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# BMJ Open

## Using theatre as an arts-based knowledge translation strategy for health-related information: a scoping review protocol

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4 information: a scoping review protocol  
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## ABSTRACT

**Introduction:** Substantial delays in translating evidence to practice mean that many beneficial and vital advances in medical care are not being used in a timely manner. Traditional KT strategies have tended to target academics by disseminating findings in academic journals and at scientific conferences. Alternative strategies, such as theatre-based KT, appear to be effective at targeting broader audiences. The purpose of this scoping review is to collate and understand the current state of science on the use of theatre as a KT strategy. This will allow us to identify gaps in literature, determine the need for a systematic review, and develop additional research questions to advance the field.

### Methods and analysis

This review will follow established scoping review methods outlined by Arksey and O'Malley in conjunction with enhanced recommendations made by Levac, Colquhoun, and O'Brien. The search strategy, guided by an experienced librarian, will be conducted in PubMed, CINAHL, and OVID. Study selection will consist of three stages: (1) initial title and abstract scan by one author to remove irrelevant articles and create a shortlist for double-screening, (2) title and abstract scan by two authors, (3) full-text review by two authors. Included studies will report specifically on the use of theatre as means of knowledge translation of health-related information to any target population. Two reviewers will independently extract and chart the data using a standardized data extraction form. Descriptive statistics will be used to produce numerical summaries related to study characteristics, KT strategy characteristics and evaluation characteristics. For those studies that included an evaluation of the theatre production as a KT strategy, we will synthesize the data according to outcome.

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**Ethics and Dissemination**

Ethical approval was not required for this study. Results will be published in relevant journals, presented at conferences, and distributed via social media.

For peer review only

## STRENGTHS AND LIMITATIONS OF THIS STUDY

- This protocol will follow the recommended guidance for scoping reviews to ensure accuracy, clarity, and reproducibility.
- Our team is a multidisciplinary collaboration between experts in the areas of systematic and scoping reviews and application of knowledge translation strategies and arts-based practices to facilitate high-level discussion between fields to ensure accurate and robust interpretation of the findings.
- The review topic and objectives were co-developed amongst relevant knowledge users.
- Due to the broad nature of scoping reviews there will be no quality assessment of included studies.
- Only peer-reviewed literature will be considered in this review.

## INTRODUCTION

### Evidence practice gap

Evidence-practice gaps, defined as the disparity between research evidence and usual clinical practice,[1] pose a significant problem for healthcare systems and the quality of care they provide to patients. Numerous clinical audits across several areas of healthcare have identified a lack of adherence to clinical practice guidelines, resulting in the underuse of evidence-based interventions or over-use of outdated research.[2–6] This issue is widespread, and research across multiple countries repeatedly shows that patients are receiving unsuitable and outdated care, which in certain cases can be harmful.[7] For example, poor staff adherence to evidence-based infection prevention practices and hand hygiene practices in hospitals has been linked with healthcare-associated infections which are associated with patient mortality rates varying from 5% to 35%.[8–12] In a 2011 review, Morris et al. identified that 17 years was the most commonly reported average time-frame for the translation of health research to practice.[13] These substantial delays in translating evidence to practice means that many beneficial and vital advances in medical care are not being used in a timely manner. This presents a real and direct risk to the quality of care provided to patients and also to overall patient safety. Translating evidence into practice to achieve better patient outcomes is therefore becoming a key priority for many health researchers, health funders and health systems.

Much of the delay in translation of evidence into practice has been attributed to research waste.[2–6] In 2009 it was estimated that about 85% of research investment was wasted and could have been better spent to ensure the transferability of research to practice.[14,15] In 2016, a review of what funders, regulators, academic institutions and researchers were doing

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2  
3 to address waste found an improvement in the generation of clinically-relevant research  
4  
5 questions and adherence to standards for research reporting and rigour.[16] However, less has  
6  
7 been done to ensure the translation of research findings to practice.[16] The authors  
8  
9 recommended that researchers should systematically plan to use knowledge translation  
10  
11 strategies to more effectively disseminate their research for knowledge users.[16]  
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### 16 **Knowledge translation**

17  
18 Knowledge translation (KT) is the use of systematic and iterative processes to translate  
19  
20 evidence-based research findings into practice, and has gained traction over the last  
21  
22 decade.[1,17,18] However, the field of KT is still emerging with little consensus on the most  
23  
24 effective approaches. This is evidenced by a recent scoping review that identified 592 studies  
25  
26 (published in the last 20 years) that used 159 theories, frameworks and models to underpin  
27  
28 their KT strategies with very limited information on how these were actually applied.[19] To  
29  
30 provide more clear direction, several KT planning guides have also been developed to help  
31  
32 researchers and organisations think about how best to translation findings for knowledge  
33  
34 users.[17,20] For example, Ian Graham produced “Guide to Knowledge Translation Planning at  
35  
36 CIHR: Integrated and End-of-Grant Approaches” which helps researchers identify their target  
37  
38 knowledge users and their KT goals (e.g. to increase awareness, knowledge, or skills or to  
39  
40 promote behaviour change) and then consider what KT strategies to use to achieve these  
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42 goals.[17]  
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### 51 **Knowledge translation strategies**

52  
53 KT strategies (sometimes referred to as interventions) are overt activities or devices that  
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55 facilitate or encourage the use of research to achieve clinical practice change.[21] There are  
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3 numerous KT strategies to choose from, as evidenced in recent reviews which identified over 30  
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5 different strategies within the literature.[22–24] Traditional KT strategies have tended to focus  
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7 on publishing research findings in academic journals and presenting results at scientific  
8  
9 conferences. This approach is typically used to inform other researchers and academics about  
10  
11 the latest advances in health research with the goal of advancing science. However, in recent  
12  
13 years, the importance of translating health research across all stakeholder groups has been  
14  
15 recognised[17,25] to facilitate better uptake of research into practice and reduction of research  
16  
17 waste, and to achieve broader and greater research impact. As such, KT has evolved and  
18  
19 broadened in scope to include translation and dissemination of evidence for a wider range of  
20  
21 knowledge-users (e.g. clinicians, policy makers, patients, and the public). Since these audiences  
22  
23 have different knowledge needs and will use the information for different purposes, alternative  
24  
25 KT strategies have been proposed. Alternative KT strategies typically include activities and  
26  
27 devices such as plain language summaries, evidence briefs, practice guidelines, educational  
28  
29 outreach, mass media, toolkits, opinion leaders or financial incentives. Increasingly, healthcare  
30  
31 researchers are also using arts-based KT strategies to translate and disseminate their findings,  
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33 however much less is known about how this is used.  
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### 43 **Arts-based KT**

44  
45 Arts-based KT strategies can be broadly grouped into 3 categories, visual (photographs,  
46  
47 drawings), literary (poetry) or performance (e.g. theatre, narrative based arts) and are used to  
48  
49 translate key, educative messages to broader audiences.[26] Arts-based KT is a multidisciplinary  
50  
51 approach that brings together professionals with a variety of different expertise. This is a  
52  
53 considered a strength that may result in unique and improved ways of disseminating research-  
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3 based evidence that can appeal to more diverse audiences than traditional scientific  
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5 presentations and posters.[27] For example, they are likely to garner more attention, stimulate  
6  
7 affective responses, and incite discussion and story sharing between those involved.[26–28]  
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10 They appear to be especially effective at targeting broader audiences because they are  
11  
12 accessible to the general public, can be enjoyed without any particular expertise[26] and cater  
13  
14 to a variety of different learning styles.[29] For this reason, individuals of different ages,  
15  
16 genders, backgrounds, and cultures can often relate to these art forms, which may heighten  
17  
18 their understanding and acceptance of any message being conveyed.[26]  
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### 23 **Theatre-based KT**

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25  
26 Theatre is defined as a presentation or activity that uses drama to engage and entertain an  
27  
28 audience [30]. This medium has been commonly used as an educational tool in other disciplines  
29  
30 such as education and sociology for its ability to engage audiences on both affective and  
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32 cognitive levels, a process that has been found to be central to the success of educational  
33  
34 interventions.[27,31] In addition, some theatre productions allow for audience participation  
35  
36 which places individuals directly in the context of a specific situation, resulting in stronger  
37  
38 emotional responses and attention.[32] Theatre may be an especially effective KT strategy for  
39  
40 public audiences because it is a commonplace and culturally acceptable activity in many  
41  
42 countries and communities.[33] It is also often feasible in low-income areas where other forms  
43  
44 of media (e.g., television or radio) are inaccessible. For example, Islam et al.[34] found that only  
45  
46 0.4% of people in Bangladesh had access to television, so they used a village theatre production  
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48 to convey information about eclampsia. This study found improved eclampsia knowledge using  
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50 a pre-post survey.[34] A previous review of the literature up to 2009 found 7 studies that used  
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3 arts-based methods of drama or theatre for disseminating health research.[35] The theatre  
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5 productions were used to impart knowledge about chronic conditions, cancer, HIV, dementia  
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7 and traumatic brain injury to allow care providers assimilate knowledge and understanding  
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9 through multi-sensory mechanisms (i.e. by watching, hearing, and feeling the message as  
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11 opposed to solely reading journal publications, pamphlets, or conference  
12  
13 proceedings).[27,31,35–37] While the review highlighted that theatre was one of the arts-based  
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15 strategies used in health research it provided only a high level overview of these studies and  
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17 thus did not provide sufficient detail about the development of the theatre production,  
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19 methods of evaluation or the outcome measurement tools used to assess effectiveness. While,  
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21 the interest in KT and using arts-based KT strategies such as theatre continues to grow the  
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23 details on its intended aim, development, production, implementation and evaluation are still  
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25 unknown. To date there has not been a review that has focused solely on the arts-based  
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27 strategy of theatre.  
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### 35 **Purpose**

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38 This will be the first review to collate and understand the current state of science on the use of  
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40 theatre as a KT strategy for dissemination. This review will act as the foundation for a potential  
41  
42 new program of research regarding performance arts based KT strategies, thus, we have chosen  
43  
44 to use a scoping review methodology. By doing so, it will allow us to first obtain a broad and  
45  
46 general understanding of the use of theatre as a KT strategy for health-related information. We  
47  
48 will investigate the types of theatre being used, populations being targeted, messages being  
49  
50 conveyed, what outcomes are being assessed, and the methods of evaluation. In this way, we  
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52 can identify current gaps in literature, determine the need for a full systematic review of  
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3 effectiveness and develop additional research questions and methodologies to advance the  
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5 field.  
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## 8 9 **METHODS AND ANALYSIS**

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11 To ensure the accuracy and reproducibility of this study, we will follow the six-step scoping  
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13 review guidance outlined by Arksey and O'Malley[38] in conjunction with enhanced  
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15 recommendations to this guidance made by Levac, Colquhoun, and O'Brien.[39] We will also  
16  
17 follow the Preferred Reporting Items for Systematic Review and Meta-analysis extension for  
18  
19 Scoping Reviews (PRISMA-ScR) checklist to ensure rigour.[40]  
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### 23 24 **Stage 1: Developing the research question**

25  
26 The purpose of this review is to understand the “state of science” regarding the use of theatre  
27  
28 as a KT strategy for health-related information. By this we mean establishing a foundational  
29  
30 understanding of how theatre has been used and evaluated as a KT strategy, including target  
31  
32 audiences, health topics addressed, types of theatre employed, and the research study designs  
33  
34 and outcomes assessed. This will enable us to identify the knowledge gaps regarding the use  
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36 and evaluation methods of theatre as a strategy for KT and provide guidance and suggestions  
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38 for future research.  
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46 To meet our objective of understanding the state of science for the arts-based KT strategy of  
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48 theatre used in a health research context, we will ask the following questions related to  
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50 population, concept, and context:  
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55 Population  
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- What audiences are being targeted?

Concept:

- What types of theatre are being used for KT of health information?
- How has the theatre production been developed, produced and implemented?

Context:

- What types of health messages are being conveyed?
- What is the KT aim(s) of theatre (e.g. awareness, knowledge, skill development, behaviour change)?
- How has the theatre-based KT strategy been evaluated in terms of outcomes and study design?

## Stage 2: Identifying relevant studies

The search strategy for this review was informed by strategies in previous systematic reviews on KT strategies.[21,26] The search strategy was reviewed and adapted by the research team in collaboration with an experienced librarian to combine the KT string with terms for 'Theatre'. The final search strategy was developed iteratively with the research team and can be found in Supplementary file 1. The search will be conducted from inception in the following databases: PubMed, CINHALL, and OVID. These databases were chosen to capture a comprehensive body of literature from health sciences disciplines. The searches will not be limited by language. Reference lists of key articles will be hand-searched by the review team to capture any papers missed in the electronic searches. The search results will be imported into Covidence review management software[41] and duplicate citations removed.

### Stage 3: Study selection

Study selection will consist of three stages: (1) an initial title and abstract scan by one author to remove irrelevant articles and create a shortlist for double screening, (2) a short-list of titles/abstracts by two authors followed by (3) a full-text review by two authors. For duplicate screening, two authors will independently screen each citation and document their results on the review spreadsheet. They will also meet multiple times throughout stage 2 (title/abstract review) to discuss more complicated criteria as needed. During this process studies will be coded as “include”, “exclude”, or “unclear”. Studies marked “include” or “unclear” will be retrieved for full-text review using Covidence online software. Prior to full text review, reviewers will meet again to discuss uncertainties for inclusion or exclusion criteria. Studies will be coded in the same way as in title and abstract screening, in preparation for data extraction.

Studies that report specifically on the use of theatre as means of knowledge translation (e.g. dissemination of research findings) of health-related information (anything that impacts the health of humans) with any target population (public, patients, workers, care providers) will be included in the review. Studies in any language will be included providing an accurate translation can be performed. All study designs will be included ranging from descriptive only studies to evaluation studies (including feasibility, process, effectiveness or cost related evaluations), only peer-reviewed studies will be included.

### Stage 4: Charting the data

A standardized data extraction form will be developed in Excel and pilot tested by the review team to allow reviewers to systematically chart the data. Supplementary file 2 includes a sample data abstraction chart highlighting the data variables that will be extracted from each of

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3 the articles included in the review. These include study (i) study characteristics (e.g., publication  
4 year, country of origin); (ii) knowledge translation strategy characteristics (the target  
5 audience(s), goal(s), and how the theatre productions were developed and implemented; and  
6 (iii) evaluation characteristics (e.g. outcome variables, assessment methods, study designs).

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12 Two reviewers will independently extract data on the first 10% of included studies using the  
13 data extraction form. Reviewers will then meet with the lead investigator and discuss any  
14 uncertainties encountered during extraction, additional data elements they feel should be  
15 included, or any other feedback on the data extraction form to determine if the form needs to  
16 be refined.  
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### 26 **Stage 5: Collating, summarizing, and reporting the results**

27 We will use descriptive statistics to produce numerical summaries related to study  
28 characteristics, KT strategy characteristics and evaluation characteristics. For those studies that  
29 included an evaluation of the theatre production as a KT strategy, we will synthesize the data  
30 according to outcome. For example, we will provide a descriptive summary of all studies that  
31 evaluated outcomes in two main areas: implementation and effectiveness. Implementation  
32 outcomes of KT strategies relate to acceptability, reach, appropriateness, feasibility, fidelity,  
33 and implementation cost. Effectiveness variables include those relating to knowledge  
34 translation aims; awareness, knowledge, skills, and behaviour change.[42,43]  
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48 This stage of data extraction and summarising will be carried out by two, independent  
49 reviewers who will compare and consolidate their results through consensus. In cases where  
50 there is disagreement regarding data extraction or analysis that cannot be resolved through  
51 consensus, a third senior reviewer will help to resolve the conflict. The summary of data will  
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3 highlight the similarities, patterns, and differences in the way theatre is being used for the  
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5 knowledge translation of health information as reported in the literature. While details about  
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7 those studies that evaluated theatre-based KT strategies will be summarized, assessment of  
8  
9 quality will not be undertaken as quality assessment is beyond the scope of this review.  
10  
11

12  
13 Considering these results, suggestions for future research evaluating theatre as a knowledge  
14  
15 translation strategy for disseminating key messages from health research will be discussed.  
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### 18 **Stage 6: Consultation**

19  
20 Our team includes representatives from the fields of knowledge translation, implementation  
21  
22 science, theatre-arts, psychology and behaviour change research, clinical trials and health  
23  
24 services research. We have co-developed the topic and research questions for the scoping  
25  
26 review with all members of the research team. We will develop a consultation panel including  
27  
28 representatives from the Canadian Strategy for Patient Oriented Research KT National Working  
29  
30 Group, KT Canada and the Theatre Arts programs at Memorial University, the University of  
31  
32 Alberta, and the University of Toronto. Consultation will pertain to (i) identifying if any  
33  
34 important studies were missed in the search strategy, (ii) interpreting the findings to ensure  
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36 validity and that any KT or theatre expert perspectives are represented accurately.  
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### 43 **Patient and Public Involvement Statement**

44  
45 Members of the public were first involved in this work by way of contributing to a priority  
46  
47 setting exercise to select which arts-based knowledge translation strategies were important  
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49 and of interest to the public. Members of the public were consulted to help co-produce the  
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51 research question by helping to set the eligibility criteria for the population and outcome terms  
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3 of the question; thereby helping to set the scope for the research question. Members of the  
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5 public will be invited to review a plain language summary, an infographic and short video using  
6  
7 adobe spark that we will use to present the key findings of the review. These will be  
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9 disseminated to the public via our social medial channels and at local or international public  
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11 engagement sessions.  
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## 16 **ETHICS AND DISSEMINATION**

17  
18 This will be the first comprehensive review of the use of theatre as a strategy for knowledge  
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20 translation in healthcare settings. It will form the foundation for a future program of  
21  
22 interdisciplinary work between researchers in health services, knowledge translation and  
23  
24 implementation science, knowledge translation change agents, educators in the arts, and  
25  
26 research-based theatre performers. Ethical approval is not required for this scoping review. We  
27  
28 plan to disseminate the results in several ways: publication in relevant journals; presentation at  
29  
30 relevant conferences (e.g. KT Canada, INVOLVE UK); via social media using short summaries for  
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32 non-academic audiences including a plain language summary, an infographic to depict findings  
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34 and a short video with the research team to explain the state of science on using theatre as a  
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KT strategy.

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## AUTHOR'S CONTRIBUTIONS

AH, ET, and TH conceptualized and designed this scoping review. AH, ET, BF, and AP drafted the protocol. AH, GL, RL, AR, and BF developed the search strategy and conducted the search. All authors reviewed provided feedback on the methods and analysis as well as the manuscript. ET, AP, and HE reviewed and provided feedback on the manuscript on both content and clarity. All authors provided their approval to publish this manuscript.

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## COMPETING INTERESTS STATEMENT

The authors have no competing interests to declare.

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**SUPPLEMENTARY FILE 1 - SEARCH STRING:**

(MH "Drama+" OR MH "Narrative Medicine+" OR TI theatre OR AB theatre OR TI theater OR AB theater OR TI playwriting or AB playwriting OR TI storytelling OR AB storytelling OR TI "interactive theatre" OR AB "interactive theatre" OR TI "interactive theater" OR AB "interactive theater") AND (MH Education OR SH education OR MH "Teaching Materials+" OR MH "Inservice Training+" OR MH "Staff Development+" OR MH "Health Plan Implementation+" OR MH "Quality Improvement+" OR TI workshop OR AB workshop OR TI seminar OR AB seminar OR TI training OR AB training OR TI implementation OR AB implementation OR TI "knowledge translation" OR AB "knowledge translation" OR MH "Health, Knowledge, Attitudes, Practice+" OR MH "Clinical Competence+" OR MH "Education, Continuing+" OR MH "Professional Role+" OR MH "Professional Competence+" OR MH "Guideline Adherence+" OR MH "Attitude of Health Personnel+" OR MH "Self Efficacy+")

## Supplementary file 2 – Sample data extraction Template

Study (Author/Year)	Country	Study Design	Sample Size	Topic area	Theatre type	Theatre Description	Outcomes Assessed	Assessment tools	Findings
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For peer review only



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## Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) Checklist

SECTION	ITEM	PRISMA-ScR CHECKLIST ITEM	REPORTED ON PAGE #
<b>TITLE</b>			
Title	1	Identify the report as a scoping review.	1
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary that includes (as applicable): background, objectives, eligibility criteria, sources of evidence, charting methods, results, and conclusions that relate to the review questions and objectives.	2
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known. Explain why the review questions/objectives lend themselves to a scoping review approach.	5-9
Objectives	4	Provide an explicit statement of the questions and objectives being addressed with reference to their key elements (e.g., population or participants, concepts, and context) or other relevant key elements used to conceptualize the review questions and/or objectives.	10-11
<b>METHODS</b>			
Protocol and registration	5	Indicate whether a review protocol exists; state if and where it can be accessed (e.g., a Web address); and if available, provide registration information, including the registration number.	n/a – in progress
Eligibility criteria	6	Specify characteristics of the sources of evidence used as eligibility criteria (e.g., years considered, language, and publication status), and provide a rationale.	11-12
Information sources*	7	Describe all information sources in the search (e.g., databases with dates of coverage and contact with authors to identify additional sources), as well as the date the most recent search was executed.	11
Search	8	Present the full electronic search strategy for at least 1 database, including any limits used, such that it could be repeated.	11
Selection of sources of evidence†	9	State the process for selecting sources of evidence (i.e., screening and eligibility) included in the scoping review.	12
Data charting process‡	10	Describe the methods of charting data from the included sources of evidence (e.g., calibrated forms or forms that have been tested by the team before their use, and whether data charting was done independently or in duplicate) and any processes for obtaining and confirming data from investigators.	13
Data items	11	List and define all variables for which data were sought and any assumptions and simplifications made.	12, 22
Critical appraisal of individual sources of evidence§	12	If done, provide a rationale for conducting a critical appraisal of included sources of evidence; describe the methods used and how this information was used in any data synthesis (if appropriate).	13-14



SECTION	ITEM	PRISMA-ScR CHECKLIST ITEM	REPORTED ON PAGE #
Synthesis of results	13	Describe the methods of handling and summarizing the data that were charted.	14
<b>RESULTS</b>			
Selection of sources of evidence	14	Give numbers of sources of evidence screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally using a flow diagram.	n/a
Characteristics of sources of evidence	15	For each source of evidence, present characteristics for which data were charted and provide the citations.	n/a
Critical appraisal within sources of evidence	16	If done, present data on critical appraisal of included sources of evidence (see item 12).	n/a
Results of individual sources of evidence	17	For each included source of evidence, present the relevant data that were charted that relate to the review questions and objectives.	n/a
Synthesis of results	18	Summarize and/or present the charting results as they relate to the review questions and objectives.	n/a
<b>DISCUSSION</b>			
Summary of evidence	19	Summarize the main results (including an overview of concepts, themes, and types of evidence available), link to the review questions and objectives, and consider the relevance to key groups.	n/a
Limitations	20	Discuss the limitations of the scoping review process.	n/a
Conclusions	21	Provide a general interpretation of the results with respect to the review questions and objectives, as well as potential implications and/or next steps.	n/a
<b>FUNDING</b>			
Funding	22	Describe sources of funding for the included sources of evidence, as well as sources of funding for the scoping review. Describe the role of the funders of the scoping review.	16

JBI = Joanna Briggs Institute; PRISMA-ScR = Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews.

\* Where *sources of evidence* (see second footnote) are compiled from, such as bibliographic databases, social media platforms, and Web sites.

† A more inclusive/heterogeneous term used to account for the different types of evidence or data sources (e.g., quantitative and/or qualitative research, expert opinion, and policy documents) that may be eligible in a scoping review as opposed to only studies. This is not to be confused with *information sources* (see first footnote).

‡ The frameworks by Arksey and O'Malley (6) and Levac and colleagues (7) and the JBI guidance (4, 5) refer to the process of data extraction in a scoping review as data charting.

§ The process of systematically examining research evidence to assess its validity, results, and relevance before using it to inform a decision. This term is used for items 12 and 19 instead of "risk of bias" (which is more applicable to systematic reviews of interventions) to include and acknowledge the various sources of evidence that may be used in a scoping review (e.g., quantitative and/or qualitative research, expert opinion, and policy document).

From: Tricco AC, Lillie E, Zarin W, O'Brien KK, Colquhoun H, Levac D, et al. PRISMA Extension for Scoping Reviews (PRISMA-ScR): Checklist and Explanation. *Ann Intern Med.* ;169:467–473. doi: 10.7326/M18-0850



# BMJ Open

## Using theatre as an arts-based knowledge translation strategy for health-related information: a scoping review protocol

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-032738.R1
Article Type:	Protocol
Date Submitted by the Author:	11-Sep-2019
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<b>Primary Subject Heading</b>:	Evidence based practice
Secondary Subject Heading:	Communication
Keywords:	Knowledge translation, Scoping review, Theater, Research use

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3 **TITLE:** Using theatre as an arts-based knowledge translation strategy for health-related  
4 information: a scoping review protocol  
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## ABSTRACT

**Introduction:** Substantial delays in translating evidence to practice mean that many beneficial and vital advances in medical care are not being used in a timely manner. Traditional Knowledge Translation (KT) strategies have tended to target academics by disseminating findings in academic journals and at scientific conferences. Alternative strategies, such as theatre-based KT, appear to be effective at targeting broader audiences. The purpose of this scoping review is to collate and understand the current state of science on the use of theatre as a KT strategy. This will allow us to identify gaps in literature, determine the need for a systematic review, and develop additional research questions to advance the field.

### Methods and analysis

This review will follow established scoping review methods outlined by Arksey and O'Malley in conjunction with enhanced recommendations made by Levac, Colquhoun, and O'Brien. The search strategy, guided by an experienced librarian, will be conducted in PubMed, CINAHL, and OVID. Study selection will consist of three stages: (1) initial title and abstract scan by one author to remove irrelevant articles and create a shortlist for double-screening, (2) title and abstract scan by two authors, (3) full-text review by two authors. Included studies will report specifically on the use of theatre as means of KT of health-related information to any target population.

Two reviewers will independently extract and chart the data using a standardized data extraction form. Descriptive statistics will be used to produce numerical summaries related to study characteristics, KT strategy characteristics and evaluation characteristics. For those studies that included an evaluation of the theatre production as a KT strategy, we will synthesize the data according to outcome.

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**Ethics and Dissemination**

Ethical approval was not required for this study. Results will be published in relevant journals, presented at conferences, and distributed via social media.

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## STRENGTHS AND LIMITATIONS OF THIS STUDY

- This protocol will follow the recommended guidance for scoping reviews to ensure accuracy, clarity, and reproducibility.
- Our team is a multidisciplinary collaboration between experts in the areas of systematic and scoping reviews and application of knowledge translation strategies and arts-based practices to facilitate high-level discussion between fields to ensure accurate and robust interpretation of the findings.
- The review topic and objectives were co-developed amongst relevant knowledge users.
- Due to the broad nature of scoping reviews there will be no quality assessment of included studies.
- Only peer-reviewed literature will be considered in this review.



## INTRODUCTION

### Evidence practice gap

Evidence-practice gaps, defined as the disparity between research evidence and usual clinical practice,[1] pose a significant problem for healthcare systems and the quality of care they provide to patients. Numerous clinical audits across several areas of healthcare have identified a lack of adherence to clinical practice guidelines, resulting in the underuse of evidence-based interventions or over-use of outdated research.[2–6] This issue is widespread, and research across multiple countries repeatedly shows that patients are receiving unsuitable and outdated care, which in certain cases can be harmful.[7] For example, poor staff adherence to evidence-based infection prevention practices and hand hygiene practices in hospitals has been linked with healthcare-associated infections which are associated with patient mortality rates varying from 5% to 35%.[8–12] In a 2011 review, Morris et al. identified that 17 years was the most commonly reported average time-frame for the translation of health research to practice.[13] These substantial delays in translating evidence to practice means that many beneficial and vital advances in medical care are not being used in a timely manner. This presents a real and direct risk to the quality of care provided to patients and also to overall patient safety. Translating evidence into practice to achieve better patient outcomes is therefore becoming a key priority for many health researchers, health funders and health systems.

Much of the delay in translation of evidence into practice has been attributed to research waste.[2–6] In 2009 it was estimated that about 85% of research investment was wasted and could have been better spent to ensure the transferability of research to practice.[14,15] In 2016, a review of what funders, regulators, academic institutions and researchers were doing

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3 to address waste found an improvement in the generation of clinically-relevant research  
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5 questions and adherence to standards for research reporting and rigour.[16] However, less has  
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7 been done to ensure the translation of research findings to practice.[16] The authors  
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9 recommended that researchers should systematically plan to use knowledge translation (KT)  
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11 strategies to more effectively disseminate their research for knowledge users.[16]  
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### 16 **Knowledge translation**

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18 KT is the use of systematic and iterative processes to translate evidence-based research findings  
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20 into practice, and has gained traction over the last decade.[1,17,18] However, the field of KT is  
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22 still emerging with little consensus on the most effective approaches. This is evidenced by a  
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24 recent scoping review that identified 592 studies (published in the last 20 years) that used 159  
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26 theories, frameworks and models to underpin their KT strategies with very limited information  
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28 on how these were actually applied.[19] To provide more clear direction, several KT planning  
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30 guides have also been developed to help researchers and organisations think about how best to  
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32 translate research findings for knowledge users.[17,20] For example, Ian Graham produced  
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34 “Guide to Knowledge Translation Planning at CIHR: Integrated and End-of-Grant Approaches”  
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36 which helps researchers identify their target knowledge users and their KT goals (e.g. to  
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38 increase awareness, knowledge, or skills or to promote behaviour change) and then consider  
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40 what KT strategies to use to achieve these goals.[17]  
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### 48 **Knowledge translation strategies**

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51 KT strategies (sometimes referred to as interventions) are overt activities or devices that  
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53 facilitate or encourage the use of research to achieve clinical practice change.[21] There are  
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55 numerous KT strategies to choose from, as evidenced in recent reviews which identified over 30  
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3 different strategies within the literature.[22–25] Traditional KT strategies have tended to focus  
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5 on publishing research findings in academic journals and presenting results at scientific  
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7 conferences. This approach is typically used to inform other researchers and academics about  
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9 the latest advances in health research with the goal of advancing science. However, in recent  
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11 years, the importance of translating health research across all stakeholder groups has been  
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13 recognised[17,26] to facilitate better uptake of research into practice and reduction of research  
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15 waste, and to achieve broader and greater research impact. As such, KT has evolved and  
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17 broadened in scope to include translation and dissemination of evidence for a wider range of  
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19 knowledge-users (e.g. clinicians, policy makers, patients, and the public). Since these audiences  
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21 have different knowledge needs and will use the information for different purposes, alternative  
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23 KT strategies have been proposed. Alternative KT strategies typically include activities and  
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25 devices such as plain language summaries, evidence briefs, practice guidelines, educational  
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27 outreach, mass media, toolkits, opinion leaders or financial incentives. Increasingly, healthcare  
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29 researchers are also using arts-based KT strategies to translate and disseminate their findings,  
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31 however much less is known about how this is used.  
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### 40 **Arts-based KT**

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42 Arts-based KT strategies can be broadly grouped into 3 categories, visual (photographs,  
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44 drawings), literary (poetry) or performance (e.g. theatre, narrative based arts) and are used to  
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46 translate key, educative messages to broader audiences.[27] Arts-based KT is a multidisciplinary  
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48 approach that brings together professionals with a variety of different expertise. This is a  
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50 considered a strength that may result in unique and improved ways of disseminating research-  
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52 based evidence that can appeal to more diverse audiences than traditional scientific  
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3 presentations and posters.[28] For example, they are likely to garner more attention, stimulate  
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5 affective responses, and incite discussion and story sharing between those involved.[27–29]  
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8 They appear to be especially effective at targeting broader audiences because they are  
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10 accessible to the general public, can be enjoyed without any particular expertise[27] and cater  
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12 to a variety of different learning styles.[30] For this reason, individuals of different ages,  
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14 genders, backgrounds, and cultures can often relate to these art forms, which may heighten  
15  
16 their understanding and acceptance of any message being conveyed.[27]  
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### 21 **Theatre-based KT**

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23 Theatre is defined as a presentation or activity that uses drama to engage and entertain an  
24  
25 audience [31]. This medium has been commonly used as an educational tool in other disciplines  
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27 such as education and sociology for its ability to engage audiences on both affective and  
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29 cognitive levels, a process that has been found to be central to the success of educational  
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31 interventions.[28,32] In addition, some theatre productions allow for audience participation  
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33 which places individuals directly in the context of a specific situation, resulting in stronger  
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35 emotional responses and attention.[33] Theatre may be an especially effective KT strategy for  
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37 public audiences because it is a commonplace and culturally acceptable activity in many  
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39 countries and communities.[34] It is also often feasible in low-income areas where other forms  
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41 of media (e.g., television or radio) are inaccessible. For example, Islam et al.[35] found that only  
42  
43 0.4% of people in Bangladesh had access to television, so they used a village theatre production  
44  
45 to convey information about eclampsia. This study found improved eclampsia knowledge using  
46  
47 a pre-post survey.[35] A previous review of the literature up to 2009 found 7 studies that used  
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49 arts-based methods of drama or theatre for disseminating health research.[36] The theatre  
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3 productions were used to impart knowledge about chronic conditions, cancer, HIV, dementia  
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5 and traumatic brain injury through multi-sensory mechanisms (i.e. by watching, hearing, and  
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7 feeling the message as opposed to solely reading journal publications, pamphlets, or  
8  
9 conference proceedings).[28,32,36–38] While the review highlighted that theatre was one of  
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11 the arts-based strategies used in health research it provided only a high level overview of these  
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13 studies and thus did not provide sufficient detail about the development of the theatre  
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15 production, methods of evaluation or the outcome measurement tools used to assess  
16  
17 effectiveness. While, the interest in KT and using arts-based KT strategies such as theatre  
18  
19 continues to grow the details on its intended aim, development, production, implementation  
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21 and evaluation are still unknown. To date there has not been a review that has focused solely  
22  
23 on the arts-based strategy of theatre.  
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### 30 31 **Purpose**

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33 This will be the first review to collate and understand the current state of science on the use of  
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35 theatre as a KT strategy for dissemination. This review will act as the foundation for a potential  
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37 new program of research regarding performance arts based KT strategies, thus, we have chosen  
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39 to use a scoping review methodology. By doing so, it will allow us to first obtain a broad and  
40  
41 general understanding of the use of theatre as a KT strategy for health-related information. We  
42  
43 will investigate the types of theatre being used, populations being targeted, messages being  
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45 conveyed, what outcomes are being assessed, and the methods of evaluation. In this way, we  
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47 can identify current gaps in literature, determine the need for a full systematic review of  
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49 effectiveness and develop additional research questions and methodologies to advance the  
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51 field.  
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## METHODS AND ANALYSIS

To ensure the accuracy and reproducibility of this study, we will follow the six-step scoping review guidance outlined by Arksey and O'Malley[39] in conjunction with enhanced recommendations to this guidance made by Levac, Colquhoun, and O'Brien.[40] We will also follow the Preferred Reporting Items for Systematic Review and Meta-analysis extension for Scoping Reviews (PRISMA-ScR) checklist to ensure rigour.[41]

### Stage 1: Developing the research question

The purpose of this review is to understand the "state of science" regarding the use of theatre as a KT strategy for health-related information. By this we mean establishing a foundational understanding of how theatre has been used and evaluated as a KT strategy, including target audiences, health topics addressed, types of theatre employed, and the research study designs and outcomes assessed. This will enable us to identify the knowledge gaps regarding the use and evaluation methods of theatre as a strategy for KT and provide guidance and suggestions for future research.

To meet our objective of understanding the state of science for the arts-based KT strategy of theatre used in a health research context, we will ask the following questions related to population, concept, and context:

#### Population

- What audiences are being targeted?

#### Concept:

- What types of theatre are being used for KT of health information?
- How has the theatre production been developed, produced and implemented?

Context:

- What types of health messages are being conveyed?
- What is the KT aim(s) of theatre (e.g. awareness, knowledge, skill development, behaviour change)?
- How has the theatre-based KT strategy been evaluated in terms of outcomes and study design?

### **Stage 2: Identifying relevant studies**

The search strategy for this review was informed by strategies in previous systematic reviews on KT strategies.[21,27] The search strategy was reviewed and adapted by the research team in collaboration with an experienced librarian to combine the KT string with terms for 'Theatre'. The final search strategy was developed iteratively with the research team and can be found in Supplementary file 1. The search will be conducted from inception in the following databases: PubMed, CINHALL, and OVID. These databases were chosen to capture a comprehensive body of literature from health sciences disciplines. The searches will not be limited by language; for non-English studies a combination of freely available online language translation software programs and consultation with colleagues within our respective institutions will assist with translation to English. Reference lists of key articles will be hand-searched by the review team to capture any papers missed in the electronic searches. The search results will be imported into Covidence review management software[42] and duplicate citations removed.

### Stage 3: Study selection

Study selection will consist of three stages: (1) an initial title and abstract scan by one author to remove irrelevant articles and create a shortlist for double screening, (2) a short-list of titles/abstracts by two authors followed by (3) a full-text review by two authors. For duplicate screening, two authors will independently screen each citation and document their results on the review spreadsheet. They will also meet multiple times throughout stage 2 (title/abstract review) to discuss more complicated criteria as needed. During this process studies will be coded as “include”, “exclude”, or “unclear”. Studies marked “include” or “unclear” will be retrieved for full-text review using Covidence online software. Prior to full text review, reviewers will meet again to discuss uncertainties for inclusion or exclusion criteria. Studies will be coded in the same way as in title and abstract screening, in preparation for data extraction.

Studies that report specifically on the use of theatre as means of KT of health-related information that is derived from health research sources (published peer-reviewed research or practice guidelines) with any target population (public, patients, workers, care providers) will be included in the review. Theatre productions that are based on information sources not supported by research such as opinion papers or magazine articles in which the supporting research cannot be verified will be excluded. Studies in any language will be included providing an accurate translation can be performed. All study designs will be included ranging from descriptive only studies to evaluation studies (including feasibility, process, effectiveness or cost related evaluations), only peer-reviewed studies will be included. See Supplementary file 2 for a sample of the inclusion/exclusion form.



#### **Stage 4: Charting the data**

A standardized data extraction form will be developed in Excel and pilot tested by the review team to allow reviewers to systematically chart the data. Supplementary file 3 includes a sample data abstraction chart highlighting the data variables that will be extracted from each of the articles included in the review. These include (i) study characteristics (e.g., publication year, country of origin); (ii) KT strategy characteristics (the target audience(s), goal(s), and how the theatre productions were developed and implemented; and (iii) evaluation characteristics (e.g. outcome variables, assessment methods, study designs). Two reviewers will independently extract data on the first 10% of included studies using the data extraction form. Reviewers will then meet with the lead investigator and discuss any uncertainties encountered during extraction, additional data elements they feel should be included, or any other feedback on the data extraction form to determine if the form needs to be refined. The remaining 90% of studies will be extracted by one reviewer.

#### **Stage 5: Collating, summarizing, and reporting the results**

We will use descriptive statistics to produce numerical summaries related to study characteristics, KT strategy characteristics and evaluation characteristics. We will provide a more detailed narrative synthesis for the theatre KT strategy; including the development of the theatre script (e.g. how the health information was sourced, how key messages were distilled, who was involved in the key message process, how the key messages were integrated into the theatre script, if integrity of the key messages was maintained in the script and details about how long this process took and how much it cost to develop) and production details (e.g. how many actors, duration, cost, etc.) as well as enactment details (e.g. involvement of consumers

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3 or audience). Further, we will use the data extracted to classify the styles of theatre into 4 arts-  
4 based KT categories using the classification schema of arts-based knowledge translation  
5 strategies as reported by Archibald and colleagues.[43] This schema aims to provide a  
6 description of the arts-based KT strategy based on where it sits along two continuums; passive  
7 vs active and ambiguous versus precise.[43] For those studies that included an evaluation of  
8 the theatre production as a KT strategy, we will synthesize the data according to outcome. For  
9 example, we will provide a descriptive summary of all studies that evaluated outcomes in two  
10 main areas: implementation and effectiveness. Implementation outcomes of KT strategies  
11 relate to acceptability, reach, appropriateness, feasibility, fidelity, and implementation cost.  
12 Effectiveness variables include those relating to KT aims; awareness, knowledge, skills, and  
13 behaviour change.[44,45]  
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30 This stage of data extraction and summarising will be carried out by two, independent  
31 reviewers who will compare and consolidate their results through consensus. In cases where  
32 there is disagreement regarding data extraction or analysis that cannot be resolved through  
33 consensus, a third senior reviewer will help to resolve the conflict. The summary of data will  
34 highlight the similarities, patterns, and differences in the way theatre is being used for the  
35 knowledge translation of health information as reported in the literature. While details about  
36 those studies that evaluated theatre-based KT strategies will be summarized, assessment of  
37 quality will not be undertaken as quality assessment is beyond the scope of this review.  
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50 Considering these results, suggestions for future research evaluating theatre as a KT strategy  
51 for disseminating key messages from health research will be discussed.  
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## Stage 6: Consultation

Our team includes representatives from the fields of KT, implementation science, theatre-arts, psychology and behaviour change research, clinical trials and health services research. We have co-developed the topic and research questions for the scoping review with all members of the research team. We will develop a consultation panel including representatives from the Canadian Strategy for Patient Oriented Research KT National Working Group, KT Canada and the Theatre Arts programs at Memorial University, the University of Alberta, and the University of Toronto. Consultation will pertain to (i) identifying if any important studies were missed in the search strategy, (ii) interpreting the findings to ensure validity and that any KT or theatre expert perspectives are represented accurately.

## Patient and Public Involvement Statement

Members of the public were first involved in this work by way of contributing to a priority setting exercise to select which arts-based KT strategies were important and of interest to the public. Members of the public were consulted to help co-produce the research question by helping to set the eligibility criteria for the population and outcome terms of the question; thereby helping to set the scope for the research question. Members of the public will be invited to review a plain language summary, an infographic and short video using adobe spark that we will use to present the key findings of the review. These will be disseminated to the public via our social medial channels and at local or international public engagement sessions.

## ETHICS AND DISSEMINATION

This will be the first comprehensive review of the use of theatre as a strategy for KT in healthcare settings. It will form the foundation for a future program of interdisciplinary work between researchers in health services, KT and implementation science, KT change agents, educators in the arts, and research-based theatre performers. Ethical approval is not required for this scoping review. The search strategy is planned to be completed by September 2019 and the results by June 2020. We plan to disseminate the results in several ways: publication in relevant journals; presentation at relevant conferences (e.g. KT Canada, INVOLVE UK); via social media using short summaries for non-academic audiences including a plain language summary, an infographic to depict findings and a short video with the research team to explain the state of science on using theatre as a KT strategy.

## ACKNOWLEDGEMENTS

We acknowledge Ms. Michelle Swab, the librarian at Memorial University for assisting with adapting the search strategy used in this review. We also acknowledge the Strategy for Patient-Oriented Research (SPOR) Primary Healthcare Research and Integration to Improve Health System Efficiency (PRIIME) initiative for providing research support in the way of four part-time studentships that involve undertaking training to assist with data screening, collection and synthesis on various scoping reviews that will impact better translation of evidence into practice.

## AUTHOR'S CONTRIBUTIONS

AH, ET, and TH conceptualized and designed this scoping review. AH, ET, BF, and AP drafted the protocol. AH, GL, RL, AR, and BF developed the search strategy and conducted the search. All authors reviewed provided feedback on the methods and analysis as well as the manuscript. ET, AP, and HE reviewed and provided feedback on the manuscript on both content and clarity. All authors provided their approval to publish this manuscript.

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## COMPETING INTERESTS STATEMENT

The authors have no competing interests to declare.

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For peer review only

**SUPPLEMENTARY FILE 1 - SEARCH STRING:**

(MH "Drama+" OR MH "Narrative Medicine+" OR TI theatre OR AB theatre OR TI theater OR AB theater OR TI playwriting or AB playwriting OR TI storytelling OR AB storytelling OR TI "interactive theatre" OR AB "interactive theatre" OR TI "interactive theater" OR AB "interactive theater") AND (MH Education OR SH education OR MH "Teaching Materials+" OR MH "Inservice Training+" OR MH "Staff Development+" OR MH "Health Plan Implementation+" OR MH "Quality Improvement+" OR TI workshop OR AB workshop OR TI seminar OR AB seminar OR TI training OR AB training OR TI implementation OR AB implementation OR MH "Health, Knowledge, Attitudes, Practice+" OR MH "Clinical Competence+" OR MH "Education, Continuing+" OR MH "Professional Competence+" OR MH "Guideline Adherence+" OR MH "Attitude of Health Personnel+" OR MH "Self Efficacy+" OR TI (knowledge N2 (uptake OR utilization OR utilisation OR transfer OR mobilisation OR mobilization OR implementation OR dissemination OR diffusion\* OR translation)) OR AB (knowledge N2 (uptake OR utilization OR utilisation OR transfer OR mobilisation OR mobilization OR implementation OR dissemination OR diffusion\* OR translation)) OR TI "Public Health" OR AB "Public Health" OR MH "Public Health+" )

**SUPPLEMENTARY FILE 2 – EXAMPLE OF INCLUSION-EXCLUSION FORM THAT WILL BE ADAPTED FOR USE IN COVIDENCE**

Characteristic	Review Inclusion Criteria	Yes/ No
<b>Type of study</b>	Included study types are: <ul style="list-style-type: none"> <li>• Experimental (e.g. Randomised trial, non-randomised trial, Controlled before-after study, Interrupted time series)</li> <li>• Observational analytic or descriptive (e.g. cohort, cross sectional, case report)</li> <li>• Qualitative (focus groups, interview-based studies)</li> <li>• Methodological (e.g. description of how the KT strategy (theatre) was developed)</li> </ul>	
<b>Participants (target audience)</b>	The target audience for the theatre can be any knowledge user of health research (e.g. patients, public, clinicians, policy makers, health system managers and researchers)	
<b>Type of KT strategy</b>	Intervention is a theatre-based drama. Content is related to health information. Health information is derived from published research or clinical guidelines. Opinion pieces are not eligible. Aim of the drama is to translate health-related research information for a knowledge user group. The goal could vary including and one of the following: to impart general awareness on a topic, to increase knowledge or skills, to change attitudes or behaviour. Can be delivered in person or via a video recording of the drama.	
<b>Types of outcome measures</b>	All outcomes that would be related to evaluation of the theatre as a KT strategy are eligible. For this scoping review we are also including descriptive studies only, thus, an evaluation and outcome measures are not necessary to be eligible for inclusion in this review.	

**SUPPLEMENTARY FILE 3 – SAMPLE OF DATA EXTRACTION ELEMENTS USED TO CREATE A DATA EXTRACTION FORM IN EXCEL**

Category	Data elements	Description
Article details	Authors	Who are the listed authors?
	Article type	Is the article an empirical study, case study, commentary, brief report, etc.
	Year	When was the article published?
	Country	What country did the article come from?
Study details	Rationale	What was the stated rationale for the study?
	Aim	What was the purpose of the study?
Health Knowledge to be translated	Health topic	What health topic was the focus of the theatre-based KT?
	Information source	How did they obtain the health information that was translated? Did they use existing guidelines? Generate new knowledge from surveys, or focus groups?
	Translation of health knowledge into Key findings	What were the key research findings? Who was involved in the process of creating the key findings? Original researchers?

	Information/content integrity	Was their credibility testing of the key messages with the researchers to ensure accuracy and integrity of the messages with research findings?
KT aim	Goal	What was the goal of the KT program? E.g. To increase awareness, to teach skills, to change practice.
Intervention information	Theatre type	What type of theatre was used?
	Production development	How was the theatre production developed?
	Enactment	How was it enacted? E.g. were audience members involved or passive participants?
Target knowledge user population	Audience	What was the target audience of the KT program?
Evaluation	Study Design	How was the KT evaluated? What type of research design was used to evaluate the KT strategy?
	Outcomes	What outcomes were assessed to evaluate the KT strategy? What outcome assessment tools were used?
	Data collection	How did they collect study data and at what timepoints were data collected?
Findings	Results	What was the summary of the findings or results of the study?

## Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) Checklist

SECTION	ITEM	PRISMA-ScR CHECKLIST ITEM	REPORTED ON PAGE #
<b>TITLE</b>			
Title	1	Identify the report as a scoping review.	1
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary that includes (as applicable): background, objectives, eligibility criteria, sources of evidence, charting methods, results, and conclusions that relate to the review questions and objectives.	2
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known. Explain why the review questions/objectives lend themselves to a scoping review approach.	5-9
Objectives	4	Provide an explicit statement of the questions and objectives being addressed with reference to their key elements (e.g., population or participants, concepts, and context) or other relevant key elements used to conceptualize the review questions and/or objectives.	10-11
<b>METHODS</b>			
Protocol and registration	5	Indicate whether a review protocol exists; state if and where it can be accessed (e.g., a Web address); and if available, provide registration information, including the registration number.	n/a – in progress
Eligibility criteria	6	Specify characteristics of the sources of evidence used as eligibility criteria (e.g., years considered, language, and publication status), and provide a rationale.	11-12
Information sources*	7	Describe all information sources in the search (e.g., databases with dates of coverage and contact with authors to identify additional sources), as well as the date the most recent search was executed.	11
Search	8	Present the full electronic search strategy for at least 1 database, including any limits used, such that it could be repeated.	Supplementary File
Selection of sources of evidence†	9	State the process for selecting sources of evidence (i.e., screening and eligibility) included in the scoping review.	12 and Supplementary File
Data charting process‡	10	Describe the methods of charting data from the included sources of evidence (e.g., calibrated forms or forms that have been tested by the team before their use, and whether data charting was done independently or in duplicate) and any processes for obtaining and confirming data from investigators.	13 and Supplementary File
Data items	11	List and define all variables for which data were sought and any assumptions and simplifications made.	12, 22
Critical appraisal of individual	12	If done, provide a rationale for conducting a critical appraisal of included sources of evidence; describe	13-14



SECTION	ITEM	PRISMA-ScR CHECKLIST ITEM	REPORTED ON PAGE #
sources of evidence§		the methods used and how this information was used in any data synthesis (if appropriate).	
Synthesis of results	13	Describe the methods of handling and summarizing the data that were charted.	14
<b>RESULTS</b>			
Selection of sources of evidence	14	Give numbers of sources of evidence screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally using a flow diagram.	n/a
Characteristics of sources of evidence	15	For each source of evidence, present characteristics for which data were charted and provide the citations.	n/a
Critical appraisal within sources of evidence	16	If done, present data on critical appraisal of included sources of evidence (see item 12).	n/a
Results of individual sources of evidence	17	For each included source of evidence, present the relevant data that were charted that relate to the review questions and objectives.	n/a
Synthesis of results	18	Summarize and/or present the charting results as they relate to the review questions and objectives.	n/a
<b>DISCUSSION</b>			
Summary of evidence	19	Summarize the main results (including an overview of concepts, themes, and types of evidence available), link to the review questions and objectives, and consider the relevance to key groups.	n/a
Limitations	20	Discuss the limitations of the scoping review process.	n/a
Conclusions	21	Provide a general interpretation of the results with respect to the review questions and objectives, as well as potential implications and/or next steps.	n/a
<b>FUNDING</b>			
Funding	22	Describe sources of funding for the included sources of evidence, as well as sources of funding for the scoping review. Describe the role of the funders of the scoping review.	16

JB1 = Joanna Briggs Institute; PRISMA-ScR = Preferred Reporting Items for Systematic reviews and Meta-Analyses extension for Scoping Reviews.

\* Where *sources of evidence* (see second footnote) are compiled from, such as bibliographic databases, social media platforms, and Web sites.

† A more inclusive/heterogeneous term used to account for the different types of evidence or data sources (e.g., quantitative and/or qualitative research, expert opinion, and policy documents) that may be eligible in a scoping review as opposed to only studies. This is not to be confused with *information sources* (see first footnote).

‡ The frameworks by Arksey and O'Malley (6) and Levac and colleagues (7) and the JBI guidance (4, 5) refer to the process of data extraction in a scoping review as data charting.

§ The process of systematically examining research evidence to assess its validity, results, and relevance before using it to inform a decision. This term is used for items 12 and 19 instead of "risk of bias" (which is more applicable to systematic reviews of interventions) to include and acknowledge the various sources of evidence that may be used in a scoping review (e.g., quantitative and/or qualitative research, expert opinion, and policy document).

From: Tricco AC, Lillie E, Zarin W, O'Brien KK, Colquhoun H, Levac D, et al. PRISMA Extension for Scoping Reviews (PRISMA-ScR): Checklist and Explanation. *Ann Intern Med.* ;169:467–473. doi: 10.7326/M18-0850



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