

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Elizabeth

2. Surname (Last Name)
Streicher

3. Date
01-July-2019

4. Are you the corresponding author? Yes No

Corresponding Author's Name
David M. Engelthaler

5. Manuscript Title
Minority M. tuberculosis genotypic populations as indicator of subsequent phenotypic resistance

6. Manuscript Identifying Number (if you know it)
Red-2019-0178LE.R2

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Section 6. Disclosure Statement

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Dr. Streicher has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Grant	2. Surname (Last Name) Theron	3. Date 29-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name D Engelthaler
5. Manuscript Title Minority M. tuberculosis genotypic populations as indicator of subsequent phenotypic resistance		
6. Manuscript Identifying Number (if you know it) Red-2019-0178LE		

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Dr. Theron has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)
Robin

2. Surname (Last Name)
Warren

3. Date
01-July-2019

4. Are you the corresponding author? Yes No
Corresponding Author's Name
David M. Engelthaler

5. Manuscript Title
Minority M. tuberculosis genotypic populations as indicator of subsequent phenotypic resistance

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
SAMRC	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Salary
NIH	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Research support and Salary

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Dr. Warren reports personal fees from SAMRC, grants and personal fees from NIH, during the conduct of the study; .

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Section 1. Identifying Information

1. Given Name (First Name)
Eric

2. Surname (Last Name)
Vittinghoff

3. Date
29-June-2019

4. Are you the corresponding author? Yes No

Corresponding Author's Name
David M. Engelthaler, Ph.D.

5. Manuscript Title
Minority M. tuberculosis genotypic populations as indicator of subsequent phenotypic resistance

6. Manuscript Identifying Number (if you know it)
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Christopher

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Allender

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01-July-2019

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Corresponding Author's Name
David M Engelthaler

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Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIH	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Grant #1R01AI131939-01A1

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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
PCT/US2014/052745	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Advanced Biological Laboratories (ABL)	The SMOR technology that was used in this manuscript has subsequently been licensed by my home institution (TGen) to ABL. ABL had no input or connection to this study. The TGen authors (Engelthaler, Kelley, Allender, Jimenez and Wiggins) have received no monetary or other support from ABL.
PCT/US2016/033810	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Advanced Biological Laboratories (ABL)	The ASAP technology that was used in this manuscript has subsequently been licensed by my home institution (TGen) to ABL. ABL had no input or connection to this study. The TGen authors (Engelthaler, Kelley, Allender, Jimenez and Wiggins) have received no monetary or other support from ABL.

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Dr. Engelthaler reports grants from NIH, during the conduct of the study; In addition, Dr. Engelthaler has a patent PCT/US2014/052745 licensed to Advanced Biological Laboratories (ABL), and a patent PCT/US2016/033810 licensed to Advanced Biological Laboratories (ABL).

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Dulce 2. Surname (Last Name) Jiménez 3. Date 01-July-2019

4. Are you the corresponding author? Yes No Corresponding Author's Name
David Engelthaler

5. Manuscript Title
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6. Manuscript Identifying Number (if you know it)
Red-2019-0178LE.R2

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Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIH	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Grant #1R01AI131939-01A1

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PCT/US2016/033810	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Advanced Biological Laboratories (ABL)	The ASAP technology that was used in this manuscript has subsequently been licensed by my home institution (TGen) to ABL. ABL had no input or connection to this study. The TGen authors (Engelthaler, Kelley, Allender, Jimenez and Wiggins) have received no monetary or other support from ABL.

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1. Given Name (First Name) Darrin 2. Surname (Last Name) Lemmer 3. Date 01-July-2019

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PCT/US2016/033810	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Advanced Biological Laboratories (ABL)	The ASAP technology that was used in this manuscript has subsequently been licensed by my home institution (TGen) to ABL. ABL had no input or connection to this study. The TGen authors (Engelthaler, Kelley, Allender, Jimenez, Lemmer, and Wiggins) have received no monetary or other support from ABL.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
John

2. Surname (Last Name)
Metcalf

3. Date
01-July-2019

4. Are you the corresponding author? Yes No
Corresponding Author's Name
David M. Engelthaler

5. Manuscript Title
Minority M. tuberculosis genotypic populations as indicator of subsequent phenotypic resistance

6. Manuscript Identifying Number (if you know it)
Red-2019-0178LE.R2

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIH	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Grant #R01AI131939

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No



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Dr. Metcalfe reports grants from NIH, during the conduct of the study.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Kristin 2. Surname (Last Name) Wiggins 3. Date 01-July-2019

4. Are you the corresponding author? Yes No Corresponding Author's Name
David M. Engelthaler

5. Manuscript Title
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6. Manuscript Identifying Number (if you know it)
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Are there any relevant conflicts of interest? Yes No

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NIH	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Grant #1R01AI131939-01A1

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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
PCT/US2014/052745	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Advanced Biological Laboratories (ABL)	The SMOR technology that was used in this manuscript has subsequently been licensed by my home institution (TGen) to ABL. ABL had no input or connection to this study. The TGen authors (Engelthaler, Kelley, Allender, Jimenez and Wiggins) have received no monetary or other support from ABL.
PCT/US2016/033810	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Advanced Biological Laboratories (ABL)	The ASAP technology that was used in this manuscript has subsequently been licensed by my home institution (TGen) to ABL. ABL had no input or connection to this study. The TGen authors (Engelthaler, Kelley, Allender, Jimenez and Wiggins) have received no monetary or other support from ABL.

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Dr. Engelthaler reports grants from NIH, during the conduct of the study; In addition, Dr. Engelthaler has a patent PCT/US2014/052745 licensed to Advanced Biological Laboratories (ABL), and a patent PCT/US2016/033810 licensed to Advanced Biological Laboratories (ABL).

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1. Given Name (First Name)
Frederick

2. Surname (Last Name)
Sirgel

3. Date
01-July-2019

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Yes No

Corresponding Author's Name
David M Engelthaler

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Dr. Sirgel has nothing to disclose.

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