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typical haemolytic uraemic syndrome

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Title Page

Title: Sociodemographic and clinical risk-factors for paediatric typical haemolytic uraemic syndrome

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Running title:

Paediatric HUS in England 2011-2014

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ABSTRACT

Objectives

Haemolytic Uraemic Syndrome (HUS) following Shiga toxin-producing *Escherichia coli* (STEC) infection is the commonest cause of acute renal failure among children in the United Kingdom. This study explored differential progression from STEC to HUS by social, demographic and clinical risk factors.

Methods

We undertook a retrospective cohort study linking two datasets. We extracted data on paediatric STEC and HUS cases identified in the Public Health England National Enhanced Surveillance System for STEC and British Paediatric Surveillance Unit HUS surveillance from October 1 2011 to October 31 2014. Using logistic regression, we estimated the odds of HUS progression by risk factors.

Results

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confidential: For Review 1059 paediatric STEC cases were included in the study, of which 207 (19.55%, 95% CI 17- 22%) developed HUS. In the fully-adjusted model, the odds of progression to HUS were highest in those aged 1-4 (OR 4.93, 95% CI 2.30-10.56, compared to 10-15 years), were infected with an stx2-only strain (OR 5.92, 95%CI 2.49-14.10), were prescribed antibiotics (OR 8.46, 95% CI 4.71-15.18), had bloody diarrhoea (OR 3.56, 95%CI 2.04-6.24) or vomiting (OR 4.47, 95%CI 2.62-7.63), but there was no association with progression to HUS by socioeconomic circumstances or rurality.

Conclusion

Combining data from an active clinical surveillance system for HUS with the national enhanced STEC surveillance system suggests that 20% of diagnosed paediatric STEC infections in England resulted in HUS No relationship was found with socioeconomic status or rurality of cases, but differences were demonstrated by age, stx type and presenting symptoms.

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Chrysler **Keywords:** Health inequalities; Shiga-toxin producing *Escherichia* coli; STEC; Haemolytic Uraemic Syndrome; HUS

INTRODUCTION

Haemolytic uraemic syndrome (HUS) is a rare but serious complication of infection with Shiga toxin-producing *Escherichia coli* (STEC), affecting the blood, kidneys and, in the most severe cases, the central nervous system. Children and the elderly are considered to be the most susceptible age groups and HUS is recognised as the most common cause of acute renal failure among children in the United Kingdom (1). Strains of STEC encoding s*tx2* toxin genes are more often associated with HUS than other strains (1-6).

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ecptible age groups and HUS is recognised as the most common cause of a
mong children in the United Kingdom (1). Strains of STEC encoding stx2 t It is estimated that progression to HUS following STEC infection could be as high as 15% in young children (2, 7). Several studies have suggested that development of HUS varies by some demographic characteristics; higher incidence of HUS has been documented in children (particularly aged 1-4), females (particularly aged over 10 years) and in those of White ethnicity (2, 6, 8-13); however few have documented progression to HUS by other demographic characteristics. This study aims to investigate the relationship between demographic factors, STEC infection and subsequent development of HUS in a wellcharacterised paediatric population in England with high case-ascertainment.

METHODS

Data, setting and source

We undertook a retrospective cohort study linking two data sources; the Public Health England (PHE) National Enhanced Surveillance System for STEC (NESSS) and the British Paediatric Surveillance Unit (BPSU) HUS Study in conjunction with PHE. Firstly, we extracted data on STEC cases aged 0-15 years (inclusive) identified in NESSS during the period of the BPSU HUS Study (October 1 2011 to October 31 2014). All laboratoryconfirmed STEC cases in England are reported by NHS laboratories to Public Health England staff who collect standardised data through an enhanced surveillance questionnaire

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(ESQ) as part of their public health response: this standardised dataset is collated centrally in NESS for further validation and analysis. The ESQ collects detailed information on patient demographics, symptoms, food and water exposures and UK and non-UK travel during the exposure period (the week prior to illness onset). When a presumptive STEC is identified at the local laboratory or a case of HUS is identified, specimens are sent to PHE Gastrointestinal Bacteria Reference Unit (GBRU) for testing and patient ESQs are linked to microbiological results. This surveillance system is described in detail elsewhere (2).

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aboratory or a case of HUS is identified, specimens are sent to PHE Gastre
Reference Unit (GBRU) for testing and patient ESQs are linked to micr Secondly, we extracted clinical data on paediatric (<16 years) HUS cases, collected by the BPSU HUS Study, an active surveillance system requiring regular returns from clinicians. Within this study, data were captured using a standardised questionnaire administered to paediatricians collecting information on; case demography; treatment history; microbiological investigations; clinical parameters of illness; clinical management of illness and status of the case at the time of data capture. Cases in the BPSU dataset were linked on National Health Service (NHS) number to those in the NESSS dataset to create a retrospective cohort.

For statistical analysis, cases for whom no microbiological information was available $(n=4)$ and cases identified via serological testing only (n=66) were excluded in order to assess the role of *stx* subtype. Due to missing data for the ethnicity variable (19.1%), we used multiple imputation using chained equations to impute values where ethnicity (white/non-white) was missing for statistical analysis. Fifty imputed datasets were generated. The distribution of ethnicity by age, sex and region was assessed to check the missing at random (MAR) assumption. There was no difference in missing ethnicity by sex, however there were some differences by age group (57.3% of cases with missing ethnicity were in the 1-4 age group; $n=114/199$) and region (31.2% of cases with missing ethnicity were in London; $n=62/199$);

these were not regarded as problematic however as, given the observed data for other variables, the missing data were considered independent.

Ethics

Ethical approval was originally obtained for the main study (Ref: 11/LO/1412). As of October 2010, HUS is a statutory reportable condition and this study falls under the existing Health Protection Agency (now Public Health England) permissions under Section 251 of the NHS Act 2006. In addition, we received a favourable ethical opinion from the South East Coast - Surrey Research Ethics Committee (15/LO/2138) on 1 December 2015 covering the use of this dataset for this study.

Patient involvement

Patients were not directly involved in the design of this study.

Outcome and exposures

pproval was originally obtained for the main study (Ref: 11/LO/1412). As
2010, HUS is a statutory reportable condition and this study falls under the
rotection Ageney (now Public Health England) permissions under Section 2 The outcome of interest was HUS, determined by the case meeting the BPSU clinical criteria (See supplementary Table 1) (14) or completion of the HUS field in the ESQ. Covariates in the analysis were age group $(1, 1-4, 5-9, 10-15$ years); sex (male/female); ethnicity (White/non-White); travel (yes/no); rurality (rural/urban); microbiology (Shiga-toxin (*Stx*)); antibiotic use (yes/no); clinical symptoms (diarrhoea, bloody diarrhoea, nausea, vomiting, abdominal pain, fever) and region of residence. The s*tx* type, the primary STEC virulence factor, was used as the main microbiological variable (15). Where symptoms, travel status and healthcare contact variables were blank or unknown, these were recoded as a negative response. As a proxy for childhood socioeconomic circumstances (SECs) we used a smallarea deprivation measure, the Index of Multiple Deprivation 2010 (IMD) (16), assigned to

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each case based on their postcode and divided into population-level quintiles, with the first quintile representing the least deprived and the fifth quintile representing the most deprived.

Analysis strategy

We explored univariate relationships between progression to HUS and the covariates of interest before fitting a multivariate logistic regression model. All variables were retained in this model in order to control for any potential confounding. Interaction terms between variables (IMD, ethnicity, age and sex) were tested to investigate whether the strength of any relationship was moderated by the inclusion of another variable. Analyses were conducted in Stata 13.1 (Statacorp, Texas).

Robustness tests

ored univariate relationships between progression to HUS and the covariate
pefore fitting a multivariate logistic regression model. All variables were ret
el in order to control for any potential confounding. Interaction t We performed multiple sensitivity analyses to test the validity of the main analysis; first by (i) excluding cases that were likely to have a travel-acquired STEC infection (date of onset is within one exposure period, 7 days, of having returned from outside of the UK) and (ii) separately excluding cases with unknown ethnicity to determine whether there were differences in progression to HUS by SECs for children who travelled abroad during their incubation period compared to those who did not or those with ethnicity recorded and those without respectively. Further, to explore the relationship between age and sex in this cohort, we fitted a fractional polynomial prediction plot to detect the best functional form for age (as a continuous variable) and sex by HUS. A likelihood ratio test was performed to best fit.

To further explore potential issues of multicollinearity between IMD and ethnicity a post-hoc matched analysis on ethnicity using conditional logistic regression and penalised logistic regression on the multiply imputed dataset were conducted. The post-hoc matched analysis

was conducted on a smaller number of variables in order to provide the simplest but most complete model possible.

RESULTS

Descriptive analysis

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graediatric STEC cases included in the study, 207 (19.55%, 95% CI 17.27%

d HUS. Progression to HUS varied by age and gender (Table 1), the highest

in females aged 1-4 years (26.0%). A higher proportion Of 1059 paediatric STEC cases included in the study, 207 (19.55%, 95% CI 17.27%-22.04%) developed HUS. Progression to HUS varied by age and gender (Table 1), the highest was observed in females aged 1-4 years (26.0%). A higher proportion of progression to HUS was observed in females aged 10-15 years compared to males of the same age (19.3%, 95% CI 12.3-27.9 versus 7.1%, 95% CI 2.9-14.2, p=0.01), and amongst females aged less than 1 year compared to males of the same age, although this was not significant (14.3%, 95% CI 4.0- 32.7 versus 4.8%, 95% CI 0.6-16.2, p=0.16). Although progression to HUS was higher in the least disadvantaged quintile (47/245, 19.2%, 95% CI 14.4-24.7) compared with the most disadvantaged quintile (29/189, 15.3%, 95% CI 10.5-21.3) this difference was not statistically significant ($p=0.29$) and there was no clear pattern across the 5 quintiles ($p=0.07$), with the highest proportion progressing to HUS in quintile 3.

Multivariable analysis

In the fully adjusted model (Table 2), there were significantly lower odds of HUS amongst <1, 5-9 and 10-15 year olds compared to 1-4 year olds and significantly higher odds of HUS amongst those infected with s*tx*2-only strains, those prescribed antibiotics and among those who had experienced bloody diarrhoea or vomiting . The most disadvantaged children had lower odds of progression to HUS compared to the least disadvantaged children (OR 0.57, 95% CI 0.25-1.31) but the difference was not significant. There was no statistically

significant difference in risk by rurality or by region. There were no significant interactions identified (data not shown).

The multiple sensitivity analyses conducted to assess the robustness of the findings did not alter the overall conclusions of this research (Supplementary Tables 2-5).

DISCUSSION

overall conclusions of this research (Supplementary Tables 2-5).

SSION

El linkage and analysis of two datasets with high case-ascertainment to explemengraphic and socioeconomic factors in the development of HUS followin
 In a novel linkage and analysis of two datasets with high case-ascertainment to explore the role of demographic and socioeconomic factors in the development of HUS following STEC infection, we found progression from STEC infection to HUS to be 20% in this paediatric cohort in England. Odds of HUS progression varied by age, stx type, antibiotic exposure and clinical presentation, with children aged 1-4 years infected with stx2-only, with reported antibiotic exposure and presenting with bloody diarrhoea or vomiting at highest risk. Few studies have explored the social patterning of risk factors for STEC (17) or the sociodemographic risk factors associated with progression to HUS, and no such studies have been undertaken in England. We found no relationship between progression to HUS and socioeconomic status in children in this study.

Our study has several strengths. This study captures the progression of HUS in a wellcharacterised paediatric STEC population. To the best of our knowledge, as confirmed by a prior review of the literature and discussion with national experts, this is the first study to combine a prospective active surveillance system and a multisource national surveillance system to study the risk factors for HUS and as such is likely to have better caseascertainment of HUS than previous studies and is related to good STEC denominators. Furthermore, this study makes use of one of the largest cohorts of HUS cases. The results of this study are likely to be generalisable to other high-income countries with a similar pattern of STEC infection. Despite this, there are some limitations. It is possible that there is residual

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effect of socioeconomic inequalities, particularly if individual factors rath
effect of socioeconomic inequalities, particularly if individ confounding that could not be controlled for, such as intrinsic childhood characteristics which may increase differential vulnerability or susceptibility by SEC such as genetic predisposition, co-morbidities, and clinical or treatment characteristics. Further, as an arealevel measure of SEC was used, it is possible that it may not have been sensitive enough to detect the effect of socioeconomic inequalities, particularly if individual factors rather than area-level factors have more influence over the risk of acquiring more severe strains of STEC with increased risk of progression to HUS. However, person-to-person spread is an important risk factor for GI infections and therefore community or area-level risk would be an important factor in considering individual risk of infection. Excluding individuals with a serological result only from the statistical analysis may introduce a potential bias leading to an underestimate of HUS incidence, which may be important if there are geographical or host-factors which are linked to severity of illness, although the number of serology-only diagnoses was small. In England, most diagnosed cases of STEC are of serogroup O157, and may therefore not be directly applicable to countries where other, possibly less pathogenic, serogroups predominate. It is possible however, that the risk of progression to HUS could be different in populations exposed to STEC organisms with a lower proportion of stx2-only producing strains, or with a different age distribution of cases. Finally, it was not always possible to determine whether antibiotics had been prescribed during treatment for STEC infection or following a diagnosis of HUS therefore the relevant association should be interpreted with caution.

The finding of 19.5% (95% CI 17-22%) of diagnosed STEC cases progressing to HUS is higher than previous studies, which have estimated the proportion of paediatric cases of STEC O157 progressing to HUS to be 15% (95% CI 11-19%) in females aged 1-4 years in England (2) and 15.3% (95% CI 13-18%) in children aged \leq years in the USA (7). Our study uses data derived from two linked surveillance systems providing high ascertainment of

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both STEC and HUS cases which provides a more robust estimate. It is likely that there will also be a bias resulting from ascertainment of STEC cases from laboratory specimens, as milder cases of gastrointestinal infection are less likely to be microbiologically tested, but this will also be true of previous published studies.

Whilst rurality has been reported as an important factor in risk for STEC infection (2, 19), our study suggests that rurality is not a significant driver of progression to HUS. Similarly, despite evidence to suggest that the risk and consequences of GI infections in general are greater for disadvantaged children (20-24) – the finding in our study suggests that lower childhood SEC is unlikely to be a contributor for development of HUS.

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Examples that translation in risk for STEC infection (agests that translative is an important factor in risk for STEC infections (agests that translative is a significant driver of prog Previous studies in England have suggested that children aged 1-4 years, females and white ethnic groups have the highest incidence of STEC infection (2, 25). Our study echoes the findings by Milford et al (6) which demonstrated higher progression to HUS amongst children aged 1-4 years. No overall difference in risk of HUS by sex was identified in our study, a finding echoed in several other previous studies (26-29); this is an area of disagreement in the literature with several studies finding higher risk amongst women (7, 30, 31) although two of these studies finding higher risk in women did not look specifically among children (7, 31). We did find differences in risk by sex within specific age groups, with a greater proportion of progression to HUS amongst girls less than 1 year of age and 10-15 years of age compared to boys of the same age groups (Table 1), although no significant interaction between age and sex could be identified. The reasons for the differential risk by age are currently unclear and call for a deeper understanding of differences in risks and exposures between these groups.

The association between clinical presentation with vomiting and bloody diarrhoea and increased risk of HUS reported in this study has been identified previously (12) and, as such,

the presence of these symptoms particularly in paediatric STEC cases should evoke a high level of clinical suspicion for the potential development of HUS.

Our study quantifies the proportion of paediatric STEC cases progressing to HUS in a well – defined population with high ascertainment. It also quantifies the risk factors associated with progression to HUS in terms of sociodemographic characteristics as well as clinical presentation. Further research is warranted to elucidate the populations at risk of STEC infection and HUS in terms of deprivation, ethnicity, age and sex, in order to better understand whether there are real differences in risk or artefacts of surveillance.

FUNDING

population with high ascertainment. It also quantifies the risk factors association to HUS in terms of sociodemographic eharacteristics as well as clinical
tion. Further research is warranted to elucidate the populations a The research was funded by the National Institute for Health Research Health Protection Research Unit (NIHR HPRU) in Gastrointestinal Infections [grant number NIHR HPRU 201210,038] at University of Liverpool in partnership with Public Health England (PHE), in collaboration with University of East Anglia, University of Oxford and the Quadram Institute. Natalie Adams is based at the University of Liverpool and Public Health England. The views expressed are those of the author(s) and not necessarily those of the NHS, the NIHR, the Department of Health and Social Care or Public Health England. David Taylor-Robinson is funded by the MRC on a Clinician Scientist Fellowship (MR/P008577/1). Ben Barr and Tanith Rose were also supported by the National Institute for Health Research (NIHR) Collaboration for Leadership in Health Research and Care (CLAHRC NWC).

CONFLICT OF INTEREST

All authors: No potential conflicts of interest.

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Confidence of the Confi This work was funded by the National Institute for Health Research Health Protection Research Unit in Gastrointestinal Infections. The authors would like to thank Kirsten Glen and Naomi Launders for their work on the National Enhanced Surveillance System for STEC, Ross Harris for statistical advice and Richard Lynn at the British Paediatric Surveillance Unit for facilitating the BPSU HUS study.

CONFLICT OF INTEREST

The authors declare that they have no conflicts of interest.

WHAT IS ALREADY KNOWN ON THIS TOPIC

- HUS is recognised as the most common cause of acute renal failure among children in the United Kingdom.
- It is estimated that progression to HUS following STEC infection could be as high as 15% in young children.
- Several studies have suggested that development of HUS varies by demographic characteristics however few have documented progression to HUS by demographic characteristics.

WHAT THIS STUDY ADDS

- This is a large paediatric cohort including reliable health outcome measures.
- Confidence and the strength of the strength of the strength of the strength of the STEC cases developed the serious complication of haemotic in England.

ther than previously reported in England, and varied by demonstratio A fifth of paediatric STEC cases developed the serious complication of haemolytic uraemic syndrome in England.
- This figure is higher than previously reported in England, and varied by demographic and clinical, but not socioeconomic, factors.

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TABLES

Table 1: Characteristics of cohort participants by HUS status (n=1,059)

emplyie unemis syndrome; *xx* - Shiga toxin; NHS Direct - National Health Service
advice line, now NHS 111; GP - General Practitioner, A&E - accident and emergency
and the property of the state of the confident of the cont HUS – haemolytic uraemic syndrome; *stx* – Shiga toxin; NHS Direct – National Health Service telephone advice line, now NHS 111; GP – General Practitioner; A&E – accident and emergency

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SIN-SINGROUGH CONFIDENTIAL CONVICTION ^aAdjusted for all other covariates in the model; $sx - Shiga$ toxin gene; $\pm Multiply$ imputed variable

SUPPLEMENTARY DATA

Supplementary Table 1a: Clinical case definition for HUS

A child (aged <16 years of age) who has:

Acute kidney injury (AKI) defined by oligoanuria and/or elevated creatinine for age

AND

Microangiopathic haemolytic anamia (MAHA) defined by haemoglobin level <10 g/L with fragmented erythrocytes

AND/OR

Thrombocytopenia defined by a platelet count of $\langle 130,000 \times 109 \rangle L$

WITHOUT septicaemia, malignant hypertension, chronic uraemia, or primary vascular disease

Elevated creatinine levels differed by age group and were those above the thresholds in Table 1

Supplementary Table 1b: Creatinine level (micromol/L) thresholds by age group

Variable	Category	$n\left(\frac{0}{0}\right)$	Unadjusted		Adjusted ^a		p value
			Odds Ratio	$(95\% \text{ CI})$	Odds Ratio	$(95\% \text{ CI})$	
Age group	\leq 1	55(6.5)	0.14	$(0.03 - 0.59)$	0.12	$(0.02 - 0.62)$	0.01
	$1-4$	400(47.1)	1.0 (reference)		1.0 (reference)		
	$5-9$	242 (28.5)	0.61	$(0.39 - 0.94)$	0.45	$(0.25 - 0.79)$	0.005
	$10 - 15$	153(18.0)	0.32	$(0.17-0.60)$	0.17	$(0.07 - 0.39)$	< 0.001
Sex	Male	445 (52.4)	1.0 (reference)		1.0 (reference)		
	Female	405 (47.7)	1.31	$(0.91 - 1.90)$	1.30	$(0.81 - 2.08)$	0.27
Ethnicity	White	700 (82.4)	1.0 (reference)		1.0 (reference)		
	Non-White	150(17.6)	0.41	$(0.20 - 0.83)$	0.27	$(0.10 - 0.73)$	0.01
Rurality	Urban	606(71.3)	1.0 (reference)		1.0 (reference)		
	Rural	244(28.7)	1.28	$(0.86 - 1.90)$	1.07	$(0.62 - 1.86)$	0.80
IMD Quintile	1 (least disadvantaged)	196(23.1)	1.0 (reference)		1.0 (reference)		
	\overline{c}	184(21.7)	0.88	$(0.50 - 1.54)$	0.75	$(0.36 - 1.53)$	0.43
	3	180 (21.2)	1.24	$(0.73 - 2.10)$	1.06	$(0.54 - 2.10)$	0.86
	4	150(17.7)	1.07	$(0.61 - 1.90)$	1.14	$(0.53 - 2.46)$	0.74
	5 (most disadvantaged)	140(16.5)	0.52	$(0.26 - 1.04)$	0.57	$(0.23 - 1.43)$	0.23
Region	East Midlands	61(7.2)	0.54	$(0.19 - 1.49)$	0.49	$(0.14 - 1.80)$	0.29
	East of England	55 (6.5)	0.84	$(0.33 - 2.16)$	1.02	$(0.30 - 3.44)$	0.98
	London	83 (9.8)	1.0 (reference)		1.0 (reference)		
	North East	71(8.4)	0.90	$(0.38 - 2.14)$	0.59	$(0.19-1.81)$	0.35
	North West	172(20.2)	1.13	$(0.56 - 2.25)$	1.06	$(0.42 - 2.67)$	0.90
	South East	73(8.6)	1.27	$(0.58 - 2.86)$	1.78	$(0.57 - 5.56)$	0.32
	South West	110(12.9)	1.38	$(0.66 - 2.86)$	1.14	$(0.42 - 3.11)$	0.80
	West Midlands	95(11.2)	0.52	$(0.21 - 1.26)$	0.57	$(0.18 - 1.78)$	0.33
	Yorkshire and Humber	130(15.3)	0.59	$(0.27 - 1.32)$	0.53	$(0.19 - 1.47)$	0.22
Stx	$Stx1+2$	183(21.5)	1.0 (reference)		1.0 (reference)		
	Stx1	8(0.9)	4.21	$(0.45 - 39.89)$	24.71	$(1.86 - 328.34)$	0.02
	Stx2	659 (77.5)	6.97	$(3.02 - 16.10)$	6.08	$(2.32 - 15.92)$	0.001
Antibiotics	N _o	766 (90.1)	1.0 (reference)		1.0 (reference)		
	Yes	84 (9.9)	10.0	$(6.18-16.32)$	10.89	$(5.65 - 20.97)$	< 0.001
Diarrhea	N _o	48(6.6)	1.0 (reference)		1.0 (reference)		
	Yes	802 (94.4)	9.26	$(1.27 - 67.70)$	4.00	$(0.48 - 33.16)$	0.20

Supplementary Table 2: Adjusted and unadjusted regression analysis - Sensitivity analysis excluding travel cases (n subjects=850)

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Supplementary Table 3: Adjusted and unadjusted regression analysis - Sensitivity analysis excluding ethnicity variable (n subjects=989)

aAdjusted for all other covariates in the model; $stx - shiga$ toxin gene

Supplementary Table 4: Comparison between logistic regression model and post-hoc matched analysis on ethnicity

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Supplementary Table 5: Comparison between logistic regression model and penalized logistic regression model

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*Give information separately for exposed and unexposed groups.

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typical haemolytic uraemic syndrome

Title Page

Title: Sociodemographic and clinical risk-factors for paediatric typical haemolytic uraemic syndrome: a retrospective cohort study

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Paediatric HUS in England 2011-2014

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ABSTRACT

Objectives

Haemolytic Uraemic Syndrome (HUS) following Shiga toxin-producing *Escherichia coli* (STEC) infection is the commonest cause of acute renal failure among children in the United Kingdom. This study explored differential progression from STEC to HUS by social, demographic and clinical risk factors.

Methods

We undertook a retrospective cohort study linking two datasets. We extracted data on paediatric STEC and HUS cases identified in the Public Health England National Enhanced Surveillance System for STEC and British Paediatric Surveillance Unit HUS surveillance from October 1 2011 to October 31 2014. Using logistic regression, we estimated the odds of HUS progression by risk factors.

Results

infection is the commonest cause of acute renal failure among children in th
n. This study explored differential progression from STEC to HUS by socia
phic and elinical risk factors.
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confidential: For Review 1059 paediatric STEC cases were included in the study, of which 207 (19.55%, 95% CI 17- 22%) developed HUS. In the fully-adjusted model, the odds of progression to HUS were highest in those aged 1-4 (OR 4.93, 95% CI 2.30-10.56, compared to 10-15 years), were infected with an stx2-only strain (OR 5.92, 95%CI 2.49-14.10), were prescribed antibiotics (OR 8.46, 95% CI 4.71-15.18), had bloody diarrhoea (OR 3.56, 95%CI 2.04-6.24) or vomiting (OR 4.47, 95%CI 2.62-7.63), but there was no association with progression to HUS by socioeconomic circumstances or rurality.

Conclusion

Combining data from an active clinical surveillance system for HUS with the national enhanced STEC surveillance system suggests that 20% of diagnosed paediatric STEC infections in England resulted in HUS No relationship was found with socioeconomic status or rurality of cases, but differences were demonstrated by age, stx type and presenting symptoms.

rice: Shiga-toxin producing
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Confidential: **Keywords:** Health inequalities; Shiga-toxin producing *Escherichia* coli; STEC; Haemolytic Uraemic Syndrome; HUS

INTRODUCTION

Haemolytic uraemic syndrome (HUS) is a rare but serious complication of infection with Shiga toxin-producing *Escherichia coli* (STEC), affecting the blood, kidneys and, in the most severe cases, the central nervous system. Children and the elderly are considered to be the most susceptible age groups and HUS is recognised as the most common cause of acute renal failure among children in the United Kingdom (1). Strains of STEC encoding s*tx2* toxin genes are more often associated with HUS than other strains (1-6). STEC serogroup O157 is the most frequently reported strain causing illness in England. Transmission to humans occurs through consumption of contaminated food or water, exposure to a contaminated environment involving direct or indirect contact with animals or their faeces and person-toperson spread.

asces, the central nervous system. Children and the elderly are considered to
ecptible age groups and HUS is recognised as the most common cause of a
mong children in the United Kingdom (1). Strains of STEC encoding stx2 t It is estimated that progression to HUS following STEC infection could be as high as 15% in young children (2, 7). Several studies have suggested that development of HUS varies by some demographic characteristics; higher incidence of HUS has been documented in children (particularly aged 1-4), females (particularly aged over 10 years) and in those of White ethnicity (2, 6, 8-13); however few have documented progression to HUS by other demographic characteristics such as deprivation, foreign travel, rurality or region. There is evidence to suggest that those who are disadvantaged have a lower risk of STEC infection (14-16), and potentially a lower risk of progression to HUS outside of England (16,17), however no studies have looked at the relationship between SES, STEC and HUS in England. This study aims to investigate the relationship between demographic factors, STEC infection and subsequent development of HUS in a well-characterised paediatric population in England with high case-ascertainment.

METHODS

Data, setting and source

ie Surveillance Unit (BPSU) HUS Study in conjunction with PHE. The link
st datascts, both of which can record HUS status, ensures high ascertainments
tyl, we extracted data on STEC cases aged 0-15 years (inclusive) identif We undertook a retrospective cohort study linking two data sources; the Public Health England (PHE) National Enhanced Surveillance System for STEC (NESSS) and the British Paediatric Surveillance Unit (BPSU) HUS Study in conjunction with PHE. The linkage of two robust datasets, both of which can record HUS status, ensures high ascertainment of HUS cases. Firstly, we extracted data on STEC cases aged 0-15 years (inclusive) identified in NESSS during the period of the BPSU HUS Study (October 1 2011 to October 31 2014). All laboratory-confirmed STEC cases in England are reported by NHS laboratories to Public Health England staff who collect standardised data through an enhanced surveillance questionnaire (ESQ) as part of their public health response: this standardised dataset is collated centrally in NESSS for further validation and analysis. The ESQ collects detailed information on patient demographics, symptoms, food and water exposures and UK and non-UK travel during the exposure period (the week prior to illness onset). When a presumptive STEC is identified at the local laboratory or a case of HUS is identified, specimens are sent to PHE Gastrointestinal Bacteria Reference Unit (GBRU) for testing and patient ESQs are linked to microbiological results. Due to the timing of the ESQ administration in NESSS (which is designed to inform the acute public health response), this system can underascertain HUS as this can develop after completion of the questionnaire. This surveillance system is described in detail elsewhere (2).

Secondly, we extracted clinical data on paediatric (<16 years) HUS cases, collected by the BPSU HUS Study, an active surveillance system requiring regular returns from clinicians. Within this study, data were captured using a standardised questionnaire administered to paediatricians collecting information on; case demography; treatment history; microbiological investigations; clinical parameters of illness; clinical management of illness and status of the case at the time of data capture. Cases in the BPSU dataset were linked on

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National Health Service (NHS) number, which was available for all cases, to those in the NESSS dataset to create a retrospective cohort. Supplementary Figure 1 provides details of the selection of study participants.

stical analysis, cases for whom no microbiological information was availably identified via serological testing only (n=66) were excluded in order to as tr subtype. Due to missing data for the ethnicity variable (19.1%), w For statistical analysis, cases for whom no microbiological information was available (n=4) and cases identified via serological testing only $(n=66)$ were excluded in order to assess the role of *stx* subtype. Due to missing data for the ethnicity variable (19.1%), we used multiple imputation using chained equations to impute values where ethnicity (white/non-white) was missing for statistical analysis. Fifty imputed datasets were generated. The distribution of ethnicity by age, sex and region was assessed to check the missing at random (MAR) assumption. There was no difference in missing ethnicity by sex, however there were some differences by age group (57.3% of cases with missing ethnicity were in the 1-4 age group; $n=114/199$) and region (31.2% of cases with missing ethnicity were in London; $n=62/199$); these were not regarded as problematic however as, given the observed data for other variables, the missing data were considered independent.

Ethics

Ethical approval was originally obtained for the main study (Ref: 11/LO/1412). As of October 2010, HUS is a statutory reportable condition and this study falls under the existing Health Protection Agency (now Public Health England) permissions under Section 251 of the NHS Act 2006. In addition, we received a favourable ethical opinion from the South East Coast - Surrey Research Ethics Committee (15/LO/2138) on 1 December 2015 covering the use of this dataset for this study.

Patient involvement

Patients were not directly involved in the design of this study.

Outcome and exposures

vsis were age group $(<1, 1.4, 5.9, 10.15$ years); sex (male/female); ethnicity
on-White); travel (yes/no); rurality (rural/urban); microbiology (Shiga-toxinon-White); travel (yes/no); climical symptoms (diarrhoea, bloody The outcome of interest was HUS, determined by the case meeting the BPSU clinical criteria (See supplementary Table 1) (18) or completion of the HUS field in the ESQ. Covariates in the analysis were age group $(1, 1-4, 5-9, 10-15$ years); sex (male/female); ethnicity (White/non-White); travel (yes/no); rurality (rural/urban); microbiology (Shiga-toxin (*Stx*)); antibiotic use (yes/no); clinical symptoms (diarrhoea, bloody diarrhoea, nausea, vomiting, abdominal pain, fever) and region of residence. The s*tx* type, the primary STEC virulence factor, was used as the main microbiological variable (19). Where symptoms, travel status and healthcare contact variables were blank or unknown, these were recoded as a negative response. As a proxy for childhood socioeconomic circumstances (SECs) we used a smallarea deprivation measure, the Index of Multiple Deprivation 2010 (IMD) (20), assigned to each case based on their postcode and divided into population-level quintiles, with the first quintile representing the least deprived and the fifth quintile representing the most deprived.

Analysis strategy

Comparisons of proportions were tested using the chi-squared test. We explored univariate relationships between progression to HUS and the covariates of interest before fitting a multivariate logistic regression model. All variables were retained in this model in order to control for any potential confounding. Interaction terms between variables (IMD, ethnicity, age and sex) were tested to investigate whether the strength of any relationship was moderated by the inclusion of another variable. Analyses were conducted in Stata 13.1 (Statacorp, Texas).

Robustness tests

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We performed multiple sensitivity analyses to test the validity of the main analysis; first by (i) excluding cases that were likely to have a travel-acquired STEC infection (date of onset is within one exposure period, 7 days, of having returned from outside of the UK) and (ii) separately excluding cases with unknown ethnicity to determine whether there were differences in progression to HUS by SECs for children who travelled abroad during their incubation period compared to those who did not or those with ethnicity recorded and those without respectively.

RESULTS

Descriptive analysis

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exist in progression to HUS by SECs for children who travelled abroad during

in propression to HUS by SECs for children who travelled abroad d Of 1059 paediatric STEC cases included in the study, 207 (19.55%, 95% CI 17.27%-22.04%) developed HUS. Progression to HUS varied by age and gender (Table 1), the highest was observed in females aged 1-4 years (26.0%). A higher proportion of progression to HUS was observed in females aged 10-15 years compared to males of the same age (19.3%, 95% CI 12.3-27.9 versus 7.1%, 95% CI 2.9-14.2, p=0.01), and amongst females aged less than 1 year compared to males of the same age, although this was not significant (14.3%, 95% CI 4.0- 32.7 versus 4.8%, 95% CI 0.6-16.2, p=0.16). Although progression to HUS was higher in the least disadvantaged quintile (47/245, 19.2%, 95% CI 14.4-24.7%) compared with the most disadvantaged quintile (29/189, 15.3%, 95% CI 10.5-21.3%) this difference was not statistically significant ($p=0.29$). The highest proportion progressing to HUS was in quintile 3 (53/219, 24.2%, 95% CI 18.7-30.4%) and there was no clear pattern across the 5 quintiles (p=0.07; quintile 2 - 35/221, 15.8%, 95% CI 11.3-21.3%; quintile 4 - 43/185, 23.2%, 95% CI $17.4 - 30\%$).

Multivariable analysis

In the fully adjusted model (Table 2), there were significantly lower odds of HUS amongst <1, 5-9 and 10-15 year olds compared to 1-4 year olds and significantly higher odds of HUS amongst those infected with s*tx*2-only strains, those prescribed antibiotics and among those who had experienced bloody diarrhoea or vomiting . The most disadvantaged children had lower odds of progression to HUS compared to the least disadvantaged children (OR 0.57, 95% CI 0.25-1.31) but the difference was not significant. There was no statistically significant difference in risk by rurality (OR 0.88, 95% CI 0.52-1.48) or by region (Table 2). There were no significant interactions identified (data not shown).

The sensitivity analyses conducted to assess the robustness of the findings did not alter the overall conclusions of this research (Supplementary Tables 2 and 3).

DISCUSSION

Espansion of HUS community and the least disadvantaged children (OR 0.25-1.31) but the difference was not significant. There was no statistically at difference in risk by rurality (OR 0.88, 95% C1 0.52-1.48) or by region (In a novel linkage and analysis of two datasets with high case-ascertainment to explore the role of demographic and socioeconomic factors in the development of HUS following STEC infection, we found progression from STEC infection to HUS to be 20% in this paediatric cohort in England. Odds of HUS progression varied by age, stx type, antibiotic exposure and clinical presentation, with children aged 1-4 years infected with stx2-only, with reported antibiotic exposure and presenting with bloody diarrhoea or vomiting at highest risk. Few studies have explored the social patterning of risk factors for STEC (21) or the sociodemographic risk factors associated with progression to HUS, and no such studies have been undertaken in England. We found no relationship between progression to HUS and socioeconomic status in children in this study.

Our study has several strengths. This study captures the progression of HUS in a wellcharacterised paediatric STEC population. To the best of our knowledge, as confirmed by a prior review of the literature and discussion with national experts, this is the first study to

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For the solary mate as of one of the digent contrast of these at the respect the same in the same in the digh-income countries with a similar infection. Despite this, there are some limitations. It is possible that there i combine a prospective active surveillance system and a multisource national surveillance system to study the risk factors for HUS and as such is likely to have better caseascertainment of HUS than previous studies and is related to good STEC denominators. Furthermore, this study makes use of one of the largest cohorts of HUS cases. The results of this study are likely to be generalisable to other high-income countries with a similar pattern of STEC infection. Despite this, there are some limitations. It is possible that there is residual confounding that could not be controlled for, such as intrinsic childhood characteristics which may increase differential vulnerability or susceptibility by SEC such as genetic predisposition, co-morbidities, and clinical or treatment characteristics. Further, as an arealevel measure of SEC was used, it is possible that it may not have been sensitive enough to detect the effect of socioeconomic inequalities, particularly if individual factors rather than area-level factors have more influence over the risk of acquiring more severe strains of STEC with increased risk of progression to HUS. However, person-to-person spread is an important risk factor for GI infections and, although there is a risk of ecological fallacy, area-level measures have the advantage of including potential environmental factors such as housing and living environment deprivation which are likely to be important factors in considering individual risk of infection. Excluding individuals with a serological result only from the statistical analysis may introduce a potential bias leading to an underestimate of HUS incidence, which may be important if there are geographical or host-factors which are linked to severity of illness, although the number of serology-only diagnoses was small. In England, most diagnosed cases of STEC are of serogroup O157 (95% in our study), and it is possible that our results may be biased towards the relationship between STEC O157 and progression to HUS, which may differ if other, possibly less pathogenic, serogroups predominate. It is possible however, that the risk of progression to HUS could be different in populations exposed to STEC organisms with a lower proportion of stx2-only producing strains, or with a

different age distribution of cases. There were also some missing data in our study, particularly for ethnicity, which we addressed using multiple imputation. The ethnicity variable used (White/Non-White) was also crude and adopted because of data quality issues. This may mask differences in socioeconomic status. No data were available on whether the children included in our study had underlying or chronic conditions which may be related to their risk of developing HUS. Finally, it was not always possible to determine whether antibiotics had been prescribed during treatment for STEC infection or following a diagnosis of HUS therefore the relevant association should be interpreted with caution.

Finally many and the cluster of the confident in the cluster of the confident included in our study had underlying or chronic conditions which may The finding of 19.5% (95% CI 17-22%) of diagnosed STEC cases progressing to HUS is higher than previous studies, which have estimated the proportion of paediatric cases of STEC O157 progressing to HUS to be 15% (95% CI 11-19%) in females aged 1-4 years in England (2) and 15.3% (95% CI 13-18%) in children aged <5 years in the USA (7). Our study uses data derived from two linked surveillance systems providing high ascertainment of both STEC and HUS cases which provides a more robust estimate. It is likely that there will also be a bias resulting from ascertainment of STEC cases from laboratory specimens, as milder cases of gastrointestinal infection are less likely to be microbiologically tested, but this will also be true of previous published studies.

Whilst rurality has been reported as an important factor in risk for STEC infection (2, 14), our study suggests that rurality is not a significant driver of progression to HUS. It is important to note that there are environmental factors, such as cattle density, that were not included in this study and which may be more important factors in risk of STEC infection. Our finding that rurality was not linked to progression to HUS following STEC infection may also be due to the majority of our cases (95%) being STEC O157 – this finding may be different in more heterogenous dataset from countries with greater variability by serogroup. Similarly, despite evidence to suggest that the risk and consequences of GI infections in general are greater for

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disadvantaged children (22-26) – the finding in our study suggests that lower childhood SEC is unlikely to be a contributor for development of HUS.

roups have the highest incidence of STEC infection (2, 27). Our study echoe
by Milford et al (6) which demonstrated higher progression to HUS among
aged 1-4 years. No overall difference in risk of HUS by sex was identified Previous studies in England have suggested that children aged 1-4 years, females and white ethnic groups have the highest incidence of STEC infection (2, 27). Our study echoes the findings by Milford et al (6) which demonstrated higher progression to HUS amongst children aged 1-4 years. No overall difference in risk of HUS by sex was identified in our study, a finding echoed in several other previous studies (28-31); this is an area of disagreement in the literature with several studies finding higher risk amongst women (7, 17, 32) although two of these studies finding higher risk in women did not look specifically among children (7, 32). We did find differences in risk by sex within specific age groups, with a greater proportion of progression to HUS amongst girls less than 1 year of age and 10-15 years of age compared to boys of the same age groups (Table 1), although no significant interaction between age and sex could be identified. The reasons for the differential risk by age are currently unclear and call for a deeper understanding of differences in risks and exposures between these groups.

The association between clinical presentation with vomiting and bloody diarrhoea and increased risk of HUS reported in this study has been identified previously (12) and, as such, the presence of these symptoms particularly in paediatric STEC cases should evoke a high level of clinical suspicion for the potential development of HUS.

Our study quantifies the proportion of paediatric STEC cases progressing to HUS in a well – defined population with high ascertainment. It also quantifies the risk factors associated with progression to HUS in terms of sociodemographic characteristics as well as clinical presentation. Further research is warranted to elucidate the populations at risk of STEC

infection and HUS in terms of deprivation, ethnicity, age and sex, in order to better understand whether there are real differences in risk or artefacts of surveillance.

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10.038] at University of Liverpool in partnership with Public Health Eng The research was funded by the National Institute for Health Research Health Protection Research Unit (NIHR HPRU) in Gastrointestinal Infections [grant number NIHR HPRU 201210,038] at University of Liverpool in partnership with Public Health England (PHE), in collaboration with University of East Anglia, University of Oxford and the Quadram Institute. Natalie Adams is based at the University of Liverpool and Public Health England. The views expressed are those of the author(s) and not necessarily those of the NHS, the NIHR, the Department of Health and Social Care or Public Health England. David Taylor-Robinson is funded by the MRC on a Clinician Scientist Fellowship (MR/P008577/1). Ben Barr and Tanith Rose were also supported by the National Institute for Health Research (NIHR) Collaboration for Leadership in Health Research and Care (CLAHRC NWC).

CONFLICT OF INTEREST

All authors: No potential conflicts of interest.

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CONFLICT OF INTEREST

Confidential: For Review Only The authors declare that they have no conflicts of interest.

WHAT IS ALREADY KNOWN ON THIS TOPIC

- HUS is recognised as the most common cause of acute renal failure among children in the United Kingdom.
- It is estimated that progression to HUS following STEC infection could be as high as 15% in young children.
- Several studies have suggested that development of HUS varies by demographic characteristics however few have documented progression to HUS by demographic characteristics.

WHAT THIS STUDY ADDS

- ADDS

The STEC cases developed the serious complication of haeme

ne in England.

gher than previously reported in England, and varied by demc

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factors did not influence progression to SES. A fifth of paediatric STEC cases developed the serious complication of haemolytic uraemic syndrome in England.
- This figure is higher than previously reported in England, and varied by demographic and clinical factors
- Socioeconomic factors did not influence progression to SES.

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TABLES

Table 1: Characteristics of cohort participants by HUS status (n=1,059)

Naturalistic unternite syndrone; stx – Shiga toxin; NHS Direct – National Health Service

Headvice line, now NHS 111; GP – General Practitioner, A&E – accident and emergency

Consider the action of the content of the conte telephone advice line, now NHS 111; GP – General Practitioner; A&E – accident and emergency

Confidential: For Review Only ^aAdjusted for all other covariates in the model; ^bStatistical significance of relationship between HUS and each variable tested using χ^2 test; stx – Shiga toxin gene; **[±]**Multiply imputed variable

SUPPLEMENTARY DATA

Supplementary Table 1a: Clinical case definition for HUS

A child (aged <16 years of age) who has:

Acute kidney injury (AKI) defined by oligoanuria and/or elevated creatinine for age

AND

Microangiopathic haemolytic anamia (MAHA) defined by haemoglobin level <10 g/L with fragmented erythrocytes

AND/OR

Thrombocytopenia defined by a platelet count of $\leq 130,000 \times 109$ /L

WITHOUT septicaemia, malignant hypertension, chronic uraemia, or primary vascular disease

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Confidential: Formulation Channel Elevated creatinine levels differed by age group and were those above the thresholds in Table 1

Supplementary Table 1b: Creatinine level (micromol/L) thresholds by age group

Supplementary Table 2: Adjusted and unadjusted regression analysis - Sensitivity analysis excluding travel cases (n subjects=850)

aAdjusted for all other covariates in the model; s*tx* – shiga toxin gene

Supplementary Table 3: Adjusted and unadjusted regression analysis - Sensitivity analysis excluding ethnicity variable (n subjects=989)

aAdjusted for all other covariates in the model; s*tx* – shiga toxin gene

Supplementary Figure 1: Selection of participants to HUS Cohort Study

*An additional 19 HUS cases not reported to BPSU were identified in NESSS; NESSS – National Enhanced Surveillance System for STEC; HUS – haemolytic uraemic syndrome; BPSU – British Paediatric Surveillance Unit; ESQ – enhanced surveillance questionnaire

meaningful time period

*Give information separately for exposed and unexposed groups.

Mentor Prince Princ **Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

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typical haemolytic uraemic syndrome

Title Page

Title: Sociodemographic and clinical risk-factors for paediatric typical haemolytic uraemic syndrome: a retrospective cohort study

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Running title:

Paediatric HUS in England 2011-2014

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ABSTRACT

Objectives

Haemolytic Uraemic Syndrome (HUS) following Shiga toxin-producing *Escherichia coli* (STEC) infection is the commonest cause of acute renal failure among children in the United Kingdom. This study explored differential progression from STEC to HUS by social, demographic and clinical risk factors.

Methods

We undertook a retrospective cohort study linking two datasets. We extracted data on paediatric STEC and HUS cases identified in the Public Health England National Enhanced Surveillance System for STEC and British Paediatric Surveillance Unit HUS surveillance from October 1 2011 to October 31 2014. Using logistic regression, we estimated the odds of HUS progression by risk factors.

Results

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confidential: For Review 1059 paediatric STEC cases were included in the study, of which 207 (19.55%, 95% CI 17- 22%) developed HUS. In the fully-adjusted model, the odds of progression to HUS were highest in those aged 1-4 (OR 4.93, 95% CI 2.30-10.56, compared to 10-15 years), were infected with an stx2-only strain (OR 5.92, 95%CI 2.49-14.10), were prescribed antibiotics (OR 8.46, 95% CI 4.71-15.18), had bloody diarrhoea (OR 3.56, 95%CI 2.04-6.24) or vomiting (OR 4.47, 95%CI 2.62-7.63), but there was no association with progression to HUS by socioeconomic circumstances or rurality.

Conclusion

Combining data from an active clinical surveillance system for HUS with the national enhanced STEC surveillance system suggests that 20% of diagnosed paediatric STEC infections in England resulted in HUS No relationship was found with socioeconomic status or rurality of cases, but differences were demonstrated by age, stx type and presenting symptoms.

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Confidence **Keywords:** Health inequalities; Shiga-toxin producing *Escherichia* coli; STEC; Haemolytic Uraemic Syndrome; HUS

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INTRODUCTION

Haemolytic uraemic syndrome (HUS) is a rare but serious complication of infection with Shiga toxin-producing *Escherichia coli* (STEC), affecting the blood, kidneys and, in the most severe cases, the central nervous system. Children and the elderly are considered to be the most susceptible age groups and HUS is recognised as the most common cause of acute renal failure among children in the United Kingdom (1). Strains of STEC encoding s*tx2* toxin genes are more often associated with HUS than other strains (1-6). STEC serogroup O157 is the most frequently reported strain causing illness in England. Transmission to humans occurs through consumption of contaminated food or water, exposure to a contaminated environment involving direct or indirect contact with animals or their faeces and person-toperson spread.

asces, the central nervous system. Children and the elderly are considered to
ecptible age groups and HUS is recognised as the most common cause of a
mong children in the United Kingdom (1). Strains of STEC encoding stx2 t It is estimated that progression to HUS following STEC infection could be as high as 15% in young children (2, 7). Several studies have suggested that development of HUS varies by some demographic characteristics; higher incidence of HUS has been documented in children (particularly aged 1-4), females (particularly aged over 10 years) and in those of White ethnicity (2, 6, 8-13); however few have documented progression to HUS by other demographic characteristics such as deprivation, foreign travel, rurality or region. There is evidence to suggest that those who are disadvantaged have a lower risk of STEC infection (14-16), and potentially a lower risk of progression to HUS outside of England (16,17), however no studies have looked at the relationship between SES, STEC and HUS in England. This study aims to investigate the relationship between demographic factors, STEC infection and subsequent development of HUS in a well-characterised paediatric population in England with high case-ascertainment.

METHODS
Data, setting and source

ie Surveillance Unit (BPSU) HUS Study in conjunction with PHE. The link
st datascts, both of which can record HUS status, ensures high ascertainments
tyl, we extracted data on STEC cases aged 0-15 years (inclusive) identif We undertook a retrospective cohort study linking two data sources; the Public Health England (PHE) National Enhanced Surveillance System for STEC (NESSS) and the British Paediatric Surveillance Unit (BPSU) HUS Study in conjunction with PHE. The linkage of two robust datasets, both of which can record HUS status, ensures high ascertainment of HUS cases. Firstly, we extracted data on STEC cases aged 0-15 years (inclusive) identified in NESSS during the period of the BPSU HUS Study (October 1 2011 to October 31 2014). All laboratory-confirmed STEC cases in England are reported by NHS laboratories to Public Health England staff who collect standardised data through an enhanced surveillance questionnaire (ESQ) as part of their public health response: this standardised dataset is collated centrally in NESSS for further validation and analysis. The ESQ collects detailed information on patient demographics, symptoms, food and water exposures and UK and non-UK travel during the exposure period (the week prior to illness onset). When a presumptive STEC is identified at the local laboratory or a case of HUS is identified, specimens are sent to PHE Gastrointestinal Bacteria Reference Unit (GBRU) for testing and patient ESQs are linked to microbiological results. Due to the timing of the ESQ administration in NESSS (which is designed to inform the acute public health response), this system can underascertain HUS as this can develop after completion of the questionnaire. This surveillance system is described in detail elsewhere (2).

Secondly, we extracted clinical data on paediatric (<16 years) HUS cases, collected by the BPSU HUS Study, an active surveillance system requiring regular returns from clinicians. Within this study, data were captured using a standardised questionnaire administered to paediatricians collecting information on; case demography; treatment history; microbiological investigations; clinical parameters of illness; clinical management of illness and status of the case at the time of data capture. Cases in the BPSU dataset were linked on

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National Health Service (NHS) number, which was available for all cases, to those in the NESSS dataset to create a retrospective cohort. Supplementary Figure 1 provides details of the selection of study participants.

stical analysis, cases for whom no microbiological information was availably identified via serological testing only (n=66) were excluded in order to as the straty of Explorities (The Straty Assian/Asian Jack British, Mixe For statistical analysis, cases for whom no microbiological information was available $(n=4)$ and cases identified via serological testing only $(n=66)$ were excluded in order to assess the role of *stx* subtype. Ethnic groups, collected in five categories (White, Asian/Asian British, Black/Black British, Mixed, Chinese) is not well-completed in NESSS and therefore responses were re-coded as White or non-White for analysis. The considerable missing data for the ethnicity variable (19.1%) has led us to use the crude dichotomy of White/non-White in this analysis. Multiple imputation using chained equations to impute values where ethnicity (White/non-White) was missing. There will clearly be some loss of information from doing this, and this precludes investigating risk differences between the non-White ethnic groups . This may also slightly affect the confounding that exists between ethnicity and socioeconomic status. Fifty imputed datasets were generated. The distribution of ethnicity by age, sex and region was assessed to check the missing at random (MAR) assumption. There was no difference in missing ethnicity by sex, however there were some differences by age group (57.3% of cases with missing ethnicity were in the 1-4 age group; $n=114/199$) and region (31.2% of cases with missing ethnicity were in London; $n=62/199$); these were not regarded as problematic however as, given the observed data for other variables, the missing data were considered independent.

Ethics

Ethical approval was originally obtained for the main study (Ref: 11/LO/1412). As of October 2010, HUS is a statutory reportable condition and this study falls under the existing Health Protection Agency (now Public Health England) permissions under Section 251 of the NHS Act 2006. In addition, we received a favourable ethical opinion from the South East Coast - Surrey Research Ethics Committee (15/LO/2138) on 1 December 2015 covering the use of this dataset for this study.

Patient involvement

Patients were not directly involved in the design of this study.

Outcome and exposures

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were not directly involved in the design of this study.
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plementary Table 1) (18) or completion of the HUS field in The outcome of interest was HUS, determined by the case meeting the BPSU clinical criteria (See supplementary Table 1) (18) or completion of the HUS field in the ESQ. Covariates in the analysis were age group ≤ 1 , 1-4, 5-9, 10-15 years); sex (male/female); ethnicity (White/non-White); travel (yes/no); rurality (rural/urban); microbiology (Shiga-toxin (*Stx*)); antibiotic use (yes/no); clinical symptoms (diarrhoea, bloody diarrhoea, nausea, vomiting, abdominal pain, fever) and region of residence. The s*tx* type, the primary STEC virulence factor, was used as the main microbiological variable (19). Where symptoms, travel status and healthcare contact variables were blank or unknown, these were recoded as a negative response. As a proxy for childhood socioeconomic circumstances (SECs) we used a smallarea deprivation measure, the Index of Multiple Deprivation 2010 (IMD) (20), assigned to each case based on their postcode and divided into population-level quintiles, with the first quintile representing the least deprived and the fifth quintile representing the most deprived.

Analysis strategy

Comparisons of proportions were tested using the chi-squared test. We explored univariate relationships between progression to HUS and the covariates of interest before fitting a multivariate logistic regression model. All variables were retained in this model in order to control for any potential confounding. Interaction terms between variables (IMD, ethnicity,

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age and sex) were tested to investigate whether the strength of any relationship was moderated by the inclusion of another variable. Analyses were conducted in Stata 13.1 (Statacorp, Texas).

Robustness tests

We performed multiple sensitivity analyses to test the validity of the main analysis; first by (i) excluding cases that were likely to have a travel-acquired STEC infection (date of onset is within one exposure period, 7 days, of having returned from outside of the UK) and (ii) separately excluding cases with unknown ethnicity to determine whether there were differences in progression to HUS by SECs for children who travelled abroad during their incubation period compared to those who did not or those with ethnicity recorded and those without respectively.

RESULTS

Descriptive analysis

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ding cases that were likely to have a travel-acquired STFC infection (date o
ne exposure period, 7 days, of having returned from outs Of 1059 paediatric STEC cases included in the study, 207 (19.55%, 95% CI 17.27%-22.04%) developed HUS. Progression to HUS varied by age and gender (Table 1), the highest was observed in females aged 1-4 years (26.0%). A higher proportion of progression to HUS was observed in females aged 10-15 years compared to males of the same age (19.3%, 95% CI 12.3-27.9 versus 7.1%, 95% CI 2.9-14.2, p=0.01), and amongst females aged less than 1 year compared to males of the same age, although this was not significant (14.3%, 95% CI 4.0- 32.7 versus 4.8%, 95% CI 0.6-16.2, p=0.16). Although progression to HUS was higher in the least disadvantaged quintile (47/245, 19.2%, 95% CI 14.4-24.7%) compared with the most disadvantaged quintile (29/189, 15.3%, 95% CI 10.5-21.3%) this difference was not statistically significant ($p=0.29$). The highest proportion progressing to HUS was in quintile 3

(53/219, 24.2%, 95% CI 18.7-30.4%) and there was no clear pattern across the 5 quintiles (p=0.07; quintile 2 - 35/221, 15.8%, 95% CI 11.3-21.3%; quintile 4 - 43/185, 23.2%, 95% CI $17.4 - 30\%$).

Multivariable analysis

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ily adjusted model (Table 2), there were significantly lower odds of HUS at

ily adjusted model (Table 2), there were significantly lower odds of HUS at

ind 10-15 year olds compared to 1-4 year olds and si In the fully adjusted model (Table 2), there were significantly lower odds of HUS amongst <1, 5-9 and 10-15 year olds compared to 1-4 year olds and significantly higher odds of HUS amongst those infected with s*tx*2-only strains, those prescribed antibiotics and among those who had experienced bloody diarrhoea or vomiting . The most disadvantaged children had lower odds of progression to HUS compared to the least disadvantaged children (OR 0.57, 95% CI 0.25-1.31) but the difference was not significant. There was no statistically significant difference in risk by rurality (OR 0.88, 95% CI 0.52-1.48) or by region (Table 2). There were no significant interactions identified (data not shown).

The sensitivity analyses conducted to assess the robustness of the findings did not alter the overall conclusions of this research (Supplementary Tables 2 and 3).

DISCUSSION

In a novel linkage and analysis of two datasets with high case-ascertainment to explore the role of demographic and socioeconomic factors in the development of HUS following STEC infection, we found progression from STEC infection to HUS to be 20% in this paediatric cohort in England. Odds of HUS progression varied by age, stx type, antibiotic exposure and clinical presentation, with children aged 1-4 years infected with stx2-only, with reported antibiotic exposure and presenting with bloody diarrhoea or vomiting at highest risk. Few studies have explored the social patterning of risk factors for STEC (21) or the sociodemographic risk factors associated with progression to HUS, and no such studies have been

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undertaken in England. We found no relationship between progression to HUS and socioeconomic status in children in this study.

rised pacdiatric STEC population. To the best of our knowledge, as confirm

riew of the literature and discussion with national experts, this is the first stu

a prospective active surveillance system and a multisource nat Our study has several strengths. This study captures the progression of HUS in a wellcharacterised paediatric STEC population. To the best of our knowledge, as confirmed by a prior review of the literature and discussion with national experts, this is the first study to combine a prospective active surveillance system and a multisource national surveillance system to study the risk factors for HUS and as such is likely to have better caseascertainment of HUS than previous studies and is related to good STEC denominators. Furthermore, this study makes use of one of the largest cohorts of HUS cases. The results of this study are likely to be generalisable to other high-income countries with a similar pattern of STEC infection. Despite this, there are some limitations. It is possible that there is residual confounding that could not be controlled for, such as intrinsic childhood characteristics which may increase differential vulnerability or susceptibility by SEC such as genetic predisposition, co-morbidities, and clinical or treatment characteristics. Further, as an arealevel measure of SEC was used, it is possible that it may not have been sensitive enough to detect the effect of socioeconomic inequalities, particularly if individual factors rather than area-level factors have more influence over the risk of acquiring more severe strains of STEC with increased risk of progression to HUS. However, person-to-person spread is an important risk factor for GI infections and, although there is a risk of ecological fallacy, area-level measures have the advantage of including potential environmental factors such as housing and living environment deprivation which are likely to be important factors in considering individual risk of infection. Excluding individuals with a serological result only from the statistical analysis may introduce a potential bias leading to an underestimate of HUS incidence, which may be important if there are geographical or host-factors which are linked to severity of illness, although the number of serology-only diagnoses was small. In England,

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the STEC organisms with a lower proportion of stx2-only producing stra most diagnosed cases of STEC are of serogroup O157 (95% in our study), and it is possible that our results may be biased towards the relationship between STEC O157 and progression to HUS, which may differ if other, possibly less pathogenic, serogroups predominate. It is possible however, that the risk of progression to HUS could be different in populations exposed to STEC organisms with a lower proportion of stx2-only producing strains, or with a different age distribution of cases. There were also some missing data in our study, particularly for ethnicity, which we addressed using multiple imputation. The binary ethnicity variable used (White/non-White) was also crude and adopted because of data quality issues in NESSS for this variable. However, a previous study using this data (2) demonstrated differences in risk of STEC between White and non-White ethnic groups $(RR 1.43, p<0.001)$ and so was important to assess in our study although its inclusion may mask differences in socioeconomic status. No data were available on whether the children included in our study had underlying or chronic conditions which may be related to their risk of developing HUS. Finally, it was not always possible to determine whether antibiotics had been prescribed during treatment for STEC infection or following a diagnosis of HUS therefore the relevant association should be interpreted with caution.

> The finding of 19.5% (95% CI 17-22%) of diagnosed STEC cases progressing to HUS is higher than previous studies, which have estimated the proportion of paediatric cases of STEC O157 progressing to HUS to be 15% (95% CI 11-19%) in females aged 1-4 years in England (2) and 15.3% (95% CI 13-18%) in children aged \leq years in the USA (7). Our study uses data derived from two linked surveillance systems providing high ascertainment of both STEC and HUS cases which provides a more robust estimate. It is likely that there will also be a bias resulting from ascertainment of STEC cases from laboratory specimens, as milder cases of gastrointestinal infection are less likely to be microbiologically tested, but this will also be true of previous published studies.

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Whilst rurality has been reported as an important factor in risk for STEC infection (2, 14), our study suggests that rurality is not a significant driver of progression to HUS. It is important to note that there are environmental factors, such as cattle density, that were not included in this study and which may be more important factors in risk of STEC infection. Our finding that rurality was not linked to progression to HUS following STEC infection may also be due to the majority of our cases (95%) being STEC O157 – this finding may be different in more heterogenous dataset from countries with greater variability by serogroup. Similarly, despite evidence to suggest that the risk and consequences of GI infections in general are greater for disadvantaged children (22-26) – the finding in our study suggests that lower childhood SEC is unlikely to be a contributor for development of HUS.

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the different in moust dataset from countries with greater variability by Previous studies in England have suggested that children aged 1-4 years, females and white ethnic groups have the highest incidence of STEC infection (2, 27). Our study echoes the findings by Milford et al (6) which demonstrated higher progression to HUS amongst children aged 1-4 years. No overall difference in risk of HUS by sex was identified in our study, a finding echoed in several other previous studies (28-31); this is an area of disagreement in the literature with several studies finding higher risk amongst women (7, 17, 32) although two of these studies finding higher risk in women did not look specifically among children (7, 32). We did find differences in risk by sex within specific age groups, with a greater proportion of progression to HUS amongst girls less than 1 year of age and 10-15 years of age compared to boys of the same age groups (Table 1), although no significant interaction between age and sex could be identified. The reasons for the differential risk by age are currently unclear and call for a deeper understanding of differences in risks and exposures between these groups.

The association between clinical presentation with vomiting and bloody diarrhoea and increased risk of HUS reported in this study has been identified previously (12) and, as such,

the presence of these symptoms particularly in paediatric STEC cases should evoke a high level of clinical suspicion for the potential development of HUS.

Our study quantifies the proportion of paediatric STEC cases progressing to HUS in a well – defined population with high ascertainment. It also quantifies the risk factors associated with progression to HUS in terms of sociodemographic characteristics as well as clinical presentation. Further research is warranted to elucidate the populations at risk of STEC infection and HUS in terms of deprivation, ethnicity, age and sex, in order to better understand whether there are real differences in risk or artefacts of surveillance.

FUNDING

population with high ascertainment. It also quantifies the risk factors association to HUS in terms of sociodemographic eharacteristics as well as clinical
tion. Further research is warranted to elucidate the populations a The research was funded by the National Institute for Health Research Health Protection Research Unit (NIHR HPRU) in Gastrointestinal Infections [grant number NIHR HPRU 201210,038] at University of Liverpool in partnership with Public Health England (PHE), in collaboration with University of East Anglia, University of Oxford and the Quadram Institute. Natalie Adams is based at the University of Liverpool and Public Health England. The views expressed are those of the author(s) and not necessarily those of the NHS, the NIHR, the Department of Health and Social Care or Public Health England. David Taylor-Robinson is funded by the MRC on a Clinician Scientist Fellowship (MR/P008577/1). Ben Barr and Tanith Rose were also supported by the National Institute for Health Research (NIHR) Collaboration for Leadership in Health Research and Care (CLAHRC NWC).

CONFLICT OF INTEREST

All authors: No potential conflicts of interest.

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CONFLICT OF INTEREST

The authors declare that they have no conflicts of interest.

CONTRIBUTORSHIP STATEMENT

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Confidence All authors contributed to the conception and design of the study. LB, CJ and GK collated and curated the dataset and provided guidance on interpretation of data. NA performed the analyses with guidance from AC, LB, BB, JH and DTR. NA, JH, DTR, MV, SJOB and MM drafted the manuscript which was critically revised by all authors. All authors approved the final version of the manuscript. NA submitted the manuscript. JH and DTR are joint senior authors.

WHAT IS ALREADY KNOWN ON THIS TOPIC

- HUS is recognised as the most common cause of acute renal failure among children in the United Kingdom.
- It is estimated that progression to HUS following STEC infection could be as high as 15% in young children.
- Several studies have suggested that development of HUS varies by demographic characteristics however few have documented progression to HUS by demographic characteristics.

WHAT THIS STUDY ADDS

- ADDS

ALTEC cases developed the serious complication of haemone in England.

gher than previously reported in England, and varied by demonstrations of haemone or

factors did not influence progression to SES. A fifth of paediatric STEC cases developed the serious complication of haemolytic uraemic syndrome in England.
- This figure is higher than previously reported in England, and varied by demographic and clinical factors
- Socioeconomic factors did not influence progression to SES.

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TABLES

Table 1: Characteristics of cohort participants by HUS status (n=1,059)

Naturalistic unternite syndrone; stx – Shiga toxin; NHS Direct – National Health Service

Headvice line, now NHS 111; GP – General Practitioner, A&E – accident and emergency

Consider the action of the content of the conte telephone advice line, now NHS 111; GP – General Practitioner; A&E – accident and emergency

Confidential: For Review Only ^aAdjusted for all other covariates in the model; ^bStatistical significance of relationship between HUS and each variable tested using χ^2 test; stx – Shiga toxin gene; **[±]**Multiply imputed variable

SUPPLEMENTARY DATA

Supplementary Table 1a: Clinical case definition for HUS

A child (aged <16 years of age) who has:

Acute kidney injury (AKI) defined by oligoanuria and/or elevated creatinine for age

AND

Microangiopathic haemolytic anamia (MAHA) defined by haemoglobin level <10 g/L with fragmented erythrocytes

AND/OR

Thrombocytopenia defined by a platelet count of $\langle 130,000 \times 109 \rangle L$

WITHOUT septicaemia, malignant hypertension, chronic uraemia, or primary vascular disease

Elevated creatinine levels differed by age group and were those above the thresholds in Table 1

Supplementary Table 1b: Creatinine level (micromol/L) thresholds by age group

Supplementary Table 2: Adjusted and unadjusted regression analysis - Sensitivity analysis excluding travel cases (n subjects=850)

Supplementary Figure 1: Selection of participants to HUS Cohort Study

*An additional 19 HUS cases not reported to BPSU were identified in NESSS; NESSS – National Enhanced Surveillance System for STEC; HUS – haemolytic uraemic syndrome; BPSU – British Paediatric Surveillance Unit; ESQ – enhanced surveillance questionnaire