PONE-D-19-24277R1

Programmatic mapping and size estimation of key populations to inform HIV programming in Tanzania, Dr Mwita Wambura

Dear Dr Wambura,

Thank you for submitting your manuscript entitled "Programmatic mapping and size estimation of key populations to inform HIV programming in Tanzania" to PLOS ONE. Your manuscript files have been checked in-house but before we can proceed we need you to address the following issues:

1. Thank you for your response. During our internal evaluation, we noted that the following sentence was removed from your ethics statement

'To ensure that the local administration in areas where the research was done is informed about the study, approvals to work in the study communities was sought from the regional and district health authorities and from the respective ward and village executive officers.'

To ensure that a full description of the ethical considerations taken in this study is documented in your manuscript, we ask that you please provide specific details about the approvals sought from the regional and district health authorities in your study.

Response: "The geographical mapping study received ethical approval from the Medical Research Coordinating Committee (MRCC) of the National Institute for Medical Research (NIMR) in Tanzania (IRB00002514; FWA00002632) with a letter with reference number NIMR/HQ/R.8a/Vol. IX/2086 (attached), and Institutional Review Board of the Johns Hopkins University (IRB 00006668, FWA00000287) with letter dated 15th October 2015 (attached).

The monitoring of research studies is done by MRCC in collaboration with district and regional medical authorities. Therefore, the Sauti project wrote letters to seek permission from the regional and district health authorities. I have attached letters from regional, district and community authorities providing permission. Because of a large number of letters received, we have only attached a sample for your review.

The above statement was deleted because regional and district health authorities are not ethics committees, and therefore, do not provide ethical approvals.



THE UNITED REPUBLIC OF TANZANIA



National Institute for Medical Research 3 Barack Obama Drive P.O. Box 9653 11101 Dar es Salaam Tel: 255 22 2121400 Fax: 255 22 2121360 E-mail: headquarters@nimr.or.tz

NIMR/HQ/R.8a/Vol. IX/2086 Mr Hally Mahler

Mr Hally Mahler JHPIEGO/ Tanzania P O BOX 9170 DAR ES SALAAM Ministry of Health and Social Welfare 6 Samora Machel Avenue P.O. Box 9083 11478 Dar es Salaam Tel: 255 22 2120262-7 Fax: 255 22 2110986

16th December 2015

Name: Prof. Muhammad Bakari Kambi

CHIEF MEDICAL OFFICER

MINISTRY OF HEALTH, SOCIAL

Signature

WELFARE

CLEARANCE CERTIFICATE FOR CONDUCTING MEDICAL RESEARCH IN TANZANIA

This is to certify that the research entitled: Programmatic/ Geographical Mapping of Key Populations in Tanzania, (Mahler H et al), whose Local Investigator is Ms Neema Makyao, National Key Populations Coordinator, NACP, MoH &SW, Dar es Salaam, has been granted ethical clearance to be conducted in Tanzania.

The Principal Investigator of the study must ensure that the following conditions are fulfilled:

- Progress report is submitted to the Ministry of Health and the National Institute for Medical Research, Regional and District Medical Officers after every six months.
- 2. Permission to publish the results is obtained from National Institute for Medical Research.
- Copies of final publications are made available to the Ministry of Health & Social Welfare and the National Institute for Medical Research.
- Any researcher, who contravenes or fails to comply with these conditions, shall be guilty of an offence and shall be liable on conviction to a fine. NIMR Act No. 23 of 1979, PART III Section 10(2).
- Sites: Dar es Salaam, Dodoma, Morogoro, Arusha, Tanga, Geita, Mwanza, Shinyanga, Iringa, Mbeya Njombe, and Ruvuma Regions.

Approval is for one year: 16th December 2015 to 15th December 2016.

Name: Dr Mwelecele Malecela

Signature CHAIRPERSON

MEDICAL RESEARCH

COORDINATING COMMITTEE

CC:

RMO

DED

DMO



Institutional Review Board Office

615 N. Wolfe Street / Room E1100 Baltimore, Maryland 21205-2179 Phone: 410-955-3193

Toll Free: 1-888-262-3242 Fax: 410-502-0584

Email: <u>jhsph.irboffice@jhu.edu</u>
Website: <u>www.jhsph.edu/irb</u>

NOT HUMAN SUBJECTS RESEARCH
DETERMINATION NOTICE

Date: October 15, 2015

To: Hally Mahler, MHS

Jhpiego: JH Prog for Int'l Educ. in Gyn/Ob

Re: Study Title: Programmatic and Geographical Mapping of Key Populations in

Tanzania"

IRB No: 00006668

The JHSPH IRB-FC reviewed the above-referenced new application on **October 7, 2015**. We have determined that the proposed activity described in your application will involve mapping activities to identify the locations/sites where key population members congregate and/or meet casual or paying sexual partners and key informant interviews concerning the places and areas where sex workers and men who have sex with men are seen and congregate. No personal or private information about informants will be collected. Thus, the proposed activity does not qualify as human subjects research as defined by DHHS regulations 45 CFR 46.102, and does not require IRB oversight.

We anticipate that you will follow ethical practices in your interactions with individuals in the community during the course of your project. You are responsible for notifying the JHSPH IRB of any future changes that might involve human subjects and require IRB oversight.

If you have any questions regarding this action, please contact the JHSPH IRB Office at (410) 955-3193 or via email at ihsph.irboffice@jhu.edu.

JP/teb

JAMHURI YA MUUNGANO WA TANZANIA Ofisi ya Rais TAWALA ZA MIKOA NA SERIKALI ZA MITAA

MKOA WA DAR ES SALAAM Anwani ya Simu: Simu: 2203156/2203158 Unapojibu Tafadhali taja:

DAR ES SALAAM.

Ilala, Temeke na Kinondoni.

Kumb.Na: FA.282/293/01Q/327 Wakurugenzi, Halmashauri ya Manispaa.



YAH: KIBALI CHA KUFANYA UTAFITI WA MAKUNDI MAALUM YALIYO KATIKA HATARI KUBWA YA MAAMBUKIZI YA VIRUSI VYA UKIMWI TANZANIA

Tafadhali rejeeni kichwa cha habari hapo juu.

Taasisi ya utafiti wa madawa ya binadamu (NIMR), kituo cha Mwanza wakishirikiana na Kitengo cha kudhibiti maambukizi ya virusi vya UKIMWI (NACP), mradi wa SAUTI na Chuo Kikuu cha Sayansi za Tiba Muhimbili (MUHAS) wameomba kufanya utafiti katika Manispaa ya Temeke, Ilala na Kinondoni.

Madhumuni ya utafiti huu ni kupata taarifa ya kuishauri Kitengo cha kudhibiti maambukizi ya virusi vya UKIMWI kuhusu ukubwa wa tatizo na mahali ambapo makundi maalumu yanapatikana ili kuweza kutekeleza miradi ya kuzuia virusi vya UKIMWI kwa jamii.

Nawaleta kwenu ili muweze kuwapa ushirikiano waweze kufanikisha utafiti huu.

Nashukuru.

Dkt.Grace Elias Magembe

k.n.v: KATIBU TAWALA MKOA DAR ES SALAAM

Nakala:

Waganga Wakuu.

Halmashauri ya Manispaa, Ilala, Temeke na Kinondoni.

DAR ES SALAAM.

JAMHURI YA MUUNGANO WA TANZANIA OFISI YA RAIS TAWALA ZA MIKOA NA SERIKALI ZA MITAA

MKOA WA NJOMBE

Simu Na:

(026) 2782912 2782913

Nukushi:

(026) 2782914

Barua pepe: rc@njombe.go.tz

as@njombe.go.tz nfo@njombe.go.tz Uhapojibu tafadhali

Kumb. taja: AB. 24/257/07/34



Ofisi ya Mkuu wa Mkoa S.L.P. 668 NJOMBE. 26/8/2016

Mkurugenzi Mtendaji, Halmashauri ya Wilaya Ludewa na Wanging'ombe, Njumbe (w)

Mkurugenzi, Halmashauri ya Mji Njombe

YAH: KUTAMBULISHA KWAKO WATAFITI TOKA NIMR WAKISHIRIKIANA NA SHIRIKA LA JHPIEGO SAUTI

Rejea somo hapo juu,

Ofisi ya Mkuu wa Mkoa wa Njombe imepokea barua yenye Kumb.Na.189/Jhp-Sauti/FY16 ya tarehe 15/8/2016 kutoka shirika la Jhpiego SAUTI inayohusu utafiti uwepo wa makundi maalumu yaliyo katika hatari zaidi ya kupata maambukizi ya VVU (Key population) na maeneo wanakopatikana ndani ya Mkoa wa Njombe (mapping).Utafiti huu utafanyika kuanakopatikana na NIMR kuanzia tarehe 29/8/2016 hadi tarehe 30/11/2016 katika Halmashauri za Wanging'ombe,Ludewa na Njombe Mji.

Kwa barua hii ninaomba uwaruhusu watafiti hawa kufanya kazi hii katika maeneo tajwa hapo juu.Ninaambatanisha nakala ya ruhusa ya kufanya utafiti huu (certificate of clearance) toka NIMR na ratiba ya utafiti huu.

Nawatakia kazi njema,

Dkt. Eusebi Kessy KNY: KATIBU TAWALA (M)

NJOMBE

Nakala: Katibu Tawala (M)-aione katika jalada

THE UNITED REPUBLIC OF TANZANIA

PRESIDENT'S OFFICE REGIONAL ADMINISTRATION AND LOCAL GOVERNMENT

MBEYA REGION

TELEGRAM: "REGCOM" Telephone No: 025-2504045 Fax No.025-2504243

Email: rasmbeya@pmoralg.go.tz

In reply please quote:

Ref. No. DC.10/109/01/44

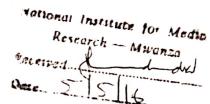


REGIONAL COMMISSIONER'S OFFICE P.O. Box 754,

MBEYA.

25th April, 2016

District Administrative Secretary, Mbeya, Rungwe, Mbarali, Kyela nad Chunya, MBEYA REGION.



Ref: PERMISION TO GIVE PERMITS

The heading captioned above refers.

The National Institute for Medical research (NIMR) Mwanza Centre in collaboration with the National AIDS Control Program (NACP) SAUTI Program and Muhimbili University of Health and Allied Science (NUHAS) are conducting a study, titled "Mapping and Enumeration of Key Population in Tanzania. The study being carried in Mbeya Region, the Regional Administrative Secretary have authorized you to avail the aforemention Institute permits to conduct their study in your District on behalf of Regional Administrative Secretary.

Do please accord them.

For: REGIONAL ADMINISTRATIVE SECRETARY,
MBEYA.

CC. National Institute for Medical Research,
Mwanza Reseach Centre,
P.O. Box 1462,
MWANZA.

- (Please be Informed)

THE UNITED REPUBLIC OF TANZANIA PRESIDENT'S OFFICE REGIONAL ADMINISTRATION AND LOCAL GOVERNMENT

Telegrams "REGCOM" Shinyanga

Tel. No. 028 -2762222 Fax No: 028 - 2762310

E-Mail: ras.shinyanga@pmoralg.go.tz.

Ref. No. EC.81/271/01/32

10th May, 2016

PO. Box 320,

Shinyanga:

Regional Commissioner's Office,

District Exectuve Director Kishapu, Msalala, Ushetu and Shinyanga

Municipal Director Shinyanga

Town Director Kahama

Re: MAPPING AND ENUMERATION OF KEY POPULATION IN TANZANIA

Reference is made for the subject captioned above.

The National Institute for Medical Research (NIMR), Mwanza Centre in collaboration with the National AIDS Control Program (NACP) SAUTI program and Muhimbili University of Health and Allied Sciences (MUHAS) are conducting a study titled "Mapping and Enumeration of Key Population in Tanzania" in a number of selected regions in Tanzania including Shinyanga region. The aim of the study is to inform the National AIDS Control program on the size of key populations in the country, their HIV risk profiles and locations where they can be found so as to effectively implement HIV/AIDS interventions in this population. The study has been granted an approval by NIMR ethical review board. A copy of the ethics approval is herewith attached for your attention.

With this letter I am introducing to you a group of scientist for the said undertaking.

It is my hope that you will offer your maximum support for this activity.

Yours sincerely,

Dr. Ntuli A. Kapologwe

digne.

For: REGIONAL ADMINISTRATIVE SECRETARY SHINYANGA

OFISI YA RAIS

TAWALA ZA MIKOA NA SERIKALI ZA MITAA HALMASHAURI YA WILAYA YA IRINGA



Barua zote ziandikwe kwa: Mkurugenzi Mtendaji wa Wilaya Simu nambari :2702828/2702585 Fax Na. 2701776/2700580/2701755 e-mail: drignas.mlowe@iringadc.go.tz

IDARA YA AFYA NA USTAWI WA JAMII (W), S.L.P. 108, IRINGA. Tanzania

Kumb.Na. DMO/IR/T.40/2/115

22 SEPTEMBA, 2016

Afisa Mtendaji wa Kata, Kata ya Maboba, Wasa na Ilolompya **H/Wilaya ya Iringa.**

YAH: KIBALI CHA UTAFITI WA MAKUNDI MAALUM

Husika na somo hilo hapo juu.

Halmashauri imepokea barua kutoka kwa Katibu Tawala (M) yenye somo hilo hapo juu; kibali hicho kinaanza kuanzia tarehe 16/12/2015 mpaka tarehe 15/12/2016.

Kwa barua hii naomba uwapokee watatifi kutoka NIMR kwa ajili ya utafiti wa makundi ya watu maalum.

Naomba wapewe ushirikiano,

Samwel O. Nkya

KAIMU MGANGA MKUU (W), HALMASHAURI YA WILAYA YA IRINGA.

Nakala kwa: Wah. Madiwani,

Kata za Masoba, Wasa na Ilolompya, **H/Wilaya ya Iringa.** – kwa taarifa.

DISTRICT MEDICATION OF THE P. O. BOX 108 -1

THE UNITED REPUBLIC OF TANZANIA PRISIDENT'S OFFICE REGIONAL ADMINISTRATION AND LOCAL GOVERNMENT

MBEYA REGION TELEGRAM: "ADMIN". Telephone No: 2502002 In reply please quote:



DISTRICT COMMISSIONER'S OFFICE, P.O. Box. 255, MBEYA.

Ref. No. AB.120/369/01/D/354

28th April, 2016

District Executive Director. Mbeya District Council, P.O. Box 599. MBEYA.

REF: RESEARCH PERMIT

Please refer to the above captioned subject.

The National Institute for Medical research (NIMR) Mwanza Centre in collaboration with the National AIDS Control Program (NACP) SAUTI Program and Muhimbili University of Health and allied Science (MUHAS) are conducting a study.

At the moment they conducting research on "Mapping and Enumeration of Key Population in Tanzania". A case study of Mbeya Rural.

Please assist them accordingly.

Tumsifu Ilomo

For: DISTRICT ADMINISTRATIVE SECRETARY MBEYA

c.c. National Institute for Medical Research, Mwanza Research Centre, P.O. Box 1462 MWANZA. - Please be informed.

JAMUHURI YA MUUNGANO WA TANZANIA OFISI YA RAIS TAWALA ZA MIKOA NA SERIKALI ZA MITAA

Anuani ya simu "ADMIN" Simu ya mdomo 025 2552036 FAX NO 0252552421 .



Ofisi ya Mkuu wa Wilaya Rungwe, S.L.P 34, TUKUYU.

12/05/2016

Unapojibu taja:-Kumb.AB.353/574/01/146

Afisa Mtendaji Kata ya

WILAYA YA RUNGWE.

Yah: IDADI NA MAHALI YAPATIKANAPO MAKUNDI MAALUM NCHINI TANZANIA

Tafadhali rejea mada tajwa hapo juu.

Nakitambulisha kwako kituo cha Utafiti (NIMR) Tawi la Mwanza kwa kushirikiana na Taasisi ya Taifa ya Kuzuia UKIMWI (AIDS) Progrm ya SAUTI na Chuo cha Muhimbili (NUHAS) Inafanya utafiti unaohusu **IDADI NA MAHALI YAPATIKANAPO MAKUNDI MAALUM NCHINI TANZANIA**

Kibali kitatumika kuanzia tarehe 15/5/201 mpaka tarehe 15/6/2016.

Tafadhali mpeni ushirikiano.

5, Mawila. Susan E. Mawila

Kny: KATIBU TAWALA WILAYA
RUNGWE.

Nakala:

Mkuu wa Wilaya

RUNGWE- Aione ndani ya jalada.

RUNGWE

Katibu Tawala Wilaya

WILAYA YA RNGWE. - Aione ndani ya jalada

44

Mkurugenzi Mtendaji, Halmashauri ya Wilaya **RUNGWE**. - Kwa taarifa. HALMASHAURI YA WILAYA YA USHETU Barua zote zipelekwe kwa Mkurugenzi Mtendaji (W)

Mkoa wa Shinyanga.
Simu: 028-2710110
Fax 028-2710100
mkurugenzi@ushetucoucil.go.tz
Unapojibu Tafadhali taja:

Kumb. M.10/15/182
Afisa Mtendaji wa Kata,
Kata ya Igwamanoni
Ushetu.

Ture pitaliner Atht Ofisi ya Mkurugenzi Mtendaji (W), S. L. P. 50, USHETU.

Tarehe 31/05/2016

KATA YA IGWAMANONI USHETU

YAH: KUTAMBULISHA WATAMBUZI WA MAENEO MUHIMU YANAYOPATIKANA MAKUNDI MAALUMU KWA AJILI YA KUPAMBANA NA VVU/UKIMWI

Husikeni na mada tajwa hapo juu.

Taasisi ya utafiti wa magonjwa ya binadamu (NIMR) kanda ya Mwanza kwa kushirikiana na Mpango wa Taifa wa Kudhibiti UKIMWI (NACP) kupitia mradi wa SAUTI litaendesha zoezi la utambuzi wa maeneo muhimu yanayopatikana makundi maalumu (Key Population). Lengo la utambuzi huu ni kupata taarifa muhimu zitakazosaidia katika kupambana na VVU/UKIMWI kwa maeneo yaliyo katika hatari zaidi.

Kwa barua hii, mnaombwa kutoa ushirikiano mkubwa kwa watambuzi watakaotumwa na taasisi ya NIMR- Mwanza ili malengo ya zoezi hili yafikiwe kwa maendeleo ya Halmashauri yetu, Wilaya, Mkoa na Taifa kwa ujumla.

Tarehe rasmi ya zoezi mtajulishwa na mdau wetu.

Nawatakia utekelezaji mwema.

Isabela O. Chilumba Mkurugenzi Mtendaji Halmashauri ya Wilaya Ushetu

Nakala Mheshimiwa diwani, Kata ya Igwamanoni, **Ushetu.** MKURUGENZI MTENDAJI (WE USHETU

TEMEKE MUNICIPAL COUNCIL

Tell: +255 22-2851054

website: www.tmc.go.tz

Fax: +255 22-2850640

E- mail: temekemanispaa@tmc.go.tz

[All letters should be addressed to the Municipal Director]

P.O.Box: 46343,

Mandela Road DAR ES SALAAM,

TANZANIA.

Ref. No. TMC/MD/ U.21/30 = MUNCIPALIY RE: RESEARCH PERMIT DR. AMANI SHAO & OLHERS Please refer to the heading above This is to inform you that, permission is granted to the above mentioned on Makundi Laavy Valigo to conduct research This permit will effect from the date of this letter. Please give with necessary assistance. FOR: MUNICIPAL DIRECTOR
TEMEKE
FOR: MUNICIPAL DIRECTOR
TEMEKE AMANI SHADE OUTE Student/Researcher. Copy to:

HALMASHAURI YA MANISPAA YA SHINYANGA

Simu. 028-2763213

028-2762534

Fax: 028-2763750

E-mail: municipalshy@yahoo.com

Unapojibu Tafadhali Taja

Kumb. Na. SHY/MC/M.10/20/04

Afisa Mtendaji Kata ya ... M Gowo Co S.L.P 28

SHINYANGA.

Ofisi ya Mkurugenzi wa Manispaa, S.L.P. 28, SHINYANGA

Tarehe: 18.07.2016

medicare de la lacile Marie de la lacile de lacile de lacile de la lacile de lacile de la lacile de l

YAH: KUTAMBULISHA WATAMBUZI WA MAENEO MUHIMU YANAYOPATIKANA MAKUNDI MAALUM KWA AJILI YA KUPAMBANA NA VVU/UKIMWI

Husika na somo tajwa hapo juu.

Taasisi ya utafiti wa magonjwa ya binadamu (NIMR) kanda ya Mwanza kwa kushirikiana na Mpango wa Taifa wa kudhibiti UKIMWI (NACP) kupitia mradi wa SAUTI litaendesha zoezi la utambuzi wa maeneo muhimu yanayopatikana makundi maalum (Key Population).Lengo la utambuzi huu ni kupata taarifa muhimu zitakazosaidia katika kupambana na VVU/UKIMWI kwa maeneo yaliyo katika hatari zaidi.

Kwa barua hii,mnaombwa kutoa ushirikiano mkubwa kwa watambuzi watakaotumwa na taasisi ya NIMR- Mwanza ili malengo ya zoezi hili yafikiwe kwa maendeleo ya Halmashauri yetu,Wilaya,Mkoa na Taifa kwa ujumla.

Nawatakia utekelezaji mwema.

Mkurugenzi wa Manispaa
Manispaa ya Shinyanga

MKURUSENZI WA MANISPAN

HALMASHAURI YA WILAYA YA MUFINDI

(Barua zote zítumwe kwa MKurugenzi Mtendají Wilaya)



S.L.P 223,

SIMU: 026-2772614 FAX: 026-2772070

FAX: 026-2 Email:

dedmufindi@gmail.com

Kumb.Na. HW/MUF/ S.50/17/25

14 Septemba, 2016

Watendaji wa Kata za, Nyololo, Luhunga, Igombavanu, Kasanga, Ifwagi na Makungu **Halmashauri ya Wilaya ya Mufindi**

YAH: KUITAMBULISHA TIMU YA WAFANYA UTAFITI NA TATHMINI KUHUSU MAKUNDI MAALUM KATIKA KATA YAKO

Kichwa cha Habari hapo juu chahusika.

Timu ya wafanyakazi kutoka NIMR wakishirikiana na Sauti Project, Chuo Kikuu Mannitoba na MUHAS wanafanya utafiti na tathmini ya mambo mbalimbali yanayohusu Makundi Maalumu katika Mikoa 12 ya Tanzania Bara ikiwemo Mkoa wa Iringa.

Katika Mkoa wa Iringa, Tafiti hii pia itafanyika katika Halmashauri ya Wilaya ya Mufindi na katika Kata yako. Hivyo basi kwa barua hii unatakiwa uwapokee watafiti hawa na kuwapatia ushirikiano katika kata yako.

Dr.Fredrick Mugarula Kny Mkurugenzi Mtendaji Wilaya **MUFINDI**

WEANGA MKUU WA WILAWA

OFISI YA KATA SLP 129 MAFINGA 26-09-2016

MUTEMEKTI WA KUUTI AFISA MTENDATI

> CAH: KUWATAMBULISHA WATU WA UTAFITI WA NIMP

Uphinkiano na Kina ilo Kufinkisha Lengo la zetafiti

Natanguliza Shukrami

AFISTELIMU KATA

FOR WEO GONBAVANU

0754557567 6B. SANBAYOM

AFISA MITENDAJI WA KATA, KATA YA KALOBE, S. L. P 149, MBEYA.

MAAFSA WATENDASI WA MITAA. KATA YA KALOBE; P.O BOX 149, MBEYA.

YAH: BARUA YA UTAMBULISHO.

Husika na kichwa cha berna hapo jun.

Mapenda kuwatambulisha wanafuuri kutoka
shirika la utahiti (MINIR) kihuo cha Mwanza.

Pamoja na utambulisho huo watafanya utahiti
kuhusu makundi maalum yaliyopo kwenye
athari ya maambukiri ya vinsi vya ukinwi
Maomba muwape ushirikiano.

1 Afisa mtendagi wa kata ya kalobe

Mavanca.

AFISA MTENDAJI KATA YA KALOBE HALMASHAJISI YA JIJI S.L.P. 149 MBEYA