

BMJ Open

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (<http://bmjopen.bmj.com>).

If you have any questions on BMJ Open's open peer review process please email editorial.bmjopen@bmj.com

BMJ Open

Does intensive glycaemic control promote healing in diabetic foot ulcers? A feasibility study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-029009
Article Type:	Original research
Date Submitted by the Author:	08-Jan-2019
Complete List of Authors:	Dissanayake, Ajith; Counties Manukau Health, Endocrinology Vandal, Alain; Auckland University of Technology, Faculty of Health and Environmental Sciences; Counties Manukau Health, Ko Awatea Park, Diane; Auckland University of Technology, Faculty of Health and Environmental Sciences Milne, Bobbie; Middlemore Clinical Trials Grech, Roger; Counties Manukau Health, Podiatry Ng, Anthony; Counties Manukau Health, Podiatry
Keywords:	Diabetic foot < DIABETES & ENDOCRINOLOGY, WOUND MANAGEMENT, STATISTICS & RESEARCH METHODS

SCHOLARONE™
Manuscripts

TITLE

Does intensive glycaemic control promote healing in diabetic foot ulcers? A feasibility study

AUTHOR DETAILS

Ajith Dissanayake*

Consultant endocrinologist, Counties Manukau District Health Board

Alain C. Vandal*

Associate Professor, Faculty of Health and Environmental Sciences

Auckland University of Technology

Diane Park

Research Assistant, Faculty of Health and Environmental Sciences

Auckland University of Technology

Bobbie Milne

Research Nurse, Middlemore Clinical Trials

Roger Grech

Podiatrist, Counties Manukau District Health Board

Anthony Ng

Podiatrist, Counties Manukau District Health Board

* A. Dissanayake and A.C. Vandal should be considered joint lead authors

CORRESPONDING AUTHOR

Associate Professor Alain C. Vandal

PO Box 93311

Research and Evaluation Office, Ko Awatea, Counties Manukau Health,
Otahuhu, Auckland, New Zealand.

Tel: +64 9 921 9999 ext 7726

Email: alain.vandal@aut.ac.nz

WORD COUNT

333 (Abstract)

3152 (Main text)

ABBREVIATED TITLE

Glycaemic control for diabetic foot ulcers

ABSTRACT

Introduction One in four diabetes patients will develop a foot ulcer over their lifetime. The role of glycaemic control in diabetic foot ulcer healing is not supported by randomised controlled trial (RCT) data.

Objectives: To determine the feasibility of an RCT of glycaemic control with intensive insulin therapy in diabetic foot ulcer, by assessing: entry criteria; adherence to control regimen; medication satisfaction; sensitivity of different ulcer-healing endpoints to glycaemic control.

Design Two substudies: one cross-sectional, one single-arm prospective.

Setting Single-centre secondary care diabetic foot clinic in New Zealand.

Participants Substudy 1: 78 participants consisting of all people ≥ 18 years with a diabetic foot ulcer presenting to the clinic over 35 weeks in 2015. Substudy 2: 15 participants from Substudy 1 consenting to intensive insulin therapy.

Intervention Substudy 1: none. Substudy 2: Intensive insulin therapy with standard podiatry care over 24 weeks.

Outcome measures Substudy 1: Proportion of participants satisfying potential RCT entry criteria; medication satisfaction (DiabMedSat). Substudy 2: Fasting capillary blood sugar (FCBS); index ulcer healing time; index ulcer size; health-related quality of life (HRQoL; EQ-5D-5L and DFS-SF).

Results Proportion in Substudy 1 satisfying all entry criteria was 31% (95% CI 21 to 42). FCBS values decreased between baseline and study end (difference -3.7 mmol/L, 95% CI -6.5 to -0.8), suggesting adherence to control regimen; 83% (95% CI 44 to 95) of ulcers healed by 24 weeks. FCBS correlated negatively with medication satisfaction. Ulcer area logarithm was most sensitive to FCBS changes, displaying significant negative correlation with HRQoL outcomes. Detecting a 30%

1
2
3 between-group difference in this outcome (80% power, $\alpha=5\%$) requires 220
4
5 participants per arm, achievable within 1 year with 15 centres similar to study setting.
6

7
8 **Conclusions** An adequately powered RCT requires co-operation between a large
9
10 number of centres. Ulcer area logarithm should be primary endpoint.
11

12 **Trial registration** ANZCTR ACTRN12617001414303
13
14

15 16 17 **Strengths and limitations of this study** 18

- 19
20 • First study in 15 years to examine the feasibility of a definitive randomised
21
22 controlled trial (RCT) of intensive insulin therapy for diabetic foot ulcers.
23
- 24 • Use of imaging techniques allowed assessment of various ulcer-size-related
25
26 outcomes as potential primary endpoints for an RCT.
27
- 28 • The target sample size of 20 for Substudy 2, examining the relationship
29
30 between ulcer healing and glycaemic control, was not achieved during the
31
32 study period.
33
- 34 • The study was conducted in a single centre in New Zealand, limiting
35
36 generalisability to other populations and settings with different pathways for
37
38 diabetes and diabetic complications.
39
40
41
42
43
44

45 **KEYWORDS**

46
47 Diabetic Foot
48
49 Blood Glucose
50
51 Wound Healing
52
53 Outcome Assessment (Health Care)
54
55 Sample Size
56
57
58
59
60

Introduction

One in four diabetes patients will develop a foot ulcer in their lifetime [1]. Diabetic foot ulcer is one of the most significant complications of diabetes [1-4] and often responds poorly to treatment, with only one-third of those managed in secondary care healing by 3 months and one-half at 6 months [5]. Non-healing ulcers are an important cause of lower extremity amputation. Most notable causes of foot ulceration are peripheral neuropathy, peripheral vascular disease and structural foot disease [6] [7]. These factors are linked to hyperglycaemia [8-10] and pathological states associated with diabetes.

A meta-analysis of nine randomised controlled trials in nearly 11,000 participants showed that intensive glycaemic control potentially improved the incidence of diabetic foot ulcer, decreased the risk of amputation and improved sensory nerve function compared with less intensive control [11]. As a result, clinical practice guidelines from the Society for Vascular Surgery, American Podiatric Medical Association, and Society for Vascular Medicine now recommend adequate glycaemic control (glycosylated haemoglobin [HbA1c] <53 mmol/mol) to reduce the incidence of diabetic foot ulcers [1].

The evidence that improved glycaemic control can accelerate the healing of foot ulcers and reduce the incidence of ulceration and amputation remains observational [11-17]. There is no randomised trial evidence that tight glycaemic control improves ulcer wound healing [18]. A previous feasibility study in this area [19] concluded 15 years ago that a definitive randomised trial in this area was not feasible, possibly due to exacting entry criteria. To investigate further whether a randomised controlled trial (RCT) evaluating the efficacy of intensive insulin therapy on diabetic foot ulcer healing is possible, this two-part feasibility study sought appropriate but less

1
2
3 stringent trial entry criteria to improve accrual rates (Substudy 1) and sought
4 appropriate endpoints for such a trial (Substudy 2). In Substudy 2, presence or
5 absence of peripheral neuropathy, peripheral vascular disease and foot deformities
6 were noted as they were identified factors in the genesis of diabetic foot ulcers. Data
7 on microcirculation in the feet were also collected using novel laser imaging
8 technology. This report focuses on data from Substudy 1 and Substudy 2; the laser
9 imaging data analysis will be reported separately.

10
11
12
13
14
15
16
17
18
19 The primary objective of Substudy 1 was to estimate the proportion of participants
20 satisfying the entry criteria for the planned RCT. Entry criteria used in the previous
21 feasibility study [19] were revised as follows: removing the requirement for chronic
22 ulcers (>4 weeks); removing the ulcer size criterion (25-2500 mm²), and including
23 participants with a higher HbA1c (≥ 58 mmol/mol), renal disease and/or a history of
24 hypoglycaemia. Secondary objectives were to estimate the length of the recruitment
25 period for the intended RCT, and determine participant satisfaction with their
26 diabetes medication.

27
28
29
30
31
32
33
34
35
36
37
38 In Substudy 2, the main objective was to determine a primary endpoint for the RCT
39 by analysing sensitivity of ulcer healing-related outcomes (ulcer area, change in
40 ulcer area, and time to complete healing) to glycaemic control accounting for
41 standard podiatry care. The ulcer healing outcome measure with the best
42 association with glycaemic control was to be assessed for convergent validity with an
43 established foot ulcer scale. Secondary objectives included examining the
44 relationship between adherence (using glycaemic control as proxy), as well as
45 attendance, and satisfaction with diabetes medication, and evaluating health-related
46 quality of life (HrQOL) measures in this population.

Materials and methods

Setting and Study Design

The study was conducted at the Counties Manukau Health (CMH) Diabetes Foot Clinic in Auckland, New Zealand between February and October 2015, with Substudy 2 follow-up to February 2016. Substudy 1 was a cross-sectional study enrolling all people aged ≥ 18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks. Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (Table 1) and treating them with intensive insulin therapy for 24 weeks. The protocol was approved by the New Zealand Northern A Health and Disability Ethics Committee (ref: 14/NTA/195). All participants provided informed written consent.

Accrual periods and sample size

The accrual period for Substudy 1 (35 weeks) was selected as long as possible while respecting contractual obligations with the study funder. To distinguish between recruitment of existing patients and new patients, we prospectively defined two recruitment periods. The first period of recruitment (Period A) was to finish from the moment at which only newly enrolled clinic patients started to be recruited in the study, giving way to Period B.

Substudy 2 aimed to recruit 20 participants. Assuming 10% attrition, this number is sufficient to estimate the standard deviation of a continuous variable (e.g. ulcer area) with a coefficient of variation inferior to 0.2. This criterion is consistent with the goal of identifying a sensitive primary endpoint endowed with good precision.

<<Table 1 approximately here>>

Study procedures and outcomes

Substudy 1 participants completed a Diabetes Medication Satisfaction (DiabMedSat) questionnaire [20 21]. Their foot wound(s) (index ulcers) were inspected, and entry criteria status (Table 1) and demographic data recorded. In Substudy 2, visits occurred weekly between weeks 1 (baseline) and 4, then at 6, 8, 12, 16, 20 and 24 weeks, or until the index ulcers healed. The following were undertaken at each visit: ulcer examination: digital photographic planimetry of ulcer area (using the SilhouetteStar camera, ARANZ Medical, New Zealand); FCBS measurement (in mmol/L); medication review; and adverse events assessment. FCBS was used in the analyses as a measure of glycaemic control and as a proxy for adherence. HbA1c was assessed at baseline and at the end of trial, providing an assessment of chronic hyperglycaemia. By contrast, fasting capillary glucose or mean daily capillary glucose may provide evidence of acute improvement of glycaemia in a short-term clinical trial [18]. In addition, participants completed three questionnaires at each visit: DiabMedSat [20 21], EuroQol 5 Dimensions 5 Levels (EQ-5D-5L) [22 23] and Diabetic Foot Ulcer Scale-Short Form (DFS-SF) [24].

Intervention

On entry to Substudy 2, intermediate- or long-acting insulin was initiated or adjusted, and given in addition to usual oral hypoglycaemic tablet therapy, consistently with international guidelines [25]. Short-acting mealtime insulin was provided as appropriate. The goal was to maintain FCBS at 4–7 mmol/L, with ≤ 2 episodes of mild hypoglycaemia per week.

Substudy 2 participants received usual podiatry care at each visit, including ulcer debridement, orthotics prescription and adjustments, antibiotics if indicated and education.

Statistical analyses

Substudy 1

Descriptive statistics were produced for participant demographic characteristics, recruitment rate, entry criteria fulfilment and DiabMedSat subscores. Multivariate analysis of variance (MANOVA) was used to detect differences in subscores based on demographic or participant characteristics. A Poisson exact test was used to compare recruitment rates over two recruitment periods and mixed logistic regression used to compare differences in entry criteria fulfilment between the periods. Indicators of criterion satisfaction were fitted jointly by themselves in a first model, and interacting with period in a second model. Models were compared using deviance tests.

Substudy 2

The analysis set for this feasibility study consisted of all participants having initiated treatment. All analyses were carried out on the index ulcers, present at the first visit. All participants had a single index ulcer.

Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at each visit (ulcer area in cm², log[ulcer area+0.01], absolute and relative rates of change in ulcer area) and glycaemic control. (The value of 0.01 in the logarithmic endpoint was chosen based on the data to improve the normality of the outcome.) FCBS was the fixed effect and participant the random effect. Time-to-healing was considered as a fifth possible outcome. A Kaplan-Meier estimate of ulcer persistence probability was produced and Cox regression used to estimate the hazard ratio of ulcer healing under changes in FCBS, taken as a time-dependent covariate. Time- and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential confounding, as tight

1
2
3 management in a foot clinic may promote ulcer healing in several ways. The most
4 sensitive outcome was selected by consideration of its adjusted observed
5 significance level and its equivalent Cohen's effect size where appropriate. To
6 estimate correlations and their 95% confidence intervals (CI) using all available
7 longitudinal data, we fitted outcomes jointly using a heterogeneous compound
8 symmetry covariance model, and using only intercepts as fixed effects. We thus
9 obtained correlations between DFS-SF subscores and selected ulcer healing
10 outcome; between DiabMedSat subscores and FCBS; and between DFS-SF
11 subscores and EQ-5D-5L.
12
13

14 Descriptive statistics were produced on completed follow-ups (defined as healing
15 before 24 weeks or attending the 24-week visit) and attended visits. Attendance
16 probability was regressed on the most recently available FCBS, measure of ulcer
17 area and DiabMedSat subscores using mixed logistic regression. The final
18 attendance model was selected using AIC.
19
20

21 No data were missing for time-to-healing analyses. Other analyses all involved
22 mixed models on longitudinal data, known to alleviate selection bias due to
23 missingness [26].
24
25

26 Results

27 Participants

28 <<Table 2 approximately here.>>
29

30 Seventy-eight participants (all unique clinic visitors during the recruitment period)
31 were enrolled in Substudy 1 (Table 2). Mean age was 57 years (SD 14). The majority
32 were men and most were of Pacific or European ethnicity. No data were missing for
33 Substudy 1. All participants were identified as having some measure of foot
34 deformity, judged unlikely to affect ulcer healing by the treating podiatrists. However,
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 no objective measure of foot deformity, to our knowledge, has been assessed for
4
5 association with ulcer healing.
6

7
8 Seventeen participants entered Substudy 2. One withdrew consent after Visit 1 and
9
10 another was healed on Visit 1, leaving 15 participants in the analysis set, assessed
11
12 on a total of 90 occasions.
13

14 15 **Completeness**

16
17 Two participants with unhealed ulcers did not attend the 24-week visit (13%, 95% CI
18
19 2 to 40). Of the 102 scheduled visits, 12 were missed, yielding an attendance
20
21 proportion of 91% (95% CI 79 to 96), accounting for clustering by participant.
22

23
24 Average follow-up time to healing or last visit was 17.6 weeks (SD 7.8). Clinical
25
26 constraints or patient choice prevented some measurements from being taken,
27
28 yielding completeness proportions for specific outcomes between 78% (DiabMedSat
29
30 and FCBS) and 85% (ulcer area).
31
32

33 34 **Recruitment rate and entry criteria**

35
36
37 Period A (as defined earlier) finished after 6 weeks, and was the most active with 42
38
39 participants recruited compared with 36 participants over the remaining 29 weeks,
40
41 forming Period B.
42

43
44 All participants satisfied criterion IC1, 78.2% met IC2, 98.7% met IC3, 73.1% met
45
46 IC4 and 57.7% met IC5. Twenty-four participants (30.8%, 95% CI 20.8 to 42.2) met
47
48 all criteria. Removal of any single criteria increased eligibility proportion appreciably
49
50 only in the case of IC2 (to 37.2%, 95% CI 26.5 to 48.9) and IC5 (to 38.5%, 95% CI
51
52 27.7 to 50.2). The probabilities of participants meeting entry criteria differed between
53
54 periods A and B (Fig. 1); the criterion-period interaction term was significant
55
56 ($P=0.009$). The A-to-B rate ratio of eligibility to a full study was 4.1 (95% CI 1.8 to
57
58
59
60

1
2
3 9.2). The eligibility rate in period B was 0.45 participant per week (95% CI 0.24 to
4
5 0.77).
6

7 <<Figure 1 approximately here.>>
8
9

10 **Diabetes Medication Satisfaction**

11
12
13 Higher scores on the DiabMedSat subscales indicate increased satisfaction for all
14
15 three subscales. The subscale histograms are displayed in Figure 2. Median score
16
17 for the Efficacy subscale was 63.3 (interquartile range [IQR] 26.7), and was
18
19 numerically lower than those of the Burden (81.8 [IQR 22.8]) and Symptom
20
21 subscales (80.0 [IQR 16.0]). MANOVA demonstrated no significant variation in
22
23 subscale scores based on ethnicity, sex or diabetes duration, but there was
24
25 significant variation in the Burden ($P = 0.005$) and Symptom ($P=0.026$) scores based
26
27 on age (generally higher in older participants).
28
29
30

31 <<Figure 2 approximately here.>>
32
33

34 **Glycaemic control**

35
36
37 FCBS values during the study indicated that participants were generally adhering to
38
39 their glycaemic control regimen. Between study end and baseline, the mean
40
41 difference in FCBS was -3.7 mmol/L (95% CI -6.5 to -0.8) and in HbA1c was -9.4
42
43 mmol/mol (95% CI -19.0 to 0.3).
44
45

46 **Selection of primary endpoint for RCT**

47 <<Table 3 approximately here.>>
48
49

50
51
52 Twelve Substudy 2 participants experienced complete healing between weeks 3 and
53
54 24; the remaining three had unhealed ulcers at the time of their last visit on or before
55
56 week 24. Median ulcer-healing time was 7 weeks. The Kaplan-Meier estimate of the
57
58 proportion of ulcers not healing by week 24 was 16.7% (95% CI 5.0 to 56.1). The Cox
59
60

1
2
3 model showed no significant association between time to ulcer healing and FCBS
4
5 (Table 3).

6
7 Log(ulcer area in $\text{cm}^2+0.01$) (hereafter “log of ulcer area”) and ulcer area absolute
8
9 rate of change were the only ulcer healing outcomes sensitive to change in FCBS
10
11 (Table 3). The former was selected as most sensitive to changes in FCBS, with
12
13 effect size of 0.08 per mmol/L increase in FCBS, adjusted for both time and baseline
14
15 value. Time adjustment was intended to account for non-glycaemia-related
16
17 improvements and interventions such as podiatric care. Between- and within-
18
19 participant outcome variances were estimated at 1.3 and 0.4 respectively.
20
21
22

23 24 **Validation of selected outcome with QOL measures**

25
26 <<Table 4 approximately here.>>
27

28
29 DFS-SF scores tended to increase over time (i.e. improved HrQOL); increases
30
31 reached statistical significance for four of the six subscales (Leisure [$P=0.05$],
32
33 Dependence [$P=0.01$], Negative emotion [$P=0.001$] and Worried about ulcers
34
35 [$P=0.04$]). All six subscales showed statistically significant, moderate-to-strong
36
37 negative correlation with log of ulcer area (Table 4).
38
39

40 41 **Participant satisfaction and adherence to intensive insulin therapy**

42
43 Glycaemia levels displayed weak to moderate negative correlation with the
44
45 DiabMedSat scores. The correlation of FCBS with the Burden subscale was -0.35
46
47 (95% CI -0.59 to -0.09; $P=0.01$), with the Efficacy subscale -0.42 (95% CI -0.61 to
48
49 -0.18; $P=0.0009$) and with the Symptoms subscale -0.21 (95% CI -0.47 to 0.08,
50
51
52
53 $P=0.15$).
54
55
56
57
58
59
60

Health-related QOL

The EQ-5D-5L VAS displayed moderate to strong positive correlation with all six DFS-SF subscale scores (Table 4).

Modelling of attendance

The model explaining attendance with smallest AIC involved the DiabMedSat Burden score only, with an attendance odds ratio of 1.78 (95% CI 1.26 to 2.51; $P=0.001$) per 10-point score increase.

Discussion

Key findings

This feasibility study showed that by using less stringent entry criteria, more people with diabetic foot ulcers attending our foot clinic (30%) may be eligible for a proposed RCT investigating the efficacy of an intensive insulin regimen on diabetic foot ulcer healing than reported in a previous feasibility study (0.5% [1/200]) [19]. The study also showed that the primary endpoint of log of ulcer area, with ulcer area measured using digital photographic planimetry, may be sensitive enough to glycaemic control to base an RCT on in this population.

In terms of design and conduct of an RCT of intensive glycaemic control versus standard care in people with diabetic foot ulcers, analysis showed that the largest gains in eligibility from removal of a single criterion would occur by waiving IC2 or IC5. IC5 cannot of course be waived, since participant consent is compulsory in any ethical clinical trial. However if glycaemic control is efficacious in individuals with recently developed diabetes, IC2 could perhaps advantageously be relaxed.

Further findings are indications that acting on the medication burden may improve attendance and that acting on medication satisfaction in general, and satisfaction

1
2
3 with efficacy in particular, may improve adherence to the control regimen. In both
4
5 cases, however, the evidence obtained is only correlational and not causal.
6
7

8 **Relationship to other studies**

9
10 Ulcer healing data suggested an early beneficial effect of intensive insulin therapy;
11
12 median healing time was 7 weeks after the initial visit, and by week 21 an estimated
13
14 17% of ulcers had not healed. Over a similar timeframe, much lower healing rates
15
16 have been reported with standard care (e.g. 31% at 20 weeks in a meta-analysis
17
18 [27]). Even when standard care included insulin, a retrospective study found that
19
20 only 30% of ulcers had healed after 1.1 months [28]. This result may be due to the
21
22 high (weekly) frequency of the first four visits in Substudy 2, allowing more
23
24 opportunities for treatment such as wound debridement, and orthotic or medication
25
26 adjustments .
27
28
29
30

31 **Implications for a full study**

32
33 The log of the ulcer area outcome proved sensitive to glycaemic control even
34
35 controlling for time since study entry, and was correlated with DFS-SF subscores,
36
37 corroborating the validity of the measure. Using this measure as primary endpoint, a
38
39 target reduction in ulcer size of 30% would correspond to a 3 mmol/L average
40
41 difference in FCBS (corresponding to the difference between the lower bounds of
42
43 normal glycaemia and a diagnosis of diabetes), with intensive glycaemic control
44
45 versus standard care. In an RCT, 220 participants per arm would be required to
46
47 detect a between-group decrease of 30% with 80% power at a 5% significance level.
48
49
50
51 At the differential eligibility rates observed in both periods and assuming a loss to
52
53 follow-up of 10%, such a number could be achieved within about one year if 15
54
55 centres similar to ours were recruiting participants.
56
57
58
59
60

1
2
3 Good reductions in FCBS over the first 4 weeks of intensive insulin therapy were
4 seen, but more variable levels observed afterwards. To achieve optimal
5
6 improvements in glycaemic control over the course of a longer-term RCT more
7
8 regular visits may be necessary after the first 4-6 weeks of therapy than were used in
9
10 our feasibility study and more daytime FCBS recordings done to optimise therapy.
11
12 The EQ-5D VAS appeared to have good convergent validity with the specialised
13
14 DFS-SF, indicating its appropriateness as a generic QOL measure in our study
15
16 population, opening the door to valid economic analyses. We also realise the
17
18 importance of objective quantification of neuropathy, peripheral vascular disease and
19
20 foot deformities enabling stratification at randomisation in the larger trial as they are
21
22 the most notable causative factors of diabetic foot ulceration [29].
23
24

25
26 Tight glycaemic control relies on long-term patient adherence [1]. Satisfied patients
27
28 are more likely to adhere to recommendations regarding not only medication use and
29
30 follow-up visits but also dietary habits and physical activity [30]. Our findings showed
31
32 that our participants' perception of diabetes medication burden was strongly
33
34 associated with adherence and attendance, suggesting that intervening on burden
35
36 may promote attendance.
37
38
39
40
41

42 **Limitations**

43
44 Some limitations temper the interpretation of our results. Firstly, Substudy 2 did not
45
46 reach the target sample size of 20. This shortfall was largely due to availability of
47
48 personnel and funder timelines. These issues, particularly around staffing, have the
49
50 potential to affect any future RCT. Moreover, the study was conducted at a single
51
52 centre in New Zealand, limiting generalisability to other populations and settings with
53
54 different care pathways for diabetes and diabetic complications.
55
56
57
58
59
60

Conclusion

The study has produced evidence of moderate quality that tight glycaemic control may be beneficial for ulcer healing, and that an outcome derived from ulcer area could be sensitive to glycaemic control as expressed by FCBS.

This feasibility study is the first since 2005 to investigate issues relevant to the initiation of a definitive RCT evaluating the impact of intensive insulin therapy on ulcer healing in people with diabetes. The results of such a trial would be useful to inform evidence-based clinical practice guidelines. However, we have also demonstrated that a reasonably powered trial would require the involvement of a large number of centres, increasing the complexity of such an undertaking.

Acknowledgements Medical writing assistance was provided by Nicola Ryan, independent medical writer. The authors thank Benjamin Elliott (independent data manager), Lawrence Kingi (podiatrist, Counties Manukau Health) and Dr John Baker (Middlemore Clinical Trials) for their assistance with this study.

Contributors A.C.V. and A.D. designed the study and co-wrote the manuscript. A.D. oversaw the intervention and contributed to the discussion. A.C.V. contributed to data management, data monitoring and analysis planning and conduct. D.P. contributed to statistical analyses. B.M. coordinated the research. R.G. and A.N. provided podiatric care and contributed to discussion. A.D. and A.C.V. take responsibility for the contents of the article, study design, access to data and the decision to submit and publish the results.

Funding This work was supported by the Health Research Council of New Zealand's Feasibility Study grant number 14/605.

1
2
3 **Disclaimer** The joint lead authors A.D. and A.C.V. affirm that the manuscript is an
4 honest, accurate and transparent account of the study being reported; that no
5
6 important aspects of the study have been omitted except as noted in the text, and
7
8 that any discrepancies from the study as planned have been explained.
9
10

11
12 **Competing interests** None declared.
13

14 **Patient consent** Obtained.
15

16
17 **Ethics approval** Ethics approval was given for the study by the New Zealand
18
19 Northern A Health and Disability Ethics Committee, ethics approval number
20
21 14/NTA/195.
22

23
24 **Provenance and peer review** Not commissioned; externally peer-reviewed.
25

26 **Data sharing statement** Data are available. Please contact corresponding author.
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

References

1. Hingorani A, LaMuraglia GM, Henke P, et al. The management of diabetic foot: A clinical practice guideline by the Society for Vascular Surgery in collaboration with the American Podiatric Medical Association and the Society for Vascular Medicine. *J Vasc Surg* 2016;**63**(2 Suppl):3s-21s doi: 10.1016/j.jvs.2015.10.003[published Online First: Epub Date]|.
2. Australian Institute of Health and Welfare. Available from: <http://www.aihw.gov.au/WorkArea/DownloadAsset.aspx?id=6442453674>, 2008.
3. Ragnarson Tennvall G, Apelqvist J. Health-economic consequences of diabetic foot lesions. *Clin Infect Dis* 2004;**39** Suppl 2:S132-9 doi: 10.1086/383275[published Online First: Epub Date]|.
4. Stockl K, Vanderplas A, Tafesse E, et al. Costs of lower-extremity ulcers among patients with diabetes. *Diabetes Care* 2004;**27**(9):2129-34
5. Treece KA, Macfarlane RM, Pound N, et al. Validation of a system of foot ulcer classification in diabetes mellitus. *Diabet Med* 2004;**21**(9):987-91 doi: 10.1111/j.1464-5491.2004.01275.x[published Online First: Epub Date]|.
6. Clayton W, Elasy T. A review of the pathophysiology, classification, and treatment of foot ulcers in diabetic patients. *J Clin Diabetes* 2009;**27**(2):52-58
7. Schaper N. Lessons from Eurodiab. *Diabetes Metab Res* 2012;**28**(Suppl 1):21-26
8. Ikem R, Ikem I, Adebayo O, et al. An assessment of peripheral vascular disease in patients with diabetic foot ulcer. *Foot* 2010;**20**(4):114-17
9. Ogbera O, Osa E, Edo A, et al. Common clinical features of diabetic foot ulcers: perspectives from a developing nation. *Int J Low Extr Wound* 2008;**7**(2):93-98
10. Tesfaye S, Slevrajah D. Advances in the epidemiology, pathogenesis and management of diabetic peripheral neuropathy. *Diabetes Metab Res* 2012;**1**(1):8-14
11. Hasan R, Firwana B, Elraiyah T, et al. A systematic review and meta-analysis of glycemic control for the prevention of diabetic foot syndrome. *J Vasc Surg* 2016;**63**(2 Suppl):22S-28S e1-2 doi: 10.1016/j.jvs.2015.10.005[published Online First: Epub Date]|.
12. Brem H, Sheehan P, Rosenberg HJ, et al. Evidence-based protocol for diabetic foot ulcers. *Plast Reconstr Surg* 2006;**117**(7 Suppl):193S-209S; discussion 10S-11S doi: 10.1097/01.prs.0000225459.93750.29[published Online First: Epub Date]|.
13. Christman AL, Selvin E, Margolis DJ, et al. Hemoglobin A1c predicts healing rate in diabetic wounds. *J Invest Dermatol* 2011;**131**(10):2121-7 doi: 10.1038/jid.2011.176[published Online First: Epub Date]|.
14. Lan CC, Liu IH, Fang AH, et al. Hyperglycaemic conditions decrease cultured keratinocyte mobility: implications for impaired wound healing in patients with diabetes. *Br J Dermatol* 2008;**159**(5):1103-15 doi: 10.1111/j.1365-2133.2008.08789.x[published Online First: Epub Date]|.
15. Marston WA, Dermagraft Diabetic Foot Ulcer Study Group. Risk factors associated with healing chronic diabetic foot ulcers: the importance of hyperglycemia. *Ostomy Wound Manag* 2006;**52**(3):26-8, 30, 32 passim
16. Miner A, Kirsner RS. Diabetic control affects healing rates in neuropathic and vasculopathic patients. *J Invest Dermatol* 2011;**131**(10):1962 doi: 10.1038/jid.2011.269[published Online First: Epub Date]|.
17. Rathsmann B, Jensen-Urstad K, Nystrom T. Intensified insulin treatment is associated with improvement in skin microcirculation and ischaemic foot ulcer in patients with type 1 diabetes mellitus: a long-term follow-up study. *Diabetologia* 2014;**57**(8):1703-10 doi: 10.1007/s00125-014-3248-2[published Online First: Epub Date]|.
18. Fernando M, Seneriratne R, Tan Y, et al. Intensive versus conventional glycaemic control for treating diabetic foot ulcers. *Cochrane Database of Systematic Reviews* 2016; 2016(1).

19. Idris I, Game F, Jeffcoate W. Does close glycaemic control promote healing in diabetic foot ulcers? Report of a feasibility study. *Diabet Med* 2005;**22**(8):1060-3 doi: 10.1111/j.1464-5491.2005.01606.x[published Online First: Epub Date]].
20. Brod M, Christensen T, Kongso JH, et al. Examining and interpreting responsiveness of the Diabetes Medication Satisfaction measure. *J Med Econ* 2009;**12**(4):309-16 doi: 10.3111/13696990903337017[published Online First: Epub Date]].
21. Brod M, Skovlund SE, Wittrup-Jensen KU. Measuring the impact of diabetes through patient report of treatment satisfaction, productivity and symptom experience. *Qual Life Res* 2006;**15**(3):481-91 doi: 10.1007/s11136-005-1624-6[published Online First: Epub Date]].
22. Herdman M, Gudex C, Lloyd A, et al. Development and preliminary testing of the new five-level version of EQ-5D (EQ-5D-5L). *Qual Life Res* 2011;**20**(10):1727-36 doi: 10.1007/s11136-011-9903-x[published Online First: Epub Date]].
23. Janssen MF, Pickard AS, Golicki D, et al. Measurement properties of the EQ-5D-5L compared to the EQ-5D-3L across eight patient groups: a multi-country study. *Qual Life Res* 2013;**22**(7):1717-27 doi: 10.1007/s11136-012-0322-4[published Online First: Epub Date]].
24. Bann CM, Fehnel SE, Gagnon DD. Development and validation of the Diabetic Foot Ulcer Scale-short form (DFS-SF). *Pharmacoeconomics* 2003;**21**(17):1277-90
25. International Diabetes Federation. Global guideline for type 2 diabetes. Available from: <http://www.idf.org/guidelines/type-2-diabetes>, 2005.
26. Fielding S, Fayers P, Ramsay C. Analysing randomised controlled trials with missing data: Choice of approach affects conclusions. *Contemp Clin Trials* 2012;**33**:461-69
27. Margolis DJ, Kantor J, Berlin JA. Healing of diabetic neuropathic foot ulcers receiving standard treatment. A meta-analysis. *Diabetes Care* 1999;**22**(5):692-5
28. Vatankhah N, Jahangiri Y, Landry GJ, et al. Effect of systemic insulin treatment on diabetic wound healing. *Wound Repair and Regeneration* 2017;**25**(2):288-91 doi: 10.1111/wrr.12514[published Online First: Epub Date]].
29. Fernando M, Seneriratne R, Tan Y, et al. Intensive versus conventional glycaemic control for treating diabetic foot ulcers. *Cochrane Database of Systematic Reviews* 2016; (1).
30. Al-Aujan S, Al-Aqeel S, Al-Harbi A, et al. Patients' satisfaction with diabetes medications in one hospital, Saudi Arabia. *Patient Prefer Adherence* 2012;**6**:735-40 doi: 10.2147/PPA.S32859[published Online First: Epub Date]].

Figure legends

Figure 1 – Point estimate and confidence interval of probability of each criterion being met by recruitment period. Period A was 0-5 weeks and Period B was 6-35 weeks. FC, full criteria; EC, exclusion criterion; IC, inclusion criterion.

Figure 2 – Histograms of participant scores on the three subscales of the Diabetes Medication Satisfaction questionnaire: (a) Burden, (b) Symptoms and (c) Efficacy subscales. Subscales are scored from 0-100, higher scores indicating greater satisfaction.

Tables

Table 1 Entry criteria assessed in Substudy 1

Criteria	Notation	Description
Inclusion	IC1	Male or female aged ≥ 18 years
	IC2	Type 1 or Type 2 diabetes mellitus for more than one year with an HbA1c ≥ 60 mmol/mol
	IC3	Incident foot ulcer(s) located below the level of the malleoli
	IC4	Able and willing to undertake home blood glucose monitoring and administer insulin up to 4 times daily under the supervision of the diabetes nurse specialist
	IC5	Able and willing to provide informed consent to participate in the study
Exclusion	EC1	Ulcers with radiological features of osteomyelitis
	EC2	Significant peripheral vascular disease under consideration for re-vascularisation
	EC3	Significant bone deformity as determined by the investigator which may delay wound healing
	EC4	Non-adherence to standard care
	EC5	Any other disease or condition in the opinion of the investigator could make them unsuitable for entry
Full	FC	All inclusion criterion satisfied (5-Yes) and all exclusion criterion satisfied (5-No)

EC, exclusion criteria; HbA1c, glycosylated haemoglobin; IC, inclusion criteria.

Table 2 Characteristics of participants included in both substudies

	Substudy 1 Participants (n=78)	Substudy 2 Participants (n=15)
Median age (IQR), years	58.5 (18.2)	51 (16.5)
Women, <i>n</i> (%)	30 (38.5)	6 (40)
Median baseline HbA1c (IQR), mmol/mol	Not collected	94 (44.8)
Median baseline FCBS (IQR), mmol/L	Not collected	9 (9.75)
Ethnicity, <i>n</i> (%)		
Asian	5 (6.4)	1 (6.7)
European	27 (34.6)	4 (26.7)
Māori	13 (16.7)	2 (13.3)
Pacific	33 (42.3)	7 (46.7)
Type 1 diabetes mellitus, <i>n</i> (%)	12 (15.4)	3 (20.0)
Type 2 diabetes mellitus, <i>n</i> (%)	66 (84.6)	12 (80.0)
Duration of diabetes, <i>n</i> (%)		
0-10 years	15 (19.2)	3 (20.0)
10-20 years	31 (39.7)	6 (40.0)
20-30 years	22 (28.2)	4 (26.7)
30-40 years	7 (9.0)	2 (13.3)
40-50 years	3 (3.9)	0 (0.0)
Peripheral neuropathy, <i>n</i> (%)	Not collected	15 (100.0)
Peripheral vascular disease, <i>n</i> (%)	Not collected	1 (6.7)

IQR, interquartile range; HbA1c: glycosylated haemoglobin; FCBS: fasting capillary blood sugar

Table 3 Unadjusted and adjusted regression results for five ulcer-healing endpoints against fasting capillary blood sugar

Ulcer outcome	Adjustment status	Change in outcome per 1 mmol/L increase in FCBS		Equivalent effect size	P	
		Estimate	95% CI			
Ulcer area (cm ²) †	Unadjusted	0.010	[-0.041,0.062]	0.004	0.69	
	Adjusted§	0.004	[-0.024,0.032]	0.001	0.77	
Log(ulcer area+0.01) †	Unadjusted	0.134	[0.049,0.214]	0.10	0.002	**
	Adjusted§	0.114	[0.020,0.194]	0.08	0.0145	*
Rate of absolute change (cm ² /week) †	Unadjusted	0.011	[-0.018, 0.041]	0.01	0.45	
	Adjusted¶	0.011	[-0.019,0.041]	0.01	0.69	
Rate of relative change (%/week ⁻¹) †	Unadjusted	5.7	[1.1, 10.3]	0.06	0.015	*
	Adjusted¶	5.7	[1.1,10.3]	0.06	0.015	*
Hazard ratio‡	Unadjusted	0.90	[0.74,1.10]	N/A	0.31	
	Adjusted † †	0.88	[0.71,1.08]	N/A	0.21	

† Mixed linear regression, additive change reported ‡ Cox regression, multiplicative change reported

§ Adjusted for baseline value and time since Visit 1. ¶ Adjusted for time since Visit 1 only.

† † Adjusted for baseline ulcer area

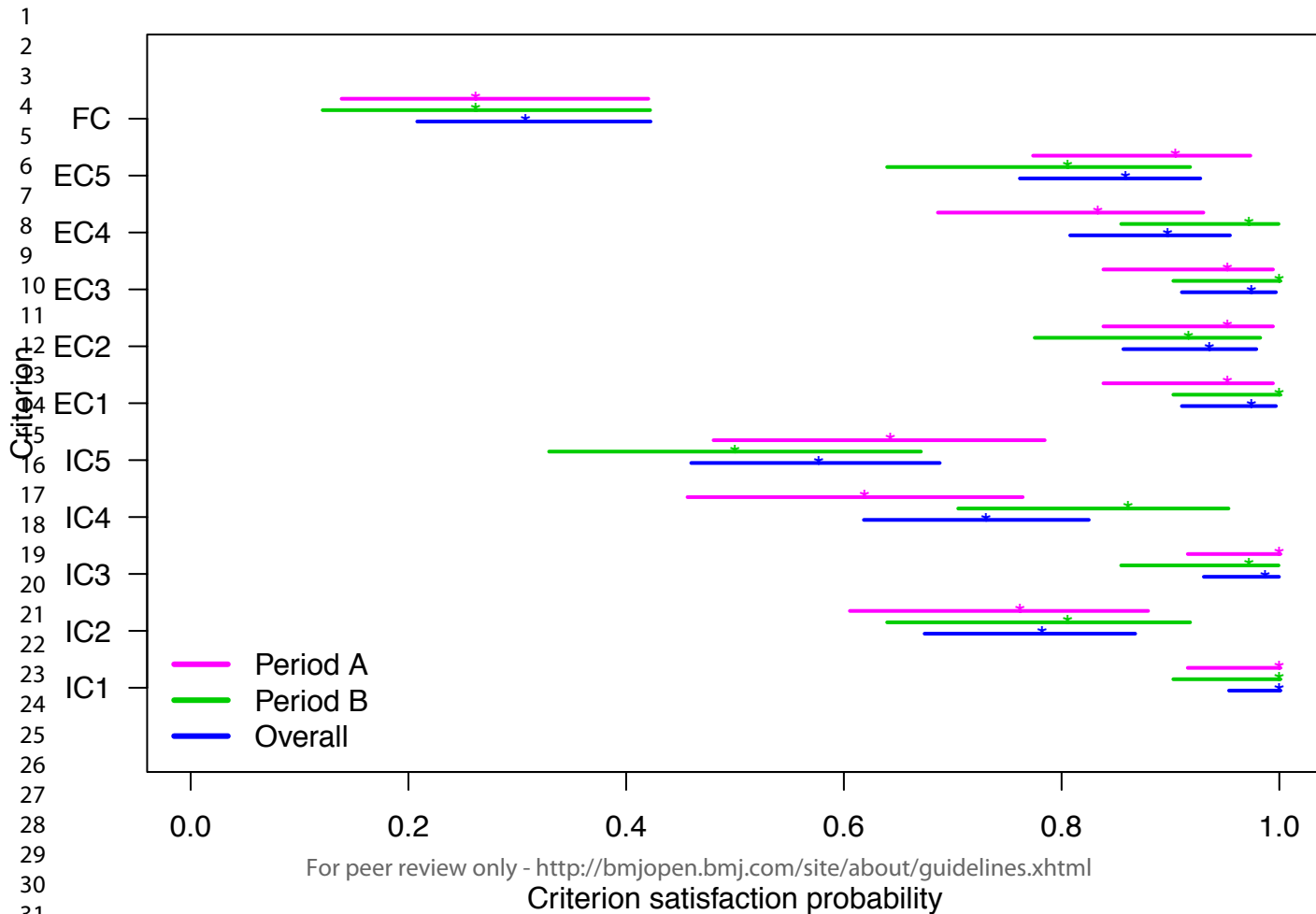
FCBS: fasting capillary blood sugar; CI: confidence interval

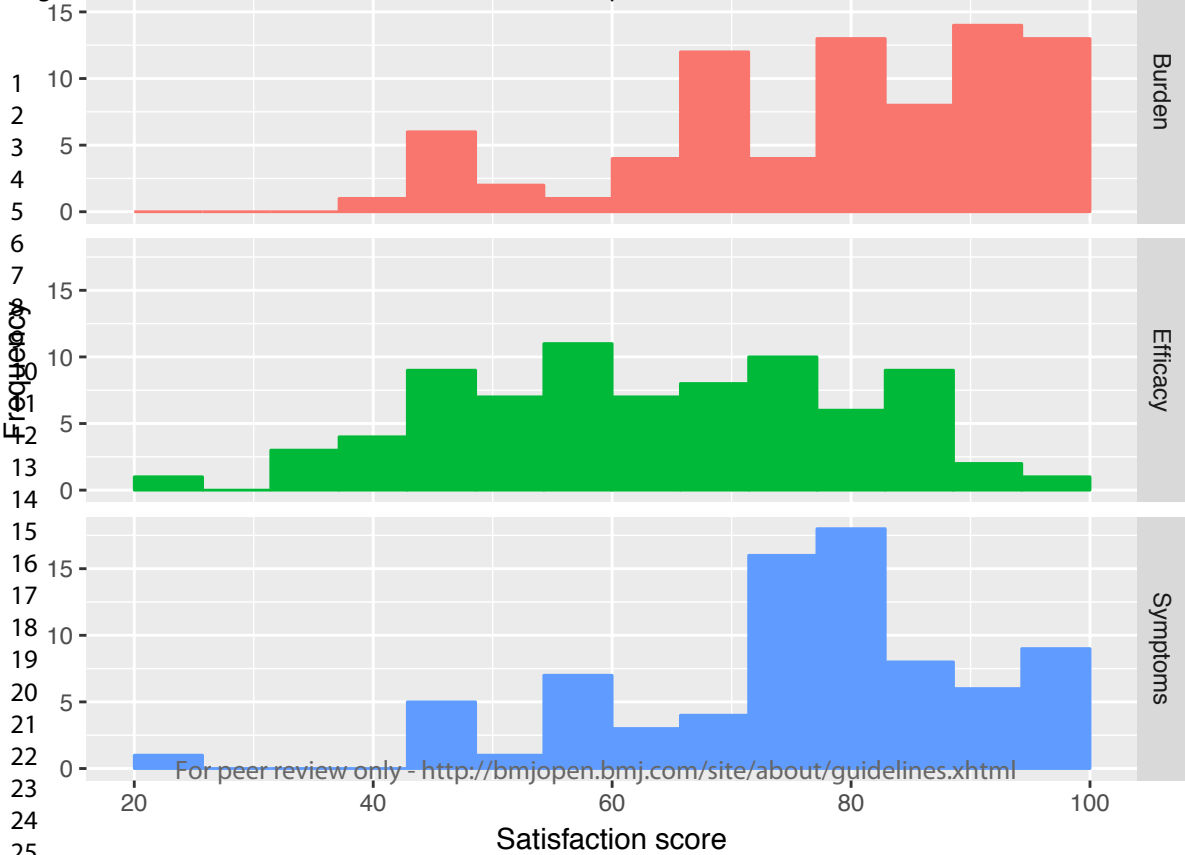
Table 4 Estimated Pearson correlation coefficients between Diabetic Foot Ulcer Scale-Short Form subscale scores and both log(ulcer area+0.01) and EuroQol 5 Dimension 5 Level

Correlates DFS-SF subscale	log(ulcer area+0.01)†			EQ-5D-5L VAS		
	Est.	95% CI	P	Est.	95% CI	P
Leisure	-0.48	[-0.66, -0.25]	<0.0001 ***	0.50	[0.23, 0.77]	0.0002 ***
Physical health	-0.48	[-0.66, -0.26]	<0.0001 ***	0.64	[0.44, 0.84]	<0.0001 ***
Dependence	-0.54	[-0.71, -0.33]	<0.0001 ***	0.58	[0.36, 0.81]	<0.0001 ***
Negative emotion	-0.64	[-0.80, -0.42]	<0.0001 ***	0.38	[0.04, 0.72]	0.03 *
Worried about ulcers	-0.54	[-0.71, -0.32]	<0.0001 ***	0.62	[0.38, 0.86]	<0.0001 ***
Bothered by ulcer care	-0.46	[-0.63, -0.24]	0.0001 ***	0.36	[0.04, 0.69]	0.03 *

† Area in cm².

CI, confidence interval; DFS-SF: Diabetic Foot Ulcer Scale-Short Form; EQ-5D-5L: EuroQol 5 Dimension 5 Level; VAS: visual analogue scale.





For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No.	Recommendation	Page No.	Relevant text from manuscript
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	Abstract	Two substudies: one cross-sectional, one single-arm prospective
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Abstract	<p><i>What was done:</i></p> <p>Setting Single-centre secondary care diabetic foot clinic located in New Zealand.</p> <p>Participants Substudy 1: 78 participants consisting of all people ≥ 18 years with a diabetic foot ulcer presenting to the clinic over 35 weeks in 2015. Substudy 2: 15 participants from Substudy 1 consenting to intensive insulin therapy.</p> <p>Intervention None in Substudy 1. Intensive insulin therapy combined with standard podiatry care over 24 weeks.</p> <p>Outcome measures Substudy 1: Proportion of participants satisfying potential entry criteria to the RCT. Substudy 2: Glycaemic control (fasting capillary blood sugar; FCBS); time to index ulcer healing; index ulcer size; medication satisfaction (DiabMedSat scores); and health-related quality of life (HrQOL; EQ-5D-5L and DFS-SF scores)..</p> <p><i>What was found:</i></p> <p>Results Proportion in Substudy 1 fulfilling all entry criteria was 31% (95% CI 21 to 42). FCBS values declined between baseline and study end (difference -3.7 mmol/L, 95% CI -6.5 to -0.8), suggesting adherence to therapeutic regimen; 83% (95% CI 44 to 95) of ulcers healed by 24 weeks. FCBS correlated negatively, and weakly-to-moderately, with medication satisfaction. Log(ulcer area in $\text{cm}^2 + 0.01$) was most sensitive to FCBS changes, correlating negatively, and moderately-to-strongly with QOL measures. Detecting a 30% between-group difference in the ulcer area logarithm (80% power, $\alpha = 5\%$) requires 220 participants per arm, achievable within 1 year with 15 centres similar to the study setting.</p>

Introduction				
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5	[...]clinical practice guidelines from the Society for Vascular Surgery, American Podiatric Medical Association, and Society for Vascular Medicine now recommend adequate glycaemic control (glycosylated haemoglobin [HbA1c] <53 mmol/mol) to reduce the incidence of diabetic foot ulcers 1. The evidence that improved glycaemic control can accelerate the healing of foot ulcers and reduce the incidence of ulceration and amputation remains observational 11-17. There is no randomised trial evidence that tight glycaemic control improves ulcer wound healing 18. A previous feasibility study in this area 19 concluded 15 years ago that a definitive randomised trial in this area was not feasible, possibly due to exacting entry criteria. To investigate further whether a randomised controlled trial (RCT) evaluating the efficacy of intensive insulin therapy on diabetic foot ulcer healing is possible, this two-part feasibility study sought appropriate but less stringent trial entry criteria to improve accrual rates (Substudy 1) and sought appropriate endpoints for such a trial (Substudy 2)..
Objectives	3	State specific objectives, including any prespecified hypotheses	6	The primary objective of Substudy 1 was to estimate the proportion of participants satisfying the entry criteria for the planned RCT.. [...]Secondary objectives were to estimate the length of the recruitment period for the intended RCT, and determine participant satisfaction with their diabetes medication. In Substudy 2, the main objective was to determine a primary endpoint for the RCT by analysing sensitivity of ulcer healing-related outcomes [...]to glycaemic control accounting for standard podiatry care. The ulcer healing outcome measure with the best association with glycaemic control was to be assessed for convergent validity with an established foot ulcer scale. Secondary objectives included examining the relationship between adherence (using glycaemic control as proxy), as well as attendance, and satisfaction with diabetes medication, and evaluating health-related quality of life (HrQOL) measures in this population.
Methods				
Study design	4	Present key elements of study design early in the paper	7	<i>First paragraph of Setting and Study Design section:</i> Substudy 1 was a cross-sectional study enrolling all people aged ≥ 18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks. Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (below) and treating them with intensive insulin therapy for 24 weeks.
Setting	5	Describe the setting, locations, and relevant	7-8	<i>Setting, location and relevant dates, including periods of recruitment, follow-up:</i>

1
2
3
4
5
6
7
8
9
10
11
12

dates, including periods of recruitment, exposure, follow-up, and data collection

The study was conducted at the Counties Manukau Health (CMH) Diabetes Foot Clinic in Auckland, New Zealand between February and October 2015, with Substudy 2 follow-up to February 2016. [...]Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (below) and treating them with intensive insulin therapy for 24 weeks.

Data collection:
Their foot wound(s) (index ulcers) were inspected, and entry criteria status (Table 1) and demographic data recorded. In Substudy 2, visits occurred weekly between weeks 1 (baseline) and 4, then at 6, 8, 12, 16, 20 and 24 weeks, or until the index ulcers healed.

13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42

Participants 6 (a) Cohort study—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up
Cross-sectional study—Give the eligibility criteria, and the sources and methods of selection of participants

7 + Table 1 *Cross-sectional:*

Substudy 1 was a cross-sectional study that enrolled all people aged ≥ 18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks.

Longitudinal:

Substudy 2 was a single-arm interventional study that enrolled Substudy 1 participants meeting all entry criteria (Table 1)

Table 1 Entry criteria assessed in Substudy 1 (primary objective)

Criteria	Notation	Description
Inclusion	IC1	Male or female aged ≥ 18 years
	IC2	Type 1 or Type 2 diabetes mellitus for more than one year with an HbA _{1c} ≥ 60 mmol/mol ($\geq 7.6\%$)
	IC3	Incident foot ulcer(s) located below the level of the malleoli
	IC4	Able and willing to undertake home blood glucose monitoring and administer insulin up to 4 times daily under the supervision of the diabetes nurse specialist
	IC5	Able and willing to provide informed consent to participate in the study
Exclusion	EC1	Ulcers with radiological features of osteomyelitis
	EC2	Significant peripheral vascular disease under consideration for re-vascularisation
	EC3	Significant bone deformity as determined by the investigator which may delay wound healing
	EC4	Non-adherence to standard care
	EC5	Any other disease or condition in the opinion of the investigator could make them unsuitable for entry
Full Criteria	FC	All inclusion criterion satisfied (5-Yes) and all exclusion criterion satisfied (5-No)

EC, exclusion criteria; HbA_{1c}, glycosylated haemoglobin; IC, inclusion criteria.

(b) *Cohort study*—For matched studies, give matching criteria and number of exposed and unexposed
Case-control study—For matched studies, give matching criteria and the number of controls per case

Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8	<p>Study procedures and outcomes</p> <p>Substudy 1 participants completed a Diabetes Medication Satisfaction (DiabMedSat) questionnaire. Their foot wound(s) (index ulcers) were inspected, and entry criteria status (Table 1) and demographic data recorded. In Substudy 2, [...] [t]he following were undertaken at each visit: ulcer examination: digital photographic planimetry of ulcer area (using the SilhouetteStar camera, ARANZ Medical, New Zealand); FCBS measurement (in mmol/L); medication review; and adverse events assessment. FCBS was used in the analyses as a measure of glycaemic control and as a proxy for adherence. HbA1c was assessed at baseline and at the end of trial, providing an assessment of chronic hyperglycaemia. [...]. In addition, participants completed three questionnaires at each visit: DiabMedSat, EuroQol 5 Dimensions 5 Levels (EQ-5D-5L) and Diabetic Foot Ulcer Scale-Short Form (DFS-SF).</p>
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group		<p><i>See 7 above. No comparator group is used in this feasibility study.</i></p>
Bias	9	Describe any efforts to address potential sources of bias	9	<p><i>Substudy 1 – bias due to differential initial and later recruitment:</i></p> <p>A Poisson exact test was used to compare recruitment rates over two recruitment periods and mixed logistic regression used to compare differences in entry criteria fulfilment between the periods.</p> <p><i>Substudy 2 – bias due to confounding by time::</i></p> <p>Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at each visit [...] and glycaemic control. (The value of 0.01 in the logarithmic endpoint was chosen on the basis of the data to improve the normality of the outcome.) [...]. Time- and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential confounding, as tight management in a foot clinic may promote ulcer healing in several ways.</p>
			10	<p><i>Substudy 2 – bias due to missingness:</i></p>

[The non-survival] analyses all involved mixed models on longitudinal data, known to alleviate selection bias due to missingness.

The relevant sources of bias for the main study (for which this is a feasibility study) is bias due to loss to follow-up or missingness. Accordingly, we have attempted to briefly characterise participant attendance:

Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the 24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS, measure of ulcer area and DiabMedSat subscores using mixed logistic regression.

Study size	10	Explain how the study size was arrived at	7	<p>Accrual period and sample size</p> <p>The accrual period for Substudy 1 (35 weeks) was selected as long as possible while respecting contractual obligations with the study funder.[...]</p> <p>Substudy 2 aimed to recruit 20 participants. Assuming 10% attrition, this number is sufficient to estimate the standard deviation of a continuous variable (e.g. ulcer area) with a coefficient of variation inferior to 0.2. This criterion is consistent with the goal of identifying a sensitive primary endpoint endowed with good precision.</p>
------------	----	---	---	---

Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9-10	<p><i>Substudy 1:</i></p> <p>Descriptive statistics were produced for [...] DiabMedSat subscores. Multivariate analysis of variance (MANOVA) was used to detect differences in subscores based on demographic or participant characteristics. [...] [M]ixed logistic regression [was] used to compare differences in entry criteria fulfilment between the periods. Indicators of criterion satisfaction were fitted jointly by themselves in a first model, and interacting with period in a second model.</p> <p><i>Substudy 2:</i></p> <p>[...]</p> <p>Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at each visit (ulcer area in cm², log[ulcer area+0.01], absolute and relative rates of change in ulcer area, where “log” is the natural logarithm function) and glycaemic control. (The value of 0.01 in the logarithmic endpoint was chosen based on the data to improve the normality of the outcome.) FCBS was the fixed effect and participant the random</p>
------------------------	----	--	------	---

effect. [...]The most sensitive outcome was selected by consideration of its time-adjusted observed significance level and its equivalent Cohen's effect size where appropriate. To estimate correlations and their 95% confidence intervals (CI) using longitudinal data, we fitted outcomes jointly using a heterogeneous compound symmetry covariance model, and using only intercepts as fixed effects. We thus obtained correlations between DFS-SF subscores and selected ulcer healing outcome; between DiabMedSat subscores and FCBS; and between DFS-SF subscores and EQ-5D-5L. (*And before any non-statistical reviewer vociferates that this makes no sense, they should talk to a statistician.*)

Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the 24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS, measure of ulcer area and DiabMedSat subscores using mixed logistic regression. The final attendance model was selected using AIC.

Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9	<p><i>See 11 above, to which we add:</i></p> <p><i>Substudy 1:</i></p> <p>Descriptive statistics were produced for participant demographic characteristics, recruitment rate, entry criteria fulfilment [...].</p> <p>A Poisson exact test was used to compare recruitment rates over two recruitment periods [...].</p> <p><i>Substudy 2:</i></p> <p>Time-to-healing was considered as a fifth possible outcome, and Cox regression used to estimate the hazard ratio of ulcer healing under changes in FCBS, taken as a time-dependent covariate.</p> <p><i>Substudy 2 – in regard to confounding:</i></p> <p>Time- and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential confounding, as tight management in a foot clinic may promote ulcer healing in several ways.</p>
		(b) Describe any methods used to examine subgroups and interactions	9	<p><i>Applies to Substudy 1 only:</i></p> <p>[...] [M]ixed logistic regression [was] used to compare differences in entry criteria fulfilment between the periods. Indicators of criterion satisfaction were fitted jointly by themselves in a first model, and interacting with period in a second model. Models were compared using a deviance test.</p>

1				
2		(c) Explain how	10	(Note: No missing data in Substudy 1).
3		missing data were		
4		addressed		No data were missing for time-to-healing analyses. Other analyses all involved mixed models on longitudinal data, known to alleviate selection bias due to missingness
5				
6				
7		(d) Cohort	10	Cross-sectional Substudy 1: not applicable
8		study—If		
9		applicable,		Longitudinal Substudy 2:
10		explain how loss		Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the
11		to follow-up was		24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS,
12		addressed		measure of ulcer area and DiabMedSat subscores using mixed logistic regression. The final attendance model was
13				selected using AIC.
14		Cross-sectional		
15		study—If		
16		applicable,		See also 12 (c).
17		describe analytical		
18		methods taking		
19		account of		
20		sampling strategy		
21				
22		(e) Describe any	10	Arguably, our main analysis for Substudy 2 is a sensitivity analysis:
23		sensitivity		Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at
24		analyses		each visit (ulcer area in cm ² , log[ulcer area+0.01], absolute and relative rates of change in ulcer area) and glycaemic
25				control. (The value of 0.01 in the logarithmic endpoint was chosen based on the data to improve the normality of the
26				outcome.) FCBS was the fixed effect and participant the random effect. Time-to-healing was considered as a fifth
27				possible outcome. A Kaplan-Meier estimate of ulcer persistence probability was produced and Cox regression used
28				to estimate the hazard ratio of ulcer healing under changes in FCBS, taken as a time-dependent covariate. Time-
29				and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential
30				confounding, as tight management in a foot clinic may promote ulcer healing in several ways. The most sensitive
31				outcome was selected by consideration of its adjusted observed significance level and its equivalent Cohen's effect
32				size where appropriate.
33				
34				
35				
36				
37				
38		Results		
39	Participants	13*	(a) Report	10
40			numbers of	Seventy-eight participants (all unique clinic visitors during the recruitment period) were enrolled in Substudy 1.
41				
42				
43				
44				
45				
46				

				<p>individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed</p> <p><i>Substudy 2:</i> (page 8): Substudy 2 was a single-arm interventional study that enrolled Substudy 1 participants meeting all entry criteria [...]. (page 11): Seventy-eight participants (all unique clinic visitors during the recruitment period) were enrolled in Substudy 1 [...]. (page 12 – this is apparently out of order because it is a feasibility result): Twenty-four participants (30.8% [95% CI 20.8, 42.2]) met all criteria. (page 12): Seventeen participants entered Substudy 2. One withdrew consent after Visit 1 and another was healed on Visit 1, leaving 15 participants in the analysis set. (page 12): Two participants with unhealed ulcers did not attend the 24-week visit.</p>
		(b) Give reasons for non-participation at each stage	11	<p><i>Substudy 2:</i> One withdrew consent after Visit 1 and another was healed on Visit 1, leaving 15 participants in the analysis set.</p>
		(c) Consider use of a flow diagram		<i>The flow appears to us to be simple enough not to warrant a diagram.</i>
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7	<p><i>Substudy 1 (see also Table 1):</i> Mean age was 57.3 years (SD 14.0). The majority were men and most were of Pacific or European ethnicity. (Table 2).</p> <p><i>Substudy 2 – see Table 2</i></p>
		(b) Indicate number of participants with missing data for	11	<p><i>Substudy 2:</i> Clinical constraints or patient choice prevented some measurements from being taken, yielding completeness proportions for specific outcomes between 78% (DMS and FCBS) and 85% (ulcer area).</p>

		each variable of interest		
	(c) Cohort study—	Summarise follow-up time (eg, average and total amount)	11	<i>Substudy 2:</i> Completeness Of the 102 scheduled visits, 12 were missed [...].The average follow-up time to healing or last visit was 17.6 weeks (SD 7.8).
Outcome data	15*	<i>Cohort study—</i> Report numbers of outcome events or summary measures over time	12	<i>Substudy 2:</i> Twelve Substudy 2 participants experienced complete healing between weeks 3 and 24; the remaining three had unhealed ulcers at the time of their last visit on or before week 24. Median ulcer healing time was 7 weeks. The Kaplan-Meier estimate of the proportion of ulcers not healing by week 24 was 16.7% (95% CI 5.0–56.1%). <i>Table 4 for summary correlations</i>
		<i>Case-control study—</i> Report numbers in each exposure category, or summary measures of exposure		
		<i>Cross-sectional study—</i> Report numbers of outcome events or summary measures	8	<i>Substudy 1:</i> Recruitment rate and entry criteria Period A (as defined earlier) finished after 6 weeks, and was the most active with 42 participants recruited compared with 36 participants over the remaining 29 weeks, forming Period B. All participants satisfied criterion IC1, 78.2% met IC2, 98.7% met IC3, 73.1% met IC4 and 57.7% met IC5. Twenty-four participants (30.8% [95% CI 20.8, 42.2]) met all criteria. [...] Diabetes Medication Satisfaction

Higher scores on the DiabMedSat subscales indicate increased satisfaction for all three subscales. The subscale histograms are displayed in Figure 2. Median score for the Efficacy subscale was 63.3 (interquartile range [IQR] 26.7), and was numerically lower than those of the Burden (81.8 [IQR 22.8]) and Symptom subscales (80.0 [IQR 16.0]).

Main results

16

(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included

Table 3

Table 1 Unadjusted and adjusted regression results for five ulcer-healing endpoints against fasting capillary blood sugar

Ulcer outcome	Adjustment status	Change in outcome per 1 mmol/L increase in FCBS		Equivalent effect size	P	
		Estimate	95% CI			
Ulcer area (cm ²) †	Unadjusted	0.010	[-0.041,0.062]	0.004	0.69	
	Adjusted§	0.004	[-0.024,0.032]	0.001	0.77	
Log(ulcer area+0.01) †	Unadjusted	0.134	[0.049,0.214]	0.10	0.002	**
	Adjusted§	0.114	[0.020,0.194]	0.08	0.0145	*
Rate of absolute change (cm ² /week) †	Unadjusted	0.011	[-0.018, 0.041]	0.01	0.45	
	Adjusted¶	0.011	[-0.019,0.041]	0.01	0.69	
Rate of relative change (%/week ⁻¹) †	Unadjusted	5.7	[1.1, 10.3]	0.06	0.015	*
	Adjusted¶	5.7	[1.1,10.3]	0.06	0.015	*
Hazard ratio‡	Unadjusted	0.90	[0.74,1.10]	N/A	0.31	
	Adjusted † †	0.88	[0.71,1.08]	N/A	0.21	

† Mixed linear regression, additive change reported ‡ Cox regression, multiplicative change reported

§ Adjusted for baseline value and time since Visit 1. ¶ Adjusted for time since Visit 1 only.

† † Adjusted for baseline ulcer area

FCBS: fasting capillary blood sugar; CI: confidence interval

(b) Report category boundaries when continuous variables were categorized

Not applicable.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	11	<i>Substudy 1:</i> The A-to-B rate ratio of eligibility to a full study, however, was 4.1 (95% CI 1.8-9.2). The eligibility rate in period B was 0.45 participant per week (95% CI 0.24-0.77).
--	--	--	----	---

Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses		<i>Not applicable.</i>
----------------	----	--	--	------------------------

Discussion

Key results	18	Summarise key results with reference to study objectives	10	This feasibility study showed that by using less stringent entry criteria, more people with diabetic foot ulcers attending our foot clinic (30%) may be eligible for a proposed RCT investigating the efficacy of an intensive insulin regimen on diabetic foot ulcer healing than reported in a previous feasibility study (0.5% [1/200]) 19. The study also showed that the primary endpoint of log(ulcer area+0.01), with ulcer area measured using digital photographic planimetry, may be sensitive enough to glycaemic control to base an RCT on in this population.
-------------	----	--	----	--

Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision.	11	<i>(No biasing issue was identified, but see 21.)</i> Some limitations temper the interpretation of our results. Firstly, Substudy 2 did not reach the target sample size of 20. This shortfall was largely due to availability of personnel and funder timelines. These issues, particularly around staffing, have the potential to affect any future RCT. Moreover, the study was conducted at a single centre in New Zealand, limiting generalisability to other populations and settings with different care pathways for diabetes and diabetic complications.
-------------	----	---	----	---

		Discuss both direction and magnitude of any potential bias		
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16	The study has produced evidence of moderate quality that tight glycaemic control may be beneficial for ulcer healing, and that an outcome derived from ulcer area could be sensitive to glycaemic control as expressed by FCBS. However, we have also demonstrated that a reasonably powered trial would require the involvement of a large number of centres, increasing the complexity of such an undertaking.
Generalisability	21	Discuss the generalisability (external validity) of the study results	16	[...] [T]he study was conducted at a single centre in New Zealand, limiting generalisability to other populations and settings with different care pathways for diabetes and diabetic complications.
Other information				
Funding	22	Give the source of funding and the role of the funders for the present study and, if	17	Funding This work was supported by the Health Research Council of New Zealand's Feasibility Study grant number 14/605.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

applicable, for
the original
study on which
the present
article is based

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

For peer review only

BMJ Open

Does intensive glycaemic control promote healing in diabetic foot ulcers? A feasibility study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-029009.R1
Article Type:	Original research
Date Submitted by the Author:	08-Aug-2019
Complete List of Authors:	Dissanayake, Ajith; Counties Manukau Health, Endocrinology Vandal, Alain; Auckland University of Technology, Faculty of Health and Environmental Sciences; Counties Manukau Health, Ko Awatea Boyle, Veronica; Counties Manukau District Health Board, Diabetes and Endocrinology Park, Diane; Auckland University of Technology, Faculty of Health and Environmental Sciences Milne, Bobbie; Middlemore Clinical Trials Grech, Roger; Counties Manukau Health, Podiatry Ng, Anthony; Counties Manukau Health, Podiatry
Primary Subject Heading:	Diabetes and endocrinology
Secondary Subject Heading:	Research methods
Keywords:	Diabetic foot < DIABETES & ENDOCRINOLOGY, WOUND MANAGEMENT, STATISTICS & RESEARCH METHODS

SCHOLARONE™
Manuscripts

TITLE

Does intensive glycaemic control promote healing in diabetic foot ulcers? A feasibility study

AUTHOR DETAILS

Ajith Dissanayake*

Consultant endocrinologist, Counties Manukau District Health Board

Alain C. Vandal*

Associate Professor, Department of Statistics, The University of Auckland

Veronica Boyle

Endocrinology Registrar, Counties Manukau District Health Board

Diane Park

Research Assistant, Faculty of Health and Environmental Sciences

Auckland University of Technology

Bobbie Milne

Research Nurse, Middlemore Clinical Trials

Roger Grech

Podiatrist, Counties Manukau District Health Board

Anthony Ng

Podiatrist, Counties Manukau District Health Board

* A. Dissanayake and A.C. Vandal should be considered joint lead authors

CORRESPONDING AUTHOR

Associate Professor Alain C. Vandal

PO Box 93311

Research and Evaluation Office, Ko Awatea, Counties Manukau Health,
Otahuhu, Auckland, New Zealand.

Tel: +64 9 921 9999 ext 7726

Email: alain.vandal@auckland.ac.nz

WORD COUNT

333 (Abstract)

3152 (Main text)

ABBREVIATED TITLE

Glycaemic control for diabetic foot ulcers

ABSTRACT

Introduction One in four diabetes patients will develop a foot ulcer over their lifetime. The role of glycaemic control in the healing of foot ulcers in diabetes patients is not supported by randomised controlled trial (RCT) data.

Objectives: To determine the feasibility of an RCT of glycaemic control with intensive insulin therapy in diabetic foot ulcer, by assessing: entry criteria; adherence to control regimen; medication satisfaction; sensitivity of different ulcer-healing endpoints to glycaemic control.

Design Two substudies: one cross-sectional, one single-arm prospective.

Setting Single-centre secondary care diabetic foot clinic in New Zealand.

Participants Substudy 1: 78 participants consisting of all people ≥ 18 years with a diabetic foot ulcer presenting to the clinic over 35 weeks in 2015. Substudy 2: 15 participants from Substudy 1 consenting to intensive insulin therapy.

Intervention Substudy 1: none. Substudy 2: Intensive insulin therapy with standard podiatry care over 24 weeks.

Outcome Substudy 1: Proportion of participants satisfying potential RCT entry criteria; medication satisfaction (DiabMedSat). Substudy 2: Fasting capillary blood glucose (FCBG); index ulcer healing time; index ulcer size; health-related quality of life (HRQoL; EQ-5D-5L and DFS-SF).

Results Proportion in Substudy 1 satisfying all entry criteria was 31% (95% CI 21 to 42). FCBG values decreased between baseline and study end (difference -3.7 mmol/L, 95% CI -6.5 to -0.8), suggesting adherence to control regimen; 83% (95% CI 44 to 95) of ulcers healed by 24 weeks. FCBG correlated negatively with medication satisfaction. Ulcer area logarithm was most sensitive to FCBG changes, displaying significant negative correlation with HRQoL outcomes. Detecting a 30%

1
2
3 between-group difference in this outcome (80% power, $\alpha=5\%$) requires 220
4
5 participants per arm, achievable within 1 year with 15 centres similar to study setting.
6

7
8 **Conclusions** An adequately powered RCT requires co-operation between a large
9
10 number of centres. Ulcer area logarithm should be primary endpoint.
11

12 **Trial registration** ANZCTR ACTRN12617001414303
13
14

15 16 17 **Strengths and limitations of this study** 18

- 19
20 • First study in 15 years to examine the feasibility of a definitive randomised
21
22 controlled trial (RCT) of intensive insulin therapy for diabetic foot ulcers.
23
- 24 • Use of imaging techniques allowed assessment of various ulcer-size-related
25
26 outcomes as potential primary endpoints for an RCT.
27
- 28 • The target sample size of 20 for Substudy 2, examining the relationship
29
30 between ulcer healing and glycaemic control, was not achieved during the
31
32 study period.
33
- 34 • The study was conducted in a single centre in New Zealand, limiting
35
36 generalisability to other populations and settings with different pathways for
37
38 diabetes and diabetic complications.
39
40
41
42
43
44

45 **KEYWORDS**

46
47 Diabetic Foot
48 Blood Glucose
49 Wound Healing
50 Outcome Assessment (Health Care)
51 Sample Size
52
53
54
55
56
57
58
59
60

Introduction

One in four diabetes patients will develop a foot ulcer in their lifetime [1]. Diabetic foot ulcer is one of the most significant complications of diabetes [1–4] and often responds poorly to treatment, with only one-third of those managed in secondary care healing by 3 months and one-half at 6 months [5]. Non-healing ulcers are an important cause of lower extremity amputation. Most notable causes of foot ulceration are peripheral neuropathy, peripheral vascular disease and structural foot disease [6,7]. These factors are linked to hyperglycaemia [8–10] and pathological states associated with diabetes.

A meta-analysis of nine randomised controlled trials in nearly 11,000 participants showed that intensive glycaemic control potentially improved the incidence of diabetic foot ulcer, decreased the risk of amputation and improved sensory nerve function compared with less intensive control [11]. As a result, clinical practice guidelines from the Society for Vascular Surgery, American Podiatric Medical Association, and Society for Vascular Medicine now recommend adequate glycaemic control (glycosylated haemoglobin [HbA1c] <53 mmol/mol) to reduce the incidence of diabetic foot ulcers [1].

The evidence that improved glycaemic control can accelerate the healing of foot ulcers and reduce the incidence of ulceration and amputation remains observational [12–18]. There is no randomised trial evidence that tight glycaemic control improves ulcer wound healing [11]. A previous feasibility study in this area concluded 15 years ago that a definitive randomised trial in this area [19] was not feasible, possibly due to exacting entry criteria. To investigate further whether a randomised controlled trial (RCT) evaluating the efficacy of intensive insulin therapy on diabetic foot ulcer healing is possible, this two-part feasibility study sought appropriate but less

1
2
3 stringent trial entry criteria to improve accrual rates (Substudy 1) and sought
4 appropriate endpoints for such a trial (Substudy 2). In Substudy 2, presence or
5 absence of peripheral neuropathy, peripheral vascular disease and foot deformities
6 were noted as they were identified factors in the genesis of diabetic foot ulcers. Data
7 on microcirculation in the feet were also collected using novel laser imaging
8 technology. This report focuses on data from Substudy 1 and Substudy 2; the laser
9 imaging data analysis will be reported separately.

10
11
12
13
14
15
16
17
18
19 The primary objective of Substudy 1 was to estimate the proportion of participants
20 satisfying the entry criteria for the planned RCT. Entry criteria used in the previous
21 feasibility study [19] were revised as follows: removing the requirement for chronic
22 ulcers (>4 weeks); removing the ulcer size criterion (25-2500 mm²), and including
23 participants with a higher HbA1c (≥ 58 mmol/mol), renal disease and/or a history of
24 hypoglycaemia. Secondary objectives were to estimate the length of the recruitment
25 period for the intended RCT, and determine participant satisfaction with their
26 diabetes medication.

27
28
29
30
31
32
33
34
35
36
37
38 In Substudy 2, the main objective was to determine a primary endpoint for the RCT
39 by analysing sensitivity of ulcer healing-related outcomes (ulcer area, change in
40 ulcer area, and time to complete healing) to glycaemic control accounting for
41 standard podiatry care. The ulcer healing outcome measure with the best
42 association with glycaemic control was to be assessed for convergent validity with an
43 established foot ulcer scale. Secondary objectives included examining the
44 relationship between adherence (using glycaemic control as proxy), as well as
45 attendance, and satisfaction with diabetes medication, and evaluating health-related
46 quality of life (HrQOL) measures in this population.

Materials and methods

Setting and Study Design

The study was conducted at the Counties Manukau Health (CMH) Diabetes Foot Clinic in Auckland, New Zealand between 2 February and 28 September 2015, with Substudy 2 follow-up to 20 February 2016. Substudy 1 was a cross-sectional study enrolling all people aged ≥ 18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks. Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (Table 1) and treating them with intensive insulin therapy for 24 weeks. The protocol was approved by the New Zealand Northern A Health and Disability Ethics Committee (ref: 14/NTA/195). All participants provided informed written consent.

Accrual periods and sample size

The accrual period for Substudy 1 (35 weeks) was selected as long as possible while respecting contractual obligations with the study funder. To distinguish between recruitment of existing patients and new patients, we prospectively defined two recruitment periods. During the first period of recruitment (Period A) all patients attending the diabetic foot clinic were to be recruited. Period A was to finish from the moment patients already attending the clinic were recruited, at which point only newly enrolled clinic patients started to be recruited in the study, giving way to Period B (Figure 1).

<< Figure 1 approximately here. >>

Substudy 2 aimed to recruit 20 participants. Assuming 10% attrition, this number is sufficient to estimate the standard deviation of a continuous variable (e.g. ulcer area)

1
2
3 with a coefficient of variation inferior to 0.2. This criterion is consistent with the goal
4
5 of identifying a sensitive primary endpoint endowed with good precision.
6
7

8 <<Table 1 approximately here>>
9

10 **Patient and Public Involvement**

11
12
13 Patients' priorities, experience and preferences were taken into account through the
14
15 clinical experience of the study team, who devised the research question associated
16
17 with the intended full study. The participants were not involved in the design of this
18
19 study; however, one of the objectives of the feasibility study was to obtain feedback
20
21 from participants that would inform the design of a potential larger study. Patients
22
23 were not involved in the recruitment or conduct of the study. The participants will be
24
25 provided access to the research paper. The results of the study will be displayed in
26
27 the podiatry clinic where the participants attend.
28
29
30

31 **Study procedures and outcomes**

32
33
34 Substudy 1 participants completed a Diabetes Medication Satisfaction (DiabMedSat)
35
36 questionnaire [20,21]. Their foot wound(s) (index ulcers) were inspected, and entry
37
38 criteria status (Table 1) and demographic data recorded. In Substudy 2, visits
39
40 occurred weekly between weeks 1 (baseline) and 4, then at 6, 8, 12, 16, 20 and 24
41
42 weeks, or until the index ulcers healed. The following were undertaken at each visit:
43
44 ulcer examination: digital photographic planimetry of ulcer area (using the
45
46 SilhouetteStar camera, ARANZ Medical, New Zealand); FCBG measurement (in
47
48 mmol/L); medication review; and adverse events assessment. FCBG was used in
49
50 the analyses as a measure of glycaemic control [22] and as a proxy for adherence
51
52 [23]. HbA1c was assessed at baseline and at the end of trial, providing an
53
54 assessment of chronic hyperglycaemia. By contrast, fasting capillary glucose or
55
56 mean daily capillary glucose may provide evidence of acute improvement of
57
58
59
60

1
2
3 glycaemia in a short-term clinical trial[22]. In addition, participants completed three
4
5 questionnaires at each visit: DiabMedSat [20,21], EuroQol 5 Dimensions 5 Levels
6
7 (EQ-5D-5L) [24,25] and Diabetic Foot Ulcer Scale-Short Form (DFS-SF) [26].
8
9

10 **Intervention**

11
12
13 On entry to Substudy 2, intermediate- or long-acting insulin was initiated or adjusted,
14
15 and given in addition to usual oral hypoglycaemic tablet therapy (first line metformin,
16
17 second line sulphonylurea). In addition participants received cholesterol lowering
18
19 medication, anti-hypertensives and aspirin to prevent cardiovascular disease
20
21 consistent with international guidelines [27]. Short-acting mealtime insulin was
22
23 provided as appropriate. The goal was to maintain FCBG at 4–7 mmol/L, with ≤ 2
24
25 episodes of mild hypoglycaemia per week. Within these parameters the choice of
26
27 regimen was determined by the Diabetes Nurse Specialist.
28
29

30
31 Substudy 2 participants received usual podiatry care at each visit, including ulcer
32
33 debridement, orthotics prescription and adjustments, antibiotics if indicated and
34
35 education.
36
37

38 **Statistical analyses**

39 *Substudy 1*

40
41
42 Descriptive statistics were produced for participant demographic characteristics,
43
44 recruitment rate, entry criteria fulfilment and DiabMedSat subscores. Multivariate
45
46 analysis of variance (MANOVA) was used to detect differences in subscores based
47
48 on demographic or participant characteristics. A Poisson exact test was used to
49
50 compare recruitment rates over two recruitment periods and mixed logistic
51
52 regression used to compare differences in entry criteria fulfilment between the
53
54 periods. Indicators of criterion satisfaction were fitted jointly by themselves in a first
55
56
57
58
59
60

1
2
3 model, and interacting with period in a second model. Models were compared using
4
5 deviance tests.
6

7 *Substudy 2*

8
9
10 The analysis set for this feasibility study consisted of all participants having initiated
11
12 treatment. All analyses were carried out on the index ulcers, present at the first visit.
13
14 All participants had a single index ulcer.
15

16
17 Linear mixed models were used to determine the relationship between four different
18
19 ulcer area-related outcomes at each visit (ulcer area in cm², log[ulcer area+0.01],
20
21 absolute and relative rates of change in ulcer area) and glycaemic control. (The
22
23 value of 0.01 in the logarithmic endpoint has been previously validated as a
24
25 surrogate marker of ulcer healing [28] was chosen based on the data to improve the
26
27 normality of the outcome.) FCBG was the fixed effect and participant the random
28
29 effect. Time-to-healing was considered as a fifth possible outcome. A Kaplan-Meier
30
31 estimate of ulcer persistence probability was produced and Cox regression used to
32
33 estimate the hazard ratio of ulcer healing under changes in FCBG, taken as a time-
34
35 dependent covariate. Time- and/or baseline ulcer area-adjusted estimates, as well
36
37 as unadjusted estimates, were produced to assess potential confounding, as tight
38
39 management in a foot clinic may promote ulcer healing in several ways. The most
40
41 sensitive outcome was selected by consideration of its adjusted observed
42
43 significance level and its equivalent Cohen's effect size where appropriate. To
44
45 estimate correlations and their 95% confidence intervals (CI) using all available
46
47 longitudinal data, we fitted outcomes jointly using a heterogeneous compound
48
49 symmetry covariance model, and using only intercepts as fixed effects. We thus
50
51 obtained correlations between DFS-SF subscores and selected ulcer healing
52
53
54
55
56
57
58
59
60

1
2
3 outcome; between DiabMedSat subscores and FCBG; and between DFS-SF
4
5 subscores and EQ-5D-5L.
6

7
8 Descriptive statistics were produced on completed follow-ups (defined as healing
9
10 before 24 weeks or attending the 24-week visit) and attended visits. Attendance
11
12 probability was regressed on the most recently available FCBG, measure of ulcer
13
14 area and DiabMedSat subscores using mixed logistic regression. The final
15
16 attendance model was selected using AIC.
17

18
19 No data were missing for time-to-healing analyses. Other analyses all involved
20
21 mixed models on longitudinal data, known to alleviate selection bias due to
22
23 missingness [29].
24
25

26 27 **Results**

28 29 **Participants**

30
31 <<Table 2 approximately here.>>
32

33
34 Seventy-eight participants (all unique clinic visitors during the recruitment period)
35
36 were enrolled in Substudy 1 (Table 2). Mean age was 57 years (SD 14). The majority
37
38 were men and most were of Pacific or European ethnicity. No data were missing for
39
40 Substudy 1. All participants were identified as having some measure of foot
41
42 deformity, judged unlikely to affect ulcer healing by the treating podiatrists. However,
43
44 no objective measure of foot deformity, to our knowledge, has been assessed for
45
46 association with ulcer healing.
47
48

49
50 Seventeen participants entered Substudy 2. One withdrew consent after Visit 1 and
51
52 another was healed on Visit 1, leaving 15 participants in the analysis set, assessed
53
54 on a total of 90 occasions.
55
56
57
58
59
60

Completeness

Two participants with unhealed ulcers did not attend the 24-week visit (13%, 95% CI 2 to 40). Of the 102 scheduled visits, 12 were missed, yielding an attendance proportion of 91% (95% CI 79 to 96), accounting for clustering by participant. Average follow-up time to healing or last visit was 17.6 weeks (SD 7.8). Clinical constraints or patient choice prevented some measurements from being taken, yielding completeness proportions for specific outcomes between 78% (DiabMedSat and FCBG) and 85% (ulcer area).

Recruitment rate and entry criteria

Period A (as defined earlier) finished after 6 weeks, and was the most active with 42 participants recruited compared with 36 participants over the remaining 29 weeks, forming Period B.

All participants satisfied criterion IC1, 78.2% met IC2, 98.7% met IC3, 73.1% met IC4 and 57.7% met IC5. Twenty-four participants (30.8%, 95% CI 20.8 to 42.2) met all criteria. Removal of any single criteria increased eligibility proportion appreciably only in the case of IC2 (to 37.2%, 95% CI 26.5 to 48.9) and IC5 (to 38.5%, 95% CI 27.7 to 50.2). The probabilities of participants meeting entry criteria differed between periods A and B (Figure 2); the criterion-period interaction term was significant ($P=0.009$). The A-to-B rate ratio of eligibility to a full study was 4.1 (95% CI 1.8 to 9.2). The eligibility rate in period B was 0.45 participant per week (95% CI 0.24 to 0.77).

<<Figure 2 approximately here.>>

Diabetes Medication Satisfaction

Higher scores on the DiabMedSat subscales indicate increased satisfaction for all three subscales. The subscale histograms are displayed in Figure 3. Median score

1
2
3 for the Efficacy subscale was 63.3 (interquartile range [IQR] 26.7), and was
4 numerically lower than those of the Burden (81.8 [IQR 22.8]) and Symptom
5 subscales (80.0 [IQR 16.0]). MANOVA demonstrated no significant variation in
6 subscale scores based on ethnicity, sex or diabetes duration, but there was
7 significant variation in the Burden ($P = 0.005$) and Symptom ($P=0.026$) scores based
8 on age (generally higher in older participants).
9

10
11
12 <<Figure 3 approximately here.>>
13
14

15 16 17 **Glycaemic control**

18
19
20 FCBG values during the study indicated that participants were generally adhering to
21 their glycaemic control regimen. Between study end and baseline, the mean
22 difference in FCBG was -3.7 mmol/L (95% CI -6.5 to -0.8) and in HbA1c was -9.4
23 mmol/mol (95% CI -19.0 to 0.3). The fasting blood glucose of participants of
24 Substudy 2 over time are shown in Figure 4.
25
26
27
28
29
30
31
32

33
34 << Figure 4 approximately here. >>
35
36

37 38 **Selection of primary endpoint for RCT**

39
40 <<Table 3 approximately here.>>
41

42 Twelve Substudy 2 participants experienced complete healing between weeks 3 and
43 24; the remaining three had unhealed ulcers at the time of their last visit on or before
44 week 24. Median ulcer-healing time was 7 weeks. The Kaplan-Meier estimate of the
45 proportion of ulcers not healing by week 24 was 16.7% (95% CI 5.0 to 56.1). The Cox
46 model showed no significant association between time to ulcer healing and FCBG
47 (Table 3).
48
49
50
51
52

53
54
55 Log(ulcer area in $\text{cm}^2+0.01$) (hereafter “log of ulcer area”) and ulcer area relative rate
56 of change were the only ulcer healing outcomes sensitive to change in FCBG (Table
57 3). The former was selected as most sensitive to changes in FCBG, with effect size
58
59
60

of 0.08 per mmol/L increase in FCBG, adjusted for both time and baseline value.

Time adjustment was intended to account for non-glycaemia-related improvements and interventions such as podiatric care. Between- and within-participant outcome variances were estimated at 1.3 and 0.4 respectively.

Validation of selected outcome with QOL measures

<<Table 4 approximately here.>>

DFS-SF scores tended to increase over time (i.e. improved HrQOL); increases reached statistical significance for four of the six subscales (Leisure [$P=0.05$], Dependence [$P=0.01$], Negative emotion [$P=0.001$] and Worried about ulcers [$P=0.04$]). All six subscales showed statistically significant, moderate-to-strong negative correlation with log of ulcer area (Table 4).

Participant satisfaction and adherence to intensive insulin therapy

Glycaemia levels displayed weak to moderate negative correlation with the DiabMedSat scores. The correlation of FCBG with the Burden subscale was -0.35 (95% CI -0.59 to -0.09; $P=0.01$), with the Efficacy subscale -0.42 (95% CI -0.61 to -0.18; $P=0.0009$) and with the Symptoms subscale -0.21 (95% CI -0.47 to 0.08, $P=0.15$).

Health-related QOL

The EQ-5D-5L VAS displayed moderate to strong positive correlation with all six DFS-SF subscale scores (Table 4).

Modelling of attendance

The model explaining attendance with smallest AIC involved the DiabMedSat Burden score only, with an attendance odds ratio of 1.78 (95% CI 1.26 to 2.51; $P=0.001$) per 10-point score increase.

Discussion

Key findings

This feasibility study showed that by using less stringent entry criteria, more people with diabetic foot ulcers attending our foot clinic (30%) may be eligible for a proposed RCT investigating the efficacy of an intensive insulin regimen on diabetic foot ulcer healing than reported in a previous feasibility study (0.5% [1/200]) [19]. The study also showed that the primary endpoint of log of ulcer area, with ulcer area measured using digital photographic planimetry, may be sensitive enough to glycaemic control to base an RCT on in this population.

In terms of design and conduct of an RCT of intensive glycaemic control versus standard care in people with diabetic foot ulcers, analysis showed that the largest gains in eligibility from removal of a single criterion would occur by waiving IC2 (type 1 or type 2 diabetes for greater than one year with an HbA1c of >60mmol/mol) or IC5. IC5 cannot of course be waived, since participant consent is compulsory in any ethical clinical trial. However, if glycaemic control is efficacious in individuals with recently developed diabetes, IC2 could perhaps advantageously be relaxed. Those with recent onset diabetes maybe different to those with long standing diabetes in ways that impact upon ulcer healing. On the other hand, those with recently diagnosed diabetes will likely have years of exposure to risk factors they share with those with long standing diabetes that predispose them to ulcer formation also [30]. Further findings are indications that acting on the medication burden may improve attendance and that acting on medication satisfaction in general, and satisfaction with efficacy in particular, may improve adherence to the control regimen. In both cases, however, the evidence obtained is only correlational and not causal.

Relationship to other studies

Ulcer healing data suggested an early beneficial effect of intensive insulin therapy; median healing time was 7 weeks after the initial visit, and by week 21 an estimated 17% of ulcers had not healed. Over a similar timeframe, much lower healing rates have been reported with standard care (e.g. 31% at 20 weeks in a meta-analysis [31]). Even when standard care included insulin, a retrospective cohort study found that only 30% of ulcers had healed after 1.1 month [32]. The baseline mean HbA1c was lower in the participants of that study (7.9% or 63mmol/mol) compared to our own (10.8% or 94mmol/mol). While this finding is promising, a randomised controlled trial is needed to confirm that this is the effect of intensive blood glucose control. There are other factors that may account for more rapid ulcer healing in our study such as the high (weekly) frequency of the first four visits in Substudy 2, allowing more opportunities for treatment such as wound debridement, and orthotic or medication adjustments.

Implications for a full study

The log of the ulcer area outcome proved sensitive to glycaemic control even controlling for time since study entry, and was correlated with DFS-SF subscores, supporting the use of this measure. This is consistent with prior validation of log ulcer area as a surrogate end point for ulcer healing [28]. Using this measure as primary endpoint, a target reduction in ulcer size of 30% would correspond to a 3 mmol/L average difference in FCBG (corresponding to the difference between the lower bounds of normal glycaemia and a diagnosis of diabetes), with intensive glycaemic control versus standard care. In an RCT, 220 participants per arm would be required to detect a between-group decrease of 30% with 80% power at a 5% significance level. At the differential eligibility rates observed in both periods and assuming a loss

1
2
3 to follow-up of 10%, such a number could be achieved within about one year if 15
4
5 centres similar to ours were recruiting participants.
6

7
8 Good reductions in FCBG over the first 4 weeks of intensive insulin therapy were
9
10 seen, but more variable levels observed afterwards. To achieve optimal
11
12 improvements in glycaemic control over the course of a longer-term RCT more
13
14 regular visits may be necessary after the first 4-6 weeks of therapy than were used in
15
16 our feasibility study and more daytime FCBG recordings done to optimise therapy.
17

18
19 The EQ-5D VAS appeared to have good convergent validity with the specialised
20
21 DFS-SF, indicating its appropriateness as a generic QOL measure in our study
22
23 population, opening the door to valid economic analyses. We also realise the
24
25 importance of objective quantification of neuropathy, peripheral vascular disease and
26
27 foot deformities enabling stratification at randomisation in the larger trial as they are
28
29 the most notable causative factors of diabetic foot ulceration [11].
30
31

32
33 Tight glycaemic control relies on long-term patient adherence [1]. Satisfied patients
34
35 are more likely to adhere to recommendations regarding not only medication use and
36
37 follow-up visits but also dietary habits and physical activity [33]. Our findings showed
38
39 that our participants' perception of diabetes medication burden was strongly
40
41 associated with adherence (as determined by the surrogate marker FCBG) and
42
43 attendance, suggests, although does not prove, that intervening on burden may
44
45 promote attendance.
46
47

48 49 **Limitations**

50
51
52 Some limitations temper the interpretation of our results. The most important
53
54 limitation was that Substudy 2 did not reach the target sample size of 20. This
55
56 shortfall was largely due to availability of personnel and funder timelines. These
57
58 issues, particularly around staffing, have the potential to affect any future RCT.
59
60

1
2
3 Moreover, the study was conducted at a single centre in New Zealand, limiting
4 generalisability to other populations and settings with different care pathways for
5 diabetes and diabetic complications.
6
7

8
9
10 Another limitation of this study is that FCBG was the only surrogate for medication
11 adherence. The addition of other surrogates such as a record of whether
12 prescriptions had been filled would. Furthermore, in a study aiming to evaluate the
13 four times a day blood glucose testing is preferable to FCBG and HbA1c.
14
15

16
17
18 Non-adherence to standard care was an exclusion criterion of this study. This was
19 included so that the impact of glycaemic control would be the focus of this study.
20
21 However, this criterion limits the application of the study to the real world as non-
22 adherence is a major issue in most real-life clinical settings.
23
24
25
26
27

28 **Conclusion**

29
30
31 The study has produced evidence of moderate quality that tight glycaemic control
32 may be beneficial for ulcer healing, and that an outcome derived from ulcer area
33 could be sensitive to glycaemic control as expressed by FCBG.
34
35
36

37
38 This feasibility study is the first since 2005 to investigate issues relevant to the
39 initiation of a definitive RCT evaluating the impact of intensive insulin therapy on
40 ulcer healing in people with diabetes. The results of such a trial would be useful to
41 inform evidence-based clinical practice guidelines. However, we have also
42 demonstrated that a reasonably powered trial would require the involvement of a
43 large number of centres, increasing the complexity of such an undertaking.
44
45
46
47
48
49
50
51
52
53
54

55
56
57 **Acknowledgements** Medical writing assistance was provided by Nicola Ryan,
58 independent medical writer. The authors thank Benjamin Elliott (independent data
59
60

1
2
3 manager), Lawrence Kingi (podiatrist, Counties Manukau Health) and Dr John Baker
4
5 (Middlemore Clinical Trials) for their assistance with this study.
6
7

8 **Contributors** A.C.V. and A.D. designed the study and co-wrote the manuscript
9
10 with V.B. A.D. oversaw the intervention and contributed to the discussion. A.C.V.
11
12 contributed to data management, data monitoring and analysis planning and
13
14 conduct. D.P. contributed to statistical analyses. B.M. coordinated the research. R.G.
15
16 and A.N. provided podiatric care and contributed to discussion. A.D. and A.C.V. take
17
18 responsibility for the contents of the article, study design, access to data and the
19
20 decision to submit and publish the results.
21
22

23
24 **Funding** This work was supported by the Health Research Council of New
25
26 Zealand's Feasibility Study grant number 14/605.
27

28 **Disclaimer** The joint lead authors A.D. and A.C.V. affirm that the manuscript is an
29
30 honest, accurate and transparent account of the study being reported; that no
31
32 important aspects of the study have been omitted except as noted in the text, and
33
34 that any discrepancies from the study as planned have been explained.
35
36

37 **Competing interests** None declared.
38

39 **Patient consent** Obtained.
40

41
42 **Ethics approval** Ethics approval was given for the study by the New Zealand
43
44 Northern A Health and Disability Ethics Committee, ethics approval number
45
46 14/NTA/195.
47
48

49 **Provenance and peer review** Not commissioned; externally peer-reviewed.
50

51 **Data sharing statement** Data are available. Please contact corresponding author.
52
53
54
55
56
57
58
59
60

References

1. Hingorani A, LaMuraglia GM, Henke P, Meissner MH, Loretz L, Zinszer KM, et al. The management of diabetic foot: A clinical practice guideline by the Society for Vascular Surgery in collaboration with the American Podiatric Medical Association and the Society for Vascular Medicine. *J Vasc Surg* [Internet]. 2016 Feb 1;63(2):3S-21S. Available from: <https://doi.org/10.1016/j.jvs.2015.10.003>
2. Australian Institute of Health and Welfare [Internet]. 2008. Available from: <http://www.aihw.gov.au/WorkArea/DownloadAsset.aspx?id=6442453674>
3. Ragnarson Tennvall G, Apelqvist J. Health-Economic Consequences of Diabetic Foot Lesions. *Clin Infect Dis* [Internet]. 2004 Aug 1;39(Supplement_2):S132–9. Available from: <https://doi.org/10.1086/383275>
4. Stockl K, Vanderplas A, Tafesse E, Chang E. Costs of Lower-Extremity Ulcers Among Patients With Diabetes. *Diabetes Care* [Internet]. 2004 Sep 1;27(9):2129 LP – 2134. Available from: <http://care.diabetesjournals.org/content/27/9/2129.abstract>
5. Treece K, Macfarlane R, Pound N, Game F, Jeffcoate W. Validation of a system of foot ulcer classification in diabetes mellitus. Vol. 21, *Diabetic medicine : a journal of the British Diabetic Association*. 2004. 987–991 p.
6. Clayton W, Elasy TA. A Review of the Pathophysiology, Classification, and Treatment of Foot Ulcers in Diabetic Patients. *Clin Diabetes* [Internet]. 2009 Apr 1;27(2):52 LP – 58. Available from: <http://clinical.diabetesjournals.org/content/27/2/52.abstract>
7. Schaper NC. Lessons from Eurodiale. *Diabetes Metab Res Rev* [Internet]. 2012 Feb 1;28(S1):21–6. Available from: <https://doi.org/10.1002/dmrr.2266>
8. Ikem R, Ikem I, Adebayo O, Soyoye D. An assessment of peripheral vascular disease in patients with diabetic foot ulcer. *Foot* [Internet]. 2010;20(4):114–7. Available from: <http://www.sciencedirect.com/science/article/pii/S0958259210000568>
9. Ogbera OA, Osa E, Edo A, Chukwum E. Common Clinical Features of Diabetic Foot Ulcers: Perspectives From a Developing Nation. *Int J Low Extrem Wounds* [Internet]. 2008 May 19;7(2):93–8. Available from: <https://doi.org/10.1177/1534734608318236>
10. Tesfaye S, Selvarajah D. Advances in the epidemiology, pathogenesis and management of diabetic peripheral neuropathy. *Diabetes Metab Res Rev* [Internet]. 2012 Feb 1;28(S1):8–14. Available from: <https://doi.org/10.1002/dmrr.2239>
11. Fernando ME, Seneviratne RM, Tan YM, Lazzarini PA, Sangla KS, Cunningham M, et al. Intensive versus conventional glycaemic control for treating diabetic foot ulcers. *Cochrane Database Syst Rev* [Internet]. 2016;(1). Available from: <https://doi.org/10.1002/14651858.CD010764.pub2>
12. Hasan R, Firwana B, Elraiyah T, Domecq JP, Prutsky G, Nabhan M, et al. A systematic review and meta-analysis of glycemic control for the prevention of diabetic foot syndrome. *J Vasc Surg* [Internet]. 2016 Feb 1;63(2):22S-28S.e2. Available from: <https://doi.org/10.1016/j.jvs.2015.10.005>
13. Brem H, Sheehan P, Rosenberg HJ, Schneider JS, Boulton AJM. Evidence-Based Protocol for Diabetic Foot Ulcers. *Plast Reconstr Surg* [Internet]. 2006;117(7S). Available from: https://journals.lww.com/plasreconsurg/Fulltext/2006/06001/Evidence_Based_Protocol_for_Diabetic_Foot_Ulcers.21.aspx
14. Christman AL, Selvin E, Margolis DJ, Lazarus GS, Garza LA. Hemoglobin A1c Predicts

- 1
 - 2
 - 3
 - 4
 - 5
 - 6
 - 7
 - 8
 - 9
 - 10
 - 11
 - 12
 - 13
 - 14
 - 15
 - 16
 - 17
 - 18
 - 19
 - 20
 - 21
 - 22
 - 23
 - 24
 - 25
 - 26
 - 27
 - 28
 - 29
 - 30
 - 31
 - 32
 - 33
 - 34
 - 35
 - 36
 - 37
 - 38
 - 39
 - 40
 - 41
 - 42
 - 43
 - 44
 - 45
 - 46
 - 47
 - 48
 - 49
 - 50
 - 51
 - 52
 - 53
 - 54
 - 55
 - 56
 - 57
 - 58
 - 59
 - 60
- Healing Rate in Diabetic Wounds. *J Invest Dermatol* [Internet]. 2011 Oct 1;131(10):2121–7. Available from: <https://doi.org/10.1038/jid.2011.176>
15. Lan C-CE, Liu I-H, Fang A-H, Wen C-H, Wu C-S. Hyperglycaemic conditions decrease cultured keratinocyte mobility: implications for impaired wound healing in patients with diabetes. *Br J Dermatol* [Internet]. 2008 Nov 1;159(5):1103–15. Available from: <https://doi.org/10.1111/j.1365-2133.2008.08789.x>
 16. Marston WA. Risk factors associated with healing chronic diabetic foot ulcers: The importance of hyperglycemia. Vol. 52, *Ostomy/wound management*. 2006. 26–8, 30, 32 passim p.
 17. Miner A, Kirsner RS. Diabetic Control Affects Healing Rates in Neuropathic and Vasculopathic Patients. *J Invest Dermatol* [Internet]. 2011 Oct 1;131(10):1962. Available from: <https://doi.org/10.1038/jid.2011.269>
 18. Rathsmann B, Jensen-Urstad K, Nyström T. Intensified insulin treatment is associated with improvement in skin microcirculation and ischaemic foot ulcer in patients with type 1 diabetes mellitus: a long-term follow-up study. *Diabetologia* [Internet]. 2014;57(8):1703–10. Available from: <https://doi.org/10.1007/s00125-014-3248-2>
 19. Idris I, Game F, Jeffcoate W. Does close glycaemic control promote healing in diabetic foot ulcers? Report of a feasibility study. *Diabet Med* [Internet]. 2005 Aug 1;22(8):1060–3. Available from: <https://doi.org/10.1111/j.1464-5491.2005.01606.x>
 20. Brod M, Christensen T, Kongsø JH, Bushnell DM. Examining and interpreting responsiveness of the Diabetes Medication Satisfaction measure. *J Med Econ* [Internet]. 2009 Dec 1;12(4):309–16. Available from: <https://doi.org/10.3111/13696990903337017>
 21. Brod M, Skovlund SE, Wittrup-Jensen KU. Measuring the Impact of Diabetes Through Patient Report of Treatment Satisfaction, Productivity and Symptom Experience. *Qual Life Res* [Internet]. 2006;15(3):481–91. Available from: <https://doi.org/10.1007/s11136-005-1624-6>
 22. Monnier L, Lapinski H, Colette C. Contributions of Fasting and Postprandial Plasma Glucose Increments to the Overall Diurnal Hyperglycemia of Type 2 Diabetic Patients. *Diabetes Care* [Internet]. 2003 Mar 1;26(3):881 LP – 885. Available from: <http://care.diabetesjournals.org/content/26/3/881.abstract>
 23. Pascal I, Ofoedu J, Uchenna N, Nkwa A, Uchamma G. Blood glucose control and medication adherence among adult type 2 diabetic Nigerians attending a primary care clinic in under-resourced environment of Eastern Nigeria. *N Am J Med Sci*. 2012;4(7):310–5.
 24. Herdman M, Gudex C, Lloyd A, Janssen MF, Kind P, Parkin D, et al. Development and preliminary testing of the new five-level version of EQ-5D (EQ-5D-5L). *Qual Life Res* [Internet]. 2011;20(10):1727–36. Available from: <https://doi.org/10.1007/s11136-011-9903-x>
 25. Janssen MF, Pickard AS, Golicki D, Gudex C, Niewada M, Scalone L, et al. Measurement properties of the EQ-5D-5L compared to the EQ-5D-3L across eight patient groups: a multi-country study. *Qual Life Res* [Internet]. 2013;22(7):1717–27. Available from: <https://doi.org/10.1007/s11136-012-0322-4>
 26. Bann CM, Fehnel SE, Gagnon DD. Development and validation of the Diabetic Foot Ulcer Scale-Short Form (DFS-SF). *Pharmacoeconomics* [Internet]. 2003;21(17):1277–90. Available from: <https://doi.org/10.2165/00019053-200321170-00004>
 27. International Diabetes Federation. Global guideline for type 2 diabetes. 2012;

- 1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
28. Margolis DJ, Gelfand JM, Hoffstad O, Berlin JA. Surrogate End Points for the Treatment of Diabetic Neuropathic Foot Ulcers. *Diabetes Care* [Internet]. 2003 Jun 1;26(6):1696 LP – 1700. Available from: <http://care.diabetesjournals.org/content/26/6/1696.abstract>
 29. Fielding S, Fayers P, Ramsay CR. Analysing randomised controlled trials with missing data: Choice of approach affects conclusions. *Contemp Clin Trials* [Internet]. 2012 May 1;33(3):461–9. Available from: <https://doi.org/10.1016/j.cct.2011.12.002>
 30. Lee CC, Perkins BA, Kayaniyil S, Harris SB, Retnakaran R, Gerstein HC, et al. Peripheral Neuropathy and Nerve Dysfunction in Individuals at High Risk for Type 2 Diabetes: The PROMISE Cohort. *Diabetes Care* [Internet]. 2015 May 1;38(5):793 LP – 800. Available from: <http://care.diabetesjournals.org/content/38/5/793.abstract>
 31. Margolis DJ, Kantor J, Berlin JA. Healing of diabetic neuropathic foot ulcers receiving standard treatment. A meta-analysis. *Diabetes Care* [Internet]. 1999 May 1;22(5):692 LP – 695. Available from: <http://care.diabetesjournals.org/content/22/5/692.abstract>
 32. Vatankhah N, Jahangiri Y, Landry GJ, Moneta GL, Azarbal AF. Effect of systemic insulin treatment on diabetic wound healing. *Wound Repair Regen* [Internet]. 2017/02/20. 2017 Apr;25(2):288–91. Available from: <https://www.ncbi.nlm.nih.gov/pubmed/28120507>
 33. Al-Aujan S, Al-Aqeel S, Al-Harbi A, Al-Abdulltif E. Patients' satisfaction with diabetes medications in one hospital, Saudi Arabia. *Patient Prefer Adherence* [Internet]. 2012 Oct 12;6:735–40. Available from: <https://www.ncbi.nlm.nih.gov/pubmed/23077410>

Figure legends

Figure 1 – Substudy 1 recruitment flow diagram

Figure 2 – Point estimate and confidence interval of probability of each criterion

being met by recruitment period. Period A was 0-5 weeks and Period B was 6-35 weeks. FC, full criteria; EC, exclusion criterion; IC, inclusion criterion.

Figure 3 – Histograms of participant scores on the three subscales of the Diabetes Medication Satisfaction questionnaire: (a) Burden, (b) Symptoms and (c) Efficacy subscales. Subscales are scored from 0-100, higher scores indicating greater satisfaction.

Figure 4. Fasting capillary blood glucose against time from study entry during intensive insulin therapy in the 15 participants of substudy 2

Tables

Table 1 Entry criteria assessed in Substudy 1

Criteria	Notation	Description
Inclusion	IC1	Male or female aged ≥ 18 years
	IC2	Type 1 or Type 2 diabetes mellitus for more than one year with an HbA1c ≥ 60 mmol/mol
	IC3	Incident foot ulcer(s) located below the level of the malleoli
	IC4	Able and willing to undertake home blood glucose monitoring and administer insulin up to 4 times daily under the supervision of the diabetes nurse specialist
	IC5	Able and willing to provide informed consent to participate in the study
Exclusion	EC1	Ulcers with radiological features of osteomyelitis
	EC2	Significant peripheral vascular disease under consideration for re-vascularisation
	EC3	Significant bone deformity as determined by the investigator which may delay wound healing
	EC4	Non-adherence to standard care
	EC5	Any other disease or condition in the opinion of the investigator could make them unsuitable for entry
Full	FC	All inclusion criterion satisfied (5-Yes) and all exclusion criterion satisfied (5-No)

EC, exclusion criteria; HbA1c, glycosylated haemoglobin; IC, inclusion criteria.

Table 2 Characteristics of participants included in both substudies

	Substudy 1 Participants (n=78)	Substudy 2 Participants (n=15)
Median age (IQR), years	58.5 (18.2)	51 (16.5)
Women, n (%)	30 (38.5)	6 (40)
Mean baseline HbA1c (SD), mmol/mol	Not collected	93 (29)
Mean baseline FCBG (SD), mmol/L	Not collected	11.3 (5.7)
Ethnicity, n (%)		
Asian	5 (6.4)	1 (6.7)
European	27 (34.6)	4 (26.7)
Māori	13 (16.7)	2 (13.3)
Pacific	33 (42.3)	7 (46.7)
Type 1 diabetes mellitus, n (%)	12 (15.4)	3 (20.0)
Type 2 diabetes mellitus, n (%)	66 (84.6)	12 (80.0)
Duration of diabetes, n (%)		
0-10 years	15 (19.2)	3 (20.0)
10-20 years	31 (39.7)	6 (40.0)
20-30 years	22 (28.2)	4 (26.7)
30-40 years	7 (9.0)	2 (13.3)
40-50 years	3 (3.9)	0 (0.0)
Peripheral neuropathy, n (%)	Not collected	15 (100.0)
Peripheral vascular disease, n (%)	Not collected	1 (6.7)

IQR, interquartile range; HbA1c: glycosylated haemoglobin; FCBG: fasting capillary blood glucose

Table 3 Unadjusted and adjusted regression results for five ulcer-healing endpoints against fasting capillary blood glucose

Ulcer outcome	Adjustment status	Change in outcome per 1 mmol/L increase in FCBG		Equivalent effect size	P	
		Estimate	95% CI			
Ulcer area (cm ²) †	Unadjusted	0.010	[-0.041,0.062]	0.004	0.69	
	Adjusted§	0.004	[-0.024,0.032]	0.001	0.77	
Log(ulcer area+0.01) †	Unadjusted	0.134	[0.049,0.214]	0.10	0.002	**
	Adjusted§	0.114	[0.020,0.194]	0.08	0.0145	*
Rate of absolute change (cm ² /week) †	Unadjusted	0.011	[-0.018, 0.041]	0.01	0.45	
	Adjusted¶	0.009	[-0.014,0.032]	0.01	0.70	
Rate of relative change (%/week ⁻¹) †	Unadjusted	5.7	[1.1, 10.3]	0.06	0.015	*
	Adjusted¶	5.7	[1.1,10.3]	0.06	0.015	*
Hazard ratio‡	Unadjusted	0.90	[0.74,1.10]	N/A	0.31	
	Adjusted † †	0.88	[0.71,1.08]	N/A	0.21	

† Mixed linear regression, additive change reported ‡ Cox regression, multiplicative change reported

§ Adjusted for baseline value and time since Visit 1. ¶ Adjusted for time since Visit 1 only.

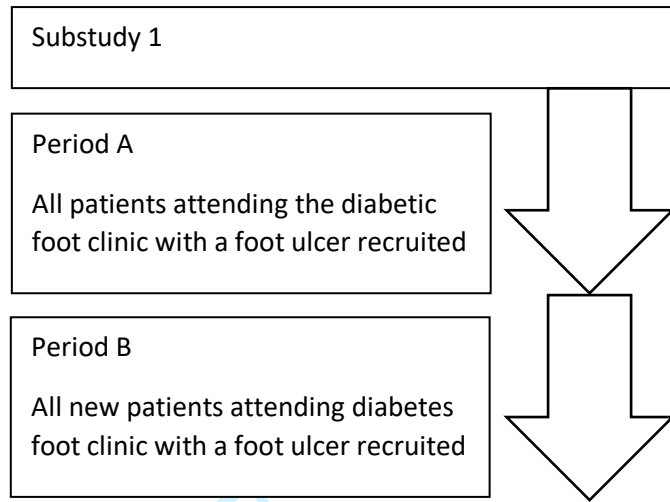
† † Adjusted for baseline ulcer area

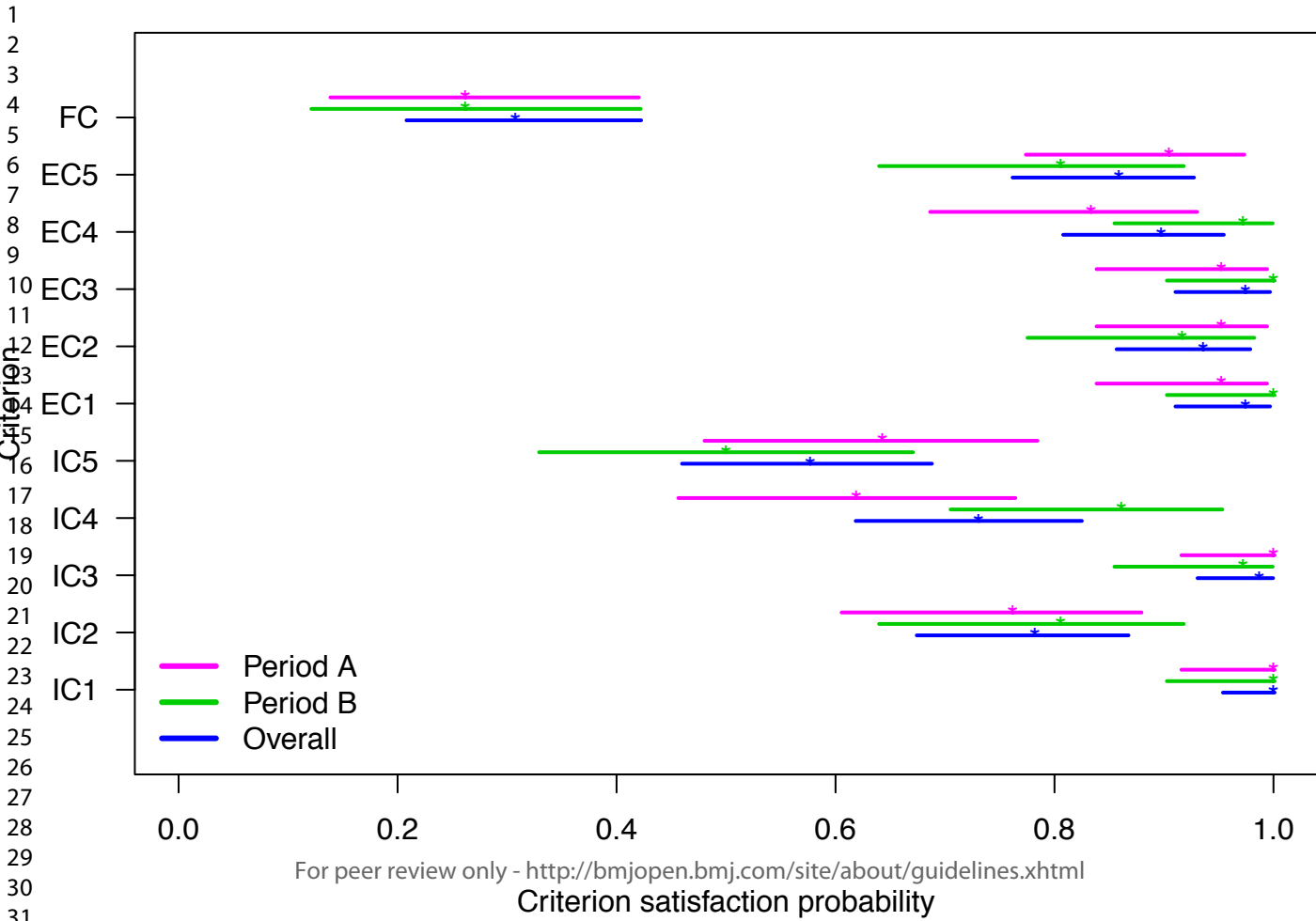
FCBG: fasting capillary blood glucose; CI: confidence interval

Table 4 Estimated Pearson correlation coefficients between Diabetic Foot Ulcer Scale-Short Form subscale scores and both log(ulcer area+0.01) and EuroQol 5 Dimension 5 Level

Correlates DFS-SF subscale	log(ulcer area+0.01)†			EQ-5D-5L VAS		
	Est.	95% CI	P	Est.	95% CI	P
Leisure	-0.48	[-0.66, -0.25]	<0.0001 ***	0.50	[0.23, 0.77]	0.0002 ***
Physical health	-0.48	[-0.66, -0.26]	<0.0001 ***	0.64	[0.44, 0.84]	<0.0001 ***
Dependence	-0.54	[-0.71, -0.33]	<0.0001 ***	0.58	[0.36, 0.81]	<0.0001 ***
Negative emotion	-0.64	[-0.80, -0.42]	<0.0001 ***	0.38	[0.04, 0.72]	0.03 *
Worried about ulcers	-0.54	[-0.71, -0.32]	<0.0001 ***	0.62	[0.38, 0.86]	<0.0001 ***
Bothered by ulcer care	-0.46	[-0.63, -0.24]	0.0001 ***	0.36	[0.04, 0.69]	0.03 *

† Area in cm².
CI, confidence interval; DFS-SF: Diabetic Foot Ulcer Scale-Short Form; EQ-5D-5L: EuroQol 5 Dimension 5 Level; VAS: visual analogue scale.



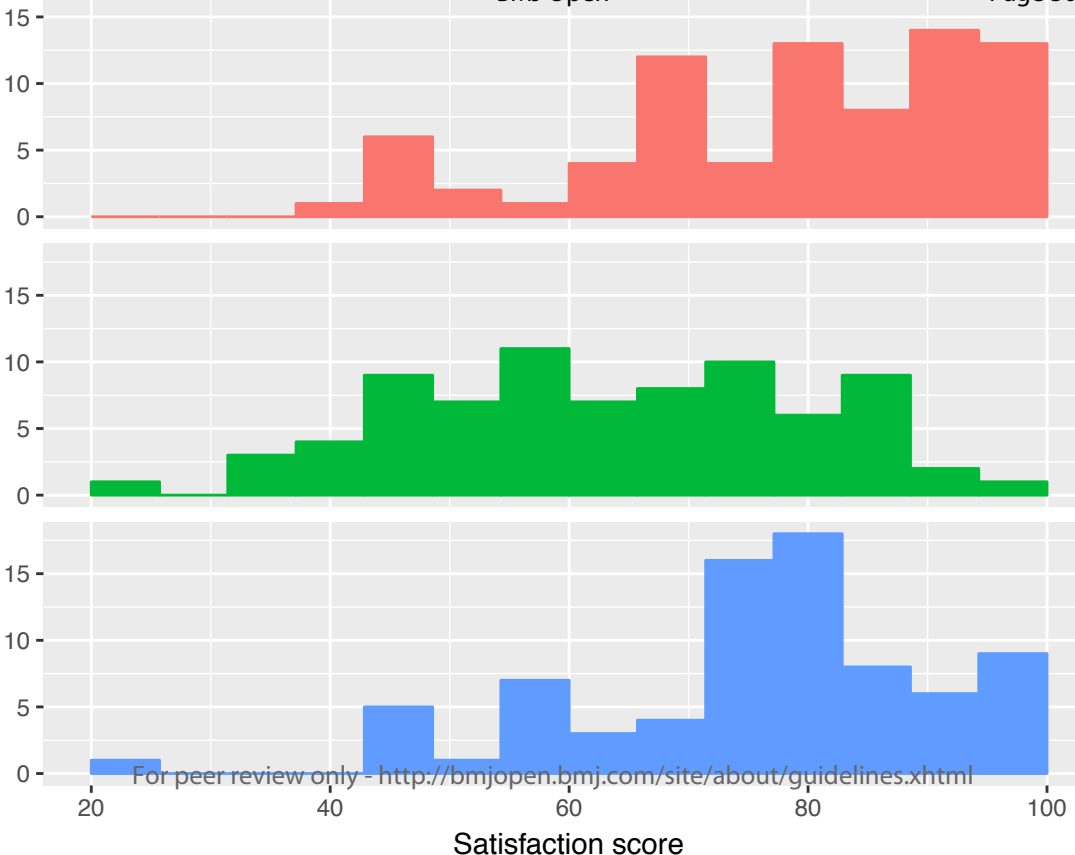


Burden

Efficacy

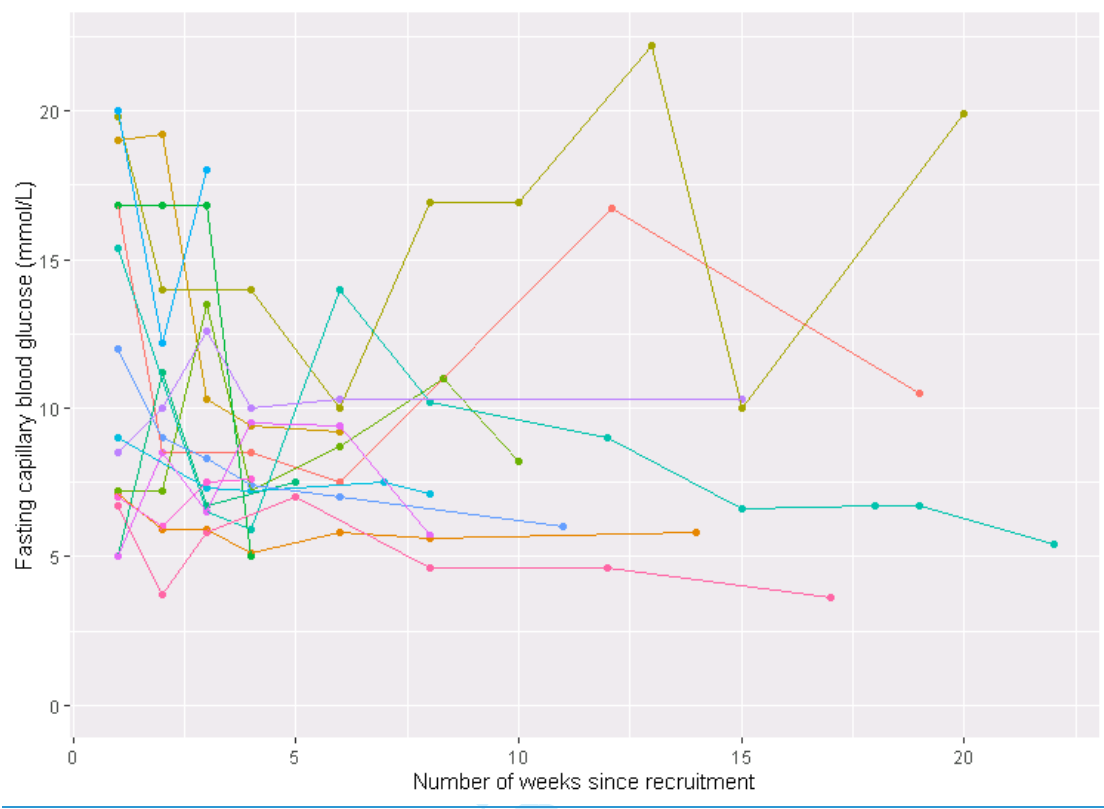
Symptoms

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25



For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



For peer review only

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No.	Recommendation	Page No.	Relevant text from manuscript
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	Abstract	Two substudies: one cross-sectional, one single-arm prospective
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Abstract	<p><i>What was done:</i></p> <p>Setting Single-centre secondary care diabetic foot clinic located in New Zealand.</p> <p>Participants Substudy 1: 78 participants consisting of all people ≥ 18 years with a diabetic foot ulcer presenting to the clinic over 35 weeks in 2015. Substudy 2: 15 participants from Substudy 1 consenting to intensive insulin therapy.</p> <p>Intervention None in Substudy 1. Intensive insulin therapy combined with standard podiatry care over 24 weeks.</p> <p>Outcome measures Substudy 1: Proportion of participants satisfying potential entry criteria to the RCT. Substudy 2: Glycaemic control (fasting capillary blood sugar; FCBS); time to index ulcer healing; index ulcer size; medication satisfaction (DiabMedSat scores); and health-related quality of life (HrQOL; EQ-5D-5L and DFS-SF scores)..</p> <p><i>What was found:</i></p> <p>Results Proportion in Substudy 1 fulfilling all entry criteria was 31% (95% CI 21 to 42). FCBS values declined between baseline and study end (difference -3.7 mmol/L, 95% CI -6.5 to -0.8), suggesting adherence to therapeutic regimen; 83% (95% CI 44 to 95) of ulcers healed by 24 weeks. FCBS correlated negatively, and weakly-to-moderately, with medication satisfaction. Log(ulcer area in $\text{cm}^2 + 0.01$) was most sensitive to FCBS changes, correlating negatively, and moderately-to-strongly with QOL measures. Detecting a 30% between-group difference in the ulcer area logarithm (80% power, $\alpha = 5\%$) requires 220 participants per arm, achievable within 1 year with 15 centres similar to the study setting.</p>

Introduction

Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5	[...]clinical practice guidelines from the Society for Vascular Surgery, American Podiatric Medical Association, and Society for Vascular Medicine now recommend adequate glycaemic control (glycosylated haemoglobin [HbA1c] <53 mmol/mol) to reduce the incidence of diabetic foot ulcers 1. The evidence that improved glycaemic control can accelerate the healing of foot ulcers and reduce the incidence of ulceration and amputation remains observational 11-17. There is no randomised trial evidence that tight glycaemic control improves ulcer wound healing 18. A previous feasibility study in this area 19 concluded 15 years ago that a definitive randomised trial in this area was not feasible, possibly due to exacting entry criteria. To investigate further whether a randomised controlled trial (RCT) evaluating the efficacy of intensive insulin therapy on diabetic foot ulcer healing is possible, this two-part feasibility study sought appropriate but less stringent trial entry criteria to improve accrual rates (Substudy 1) and sought appropriate endpoints for such a trial (Substudy 2)..
----------------------	---	--	---	---

Objectives	3	State specific objectives, including any prespecified hypotheses	6	The primary objective of Substudy 1 was to estimate the proportion of participants satisfying the entry criteria for the planned RCT.. [...]Secondary objectives were to estimate the length of the recruitment period for the intended RCT, and determine participant satisfaction with their diabetes medication. In Substudy 2, the main objective was to determine a primary endpoint for the RCT by analysing sensitivity of ulcer healing-related outcomes [...]to glycaemic control accounting for standard podiatry care. The ulcer healing outcome measure with the best association with glycaemic control was to be assessed for convergent validity with an established foot ulcer scale. Secondary objectives included examining the relationship between adherence (using glycaemic control as proxy), as well as attendance, and satisfaction with diabetes medication, and evaluating health-related quality of life (HrQOL) measures in this population.
------------	---	--	---	--

Methods

Study design	4	Present key elements of study design early in the paper	7	<i>First paragraph of Setting and Study Design section:</i> Substudy 1 was a cross-sectional study enrolling all people aged ≥18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks. Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (below) and treating them with intensive insulin therapy for 24 weeks.
Setting	5	Describe the setting, locations, and relevant	7-8	<i>Setting, location and relevant dates, including periods of recruitment, follow-up:</i>

	<p>dates, including periods of recruitment, exposure, follow-up, and data collection</p>		<p>The study was conducted at the Counties Manukau Health (CMH) Diabetes Foot Clinic in Auckland, New Zealand between February and October 2015, with Substudy 2 follow-up to February 2016. [...]Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (below) and treating them with intensive insulin therapy for 24 weeks.</p> <p><i>Data collection:</i></p> <p>Their foot wound(s) (index ulcers) were inspected, and entry criteria status (Table 1) and demographic data recorded. In Substudy 2, visits occurred weekly between weeks 1 (baseline) and 4, then at 6, 8, 12, 16, 20 and 24 weeks, or until the index ulcers healed.</p>																												
<p>Participants</p>	<p>6 (a) <i>Cohort study</i>—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up</p> <p><i>Cross-sectional study</i>—Give the eligibility criteria, and the sources and methods of selection of participants</p>	<p>7 + Table 1</p>	<p><i>Cross-sectional:</i></p> <p>Substudy 1 was a cross-sectional study that enrolled all people aged ≥ 18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks.</p> <p><i>Longitudinal:</i></p> <p>Substudy 2 was a single-arm interventional study that enrolled Substudy 1 participants meeting all entry criteria (Table 1)</p> <p>Table 1 Entry criteria assessed in Substudy 1 (primary objective)</p> <table border="1"> <thead> <tr> <th>Criteria</th> <th>Notation</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td rowspan="5">Inclusion</td> <td>IC1</td> <td>Male or female aged ≥ 18 years</td> </tr> <tr> <td>IC2</td> <td>Type 1 or Type 2 diabetes mellitus for more than one year with an HbA_{1c} ≥ 60 mmol/mol ($\geq 7.6\%$)</td> </tr> <tr> <td>IC3</td> <td>Incident foot ulcer(s) located below the level of the malleoli</td> </tr> <tr> <td>IC4</td> <td>Able and willing to undertake home blood glucose monitoring and administer insulin up to 4 times daily under the supervision of the diabetes nurse specialist</td> </tr> <tr> <td>IC5</td> <td>Able and willing to provide informed consent to participate in the study</td> </tr> <tr> <td rowspan="5">Exclusion</td> <td>EC1</td> <td>Ulcers with radiological features of osteomyelitis</td> </tr> <tr> <td>EC2</td> <td>Significant peripheral vascular disease under consideration for re-vascularisation</td> </tr> <tr> <td>EC3</td> <td>Significant bone deformity as determined by the investigator which may delay wound healing</td> </tr> <tr> <td>EC4</td> <td>Non-adherence to standard care</td> </tr> <tr> <td>EC5</td> <td>Any other disease or condition in the opinion of the investigator could make them unsuitable for entry</td> </tr> <tr> <td>Full Criteria</td> <td>FC</td> <td>All inclusion criterion satisfied (5-Yes) and all exclusion criterion satisfied (5-No)</td> </tr> </tbody> </table>	Criteria	Notation	Description	Inclusion	IC1	Male or female aged ≥ 18 years	IC2	Type 1 or Type 2 diabetes mellitus for more than one year with an HbA _{1c} ≥ 60 mmol/mol ($\geq 7.6\%$)	IC3	Incident foot ulcer(s) located below the level of the malleoli	IC4	Able and willing to undertake home blood glucose monitoring and administer insulin up to 4 times daily under the supervision of the diabetes nurse specialist	IC5	Able and willing to provide informed consent to participate in the study	Exclusion	EC1	Ulcers with radiological features of osteomyelitis	EC2	Significant peripheral vascular disease under consideration for re-vascularisation	EC3	Significant bone deformity as determined by the investigator which may delay wound healing	EC4	Non-adherence to standard care	EC5	Any other disease or condition in the opinion of the investigator could make them unsuitable for entry	Full Criteria	FC	All inclusion criterion satisfied (5-Yes) and all exclusion criterion satisfied (5-No)
Criteria	Notation	Description																													
Inclusion	IC1	Male or female aged ≥ 18 years																													
	IC2	Type 1 or Type 2 diabetes mellitus for more than one year with an HbA _{1c} ≥ 60 mmol/mol ($\geq 7.6\%$)																													
	IC3	Incident foot ulcer(s) located below the level of the malleoli																													
	IC4	Able and willing to undertake home blood glucose monitoring and administer insulin up to 4 times daily under the supervision of the diabetes nurse specialist																													
	IC5	Able and willing to provide informed consent to participate in the study																													
Exclusion	EC1	Ulcers with radiological features of osteomyelitis																													
	EC2	Significant peripheral vascular disease under consideration for re-vascularisation																													
	EC3	Significant bone deformity as determined by the investigator which may delay wound healing																													
	EC4	Non-adherence to standard care																													
	EC5	Any other disease or condition in the opinion of the investigator could make them unsuitable for entry																													
Full Criteria	FC	All inclusion criterion satisfied (5-Yes) and all exclusion criterion satisfied (5-No)																													

EC, exclusion criteria; HbA_{1c}, glycosylated haemoglobin; IC, inclusion criteria.

(b) *Cohort study*—For matched studies, give matching criteria and number of exposed and unexposed

Case-control study—For matched studies, give matching criteria and the number of controls per case

Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8	<p>Study procedures and outcomes</p> <p>Substudy 1 participants completed a Diabetes Medication Satisfaction (DiabMedSat) questionnaire. Their foot wound(s) (index ulcers) were inspected, and entry criteria status (Table 1) and demographic data recorded. In Substudy 2, [...] [t]he following were undertaken at each visit: ulcer examination: digital photographic planimetry of ulcer area (using the SilhouetteStar camera, ARANZ Medical, New Zealand); FCBS measurement (in mmol/L); medication review; and adverse events assessment. FCBS was used in the analyses as a measure of glycaemic control and as a proxy for adherence. HbA1c was assessed at baseline and at the end of trial, providing an assessment of chronic hyperglycaemia. [...]. In addition, participants completed three questionnaires at each visit: DiabMedSat, EuroQol 5 Dimensions 5 Levels (EQ-5D-5L) and Diabetic Foot Ulcer Scale-Short Form (DFS-SF).</p>
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group		<p><i>See 7 above. No comparator group is used in this feasibility study.</i></p>
Bias	9	Describe any efforts to address potential sources of bias	9	<p><i>Substudy 1 – bias due to differential initial and later recruitment:</i></p> <p>A Poisson exact test was used to compare recruitment rates over two recruitment periods and mixed logistic regression used to compare differences in entry criteria fulfilment between the periods.</p> <p><i>Substudy 2 – bias due to confounding by time::</i></p> <p>Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at each visit [...] and glycaemic control. (The value of 0.01 in the logarithmic endpoint was chosen on the basis of the data to improve the normality of the outcome.) [...]. Time- and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential confounding, as tight management in a foot clinic may promote ulcer healing in several ways.</p>
			10	<p><i>Substudy 2 – bias due to missingness:</i></p>

[The non-survival] analyses all involved mixed models on longitudinal data, known to alleviate selection bias due to missingness.

The relevant sources of bias for the main study (for which this is a feasibility study) is bias due to loss to follow-up or missingness. Accordingly, we have attempted to briefly characterise participant attendance:

Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the 24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS, measure of ulcer area and DiabMedSat subscores using mixed logistic regression.

Study size	10	Explain how the study size was arrived at	7	<p>Accrual period and sample size</p> <p>The accrual period for Substudy 1 (35 weeks) was selected as long as possible while respecting contractual obligations with the study funder.[...]</p> <p>Substudy 2 aimed to recruit 20 participants. Assuming 10% attrition, this number is sufficient to estimate the standard deviation of a continuous variable (e.g. ulcer area) with a coefficient of variation inferior to 0.2. This criterion is consistent with the goal of identifying a sensitive primary endpoint endowed with good precision.</p>
------------	----	---	---	---

Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9-10	<p><i>Substudy 1:</i></p> <p>Descriptive statistics were produced for [...] DiabMedSat subscores. Multivariate analysis of variance (MANOVA) was used to detect differences in subscores based on demographic or participant characteristics. [...] [M]ixed logistic regression [was] used to compare differences in entry criteria fulfilment between the periods. Indicators of criterion satisfaction were fitted jointly by themselves in a first model, and interacting with period in a second model.</p> <p><i>Substudy 2:</i></p> <p>[...]</p> <p>Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at each visit (ulcer area in cm², log[ulcer area+0.01], absolute and relative rates of change in ulcer area, where “log” is the natural logarithm function) and glycaemic control. (The value of 0.01 in the logarithmic endpoint was chosen based on the data to improve the normality of the outcome.) FCBS was the fixed effect and participant the random</p>
------------------------	----	--	------	---

effect. [...]The most sensitive outcome was selected by consideration of its time-adjusted observed significance level and its equivalent Cohen's effect size where appropriate. To estimate correlations and their 95% confidence intervals (CI) using longitudinal data, we fitted outcomes jointly using a heterogeneous compound symmetry covariance model, and using only intercepts as fixed effects. We thus obtained correlations between DFS-SF subscores and selected ulcer healing outcome; between DiabMedSat subscores and FCBS; and between DFS-SF subscores and EQ-5D-5L. (*And before any non-statistical reviewer vociferates that this makes no sense, they should talk to a statistician.*)

Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the 24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS, measure of ulcer area and DiabMedSat subscores using mixed logistic regression. The final attendance model was selected using AIC.

16	Statistical	12	(a) Describe all	9	<i>See 11 above, to which we add:</i>
17	methods		statistical		<i>Substudy 1:</i>
18			methods,		Descriptive statistics were produced for participant demographic characteristics, recruitment rate, entry criteria
19			including those		fulfilment [...].
20			used to control for		A Poisson exact test was used to compare recruitment rates over two recruitment periods [...].
21			confounding		
22					<i>Substudy 2:</i>
23					Time-to-healing was considered as a fifth possible outcome, and Cox regression used to estimate the hazard ratio of
24					ulcer healing under changes in FCBS, taken as a time-dependent covariate.
25					
26					<i>Substudy 2 – in regard to confounding:</i>
27					Time- and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess
28					potential confounding, as tight management in a foot clinic may promote ulcer healing in several ways.
29					
30			(b) Describe any	9	<i>Applies to Substudy 1 only:</i>
31			methods used to		[...] [M]ixed logistic regression [was] used to compare differences in entry criteria fulfilment between the periods.
32			examine		Indicators of criterion satisfaction were fitted jointly by themselves in a first model, and interacting with period in a
33			subgroups and		second model. Models were compared using a deviance test.
34			interactions		
35					
36					
37					
38					

	(c) Explain how missing data were addressed	10	<i>(Note: No missing data in Substudy 1).</i> No data were missing for time-to-healing analyses. Other analyses all involved mixed models on longitudinal data, known to alleviate selection bias due to missingness
	(d) Cohort study—If applicable, explain how loss to follow-up was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	10	<i>Cross-sectional Substudy 1: not applicable</i> <i>Longitudinal Substudy 2:</i> Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the 24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS, measure of ulcer area and DiabMedSat subscores using mixed logistic regression. The final attendance model was selected using AIC. See also 12 (c).
	(e) Describe any sensitivity analyses	10	<i>Arguably, our main analysis for Substudy 2 is a sensitivity analysis:</i> Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at each visit (ulcer area in cm ² , log[ulcer area+0.01], absolute and relative rates of change in ulcer area) and glycaemic control. (The value of 0.01 in the logarithmic endpoint was chosen based on the data to improve the normality of the outcome.) FCBS was the fixed effect and participant the random effect. Time-to-healing was considered as a fifth possible outcome. A Kaplan-Meier estimate of ulcer persistence probability was produced and Cox regression used to estimate the hazard ratio of ulcer healing under changes in FCBS, taken as a time-dependent covariate. Time- and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential confounding, as tight management in a foot clinic may promote ulcer healing in several ways. The most sensitive outcome was selected by consideration of its adjusted observed significance level and its equivalent Cohen's effect size where appropriate.

Results

Participants	13*	(a) Report numbers of	10	<i>Substudy 1:</i> Seventy-eight participants (all unique clinic visitors during the recruitment period) were enrolled in Substudy 1.
--------------	-----	-----------------------	----	--

		individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed		<p><i>Substudy 2:</i> (page 8): Substudy 2 was a single-arm interventional study that enrolled Substudy 1 participants meeting all entry criteria [...]. (page 11): Seventy-eight participants (all unique clinic visitors during the recruitment period) were enrolled in Substudy 1 [...]. (page 12 – this is apparently out of order because it is a feasibility result):. Twenty-four participants (30.8% [95% CI 20.8, 42.2]) met all criteria. (page 12): Seventeen participants entered Substudy 2. One withdrew consent after Visit 1 and another was healed on Visit 1, leaving 15 participants in the analysis set. (page 12): Two participants with unhealed ulcers did not attend the 24-week visit.</p>
		(b) Give reasons for non-participation at each stage	11	<p><i>Substudy 2:</i> One withdrew consent after Visit 1 and another was healed on Visit 1, leaving 15 participants in the analysis set.</p>
		(c) Consider use of a flow diagram		<i>The flow appears to us to be simple enough not to warrant a diagram.</i>
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7	<p><i>Substudy 1 (see also Table 1):</i> Mean age was 57.3 years (SD 14.0). The majority were men and most were of Pacific or European ethnicity. (Table 2). <i>Substudy 2 – see Table 2</i></p>
		(b) Indicate number of participants with missing data for	11	<p><i>Substudy 2:</i> Clinical constraints or patient choice prevented some measurements from being taken, yielding completeness proportions for specific outcomes between 78% (DMS and FCBS) and 85% (ulcer area).</p>

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

		each variable of interest		
	(c) Cohort study—	Summarise follow-up time (eg, average and total amount)	11	Substudy 2: Completeness Of the 102 scheduled visits, 12 were missed [...].The average follow-up time to healing or last visit was 17.6 weeks (SD 7.8).
Outcome data	15*	Cohort study— Report numbers of outcome events or summary measures over time	12	Substudy 2: Twelve Substudy 2 participants experienced complete healing between weeks 3 and 24; the remaining three had unhealed ulcers at the time of their last visit on or before week 24. Median ulcer healing time was 7 weeks. The Kaplan-Meier estimate of the proportion of ulcers not healing by week 24 was 16.7% (95% CI 5.0–56.1%). <i>Table 4 for summary correlations</i>
		Case-control study—Report numbers in each exposure category, or summary measures of exposure		
		Cross-sectional study—Report numbers of outcome events or summary measures	8	Substudy 1: Recruitment rate and entry criteria Period A (as defined earlier) finished after 6 weeks, and was the most active with 42 participants recruited compared with 36 participants over the remaining 29 weeks, forming Period B. All participants satisfied criterion IC1, 78.2% met IC2, 98.7% met IC3, 73.1% met IC4 and 57.7% met IC5.Twenty-four participants (30.8% [95% CI 20.8, 42.2]) met all criteria. [...] Diabetes Medication Satisfaction

Higher scores on the DiabMedSat subscales indicate increased satisfaction for all three subscales. The subscale histograms are displayed in Figure 2. Median score for the Efficacy subscale was 63.3 (interquartile range [IQR] 26.7), and was numerically lower than those of the Burden (81.8 [IQR 22.8]) and Symptom subscales (80.0 [IQR 16.0]).

Main results

16

(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included

Table 3

Table 1 Unadjusted and adjusted regression results for five ulcer-healing endpoints against fasting capillary blood sugar

Ulcer outcome	Adjustment status	Change in outcome per 1 mmol/L increase in FCBS		Equivalent effect size	P	
		Estimate	95% CI			
Ulcer area (cm ²) †	Unadjusted	0.010	[-0.041,0.062]	0.004	0.69	
	Adjusted§	0.004	[-0.024,0.032]	0.001	0.77	
Log(ulcer area+0.01) †	Unadjusted	0.134	[0.049,0.214]	0.10	0.002	**
	Adjusted§	0.114	[0.020,0.194]	0.08	0.0145	*
Rate of absolute change (cm ² /week) †	Unadjusted	0.011	[-0.018, 0.041]	0.01	0.45	
	Adjusted¶	0.011	[-0.019,0.041]	0.01	0.69	
Rate of relative change (%/week ⁻¹) †	Unadjusted	5.7	[1.1, 10.3]	0.06	0.015	*
	Adjusted¶	5.7	[1.1,10.3]	0.06	0.015	*
Hazard ratio‡	Unadjusted	0.90	[0.74,1.10]	N/A	0.31	
	Adjusted † †	0.88	[0.71,1.08]	N/A	0.21	

† Mixed linear regression, additive change reported ‡ Cox regression, multiplicative change reported

§ Adjusted for baseline value and time since Visit 1. ¶ Adjusted for time since Visit 1 only.

† † Adjusted for baseline ulcer area

FCBS: fasting capillary blood sugar; CI: confidence interval

(b) Report category boundaries when continuous variables were categorized

Not applicable.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	11	<i>Substudy 1:</i> The A-to-B rate ratio of eligibility to a full study, however, was 4.1 (95% CI 1.8-9.2). The eligibility rate in period B was 0.45 participant per week (95% CI 0.24-0.77).
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses		<i>Not applicable.</i>
Discussion				
Key results	18	Summarise key results with reference to study objectives	10	This feasibility study showed that by using less stringent entry criteria, more people with diabetic foot ulcers attending our foot clinic (30%) may be eligible for a proposed RCT investigating the efficacy of an intensive insulin regimen on diabetic foot ulcer healing than reported in a previous feasibility study (0.5% [1/200]) 19. The study also showed that the primary endpoint of log(ulcer area+0.01), with ulcer area measured using digital photographic planimetry, may be sensitive enough to glycaemic control to base an RCT on in this population.
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision.	11	<i>(No biasing issue was identified, but see 21.)</i> Some limitations temper the interpretation of our results. Firstly, Substudy 2 did not reach the target sample size of 20. This shortfall was largely due to availability of personnel and funder timelines. These issues, particularly around staffing, have the potential to affect any future RCT. Moreover, the study was conducted at a single centre in New Zealand, limiting generalisability to other populations and settings with different care pathways for diabetes and diabetic complications.

		Discuss both direction and magnitude of any potential bias		
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16	The study has produced evidence of moderate quality that tight glycaemic control may be beneficial for ulcer healing, and that an outcome derived from ulcer area could be sensitive to glycaemic control as expressed by FCBS. However, we have also demonstrated that a reasonably powered trial would require the involvement of a large number of centres, increasing the complexity of such an undertaking.
Generalisability	21	Discuss the generalisability (external validity) of the study results	16	[...] [T]he study was conducted at a single centre in New Zealand, limiting generalisability to other populations and settings with different care pathways for diabetes and diabetic complications.
Other information				
Funding	22	Give the source of funding and the role of the funders for the present study and, if	17	Funding This work was supported by the Health Research Council of New Zealand's Feasibility Study grant number 14/605.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

applicable, for
the original
study on which
the present
article is based

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Does intensive glycaemic control promote healing in diabetic foot ulcers? A feasibility study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-029009.R2
Article Type:	Original research
Date Submitted by the Author:	11-Dec-2019
Complete List of Authors:	Dissanayake, Ajith; Counties Manukau Health, Endocrinology Vandal, Alain; Auckland University of Technology, Faculty of Health and Environmental Sciences; Counties Manukau Health, Ko Awatea Boyle, Veronica; Counties Manukau District Health Board, Diabetes and Endocrinology Park, Diane; Auckland University of Technology, Faculty of Health and Environmental Sciences Milne, Bobbie; Middlemore Clinical Trials Grech, Roger; Counties Manukau Health, Podiatry Ng, Anthony; Counties Manukau Health, Podiatry
Primary Subject Heading:	Diabetes and endocrinology
Secondary Subject Heading:	Research methods
Keywords:	Diabetic foot < DIABETES & ENDOCRINOLOGY, WOUND MANAGEMENT, STATISTICS & RESEARCH METHODS

SCHOLARONE™
Manuscripts

TITLE

Does intensive glycaemic control promote healing in diabetic foot ulcers? A feasibility study

AUTHOR DETAILS

Ajith Dissanayake*

Consultant endocrinologist, Counties Manukau District Health Board

Alain C. Vandal*

Associate Professor, Department of Statistics, The University of Auckland

Veronica Boyle

Endocrinology Registrar, Counties Manukau District Health Board

Diane Park

Research Assistant, Faculty of Health and Environmental Sciences

Auckland University of Technology

Bobbie Milne

Research Nurse, Middlemore Clinical Trials

Roger Grech

Podiatrist, Counties Manukau District Health Board

Anthony Ng

Podiatrist, Counties Manukau District Health Board

* A. Dissanayake and A.C. Vandal should be considered joint lead authors

CORRESPONDING AUTHOR

Associate Professor Alain C. Vandal

PO Box 93311

Research and Evaluation Office, Ko Awatea, Counties Manukau Health,
Otahuhu, Auckland, New Zealand.

Tel: +64 9 921 9999 ext 7726

Email: alain.vandal@auckland.ac.nz

WORD COUNT

333 (Abstract)

3152 (Main text)

ABBREVIATED TITLE

Glycaemic control for diabetic foot ulcers

ABSTRACT

Introduction One in four diabetes patients will develop a foot ulcer over their lifetime. The role of glycaemic control in the healing of foot ulcers in diabetes patients is not supported by randomised controlled trial (RCT) data.

Objectives: To determine the feasibility of an RCT of glycaemic control with intensive insulin therapy in diabetic foot ulcer, by assessing: entry criteria; fasting capillary blood glucose (FCBG) medication satisfaction; sensitivity of different ulcer-healing endpoints to glycaemic control.

Design Two substudies: one cross-sectional, one single-arm prospective.

Setting Single-centre secondary care diabetic foot clinic in New Zealand.

Participants Substudy 1: 78 participants consisting of all people ≥ 18 years with a diabetic foot ulcer presenting to the clinic over 35 weeks in 2015. Substudy 2: 15 participants from Substudy 1 consenting to intensive insulin therapy.

Intervention Substudy 1: none. Substudy 2: Intensive insulin therapy with standard podiatry care over 24 weeks.

Outcome Substudy 1: Proportion of participants satisfying potential RCT entry criteria; medication satisfaction (DiabMedSat). Substudy 2: Fasting capillary blood glucose (FCBG); index ulcer healing time; index ulcer size; health-related quality of life (HRQoL; EQ-5D-5L and DFS-SF).

Results Proportion in Substudy 1 satisfying all entry criteria was 31% (95% CI 21 to 42). FCBG values decreased between baseline and study end (difference -3.7 mmol/L, 95% CI -6.5 to -0.8); 83% (95% CI 44 to 95) of ulcers healed by 24 weeks. FCBG correlated negatively with medication satisfaction. Ulcer area logarithm was most sensitive to FCBG changes, displaying significant negative correlation with HRQoL outcomes. Detecting a 30% between-group difference in this outcome (80%

1
2
3 power, $\alpha=5\%$) requires 220 participants per arm, achievable within 1 year with 15
4
5 centres similar to study setting.
6

7
8 **Conclusions** An adequately powered RCT requires co-operation between a large
9
10 number of centres. Ulcer area logarithm should be primary endpoint.
11

12 **Trial registration** ANZCTR ACTRN12617001414303
13
14

15 16 17 **Strengths and limitations of this study** 18

- 19
20 • First study in 15 years to examine the feasibility of a definitive randomised
21
22 controlled trial (RCT) of intensive insulin therapy for diabetic foot ulcers.
23
- 24 • Use of imaging techniques allowed assessment of various ulcer-size-related
25
26 outcomes as potential primary endpoints for an RCT.
27
- 28 • The target sample size of 20 for Substudy 2, examining the relationship
29
30 between ulcer healing and glycaemic control, was not achieved during the
31
32 study period.
33
- 34 • The study was conducted in a single centre in New Zealand, limiting
35
36 generalisability to other populations and settings with different pathways for
37
38 diabetes and diabetic complications.
39
40
41
42
43
44

45 **KEYWORDS**

46
47 Diabetic Foot
48 Blood Glucose
49 Wound Healing
50 Outcome Assessment (Health Care)
51 Sample Size
52
53
54
55
56
57
58
59
60

Introduction

One in four diabetes patients will develop a foot ulcer in their lifetime [1]. Diabetic foot ulcer is one of the most significant complications of diabetes [1–4] and often responds poorly to treatment, with only one-third of those managed in secondary care healing by 3 months and one-half at 6 months [5]. Non-healing ulcers are an important cause of lower extremity amputation. Most notable causes of foot ulceration are peripheral neuropathy, peripheral vascular disease and structural foot disease [6,7]. These factors are linked to hyperglycaemia [8–10] and pathological states associated with diabetes.

A meta-analysis of nine randomised controlled trials in nearly 11,000 participants showed that intensive glycaemic control potentially improved the incidence of diabetic foot ulcer, decreased the risk of amputation and improved sensory nerve function compared with less intensive control [11]. As a result, clinical practice guidelines from the Society for Vascular Surgery, American Podiatric Medical Association, and Society for Vascular Medicine now recommend adequate glycaemic control (glycosylated haemoglobin [HbA1c] <53 mmol/mol) to reduce the incidence of diabetic foot ulcers [1].

The evidence that improved glycaemic control can accelerate the healing of foot ulcers and reduce the incidence of ulceration and amputation remains observational [12–18]. There is no randomised trial evidence that tight glycaemic control improves ulcer wound healing [11]. A previous feasibility study in this area concluded 15 years ago that a definitive randomised trial in this area [19] was not feasible, possibly due to exacting entry criteria. To investigate further whether a randomised controlled trial (RCT) evaluating the efficacy of intensive insulin therapy on diabetic foot ulcer healing is possible, this two-part feasibility study sought appropriate but less

1
2
3 stringent trial entry criteria to improve accrual rates (Substudy 1) and sought
4 appropriate endpoints for such a trial (Substudy 2). In Substudy 2, presence or
5 absence of peripheral neuropathy, peripheral vascular disease and foot deformities
6 were noted as they were identified factors in the genesis of diabetic foot ulcers. Data
7 on microcirculation in the feet were also collected using novel laser imaging
8 technology. This report focuses on data from Substudy 1 and Substudy 2; the laser
9 imaging data analysis will be reported separately.

10
11
12
13
14
15
16
17
18
19 The primary objective of Substudy 1 was to estimate the proportion of participants
20 satisfying the entry criteria for the planned RCT. Entry criteria used in the previous
21 feasibility study [19] were revised as follows: removing the requirement for chronic
22 ulcers (>4 weeks); removing the ulcer size criterion (25-2500 mm²), and including
23 participants with a higher HbA1c (≥ 60 mmol/mol), renal disease and/or a history of
24 hypoglycaemia. Secondary objectives were to estimate the length of the recruitment
25 period for the intended RCT, and determine participant satisfaction with their
26 diabetes medication.

27
28
29
30
31
32
33
34
35
36
37
38 In Substudy 2, the main objective was to determine a primary endpoint for the RCT
39 by analysing sensitivity of ulcer healing-related outcomes (ulcer area, change in
40 ulcer area, and time to complete healing) to glycaemic control accounting for
41 standard podiatry care. The ulcer healing outcome measure with the best
42 association with glycaemic control was to be assessed for convergent validity with an
43 established foot ulcer scale. Secondary objectives included examining the
44 relationship between improved glycaemic control as well as attendance, and
45 satisfaction with diabetes medication, and evaluating health-related quality of life
46 (HrQOL) measures in this population.

Materials and methods

Setting and Study Design

The study was conducted at the Counties Manukau Health (CMH) Diabetes Foot Clinic in Auckland, New Zealand between 2 February and 28 September 2015, with Substudy 2 follow-up to 20 February 2016. Substudy 1 was a cross-sectional study enrolling all people aged ≥ 18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks. Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (Table 1) and treating them with intensive insulin therapy for 24 weeks. The protocol was approved by the New Zealand Northern A Health and Disability Ethics Committee (ref: 14/NTA/195). All participants provided informed written consent.

Accrual periods and sample size

The accrual period for Substudy 1 (35 weeks) was selected as long as possible while respecting contractual obligations with the study funder. To distinguish between recruitment of existing patients and new patients, we prospectively defined two recruitment periods. During the first period of recruitment (Period A) all patients attending the diabetic foot clinic were to be recruited. Period A was to finish from the moment patients already attending the clinic were recruited, at which point only newly enrolled clinic patients started to be recruited in the study, giving way to Period B (Figure 1).

<< Figure 1 approximately here. >>

Substudy 2 aimed to recruit 20 participants. Assuming 10% attrition, this number is sufficient to estimate the standard deviation of a continuous variable (e.g. ulcer area)

1
2
3 with a coefficient of variation inferior to 0.2. This criterion is consistent with the goal
4
5 of identifying a sensitive primary endpoint endowed with good precision.
6
7

8 <<Table 1 approximately here>>
9

10 **Patient and Public Involvement**

11
12 Patients' priorities, experience and preferences were taken into account through the
13
14 clinical experience of the study team, who devised the research question associated
15
16 with the intended full study. The participants were not involved in the design of this
17
18 study; however, one of the objectives of the feasibility study was to obtain feedback
19
20 from participants that would inform the design of a potential larger study. Patients
21
22 were not involved in the recruitment or conduct of the study. The participants will be
23
24 provided access to the research paper. The results of the study will be displayed in
25
26 the podiatry clinic where the participants attend.
27
28
29
30
31

32 **Study procedures and outcomes**

33
34 Substudy 1 participants completed a Diabetes Medication Satisfaction (DiabMedSat)
35
36 questionnaire [20,21]. Their foot wound(s) (index ulcers) were inspected, and entry
37
38 criteria status (Table 1) and demographic data recorded. In Substudy 2, visits
39
40 occurred weekly between weeks 1 (baseline) and 4, then at 6, 8, 12, 16, 20 and 24
41
42 weeks, or until the index ulcers healed. The following were undertaken at each visit:
43
44 ulcer examination: digital photographic planimetry of ulcer area (using the
45
46 SilhouetteStar camera, ARANZ Medical, New Zealand); FCBG measurement (in
47
48 mmol/L); medication review; and adverse events assessment. FCBG was used in
49
50 the analyses as a measure of glycaemic control [22] HbA1c was assessed at
51
52 baseline and at the end of trial, providing an assessment of chronic hyperglycaemia.
53
54 By contrast, fasting capillary glucose or mean daily capillary glucose may provide
55
56 evidence of acute improvement of glycaemia in a short-term clinical trial[22]. In
57
58
59
60

1
2
3 addition, participants completed three questionnaires at each visit: DiabMedSat
4 [20,21], EuroQol 5 Dimensions 5 Levels (EQ-5D-5L) [23,24] and Diabetic Foot Ulcer
5 Scale-Short Form (DFS-SF) [25].
6
7
8
9

10 **Intervention**

11
12
13 On entry to Substudy 2, intermediate- or long-acting insulin was initiated or adjusted,
14 and given in addition to usual oral hypoglycaemic tablet therapy (first line metformin,
15 second line sulphonylurea). In addition participants received cholesterol lowering
16 medication, anti-hypertensives and aspirin to prevent cardiovascular disease
17 consistent with international guidelines [26]. Short-acting mealtime insulin was
18 provided as appropriate. The goal was to maintain FCBG at 4–7 mmol/L, with ≤ 2
19 episodes of mild hypoglycaemia per week. If > 2 episodes of mild hypoglycaemia
20 occurred the target FCBG was raised. Within these parameters the choice of
21 regimen was determined by the Diabetes Nurse Specialist.
22
23
24
25
26
27
28
29
30
31
32

33
34 Substudy 2 participants received usual podiatry care at each visit, including ulcer
35 debridement, orthotics prescription and adjustments, antibiotics if indicated and
36 education.
37
38
39
40

41 **Statistical analyses**

42 *Substudy 1*

43
44
45 Descriptive statistics were produced for participant demographic characteristics,
46 recruitment rate, entry criteria fulfilment and DiabMedSat subscores. Multivariate
47 analysis of variance (MANOVA) was used to detect differences in subscores based
48 on demographic or participant characteristics. A Poisson exact test was used to
49 compare recruitment rates over two recruitment periods and mixed logistic
50 regression used to compare differences in entry criteria fulfilment between the
51 periods. Indicators of criterion satisfaction were fitted jointly by themselves in a first
52
53
54
55
56
57
58
59
60

1
2
3 model, and interacting with period in a second model. Models were compared using
4
5 deviance tests.
6

7 *Substudy 2*

8
9
10 The analysis set for this feasibility study consisted of all participants having initiated
11
12 treatment. All analyses were carried out on the index ulcers, present at the first visit.
13
14 All participants had a single index ulcer.

15
16
17 Linear mixed models were used to determine the relationship between four different
18
19 ulcer area-related outcomes at each visit (ulcer area in cm², log[ulcer area+0.01],
20
21 absolute and relative rates of change in ulcer area) and glycaemic control. (The
22
23 value of 0.01 in the logarithmic endpoint has been previously validated as a
24
25 surrogate marker of ulcer healing [27] was chosen based on the data to improve the
26
27 normality of the outcome.) FCBG was the fixed effect and participant the random
28
29 effect. Time-to-healing was considered as a fifth possible outcome. A Kaplan-Meier
30
31 estimate of ulcer persistence probability was produced and Cox regression used to
32
33 estimate the hazard ratio of ulcer healing under changes in FCBG, taken as a time-
34
35 dependent covariate. Time- and/or baseline ulcer area-adjusted estimates, as well
36
37 as unadjusted estimates, were produced to assess potential confounding, as tight
38
39 management in a foot clinic may promote ulcer healing in several ways. The most
40
41 sensitive outcome was selected by consideration of its adjusted observed
42
43 significance level and its equivalent Cohen's effect size where appropriate. To
44
45 estimate correlations and their 95% confidence intervals (CI) using all available
46
47 longitudinal data, we fitted outcomes jointly using a heterogeneous compound
48
49 symmetry covariance model, and using only intercepts as fixed effects. We thus
50
51 obtained correlations between DFS-SF subscores and selected ulcer healing
52
53
54
55
56
57
58
59
60

1
2
3 outcome; between DiabMedSat subscores and FCBG; and between DFS-SF
4
5 subscores and EQ-5D-5L.
6

7
8 Descriptive statistics were produced on completed follow-ups (defined as healing
9
10 before 24 weeks or attending the 24-week visit) and attended visits. Attendance
11
12 probability was regressed on the most recently available FCBG, measure of ulcer
13
14 area and DiabMedSat subscores using mixed logistic regression. The final
15
16 attendance model was selected using AIC.
17

18
19 No data were missing for time-to-healing analyses. Other analyses all involved
20
21 mixed models on longitudinal data, known to alleviate selection bias due to
22
23 missingness [28].
24
25

26 27 **Results**

28 29 **Participants**

30
31
32 <<Table 2 approximately here.>>
33

34 Seventy-eight participants (all unique clinic visitors during the recruitment period)
35
36 were enrolled in Substudy 1 (Table 2). Mean age was 57 years (SD 14). The majority
37
38 were men and most were of Pacific or European ethnicity. No data were missing for
39
40 Substudy 1. All participants were identified as having some measure of foot
41
42 deformity, judged unlikely to affect ulcer healing by the treating podiatrists. However,
43
44 no objective measure of foot deformity, to our knowledge, has been assessed for
45
46 association with ulcer healing.
47
48

49
50 Seventeen participants entered Substudy 2. One withdrew consent after Visit 1 and
51
52 another was healed on Visit 1, leaving 15 participants in the analysis set, assessed
53
54 on a total of 90 occasions.
55
56
57
58
59
60

Completeness

Two participants with unhealed ulcers did not attend the 24-week visit (13%, 95% CI 2 to 40). Of the 102 scheduled visits, 12 were missed, yielding an attendance proportion of 91% (95% CI 79 to 96), accounting for clustering by participant. Average follow-up time to healing or last visit was 17.6 weeks (SD 7.8). Clinical constraints or patient choice prevented some measurements from being taken, yielding completeness proportions for specific outcomes between 78% (DiabMedSat and FCBG) and 85% (ulcer area).

Recruitment rate and entry criteria

Period A (as defined earlier) finished after 6 weeks, and was the most active with 42 participants recruited compared with 36 participants over the remaining 29 weeks, forming Period B.

All participants satisfied criterion IC1, 78.2% met IC2, 98.7% met IC3, 73.1% met IC4 and 57.7% met IC5. Twenty-four participants (30.8%, 95% CI 20.8 to 42.2) met all criteria. Removal of any single criteria increased eligibility proportion appreciably only in the case of IC2 (to 37.2%, 95% CI 26.5 to 48.9) and IC5 (to 38.5%, 95% CI 27.7 to 50.2). The probabilities of participants meeting entry criteria differed between periods A and B (Figure 2); the criterion-period interaction term was significant ($P=0.009$). The A-to-B rate ratio of eligibility to a full study was 4.1 (95% CI 1.8 to 9.2). The eligibility rate in period B was 0.45 participant per week (95% CI 0.24 to 0.77).

<<Figure 2 approximately here.>>

Diabetes Medication Satisfaction

Higher scores on the DiabMedSat subscales indicate increased satisfaction for all three subscales. The subscale histograms are displayed in Figure 3. Median score

1
2
3 for the Efficacy subscale was 63.3 (interquartile range [IQR] 26.7), and was
4 numerically lower than those of the Burden (81.8 [IQR 22.8]) and Symptom
5 subscales (80.0 [IQR 16.0]). MANOVA demonstrated no significant variation in
6 subscale scores based on ethnicity, sex or diabetes duration, but there was
7 significant variation in the Burden ($P = 0.005$) and Symptom ($P=0.026$) scores based
8 on age (generally higher in older participants).
9

10
11
12 <<Figure 3 approximately here.>>
13
14

15 16 17 **Glycaemic control**

18
19
20 FCBG values during the study indicated that participants were generally adhering to
21 their glycaemic control regimen. Between study end and baseline, the mean
22 difference in FCBG was -3.7 mmol/L (95% CI -6.5 to -0.8) and in HbA1c was -9.4
23 mmol/mol (95% CI -19.0 to 0.3). The fasting blood glucose of participants of
24 Substudy 2 over time are shown in Figure 4.
25
26
27
28
29
30
31
32

33
34 << Figure 4 approximately here. >>
35
36

37 38 **Selection of primary endpoint for RCT**

39
40 <<Table 3 approximately here.>>
41

42
43 Twelve Substudy 2 participants experienced complete healing between weeks 3 and
44 24; the remaining three had unhealed ulcers at the time of their last visit on or before
45 week 24. Median ulcer-healing time was 7 weeks. The Kaplan-Meier estimate of the
46 proportion of ulcers not healing by week 24 was 16.7% (95% CI 5.0 to 56.1). The Cox
47 model showed no significant association between time to ulcer healing and FCBG
48 (Table 3).
49
50
51
52

53
54
55 Log(ulcer area in $\text{cm}^2+0.01$) (hereafter “log of ulcer area”) and ulcer area relative rate
56 of change were the only ulcer healing outcomes sensitive to change in FCBG (Table
57 3). The former was selected as most sensitive to changes in FCBG, with effect size
58
59
60

1
2
3 of 0.08 per mmol/L increase in FCBG, adjusted for both time and baseline value.
4
5 This corresponds to a 30% reduction in ulcer area with a 3mmol/L improvement in
6
7 FCBG. Time adjustment was intended to account for non-glycaemia-related
8
9 improvements and interventions such as podiatric care. Between- and within-
10
11 participant outcome variances were estimated at 1.3 and 0.4 respectively.
12
13

14 15 **Validation of selected outcome with QOL measures**

16
17 <<Table 4 approximately here.>>
18

19
20 DFS-SF scores tended to increase over time (i.e. improved HrQOL); increases
21
22 reached statistical significance for four of the six subscales (Leisure [$P=0.05$],
23
24 Dependence [$P=0.01$], Negative emotion [$P=0.001$] and Worried about ulcers
25
26 [$P=0.04$]). All six subscales showed statistically significant, moderate-to-strong
27
28 negative correlation with log of ulcer area (Table 4).
29
30

31 32 **Participant satisfaction and improvement of fasting capillary blood glucose**

33
34 Glycaemia levels displayed weak to moderate negative correlation with the
35
36 DiabMedSat scores. The correlation of FCBG with the Burden subscale was -0.35
37
38 (95% CI -0.59 to -0.09; $P=0.01$), with the Efficacy subscale -0.42 (95% CI -0.61 to
39
40 -0.18; $P=0.0009$) and with the Symptoms subscale -0.21 (95% CI -0.47 to 0.08,
41
42 $P=0.15$).
43
44

45 46 **Health-related QOL**

47
48 The EQ-5D-5L VAS displayed moderate to strong positive correlation with all six
49
50 DFS-SF subscale scores (Table 4).
51
52
53
54
55
56
57
58
59
60

Modelling of attendance

The model explaining attendance with smallest AIC involved the DiabMedSat Burden score only, with an attendance odds ratio of 1.78 (95% CI 1.26 to 2.51; $P=0.001$) per 10-point score increase.

Discussion

Key findings

This feasibility study showed that by using less stringent entry criteria, more people with diabetic foot ulcers attending our foot clinic (30%) may be eligible for a proposed RCT investigating the efficacy of an intensive insulin regimen on diabetic foot ulcer healing than reported in a previous feasibility study (0.5% [1/200]) [19]. The study also showed that the primary endpoint of log of ulcer area, with ulcer area measured using digital photographic planimetry, may be sensitive enough to glycaemic control to base an RCT on in this population.

The mean HbA1c of substudy group 2 prior to the intervention was 93 mmol/mol and the mean FCBG was 11.3 mmol/L. Depending on the model used to estimate HbA1c from FCBG there may appear to be a discrepancy. However, this is explained by the small number of participants, and the variability of contribution between FCBG and post-prandial capillary blood glucose between individuals especially at higher HbA1c concentrations [22].

In terms of design and conduct of an RCT of intensive glycaemic control versus standard care in people with diabetic foot ulcers, analysis showed that the largest gains in eligibility from removal of a single criterion would occur by waiving IC2 (type 1 or type 2 diabetes for greater than one year with an HbA1c of >60mmol/mol) or IC5. IC5 cannot of course be waived, since participant consent is compulsory in any ethical clinical trial. However, if glycaemic control is efficacious in individuals with

1
2
3 recently developed diabetes, IC2 could perhaps advantageously be relaxed. Those
4 with recent onset diabetes maybe different to those with long standing diabetes in
5 ways that impact upon ulcer healing. On the other hand, those with recently
6 diagnosed diabetes will likely have years of exposure to risk factors they share with
7 those with long standing diabetes that predispose them to ulcer formation also [29].
8 Further findings are indications that acting on the medication burden may improve
9 attendance and that acting on medication satisfaction in general, and satisfaction
10 with efficacy in particular, may improve adherence to the control regimen. In both
11 cases, however, the evidence obtained is only correlational and not causal.
12
13
14
15
16
17
18
19
20
21
22

23 **Relationship to other studies**

24
25
26
27 Ulcer healing data suggested an early beneficial effect of intensive insulin therapy;
28 median healing time was 7 weeks after the initial visit, and by week 21 an estimated
29 17% of ulcers had not healed. Over a similar timeframe, much lower healing rates
30 have been reported with standard care (e.g. 31% at 20 weeks in a meta-analysis
31 [30]). Even when standard care included insulin, a retrospective cohort study found
32 that only 30% of ulcers had healed after 1.1 month [31]. The baseline mean HbA1c
33 was lower in the participants of that study (7.9% or 63mmol/mol) compared to our
34 own (10.8% or 94mmol/mol). While this finding is promising, a randomised controlled
35 trial is needed to confirm that this is the effect of intensive blood glucose control.
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
There are other factors that may account for more rapid ulcer healing in our study
such as the high (weekly) frequency of the first four visits in Substudy 2, allowing
more opportunities for treatment such as wound debridement, and orthotic or
medication adjustments.

Implications for a full study

The log of the ulcer area outcome proved sensitive to glycaemic control even controlling for time since study entry, and was correlated with DFS-SF subscores, supporting the use of this measure. This is consistent with prior validation of log ulcer area as a surrogate end point for ulcer healing [27]. Using this measure as primary endpoint, a target reduction in ulcer size of 30% would correspond to a 3 mmol/L average difference in FCBG (corresponding to the difference between the lower bounds of normal glycaemia and a diagnosis of diabetes), with intensive glycaemic control versus standard care. In an RCT, 220 participants per arm would be required to detect a between-group decrease of 30% with 80% power at a 5% significance level. At the differential eligibility rates observed in both periods and assuming a loss to follow-up of 10%, such a number could be achieved within about one year if 15 centres similar to ours were recruiting participants.

Good reductions in FCBG over the first 4 weeks of intensive insulin therapy were seen, but more variable levels observed afterwards. To achieve optimal improvements in glycaemic control over the course of a longer-term RCT more regular visits may be necessary after the first 4-6 weeks of therapy than were used in our feasibility study and more daytime FCBG recordings done to optimise therapy.

The EQ-5D VAS appeared to have good convergent validity with the specialised DFS-SF, indicating its appropriateness as a generic QOL measure in our study population, opening the door to valid economic analyses. We also realise the importance of objective quantification of neuropathy, peripheral vascular disease and foot deformities enabling stratification at randomisation in the larger trial as they are the most notable causative factors of diabetic foot ulceration [11].

1
2
3 Tight glycaemic control relies on long-term patient adherence [1][32]. Satisfied
4 patients are more likely to adhere to recommendations regarding not only medication
5 use and follow-up visits but also dietary habits and physical activity [33]. Our findings
6 showed that our participants' perception of diabetes medication burden was strongly
7 associated with fasting capillary blood glucose and attendance, suggests, although
8 does not prove, that intervening on burden may promote attendance.
9
10
11
12
13
14
15
16

17 **Limitations**

18
19 Some limitations temper the interpretation of our results. The most important
20 limitation was that Substudy 2 did not reach the target sample size of 20. This
21 shortfall was largely due to availability of personnel and funder timelines. These
22 issues, particularly around staffing, have the potential to affect any future RCT.
23
24 Moreover, the study was conducted at a single centre in New Zealand, limiting
25 generalisability to other populations and settings with different care pathways for
26 diabetes and diabetic complications.
27
28

29 Another limitation of this study is that FCBG was the only surrogate for medication
30 adherence. The addition of other surrogates such as a record of whether
31 prescriptions had been filled would. Furthermore, in a study aiming to evaluate the
32 four times a day blood glucose testing is preferable to FCBG and HbA1c.
33
34

35 Non-adherence to standard care was an exclusion criterion of this study. This was
36 included so that the impact of glycaemic control would be the focus of this study.
37
38

39 However, this criterion limits the application of the study to the real world as non-
40 adherence is a major issue in most real-life clinical settings.
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Conclusion

The study has produced evidence of moderate quality that tight glycaemic control may be beneficial for ulcer healing, and that an outcome derived from ulcer area could be sensitive to glycaemic control as expressed by FCBG.

This feasibility study is the first since 2005 to investigate issues relevant to the initiation of a definitive RCT evaluating the impact of intensive insulin therapy on ulcer healing in people with diabetes. The results of such a trial would be useful to inform evidence-based clinical practice guidelines. However, we have also demonstrated that a reasonably powered trial would require the involvement of a large number of centres, increasing the complexity of such an undertaking.

Acknowledgements Medical writing assistance was provided by Nicola Ryan, independent medical writer. The authors thank Benjamin Elliott (independent data manager), Lawrence Kingi (podiatrist, Counties Manukau Health) and Dr John Baker (Middlemore Clinical Trials) for their assistance with this study.

Contributors A.C.V. and A.D. designed the study and co-wrote the manuscript with V.B. A.D. oversaw the intervention and contributed to the discussion. A.C.V. contributed to data management, data monitoring and analysis planning and conduct. D.P. contributed to statistical analyses. B.M. coordinated the research. R.G. and A.N. provided podiatric care and contributed to discussion. A.D. and A.C.V. take responsibility for the contents of the article, study design, access to data and the decision to submit and publish the results.

Funding This work was supported by the Health Research Council of New Zealand's Feasibility Study grant number 14/605.

1
2
3 **Disclaimer** The joint lead authors A.D. and A.C.V. affirm that the manuscript is an
4 honest, accurate and transparent account of the study being reported; that no
5 important aspects of the study have been omitted except as noted in the text, and
6 that any discrepancies from the study as planned have been explained.
7
8
9
10
11

12 **Competing interests** None declared.
13

14 **Patient consent** Obtained.
15

16
17 **Ethics approval** Ethics approval was given for the study by the New Zealand
18 Northern A Health and Disability Ethics Committee, ethics approval number
19 14/NTA/195.
20
21
22

23
24 **Provenance and peer review** Not commissioned; externally peer-reviewed.
25

26 **Data sharing statement** Data are available. Please contact corresponding author.
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

References

1. Hingorani A, LaMuraglia GM, Henke P, Meissner MH, Loretz L, Zinszer KM, et al. The management of diabetic foot: A clinical practice guideline by the Society for Vascular Surgery in collaboration with the American Podiatric Medical Association and the Society for Vascular Medicine. *J Vasc Surg* [Internet]. 2016 Feb 1;63(2):3S-21S. Available from: <https://doi.org/10.1016/j.jvs.2015.10.003>
2. Australian Institute of Health and Welfare [Internet]. 2008. Available from: <http://www.aihw.gov.au/WorkArea/DownloadAsset.aspx?id=6442453674>
3. Ragnarson Tennvall G, Apelqvist J. Health-Economic Consequences of Diabetic Foot Lesions. *Clin Infect Dis* [Internet]. 2004 Aug 1;39(Supplement_2):S132–9. Available from: <https://doi.org/10.1086/383275>
4. Stockl K, Vanderplas A, Tafesse E, Chang E. Costs of Lower-Extremity Ulcers Among Patients With Diabetes. *Diabetes Care* [Internet]. 2004 Sep 1;27(9):2129 LP – 2134. Available from: <http://care.diabetesjournals.org/content/27/9/2129.abstract>
5. Treece K, Macfarlane R, Pound N, Game F, Jeffcoate W. Validation of a system of foot ulcer classification in diabetes mellitus. Vol. 21, *Diabetic medicine : a journal of the British Diabetic Association*. 2004. 987–991 p.
6. Clayton W, Elasy TA. A Review of the Pathophysiology, Classification, and Treatment of Foot Ulcers in Diabetic Patients. *Clin Diabetes* [Internet]. 2009 Apr 1;27(2):52 LP – 58. Available from: <http://clinical.diabetesjournals.org/content/27/2/52.abstract>
7. Schaper NC. Lessons from Eurodiale. *Diabetes Metab Res Rev* [Internet]. 2012 Feb 1;28(S1):21–6. Available from: <https://doi.org/10.1002/dmrr.2266>
8. Ikem R, Ikem I, Adebayo O, Soyoye D. An assessment of peripheral vascular disease in patients with diabetic foot ulcer. *Foot* [Internet]. 2010;20(4):114–7. Available from: <http://www.sciencedirect.com/science/article/pii/S0958259210000568>
9. Ogbera OA, Osa E, Edo A, Chukwum E. Common Clinical Features of Diabetic Foot Ulcers: Perspectives From a Developing Nation. *Int J Low Extrem Wounds* [Internet]. 2008 May 19;7(2):93–8. Available from: <https://doi.org/10.1177/1534734608318236>
10. Tesfaye S, Selvarajah D. Advances in the epidemiology, pathogenesis and management of diabetic peripheral neuropathy. *Diabetes Metab Res Rev* [Internet]. 2012 Feb 1;28(S1):8–14. Available from: <https://doi.org/10.1002/dmrr.2239>
11. Fernando ME, Seneviratne RM, Tan YM, Lazzarini PA, Sangla KS, Cunningham M, et al. Intensive versus conventional glycaemic control for treating diabetic foot ulcers. *Cochrane Database Syst Rev* [Internet]. 2016;(1). Available from: <https://doi.org/10.1002/14651858.CD010764.pub2>
12. Hasan R, Firwana B, Elraiyah T, Domecq JP, Prutsky G, Nabhan M, et al. A systematic review and meta-analysis of glycemic control for the prevention of diabetic foot syndrome. *J Vasc Surg* [Internet]. 2016 Feb 1;63(2):22S-28S.e2. Available from: <https://doi.org/10.1016/j.jvs.2015.10.005>
13. Brem H, Sheehan P, Rosenberg HJ, Schneider JS, Boulton AJM. Evidence-Based Protocol for Diabetic Foot Ulcers. *Plast Reconstr Surg* [Internet]. 2006;117(7S). Available from: https://journals.lww.com/plasreconsurg/Fulltext/2006/06001/Evidence_Based_Protocol_for_Diabetic_Foot_Ulcers.21.aspx
14. Christman AL, Selvin E, Margolis DJ, Lazarus GS, Garza LA. Hemoglobin A1c Predicts

- 1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
- Healing Rate in Diabetic Wounds. *J Invest Dermatol* [Internet]. 2011 Oct 1;131(10):2121–7. Available from: <https://doi.org/10.1038/jid.2011.176>
15. Lan C-CE, Liu I-H, Fang A-H, Wen C-H, Wu C-S. Hyperglycaemic conditions decrease cultured keratinocyte mobility: implications for impaired wound healing in patients with diabetes. *Br J Dermatol* [Internet]. 2008 Nov 1;159(5):1103–15. Available from: <https://doi.org/10.1111/j.1365-2133.2008.08789.x>
16. Marston WA. Risk factors associated with healing chronic diabetic foot ulcers: The importance of hyperglycemia. Vol. 52, *Ostomy/wound management*. 2006. 26–8, 30, 32 passim p.
17. Miner A, Kirsner RS. Diabetic Control Affects Healing Rates in Neuropathic and Vasculopathic Patients. *J Invest Dermatol* [Internet]. 2011 Oct 1;131(10):1962. Available from: <https://doi.org/10.1038/jid.2011.269>
18. Rathsmann B, Jensen-Urstad K, Nyström T. Intensified insulin treatment is associated with improvement in skin microcirculation and ischaemic foot ulcer in patients with type 1 diabetes mellitus: a long-term follow-up study. *Diabetologia* [Internet]. 2014;57(8):1703–10. Available from: <https://doi.org/10.1007/s00125-014-3248-2>
19. Idris I, Game F, Jeffcoate W. Does close glycaemic control promote healing in diabetic foot ulcers? Report of a feasibility study. *Diabet Med* [Internet]. 2005 Aug 1;22(8):1060–3. Available from: <https://doi.org/10.1111/j.1464-5491.2005.01606.x>
20. Brod M, Christensen T, Kongsø JH, Bushnell DM. Examining and interpreting responsiveness of the Diabetes Medication Satisfaction measure. *J Med Econ* [Internet]. 2009 Dec 1;12(4):309–16. Available from: <https://doi.org/10.3111/13696990903337017>
21. Brod M, Skovlund SE, Wittrup-Jensen KU. Measuring the Impact of Diabetes Through Patient Report of Treatment Satisfaction, Productivity and Symptom Experience. *Qual Life Res* [Internet]. 2006;15(3):481–91. Available from: <https://doi.org/10.1007/s11136-005-1624-6>
22. Monnier L, Lapinski H, Colette C. Contributions of Fasting and Postprandial Plasma Glucose Increments to the Overall Diurnal Hyperglycemia of Type 2 Diabetic Patients. *Diabetes Care* [Internet]. 2003 Mar 1;26(3):881 LP – 885. Available from: <http://care.diabetesjournals.org/content/26/3/881.abstract>
23. Herdman M, Gudex C, Lloyd A, Janssen MF, Kind P, Parkin D, et al. Development and preliminary testing of the new five-level version of EQ-5D (EQ-5D-5L). *Qual Life Res* [Internet]. 2011;20(10):1727–36. Available from: <https://doi.org/10.1007/s11136-011-9903-x>
24. Janssen MF, Pickard AS, Golicki D, Gudex C, Niewada M, Scalone L, et al. Measurement properties of the EQ-5D-5L compared to the EQ-5D-3L across eight patient groups: a multi-country study. *Qual Life Res* [Internet]. 2013;22(7):1717–27. Available from: <https://doi.org/10.1007/s11136-012-0322-4>
25. Bann CM, Fehnel SE, Gagnon DD. Development and validation of the Diabetic Foot Ulcer Scale-Short Form (DFS-SF). *Pharmacoeconomics* [Internet]. 2003;21(17):1277–90. Available from: <https://doi.org/10.2165/00019053-200321170-00004>
26. International Diabetes Federation. Global guideline for type 2 diabetes. 2012;
27. Margolis DJ, Gelfand JM, Hoffstad O, Berlin JA. Surrogate End Points for the Treatment of Diabetic Neuropathic Foot Ulcers. *Diabetes Care* [Internet]. 2003 Jun 1;26(6):1696 LP – 1700. Available from: <http://care.diabetesjournals.org/content/26/6/1696.abstract>

- 1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
28. Fielding S, Fayers P, Ramsay CR. Analysing randomised controlled trials with missing data: Choice of approach affects conclusions. *Contemp Clin Trials* [Internet]. 2012 May 1;33(3):461–9. Available from: <https://doi.org/10.1016/j.cct.2011.12.002>
 29. Lee CC, Perkins BA, Kayaniyl S, Harris SB, Retnakaran R, Gerstein HC, et al. Peripheral Neuropathy and Nerve Dysfunction in Individuals at High Risk for Type 2 Diabetes: The PROMISE Cohort. *Diabetes Care* [Internet]. 2015 May 1;38(5):793 LP – 800. Available from: <http://care.diabetesjournals.org/content/38/5/793.abstract>
 30. Margolis DJ, Kantor J, Berlin JA. Healing of diabetic neuropathic foot ulcers receiving standard treatment. A meta-analysis. *Diabetes Care* [Internet]. 1999 May 1;22(5):692 LP – 695. Available from: <http://care.diabetesjournals.org/content/22/5/692.abstract>
 31. Vatankhah N, Jahangiri Y, Landry GJ, Moneta GL, Azarbal AF. Effect of systemic insulin treatment on diabetic wound healing. *Wound Repair Regen* [Internet]. 2017/02/20. 2017 Apr;25(2):288–91. Available from: <https://www.ncbi.nlm.nih.gov/pubmed/28120507>
 32. Pascal I, Ofoedu J, Uchenna N, Nkwa A, Uchamma G. Blood glucose control and medication adherence among adult type 2 diabetic Nigerians attending a primary care clinic in under-resourced environment of Eastern Nigeria. *N Am J Med Sci*. 2012;4(7):310–5.
 33. Al-Aujan S, Al-Aqeel S, Al-Harbi A, Al-Abdulltif E. Patients' satisfaction with diabetes medications in one hospital, Saudi Arabia. *Patient Prefer Adherence* [Internet]. 2012 Oct 12;6:735–40. Available from: <https://www.ncbi.nlm.nih.gov/pubmed/23077410>

Figure legends

Figure 1 – Substudy 1 recruitment flow diagram

Figure 2 – Point estimate and confidence interval of probability of each criterion

being met by recruitment period. Period A was 0-5 weeks and Period B was 6-35 weeks. FC, full criteria; EC, exclusion criterion; IC, inclusion criterion.

Figure 3 – Histograms of participant scores on the three subscales of the Diabetes Medication Satisfaction questionnaire: (a) Burden, (b) Symptoms and (c) Efficacy subscales. Subscales are scored from 0-100, higher scores indicating greater satisfaction.

Figure 4. Fasting capillary blood glucose against time from study entry during intensive insulin therapy in the 15 participants of substudy 2

Tables

Table 1 Entry criteria assessed in Substudy 1

Criteria	Notation	Description
Inclusion	IC1	Male or female aged ≥ 18 years
	IC2	Type 1 or Type 2 diabetes mellitus for more than one year with an HbA1c ≥ 60 mmol/mol
	IC3	Incident foot ulcer(s) located below the level of the malleoli
	IC4	Able and willing to undertake home blood glucose monitoring and administer insulin up to 4 times daily under the supervision of the diabetes nurse specialist
	IC5	Able and willing to provide informed consent to participate in the study
Exclusion	EC1	Ulcers with radiological features of osteomyelitis
	EC2	Significant peripheral vascular disease under consideration for re-vascularisation
	EC3	Significant bone deformity as determined by the investigator which may delay wound healing
	EC4	Non-adherence to standard care
	EC5	Any other disease or condition in the opinion of the investigator could make them unsuitable for entry
Full	FC	All inclusion criterion satisfied (5-Yes) and all exclusion criterion satisfied (5-No)

EC, exclusion criteria; HbA1c, glycosylated haemoglobin; IC, inclusion criteria.

Table 2 Characteristics of participants included in both substudies

	Substudy 1 Participants (n=78)	Substudy 2 Participants (n=15)
Median age (IQR), years	58.5 (18.2)	51 (16.5)
Women, <i>n</i> (%)	30 (38.5)	6 (40)
Mean baseline HbA1c (SD), mmol/mol	Not collected	93 (29)
Mean baseline FCBG (SD), mmol/L	Not collected	11.3 (5.7)
Ethnicity, <i>n</i> (%)		
Asian	5 (6.4)	1 (6.7)
European	27 (34.6)	4 (26.7)
Māori	13 (16.7)	2 (13.3)
Pacific	33 (42.3)	7 (46.7)
Type 1 diabetes mellitus, <i>n</i> (%)	12 (15.4)	3 (20.0)
Type 2 diabetes mellitus, <i>n</i> (%)	66 (84.6)	12 (80.0)
Duration of diabetes, <i>n</i> (%)		
0-10 years	15 (19.2)	3 (20.0)
10-20 years	31 (39.7)	6 (40.0)
20-30 years	22 (28.2)	4 (26.7)
30-40 years	7 (9.0)	2 (13.3)
40-50 years	3 (3.9)	0 (0.0)
Peripheral neuropathy, <i>n</i> (%)	Not collected	15 (100.0)
Peripheral vascular disease, <i>n</i> (%)	Not collected	1 (6.7)

IQR, interquartile range; HbA1c: glycosylated haemoglobin; FCBG: fasting capillary blood glucose

Table 3 Unadjusted and adjusted regression results for five ulcer-healing endpoints against fasting capillary blood glucose

Ulcer outcome	Adjustment status	Change in outcome per 1 mmol/L increase in FCBG		Equivalent effect size	P	
		Estimate	95% CI			
Ulcer area (cm ²) †	Unadjusted	0.010	[-0.041,0.062]	0.004	0.69	
	Adjusted§	0.004	[-0.024,0.032]	0.001	0.77	
Log(ulcer area+0.01) †	Unadjusted	0.134	[0.049,0.214]	0.10	0.002	**
	Adjusted§	0.114	[0.020,0.194]	0.08	0.0145	*
Rate of absolute change (cm ² /week) †	Unadjusted	0.011	[-0.018, 0.041]	0.01	0.45	
	Adjusted¶	0.009	[-0.014,0.032]	0.01	0.70	
Rate of relative change (%/week ⁻¹) †	Unadjusted	5.7	[1.1, 10.3]	0.06	0.015	*
	Adjusted¶	5.7	[1.1,10.3]	0.06	0.015	*
Hazard ratio‡	Unadjusted	0.90	[0.74,1.10]	N/A	0.31	
	Adjusted † †	0.88	[0.71,1.08]	N/A	0.21	

† Mixed linear regression, additive change reported ‡ Cox regression, multiplicative change reported

§ Adjusted for baseline value and time since Visit 1. ¶ Adjusted for time since Visit 1 only.

† † Adjusted for baseline ulcer area

FCBG: fasting capillary blood glucose; CI: confidence interval

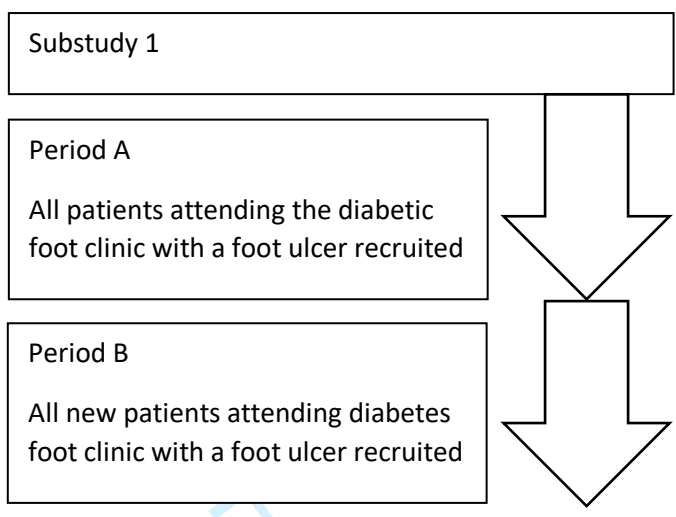
Table 4 Estimated Pearson correlation coefficients between Diabetic Foot Ulcer Scale-Short Form subscale scores and both log(ulcer area+0.01) and EuroQol 5 Dimension 5 Level

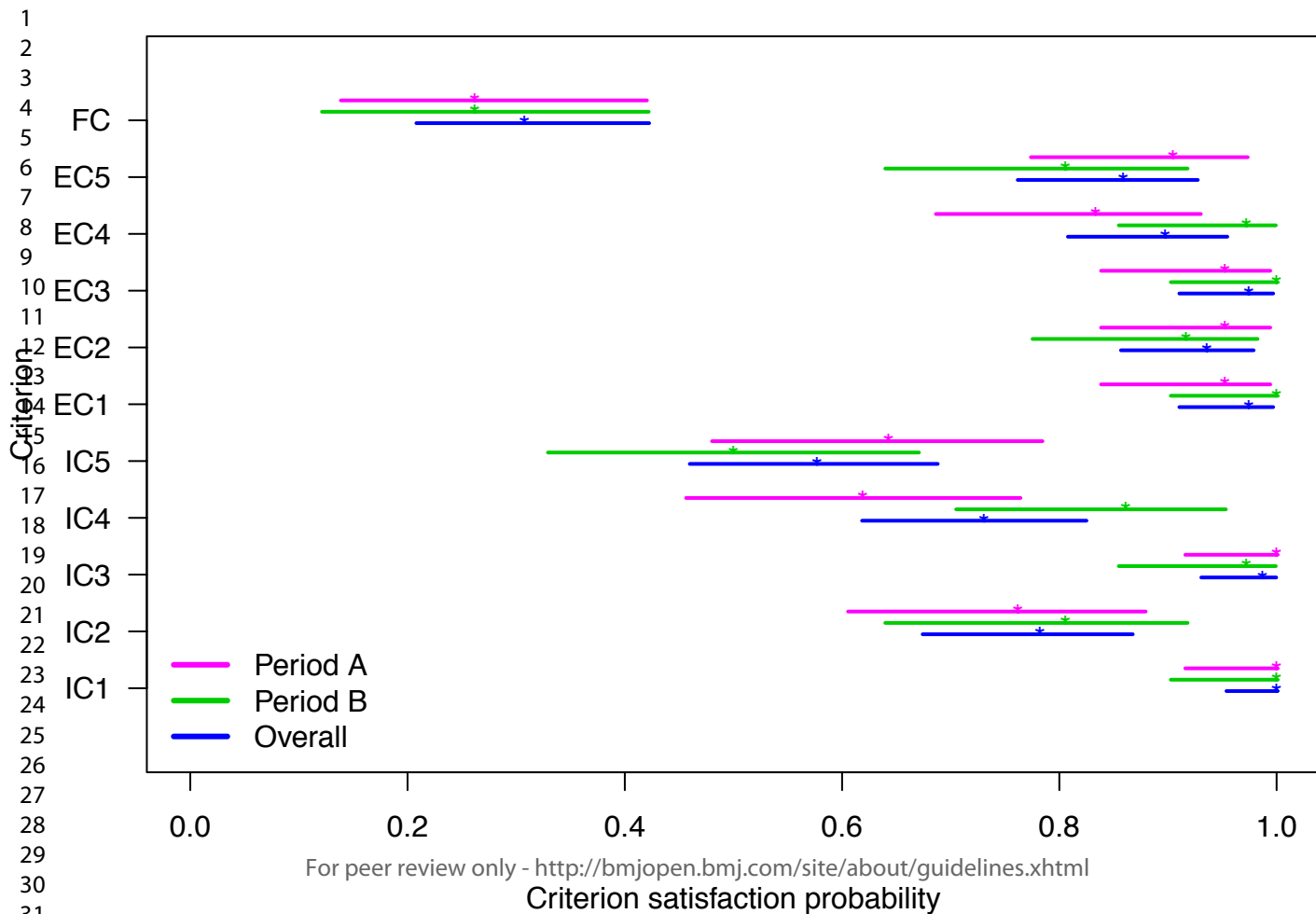
Correlates DFS-SF subscale	log(ulcer area+0.01)†			EQ-5D-5L VAS		
	Est.	95% CI	P	Est.	95% CI	P
Leisure	-0.48	[-0.66, -0.25]	<0.0001 ***	0.50	[0.23, 0.77]	0.0002 ***
Physical health	-0.48	[-0.66, -0.26]	<0.0001 ***	0.64	[0.44, 0.84]	<0.0001 ***
Dependence	-0.54	[-0.71, -0.33]	<0.0001 ***	0.58	[0.36, 0.81]	<0.0001 ***
Negative emotion	-0.64	[-0.80, -0.42]	<0.0001 ***	0.38	[0.04, 0.72]	0.03 *
Worried about ulcers	-0.54	[-0.71, -0.32]	<0.0001 ***	0.62	[0.38, 0.86]	<0.0001 ***
Bothered by ulcer care	-0.46	[-0.63, -0.24]	0.0001 ***	0.36	[0.04, 0.69]	0.03 *

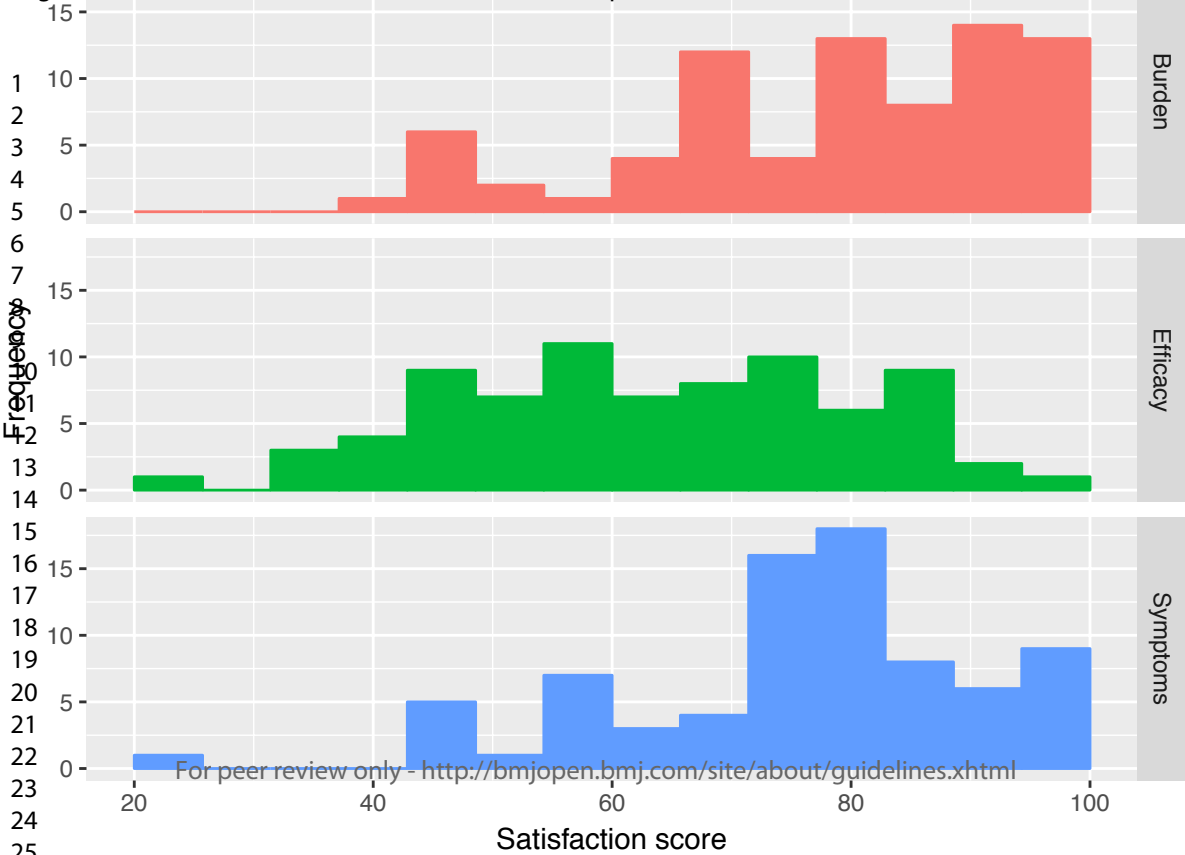
† Area in cm².

CI, confidence interval; DFS-SF: Diabetic Foot Ulcer Scale-Short Form; EQ-5D-5L: EuroQol 5 Dimension 5 Level; VAS: visual analogue scale.

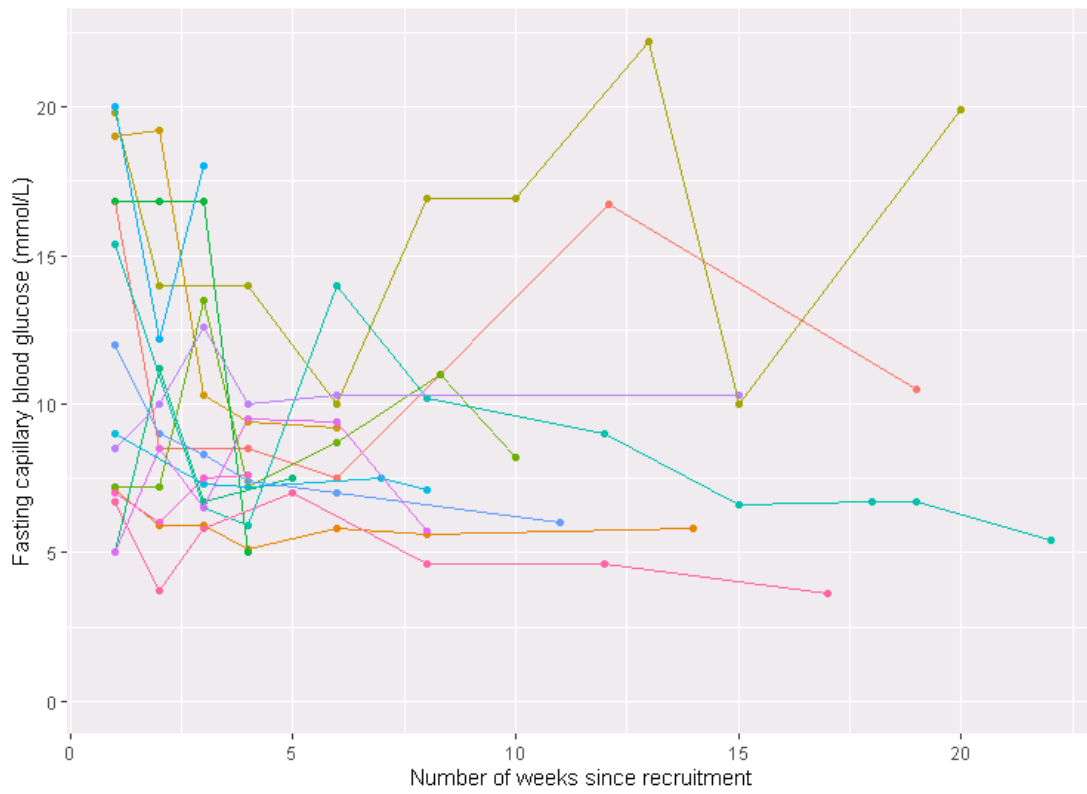
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60







For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>



review only

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No.	Recommendation	Page No.	Relevant text from manuscript
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	Abstract	Two substudies: one cross-sectional, one single-arm prospective
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Abstract	<p><i>What was done:</i></p> <p>Setting Single-centre secondary care diabetic foot clinic located in New Zealand.</p> <p>Participants Substudy 1: 78 participants consisting of all people ≥18 years with a diabetic foot ulcer presenting to the clinic over 35 weeks in 2015. Substudy 2: 15 participants from Substudy 1 consenting to intensive insulin therapy.</p> <p>Intervention None in Substudy 1. Intensive insulin therapy combined with standard podiatry care over 24 weeks.</p> <p>Outcome measures Substudy 1: Proportion of participants satisfying potential entry criteria to the RCT. Substudy 2: Glycaemic control (fasting capillary blood sugar; FCBS); time to index ulcer healing; index ulcer size; medication satisfaction (DiabMedSat scores); and health-related quality of life (HrQOL; EQ-5D-5L and DFS-SF scores)..</p> <p><i>What was found:</i></p> <p>Results Proportion in Substudy 1 fulfilling all entry criteria was 31% (95% CI 21 to 42). FCBS values declined between baseline and study end (difference -3.7 mmol/L, 95% CI -6.5 to -0.8), suggesting adherence to therapeutic regimen; 83% (95% CI 44 to 95) of ulcers healed by 24 weeks. FCBS correlated negatively, and weakly-to-moderately, with medication satisfaction. Log(ulcer area in cm²+0.01) was most sensitive to FCBS changes, correlating negatively, and moderately-to-strongly with QOL measures. Detecting a 30% between-group difference in the ulcer area logarithm (80% power, α=5%) requires 220 participants per arm, achievable within 1 year with 15 centres similar to the study setting.</p>

Introduction				
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5	[...]clinical practice guidelines from the Society for Vascular Surgery, American Podiatric Medical Association, and Society for Vascular Medicine now recommend adequate glycaemic control (glycosylated haemoglobin [HbA1c] <53 mmol/mol) to reduce the incidence of diabetic foot ulcers 1. The evidence that improved glycaemic control can accelerate the healing of foot ulcers and reduce the incidence of ulceration and amputation remains observational 11-17. There is no randomised trial evidence that tight glycaemic control improves ulcer wound healing 18. A previous feasibility study in this area 19 concluded 15 years ago that a definitive randomised trial in this area was not feasible, possibly due to exacting entry criteria. To investigate further whether a randomised controlled trial (RCT) evaluating the efficacy of intensive insulin therapy on diabetic foot ulcer healing is possible, this two-part feasibility study sought appropriate but less stringent trial entry criteria to improve accrual rates (Substudy 1) and sought appropriate endpoints for such a trial (Substudy 2)..
Objectives	3	State specific objectives, including any prespecified hypotheses	6	The primary objective of Substudy 1 was to estimate the proportion of participants satisfying the entry criteria for the planned RCT.. [...]Secondary objectives were to estimate the length of the recruitment period for the intended RCT, and determine participant satisfaction with their diabetes medication. In Substudy 2, the main objective was to determine a primary endpoint for the RCT by analysing sensitivity of ulcer healing-related outcomes [...]to glycaemic control accounting for standard podiatry care. The ulcer healing outcome measure with the best association with glycaemic control was to be assessed for convergent validity with an established foot ulcer scale. Secondary objectives included examining the relationship between adherence (using glycaemic control as proxy), as well as attendance, and satisfaction with diabetes medication, and evaluating health-related quality of life (HrQOL) measures in this population.
Methods				
Study design	4	Present key elements of study design early in the paper	7	<i>First paragraph of Setting and Study Design section:</i> Substudy 1 was a cross-sectional study enrolling all people aged ≥ 18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks. Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (below) and treating them with intensive insulin therapy for 24 weeks.
Setting	5	Describe the setting, locations, and relevant	7-8	<i>Setting, location and relevant dates, including periods of recruitment, follow-up:</i>

1
2 dates, including periods of
3 recruitment, exposure,
4 follow-up, and data
5 collection
6
7

The study was conducted at the Counties Manukau Health (CMH) Diabetes Foot Clinic in Auckland, New Zealand between February and October 2015, with Substudy 2 follow-up to February 2016. [...]Substudy 2 was a single-arm interventional study enrolling Substudy 1 participants meeting all entry criteria (below) and treating them with intensive insulin therapy for 24 weeks.

8
9 *Data collection:*
10 Their foot wound(s) (index ulcers) were inspected, and entry criteria status (Table 1) and demographic data recorded. In Substudy 2, visits occurred weekly between weeks 1 (baseline) and 4, then at 6, 8, 12, 16, 20 and 24 weeks, or until the index ulcers healed.

13
14 Participants 6 (a) Cohort study—Give 7 + Table 1
15 the eligibility criteria, and
16 the sources and methods
17 of selection of
18 participants. Describe
19 methods of follow-up
20 *Cross-sectional study*—
21 Give the eligibility
22 criteria, and the sources
23 and methods of selection
24 of participants
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41

Cross-sectional:
Substudy 1 was a cross-sectional study that enrolled all people aged ≥18 years with diabetes and a current foot ulcer presenting at the CMH Diabetes Foot Clinic over a period of 24 weeks.
Longitudinal:
Substudy 2 was a single-arm interventional study that enrolled Substudy 1 participants meeting all entry criteria (Table 1)

Table 1 Entry criteria assessed in Substudy 1 (primary objective)

Criteria	Notation	Description
Inclusion	IC1	Male or female aged ≥18 years
	IC2	Type 1 or Type 2 diabetes mellitus for more than one year with an HbA _{1c} ≥60 mmol/mol (≥7.6%)
	IC3	Incident foot ulcer(s) located below the level of the malleoli
	IC4	Able and willing to undertake home blood glucose monitoring and administer insulin up to 4 times daily under the supervision of the diabetes nurse specialist
	IC5	Able and willing to provide informed consent to participate in the study
Exclusion	EC1	Ulcers with radiological features of osteomyelitis
	EC2	Significant peripheral vascular disease under consideration for re-vascularisation
	EC3	Significant bone deformity as determined by the investigator which may delay wound healing
	EC4	Non-adherence to standard care
	EC5	Any other disease or condition in the opinion of the investigator could make them unsuitable for entry
Full Criteria	FC	All inclusion criterion satisfied (5-Yes) and all exclusion criterion satisfied (5-No)

EC, exclusion criteria; HbA_{1c}, glycosylated haemoglobin; IC, inclusion criteria.

(b) *Cohort study*—For matched studies, give matching criteria and number of exposed and unexposed

Case-control study—For matched studies, give matching criteria and the number of controls per case

Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8	<p>Study procedures and outcomes</p> <p>Substudy 1 participants completed a Diabetes Medication Satisfaction (DiabMedSat) questionnaire. Their foot wound(s) (index ulcers) were inspected, and entry criteria status (Table 1) and demographic data recorded. In Substudy 2, [...] [t]he following were undertaken at each visit: ulcer examination: digital photographic planimetry of ulcer area (using the SilhouetteStar camera, ARANZ Medical, New Zealand); FCBS measurement (in mmol/L); medication review; and adverse events assessment. FCBS was used in the analyses as a measure of glycaemic control and as a proxy for adherence. HbA1c was assessed at baseline and at the end of trial, providing an assessment of chronic hyperglycaemia. [...]. In addition, participants completed three questionnaires at each visit: DiabMedSat, EuroQol 5 Dimensions 5 Levels (EQ-5D-5L) and Diabetic Foot Ulcer Scale-Short Form (DFS-SF).</p>
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group		<p><i>See 7 above. No comparator group is used in this feasibility study.</i></p>
Bias	9	Describe any efforts to address potential sources of bias	9	<p><i>Substudy 1 – bias due to differential initial and later recruitment:</i></p> <p>A Poisson exact test was used to compare recruitment rates over two recruitment periods and mixed logistic regression used to compare differences in entry criteria fulfilment between the periods.</p> <p><i>Substudy 2 – bias due to confounding by time::</i></p> <p>Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at each visit [...] and glycaemic control. (The value of 0.01 in the logarithmic endpoint was chosen on the basis of the data to improve the normality of the outcome.) [...]. Time- and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential confounding, as tight management in a foot clinic may promote ulcer healing in several ways.</p>
			10	<p><i>Substudy 2 – bias due to missingness:</i></p>

[The non-survival] analyses all involved mixed models on longitudinal data, known to alleviate selection bias due to missingness.

The relevant sources of bias for the main study (for which this is a feasibility study) is bias due to loss to follow-up or missingness. Accordingly, we have attempted to briefly characterise participant attendance:

Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the 24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS, measure of ulcer area and DiabMedSat subscores using mixed logistic regression.

Study size	10	Explain how the study size was arrived at	7	<p>Accrual period and sample size</p> <p>The accrual period for Substudy 1 (35 weeks) was selected as long as possible while respecting contractual obligations with the study funder.[...]</p> <p>Substudy 2 aimed to recruit 20 participants. Assuming 10% attrition, this number is sufficient to estimate the standard deviation of a continuous variable (e.g. ulcer area) with a coefficient of variation inferior to 0.2. This criterion is consistent with the goal of identifying a sensitive primary endpoint endowed with good precision.</p>
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9-10	<p><i>Substudy 1:</i></p> <p>Descriptive statistics were produced for [...] DiabMedSat subscores. Multivariate analysis of variance (MANOVA) was used to detect differences in subscores based on demographic or participant characteristics. [...] [M]ixed logistic regression [was] used to compare differences in entry criteria fulfilment between the periods. Indicators of criterion satisfaction were fitted jointly by themselves in a first model, and interacting with period in a second model.</p> <p><i>Substudy 2:</i></p> <p>[...]</p> <p>Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at each visit (ulcer area in cm², log[ulcer area+0.01], absolute and relative rates of change in ulcer area, where “log” is the natural logarithm function) and glycaemic control. (The value of 0.01 in the logarithmic endpoint was chosen based on the data to improve the normality of the outcome.) FCBS was the fixed effect and participant the random</p>

effect. [...]The most sensitive outcome was selected by consideration of its time-adjusted observed significance level and its equivalent Cohen's effect size where appropriate. To estimate correlations and their 95% confidence intervals (CI) using longitudinal data, we fitted outcomes jointly using a heterogeneous compound symmetry covariance model, and using only intercepts as fixed effects. We thus obtained correlations between DFS-SF subscores and selected ulcer healing outcome; between DiabMedSat subscores and FCBS; and between DFS-SF subscores and EQ-5D-5L. (*And before any non-statistical reviewer vociferates that this makes no sense, they should talk to a statistician.*)

Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the 24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS, measure of ulcer area and DiabMedSat subscores using mixed logistic regression. The final attendance model was selected using AIC.

Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9	<p><i>See 11 above, to which we add:</i></p> <p><i>Substudy 1:</i></p> <p>Descriptive statistics were produced for participant demographic characteristics, recruitment rate, entry criteria fulfilment [...].</p> <p>A Poisson exact test was used to compare recruitment rates over two recruitment periods [...].</p> <p><i>Substudy 2:</i></p> <p>Time-to-healing was considered as a fifth possible outcome, and Cox regression used to estimate the hazard ratio of ulcer healing under changes in FCBS, taken as a time-dependent covariate.</p> <p><i>Substudy 2 – in regard to confounding:</i></p> <p>Time- and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential confounding, as tight management in a foot clinic may promote ulcer healing in several ways.</p>
		(b) Describe any methods used to examine subgroups and interactions	9	<p><i>Applies to Substudy 1 only:</i></p> <p>[...] [M]ixed logistic regression [was] used to compare differences in entry criteria fulfilment between the periods. Indicators of criterion satisfaction were fitted jointly by themselves in a first model, and interacting with period in a second model. Models were compared using a deviance test.</p>

1				
2		(c) Explain how	10	(Note: No missing data in Substudy 1).
3		missing data were		
4		addressed		No data were missing for time-to-healing analyses. Other analyses all involved mixed models on longitudinal data, known to alleviate selection bias due to missingness
5				
6		(d) Cohort	10	Cross-sectional Substudy 1: not applicable
7		study—If		
8		applicable,		Longitudinal Substudy 2:
9		explain how loss		Descriptive statistics were produced on completed follow-ups (defined as healing before 24 weeks or attending the
10		to follow-up was		24-week visit) and attended visits. Attendance probability was regressed on the most recently available FCBS,
11		addressed		measure of ulcer area and DiabMedSat subscores using mixed logistic regression. The final attendance model was
12		Cross-sectional		selected using AIC.
13		study—If		
14		applicable,		See also 12 (c).
15		describe analytical		
16		methods taking		
17		account of		
18		sampling strategy		
19		(e) Describe any	10	Arguably, our main analysis for Substudy 2 is a sensitivity analysis:
20		sensitivity		Linear mixed models were used to determine the relationship between four different ulcer area-related outcomes at
21		analyses		each visit (ulcer area in cm ² , log[ulcer area+0.01], absolute and relative rates of change in ulcer area) and glycaemic
22				control. (The value of 0.01 in the logarithmic endpoint was chosen based on the data to improve the normality of the
23				outcome.) FCBS was the fixed effect and participant the random effect. Time-to-healing was considered as a fifth
24				possible outcome. A Kaplan-Meier estimate of ulcer persistence probability was produced and Cox regression used
25				to estimate the hazard ratio of ulcer healing under changes in FCBS, taken as a time-dependent covariate. Time-
26				and/or baseline ulcer area-adjusted estimates, as well as unadjusted estimates, were produced to assess potential
27				confounding, as tight management in a foot clinic may promote ulcer healing in several ways. The most sensitive
28				outcome was selected by consideration of its adjusted observed significance level and its equivalent Cohen's effect
29				size where appropriate.
30				
31				
32				
33				
34				
35				
36				
37				
38		Results		
39	Participants	13*	(a) Report	10
40			numbers of	
41				Substudy 1:
42				Seventy-eight participants (all unique clinic visitors during the recruitment period) were enrolled in Substudy 1.
43				
44				
45				
46				

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

		individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed		<p><i>Substudy 2:</i> (page 8): Substudy 2 was a single-arm interventional study that enrolled Substudy 1 participants meeting all entry criteria [...]. (page 11): Seventy-eight participants (all unique clinic visitors during the recruitment period) were enrolled in Substudy 1 [...]. (page 12 – this is apparently out of order because it is a feasibility result):. Twenty-four participants (30.8% [95% CI 20.8, 42.2]) met all criteria. (page 12): Seventeen participants entered Substudy 2. One withdrew consent after Visit 1 and another was healed on Visit 1, leaving 15 participants in the analysis set. (page 12): Two participants with unhealed ulcers did not attend the 24-week visit.</p>
	(b) Give reasons for non-participation at each stage	11	<p><i>Substudy 2:</i> One withdrew consent after Visit 1 and another was healed on Visit 1, leaving 15 participants in the analysis set.</p>	
	(c) Consider use of a flow diagram		<i>The flow appears to us to be simple enough not to warrant a diagram.</i>	
Descriptive data	14* (a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	7	<p><i>Substudy 1 (see also Table 1):</i> Mean age was 57.3 years (SD 14.0). The majority were men and most were of Pacific or European ethnicity. (Table 2). <i>Substudy 2 – see Table 2</i></p>	
	(b) Indicate number of participants with missing data for	11	<p><i>Substudy 2:</i> Clinical constraints or patient choice prevented some measurements from being taken, yielding completeness proportions for specific outcomes between 78% (DMS and FCBS) and 85% (ulcer area).</p>	

		each variable of interest		
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	11	<i>Substudy 2:</i> Completeness Of the 102 scheduled visits, 12 were missed [...].The average follow-up time to healing or last visit was 17.6 weeks (SD 7.8).
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	12	<i>Substudy 2:</i> Twelve Substudy 2 participants experienced complete healing between weeks 3 and 24; the remaining three had unhealed ulcers at the time of their last visit on or before week 24. Median ulcer healing time was 7 weeks. The Kaplan-Meier estimate of the proportion of ulcers not healing by week 24 was 16.7% (95% CI 5.0–56.1%). <i>Table 4 for summary correlations</i>
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure		
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	8	<i>Substudy 1:</i> Recruitment rate and entry criteria Period A (as defined earlier) finished after 6 weeks, and was the most active with 42 participants recruited compared with 36 participants over the remaining 29 weeks, forming Period B. All participants satisfied criterion IC1, 78.2% met IC2, 98.7% met IC3, 73.1% met IC4 and 57.7% met IC5. Twenty-four participants (30.8% [95% CI 20.8, 42.2]) met all criteria. [...] Diabetes Medication Satisfaction

Higher scores on the DiabMedSat subscales indicate increased satisfaction for all three subscales. The subscale histograms are displayed in Figure 2. Median score for the Efficacy subscale was 63.3 (interquartile range [IQR] 26.7), and was numerically lower than those of the Burden (81.8 [IQR 22.8]) and Symptom subscales (80.0 [IQR 16.0]).

Main results 16 (a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included

Table 3

Table 1 Unadjusted and adjusted regression results for five ulcer-healing endpoints against fasting capillary blood sugar

Ulcer outcome	Adjustment status	Change in outcome per 1 mmol/L increase in FCBS		Equivalent effect size	P
		Estimate	95% CI		
Ulcer area (cm ²) †	Unadjusted	0.010	[-0.041,0.062]	0.004	0.69
	Adjusted§	0.004	[-0.024,0.032]	0.001	0.77
Log(ulcer area+0.01) †	Unadjusted	0.134	[0.049,0.214]	0.10	0.002 **
	Adjusted§	0.114	[0.020,0.194]	0.08	0.0145 *
Rate of absolute change (cm ² /week) †	Unadjusted	0.011	[-0.018, 0.041]	0.01	0.45
	Adjusted¶	0.011	[-0.019,0.041]	0.01	0.69
Rate of relative change (%/week ⁻¹) †	Unadjusted	5.7	[1.1, 10.3]	0.06	0.015 *
	Adjusted¶	5.7	[1.1,10.3]	0.06	0.015 *
Hazard ratio‡	Unadjusted	0.90	[0.74,1.10]	N/A	0.31
	Adjusted † †	0.88	[0.71,1.08]	N/A	0.21

† Mixed linear regression, additive change reported ‡ Cox regression, multiplicative change reported
 § Adjusted for baseline value and time since Visit 1. ¶ Adjusted for time since Visit 1 only.
 † † Adjusted for baseline ulcer area
 FCBS: fasting capillary blood sugar; CI: confidence interval

(b) Report category boundaries when continuous variables were categorized

Not applicable.

		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	11	<p><i>Substudy 1:</i></p> <p>The A-to-B rate ratio of eligibility to a full study, however, was 4.1 (95% CI 1.8-9.2). The eligibility rate in period B was 0.45 participant per week (95% CI 0.24-0.77).</p>
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses		<i>Not applicable.</i>
Discussion				
Key results	18	Summarise key results with reference to study objectives	10	This feasibility study showed that by using less stringent entry criteria, more people with diabetic foot ulcers attending our foot clinic (30%) may be eligible for a proposed RCT investigating the efficacy of an intensive insulin regimen on diabetic foot ulcer healing than reported in a previous feasibility study (0.5% [1/200]) 19. The study also showed that the primary endpoint of log(ulcer area+0.01), with ulcer area measured using digital photographic planimetry, may be sensitive enough to glycaemic control to base an RCT on in this population.
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision.	11	<p><i>(No biasing issue was identified, but see 21.)</i></p> <p>Some limitations temper the interpretation of our results. Firstly, Substudy 2 did not reach the target sample size of 20. This shortfall was largely due to availability of personnel and funder timelines. These issues, particularly around staffing, have the potential to affect any future RCT. Moreover, the study was conducted at a single centre in New Zealand, limiting generalisability to other populations and settings with different care pathways for diabetes and diabetic complications.</p>

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

		Discuss both direction and magnitude of any potential bias		
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16	The study has produced evidence of moderate quality that tight glycaemic control may be beneficial for ulcer healing, and that an outcome derived from ulcer area could be sensitive to glycaemic control as expressed by FCBS. However, we have also demonstrated that a reasonably powered trial would require the involvement of a large number of centres, increasing the complexity of such an undertaking.
Generalisability	21	Discuss the generalisability (external validity) of the study results	16	[...] [T]he study was conducted at a single centre in New Zealand, limiting generalisability to other populations and settings with different care pathways for diabetes and diabetic complications.
Other information				
Funding	22	Give the source of funding and the role of the funders for the present study and, if	17	Funding This work was supported by the Health Research Council of New Zealand's Feasibility Study grant number 14/605.

applicable, for
the original
study on which
the present
article is based

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.