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A town-level comprehensive intervention to reduce salt intake in Chinese residents: A cluster randomized controlled trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-032976
Article Type:	Protocol
Date Submitted by the Author:	15-Jul-2019
Complete List of Authors:	<p>Tang, Biwei; Inner Mongolia Medical University Xu, Jianwei; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention, Liu, Min; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Bai, Yamin; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Yan, Wei; Jiangxi Provincial Center for Disease Control and Prevention Zhou, Xue; Heilongjiang Provincial Center for Disease Control and Prevention Xu, Zhihua; Qinghai Provincial Center for Disease Control and Prevention He, Jun; Sichuan Provincial Center for Disease Control and Prevention Jin, Donghui; Hunan Provincial Center for Disease Control and Prevention Sun, Jixin; Hebei Provincial Center for Disease Control and Prevention Li, Yuan; The George Institute for Global Health, Peking University Health Science Centre Wu, Jing; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Zhang, Puhong ; The George Institute at Peking University Health Science Center, Diabetes Program</p>
Keywords:	Hypertension < CARDIOLOGY, salt, clustered randomised control trial, intervention

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A town-level comprehensive intervention to reduce salt intake in Chinese residents: A cluster randomized controlled trial

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A total of 3,975 words, excluding title page, abstract, references, figures and tables.

For peer review only

ABSTRACT

Introduction: High salt (sodium) intake is one of the causes of high blood pressure in the population, and hypertension is an independent risk factor for stroke and coronary heart disease. Salt reduction is a recommended measure to control a rise in high blood pressure. In response to the high salt intake, Action on Salt China(ASC) project will be implemented in China. In order to better assess the impact of salt reduction at intervention sites, salt intake will be evaluated utilizing a 24-hour urine collection method. The project's primary objective is to evaluate comprehensive salt-reduction intervention outcomes and intervention effects in town/street, and provide strong evidence for the national government to develop salt-reduction and blood-pressure lowering strategies on a large scale.

Methods and analysis: Using a clustered randomised control trial(RCT) design, 40 towns distributed in 6 different provinces were assigned to the intervention group and the control group. An initial baseline survey will be carried out among 2500 randomly selected samples from the two groups. Information of salt-related KAP, blood pressure and 24-hour urinary sodium will be collected. Under the guidance of local government and Center for Disease Control and Prevention(CDC), comprehensive intervention policies and activities will be conducted at pilot sites. The control group did not take interventions. The project will conduct two rounds of assessment after 1 year and 2-3 years respectively. The primary goal is a change in the 24-hour timeframe urinary sodium levels from the baseline. The secondary goal is a change in salt-related KAP and blood pressure compared to the baseline.

Ethics and dissemination: The study has been reviewed and approved by the Institutional Review Board of the National Center for Chronic and Noncommunicable Disease Control and Prevention, the Chinese Center for Disease Control and Prevention. Results will be disseminated through presentations, publications and social media.

Trial registration number: ChiCTR1800018119

Key words: Hypertension; salt; clustered randomised control trial; intervention

Strengths and limitations of this study

1. Our study is extensive and involves a variety of collective units such as families, schools, units and restaurants.
2. Through this study to achieve long-term low salt intake of the population.
3. Our research uses a variety of interventions, including education, using mobile apps, conducting lectures and so on.
4. Our study can be extended to nationwide implementation in the future.

INTRODUCTION

Studies have shown that high salt (sodium) intake is one of the causes of high blood pressure levels and hypertension in a population, and hypertension is an independent risk factor for stroke and coronary heart disease, accounting for 62% of strokes and 49% of coronary heart disease.¹ Approximately 177 million people had hypertension in 2002 and raised BP attributed to 2.33 million cardiovascular disease(CVD) deaths in 2005.² Hypertension is a 'silent killer' and 75% of the Chinese hypertensive individuals are not aware that they have raised blood pressure(BP).³ Dietary salt intake is the major factor that increases BP and is largely responsible for the rise in BP with age.^{4,5} In China, salt intake is very high with an average of 12-14g/day.^{6,7} There is compelling evidence in adults that a modest reduction in salt intake lowers BP and reduces cardiovascular risk.^{5,8-11} And healthcare resources are limited, a reduction in salt intake is highly cost-effective.^{12,13} Salt reduction is a recommended measure to control a rise in high blood pressure. Indeed, salt reduction is one of the most cost-effective measures to prevent CVD in high-income as well as low-income and middle-income countries(LMICs).¹³⁻¹⁵ The daily salt intake currently recommended by World Health Organization(WHO) is 5g (the Chinese government recommends 6g). According to the results of the 2002 National Nutrition Survey, salt consumption was estimated at 12 grams per day among Chinese adults, which is twice that of China's recommended dietary guideline. The World Health Organization has recommended salt reduction as one of the top three priority actions to tackle the global crisis in non-communicable disease.^{12,16}

Raised blood pressure caused by excessive salt consumption is highly prevalent in China.³ The problem is particularly marked in northern China, where salt intake is high in adults.¹⁷ Unlike in developed countries, the major source of salt in the Chinese diet is salt added by the consumers themselves during food preparation.^{18,19} In response to the high salt intake, ASC project will be implemented in China, targeting the implementation of comprehensive strategies and measures to achieve a decrease in salt intake. In order to better assess the impact of salt reduction at intervention sites, salt intake will be evaluated utilizing a 24-hour urine collection method (see above). Generally, the 24-hour urine collection method is considered as the 'gold standard' to evaluate salt intake. The assessment of sodium intake will be calculated on the basis of a 24-hour urine collection in the baseline survey, mid-term survey and final evaluation.

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4 This project's primary objective is to evaluate comprehensive salt-reduction intervention
5 outcomes and intervention effects in town/street, and provide strong evidence for the national
6 government to develop salt-reduction and blood-pressure lowering strategies on a large scale.
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10 Particularly, the evaluation has the following 3 purposes: (1) To develop a comprehensive
11 salt-reduction intervention strategy in town/street level. (2) To evaluate the effectiveness at 12
12 months and longer-lasting impact at 24 months on salt reduction of the intervention strategies.
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14 (3) To assess the cost-effectiveness of the intervention at 12 months and 24 months.
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16 17 **METHODS AND ANALYSIS**

18 19 **Study Setting and Overall Design**

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23 Considering multiple aspects such as geographical location, economic level and dietary
24 habits, this project chooses to carry out on-site implementation work in six provinces
25 including Hebei, Heilongjiang, Jiangxi, Hunan, Sichuan and Qinghai.
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29 Using a clustered RCT design, 40 towns/streets across 6 separate provinces will be selected
30 for the study. Two counties/districts are selected from each province. Four towns/streets are
31 selected from each county/district. For the selection of town/streets, we will take into
32 consideration factors of geographical area, urbanization level, number of population, structure
33 of population, economic status, health service resource accessibility, etc. to ensure all selected
34 towns/streets have similar characteristics. Towns/streets in the intervention group should be
35 matched in respect to all factors with those in the control group. The inclusion criteria for
36 towns/streets are (1) Local governments of selected counties/districts should undertake
37 to support salt-reduction strategies; (2) Selected towns/streets should employ local
38 government staff to carry out project-related intervention activities. We will exclude
39 the town/streets which are involved in other salt-reduction research projects. A baseline
40 survey will be carried out before randomization among 2500 participants recruited from the
41 said 40 towns/streets.
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53 All towns/streets will be allocated randomly into either intervention or control groups.
54 Information of salt-related KAP, blood pressure and 24-hour urinary sodium levels will be
55 collected. Comprehensive intervention strategies will be delivered to the intervention group
56 for 12 months. Two waves of post-project evaluation will be conducted: an efficacy
57 evaluation after 12 months, and an effectiveness evaluation after 24 months.
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Study Population

The inclusion criteria for evaluated participants are (1) age: 18-75 years; (2) maximum of one family member per family; (3) local residents for over 6 months, no plan to relocate within 12 months; (4) agreement to sign informed consent form. The exclusion criteria for evaluated participants are (1) pregnant women and those in lactation period; (2) currently participating in any other clinical trial; (3) suffering from any severe psychiatric or physical diseases that might impact intervention and follow-up; (4) samples for 24-hour urine collection will be rejected for anyone satisfying any of the following conditions: a) urine cannot be collected due to aconuresis; b) the candidate has difficulty to collect urine and is unable to find an assistant; c) patients with acute/chronic urinary tract infection, vaginal infection and perianal infection; d) patients with acute hemorrhagic diseases in urinary tract, vagina and digestive tract; e) patients with severe vomiting and diarrheic symptoms.

Intervention

In order to promote the achievement of the goal of reducing salt, salt reduction advocacy will be delivered at national level among the control and intervention groups, the whole society is widely involved, giving full play to the role of industry associations and other social groups to promote the implementation of salt reduction measures. Regarding to the intervention in 12 months, under the technical support from local CDC, local government staff will coordinate with workers from neighbourhood offices, local women federations and local elementary/junior middle schools staff to implement comprehensive salt reduction interventions in the intervention counties (districts), targeting the major sources of salt intake in China. Including mass publicity and education, interventions by communities, schools and catering units, and salt reduction interventions based on primary care institutions.

Salt reduction publicity Each intervention county (district) mobilizes the whole society by giving full play to the advantages of the media, and carries out the theme publicity activities of the salt reduction action. Use publicity days or important holidays at least twice a year, such as *World Salt Reduction Week*, *National Nutrition Week*, etc. to carry out health knowledge promotion with the theme of salt reduction, distribute brochures and disseminate core information on salt reduction. And at least once a year to organize the county (district) participation of the masses of the "Salt and Health" cultural and publicity activities, using local mass cultural activities to select popular forms, such as knowledge contest, family

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3 health gastronomy cooking contest or other activities, to create a strong action atmosphere to
4 reduce salt. Our intervention should also include establishing a good public salt reduction
5 environment and making more people aware of salt reduction related knowledge and skills.
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7 Through various health education materials as a carrier to promote salt and health knowledge,
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9 publicize salt reduction knowledge and skills through posters, local media or self-media such
10 as WeChat public account to increase the coverage of publicity audiences, especially young
11 and middle-aged occupational groups.
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17 In the process of mass publicity, we must ensure that the form is diverse, the coverage is
18 wide, and the content is updated in a timely manner. At the same time, various activities must
19 strive for the publicity and coverage of the local mainstream media, and expand the influence
20 to ensure the publicity effect of each event. And encouraged counties (districts) to fully
21 integrate local culture and customs, innovative forms and content, and carry out mass
22 publicity and extension work.
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28 ***Salt reduction based on community*** All communities/villages in the towns/streets that have
29 been intervened shall carry out salt reduction environment construction, and the core
30 information of salt reduction shall be conveyed by putting up posters, hanging slogans and
31 distributing pamphlets in conspicuous places. Organizing community or village family chefs
32 and family members to conduct at least one training on salt reduction knowledge and skills
33 (key intervention community/village at least two times) every year, or carry out other forms
34 of community themed activities, and distribute salt limiting spoons and other intervention
35 tools. For the community/village family chefs and family members, the family salt intake
36 monitoring activities were carried out through the “Health Salt” WeChat small program or the
37 salt value evaluation booklet. In the implementation of the intervention measures, we should
38 fully investigate the enthusiasm of community workers, and develop grassroots health
39 instructors, so that the relationship between staff and the masses will be closer, more
40 conducive to the salt reduction intervention work in the community.
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52 ***Salt reduction based on school*** In all the schools in the intervention town, publicity posters
53 should be put up in the publicity boards or school canteens. And the school recess broadcast
54 can be used to publicize the knowledge of salt reduction, so as to create a good campus
55 environment for salt reduction. Salt and health training activities are conducted at least once a
56 year, using opportunities such as centralized teacher training or school parent-teacher
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3 meetings. Or in combination with local conditions, carry out "AppSalt use activities",
4 "previous section of salt reduction health education class", "watch a salt reduction science
5 animation", "salt reduction handwritten newspaper" and other forms of school salt reduction
6 publicity activities. In addition, we also need to actively promote the use of AppSalt by
7 students' parents in combination with local conditions, by means of training or school
8 WeChat group, or organize a class to carry out the use of AppSalt, so that families can reduce
9 the use of AppSalt under the support of AppSalt.
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17 ***Salt reduction based on restaurant*** Salt reduction activities can be carried out in restaurants
18 with a certain scale, and can be combined with the healthy canteen and health work
19 established in the demonstration area. The knowledge of salt reduction can be publicized
20 through posters, table decorations, and accessible brochures, so as to create a restaurant
21 environment conducive to salt reduction. In the restaurant and the canteen, we will combine
22 with the competent departments of catering units to train the catering chef at least once a year,
23 and provide technical training for the restaurant and restaurant chefs to guide them to cook
24 different salty dishes. When the conditions are ripe, the restaurant will launch a
25 meal-reducing salt reduction service promotion campaign in the restaurant, and we will
26 choose to carry out the meal reduction label in individual catering units.
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36 ***Primary care institutions reduce salt*** This project also covers the community health service
37 center or village clinic under the jurisdiction of the township/street. The project also covers
38 the community health service centers/village clinics under the township/street jurisdiction,
39 and publicizes salt reduction knowledge in the form of posters, accessible brochures or
40 banners in the township/street jurisdiction and community health service centers/village
41 clinics where the intervention is carried out. Conditional health agencies can broadcast salt
42 reduction publicity videos to create a salt reduction environment in primary health care
43 institutions through the above methods. County (district) centers for disease control and
44 prevention carry out training for relevant personnel of township health centers and
45 community health service centers/village health offices under the jurisdiction of the
46 intervention at least twice a year, focusing on the training of salt reduction knowledge, and
47 relying on the national basic public health service projects, training salt reduction guidelines
48 for patients with hypertension. All primary health care institutions should hold lectures and
49 guidance on salt reduction at least twice a year. Community health service centers/village
50 clinics use daily outpatient clinics or organize lectures to promote knowledge about salt
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3 reduction and prevention of hypertension, and provide guidance on salt reduction for patients
4 with hypertension.
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8 No additional intervention on salt reduction will be conducted among the control group
9 in Year 1. In Year 2, intervention strategies will be made available to the general public,
10 including those originally randomized into the control group. All participants in this study
11 will be encouraged to follow salt-reduction strategies.
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16 Control sites: No salt-reduction intervention is conducted in the control group.
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18 **Sample size**

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21 Our aim is to reduce sodium intake by 25 mmol/L. According to the study design, a sample of
22 20 cluster pairs (40 clusters) with 50 individuals per cluster achieves 80% power to detect a
23 difference of 25 between the group means when the standard deviation is 85 and the
24 within-pair coefficient of variation between clusters is 0.080. A two-sided T-test of the mean
25 difference is assumed, with a significance level of 0.05. Thus a total of 2500 individuals are
26 required for each wave of evaluation, and 1250 individuals for each group.
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32 **Outcome measures**

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35 The primary outcome is the decrease of 25 mmol/L in the 24-hour urinary sodium
36 level from the baseline. The secondary outcome is the change in salt-related KAP and
37 blood pressure from the baseline.
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41 **Outcome assessments**

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44 The project will conduct a baseline survey before the intervention, conduct a mid-term
45 assessment after 1 year of intervention, and conduct a terminal survey after the intervention.
46 Both the intervention and the control county/district carried out the same assessment at the
47 same time. Our baseline survey included questionnaires, physical measurements, and 24-hour
48 urine collection.
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54 The questionnaire survey conducted a face-to-face inquiry survey by trained and qualified
55 investigators through the mobile electronic data collection system. According to the content
56 and sequence of the questionnaire, the basic information of the respondents and relevant
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3 behavioral risk factors, knowledge, attitudes and behaviors related to salt reduction and
4 prevention and control of hypertension, hypertension and related expenses were collected.
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8 Physical measurements are accurately measured by trained researchers using calibrated
9 measuring instruments, including height, weight, waist circumference, blood pressure, and
10 heart rate.
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14 During the 24-hour urine collection, we asked the respondents to empty the bladder, record
15 the start time, dispense the urine collection equipment, and inform the respondents of the
16 urine retention precautions, and specify the collection time for the next day. When retrieving
17 the urine collection equipment, we need to ask for the last urination time. If the respondent
18 does not have the last urinary or urine, the last urine should be collected on site and the end
19 time recorded. When investigating urine, the investigator should also judge whether the urine
20 is qualified. If the urine is unqualified, it is necessary to re-schedule the collection. Finally,
21 the qualified urine is sealed and transported to the laboratory for unified testing. The test
22 indexes included urinary sodium, urinary potassium, urinary creatinine and urinary
23 microalbumin.
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31 32 **DATA COLLECTION AND ANALYSIS** 33

34 35 **Data collection methods** 36

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38 CRFs will be designed to collect data of both the baseline survey and evaluation, including
39 demographic characteristics, salt-related KAP, blood pressure, and 24-hour urinary sodium
40 levels. Besides such data collected at the baseline, participants lost and reasons for loss need
41 to be recorded both at the stages of 12-month follow-up and longer-lasting effectiveness
42 evaluation at 24 months. Electronic data capture technology will be employed to support data
43 collection.
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48 49 **Data management** 50

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52 The data of this survey was collected through the mobile electronic data acquisition system
53 (EDC), which was developed by Beijing University of Aeronautics and Astronautics.
54 Relevant data such as questionnaires, physical measurements, urine collection and
55 intervention processes were collected by the EDC system.
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60 **Statistical Analysis**

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4 The effectiveness of the intervention package on primary and secondary outcomes will be
5 evaluated at 12 months after the first-year intervention. The difference in 24-hour sodium
6 excretion levels as well as the secondary outcomes will be compared between the two groups
7 using linear mixed models, with participants nested within family units and families nested
8 within community/village units. We will include group (intervention, control), time (baseline,
9 end of trial), and time×group interaction, with the time×group interaction term indicating
10 differential change by group from baseline to the end of the trial. To account for missing data
11 on continuous outcomes, we will use the likelihood based random effects model that uses all
12 available data and provides valid estimates of the intervention effects when data are missing
13 at random. We will adjust for the stratification variables at randomization and potential
14 confounding variables. We will also carry out various sensitivity analyses to examine the
15 robustness of the conclusions of the primary analysis.
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When evaluating the longer-lasting effectiveness of the intervention package at 24 months,
the same methods as mentioned above will be applied, with replacing the major/secondary
outcomes at 12 months by those at 24 months. We assume the effect of the scale-up will be
offset between the intervention and control group.

SAS will be used for the analyses. Results will be reported as mean, SD, SE, and 95%
confidence interval when appropriate. All analyses are two sided, and $P < 0.05$ is considered
significant.

Process evaluation

In order to ensure that the interventions can be implemented according to the plan and
requirements, the project will carry out process evaluation, strengthen process management.
Process evaluation includes evaluation of the two parts of the indicator system and method.
We need to establish a good working system, develop an effective intervention plan, and
strictly control the implementation process of the intervention. Each intervention county
(district) shall formulate an intervention plan, and report the progress of the intervention on a
quarterly basis according to the activity plan. The report includes the content of the activity,
the time of the event, the implementer, the participants, and other relevant documents, photos
and objects and so on. The national and provincial supervision teams went to the intervention
site to check the construction of the salt reduction environment, check the posting and
placement of posters, brochures and other promotional materials, and understand the

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3 distribution of materials, lectures and training. Using semi-structured interviews, interview
4 with key informants such as project staff, managers, and intervention personnel in the county
5 (district) to understand the completion of interventions, the effects of interventions, and
6 problems in interventions.
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10 11 **Project status and timelines**

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14 Preparations are made from April to August 2018. The baseline assessment was conducted
15 from September to October 2018. Since two rounds of effect evaluations are to be carried out
16 after 12 months and 24 months, a mid-term evaluation will be conducted between August and
17 September 2019, and the final follow-up evaluation will be conducted from August to
18 September 2019.
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23 24 **ETHICS AND DISSEMINATION**

25 26 **Research Ethics Approval and Consent**

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29 The study has been reviewed and approved by the Institutional Review Board of the National
30 Center for Chronic and Noncommunicable Disease Control and Prevention, the Chinese
31 Center for Disease Control and Prevention. Written consent will be obtained from all
32 participants who will be free to discontinue their participation at any time, with no
33 explanation required.
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38 39 **Consent or Assent**

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42 Consent for participation in the project will be sought both at the cluster level and the
43 individual level. Cluster level consent of the community will be obtained through a
44 consultation process involving government (at provincial, county and township levels) and
45 village leaders. The project, including the process of random assignment of communities to
46 intervention and control conditions and the nature of interventions, will be explained at a
47 face-to-face meeting. Questions will be answered and all relevant stakeholder groups invited
48 to consult with their members and reflect upon the project. Individual consent for
49 participation in outcome surveys will be obtained from all persons selected in a standard
50 manner via provision of a participant information sheet, explanation and discussion as
51 required, and the collection of written consent from those willing to take part.
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Written consent will be obtained from all participants who will be free to discontinue their

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3 participation at any time, with no explanation required.
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6 **Acknowledgements**

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8 The author would like to thank street/community residents, catering companies and primary
9 care staff for their opinions on the development of the intervention program.
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13 **Contributors**

14
15 PZ and JW conceived the project. JX, ML, YB, YL, JW and PZ participated in the design and
16 implementation of the project. All authors facilitates Patient and Public Involvement and were
17 responsible for setting up the study in each site. BT and JX wrote the first draft of the
18 manuscript, they contributed equally to this paper. All authors contributed to the refinement
19 of the study protocol and approved the final manuscript.
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25 **Funding**

26
27 This work is supported by the National Institute of Health Research using Official Development
28 Assistance (ODA) funding (16/136/77).
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33 **Competing interests**

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35 No competing interests.
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38 **Dissemination policy**

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40 The findings of this study will be disseminated through discussion or presentations at selected
41 conferences, peer-reviewed publications and the general media.
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BMJ Open

A town level comprehensive intervention study (CIS) to reduce salt intake in Chinese residents: Protocol of a cluster randomized controlled trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-032976.R1
Article Type:	Protocol
Date Submitted by the Author:	10-Oct-2019
Complete List of Authors:	Xu, Jianwei; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention, Tang, Biwei; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention; Inner Mongolia Medical University Liu, Min; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Bai, Yamin; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Yan, Wei; Jiangxi Provincial Center for Disease Control and Prevention Zhou, Xue; Heilongjiang Provincial Center for Disease Control and Prevention Xu, Zhihua; Qinghai Provincial Center for Disease Control and Prevention He, Jun; Sichuan Provincial Center for Disease Control and Prevention Jin, Donghui; Hunan Provincial Center for Disease Control and Prevention Sun, Jixin; Hebei Provincial Center for Disease Control and Prevention Li, Yuan; The George Institute for Global Health, Peking University Health Science Centre He, Feng; Queen Mary University of London, Wolfson Institute of Preventive Medicine MacGregor, Graham; Queen Mary University of London, Wolfson Institute of Preventive Medicine Wu, Jing; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Zhang, Puhong ; The George Institute at Peking University Health Science Center, Diabetes Program
Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Epidemiology, Public health
Keywords:	Hypertension < CARDIOLOGY, EPIDEMIOLOGY, STATISTICS & RESEARCH METHODS, PUBLIC HEALTH

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4 **A town level comprehensive intervention study (CIS) to reduce salt intake in**
5 **Chinese residents: Protocol of a cluster randomized controlled trial**
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34 A total of 5416 words, excluding title page, abstract, references, figures and tables.

ABSTRACT

Introduction: Salt intake in China ($\approx 12\text{g/d}$) twice the recommended upper limit (5g/d) by World Health Organization. In response to the high salt intake, Action on Salt China (ASC) was launched in 2017. As one of four randomized controlled trials (RCTs) in ASC, a comprehensive intervention study (CIS) was designed to test if all the components of interventions adopted by other RCTs are acceptable, scalable and effective if provided to a region in real world..

Methods and analysis: Using a cluster RCT design, 2688 participants sampled from 48 towns (cluster) of 12 counties in 6 provinces were assigned to the intervention group and the control group. Randomization was done after baseline survey completed within each country. Information of salt-related knowledge, attitude and practice (KAP), blood pressure and 24-hour urinary sodium were collected. The intervention in CIS covered government engagement, health education and all the intervention components targeting restaurants, house cook, and primary school students and their families used in other parallel RCTs. The control group did not receive the intervention package. The project will be followed up for two years, with only the first year is covered by intervention. The primary outcome is salt intake measured by 24-hour urinary sodium excretion after one year. The secondary outcomes is the long lasting effectiveness on salt reduction measured by the same method, as well as salt-related KAP and blood pressure after one and two years follow-up. Process evaluation and health economics analysis will be conducted as well.

Ethics and dissemination: The study has been reviewed and approved by the Institutional Review Board of the National Center for Chronic and Noncommunicable Disease Control and Prevention, the Chinese Center for Disease Control and Prevention, and Queen Mary Research Ethics Committee. Results will be disseminated through presentations, publications and social media.

Trial registration number: ChiCTR1800018119

Key words: Hypertension; salt; clustered randomised control trial; intervention

Strengths and limitations of this study

1. This is a cluster randomized controlled trial to test a complex intervention on salt reduction in various settings in China.
2. 24-hour urine sodium excretion is used for primary outcome evaluation.
3. Adaption to the intervention according to regional context and resources is allowed, but need to be recorded and reported.
4. Process monitoring during project implementation and process evaluation at the end of intervention will be done to supervise the fidelity and adaption to the intervention, and understand whether, why and how the interventions work in the study.
5. The intervention delivery is led by county government, potential contamination may exist for participants in towns of control group under the same county.

INTRODUCTION

Studies have shown that high salt (sodium) intake is one of the causes of high blood pressure levels and hypertension in a population, and hypertension is an independent risk factor for stroke and coronary heart disease, accounting for 62% of strokes and 49% of coronary heart disease.¹ Approximately 177 million people had hypertension in 2002 and raised blood pressure (BP) attributed to 2.33 million cardiovascular disease (CVD) deaths in 2005.² Hypertension is a 'silent killer' and 75% of the Chinese hypertensive individuals are not aware that they have BP.³ Dietary salt intake is the major factor that increases BP and is largely responsible for the rise in BP with age.^{4,5} In China, salt intake is very high with an average of 12-14g/day.^{6,7} There is compelling evidence in adults that a modest reduction in salt intake lowers BP and reduces cardiovascular risk.^{5,8-11} Salt reduction is one of the most cost-effective measures to prevent CVD in high-income as well as low-income and middle-income countries (LMICs).^{12,13} The daily salt intake currently recommended by World Health Organization (WHO) is 5g (the Chinese government recommends 6g). According to the results of the 2002 National Nutrition Survey, Chinese adults' daily salt intake is twice that of China's recommended dietary guidelines. The World Health Organization has recommended salt reduction as one of the top three priority actions to tackle the global crisis in non-communicable disease.¹⁴

Unlike in developed countries, the major source of salt in the Chinese diet is salt added by the consumers themselves during food preparation.^{15,16} In response to the high salt intake, Action on Salt China (ASC) unit was set up in 2017 with the purpose of reducing salt intake by implementing a comprehensive national salt reduction programme.¹⁷ ASC is funded by the National Institute for Health Research (NIHR) of UK, led by Queen Mary University of London, The George Institute China, Chinese Center for Disease Control and Prevention (China CDC), and several other key agencies in China. Under ASC unit. A series of programmes has been designed and are being implemented, including campaigns for health education and pre-packaged food salt reduction as well as four cluster randomized controlled trials (RCT) to

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4 develop and test specific intervention packages targeting different settings or
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6 populations. The four RCTs are (1) Application-based Intervention Study (AIS)
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8 targeting schoolchildren and their families;¹⁸ (2) Housewife-based Intervention study
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10 (HIS) supporting using less salt in home cooking; (3) Restaurant-based Intervention
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12 Study (RIS), targeting salt intake from restaurants; and (4) Comprehensive
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14 Intervention Study (CIS) as a scale-up study, i.e. the study we introduce in this paper.

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16 CIS was designed to simulate real world scale up, in which all the available
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18 interventions adopted by other RCTs were provided to the government of participating
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20 counties. The purpose of the study is to evaluate the acceptability for each components
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22 of intervention, the effectiveness (including cost-effectiveness) of the intervention
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24 right after a one year intervention, the long-lasting effectiveness after the intervention
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26 stopped for one year, and finally provide evidence for national scale-up. This paper
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28 describes the specific study design, implementation and current status of CIS.

30 **METHODS AND ANALYSIS**

32 **Study Setting and Overall Design**

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35 Considering multiple aspects such as geographical location, economic level and dietary
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37 habits, this project chooses to carry out in six provinces including Hebei, Heilongjiang,
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39 Jiangxi, Hunan, Sichuan and Qinghai, covering north and south, east and west, and
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41 middle China.

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44 CIS is designed as a cluster RCT, already launched since September 2018 and to be
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46 completed by the end of 2020. The clusters are 48 towns (named street in urban areas,
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48 but only “town” is used hereafter for simplification) selected from 12 counties (named
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50 district in urban areas, but only “county” is used hereafter) across the 6 provinces. Each
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52 province selects 2 project counties mainly from rural or suburb areas where the livings
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54 of local residents are more independent of surroundings when compared with those in
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56 central urban area. This may help to minimize contamination among counties and towns.
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58 In each county, 4 towns, with similar population and economic development level, and
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60 not adjacent to each other, are selected with the purpose of avoiding imbalance on

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4 potential confounders between intervention and control groups due to the small number
5 (48) of clusters and contamination of intervention to control group. 56 eligible
6 participants are selected from each town to receive randomized treatment, intervention
7 or not, and evaluation during a two years follow-up. The 4 towns are evenly randomized
8 into the intervention or control group right after the baseline survey has been completed
9 for all the four towns within a county. The randomization methodology is
10 acknowledged in advance to all the investigators at provincial, county and township
11 levels, but the result of randomization keeps concealed until the centralized
12 randomization finished.

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22 The implementation of intervention is led by county investigators with the support from
23 local governments, but the delivery of the intervention package is conducted at
24 township level or the cluster level. To avoid contamination, all the intervention
25 activities are required to be conducted within the intervention town. The intervention
26 will be provided for the first 12 months. The effectiveness of intervention will be
27 evaluated right after completion of the 12 months intervention (mid-term assessment)
28 and after another 12 months follow-up to find its long-lasting effectiveness (endpoint
29 assessment).

30 31 32 33 34 35 36 37 38 **Study Population and participant recruitment**

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40 The target population is all adult residents in study sites. In this study, the inclusion
41 criteria for evaluated participants are (1) age: 18-75 years; (2) maximum of one family
42 member per family; (3) local residents for over 6 months, with no plan to move within
43 24 months; (4) agreement to sign informed consent form. The exclusion criteria for
44 evaluated participants are (1) pregnant women and those in lactation period; (2)
45 currently participating in any other clinical trial; (3) suffering from any severe
46 psychiatric or physical diseases that might impact intervention and follow-up; (4)
47 unable or not suitable to collect 24-hour urine due to the following conditions: a)
48 aconuresis; b) acute/chronic urinary tract infection, vaginal infection and perianal
49 infection; c) acute hemorrhagic diseases in urinary tract, vagina and digestive tract; d)
50 severe vomiting and diarrheic symptoms; e) other conditions difficult for urine collect
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4 and unable to find an assistant.
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6 A two-stage sampling is conducted to recruit eligible participants. Firstly, two villages
7 (named committees in urban areas) are randomly selected, and then 28 eligible
8 participants are randomly selected from each village, i.e. 56 participants for each town.
9
10 The procedure of village and participant selection is conducted by county investigators
11 with the support of a specially designed smartphone application. To fulfil the random
12 selection, the names of villages as well as the names of residents in the selected villages
13 need to be uploaded to the server through the app, and a centralized randomization
14 result will be presented through the app to the county investigators. The reasons why
15 not eligible for some residents are also recorded through the app.
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24 **Intervention**

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27 In order to promote all intervention components for salt reduction, a multi-section
28 engagement strategy is recommended to local governments at county, township and
29 village levels. The government agencies and other major stakeholders to be engaged
30 also include local centers for disease control and prevention (CDC), women federations,
31 propaganda centers, hospitals, schools, restaurants, supermarkets, etc.. The county
32 CDCs will lead and coordinate the implementation of the comprehensive salt reduction
33 interventions, including mass publicity and education, interventions by communities,
34 schools and catering units, and salt reduction interventions based on primary care
35 institutions. The overall health promotion and the major interventions targeting
36 different populations or settings within the intervention towns are summarized below.
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47 ***Salt reduction publicity within the intervention towns*** Each county mobilizes the
48 whole township society in intervention group by carrying out various theme publicity
49 activities for salt reduction. These include (1) to carry out health knowledge promotion
50 by training and distributing brochures and disseminate core information on salt
51 reduction leveraging publicity days or important holidays at least twice a year, such as
52 *World Salt Reduction Week, National Nutrition Week*, etc.; (2) at least once a year to
53 organize a mass cultural and publicity activities on "Salt and Health", using local
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4 popular forms, such as knowledge contest, family health gastronomy cooking contest
5 or other activities, to create a strong action atmosphere to reduce salt; (3) to establish a
6 good public salt reduction environment such as healthy park, healthy road, healthy oil
7 station, etc.; and (4) to promote salt and health knowledge and skills through posters
8 and self-media such as WeChat public account to increase the coverage of publicity
9 audiences, especially young and middle-aged occupational groups.
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16 In the process of mass publicity, we encourage to leverage the local culture and customs,
17 use innovative forms and content, and encourage that the form is diverse, the coverage
18 is wide, and the content is updated in a timely manner. At the same time, contamination
19 to the control towns must be avoided by limiting the activities within the intervention
20 towns.
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26 ***Salt reduction based on community*** All communities/villages in the intervention towns
27 try to establish salt reduction environment by putting up posters, hanging slogans and
28 distributing pamphlets in conspicuous places. Organizing community or village family
29 chefs and family members to conduct at least one training on salt reduction knowledge
30 and skills every year, or carry out other forms of community themed activities, and
31 distribute salt limiting spoons and other intervention tools. For the community/village
32 family chefs and family members, the family salt intake monitoring activities carry out
33 through the “Health Salt” WeChat applet or the salt intake evaluation booklet. Eight
34 standard, no more than 2 minutes, audios are provided for loudspeaker broadcasting for
35 all villages in the intervention towns.
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46 ***Salt reduction based on school*** In all the schools in the intervention town, publicity
47 posters should be put up in the publicity boards or school canteens. And the school
48 recess broadcast can be used to publicize the knowledge of salt reduction, so as to create
49 a good campus environment for salt reduction. Salt and health training activities are
50 conducted at least once a year, using opportunities such as centralized teacher training
51 or school parent-teacher meetings. Or in combination with local conditions, carry out
52 "AppSalt use activities" (AppSalt is an app-based platform designated for salt reduction
53 through primary school, which is the key intervention in AIS¹⁸) "previous section of
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4 salt reduction health education class", "watch a salt reduction science animation", "salt
5 reduction handwritten newspaper" and other forms of school salt reduction publicity
6 activities. In addition, we also need to actively promote the use of AppSalt by students'
7 parents in combination with local conditions, by means of training or school WeChat
8 group, or organize a class to carry out the use of AppSalt.
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14 ***Salt reduction based on restaurant*** Salt reduction activities can be carried out in
15 restaurants with a certain scale, and can be combined with the healthy canteen and
16 health workplace. The knowledge of salt reduction can be publicized through posters,
17 table decorations, and accessible brochures, so as to create a restaurant environment
18 conducive to salt reduction. In the restaurant and the canteen, we will combine with the
19 competent departments of catering units to train the catering chef at least once a year,
20 and provide technical training for the restaurant and restaurant chefs to guide them to
21 cook different salty dishes. When the conditions are ripe, the restaurant will launch a
22 meal-reducing salt reduction service promotion campaign in the restaurant, and we will
23 choose to carry out the meal reduction label in individual catering units.
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34 ***Salt reduction based on primary care service*** This project also covers the community
35 health service under the jurisdiction of town (township hospitals) and village (village
36 clinics) by publicizing salt reduction knowledge in the form of posters, accessible
37 brochures or banners in the hospitals and clinics. If available, these facilities can
38 broadcast salt reduction publicity videos. The county CDCs carry out training at least
39 twice a year for all the care providers under the jurisdiction of the intervention towns,
40 by combining with the routine training of national basic public health service. All
41 primary health care institutions should hold lectures and guidance on salt reduction at
42 least twice a year. Primary care providers should deliver salt reduction knowledge and
43 skills during the routine outpatient clinics to promote salt reduction and prevention of
44 hypertension for the visiting patients.
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56 Theoretically, as mentioned above, although some salt reduction activities are
57 mandatory, it is acceptable for the local governments to select some of the intervention
58 tools or materials and add some if they think reasonable. But the degree, coverage and
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4 cost must be recorded during the project implementation. No additional intervention on
5 salt reduction will be conducted among the control group in Year 1. In Year 2,
6 intervention strategies will be made available to the general public as a national scale-
7 up, including those originally randomized into the control group. The hypotheses is that
8 the national scale-up has the same impact to the two groups, and the difference on 24-
9 hour urinary sodium excretion at the end of year 2 can reflex the pure long-lasting
10 effectiveness of the intervention conducted in year 1.
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17 **Sample size**

18 Referring to our unpublished before-after effectiveness of sodium reduction by 37
19 mmol/L in our recently completed Shandong salt reduction,¹⁹ our aim is to reduce
20 sodium intake by at least 25 mmol/d (1.46 g/d salt) from baseline with comparison to
21 the control group. According to the research design, we randomly select 2688 eligible
22 participants from 48 towns (56 each) in 6 provinces. Assuming the maximum drop rate
23 is 20% for towns (from 48 to 40) and 10% for participants (from 56 to 50) within the
24 two years follow-up, this sample size and sampling method would have a 80% power
25 to detect a difference of 25 mmol/d between the group means assuming the standard
26 deviation is 85.0 mmol/d and intraclass correlation coefficient (ICC) is 0.080, with a
27 two-sided analyses and a significance level of 0.05. The parameter estimations for
28 effectiveness, standard deviation and ICC are also based on Shandong study, and also
29 similar to the results in a cluster RCT conducted in China.⁷
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44 **Outcomes and outcome assessment**

45 The primary outcome is sodium intake measured by 24-hour urinary sodium exertion.
46 The secondary outcomes include the change in salt-related knowledge, attitude and
47 practice (KAP), and blood pressure.
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52 The project will conduct a baseline survey before randomization, a mid-term
53 assessment after 1 year of intervention, and an endpoint assessment two years later from
54 baseline. Both the intervention and the control towns will carry out the same
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3 assessments in parallel. Our baseline survey included questionnaires, physical
4 measurements, and 24-hour urine collection.
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8 The questionnaire survey conducted a face-to-face inquiry survey by trained and
9 qualified investigators through a mobile electronic data collection system.²⁰ According
10 to the content and sequence of the questionnaire, the basic information of the
11 respondents and relevant behavioral risk factors, knowledge, attitudes and behaviors
12 related to salt reduction and prevention and control of hypertension, hypertension and
13 related expenses were collected. Physical measurements are accurately measured by
14 trained researchers using calibrated measuring instruments, including height, weight,
15 waist circumference, blood pressure, and heart rate.
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24 During the 24-hour urine collection, we asked the respondents to empty the bladder,
25 record the start time, dispense the urine collection equipment, and inform the
26 respondents of the urine retention precautions, and specify the collection time for the
27 next day. When retrieving the urine collection equipment, we need to ask for the last
28 urination time. If the respondent does not remember the time of the last urine collection,
29 then the final urine should be collected on site and the end time recorded. If any of the
30 following three problems occur, it is determined that the urine sample is unacceptable:
31 (1) forget to collect or splash urine more than 10% of the total; (2) urine is contaminated
32 with blood, stool or other impurities; (3) excessive sweating, diarrhea or vomiting
33 during collection. If any of the above situations is reported, another 24-hour urine
34 collection should be re-scheduled. Finally, the qualified urine is sealed and transported
35 to the laboratory for unified testing. The test indexes included urinary sodium, urinary
36 potassium, urinary creatinine and urinary microalbumin.
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50 The staff participating in the field investigation are the backbone of the project counties.
51 We will conduct unified training for them and conduct assessment. Only those who
52 pass the assessment can conduct the field investigation. And they will be compensated
53 accordingly.
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DATA COLLECTION AND ANALYSIS

Data collection methods

Case report forms (CRFs) will be designed to collect data of both the baseline survey and evaluation, including demographic characteristics, salt-related KAP, blood pressure, and 24-hour urinary sodium levels. Besides such data collected at the baseline, participants lost and reasons for loss need to be recorded both at the stages of 12-month follow-up and longer-lasting effectiveness evaluation at 24 months. Electronic data capture technology will be employed to support data collection.

Data management

The data of this survey was collected through the mobile electronic data acquisition system (mEDC),²⁰ which was developed by Beijing University of Aeronautics and Astronautics. Relevant data such as questionnaires, physical measurements, urine collection and intervention processes were collected by the mEDC system.

Statistical Analysis

The effectiveness of the intervention package on primary and secondary outcomes will be evaluated at 12 months after one-year intervention, and at 24 months after the intervention stopped for one year. The linear mixed models will be used to model both outcome measures at 12 months (primary analysis) and 24 months, with adjustment for the baseline value (same as the analysed outcome), and with participants nested within village units. The group difference will be estimated at each time point using the time point \times group as interaction term. The town (cluster variable at the level of randomization) and the repeated measures at the participant level will be treated as random effects. To account for missing data on continuous outcomes, we will use the likelihood based random effects model that uses all available data to provide valid estimates of the intervention effects when data are missing at random. We will also carry out various sensitivity analyses to examine the robustness of the conclusions of the primary analysis.

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4 When evaluating the longer-lasting effectiveness of the intervention package at 24
5 months, the same methods as mentioned above will be applied, with replacing the
6 major/secondary outcomes at 12 months by those at 24 months. We assume the effect
7 of the scale-up will be offset between the intervention and control group.
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11
12 SAS will be used for the analyses. Results will be reported as mean, SD, SE, and 95%
13 confidence interval when appropriate. All analyses are two sided, and $P < 0.05$ is
14 considered significant.
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17 **Process monitoring and evaluation**

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21 In order to ensure to supervise the fidelity and adaption to the intervention, process
22 monitoring will be carried out throughout the intervention period of time. Process
23 monitoring includes evaluation of indicator system and method. For each county,
24 governance, working system, and effective intervention plan must be ready before the
25 initiation of intervention. During the implementation, all the intervention will be
26 recorded and reported on a quarterly basis according to the activity plan. The report
27 includes the content of the activity, the time of the event, the implementer, the
28 participants, and other relevant documents, photos and objects and so on. The national
29 and provincial supervision teams will visit the intervention sites quarterly to check the
30 construction of the salt reduction environment, check the posting and placement of
31 posters, brochures and other promotional materials, and understand the distribution of
32 materials, lectures and training.
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45 At the end of year 1 and year 2, a systematic semi-structured interviews will be
46 conducted separately to evaluate whether, why and how the specific interventions work
47 in different settings, with the purpose of promoting the scale-up for effective salt
48 reduction strategies and measures in China and worldwide. Key informants such as
49 project staff, managers, and intervention personnel in village, town and county levels
50 will be interviewed.
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56 **Economic evaluation**

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4 Economic evaluations will be carried out from health sector perspective to compare the
5 comprehensive intervention with usual care, and it will entail two components: a trial-
6 based economic evaluation and a modelled economic evaluation of long-term costs and
7 outcomes. Intervention costs will include those in delivering the intervention which
8 shall be recorded by the county investigators, but exclude any research and
9 development costs. The trial-based economic evaluation will be assessed initially in
10 terms of incremental cost per unit reduction in salt intake and systolic BP. The modelled
11 economic evaluation with discounting will examine the cost, survival, quality of life
12 over lifetime, via capturing various health states (including death and CVD events) to
13 estimate incremental cost per life year saved and cost per Quality-Adjusted Life Year
14 gained. The transition probabilities across health states and costs attached to various
15 health states will be based on literature and the long-term effects of the reduction in salt
16 intake or systolic BP will be derived from the trial findings and/or literature of disease
17 progression. Sensitivity analyses will be carried out to estimate uncertainty about the
18 primary findings associated with varying key parameters.
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33 **Project status and timelines**

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35 Preparations are made from April 2017 to August 2018. The baseline assessment was
36 initiated from September to December 2018. 2688 eligible participants have been
37 successfully recruited from 48 towns (4 of them located in urban areas) of 12 counties
38 (2 of them located in urban areas) and completed the baseline survey. Since two rounds
39 of effect evaluations are to be carried out after 12 months and 24 months, a mid-term
40 evaluation will be conducted by the end of 2019, and the final follow-up evaluation will
41 be conducted in December 2019.
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49 **Patient and Public Involvement**

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51 According to the actual situation in the locality, the investigation site adopts various
52 forms to carry out propaganda and mobilization work, and introduces the meaning and
53 purpose of the investigation to the residents. Rely on the leadership and support of the
54 local government and grassroots organizations, master the situation, make
55 appointments, and strive to understand, support and cooperate with the respondents.
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4 After determining the identity of the investigator, we will sign an informed consent
5 form with the investigator, then conduct a questionnaire survey, body measurements,
6 and urine collection.
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10 11 12 **ETHICS AND DISSEMINATION**

13 14 **Research Ethics Approval and Consent**

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16 The study has been reviewed and approved by the Institutional Review Board of the
17 National Center for Chronic and Noncommunicable Disease Control and Prevention,
18 the Chinese Center for Disease Control and Prevention (201807), and Queen Mary
19 Research Ethics Committee. Written consent will be obtained from all participants who
20 will be free to discontinue their participation at any time, with no explanation required.
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26 27 **Consent or Assent**

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29 Consent for participation in the project will be sought both at the cluster level and the
30 individual level. Cluster level consent of the community will be obtained through a
31 consultation process involving government (at provincial, county and town levels) and
32 village leaders. The project, including the process of random assignment of
33 communities to intervention and control conditions and the nature of interventions, will
34 be explained at a face-to-face meeting. Questions will be answered and all relevant
35 stakeholder groups invited to consult with their members and reflect upon the project.
36
37 Individual consent for participation in outcome surveys will be obtained from all
38 persons selected in a standard manner via provision of a participant information sheet,
39 explanation and discussion as required, and the collection of written consent from those
40 willing to take part.
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51 Written consent will be obtained from all participants who will be free to discontinue
52 their participation at any time, with no explanation required.
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55 56 **Acknowledgements**

57
58 The author would like to thank street/community residents, catering companies, school
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4 teachers, parents of primary school students, primary care staff, and village, town and
5 county leaders for their opinions on the development of the intervention program.
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8 **Contributors**

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10
11 PZ and JW conceived the project. JX, ML, YB, YL, JW, FJH, GAM and PZ participated
12 in the design and implementation of the project. JX, BT, ML, YB, WY, XZ, ZX, JH,
13 DJ, JS, YL, JW, FJH, GAM and PZ facilitates Patient and Public Involvement and were
14 responsible for setting up the study in each site. BT and JX wrote the first draft of the
15 manuscript, they contributed equally to this paper. All authors contributed to the
16 refinement of the study protocol and approved the final manuscript.
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23 **Funding**

24
25
26 This work is supported by the National Institute of Health Research (NIHR) (NIHR
27 Global Health Research Unit Action on Salt China at Queen Mary University of
28 London) using Official Development Assistance (ODA) funding (16/136/77). The
29 views expressed in this publication are those of the author(s) and not necessarily those
30 of the NIHR or the Department of Health and Social Care.
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36 **Competing interests**

37
38 FJH is a member of the Consensus Action on Salt & Health (CASH) group, a non-profit
39 charitable organisation, and its international branch World Action on Salt & Health
40 (WASH) and does not receive any financial support from CASH or WASH. GAM is
41 the Chairman of Blood Pressure UK (BPUK), Chairman of CASH and Chairman of
42 WASH and does not receive any financial support from any of these organisations.
43 BPUK, CASH and WASH are non-profit charitable organisations. All other authors
44 have no competing interest to declare.
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51 **Dissemination policy**

52
53 The findings of this study will be disseminated through discussion or presentations at
54 selected conferences, peer-reviewed publications and the general media.
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SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description
Administrative information		
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym(line 5-6 in page2)
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry(line 57 in page 4)
	2b	All items from the World Health Organization Trial Registration Data Set(None)
Protocol version	3	Date and version identifier(None)
Funding	4	Sources and types of financial, material, and other support(line 23-34 in page 18)
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors(line 9-48 in page 2 and line 11-21 in page 18)
	5b	Name and contact information for the trial sponsor(line 17-35 in page3)
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities(line 24-30 in page14)
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)(line 21-43 in page 15)
Introduction		
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention(line 6 in page 6)

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2		6b	Explanation for choice of comparators(None)
3			
4	Objectives	7	Specific objectives or hypotheses(line 20 in page 7)
5			
6	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)(line 33 in page 7)
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Methods: Participants, interventions, and outcomes

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14	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained(line 37 in page 7)
15			
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18	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)(line 40 in page 8)
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23	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered(line24 in page 9)
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26		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)(None)
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31		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)(None)
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35		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial(None)
36			
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38	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended(line 44 in page 12)
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46	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)(line 33 in page 16)
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50	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations(line 18 in page 12)
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56	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size(line 49 in page 16)
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Methods: Assignment of interventions (for controlled trials)

Allocation:

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4 Sequence generation 16a Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions(line 44 in page 7)
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12 Allocation concealment mechanism 16b Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned(none)
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18 Implementation 16c Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions(line 50 in page 13)
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21 Blinding (masking) 17a Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how(none)
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25 17b If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial(none)
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Methods: Data collection, management, and analysis

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32 Data collection methods 18a Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol(line 6 in page 14)
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40 18b Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols
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44 Data management 19 Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol(line 22 in page 14)
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51 Statistical methods 20a Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol(line 33 in page 14)
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55 20b Methods for any additional analyses (eg, subgroup and adjusted analyses)(line 55 in page 14)
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20c Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)(none)

Methods: Monitoring

Data monitoring 21a Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed(line 23 in page 15)

21b Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial(line 45 in page 15)

Harms 22 Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct(none)

Auditing 23 Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor(line 35 in page 15)

Ethics and dissemination

Research ethics approval 24 Plans for seeking research ethics committee/institutional review board (REC/IRB) approval(line 16 in page 17)

Protocol amendments 25 Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)(none)

Consent or assent 26a Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)(line 29 in page 17)

26b Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable(none)

Confidentiality 27 How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial(line 39 in 17)

Declaration of interests 28 Financial and other competing interests for principal investigators for the overall trial and each study site(line 37 in page 18)

Access to data 29 Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators

1			
2	Ancillary and	30	Provisions, if any, for ancillary and post-trial care, and for
3	post-trial care		compensation to those who suffer harm from trial participation(none)
4			
5	Dissemination	31a	Plans for investigators and sponsor to communicate trial results to
6	policy		participants, healthcare professionals, the public, and other relevant
7			groups (eg, via publication, reporting in results databases, or other
8			data sharing arrangements), including any publication restrictions(line
9			51 in page 18)
10			
11		31b	Authorship eligibility guidelines and any intended use of professional
12			writers(none)
13			
14		31c	Plans, if any, for granting public access to the full protocol, participant-
15			level dataset, and statistical code(none)
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19	Appendices		
20			
21	Informed consent	32	Model consent form and other related documentation given to
22	materials		participants and authorised surrogates(line 51 in page 17)
23			
24	Biological	33	Plans for collection, laboratory evaluation, and storage of biological
25	specimens		specimens for genetic or molecular analysis in the current trial and for
26			future use in ancillary studies, if applicable(line 24 in page 13)
27			

*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.

BMJ Open

A town level comprehensive intervention study (CIS) to reduce salt intake in China: Protocol of a cluster randomized controlled trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-032976.R2
Article Type:	Protocol
Date Submitted by the Author:	21-Nov-2019
Complete List of Authors:	Xu, Jianwei; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention, Tang, Biwei; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention; Inner Mongolia Medical University Liu, Min; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Bai, Yamin; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Yan, Wei; Jiangxi Provincial Center for Disease Control and Prevention Zhou, Xue; Heilongjiang Provincial Center for Disease Control and Prevention Xu, Zhihua; Qinghai Provincial Center for Disease Control and Prevention He, Jun; Sichuan Provincial Center for Disease Control and Prevention Jin, Donghui; Hunan Provincial Center for Disease Control and Prevention Sun, Jixin; Hebei Provincial Center for Disease Control and Prevention Li, Yuan; The George Institute for Global Health, Peking University Health Science Centre He, Feng; Queen Mary University of London, Wolfson Institute of Preventive Medicine MacGregor, Graham; Queen Mary University of London, Wolfson Institute of Preventive Medicine Wu, Jing; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention Zhang, Puhong ; The George Institute at Peking University Health Science Center, Diabetes Program
Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Epidemiology, Public health
Keywords:	Hypertension < CARDIOLOGY, EPIDEMIOLOGY, STATISTICS & RESEARCH METHODS, PUBLIC HEALTH

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4 **A town level comprehensive intervention study (CIS) to reduce salt intake in**
5 **China: Protocol of a cluster randomized controlled trial**
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34 A total of 4897 words, excluding title page, abstract, references, figures and tables.

ABSTRACT

Introduction: Salt intake in China ($\approx 12\text{g/d}$) is more than twice the recommended upper limit (5g/d) by World Health Organization. To reduce salt intake, Action on Salt China (ASC) was launched in 2017. As one of four randomized controlled trials (RCTs) in ASC programme, a comprehensive intervention study (CIS) was designed to test if all the components of interventions adopted by other RCTs are acceptable, scalable and effective if provided to a region in real world.

Methods and analysis: Using a cluster RCT design, 2688 participants sampled from 48 towns (cluster) of 12 counties in 6 provinces were assigned to the intervention group or the control group. Randomization was done after baseline survey has completed. Information of salt-related knowledge, attitude and practice (KAP), blood pressure and 24-hour urinary sodium were collected. The intervention includes government engagement, health education and all other intervention components targeting restaurants, home cooks, and primary school students and their families used in other RCTs. The control group did not receive the intervention package. The project will be followed up for two years, with intervention being carried out for the first year only. The primary outcome is salt intake measured by 24-hour urinary sodium excretion after one year. The secondary outcomes are the long lasting effectiveness on salt intake and blood pressure measured by the same method, as well as salt-related KAP and blood pressure after one and two years follow-up. Process evaluation and health economics analysis will be conducted as well.

Ethics and dissemination: The study has been reviewed and approved by the Institutional Review Board of the National Center for Chronic and Noncommunicable Disease Control and Prevention, the Chinese Center for Disease Control and Prevention, and Queen Mary Research Ethics Committee. Results will be disseminated through presentations, publications and social media.

Trial registration number: ChiCTR1800018119

Key words: Hypertension; salt; clustered randomised control trial; intervention

Strengths and limitations of this study

1. This is a cluster randomized controlled trial evaluating a complex intervention on salt reduction with 24-hour urine sodium excretion as primary outcome among 2688 participants from 48 towns (cluster) in 6 provinces in China.
2. The intervention is delivered at township level. Potential contamination may exist because the participants in control group may visit people or eat at restaurants in intervention towns.
3. As a regional complex salt reduction programme, it is hard to deliver a fixed intervention in a mandatory manner. Instead, adaption according to regional context and resources is allowed.
4. Process monitoring during project implementation and process evaluation at the end of intervention will be done to supervise the fidelity and adaption to the intervention, to understand whether, why and how the interventions work in the study.

INTRODUCTION

Studies have shown that high salt (sodium) intake is one of the causes of high blood pressure levels and hypertension in a population, and hypertension is an independent risk factor for stroke and coronary heart disease, accounting for 62% of strokes and 49% of coronary heart disease.^{1,2,3} In China, approximately 177 million people had hypertension in 2002 and raised blood pressure (BP) attributed to 2.33 million cardiovascular disease (CVD) deaths in 2005.⁴ Hypertension is a 'silent killer' and 75% of the Chinese hypertensive individuals are not aware that they have BP.⁵ In China, salt intake is very high with an average of 12-14g/day.^{6,7} There is compelling evidence in adults that a modest reduction in salt intake lowers BP and reduces cardiovascular risk.^{3,8-11} Salt reduction is one of the most cost-effective measures to prevent CVD in high-income as well as low-income and middle-income countries.^{12,13} The daily salt intake currently recommended by World Health Organization (WHO) is 5g (the Chinese government recommends 5g). According to the results of the 2002 National Nutrition Survey, Chinese adults' daily salt intake is twice that of China's recommended dietary guidelines. The World Health Organization has recommended salt reduction as one of the top three priority actions to tackle the global crisis in non-communicable disease.¹⁴

Unlike in developed countries, the major source of salt in the Chinese diet is salt added by the consumers themselves during food preparation.^{15,16} In response to the high salt intake, Action on Salt China (ASC) unit was set up in 2017 with the purpose of reducing salt intake by implementing a comprehensive national salt reduction programme.¹⁷ ASC is funded by the National Institute for Health Research (NIHR) of UK, led by Queen Mary University of London, The George Institute China, Chinese Center for Disease Control and Prevention (China CDC), and several other key agencies in China. ASC team have designed, a series of programmes including health education campaigns and salt reduction in pre-packaged food as well as four clusters randomized controlled trials (RCT) to develop and test specific intervention packages

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4 targeting different settings or populations. The four RCTs are (1) Application-based
5 Intervention Study (AIS) targeting schoolchildren and their families;¹⁸ (2)
6 Housewife-based Intervention study (HIS) supporting using less salt in home
7 cooking; (3) Restaurant-based Intervention Study (RIS), targeting salt intake from
8 restaurants; and (4) Comprehensive Intervention Study (CIS) as a scale-up study, i.e.
9 the study which is reported in this paper.
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16 CIS was designed to simulate real world scale up, in which all the available
17 interventions adopted by other RCTs will be provided to the local government of
18 participating counties. The aim is to evaluate the acceptability of each components of
19 intervention, the effectiveness and cost-effectiveness of the intervention after the one-
20 year intervention, the long-lasting effectiveness after the intervention stopped for one
21 year, and finally to provide evidence for national scale-up. This paper describes the
22 study design, implementation and current status of CIS.
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30 **METHODS AND ANALYSIS**

31 **Study Setting and Overall Design**

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33 Considering multiple aspects such as geographical location, economic level and dietary
34 habits, this project will be carried out in six provinces including Hebei, Heilongjiang,
35 Jiangxi, Hunan, Sichuan and Qinghai, covering north and south, east and west, and
36 central China.
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44 CIS is designed as a cluster RCT, launched in September 2018 and to be completed by
45 the end of 2020. The clusters are 48 towns (called “streets” in urban areas, but only
46 “town” is used hereafter for simplification) selected from 12 counties (named district
47 in urban areas, but only “county” is used hereafter) across the 6 provinces. Two counties
48 are selected from each province, mainly from rural or suburb areas where people live
49 in a relatively isolated local environment unlike those in central urban area. This may
50 help to minimize contamination among counties and towns. In each county, 4 towns,
51 with similar population and economic development level, and not adjacent to each other,
52 are selected with the purpose of avoiding imbalance on potential confounders between
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4 intervention and control groups due to the small number (48) of clusters and
5 contamination of intervention to control group. 56 eligible participants are selected
6 from each town to receive randomized treatment, intervention or not, and evaluation
7 during a two years follow-up. The 4 towns are evenly randomized into the intervention
8 or control group after the baseline survey has been completed for all the four towns
9 within a county. The randomization methodology is acknowledged in advance to all the
10 investigators at provincial, county and township levels, but the result of randomization
11 is concealed until the centralized randomization finished.
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19 The implementation of intervention is led by county investigators with the support from
20 local governments, but the delivery of the intervention package is conducted at the town
21 level. To minimize contamination, all the intervention activities are required to be
22 conducted within the intervention town. The intervention will be carried out for the first
23 12 months. The effectiveness of intervention will be evaluated after completion of the
24 12 months' intervention (mid-term assessment) and after another 12 months' follow-
25 up to examine its long-lasting effectiveness (endpoint assessment).
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33 **Study Population and participant recruitment**

34 The target population is all adult residents in study sites. In this study, the inclusion
35 criteria for participants invited for evaluation are (1) age: 18-75 years; (2) maximum of
36 one family member per family; (3) local residents for over 6 months, with no plan to
37 move within 24 months; (4) agreement to sign informed consent form. The exclusion
38 criteria are (1) pregnant women and those in lactation period; (2) individuals who
39 currently participates in any other clinical trials; (3) those with severe psychiatric or
40 physical diseases that might impact intervention and follow-up; (4) individuals who are
41 unable or not suitable to collect 24-hour urine due to the following conditions: a)
42 aconuresis; b) acute/chronic urinary tract infection, vaginal infection and perianal
43 infection; c) acute hemorrhagic diseases in urinary tract, vagina and digestive tract; d)
44 severe vomiting and diarrheic symptoms.
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58 A two-stage sampling is conducted to recruit participants. Firstly, two villages (named
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4 committees in urban areas) are randomly selected, and then 28 eligible participants are
5 randomly selected from each village, i.e. 56 participants for each town. The procedure
6 of village and participant selection is conducted by county investigators with the
7 support of a specially designed smartphone application. To fulfil the random selection,
8 the names of villages as well as the names of residents in the selected villages need to
9 be uploaded to the server through the app, and a centralized randomization result will
10 be presented through the app to the county investigators. The reasons for excluding
11 individuals are also recorded through the app.
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20 **Intervention**

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22 In order to promote all intervention components for salt reduction, a multi-section
23 engagement strategy is recommended to local governments at county, township and
24 village levels. The government agencies and other major stakeholders to be engaged
25 also include local centers for disease control and prevention (CDC), women federations,
26 publicity department, hospitals, schools, restaurants, supermarkets, etc.. The county
27 CDCs will lead the intervention at township level, including mass media publicity and
28 education, interventions by communities, schools and catering units, and salt reduction
29 interventions based on primary care institutions. Potential contamination may exist
30 because the intervention is led by investigators at county level and residents in control
31 group may visit people or eat at restaurants in intervention towns. Not adjacent to each
32 other and restricting intervention within intervention towns will minimize the
33 contaminations. The overall major interventions targeting different populations or
34 settings within the intervention towns are summarized below.
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48 ***Salt reduction publicity within the intervention towns*** Each county mobilizes the
49 whole township society in intervention group by carrying out various theme publicity
50 activities for salt reduction. These include (1) to carry out health knowledge promotion
51 by training and distributing brochures and disseminate core information on salt
52 reduction leveraging publicity days or important holidays at least twice a year, such as
53 *World Salt Reduction Week, National Nutrition Week, etc.*; (2) at least once a year to
54 organize a mass cultural and publicity activities on "Salt and Health", using local
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4 popular forms, such as knowledge contest, family health gastronomy cooking contest
5 or other activities, to create a strong action atmosphere to reduce salt; (3) to establish a
6 good public salt reduction environment such as healthy park, healthy road, healthy
7 edible oil station, etc.; and (4) to promote salt and health knowledge and skills through
8 posters and self-media such as WeChat public account to increase the coverage of
9 audiences, especially young and middle-aged groups.
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16 In the process of mass publicity, we encourage to leverage the local culture and customs,
17 use innovative forms and content, and encourage that the form is diverse, the coverage
18 is wide, and the content is updated in a timely manner. At the same time, contamination
19 to the control towns must be minimized by limiting the activities within the intervention
20 towns.
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26 ***Salt reduction based on community*** All communities/villages in the intervention towns
27 try to establish salt reduction environment by putting up posters, hanging slogans and
28 distributing pamphlets in conspicuous places. Organizing community or village family
29 chefs and family members to conduct at least one training on salt reduction knowledge
30 and skills every year, or carry out other forms of community themed activities, and
31 distribute salt limiting spoons and other intervention tools. The salt restriction spoon is
32 a plastic spoon specially designed to hold salt during cooking. The salt per spoon is 2g,
33 which is convenient for home cooks to count and control the salt used during cooking. For
34 the community/village family chefs and family members, the family salt intake
35 monitoring activities carry out through the “Health Salt” WeChat applet or the salt
36 intake evaluation booklet. Eight standards, no more than 2 minutes, audios are provided
37 for loudspeaker broadcasting for all villages in the intervention towns.
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50 ***Salt reduction based on school*** In all the schools in the intervention town, publicity
51 posters should be put up in the publicity boards or school canteens. And the school
52 recess broadcast can be used to publicize the knowledge of salt reduction, so as to create
53 a good campus environment for salt reduction. Salt and health training activities are
54 conducted at least once a year, using opportunities such as centralized teacher training
55 or school parent-teacher meetings. Or in combination with local conditions, carry out
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4 "AppSalt use activities" (AppSalt is an app-based platform designated for salt reduction
5 through primary school, which is the key intervention in AIS¹⁸) "previous section of
6 salt reduction health education class", "watch a salt reduction science animation", "salt
7 reduction handwritten newspaper" and other forms of school salt reduction publicity
8 activities. In addition, we also need to actively promote the use of AppSalt by students'
9 parents in combination with local conditions, by means of training or school WeChat
10 group, or organize a class to carry out the use of AppSalt.
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18 ***Salt reduction based on restaurant*** Salt reduction activities will be carried out in
19 restaurants, and canteens at workplaces located in the intervention towns. The
20 knowledge of salt reduction will be publicized through posters, table decorations, and
21 accessible brochures, so as to create a restaurant environment conducive to salt
22 reduction. In the restaurants and the canteens, the catering chiefs are provided with
23 standardized training at least one a year on how to reduce the amount of salt and salty
24 sauces used during cooking at least once a year. Consumers are encouraged to order
25 food with less salt by waiters/waitresses.
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34 ***Salt reduction based on primary care service*** This project also covers the community
35 health service under the jurisdiction of town (township hospitals) and village (village
36 clinics) by publicizing salt reduction knowledge in the form of posters, accessible
37 brochures or banners in the hospitals and clinics. If available, these facilities can
38 broadcast salt reduction publicity videos. The county CDCs will provide training at
39 least twice a year for all the care providers under the jurisdiction of the intervention
40 towns, by combining with the routine training of national basic public health service.
41 All primary health care institutions should hold lectures and guidance on salt reduction
42 at least twice a year. Primary care providers should deliver salt reduction knowledge
43 and skills during the routine outpatient clinics to promote salt reduction and prevention
44 of hypertension for the visiting patients.
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56 Theoretically, as mentioned above, although some salt reduction activities are
57 mandatory, it is acceptable for the local governments to select some of the intervention
58 tools or materials and add some if they think reasonable. But the degree, coverage and
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4 cost must be recorded during the project implementation. No additional intervention on
5 salt reduction will be conducted among the control group in Year 1. In Year 2, we will
6 try to equally deliver a national scale-up through the national CDC system, with no
7 differentiate input or support among different areas, , including those originally
8 randomized into the control group. The hypotheses is that the national scale-up has the
9 same impact to the two groups, and the difference on 24-hour urinary sodium excretion
10 at the end of year 2 can reflex the pure long-lasting effectiveness of the intervention
11 conducted in year 1.
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19 **Sample size**

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22 Our recently completed Shandong salt reduction project with similar comprehensive
23 intervention showed that the before-after effectiveness of sodium reduction was 37
24 mmol/d¹⁹. Considering the before-after design may overestimate the effectiveness of
25 intervention, we expect that our study will reduce sodium intake by at least 25 mmol/d
26 (1.46 g/d salt) from baseline with comparison to the control group. The target sample
27 size will have 80% power to detect a change of 25mmol/d. According to the research
28 design, we randomly select 2688 eligible participants from 48 towns (56 each) in 6
29 provinces. Assuming the maximum drop rate is 20% for towns (from 48 to 40) and 10%
30 for participants (from 56 to 50) within the two years follow-up, this sample size and
31 sampling method would have 80% power to detect a difference of 25 mmol/d between
32 the group means assuming the standard deviation is 85.0 mmol/d and intraclass
33 correlation coefficient (ICC) is 0.080 at village level, with a two-sided analyses and a
34 significance level of 0.05. The parameter estimations for effectiveness, standard
35 deviation and ICC are also based on Shandong study, and also similar to the results in
36 a cluster RCT conducted in China.⁷
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51 **Outcomes and outcome assessment**

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54 The primary outcome is salt intake measured by 24-hour urinary sodium exertion. The
55 secondary outcomes include the change in salt-related knowledge, attitude and practice
56 (KAP), and blood pressure.
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4 All outcome assessments including questionnaires, physical measurements, and 24-
5 hour urine collection, will be carried out at baseline, i.e. before randomization, at mid-
6 term (i.e. after 1 year intervention), and at endpoint (i.e. two years from baseline). Both
7 the intervention and the control towns will be assessed in exactly the same way in
8 parallel.
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14 The questionnaire survey will be conducted a face-to-face by trained and qualified
15 investigators through a mobile electronic data collection system.²⁰ According to the
16 content and sequence of the questionnaire, the basic information of the respondents and
17 relevant behavioral risk factors, knowledge, attitudes and behaviors related to salt
18 reduction and prevention and control of hypertension, hypertension and related
19 expenses were collected. Physical measurements are accurately measured by trained
20 researchers using calibrated measuring instruments, including height, weight, waist
21 circumference, blood pressure, and heart rate.
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30 During the 24-hour urine collection, we asked the respondents to empty the bladder,
31 record the start time, dispense the urine collection equipment, and inform the
32 respondents of the urine retention precautions, and specify the collection time for the
33 next day. When retrieving the urine collection equipment, we need to ask for the last
34 urination time. If the respondent does not remember the time of the last urine collection,
35 then the final urine should be collected on site and the end time recorded. If any of the
36 following three problems occur, it is determined that the urine sample is unacceptable:
37 (1) forget to collect or splash urine more than 10% of the total; (2) urine is contaminated
38 with blood, stool or other impurities; (3) excessive sweating, diarrhea or vomiting
39 during collection. If any of the above situations is reported, another 24-hour urine
40 collection should be re-scheduled. Finally, the qualified urine is sealed and transported
41 to the laboratory for unified testing. The test included urinary sodium, urinary
42 potassium, creatinine and albumin.
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55 All local staff participating in the field investigation will be given appropriate training
56 including tests. Only those who pass the tests can take part in the field works. And they
57 will be compensated accordingly.
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DATA COLLECTION AND ANALYSIS

Data collection methods

Case report forms (CRFs) will be designed to collect data of both the baseline survey and evaluation, including demographic characteristics, salt-related KAP, blood pressure, and 24-hour urinary sodium levels. Besides such data collected at the baseline, participants lost and reasons for loss need to be recorded both at the stages of 12-month follow-up and longer-lasting effectiveness evaluation at 24 months. Electronic data capture technology will be employed to support data collection.

Data management

The data of this survey was collected through the mobile electronic data acquisition system (mEDC),²⁰ which was developed by Beijing University of Aeronautics and Astronautics. Relevant data such as questionnaires, physical measurements, urine collection and intervention processes were collected by the mEDC system.

Statistical Analysis

The effectiveness of the intervention package on primary and secondary outcomes will be evaluated at 12 months after intervention, and at 24 months after the intervention stopped for one year. The linear mixed models will be used to model outcome measure at 12 months (primary analysis), with adjustment for the baseline variable same as the analysed outcome, and with participants nested within village units and villages nested within towns. The group difference will be estimated using least squares estimation. To account for missing data on continuous outcomes, we will use the likelihood based random effects model that uses all available data to provide valid estimates of the intervention effects when data are missing at random. If the missing data is above 5%, various missing value imputation methods will be adopted as sensitivity analyses to examine the robustness of the conclusions of the primary analysis.

When evaluating the longer-lasting effectiveness of the intervention package at 24

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4 months, the same methods as mentioned above will be applied, with replacing the
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6 major/secondary outcomes at 12 months by those at 24 months. We assume the effect
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8 of the scale-up will be offset between the intervention and control group.
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10 SAS will be used for the analyses. Results will be reported as mean, SD, SE, and 95%
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12 confidence interval when appropriate. All analyses are two sided, and $P < 0.05$ is
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14 considered significant.
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16 17 **Process monitoring and evaluation**

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19 In order to ensure to supervise the fidelity and adaption to the intervention, process
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21 monitoring will be carried out throughout the intervention period. Process monitoring
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23 includes evaluation of indicator system and method. For each county, governance,
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25 working system, and effective intervention plan must be ready before the initiation of
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27 intervention. During the implementation, all the intervention will be recorded and
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29 reported on a quarterly basis according to the activity plan. The report includes the
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31 content of the activity, the time of the event, the implementer, the participants, and other
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33 relevant documents, photos and objects and so on. The national and provincial
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35 supervision teams will visit the intervention sites quarterly to check the construction of
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37 the salt reduction environment, check the posting and placement of posters, brochures
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39 and other promotional materials, and understand the distribution of materials, lectures
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41 and training.
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44 At the end of year 1 and year 2, a systematic semi-structured interviews will be
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46 conducted separately to evaluate the fidelity and acceptability of each components of
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48 intervention. We will adopt an approach consistent with the UK MRC Guidelines for
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50 process evaluations of complex intervention²¹. This will enable us to answer whether
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52 the intervention is effective, and what are the barriers and enablers for the potential
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54 scale-up. A combination of in depth interviews will be conducted with study
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56 participants, staff who delivered the intervention at county, town and village level, and
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58 policy makers, as well as interrogation of data collected during the trial.
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60 **Economic evaluation**

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4 Economic evaluations will be carried out from health sector perspective to compare the
5 comprehensive intervention with usual care, and it will entail two components: a trial-
6 based economic evaluation and a modelled economic evaluation of long-term costs and
7 outcomes. Intervention costs will include those in delivering the intervention which
8 shall be recorded by the county investigators, but exclude any research and
9 development costs. The trial-based economic evaluation will be assessed initially in
10 terms of incremental cost per unit reduction in salt intake and systolic BP. The modelled
11 economic evaluation with discounting will examine the cost, survival, quality of life
12 over lifetime, via capturing various health states (including death and CVD events) to
13 estimate incremental cost per life year saved and cost per Quality-Adjusted Life Year
14 gained. The transition probabilities across health states and costs attached to various
15 health states will be based on literature and the long-term effects of the reduction in salt
16 intake or systolic BP will be derived from the trial findings and/or literature of disease
17 progression. Sensitivity analyses will be carried out to estimate uncertainty about the
18 primary findings associated with varying key parameters.
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33 **Project status and timelines**

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35 Preparations are made from April 2017 to August 2018. The baseline assessment was
36 initiated from September to December 2018. 2688 eligible participants have been
37 successfully recruited from 48 towns (4 of them located in urban areas) of 12 counties
38 (2 of them located in urban areas) and completed the baseline survey. Since two rounds
39 of effect evaluations are to be carried out after 12 months and 24 months, a mid-term
40 evaluation will be conducted by the end of 2019, and the final follow-up evaluation will
41 be conducted in December 2019.
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49 **Patient and Public Involvement**

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51 According to the actual situation in the locality, the investigation site adopts various
52 forms to carry out propaganda and mobilization work, and introduces the meaning and
53 purpose of the investigation to the residents. Rely on the leadership and support of the
54 local government and grassroots organizations, master the situation, make
55 appointments, and strive to understand, support and cooperate with the respondents.
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4 After determining the identity of the investigator, we will sign an informed consent
5 form with the investigator, then conduct a questionnaire survey, body measurements,
6 and urine collection.
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10 11 12 **ETHICS AND DISSEMINATION**

13 14 **Research Ethics Approval and Consent**

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16 The study has been reviewed and approved by the Institutional Review Board of the
17 National Center for Chronic and Noncommunicable Disease Control and Prevention,
18 the Chinese Center for Disease Control and Prevention (201807), and Queen Mary
19 Research Ethics Committee. Written consent will be obtained from all participants who
20 will be free to discontinue their participation at any time, with no explanation required.
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26 27 **Consent or Assent**

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29 Consent for participation in the project will be sought both at the cluster level and the
30 individual level. Cluster level consent of the community will be obtained through a
31 consultation process involving government (at provincial, county and town levels) and
32 village leaders. The project, including the process of random assignment of
33 communities to intervention and control conditions and the nature of interventions, will
34 be explained at a face-to-face meeting. Questions will be answered and all relevant
35 stakeholder groups invited to consult with their members and reflect upon the project.
36 Individual consent for participation in outcome surveys will be obtained from all
37 persons selected in a standard manner via provision of a participant information sheet,
38 explanation and discussion as required, and the collection of written consent from those
39 willing to take part.
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51 Written consent will be obtained from all participants who will be free to discontinue
52 their participation at any time, with no explanation required.
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55 56 **Acknowledgements**

57
58 The author would like to thank street/community residents, catering companies, school
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4 teachers, parents of primary school students, primary care staff, and village, town and
5 county leaders for their opinions on the development of the intervention program.
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8 **Contributors**

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10
11 PZ and JW conceived the project. JX, ML, YB, YL, JW, FJH, GAM and PZ participated
12 in the design and implementation of the project. JX, BT, ML, YB, WY, XZ, ZX, JH,
13 DJ, JS, YL, JW, FJH, GAM and PZ facilitates Patient and Public Involvement and were
14 responsible for setting up the study in each site. BT and JX wrote the first draft of the
15 manuscript, they contributed equally to this paper. All authors contributed to the
16 refinement of the study protocol and approved the final manuscript.
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23 **Funding**

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25
26 This work is supported by the National Institute of Health Research (NIHR) (NIHR
27 Global Health Research Unit Action on Salt China at Queen Mary University of
28 London) using Official Development Assistance (ODA) funding (16/136/77). The
29 views expressed in this publication are those of the author(s) and not necessarily those
30 of the NIHR or the Department of Health and Social Care.
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36 **Competing interests**

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38 FJH is a member of the Consensus Action on Salt & Health (CASH) group, a non-profit
39 charitable organisation, and its international branch World Action on Salt & Health
40 (WASH) and does not receive any financial support from CASH or WASH. GAM is
41 the Chairman of Blood Pressure UK (BPUK), Chairman of CASH and Chairman of
42 WASH and does not receive any financial support from any of these organisations.
43 BPUK, CASH and WASH are non-profit charitable organisations. All other authors
44 have no competing interest to declare.
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51 **Dissemination policy**

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53 The findings of this study will be disseminated through discussion or presentations at
54 selected conferences, peer-reviewed publications and the general media.
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BMJ Open

A town level comprehensive intervention study (CIS) to reduce salt intake in China: Protocol for a cluster randomized controlled trial

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-032976.R3
Article Type:	Protocol
Date Submitted by the Author:	13-Dec-2019
Complete List of Authors:	<p>Xu, Jianwei; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention,</p> <p>Tang, Biwei; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention; Inner Mongolia Medical University</p> <p>Liu, Min; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention</p> <p>Bai, Yamin; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention</p> <p>Yan, Wei; Jiangxi Provincial Center for Disease Control and Prevention</p> <p>Zhou, Xue; Heilongjiang Provincial Center for Disease Control and Prevention</p> <p>Xu, Zhihua; Qinghai Provincial Center for Disease Control and Prevention</p> <p>He, Jun; Sichuan Provincial Center for Disease Control and Prevention</p> <p>Jin, Donghui; Hunan Provincial Center for Disease Control and Prevention</p> <p>Sun, Jixin; Hebei Provincial Center for Disease Control and Prevention</p> <p>Li, Yuan; The George Institute for Global Health, Peking University Health Science Centre</p> <p>He, Feng; Queen Mary University of London, Wolfson Institute of Preventive Medicine</p> <p>MacGregor, Graham; Queen Mary University of London, Wolfson Institute of Preventive Medicine</p> <p>Wu, Jing; National Center for Chronic and Noncommunicable Disease Control and Prevention, Chinese Center for Disease Control and Prevention</p> <p>Zhang, Puhong ; The George Institute at Peking University Health Science Center, Diabetes Program</p>
Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Epidemiology, Public health
Keywords:	Hypertension < CARDIOLOGY, EPIDEMIOLOGY, STATISTICS & RESEARCH METHODS, PUBLIC HEALTH

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4 **A town level comprehensive intervention study (CIS) to reduce salt intake in**
5 **China: Protocol for a cluster randomized controlled trial**
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34 A total of 5106 words, excluding title page, abstract, references, figures and tables.

ABSTRACT

Introduction: Salt intake in China ($\approx 12\text{g/d}$) is more than twice the upper limit recommended by the World Health Organization (5g/d). To reduce salt intake, Action on Salt China (ASC) was launched in 2017. As one of four randomized controlled trials (RCTs) in the ASC programme, a comprehensive intervention study (CIS) was designed to test whether all the components of the interventions adopted by other RCTs are acceptable, scalable and effective when provided to a region in the real world.

Methods and analysis: Using a cluster RCT design, 2688 participants were selected from 48 towns (clusters) in 12 counties in 6 provinces and assigned to the intervention group or the control group. Randomization was performed after the baseline survey was completed. Information on salt-related knowledge, attitude and practice (KAP), blood pressure and 24-hour urinary sodium were collected. The intervention includes government engagement, health education and other intervention components targeting restaurants, home cooks, and primary school students and their families that have been used in other RCTs. The control group will not receive the intervention. The project will be followed up for two years, with the intervention being carried out for the first year only. The primary outcome is salt intake measured by 24-hour urinary sodium excretion after one year. The secondary outcomes are the long-lasting effectiveness on salt intake and blood pressure measured by the same method, as well as salt-related KAP and blood pressure at the one- and two-year follow-ups. Process evaluation and health economics analysis will be conducted as well.

Ethics and dissemination: The study was reviewed and approved by the Institutional Review Board of the National Center for Chronic and Noncommunicable Disease Control and Prevention, the Chinese Center for Disease Control and Prevention, and Queen Mary Research Ethics Committee. Results will be disseminated through presentations, publications and social media.

Trial registration number: ChiCTR1800018119

Key words: Hypertension; salt; cluster randomized control trial; intervention

Strengths and limitations of this study

1. This study is the first randomized controlled trial of comprehensive intervention in urban salt reduction in China.
2. Our comprehensive intervention measures cover different groups of people and different places, and benefit a wide range of people.
3. The shortcoming of this study is that the implementation of comprehensive salt reduction interventions requires the strong support and cooperation of local governments and institutions.

INTRODUCTION

Studies have shown that high salt (sodium) intake is one of the causes of high blood pressure levels and hypertension and that hypertension is an independent risk factor for stroke and coronary heart disease, as it accounts for 62% of strokes and 49% of coronary heart disease cases.¹⁻³ In China, there were approximately 177 million people with hypertension in 2002, and high blood pressure (BP) attributed to 2.33 million cardiovascular disease (CVD) deaths in 2005.⁴ Hypertension is a ‘silent killer’, and 75% of hypertensive Chinese individuals are not aware that they have high BP.⁵ In China, salt intake is very high, with an average intake rate of 12-14 g/day.^{6,7} There is compelling evidence in adults that a modest reduction in salt intake lowers BP and reduces cardiovascular risk.^{3,8-11} Salt reduction is one of the most cost-effective ways to prevent CVD in high-income as well as low-income and middle-income countries.^{12,13} The daily salt intake that is currently recommended by the World Health Organization (WHO) is 5 g (the Chinese government recommends 5 g). According to the results of the 2002 National Nutrition Survey, Chinese adults' daily salt intake is twice that of China's recommended dietary guidelines. The World Health Organization has recommended salt reduction as one of the top three prioritized actions to tackle the global non-communicable disease crisis.¹⁴

Unlike in diets in developed countries, the major source of salt in the Chinese diet is salt added by the consumers themselves during food preparation.^{15,16} In response to the high salt intake, the Action on Salt China (ASC) group was established in 2017 to reduce salt intake by implementing a comprehensive national salt reduction programme.¹⁷ ASC is funded by the National Institute for Health Research (NIHR) of the UK and led by the Queen Mary University of London, The George Institute China, Chinese Center for Disease Control and Prevention (China CDC), and several other key agencies in China. The ASC team has designed a series of programmes, including campaigns for health education and salt reduction in pre-packaged food, as well as four cluster randomized controlled trials (RCTs) to develop and test specific interventions targeting different settings or populations. The four RCTs include the

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4 (1) application-based intervention study (AIS) targeting schoolchildren and their
5 families;¹⁸ (2) housewife-based intervention study (HIS) supporting the use of less
6 salt in home cooking; (3) restaurant-based intervention study (RIS), targeting salt
7 intake from restaurants; and (4) comprehensive intervention study (CIS), which is a
8 scale-up study, i.e., the study that is reported in this paper.
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14 The CIS was designed to simulate the real world on a large scale, and all the available
15 interventions adopted in the other RCTs will be provided to the local governments of
16 the participating counties. The aims are to evaluate the acceptability of each component
17 of the intervention, the effectiveness and cost-effectiveness of one year of the
18 intervention, and the long-lasting effectiveness of the intervention one year after it is
19 terminated and to provide evidence for a national scale-up. This paper describes the
20 study design, implementation and current status of the CIS.
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28 **METHODS AND ANALYSIS**

29 **Study Setting and Overall Design**

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33 Considering multiple aspects, such as the geographical location, economic level and
34 dietary habits, this project will be carried out in six provinces, including Hebei,
35 Heilongjiang, Jiangxi, Hunan, Sichuan and Qinghai, which cover the north, south, east,
36 west, and central regions in China.
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42 The CIS was designed as a cluster RCT; it was launched in September 2018 and is
43 expected to be completed by the end of 2020. The clusters are 48 towns (called “streets”
44 in urban areas, but only “town” is used hereafter for simplification) selected from 12
45 counties (named “districts” in urban areas, but only “county” is used hereafter) across
46 the 6 provinces. Two counties are selected from each province, mainly from rural or
47 suburban areas where people live in a relatively isolated local environment, unlike those
48 in central urban areas. This process may help to minimize contamination among
49 counties and towns. In each county, 4 towns that have similar population and economic
50 development levels and are not adjacent to each other are selected to prevent
51 imbalances in potential confounders between the intervention and control groups that
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4 may occur due to the small number (48) of clusters and contamination of intervention
5 to the control group. Fifty-six eligible participants were selected from each town and
6 were randomly allocated to receive either the intervention or no intervention and an
7 evaluation during a two-year follow-up period. The 4 towns are evenly and randomly
8 allocated into the intervention or control group after the baseline survey has been
9 completed for all four towns within a county. The randomization methodology is shared
10 in advance with all the investigators at provincial, county and township levels, but the
11 randomization results are concealed until the centralized randomization process is
12 complete.

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22 The implementation of the intervention is led by county investigators with support from
23 local governments, but the intervention is conducted at the town level. To minimize
24 contamination, all the intervention activities must be conducted within the towns
25 participating in the intervention. The intervention will be carried out for the first 12
26 months. The effectiveness of the intervention will be evaluated after the completion of
27 the 12-months intervention (mid-term assessment) and after another 12 months
28 following the completion of the intervention to examine its long-lasting effectiveness
29 (endpoint assessment).

30 31 32 33 34 35 36 37 38 **Study Population and participant recruitment**

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The target population includes all adult residents in the study sites. In this study, the inclusion criteria for the participants invited for evaluation are those (1) aged 18-75 years; (2) who do not have another family member participating in the study (a maximum of one family member per family is included); (3) who were considered local residents for over 6 months and have no plans to move within the next 24 months; and (4) who agreed to sign an informed consent form. The exclusion criteria are (1) pregnant women and those in the lactation period; (2) individuals who currently participate in any other clinical trials; (3) those with severe psychiatric or physical diseases that might impact the intervention and follow-up; and (4) individuals who are unable or for whom it is not suitable to collect 24-hour urine due to the following conditions: a) aconuresis; b) acute/chronic urinary tract infection, vaginal infection and

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4 perianal infection; c) acute haemorrhagic diseases in the urinary tract, vagina and
5 digestive tract; or d) severe vomiting and diarrheic symptoms.
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8 Two-stage sampling is conducted to recruit participants. First, two villages (named
9 committees in urban areas) are randomly selected, and then, 28 eligible participants,
10 i.e., 56 participants for each town, are randomly selected from each village. The village
11 and participant selection procedure is conducted by county investigators with a
12 specially designed smartphone application. For the random selection procedure, the
13 names of the villages as well as the names of the residents in the selected villages need
14 to be uploaded to the server through the app, and a centralized randomization result will
15 be presented through the app to the county investigators. The reasons for excluding
16 individuals are also recorded through the app.
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26 **Intervention**

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28 To promote all intervention components for salt reduction, a multi-section engagement
29 strategy is recommended to local governments at the county, township and village
30 levels. The government agencies and other major stakeholders to be engaged also
31 include local centres for disease control and prevention (CDCs), women federations,
32 publicity departments, hospitals, schools, restaurants, supermarkets, etc. The county
33 CDCs will lead the intervention at the township level, including mass media publicity
34 and education efforts, interventions for communities, schools and catering units, and
35 salt reduction interventions based in primary care institutions. Potential contamination
36 may exist because the intervention is led by investigators at the county level, and
37 residents in the control group may visit people or eat at restaurants in towns
38 participating in the intervention. Selecting towns that are not adjacent to each other and
39 restricting the intervention to the towns participating in the intervention will minimize
40 the amount of contamination. The overall major interventions targeting different
41 populations or settings within the towns participating in the intervention are
42 summarized below.
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58 ***Salt reduction publicity within the towns participating in the intervention*** Each county
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4 will mobilize the whole township society in the intervention group by carrying out
5 various themed publicity activities for salt reduction. These include (1) promoting
6 health knowledge by training and distributing brochures and disseminating core
7 information on salt reduction and by leveraging at least two publicity days or important
8 holidays each year, such as *World Salt Reduction Week* and *National Nutrition Week*;
9 (2) organizing mass cultural and publicity activities on "Salt and Health" at least once
10 a year using local popular forms, such as knowledge contests, family health gastronomy
11 cooking contests or other activities, to create an atmosphere dedicated to the reduction
12 of salt intake; (3) establishing good public environments focused on the reduction of
13 salt intake, such as healthy parks, healthy roads, and healthy edible oil stations and (4)
14 promoting salt and health knowledge and skills through posters and social media, such
15 as WeChat public accounts, to broaden the audiences to especially include young and
16 middle-aged groups.
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29 For mass publicity, we encourage leveraging the local culture and customs and using
30 innovative forms and content, and we suggest that the form is diverse, the coverage is
31 broad, and the content is updated in a timely manner. Moreover, contamination to the
32 towns in the control group must be minimized by limiting the activities to only the
33 towns participating in the intervention.
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39 ***Salt reduction based on community*** All communities/villages in the towns
40 participating in the intervention will try to establish a salt reduction environment by
41 hanging posters, introducing slogans and distributing pamphlets in conspicuous places.
42 Community or village family chefs and family members will organize at least one
43 training event on salt reduction every year to improve the knowledge and skills of the
44 attendants or carry out other forms of community themed activities and distribute salt-
45 restricting spoons and other intervention tools. The salt-restricting spoon is a plastic
46 spoon specially designed to measure salt during cooking. Each spoon holds 2 g of salt,
47 which is convenient for home cooks to count and control the salt used during cooking. For
48 the community/village family chefs and family members, the family salt intake
49 monitoring activities are carried out through the "Health Salt" WeChat applet or the
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3 salt intake evaluation booklet. Audio recording of eight standards, each lasting no more
4 than 2 minutes, are provided for loudspeaker broadcasting for all villages in the towns
5 participating in the intervention.
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10 ***Salt reduction based on school*** In all the schools in the towns participating in the
11 intervention, publicity posters should be put up on bulletin boards or school canteens.
12 Information on salt reduction can be broadcast at the school during recess to create a
13 good campus environment focused on salt reduction. Salt and health training activities
14 are conducted at least once a year using opportunities such as centralized teacher
15 training or school parent-teacher meetings. In combination with the local efforts,
16 "AppSalt activities" (AppSalt is an app-based platform that was designed for promoting
17 salt reduction in primary schools, which is the key intervention in the AIS¹⁸), " salt
18 reduction-focused sessions in health education classes", "showings of salt reduction
19 science animations", "the production of salt reduction-related handwritten newspapers"
20 and other forms of salt reduction public activities are conducted in schools. In addition,
21 we also need to actively encourage students' parents to use AppSalt by conducting
22 training sessions, organizing school WeChat groups, or organizing a class on the use of
23 AppSalt.
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37 ***Salt reduction based on restaurant*** Salt reduction activities will be carried out in
38 restaurants and canteens at workplaces located in the towns participating in the
39 intervention. Information on salt reduction will be shared through posters, table
40 displays, and brochures made publicly available to create a restaurant environment
41 conducive to the reduction of salt. In the restaurants and the canteens, the catering chiefs
42 are offered standardized training for at least one year on how to reduce the amount of
43 salt and salty sauces used during cooking at least once a year. Consumers are
44 encouraged to order food with less salt from the servers.
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53 ***Salt reduction based on primary care service*** This project also addresses the
54 community health services under the jurisdiction of the towns (township hospitals) and
55 villages (village clinics) by publicizing salt reduction information in the form of posters,
56 accessible brochures or banners in the hospitals and clinics. If possible, these facilities
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4 can publicly broadcast salt reduction videos. The county CDCs will provide training at
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6 least twice a year for all the health care providers under the jurisdiction of the towns
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8 participating in the intervention by combining the training with the routine training of
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10 basic national public health services. All primary health care institutions should hold
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12 lectures and informational sessions on salt reduction at least twice a year. Primary care
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14 providers should share their salt reduction knowledge and skills during routine
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16 outpatient clinic visits to promote the reduction of salt and prevention of hypertension
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18 for the visiting patients.

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20 Theoretically, as mentioned above, although some salt reduction activities are
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22 mandatory, it is acceptable for the local governments to select some of the intervention
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24 tools or materials and add some as they see fit. However, the degree, coverage and cost
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26 of the projects must be recorded when they are implemented. No additional
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28 interventions on salt reduction will be conducted among the control group in Year 1. In
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30 Year 2, we will try to scale the interventions evenly across the nation through the
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32 national CDC system, with no differences in the input or support among the different
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34 areas, including those originally randomized into the control group. The hypotheses are
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36 that the national scale-up has the same impact on the two groups, and the difference in
37
38 24-hour urinary sodium excretion at the end of year 2 can reflect the actual long-lasting
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40 effectiveness of the intervention conducted in Year 1.

41 **Sample size**

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44 We recently completed Shandong salt reduction project with a similar comprehensive
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46 intervention, and it showed that the before-after effectiveness of sodium reduction was
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48 37 mmol/d¹⁹. Considering that a before-after design may overestimate the effectiveness
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50 of the intervention, we expect that our intervention, compared with the control
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52 condition, will reduce sodium intake by at least 25 mmol/d (1.46 g/d salt) from baseline.
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54 The target sample size will have 80% power to detect a change of 25 mmol/d.
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56 According to the research design, we randomly selected 2688 eligible participants from
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58 48 towns (56 each) in 6 provinces. Assuming the maximum drop rate is 20% for towns
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60 (from 48 to 40) and 10% for participants (from 56 to 50) within the two-year follow-up

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4 period, this sample size and sampling method will have 80% power to detect a
5 difference of 25 mmol/d between the group means, assuming the standard deviation is
6 85.0 mmol/d and intraclass correlation coefficient (ICC) is 0.080 at the village level,
7 with a two-sided analyses and a significance level of 0.05. The parameter estimations
8 for effectiveness, standard deviation and ICC are also based on those in the Shandong
9 study and are similar to the results of a cluster RCT that was conducted in China.⁷
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15 16 **Outcomes and outcome assessment**

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18 The primary outcome is salt intake measured by 24-hour urinary sodium exertion. The
19 secondary outcomes include the changes in salt-related knowledge, attitude and
20 practice (KAP) and blood pressure.
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25 All outcome assessments, including the questionnaires, physical measurements, and
26 24-hour urine collection, will be carried out at baseline, i.e., before randomization, at
27 the mid-term point (i.e., after 1 year of the intervention) and at the endpoint (i.e., two
28 years from baseline). Both the towns in the intervention group and those in the control
29 group will be assessed in exactly the same way in parallel.
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35 The questionnaire survey will be conducted face-to-face by trained and qualified
36 investigators through a mobile electronic data collection system.²⁰ According to the
37 content and sequence of the questionnaire, the basic information of the respondents;
38 behavioural risk factors, knowledge, attitudes and behaviours related to the reduction
39 of salt and prevention and control of hypertension; the presence of hypertension and
40 related expenses will be recorded. Physical measurements, including height, weight,
41 waist circumference, blood pressure, and heart rate, will be accurately measured by
42 trained researchers using calibrated measuring instruments.
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51 During the 24-hour urine collection, we will ask the respondents to empty their bladder,
52 record the start time, dispense the urine collection equipment, inform the respondents
53 of the urine retention precautions, and specify the collection time for the next day.
54 When retrieving the urine collection equipment, we must ask for the last urination time.
55 If the respondent does not remember the time of the last urine collection, then the final
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4 urine sample should be collected on site, and the end time should be recorded. If any of
5 the following three problems occur, the urine sample will be deemed unacceptable: (1)
6 the participant forgets to collect or splashes more than 10% of the total amount of urine;
7 (2) the urine is contaminated with blood, stool or other impurities; and (3) the
8 participant experiences excessive sweating, diarrhoea or vomiting during collection. If
9 any of the above situations are reported, another 24-hour urine collection should be re-
10 scheduled. Finally, the qualified urine is sealed and transported to the laboratory for
11 unified testing. The test included urinary sodium, urinary potassium, creatinine and
12 albumin.
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21 All local staff members participating in the field investigation will be given the
22 appropriate training, which includes tests. Only the staff members who pass the tests
23 can take part in the field work. In addition, they will be compensated accordingly.
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30 **DATA COLLECTION AND ANALYSIS**

31 **Data collection methods**

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34 Case report forms (CRFs) will be developed to collect data, including demographic
35 characteristics, salt-related KAP, blood pressure, and 24-hour urinary sodium levels,
36 from both the baseline survey and evaluation. In addition to the data collected at the
37 baseline, participants lost to follow-up and the corresponding reasons must be recorded
38 both at the 12-month follow-up and the long-lasting effectiveness evaluation at 24
39 months. Electronic data recording technology will be employed for the data collection.
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49 **Data management**

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51 The data from this survey were collected through a mobile electronic data acquisition
52 system (mEDC),²⁰ which was developed by Beijing University of Aeronautics and
53 Astronautics. Relevant data, such as the questionnaire results, physical measurements,
54 and data on the urine collection and intervention processes, were collected by the
55 mEDC system.
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Statistical Analysis

The effectiveness of the intervention package on the primary and secondary outcomes will be evaluated at 12 months after the intervention and at 24 months after the intervention has been terminated for one year. Linear mixed models will be used to model the outcome measures at 12 months (primary analysis), with adjustments for the baseline variable, the participants nested within village units, and the villages nested within towns. The group differences will be estimated using least squares estimation. To account for missing data for the continuous outcomes, we will use the likelihood-based random effects model, which uses all available data to provide valid estimates of the intervention effects when data are missing at random. If more than 5% of the data are missing, various missing value imputation methods will be adopted as sensitivity analyses to examine the robustness of the conclusions of the primary analysis.

When evaluating the long-lasting effectiveness of the intervention package at 24 months, the methods mentioned above will be applied, and the major/secondary outcomes at 12 months will be replaced by those at 24 months. We assume that the effects of the scale-up will be similar between the intervention and control groups.

SAS will be used for the analyses. The results will be reported as the mean, SD, SE, and 95% confidence interval when appropriate. All analyses are two-sided, and $P < 0.05$ is considered significant.

Process monitoring and evaluation

To assess the level of fidelity and adaption to the intervention, process monitoring will be carried out throughout the intervention period. Process monitoring includes the evaluation of the indicator systems and methods. For each county, governance, working system, and effective intervention, the plan must be ready before the initiation of the intervention. During the implementation period, all the interventions will be recorded and reported on a quarterly basis according to the activity plan. The report includes the content of the activity, the time of the event, the implementer, the participants, and other relevant documents, photos and objects. The national and provincial supervision teams

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4 will visit the intervention sites quarterly to assess the development of a salt reduction
5 environment, evaluate the posting and placement of posters, brochures and other
6 promotional materials, and determine the distribution of materials, lectures and training.
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10 At the end of year 1 and year 2, systematic semi-structured interviews will be conducted
11 separately to evaluate the fidelity and acceptability of each component of the
12 intervention. We will adopt an approach consistent with the UK MRC guidelines for
13 the process evaluations of the complex intervention²¹. This approach will enable us to
14 determine whether the intervention is effective and identify the barriers and enablers
15 for the potential scale-up. A combination of in-depth interviews will be conducted with
16 study participants, staff members who implemented the intervention at the county, town
17 and village levels, and policy makers, and the data collected during the trial will be
18 assessed as well.
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28 **Economic evaluation**

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31 Economic evaluations will be carried out from the health sector perspective to compare
32 the comprehensive intervention with usual care, and the evaluations will involve two
33 components: a trial-based economic evaluation and a modelled economic evaluation of
34 long-term costs and outcomes. Intervention costs will include those in delivering the
35 intervention, which shall be recorded by the county investigators, but exclude any
36 research and development costs. The trial-based economic evaluation will be assessed
37 initially in terms of the incremental cost per unit reduction in salt intake and systolic
38 BP. The modelled economic evaluation with discounting will examine the cost, survival,
39 quality of life over lifetime via capturing various health states (including death and
40 CVD events) to estimate incremental cost per life year saved and cost per quality-
41 adjusted life year gained. The transition probabilities across the health states and costs
42 associated with various health states will be based on data in the literature, and the long-
43 term effects of the reduction in salt intake or systolic BP will be identified from the trial
44 findings and/or literature on disease progression. Sensitivity analyses will be carried
45 out to estimate uncertainty about the primary findings associated with different key
46 parameters.
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Project status and timelines

Preparations were made from April 2017 to August 2018. The baseline assessment was initiated from September to December 2018. A total of 2688 eligible participants were successfully recruited from 48 towns (4 of them located in urban areas) of 12 counties (2 of them located in urban areas) and completed the baseline survey. Since two rounds of evaluations of the effects are to be carried out after 12 months and 24 months, a mid-term evaluation will be conducted by the end of 2019, and the final follow-up evaluation will be conducted in December 2019.

Patient and Public Involvement

According to the actual situation in the locality, the individuals at the investigation sites will adopt various methods of distributing propaganda and mobilization efforts and share the motivation and purpose of the investigation with the residents. They will rely on the leadership and support of the local government and grassroots organizations, lead the intervention, make appointments, and strive to understand, support and cooperate with the respondents. After the participants are selected, they will sign an informed consent form and then undergo a questionnaire survey, body measurements, and urine collection.

ETHICS AND DISSEMINATION

Research Ethics Approval and Consent

The study has been reviewed and approved by the Institutional Review Board of the National Center for Chronic and Noncommunicable Disease Control and Prevention, the Chinese Center for Disease Control and Prevention (201807), and the Queen Mary Research Ethics Committee. Written consent will be obtained from all participants, and they will be free to discontinue their participation at any time without an explanation.

Consent or Assent

Consent for participation in the project will be sought both at the cluster level and the individual level. Cluster-level consent of the community will be obtained through a

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4 consultation process involving the governments (at the provincial, county and town
5 levels) and village leaders. The project, including the process of randomly assigning
6 communities to the intervention and control groups and the specific interventions, will
7 be explained in a face-to-face meeting. Questions will be answered, and all relevant
8 stakeholder groups will be invited to consult with the members of their group and reflect
9 upon the project. Individual consent for participation in the outcome surveys will be
10 obtained from all persons selected in a standard manner via the provision of a
11 participant information sheet, explanation and discussion as required, and the collection
12 of written consent from those willing to participate.

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Written consent will be obtained from all participants, and they will be free to
discontinue their participation at any time without an explanation.

Acknowledgements

The author would like to thank the street/community residents, catering companies,
school teachers, parents of the primary school students, primary care staff, and village,
town and county leaders for their opinions on the development of the intervention
programme.

Contributors

PZ and JW conceived the project. JX, ML, YB, YL, JW, FJH, GAM and PZ participated
in the design and implementation of the project. JX, BT, ML, YB, WY, XZ, ZX, JH,
DJ, JS, YL, JW, FJH, GAM and PZ facilitated patient and public involvement and were
responsible for setting up the study at each site. BT and JX wrote the first draft of the
manuscript and contributed equally to this paper. All authors contributed to the
refinement of the study protocol and approved the final manuscript.

Funding

This work is supported by the National Institute of Health Research (NIHR) (NIHR
Global Health Research Unit Action on Salt China at Queen Mary University of
London) using Official Development Assistance (ODA) funding (16/136/77). The

views expressed in this publication are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care.

Competing interests

FJH is a member of the Consensus Action on Salt & Health (CASH) group, a nonprofit charitable organization, and its international branch World Action on Salt & Health (WASH) and does not receive any financial support from CASH or WASH. GAM is the Chairman of Blood Pressure UK (BPUK), Chairman of CASH and Chairman of WASH and does not receive any financial support from any of these organizations. BPUK, CASH and WASH are nonprofit charitable organizations. All other authors have no competing interests to declare.

Dissemination policy

The findings of this study will be disseminated through discussions or presentations at selected conferences, peer-reviewed publications and the general media.

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