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Vortioxetine Treatment for Generalized Anxiety Disorder: A Meta-Analysis of Anxiety, Quality of Life, and Safety Outcomes

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3 1 **Vortioxetine Treatment for Generalized Anxiety Disorder: A Meta-Analysis of**
4 **Anxiety, Quality of Life, and Safety Outcomes**
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Abstract:

Objectives The aim of this study was to investigate the efficacy, tolerability, safety, and impact on quality of life (QoL) and functional status of vortioxetine treatment for patients with generalized anxiety disorder (GAD) by performing a meta-analysis of randomized controlled trials.

Methods Data mining was conducted in January 2019 across PubMed, EMBASE, PsycINFO, Cochrane Central Register of Controlled Trials Cochrane Library, Web of science, and ClinicalTrials.gov. Four publications, reporting data from a total of four randomized controlled trials, were included. Relevant data was extracted and synthesized narratively. Results were expressed as standardized mean differences (SMDs) or odds ratios (ORs) with 95% confidence intervals (CIs).

Results Our meta-analysis showed that multiple doses (2.5, 5, and 10 mg/day) of vortioxetine did not significantly improve the response rates, compared to placebo (OR = 1.16, 95% CI=0.84–1.60, P=0.38; OR = 1.41, 95% CI=0.82–2.41, P=0.21; and OR = 1.05, 95% CI=0.76–1.46, P=0.75). Moreover, there was no statistically significant difference regarding the remission rates, discontinuation for any reason rates, discontinuation due to adverse events rates, Short Form 36 Health Survey scores, or Sheehan Disability Scale scores between administration of multiple doses (2.5, 5, and 10 mg/day) of vortioxetine and placebo.

Conclusions Although our results suggest that vortioxetine did not improve the generalized anxiety disorder symptoms and QoL and functional status impairment of patients with GAD, it was safe and well tolerated. Clinicians should interpret and translate our data with caution, as the meta-analysis was based on a limited number of randomized controlled trials.

Keywords: Multimodal therapy; Generalized anxiety disorder; Vortioxetine; Meta-analysis

Word count: 3293.

Strengths and limitations of this study

- ▶ This systematic review and meta-analysis provides evidence for the efficacy, tolerability, and safety of vortioxetine in patients with generalized anxiety disorder
- ▶ Improvement of quality of life and functional status impairment were also evaluated to judge the patients' well-being of vortioxetine.
- ▶ Strong and reliable methodological and statistical procedures were applied.
- ▶ Due to the short-term follow-up in the evaluated studies, the long-term effect was not studied.

1 Introduction

2 Generalized anxiety disorder (GAD) is a common, chronic, costly, and disabling mental disorder that is
3 marked by persistent anxiety and worry, and multiple psychological and physical symptoms.^{1,2} It is also
4 characterized by various psychological and somatic complaints, such as autonomic arousal, restlessness,
5 fatigue, problems with concentration, irritability, and sleeplessness.¹ The estimated 1-year prevalence rate
6 of GAD is between 1.2% and 1.9%, and the lifetime prevalence is between 4.3% and 5.9%.^{2,3} Since most
7 patients are still affected for 6 to 12 years after diagnosis, GAD is usually considered a chronic disorder and
8 a major burden on the individual, their family, and health care services.^{2,4}

9 Vortioxetine is a multimodal antidepressant that was approved for the treatment of major depressive
10 disorder (MDD), by the US Food and Drug Administration (FDA) in September 2013. Vortioxetine's
11 mechanism of action is related to its multimodal activity, which combines two pharmacological properties:
12 direct modulation of receptor activity and inhibition of the 5-HT transporter. Several meta-analyses have
13 proved the efficacy of vortioxetine for the treatment of MDD.⁵⁻⁷ Clinical trials evaluating its efficacy for
14 the treatment of GAD, with doses up to 10 mg/day, have also yielded some interesting findings.⁸⁻¹¹
15 Moreover, as vortioxetine has been proven to be efficient in the treatment of MDD comorbid with GAD, it
16 is possible that it constitutes an effective treatment for GAD alone, as well.¹² Interestingly, the efficacy of
17 vortioxetine therapy in reducing anxiety symptom severity in GAD is summarized in two previous meta-
18 analyses.^{13,14} Both reviews analyzed its efficacy only in terms of symptom severity on the underlying
19 continuous rating scales, and did not assess dichotomous response and remission outcomes. However, a
20 recent meta-analysis examined the efficacy of multiple doses of vortioxetine in terms of dichotomous
21 response outcomes, and the results showed no significant improvement in the outcomes of treating GAD
22 with vortioxetine compared to treating GAD with placebo.¹⁵ The efficacy was only assessed using
23 continuous rating scales or dichotomous response; thus, the authors of these meta-analyses and of a
24 relevant narrative review noted that a comprehensive summary of efficacy data is missing. Further, both
25 these reviews only provided an assessment of efficacy and safety outcomes, and did not include outcomes
26 of importance and patient-focused assessments, such as assessment of functional impairment and quality of
27 life (QoL).

28 Currently there is growing interest in assessing the QoL and functional status impairment in patients
29 with psychological disorders. In addition, the importance of including such assessments in evaluations of
30 the influence of psychological disorders and their treatment, is widely recognized.¹⁶⁻¹⁹ Our previous
31 network meta-analysis concluded that risperidone and aripiprazole improved the QoL of patients with
32 treatment-resistant depression.²⁰ Despite the growing interest in the field, studies addressing the impairment
33 of QoL and functional status caused by anxiety disorders have progressed slowly.²¹ Moreover, GAD is an
34 important public mental health problem that causes poor QoL and functional status impairment,¹⁶ with
35 substantial impact on work and social roles.²² Thus, the outcome of post-treatment QoL assessments is
36 recognized as an important measure of treatment efficacy for patients with GAD.²³

37 The assessment of antianxiety therapy benefits on QoL and functional status impairment in patients

1 with GAD is becoming increasingly common in clinical studies, mainly because, both aspects are important
2 for the patients' overall well-being and recovery. Currently, the direct effect of vortioxetine treatment on
3 QoL and functional status impairment in patients with GAD is unclear. Therefore, this meta-analysis was
4 conducted to provide a comprehensive estimate of the efficacy, safety, and improving QoL and functional
5 status impairment profiles of vortioxetine treatment of GAD.

6 7 **Methods**

8 All steps of this review were performed in strict accordance with the Cochrane Handbook for Systematic
9 Reviews of Interventions.²⁴ The PRISMA statement guidelines were followed during the meta-analysis and
10 preparation of this review.²⁵

11 12 **Search strategy**

13 As of January 2019, we searched PubMed, EMBASE, PsycINFO, Cochrane Central Register of Controlled
14 Trials (Cochrane Library), Web of science, and ClinicalTrials.gov (www.clinicaltrials.gov). Search terms
15 included “vortioxetine OR Lu AA21004” OR Brintellix” AND “anxiety OR anxiety disorder OR anxiety
16 disorders OR mood disorder OR mood disorders.” No language or time restrictions were applied. Titles and
17 abstracts were screened by two independent reviewers, before full texts of potentially relevant articles were
18 retrieved for further evaluation. The decision to include a study was then made by two independent
19 reviewers, after full-text review. The reference lists of included articles were further hand-searched to
20 identify additional relevant articles.

21 22 **Eligibility Criteria and Study Selection**

23 We included all clinical trials meeting the following criteria: (a) randomized controlled trials
24 (RCTs) involving patients (≥ 18 years old) primarily diagnosed with GAD, according to the criteria of the
25 Diagnostic and Statistical Manual of Mental Disorders, fourth edition, text revision (DSM-IV-TR), and (b)
26 RCTs comparing outcomes in efficacy, QoL, and functional impairment between vortioxetine and placebo.
27 We excluded (a) retrospective and observational studies; (b) non-human studies; (c) theses and conference
28 abstracts; and (d) studies including patients that had any concurrent psychiatric disorders with GAD or any
29 prior history of psychiatric disorders, such as manic or hypomanic episodes, schizophrenia, or substance
30 use disorders. Eligibility screening was performed in two steps, each by two independent reviewers (BQ
31 and WG): (a) title and abstract screening for relevance to the study objective, and (b) full text screening for
32 eligibility for a meta-analysis. Conflicts were resolved by the opinion of a third reviewer (MY).

33 34 **Outcomes**

35 Efficacy measures

36 Response was defined across studies as a 50% improvement of the Hamilton Anxiety Rating Scale (HAM-

1 A) total score from baseline to end point. Remission was defined as a HAM-A total score of ≤ 7 at the
2 study end point. The continuous measure of efficacy was the mean change from baseline in total scores on
3 the HAM-A, as defined by the individual study.

4 Safety and tolerability measures

5 Data on the discontinuation for any reason (tolerability) and the numbers of discontinuation due to adverse
6 events (AEs) (safety) were included in the analysis.

7 QoL and functioning measures

8 QoL can be assessed by study-designed questionnaires and disease-specific or generic instruments. These
9 instruments assess an individual's physical, emotional, psychological, and social health.^{26, 27} We selected
10 the Short Form 36 Health Survey (SF-36)²⁸ scores as the outcome indicator for QoL to preserve sufficient
11 homogeneity for meta-analysis, because this instrument is used to measure QoL for the GAD population in
12 many studies. The Sheehan Disability Scale (SDS),²⁹ a reliable, valid, brief, self-report scale that assesses
13 disability in work, social, and family life, is the only measure of functional impairment employed by the
14 trials included in this meta-analysis.

15 **Data Extraction**

16 Two independent reviewers (BQ and WG) extracted the following data from the included studies: (a)
17 baseline characteristics of enrolled patients, (b) general characteristics of the study design, (c) information
18 on efficacy, safety, tolerability, QoL, and functioning outcome. Data were summarized by one investigator
19 and checked by a second reviewer. Any discrepant data were, again, examined by a third reviewer (MY), to
20 ensure accurate data were obtained.

21 **Risk of Bias Assessment**

22 The risk of bias within each study was assessed by two independent reviewers (BQ and WG) using the
23 Cochrane Risk of Bias assessment tool, as described in the Cochrane Handbook for Systematic Reviews of
24 Interventions 5.1.0.²⁴ This tool classifies the studies as having low, unclear, or high risk of bias across six
25 domains: sequence generation, allocation concealment, blinding, missing data, selective reporting, and
26 other biases.

27 **Data Analysis**

28 The meta-analysis was conducted using RevMan 5.3 software (Cochrane Collaboration, London, UK) and
29 Stata 13.0 software (StataCorp LP, College Station, TX, USA). Odds ratios (ORs) and 95% CIs were used
30 to assess binary outcomes, such as response and remission rates, as well as discontinuation for any reason
31 rates. In addition, we converted continuous data to standardized mean differences (SMDs) and 95% CIs.

1 The statistical heterogeneity among trials was measured by Q statistics and the I^2 test. Higher I^2 values
2 indicate greater heterogeneity, with I^2 values of 25, 50, and 75% signifying mild, moderate, and high
3 heterogeneity, respectively.^{30,31} Based on heterogeneity, data were pooled to estimate the overall effect of
4 all the interventions by random-effect or fixed-effect modelling. Sensitivity analyses were performed to test
5 the impact robustness of every single study on the overall results. Publication bias could be assessed by
6 visual inspection of a funnel plot, and the Egger test was used to evaluate publication biases. However,
7 according to Egger and colleagues, assessing publication bias using the funnel plot-based methods is not
8 reliable when fewer than 10 pooled studies are used in the direct comparison.³²

9 10 **Results**

11 **Search results**

12 We identified 94 references from the electronic literature search. After screening the titles and abstracts, 85
13 were excluded because they failed to meet the inclusion criteria. By reading the full text of the remaining
14 nine articles, five more were excluded: one study included patients with depression, one study focused on
15 vortioxetine in the prevention of relapse of GAD, another one constituted a conference abstract and did not
16 provide treatment outcomes, and two studies were eliminated because they were review articles.
17 Ultimately, only four studies that fully satisfied the pre-established inclusion criteria of this meta-analysis
18 were included⁸⁻¹¹ (see e-Figure 1).

19 20 **Study Characteristics**

21 Four included studies were published between 2012 and 2014 (Table 1).⁸⁻¹¹ The collective patient
22 population comprised 1074 individuals in the vortioxetine group and 613 individuals in the placebo group.
23 The administered doses of vortioxetine were 2.5, 5, and 10 mg/day. The mean age of participants ranged
24 from 36.8 to 45.3 years. All studies were characterized by a preponderance of female subjects, with
25 proportions ranging from 60 to 70%. The main characteristics of these studies are presented in Table 1.

26 27 **Study Quality**

28 The risks of bias in each study is summarized in e-Figure 2. All studies claimed randomization and three
29 articles described the method of random sequence generation (random number table, computer generated).
30 Three trials provided information that allowed us to assess whether an adequate concealment of the
31 allocation procedure was used. All studies reported the blinding of participants. Therefore, all trials were
32 deemed to have a mild-to-moderate risk of bias.

33 34 **Efficacy**

35 In terms of response, a total of four studies were included in the analysis; the overall ORs observed for
36 groups treated with multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared to placebo were 1.16
37 (95% CI=0.84–1.60, Z=0.89, P=0.38), 1.41 (95% CI=0.82–2.41, Z=1.25, P=0.21), and 1.05 (95% CI=0.76–

1 1.46, $Z=0.32$, $P=0.75$), respectively (Figure 1). The results showed that there was no statistically significant
2 difference in the response rates among the vortioxetine- and placebo groups. In addition, there was no
3 statistically significant difference for the remission rates in multiple doses (2.5, 5, and 10 mg/d) of
4 vortioxetine compared to placebo (Figure 2).

5
6 Pooled effect sizes for the mean change from baseline in total scores on the HAM-A are provided in Figure
7 3. The overall SMDs observed for the groups treated with multiple doses (2.5, 5, and 10 mg/d) of
8 vortioxetine compared to the placebo were -0.13 (95% CI=-0.29-0.03, $Z=1.56$, $P=0.12$), -0.15 (95% CI=-
9 0.48-0.18, $Z=0.87$, $P=0.38$), and -0.08 (95% CI=-0.24-0.08, $Z=1.01$, $P=0.31$), respectively. The results
10 also showed that there was no statistically significant difference in the mean change from baseline in total
11 scores on the HAM-A among the vortioxetine and placebo groups.

12 13 **Tolerability and safety**

14 No significant difference was observed between the vortioxetine and placebo groups in terms of the
15 likelihood of discontinuation for any reason (tolerability). The overall ORs observed for the groups treated
16 with multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared to the placebo-treated group were
17 1.03 (95% CI=0.71-1.47, $Z=0.14$, $P=0.89$), 0.87 (95% CI=0.63-1.20, $Z=0.87$, $P=0.38$), and 1.06 (95%
18 CI=0.74-1.52, $Z=0.32$, $P=0.75$), respectively (e-Figure 3). Additionally, there was no statistically
19 significant difference in the discontinuation due to AEs (safety) between the groups treated with multiple
20 doses (2.5, 5, and 10 mg/day) of vortioxetine and the group treated with placebo (e-Figure 4).

21 22 **Quality of life and functional status results**

23 Three studies in this analysis reported SF-36 scores as the outcome measure of QoL. The overall SMDs of
24 groups treated with multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to placebo were 0.12
25 (95% CI=-0.11-0.35, $Z=1.04$, $P=0.30$), 0.22 (95% CI=-0.12-0.56, $Z=1.26$, $P=0.21$), and 0.09 (95% CI=-
26 0.14-0.32, $Z=0.75$, $P=0.45$), respectively (Figure 4). The results showed that there was no statistically
27 significant difference in SF-36 scores among the different groups. SDS scores were available for all four
28 studies included in this analysis. The overall SMDs for the groups treated with multiple doses (2.5, 5, and
29 10 mg/d) of vortioxetine compared to placebo were -0.11 (95% CI=-0.30-0.08, $Z=1.09$, $P=0.28$), -0.10
30 (95% CI=-0.27-0.06, $Z=1.27$, $P=0.21$), and -0.20 (95% CI=-0.39-0.00, $Z=2.00$, $P=0.05$), respectively
31 (Figure 5). The results showed that there was no statistically significant difference in SDS among the
32 different groups.

33 34 **Publication bias**

35 The Egger test showed no significant difference main outcomes, indicating no publication bias.

36 37 **Discussion**

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3 1 In this meta-analysis of 4 randomized trials studying vortioxetine as a treatment for GAD, we found that
4 2 vortioxetine (2.5-, 5- and 10-mg once-daily doses) did not significantly improve GAD symptoms and
5 3 quality of life/ functional status compared to a placebo treatment. However, vortioxetine might be safe and
6 4 well tolerated in this patient population. Our findings have some clinical implications for comprehensively
7 5 understanding the risk–benefit profiles of vortioxetine treatment for GAD.

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10 6 Our results are not consistent with those of the previous meta-analysis conducted by Pae et al, as that
11 7 study found that vortioxetine was significantly more effective than the placebo.¹³ In their study, they only
12 8 performed the analysis of mean change from baseline in total scores on the HAM-A, and included all
13 9 randomized subjects. However, our meta-analysis was separately conducted according to the doses of
14 10 vortioxetine, and we assessed the efficacy in terms of mean change from baseline in total scores on the
15 11 HAM-A, response rates, and remission rates. Doses of vortioxetine may be clinically important factors for
16 12 its efficacy in GAD patients. Thus, the results of our meta-analysis were more reliable and stable.

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19 13 Moreover, a recent meta-analysis showed that there was no statistically significant difference regarding the
20 14 response rates among groups treated with either multiple doses (2.5, 5, and 10 mg/day) of vortioxetine or a
21 15 placebo.³³ Furthermore, the results of our meta-analysis demonstrated no statistically significant difference
22 16 in the mean change from baseline in total scores on the HAM-A, remission rates, quality of life, and
23 17 functional status among the groups. Thus, the results of our meta-analysis were more comprehensive.

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25
26 18 Several reasons for these outcomes may have contributed to the negative results. A previous analysis of
27 19 the Food and Drug Administration database concluded that negative results are commonly seen in
28 20 anxiolytic agents administered for the treatment of anxiety disorders, including GAD, where less than one-
29 21 half (48%) of the treatment arms were statistically superior to the placebo.³⁴ In this case, all anxiolytic
30 22 agents included in the study are approved for GAD treatment in the United States, but only three out of
31 23 seven treatment arms were separated from the placebo. Moreover, the results some studies have found that
32 24 negative results^{9–11} had a higher placebo response rate than those with positive results.^{8,35} Although this
33 25 correlation has not been established in GAD, it is reasonable to speculate that an elevated placebo response
34 26 could reduce the treatment effect. Unfortunately, because no positive control was included in our meta-
35 27 analysis, it is impossible to determine the lack-of-treatment effect. In addition, the racial diversity may have
36 28 introduced differences in response and remission rates; for example, the studied population of the trial that
37 29 showed negative results was racially diverse, whereas the population of the trial that showed positive
38 30 results was almost entirely Caucasian. Hence, the results of the STAR*D study demonstrate that non-
39 31 Caucasians were significantly less likely to achieve remission.³⁶ Furthermore, the mean baseline HAM-A
40 32 total scores in most of the included studies were relatively high (ranging from 24.5 to 27); inflated baseline
41 33 HAM-A total scores are a possible consequence of less stringent screening practices. However, it is
42 34 unlikely that any single reason can explain the inconsistent results observed in the vortioxetine for GAD.

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45 35 Although our meta-analysis did not demonstrate a statistically significant anxiolytic effect of
46 36 vortioxetine, it did provide information regarding drug tolerability. Our study found that there was no
47 37 statistically significant difference for the discontinuation for any reason rates and discontinuation due to

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3 1 AEs rates among groups receiving either multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared
4 2 to placebo, which is similar to the findings of a previous meta-analysis.^{5,13} Thus, the vortioxetine doses
5 3 were well tolerated, and were associated with similar discontinuation for any reason rates and
6 4 discontinuation due to AEs rates when compared to the placebo.

9 5 GAD is associated with significant functional impairment in many areas, including social, occupational,
10 6 and mental consequences; and when combined with physical impairment, together they influence QoL. The
11 7 effectiveness of GAD treatment for improving QoL and functional outcomes is potentially confounded by
12 8 the bidirectional relationship of anxiety symptoms and QoL/functional impairment. This is the first meta-
13 9 analysis to report prospective assessment of QoL/functional status impairment in patients with GAD.
14 10 Unfortunately, our meta-analysis of randomized controlled trials with GAD patients showed no significant
15 11 improvement in the aforementioned aspects after vortioxetine treatment compared to after treatment with
16 12 the placebo. Our results are not consistent with those of a previous meta-analysis of the effect of
17 13 vortioxetine treatment on overall functioning in patients with MDD.³⁷ The meta-analysis, conducted by
18 14 Florea et al, demonstrated that vortioxetine, in doses of 5-20 mg for 6/8 weeks, improved overall
19 15 functioning in patients with MDD. Relative to placebo, vortioxetine treatment, in doses of 10 and 20 mg,
20 16 demonstrated significant improvement in SDS total score and functional remission. However, the RCTs
21 17 that were studied, in accordance with those included in our meta-analysis, used the optimal doses of 2.5-10
22 18 mg. Thus, the reason for the lack of congruence between these two meta-analyses may be the difference in
23 19 the optimal doses. Meanwhile, a recent meta-analysis of vortioxetine in working patients with GAD,³⁸
24 20 showed that vortioxetine benefits adult patients who are working and/or pursuing an education. Thus,
25 21 future research should be directed to provide more RCTs, specifically targeted to individuals with GAD, in
26 22 order to assess the efficacy of vortioxetine in a larger sample, as well as to define the best therapeutic
27 23 dosage.

28 24 The results of our meta-analysis should be interpreted in light of the following potential limitations.
29 25 First, we included only four RCTs, which may have influenced the reliability of the results. Second, the
30 26 duration of each trial included in our meta-analysis was 8 weeks; this is an important issue because GAD
31 27 patients typically require long-term pharmacological treatment. We found only one study focusing on long-
32 28 term relapse prevention, which showed no significant improvement of relapse prevention effect after long-
33 29 term (maintenance) vortioxetine treatment for GAD compared to placebo.³⁹ In addition, owing to a limited
34 30 number of studies included in our meta-analysis, we did not compare the onset time between the groups
35 31 treated with multiple doses of vortioxetine and placebo. Finally, all the included trials were supported by
36 32 the Takeda Pharmaceutical Company Ltd. as part of a joint clinical development program with H.
37 33 Lundbeck A/S, which may have also influenced the results.

34 35 **Conclusions**

36 36 In summary, GAD is an illness that is characterized not only by severe anxiety symptoms, but also by
37 37 diminished functioning and QoL. The challenge for interventions is not only to achieve improvement of

1 symptoms, but also to enhance patients' functioning ability and QoL. Our comprehensive evaluation of
2 efficacy, safety, and impact on QoL provides a critical insight that may be useful for clinicians attempting
3 to thoroughly understand the risk–benefit profiles of vortioxetine treatment for GAD. Vortioxetine did not
4 significantly improve GAD symptoms and QoL as compared to the placebo; nevertheless, it was safe and
5 well tolerated in this patient population. However, our results should be interpreted and translated into
6 clinical practice with caution, owing to the limited number of RCTs included in the present meta-analysis.

7
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10
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12 review of the manuscript. BQ, GH and MY performed the data extraction. BQ, MZ, HC and WG conceived
13 the study and reviewed the manuscript for important intellectual content. All authors approved the final
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15
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18
19 **Competing interests** None declared.

20
21 **Patient consent for publication** Not required.

22
23 **Data sharing statement** Additional data can be requested by emailing lzrmyymx@126.com.

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5 **Table 1. Description of included studies.**

6 Study	7 Patients n	8 Age (mean, SD)	9 Sex (Male, n, %)	10 Interventions	11 Duration (weeks)	12 Key inclusion criteria for GAD
13 Bidzan et al. (2012) ⁸	T: 150; C: 151	T: 45.0 (14.1); C: 45.3 (13.5)	T: 47 (31.3); C: 58 (38.4)	T: vtx (5 mg/d); C: placebo	8	DSM-IV-TR, HAM-A \geq 20
14 Mahableshwarkar et al. (2014a) ⁹	T: 152, 152; C: 153	T: 40.8 (13.8); C: 43.3 (15.0); C: 39.5 (13.5)	T: 49 (32.2), C: 56 (36.8); C: 48 (31.4)	T: vtx 2.5 mg/d, vtx 10 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20
15 Mahableshwarkar et al. (2014b) ¹⁰	T: 156, 156, 156; C: 157	T: 39.2 (11.90), C: 37.7 (11.96), C: 39.8 (12.33); C: 36.8 (12.12)	T: 47 (30.1), C: 56 (35.9), C: 51 (32.7); C: 55 (35)	T: vtx 2.5 mg/d, vtx 5 mg/d, vtx 10 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20
16 Rothschild et al. (2012) ¹¹	T: 152; C: 152	T: 41.0 (14.05); C: 41.4 (12.81)	T: 49 (32.2); C: 55 (36.2)	T: vtx 5 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20

17 Note: T, Treatment group; C, Control group; mg/d, mg/day; GAD, Generalized anxiety disorder; DSM-IV-TR,
18 Diagnostic and Statistical Manual of Mental Disorders, 4th edition Text Revision; HAM-A, Hamilton Anxiety
19 Rating; vtx, Vortioxetine.
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Figure legends

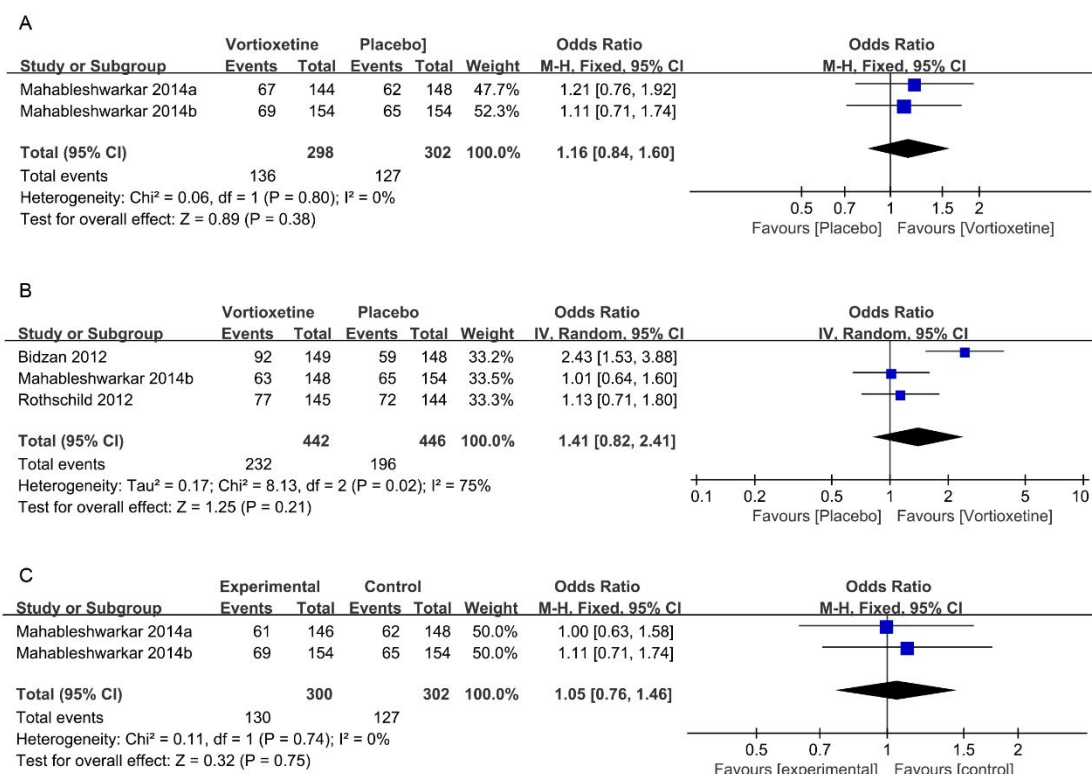


Figure 1: Odds ratios (ORs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the response rates between the vortioxetine-treated and placebo groups. Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

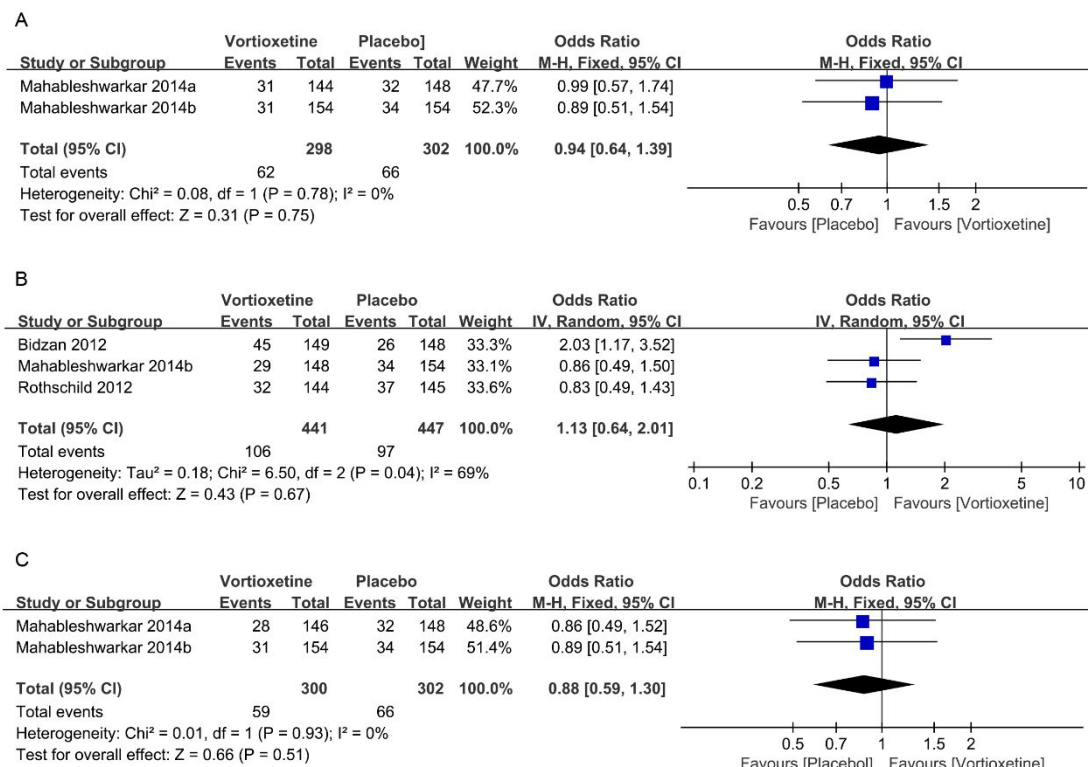


Figure 2: Odds ratios (ORs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the remission rates between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

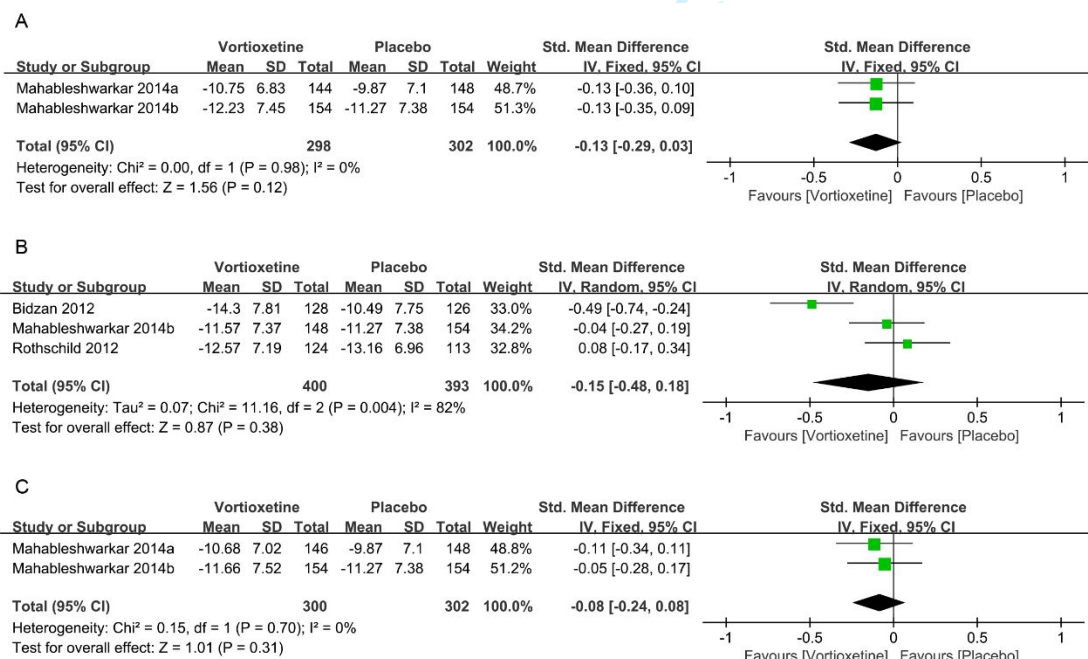


Figure 3: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual

studies and the pooled data, comparing the mean change from baseline in total scores on the HAM-A, between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

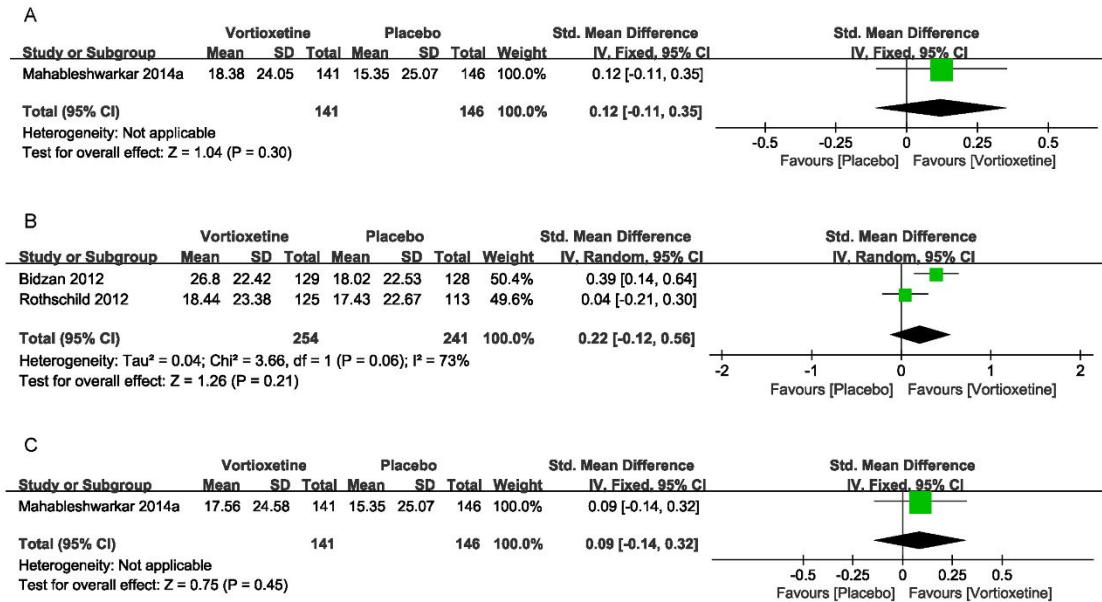


Figure 4: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the Short Form 36 Health Survey (SF-36) scores between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

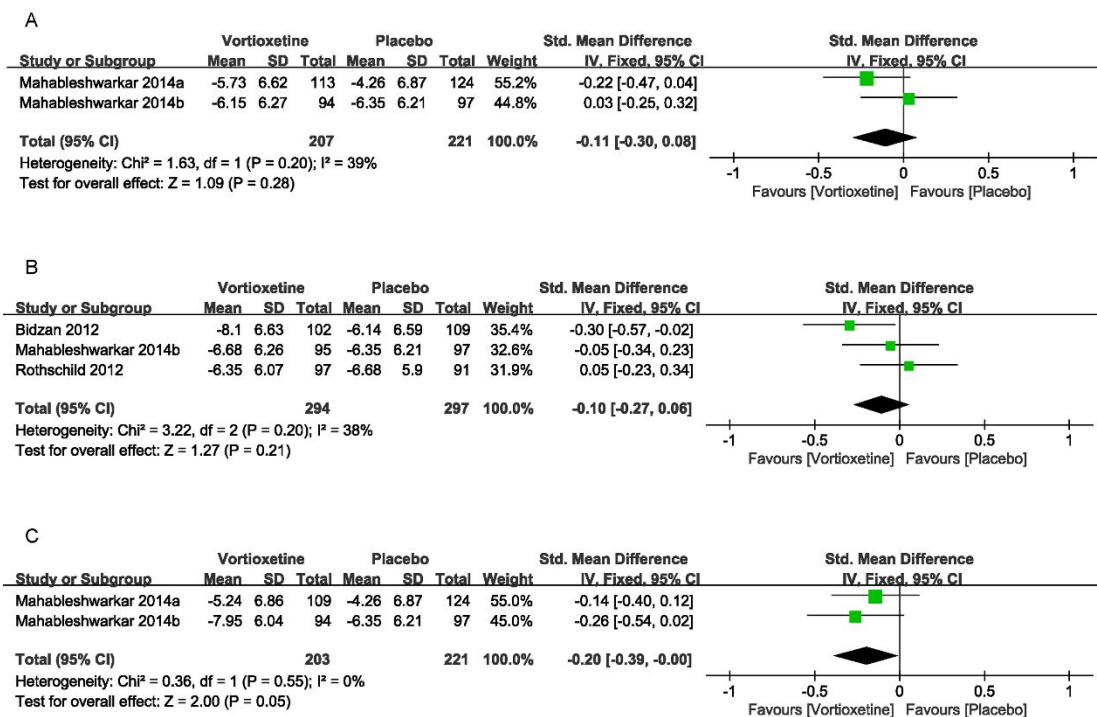
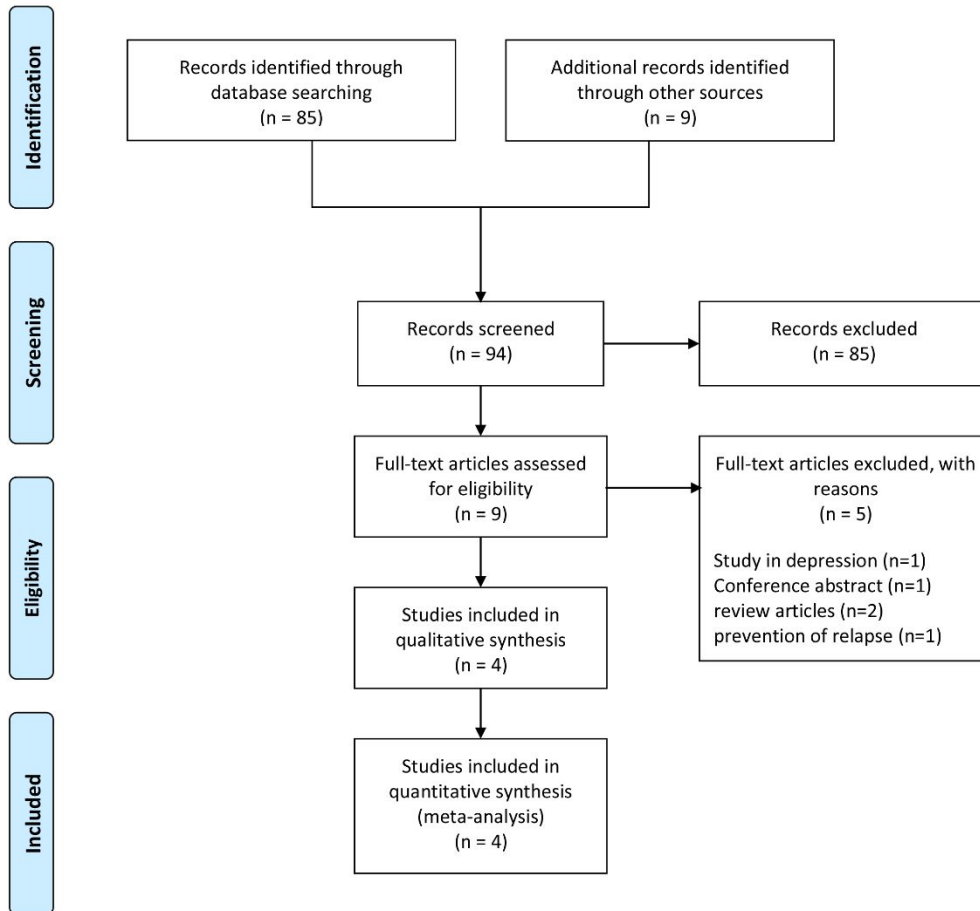


Figure 5: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the Sheehan Disability Scale (SDS) scores between the vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

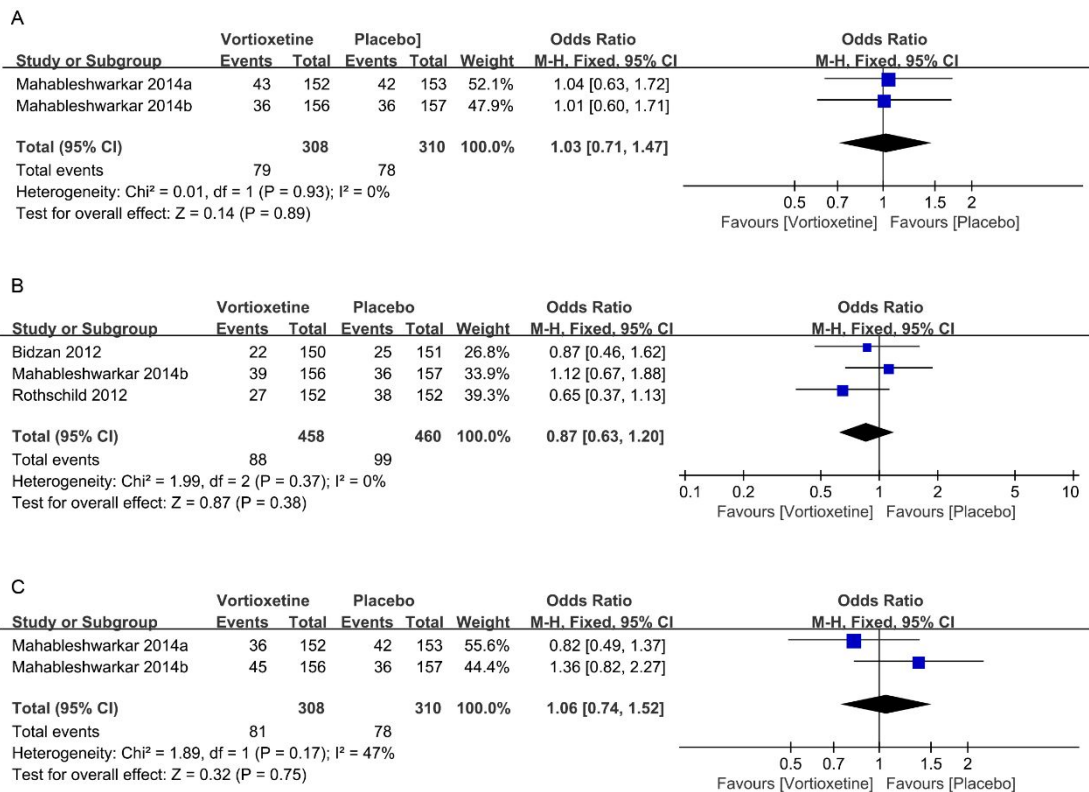


e-Figure 1: Search flow for the trial identification and selection process.

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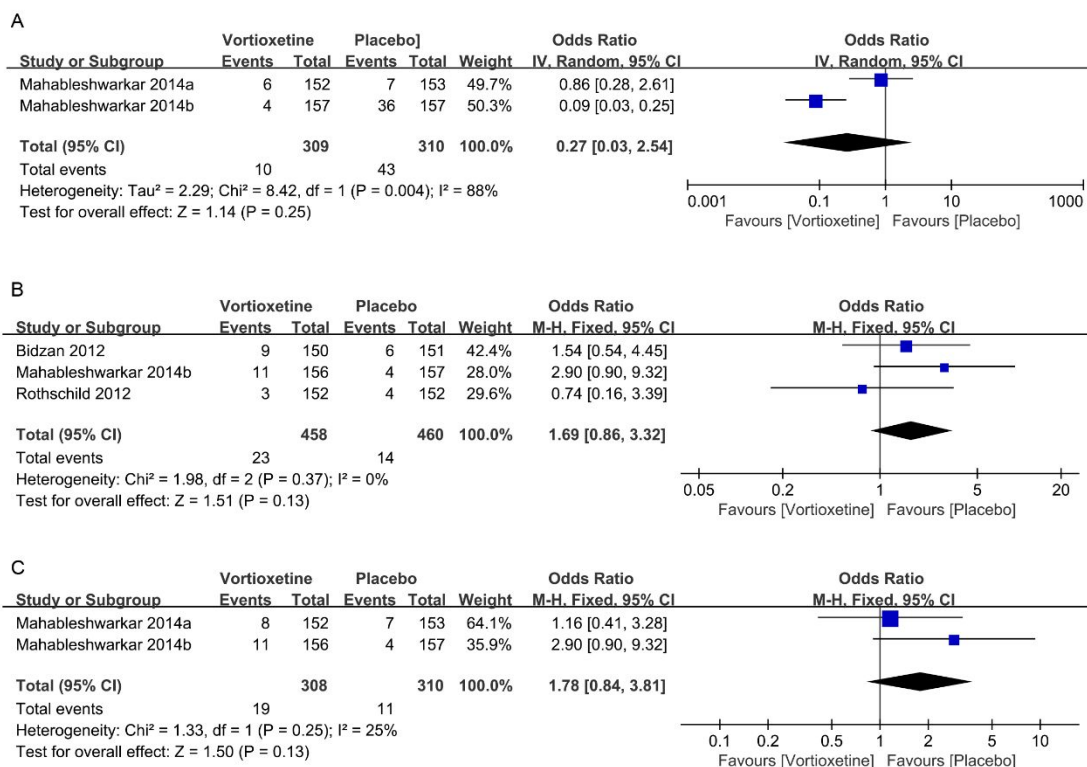
	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Bidzan 2012	?	?	+	+	+	?
Mahableshwarkar 2014a	?	?	+	+	+	?
Mahableshwarkar 2014b	+	+	+	+	+	?
Rothschild 2012	+	+	+	+	+	?

e-Figure 2: Summarized risks of bias for the included studies.



e-Figure 3: Odds ratios (ORs) and 95% confidence intervals (CIs) of the selected studies and the pooled data, comparing the discontinuation rates for any reason, between the vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.



e-Figure 4: Odds ratios (ORs) and 95% confidence intervals (CIs) of the selected studies and the pooled data, comparing the discontinuation rates due to adverse events (AEs), between vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.



PRISMA 2009 Checklist

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Section/topic	#	Checklist item	Reported on page #
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3-4
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	4
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	4
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	4
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	5
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I ²) for each meta-analysis.	5-6



PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	5-6
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	5-6
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	6
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	6
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	6
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	6-7
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	6-7
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	6-7
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	7-9
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	9
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	9-10
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	10

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

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BMJ Open

Vortioxetine Treatment for Generalized Anxiety Disorder: A Meta-Analysis of Anxiety, Quality of Life, and Safety Outcomes

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Primary Subject Heading:	Mental health
Secondary Subject Heading:	Pharmacology and therapeutics, Mental health
Keywords:	Multimodal therapy, Generalized anxiety disorder, Vortioxetine, Meta-analysis

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1 **Vortioxetine Treatment for Generalized Anxiety Disorder: A Meta-Analysis of**
2 **Anxiety, Quality of Life, and Safety Outcomes**

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17 Bin Qin, Guangsu Huang and Qian Yang contributed equally to this study

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Abstract:

Objectives The aim of this study was to investigate the efficacy, tolerability, safety, and impact on quality of life (QoL) and functional status of vortioxetine treatment for patients with generalized anxiety disorder (GAD) by performing a meta-analysis of randomized controlled trials.

Design Systematic review and meta-analysis.

Data sources Data mining was conducted in January 2019 across PubMed, EMBASE, PsycINFO, Cochrane Central Register of Controlled Trials Cochrane Library, Web of science, and ClinicalTrials.gov.

Eligibility criteria for selecting studies All published Randomized controlled trials (RCTs) which assessed the effect of vortioxetine treatment for patients with GAD when compared with a placebo group were included.

Data extraction and synthesis Relevant data was extracted and synthesized narratively. Results were expressed as standardized mean differences (SMDs) or odds ratios (ORs) with 95% confidence intervals (CIs).

Results Our meta-analysis showed that multiple doses (2.5, 5, and 10 mg/day) of vortioxetine did not significantly improve the response rates, compared to placebo (OR = 1.16, 95% CI=0.84–1.60, P=0.38; OR = 1.41, 95% CI=0.82–2.41, P=0.21; and OR = 1.05, 95% CI=0.76–1.46, P=0.75). Moreover, there was no statistically significant difference regarding the remission rates, discontinuation for any reason rates, discontinuation due to adverse events rates, Short Form 36 Health Survey scores, or Sheehan Disability Scale scores between administration of multiple doses (2.5, 5, and 10 mg/day) of vortioxetine and placebo.

Conclusions Although our results suggest that vortioxetine did not improve the generalized anxiety disorder symptoms and QoL and functional status impairment of patients with GAD, it was safe and well tolerated. Clinicians should interpret and translate our data with caution, as the meta-analysis was based on a limited number of randomized controlled trials.

Keywords: Multimodal therapy; Generalized anxiety disorder; Vortioxetine; Meta-analysis

Word count: 3548.

Strengths and limitations of this study

- ▶ This systematic review and meta-analysis provides evidence for the efficacy, tolerability, and safety of vortioxetine in patients with generalized anxiety disorder
- ▶ Improvement of quality of life and functional status impairment were also evaluated to judge the patients' well-being of vortioxetine.
- ▶ Strong and reliable methodological and statistical procedures were applied.
- ▶ Due to the short-term follow-up in the evaluated studies, the long-term effect was not studied.

1 Introduction

2 Generalized anxiety disorder (GAD) is a common, chronic, costly, and disabling mental disorder that is
3 marked by persistent anxiety and worry, and multiple psychological and physical symptoms.^{1,2} It is also
4 characterized by various psychological and somatic complaints, such as autonomic arousal, restlessness,
5 fatigue, problems with concentration, irritability, and sleeplessness.¹ The estimated 1-year prevalence rate
6 of GAD is between 1.2% and 1.9%, and the lifetime prevalence is between 4.3% and 5.9%.^{2,3} Since most
7 patients are still affected for 6 to 12 years after diagnosis, GAD is usually considered a chronic disorder and
8 a major burden on the individual, their family, and health care services.^{2,4} Anxiety is a common
9 comorbidity of chronic medical diseases including atopic dermatitis, asthma, rheumatoid arthritis, lupus
10 and stroke. Anxiety has a negative impact on the quality of life of patients suffer from chronic diseases.^{5,6}

11 Vortioxetine is a multimodal antidepressant that was approved for the treatment of major depressive
12 disorder (MDD), by the US Food and Drug Administration (FDA) in September 2013. Vortioxetine's
13 mechanism of action is related to its multimodal activity, which combines two pharmacological properties:
14 direct modulation of receptor activity and inhibition of the 5-HT transporter, and thereby with potential
15 benefits in the treatment of major depressive episode and, probably, GAD and anxiety disorders.⁷ In
16 addition, vortioxetine cause significant increase in the hippocampal Brain Derived Neurotrophic Factors
17 levels as compared with selective serotonin reuptake inhibitors.⁸ Several meta-analyses have proved the
18 efficacy of vortioxetine for the treatment of MDD.⁹⁻¹¹ A recent scientometric analysis reported the
19 popularity of vortioxetine is on the rising trend.¹² Clinical trials evaluating its efficacy for the treatment of
20 GAD, with doses up to 10 mg/day, have also yielded some interesting findings.¹³⁻¹⁶ Moreover, as
21 vortioxetine has been proven to be efficient in the treatment of MDD comorbid with GAD, it is possible
22 that it constitutes an effective treatment for GAD alone, as well.¹⁷

23 Interestingly, the efficacy of vortioxetine therapy in reducing anxiety symptom severity in GAD is
24 summarized in two previous meta-analyses.^{18,19} Both reviews analyzed its efficacy only in terms of
25 symptom severity on the underlying continuous rating scales, and did not assess dichotomous response and
26 remission outcomes. However, a recent meta-analysis examined the efficacy of multiple doses of
27 vortioxetine in terms of dichotomous response outcomes, and the results showed no significant
28 improvement in the outcomes of treating GAD with vortioxetine compared to treating GAD with placebo.²⁰
29 The efficacy was only assessed using continuous rating scales or dichotomous response; thus, the authors
30 of these meta-analyses and of a relevant narrative review noted that a comprehensive summary of efficacy
31 data is missing. Further, both these reviews only provided an assessment of efficacy and safety outcomes,
32 and did not include outcomes of importance and patient-focused assessments, such as assessment of
33 functional impairment and quality of life (QoL). Currently there is growing interest in assessing the QoL
34 and functional status impairment in patients with psychological disorders.^{21,22} In addition, the importance of
35 including such assessments in evaluations of the influence of psychological disorders and their treatment, is
36 widely recognized.²³⁻²⁶ Our previous network meta-analysis concluded that risperidone and aripiprazole
37 improved the QoL of patients with treatment-resistant depression.²⁷ Despite the growing interest in the

1 field, studies addressing the impairment of QoL and functional status caused by anxiety disorders have
2 progressed slowly.²⁸ Moreover, GAD is an important public mental health problem that causes poor QoL
3 and functional status impairment,²³ with substantial impact on work and social roles.²⁹ Thus, the outcome
4 of post-treatment QoL assessments is recognized as an important measure of treatment efficacy for patients
5 with GAD.³⁰

6 The assessment of antianxiety therapy benefits on QoL and functional status impairment in patients
7 with GAD is becoming increasingly common in clinical studies, mainly because, both aspects are important
8 for the patients' overall well-being and recovery. Currently, the direct effect of vortioxetine treatment on
9 QoL and functional status impairment in patients with GAD is unclear. Therefore, this meta-analysis was
10 conducted to provide a comprehensive estimate of the efficacy, safety, and improving QoL and functional
11 status impairment profiles of vortioxetine treatment of GAD.

12 13 **Methods**

14 All steps of this review were performed in strict accordance with the Cochrane Handbook for Systematic
15 Reviews of Interventions.³¹ The PRISMA statement guidelines were followed during the meta-analysis and
16 preparation of this review.³²

17 18 **Search strategy**

19 As of January 2019, we searched PubMed, EMBASE, PsycINFO, Cochrane Central Register of Controlled
20 Trials (Cochrane Library), Web of science, and ClinicalTrials.gov (www.clinicaltrials.gov). Search terms
21 included “vortioxetine OR Lu AA21004” OR Brintellix” AND “anxiety OR anxiety disorder OR anxiety
22 disorders OR mood disorder OR mood disorders.” No language or time restrictions were applied. Titles and
23 abstracts were screened by two independent reviewers, before full texts of potentially relevant articles were
24 retrieved for further evaluation. The decision to include a study was then made by two independent
25 reviewers (BQ and WG), after full-text review. The reference lists of included articles were further hand-
26 searched to identify additional relevant articles.

27 28 **Eligibility Criteria and Study Selection**

29 We included all clinical trials meeting the following criteria: (a) randomized controlled trials
30 (RCTs) involving patients (≥ 18 years old) primarily diagnosed with GAD, according to the criteria of the
31 Diagnostic and Statistical Manual of Mental Disorders, fourth edition, text revision (DSM-IV-TR), and (b)
32 RCTs comparing outcomes in efficacy, QoL, and functional impairment between vortioxetine and placebo.
33 We excluded (a) retrospective and observational studies; (b) non-human studies; (c) theses and conference
34 abstracts; and (d) studies including patients that had any concurrent psychiatric disorders with GAD or any
35 prior history of psychiatric disorders, such as manic or hypomanic episodes, schizophrenia, or substance
36 use disorders. Eligibility screening was performed in two steps, each by two independent reviewers (BQ
37 and WG): (a) title and abstract screening for relevance to the study objective, and (b) full text screening for

1 eligibility for a meta-analysis. Conflicts were resolved by the opinion of a third reviewer (MY).

3 **Outcomes**

4 Efficacy measures

5 Response was defined across studies as a 50% improvement of the Hamilton Anxiety Rating Scale (HAM-
6 A) total score from baseline to end point. Remission was defined as a HAM-A total score of ≤ 7 at the
7 study end point. The continuous measure of efficacy was the mean change from baseline in total scores on
8 the HAM-A, as defined by the individual study.

10 Safety and tolerability measures

11 Data on the discontinuation for any reason (tolerability) and the numbers of discontinuation due to adverse
12 events (AEs) (safety) were included in the analysis.

14 QoL and functioning measures

15 QoL can be assessed by study-designed questionnaires and disease-specific or generic instruments. These
16 instruments assess an individual's physical, emotional, psychological, and social health.^{33,34} We selected
17 the Short Form 36 Health Survey (SF-36)³⁵ scores as the outcome indicator for QoL to preserve sufficient
18 homogeneity for meta-analysis, because this instrument is used to measure QoL for the GAD population in
19 many studies. And, studies were excluded if the QoL outcome was reported by the other rating scales. The
20 Sheehan Disability Scale (SDS),³⁶ a reliable, valid, brief, self-report scale that assesses disability in work,
21 social, and family life, is the only measure of functional impairment employed by the trials included in this
22 meta-analysis.

24 **Data Extraction**

25 Two independent reviewers (BQ and WG) extracted the following data from the included studies: (a)
26 baseline characteristics of enrolled patients, (b) general characteristics of the study design, (c) information
27 on efficacy, safety, tolerability, QoL, and functioning outcome. Data were summarized by one investigator
28 and checked by a second reviewer. Any discrepant data were, again, examined by a third reviewer (MY), to
29 ensure accurate data were obtained.

31 **Risk of Bias Assessment**

32 The risk of bias within each study was assessed by two independent reviewers (BQ and WG) using the
33 Cochrane Risk of Bias assessment tool, as described in the Cochrane Handbook for Systematic Reviews of
34 Interventions 5.1.0.³¹ This tool classifies the studies as having low, unclear, or high risk of bias across six
35 domains: sequence generation, allocation concealment, blinding, missing data, selective reporting, and
36 other biases.

Data Analysis

The meta-analysis was conducted using RevMan 5.3 software (Cochrane Collaboration, London, UK) and Stata 13.0 software (StataCorp LP, College Station, TX, USA). Odds ratios (ORs) and 95% CIs were used to assess binary outcomes, such as response and remission rates, as well as discontinuation for any reason rates. In addition, we converted continuous data to standardized mean differences (SMDs) and 95% CIs. The statistical heterogeneity among trials was measured by Q statistics and the I^2 test. Higher I^2 values indicate greater heterogeneity, with I^2 values of 25, 50, and 75% signifying mild, moderate, and high heterogeneity, respectively.^{37,38} Based on heterogeneity, data were pooled to estimate the overall effect of all the interventions by random-effect or fixed-effect modelling. Fixed-effect models assume that the population effect sizes are the same for all studies. In contrast, random-effects model attempted to generalize findings beyond the included studies by assuming that the selected studies are random samples from a larger population.³⁹ Sensitivity analyses were performed to test the impact robustness of every single study on the overall results. Publication bias could be assessed by visual inspection of a funnel plot, and the Egger test was used to evaluate publication biases. However, according to Egger and colleagues, assessing publication bias using the funnel plot-based methods is not reliable when fewer than 10 pooled studies are used in the direct comparison.⁴⁰

Patient and public involvement

Patients or the public were not involved in the conceptualisation or carrying out of this research.

Results

Search results

We identified 94 references from the electronic literature search. After screening the titles and abstracts, 85 were excluded because they failed to meet the inclusion criteria. By reading the full text of the remaining nine articles, five more were excluded: one study included patients with depression, one study focused on vortioxetine in the prevention of relapse of GAD, another one constituted a conference abstract and did not provide treatment outcomes, and two studies were eliminated because they were review articles. Ultimately, only four studies that fully satisfied the pre-established inclusion criteria of this meta-analysis were included (see e-Figure 1).¹³⁻¹⁶

Study Characteristics

Four included studies were published between 2012 and 2014 (Table 1).¹³⁻¹⁶ The collective patient population comprised 1074 individuals in the vortioxetine group and 613 individuals in the placebo group. The administered doses of vortioxetine were 2.5, 5, and 10 mg/day. The mean age of participants ranged from 36.8 to 45.3 years. All studies were characterized by a preponderance of female subjects, with proportions ranging from 60 to 70%. The main characteristics of these studies are presented in Table 1.

Study Quality

The risks of bias in each study is summarized in e-Figure 2. All studies claimed randomization and three articles described the method of random sequence generation (random number table, computer generated). Three trials provided information that allowed us to assess whether an adequate concealment of the allocation procedure was used. All studies reported the blinding of participants. Therefore, all trials were deemed to have a mild-to-moderate risk of bias.

Efficacy

In terms of response, a total of four studies were included in the analysis; the overall ORs observed for groups treated with multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared to placebo were 1.16 (95% CI=0.84–1.60, Z=0.89, P=0.38), 1.41 (95% CI=0.82–2.41, Z=1.25, P=0.21), and 1.05 (95% CI=0.76–1.46, Z=0.32, P=0.75), respectively (Figure 1). The results showed that there was no statistically significant difference in the response rates among the vortioxetine- and placebo groups. In addition, there was no statistically significant difference for the remission rates in multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to placebo (Figure 2).

Pooled effect sizes for the mean change from baseline in total scores on the HAM-A are provided in Figure 3. The overall SMDs observed for the groups treated with multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to the placebo were -0.13 (95% CI=-0.29–0.03, Z=1.56, P=0.12), -0.15 (95% CI=-0.48–0.18, Z=0.87, P=0.38), and -0.08 (95% CI=-0.24–0.08, Z=1.01, P=0.31), respectively. The results also showed that there was no statistically significant difference in the mean change from baseline in total scores on the HAM-A among the vortioxetine and placebo groups.

Tolerability and safety

No significant difference was observed between the vortioxetine and placebo groups in terms of the likelihood of discontinuation for any reason (tolerability). The overall ORs observed for the groups treated with multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared to the placebo-treated group were 1.03 (95% CI=0.71–1.47, Z=0.14, P=0.89), 0.87 (95% CI=0.63–1.20, Z=0.87, P=0.38), and 1.06 (95% CI=0.74–1.52, Z=0.32, P=0.75), respectively (e-Figure 3). Additionally, there was no statistically significant difference in the discontinuation due to AEs (safety) between the groups treated with multiple doses (2.5, 5, and 10 mg/day) of vortioxetine and the group treated with placebo (e-Figure 4).

Quality of life and functional status results

Three studies in this analysis reported SF-36 scores as the outcome measure of QoL. The overall SMDs of groups treated with multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to placebo were 0.12 (95% CI=-0.11-0.35, Z=1.04, P=0.30), 0.22 (95% CI=-0.12-0.56, Z=1.26, P=0.21), and 0.09 (95% CI=-

0.14-0.32, $Z=0.75$, $P=0.45$), respectively (Figure 4). The results showed that there was no statistically significant difference in SF-36 scores among the different groups. SDS scores were available for all four studies included in this analysis. The overall SMDs for the groups treated with multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to placebo were -0.11 (95% CI=-0.30-0.08, $Z=1.09$, $P=0.28$), -0.10 (95% CI=-0.27-0.06, $Z=1.27$, $P=0.21$), and -0.20 (95% CI=-0.39-0.00, $Z=2.00$, $P=0.05$), respectively (Figure 5). The results showed that there was no statistically significant difference in SDS among the different groups.

Publication bias

The Egger test showed no significant difference main outcomes, indicating no publication bias.

Discussion

In this meta-analysis of 4 randomized trials studying vortioxetine as a treatment for GAD, we found that vortioxetine (2.5-, 5- and 10-mg once-daily doses) did not significantly improve GAD symptoms and quality of life/ functional status compared to a placebo treatment. However, vortioxetine might be safe and well tolerated in this patient population. Our findings have some clinical implications for comprehensively understanding the risk–benefit profiles of vortioxetine treatment for GAD.

Our results are not consistent with those of the previous meta-analysis conducted by Pae et al, as that study found that vortioxetine was significantly more effective than the placebo.¹⁸ In their study, they only performed the analysis of mean change from baseline in total scores on the HAM-A, and included all randomized subjects. However, our meta-analysis was separately conducted according to the doses of vortioxetine, and we assessed the efficacy in terms of mean change from baseline in total scores on the HAM-A, response rates, and remission rates. Doses of vortioxetine may be clinically important factors for its efficacy in GAD patients. Thus, the results of our meta-analysis were more reliable and stable. Moreover, a recent meta-analysis showed that there was no statistically significant difference regarding the response rates among groups treated with either multiple doses (2.5, 5, and 10 mg/day) of vortioxetine or a placebo.⁴¹ Furthermore, the results of our meta-analysis demonstrated no statistically significant difference in the mean change from baseline in total scores on the HAM-A, remission rates, quality of life, and functional status among the groups. Thus, the results of our meta-analysis were more comprehensive.

Several reasons for these outcomes may have contributed to the negative results. A previous analysis of the Food and Drug Administration database concluded that negative results are commonly seen in anxiolytic agents administered for the treatment of anxiety disorders, including GAD, where less than one-half (48%) of the treatment arms were statistically superior to the placebo.⁴² In this case, all anxiolytic agents included in the study are approved for GAD treatment in the United States, but only three out of seven treatment arms were separated from the placebo. Moreover, the results some studies have found that negative results¹⁴⁻¹⁶ had a higher placebo response rate than those with positive results.^{13,43} Although this correlation has not been established in GAD, it is reasonable to speculate that an elevated placebo response

1 could reduce the treatment effect. Unfortunately, because no positive control was included in our meta-
2 analysis, it is impossible to determine the lack-of-treatment effect. In addition, the racial diversity may have
3 introduced differences in response and remission rates; for example, the studied population of the trial that
4 showed negative results was racially diverse, whereas the population of the trial that showed positive
5 results was almost entirely Caucasian. Hence, the results of the STAR*D study demonstrate that non-
6 Caucasians were significantly less likely to achieve remission.⁴⁴ Furthermore, the mean baseline HAM-A
7 total scores in most of the included studies were relatively high (ranging from 24.5 to 27); inflated baseline
8 HAM-A total scores are a possible consequence of less stringent screening practices. Relative to placebo,
9 vortioxetine treatment, in doses of 10 and 20 mg, demonstrated significant improvement in depressive
10 symptoms, however, the RCTs that were studied, in accordance with those included in our meta-analysis,
11 used the optimal doses of 2.5-10 mg. Higher dosages, such as 20 mg or even more, would be more
12 beneficial in anxiety disorders, whereas lower dosages may unaffected anxiety symptoms. However, it is
13 unlikely that any single reason can explain the inconsistent results observed in the vortioxetine for GAD.

14 Although our meta-analysis did not demonstrate a statistically significant anxiolytic effect of
15 vortioxetine, it did provide information regarding drug tolerability. Our study found that there was no
16 statistically significant difference for the discontinuation for any reason rates and discontinuation due to
17 AEs rates among groups receiving either multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared
18 to placebo, which is similar to the findings of a previous meta-analysis.^{9,18} Thus, the vortioxetine doses
19 were well tolerated, and were associated with similar discontinuation for any reason rates and
20 discontinuation due to AEs rates when compared to the placebo.

21 GAD is associated with significant functional impairment in many areas, including social, occupational,
22 and mental consequences; and when combined with physical impairment, together they influence QoL. The
23 effectiveness of GAD treatment for improving QoL and functional outcomes is potentially confounded by
24 the bidirectional relationship of anxiety symptoms and QoL/functional impairment. This is the first meta-
25 analysis to report prospective assessment of QoL/functional status impairment in patients with GAD.
26 Unfortunately, our meta-analysis of randomized controlled trials with GAD patients showed no significant
27 improvement in the aforementioned aspects after vortioxetine treatment compared to after treatment with
28 the placebo. Our results are not consistent with those of a previous meta-analysis of the effect of
29 vortioxetine treatment on overall functioning in patients with MDD.⁴⁵ The meta-analysis, conducted by
30 Florea et al, demonstrated that vortioxetine, in doses of 5-20 mg for 6/8 weeks, improved overall
31 functioning in patients with MDD. Relative to placebo, vortioxetine treatment, in doses of 10 and 20 mg,
32 demonstrated significant improvement in SDS total score and functional remission. However, the RCTs
33 that were studied, in accordance with those included in our meta-analysis, used the optimal doses of 2.5-10
34 mg. Thus, the reason for the lack of congruence between these two meta-analyses may be the difference in
35 the optimal doses. Meanwhile, a recent meta-analysis of vortioxetine in working patients with GAD,⁴⁶
36 showed that vortioxetine benefits adult patients who are working and/or pursuing an education. Thus,
37 future research should be directed to provide more RCTs, specifically targeted to individuals with GAD, in

1 order to assess the efficacy of vortioxetine in a larger sample, as well as to define the best therapeutic
2 dosage.

3 The results of our meta-analysis should be interpreted in light of the following potential limitations.
4 First, we included only four RCTs, which may have influenced the reliability of the results. Second, the
5 duration of each trial included in our meta-analysis was 8 weeks; this is an important issue because GAD
6 patients typically require long-term pharmacological treatment. We found only one study focusing on long-
7 term relapse prevention, which showed no significant improvement of relapse prevention effect after long-
8 term (maintenance) vortioxetine treatment for GAD compared to placebo.⁴⁷ Furthermore, the systematic
9 review, conducted by Perna et al, indicated that although some recent data support the long-term efficacy of
10 vortioxetine for GAD and showed a favorable tolerability profile, the conflicting short-term studies and
11 limited clinical experience recommend its use only as second-line therapy.⁴⁸ In addition, owing to a limited
12 number of studies included in our meta-analysis, we did not compare the onset time between the groups
13 treated with multiple doses of vortioxetine and placebo. Finally, all the included trials were supported by
14 the Takeda Pharmaceutical Company Ltd. as part of a joint clinical development program with H.
15 Lundbeck A/S, which may have also influenced the results.

16 **Conclusions**

17 In summary, GAD is an illness that is characterized not only by severe anxiety symptoms, but also by
18 diminished functioning and QoL. The challenge for interventions is not only to achieve improvement of
19 symptoms, but also to enhance patients' functioning ability and QoL. Our comprehensive evaluation of
20 efficacy, safety, and impact on QoL provides a critical insight that may be useful for clinicians attempting
21 to thoroughly understand the risk–benefit profiles of vortioxetine treatment for GAD. Vortioxetine did not
22 significantly improve GAD symptoms and QoL as compared to the placebo; nevertheless, it was safe and
23 well tolerated in this patient population. However, our results should be interpreted and translated into
24 clinical practice with caution, owing to the limited number of RCTs included in the present meta-analysis.

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26
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29
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31 review of the manuscript. BQ, GH and MY performed the data extraction. BQ, MZ, HC and WG conceived
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40 **Patient consent for publication** Not required.

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1 **Data sharing statement** Additional data can be requested by emailing lzrmyyymx@126.com.
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5 **Table 1. Description of included studies.**

6 Study	7 Patients n	8 Age (mean, SD)	9 Sex (Male, n, %)	10 Interventions	11 Duration (weeks)	12 Key inclusion criteria for GAD
13 Bidzan et al. (2012) ¹³	T: 150; C: 151	T: 45.0 (14.1); C: 45.3 (13.5)	T: 47 (31.3); C: 58 (38.4)	T: vtx (5 mg/d); C: placebo	8	DSM-IV-TR, HAM-A \geq 20
14 Mahableshwarkar et al. (2014a) ¹⁴	T: 152, 152; C: 153	T: 40.8 (13.8); 43.3 (15.0); C: 39.5 (13.5)	T: 49 (32.2), 56 (36.8); C: 48 (31.4)	T: vtx 2.5 mg/d, vtx 10 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20
15 Mahableshwarkar et al. (2014b) ¹⁵	T: 156, 156, 156; C: 157	T: 39.2 (11.90), 37.7 (11.96), 39.8 (12.33); C: 36.8 (12.12)	T: 47 (30.1), 56 (35.9), 51 (32.7); C: 55 (35)	T: vtx 2.5 mg/d, vtx 5 mg/d, vtx 10 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20
16 Rothschild et al. (2012) ¹⁶	T: 152; C: 152	T: 41.0 (14.05); C: 41.4 (12.81)	T: 49 (32.2); C: 55 (36.2)	T: vtx 5 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20

17 Note: T, Treatment group; C, Control group; mg/d, mg/day; GAD, Generalized anxiety disorder; DSM-IV-TR,
18 Diagnostic and Statistical Manual of Mental Disorders, 4th edition Text Revision; HAM-A, Hamilton Anxiety
19 Rating; vtx, Vortioxetine.
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Figure legends

Figure 1: Odds ratios (ORs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the response rates between the vortioxetine-treated and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

Figure 2: Odds ratios (ORs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the remission rates between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

Figure 3: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the mean change from baseline in total scores on the HAM-A, between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

Figure 4: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the Short Form 36 Health Survey (SF-36) scores between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

Figure 5: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the Sheehan Disability Scale (SDS) scores between the vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

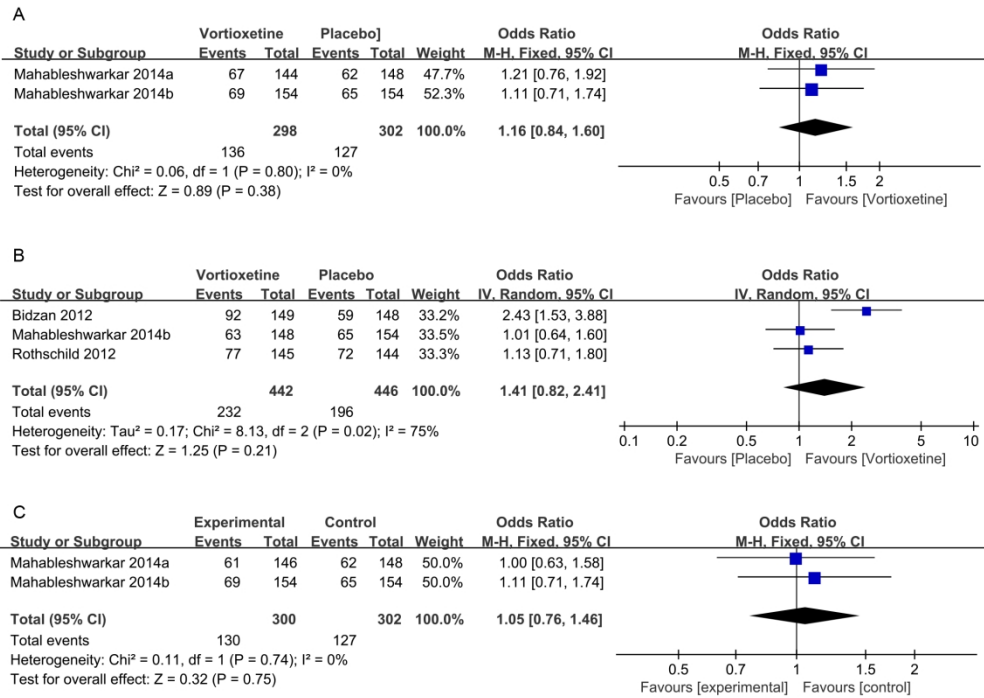


Figure 1

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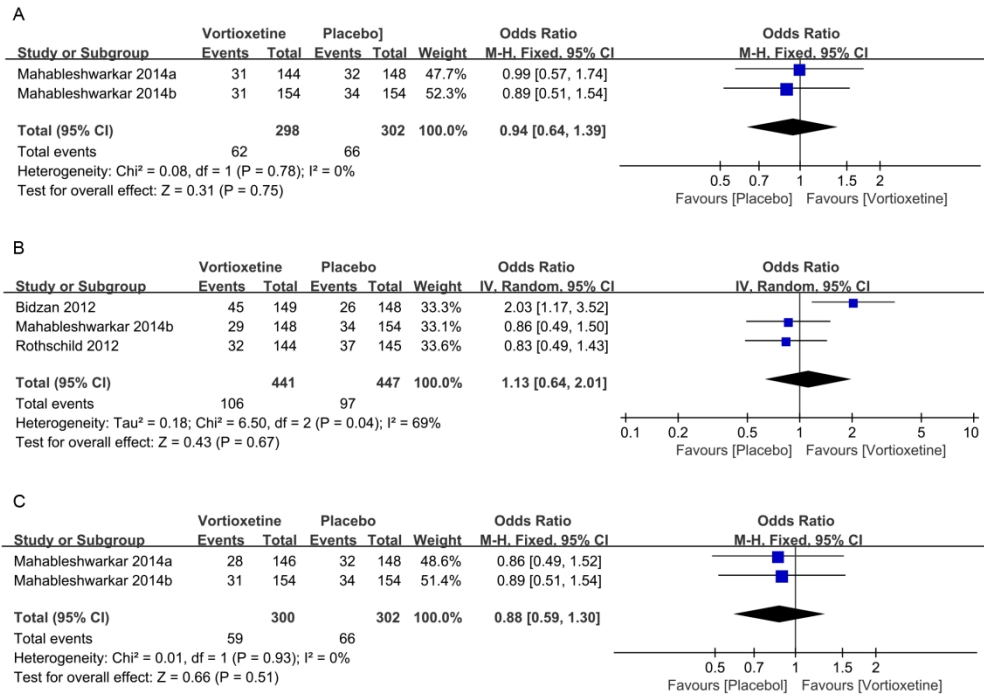


Figure 2

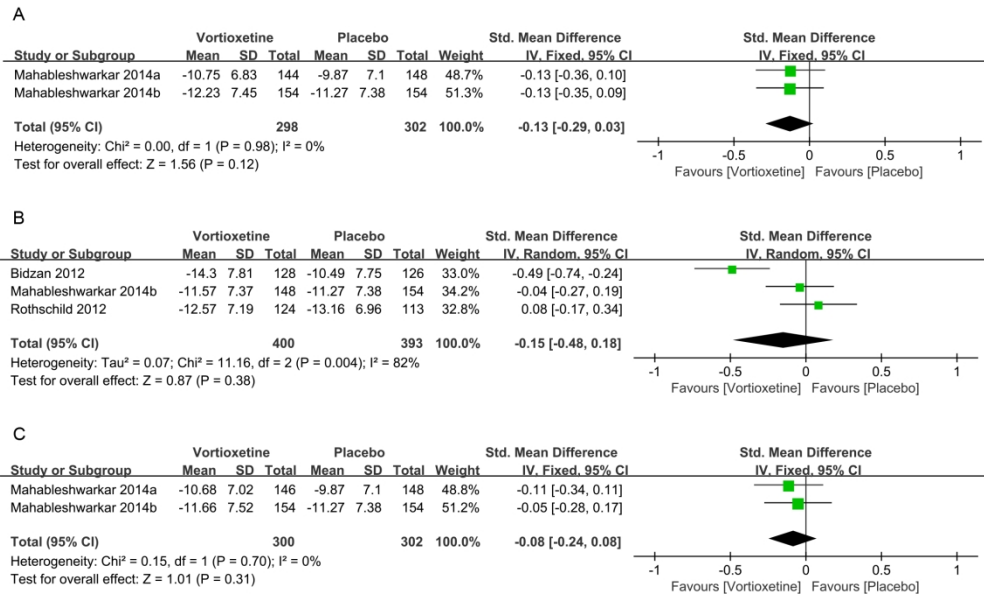


Figure 3

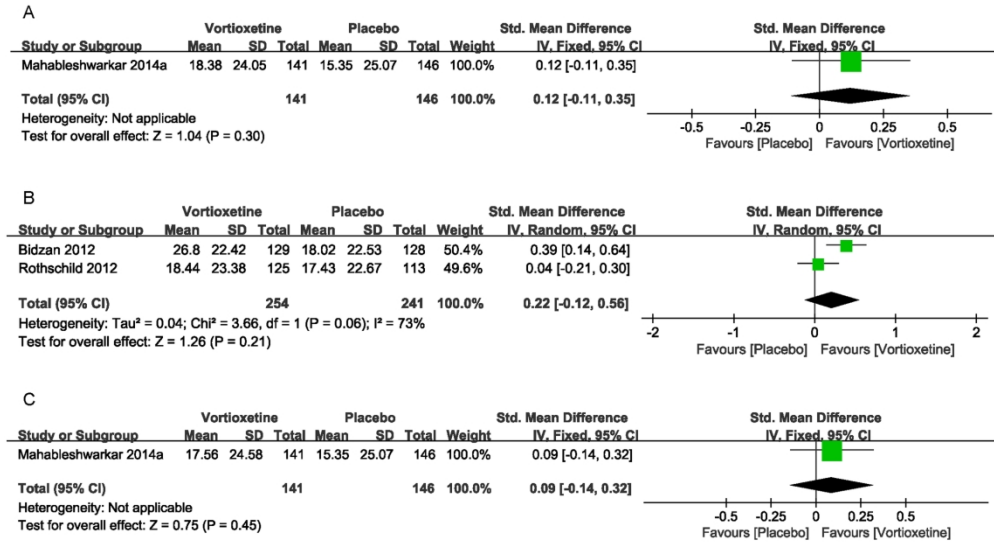


Figure 4

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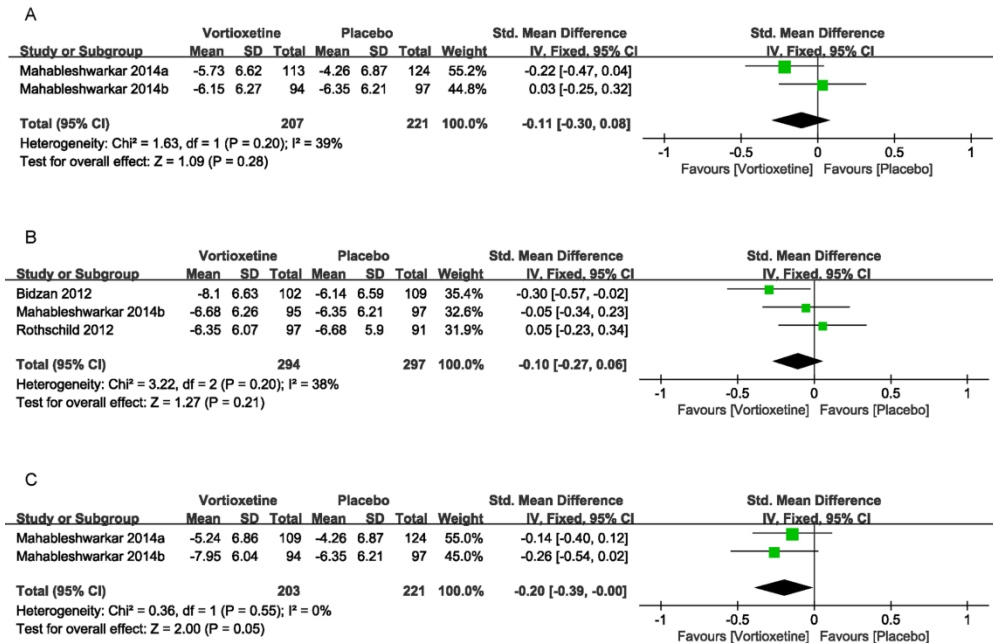
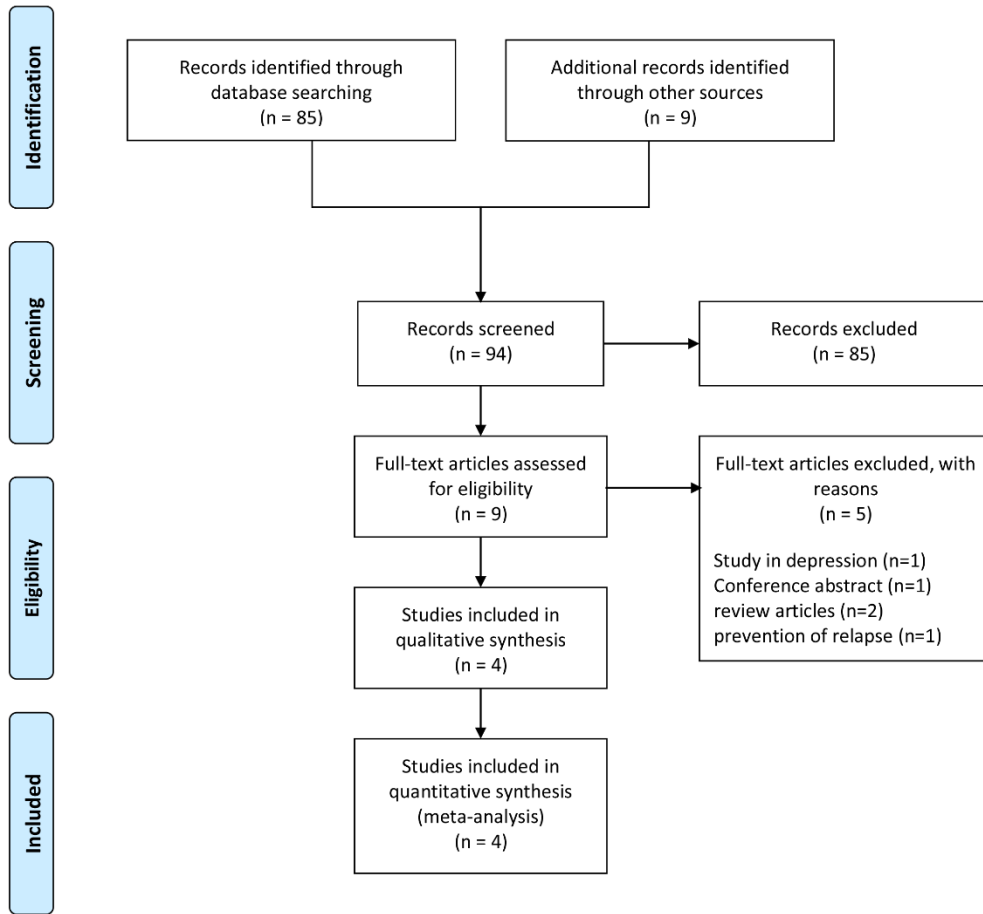


Figure 5

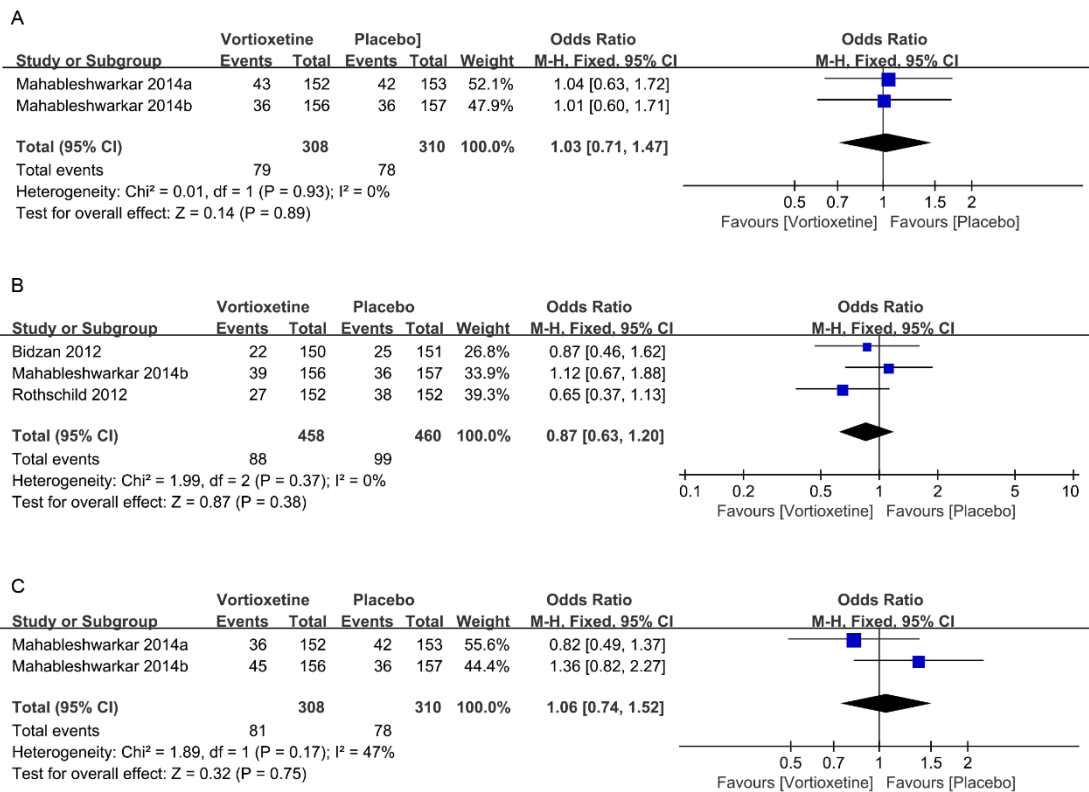
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e-Figure 1: Search flow for the trial identification and selection process.

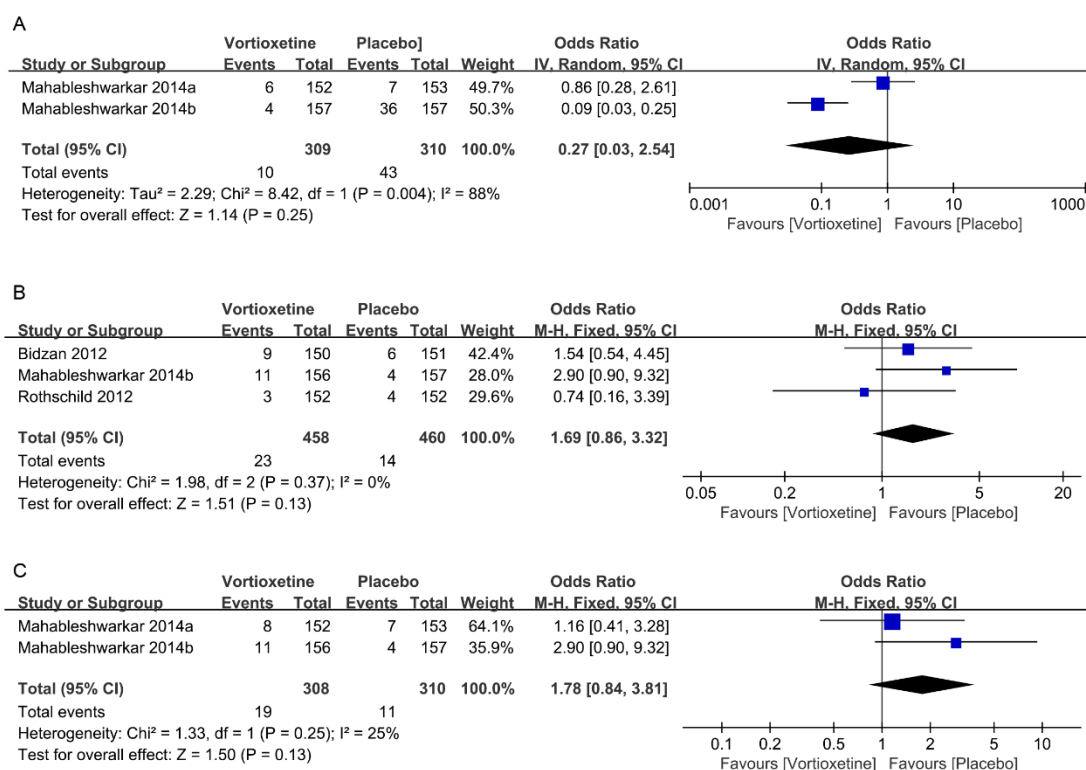
	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Bidzan 2012	?	?	+	+	+	?
Mahableshwarkar 2014a	?	?	+	+	+	?
Mahableshwarkar 2014b	+	+	+	+	+	?
Rothschild 2012	+	+	+	+	+	?

e-Figure 2: Summarized risks of bias for the included studies.



e-Figure 3: Odds ratios (ORs) and 95% confidence intervals (CIs) of the selected studies and the pooled data, comparing the discontinuation rates for any reason, between the vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.



e-Figure 4: Odds ratios (ORs) and 95% confidence intervals (CIs) of the selected studies and the pooled data, comparing the discontinuation rates due to adverse events (AEs), between vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.



PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reported on page #
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3-4
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	4
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	4
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	4-5
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	6
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I ²) for each meta-analysis.	6



PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	5-6
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	5-6
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	6
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	6
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	7
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	7-8
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	7-8
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	7
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	7-8
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	8-9
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	10
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	10
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	10

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

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BMJ Open

Vortioxetine Treatment for Generalized Anxiety Disorder: A Meta-Analysis of Anxiety, Quality of Life, and Safety Outcomes

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Primary Subject Heading:	Mental health
Secondary Subject Heading:	Pharmacology and therapeutics, Mental health
Keywords:	Multimodal therapy, Generalized anxiety disorder, Vortioxetine, Meta-analysis

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1 **Vortioxetine Treatment for Generalized Anxiety Disorder: A Meta-Analysis of**
2 **Anxiety, Quality of Life, and Safety Outcomes**

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17 Bin Qin, Guangsu Huang and Qian Yang contributed equally to this study

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Abstract:

Objectives The aim of this study was to investigate the efficacy, tolerability, safety, and impact on quality of life (QoL) and functional status of vortioxetine treatment for patients with generalized anxiety disorder (GAD) by performing a meta-analysis of randomized controlled trials.

Design Systematic review and meta-analysis.

Data sources Data mining was conducted in January 2019 across PubMed, EMBASE, PsycINFO, Cochrane Central Register of Controlled Trials Cochrane Library, Web of science, and ClinicalTrials.gov.

Eligibility criteria for selecting studies All published Randomized controlled trials (RCTs) which assessed the effect of vortioxetine treatment for patients with GAD when compared with a placebo group were included.

Data extraction and synthesis Relevant data was extracted and synthesized narratively. Results were expressed as standardized mean differences (SMDs) or odds ratios (ORs) with 95% confidence intervals (CIs).

Results Our meta-analysis showed that multiple doses (2.5, 5, and 10 mg/day) of vortioxetine did not significantly improve the response rates, compared to placebo (OR = 1.16, 95% CI=0.84–1.60, P=0.38; OR = 1.41, 95% CI=0.82–2.41, P=0.21; and OR = 1.05, 95% CI=0.76–1.46, P=0.75). Moreover, there was no statistically significant difference regarding the remission rates, discontinuation for any reason rates, discontinuation due to adverse events rates, Short Form 36 Health Survey scores, or Sheehan Disability Scale scores between administration of multiple doses (2.5, 5, and 10 mg/day) of vortioxetine and placebo.

Conclusions Although our results suggest that vortioxetine did not improve the generalized anxiety disorder symptoms, QoL and functional status impairment of patients with GAD, it was safe and well tolerated. Clinicians should interpret and translate our data with caution, as the meta-analysis was based on a limited number of randomized controlled trials.

Keywords: Multimodal therapy; Generalized anxiety disorder; Vortioxetine; Meta-analysis

Word count: 3548.

Strengths and limitations of this study

► This systematic review and meta-analysis provides evidence for the efficacy, tolerability, and safety of vortioxetine in treatment of patients with generalized anxiety disorder

► Improvement of quality of life and functional status impairment were also evaluated to judge the patients' well-being of vortioxetine.

► Strong and reliable methodological and statistical procedures were applied.

► Due to the short-term follow-up in the evaluated studies, the long-term effect was not studied.

1 Introduction

2 Generalized anxiety disorder (GAD) is a common, chronic, costly, and disabling mental disorder which is
3 marked by persistent anxiety and worry, and multiple psychological and physical symptoms.^{1,2} It is also
4 characterized by various psychological and somatic complaints, such as autonomic arousal, restlessness,
5 fatigue, problems with concentration, irritability, and sleeplessness.¹ The estimated 1-year prevalence rate
6 of GAD is between 1.2% and 1.9%, and the lifetime prevalence is between 4.3% and 5.9%.^{2,3} Since most
7 patients are still affected for 6 to 12 years after diagnosis, GAD is usually considered a chronic disorder and
8 a major burden on the individual, their family, and health care services.^{2,4} Anxiety is a common
9 comorbidity of chronic medical diseases including atopic dermatitis, asthma, rheumatoid arthritis, lupus
10 and stroke. Anxiety has a negative impact on the quality of life of patients suffer from chronic diseases.^{5,6}

11 Vortioxetine is a multimodal antidepressant that was approved for the treatment of major depressive
12 disorder (MDD), by the US Food and Drug Administration (FDA) in September 2013. Vortioxetine's
13 mechanism of action is related to its multimodal activity, which combines two pharmacological properties:
14 direct modulation of receptor activity and inhibition of the 5-HT transporter, and thereby with potential
15 benefits in the treatment of major depressive episode and, probably, GAD and anxiety disorders.⁷ In
16 addition, vortioxetine cause significant increase in the hippocampal Brain Derived Neurotrophic Factors
17 levels as compared with selective serotonin reuptake inhibitors.⁸ Several meta-analyses have proved the
18 efficacy of vortioxetine in treatment of MDD.⁹⁻¹¹ A recent scientometric analysis reported that the
19 popularity of vortioxetine is rising.¹² Clinical trials evaluating its efficacy for the treatment of GAD, with
20 doses up to 10 mg/day, have also yielded some interesting findings.¹³⁻¹⁶ Moreover, as vortioxetine has been
21 proven to be efficient in the treatment of MDD comorbid with GAD, it is possible that it constitutes an
22 effective treatment for GAD alone, as well.¹⁷

23 Interestingly, the efficacy of vortioxetine therapy in reducing anxiety symptom severity in GAD is
24 summarized in two previous meta-analyses.^{18,19} Both reviews analyzed its efficacy only in terms of
25 symptom severity on the underlying continuous rating scales, and did not assess dichotomous outcomes of
26 response and remission rates. However, a recent meta-analysis examined the efficacy of multiple doses of
27 vortioxetine in terms of dichotomous response outcomes, and the results showed no significant
28 improvement in the outcomes of treating GAD with vortioxetine compared to treating GAD with placebo.²⁰
29 The efficacy was only assessed using continuous rating scales or dichotomous response; thus, the authors
30 of these meta-analyses and of a relevant narrative review noted that a comprehensive summary of efficacy
31 data is missing. Further, both these reviews only provided an assessment of efficacy and safety outcomes,
32 and did not include important outcomes of patient-focused assessments, such as assessment of functional
33 impairment and quality of life (QoL). Currently there is growing interest in assessing the QoL and
34 functional status impairment in patients with psychological disorders.^{21,22} In addition, the importance of
35 including such assessments in evaluations of the influence of psychological disorders and their treatment, is
36 widely recognized.²³⁻²⁶ Our previous network meta-analysis concluded that risperidone and aripiprazole
37 improved the QoL of patients with treatment-resistant depression.²⁷ Despite the growing interest in the

1 field, studies addressing the impairment of QoL and functional status caused by anxiety disorders have
2 progressed slowly.²⁸ Moreover, GAD is an important public mental health problem that causes poor QoL
3 and functional status impairment,²³ with substantial impact on work and social roles.²⁹ Thus, the outcome
4 of post-treatment QoL assessments is recognized as an important measure of treatment efficacy for patients
5 with GAD.³⁰

6 The assessment of antianxiety therapy benefits on QoL and functional status impairment in patients
7 with GAD is becoming increasingly common in clinical studies, mainly because, both aspects are important
8 for the patients' overall well-being and recovery. Currently, the direct effect of vortioxetine treatment on
9 QoL and functional status impairment in patients with GAD is unclear. Therefore, this meta-analysis was
10 conducted to provide a comprehensive estimate of the efficacy, safety, and improving QoL and functional
11 status impairment profiles of vortioxetine in treatment of GAD.

12 13 **Methods**

14 All steps of this review were performed in strict accordance with the Cochrane Handbook for Systematic
15 Reviews of Interventions.³¹ The PRISMA statement guidelines were followed during the meta-analysis and
16 preparation of this review.³²

17 18 **Search strategy**

19 As of January 2019, we searched PubMed, EMBASE, PsycINFO, Cochrane Central Register of Controlled
20 Trials (Cochrane Library), Web of science, and ClinicalTrials.gov (www.clinicaltrials.gov). Search terms
21 included “vortioxetine OR Lu AA21004” OR Brintellix” AND “anxiety OR anxiety disorder OR anxiety
22 disorders OR mood disorder OR mood disorders.” (Supplementary Table 1) No language or time
23 restrictions were applied. Titles and abstracts were screened by two independent reviewers, before full texts
24 of potentially relevant articles were retrieved for further evaluation. The decision to include a study was
25 then made by two independent reviewers (BQ and WG), after full-text review. The reference lists of
26 included articles were further hand-searched to identify additional relevant articles.

27 28 **Eligibility Criteria and Study Selection**

29 We included all clinical trials meeting the following criteria: (a) randomized controlled trials
30 (RCTs) involving patients (≥ 18 years old) primarily diagnosed with GAD, according to the criteria of the
31 Diagnostic and Statistical Manual of Mental Disorders, fourth edition, text revision (DSM-IV-TR), and (b)
32 RCTs comparing outcomes in efficacy, QoL, and functional impairment between vortioxetine and placebo.
33 We excluded (a) retrospective and observational studies; (b) non-human studies; (c) theses and conference
34 abstracts; and (d) studies including patients that had any concurrent psychiatric disorders with GAD or any
35 prior history of psychiatric disorders, such as manic or hypomanic episodes, schizophrenia, or substance
36 use disorders. Eligibility screening was performed in two steps, each by two independent reviewers (BQ
37 and WG): (a) title and abstract screening for relevance to the study objective, and (b) full text screening for

1 eligibility for a meta-analysis. Conflicts were resolved by the opinion of a third reviewer (MY).

3 **Outcomes**

4 Efficacy measures

5 Response was defined across studies as a 50% improvement of the Hamilton Anxiety Rating Scale (HAM-
6 A) total score from baseline to end point. Remission was defined as a HAM-A total score of ≤ 7 at the
7 study end point. The continuous measure of efficacy was the mean change from baseline in total scores on
8 the HAM-A, as defined by the individual study.

10 Safety and tolerability measures

11 Data on the discontinuation for any reason (tolerability) and the numbers of discontinuation due to adverse
12 events (AEs) (safety) were included in the analysis.

14 QoL and functioning measures

15 QoL can be assessed by study-designed questionnaires and disease-specific or generic instruments. These
16 instruments assess an individual's physical, emotional, psychological, and social health.^{33,34} We selected
17 the Short Form 36 Health Survey (SF-36)³⁵ scores as the outcome indicator for QoL to preserve sufficient
18 homogeneity for meta-analysis, because this instrument is used to measure QoL for the GAD population in
19 many studies. Studies were excluded if the QoL outcome was reported by the other rating scales. The
20 Sheehan Disability Scale (SDS),³⁶ a reliable, valid, brief, self-report scale that assesses disability in work,
21 social, and family life, is the only measure of functional impairment employed by the trials included in this
22 meta-analysis.

24 **Data Extraction**

25 Two independent reviewers (BQ and WG) extracted the following data from the included studies: (a)
26 baseline characteristics of enrolled patients, (b) general characteristics of the study design, (c) information
27 on efficacy, safety, tolerability, QoL, and functioning outcome. Data were summarized by one investigator
28 and checked by a second reviewer. Any discrepant data were, again, examined by a third reviewer (MY), to
29 ensure accurate data were obtained.

31 **Risk of Bias Assessment**

32 The risk of bias within each study was assessed by two independent reviewers (BQ and WG) using the
33 Cochrane Risk of Bias assessment tool, as described in the Cochrane Handbook for Systematic Reviews of
34 Interventions 5.1.0.³¹ This tool classifies the studies as having low, unclear, or high risk of bias across six
35 domains: sequence generation, allocation concealment, blinding, missing data, selective reporting, and
36 other biases.

Data Analysis

The meta-analysis was conducted using RevMan 5.3 software (Cochrane Collaboration, London, UK) and Stata 13.0 software (StataCorp LP, College Station, TX, USA). Odds ratios (ORs) and 95% CIs were used to assess binary outcomes, such as response, remission rates, as well as discontinuation for any reason rates. In addition, we converted continuous data to standardized mean differences (SMDs) and 95% CIs. The statistical heterogeneity among trials was measured by Q statistics and the I^2 test. Higher I^2 values indicate greater heterogeneity, with I^2 values of 25, 50, and 75% signifying mild, moderate, and high heterogeneity, respectively.^{37,38} Based on heterogeneity, data were pooled to estimate the overall effect of all the interventions by random-effect or fixed-effect modelling. Fixed-effect models assume that the population effect sizes are the same for all studies. In contrast, random-effects model attempted to generalize findings beyond the included studies by assuming that the selected studies are random samples from a larger population.³⁹ Sensitivity analyses were performed to test the impact robustness of every single study on the overall results. Publication bias could be assessed by visual inspection of a funnel plot, and the Egger test was also used to evaluate publication biases. However, according to Egger and colleagues, assessing publication bias using the funnel plot-based methods is not reliable when fewer than 10 pooled studies are used in the direct comparison.⁴⁰

Patient and public involvement

Patients or the public were not involved in the conceptualisation or carrying out of this research.

Results

Search results

We identified 94 references from the electronic literature search. After screening the titles and abstracts, 85 were excluded because they failed to meet the inclusion criteria. By reading the full text of the remaining nine articles, five more were excluded: one study included patients with depression, one study focused on vortioxetine in the prevention of relapse of GAD, another one constituted a conference abstract and did not provide treatment outcomes, and two studies were eliminated because they were review articles. Ultimately, only four studies that fully satisfied the pre-established inclusion criteria of this meta-analysis were included (see Supplementary Figure 1).¹³⁻¹⁶

Study Characteristics

Four included studies were published between 2012 and 2014 (Table 1).¹³⁻¹⁶ The collective patient population comprised 1074 individuals in the vortioxetine group and 613 individuals in the placebo group. The administered doses of vortioxetine were 2.5, 5, and 10 mg/day. The mean age of participants ranged from 36.8 to 45.3 years. All studies were characterized by a preponderance of female subjects, with proportions ranging from 60 to 70%. The main characteristics of these studies are presented in Table 1.

Study Quality

The risks of bias in each study is summarized in Supplementary Figure 2. All studies claimed randomization and three articles described the method of random sequence generation (random number table, computer generated). Three trials provided information that allowed us to assess whether an adequate concealment of the allocation procedure was used. All studies reported the blinding of participants. Therefore, all trials were deemed to have a mild-to-moderate risk of bias.

Efficacy

In terms of response, a total of four studies were included in the analysis; the overall ORs observed for groups treated with multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared to placebo were 1.16 (95% CI=0.84–1.60, Z=0.89, P=0.38), 1.41 (95% CI=0.82–2.41, Z=1.25, P=0.21), and 1.05 (95% CI=0.76–1.46, Z=0.32, P=0.75), respectively (Figure 1). The results showed that there was no statistically significant difference in the response rates among the vortioxetine- and placebo groups. In addition, there was no statistically significant difference for the remission rates in multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to placebo (Figure 2).

Pooled effect sizes for the mean change from baseline in total scores on the HAM-A are provided in Figure 3. The overall SMDs observed for the groups treated with multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to the placebo were -0.13 (95% CI=-0.29–0.03, Z=1.56, P=0.12), -0.15 (95% CI=-0.48–0.18, Z=0.87, P=0.38), and -0.08 (95% CI=-0.24–0.08, Z=1.01, P=0.31), respectively. The results also showed that there was no statistically significant difference in the mean change from baseline in total scores on the HAM-A among the vortioxetine and placebo groups.

Tolerability and safety

No significant difference was observed between the vortioxetine and placebo groups in terms of the likelihood of discontinuation for any reason (tolerability). The overall ORs observed for the groups treated with multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared to the placebo-treated group were 1.03 (95% CI=0.71–1.47, Z=0.14, P=0.89), 0.87 (95% CI=0.63–1.20, Z=0.87, P=0.38), and 1.06 (95% CI=0.74–1.52, Z=0.32, P=0.75), respectively (Supplementary Figure 3). Additionally, there was no statistically significant difference in the discontinuation due to AEs (safety) between the groups treated with multiple doses (2.5, 5, and 10 mg/day) of vortioxetine and the group treated with placebo (Supplementary Figure 4).

Quality of life and functional status results

Three studies in this analysis reported SF-36 scores as the outcome measure of QoL. The overall SMDs of groups treated with multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to placebo were 0.12

(95% CI=-0.11-0.35, Z=1.04, P=0.30), 0.22 (95% CI=-0.12-0.56, Z=1.26, P=0.21), and 0.09 (95% CI=-0.14-0.32, Z=0.75, P=0.45), respectively (Figure 4). The results showed that there was no statistically significant difference in SF-36 scores among the different groups. SDS scores were available for all four studies included in this analysis. The overall SMDs for the groups treated with multiple doses (2.5, 5, and 10 mg/d) of vortioxetine compared to placebo were -0.11 (95% CI=-0.30-0.08, Z=1.09, P=0.28), -0.10 (95% CI=-0.27-0.06, Z=1.27, P=0.21), and -0.20 (95% CI=-0.39-0.00, Z=2.00, P=0.05), respectively (Figure 5). The results showed that there was no statistically significant difference in SDS among the different groups.

Publication bias

The Egger test showed no significant difference main outcomes, indicating no publication bias.

Discussion

In this meta-analysis of 4 randomized trials studying vortioxetine as a treatment for GAD, we found that vortioxetine (2.5-, 5- and 10-mg once-daily doses) did not significantly improve GAD symptoms, quality of life and functional status compared to a placebo treatment. However, vortioxetine might be safe and well tolerated in this patient population. Our findings have some clinical implications for comprehensively understanding the risk–benefit profiles of vortioxetine treatment for GAD.

Our results are not consistent with those of the previous meta-analysis conducted by Pae et al, as that study found that vortioxetine was significantly more effective than the placebo.¹⁸ In their study, they only performed the analysis of mean change from baseline in total scores on the HAM-A, and included all randomized subjects. However, our meta-analysis was separately conducted according to the doses of vortioxetine, and we assessed the efficacy in terms of mean change from baseline in total scores on the HAM-A, response rates, and remission rates. Doses of vortioxetine may be clinically important factors for its efficacy in GAD patients. Thus, the results of our meta-analysis were more reliable and stable. Moreover, a recent meta-analysis showed that there was no statistically significant difference regarding the response rates among groups treated with either multiple doses (2.5, 5, and 10 mg/day) of vortioxetine or a placebo.⁴¹ Furthermore, the results of our meta-analysis demonstrated no statistically significant difference in the mean change from baseline in total scores on the HAM-A, remission rates, quality of life, and functional status among the groups. Thus, the results of our meta-analysis were more comprehensive.

Several reasons for these outcomes may have contributed to the negative results. A previous analysis of the Food and Drug Administration database concluded that negative results were commonly seen in anxiolytic agents administered for the treatment of anxiety disorders, including GAD, where less than one-half (48%) of the treatment arms were statistically superior to the placebo.⁴² In this case, all anxiolytic agents included in the study are approved for GAD treatment in the United States, but only three out of seven treatment arms were separated from the placebo. Moreover, the results some studies have found that negative results¹⁴⁻¹⁶ had a higher placebo response rate than those with positive results.^{13,43} Although this

1 correlation has not been established in GAD, it is reasonable to speculate that an elevated placebo response
2 could reduce the treatment effect. Unfortunately, because no positive control was included in our meta-
3 analysis, it is impossible to determine the lack-of-treatment effect. In addition, the racial diversity may have
4 introduced differences in response and remission rates; for example, the studied population of the trial that
5 showed negative results was racially diverse, whereas the population of the trial that showed positive
6 results was almost entirely Caucasian. Hence, the results of the STAR*D study demonstrate that non-
7 Caucasians were significantly less likely to achieve remission.⁴⁴ Furthermore, the mean baseline HAM-A
8 total scores in most of the included studies were relatively high (ranging from 24.5 to 27); inflated baseline
9 HAM-A total scores are a possible consequence of less stringent screening practices. Relative to placebo,
10 vortioxetine treatment, in doses of 10 and 20 mg, demonstrated significant improvement in depressive
11 symptoms, however, the RCTs that were studied, in accordance with those included in our meta-analysis,
12 used the optimal doses of 2.5-10 mg. Higher dosages, such as 20 mg or even more, would be more
13 beneficial in anxiety disorders, whereas lower dosages may unaffected anxiety symptoms. However, it is
14 unlikely that any single reason can explain the inconsistent results observed in the vortioxetine for GAD.

15 Although our meta-analysis did not demonstrate a statistically significant anxiolytic effect of
16 vortioxetine, it did provide information regarding drug tolerability. Our study found that there was no
17 statistically significant difference for the discontinuation for any reason rates and discontinuation due to
18 AEs rates among groups receiving either multiple doses (2.5, 5, and 10 mg/day) of vortioxetine compared
19 to placebo, which is similar to the findings of a previous meta-analysis.^{9,18} Thus, the vortioxetine doses
20 were well tolerated, and were associated with similar discontinuation for any reason rates and
21 discontinuation due to AEs rates when compared to the placebo.

22 GAD is associated with significant functional impairment in many areas, including social, occupational,
23 and mental consequences; and when combined with physical impairment, together they influence QoL. The
24 effectiveness of GAD treatment for improving QoL and functional outcomes is potentially confounded by
25 the bidirectional relationship of anxiety symptoms and QoL/functional impairment. This is the first meta-
26 analysis to report prospective assessment of QoL/functional status impairment for vortioxetine in treatment
27 of patients with GAD. Unfortunately, our meta-analysis of RCTs with GAD patients showed no significant
28 improvement in the aforementioned aspects after vortioxetine treatment compared to after treatment with
29 the placebo. Our results are not consistent with those of a previous meta-analysis of the effect of
30 vortioxetine treatment on overall functioning in patients with MDD.⁴⁵ The meta-analysis, conducted by
31 Florea et al, demonstrated that vortioxetine, in doses of 5-20 mg for 6/8 weeks, improved overall
32 functioning in patients with MDD. Relative to placebo, vortioxetine treatment, in doses of 10 and 20 mg,
33 demonstrated significant improvement in SDS total score and functional remission. However, the RCTs
34 that were studied, in accordance with those included in our meta-analysis, used the optimal doses of 2.5-10
35 mg. Thus, the reason for the lack of congruence between these two meta-analyses may be the difference in
36 the optimal doses. Meanwhile, a recent meta-analysis of vortioxetine in working patients with GAD,⁴⁶
37 showed that vortioxetine benefits adult patients who are working and/or pursuing an education. Thus,

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3 1 future research should be directed to provide more RCTs, specifically targeted to individuals with GAD, in
4 2 order to assess the efficacy of vortioxetine in a larger sample, as well as to define the best therapeutic
5 3 dosage.

6 4 The results of our meta-analysis should be interpreted in light of the following potential limitations.
7 5 First, we included only four RCTs, which may have influenced the reliability of the results. Second, the
8 6 duration of each trial included in our meta-analysis was 8 weeks; this is an important issue because GAD
9 7 patients typically require long-term pharmacological treatment. We found only one study focusing on long-
10 8 term relapse prevention, which showed no significant improvement of relapse prevention effect after long-
11 9 term (maintenance) vortioxetine treatment for GAD compared to placebo.⁴⁷ Furthermore, the systematic
12 10 review, conducted by Perna et al, indicated that although some recent data support the long-term efficacy of
13 11 vortioxetine for GAD and showed a favorable tolerability profile, the conflicting short-term studies and
14 12 limited clinical experience recommend its use only as second-line therapy.⁴⁸ In addition, owing to a limited
15 13 number of studies included in our meta-analysis, we did not compare the onset time between the groups
16 14 treated with multiple doses of vortioxetine and placebo. Finally, all the included trials were supported by
17 15 the Takeda Pharmaceutical Company Ltd. as part of a joint clinical development program with H.
18 16 Lundbeck A/S, which may have also influenced the results.

17 18 **Conclusions**

19 19 In summary, GAD is an illness that is characterized not only by severe anxiety symptoms, but also by
20 20 diminished functioning and QoL. The challenge for interventions is not only to achieve improvement of
21 21 symptoms, but also to enhance patients' functioning ability and QoL. Our comprehensive evaluation of
22 22 efficacy, safety, and impact on QoL provides a critical insight that may be useful for clinicians attempting
23 23 to thoroughly understand the risk–benefit profiles of vortioxetine treatment for GAD. Vortioxetine did not
24 24 significantly improve GAD symptoms and QoL as compared to the placebo; nevertheless, it was safe and
25 25 well tolerated in this patient population. However, our results should be interpreted and translated into
26 26 clinical practice with caution, owing to the limited number of RCTs included in the present meta-analysis.

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31 31 review of the manuscript. BQ, GH and MY performed the data extraction. BQ, MZ, HC and WG conceived
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37 37 **Patient consent for publication** Not required.

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Data sharing statement Additional data can be requested by emailing lzrmyyymx@126.com.

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5 **Table 1. Description of included studies.**

6 Study	7 Patients n	8 Age (mean, SD)	9 Sex (Male, n, %)	10 Interventions	11 Duration (weeks)	12 Key inclusion criteria for GAD
13 Bidzan et al. (2012) ¹³	T: 150; C: 151	T: 45.0 (14.1); C: 45.3 (13.5)	T: 47 (31.3); C: 58 (38.4)	T: vtx (5 mg/d); C: placebo	8	DSM-IV-TR, HAM-A \geq 20
14 Mahableshwarkar et al. (2014a) ¹⁴	T: 152, 152; C: 153	T: 40.8 (13.8); 43.3 (15.0); C: 39.5 (13.5)	T: 49 (32.2), 56 (36.8); C: 48 (31.4)	T: vtx 2.5 mg/d, vtx 10 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20
15 Mahableshwarkar et al. (2014b) ¹⁵	T: 156, 156, 156; C: 157	T: 39.2 (11.90), 37.7 (11.96), 39.8 (12.33); C: 36.8 (12.12)	T: 47 (30.1), 56 (35.9), 51 (32.7); C: 55 (35)	T: vtx 2.5 mg/d, vtx 5 mg/d, vtx 10 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20
16 Rothschild et al. (2012) ¹⁶	T: 152; C: 152	T: 41.0 (14.05); C: 41.4 (12.81)	T: 49 (32.2); C: 55 (36.2)	T: vtx 5 mg/d; C: placebo	8	DSM-IV-TR, HAM-A \geq 20

17 Note: T, Treatment group; C, Control group; mg/d, mg/day; GAD, Generalized anxiety disorder; DSM-IV-TR,
18 Diagnostic and Statistical Manual of Mental Disorders, 4th edition Text Revision; HAM-A, Hamilton Anxiety
19 Rating; vtx, Vortioxetine.
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Figure legends

Figure 1: Odds ratios (ORs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the response rates between the vortioxetine-treated and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

Figure 2: Odds ratios (ORs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the remission rates between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

Figure 3: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the mean change from baseline in total scores on the HAM-A, between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

Figure 4: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the Short Form 36 Health Survey (SF-36) scores between the vortioxetine- and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

Figure 5: Standardized mean differences (SMDs) and 95% confidence intervals (CIs) of the individual studies and the pooled data, comparing the Sheehan Disability Scale (SDS) scores between the vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.

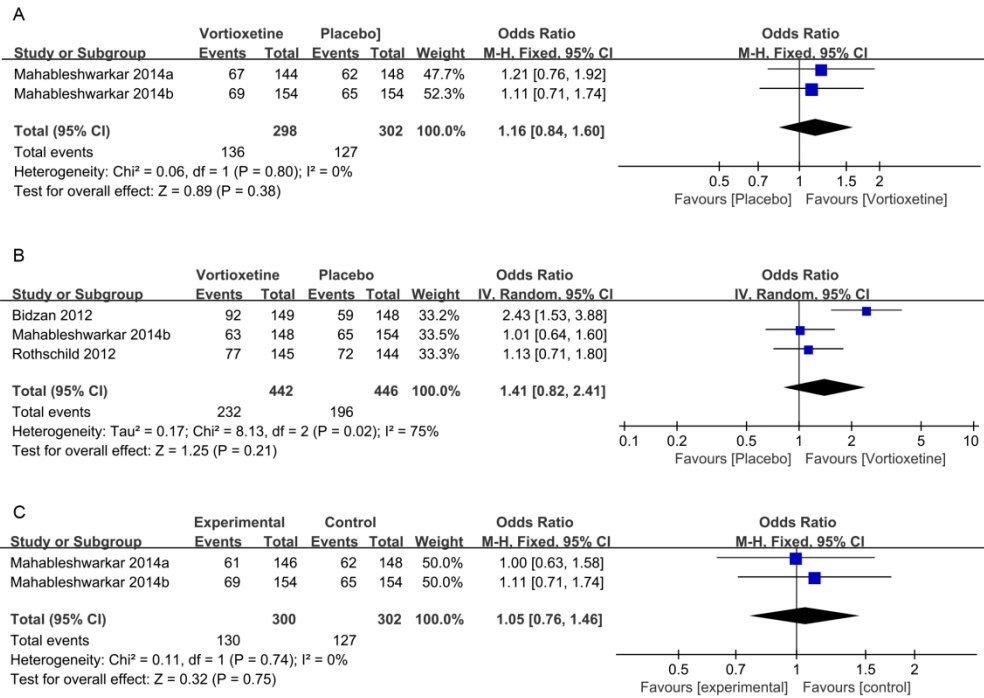


Figure 1

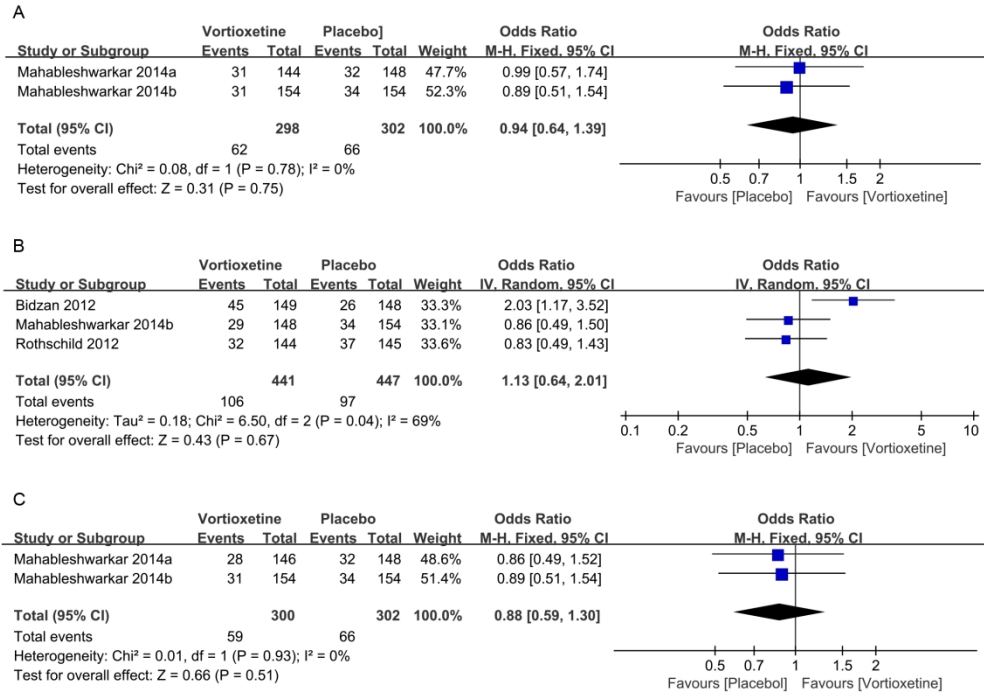


Figure 2

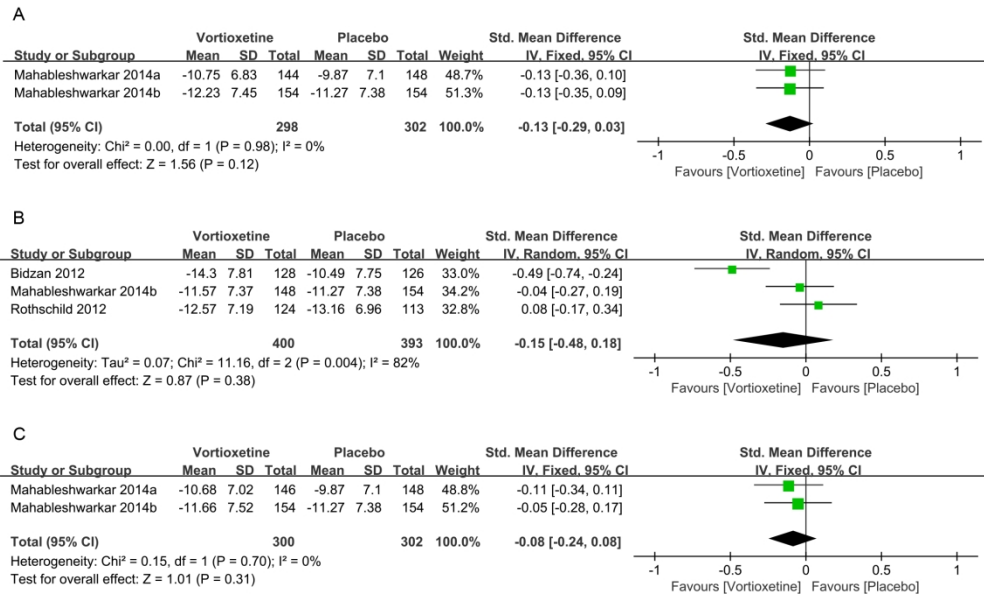


Figure 3

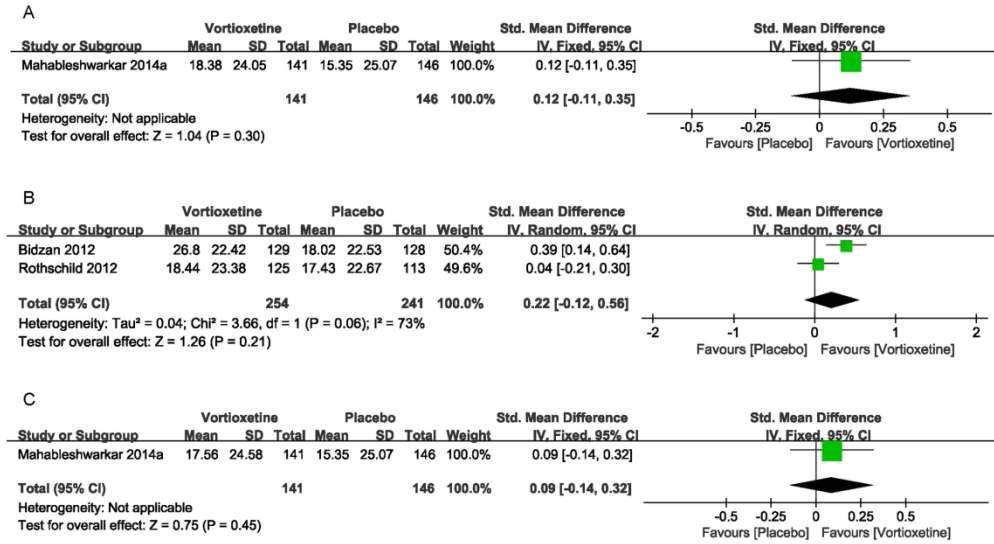


Figure 4

197x108mm (300 x 300 DPI)

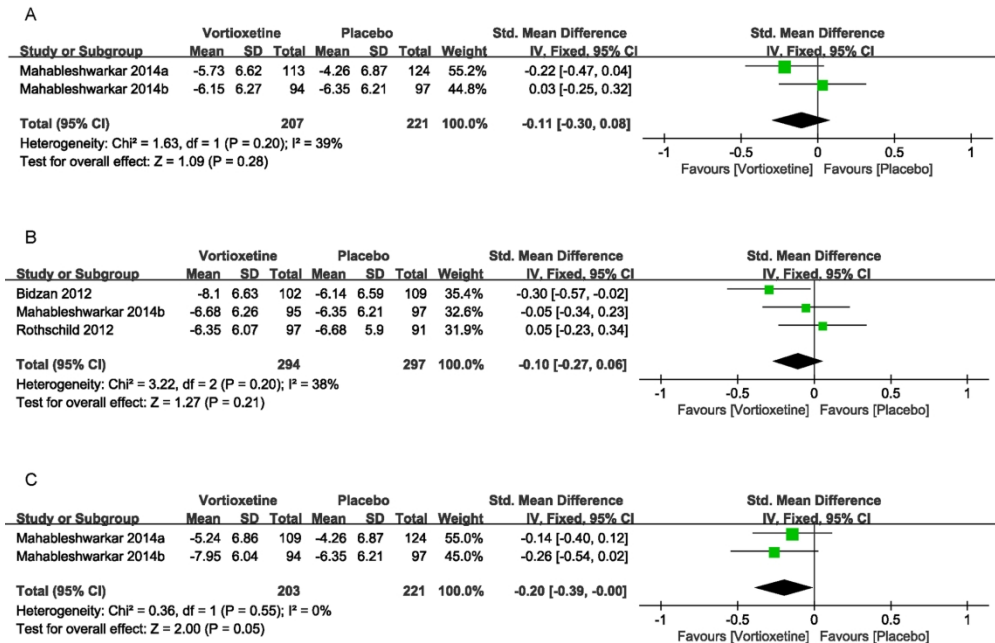
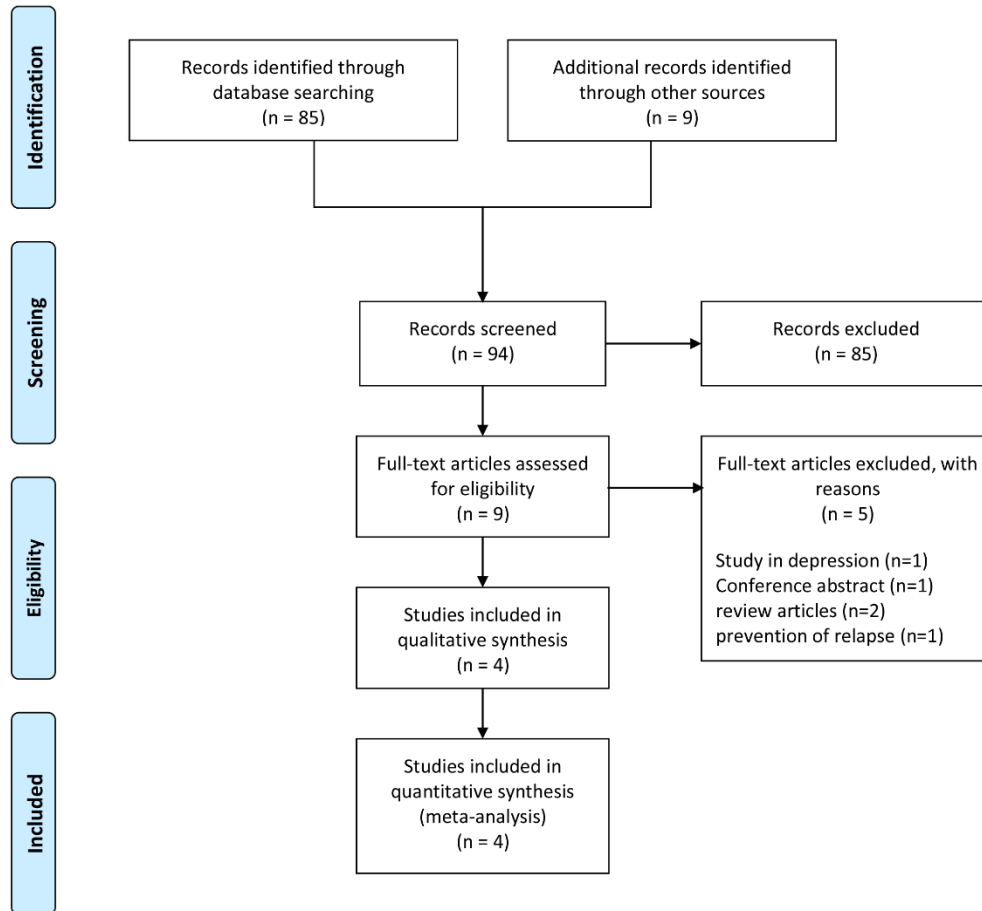


Figure 5

196x128mm (300 x 300 DPI)

Supplementary Table 1: Search Strategy

Search Strategy (Pubmed)	
#1	((vortioxetine[Title/Abstract]) OR Lu AA21004[Title/Abstract]) OR Brintellix[Title/Abstract]
#2	((((anxiety[Title/Abstract]) OR anxiety disorder[Title/Abstract]) OR anxiety disorders[Title/Abstract]) OR mood disorder[Title/Abstract]) OR mood disorders[Title/Abstract]
#3	Search "Anxiety Disorders"[Mesh]
#4 (#2 OR #3)	("Anxiety Disorders"[Mesh]) OR (((((anxiety[Title/Abstract]) OR anxiety disorder[Title/Abstract]) OR anxiety disorders[Title/Abstract]) OR mood disorder[Title/Abstract]) OR mood disorders[Title/Abstract])
#5 (#1 OR #4)	((("Anxiety Disorders"[Mesh]) OR (((((anxiety[Title/Abstract]) OR anxiety disorder[Title/Abstract]) OR anxiety disorders[Title/Abstract]) OR mood disorder[Title/Abstract]) OR mood disorders[Title/Abstract]))) AND (((vortioxetine[Title/Abstract]) OR Lu AA21004[Title/Abstract]) OR Brintellix[Title/Abstract])
#6	Filters: Clinical Trial; Randomized Controlled Trial

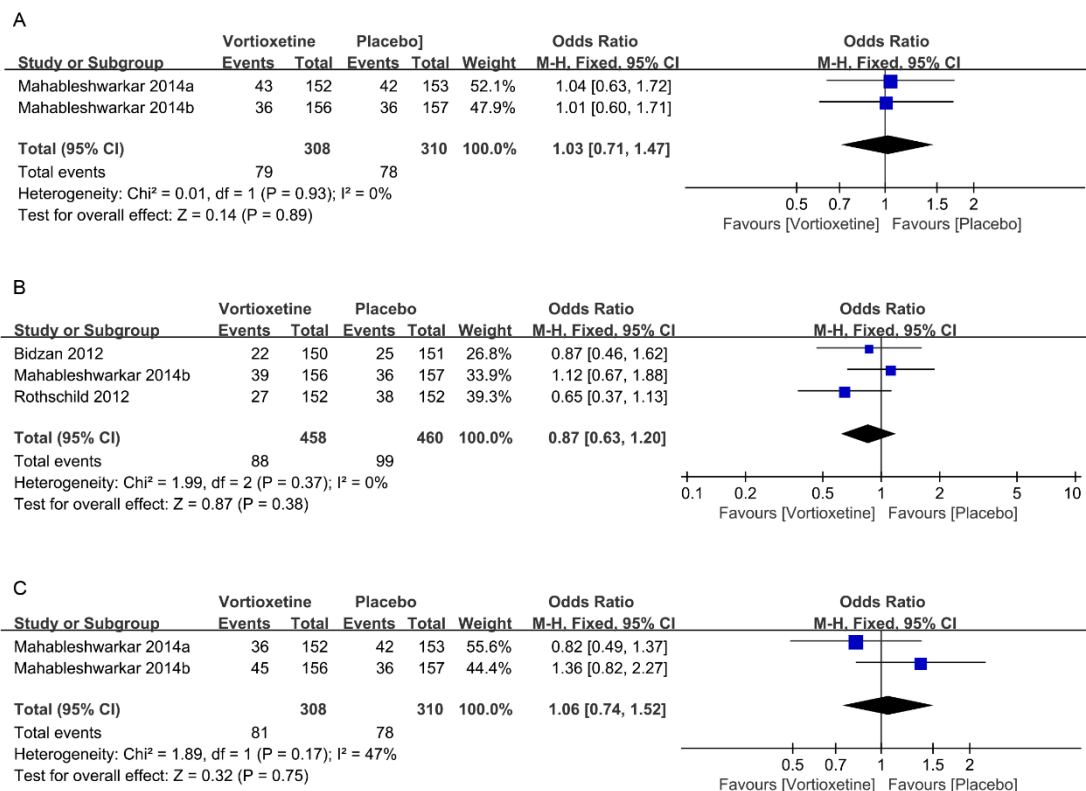


Supplementary Figure 1: Search flow for the trial identification and selection process.

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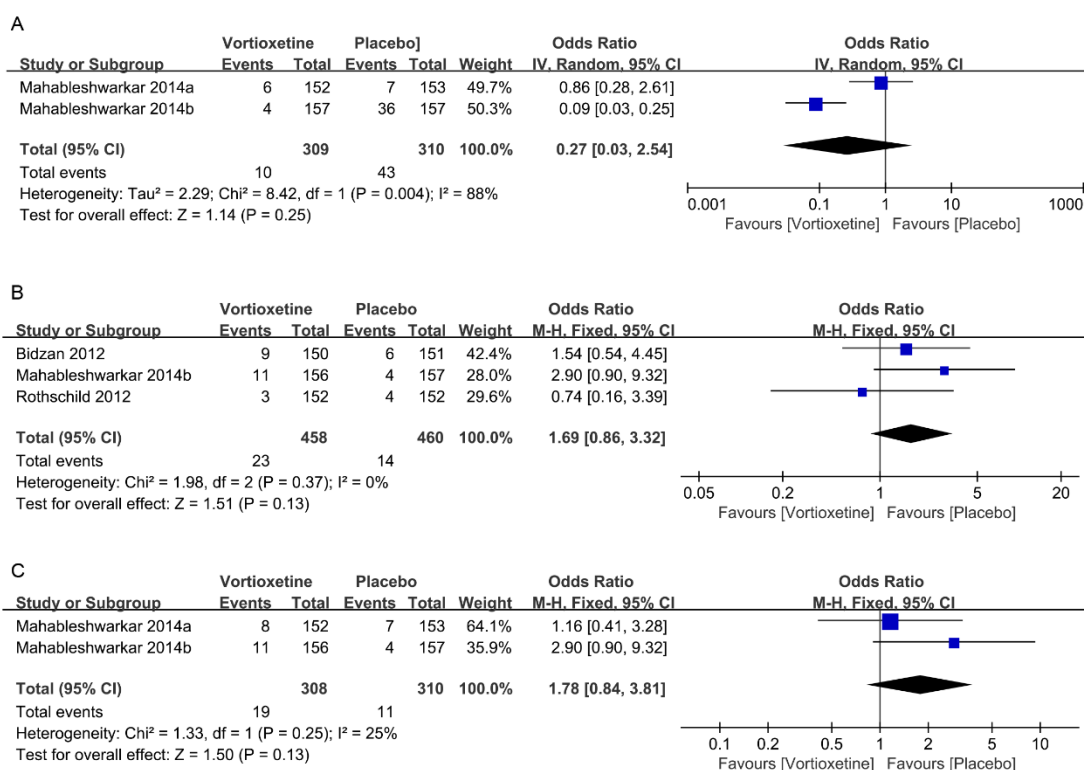
	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Bidzan 2012	?	?	+	+	+	?
Mahableshwarkar 2014a	?	?	+	+	+	?
Mahableshwarkar 2014b	+	+	+	+	+	?
Rothschild 2012	+	+	+	+	+	?

Supplementary Figure 2: Summarized risks of bias for the included studies.



Supplementary Figure 3: Odds ratios (ORs) and 95% confidence intervals (CIs) of the selected studies and the pooled data, comparing the discontinuation rates for any reason, between the vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.



Supplementary Figure 4: Odds ratios (ORs) and 95% confidence intervals (CIs) of the selected studies and the pooled data, comparing the discontinuation rates due to adverse events (AEs), between vortioxetine and placebo groups.

Notes: (A) 2.5-mg/day vortioxetine versus placebo, (B) 5-mg/day vortioxetine versus placebo, and (C) 10-mg/day vortioxetine versus placebo.



PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reported on page #
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3-4
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	4
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	4
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	4-5
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	6
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I^2) for each meta-analysis.	6



PRISMA 2009 Checklist

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Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	5-6
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	5-6
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	6
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	6
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	7
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	7-8
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	7-8
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	7
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	7-8
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	8-9
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	10
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	10
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	10

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

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