (P8)</p> <p>Then the next doctor comes in for the next shift. Then they say oh, what are you here for, and you've got to explain it. Don't you read the files? Talk to each other, instead of having to ask the patients. (P8)</p>
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	Socio-cultural and economic challenges	Social isolation	Impact of having newly moved to the region	<p>I didn't know anyone, you don't like to ask unless they offer</p> <p>Community clinic my only avenue because I didn't know what was available</p> <p>Need somebody who knew me, need care straight away</p>	<p>I wasn't (admitted to hospital), but I felt I should have been, because I wasn't coping. I wasn't well enough to do my own food preparation ... Because I'm fairly new and I'm not a local, I didn't know anyone so you don't like to be asking someone to do those sorts of things (shopping, food preparation) unless they offer (P14)</p> <p>The community clinic was the one that I accessed because they HAD TO take new patients. I mean they had more than they could cope with I think, but that was my only avenue at the time because I didn't know what was available ... I needed to access somebody who knew me- because asthma doesn't go by the record sort of thing. You don't know when- and when you get sick, you're sick enough to need care straight away. (P14)</p>
		Financial hardship	Making choices about costs associated with chronic disease	<p>It's just another cost</p> <p>If we lived in housing commission you would be subsidised</p>	<p>Financial impact was raised several times, with participants having to balance treatments choices as well as resource choices to stay well: 'We chose to increase the temperature of the house by a few degrees. It's just another cost'.(P11)</p> <p>Requirements to replace stoves for oxygen therapy safety was another common added burden, and support for such measures perceived to be influenced by social circumstance: 'If you were living in Housing Commission you would be subsidised, but because we were (self-funded retirees) ... nothing'.(P6)</p>

COPD: Chronic obstructive pulmonary disease

GP: General Practitioner

P: Participant

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5 **Figure 1: Facilitators and barriers to COPD self-management in the rural context. Adaptation to the**
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7 **‘new normal’ of life with COPD and ability to self-manage were influenced by facilitators and**
8 **barriers, moderated by inclusion (2 column figure).**
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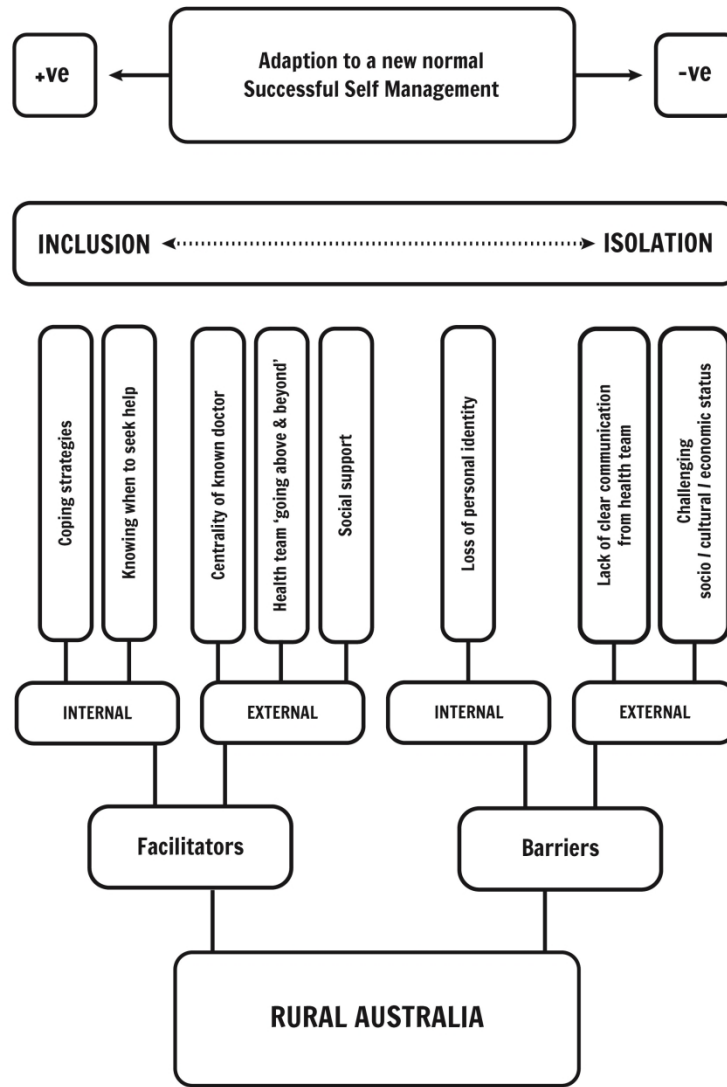


Figure 1: Facilitators and barriers to COPD self-management in the rural context. Adaptation to the 'new normal' of life with COPD and ability to self-manage were influenced by facilitators and barriers, moderated by inclusion.

210x297mm (300 x 300 DPI)

APPENDIX I INTERVIEW SCHEDULE

1. Overall, what has your experience of having COPD been like?
2. Can you tell me a little about how and when your illness started and how that led to a diagnosis?
Can you describe your initial thoughts to being diagnosed?
3. Have you received information about your condition?
4. What sorts of things do you do because of your condition (diet, exercise, use of health services)?
5. What types of support are you currently receiving?
6. How do you feel in yourself nowadays compared to before your diagnosis? How your life is going with your COPD?
7. How has your condition impacted on you financially?
8. How do you feel in yourself nowadays?
9. How has your illness affected your social life, relationships with friends and family?

32 item COREQ checklist, as per Tong, Sainsbury & Craig, 2007:

1.	Interviewer/facilitator	Which author/s conducted the interview or focus group?	Page 6
2.	Credentials	What were the researcher's credentials? <i>E.g. PhD, MD</i>	Page 6
3.	Occupation	What was their occupation at the time of the study?	Page 1
4.	Gender	Was the researcher male or female?	Page 6
5.	Experience and training	What experience or training did the researcher have?	Page 6
Relationship with participants			
6.	Relationship established	Was a relationship established prior to study commencement?	Page 6
7.	Participant knowledge of the interviewer	What did the participants know about the researcher? <i>e.g. personal goals, reasons for doing the research</i>	Page 6
8.	Interviewer characteristics	What characteristics were reported about the interviewer/facilitator? <i>e.g. Bias, assumptions, reasons and interests in the research topic</i>	Page 6
Domain 2: study design			

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46Theoretical
framework

9.	Methodological orientation and Theory	What methodological orientation was stated to underpin the study? <i>e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis</i>	Page 5
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Participant selection

10.	Sampling	How were participants selected? <i>e.g. purposive, convenience, consecutive, snowball</i>	Page 5
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11.	Method of approach	How were participants approached? <i>e.g. face-to-face, telephone, mail, email</i>	Page 5
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12.	Sample size	How many participants were in the study?	Page 7
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13.	Non-participation	How many people refused to participate or dropped out? Reasons?	Page 7
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Setting

14.	Setting of data collection	Where was the data collected? <i>e.g. home, clinic, workplace</i>	Page 6
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15.	Presence of non-participants	Was anyone else present besides the participants and researchers?	Page 7
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16.	Description of sample	What are the important characteristics of the sample? <i>e.g. demographic data, date</i>	Page 6-7
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Data collection

17.	Interview guide	Were questions, prompts, guides provided by the authors? Was it pilot tested?	Appendix 1
18.	Repeat interviews	Were repeat interviews carried out? If yes, how many?	NA
19.	Audio/visual recording	Did the research use audio or visual recording to collect the data?	Page 6
20.	Field notes	Were field notes made during and/or after the interview or focus group?	NA
21.	Duration	What was the duration of the interviews or focus group?	Page 7
22.	Data saturation	Was data saturation discussed?	Page 6
23.	Transcripts returned	Were transcripts returned to participants for comment and/or correction?	Page 7

Domain 3: analysis and findingsz

Data analysis

24.	Number of data coders	How many data coders coded the data?	Page 6
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25.	Description of the coding tree	Did authors provide a description of the coding tree?	NA
26.	Derivation of themes	Were themes identified in advance or derived from the data?	Page 6
27.	Software	What software, if applicable, was used to manage the data?	Page 6
28.	Participant checking	Did participants provide feedback on the findings?	Page 7
Reporting			
29.	Quotations presented	Were participant quotations presented to illustrate the themes / findings? Was each quotation identified? e.g. <i>participant number</i>	Table 1 & pages 8-12
30.	Data and findings consistent	Was there consistency between the data presented and the findings?	Page 6
31.	Clarity of major themes	Were major themes clearly presented in the findings?	Figure 1
32.	Clarity of minor themes	Is there a description of diverse cases or discussion of minor themes?	Pages 8-12

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The benefits of the 'village': a qualitative exploration of the patient experience of COPD in rural Australia

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3 1 **TITLE:** The benefits of the ‘village’: a qualitative exploration of the patient experience of
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6 2 COPD in rural Australia

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8 3 **Running title: COPD management in a rural setting**
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10 4
11
12 5 **Authors**

13
14 6 Glenister, Kristen^a, Haines, Helen^a, Disler, Rebecca^a
15

16 7 **Affiliations**

17
18 8 a. Department of Rural Health, Faculty of Medicine, Dentistry and Health
19
20 9 Sciences | University of Melbourne
21

22
23 10 Corresponding Author:

24
25 11 Dr Kristen Glenister

26
27 12 Research Fellow, Rural Chronic Ill Health

28
29 13 Department of Rural Health

30
31 14 ‘The Chalet’

32
33 15 Docker Street, Wangaratta, 3677.

34
35 16 Australia

36
37 17 +61 3 5823 4532

38
39 18 kristen.glenister@unimelb.edu.au

40
41 19 Twitter: [@kristenglenist1](https://twitter.com/kristenglenist1), [@HelenHaines1](https://twitter.com/HelenHaines1), [@RebeccaDisler](https://twitter.com/RebeccaDisler)
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45
46 21 **Author contributions:** (1) the conception and design of the study, or acquisition of data, or
47
48 22 analysis and interpretation of data: KG, HH, RD, (2) drafting the article or revising it critically
49
50 23 for important intellectual content: KG, HH, RD, (3) final approval of the version to be submitted:
51
52 24 KG, HH, RD.
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27 **ABSTRACT**

28 **TITLE:** The benefits of the 'village': a qualitative exploration of the patient experience of COPD
29 in rural Australia

30 **Objectives:** This study sought to explore patients' experiences of living with, and adapting to, COPD in
31 the rural context. Specifically, our research question was 'What are the barriers and facilitators to
32 living with and adapting to COPD in rural Australia?'

33 **Design:** Qualitative, semi-structured interviews. Conversations were recorded, transcribed verbatim
34 and analysed using thematic analysis following the consolidated criteria for reporting qualitative
35 research (COREQ) guidelines.

36 **Setting:** Patients with COPD, admitted to a sub-regional hospital in Australia were invited to
37 participate in interviews between October and November 2016.

38 **Main outcome measures:** Themes were identified that assisted with understanding of the barriers
39 and facilitators to living with, and adapting to, COPD in the rural context.

40 **Results:** Four groups of themes emerged: *Internal Facilitators* (coping strategies; knowledge of when
41 to seek help) and *External Facilitators* (centrality of a known doctor; health team 'going above and
42 beyond'; and social supports) and *Internal/External Barriers to COPD self-management* (loss of identity,
43 lack of access and clear communication, socio-cultural challenges), that were moderated by feelings
44 of inclusion or isolation in the rural community or 'village'.

45 **Conclusions:** Our findings suggest that community inclusion enhances patients' ability to cope and
46 ultimately self-manage COPD. This is facilitated by living in a supportive 'village' environment, and
47 included a central, known doctor and a healthcare team willing to go 'above and beyond'.
48 Understanding, or supplementing, these social networks within the broader social structure may assist
49 people to manage chronic disease, regardless of rural or metropolitan location.

50 **Key words (4-10):** Chronic obstructive pulmonary disease; general practice; rural; self-management;
51 qualitative.

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3 52 **Strengths and Limitations:**
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- 5 53 • This study provides important insights into the rural healthcare experience and an exploration
6
7 of medical, social and emotional supports from the patient's perspective.
8 54
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10 55 • Our findings suggest that community inclusion, facilitated through a supportive 'village'
11
12 enhance patients' ability to cope and ultimately self-manage COPD.
13 56
14 57 • These findings, although based on rural data, are likely highly relevant to other settings,
15
16 including urban areas, and could be used to model social supports for those living with COPD
17 58
18 59 • This study utilised qualitative methodology to provide an in depth exploration of the patient
19
20 experience.
21 60
22
23 61 • This study was undertaken at a single site with a sample of people who had been hospitalised
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25 with COPD in the preceding 12 months and is therefore unlikely to be representative of all
26 62
27 rural patients with COPD.
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67 INTRODUCTION

68 Chronic obstructive pulmonary disease (COPD) is a chronic condition characterised by non-reversible
69 airways obstruction, cough, phlegm and dyspnoea.(1) COPD is the fourth leading cause of death
70 globally.(2, 3) Social costs include significant disability, poor physical functioning, social isolation and
71 caregiver burden.(4) Disease trajectory involves progressive deterioration of lung function, decreasing
72 quality of life, and increasing acute exacerbation frequency and hospitalisation.(5, 6) Management of
73 COPD is complex and patients often live with multiple comorbid conditions and may have poor mental
74 health.(7, 8) Optimal care of COPD is founded on seamless, integrated, patient-centred care delivered
75 by a multidisciplinary team with an emphasis on self-management.(9) Engagement with self-
76 management is associated with decreased hospital readmission (10) and increased quality of life.(11)
77 However, research suggests that less than half of patients with COPD will achieve effective self-
78 management, with younger patients and those living with others more able to address the complex
79 disease management requirements.(10) Early access to specialist care enhances support for
80 coordination and self-management of COPD in primary care,(12) with good relationships with health
81 professionals facilitating navigation through the health system and a positive perception of quality of
82 health care.(13)

83
84 In the rural context, workforce constraints restrict access to multidisciplinary, specialist providers,
85 with care more likely to be delivered by smaller, more generalist teams. A qualitative study in New
86 Zealand found that care pathways for COPD care in rural contexts were unclear and poorly
87 coordinated.(12) A recent study in the United States found that although access to diagnostic testing
88 and specialists was restricted in rural clinics, quality and patterns of healthcare were similar for COPD
89 between urban and rural clinics.(14) In a rural Canadian study, long-term relationships with general
90 practitioners, community support and personalised care helped to overcome issues of restricted
91 specialist access in COPD care.(15) In the Australian context, a study found that self-monitoring of
92 symptoms and support from health professionals assisted patients to manage breathing difficulties

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3 93 and avoid emergency department presentations.(16) Social inclusion and a sense of belonging in COPD
4
5 94 has been shown to influence a person's experience of living with COPD (17, 18). The idea of a social
6
7 95 connectedness through a supportive 'village' has been used to describe a diversity of social networks
8
9 96 and supports in contexts such as maternal and child health (19) and more recently in healthy ageing
10
11 97 through the 'aging-in-place' movement (20, 21). While the 'village' concept has not been used to
12
13 98 describe supports in COPD, there is a clear recognition of the benefit of a sense of belonging and the
14
15 99 importance of social support, from a variety of sources, in this context (17, 18). What is not well
16
17 100 understood is the experience of living with COPD and social connectedness in the rural context.
18
19 101 Literature has pointed to a high degree of social capital within rural communities and inclusion of
20
21 102 those who belong within social networks,(22) with the converse for those who do not experience this
22
23 103 inclusion.(23) Following, a review of qualitative research into chronic disease management in rural
24
25 104 areas across North America, Europe, Australia and New Zealand found that the rural environment
26
27 105 offered several positive aspects, namely personalised care, clinicians being better positioned to
28
29 106 provide patient centred care and increased community belonging which could counteract vulnerability.
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31 107 (24)
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39 109 Rural studies focussed on the experience of COPD from a patient's perspective are uncommon. This
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41 110 study has sought to explore patient perspectives of living with and adapting to COPD in the rural
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43 111 Australian context. Understanding patient perspectives on current barriers and facilitators will inform
44
45 112 rural workforce and care structure planning.
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114 **Methods:**

116 **Design**

117 Qualitative study using semi-structured interviews following the Consolidated criteria for reporting
118 qualitative research (COREQ) guidelines.(25) Thematic analysis was chosen as it allowed for synthesis

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3 119 focused on a phenomenon of interest;(26) this being the experience of living with and adapting to
4
5 120 COPD in the rural context. Thematic analysis is also is a transparent method that actively seeks to
6
7 121 remain close to the primary data and avoids over analysis.(27)
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12 123 **Sample and setting**

14 124 A convenience sample of patients admitted to a sub-regional Australian hospital (Northeast Health
15
16 125 Wangaratta) with a primary diagnosis of COPD in the preceding twelve months. Data on disease
17
18 126 severity was not collected, however all participants had required an acute admission for their COPD
19
20 127 in the previous 12 months. In this setting, people with COPD are typically managed by General
21
22 128 Practitioners, with or without a Generalist Physician, and some are supported by community allied
23
24 129 health services including physiotherapy, occupational therapy and social work in a pulmonary
25
26 130 rehabilitation programme. Northeast Health Wangaratta is an approximately 200 bed public hospital
27
28 131 that services a catchment of 90 000 people. Approximately four General/Consultant Physicians work
29
30 132 in the two larger townships in the catchment (Wangaratta and Benalla) along with 1.2 GPs per 1000
31
32 133 population, which is equivalent to the state average. (28, 29)
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39 135 **Data collection**

41 136 Semi-structured questions were developed in consultation with experts in the field of chronic illness
42
43 137 and community health care. The questions sought to explore experiences of care coordination and
44
45 138 living with COPD in the rural context, and are listed as Appendix 1. Potential participants were sent an
46
47 139 invitation letter by the hospital following discharge. Interviews undertaken at a location chosen by the
48
49 140 participant. The female interviewer (KG, PhD) had training in interviewing techniques and experience
50
51 141 in chronic disease management and health care delivery research. The interviewer had no prior or
52
53 142 ongoing relationship with the participants. Interviews were audio-recorded and transcribed verbatim.
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55 143 Names of people and pets were changed to increase anonymity of participants. No additional data is
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57 144 available.
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145 **Data analysis**

146 Thematic synthesis was completed in three stages by two or more authors. (27, 30, 31) All data were
147 entered into NVivo11 QSR®, followed by line-by-line free coding of primary data (Stage 1) (two
148 researchers). Free codes were then organised into descriptive themes (Stage 2), with confirmation of
149 themes through discussion (two researchers).(27, 30, 31) Random selection of data extracts by a third
150 independent researchers ensured trustworthiness of the data coding and themes, with disagreements
151 resolved through discussion.(27, 30, 31) Lastly, central emergent analytical themes were developed
152 through group discussion (Stage 3) to provide a broader understanding and meaning to the data within
153 the context of patient's experiences of care coordination and living with COPD in the rural Australian
154 context (three researchers).(27, 30, 31) Transparency of method, the use of independent investigators,
155 and group discussion were used to promote the validity of findings, rigor and trustworthiness of the
156 synthesis process.(27, 30, 31) Reflection was actively sought through discussion to minimise bias and
157 come to agreement as to data saturation.(32) The final themes, with quotes for illustrative purposes
158 are summarised in table 1.

160 **Ethics approval**

161 Ethics approval was granted by the Northeast Health Wangaratta Human Research Ethics Committee
162 (project 175, August 2016). Signed, informed consent was obtained from each interviewee.

164 **Patient and Public Involvement statement**

165 This research involved patient interviews. Patients were not invited to comment on the study design
166 and were not consulted to develop patient relevant outcomes or interpret the results. Patients were
167 not invited to contribute to the writing or editing of this document for readability or accuracy. We will
168 disseminate results to study participants.

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3 171 **Transparency declaration:**
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5 172 The lead author affirms that this manuscript is an honest, accurate and transparent account of the
6
7 173 study being reported and that no important aspects of the study have been omitted, and that any
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9 174 discrepancies from the study have been explained.
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14 176 **RESULTS**
15

16 177 Fourteen people with COPD consented to participate, along with a family member if they desired. No
17
18 178 participants dropped out of the study. Interviews were undertaken between October and November
19
20 179 2016, with a duration of 19 to 77 minutes.
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25 181 **Facilitators and barriers to COPD self-management in the rural context**
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27 182 Thematic analysis resulted in four groups of themes that influenced whether a person with COPD was
28
29 183 able to adapt to and ultimately self-manage their condition in the rural context, including: *Internal*
30
31 184 *Facilitators* (coping strategies; knowledge of when to seek help), *External Facilitators* (centrality of a
32
33 185 known doctor; health team 'going above and beyond'; and social supports), *Internal Barriers* (loss of
34
35 186 identity) and *External Barriers* (lack of access and clear communication, socio-cultural challenges).
36
37 187 These themes were furthermore moderated by feelings of 'inclusion' (feeling welcomed in the
38
39 188 community) or 'isolation' (feeling emotionally separate from others in the community) within the rural
40
41 189 context. These findings are summarised in Figure 1. Ability to adapt to the 'new normal' of life with
42
43 190 COPD and self-manage COPD could be considered as a spectrum from positive (adaptation to the new
44
45 191 normal with effective self-management) to negative (inability to adapt or self-manage).
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53 193 **Internal Facilitators to COPD self-management**
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3 194 Internal facilitators that emerged from analysis were the development of coping strategies and
4
5 195 learning when to seek help in the context of COPD self-management.
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10 197 **Coping strategies:**

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12 198 Learning to cope was a key theme with a matter of fact and 'making the best of things' approach taken
13
14 199 to this new condition: *I didn't get depressed about (COPD diagnosis) or anything ... I thought well*
15
16 200 *you've got it, you've got to live with it* (Participant (P)1). Adaptation to a new normal occurred through
17
18 201 learning coping strategies and accepting a new pace of life: *'You have to perform at the rate your lungs*
19
20 202 *will let you. So you get slower and slower, year-by-year.'*(P11) Specific approaches were used to
21
22 203 manage symptom fluctuations, with one participant voicing: *'Quite often I get attacks... during the*
23
24 204 *night if the temperature drops suddenly... But I can control that quite often - I get up and make a cup*
25
26 205 *of coffee and sit up in the chair ... then I go back to bed and I'm alright the next morning'*. Others
27
28 206 discussed how they learnt how to retain activities that gave them joy: *'...we did a lot of dancing and if*
29
30 207 *I got really hot I'd have to go out of the hall into the fresh air ... I'd go out there and I could settle my*
31
32 208 *breathing down.'* (P1).
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39 210 **Knowing when to seek help**

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41 211 Development of knowledge in how and when to seek help also facilitated adaptation and capacity for
42
43 212 self-management. Training in seeking help without delay was voiced as important to avoiding acute
44
45 213 deterioration: *'Well; self-management, from what they've told me and what they've taught me, is to*
46
47 214 *live as comfortably as you can with your disease you've got and don't "buggerise around if you get*
48
49 215 *crook" [delay if you get sick].'*(P10). Recognising deterioration was important: *'You're either on a high*
50
51 216 *or you're on a low. You can feel yourself going down.'*(P13), as was knowing when to access emergency
52
53 217 services: *'Well if it gets to the stage where he can't breathe properly - into the hospital. That's just*
54
55 218 *what we do'* (partner of P5).
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5 221 **External Facilitators** to COPD self-management6
7 222 External facilitators related to the centrality of a known doctor; the health team going 'above and
8
9 223 beyond' and social support.10
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12 22413
14 225 **Centrality of known doctor**15
16 226 Continuity of care with practitioners led to supportive long-term relationships: '*He's (GP) my rock*' (P9)
17
18 227 and '*if (doctor) were to leave and go somewhere else I don't know what I'd do.*'(P3). Coordination19
20 228 between health members was also raised as important: '*with different things she'd (GP) say 'I'll ring*
21
22 229 *(the patient's physician) and talk to her about it. So they worked hand in hand*' (P12). Integration of23
24 230 health professionals within the community also facilitated trust and confidence for patients to express
25
26 231 their needs: '*(Doctor) happened to be one of my neighbours.... He asked 'how is Rufus (my dog)? How*27
28 232 *are you going? He even said 'what's your wife doing? Your clothesline is chock-a-block (full) every day*'.29
30 233 *Then he started talking, he says 'What is it?' I said, 'look mate, I'm not happy, I want to go home'* (P7).31
32 234 Rural workforce shortages can inhibit urgent care, however those with established relationships were
33
34 235 accorded access: '*He said to me, if ever I can't get in, tell them I've got to see him*'(P9), similarly another35
36 236 patient voiced: '*I talk to the girls at the counter and I've got to book in three months ahead. I said I'm*
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38 237 *not booking into you for three months ahead - I said I'll ring you ... she puts me in every time*'(P13).39
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44 239 **Health team going 'above and beyond'**45
46 240 Throughout the interviews participants provided examples of when health professionals had gone
47
48 241 'above and beyond' to provide support, from simple presence: '*while I was in hospital (doctor) came*49
50 242 *in nearly every day and he didn't have to*'(P13), to assisting with community access: '*(Doctor) came*
51
52 243 *'round to my place and sat down with my medications. She took them up to the chemist herself and*53
54 244 *got them put into a Webster pack (Dosette-box)*'(P8). Several doctors went as far as providing their
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56 245 private contact details: '*We had to see (doctor) before we went on holiday and she'd give us her mobile*

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3 246 *number and “if anything happens, call me straight away”* (P11). Assistance with policy constraints
4
5 247 were also noted, these little and kind adjustments were keenly felt by participants: *‘They let us sneak*
6
7 248 *through the door which brought us right in. Words cannot explain how great they were. We were so*
8
9 249 *comfortable with all the staff that we could have asked them anything’* (P9).
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14 251 **Social support**

16 252 Community supports created the sense of an ‘inclusive village’, with non-health workers, such as bus
17
18 253 drivers, supporting participants to be independent: *‘They’re great. They lift me up on the thing*
19
20 254 *(disability access ramp) because I’ve got the oxygen’*(P6). Family and caregiver support were also
21
22 255 clearly articulated, both in day-to-day care, but also with logistical challenges: *‘The other night the*
23
24 256 *power went off. So that’s when you really need somebody ... you’ve got to go and get an oxygen bottle*
25
26 257 *and set it up to breathe.’*(P6). Caregiver support extended to recognition of symptoms and decision-
27
28 258 making, as well as recognising when to pace activities: *‘(She) will pick very quickly if I’m tiring. You*
29
30 259 *never say stop ... Just one more thing’* (P11).
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34 260 Similarly, peer support was also raised in the context of community through pulmonary rehabilitation:
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36 261 *(Pulmonary rehabilitation) is amazing. Number 1, you go there for exercises. Number 2- beautiful to*
37
38 262 *sit there and talk to the next person. They all got similar things ... We just listen to one another and*
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40 263 *then naturally crack up a joke or something ... We look after one another.*(P7)
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45 265 **Internal Barriers to self-management of COPD**

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48 266 Loss of identity, lack of access and clear communication and socio-economic and cultural challenges
49
50 267 were raised as the key barriers to self-management to COPD in the rural context.
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55 270 **Loss of Identity**

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58 271 Participants expressed a loss of identity and the associated psychological impact, particularly through
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3 272 changed work-life role: *'I was forced to retire. I didn't like the idea of it. I was depressed'* (P7). This was
4
5 273 also expressed as loss of something that brought joy: *'I was that wrecked (by having to retire). It was*
6
7 274 *unbelievable. I don't think that anybody would have loved their jobs as much I loved mine'* (P8).
8
9
10 275 Emotional distress was also connected with the unrelenting nature of the condition: *'I thought, this is*
11
12 276 *not worth it. What's the use in living when you suffer like this even though my mind is clear and*
13
14 277 *everything?'* (P7). One caregiver also expressed distress at seeing the progressive decline and impact:
15
16 278 *'He was deteriorating before my eyes. He also suffered depression because of all this pain'* (P13).
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20 21 280 **External Barriers to self-management of COPD**

22 23 281 **Lack of access and clear communication**

24
25 282 Issues of staff retention in the rural workforce raised barriers to continuity of care and effective
26
27 283 communication: *'You don't even get to know (the GPs), 18 months and they're gone'* (P14). Reduced
28
29 284 numbers of health professionals also caused delays in access: *'I could only access her every six weeks*
30
31 285 *at the least. You could never get her if you were sick.'*(P3) Similarly, limited alternatives left some
32
33 286 participants to rely on emergency services: *'I've gone down to see a doctor, and I've clashed, or*
34
35 287 *whatever. So they've rang an ambulance and said, go to hospital.'*(P8). Communication with unfamiliar
36
37 288 clinicians also at times left some participants feeling in the dark: *'I was in hospital two weeks ago and*
38
39 289 *it wasn't until a week after I got out, with my GP, that I actually found out what was the matter with*
40
41 290 *me'* (P4).The need to continually re-tell their story was also a frustration when health professionals
42
43 291 appeared to not communicate with one another:

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48 292 *'there's been a few times where I've gone to emergency. You explain the situation - like, you're*
49
50 293 *having trouble breathing as it is. They're trying to say oh, how long have you been like this for?*
51
52 294 *Have [they] got any medical records? You'll see what's the matter with me is! And then the*
53
54 295 *next doctor comes in for the next shift - they say oh, what are you here for? Talk to each other*
55
56 296 *instead of having to ask the patients.'* (P8).
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5 299 **Socio-cultural challenges**

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7 300 In contrast to those who voiced positive social inclusion; others expressed social isolation: *I wasn't*
8 301 *coping. I wasn't well enough to do my own food preparation ... I'm fairly new and I'm not a local - I*
9
10 302 *didn't know anyone so you don't like asking someone'* (P14). In contrast to those afforded access
11
12 303 through established relationships, participants newly arrived felt disconnected from support:

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15
16 304 *'The community clinic was the one that I accessed because they HAD TO take new patients.*
17
18 305 *That was my only avenue at the time ... I needed to access somebody who knew me because*
19 306 *[COPD] doesn't go by the record sort of thing ... when you get sick, you're sick enough to need*
20
21 307 *care straight away'.*(P14)

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25 308 Financial impact was raised several times, with participants having to balance treatments choices as
26
27 309 well as resource choices to stay well: *'We chose to increase the temperature of the house by a few*
28 310 *degrees. It's just another cost'* (P11). The requirement to replace the stove for oxygen therapy safety
29
30 311 was an added burden, and support for such measures was perceived to be influenced by social
31
32 312 circumstance: *'If you were living in Housing Commission you would be subsidised, but because we were*
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34 313 *(self-funded retirees) ... nothing'.*(P6).

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41 315 **DISCUSSION:**

42
43 316 This study explored patient experiences of living with and managing COPD in the rural context. Our
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45 317 results suggested that community inclusion, or inclusion in the 'village' context, moderated adaptation
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47 318 to a 'new normal' of living with COPD, and enhanced a person's ability to cope and ultimately self-
48
49 319 manage their condition. Community inclusion also influenced whether a person experienced either a
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51 320 net balance of positive *facilitators* (knowledge of coping strategies and when to seek help, a central,
52
53 321 known doctor, a health care team 'going above and beyond', social supports) or more pronounced
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55 322 balance of net negative *barriers* (loss of identity, lack of communication between health care team,
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57 323 socioeconomic or cultural disadvantage) to living with and managing COPD. The factors experienced

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3 324 by this rural population are highly relevant to people living in a variety of settings, including urban and
4
5 325 suburban environments. However, the impact of these factors may be amplified in rural communities
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7 326 where there may be constrained choice and access to community support providers. Supportive social
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9 327 networks and a sense of 'place' are linked to decreased COPD readmission and are recognised as being
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11 328 strong and highly valued in rural areas (33).

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16 330 The benefits of social connection, social support, living with a caregiver, and peer support through
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18 331 pulmonary rehabilitation are well recognised in COPD and have been reported widely in previous
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20 332 literature (17, 34) and are evident in the present study. These benefits have been shown to reduce
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22 333 the likelihood of smoking, increase exercise capacity, reduce emergency department visits and
23
24 334 enhance coping.(35, 36) Conversely, loss of identity, (18) poor continuity of care from health
25
26 335 professionals, poor communication between members of the health care team,(37) exclusion from
27
28 336 social networks, and socioeconomic disadvantage are equally recognised as key barriers.(38) These
29
30 337 influences are associated with reduced coping ability, decreased help seeking, (39) the need to
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32 338 continually repeat medical history, and are barriers to develop trusting relationships with health
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34 339 professionals. (40). In comparison with other qualitative Australian studies into the patient's
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36 340 experience of COPD, beneficial impacts were reported when patients felt supported by community
37
38 341 members and health professionals (33, 41, 42), connected to people and nature (41), felt a strong
39
40 342 sense of community (42) and felt listened to by health professionals (43).

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45
46 344 The strength of this study is the exploration of a range of medical, emotional and social supports, and
47
48 345 the way these impact people living with COPD in the rural context. Our findings suggest that a rural
49
50 346 'village' existed for these patients that encompassed supportive health professionals, family, friends
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52 347 and community members, as has been shown in maternal and child health and healthy ageing contexts
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54 348 (19)(20, 21). The understandings regarding social connectedness and the benefit of living within a
55
56 349 supportive 'village' in a rural context are likely highly relevant to other settings, including urban areas,
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3 350 and could be used to model social supports for others living with COPD . The extent to which a person
4
5 351 living with COPD is included in this 'village' is variable and has a marked influence on coping and self-
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7 352 management ability. Inclusion in the 'village', where one's neighbour could also be one's doctor or
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9
10 353 where family members were given the GP's private telephone number, was strongly facilitative. There
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12 354 were many examples provided of close, trusting, long term relationships with doctors, health
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14 355 professionals 'going above and beyond', and social supports that enabled COPD management within
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16 356 the rural context. Similarly, self-management of COPD has been depicted as being built upon a
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18 357 pyramid of four categories of people (the patient (at the apex), their partner, their physician and the
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20 358 public's perception of the disease).(44) Perhaps this pyramid also depicts both the source and
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22 359 importance of each category of people to a person living with COPD.
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28 361 Previous studies have reported that COPD related symptoms and behaviours, such as coughing in
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30 362 public and wearing an oxygen mask, heighten feelings of self-blame due to historical smoking, and
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32 363 have been linked to feelings of loneliness and embarrassment. (17, 18) However, self-blame was not
33
34 364 a prominent theme in this study, perhaps due to the protective aspects of social 'inclusion' within
35
36 365 these established rural communities. Similarly, while much of rural health discourse focuses on deficits
37
38 366 in care and experience,(45) and that respiratory care within the explored region is based on a rural
39
40 367 generalist model, participants in this study did not speak of 'missing out' on services, information or
41
42 368 access to specialists. This may be because those living in rural contexts are unaware of other models
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44 369 of care delivered through metropolitan centres, or that they believed they were receiving the care
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46 370 that met their needs, or the desire to receive services locally or from familiar people was more
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48 371 important than accessing a different model of care elsewhere. Further research is required to
49
50 372 understand this perspective, given the unequivocal evidence that there is a lack of access to specialist
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52 373 services in this and other rural contexts.
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59 375 This study was undertaken at a single site with a sample of people who had been hospitalised with
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3 376 COPD in the preceding 12 months. As such, it is likely to reflect only those perspectives of people who
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5 377 have been in recent contact with health services and were willing to undertake an interview. However,
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7 378 the strength of this work is that it provides important insights into rural healthcare experience and
8
9 379 inclusively explored medical, social and emotional supports in this context.
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14 381 The results of this study suggest that adaptation, coping and effective self-management are enhanced
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16 382 via a range of medical, emotional and social supports. There is substantial value of pulmonary
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18 383 rehabilitation as a *de facto* community and the benefit of a social 'village' in supporting people with
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20 384 chronic progressive disease. Health professionals may consider assessing patients for level of
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22 385 social/community inclusion and connecting patients with available services in the community. This
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24 386 may be of particular importance given the relationship seen between social exclusion and sub-optimal
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26 387 coping.
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32 389 Social support is known to positively influence psychological health and self-efficacy of people with
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34 390 COPD, however less is known about the benefits this confers on overall quality of life and physical
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36 391 functioning (46) particularly in the rural context. (24) The benefit and experience of living in a
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38 392 supportive 'village' community could be further explored in the urban context to further understand
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40 393 the complexities of non-medical social and emotional support. Furthermore, the patient perspective
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42 394 of coping strategies and self-management approaches could be used to inform more user-friendly
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44 395 education material to address the recognised poor knowledge and understanding of COPD.(47)
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49 397 **CONCLUSION**

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51
52 398 In this study, the rural context offered an advantage for the people with COPD who experienced
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54 399 inclusion (in the 'village'), with the centrality of a known doctor and a health professional team willing
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56 400 to go 'above and beyond' key to this positive experience. Evidence of the benefit of strong social and
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58 401 family supports were noted, in line with prior studies. Understanding barriers and facilitators to
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3 402 supported COPD self-management will help inform future rural workforce and service development.
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5 403 Further research is needed to understand how social networks within the broader social structural
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7 404 conditions influence the way in which patients live with and manage their disease, and to compare
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9 405 experiences of COPD in rural and urban contexts.
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13
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15
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17
18 409 project, in particular Tessa Archbold, Megan Tharratt, Jan Lang, Tammie Long and David Kidd.
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20
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23 411 All data relevant to the study are included in the article or uploaded as supplementary information.
24

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28
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Table 1: Example of analysis process and illustrative quotes

	Category	Sub-category	Code	Condensed meaning unit	Meaning unit
Internal facilitators	Coping Strategies	Individual approaches to self-management	Matter of fact approach to COPD	Making the best of things	I didn't get depressed about it (COPD diagnosis) or anything, no, I thought well you've got it, you've got to live with it (P1)
			Acceptance of slowed pace.	There is no problem with her going on the train with the oxygen. It just slows you down	It just slows you down. You have to perform at the rate your heart will let you, and you have to perform at the rate your lungs will let you. So you get slower and slower, year-by-year. (P1)
			Learning approaches to manage symptoms	But I can control that... I go back to bed and I'm alright the next morning	There is no problem with her going on the train with the oxygen. So that's not a problem. It's just a matter of putting her on the train and the oxygen will last for eight hours. (P3)
			Working to retain activities of joy	I could settle my breathing down	Quite often I get attacks - mild attacks - during the night if the temperature drops suddenly and it's cold, and I haven't had the heater on or something enough that can set me off. But I can control that quite often - I get up and make a cup of coffee and sit up in the chair and turn the heating on and then I go back to bed and I'm alright the next morning (P14)
	Knowing when to seek help	Knowing when to seek help	Acting on warning signs	Don't delay if you get unwell	...we did a lot of dancing and if I got really hot I'd have to go out of the hall into the fresh air, I felt like I was suffocating in the hall and I'd go out there and I could settle my breathing down by getting the fresh air outside. (P1)
			Recognising changes	You can feel yourself going down	'So he still goes out and enjoys that, but he doesn't dance every dance like he used to' (P11)
					Well self-management from what they've told me and what they've taught me is to live as comfortably as you can with what you've got - with your disease you've got and don't buggerise around if you get crook [delay if you get sick]. That's it. (P15)
					You're either on a high or you're low. You can feel yourself going down and I have a boost of prednisolone. I used to have 25 for three days, 12 and a half for three days, and then five for seven days. (P1)

	Centrality of a known doctor	Continuity, trust, and connection	Central to support and coordination of care Providing access when needed	Central position of support Doctor integrated as part of community You couldn't get an appointment with her because she was booked out all the time Converse impact of lack of access	<p>He's (GP) my rock (P9)</p> <p>Well if (doctor) were to leave and go somewhere else I don't know what I'd do. (P3). 'with different things she'd (GP) say 'I'll ring (doctor 1) and talk to her about it. So they worked hand in hand' (P12).</p> <p>He happened to be one of my neighbours. He then asked 'how is Rufus (my dog) going? How are you going? He even said 'what's your wife doing? Your clothesline is chock-a-block every day'. Then he started talking, he says 'What is it?' I said, 'look mate, I'm not happy, I want to go home' (P7)</p> <p>He said to me, if ever I can't get in, tell them I've got to see him, yes.(P9)</p> <p>My GP is really good. I say to her, it's no good me making an appointment with you - I said this quite a while back - because I talk to the girls at the counter there and I've got to book in three months ahead. I said I'm not booking into you for three months ahead. I said I'll ring you and let you know when I'm free. When I'm free....She puts me in every time. (P7)</p> <p>They only had the one lady doctor - and she'd been there forever apparently, highly regarded. So I got an appointment with her and she did all these tests on me and checked blood tests and everything. Found out that I was okay as such, and - but I could only access her every six weeks at the least. You could never get her if you were sick. Just because you were sick you couldn't get an appointment with her because she was booked out all the time (P3)</p>
External Facilitators	Health team going above and beyond	Patients supported with inclusive access	Going the extra mile to accommodate and care for patients	Visiting and providing support Giving patients their private number Facilitating access	<p>while I was in hospital (doctor 2) came in nearly every day and he didn't have to' (P13)</p> <p>(Doctor) came round to my place and sat down with my medications. She took them up to the chemist herself and got them put into a (Dosette-box). So I go to the chemist, now, and pick them up in a (Dosette Box). (P8)</p> <p>We had to see her (doctor 1) before we went on holiday and she'd give us her mobile number and "if anything happens, call me straight away"(P11)</p> <p>Well (wife) went overseas. (Doctor) said well what are you doing? I decided not to come ... I'm going to family. (Doctor) said okay, give the family my number. Here's some extra medication, and this is the instructions if something happens. (P11)</p> <p>They let us (daughters of patient with COPD) sneak through the door around the other side, which brought us right in. Words cannot explain how great they were. We were so comfortable with all the staff that we could have asked them anything, every button they pressed on a machine, every tube they played with, they explained to us what they were doing (P9)</p>

Rural COPD management

	Social support	<p>Community supports assisting with independence</p> <p>Family support</p> <p>Peer support</p>	<p>Community support independent living and watching over and taking care</p> <p>Family support with day to day and in recognising and managing symptoms</p> <p>Community through pulmonary rehabilitation</p>	<p>The bus drivers are great, they lift me up because I've got oxygen</p> <p>He Fell over in the garden one of them lifted him up</p> <p>Family provide care and support – day to day and in time of need</p> <p>Family assist with recognition of symptom changes</p> <p>We look after one another and talk nicely and beautiful</p>	<p>'When I go up the street on the bus, the bus drivers, they're great. They will lift me up on the thing, because I've got the oxygen, I can lift up and that. At the picture theatre I find, and everywhere I go actually, I find them very good' (P6)</p> <p>We get along very well with the maintenance fellows. (Patient with COPD) fell over in the garden out the side and one of the maintenance boys came up, lifted him up' (P5)</p> <p>The other night the power went off. So that's when you really need somebody ... you've got to go and get an oxygen bottle and set it up to breathe. (P6).</p> <p>Like at one stage what was about 12 of us in there with you (Mum, in Critical Care), (nurses) did not bat an eyelid. They (nurses) could see that the family support was what was keeping her going (daughter of participant 9). (She) helps me put my socks on, tells me to get out of bed. Tells me not to drink too much. General company. It's really what it is. (She) will pick very quickly if I'm tiring. You never say stop. Just one more thing. I get that a lot. So I'm running on the Plimsoll line all the time. Just one more thing, then we'll go home. (P11)</p> <p>Pulmonary rehabilitation also created an important community: Oh my goodness, (pulmonary rehabilitation) is amazing. See, number 1, you go there for exercises. Number 2- beautiful to sit there and talk to the next person. They all got similar things. We're talking about how someone feels shit. Somebody's better, somebody's worse. We just listen to one another and then naturally crack up a joke or something which they said laughter is better than medicine. We look after one another and talk nicely and beautiful.(P7)</p>
Internal Barriers	Loss of Identity	<p>Impact of restriction in social worth and contribution</p> <p>Impact on mental health</p>	<p>Loss of social role</p> <p>Mental health distress over unrelenting condition</p>	<p>Forced by COPD into early retirement/ Loss of loved work role</p> <p>Living a life of suffering</p>	<p>I was that wrecked (by having to give up work due to COPD). It was unbelievable. I don't think that anybody would have loved their jobs as much I loved mine' (P8)</p> <p>'I thought, this is not worth it. What's the use in living when you suffer like this even though my mind is clear and everything?' (P7)</p> <p>Oh, my - if I could turn the clock back, every person that told me of the person and the people that were suffering from it, I would listen to the first person and shake the shit away, wouldn't touch it again. (P7)</p>

External Barriers	Lack of access and clear communication	Lack of access and clear communication	High staff turnover	Don't even get to know the GPs, 18 months and they're gone	You don't even get to know (the GPs), 18 months and they're gone (P14) But the new one didn't like (local town) so they left. He was replaced by an equally good one who didn't like (local town) and left. (P3).
			Limited alternatives	I could only access her every six weeks/ I've clashed, they've rang the ambulance and said go to hospital	They only had the one lady doctor - and she'd been there forever apparently, highly regarded. ... but I could only access her every six weeks at the least. You could never get her if you were sick. (P3)
			Lack of communication	Don't they read the files?	I've gone down to see a doctor, and I've clashed, or whatever. So they've rang an ambulance and said, go to hospital (P8) I was in hospital two weeks ago and it wasn't until a week after I got out with my GP that I actually found out what was the matter with me'. (P14)
			Having to repeatedly explain	The next doctor comes in, you've got to explain it again.	There's been a few times where I've gone to emergency. You explain the situation - like, you're having trouble breathing as it is. They're trying to say oh, how long have you been like this for? Have [they] got any medical records? You'll see what's the matter with me is! Do you know what I mean? ... and then the next doctor comes in for the next shift. Then they say oh, what are you here for? Talk to each other instead of having to ask the patients. (P8) Then the next doctor comes in for the next shift. Then they say oh, what are you here for, and you've got to explain it. Don't you read the files? Talk to each other, instead of having to ask the patients. (P8)

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Figure legend:

Figure 1:

Facilitators and barriers to COPD self-management in the rural context. Adaptation to the 'new normal' of life with COPD and ability to self-manage were influenced by facilitators and barriers, moderated by inclusion.

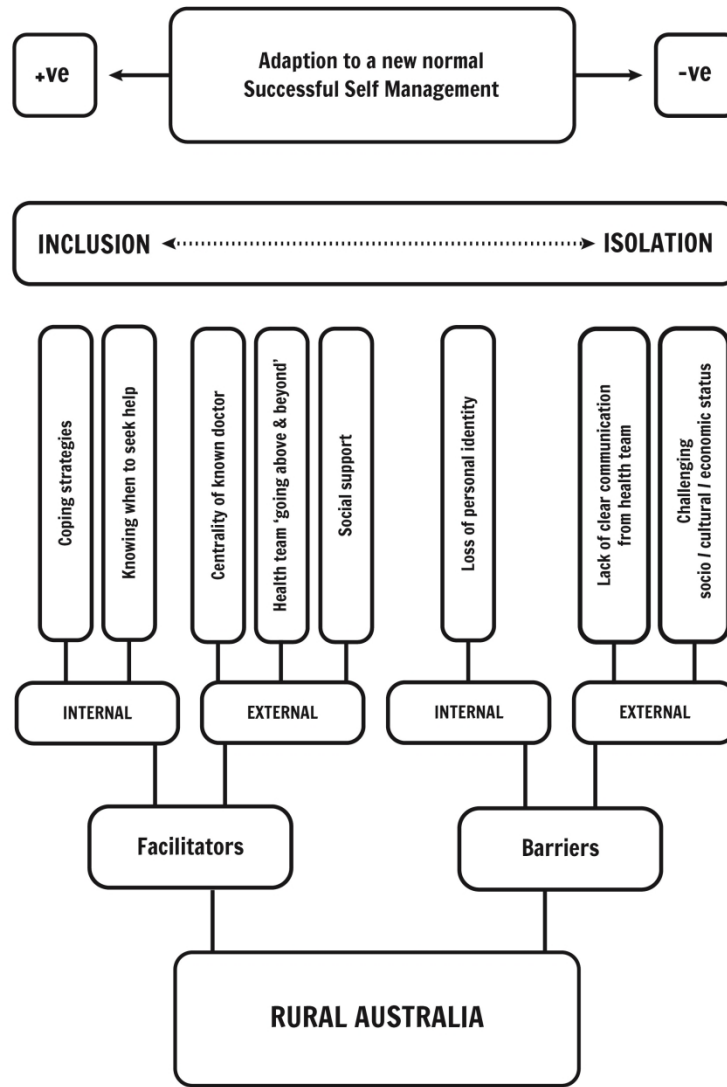


Figure 1: Facilitators and barriers to COPD self-management in the rural context. Adaptation to the 'new normal' of life with COPD and ability to self-manage were influenced by facilitators and barriers, moderated by inclusion.

210x297mm (300 x 300 DPI)

APPENDIX I INTERVIEW SCHEDULE

1. Overall, what has your experience of having COPD been like?
2. Can you tell me a little about how and when your illness started and how that led to a diagnosis?
Can you describe your initial thoughts to being diagnosed?
3. Have you received information about your condition?
4. What sorts of things do you do because of your condition (diet, exercise, use of health services)?
5. What types of support are you currently receiving?
6. How do you feel in yourself nowadays compared to before your diagnosis? How your life is going with your COPD?
7. How has your condition impacted on you financially?
8. How do you feel in yourself nowadays?
9. How has your illness affected your social life, relationships with friends and family?

32 item COREQ checklist, as per Tong, Sainsbury & Craig, 2007:

1.	Interviewer/facilitator	Which author/s conducted the interview or focus group?	Page 6
2.	Credentials	What were the researcher's credentials? <i>E.g. PhD, MD</i>	Page 6
3.	Occupation	What was their occupation at the time of the study?	Page 1
4.	Gender	Was the researcher male or female?	Page 6
5.	Experience and training	What experience or training did the researcher have?	Page 6
Relationship with participants			
6.	Relationship established	Was a relationship established prior to study commencement?	Page 6
7.	Participant knowledge of the interviewer	What did the participants know about the researcher? <i>e.g. personal goals, reasons for doing the research</i>	Page 6
8.	Interviewer characteristics	What characteristics were reported about the interviewer/facilitator? <i>e.g. Bias, assumptions, reasons and interests in the research topic</i>	Page 6
Domain 2: study design			

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Theoretical
framework

9.	Methodological orientation and Theory	What methodological orientation was stated to underpin the study? <i>e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis</i>	Page 5
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Participant selection

10.	Sampling	How were participants selected? <i>e.g. purposive, convenience, consecutive, snowball</i>	Page 5
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11.	Method of approach	How were participants approached? <i>e.g. face-to-face, telephone, mail, email</i>	Page 5
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12.	Sample size	How many participants were in the study?	Page 7
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13.	Non-participation	How many people refused to participate or dropped out? Reasons?	Page 7
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Setting

14.	Setting of data collection	Where was the data collected? <i>e.g. home, clinic, workplace</i>	Page 6
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15.	Presence of non-participants	Was anyone else present besides the participants and researchers?	Page 7
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16.	Description of sample	What are the important characteristics of the sample? <i>e.g. demographic data, date</i>	Page 6-7
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Data collection

17.	Interview guide	Were questions, prompts, guides provided by the authors? Was it pilot tested?	Appendix 1
18.	Repeat interviews	Were repeat interviews carried out? If yes, how many?	NA
19.	Audio/visual recording	Did the research use audio or visual recording to collect the data?	Page 6
20.	Field notes	Were field notes made during and/or after the interview or focus group?	NA
21.	Duration	What was the duration of the interviews or focus group?	Page 7
22.	Data saturation	Was data saturation discussed?	Page 6
23.	Transcripts returned	Were transcripts returned to participants for comment and/or correction?	Page 7

Domain 3: analysis and findingsz

Data analysis

24.	Number of data coders	How many data coders coded the data?	Page 6
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25.	Description of the coding tree	Did authors provide a description of the coding tree?	NA
26.	Derivation of themes	Were themes identified in advance or derived from the data?	Page 6
27.	Software	What software, if applicable, was used to manage the data?	Page 6
28.	Participant checking	Did participants provide feedback on the findings?	Page 7
Reporting			
29.	Quotations presented	Were participant quotations presented to illustrate the themes / findings? Was each quotation identified? e.g. <i>participant number</i>	Table 1 & pages 8-12
30.	Data and findings consistent	Was there consistency between the data presented and the findings?	Page 6
31.	Clarity of major themes	Were major themes clearly presented in the findings?	Figure 1
32.	Clarity of minor themes	Is there a description of diverse cases or discussion of minor themes?	Pages 8-12

BMJ Open

The benefits of the 'village': a qualitative exploration of the patient experience of COPD in rural Australia

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Manuscripts

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3 1 **TITLE:** The benefits of the ‘village’: a qualitative exploration of the patient experience of
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6 2 COPD in rural Australia

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8 3 **Running title: COPD management in a rural setting**
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10 4
11
12 5 **Authors**

13
14 6 Glenister, Kristen^a, Haines, Helen^a, Disler, Rebecca^a
15

16 7 **Affiliations**

17
18 8 a. Department of Rural Health, Faculty of Medicine, Dentistry and Health
19
20 9 Sciences | University of Melbourne
21

22
23 10 Corresponding Author:

24
25 11 Dr Kristen Glenister

26
27 12 Research Fellow, Rural Chronic Ill Health

28
29 13 Department of Rural Health

30
31 14 ‘The Chalet’

32
33 15 Docker Street, Wangaratta, 3677.

34
35 16 Australia

36
37 17 +61 3 5823 4532

38
39 18 kristen.glenister@unimelb.edu.au

40
41 19 Twitter: [@kristenglenist1](https://twitter.com/kristenglenist1), [@HelenHaines1](https://twitter.com/HelenHaines1), [@RebeccaDisler](https://twitter.com/RebeccaDisler)
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45
46 21 **Author contributions:** (1) the conception and design of the study, or acquisition of data, or
47
48 22 analysis and interpretation of data: KG, HH, RD, (2) drafting the article or revising it critically
49
50 23 for important intellectual content: KG, HH, RD, (3) final approval of the version to be submitted:
51
52 24 KG, HH, RD.
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1
2
3 27 **ABSTRACT**
4

5 28 **TITLE:** The benefits of the 'village': a qualitative exploration of the patient experience of COPD
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8 29 in rural Australia
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10 30 **Objectives:** This study sought to explore patients' experiences of living with, and adapting to, COPD in
11
12 31 the rural context. Specifically, our research question was 'What are the barriers and facilitators to
13
14 32 living with and adapting to COPD in rural Australia?'

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16
17 33 **Design:** Qualitative, semi-structured interviews. Conversations were recorded, transcribed verbatim
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19 34 and analysed using thematic analysis following the consolidated criteria for reporting qualitative
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21 35 research (COREQ) guidelines.
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24 36 **Setting:** Patients with COPD, admitted to a sub-regional hospital in Australia were invited to
25
26 37 participate in interviews between October and November 2016.
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28 38 **Main outcome measures:** Themes were identified that assisted with understanding of the barriers
29
30 39 and facilitators to living with, and adapting to, COPD in the rural context.
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32
33 40 **Results:** Four groups of themes emerged: *Internal Facilitators* (coping strategies; knowledge of when
34
35 41 to seek help) and *External Facilitators* (centrality of a known doctor; health team 'going above and
36
37 42 beyond'; and social supports) and *Internal/External Barriers to COPD self-management* (loss of identity,
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39 43 lack of access and clear communication, socio-cultural challenges), that were moderated by feelings
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41 44 of inclusion or isolation in the rural community or 'village'.
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44 45 **Conclusions:** Our findings suggest that community inclusion enhances patients' ability to cope and
45
46 46 ultimately self-manage COPD. This is facilitated by living in a supportive 'village' environment, and
47
48 47 included a central, known doctor and a healthcare team willing to go 'above and beyond'.
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50 48 Understanding, or supplementing, these social networks within the broader social structure may assist
51
52 49 people to manage chronic disease, regardless of rural or metropolitan location.
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56 50 **Key words (4-10):** Chronic obstructive pulmonary disease; general practice; rural; self-management;
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58 51 qualitative.
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3 52 **Strengths and Limitations:**
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- 5 53 • This study utilised qualitative methodology to provide an in depth exploration of the patient
6
7 experience.
8 54
9
10 55 • The design followed the Consolidated criteria for reporting qualitative research (COREQ)
11
12 56 guidelines.
13
14 57 • Thematic analysis allowed for synthesis focused on a phenomenon of interest and provided a
15
16 transparent method that actively sought to remain close to the primary data and avoid over
17 58
18 analysis.
19 59
20
21 60 • Transparency of method, the use of independent investigators, and group discussion were
22
23 61 used to promote the validity of findings, rigor and trustworthiness of the synthesis process.
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26 62 • This study was undertaken at a single site with a sample of people who had been hospitalised
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28 63 with COPD in the preceding 12 months. In line with a qualitative approach, this did provide
29
30 64 important insight the experiences of this group, but may not reflect the experiences of people
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32 65 with COPD from different contexts.
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69 INTRODUCTION

70 Chronic obstructive pulmonary disease (COPD) is a chronic condition characterised by non-reversible
71 airways obstruction, cough, phlegm and dyspnoea.(1) COPD is the fourth leading cause of death
72 globally.(2, 3) Social costs include significant disability, poor physical functioning, social isolation and
73 caregiver burden.(4) Disease trajectory involves progressive deterioration of lung function, decreasing
74 quality of life, and increasing acute exacerbation frequency and hospitalisation.(5, 6) Management of
75 COPD is complex and patients often live with multiple comorbid conditions and may have poor mental
76 health.(7, 8) Optimal care of COPD is founded on seamless, integrated, patient-centred care delivered
77 by a multidisciplinary team with an emphasis on self-management.(9) Engagement with self-
78 management is associated with decreased hospital readmission (10) and increased quality of life.(11)
79 However, research suggests that less than half of patients with COPD will achieve effective self-
80 management, with younger patients and those living with others more able to address the complex
81 disease management requirements.(10) Early access to specialist care enhances support for
82 coordination and self-management of COPD in primary care,(12) with good relationships with health
83 professionals facilitating navigation through the health system and a positive perception of quality of
84 health care.(13)

85
86 In the rural context, workforce constraints restrict access to multidisciplinary, specialist providers,
87 with care more likely to be delivered by smaller, more generalist teams. A qualitative study in New
88 Zealand found that care pathways for COPD care in rural contexts were unclear and poorly
89 coordinated.(12) A recent study in the United States found that although access to diagnostic testing
90 and specialists was restricted in rural clinics, quality and patterns of healthcare were similar for COPD
91 between urban and rural clinics.(14) In a rural Canadian study, long-term relationships with general
92 practitioners, community support and personalised care helped to overcome issues of restricted
93 specialist access in COPD care.(15) In the Australian context, a study found that self-monitoring of
94 symptoms and support from health professionals assisted patients to manage breathing difficulties

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3 95 and avoid emergency department presentations.(16) Social inclusion and a sense of belonging in COPD
4
5 96 has been shown to influence a person's experience of living with COPD (17, 18). The idea of a social
6
7 97 connectedness through a supportive 'village' has been used to describe a diversity of social networks
8
9
10 98 and supports in contexts such as maternal and child health (19) and more recently in healthy ageing
11
12 99 through the 'aging-in-place' movement (20, 21). While the 'village' concept has not been used to
13
14 100 describe supports in COPD, there is a clear recognition of the benefit of a sense of belonging and the
15
16 101 importance of social support, from a variety of sources, in this context (17, 18). What is not well
17
18 102 understood is the experience of living with COPD and social connectedness in the rural context.
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21 103 Literature has pointed to a high degree of social capital within rural communities and inclusion of
22
23 104 those who belong within social networks,(22) with the converse for those who do not experience this
24
25 105 inclusion.(23) Following, a review of qualitative research into chronic disease management in rural
26
27 106 areas across North America, Europe, Australia and New Zealand found that the rural environment
28
29 107 offered several positive aspects, namely personalised care, clinicians being better positioned to
30
31 108 provide patient centred care and increased community belonging which could counteract vulnerability.
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34 109 (24)
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39 111 Rural studies focussed on the experience of COPD from a patient's perspective are uncommon. This
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41 112 study has sought to explore patient perspectives of living with and adapting to COPD in the rural
42
43 113 Australian context. Understanding patient perspectives on current barriers and facilitators will inform
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45 114 rural workforce and care structure planning.
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116 **Methods:**

118 **Design**

119 Qualitative study using semi-structured interviews following the Consolidated criteria for reporting
120 qualitative research (COREQ) guidelines.(25) Thematic analysis was chosen as it allowed for synthesis

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3 121 focused on a phenomenon of interest;(26) this being the experience of living with and adapting to
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5 122 COPD in the rural context. Thematic analysis is also is a transparent method that actively seeks to
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7 123 remain close to the primary data and avoids over analysis.(27)
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11 125 **Sample and setting**

12 126 A convenience sample of patients admitted to a sub-regional Australian hospital (Northeast Health
13
14 127 Wangaratta) with a primary diagnosis of COPD in the preceding twelve months (n=21 patients) were
15
16 128 invited to participate in a health service survey, and indicated at the end of the survey if they were
17
18 129 willing to be contacted by the investigators to participate in interviews to explore patient perspectives
19
20 130 of living with and adapting to COPD in the rural context. This paper presents the data from those
21
22 131 interviews. Data on disease severity was not collected, however all participants had required an acute
23
24 132 admission for their COPD in the previous 12 months. In this setting, people with COPD are typically
25
26 133 managed by General Practitioners, with or without a Generalist Physician, and some are supported by
27
28 134 community allied health services including physiotherapy, occupational therapy and social work in a
29
30 135 pulmonary rehabilitation programme. Northeast Health Wangaratta is an approximately 200 bed
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32 136 public hospital that services a catchment of 90 000 people. Approximately seven General/Consultant
33
34 137 Physicians work in the two larger townships in the catchment (Wangaratta and Benalla) along with 1.2
35
36 138 GPs per 1000 population, which is equivalent to the state average. (28, 29) It is common in chronic
37
38 139 disease that patients work in a dyad with their caregivers, such as their marital partner, in managing
39
40 140 their condition (30). In acknowledging this, the investigators allowed caregivers to be present during
41
42 141 interviews, if the patient participant desired, and these caregivers were allowed to provide additional
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44 142 comments as explanation of the topics raised and discussed by the patient participant themselves. All
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46 143 caregiver participants were consented prior to discussion.
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145 **Data collection**

146 Semi-structured questions were developed in consultation with experts in the field of chronic illness

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3 147 and community health care. The questions sought to explore patient experiences of care coordination
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5 148 and living with COPD in the rural context, and are listed as Appendix 1. Potential participants were
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7 149 sent an invitation letter by the hospital following discharge. Interviews undertaken at a location
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9
10 150 chosen by the participant. The female interviewer (KG, PhD) had training in interviewing techniques
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12 151 and experience in chronic disease management and health care delivery research. The interviewer
13
14 152 had no prior or ongoing relationship with the participants. Interviews were audio-recorded and
15
16 153 transcribed verbatim. Names of people and pets were changed to increase anonymity of participants.
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18
19 154 No additional data is available.

20 21 155 **Data analysis**

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23 156 Thematic synthesis was completed in three stages by two or more authors. (27, 31, 32) All data were
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25 157 entered into NVivo11 QSR®, followed by line-by-line free coding of primary data (Stage 1) (two
26
27 158 researchers). Free codes were then organised into descriptive themes (Stage 2), with confirmation of
28
29 159 themes through discussion (two researchers).(27, 31, 32) Random selection of data extracts by a third
30
31 160 independent researchers ensured trustworthiness of the data coding and themes, with disagreements
32
33 161 resolved through discussion.(27, 31, 32) Lastly, central emergent analytical themes were developed
34
35 162 through group discussion (Stage 3) to provide a broader understanding and meaning to the data within
36
37 163 the context of patient's experiences of care coordination and living with COPD in the rural Australian
38
39 164 context (three researchers).(27, 31, 32) Transparency of method, the use of independent investigators,
40
41 165 and group discussion were used to promote the validity of findings, rigor and trustworthiness of the
42
43 166 synthesis process.(27, 31, 32) Reflection was actively sought through discussion to minimise bias and
44
45 167 come to agreement as to data saturation.(33) All patients who indicated that they were interested in
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47 168 participating were interviewed. By the conclusion of the final interview no new themes had emerged.
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49 169 The final themes, with quotes for illustrative purposes are summarised in table 1.
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56 57 171 **Ethics approval**

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59 172 Ethics approval was granted by the Northeast Health Wangaratta Human Research Ethics Committee
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3 173 (project 175, August 2016). Signed, informed consent was obtained from each interviewee.
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8 175 **Patient and Public Involvement statement**
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10 176 This research involved patient interviews. Patients were not invited to comment on the study design
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12 177 and were not consulted to develop patient relevant outcomes or interpret the results. Patients were
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14 178 not invited to contribute to the writing or editing of this document for readability or accuracy. We will
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16 179 disseminate results to study participants.
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21 181 **Transparency declaration:**
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23 182 The lead author affirms that this manuscript is an honest, accurate and transparent account of the
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25 183 study being reported and that no important aspects of the study have been omitted, and that any
26
27 184 discrepancies from the study have been explained.
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31
32 186 **RESULTS**
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34 187 Fourteen people with COPD indicated that they would be happy to be contacted by study investigators
35
36 188 and all consented to participate in the interviews. If the patient participant desired, caregivers (n=4)
37
38 189 were present during the interviews and provided explanatory comments as to the topics raised by the
39
40 190 patient participants. No participants dropped out of the study. Interviews were undertaken between
41
42 191 October and November 2016, with a duration of 19 to 77 minutes.
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48 193 **Facilitators and barriers to COPD self-management in the rural context**
49

50 194 Thematic analysis resulted in four groups of themes that influenced whether a person with COPD was
51
52 195 able to adapt to and ultimately self-manage their condition in the rural context, including: *Internal*
53
54 196 *Facilitators* (coping strategies; knowledge of when to seek help), *External Facilitators* (centrality of a
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56 197 known doctor; health team 'going above and beyond'; and social supports), *Internal Barriers* (loss of
57
58 198 identity) and *External Barriers* (lack of access and clear communication, socio-cultural challenges).
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3 199 These themes were furthermore moderated by feelings of 'inclusion' (feeling welcomed in the
4
5 200 community) or 'isolation' (feeling emotionally separate from others in the community) within the rural
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7 201 context. These findings are summarised in Figure 1. Ability to adapt to the 'new normal' of life with
8
9 202 COPD and self-manage COPD could be considered as a spectrum from positive (adaptation to the new
10
11 203 normal with effective self-management) to negative (inability to adapt or self-manage).
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17 205 **Internal Facilitators to COPD self-management**

20 206 Internal facilitators that emerged from analysis were the development of coping strategies and
21
22 207 learning when to seek help in the context of COPD self-management.
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27 209 ***Coping strategies:***

29 210 Learning to cope was a key theme with a matter of fact and 'making the best of things' approach taken
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31 211 to this new condition: *I didn't get depressed about (COPD diagnosis) or anything ... I thought well*
32
33 212 *you've got it, you've got to live with it* (Participant (P)1). Adaptation to a new normal occurred through
34
35 213 learning coping strategies and accepting a new pace of life: *'You have to perform at the rate your lungs*
36
37 214 *will let you. So you get slower and slower, year-by-year.'*(P11) Specific approaches were used to
38
39 215 manage symptom fluctuations, with one participant voicing: *'Quite often I get attacks... during the*
40
41 216 *night if the temperature drops suddenly... But I can control that quite often - I get up and make a cup*
42
43 217 *of coffee and sit up in the chair ... then I go back to bed and I'm alright the next morning'*. Others
44
45 218 discussed how they learnt how to retain activities that gave them joy: *'...we did a lot of dancing and if*
46
47 219 *I got really hot I'd have to go out of the hall into the fresh air ... I'd go out there and I could settle my*
48
49 220 *breathing down.'* (P1).
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56 222 ***Knowing when to seek help***

58 223 Development of knowledge in how and when to seek help also facilitated adaptation and capacity for
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3 224 self-management. Training in seeking help without delay was voiced as important to avoiding acute
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5 225 deterioration: *'Well; self-management, from what they've told me and what they've taught me, is to*
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7 226 *live as comfortably as you can with your disease you've got and don't "buggerise around if you get*
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9 227 *crook" [delay if you get sick].'*(P10). Recognising deterioration was important: *'You're either on a high*
10
11 228 *or you're on a low. You can feel yourself going down.'*(P13), as was knowing when to access emergency
12
13 229 services: *'Well if it gets to the stage where he can't breathe properly - into the hospital. That's just*
14
15 230 *what we do'* (caregiver of P5).

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20 232

23 233 **External Facilitators to COPD self-management**

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25 234 External facilitators related to the centrality of a known doctor; the health team going 'above and
26
27 235 beyond' and social support.

29 236

31 237 **Centrality of known doctor**

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33 238 Continuity of care with practitioners led to supportive long-term relationships: *'He's (GP) my rock'* (P9)
34
35 239 and *'if (doctor) were to leave and go somewhere else I don't know what I'd do.'*(P3). Coordination
36
37 240 between health members was also raised as important: *'with different things she'd (GP) say 'I'll ring*
38
39 241 *(the patient's physician) and talk to her about it. So they worked hand in hand'* (P12). Integration of
40
41 242 health professionals within the community also facilitated trust and confidence for patients to express
42
43 243 their needs: *'(Doctor) happened to be one of my neighbours.... He asked 'how is Rufus (my dog)? How*
44
45 244 *are you going? He even said 'what's your wife doing? Your clothesline is chock-a-block (full) every day'.*
46
47 245 *Then he started talking, he says 'What is it?' I said, 'look mate, I'm not happy, I want to go home'* (P7).
48
49 246 Rural workforce shortages can inhibit urgent care, however those with established relationships were
50
51 247 accorded access: *'He said to me, if ever I can't get in, tell them I've got to see him'*(P9), similarly another
52
53 248 patient voiced: *'I talk to the girls at the counter and I've got to book in three months ahead. I said I'm*
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55 249 *not booking into you for three months ahead - I said I'll ring you ... she puts me in every time'*(P13).
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45 251 **Health team going 'above and beyond'**

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7 252 Throughout the interviews participants provided examples of when health professionals had gone
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9
10 253 'above and beyond' to provide support, from simple presence: *'while I was in hospital (doctor) came*
11
12 254 *in nearly every day and he didn't have to'*(P13), to assisting with community access: *'(Doctor) came*
13
14 255 *'round to my place and sat down with my medications. She took them up to the chemist herself and*
15
16 256 *got them put into a Webster pack (Dosette-box)'*(P8). Several doctors went as far as providing their
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18 257 private contact details: *'We had to see (doctor) before we went on holiday and she'd give us her mobile*
19
20 258 *number and "if anything happens, call me straight away"'* (P11). Assistance with policy constraints
21
22 259 were also noted, these little and kind adjustments were keenly felt by participants: *'They let us sneak*
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24 260 *through the door which brought us right in. Words cannot explain how great they were. We were so*
25
26 261 *comfortable with all the staff that we could have asked them anything'* (P9).

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30 26231
32 263 **Social support**

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34 264 Community supports created the sense of an 'inclusive village', with non-health workers, such as bus
35
36 265 drivers, supporting participants to be independent: *'They're great. They lift me up on the thing*
37
38 266 *(disability access ramp) because I've got the oxygen'*(P6). Family and caregiver support were also
39
40 267 clearly articulated, both in day-to-day care, but also with logistical challenges: *'The other night the*
41
42 268 *power went off. So that's when you really need somebody ... you've got to go and get an oxygen bottle*
43
44 269 *and set it up to breathe.'*(P6). Caregiver support extended to recognition of symptoms and decision-
45
46 270 making, as well as recognising when to pace activities: *'(She) will pick very quickly if I'm tiring. You*
47
48 271 *never say stop ... Just one more thing'* (P11).

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51
52 272 Similarly, peer support was also raised in the context of community through pulmonary rehabilitation:
53
54 273 *(Pulmonary rehabilitation) is amazing. Number 1, you go there for exercises. Number 2- beautiful to*
55
56 274 *sit there and talk to the next person. They all got similar things ... We just listen to one another and*
57
58 275 *then naturally crack up a joke or something ... We look after one another.*(P7)
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3 276
45 277 **Internal Barriers to self-management of COPD**6
7 278 Loss of identity, lack of access and clear communication and socio-economic and cultural challenges8
9 279 were raised as the key barriers to self-management to COPD in the rural context.
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13 281

14 282 **Loss of Identity**15
16 283 Participants expressed a loss of identity and the associated psychological impact, particularly through17 284 changed work-life role: *'I was forced to retire. I didn't like the idea of it. I was depressed'* (P7). This was18 285 also expressed as loss of something that brought joy: *'I was that wrecked (by having to retire). It was*19 286 *unbelievable. I don't think that anybody would have loved their jobs as much I loved mine'* (P8).20 287 Emotional distress was also connected with the unrelenting nature of the condition: *'I thought, this is*21 288 *not worth it. What's the use in living when you suffer like this even though my mind is clear and*22 289 *everything?'* (P7). One caregiver also expressed distress at seeing the progressive decline and impact:23 290 *'He was deteriorating before my eyes. He also suffered depression because of all this pain'* (caregiver

24 291 of P13).

25 292

26 293 **External Barriers to self-management of COPD**27 294 **Lack of access and clear communication**

28 295 Issues of staff retention in the rural workforce raised barriers to continuity of care and effective

29 296 communication: *'You don't even get to know (the GPs), 18 months and they're gone'* (P14). Reduced30 297 numbers of health professionals also caused delays in access: *'I could only access her every six weeks*31 298 *at the least. You could never get her if you were sick.'*(P3) Similarly, limited alternatives left some32 299 participants to rely on emergency services: *'I've gone down to see a doctor, and I've clashed, or*33 300 *whatever. So they've rang an ambulance and said, go to hospital.'*(P8). Communication with unfamiliar34 301 clinicians also at times left some participants feeling in the dark: *'I was in hospital two weeks ago and*

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2
3 302 *it wasn't until a week after I got out, with my GP, that I actually found out what was the matter with*
4
5 303 *me' (P4).The need to continually re-tell their story was also a frustration when health professionals*
6
7 304 *appeared to not communicate with one another:*

9
10 305 *'there's been a few times where I've gone to emergency. You explain the situation - like, you're*
11
12 306 *having trouble breathing as it is. They're trying to say oh, how long have you been like this for?*
13
14 307 *Have [they] got any medical records? You'll see what's the matter with me is! And then the*
15
16 308 *next doctor comes in for the next shift - they say oh, what are you here for? Talk to each other*
17
18 309 *instead of having to ask the patients.'* (P8).

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24
25 312 **Socio-cultural challenges**

26
27 313 In contrast to those who voiced positive social inclusion; others expressed social isolation: *I wasn't*
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29 314 *coping. I wasn't well enough to do my own food preparation ... I'm fairly new and I'm not a local - I*
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31 315 *didn't know anyone so you don't like asking someone' (P14). In contrast to those afforded access*
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33 316 *through established relationships, participants newly arrived felt disconnected from support:*

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35 317 *'The community clinic was the one that I accessed because they HAD TO take new patients.*
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37 318 *That was my only avenue at the time ... I needed to access somebody who knew me because*
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39 319 *[COPD] doesn't go by the record sort of thing ... when you get sick, you're sick enough to need*
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41 320 *care straight away'.*(P14)

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43 321 Financial impact was raised several times, with participants having to balance treatments choices as
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45 322 *well as resource choices to stay well: 'We chose to increase the temperature of the house by a few*
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47 323 *degrees. It's just another cost' (P11). The requirement to replace the stove for oxygen therapy safety*
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49 324 *was an added burden, and support for such measures was perceived to be influenced by social*
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51 325 *circumstance: 'If you were living in Housing Commission you would be subsidised, but because we were*
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53 326 *(self-funded retirees) ... nothing'.*(P6).

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DISCUSSION:

This study explored patient experiences of living with and managing COPD in the rural context. Our results suggested that community inclusion, or inclusion in the 'village' context, moderated adaptation to a 'new normal' of living with COPD, and enhanced a person's ability to cope and ultimately self-manage their condition. Community inclusion also influenced whether a person experienced either a net balance of positive *facilitators* (knowledge of coping strategies and when to seek help, a central, known doctor, a health care team 'going above and beyond', social supports) or more pronounced balance of net negative *barriers* (loss of identity, lack of communication between health care team, socioeconomic or cultural disadvantage) to living with and managing COPD. The factors experienced by this rural population are highly relevant to people living in a variety of settings, including urban and suburban environments. However, the impact of these factors may be amplified in rural communities where there may be constrained choice and access to community support providers. Supportive social networks and a sense of 'place' are linked to decreased COPD readmission and are recognised as being strong and highly valued in rural areas (34).

The benefits of social connection, social support, living with a caregiver, and peer support through pulmonary rehabilitation are well recognised in COPD and have been reported widely in previous literature (17, 35) and are evident in the present study. These benefits have been shown to reduce the likelihood of smoking, increase exercise capacity, reduce emergency department visits and enhance coping.(36, 37) Patients' perceived control over COPD was found to be associated with fewer exacerbations (38). Conversely, loss of identity, (18) poor continuity of care from health professionals, poor communication between members of the health care team,(39) exclusion from social networks, and socioeconomic disadvantage are equally recognised as key barriers.(40) These influences are associated with reduced coping ability, decreased help seeking, (41) the need to continually repeat medical history, and are barriers to develop trusting relationships with health professionals. (42). In comparison with other qualitative Australian studies into the patient's experience of COPD, beneficial

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3 354 impacts were reported when patients felt supported by community members and health professionals
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5 355 (34, 43, 44), connected to people and nature (43), felt a strong sense of community (44) and felt
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7 356 listened to by health professionals (45).
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12 358 The strength of this study is the exploration of a range of medical, emotional and social supports, and
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14 359 the way these impact people living with COPD in the rural context. Our findings suggest that a rural
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16 360 'village' existed for these patients that encompassed supportive health professionals, family, friends
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18 361 and community members, as has been shown in maternal and child health and healthy ageing contexts
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20 362 (19-21). The understandings regarding social connectedness and the benefit of living within a
21
22 363 supportive 'village' in a rural context are likely highly relevant to other settings, including urban areas,
23
24 364 and could be used to model social supports for others living with COPD . In an urban context, a 'village'
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26 365 could develop within a suburb, block of flats or retirement housing development, or when people have
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28 366 lived in an area for an extended period of time. The extent to which a person living with COPD is
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30 367 included in the 'village' is variable and has a marked influence on coping and self-management ability.
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32 368 Inclusion in the 'village', where one's neighbour could also be one's doctor or where family members
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34 369 were given the GP's private telephone number, was strongly facilitative. There were many examples
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36 370 provided of close, trusting, long term relationships with doctors, health professionals 'going above
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38 371 and beyond', and social supports that enabled COPD management within the rural context. Similarly,
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40 372 self-management of COPD has been depicted as being built upon a pyramid of four categories of
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42 373 people (the patient (at the apex), their partner, their physician and the public's perception of the
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44 374 disease).(46) Perhaps this pyramid also depicts both the source and importance of each category of
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46 375 people to a person living with COPD.
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54 377 Previous studies have reported that COPD related symptoms and behaviours, such as coughing in
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56 378 public and wearing an oxygen mask, heighten feelings of self-blame due to historical smoking, and
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58 379 have been linked to feelings of loneliness and embarrassment. (17, 18) However, self-blame was not
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3 380 a prominent theme in this study, perhaps due to the protective aspects of social 'inclusion' within
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5 381 these established rural communities. Similarly, while much of rural health discourse focuses on deficits
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7 382 in care and experience,(47) and that respiratory care within the explored region is based on a rural
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10 383 generalist model, participants in this study did not speak of 'missing out' on services, information or
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12 384 access to specialists. Sossai and colleagues described several negative factors influencing life with
13
14 385 COPD (anxiety, depression, breathing and sleeping difficulties, reduction in daily/social activities and
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16 386 independence) but the impact of the rural context was generally limited to the associated climate(34).
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19 387 Goodridge's commentary on this paper suggested that rural patients with COPD often experienced
20
21 388 difficulty accessing self-management support and education (34). The participants in our study may
22
23 389 be unaware of other models of care delivered through metropolitan centres, or may believe they were
24
25 390 receiving the care that met their needs, or the desire to receive services locally or from familiar people
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28 391 was more important than accessing a different model of care elsewhere. Further research is required
29
30 392 to understand this perspective, given the unequivocal evidence that there is a lack of access to
31
32 393 specialist services in this and other rural contexts.

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36 395 This study was undertaken at a single site with a sample of people who had been hospitalised with
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38 396 COPD in the preceding 12 months. As such, it is likely to reflect only those perspectives of people who
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41 397 have been in recent contact with health services and were willing to undertake an interview. However,
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43 398 the strength of this work is that it provides important insights into rural healthcare experience and
44
45 399 inclusively explored medical, social and emotional supports in this context.

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50 401 The results of this study suggest that adaptation, coping and effective self-management are enhanced
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52 402 via a range of medical, emotional and social supports. There is substantial value of pulmonary
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54 403 rehabilitation as a *de facto* community and the benefit of a social 'village' in supporting people with
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56 404 chronic progressive disease. Health professionals may consider assessing patients for level of
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58 405 social/community inclusion and connecting patients with available services in the community. This
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3 406 may be of particular importance given the relationship seen between social exclusion and sub-optimal
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5 407 coping.

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10 409 Social support is known to positively influence psychological health and self-efficacy of people with
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12 410 COPD, however less is known about the benefits this confers on overall quality of life and physical
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14 411 functioning (48) particularly in the rural context. (24) The benefit and experience of living in a
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16 412 supportive 'village' community could be further explored in the urban context to further understand
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18 413 the complexities of non-medical social and emotional support. Furthermore, the patient perspective
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20 414 of coping strategies and self-management approaches could be used to inform more user-friendly
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22 415 education material to address the recognised poor knowledge and understanding of COPD.(49)
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28 417 **CONCLUSION**

29
30 418 In this study, the rural context offered an advantage for the people with COPD who experienced
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32 419 inclusion (in the 'village'), with the centrality of a known doctor and a health professional team willing
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34 420 to go 'above and beyond' key to this positive experience. Evidence of the benefit of strong social and
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36 421 family supports were noted, in line with prior studies. Understanding barriers and facilitators to
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38 422 supported COPD self-management will help inform future rural workforce and service development.
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40 423 Further research is needed to understand how social networks within the broader social structural
41
42 424 conditions influence the way in which patients live with and manage their disease, and to compare
43
44 425 experiences of COPD in rural and urban contexts.
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53
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59 431 All data relevant to the study are included in the article or uploaded as supplementary information.
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6
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For peer review only

Table 1: Example of analysis process and illustrative quotes

	Category	Sub-category	Code	Condensed meaning unit	Meaning unit
Internal facilitators	Coping Strategies	Individual approaches to self-management	Matter of fact approach to COPD	Making the best of things	I didn't get depressed about it (COPD diagnosis) or anything, no, I thought well you've got it, you've got to live with it (P1)
			Acceptance of slowed pace.	There is no problem with her going on the train with the oxygen. It just slows you down	It just slows you down. You have to perform at the rate your heart will let you, and you have to perform at the rate your lungs will let you. So you get slower and slower, year-by-year. (P1)
			Learning approaches to manage symptoms	But I can control that... I go back to bed and I'm alright the next morning	There is no problem with her going on the train with the oxygen. So that's not a problem. It's just a matter of putting her on the train and the oxygen will last for eight hours. (P3)
			Working to retain activities of joy	I could settle my breathing down	Quite often I get attacks - mild attacks - during the night if the temperature drops suddenly and it's cold, and I haven't had the heater on or something enough that can set me off. But I can control that quite often - I get up and make a cup of coffee and sit up in the chair and turn the heating on and then I go back to bed and I'm alright the next morning (P14)
	Knowing when to seek help	Knowing when to seek help	Acting on warning signs	Don't delay if you get unwell	...we did a lot of dancing and if I got really hot I'd have to go out of the hall into the fresh air, I felt like I was suffocating in the hall and I'd go out there and I could settle my breathing down by getting the fresh air outside. (P1)
			Recognising changes	You can feel yourself going down	'So he still goes out and enjoys that, but he doesn't dance every dance like he used to' (P11)
					Well self-management from what they've told me and what they've taught me is to live as comfortably as you can with what you've got - with your disease you've got and don't buggerise around if you get crook [delay if you get sick]. That's it. (P15)
					You're either on a high or you're low. You can feel yourself going down and I have a boost of prednisolone. I used to have 25 for three days, 12 and a half for three days, and then five for seven days. (P1)

Rural COPD management

<p style="writing-mode: vertical-rl; transform: rotate(180deg);">External Facilitators</p>	<p>Centrality of a known doctor</p>	<p>Continuity, trust, and connection</p>	<p>Central to support and coordination of care</p> <p>Providing access when needed</p>	<p>Central position of support</p> <p>Doctor integrated as part of community</p> <p>You couldn't get an appointment with her because she was booked out all the time</p> <p>Converse impact of lack of access</p>	<p>He's (GP) my rock (P9)</p> <p>Well if (doctor) were to leave and go somewhere else I don't know what I'd do. (P3). 'with different things she'd (GP) say 'I'll ring (doctor 1) and talk to her about it. So they worked hand in hand' (P12).</p> <p>He happened to be one of my neighbours. He then asked 'how is Rufus (my dog) going? How are you going? He even said 'what's your wife doing? Your clothesline is chock-a-block every day'. Then he started talking, he says 'What is it?' I said, 'look mate, I'm not happy, I want to go home' (P7)</p> <p>He said to me, if ever I can't get in, tell them I've got to see him, yes.(P9)</p> <p>My GP is really good. I say to her, it's no good me making an appointment with you - I said this quite a while back - because I talk to the girls at the counter there and I've got to book in three months ahead. I said I'm not booking into you for three months ahead. I said I'll ring you and let you know when I'm free. When I'm free....She puts me in every time. (P7)</p> <p>They only had the one lady doctor - and she'd been there forever apparently, highly regarded. So I got an appointment with her and she did all these tests on me and checked blood tests and everything. Found out that I was okay as such, and - but I could only access her every six weeks at the least. You could never get her if you were sick. Just because you were sick you couldn't get an appointment with her because she was booked out all the time (P3)</p>
	<p>Health team going above and beyond</p>	<p>Patients supported with inclusive access</p>	<p>Going the extra mile to accommodate and care for patients</p>	<p>Visiting and providing support</p> <p>Giving patients their private number</p> <p>Facilitating access</p>	<p>while I was in hospital (doctor 2) came in nearly every day and he didn't have to' (P13)</p> <p>(Doctor) came round to my place and sat down with my medications. She took them up to the chemist herself and got them put into a (Dosette-box). So I go to the chemist, now, and pick them up in a (Dosette Box). (P8)</p> <p>We had to see her (doctor 1) before we went on holiday and she'd give us her mobile number and "if anything happens, call me straight away"(P11)</p> <p>Well (wife) went overseas. (Doctor) said well what are you doing? I decided not to come ... I'm going to family. (Doctor) said okay, give the family my number. Here's some extra medication, and this is the instructions if something happens. (P11)</p> <p>They let us (daughters of patient with COPD) sneak through the door around the other side, which brought us right in. Words cannot explain how great they were. We were so comfortable with all the staff that we could have asked them anything, every button they pressed on a machine, every tube they played with, they explained to us what they were doing (P9)</p>

	Social support	Community supports assisting with independence Family support	Community support independent living and watching over and taking care Family support with day to day and in recognising and managing symptoms	The bus drivers are great, they lift me up because I've got oxygen He Fell over in the garden one of them lifted him up Family provide care and support – day to day and in time of need Family assist with recognition of symptom changes	'When I go up the street on the bus, the bus drivers, they're great. They will lift me up on the thing, because I've got the oxygen, I can lift up and that. At the picture theatre I find, and everywhere I go actually, I find them very good' (P6) We get along very well with the maintenance fellows. (Patient with COPD) fell over in the garden out the side and one of the maintenance boys came up, lifted him up' (P5) The other night the power went off. So that's when you really need somebody ... you've got to go and get an oxygen bottle and set it up to breathe. (P6). Like at one stage what was about 12 of us in there with you (Mum, in Critical Care), (nurses) did not bat an eyelid. They (nurses) could see that the family support was what was keeping her going (daughter of participant 9). (She) helps me put my socks on, tells me to get out of bed. Tells me not to drink too much. General company. It's really what it is. (She) will pick very quickly if I'm tiring. You never say stop. Just one more thing. I get that a lot. So I'm running on the Plimsoll line all the time. Just one more thing, then we'll go home. (P11) Pulmonary rehabilitation also created an important community: Oh my goodness, (pulmonary rehabilitation) is amazing. See, number 1, you go there for exercises. Number 2- beautiful to sit there and talk to the next person. They all got similar things. We're talking about how someone feels shit. Somebody's better, somebody's worse. We just listen to one another and then naturally crack up a joke or something which they said laughter is better than medicine. We look after one another and talk nicely and beautiful.(P7)
Internal Barriers	Loss of Identity	Impact of restriction in social worth and contribution Impact on mental health	Loss of social role Mental health distress over unrelenting condition	Forced by COPD into early retirement/ Loss of loved work role Living a life of suffering	I was that wrecked (by having to give up work due to COPD). It was unbelievable. I don't think that anybody would have loved their jobs as much I loved mine' (P8) 'I thought, this is not worth it. What's the use in living when you suffer like this even though my mind is clear and everything?' (P7) Oh, my - if I could turn the clock back, every person that told me of the person and the people that were suffering from it, I would listen to the first person and shake the shit away, wouldn't touch it again. (P7)

Rural COPD management

External Barriers	Lack of access and clear communication	Lack of access and clear communication	High staff turnover	Don't even get to know the GPs, 18 months and they're gone	You don't even get to know (the GPs), 18 months and they're gone (P14) But the new one didn't like (local town) so they left. He was replaced by an equally good one who didn't like (local town) and left. (P3).
			Limited alternatives	I could only access her every six weeks/ I've clashed, they've rang the ambulance and said go to hospital	They only had the one lady doctor - and she'd been there forever apparently, highly regarded. ... but I could only access her every six weeks at the least. You could never get her if you were sick. (P3)
			Lack of communication	Don't they read the files?	I've gone down to see a doctor, and I've clashed, or whatever. So they've rang an ambulance and said, go to hospital (P8) I was in hospital two weeks ago and it wasn't until a week after I got out with my GP that I actually found out what was the matter with me'. (P14)
			Having to repeatedly explain	The next doctor comes in, you've got to explain it again.	There's been a few times where I've gone to emergency. You explain the situation - like, you're having trouble breathing as it is. They're trying to say oh, how long have you been like this for? Have [they] got any medical records? You'll see what's the matter with me is! Do you know what I mean? ... and then the next doctor comes in for the next shift. Then they say oh, what are you here for? Talk to each other instead of having to ask the patients. (P8) Then the next doctor comes in for the next shift. Then they say oh, what are you here for, and you've got to explain it. Don't you read the files? Talk to each other, instead of having to ask the patients. (P8)

Socio-cultural and economic challenges	Social isolation	Impact of having newly moved to the region	<p>I didn't know anyone, you don't like to ask unless they offer</p> <p>Community clinic my only avenue because I didn't know what was available</p> <p>Need somebody who knew me, need care straight away</p>	<p>I wasn't (admitted to hospital), but I felt I should have been, because I wasn't coping. I wasn't well enough to do my own food preparation ... Because I'm fairly new and I'm not a local, I didn't know anyone so you don't like to be asking someone to do those sorts of things (shopping, food preparation) unless they offer (P14)</p> <p>The community clinic was the one that I accessed because they HAD TO take new patients. I mean they had more than they could cope with I think, but that was my only avenue at the time because I didn't know what was available ... I needed to access somebody who knew me- because asthma doesn't go by the record sort of thing. You don't know when- and when you get sick, you're sick enough to need care straight away. (P14)</p>	<p>Financial hardship</p> <p>Making choices about costs associated with chronic disease</p> <p>It's just another cost</p> <p>If we lived in housing commission you would be subsidised</p> <p>Financial impact was raised several times, with participants having to balance treatments choices as well as resource choices to stay well: 'We chose to increase the temperature of the house by a few degrees. It's just another cost'.(P11)</p> <p>Requirements to replace stoves for oxygen therapy safety was another common added burden, and support for such measures perceived to be influenced by social circumstance: 'If you were living in Housing Commission you would be subsidised, but because we were (self-funded retirees) ... nothing'.(P6)</p>
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COPD: Chronic obstructive pulmonary disease

GP: General Practitioner

P: Participant

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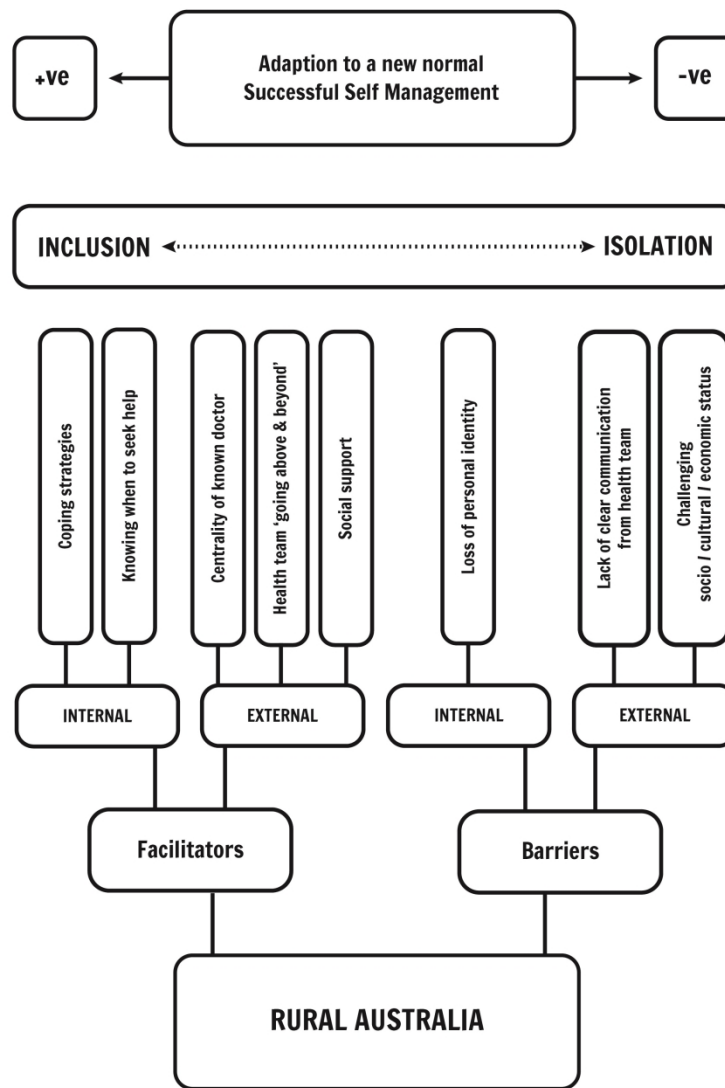
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Figure legend:

Figure 1:

Facilitators and barriers to COPD self-management in the rural context. Adaptation to the 'new normal' of life with COPD and ability to self-manage were influenced by facilitators and barriers, moderated by inclusion.



45 Figure 1: Facilitators and barriers to COPD self-management in the rural context. Adaptation to the 'new
46 normal' of life with COPD and ability to self-manage were influenced by facilitators and barriers, moderated
47 by inclusion.

48 210x297mm (300 x 300 DPI)

APPENDIX I INTERVIEW SCHEDULE

1. Overall, what has your experience of having COPD been like?
2. Can you tell me a little about how and when your illness started and how that led to a diagnosis?
Can you describe your initial thoughts to being diagnosed?
3. Have you received information about your condition?
4. What sorts of things do you do because of your condition (diet, exercise, use of health services)?
5. What types of support are you currently receiving?
6. How do you feel in yourself nowadays compared to before your diagnosis? How your life is going with your COPD?
7. How has your condition impacted on you financially?
8. How do you feel in yourself nowadays?
9. How has your illness affected your social life, relationships with friends and family?

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32 item COREQ checklist, as per Tong, Sainsbury & Craig, 2007:

1.	Interviewer/facilitator	Which author/s conducted the interview or focus group?	Page 6
2.	Credentials	What were the researcher's credentials? <i>E.g. PhD, MD</i>	Page 6
3.	Occupation	What was their occupation at the time of the study?	Page 1
4.	Gender	Was the researcher male or female?	Page 6
5.	Experience and training	What experience or training did the researcher have?	Page 6
Relationship with participants			
6.	Relationship established	Was a relationship established prior to study commencement?	Page 6
7.	Participant knowledge of the interviewer	What did the participants know about the researcher? <i>e.g. personal goals, reasons for doing the research</i>	Page 6
8.	Interviewer characteristics	What characteristics were reported about the interviewer/facilitator? <i>e.g. Bias, assumptions, reasons and interests in the research topic</i>	Page 6
Domain 2: study design			

1			
2			
3			
4	Theoretical		
5	framework		
6			
7			
8		What methodological orientation was stated to underpin the study? <i>e.g.</i> <i>Page 5</i>	
9	9.	Methodological orientation and Theory	<i>grounded theory, discourse analysis, ethnography, phenomenology, content analysis</i>
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11			
12			
13	Participant selection		
14			
15			
16	10.	Sampling	How were participants selected? <i>e.g.</i> <i>purposive, convenience, consecutive, snowball</i> <i>Page 5</i>
17			
18			
19			
20	11.	Method of approach	How were participants approached? <i>e.g.</i> <i>face-to-face, telephone, mail, email</i> <i>Page 5</i>
21			
22			
23	12.	Sample size	How many participants were in the study? <i>Page 7</i>
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25			
26	13.	Non-participation	How many people refused to participate or dropped out? Reasons? <i>Page 7</i>
27			
28			
29	Setting		
30			
31	14.	Setting of data collection	Where was the data collected? <i>e.g.</i> <i>home, clinic, workplace</i> <i>Page 6</i>
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33			
34	15.	Presence of non-participants	Was anyone else present besides the participants and researchers? <i>Page 7</i>
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37	16.	Description of sample	What are the important characteristics of the sample? <i>e.g.</i> <i>demographic data, date</i> <i>Page 6-7</i>
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Data collection

17.	Interview guide	Were questions, prompts, guides provided by the authors? Was it pilot tested?	Appendix 1
18.	Repeat interviews	Were repeat interviews carried out? If yes, how many?	NA
19.	Audio/visual recording	Did the research use audio or visual recording to collect the data?	Page 6
20.	Field notes	Were field notes made during and/or after the interview or focus group?	NA
21.	Duration	What was the duration of the interviews or focus group?	Page 7
22.	Data saturation	Was data saturation discussed?	Page 6
23.	Transcripts returned	Were transcripts returned to participants for comment and/or correction?	Page 7

Domain 3: analysis and findingsz

Data analysis

24.	Number of data coders	How many data coders coded the data?	Page 6
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25.	Description of the coding tree	Did authors provide a description of the coding tree?	NA
26.	Derivation of themes	Were themes identified in advance or derived from the data?	Page 6
27.	Software	What software, if applicable, was used to manage the data?	Page 6
28.	Participant checking	Did participants provide feedback on the findings?	Page 7
Reporting			
29.	Quotations presented	Were participant quotations presented to illustrate the themes / findings? Was each quotation identified? e.g. <i>participant number</i>	Table 1 & pages 8-12
30.	Data and findings consistent	Was there consistency between the data presented and the findings?	Page 6
31.	Clarity of major themes	Were major themes clearly presented in the findings?	Figure 1
32.	Clarity of minor themes	Is there a description of diverse cases or discussion of minor themes?	Pages 8-12