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Prognostic models for the clinical management of malaria and its complications: a systematic review

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3 **Prognostic models for the clinical management of malaria and its complications: a systematic**
4 **review**
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Abstract

Objective: Malaria infection could result in severe disease with high mortality. Prognostic models and scores predicting severity of infection, complications and mortality could help clinicians prioritise patients. We conducted a systematic review to assess the various models that have been produced to predict disease severity and mortality of malaria.

Design: A systematic review

Methods: We searched the MEDLINE online databases for articles published up to 15th of February on models which used at least 2 points (or variables) of patient data.

Primary Outcomes: Prediction of disease severity; potential development of complications (including coma or cerebral malaria; shock; acidosis; severe anaemia; acute kidney injury; hypoglycaemia; respiratory failure and sepsis) and mortality in patients with malaria infection.

Results: A total of 537 articles were screened and 24 articles were retained describing 24 models/scores of interests. Three of the articles described models predicting complications of malaria (severe anaemia in children and development of sepsis); fifteen described original models predicting mortality in severe malaria; three described models predicting mortality in different contexts but adapted and validated to predict mortality in malaria; and three articles described models predicting severity of the disease.

For the models predicting mortality, all the models had neurologic dysfunction as a predictor; in children, half of the models contained hypoglycaemia and respiratory failure as a predictor meanwhile, six out of the nine models in adults had respiratory failure as a clinical predictor. Acidosis, renal failure and shock were also common predictors of mortality.

Conclusion: Evidence is lacking on the generalisability of most of these models due lack of external validation. Emphasis should be placed on external validation of existing models and publication of the findings of their use in clinical settings to guide clinicians on management options depending on the priorities of their patients.

Key words: malaria; prognostic model; prognostic score; mortality

Article Summary:

Strengths and limitations of this review:

This review is the first to comprehensively summarise the various prognostic models that have been produced to identify complications, severity and risk of mortality in patients with severe malaria.

The review covers prognostic models produced worldwide and for all the various malaria species.

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3 The review reduced the risk of bias by using an independent review process for the screening of
4 potential articles and the extraction of data.
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7 Considering the wide variety of statistical methods used to generate and validate these models, there
8 is the risk of heterogeneity in interpretation of the results.
9

10 11 12 13 **Introduction**

14
15 Malaria is a disease caused by infection with a protozoan parasite of the genus *Plasmodium*. The most
16 relevant of these species is *Plasmodium falciparum* as it causes most deaths from the disease ¹.
17 Another species of relevance is *Plasmodium vivax* which is predominantly found in Asia and has a
18 wider distribution ². This parasitic infection can result in severe disease and is associated with a high
19 mortality. In about 108 countries where the transmission of the disease still occurs, an estimated
20 429,000 people died in 2015 ³.
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25 The incidence of malaria cases has decreased by 41% worldwide in the past ten years, with about 17
26 countries in Latin America and the Middle East reporting no new cases of malaria over this period ^{3 4}.
27 There are however concerns that the fight against malaria might be slowed down by an overemphasis
28 on prevention over treatment ⁵.
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32 Treatment and clinical management of malaria is made difficult due to potential evolution of simple
33 infections into life-threatening severe disease; the multi-organ affection of severe disease; the dilemma
34 of when to admit to intensive care units (ICU) considering limited resources and the occurrence of
35 concomitant sepsis infection with malaria ^{6 7}. Some of these issues can be addressed with the help of
36 guidelines; scores or models that could help clinicians predict the occurrence of severe disease and
37 complications in order to act appropriately.
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42 We therefore conducted this review to systematically assess the various predictive models or scores
43 available to guide clinicians in the management of severe malaria, whether these models have been
44 validated and if there is any evidence that they are being successfully used in the clinical setting.
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47 48 **Methods**

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50 Institutional review board approval and informed consent were not required for this systematic
51 review. We reported our findings according to Preferred Reporting Items for Systematic Reviews and
52 Meta-Analysis (PRISMA) guidelines (Appendix 1).
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55 56 **Search strategy and selection criteria**

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58 We searched MEDLINE using a tailored search strategy (Appendix 2) to identify all the relevant titles
59 and abstracts of studies (randomised control trials, cohort, cross-sectional and case-control studies)
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published in English from inception of the database up to the 15th of February 2019, that reported predictive/prognostic scores or models that could be used in the management of malaria. These included:

- Scores/models that predicted the severity of disease as this could guide clinicians decisions to admit for intensive care management or the use of parenteral treatment;
- Scores/models that predicted the potential development of complications (including coma or cerebral malaria; shock; acidosis; severe anaemia; acute kidney injury; hypoglycaemia; respiratory failure and sepsis);
- Scores/models that predicted mortality in patients with malaria infection.

The main keywords in the search strategy included: “prognostic model/score”, “predictive model/score” and “predictive value of tests” coupled with "malaria", "plasmodium", "anti-malarials", "malaria falciparum", "malaria vivax" and “clinical malaria”. Grey literature was obtained by identifying similar papers from the references of eligible papers.

We excluded any duplicate studies, editorials, systematic reviews, case studies, conference abstracts, unpublished studies and expert commentaries. For studies with more than one publication of findings, we selected the most recent publication.

We also excluded studies which contained models or scores that were aimed at the diagnosis of malaria as we intend to limit the scope of the study to only models that could be used to predict severity, mortality or risk of complications – that could guide clinicians in their management options.

Two independent reviewers (TN and BST) screened the titles and abstracts for compliance to the aforementioned inclusion and exclusion criteria and any conflicts were settled by mutual agreement. Articles considered to have data relevant to the topic were assessed in detail and the references cited in these publications were searched to identify further publications.

Data extraction

Data extraction sheets which were prepared prior to screening were used by the two independent reviewers to obtain the following details for inclusion into the final review: Last name of first author; date of publication; period of patient recruitment and/or follow-up; country of study; sample size; age group; type of predictive model; name of model; method of validation; diagnostic properties of model and evidence of external validation or use in clinical setting.

Definitions

By prognostic/predictive model, we mean a statistical tool which uses at least 2 points (or variables) of patient data to predict a specific clinical outcome⁸. Prognostic models applied in clinical settings are usually used at the discretion of physicians for accurate future predictions based on characteristics

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3 gathered in the present ^{8 9}. The information found in prognostic models is usually specific to the patients'
4 characteristics rather than the disease or treatment and includes: prediction of chance or the duration of
5 survival; classification of patients into risk groups; and prediction of clinical events related to the
6 treatment the patient is receiving ¹⁰.
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10 For models that used the area under the curve (AUC) or c-statistic to assess discrimination, the following
11 classification was used: 0.90 - 1 – excellent; 0.80 - 0.90 – good; 0.70 - 0.80 – fair; 0.60 - 0.70 – poor
12 and 0.50 - 0.60 – very poor discriminative properties ¹¹.
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14

15 **Data synthesis and analysis**

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17 We assessed and discussed the selected studies qualitatively to describe the diagnostic properties of
18 the models proposed in the study, their intended purpose and evidence of use of the model in other
19 clinical settings.
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23 We further divided the models into various categories: models used to predict a potential complication
24 of severe malaria; models used to predict mortality as an outcome and models used to predict severity
25 of malaria infection.
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28 **Assessment of methodological quality and risk of bias**

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30 The quality of studies and the risk of bias were assessed by the two independent reviewers using the
31 Quality Assessment Tool for Observational Studies of the National Health Institute/National Heart,
32 Lung, and Blood Institute (Appendix 3a and 3b). Any disagreements were handled by mutual
33 agreement.
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38 **Patient and public involvement:**

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40 Patients and the public were not involved in the design and conduction of this review.
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45 **Results**

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47 A total of 537 articles were identified by the electronic search of the database and grey literature. The
48 titles and abstracts of these articles were screened to retain 58 articles for full text review. These were
49 then evaluated according to the inclusion criteria and 24 articles were identified describing 24
50 models/scores of interests; after eliminating 23 irrelevant articles, 9 articles which used only one
51 variable to predict an outcome and two articles describing models in other languages (Figure 1). Three
52 of the articles described models predicting complications of malaria ^{7 12 13}; fifteen described original
53 models predicting mortality in severe malaria ¹⁴⁻²⁸; three described models predicting mortality in
54 different contexts but adapted and validated to predict mortality in malaria ²⁹⁻³¹; and three articles
55 described models predicting severity of the disease ³²⁻³⁴.
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Using the Quality Assessment Tools for observational studies of the National Health Institute/National Heart, Lung, and Blood Institute; 22 of the articles were of “good quality” (score of 10 – 14 in quality assessment tool) ^{7 12 14 16-30 32-35} while the other two were of “fair quality” (score of 7 – 9 in quality assessment tool) ^{13 15} (Appendix 3a and 3b).

The general characteristics of the studies included in the review are summarised in Tables 1, 2 and 3.

Models predicting the risk of complications in malaria infection

Two models predicted the risk of developing severe anaemia in children admitted for severe malaria ^{12 13}.

Webber *et al* ¹³ in 1997 conducted a study to predict the risk of severe anaemia (packed cell volume < 15%) in children with severe malaria in the Gambia using logistic regression analysis. This model was not validated, and the two predictors identified were pallor of the conjunctiva and pallor of the palms. Similarly, Brickley *et al* ¹² in 2017 conducted a study in Tanzania and produced a model in children aged 0 – 4 years using clinical data and biomarkers collected at birth; which was used to prognosticate the risk of these children developing severe anaemia if they were infected with malaria. Severe anaemia was described as a Hb concentration < 50g/dl and predictors in the model identified after Cox proportional hazards analysis were sex, gravidity, transmission season at delivery, and bed net possession. The model was internally validated using bootstrapping with a modest predictive ability (C-index of 0.77); and the authors postulated that this model could help identify a high-risk group of infants at birth who could be selected for targeted malaria intervention. There is no evidence from this review that both models have been externally validated and are being used in clinical settings.

In 2018, Njim *et al* ⁷ described a prognostic model for clinical use to predict the risk of sepsis development amongst adult patients (> 16 years old) admitted for severe falciparum malaria in Southeast Asia. They used data from SEQUAMAT (South East Asian Quinine Artesunate Malaria Trial) – a large randomised control trial (RCT) conducted to determine the benefits of intravenous artesunate over quinine treatment for severe malaria. They used a multivariable logistic regression approach with internal validation using bootstrapping to generate a prognostic model with modest discriminative abilities (AUC: 0.789) with the following predictive variables: female sex, high blood urea nitrogen, high plasma anion gap, respiratory distress, shock on admission, high parasitaemia, coma and jaundice. The model has not been externally validated and there is no evidence of use in clinical settings.

Models predicting mortality in severe malaria

Models predicting mortality in paediatric severe malaria

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3 Ten articles described models that predicted mortality in paediatric severe malaria ^{14 18-21 25 26 28-30}.

4 Three articles described models which predicted mortality in paediatric patients with cerebral malaria
5 ^{14 19 25}; two articles described models generated to assess mortality in different conditions that were
6 validated for use in the present studies ^{29 30}; and five articles described original models predicting the
7 risk of mortality in children with severe malaria ^{18 20 21 26 28}.

11 ***Models predicting mortality in paediatric cerebral malaria***

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14 Molyneux *et al* ²⁵ in 1989 conducted a study amongst 131 comatose Malawian children with severe
15 malaria to determine the prognostic factors for death in these patients. The authors derived a “bedside
16 prognostic index” with: blood glucose ≤ 2.2 mmol/L; parasitaemia > 106 ring forms/ μ L; white blood
17 cell count $> 15 \times 10^9$ /L; age ≤ 3 years; coma score (modification of the Glasgow coma score) = 0;
18 absent corneal reflexes; signs of decerebration and convulsions; as predictors of mortality with each
19 predictor assigned a score of 1. Individuals with a score ≥ 4 were more likely to die. This score was
20 calculated only using univariable analysis and internal and external validation were not done.

21
22
23 In 1997 in Gambia, Jaffar *et al* ¹⁹ performed a retrospective analysis on data obtained from a
24 randomised control trial during which artemether was compared with quinine and a monoclonal
25 antibody against tumour necrosis factor (TNF) compared with a placebo in patients with cerebral
26 malaria. They used this data to identify predictors of mortality in cerebral malaria using a
27 multivariable logistic regression model. A cold periphery, a coma score of either 0 or 1 (assessed
28 using the Blantyre coma scale), and hypoglycaemia were found to be present at admission in 90% of
29 the children who died. This model was not validated.

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32 Conroy *et al* ¹⁴ in 2012 conducted a study amongst 155 children aged 8 months – 14 years in Malawi
33 to determine predictors of mortality in cerebral malaria. They used a multivariable logistic regression
34 model containing clinical parameters and biomarkers with a modest discriminative ability (C-index of
35 0.79) after internal validation; which contained the following variables: age, Blantyre coma score,
36 respiratory distress, severe anaemia, angiopoietin-1, angiopoietin-2 and sTie-2 levels. The model was
37 not externally validated.

38 ***Original models predicting mortality in paediatric severe malaria***

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41 Krishna *et al* ²⁰ in 1994 conducted a study in the Gambia to predict mortality in children aged 8
42 months to 14 years. They used a multivariable logistic regression model internally validated using the
43 Wald statistic to determine that the coma score (using the Blantyre coma scale), whole blood
44 lactate/glucose ratio and TNF level were the best predictors of death.

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47 In 1995, Marsh *et al* ²¹ studied 1844 children in Kenya to determine predictors of life-threatening
48 malaria (risk of death) using a multivariable logistic regression model without any validation. They
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determined that impaired consciousness (assessed using the Blantyre coma scale), hypoglycaemia, respiratory distress and jaundice could correctly predict 84.4% of deaths in the sample population.

In 2005, Newton *et al*²⁶ conducted a study to assess the prognostic value of measures of acid/base balance in paediatric falciparum malaria. They examined 14,605 children in Malawi (Blantyre), Kenya (Kilifi) and Ghana (Kumasi) where they determined that deep breathing, Blantyre Coma Score of 2, inability to sit, and weight-for-age Z score were independent predictors of mortality in all the three sites. Discrimination of the model was performed by calculating the area under the receiver operating curve (AUROC). After addition of laboratory data to these models – hypoglycaemia, base excess and lactate concentrations, the c-statistics obtained were 0.88 (Blantyre), 0.87 (Kilifi) and 0.83 (Kumasi) denoting good discriminative properties of the models.

Helbok *et al*¹⁸ in 2009 produced the the Lambarene Organ Dysfunction Score (LODS) which combined three variables: coma, prostration, and deep breathing to generate a model using multivariable logistic regression which predicted death in African children – Banjul (Gambia), Blantyre (Malawi), Kilifi (Kenya), Kumasi (Ghana), and Lambarene and Libreville (Gabon); who were admitted for severe falciparum malaria. Each component of the model was assigned a score of 1 and a LODS of 3 at admission had a 98% specificity and 25% sensitivity in predicting death. Meanwhile a LODS ≥ 1 had a sensitivity of 85% and a specificity of 63%. The model had good discriminative properties with an AUC of 0.80 (95% CI: 0.79 – 0.82). In 2015, Conroy *et al*²⁹ externally validated this model amongst 1589 Ugandan children. The model showed good discriminative properties with an AUC of 0.898.

Similarly, in 2012, von Seidlein *et al*²⁸ conducted an analysis of data from a RCT carried out in several African countries (Gambia, Mozambique, Nigeria, Rwanda, Kenya, DRC, Tanzania, Ghana and Uganda) to generate a model for predicting mortality from severe falciparum malaria using multivariable logistic regression analysis and internally validated by AUROC analysis. After analysis of data from 5426 children, base deficit, impaired consciousness (assessed using the Blantyre Coma Score), convulsions, elevated blood urea, and underlying chronic illness were identified in the model to predict mortality with a good discriminative ability – AUROC: 0.85 (95% CI: 0.83 - 0.87).

Models predicting mortality validated for use in severe malaria in children

As described above, Conroy *et al*²⁹ externally validated the LODS model amongst 1589 Ugandan children. The authors further externally validated two other scores: the SICK (Signs of Inflammation in Children that Kill) score which was developed in India as a practical triage tool using variables related to the systemic inflammatory response syndrome, with data collected from 1,099 children in 2003 admitted for any paediatric illness³⁶; and the PEDIA (Pediatric Early Death Index for Africa) score which was developed to predict early death amongst 8091 children in Kenya in 2003 admitted for paediatric illnesses³⁷. The original SICK score containing the following variables: altered

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3 consciousness, temperature, heart rate, respiratory rate, systolic blood pressure, capillary refill time
4 and age; had good discriminative properties with an AUC of 0.887³⁶. Externally validated against this
5 cohort of 1589 children, the score maintained its good discriminative properties with an AUC of
6 0.846. Similarly, the PEDIA score which originally had excellent discriminative properties with an
7 AUC of 0.93³⁷ had good discriminative properties (AUC: 0.896) when externally validated on the
8 cohort of 1589 Ugandan children²⁹. The original PEDIA score contained Kwashiorkor, jaundice,
9 subcostal indrawing, prostration (\pm seizures) and wasting as variables in the model. However,
10 kwashiorkor was not included in the validation model as it was not measured amongst the Ugandan
11 children.
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18 In 2006, Gerardin *et al*³⁰ validated the PRISM (Pediatric Risk of Mortality) model which was
19 originally developed in 1988 by Pollack *et al*³⁸ to reduce the number of physiologic variables
20 required for paediatric intensive care unit death risk assessment. The model was developed from data
21 of 1,227 patients with 105 deaths and contained 14 variables: systolic blood pressure, temperature,
22 mental status, heart rate, dilatation of pupils, pH, total CO₂, PCO₂, arterial PaO₂, serum glucose,
23 potassium, urea, creatinine, white blood cells, prothrombin time, platelet count. The original score had
24 excellent discriminative properties with an AUC of 0.92³⁸. Gerardin *et al* used a cohort of 311
25 Senegalese children admitted with severe malaria to externally validate this model. The model
26 showed good discriminative properties in predicting death in children with severe malaria – AUC:
27 0.86 (95% CI: 0.81– 0.90)³⁰.
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35 **Models predicting mortality in adult severe malaria**

36 There were eight articles assessing models that predicted mortality in adult severe malaria^{15-17 22-24 26}
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41 In 1995, Wilairatana *et al*³⁵ used the APACHE II score (the acute physiology and chronic health
42 evaluation system score commonly used in intensive care units) based on 12 physiologic variables -
43 MAP, temperature, heart rate, respiratory rate, arterial pH, PaO₂, haematocrit, WBC count, creatinine,
44 sodium, potassium and Glasgow coma score to predict the risk of mortality in adult patients with
45 cerebral malaria in Thailand. The score was able to predict mortality with a 95.8% accuracy.
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49 Dondorp *et al*¹⁵ in 2004 created a model using logistic regression with laboratory data from 268
50 patients in Vietnam to determine the risk of mortality in adult patients with severe malaria. This
51 model had a good discriminative value with an AUROC of 0.81. The laboratory variables associated
52 with mortality in this cohort were: plasma lactate, plasma creatinine and a strong anion gap. On the
53 other hand, in 2007, Mishra *et al*²² created the MSA (Malaria score for adults) and the MPS (Malaria
54 prediction score) from a cohort of 212 patients in India to predict mortality in severe malaria. The
55 MSA was an upgrade of the MPI which required laboratory data and included a small proportion of
56 children. The clinical variables included in the MSA were: severe anaemia, acute renal failure,
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3 respiratory distress and cerebral malaria and had a sensitivity of 89.9% and a specificity of 70.6%.
4 This model was validated by Santos *et al*³⁹ among 59 patients with imported severe malaria in
5 Portugal and was shown to have good discriminative properties – AUROC: 0.84; 95% CI: 0.70 –
6 0.98.
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10 Similarly, Hanson *et al*¹⁶ produced the coma acidosis malaria (CAM) score after using a logistic
11 regression analysis on data previously collected from the SEQUAMAT. The authors proposed the use
12 of the presence of a coma and base deficit to calculate a five-point score to predict mortality. The
13 score had good discriminative properties with an AUROC of 0.81 (95% CI: 0.77 – 0.84). The same
14 author used data from several cohort studies and RCTs carried out in Bangladesh, India, Indonesia,
15 Vietnam and Myanmar to predict 48-hour survival and survival to discharge in patients with severe
16 malaria¹⁷. The model containing the variables: shock, oligo-anuria, dysglycaemia, respiratory rate,
17 Glasgow coma score and fever could correctly predict 48 hour-survival in 99.4% of the patients and
18 survival to discharge in 96.9% of patients.
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22 Mohapatra *et al*²⁴ in 2009 carried out a cohort study of 2089 patients in 2009, where they produced
23 the Malaria severity score (MSS) to predict mortality in adult patients with severe falciparum malaria
24 in India. They assessed seven organ systems: neurologic, renal, haematologic, hepatic, respiratory,
25 cardiovascular, and metabolic organ systems; assigning a maximum score of 0 – 3 for each organ
26 system. The model had excellent discriminative properties with an AUROC of 0.9. The authors also
27 developed the GCRBS (Glasgow coma scale, creatinine, respiratory rate, bilirubin and systolic BP)
28 score in 2014 as an alternative to other scores like the APACHE II score which was considered
29 cumbersome²³. The score had a sensitivity of 85.3% and a specificity of 95.6% in predicting a fatal
30 outcome in severe malaria.
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34 In 2013 in Thailand, Newton *et al*²⁷ conducted a retrospective analysis of 988 records with severe
35 falciparum malaria to produce the MPI (Malaria prognostic index) validated using ROC curve
36 analysis and internal validation by data splitting. The MPI contained the following variables: Glasgow
37 coma scale, parasitaemia, plasma lactate, serum bilirubin, pigmented parasites and treatment with
38 ACT and had excellent discriminative properties with an AUROC of 0.97.
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40 **Models predicting the severity of malaria**

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42 The Multi-organ dysfunction score (MODS) which is an index used in severely ill patients admitted in
43 intensive care units to determine the severity of their disease irrespective of the diagnosis^{32,40}. The
44 score evaluates ten organ systems: heart, blood vessel, blood, respiratory system, metabolism,
45 gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system –
46 giving a score of 1 – 5 for each system depending on the level of dysfunction of the system, with a
47 minimum score of 10 and a maximum score of 50³³. Helbok *et al* assessed the use of this score to
48 predict severity in a small cohort (n = 22) of adult patients with uncomplicated falciparum malaria³³
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3 and in adults with severe malaria ($n = 29$)³² in Thailand. The score was not validated in both studies
4 but the authors showed that higher scores were correlated with symptom severity and duration of
5 hospitalisation. In 2006, the authors used a simplified version of the score - Simplified MODS
6 (sMODS); in a cohort of 485 children in Gabon to predict the level of severity of the disease with
7 respect to the amount of disability the children suffered into categories: ability to walk unaided and
8 ability to sit unaided³⁴. The authors obtained an AUC of 0.92 (95% CI, 0.89–0.95) in predicting
9 inability to walk ≥ 48 hours for children with sMODS ≥ 16 and an AUC of 0.90 (95% CI, 0.87–0.93)
10 in predicting inability to sit unaided.
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16 **Discussion:**

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18 In this review, we report on the various prognostic models and scores produced to predict complications,
19 mortality and severity of malaria infection. We showed that there were three models produced to predict
20 the risk of developing complications from malaria infection, twelve models that predict mortality from
21 severe malaria in children, nine models that predict mortality from severe malaria in adults and three
22 models that predict disease severity in malaria. Seventeen of these models were internally validated
23 while only seven have been externally validated. There is no published evidence that any of these
24 models are routinely used in clinical settings.
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30 There have been several prognostic models generated in literature, some of which have made their way
31 into daily clinical practice. Prognostic models are particularly useful in diseases with dire outcomes.
32 An example is meningitis where accurate diagnosis of the causative organism and patient stratification
33 could lead to appropriate treatment and initiation of adequate supportive measures. Models have been
34 produced to accurately differentiate tuberculous meningitis from other forms of pyogenic
35 meningoencephalitis⁴¹, to predict unfavourable outcomes in adults admitted for bacterial meningitis⁴²
36 and to determine mortality in patients admitted with meningitis six weeks after follow-up in a resource-
37 limited setting⁴³. Other commonly recognised prognostic scores used routinely in clinical settings
38 include the APGAR score which is used at birth to predict the development of future neurological
39 complications in children.
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46 The models identified in this review that were used to predict mortality in children with severe malaria
47 have similar clinical predictors. All the models had neurologic dysfunction based on either the Glasgow
48 coma score, impaired consciousness, altered mental status, convulsions, decerebration or coma as a
49 predictor. Similarly, in adults, all the models predicting mortality also had neurologic dysfunction as a
50 predictor. Microvascular obstruction in capillaries of the brain due to direct sequestration of red blood
51 cells infected with the malaria parasite lead to tissue hypoxia⁴⁴. The effects of this sequestration and its
52 sequelae in the brain can be directly visualised in both adults and children as retinopathy^{14 44-46}. This
53 leads to varied results with increased intracranial pressure more pronounced in children than in adults
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3 44. With the increased oxygen demand associated with brain hypoxia and raised intracranial pressure,
4 coma and brain dysfunction become an important predictor of mortality.
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7 In children, half of the models predicting mortality had hypoglycaemia as a predictor ^{19-21 25 26 30}.
8 Hypoglycaemia is usually implicated as a complication of severe malaria infection. This association
9 has been said to be multifactorial ⁴⁷. Proposed mechanisms for this association include: increased
10 glucose use by the malaria parasites in the red blood cells, inhibition of gluconeogenesis by the cascade
11 of cytokines released due to infection and prolonged starvation and fasting especially in severely ill
12 children further compounds the problem ^{47 48}. Considering that glucose is the primary source for organs
13 like the brain which is likely suffering from the above highlighted effects of microvascular obstruction
14 and sequestration, depleted glucose sources could lead to neurologic dysfunction including seizures,
15 deepening comas and hence death.
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22 Half of the models in children predicting mortality had respiratory distress (including deep breathing
23 and subcostal indrawing) as a predictor ^{14 18 21 26 29}. Meanwhile six out of the nine models in adults had
24 respiratory failure as a clinical predictor of mortality ^{17 22 24 35}. The incidence of respiratory distress in
25 severe malaria is quite common as it occurs in about 40% of children with severe falciparum malaria
26 and in 25% of adults ⁴⁹. It results from acute respiratory distress syndrome (ARDS); metabolic acidosis;
27 fluid overload possibly resulting from increased inflammatory related capillary permeability and
28 endothelial damage ^{7 49}; and aspiration pneumonia which could lead to sepsis ⁷ – a common association
29 with severe malaria. The high mortality rates (up to 87% in some cases) associated with respiratory
30 failure like in ARDS ⁵⁰ could explain the prognostic significance of respiratory distress in predicting
31 mortality in malaria infection.
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38 Acidosis was also a prominent predictor of mortality in most of the models predicting mortality. It was
39 present in three of the models predicting mortality in children ^{26 28 30} and five models predicting mortality
40 in adults ^{15 16 24 27 35}. Acidosis usually results from underlying pathologies like respiratory distress, renal
41 failure and shock. These three variables were also common variables in the models predicting mortality
42 in both children and adults identified in this review. Renal failure expressed in these models either as
43 acute renal failure, oligoanuria or estimates of the kidney function using serum urea and creatinine ^{15 17}
44 ^{22-24 28 30 35}; is due to acute tubular necrosis that occurs in severe malaria infection as a direct result of
45 microvascular obstruction of capillaries by infected red blood cells leading to the release of
46 inflammatory cytokines like tumor necrosis factor ⁵¹. Similarly, shock expressed either as a function of
47 the systolic blood pressure or cold peripheries in three models in children ^{19 29 30} and likewise in two
48 models in adults ^{17 35} could result from peripheral vasodilation which may usually occur concomitantly
49 with sepsis and is a marker of a poor prognosis ^{7 52 53}.
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58 We found evidence of external validation in only seven of the models identified in this study ^{16 18 22 29}
59 ³⁰. External validation is an important component as it determines the generalisability of the model and
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3 its potential use in different geographical regions⁵⁴. As outlined above, most of the models have similar
4 variables highlighting the fact that the predictors of complications, severity and mortality in malaria
5 might be consistent across different settings. Emphasis could therefore be better placed in the validation
6 of existing models and initiating their use in clinical settings to guide clinicians on prioritising patients
7 and anticipating outcomes rather than the production of new models. Publication of the findings on the
8 use of these models in clinical settings should also be encouraged to guide clinicians on which models
9 work better in various settings.
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14 **Conclusion:**

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17 Models predicting severity and mortality of malaria infection identified in this review have similar
18 predictors. Evidence is however lacking on the generalisability of most of these models due lack of
19 external validation. Emphasis should therefore be placed on external validation of existing models and
20 publication of the findings of their use in clinical settings to guide clinicians on management options
21 depending on the priorities of their patients.
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25 **Abbreviations:**

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28 ICU: intensive care units; PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-
29 Analysis; AUC: area under the curve; SEQUAMAT: South East Asian Quinine Artesunate Malaria
30 Trial; RCT: randomised control trial; TNF: tumour necrosis factor; AUROC: area under the receiver
31 operating curve; LODS: Lambarene Organ Dysfunction Score; SICK: Signs of Inflammation in
32 Children that Kill; PEDIA: Pediatric Early Death Index for Africa; PRISM: Pediatric Risk of
33 Mortality; APACHE: acute physiology and chronic health evaluation system; MSA: Malaria score for
34 adults; MPS: Malaria prediction score; CAM: coma acidosis malaria; MSS: Malaria severity score;
35 GCRBS: Glasgow coma scale, creatinine, respiratory rate, bilirubin and systolic BP; MODS: Multi-
36 organ dysfunction score; sMODS: Simplified MODS
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43 **Declarations**

44
45 ***Ethics approval and consent to participate:*** Not applicable
46
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48 ***Consent for publication:*** Not applicable
49

50 ***Availability of data and material:*** Not applicable
51

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53

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Table 1: Summary of articles with models predicting complications in severe malaria

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
Complications of malaria																
Severe anaemia																
1	Weber ¹³	1997	July – December 1994	Gambia	Cohort	368	Logistic regression	None	None	Median age: 28 months (IQR: 14 – 48 months)	Females – 49%	Paediatric development of severe anaemia in malaria (packed cell volume < 15%)	Pallor of conjunctiva and pallor of palms	Sensitivity of 80% and a specificity of 85%.	None	NE
2	Brickley ¹²	2017	2002 - 2006	Tanzania	Cohort	880	Cox proportional hazards models	None	Bootsrapping	0 – 4 years	Females – 48.1%	Paediatric development of severe anaemia (Hb <50g/L) in falciparum malaria	Sex, gravidity, transmission season at delivery, and bed net possession	C-index – 0.63 (95% CI 0.54 – 0.71)	None	NE
Development of sepsis																
3	Njim ⁷	2018	June 2003 – May 2005	Bangladesh, India, Indonesia and Myanmar	Randomised Control Trial	1187	Logistic regression	None	Bootsrapping	17 – 87 years	Females – 24.3%	Development of clinical sepsis in adults with severe falciparum malaria	Sex, blood urea nitrogen levels, plasma anion gap, respiratory distress, shock on admission, parasitaemia, coma and jaundice	AUC: 0.789. Sensitivity – 70.0%; specificity – 69.4%	None	NE

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor

Table 2: Summary of articles with models predicting mortality in paediatric severe malaria

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
Mortality																
1	Jaffar ¹⁹	1997	1992 – 1994	Gambia	Retrospective analysis of data from a randomised control trial	624	Logistic regression	None	None	1 – 9.5 years	Females – 49%	Mortality in paediatric cerebral malaria	Cold periphery, deep coma and hypoglycaemia	Not done	None	NE
2	Molyneux ²⁵	1989	January 1987 – June 1988	Malawi	Cohort	131	Univariable analysis	Bedside prognostic index	None	7 months – 10 years	Females – 55.7%	Mortality in paediatric cerebral malaria	Blood glucose, parasitaemia, WBC count, age, coma score, absent corneal reflexes, decerebration, convulsions	Positive predictive value – 83%, sensitivity – 66%	None	NE
3	Conroy ¹⁴	2012	1997 – 2009	Malawi	Cohort	155	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit test	8 months – 14 years	Females – 54.4%	Mortality in patients with cerebral malaria	Age, Blantyre coma score, respiratory distress, severe anaemia, angiopoietin-1, angiopoietin-2 and sTie-2 levels	C-index of 0.79 (95% CI 0.72 – 0.84)	None	NE
4	Krishna ²⁰	1994	1988 – 1989	Gambia	Cohort study	115	Logistic regression	None	Wald statistic and ROC analysis	18 months – 12 years	NC	Mortality in paediatric severe malaria	Coma score, whole blood lactate/glucose ratio, TNF level	Wald statistic: coma score (4.5), lactate/glucose ratio (8.36), TNF level (6.5)	None	NE

5	Marsh ²¹	1995	May 1989 – November 1991	Kenya	Cohort	1844	Logistic regression	None	None	Mean: 26 months	NC	Mortality in children with severe malaria	Impaired consciousness, respiratory distress, hypoglycemia, and jaundice	Predicted 92.2% of deaths	None	NE
6	Newton ²⁶	2005	January 2001 – December 2003	Malawi, Kenya and Ghana	Cohort	14605	Linear regression	None	AUROC	Mean age: 32 – 36 months	Females – 53 – 55%	Mortality in paediatric severe falciparum malaria	Deep breathing, Blantyre Coma Score, inability to sit, weight-for-age Z score, hypoglycaemia, base excess and lactate concentration	C-statistic 0.83 – 0.88 in the three sites: Blantyre (0.88), Kilifi (0.87) and Kumasi (0.83)	None	NE
7	Gérardin ³⁰	2006	October 1, 1997 – March 31, 1999	Senegal	Cohort	311	Logistic regression	PRISM (Pediatric Risk of Mortality) AUC: 0.92 ³⁸	Hosmer-Lemeshow chi-square test	Median: 8 years (IQR: 5 – 11 years)	Females – 40.5%	Mortality in children with falciparum malaria	Systolic blood pressure, temperature, mental status, heart rate, dilatation of pupils, pH, total CO ₂ , PCO ₂ , arterial PaO ₂ , serum glucose, potassium, urea, creatinine, white blood cells, prothrombin time, platelet count	AUROC for acute malaria: 0.89 (95% CI: 0.85 – 0.92) and 0.86 (95% CI: 0.81 – 0.90) for severe malaria	Yes	NE
8	Helbok ¹⁸	2009	December 2000 – May 2005	Gambia, Malawi, Kenya, Ghana, and Gabon	Cohort	23890	Logistic regression	LODS (Lambaréné Organ Dysfunction Score)	Internal validation using Bonferroni correction	Mean: 30 – 38 months	Females – 41% – 47%	Mortality in children with severe falciparum malaria	Coma, prostration and deep breathing	AUROC: 80 0.80 (0.79 – 0.82)	Yes	NE

9	von Seidlein ²⁸	2012	2005 - 2010	Gambia, Mozambique, Nigeria, Rwanda, Kenya, DRC, Tanzania, Ghana, Uganda	Retrospective analysis	5426	Logistic regression	None	ROC analysis	Median: 2.8 years (1.7, 4.3)	NC	Mortality in paediatric severe falciparum malaria	Base deficit, coma, convulsions, BUN and chronic illness	AUROC: 0.85 (95% CI: 0.83 - 0.87)	None	NE
10	Conroy ²⁹	2015	NC	Uganda	Cohort	1589	Logistic regression	SICK (Signs of Inflammation in Children that Kill) ³⁶ – AUC ^a : 0.887 (sensitivity 84.1% specificity 82.2%)	Hosmer-Lemeshow goodness of fit	NC	Females – 54.3%	Mortality in malaria	Altered consciousness, temperature, heart rate, respiratory rate, systolic blood pressure, capillary refill time and age	AUROC – 0.846	Yes	NE
LODS ⁵⁵								Hosmer-Lemeshow goodness of fit	NC	Females – 54.3%	Mortality in malaria	Prostration, coma (BCS) and deep breathing	AUROC – 0.898	Yes	NE	
PEDIA ³⁷ – AUC ^a : 0.93 (95% CI 0.92 to 0.94)								Hosmer-Lemeshow goodness of fit	NC	Females – 54.3%	Mortality in malaria	Kwashiorkor*, jaundice, subcostal indrawing, prostration (±seizures) and wasting	AUROC – 0.896	Yes	NE	

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor

Table 3: Summary of articles with models predicting mortality in adult severe malaria

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
Mortality																
1	Wilairatana ³⁵	1995	July 1991 – May 1993	Thailand	Cohort	72	Univariate analysis	APACHE II score ⁵⁶	ROC analysis	Mean age: 29.9	Females – 33.3%	Mortality in adult patients with cerebral falciparum malaria	MAP, temperature, heart rate, respiratory rate, arterial pH, PaO ₂ , haematocrit, WBC count, creatinine, sodium, potassium and Glasgow coma score	Predicted mortality with 95.8% accuracy	None	NE
2	Dondorp ¹⁵	2004	NC	Vietnam	Cohort	268	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit test	15 – 79 years	Females – 19%	Mortality in adults with severe falciparum malaria	Plasma lactate, plasma strong anion gap and plasma creatinine	AUROC: 0.81	None	NE
3	Mishra ²²	2007	NC	India	Cohort	212	Linear regression	MSA (Malaria score for adults)	Not done	NC	NC	Mortality in adults with severe malaria	severe anaemia, acute renal failure, respiratory distress, cerebral malaria	Sensitivity : 89.9%, specificity : 70.6%, positive predictive value: 94.1% with cut-off of 5/10	Yes ³⁹	NE
								MPS (Malaria prediction score)	Not done	NC	NC	Mortality in severe malaria	Age, serum creatinine level, haemoglobin level, cerebral malaria, presence of a pregnancy, use of a ventilator	NE	Yes ³⁹	NE

4	Hanson ¹⁶	2010	June 2003 – May 2005	Bangladesh, India, Indonesia and Myanmar	Retrospective analysis of a randomised control trial	789	Logistic regression	CAM (coma acidosis malaria) score	Hosmer-Lemeshow goodness-of-fit	NC	NC	Mortality in adults with severe malaria	Coma and acidosis (base deficit)	AUROC: 0.81 (95% CI: 0.77 – 0.84)	Yes ⁵⁷	NE
5	Mohapatra ²⁴	2009	January 200 – December 2004	India	Cohort study	2089	Logistic regression	MSS (Malaria severity score)	Hosmer-Lemeshow goodness-of-fit (internal validation by splitting data – 2089 vs 509)	18 – 71 years	Female – 34.6%	Mortality in adult patients with severe falciparum malaria	neurologic, renal, haematologic, hepatic, respiratory, cardiovascular, and metabolic organ systems	AUROC: 0.9	None	NE
6	Newton ²⁷	2013	1986 – 2002	Thailand	Retrospective analysis	988	Logistic regression	MPI (Malaria prognostic index)	ROC curve analysis and internal validation by data splitting	15 – 74 years	Females – 43%	Mortality in adult severe falciparum malaria	Glasgow coma scale, parasitaemia, plasma lactate, serum bilirubin, pigmented parasites and treatment with ACT	AUROC: 0.97	None	NE
7	Mohapatra ²³	2014	NC	India	Cohort	112	NC	GCBRS (GCS, creatinine, respiratory rate, bilirubin and systolic BP) score	NC	Mean: 35.8 ± 15.1 years	Females – 16.1	Mortality in severe falciparum malaria	Cerebral malaria, renal failure, respiratory distress, jaundice and shock	Sensitivity : 85.3%. Specificity : 95.6%	None	NE
8	Hanson ¹⁷	2014	1996 – 2013	Bangladesh, India, Indonesia, Vietnam and Myanmar	Randomised control trials and cohort studies	1801	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit	21 – 45	Females – 24.4	48-hour survival and survival to discharge in patients with severe malaria	Shock, oligo-anuria, dysglycaemia, respiratory rate, Glasgow Coma Score and absence of fever	PPV for 48 hour-survival: 99.4% (95% CI 97.8 – 99.9). PPV for survival to discharge: 96.9%	None	NE

																	(95% CI: 94.3 – 98.5)		
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* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor

Table 4: Summary of articles with models predicting severity of malaria infection

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
Severity of disease																
1	Helbok ³³	2003	October 1, 2001 – January 30, 2002	Thailand	Cohort	22	NC	MODS (Multi-organ dysfunction score) ⁴⁰	None	16 – 41 years	Female – 41.8%	Severity of disease in adult patients with uncomplicated falciparum malaria	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system)	None	None	NE
2	Helbok ³²	2005	October 1, 2001 – July 30, 2002	Thailand	Cohort	29	Survival analysis	MODS ⁴⁰	None	Mean age: 27.1 (± 10.6)	Female – 27.6%	Severity of disease in adult patients with severe falciparum malaria	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver, kidney and urinary	None	None	NE

													tract, immune system, and central nervous system)			
3	Helbok ³⁴	2006	August 2003 – May 2005	Gabon	Cohort	485	Survival analysis	Simplified MODS ³³	ROC analysis	4 months – 169 months	Females – 49%	Severity of disease and disability in children with severe falciparum malaria infection	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system)	AUC to predict prolonged disease (>48 hours unable to walk): 0.92 (95% CI, 0.89–0.95).	None	NE

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor

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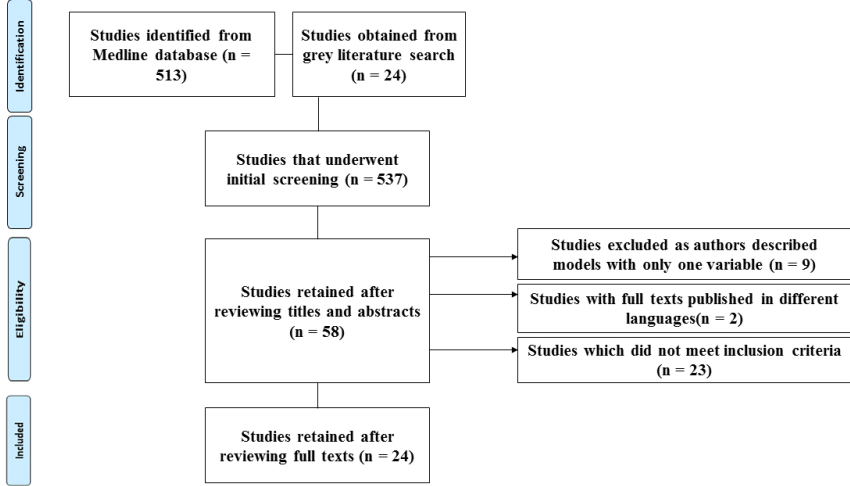
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45 **Figures Legends:**

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47 **Figure 1: Flow chart showing reasons for exclusion of various studies from the review**
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Flow chart showing reasons for exclusion of various studies from the review

Appendix 1: PRISMA-P 2015 Checklist. From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.

Section/topic	#	Checklist item	Information reported		Page (s)
			Yes	No	
ADMINISTRATIVE INFORMATION					
Title					
Identification	1a	Identify the report as a systematic review	<input checked="" type="checkbox"/>	<input type="checkbox"/>	1
Update	1b	If the protocol is for an update of a previous systematic review, identify as such	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Registration	2	If registered, provide the name of the registry (e.g., PROSPERO) and registration number in the Abstract	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Authors					
Contact	3a	Provide name, institutional affiliation, and e-mail address of all protocol authors; provide physical mailing address of corresponding author	<input checked="" type="checkbox"/>	<input type="checkbox"/>	1
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review	<input checked="" type="checkbox"/>	<input type="checkbox"/>	14
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Support					
Sources	5a	Indicate sources of financial or other support for the review	<input checked="" type="checkbox"/>	<input type="checkbox"/>	13
Sponsor	5b	Provide name for the review funder and/or sponsor	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Role of sponsor/funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
INTRODUCTION					
Rationale	6	Describe the rationale for the review in the context of what is already known	<input checked="" type="checkbox"/>	<input type="checkbox"/>	3

Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	3
METHODS					
Eligibility criteria	8	Specify the study characteristics (e.g., PICO, study design, setting, time frame) and report characteristics (e.g., years considered, language, publication status) to be used as criteria for eligibility for the review	<input checked="" type="checkbox"/>	<input type="checkbox"/>	3
Information sources	9	Describe all intended information sources (e.g., electronic databases, contact with study authors, trial registers, or other grey literature sources) with planned dates of coverage	<input checked="" type="checkbox"/>	<input type="checkbox"/>	4
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated	<input checked="" type="checkbox"/>	<input type="checkbox"/>	App
STUDY RECORDS					
Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review	<input checked="" type="checkbox"/>	<input type="checkbox"/>	4
Selection process	11b	State the process that will be used for selecting studies (e.g., two independent reviewers) through each phase of the review (i.e., screening, eligibility, and inclusion in meta-analysis)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	4
Data collection process	11c	Describe planned method of extracting data from reports (e.g., piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators	<input checked="" type="checkbox"/>	<input type="checkbox"/>	4
Data items	12	List and define all variables for which data will be sought (e.g., PICO items, funding sources), any pre-planned data assumptions and simplifications	<input checked="" type="checkbox"/>	<input type="checkbox"/>	4
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	<input checked="" type="checkbox"/>	<input type="checkbox"/>	4
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	<input checked="" type="checkbox"/>	<input type="checkbox"/>	4
DATA					
Synthesis	15a	Describe criteria under which study data will be quantitatively synthesized	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data, and methods of combining data from studies, including any planned exploration of consistency (e.g., I^2 , Kendall's tau)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	15c	Describe any proposed additional analyses (e.g., sensitivity or subgroup analyses, meta-regression)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned	<input checked="" type="checkbox"/>	<input type="checkbox"/>	5
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (e.g., publication bias across studies, selective reporting within studies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (e.g., GRADE)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	5

Information sources

Electronic sources

Appendix 2: Search strategy for Medline database

Searches	Search combinations	Search terms	Number of hits
S1		"prognost* model" OR "predict* model" OR "Predictive Value of Tests"	203,728
S2		"predict* score" OR "prognos* score"	3,655
S3	S1 OR S2		206,531
S4		(MH "Malaria+") OR (MH "Malaria, Vivax") OR (MH "Malaria, Cerebral") OR (MH "Malaria, Falciparum+") OR (MH "Malaria, Avian")	62,414
S5		"Malaria" OR "vivax malaria" OR "falciparum malaria" OR "cerebral malaria" OR "severe malaria" OR "clinical malaria" OR plasmodium OR antimalaria* OR anti-malaria*	109,371

S6	S4 OR S5		664,548
S7	S3 AND S6		513

Appendix 3a and 3b: Assessment was done using the Quality Assessment Tool for Observational Studies of the National Health Institute/National Heart, Lung, and Blood Institute

	Criteria	Brickley	Webber	Njim	Conroy ^a	Molyneux	Marsh	Krishna	Jaffar	Newton ^a	Helbok ^a	von Seidlein	Conroy ^b	Gerardin
1	Was the research question or objective in this paper clearly stated?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
2	Was the study population clearly specified and defined?	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	NC	Yes	Yes	Yes
3	Was the participation rate of eligible persons at least 50%?	NC	NC	Yes	NC	NC	No	NC	NC	NC	NC	NC	NC	Yes
4	Were all the subjects selected or recruited from the same or similar populations (including the same time period)? Were inclusion and exclusion criteria for being in the study prespecified and applied uniformly to all participants?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes

5	Was a sample size justification, power description, or variance and effect estimates provided?	No	No	No	Yes	No	No	No	No	No	NC	NC	NC	No
6	For the analyses in this paper, were the exposure(s) of interest measured prior to the outcome(s) being measured?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
7	Was the timeframe sufficient so that one could reasonably expect to see an association between exposure and outcome if it existed?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
8	For exposures that can vary in amount or level, did the study examine different levels of the exposure as related to the outcome (e.g., categories of exposure, or exposure measured as continuous variable)?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
9	Were the exposure measures (independent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
10	Was the exposure(s) assessed more than once over time?	No	No	No	No	Yes	Yes	Yes	Yes	Yes	Yes	No	NC	NC
11	Were the outcome measures (dependent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
12	Were the outcome assessors blinded to the exposure status of participants?	NC	No	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC	NC
13	Was loss to follow-up after baseline 20% or less?	Yes	Yes	Yes	NC	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
14	Were key potential confounding variables measured and adjusted statistically for their impact on the relationship between exposure(s) and outcome(s)?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
	Quality rating													

	Rater #1 TN	Good	Fair	Good	Good	Good	Good	Good	Good	Good	Good	Good	Good	Good
	Rater #2 OA	Good	Fair	Good	Good	Good	Good	Good	Good	Good	Good	Good	Good	Good

*Not clear; Newton^a: Study carried out in 2005; Conroy^a: study carried out in 2012; Conroy^b: study carried out in 2015; Helbok^a: study carried out in 2009

	Criteria	Wilairatana	Dondorp	Mishra	Hanson ^a	Hanson ^b	Mohapatra ^a	Mohapatra ^b	Newton ^b	Helbok ^b	Helbok ^c	Helbok ^d
1	Was the research question or objective in this paper clearly stated?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
2	Was the study population clearly specified and defined?	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
3	Was the participation rate of eligible persons at least 50%?	NC	NC	NC	Yes	Yes	Yes	NC	NC	Yes	NC	Yes
4	Were all the subjects selected or recruited from the same or similar populations (including the same time period)? Were inclusion and exclusion criteria for being in the study prespecified and applied uniformly to all participants?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
5	Was a sample size justification, power description, or variance and effect estimates provided?	No	No	No	No	No	No	No	No	No	No	No
6	For the analyses in this paper, were the exposure(s) of interest measured prior to the outcome(s) being measured?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
7	Was the timeframe sufficient so that one could reasonably expect to see an association between exposure and outcome if it existed?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
8	For exposures that can vary in amount or level, did the study examine different levels of the exposure as related to the outcome (e.g., categories of exposure, or exposure measured as continuous variable)?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
9	Were the exposure measures (independent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
10	Was the exposure(s) assessed more than once over time?	Yes	No	No	Yes	Yes	Yes	Yes	NC	Yes	Yes	No

11	Were the outcome measures (dependent variables) clearly defined, valid, reliable, and implemented consistently across all study participants?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	NC
12	Were the outcome assessors blinded to the exposure status of participants?	NC	No	NC	NC	NC	NC	NC	NC	NC	NC	NC
13	Was loss to follow-up after baseline 20% or less?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
14	Were key potential confounding variables measured and adjusted statistically for their impact on the relationship between exposure(s) and outcome(s)?	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
	Quality rating											
	Rater #1 TN	Good	Fair	Good	Good	Good	Good	Good	Good	Good	Good	Good
	Rater #2 OA	Good	Fair	Good	Good	Good	Good	Good	Good	Good	Good	Good

Hanson^a: Study carried out in 2010; Hanson^b: study carried out in 2014; Mohapatra^a: study carried out in 2009; Mohapatra^b: study carried out in 2014;

Helbok^b: study carried out in 2003; Helbok^c: study carried out in 2006; Helbok^d: study carried out in 2005

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For peer review only

BMJ Open

Prognostic models for the clinical management of malaria and its complications: a systematic review

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Keywords:	malaria, prognostic model, prognostic score, mortality

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3 **Prognostic models for the clinical management of malaria and its complications: a systematic**
4 **review**
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Abstract

Objective: Malaria infection could result in severe disease with high mortality. Prognostic models and scores predicting severity of infection, complications and mortality could help clinicians prioritise patients. We conducted a systematic review to assess the various models that have been produced to predict disease severity and mortality in patients infected with malaria.

Design: A systematic review.

Data sources: Medline, Global health and CINAHL were searched up to 04th of September 2019.

Eligibility criteria for selecting studies: Published articles on models which used at least 2 points (or variables) of patient data to predict disease severity; potential development of complications (including coma or cerebral malaria; shock; acidosis; severe anaemia; acute kidney injury; hypoglycaemia; respiratory failure and sepsis) and mortality in patients with malaria infection.

Data extraction and synthesis: Two independent reviewers extracted the data and assessed risk of bias using the Prediction model Risk Of Bias Assessment Tool (PROBAST).

Results: A total of 564 articles were screened and 24 articles were retained describing 24 models/scores of interests. Three of the articles described models predicting complications of malaria (severe anaemia in children and development of sepsis); fifteen described original models predicting mortality in severe malaria; three described models predicting mortality in different contexts but adapted and validated to predict mortality in malaria; and four articles described models predicting severity of the disease.

For the models predicting mortality, all the models had neurologic dysfunction as a predictor; in children, half of the models contained hypoglycaemia and respiratory failure as a predictor meanwhile, six out of the nine models in adults had respiratory failure as a clinical predictor. Acidosis, renal failure and shock were also common predictors of mortality.

Eighteen of the articles described models that could be applicable in real-life settings and all the articles had a high risk of bias due to lack of use of consistent and up-to-date methods of internal validation.

Conclusion: Evidence is lacking on the generalisability of most of these models due lack of external validation. Emphasis should be placed on external validation of existing models and publication of the findings of their use in clinical settings to guide clinicians on management options depending on the priorities of their patients.

Key words: malaria; prognostic model; prognostic score; mortality

Prospero registration number: CRD42019130673

Article Summary:

Strengths and limitations of this review:

This review is the first to comprehensively summarise the various prognostic models that have been produced to identify complications, severity and risk of mortality in patients with severe malaria.

The review covers prognostic models produced worldwide and for all the various malaria species.

The review reduced the risk of bias by using an independent review process for the screening of potential articles and the extraction of data.

Considering the wide variety of statistical methods used to generate and validate these models, there is the risk of heterogeneity in interpretation of the results.

The search was carried out in only one language which could potentially exclude some relevant studies published in different languages.

Introduction

Malaria is a disease caused by infection with a protozoan parasite of the genus *Plasmodium*. The most relevant of these species is *Plasmodium falciparum* as it causes most deaths from the disease¹.

Another species of relevance is *Plasmodium vivax* which is predominantly found in Asia and has a wider distribution². Malaria infection can result in severe disease and is associated with a high mortality. In about 108 countries where the transmission of the disease still occurs, an estimated 435,000 people died in 2017^{3,4}.

The incidence of malaria cases has decreased by 41% worldwide in the past ten years, with about 17 countries in Latin America and the Middle East reporting no new cases of malaria over this period^{3,5}. There are however concerns that the fight against malaria might be slowed down by an overemphasis on prevention over treatment⁶.

Treatment and clinical management of malaria is made difficult due to potential evolution of simple infections into life-threatening severe disease; the multi-organ affection of severe disease; the dilemma of when to admit to intensive care units (ICU) considering limited resources and the occurrence of concomitant sepsis infection with malaria^{7,8}. Some of these issues can be addressed with the help of guidelines; scores or models that could help clinicians predict the occurrence of severe disease and complications in order to act appropriately.

We therefore conducted this review to systematically assess the various predictive models or scores available to guide clinicians in the management of severe malaria, whether these models have been validated and if there is any evidence that they are being successfully used in the clinical setting.

Methods

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3 Institutional review board approval and informed consent were not required for this systematic
4 review. We reported our findings according to Preferred Reporting Items for Systematic Reviews and
5 Meta-Analysis (PRISMA) guidelines (Appendix 1).
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8 **Search strategy and selection criteria**

9
10 We searched MEDLINE, CINAHL and Global Health databases using a tailored search strategy
11 (Appendix 2) to identify all the relevant titles and abstracts of studies (randomised control trials,
12 cohort, cross-sectional and case-control studies) published in English from inception of the database
13 up to the 04th of September 2019, that reported predictive/prognostic scores or models that could be
14 used in the management of malaria. These included:
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- 18 - Scores/models that predicted the severity of disease as this could guide clinicians' decisions
19 to admit for intensive care management or the use of parenteral treatment;
- 20 - Scores/models that predicted the potential development of complications (including coma or
21 cerebral malaria; shock; acidosis; severe anaemia; acute kidney injury; hypoglycaemia;
22 respiratory failure and sepsis);
- 23 - Scores/models that predicted mortality in patients with malaria infection.
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29 The main keywords in the search strategy included: "prognostic model/score", "predictive
30 model/score" and "predictive value of tests" coupled with "malaria", "plasmodium", "anti-malarials",
31 "malaria falciparum", "malaria vivax" and "clinical malaria". We further canvassed the references of
32 eligible papers to identify similar papers for review.
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36 We excluded any duplicate studies, editorials, systematic reviews, case studies, conference abstracts,
37 unpublished studies and expert commentaries. For studies with more than one publication of findings,
38 we selected the most recent publication.
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41 We also excluded studies which contained models or scores that were aimed at the diagnosis of
42 malaria as we intend to limit the scope of the review to only models that could be used to predict
43 severity, mortality or risk of complications – that could guide clinicians in their management options.
44 Studies that used animal models to predict disease severity were also excluded.
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48 Two independent reviewers (TN and BST) screened the titles and abstracts for compliance to the
49 aforementioned inclusion and exclusion criteria and any conflicts were settled by mutual agreement.
50 Articles considered to have data relevant to the topic were assessed in detail and the references cited
51 in these publications were searched to identify further publications.
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55 **Data extraction**

56
57 Data extraction sheets which were prepared prior to screening were used by the two independent
58 reviewers to obtain the following details for inclusion into the final review: Last name of first author;
59
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1
2
3 date of publication; period of patient recruitment and/or follow-up; country of study; sample size; age
4 group; type of predictive model; name of model; method of internal validation (calibration and
5 discrimination); diagnostic properties of model and evidence of external validation or use in clinical
6 settings.
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10 **Definitions**

11
12 By prognostic/predictive model, we mean a statistical tool which uses at least 2 points (or variables) of
13 patient data to predict a specific clinical outcome ⁹. Prognostic models applied in clinical settings are
14 usually used at the discretion of physicians for accurate future predictions based on characteristics
15 gathered in the present ^{9 10}. The information found in prognostic models is usually specific to the
16 patients' characteristics rather than the disease or treatment and includes: prediction of chance or the
17 duration of survival; classification of patients into risk groups; and prediction of clinical events related
18 to the treatment the patient is receiving ¹¹.
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24 For models that used the area under the curve (AUC) or c-statistic to assess discrimination, the following
25 classification was used: 0.90 - 1 – excellent; 0.80 - 0.90 – good; 0.70 - 0.80 – fair; 0.60 - 0.70 – poor
26 and 0.50 - 0.60 – very poor discriminative properties ¹².
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30 **Data synthesis and analysis**

31 We assessed and discussed the selected studies qualitatively to describe the diagnostic properties of
32 the models proposed in the study, their intended purpose and evidence of use of the model in other
33 clinical settings.
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37 We further divided the models into various categories: models used to predict a potential complication
38 of severe malaria; models used to predict mortality as an outcome and models used to predict severity
39 of malaria infection.
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43 **Assessment of risk of bias and applicability**

44 The risk of bias and applicability of the models in the various studies were assessed by the two
45 independent reviewers using the Prediction model Risk Of Bias Assessment Tool (PROBAST) ^{13 14}
46 (Appendix 3). Any disagreements were handled by mutual agreement.
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50 **Patient and public involvement:**

51 Patients and the public were not involved in the design and conduction of this review.
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56 **Results**

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3 A total of 564 articles were identified by the electronic search of the databases. The titles and
4 abstracts of these articles were screened to retain 59 articles for full text review. These were then
5 evaluated according to the inclusion criteria and 24 articles were identified describing 24
6 models/scores of interests; after eliminating 23 irrelevant articles, 9 articles which used only one
7 variable to predict an outcome and two articles describing models in other languages (Figure 1). Two
8 of the articles described models predicting complications of malaria^{8 15}; fifteen described original
9 models predicting mortality in severe malaria¹⁶⁻³⁰; three described models predicting mortality in
10 different contexts but adapted and validated to predict mortality in malaria³¹⁻³³; and four articles
11 described models predicting severity of the disease³⁴⁻³⁷.

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18 Using the PROBAST to assess risk of bias and applicability, none of the studies had a low risk of bias
19 while six studies were not found to be applicable in real-life settings^{15 16 22 34-36} (Appendix 3).

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21
22 The general characteristics of the studies included in the review are summarised in Tables 1, 2, 3 and
23 4.

24 25 **Models predicting the risk of complications in malaria infection**

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27
28 Webber *et al*¹⁵ in 1997 conducted a study to predict the risk of severe anaemia (packed cell volume <
29 15%) in children with severe malaria in the Gambia using logistic regression analysis. This model was
30 not internally validated, and the two predictors identified were pallor of the conjunctiva and pallor of
31 the palms. There is no evidence from this review that the model has been externally validated and is
32 being used in clinical settings.

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36 In 2018, Njim *et al*⁸ described a prognostic model for clinical use to predict the risk of sepsis
37 development amongst adult patients (> 16 years old) admitted for severe falciparum malaria in
38 Southeast Asia. They used data from SEQUAMAT (South East Asian Quinine Artesunate Malaria
39 Trial) – a large randomised control trial (RCT) conducted to determine the benefits of intravenous
40 artesunate over quinine treatment for severe malaria. They used a multivariable logistic regression
41 approach with internal validation using bootstrapping to generate a prognostic model with modest
42 discriminative abilities [area under the curve (AUC): 0.789] containing the following predictive
43 variables: female sex, high blood urea nitrogen, high plasma anion gap, respiratory distress, shock on
44 admission, high parasitaemia, coma and jaundice. The model has not been externally validated and
45 there is no evidence of use in clinical settings.

46 47 **Models predicting mortality in severe malaria**

48 49 ***Models predicting mortality in paediatric severe malaria***

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53 Ten articles described models that predicted mortality in paediatric severe malaria^{16 20-23 27 28 30-32}.
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55 Three articles described models which predicted mortality in paediatric patients with cerebral malaria
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3 16 21 27; two articles described models generated to assess mortality in different conditions that were
4 validated for use in the present studies 31 32; and five articles described original models predicting the
5 risk of mortality in children with severe malaria 20 22 23 28 30.
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8 ***Models predicting mortality in paediatric cerebral malaria***

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10 Molyneux *et al* 27 in 1989 conducted a study amongst 131 comatose Malawian children with severe
11 cerebral malaria to determine the prognostic factors for death in these patients. The authors derived a
12 “bedside prognostic index” with: blood glucose ≤ 2.2 mmol/L; parasitaemia > 106 ring forms/ μ L;
13 white blood cell count $> 15 \times 10^9$ /L; age ≤ 3 years; coma score (modification of the Glasgow coma
14 score) = 0; absent corneal reflexes; signs of decerebration and convulsions; as predictors of mortality
15 with each predictor assigned a score of 1. Individuals with a score ≥ 4 were more likely to die. This
16 score was calculated only using univariable analysis and internal and external validation were not
17 done.
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24 In 1997 in Gambia, Jaffar *et al* 21 performed a retrospective analysis on data obtained from a
25 randomised control trial during which artemether was compared with quinine and a monoclonal
26 antibody against tumour necrosis factor (TNF) compared with a placebo in patients with cerebral
27 malaria. They used this data to identify predictors of mortality in cerebral malaria using a
28 multivariable logistic regression model. A cold periphery, a coma score of either 0 or 1 (assessed
29 using the Blantyre coma scale measured on a scale of 0 – 5), and hypoglycaemia were found to be
30 present at admission in 90% of the children who died. This model was not internally validated.
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36 Conroy *et al* 16 in 2012 conducted a study amongst 155 children aged 8 months – 14 years in Malawi
37 to determine predictors of mortality in cerebral malaria. They used a multivariable logistic regression
38 model containing clinical parameters and biomarkers with a modest discriminative ability (C-index of
39 0.79) after internal validation; which contained the following variables: age, Blantyre coma score,
40 respiratory distress, severe anaemia, angiopoietin-1, angiopoietin-2 and sTie-2 levels. The model was
41 not externally validated.
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45 ***Original models predicting mortality in paediatric severe malaria***

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48 Krishna *et al* 22 in 1994 conducted a study in the Gambia to predict mortality in children aged 8
49 months to 14 years. They used a multivariable logistic regression model internally validated using the
50 Wald statistic to determine that the coma score (using the Blantyre coma scale), whole blood
51 lactate/glucose ratio and TNF level were the best predictors of death.
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55 In 1995, Marsh *et al* 23 studied 1844 children in Kenya to determine predictors of life-threatening
56 malaria (risk of death) using a multivariable logistic regression model. They determined that impaired
57 consciousness (assessed using the Blantyre coma scale), hypoglycaemia, respiratory distress and
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3 jaundice could correctly predict 84.4% of deaths in the sample population. The model was not
4 validated internally or externally.
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7 In 2005, Newton *et al*²⁸ conducted a study to assess the prognostic value of measures of acid/base
8 balance in paediatric falciparum malaria. They examined 14,605 children in Malawi (Blantyre),
9 Kenya (Kilifi) and Ghana (Kumasi); where they determined that deep breathing, Blantyre Coma
10 Score, inability to sit, and weight-for-age Z score were independent predictors of mortality in all the
11 three sites. Discrimination of the model was performed by calculating the area under the receiver
12 operating curve (AUROC). After addition of laboratory data to these models – hypoglycaemia, base
13 excess and lactate concentrations; the c-statistics obtained were 0.88 (Blantyre), 0.87 (Kilifi) and 0.83
14 (Kumasi) denoting good discriminative properties of the models.
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20 Helbok *et al*²⁰ in 2009 produced the the Lambarene Organ Dysfunction Score (LODS) which
21 combined three variables: coma, prostration, and deep breathing to generate a model using
22 multivariable logistic regression which predicted death in African children – Banjul (Gambia),
23 Blantyre (Malawi), Kilifi (Kenya), Kumasi (Ghana), and Lambarene and Libreville (Gabon); who
24 were admitted for severe falciparum malaria. Each component of the model was assigned a score of 1
25 and a LODS of 3 at admission had a 98% specificity and 25% sensitivity in predicting death.
26
27 Meanwhile a LODS ≥ 1 had a sensitivity of 85% and a specificity of 63%. The model had good
28 discriminative properties with an AUC of 0.80 (95% CI: 0.79 – 0.82). In 2015, Conroy *et al*³¹
29 externally validated this model amongst 1589 Ugandan children. The model showed good
30 discriminative properties with an AUC of 0.898.
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36 Similarly, in 2012, von Seidlein *et al*³⁰ conducted an analysis of data from a RCT carried out in
37 several African countries (Gambia, Mozambique, Nigeria, Rwanda, Kenya, DRC, Tanzania, Ghana
38 and Uganda) to generate a model for predicting mortality from severe falciparum malaria using
39 multivariable logistic regression analysis and internally validated by AUROC analysis. After analysis
40 of data from 5426 children, base deficit, impaired consciousness (assessed using the Blantyre Coma
41 Score), convulsions, elevated blood urea, and underlying chronic illness were identified in the model
42 to predict mortality with a good discriminative ability – AUROC: 0.85 (95% CI: 0.83 - 0.87).
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48 ***Existing Models validated for use in the prediction of mortality in severe malaria in children***

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50 As described above, Conroy *et al*³¹ externally validated the LODS model amongst 1589 Ugandan
51 children. The authors further externally validated two other scores: the SICK (Signs of Inflammation
52 in Children that Kill) score which was developed in India as a practical triage tool using variables
53 related to the systemic inflammatory response syndrome, with data collected from 1,099 children in
54 2003 admitted for any paediatric illness³⁸; and the PEDIA (Pediatric Early Death Index for Africa)
55 score which was developed to predict early death amongst 8091 children in Kenya in 2003 admitted
56 for paediatric illnesses³⁹. The original SICK score containing the following variables: altered
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3 consciousness, temperature, heart rate, respiratory rate, systolic blood pressure, capillary refill time
4 and age; had good discriminative properties with an AUC of 0.887³⁸. Externally validated against this
5 cohort of 1589 children, the score maintained its good discriminative properties with an AUC of
6 0.846. Similarly, the PEDIA score which originally had excellent discriminative properties with an
7 AUC of 0.93³⁹ had good discriminative properties (AUC: 0.896) when externally validated on the
8 cohort of 1589 Ugandan children³¹. The original PEDIA score contained Kwashiorkor, jaundice,
9 subcostal indrawing, prostration (\pm seizures) and wasting as variables in the model. However,
10 kwashiorkor was not included in the validation model as it was not measured amongst the Ugandan
11 children.
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18 In 2006, Gerardin *et al*³² externally validated the PRISM (Pediatric Risk of Mortality) model which
19 was originally developed in 1988 by Pollack *et al*⁴⁰ to reduce the number of physiologic variables
20 required for paediatric intensive care unit death risk assessment. The model was developed from data
21 of 1,227 patients with 105 deaths and contained 14 variables: systolic blood pressure, temperature,
22 mental status, heart rate, dilatation of pupils, pH, total CO₂, PCO₂, arterial PaO₂, serum glucose,
23 potassium, urea, creatinine, white blood cells, prothrombin time, platelet count. The original score had
24 excellent discriminative properties with an AUC of 0.92⁴⁰. Gerardin *et al* used a cohort of 311
25 Senegalese children admitted with severe malaria to externally validate this model. The model
26 showed good discriminative properties in predicting death in children with severe malaria – AUC:
27 0.86 (95% CI: 0.81– 0.90)³².
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34 **Models predicting mortality in adult severe malaria**

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37 There were eight articles assessing models that predicted mortality in adult severe malaria^{17-19 24-26 28}
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42 In 1995, Wilairatana *et al*⁴¹ used the APACHE II score (the acute physiology and chronic health
43 evaluation system score commonly used in intensive care units) based on 12 physiologic variables –
44 Mean arterial pressure (MAP), temperature, heart rate, respiratory rate, arterial pH, PaO₂,
45 haematocrit, WBC count, creatinine, sodium, potassium and Glasgow coma score to predict the risk
46 of mortality in adult patients with cerebral malaria in Thailand. The score was able to predict
47 mortality with a 95.8% accuracy. The original APACHE II model was produced in 1985 by Knaus *et*
48 *al*⁴², and clinical judgement and physiologic relationships were used to assign weightings for the
49 various factors in the model.
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54 Dondorp *et al*¹⁷ in 2004 created a model using logistic regression with laboratory data from 268
55 patients in Vietnam to determine the risk of mortality in adult patients with severe malaria. This
56 model had a good discriminative value with an AUROC of 0.81. The laboratory variables associated
57 with mortality in this cohort were: plasma lactate, plasma creatinine and a strong anion gap. On the
58 other hand, in 2007, Mishra *et al*²⁴ created the MSA (Malaria score for adults) and the MPS (Malaria
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3 prediction score) from a cohort of 212 patients in India to predict mortality in severe malaria. The
4 MSA was an upgrade of the Malaria prognostic index (MPI) which required laboratory data and
5 included a small proportion of children. The clinical variables included in the MSA were: severe
6 anaemia, acute renal failure, respiratory distress and cerebral malaria and had a sensitivity of 89.9%
7 and a specificity of 70.6%. This model was externally validated by Santos *et al*⁴³ among 59 patients
8 with imported severe malaria in Portugal and was shown to have good discriminative properties –
9 AUROC: 0.84; 95% CI: 0.70 – 0.98.
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15 Similarly, Hanson *et al*¹⁸ produced the coma acidosis malaria (CAM) score after using a logistic
16 regression analysis on data previously collected from the SEQUAMAT. The authors proposed the use
17 of the presence of a coma and base deficit to calculate a five-point score to predict mortality. The
18 score had good discriminative properties with an AUROC of 0.81 (95% CI: 0.77 – 0.84). The same
19 author used data from several cohort studies and RCTs carried out in Bangladesh, India, Indonesia,
20 Vietnam and Myanmar to predict 48-hour survival and survival to discharge in patients with severe
21 malaria¹⁹. The model containing the variables: shock, oligo-anuria, dysglycaemia, respiratory rate,
22 Glasgow coma score and fever could correctly predict 48 hour-survival in 99.4% of the patients and
23 survival to discharge in 96.9% of patients.
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30 Mohapatra *et al*²⁶ in 2009 carried out a cohort study of 2089 patients in 2009, where they produced
31 the Malaria severity score (MSS) to predict mortality in adult patients with severe falciparum malaria
32 in India. They assessed seven organ systems: neurologic, renal, haematologic, hepatic, respiratory,
33 cardiovascular, and metabolic organ systems; assigning a maximum score of 0 – 3 for each organ
34 system. The model had excellent discriminative properties with an AUROC of 0.9. The authors also
35 developed the GCRBS (Glasgow coma scale, creatinine, respiratory rate, bilirubin and systolic BP)
36 score in 2014 as an alternative to other scores like the APACHE II score which was considered
37 cumbersome²⁵. The score had a sensitivity of 85.3% and a specificity of 95.6% in predicting a fatal
38 outcome in severe malaria.
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45 In 2013 in Thailand, Newton *et al*²⁹ conducted a retrospective analysis of 988 records with severe
46 falciparum malaria to produce the MPI (Malaria prognostic index) validated using ROC curve
47 analysis and internal validation by data splitting. The MPI contained the following variables: Glasgow
48 coma scale, parasitaemia, plasma lactate, serum bilirubin, pigmented parasites and treatment with
49 ACT and had excellent discriminative properties with an AUROC of 0.97.
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53 **Models predicting the severity of malaria**

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55 The Multi-organ dysfunction score (MODS) which is an index used in severely ill patients admitted in
56 intensive care units to determine the severity of their disease irrespective of the diagnosis^{34,44}. The
57 score evaluates ten organ systems: heart, blood vessel, blood, respiratory system, metabolism,
58 gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system –
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3 giving a score of 1 – 5 for each system depending on the level of dysfunction of the system, with a
4 minimum score of 10 and a maximum score of 50³⁵. Helbok *et al* assessed the use of this score to
5 predict severity in a small cohort (n = 22) of adult patients with uncomplicated falciparum malaria³⁵
6 and in adults with severe malaria (n = 29)³⁴ in Thailand. The score was not internally validated in
7 both studies but the authors showed that higher scores were correlated with symptom severity and
8 duration of hospitalisation. In 2006, the authors used a simplified version of the score - Simplified
9 MODS (sMODS); in a cohort of 485 children in Gabon to predict the level of severity of the disease
10 with respect to the amount of disability the children suffered into categories: ability to walk unaided
11 and ability to sit unaided³⁶. The authors obtained an AUC of 0.92 (95% CI: 0.89, 0.95) in predicting
12 inability to walk \geq 48 hours for children with sMODS \geq 16 and an AUC of 0.90 (95% CI: 0.87, 0.93)
13 in predicting inability to sit unaided (Table 4).

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21 Grigg *et al* in 2018, used a multivariable logistic regression model to predict the severity of
22 *Plasmodium knowlesi* malaria infection in a cohort of 481 participants in Malaysia. The authors
23 showed that independent predictors of disease severity using the WHO 2014 research criteria⁴⁵ were:
24 increasing age, abdominal pain, shortness of breath, increasing parasite count, schizont proportion
25 $>10\%$ and serum bicarbonate levels <18 mmol. The model was not internally or externally validated
26 (Table 4).

27 28 29 30 31 32 33 **Discussion:**

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36 In this review, we report on the various prognostic models and scores produced to predict complications,
37 mortality and severity of malaria infection. We showed that there were two models produced to predict
38 the risk of developing complications from malaria infection, twelve models that predict mortality from
39 severe malaria in children, nine models that predict mortality from severe malaria in adults and four
40 models that predict disease severity in malaria. Seventeen of these models were internally validated
41 while only seven have been externally validated. There is no published evidence that any of these
42 models are routinely used in clinical settings.

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47 The models identified in this review that were used to predict mortality in children with severe malaria
48 have similar clinical predictors. All the models had neurologic dysfunction based on either the Glasgow
49 coma score, impaired consciousness, altered mental status, convulsions, decerebration or coma as a
50 predictor. Similarly, in adults, all the models predicting mortality also had neurologic dysfunction as a
51 predictor. Microvascular obstruction in capillaries of the brain due to direct sequestration of red blood
52 cells infected with the malaria parasite could lead to tissue hypoxia⁴⁶. The effects of this sequestration
53 and its sequelae in the brain can be directly visualised in both adults and children as retinopathy^{16 46-48}.
54 This leads to varied results with increased intracranial pressure more pronounced in children than in
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3 adults ⁴⁶. With the increased oxygen demand associated with brain hypoxia and raised intracranial
4 pressure, coma and brain dysfunction could therefore become an important predictor of mortality.
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7 In children, half of the models predicting mortality had hypoglycaemia as a predictor ^{21-23 27 28 32}.
8 Hypoglycaemia is usually implicated as a complication of severe malaria infection. This association
9 has been said to be multifactorial ⁴⁹. Proposed mechanisms for this association include: increased
10 glucose use by the malaria parasites in the red blood cells, inhibition of gluconeogenesis by the cascade
11 of cytokines released due to infection and prolonged starvation and fasting especially in severely ill
12 children further compounds the problem ^{49 50}. Considering that glucose is the primary source for organs
13 like the brain which is likely suffering from the above highlighted effects of microvascular obstruction
14 and sequestration; depleted glucose sources could lead to neurologic dysfunction including seizures,
15 deepening comas and hence death. As above, any factor that significantly affects neurologic dysfunction
16 could be highly predictive of mortality or disease severity in patients.
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23 Half of the models in children predicting mortality had respiratory distress (including deep breathing
24 and subcostal indrawing) as a predictor ^{16 20 23 28 31}. Meanwhile six out of the nine models in adults had
25 respiratory failure as a clinical predictor of mortality ^{19 24 26 41}. The incidence of respiratory distress in
26 severe malaria is quite common as it occurs in about 40% of children with severe falciparum malaria
27 and in 25% of adults ⁵¹. It results from acute respiratory distress syndrome (ARDS); metabolic acidosis;
28 fluid overload possibly resulting from increased inflammatory related capillary permeability and
29 endothelial damage ^{8 51}; and aspiration pneumonia which could lead to sepsis ⁸ – a common association
30 with severe malaria. The high mortality rates (up to 87% in some cases) associated with respiratory
31 failure like in ARDS ⁵² could explain the predictive significance of respiratory distress in predicting
32 mortality in malaria infection. Respiratory failure usually leads to hypoxia and a high probability of
33 acute mortality in patients.
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41 Acidosis was also a prominent predictor of mortality in most of the models predicting mortality. It was
42 present in three of the models predicting mortality in children ^{28 30 32} and five models predicting mortality
43 in adults ^{17 18 26 29 41}. Acidosis usually results from underlying pathologies like respiratory distress, renal
44 failure and shock. These three variables were also common variables in the models predicting mortality
45 in both children and adults identified in this review. Renal failure expressed in these models either as
46 acute renal failure, oligoanuria or estimates of the kidney function using serum urea and creatinine ^{17 19}
47 ^{24-26 30 32 41}; is due to acute tubular necrosis that occurs in severe malaria infection as a direct result of
48 microvascular obstruction of capillaries by infected red blood cells leading to the release of
49 inflammatory cytokines like tumor necrosis factor ⁵³. Similarly, shock expressed either as a function of
50 the systolic blood pressure or cold peripheries in three models in children ^{21 31 32} and likewise in two
51 models in adults ^{19 41} could result from peripheral vasodilation which may usually occur concomitantly
52 with sepsis and is a marker of a poor prognosis ^{8 54 55}.
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3 From the above, factors that were predictive of disease severity and mortality seemed to be consistent
4 amongst these studies. The factors that should therefore be considered by physicians when faced with
5 a patient with malaria infection should include: neurologic dysfunction (coma and seizures), acidosis,
6 hypoglycaemia and respiratory distress (Figure 2). These factors seem to be highly predictive of
7 mortality and disease severity in most of the articles that were included in the review and should
8 therefore be included in any future studies attempting to predict these outcomes in malaria.
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13 We found evidence of external validation in only seven of the models identified in this study^{18 20 24 31}
14³². External validation is an important component as it determines the generalisability of the model and
15 its potential use in different geographical regions⁵⁶. As outlined above, most of the models have similar
16 variables highlighting the fact that the predictors of complications, severity and mortality in malaria
17 might be consistent across different settings. Emphasis could therefore be better placed in the validation
18 of existing models and initiating their use in clinical settings to guide clinicians on prioritising patients
19 and anticipating outcomes. Publication of the findings on the use of these models in clinical settings
20 should also be encouraged to guide clinicians on which models work better in various settings.
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26 After assessment of the risk of bias of the various models, eighteen of the studies contained models that
27 used variables that could be readily available and hence were applicable in real-life settings. However,
28 all the models had a high risk of bias. This was primarily due to the lack of internal validation in several
29 of the studies or the lack of use of up-to-date methods of validation. Caution should therefore be used
30 when interpreting and using the results from the articles.
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35 This review has some limitations. The search included only articles that were published in English. This
36 could potentially lead to the exclusion of studies and models that could otherwise have been included
37 in the review.
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40 **Conclusion:**

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42 Models predicting severity and mortality of malaria infection identified in this review have similar
43 predictors. Evidence is however lacking on the generalisability of most of these models due lack of
44 external validation. Emphasis should therefore be placed on external validation of existing models and
45 publication of the findings of their use in clinical settings to guide clinicians on management options
46 depending on the priorities of their patients.
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50 **Abbreviations:**

51 APACHE: acute physiology and chronic health evaluation system; AUC: area under the curve;
52 AUROC: area under the receiver operating curve; CAM: coma acidosis malaria; GCRBS: Glasgow
53 coma scale, creatinine, respiratory rate, bilirubin and systolic BP; ICU: intensive care units; IQR:
54 Interquartile range; LODS: Lambarene Organ Dysfunction Score; MODS: Multi-organ dysfunction
55 score; MPI: Malaria Prognostic index; MPS: Malaria prediction score; MSA: Malaria score for adults;
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3 MSS: Malaria severity score; PEDIA: Pediatric Early Death Index for Africa; PRISM: Pediatric Risk
4 of Mortality; PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-Analysis; RCT:
5 randomised control trial; SEQUAMAT: South East Asian Quinine Artesunate Malaria Trial; SICK:
6 Signs of Inflammation in Children that Kill; sMODS: Simplified MODS; TNF: tumour necrosis
7 factor; WHO: World Health Organisation
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11 **Declarations**

12
13
14 ***Ethics approval and consent to participate:*** Not applicable
15

16
17 ***Consent for publication:*** Not applicable
18

19 ***Availability of data and material:*** All data relevant to the study are included in the article or uploaded
20 as supplementary information
21

22
23 ***Competing interests:*** The authors declare no competing interests
24

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26

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29

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Table 1: Summary of articles with models predicting complications in severe malaria

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
Complications of malaria																
Severe anaemia																
1	Weber ¹⁵	1997	July – December 1994	Gambia	Cohort	368	Logistic regression	None	None	Median age: 28 months (IQR: 14 – 48 months)	Females – 49%	Paediatric development of severe anaemia in malaria (packed cell volume < 15%)	Pallor of conjunctiva and pallor of palms	Sensitivity of 80% and a specificity of 85%.	None	NE
Development of sepsis																
2	Njim ^s	2018	June 2003 – May 2005	Bangladesh, India, Indonesia and Myanmar	Randomised Control Trial	1187	Logistic regression	None	Bootstrapping	17 – 87 years	Female – 24.3%	Development of clinical sepsis in adults with severe falciparum malaria	Sex, blood urea nitrogen levels, plasma anion gap, respiratory distress, shock on admission, parasitaemia, coma and jaundice	AUC: 0.789. Sensitivity – 70.0%; specificity – 69.4%	None	NE

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor

Table 2: Summary of articles with models predicting mortality in paediatric severe malaria

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
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Mortality																
1	Jaffar ²¹	1997	1992 – 1994	Gambia	Retrospective analysis of data from a randomised control trial	624	Logistic regression	None	None	1 – 9.5 years	Females – 49%	Mortality in paediatric cerebral malaria	Cold periphery, deep coma and hypoglycaemia	Not done	None	NE
2	Molyneux ²⁷	1989	January 1987 – June 1988	Malawi	Cohort	131	Univariable analysis	Bedside prognostic index	None	7 months – 10 years	Females – 55.7%	Mortality in paediatric cerebral malaria	Blood glucose, parasitaemia, WBC count, age, coma score, absent corneal reflexes, decerebration, convulsions	Positive predictive value – 83%, sensitivity – 66%	None	NE
3	Conroy ¹⁶	2012	1997 – 2009	Malawi	Cohort	155	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit test	8 months – 14 years	Females – 54.4%	Mortality in patients with cerebral malaria	Age, Blantyre coma score, respiratory distress, severe anaemia, angiopoietin-1, angiopoietin-2 and sTie-2 levels	C-index of 0.79 (95% CI 0.72 – 0.84)	None	NE
4	Krishna ²²	1994	1988 – 1989	Gambia	Cohort study	115	Logistic regression	None	Wald statistic and ROC analysis	18 months – 12 years	NC	Mortality in paediatric severe malaria	Coma score, whole blood lactate/glucose ratio, TNF level	Wald statistic: coma score (4.5), lactate/glucose ratio (8.36), TNF level (6.5)	None	NE
5	Marsh ²³	1995	May 1989 – November 1991	Kenya	Cohort	1844	Logistic regression	None	None	Mean: 26 months	NC	Mortality in children with severe malaria	Impaired consciousness, respiratory distress, hypoglycemia, and jaundice	Predicted 92.2% of deaths	None	NE
6	Newton ²⁸	2005	January 2001 – December 2003	Malawi, Kenya and Ghana	Cohort	14605	Linear regression	None	AUROC	Mean age: 32 – 36 months	Females – 53 – 55%	Mortality in paediatric severe falciparum	Deep breathing, Blantyre Coma Score, inability to sit, weight-	C-statistic 0.83 – 0.88 in the three sites: Blantyre (0.88), Kilifi	None	NE

												malaria	for-age Z score, hypoglycaemia, base excess and lactate concentration	(0.87) and Kumasi (0.83)		
7	Gérardin ³²	2006	October 1, 1997 – March 31, 1999	Senegal	Cohort	311	Logistic regression	PRISM (Pediatric Risk of Mortality) AUC: 0.92 ⁴⁰	Hosmer-Lemeshow chi-square test	Median: 8 years (IQR: 5 – 11 years)	Females – 40.5%	Mortality in children with falciparum malaria	Systolic blood pressure, temperature, mental status, heart rate, dilatation of pupils, pH, total CO ₂ , PCO ₂ , arterial PaO ₂ , serum glucose, potassium, urea, creatinine, white blood cells, prothrombin time, platelet count	AUROC for acute malaria: 0.89 (95% CI: 0.85 – 0.92) and 0.86 (95% CI: 0.81– 0.90) for severe malaria	Yes	NE
8	Helbok ²⁰	2009	December 2000 – May 2005	Gambia, Malawi, Kenya, Ghana, and Gabon	Cohort	23890	Logistic regression	LODS (Lambaréné Organ Dysfunction Score)	Internal validation using Bonferroni correction	Mean: 30 – 38 months	Females – 41% – 47%	Mortality in children with severe falciparum malaria	Coma, prostration and deep breathing	AUROC: 80 0.80 (0.79 – 0.82)	Yes	NE
9	von Seidlein ³⁰	2012	2005 - 2010	Gambia, Mozambique, Nigeria, Rwanda, Kenya, DRC, Tanzania, Ghana, Uganda	Retrospective analysis	5426	Logistic regression	None	ROC analysis	Median: 2.8 years (1.7, 4.3)	NC	Mortality in paediatric severe falciparum malaria	Base deficit, coma, convulsions, BUN and chronic illness	AUROC: 0.85 (95% CI: 0.83 - 0.87)	None	NE
10	Conroy ³¹	2015	NC	Uganda	Cohort	1589	Logistic regression	SICK (Signs of Inflammation in Children)	Hosmer-Lemeshow goodness of fit	NC	Females – 54.3%	Mortality in malaria	Altered consciousness, temperature, heart rate, respiratory	AUROC – 0.846	Yes	NE

							II score use clinical judgement and physiologic relationships to assign weightings)					falciparum malaria	haematocrit, WBC count, creatinine, sodium, potassium and Glasgow coma score			
2	Dondorp ¹⁷	2004	NC	Vietnam	Cohort	268	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit test	15 – 79 years	Females – 19%	Mortality in adults with severe falciparum malaria	Plasma lactate, plasma strong anion gap and plasma creatinine	AUROC: 0.81	None	NE
3	Mishra ²⁴	2007	NC	India	Cohort	212	Linear regression	MSA (Malaria score for adults)	Not done	NC	NC	Mortality in adults with severe malaria	severe anaemia, acute renal failure, respiratory distress, cerebral malaria	Sensitivity : 89.9%, specificity : 70.6%, positive predictive value: 94.1% with cut-off of 5/10	Yes ⁴³	NE
								MPS (Malaria prediction score)	Not done	NC	NC	Mortality in severe malaria	Age, serum creatinine level, haemoglobin level, cerebral malaria, presence of a pregnancy, use of a ventilator	NE	Yes ⁴³	NE
4	Hanson ¹⁸	2010	June 2003 – May 2005	Bangladesh, India, Indonesia and Myanmar	Retrospective analysis of a randomised control trial	789	Logistic regression	CAM (coma acidosis malaria) score	Hosmer-Lemeshow goodness-of-fit	NC	NC	Mortality in adults with severe malaria	Coma and acidosis (base deficit)	AUROC: 0.81 (95% CI: 0.77 – 0.84)	Yes ⁵⁹	NE
5	Mohapatra ²⁶	2009	January 200 – December 2004	India	Cohort study	2089	Logistic regression	MSS (Malaria severity score)	Hosmer-Lemeshow goodness-of-fit (internal validation by splitting	18 – 71 years	Females – 34.6%	Mortality in adult patients with severe falciparum malaria	neurologic, renal, haematologic, hepatic, respiratory, cardiovascular, and metabolic organ systems	AUROC: 0.9	None	NE

									data – 2089 vs 509)							
6	Newton ²⁹	2013	1986 – 2002	Thailand	Retrospective analysis	988	Logistic regression	MPI (Malaria prognostic index)	ROC curve analysis and internal validation by data splitting	15 – 74 years	Females – 43%	Mortality in adult severe falciparum malaria	Glasgow coma scale, parasitaemia, plasma lactate, serum bilirubin, pigmented parasites and treatment with ACT	AUROC: 0.97	None	NE
7	Mohapatra ²⁵	2014	NC	India	Cohort	112	NC	GCBRS (GCS, creatinine, respiratory rate, bilirubin and systolic BP) score	NC	Mean: 35.8 ± 15.1 years	Females – 16.1	Mortality in severe falciparum malaria	Cerebral malaria, renal failure, respiratory distress, jaundice and shock	Sensitivity : 85.3%. Specificity : 95.6%	None	NE
8	Hanson ¹⁹	2014	1996 – 2013	Bangladesh, India, Indonesia, Vietnam and Myanmar	Randomised control trials and cohort studies	1801	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit	21 – 45	Females – 24.4	48-hour survival and survival to discharge in patients with severe malaria	Shock, oligo-anuria, dysglycaemia, respiratory rate, Glasgow Coma Score and absence of fever	PPV for 48 hour-survival: 99.4% (95% CI 97.8 – 99.9). PPV for survival to discharge: 96.9% (95% CI: 94.3 – 98.5)	None	NE

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor

Table 4: Summary of articles with models predicting severity of malaria infection

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
Severity of disease																
1	Helbok ³⁵	2003	October 1, 2001 – January 30, 2002	Thailand	Cohort	22	NC	MODS (Multi-organ dysfunction score) ⁴⁴	None	16 – 41 years	Female – 41.8%	Severity of disease in adult patients with uncomplicated falciparum malaria	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system)	None	None	NE
2	Helbok ³⁴	2005	October 1, 2001 – July 30, 2002	Thailand	Cohort	29	Survival analysis	MODS ⁴⁴	None	Mean age: 27.1 (± 10.6)	Female – 27.6%	Severity of disease in adult patients with severe falciparum malaria	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system)	None	None	NE
3	Helbok ³⁶	2006	August 2003 – May 2005	Gabon	Cohort	485	Survival analysis	Simplified MODS ³⁵	ROC analysis	4 months – 169 months	Females – 49%	Severity of disease and disability in children with severe falciparum malaria infection	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver,	AUC to predict prolonged disease (>48 hours unable to walk): 0.92 (95%	None	NE

													kidney and urinary tract, immune system, and central nervous system)	CI, 0.89–0.95).		
4	Grigg ³⁷	2018	October 2012 – April 2016	Malaysia	Cohort	481 patients with <i>Plasmodium knowlesi</i>	Logistic regression	None	None	33 years (IQR: 21 – 49)	Female – 43.2%	Severity of <i>Plasmodium knowlesi</i> infection using WHO 2014 research criteria ⁴⁵	Age >45, abdominal pain, shortness of breath, increased parasite count, schizont proportion >10%, Bicarbonate <18 mmol	None	None	NE

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor; WHO: World Health Organisation; IQR: Interquartile range

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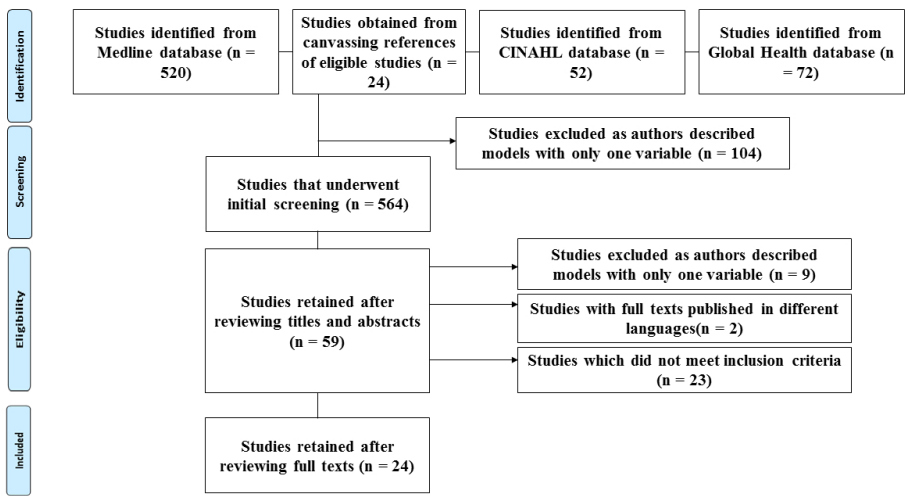
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23 **Figures Legends:**

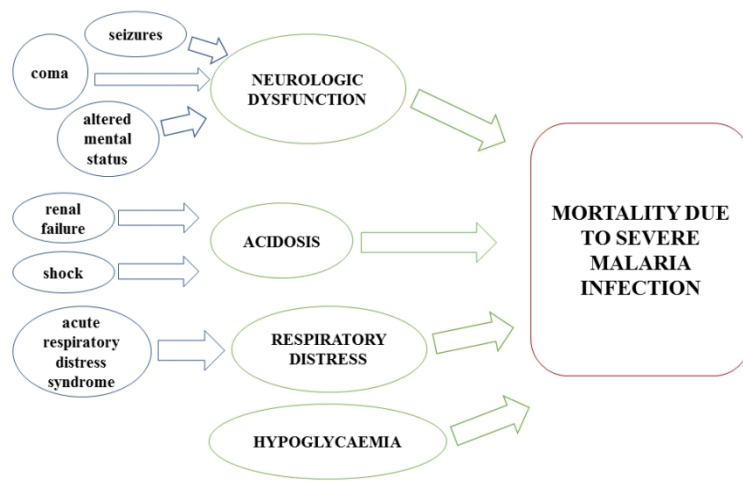
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25 **Figure 1: Flow chart showing reasons for exclusion of various studies from the review**

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28 **Figure 2: Predictive factors of disease severity and mortality in malaria infection**

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Flow chart showing reasons for exclusion of various studies from the review



Predictive factors of disease severity and mortality in malaria infection

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3 **Appendix 1: PRISMA checklist for systematic reviews and meta-analysis.**
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6 **Table 1**

Section/topic	#	Checklist item	Reported on page #
TITLE			1
Title	1	Identify the report as a systematic review, meta-analysis, or both.	
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	4
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	4
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	4
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5

Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	NA
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I^2) for each meta-analysis.	5
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	NA
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	NA
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	6
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	6 -11
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	NA
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	NA
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	6
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	NA
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	11

Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	13
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	13
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	14

Appendix 2: Information sources

Electronic sources

Table 1a: Search strategy for Medline database

Searches	Search combinations	Search terms	Number of hits
S1		"prognost* model" OR "predict* model" OR "Predictive Value of Tests"	208,974
S2		"predict* score" OR "prognos* score"	3,884
S3	S1 OR S2		211,947
S4		(MH "Malaria+") OR (MH "Malaria, Vivax") OR (MH "Malaria, Cerebral") OR (MH "Malaria, Falciparum+") OR (MH "Malaria, Avian")	63,536
S5		"Malaria" OR "vivax malaria" OR "falciparum malaria" OR "cerebral malaria" OR "severe malaria" OR "clinical malaria" OR plasmodium OR antimalaria* OR anti-malaria*	111,461
S6	S4 OR S5		111,510
S7	S3 AND S6		520

Table 1b: Search strategy for CINAHL database

Searches	Search combinations	Search terms	Number of hits
S1		"prognost* model" OR "predict* model" OR "Predictive Value of Tests"	49,434
S2		"predict* score" OR "prognos* score"	1,041
S3	S1 OR S2		50,217
S4		(MH "Malaria+")	7,468
S5		"Malaria" OR "vivax malaria" OR "falciparum malaria" OR "cerebral malaria" OR "severe malaria" OR "clinical malaria" OR plasmodium OR antimalaria* OR anti-malaria*	10,945
S6	S4 OR S5		10,945
S7	S3 AND S6		52

Table 1c: Search strategy for Global Health database

Searches	Search combinations	Search terms	Number of hits
S1		"prognost* model" OR "predict* model" OR "Predictive Value of Tests"	2,906
S2		"predict* score" OR "prognos* score"	368
S3	S1 OR S2		2,906
S4		"Malaria" OR "vivax malaria" OR "falciparum malaria" OR "cerebral malaria" OR "severe malaria" OR "clinical malaria" OR plasmodium OR antimalaria* OR anti-malaria*	89,436
S7	S3 AND S4		72

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Appendix 3: The PROBAST tool used to assess the risk of bias and applicability of the studies used in the review

Study	Risk of bias				Applicability			Overall	
	Participants	Predictors	Outcome	Analysis	Participants	Predictors	Outcome	ROB	Applicability
Conroy 2012	+	+	+	-	+	-	+	-	-
Conroy 2015*	+	+	+	-	+	+	+	-	+
Dondorp	+	+	+	-	+	+	+	-	+
Gerardin*	+	+	+	-	+	+	+	-	+
Grigg	+	+	+	-	+	+	+	-	+
Hanson 2010	+	+	+	-	+	+	+	-	+
Hanson 2014	+	+	+	-	+	+	+	-	+
Helbok 2003*	+	-	+	-	+	-	+	-	-
Helbok 2005*	+	-	-	-	+	-	+	-	-
Helbok 2006*	+	-	+	-	+	-	+	-	-
Helbok 2009	+	+	+	-	+	+	+	-	+
Jaffar	+	+	+	-	+	+	+	-	+
Krishna	+	+	+	-	+	-	+	-	-
Marsh	+	+	+	-	+	+	+	-	+
Mishra	+	+	+	-	+	+	+	-	+
Mohapatra 2009	+	+	+	-	+	+	+	-	+
Mohapatra 2014	+	+	+	-	+	+	+	-	+
Molyneux	+	+	+	-	+	+	+	-	+
Newton 2005	+	+	+	-	+	+	+	-	+
Newton 2013	+	+	+	-	+	+	+	-	+
Njim	+	+	+	-	+	+	+	-	+
von Seidlein	+	+	+	-	+	+	+	-	+
Webber	+	-	-	-	-	-	-	-	-
Wilairatana*	+	+	+	-	+	+	+	-	+

*Study was designed to externally validate existing models

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3 **PRISMA checklist for systematic reviews and meta-analysis.**
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Section/topic	#	Checklist item	Reported on page #
TITLE			1
Title	1	Identify the report as a systematic review, meta-analysis, or both.	
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	4
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	4
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	4
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5

Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	NA
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I^2) for each meta-analysis.	5
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	NA
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	NA
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	6
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	6 -11
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	NA
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	NA
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	6
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	NA
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	11

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Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	13
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	13
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	14

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BMJ Open

Prognostic models for the clinical management of malaria and its complications: a systematic review

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Manuscripts

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3 **Prognostic models for the clinical management of malaria and its complications: a systematic**
4 **review**
5

6
7 **Authors:** Tsi Njim¹ & Bayee Swiri Tanyitiku²
8

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Abstract

Objective: Malaria infection could result in severe disease with high mortality. Prognostic models and scores predicting severity of infection, complications and mortality could help clinicians prioritise patients. We conducted a systematic review to assess the various models that have been produced to predict disease severity and mortality in patients infected with malaria.

Design: A systematic review.

Data sources: Medline, Global health and CINAHL were searched up to 04th of September 2019.

Eligibility criteria for selecting studies: Published articles on models which used at least 2 points (or variables) of patient data to predict disease severity; potential development of complications (including coma or cerebral malaria; shock; acidosis; severe anaemia; acute kidney injury; hypoglycaemia; respiratory failure and sepsis) and mortality in patients with malaria infection.

Data extraction and synthesis: Two independent reviewers extracted the data and assessed risk of bias using the Prediction model Risk Of Bias Assessment Tool (PROBAST).

Results: A total of 564 articles were screened and 24 articles were retained which described 27 models/scores of interests. Two of the articles described models predicting complications of malaria (severe anaemia in children and development of sepsis); fifteen articles described original models predicting mortality in severe malaria; three articles described models predicting mortality in different contexts but adapted and validated to predict mortality in malaria; and four articles described models predicting severity of the disease.

For the models predicting mortality, all the models had neurologic dysfunction as a predictor; in children, half of the models contained hypoglycaemia and respiratory failure as a predictor meanwhile, six out of the nine models in adults had respiratory failure as a clinical predictor. Acidosis, renal failure and shock were also common predictors of mortality.

Eighteen of the articles described models that could be applicable in real-life settings and all the articles had a high risk of bias due to lack of use of consistent and up-to-date methods of internal validation.

Conclusion: Evidence is lacking on the generalisability of most of these models due lack of external validation. Emphasis should be placed on external validation of existing models and publication of the findings of their use in clinical settings to guide clinicians on management options depending on the priorities of their patients.

Key words: malaria; prognostic model; prognostic score; mortality

Prospero registration number: CRD42019130673

Article Summary:

Strengths and limitations of this review:

This review is the first to comprehensively summarise the various prognostic models that have been produced to identify complications, severity and risk of mortality in patients with severe malaria.

The review covers prognostic models produced worldwide and for all the various malaria species.

The review reduced the risk of bias by using an independent review process for the screening of potential articles and the extraction of data.

Considering the wide variety of statistical methods used to generate and validate these models, there is the risk of heterogeneity in interpretation of the results.

The search was carried out in only one language which could potentially exclude some relevant studies published in different languages.

Introduction

Malaria is a disease caused by infection with a protozoan parasite of the genus *Plasmodium*. The most relevant of these species is *Plasmodium falciparum* as it causes most deaths from the disease ¹.

Another species of relevance is *Plasmodium vivax* which is predominantly found in Asia and has a wider distribution ². Malaria infection can result in severe disease and is associated with a high mortality. In about 108 countries where the transmission of the disease still occurs, an estimated 435,000 people died in 2017 ^{3 4}.

The incidence of malaria cases has decreased by 41% worldwide in the past ten years, with about 17 countries in Latin America and the Middle East reporting no new cases of malaria over this period ^{3 5}. There are however concerns that the fight against malaria might be slowed down by an overemphasis on prevention over treatment ⁶.

Treatment and clinical management of malaria is made difficult due to potential evolution of simple infections into life-threatening severe disease; the multi-organ affection of severe disease; the dilemma of when to admit to intensive care units (ICU) considering limited resources and the occurrence of concomitant sepsis infection with malaria ^{7 8}. Some of these issues can be addressed with the help of guidelines; scores or models that could help clinicians predict the occurrence of severe disease and complications in order to act appropriately.

We therefore conducted this review to systematically assess the various predictive models or scores available to guide clinicians in the management of severe malaria, whether these models have been validated and if there is any evidence that they are being successfully used in the clinical setting.

Methods

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3 Institutional review board approval and informed consent were not required for this systematic
4 review. We reported our findings according to Preferred Reporting Items for Systematic Reviews and
5 Meta-Analysis (PRISMA) guidelines (Appendix 1).
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8 **Search strategy and selection criteria**

9
10 We searched MEDLINE, CINAHL and Global Health databases using a tailored search strategy
11 (Appendix 2) to identify all the relevant titles and abstracts of studies (randomised control trials,
12 cohort, cross-sectional and case-control studies) published in English from inception of the database
13 up to the 04th of September 2019, that reported predictive/prognostic scores or models that could be
14 used in the management of malaria. These included:
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- 18 - Scores/models that predicted the severity of disease as this could guide clinicians' decisions
19 to admit for intensive care management or the use of parenteral treatment;
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- 21 - Scores/models that predicted the potential development of complications (including coma or
22 cerebral malaria; shock; acidosis; severe anaemia; acute kidney injury; hypoglycaemia;
23 respiratory failure and sepsis);
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- 25 - Scores/models that predicted mortality in patients with malaria infection.
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29 The main keywords in the search strategy included: "prognostic model/score", "predictive
30 model/score" and "predictive value of tests" coupled with "malaria", "plasmodium", "anti-malarials",
31 "malaria falciparum", "malaria vivax" and "clinical malaria". We further canvassed the references of
32 eligible papers to identify similar papers for review.
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36 We excluded any duplicate studies, editorials, systematic reviews, case studies, conference abstracts,
37 unpublished studies and expert commentaries. For studies with more than one publication of findings,
38 we selected the most recent publication.
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41 We also excluded studies which contained models or scores that were aimed at the diagnosis of
42 malaria as we intend to limit the scope of the review to only models that could be used to predict
43 severity, mortality or risk of complications – that could guide clinicians in their management options.
44 Studies that used animal models to predict disease severity were also excluded.
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48 Two independent reviewers (TN and BST) screened the titles and abstracts for compliance to the
49 aforementioned inclusion and exclusion criteria and any conflicts were settled by mutual agreement.
50 Articles considered to have data relevant to the topic were assessed in detail and the references cited
51 in these publications were searched to identify further publications.
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55 **Data extraction**

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57 Data extraction sheets which were prepared prior to screening were used by the two independent
58 reviewers to obtain the following details for inclusion into the final review: Last name of first author;
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3 date of publication; period of patient recruitment and/or follow-up; country of study; sample size; age
4 group; type of predictive model; name of model; method of internal validation (calibration and
5 discrimination); diagnostic properties of model and evidence of external validation or use in clinical
6 settings.
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10 **Definitions**

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12 By prognostic/predictive model, we mean a statistical tool which uses at least 2 points (or variables) of
13 patient data to predict a specific clinical outcome ⁹. Prognostic models applied in clinical settings are
14 usually used at the discretion of physicians for accurate future predictions based on characteristics
15 gathered in the present ^{9 10}. The information found in prognostic models is usually specific to the
16 patients' characteristics rather than the disease or treatment and includes: prediction of chance or the
17 duration of survival; classification of patients into risk groups; and prediction of clinical events related
18 to the treatment the patient is receiving ¹¹.
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24 For models that used the area under the curve (AUC) or c-statistic to assess discrimination, the following
25 classification was used: 0.90 - 1 – excellent; 0.80 - 0.90 – good; 0.70 - 0.80 – fair; 0.60 - 0.70 – poor
26 and 0.50 - 0.60 – very poor discriminative properties ¹².
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30 **Data synthesis and analysis**

31 We assessed and discussed the selected studies qualitatively to describe the diagnostic properties of
32 the models proposed in the study, their intended purpose and evidence of use of the model in other
33 clinical settings.
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37 We further divided the models into various categories: models used to predict a potential complication
38 of severe malaria; models used to predict mortality as an outcome and models used to predict severity
39 of malaria infection.
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43 **Assessment of risk of bias and applicability**

44 The risk of bias and applicability of the models in the various studies were assessed by the two
45 independent reviewers using the Prediction model Risk Of Bias Assessment Tool (PROBAST) ^{13 14}
46 (Appendix 3). Any disagreements were handled by mutual agreement.
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50 **Patient and public involvement:**

51 Patients and the public were not involved in the design and conduction of this review.
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56 **Results**

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3 A total of 564 articles were identified by the electronic search of the databases. The titles and
4 abstracts of these articles were screened to retain 59 articles for full text review. These were then
5 evaluated according to the inclusion criteria and 24 articles were identified describing 27
6 models/scores of interests; after eliminating 23 irrelevant articles, 9 articles which used only one
7 variable to predict an outcome and two articles describing models in other languages (Figure 1).

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11 Two of the articles described models predicting complications of malaria^{8 15}; fifteen described
12 original models predicting mortality in severe malaria¹⁶⁻³⁰; three described models predicting
13 mortality in different contexts but adapted and validated to predict mortality in malaria³¹⁻³³; and four
14 articles described models predicting severity of the disease³⁴⁻³⁷. One of the articles described three
15 models to predict mortality paediatric severe malaria³¹, while another described two models to predict
16 mortality in adult severe malaria²⁴. The rest of the articles described one model each.

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21 Using the PROBAST to assess risk of bias and applicability, none of the studies had a low risk of bias
22 while six studies were not found to be applicable in real-life settings^{15 16 22 34-36} (Appendix 3).

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27 The general characteristics of the studies included in the review are summarised in Tables 1, 2, 3 and
28 4.

29 **Models predicting the risk of complications in malaria infection**

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32 Webber *et al*¹⁵ in 1997 conducted a study to predict the risk of severe anaemia (packed cell volume <
33 15%) in children with severe malaria in the Gambia using logistic regression analysis. This model was
34 not internally validated, and the two predictors identified were pallor of the conjunctiva and pallor of
35 the palms. There is no evidence from this review that the model has been externally validated and is
36 being used in clinical settings.

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41 In 2018, Njim *et al*⁸ described a prognostic model for clinical use to predict the risk of sepsis
42 development amongst adult patients (> 16 years old) admitted for severe falciparum malaria in
43 Southeast Asia. They used data from SEQUAMAT (South East Asian Quinine Artesunate Malaria
44 Trial) – a large randomised control trial (RCT) conducted to determine the benefits of intravenous
45 artesunate over quinine treatment for severe malaria. They used a multivariable logistic regression
46 approach with internal validation using bootstrapping to generate a prognostic model with modest
47 discriminative abilities [area under the curve (AUC): 0.789] containing the following predictive
48 variables: female sex, high blood urea nitrogen, high plasma anion gap, respiratory distress, shock on
49 admission, high parasitaemia, coma and jaundice. The model has not been externally validated and
50 there is no evidence of use in clinical settings.

51 **Models predicting mortality in severe malaria**

52 ***Models predicting mortality in paediatric severe malaria***

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3 Ten articles described models that predicted mortality in paediatric severe malaria ^{16 20-23 27 28 30-32}.

4 Three articles described models which predicted mortality in paediatric patients with cerebral malaria
5 ^{16 21 27}; two articles described models generated to assess mortality in different conditions that were
6 validated for use in the present studies ^{31 32}; and five articles described original models predicting the
7 risk of mortality in children with severe malaria ^{20 22 23 28 30}.

11 ***Models predicting mortality in paediatric cerebral malaria***

12 Molyneux *et al* ²⁷ in 1989 conducted a study amongst 131 comatose Malawian children with severe
13 cerebral malaria to determine the prognostic factors for death in these patients. The authors derived a
14 “bedside prognostic index” with: blood glucose ≤ 2.2 mmol/L; parasitaemia > 106 ring forms/ μ L;
15 white blood cell count $> 15 \times 10^9$ /L; age ≤ 3 years; coma score (modification of the Glasgow coma
16 score) = 0; absent corneal reflexes; signs of decerebration and convulsions; as predictors of mortality
17 with each predictor assigned a score of 1. Individuals with a score ≥ 4 were more likely to die. This
18 score was calculated only using univariable analysis and internal and external validation were not
19 done.

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21
22 In 1997 in Gambia, Jaffar *et al* ²¹ performed a retrospective analysis on data obtained from a
23 randomised control trial during which artemether was compared with quinine and a monoclonal
24 antibody against tumour necrosis factor (TNF) compared with a placebo in patients with cerebral
25 malaria. They used this data to identify predictors of mortality in cerebral malaria using a
26 multivariable logistic regression model. A cold periphery, a coma score of either 0 or 1 (assessed
27 using the Blantyre coma scale measured on a scale of 0 – 5), and hypoglycaemia were found to be
28 present at admission in 90% of the children who died. This model was not internally validated.

29
30
31 Conroy *et al* ¹⁶ in 2012 conducted a study amongst 155 children aged 8 months – 14 years in Malawi
32 to determine predictors of mortality in cerebral malaria. They used a multivariable logistic regression
33 model containing clinical parameters and biomarkers with a modest discriminative ability (C-index of
34 0.79) after internal validation; which contained the following variables: age, Blantyre coma score,
35 respiratory distress, severe anaemia, angiopoietin-1, angiopoietin-2 and sTie-2 levels. The model was
36 not externally validated.

37 ***Original models predicting mortality in paediatric severe malaria***

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40 Krishna *et al* ²² in 1994 conducted a study in the Gambia to predict mortality in children aged 8
41 months to 14 years. They used a multivariable logistic regression model internally validated using the
42 Wald statistic to determine that the coma score (using the Blantyre coma scale), whole blood
43 lactate/glucose ratio and TNF level were the best predictors of death.

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46 In 1995, Marsh *et al* ²³ studied 1844 children in Kenya to determine predictors of life-threatening
47 malaria (risk of death) using a multivariable logistic regression model. They determined that impaired
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consciousness (assessed using the Blantyre coma scale), hypoglycaemia, respiratory distress and jaundice could correctly predict 84.4% of deaths in the sample population. The model was not validated internally or externally.

In 2005, Newton *et al*²⁸ conducted a study to assess the prognostic value of measures of acid/base balance in paediatric falciparum malaria. They examined 14,605 children in Malawi (Blantyre), Kenya (Kilifi) and Ghana (Kumasi); where they determined that deep breathing, Blantyre Coma Score, inability to sit, and weight-for-age Z score were independent predictors of mortality in all the three sites. Discrimination of the model was performed by calculating the area under the receiver operating curve (AUROC). After addition of laboratory data to these models – hypoglycaemia, base excess and lactate concentrations; the c-statistics obtained were 0.88 (Blantyre), 0.87 (Kilifi) and 0.83 (Kumasi) denoting good discriminative properties of the models.

Helbok *et al*²⁰ in 2009 produced the the Lambarene Organ Dysfunction Score (LODS) which combined three variables: coma, prostration, and deep breathing to generate a model using multivariable logistic regression which predicted death in African children – Banjul (Gambia), Blantyre (Malawi), Kilifi (Kenya), Kumasi (Ghana), and Lambarene and Libreville (Gabon); who were admitted for severe falciparum malaria. Each component of the model was assigned a score of 1 and a LODS of 3 at admission had a 98% specificity and 25% sensitivity in predicting death. Meanwhile a LODS ≥ 1 had a sensitivity of 85% and a specificity of 63%. The model had good discriminative properties with an AUC of 0.80 (95% CI: 0.79 – 0.82). In 2015, Conroy *et al*³¹ externally validated this model amongst 1589 Ugandan children. The model showed good discriminative properties with an AUC of 0.898.

Similarly, in 2012, von Seidlein *et al*³⁰ conducted an analysis of data from a RCT carried out in several African countries (Gambia, Mozambique, Nigeria, Rwanda, Kenya, DRC, Tanzania, Ghana and Uganda) to generate a model for predicting mortality from severe falciparum malaria using multivariable logistic regression analysis and internally validated by AUROC analysis. After analysis of data from 5426 children, base deficit, impaired consciousness (assessed using the Blantyre Coma Score), convulsions, elevated blood urea, and underlying chronic illness were identified in the model to predict mortality with a good discriminative ability – AUROC: 0.85 (95% CI: 0.83 - 0.87).

Existing Models validated for use in the prediction of mortality in severe malaria in children

As described above, Conroy *et al*³¹ externally validated the LODS model amongst 1589 Ugandan children. The authors further externally validated two other scores: the SICK (Signs of Inflammation in Children that Kill) score which was developed in India as a practical triage tool using variables related to the systemic inflammatory response syndrome, with data collected from 1,099 children in 2003 admitted for any paediatric illness³⁸; and the PEDIA (Pediatric Early Death Index for Africa) score which was developed to predict early death amongst 8091 children in Kenya in 2003 admitted

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3 for paediatric illnesses³⁹. The original SICK score containing the following variables: altered
4 consciousness, temperature, heart rate, respiratory rate, systolic blood pressure, capillary refill time
5 and age; had good discriminative properties with an AUC of 0.887³⁸. Externally validated against this
6 cohort of 1589 children, the score maintained its good discriminative properties with an AUC of
7 0.846. Similarly, the PEDIA score which originally had excellent discriminative properties with an
8 AUC of 0.93³⁹ had good discriminative properties (AUC: 0.896) when externally validated on the
9 cohort of 1589 Ugandan children³¹. The original PEDIA score contained Kwashiorkor, jaundice,
10 subcostal indrawing, prostration (\pm seizures) and wasting as variables in the model. However,
11 kwashiorkor was not included in the validation model as it was not measured amongst the Ugandan
12 children.
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19 In 2006, Gerardin *et al*³² externally validated the PRISM (Pediatric Risk of Mortality) model which
20 was originally developed in 1988 by Pollack *et al*⁴⁰ to reduce the number of physiologic variables
21 required for paediatric intensive care unit death risk assessment. The model was developed from data
22 of 1,227 patients with 105 deaths and contained 14 variables: systolic blood pressure, temperature,
23 mental status, heart rate, dilatation of pupils, pH, total CO₂, PCO₂, arterial PaO₂, serum glucose,
24 potassium, urea, creatinine, white blood cells, prothrombin time, platelet count. The original score had
25 excellent discriminative properties with an AUC of 0.92⁴⁰. Gerardin *et al* used a cohort of 311
26 Senegalese children admitted with severe malaria to externally validate this model. The model
27 showed good discriminative properties in predicting death in children with severe malaria – AUC:
28 0.86 (95% CI: 0.81– 0.90)³².
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36 **Models predicting mortality in adult severe malaria**

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38 There were eight articles assessing models that predicted mortality in adult severe malaria^{17-19 24-26 28}
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42 In 1995, Wilairatana *et al*⁴¹ used the APACHE II score (the acute physiology and chronic health
43 evaluation system score commonly used in intensive care units) based on 12 physiologic variables –
44 Mean arterial pressure (MAP), temperature, heart rate, respiratory rate, arterial pH, PaO₂,
45 haematocrit, WBC count, creatinine, sodium, potassium and Glasgow coma score to predict the risk
46 of mortality in adult patients with cerebral malaria in Thailand. The score was able to predict
47 mortality with a 95.8% accuracy. The original APACHE II model was produced in 1985 by Knaus *et*
48 *al*⁴², and clinical judgement and physiologic relationships were used to assign weightings for the
49 various factors in the model.
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55 Dondorp *et al*¹⁷ in 2004 created a model using logistic regression with laboratory data from 268
56 patients in Vietnam to determine the risk of mortality in adult patients with severe malaria. This
57 model had a good discriminative value with an AUROC of 0.81. The laboratory variables associated
58 with mortality in this cohort were: plasma lactate, plasma creatinine and a strong anion gap. On the
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3 other hand, in 2007, Mishra *et al*²⁴ created the MSA (Malaria score for adults) and the MPS (Malaria
4 prediction score) from a cohort of 212 patients in India to predict mortality in severe malaria. The
5 MSA was an upgrade of the Malaria prognostic index (MPI) which required laboratory data and
6 included a small proportion of children. The clinical variables included in the MSA were: severe
7 anaemia, acute renal failure, respiratory distress and cerebral malaria and had a sensitivity of 89.9%
8 and a specificity of 70.6%. This model was externally validated by Santos *et al*⁴³ among 59 patients
9 with imported severe malaria in Portugal and was shown to have good discriminative properties –
10 AUROC: 0.84; 95% CI: 0.70 – 0.98.
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16 Similarly, Hanson *et al*¹⁸ produced the coma acidosis malaria (CAM) score after using a logistic
17 regression analysis on data previously collected from the SEQUAMAT. The authors proposed the use
18 of the presence of a coma and base deficit to calculate a five-point score to predict mortality. The
19 score had good discriminative properties with an AUROC of 0.81 (95% CI: 0.77 – 0.84). The same
20 author used data from several cohort studies and RCTs carried out in Bangladesh, India, Indonesia,
21 Vietnam and Myanmar to predict 48-hour survival and survival to discharge in patients with severe
22 malaria¹⁹. The model containing the variables: shock, oligo-anuria, dysglycaemia, respiratory rate,
23 Glasgow coma score and fever could correctly predict 48 hour-survival in 99.4% of the patients and
24 survival to discharge in 96.9% of patients.
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32 Mohapatra *et al*²⁶ in 2009 carried out a cohort study of 2089 patients in 2009, where they produced
33 the Malaria severity score (MSS) to predict mortality in adult patients with severe falciparum malaria
34 in India. They assessed seven organ systems: neurologic, renal, haematologic, hepatic, respiratory,
35 cardiovascular, and metabolic organ systems; assigning a maximum score of 0 – 3 for each organ
36 system. The model had excellent discriminative properties with an AUROC of 0.9. The authors also
37 developed the GCRBS (Glasgow coma scale, creatinine, respiratory rate, bilirubin and systolic BP)
38 score in 2014 as an alternative to other scores like the APACHE II score which was considered
39 cumbersome²⁵. The score had a sensitivity of 85.3% and a specificity of 95.6% in predicting a fatal
40 outcome in severe malaria.
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47 In 2013 in Thailand, Newton *et al*²⁹ conducted a retrospective analysis of 988 records with severe
48 falciparum malaria to produce the MPI (Malaria prognostic index) validated using ROC curve
49 analysis and internal validation by data splitting. The MPI contained the following variables: Glasgow
50 coma scale, parasitaemia, plasma lactate, serum bilirubin, pigmented parasites and treatment with
51 ACT and had excellent discriminative properties with an AUROC of 0.97.
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55 **Models predicting the severity of malaria**

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57 The Multi-organ dysfunction score (MODS) which is an index used in severely ill patients admitted in
58 intensive care units to determine the severity of their disease irrespective of the diagnosis^{34,44}. The
59 score evaluates ten organ systems: heart, blood vessel, blood, respiratory system, metabolism,
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3 gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system –
4 giving a score of 1 – 5 for each system depending on the level of dysfunction of the system, with a
5 minimum score of 10 and a maximum score of 50³⁵. Helbok *et al* assessed the use of this score to
6 predict severity in a small cohort (n = 22) of adult patients with uncomplicated falciparum malaria³⁵
7 and in adults with severe malaria (n = 29)³⁴ in Thailand. The score was not internally validated in
8 both studies but the authors showed that higher scores were correlated with symptom severity and
9 duration of hospitalisation. In 2006, the authors used a simplified version of the score - Simplified
10 MODS (sMODS); in a cohort of 485 children in Gabon to predict the level of severity of the disease
11 with respect to the amount of disability the children suffered into categories: ability to walk unaided
12 and ability to sit unaided³⁶. The authors obtained an AUC of 0.92 (95% CI: 0.89, 0.95) in predicting
13 inability to walk \geq 48 hours for children with sMODS \geq 16 and an AUC of 0.90 (95% CI: 0.87, 0.93)
14 in predicting inability to sit unaided (Table 4).

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23 Grigg *et al* in 2018, used a multivariable logistic regression model to predict the severity of
24 *Plasmodium knowlesi* malaria infection in a cohort of 481 participants in Malaysia. The authors
25 showed that independent predictors of disease severity using the WHO 2014 research criteria⁴⁵ were:
26 increasing age, abdominal pain, shortness of breath, increasing parasite count, schizont proportion
27 $>10\%$ and serum bicarbonate levels <18 mmol. The model was not internally or externally validated
28 (Table 4).

32 33 34 35 **Discussion:**

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37 In this review, we report on the various prognostic models and scores produced to predict complications,
38 mortality and severity of malaria infection. We showed that there were two models produced to predict
39 the risk of developing complications from malaria infection, twelve models that predict mortality from
40 severe malaria in children, nine models that predict mortality from severe malaria in adults and four
41 models that predict disease severity in malaria. Seventeen of these models were internally validated
42 while only seven have been externally validated. There is no published evidence that any of these
43 models are routinely used in clinical settings.

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49 The models identified in this review that were used to predict mortality in children with severe malaria
50 have similar clinical predictors. All the models had neurologic dysfunction based on either the Glasgow
51 coma score, impaired consciousness, altered mental status, convulsions, decerebration or coma as a
52 predictor. Similarly, in adults, all the models predicting mortality also had neurologic dysfunction as a
53 predictor. Microvascular obstruction in capillaries of the brain due to direct sequestration of red blood
54 cells infected with the malaria parasite could lead to tissue hypoxia⁴⁶. The effects of this sequestration
55 and its sequelae in the brain can be directly visualised in both adults and children as retinopathy^{16 46-48}.
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60 This leads to varied results with increased intracranial pressure more pronounced in children than in

adults⁴⁶. With the increased oxygen demand associated with brain hypoxia and raised intracranial pressure, coma and brain dysfunction could therefore become an important predictor of mortality.

In children, half of the models predicting mortality had hypoglycaemia as a predictor^{21-23 27 28 32}. Hypoglycaemia is usually implicated as a complication of severe malaria infection. This association has been said to be multifactorial⁴⁹. Proposed mechanisms for this association include: increased glucose use by the malaria parasites in the red blood cells, inhibition of gluconeogenesis by the cascade of cytokines released due to infection and prolonged starvation and fasting especially in severely ill children further compounds the problem^{49 50}. Considering that glucose is the primary source for organs like the brain which is likely suffering from the above highlighted effects of microvascular obstruction and sequestration; depleted glucose sources could lead to neurologic dysfunction including seizures, deepening comas and hence death. As above, any factor that significantly affects neurologic dysfunction could be highly predictive of mortality or disease severity in patients.

Half of the models in children predicting mortality had respiratory distress (including deep breathing and subcostal indrawing) as a predictor^{16 20 23 28 31}. Meanwhile six out of the nine models in adults had respiratory failure as a clinical predictor of mortality^{19 24 26 41}. The incidence of respiratory distress in severe malaria is quite common as it occurs in about 40% of children with severe falciparum malaria and in 25% of adults⁵¹. It results from acute respiratory distress syndrome (ARDS); metabolic acidosis; fluid overload possibly resulting from increased inflammatory related capillary permeability and endothelial damage^{8 51}; and aspiration pneumonia which could lead to sepsis⁸ – a common association with severe malaria. The high mortality rates (up to 87% in some cases) associated with respiratory failure like in ARDS⁵² could explain the predictive significance of respiratory distress in predicting mortality in malaria infection. Respiratory failure usually leads to hypoxia and a high probability of acute mortality in patients.

Acidosis was also a prominent predictor of mortality in most of the models predicting mortality. It was present in three of the models predicting mortality in children^{28 30 32} and five models predicting mortality in adults^{17 18 26 29 41}. Acidosis usually results from underlying pathologies like respiratory distress, renal failure and shock. These three variables were also common variables in the models predicting mortality in both children and adults identified in this review. Renal failure expressed in these models either as acute renal failure, oligoanuria or estimates of the kidney function using serum urea and creatinine^{17 19 24-26 30 32 41}; is due to acute tubular necrosis that occurs in severe malaria infection as a direct result of microvascular obstruction of capillaries by infected red blood cells leading to the release of inflammatory cytokines like tumor necrosis factor⁵³. Similarly, shock expressed either as a function of the systolic blood pressure or cold peripheries in three models in children^{21 31 32} and likewise in two models in adults^{19 41} could result from peripheral vasodilation which may usually occur concomitantly with sepsis and is a marker of a poor prognosis^{8 54 55}.

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3 From the above, factors that were predictive of disease severity and mortality seemed to be consistent
4 amongst these studies. The factors that should therefore be considered by physicians when faced with
5 a patient with malaria infection should include: neurologic dysfunction (coma and seizures), acidosis,
6 hypoglycaemia and respiratory distress (Figure 2). These factors seem to be highly predictive of
7 mortality and disease severity in most of the articles that were included in the review and should
8 therefore be included in any future studies attempting to predict these outcomes in malaria (Table 5).
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13 We found evidence of external validation in only seven of the models identified in this study^{18 20 24 31}
14³². External validation is an important component as it determines the generalisability of the model and
15 its potential use in different geographical regions⁵⁶. As outlined above, most of the models have similar
16 variables highlighting the fact that the predictors of complications, severity and mortality in malaria
17 might be consistent across different settings. Emphasis could therefore be better placed in the validation
18 of existing models and initiating their use in clinical settings to guide clinicians on prioritising patients
19 and anticipating outcomes. Publication of the findings on the use of these models in clinical settings
20 should also be encouraged to guide clinicians on which models work better in various settings.
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26 After assessment of the risk of bias of the various models, eighteen of the studies contained models that
27 used variables that could be readily available and hence were applicable in real-life settings. However,
28 all the models had a high risk of bias. This was primarily due to the lack of internal validation in several
29 of the studies or the lack of use of up-to-date methods of validation. Caution should therefore be used
30 when interpreting and using the results from the articles.
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35 This review has some limitations. The search included only articles that were published in English. This
36 could potentially lead to the exclusion of studies and models that could otherwise have been included
37 in the review.
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40 **Conclusion:**

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42 Models predicting severity and mortality of malaria infection identified in this review have similar
43 predictors. Evidence is however lacking on the generalisability of most of these models due lack of
44 external validation. Emphasis should therefore be placed on external validation of existing models and
45 publication of the findings of their use in clinical settings to guide clinicians on management options
46 depending on the priorities of their patients.
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50 **Abbreviations:**

51 APACHE: acute physiology and chronic health evaluation system; AUC: area under the curve;
52 AUROC: area under the receiver operating curve; CAM: coma acidosis malaria; GCRBS: Glasgow
53 coma scale, creatinine, respiratory rate, bilirubin and systolic BP; ICU: intensive care units; IQR:
54 Interquartile range; LODS: Lambarene Organ Dysfunction Score; MODS: Multi-organ dysfunction
55 score; MPI: Malaria Prognostic index; MPS: Malaria prediction score; MSA: Malaria score for adults;
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3 MSS: Malaria severity score; PEDIA: Pediatric Early Death Index for Africa; PRISM: Pediatric Risk
4 of Mortality; PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-Analysis; RCT:
5 randomised control trial; SEQUAMAT: South East Asian Quinine Artesunate Malaria Trial; SICK:
6 Signs of Inflammation in Children that Kill; sMODS: Simplified MODS; TNF: tumour necrosis
7 factor; WHO: World Health Organisation
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11 **Declarations**

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14 ***Ethics approval and consent to participate:*** Not applicable
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17 ***Consent for publication:*** Not applicable
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19 ***Availability of data and material:*** All data relevant to the study are included in the article or uploaded
20 as supplementary information
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23 ***Competing interests:*** The authors declare no competing interests
24

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26

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28 initial draft: TN; manuscript revisions: TN & BST.
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Table 1: Summary of articles with models predicting complications in severe malaria

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
Complications of malaria																
Severe anaemia																
1	Weber ¹⁵	1997	July – December 1994	Gambia	Cohort	368	Logistic regression	None	None	Median age: 28 months (IQR: 14 – 48 months)	Females – 49%	Paediatric development of severe anaemia in malaria (packed cell volume < 15%)	Pallor of conjunctiva and pallor of palms	Sensitivity of 80% and a specificity of 85%.	None	NE
Development of sepsis																
2	Njim ^s	2018	June 2003 – May 2005	Bangladesh, India, Indonesia and Myanmar	Randomised Control Trial	1187	Logistic regression	None	Bootstrapping	17 – 87 years	Female – 24.3%	Development of clinical sepsis in adults with severe falciparum malaria	Sex, blood urea nitrogen levels, plasma anion gap, respiratory distress, shock on admission, parasitaemia, coma and jaundice	AUC: 0.789. Sensitivity – 70.0%; specificity – 69.4%	None	NE

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor

Table 2: Summary of articles with models predicting mortality in paediatric severe malaria

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
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Mortality																
1	Jaffar ²¹	1997	1992 – 1994	Gambia	Retrospective analysis of data from a randomised control trial	624	Logistic regression	None	None	1 – 9.5 years	Females – 49%	Mortality in paediatric cerebral malaria	Cold periphery, deep coma and hypoglycaemia	Not done	None	NE
2	Molyneux ²⁷	1989	January 1987 – June 1988	Malawi	Cohort	131	Univariable analysis	Bedside prognostic index	None	7 months – 10 years	Females – 55.7%	Mortality in paediatric cerebral malaria	Blood glucose, parasitaemia, WBC count, age, coma score, absent corneal reflexes, decerebration, convulsions	Positive predictive value – 83%, sensitivity – 66%	None	NE
3	Conroy ¹⁶	2012	1997 – 2009	Malawi	Cohort	155	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit test	8 months – 14 years	Females – 54.4%	Mortality in patients with cerebral malaria	Age, Blantyre coma score, respiratory distress, severe anaemia, angiopoietin-1, angiopoietin-2 and sTie-2 levels	C-index of 0.79 (95% CI 0.72 – 0.84)	None	NE
4	Krishna ²²	1994	1988 – 1989	Gambia	Cohort study	115	Logistic regression	None	Wald statistic and ROC analysis	18 months – 12 years	NC	Mortality in paediatric severe malaria	Coma score, whole blood lactate/glucose ratio, TNF level	Wald statistic: coma score (4.5), lactate/glucose ratio (8.36), TNF level (6.5)	None	NE
5	Marsh ²³	1995	May 1989 – November 1991	Kenya	Cohort	1844	Logistic regression	None	None	Mean: 26 months	NC	Mortality in children with severe malaria	Impaired consciousness, respiratory distress, hypoglycemia, and jaundice	Predicted 92.2% of deaths	None	NE
6	Newton ²⁸	2005	January 2001 – December 2003	Malawi, Kenya and Ghana	Cohort	14605	Linear regression	None	AUROC	Mean age: 32 – 36 months	Females – 53 – 55%	Mortality in paediatric severe falciparum	Deep breathing, Blantyre Coma Score, inability to sit, weight-	C-statistic 0.83 – 0.88 in the three sites: Blantyre (0.88), Kilifi	None	NE

												malaria	for-age Z score, hypoglycaemia, base excess and lactate concentration	(0.87) and Kumasi (0.83)		
7	Gérardin ³²	2006	October 1, 1997 – March 31, 1999	Senegal	Cohort	311	Logistic regression	PRISM (Pediatric Risk of Mortality) AUC: 0.92 ⁴⁰	Hosmer-Lemeshow chi-square test	Median: 8 years (IQR: 5 – 11 years)	Females – 40.5%	Mortality in children with falciparum malaria	Systolic blood pressure, temperature, mental status, heart rate, dilatation of pupils, pH, total CO ₂ , PCO ₂ , arterial PaO ₂ , serum glucose, potassium, urea, creatinine, white blood cells, prothrombin time, platelet count	AUROC for acute malaria: 0.89 (95% CI: 0.85 – 0.92) and 0.86 (95% CI: 0.81– 0.90) for severe malaria	Yes	NE
8	Helbok ²⁰	2009	December 2000 – May 2005	Gambia, Malawi, Kenya, Ghana, and Gabon	Cohort	23890	Logistic regression	LODS (Lambaréné Organ Dysfunction Score)	Internal validation using Bonferroni correction	Mean: 30 – 38 months	Females – 41% – 47%	Mortality in children with severe falciparum malaria	Coma, prostration and deep breathing	AUROC: 80.80 (0.79 – 0.82)	Yes	NE
9	von Seidlein ³⁰	2012	2005 - 2010	Gambia, Mozambique, Nigeria, Rwanda, Kenya, DRC, Tanzania, Ghana, Uganda	Retrospective analysis	5426	Logistic regression	None	ROC analysis	Median: 2.8 years (1.7, 4.3)	NC	Mortality in paediatric severe falciparum malaria	Base deficit, coma, convulsions, BUN and chronic illness	AUROC: 0.85 (95% CI: 0.83 - 0.87)	None	NE
10	Conroy ³¹	2015	NC	Uganda	Cohort	1589	Logistic regression	SICK (Signs of Inflammation in Children)	Hosmer-Lemeshow goodness of fit	NC	Females – 54.3%	Mortality in malaria	Altered consciousness, temperature, heart rate, respiratory	AUROC – 0.846	Yes	NE

							II score use clinical judgement and physiologic relationships to assign weightings)					falciparum malaria	haematocrit, WBC count, creatinine, sodium, potassium and Glasgow coma score			
2	Dondorp ¹⁷	2004	NC	Vietnam	Cohort	268	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit test	15 – 79 years	Females – 19%	Mortality in adults with severe falciparum malaria	Plasma lactate, plasma strong anion gap and plasma creatinine	AUROC: 0.81	None	NE
3	Mishra ²⁴	2007	NC	India	Cohort	212	Linear regression	MSA (Malaria score for adults)	Not done	NC	NC	Mortality in adults with severe malaria	severe anaemia, acute renal failure, respiratory distress, cerebral malaria	Sensitivity : 89.9%, specificity : 70.6%, positive predictive value: 94.1% with cut-off of 5/10	Yes ⁴³	NE
								MPS (Malaria prediction score)	Not done	NC	NC	Mortality in severe malaria	Age, serum creatinine level, haemoglobin level, cerebral malaria, presence of a pregnancy, use of a ventilator	NE	Yes ⁴³	NE
4	Hanson ¹⁸	2010	June 2003 – May 2005	Bangladesh, India, Indonesia and Myanmar	Retrospective analysis of a randomised control trial	789	Logistic regression	CAM (coma acidosis malaria) score	Hosmer-Lemeshow goodness-of-fit	NC	NC	Mortality in adults with severe malaria	Coma and acidosis (base deficit)	AUROC: 0.81 (95% CI: 0.77 – 0.84)	Yes ⁵⁹	NE
5	Mohapatra ²⁶	2009	January 200 – December 2004	India	Cohort study	2089	Logistic regression	MSS (Malaria severity score)	Hosmer-Lemeshow goodness-of-fit (internal validation by splitting	18 – 71 years	Females – 34.6%	Mortality in adult patients with severe falciparum malaria	neurologic, renal, haematologic, hepatic, respiratory, cardiovascular, and metabolic organ systems	AUROC: 0.9	None	NE

									data – 2089 vs 509)							
6	Newton ²⁹	2013	1986 – 2002	Thailand	Retrospective analysis	988	Logistic regression	MPI (Malaria prognostic index)	ROC curve analysis and internal validation by data splitting	15 – 74 years	Females – 43%	Mortality in adult severe falciparum malaria	Glasgow coma scale, parasitaemia, plasma lactate, serum bilirubin, pigmented parasites and treatment with ACT	AUROC: 0.97	None	NE
7	Mohapatra ²⁵	2014	NC	India	Cohort	112	NC	GCBRS (GCS, creatinine, respiratory rate, bilirubin and systolic BP) score	NC	Mean: 35.8 ± 15.1 years	Females – 16.1	Mortality in severe falciparum malaria	Cerebral malaria, renal failure, respiratory distress, jaundice and shock	Sensitivity : 85.3%. Specificity : 95.6%	None	NE
8	Hanson ¹⁹	2014	1996 – 2013	Bangladesh, India, Indonesia, Vietnam and Myanmar	Randomised control trials and cohort studies	1801	Logistic regression	None	Hosmer-Lemeshow goodness-of-fit	21 – 45	Females – 24.4	48-hour survival and survival to discharge in patients with severe malaria	Shock, oligoanuria, dysglycaemia, respiratory rate, Glasgow Coma Score and absence of fever	PPV for 48 hour-survival: 99.4% (95% CI 97.8 – 99.9). PPV for survival to discharge: 96.9% (95% CI: 94.3 – 98.5)	None	NE

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor

Table 4: Summary of articles with models predicting severity of malaria infection

N	Authors	Year	Period of participant recruitment	Country	Type of study	Sample size	Statistics used	Name of model	Method internal of validation	Age profiles	Sex profiles	Outcome predicted	Variables used	Diagnostic properties	External validation	Use in clinical settings
Severity of disease																
1	Helbok ³⁵	2003	October 1, 2001 – January 30, 2002	Thailand	Cohort	22	NC	MODS (Multi-organ dysfunction score) ⁴⁴	None	16 – 41 years	Female – 41.8%	Severity of disease in adult patients with uncomplicated falciparum malaria	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system)	None	None	NE
2	Helbok ³⁴	2005	October 1, 2001 – July 30, 2002	Thailand	Cohort	29	Survival analysis	MODS ⁴⁴	None	Mean age: 27.1 (± 10.6)	Female – 27.6%	Severity of disease in adult patients with severe falciparum malaria	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver, kidney and urinary tract, immune system, and central nervous system)	None	None	NE
3	Helbok ³⁶	2006	August 2003 – May 2005	Gabon	Cohort	485	Survival analysis	Simplified MODS ³⁵	ROC analysis	4 months – 169 months	Females – 49%	Severity of disease and disability in children with severe falciparum malaria infection	Ten organ systems: (heart, blood vessel, blood, respiratory system, metabolism, gastrointestinal system, liver,	AUC to predict prolonged disease (>48 hours unable to walk): 0.92 (95%	None	NE

													kidney and urinary tract, immune system, and central nervous system)	CI, 0.89–0.95).		
4	Grigg ³⁷	2018	October 2012 – April 2016	Malaysia	Cohort	481 patients with <i>Plasmodium knowlesi</i>	Logistic regression	None	None	33 years (IQR: 21 – 49)	Female – 43.2%	Severity of <i>Plasmodium knowlesi</i> infection using WHO 2014 research criteria ⁴⁵	Age >45, abdominal pain, shortness of breath, increased parasite count, schizont proportion >10%, Bicarbonate <18 mmol	None	None	NE

* not used in present model; BCS: Blantyre coma score; NC: not clear; NE: No evidence; ^a diagnostic properties of original model; IQR: interquartile range; RCT: randomised control trial; ACT: artemisinin combined therapy; DRC: Democratic Republic of the Congo; BUN: blood urea nitrogen; TNF: tissue necrotic factor; WHO: World Health Organisation; IQR: Interquartile range

Table 5: Findings of review, research gaps and potential for future research

Findings of review	Research gaps	Potential for future research	Other possible avenues
Several models available to predict various outcomes in severe malaria.			Incorporation of produced models into artificial intelligence to help in the fast prediction of risks of adverse outcomes and suggestions of treatment and management modalities
Variables consistent in predicting disease severity, mortality and complications include: neurologic dysfunction, respiratory distress and acidosis	Models that take into consideration these major variables	Studies with robust designs	

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Most models have high risk of bias due to lack of use of up to date methods of internal validation	Models without risk of bias that use adequate statistical methods of internal validation	Internal validation and wide external validation to help integrate models into daily clinical practice	
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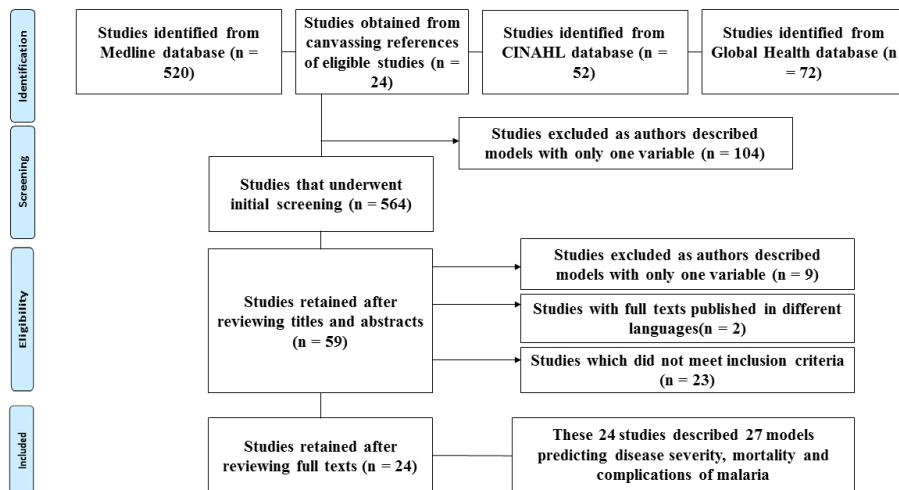
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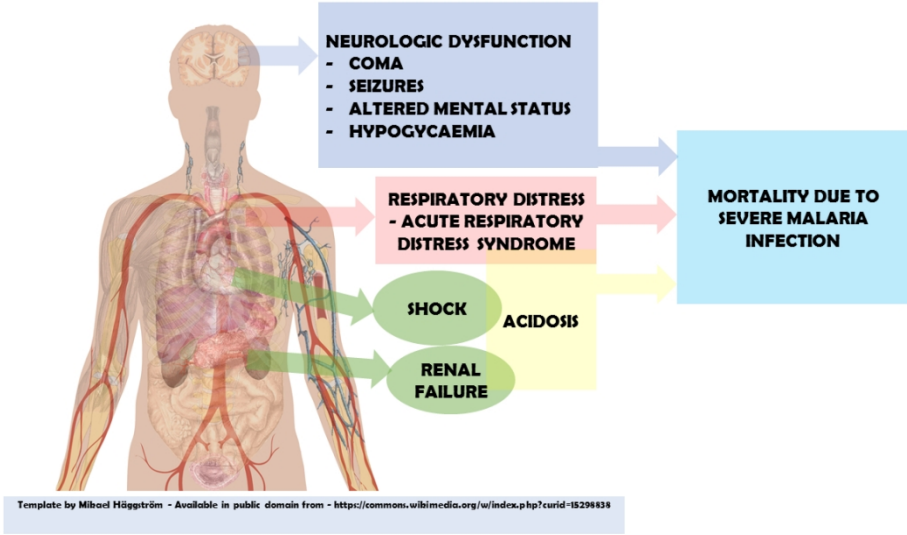
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25 **Figure 1: Flow chart showing reasons for exclusion of various studies from the review**

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28 **Figure 2: Predictive factors of disease severity and mortality in malaria infection**
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Flow chart showing reasons for exclusion of various studies from the review

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Predictive factors of disease severity and mortality in malaria infection

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3 **Appendix 1: PRISMA checklist for systematic reviews and meta-analysis.**
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6 **Table 1**

Section/topic	#	Checklist item	Reported on page #
TITLE			1
Title	1	Identify the report as a systematic review, meta-analysis, or both.	
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	4
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	4
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	4
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5

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Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	NA
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I ²) for each meta-analysis.	5
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	NA
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	NA
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	6
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	6 -11
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	NA
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	NA
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	6
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	NA
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	11

Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	13
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	13
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	14

Appendix 2: Information sources

Electronic sources

Table 1a: Search strategy for Medline database

Searches	Search combinations	Search terms	Number of hits
S1		"prognost* model" OR "predict* model" OR "Predictive Value of Tests"	208,974
S2		"predict* score" OR "prognos* score"	3,884
S3	S1 OR S2		211,947
S4		(MH "Malaria+") OR (MH "Malaria, Vivax") OR (MH "Malaria, Cerebral") OR (MH "Malaria, Falciparum+") OR (MH "Malaria, Avian")	63,536
S5		"Malaria" OR "vivax malaria" OR "falciparum malaria" OR "cerebral malaria" OR "severe malaria" OR "clinical malaria" OR plasmodium OR antimalaria* OR anti-malaria*	111,461
S6	S4 OR S5		111,510
S7	S3 AND S6		520

Table 1b: Search strategy for CINAHL database

Searches	Search combinations	Search terms	Number of hits
S1		"prognost* model" OR "predict* model" OR "Predictive Value of Tests"	49,434
S2		"predict* score" OR "prognos* score"	1,041
S3	S1 OR S2		50,217
S4		(MH "Malaria+")	7,468
S5		"Malaria" OR "vivax malaria" OR "falciparum malaria" OR "cerebral malaria" OR "severe malaria" OR "clinical malaria" OR plasmodium OR antimalaria* OR anti-malaria*	10,945
S6	S4 OR S5		10,945
S7	S3 AND S6		52

Table 1c: Search strategy for Global Health database

Searches	Search combinations	Search terms	Number of hits
S1		"prognost* model" OR "predict* model" OR "Predictive Value of Tests"	2,906
S2		"predict* score" OR "prognos* score"	368
S3	S1 OR S2		2,906
S4		"Malaria" OR "vivax malaria" OR "falciparum malaria" OR "cerebral malaria" OR "severe malaria" OR "clinical malaria" OR plasmodium OR antimalaria* OR anti-malaria*	89,436
S7	S3 AND S4		72

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3 **Appendix 3: The PROBAST tool used to assess the risk of bias and applicability of the studies used in the review**
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Study	Risk of bias				Applicability			Overall	
	Participants	Predictors	Outcome	Analysis	Participants	Predictors	Outcome	ROB	Applicability
Conroy 2012	+	+	+	-	+	-	+	-	-
Conroy 2015*	+	+	+	-	+	+	+	-	+
Dondorp	+	+	+	-	+	+	+	-	+
Gerardin*	+	+	+	-	+	+	+	-	+
Grigg	+	+	+	-	+	+	+	-	+
Hanson 2010	+	+	+	-	+	+	+	-	+
Hanson 2014	+	+	+	-	+	+	+	-	+
Helbok 2003*	+	-	+	-	+	-	+	-	-
Helbok 2005*	+	-	+	-	+	-	+	-	-
Helbok 2006*	+	-	+	-	+	-	+	-	-
Helbok 2009	+	+	+	-	+	+	+	-	+
Jaffar	+	+	+	-	+	+	+	-	+
Krishna	+	+	+	-	+	-	+	-	-
Marsh	+	+	+	-	+	+	+	-	+
Mishra	+	+	+	-	+	+	+	-	+
Mohapatra 2009	+	+	+	-	+	+	+	-	+
Mohapatra 2014	+	+	+	-	+	+	+	-	+
Molyneux	+	+	+	-	+	+	+	-	+
Newton 2005	+	+	+	-	+	+	+	-	+
Newton 2013	+	+	+	-	+	+	+	-	+
Njim	+	+	+	-	+	+	+	-	+
von Seidlein	+	+	+	-	+	+	+	-	+
Webber	+	-	-	-	-	-	-	-	-
Wilairatana*	+	+	+	-	+	+	+	-	+

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31 *Study was designed to externally validate existing models; + indicates low risk of bias/low concern regarding applicability; - indicates high risk of bias/high concern regarding applicability
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For peer review only

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3 **PRISMA checklist for systematic reviews and meta-analysis.**
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Section/topic	#	Checklist item	Reported on page #
TITLE			1
Title	1	Identify the report as a systematic review, meta-analysis, or both.	
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	4
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	4
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	4
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5

Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	NA
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I^2) for each meta-analysis.	5
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	NA
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	NA
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	6
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	6 -11
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	NA
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	NA
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	6
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	NA
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	11

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4	Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	13
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6	Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	13
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8	FUNDING			
9	Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	14
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