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## Patient Priorities in Relation to Surgery for Gastric Cancer: Qualitative Interviews with Gastric Cancer Surgery Patients to Inform the Development of a Core Outcome Set.

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## Title Page

Patient Priorities in Relation to Surgery for Gastric Cancer: Qualitative Interviews with Gastric Cancer Surgery Patients to Inform the Development of a Core Outcome Set.

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## **Conflicts of Interest**

The authors report no conflicts of interest.

## Disclaimer

This paper presents independent research funded by the National Institute for Health Research (NIHR). The views expressed are those of the author(s) and not necessarily those of the NHS, the

NIHR or the Department of Health.

## **Data Availability**

Data are available upon reasonable request.

#### Acknowledgements

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## **Running Title**

Patient Priorities Following Surgery for Gastric Cancer

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## Objective

The reporting of outcomes in surgical trials for gastric cancer is inconsistent. The GASTROS study (GAstric Cancer Surgery TRials Reported Outcome Standardisation) aims to address this by developing a core outcome set (COS) for use in all future trials within this field. A COS should reflect the views of all stakeholders, including patients. We undertook a series of interviews to identify priorities, outcomes and themes important to patients which would be considered for inclusion in a COS.

## Setting

All interviews took place within the United Kingdom. Interviews were carried out face-to-face at hospitals and cancer support centres or via the telephone.

#### **Participants**

Twenty participants at varying stages of recovery following surgery for gastric cancer with curative intent.

#### Design

Qualitative design using semi-structured interviews, supported by an interview guide which was iteratively modified; thematic analysis was used to explore patient priorities.

#### Results

Six themes enveloping 38 outcomes were identified; surviving and controlling cancer, technical aspects of surgery, adverse events from surgery, recovering from surgery, long-term problems following surgery and long-term life impact of surgery. The 'most important' patient priority was to be 'cured of cancer'.

## Conclusion

Surgical trials for gastric cancer should consider broader priorities of patients when choosing which outcomes to report. This study highlighted the importance of longer-term outcomes such as cancer survival. Outcomes identified in this study will be used to inform an international Delphi survey to develop a COS in this field.

**KEYWORDS:** 

Surgical Oncology

Stomach Neoplasms

Outcome Assessment

Patient Reported Outcome Measures 

Treatment Outcome

Outcome reporting

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## Article Summary

## Strengths and Limitations of This Study

- This is the first in-depth qualitative study to examine the priorities and experiences of patients following surgery for gastric cancer, and the first to establish which outcomes are important to patients.
- The study forms part of a larger project (The GASTROS Study www.gastrosstudy.org) to develop a 'core outcome set' (COS) for use in surgical trials for gastric cancer and was reviewed and funded by the National Institute of Health Research (UK). The study is based on a reproducible and transparent methodology which has been subjected to critical appraisal during a peer-review process.
- The term 'outcome' was described to participants in a manner relatable to them, such that they understood it and were able to identify which outcomes were most important.
- The patient population was limited to UK-based English speakers. The views of international patients may vary due to differences in culture and clinical practice.

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#### Main Article

## Introduction

#### Background

Gastric cancer is a leading cause of cancer-related death world-wide<sup>1,2</sup>. Whilst surgery remains the only treatment which can offer a potential cure from this disease, it is associated with significant rates of morbidity in both the short and long-term<sup>3,4</sup>. Ideally, the optimal surgical approach would minimise the risk of short and long-term complications without jeopardising the oncological resection.

Identifying the optimal surgical approach for gastric cancer should be based on comparing and combining robust clinical evidence from well-designed randomised control trials. One of the present challenges to achieving this is the inconsistency in the reporting of outcomes in this field<sup>5</sup>. This limits evidence synthesis and contributes to 'research waste'. The GASTROS study (GASTROS – GAstric Cancer Surgery TRials Reported Outcome Standardisation - www.gastrosstudy.org)<sup>6</sup> aims to address this issue by developing a 'core outcome set' (COS) – a *minimum* group of standardized and well-defined outcomes, measured by all future gastric cancer surgery trials<sup>7</sup>.

A guiding principle in the development of COS is that outcomes reflect the views and priorities of key stakeholders, including patients, to maximise the relevance and impact of future research. Previous studies have demonstrated variations in the views and priorities of clinicians and patients<sup>8–10</sup>, which can result in trials reporting outcomes which bear little relevance to patients. A systematic review of outcome reporting in surgical trials for gastric cancer has demonstrated that outcomes which may be important to gastric cancer patients, such as 'quality of life' after surgery are poorly represented within this field<sup>5</sup>. It is therefore important to understand which outcomes are important for patients undergoing gastric cancer surgery.

#### Objective

This research forms part of the GASTROS study, for which the protocol has been previously described<sup>6</sup>. The first stage in the study involves identifying a 'long-list' of potentially important outcomes which will be prioritised in stage two by participants undertaking a Delphi survey. It is not

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 known to what degree outcomes reported in previously published trials represent the priorities of patients undergoing gastric cancer surgery, and as such, solely relying on these as a source to populate the 'long-list' may overlook potentially important outcomes. By exploring the experiences, perceptions and priorities of patients who have undergone surgery for gastric cancer, this study aimed to identify themes and outcomes which may not have been previously reported in the literature.

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#### Methods

#### Study Design

The role of qualitative research methods in the development of COS has been previously explored<sup>11</sup> and has been advocated by groups such as the COMET initiative<sup>7</sup> as one of several approaches to ensure that outcome lists being considered for prioritisation are exhaustive. This qualitative study used a semi-structured interview approach to achieve the primary objective of identifying outcomes of importance to patients. A series of open questions were used to facilitate a patient-led discussion, guided by additional prompts from a pre-prepared interview schedule (table 1) to ensure key areas were covered.

Additional focused questioning around the use of outcomes in research was also included. In the context of clinical research, terms such as 'outcomes' may not be well understood by patients<sup>11</sup> and so a mixture of open and closed questioning was important. Participant interviews were undertaken in series of three following which transcript analysis (see below) was undertaken and the interview schedule was modified iteratively. This ensured that areas raised by earlier participants, but not included in the original schedule, were covered in subsequent discussions.

#### Sampling

The eligibility criteria for this study are summarized in table 2. A purposive sampling strategy was adopted across the following characteristics:

- Age (above and below 70 years).
- Gender (men and women)
- Time since surgery (less than a year, one to three years and more than three years) Interviews were undertaken until 'data-saturation' was achieved. Data saturation was determined when there was no new data emerging that had interpretive value.

Participants were recruited from across the United Kingdom from three sources:

- A regional specialist gastric cancer centre: patients were approached in the outpatient clinic by their direct care team.
- 2. Patient organisations: patient groups were asked to contact their membership through e-mail and social media.
- 3. Snowball sampling; patients who had been recruited or contacted to participate were asked to identify other patients who would be interested in the study.

## Data Collection

Interviews were undertaken between February and May 2017 and were conducted by BA, a consultant surgeon and researcher with approximately ten years' experience of managing and communicating with gastric cancer patients. Participants were invited to choose between a University Teaching Hospital, two purpose-built patient cancer centres, or their home for the location of the meeting. Participants were also offered the opportunity to have their interviews over the telephone. Participants were offered travel expenses to minimise any financial burden on taking part in the study. In addition to the purposive sampling strategy, the following demographic data was collected:

- Gender (male/female)
- Social circumstances (e.g. lives alone/with partner/lives with dependents)
- Age
- Time since surgery (in months)
- The type of gastrectomy (total or partial gastrectomy)
- The approach to their surgery (open or laparoscopic)
- Whether they had undergone additional treatment (e.g. chemotherapy)
- Whether they had suffered a post-operative complication
- Ethnicity
- Previous trial enrolment
- Participant post-code (to identify location and social deprivation score)

Full written consent was taken immediately prior to the interview and the participant was reminded that they were able to stop at any point or withdraw from the study without needing to give a reason.

#### Data Analysis

 All interviews were digitally recorded and professionally transcribed (intelligent verbatim transcription). A thematic analysis was used to identify emerging themes. Themes were developed using a three-step approach of open coding, axial coding and selective coding<sup>12</sup> of the transcripts. Given the objective of this study was to identify themes and outcomes not previously reported in trials, it was important not to base data analysis and outcome identification on a framework built on previously published literature. BA and RM (a researcher with significant experience in qualitative research methods) independently analysed the first two transcripts and through discussion identified themes and adjustments to the interview schedule. Regular discussion between BA and RM took place throughout the study to ensure that the there was agreement with the analysis. Data analysis was supported using NVivo 11 (http://www.gsrinternational.com/products\_nvivo.aspx, OSR International, elie Burlington, MA, USA).

#### Approvals and Portfolio Adoption

The study was given ethical approval by the National Research Ethics Service North West-Cheshire (11/NW/0739) and governance approvals by Central Manchester University Hospital NHS Foundation Trust. The study was adopted by the National Institute for Health Research (NIHR) Clinical Research Network Portfolio (ID 33312).

#### Reporting

This paper uses the SRQR checklist to structure the report of the study findings<sup>13</sup>.

#### Patient and Public involvement

A Study Advisory Group (SAG) forms part of the management structure of the wider GASTROS study<sup>6</sup>, of which this qualitative study forms part of the first stage. The SAG is made up of key stakeholder representatives including patients, oncology nurses and surgeons. The group provides advice on the methodology of the study, general delivery of the study against its stated objectives and

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ensures that the viewpoints of all stakeholder groups are considered. The results of this study were presented to a SAG meeting; the ensuing discussion influenced the design of the next stage of the study in preparation for an international Delphi Survey.

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## Table 1. Interview schedule.

| 1. | I understand you have (had) gastric cancer. Can you tell me about that?                        |
|----|--|
| 2. | Could you tell me about how you first found out you had gastric cancer?                        |
|    | Prompts:   |
|    | • What questions did you most want to ask, when you were told that you had gastric             |
|    | cancer?  |
| 3  | Were there were any areas you wanted more information about but were unable to find?           |
|    | Prompts:   |
|    | • Were you given any leaflets at the time of diagnosis? Did you find these useful?             |
| 4  | What treatment was offered and how you decide about undergoing treatment.                      |
|    | Prompts:   |
|    | • What information did you want about the treatment you would be receiving?                    |
|    | • What factors did you consider when deciding on the treatment?'                               |
| 5  | What effects did the treatment have on you after surgery?                                      |
|    | Prompts:   |
|    | • Did the treatment affect your physical or mental well-being?                                 |
|    | • Did the treatment have an effect on relationships with those around you?                     |
|    | • Did you have to make any changes to your behaviour as a result of treatment?                 |
| 6  | What long-term effects did the treatment have on you?  |
|    | Prompts:   |
|    | • Did the treatment affect your physical or mental well-being?                                 |
|    | • Did the treatment have an effect on relationships with those around you?                     |
|    | • Did you have to make any changes to your behaviour as a result of treatment?                 |
| 7  | What was the worst side effect of treatment?   |
| 8  | What are your concerns for the future, especially those relating to their diagnosis/history of |
|    | gastric cancer?  |

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Did the explanation of what you should expect from surgery match your real experience?

In the context of research studies, can you explain what an outcome is in your own words?

What, in your opinion, is the most important outcome to measure in gastric cancer surgery

Is there anything else that you feel is important to talk about that we have not discussed?

Are there any other outcomes which may be important to measure?

Has your perspective on what is important changed over time?

The interviewer will then provide a definition of the term 'outcome' is in the context of clinical

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## Table 2. Eligibility criteria for study participants.

|              | Potential Participants Approached                                | Potential Participants Not Approached                  |  |  |
|--------------|--|--|--|--|
| Participant  | • Male and females aged 18 years and older.                      | Patients unable to give informed consent               |  |  |
|              | • Individuals able to participate in an interview in the English | • Patients too unwell to comfortably participate in an |  |  |
|              | language.  | interview lasting approximately                        |  |  |
|              | 0  | 30-60 minutes.   |  |  |
| Pathology    | • Adenocarcinoma and   | Gastrointestinal Stromal                               |  |  |
|              | squamous cell carcinoma of the                                   | Tumours  |  |  |
|              | stomach, (which makes up 95                                      | • Neuro-endocrine tumours                              |  |  |
|              | per cent of all stomach  | • Lymphoma   |  |  |
|              | tumours).  | • Benign disease                                       |  |  |
| Intervention | • Total and partial gastrectomy                                  | • Surgery with palliative intent                       |  |  |
|              | • Open and laparoscopic  | • Endoscopic therapies such as                         |  |  |
|              | approaches   | EMR (endoscopic mucosal                                |  |  |
|              |  | resection) and ESD (endoscopic                         |  |  |
|              |  | Submucosal dissection)                                 |  |  |

#### Results

#### Overview

In total, 20 patients were interviewed. Table 3 summarises demographic data and treatment-related characteristics of participants. Interviews lasted a median of 50.5 minutes (29-75 minutes). No patients withdrew from the study. Data saturation was deemed to have been reached by 20 interviews; one new outcome was identified in interview number 18 (related to sexual activity), however, no further outcomes were identified from the following two transcripts.

#### Outcome Themes

Six broad themes enveloping 38 outcomes were identified;

- 1. Surviving and controlling cancer,
- 2. Technical aspects of surgery,
- 3. Adverse events from surgery,
- 4. Recovering from surgery,
- 5. Long-term problems following surgery and
- 6. Long-term life impact of surgery.

Appendix 1 provides a breakdown of how all themes were developed from outcomes identified during the interviews. Themes were well represented in each interview; each theme was discussed by at least 18 of 20 participants. Appendix 2 demonstrated the outcomes identified during the interviews and how often they were referenced.

#### Theme 1: Surviving and controlling cancer

For most, details of their initial consultation were sketchy; participants often described being given lots of information about their diagnosis, much of which was not absorbed. However, patients clearly remember their reaction to being told their cancer diagnosis; for most, the response was the same:

"When you hear the word, cancer, you think that's it. I'm going to die." (participant 6) There was a range of personal experience with cancer within our patient group. Some had direct

family members who had undergone chemotherapy and had an intimate knowledge of its effects.

"it was my worst nightmare come true because I lost my dad to cancer and I always had it in the back of my mind, well if one person in the family could get cancer from somewhere then we could as well." (participant 19)

Some patients had a vague knowledge of friends or work colleagues who had undergone treatments for cancer and others had no prior experience of cancer at all. Despite these differences, the initial responses to their diagnosis were similar.

All participants in our study had undergone radical surgery with curative intent. Once the discussion with their surgeon moved away from the diagnosis and onto potentially curative treatments, participants often focused their questions on 'survival':

"I wanted to know what the chances were of me having this removed and not, well, basically not dying from it." (participant 4)

Despite radical surgery (and peri-operative chemotherapy in half of our participants), for many of the participants the fear of recurrence remained a permanent anxiety. Many participants seemed to understand that due to the aggressive nature of gastric cancer, recurrence is a possibility for many:

"...you're always worried that it's going to come back..." (participant 7)

The study cohort included participants who had undergone surgery between 5 months and 14 years prior to the interviews. There did not seem to be a relationship between the length of time out of surgery and concerns about cancer recurrence.

#### Theme 2: Technical aspects of surgery

Several outcomes related to this theme were discussed by participants. Most importantly, participants focused on whether the surgical team was able to excise the 'cancer' in its entirety. This priority was often referenced in relation to the 'success' of surgery and its contribution to 'curing' participants of cancer:

"...thinking to yourself that, you know, everything has been done to the best of the hospital's ability, and, you know, they've taken absolutely everything out." (participant 4)

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Whilst participants mostly referred to the cancer as a single 'entity', there were a small number who demonstrated some knowledge of the importance of different aspects of surgery such as lymph node excision:

"And yeah, I remember the news about the pathology on the bits they'd taken away, and the lymph node system and what not, came a week or two before I was due to go back on the chemo." (participant 20)

Six participants underwent a minimally invasive surgery with the remainder undergoing open surgery. The size of the wounds or type of surgical approach was referred to by only a minority of our participants. In the main, these were made in passing as little importance was placed on the surgical approach:

Interviewer: "Okay, and what...what did that mean for you to have keyhole surgery?" Respondent: "It didn't mean anything really, you know, I had...I'd heard about keyhole and people who'd had it." (participant 5)

## Theme 3: Adverse events following surgery

Eleven participants suffered a complication following surgery; however, this theme was important to all interviewees. Peri-operative death was the most frequently discussed surgical complication:

"...the fear of dying on the operating table is really real." (participant 2)

During their surgical consultation, participants retained some understanding of the risk of perioperative death and many were able to quote figures about how likely this complication was. Other complications were highlighted when recounting a personal experience. While all complications occurred in the post-operative period, there were several different causes attributed to these events (e.g. direct surgical, anaesthesia-related and medication-related). The severity and consequences of the complications also varied significantly; some were self-limiting and resulted in a minor extension of the length of hospital stay:

"But I was out of it for three days, I was just hallucinating and God knows what, probably because of the morphine." (participant 7)

And those which were life-threatening and required significant clinical intervention:

"It was a twisted bowel. Yeah, I was told it was a twisted bowel. Because I always remember that when they brought me back from obviously having a look and everything, I always remember [they] said...we're going to have to take you back to surgery." (participant 15)

The severity of the complications suffered did not seem to shape the key priorities in relation to participants' 'worst side effect' of surgery or 'most important outcome'; these almost entirely related to 'long-term impacts of surgery' and 'cure' respectively (see below) regardless of how long ago their operation was and which surgical approach (laparoscopic or open surgery) was employed.

Whilst participants recounted that some of the more serious complications (e.g. death, anastomotic leak and cardio-pulmonary complications) were described by surgeons during the consent process, some were exposed to other sources of information in the pre-operative stage. Participants were regularly provided with written information about their cancer and its management, however the quality and content of this varied depending on the location of their hospital. The response to this format was varied:

"I'm going to be honest with you, I didn't actually read them... because I didn't want things going in my head that I couldn't take in." (participant 17)

Participants that read the written information often found them difficult to digest for several reasons including the volume of information and fear of the gravity of the diagnosis or prognosis:

"Well some of it were just waste of time, but others, you know, if you've got a book about that thick and you read through it and half of it applies to you, and the others just sort...doesn't apply, you know." (participant 5)

"As much as it's alright handing leaflets out, I can...I am a bit of a reader so I will read stuff, but when you think you've got a death sentence you think, what's the point in reading that?" (participant 13)

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Several hospitals had patient-support groups which provide a 'buddy' system for those awaiting surgery. These support groups became an important part of the recovery process and continue to be relevant many years after surgery. Patients found these more useful as they had the opportunity to speak to those with lived experience of the diagnosis and treatment. Whilst this served as an important source of information to tackle the longer-term impacts of surgery (below), these groups also provided comfort to patients:

"I think the support group and speaking to people that have been through it, because it can demystify it quite a lot." (participant 2)

Peer support also provided additional sources of information to participants in the pre-operative period with respect to some of the complications that could arise:

"And I know one guy, where the oesophagus junction was, he'd had that leaking, and he couldn't eat more than, like, grains of rice and things; so that would be pretty horrendous." (participant 4)

As a result of verbal and written information from healthcare professionals and additional peer support, participants were able to describe key adverse without necessarily having experienced them firsthand.

## Theme 4: Recovery from surgery

Experiences during the immediate post-operative recovery period were referenced by 18 study participants. Whilst some participants' experience of recovery from surgery was directly linked to complications, there were aspects of recovery such as post-operative pain, mobility and the recommencement oral intake that were common amongst all those who spoke this theme.

Most participants did not mention post-operative pain as an important focus. Those that did, expected to suffer a degree of pain, however experiences of its severity varied widely. Pain levels amongst interviewees who had undergone similar operations through laparotomy incisions were not uniform. One participant who had open surgery described:

"I do remember waking up and really being in a hell of a lot of pain and being really out of it." (participant 6)

Whilst another who had undergone their surgery using the same approach recounted:

"I didn't really have much pain." (participant 14)

Discussions around post-operative pain were not confined to discomfort from the surgical incisions, but also related to post-operative complications:

"I was back in writhing in agony with a serious infection in the wound." (participant 9) Participants recounted the limitations in their mobility during the post-operative period. There were many factors contributing to this, including physical weakness, not receiving appropriate encouragement to mobilise and being restricted by surgical drains:

"...really difficult to be mobile I suppose, and move around, yourself, 'cause obviously you've got quite a lot of tubes and different things coming out. I felt very, very swollen." (participant 6) 

## *Theme 5: Long-term problems following surgery*

All participants described significant long-term symptoms related to surgery. For the most, this represented the 'worst side effect' in relation to their treatment and outcomes from this theme were referenced more than any other theme further emphasising its importance. All participants described experience with struggling to eat and drink following surgery and the majority (16/20) talked extensively about the impact of fatigue on their daily lives. Problems with maintaining weight, issues with ongoing gastro-intestinal symptoms and chronic pain were discussed by most participants.

Fatigue was described in many ways; 'exhaustion', 'feeling tired all the time', 'feeling so weak' and 'having no energy'. For the main, fatigue was a symptom which persisted for months after surgery and could impact on a participant's ability to undertake day-to-day activities or to socialise:

"Well, I'm so weak, I used to go out, you know, and do fishing and do things with my lads. I'm just getting that little bit better now after eight months, but I'm so weak and tired." (participant 18)

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Adapting to fatigue was and for many continued to be a difficult challenge, however many participants understood that this was s a recognised and acceptable symptom to them given the magnitude of the surgery:

"I've come out with...more...appreciation for looking after myself and my...And if I'm tired, I stop." (participant 15)

There were several causes for the struggles participants associated with eating and drinking. Participants often described having to eat and drink smaller volumes more frequently and some were unable to tolerate certain food types or consistencies. This had a direct effect on the pleasure associated with eating and an impact on where participants could eat:

"Well I don't eat what I would like to...But I know that for the rest of my life, I won't be able to go out for big meals, to big venues and eat like I used to eat before, you know." (participant 5)

Most participants recounted being told prior to their surgery that their diet would be different and that they would have to 'learn how to eat again'. Despite this, some participants felt that not enough information was given to highlight the true impact of this long-term issue and methods to address it:

"I think it's a lot worse than what they tell you. Because like some days, I'll eat a certain thing which I've ate before, and you just can't breathe properly, it's choking you." (participant 18)

A broad range of gastro-intestinal symptoms were reported by participants. The time frame relating to how long these persisted was similarly broad (sometimes months and years) and did not seem to follow a pattern. Nausea, vomiting, diarrhoea, reflux and belching were the commonest problems described. Many of these symptoms resulted in significant impacts on quality of life (see theme 6 below):

"...I still get the bile reflux and I get this constant pain in the oesophagus which affects my sleeping as well." (participant 7)

"The dumping syndrome was mentioned. Never understood it until it happened. You know, how my body reacted to certain foods that I'd normally eat that it doesn't like anymore." (participant 15)

#### Theme 6: Long-term life impact of surgery

The long-term effects on 'normality', quality of life, and psychological impact of surgery were discussed extensively by all patients. A strong desire to return to a form of 'normality' was regularly expressed. Whilst the reference point for 'normality' differed amongst patients, common characteristics existed; namely a desire to do what they used to do such as working, exercising, socialising with friends and family and being able to travel:

"it's about living as I did before, and forgetting what had happened, and I do that quite often." (participant 6)

The experience of returning to normality varied amongst those interviewed. Many participants were largely able to return to their 'normal' activities albeit with some modifications:

"Yes. I want to go on holidays again. I love cruises and I want...but until my eating's improved, I wouldn't do that." (participant 1)

"Now that it's 18 months on, I am back to having what would be a normal life again, now, albeit with smaller portions of meals and things" (participant 4)

Some participants however have not been able to return to activities that provided them with significant enjoyment:

"I've never actually got back to my normal activity. I've never played golf since that day and I used to love golf." (participant 4)

In general, participants understood that life after gastrectomy would be different:

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"I'm still alive, and then I need to get back to normal. It takes a while for you to realise your new normality is not like your old normality." (participant 2)

Whilst much of the discussion relating to 'normality' centred around specific tasks which participants valued or missed, the impact of gastrectomy on a participant's overall general quality of life was important to many. Many understood that quality of life needed to be redefined in comparison to life before surgery, but nonetheless there was a minimum level that would need to be achieved:

"if I have some sort of quality of life, where I can get up and wash myself and do, that is something that I'd live for. But I couldn't be sat there and nursed 24/7" (participant 17)

The psychological burden on participants following gastrectomy is a significant one. Each of the previously discussed themes could impact on a participant's mental state and whilst certain phases of the treatment pathway were time-limited, the psychological effects could persist for much longer;

"You don't just suffer from physical; you suffer from mental. And I think the mental is a lot more powerful that the physical, because you can shut pain off by taking medication, but it's very hard to shut problems off mentally." (participant 18)

"You know, 'cause psychologically you think you've still got this poison in your body, as much as I've got rid of, you know, my monster." (participant 13)

Some of the psychological impacts were associated with participants having to adapt to a new normality in relation to what they were able to do, what they were able to eat, how they looked physically or how they felt around others:

"Well, it was a problem because like I say, I've always been a proud chap and proud of my body because I kept myself fit and everything. When I looked in the mirror, quite distressing. That was it, yeah. It makes you feel inferior." (participant 18) "I feel a freak, I feel when I go into a big room with people that everybody has got a stomach and I haven't got one, it's not that I want them to know, but I just don't feel the same anymore..." (participant 8)

#### Definition of 'outcome' by patients

All participants were asked what their understanding of the term 'outcome' was in the context of clinical research. Two participants were able to provide a broad-ranging definition which encompassed some of the benefits and adverse effects of treatment:

"my perception of what would be meant by that phrase would...at a variety of levels; it could be does the patient live or die? Does the patient recover to an acceptable state for an extended period of time, and my understanding of what that might be, would be a, sort of, five year period..." (participant 20)

One person stated that they did not know how to define the term, whilst the remainder defined 'outcome' by recounting a single outcome, which was most important to them:

"Okay, my understanding is that at the...the outcome would be that the cancer would be possibly all gone." (participant 19)

Participants were asked to provide a single outcome that was 'most important to them'. Fifteen participants identified that the most important outcome was that they were 'cured of cancer' with the remaining five describing outcomes related to 'returning to normal' and being able to enjoy a 'good quality of life'. These priorities did not alter with respect to how long-ago surgery was performed, which approach was undertaken or how old the patient was.

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## Table 3. Patient characteristics and demographic data.

|                    | Patient | Sex  | Age | Ethnicity | Social<br>deprivation | Home                | Months<br>since | Type of surgery                    | Approach to  | Post-operative | Peri-operative |
|--------------------|---------|------|-----|-----------|-----------------------|---------------------|-----------------|------------------------------------|--------------|----------------|----------------|
| )                  |         | ~~~~ | 8-  | *         | quintile**            | circumstances       | surgery         | - <b>, F</b> , <b>.</b> . <b>.</b> | surgery      | complications  | treatment      |
| <u>)</u><br>}      | 1       | F    | 74  | А         | 3rd                   | Lives alone         | 15              | Partial Gastrectomy                | Laparoscopic | Yes            | Nil            |
| ↓<br>;             | 2       | М    | 59  | В         | 3rd                   | Lives alone         | 27              | Total Gastrectomy                  | Open surgery | Yes            | Chemotherapy   |
| ,<br>,             | 3       | М    | 71  | А         | 1st                   | Lives alone         | 16              | Partial Gastrectomy                | Open surgery | Yes            | Nil            |
| 3<br>)<br>)        | 4       | М    | 43  | A         | 2nd                   | Lives with parents  | 15              | Total Gastrectomy                  | Open surgery | Yes            | Chemotherapy   |
| 2                  | 5       | М    | 80  | А         | 3rd                   | Lives alone         | 23              | Partial Gastrectomy                | Laparoscopic | Yes            | Nil            |
| -<br>5<br>7<br>3   | 6       | F    | 52  | A         | 2nd                   | Lives with children | 32              | Total Gastrectomy                  | Open surgery | No             | Chemotherapy   |
| )<br>)<br><u>)</u> | 7       | М    | 79  | А         | 1st                   | Lives with spouse   | 58              | Total Gastrectomy                  | Laparoscopic | Yes            | Chemotherapy   |
| }<br>              | 8       | F    | 63  | А         | 1st                   | Lives alone         | 5               | Total Gastrectomy                  | Open surgery | No             | Nil            |
| 5<br>7<br>3<br>9   | 9       | М    | 61  | А         | 3rd                   | Lives with spouse   | 170             | Total Gastrectomy                  | Open surgery | No             | Nil            |

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| 10 | М  | 61 | С | 1st | Lives alone       | 79  | Total Gastrectomy   | Open surgery | No  | Chemotherapy |
|----|----|----|---|-----|-------------------|-----|---------------------|--------------|-----|--------------|
| 11 | М  | 76 | A | 4th | Lives with spouse | 110 | Total Gastrectomy   | Laparoscopic | Yes | Chemotherapy |
| 12 | F  | 82 | А | 4th | Lives alone       | 62  | Partial Gastrectomy | Open surgery | No  | Nil          |
| 13 | F  | 59 | A | 2nd | Lives with spouse | 19  | Partial Gastrectomy | Open surgery | No  | Chemotherapy |
| 14 | М  | 70 | В | 1st | Lives alone       | 11  | Partial Gastrectomy | Open surgery | No  | Nil          |
| 15 | F  | 56 | М | 5th | Lives with parent | 33  | Total Gastrectomy   | Open surgery | Yes | Chemotherapy |
| 16 | F  | 84 | А | 1st | Lives alone       | 17  | Partial Gastrectomy | Laparoscopic | Yes | Nil          |
| 17 | М  | 48 | A | 4th | Lives with parent | 9   | Total Gastrectomy   | Laparoscopic | Yes | Chemotherapy |
| 18 | М  | 77 | A | 4th | Lives with spouse | 78  | Total Gastrectomy   | Open surgery | Yes | Nil          |
| 19 | Fe | 58 | A | 3rd | Lives with spouse | 11  | Partial Gastrectomy | Laparoscopic | No  | Nil          |
| 20 | М  | 54 | А | 1st | Lives with        | 48  | Partial Gastrectomy | Open surgery | No  | Chemotherapy |
|    |    |    |   |     |                   |     |                     |              |     |              |

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 spouse

\*A=, B=, C=, M=. \*\*Social deprivation quintile: 1<sup>st</sup> quintile being the least deprived, 5<sup>th</sup> quintile being the most deprived.

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#### Discussion

To our knowledge, this is the first in-depth qualitative study exploring the priorities of patients following surgery for gastric cancer. The themes identified highlight the profound and wide-ranging physical, social and psychological impacts that gastrectomy has on patients which can persist for months and years. We have previously described the reporting of outcomes in surgical trials for gastric cancer over a twenty-year period<sup>5</sup>. Most surgical trials in this field have focused on reporting short-term post-operative outcomes. Whilst these are important to patients, they are not representative of the whole picture. This work highlights how patient priorities for outcomes may differ from the traditional surgical focus. More work is now needed to develop the COS which incorporates views of all key stakeholders including patients.

More than half of the 'top-ten' most frequently discussed outcomes in our study related to longer-term issues such as problems with eating, returning to 'normality', fatigue, weight loss, gastro-intestinal symptoms and psychological impacts. These types of outcomes are infrequently reported in surgical trials and demonstrate that researchers within this field have not reflected the priorities of patients. This challenge needs to be addressed using an approach which is inclusive of patients and their views.

The GASTROS study aims to develop a COS; critically important outcomes which should be reported - as a minimum - by future surgical trials for gastric cancer<sup>6</sup>. By standardising the reporting of such outcomes, it aims to improve the ability to synthesise evidence, reduce research waste and ultimately aid researchers in answering important questions related to gastrectomy. The first stage in developing the COS consists of identifying a 'long-list' of outcomes which will then be prioritised by key stakeholders during an international online Delphi survey. The process of developing the long-list should be comprehensive and involve both healthcare professionals and patients in order to minimise the risk of omitting potentially important outcomes. Our study reaffirms the importance of a mixed-methods approach to identifying potentially important outcomes. As others COS developers have found, building a long-list based solely on outcomes reported in previous trials or as developed by clinicians often neglects the views of key stakeholders<sup>8–10</sup>. This ultimately runs the risk of producing a

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COS which does not reflect the priorities of patients which does little to address the current challenges with outcome reporting.

Understanding patient priorities following gastrectomy is invaluable for other reasons. Patients with gastric cancer want detailed information about their condition and treatment<sup>14</sup>. With the knowledge that long-term impacts of surgery are important, healthcare professionals can tailor the consent process prior to surgery to ensure that the patient has a better understanding of these and is making an informed decision. Considering patient priorities may also have implications for the future development of national and international audits<sup>3,4</sup>. For several pragmatic reasons, most comprehensive gastric cancer surgery audits focus on short-term outcomes. Identifying methods to report longer-term quality outcome measures may make such audits more relevant to patients. Studies assessing patients' views in similar disease areas found similar things, that long term outcomes (survival and long term quality of life) were important<sup>15</sup>.

#### Strengths and weaknesses

The study was able to gain an in-depth understanding of patient priorities based on the experience of participants with a broad range of characteristics representative of those undergoing surgery for gastric cancer in the UK<sup>4</sup>. Furthermore, our purposive sampling approach was established *a priori* in a study protocol which had undergone a robust peer-review process.

This study was also able to highlight and address significant challenges associated with the comprehension of medical language by patients; particularly terms central to the development of a COS. Patients largely did not understand the use of the term 'outcome' within the context of medical research. Once it was defined as an 'impact or effect of a treatment which may be beneficial or harmful', participants were more easily able to describe their key priorities in outcome reporting for future trials. This has several implications for the GASTROS study as well as other COS projects moving forward. It highlights the importance of ensuring that the premise of the study is clear and understood by all participants, especially patients; outcomes included in the Delphi survey must be

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presented and explained in a manner which is accessible to all; 'outcome' must be clear when adapting it to other regions where there may be no direct translation for the term.

The interviews were conducted by an expert in the field of gastric cancer surgery which may have resulted in a degree of observer bias. To mitigate this potential limitation, the study management team (which was made up primarily of members unfamiliar with gastric cancer surgery) was involved in ongoing discussions during data collection and analysis. It is also possible that patients modified their responses because of awareness of the background of the interviewer. Every effort was made to follow the semi-structured interview schedule, to put the patients at ease and take time to let them talk. The average length of the interviews (greater than 45 mins) reflects the time patients were given to express their views. The GASTROS study aims to recruit healthcare professionals and patients internationally to its online Delphi survey to prioritise potentially important outcomes. The results of the Delphi survey will inform a consensus meeting to finalise the COS. A limitation of this present interview study is a lack of international patient participation. Consequently, there may be outcomes which are relevant to non-UK patients that have not been identified. Gastric cancer is an international disease and cultural and regional influences may alter expectations and priorities of patients. Whilst we have not identified evidence from COS developers in other fields that confirms these variations, it remains a possibility. Our reasons for limiting the interviews to UK-only patients were primarily down to pragmatism and finite resources. To mitigate this, the Delphi survey will be available in several languages and during the first round, all participants will be able to submit additional outcomes that they believe were omitted. These will be considered by the study team and presented for prioritisation by participants in round two of the Delphi survey, if appropriate. Adopting this approach also enables the exploration of regional variations in outcome priorities which may form the basis of a future international qualitative study.

In summary, this study identified 38 unique outcomes which are important to patients following surgery for gastric cancer. Many of these outcomes are poorly represented by trials within this research field. These outcomes will be added to other potentially important outcomes to be considered for prioritisation by key stakeholders to develop a COS for surgical trials in gastric cancer.

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# **Author Statement**

# Author contributions to the study - <u>https://www.casrai.org/credit.html</u>.

| # | Role                | Definition  | Authors  |
|---|---------------------|---|----------|
| 1 | Conceptualization   | Ideas; formulation or evolution of overarching research goals and aims.                                     | BA, JMB, |
|   |                     |   | IAB, RM  |
| 2 | Data curation       | Management activities to annotate (produce metadata), scrub data and maintain research data                 | BA       |
|   |                     | (including software code, where it is necessary for interpreting the data itself) for initial use and later |          |
|   |                     | re-use.   |          |
| 3 | Formal analysis     | Application of statistical, mathematical, computational, or other formal techniques to analyse or           | BA, RM   |
|   |                     | synthesize study data.  |          |
| 4 | Funding acquisition | Acquisition of the financial support for the project leading to this publication.                           | BA, JMB, |
|   |                     |   | IAB      |
| 5 | Investigation       | Conducting a research and investigation process, specifically performing the experiments, or                | BA, RM   |
|   |                     | data/evidence collection.   |          |
| 6 | Methodology         | Development or design of methodology; creation of models.   | BA, IAB, |
|   |                     |   | RM       |
| 7 | Project             | Management and coordination responsibility for the research activity planning and execution.                | BA, IAB, |
|   | administration      |   | RM       |
| 8 | Resources           | Provision of study materials, reagents, materials, patients, laboratory samples, animals,                   | BA, IAB  |
|   |                     | instrumentation, computing resources, or other analysis tools.  |          |
| 9 | Software            | Programming, software development; designing computer programs; implementation of the computer              | BA       |

| 12 | Visualization      | Preparation, creation and/or presentation of the published work, specifically visualization/data                              | BA, JMB,      |
|----|--------------------|---|---------------|
| 13 | Writing – original | presentation.         Preparation, creation and/or presentation of the published work, specifically writing the initial draft | IAB, RM<br>BA |
| 15 | draft              | (including substantive translation).  | DA            |
| 14 | Writing – review & | Preparation, creation and/or presentation of the published work by those from the original research                           | BA, JMB,      |
|    | editing            | group, specifically critical review, commentary or revision – including pre- or post-publication stages.                      | IAB, RM       |
|    |                    | group, specifically officer review, commentary of revision - including pre- of post publication sugges.                       |               |

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# Appendix 1. Development of themes

Theme: Surviving and Controlling Cancer

| Issues identified on initial coding         | Outcome              | Outcome theme      |  |
|---|----------------------|--------------------|--|
| Being cured of cancer                       |                      |                    |  |
| Cancer returning to other parts of the body | Description          |                    |  |
| Cancer returning in the abdomen             | Recurrence of Cancer |                    |  |
| Possibility of cancer returning             |                      | Surviving and      |  |
| Being able to live (a little/a lot) longer  |                      | Controlling Cancer |  |
| Being alive/surviving for a 'long time'     |                      |                    |  |
| Chances of (not) dying from cancer          | Survival             |                    |  |
| Chances of survival                         |                      |                    |  |

# Theme: Adverse events following surgery

| Issues identified on initial coding   | Outcome                           | Outcome theme       |
|---|-----------------------------------|---------------------|
| Complications related to anaesthesia  | Anaesthetic complications         |                     |
| Anastomotic leak Anastomotic stricture  | Anastomotic complications         |                     |
| Internal bleeding requiring further intervention  | Bleeding                          |                     |
| Concern about cardiac complications in<br>context of previous myocardial infarction<br>Racing heart beat  | Cardiac complications             |                     |
| Stroke following surgery  | Cerebro-vascular<br>complications | Adverse events      |
| Bowel perforation<br>Gastro-intestinal symptoms e.g. constipation<br>Obstruction of bowel   | Intestinal complications          | following of Surger |
| Epidural related complications<br>Hallucinations<br>Overdose of medications such as morphine<br>Side effects of sedatives                         | Medication related complications  |                     |
| Drains and tubes to manage complications<br>Endoscopic treatment of anastomotic stricture<br>Requiring further surgery to manage<br>complications | Need for re-intervention          |                     |

| Surgery for incisional hernia             |                           |
|---|---------------------------|
| 'Surviving' surgery                       |                           |
| Dying from a complication of surgery      | Peri-operative death      |
| Dying on the operating table              |                           |
| Hospital acquired pneumonia               |                           |
| Pleural effusion                          | Respiratory complications |
| Pneumothorax                              |                           |
| Re-admission due to complications such as |                           |
| infections                                | Re-admission to hospital  |
| Re-admission due to pain                  |                           |
| Wound dehiscence                          |                           |
| Wound infection                           | Wound complications       |
| Wound leak                                | would complications       |
| Wound numbness                            |                           |
| Catheter-related problems                 | Urinary complications     |

# Theme: Long-term impact of surgery

| Issues identified on initial coding              | Outcome                   | Outcome theme           |
|--|---------------------------|-------------------------|
| Being able to enjoy a good quality of life       |                           |                         |
| Uncertainty as to what life will be like         | Overall 'quality of life' |                         |
| following surgery                                | 4                         |                         |
| Changes in mood                                  |                           |                         |
| Clinical depression                              | 0.                        |                         |
| Feeling 'abnormal' and 'different' to others     |                           |                         |
| Feelings of insecurity                           | Psychological impact      |                         |
| Feelings of isolation                            |                           | Lange dama increased of |
| Issues related to body image                     |                           | Long-term impact of     |
| Low mood   |                           | surgery                 |
| Being able to enjoy eating again                 |                           |                         |
| Being able to exercise again                     |                           |                         |
| Being able to interact and socialise with others |                           |                         |
| Being able to live 'as they did before'          | Returning to 'normality'  |                         |
| Being able to rely on oneself to undertake       |                           |                         |
| tasks  |                           |                         |
| Being able to undertake household activities     |                           |                         |

| such as shopping and gardening |  |
|--------------------------------|--|
| Returning to employment        |  |

Theme: Technical aspects of surgery

| Issues identified on initial coding       | Outcome               | Outcome theme        |
|---|-----------------------|----------------------|
| 'Cutting' the cancer out                  |                       |                      |
| Ensuring no cancer is left behind         |                       |                      |
| Getting 'rid' of the cancer               | Complete resection of |                      |
| Inability to resect cancer at surgery     | cancer                |                      |
| Removing all lymph nodes                  |                       | Technical aspects of |
| Removing spleen if necessary              |                       | surgery              |
| Ability to perform laparoscopic 'keyhole' |                       |                      |
| surgery                                   | Size of incisions     |                      |
| Large scars                               |                       |                      |
| Duration of surgery                       | Duration of surgery   |                      |

Theme: Long-term problems following surgery

| Issues identified on initial coding          | Outcome              | Outcome theme      |
|--|----------------------|--------------------|
| Amounts able to eat and drink                |                      |                    |
| Being able to eat 'properly'                 |                      |                    |
| Being able to eat at home                    | E-time - I Driviting |                    |
| Change in diet and types of food patient can | Eating and Drinking  |                    |
| consume                                      |                      |                    |
| Difficulties swallowing                      | O,                   |                    |
| Requirement for ongoing nutritional support  | Nutritional problems | b.                 |
| Vitamin B12 deficiency                       | Nutritional problems |                    |
| Feeling persistently tired                   |                      | Long-term problems |
| Feeling extremely weak/lethargic/tired       | Fatigue              | following surgery  |
| Having no energy or stamina                  |                      |                    |
| Loss of energy following simple tasks        |                      |                    |
| Abdominal bloating                           |                      |                    |
| Belching                                     |                      |                    |
| Diarrhoea                                    | Gastro-intestinal    |                    |
| Dumping syndrome                             | symptoms             |                    |
| Excessive flatus                             |                      |                    |
| Nausea                                       |                      |                    |

| Reflux symptoms (acid or bile)              |                 |  |
|---|-----------------|--|
| Vomiting                                    |                 |  |
| Abdominal pain or cramps                    |                 |  |
| Headaches and migraines                     |                 |  |
| Long-term wound related pain                | Chronic Pain    |  |
| Muscle cramps                               | Chronic Pain    |  |
| Pain on swallowing                          |                 |  |
| Painful abdominal distension or bloating    |                 |  |
| Inability to regain weight to desired level | Weight problems |  |
| Readjusting to new weight                   |                 |  |
| Speed of weight loss                        |                 |  |
| Weight loss in general                      |                 |  |

Theme: Recovery Following surgery

| Issues identified on initial coding         | Outcome                    | Outcome theme      |
|---|----------------------------|--------------------|
| Post-operative plan for physiotherapy       |                            |                    |
| Restricted mobility due to drains and tubes |                            |                    |
| attached                                    | Ambulation                 |                    |
| Time to be able to undertake tasks such as  |                            |                    |
| standing up, walking or bathing             | 4.                         |                    |
| Time before being allowed to eat and drink  | Return of gastrointestinal |                    |
| Time before bowel function returned         | function                   | Recovery following |
| Concern about being too unwell for further  | Ability to have more       |                    |
| chemotherapy                                | chemotherapy               | surgery            |
| Length of time in hospital                  | Dynation of hogaital star  |                    |
| Length of time in intensive care            | Duration of hospital stay  |                    |
| Length of time in pain                      |                            |                    |
| Patterns of pain                            | <b>.</b>                   |                    |
| Requirement for analgesia                   | Post-operative pain        |                    |
| Severity of pain                            |                            |                    |

 Appendix 1. Summary of outcomes and outcome themes identified from interviews.

| <b>Outcome Theme</b>             | Outcome                               | How many interviews       | Total number of reference |  |
|----------------------------------|---------------------------------------|---------------------------|---------------------------|--|
| Sucome Intile                    | Guttome                               | outcome was referenced in | in all interviews         |  |
| Surviving and controlling cancer | Curing Cancer                         | 4                         | 6                         |  |
| • Referenced in 20 interviews    | Recurrence of Cancer                  | 18                        | 28                        |  |
| • Referenced 90 times in all     | Survival                              | 20                        | 56                        |  |
| interviews.                      |                                       |                           |                           |  |
| Technical aspects of surgery     | Complete Excision of Cancer           | 18                        | 52                        |  |
| • Referenced in 18 interviews    | Excision of Lymph Nodes               | 5                         | 5                         |  |
| • Referenced 52 times in all     | Need for splenectomy                  | 1                         | 1                         |  |
| interviews.                      | Operative time                        | 1                         | 2                         |  |
|                                  | Wound Size                            | 7                         | 11                        |  |
| Adverse events                   | Ability to have adjuvant chemotherapy | 1                         | 1                         |  |
|                                  | Anaesthetic Complications             | 1                         | 1                         |  |
| • Referenced in 20 interviews    | Anastomotic Leak                      | 6                         | 9                         |  |
| • Referenced 97 times in all     | Anastomotic Stricture                 | 1                         | 1                         |  |
| interviews.                      | B12 Deficiency                        | 5                         | 5                         |  |
|                                  | Bleeding                              | 1                         | 2                         |  |
|                                  | Cardiac Complications                 | 2                         | 2                         |  |
|                                  | Catheter related complications        | 1                         | 1                         |  |
|                                  | Cerebro-vascular complications        | 1                         | 1                         |  |
|                                  | Gastrointestinal problems             | 1                         | 1                         |  |
|                                  | Hernia                                | 1                         | 1                         |  |
|                                  | Intestinal complications              | 4                         | 10                        |  |
|                                  | Medication-related complications      | 10                        | 12                        |  |
|                                  | Need for reintervention               | 8                         | 13                        |  |

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|                               | Peri-operative death             | 12  | 20 |
|-------------------------------|----------------------------------|-----|----|
|                               | Re-Admission to Hospital         | 3   | 4  |
|                               | Respiratory complications        | 3   | 3  |
|                               | Wound Complications              | 8   | 10 |
| Recovery from surgery         | In Hospital Recovery             | 11  | 23 |
|                               | Length of Stay Following Surgery | 11  | 18 |
| • Referenced in 18 interviews | Peripheral Oedema                | 1   | 1  |
| • Referenced 57 times in all  |                                  |     |    |
| interviews.                   | í Or                             |     |    |
| Long-terms problems following | Eating & Drinking                | 20  | 75 |
| surgery                       | Fatigue                          | 16  | 38 |
|                               | Gastrointestinal symptoms        | 11  | 27 |
| • Referenced in 20 interviews | Pain                             | 10  | 14 |
| • Referenced 175 times in all | Weight Loss                      | 12  | 21 |
| interviews.                   |                                  | 10. |    |
| Long-term impacts of surgery  | Necessity of long-term feeding   | 1   | 1  |
|                               | Overall QoL                      | 8   | 10 |
| • Referenced in 20 interviews | Psychological impact             | 11  | 40 |
| • Referenced 133 times in all | Returning to normality           | 20  | 82 |
| interviews.                   |                                  |     |    |
|                               |                                  |     |    |
|                               |                                  |     |    |

| 1<br>2<br>3<br>4   | Reporting ch   | eckl                    | ist for qualitative study.   |        |  |  |
|--|--|-------------------------|--|--------|--|--|
| 5<br>6<br>7  | Based on the SRQR guide  | elines.                 |  |        |  |  |
| 8<br>9   | Instructions to aut  | Instructions to authors |  |        |  |  |
| 10<br>11<br>12<br>13<br>14<br>15<br>16<br>17<br>18<br>19 | Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.  |                         |  |        |  |  |
|  | Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.<br>Upload your completed checklist as an extra file when you submit to a journal. |                         |  |        |  |  |
| 20<br>21<br>22   |  |                         | you used the SRQRreporting guidelines, and cite them as:   |        |  |  |
| 23<br>24<br>25<br>26<br>27                               | O'Brien BC, Harris IB, Beckman TJ, Reed DA, Cook DA. Standards for reporting qualitative research:<br>a synthesis of recommendations. Acad Med. 2014;89(9):1245-1251.  |                         |  |        |  |  |
| 27<br>28<br>29   |  |                         |  | Page   |  |  |
| 30<br>31   |  |                         | Reporting Item   | Number |  |  |
| 32   | Title  |                         |  |        |  |  |
| 33<br>34<br>35<br>36<br>37<br>38<br>39<br>40<br>41       |  | <u>#1</u>               | Concise description of the nature and topic of the study<br>identifying the study as qualitative or indicating the<br>approach (e.g. ethnography, grounded theory) or data<br>collection methods (e.g. interview, focus group) is<br>recommended | 1      |  |  |
| 42<br>43   | Abstract   |                         |  |        |  |  |
| 44<br>45<br>46<br>47<br>48<br>49<br>50                   |  | <u>#2</u>               | Summary of the key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results and conclusions   | 4      |  |  |
| 51<br>52   | Introduction   |                         |  |        |  |  |
| 53<br>54<br>55<br>56<br>57<br>58                         | Problem formulation  | <u>#3</u>               | Description and signifcance of the problem /<br>phenomenon studied: review of relevant theory and<br>empirical work; problem statement   | 7      |  |  |
| 59<br>60   | For pe   | er review               | only - http://bmjopen.bmj.com/site/about/guidelines.xhtml  |        |  |  |

| 1<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>13<br>14<br>15<br>16<br>7<br>8<br>9<br>0<br>11<br>2<br>3<br>2<br>3<br>2<br>2<br>3<br>2<br>2<br>2<br>3<br>2<br>3<br>3<br>3<br>3<br>3<br>3 | Purpose or research question <b>Methods</b> | <u>#4</u>  | Purpose of the study and specific objectives or questions  | 7  |
|--|---|------------|--|----|
|  | Qualitative approach and research paradigm  | <u>#5</u>  | Qualitative approach (e.g. ethnography, grounded<br>theory, case study, phenomenolgy, narrative research)<br>and guiding theory if appropriate; identifying the<br>research paradigm (e.g. postpositivist, constructivist /<br>interpretivist) is also recommended; rationale. The<br>rationale should briefly discuss the justification for<br>choosing that theory, approach, method or technique<br>rather than other options available; the assumptions<br>and limitations implicit in those choices and how those<br>choices influence study conclusions and transferability.<br>As appropriate the rationale for several items might be<br>discussed together. | 9  |
|  | Researcher characteristics and reflexivity  | <u>#6</u>  | Researchers' characteristics that may influence the<br>research, including personal attributes, qualifications /<br>experience, relationship with participants, assumptions<br>and / or presuppositions; potential or actual interaction<br>between researchers' characteristics and the research<br>questions, approach, methods, results and / or<br>transferability   | 10 |
|  | Context                                     | <u>#7</u>  | Setting / site and salient contextual factors; rationale   | 10 |
|  | Sampling strategy                           | <u>#8</u>  | How and why research participants, documents, or<br>events were selected; criteria for deciding when no<br>further sampling was necessary (e.g. sampling<br>saturation); rationale   | 9  |
|  | Ethical issues pertaining to human subjects | <u>#9</u>  | Documentation of approval by an appropriate ethics<br>review board and participant consent, or explanation for<br>lack thereof; other confidentiality and data security<br>issues  | 11 |
|  | Data collection methods                     | <u>#10</u> | Types of data collected; details of data collection<br>procedures including (as appropriate) start and stop<br>dates of data collection and analysis, iterative process,<br>triangulation of sources / methods, and modification of  | 10 |
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|--|---|----------------------|--|----|
| 1<br>2<br>2  |   |                      | procedures in response to evolving study findings; rationale   |    |
| 3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>12<br>13<br>14<br>15<br>16<br>17<br>18<br>19<br>20<br>21<br>22<br>23<br>24<br>25<br>26<br>27<br>28<br>29<br>30<br>31<br>32<br>33<br>34<br>35<br>26  | Data collection<br>instruments and<br>technologies  | <u>#11</u>           | Description of instruments (e.g. interview guides,<br>questionnaires) and devices (e.g. audio recorders)<br>used for data collection; if / how the instruments(s)<br>changed over the course of the study  | 11 |
|  | Units of study  | <u>#12</u>           | Number and relevant characteristics of participants,<br>documents, or events included in the study; level of<br>participation (could be reported in results)   | 16 |
|  | Data processing   | #13                  | Methods for processing data prior to and during<br>analysis, including transcription, data entry, data<br>management and security, verification of data integrity,<br>data coding, and anonymisation / deidentification of<br>excerpts   | 11 |
|  | Data analysis   | <u>#14</u>           | Process by which inferences, themes, etc. were<br>identified and developed, including the researchers<br>involved in data analysis; usually references a specific<br>paradigm or approach; rationale   | 11 |
|  | Techniques to enhance<br>trustworthiness  | <u>#15</u>           | Techniques to enhance trustworthiness and credibility<br>of data analysis (e.g. member checking, audit trail,<br>triangulation); rationale   | 11 |
| 36<br>37   | Results/findings  |                      |  |    |
| <ul> <li>38</li> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> <li>45</li> <li>46</li> <li>47</li> <li>48</li> <li>49</li> <li>50</li> <li>51</li> <li>52</li> <li>53</li> <li>54</li> <li>55</li> <li>56</li> <li>57</li> <li>58</li> <li>59</li> <li>60</li> </ul> | Syntheses and interpretation  | <u>#16</u>           | Main findings (e.g. interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory   | 16 |
|  | Links to empirical data   | <u>#17</u>           | Evidence (e.g. quotes, field notes, text excerpts, photographs) to substantiate analytic findings  | 16 |
|  | Discussion  |                      |  |    |
|  | Intergration with prior<br>work, implications,<br>transferability and<br>contribution(s) to the field<br>For peer | <u>#18</u><br>review | Short summary of main findings; explanation of how<br>findings and conclusions connect to, support, elaborate<br>on, or challenge conclusions of earlier scholarship;<br>discussion of scope of application / generalizability;<br>identification of unique contributions(s) to scholarship in<br>a discipline or field<br>only - http://bmjopen.bmj.com/site/about/guidelines.xhtml | 29 |
| -  |   |                      |  |    |

| 1<br>2   | Limitations                | <u>#19</u>   | Trustworthiness and limitations of findings   | 30 |
|--|----------------------------|--------------|---|----|
| -<br>3<br>4  | Other                      |              |   |    |
| 5<br>6<br>7<br>8<br>9  | Conflicts of interest      | <u>#20</u>   | Potential sources of influence of perceived influence on study conduct and conclusions; how these were managed  | 3  |
| 10<br>11<br>12<br>13   | Funding                    | <u>#21</u>   | Sources of funding and other support; role of funders in data collection, interpretation and reporting  | 2  |
| $\begin{array}{c} 14\\ 15\\ 16\\ 17\\ 18\\ 19\\ 20\\ 21\\ 22\\ 23\\ 24\\ 25\\ 26\\ 27\\ 28\\ 29\\ 30\\ 31\\ 32\\ 33\\ 34\\ 35\\ 36\\ 37\\ 38\\ 940\\ 41\\ 42\\ 44\\ 45\\ 46\\ 47\\ 48\\ 950\\ 51\\ 52\\ 53\\ 54\\ \end{array}$ | American Medical Colleges. | This of a to | with permission of Wolters Kluwer © 2014 by the Association of checklist was completed on 05. October 2019 using of made by the <u>EQUATOR Network</u> in collaboration with  |    |
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# **BMJ Open**

# Patient Priorities in Relation to Surgery for Gastric Cancer: Qualitative Interviews with Gastric Cancer Surgery Patients to Inform the Development of a Core Outcome Set.

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## Title Page

Patient Priorities in Relation to Surgery for Gastric Cancer: Qualitative Interviews with Gastric Cancer Surgery Patients to Inform the Development of a Core Outcome Set.

## Authors

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# **Conflicts of Interest**

The authors report no conflicts of interest.

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# Data Availability

Data are available upon reasonable request.

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# Author Contributorship Statement

Conceptualization - BA, JMB, IAB, RM; Data curation - BA; Formal analysis - BA, RM; Funding acquisition - BA, JMB, IAB; Investigation - BA, RM; Methodology - BA, IAB, RM; Project administration - BA, IAB, RM; Resources - BA, IAB; Software – BA; Supervision - JMB, IAB, RM; Validation - BA, RM; Visualization - BA, JMB, IAB, RM; Writing (original draft) - BA; Writing (review & editing) - BA, JMB, IAB, RM.

# **Running Title**

Patient Priorities Following Surgery for Gastric Cancer

Word Count: 5660

# Number of tables: 3

Number of appendices: 2

Structured Abstract

# **Objective**

The reporting of outcomes in surgical trials for gastric cancer is inconsistent. The GASTROS study (GAstric Cancer Surgery TRials Reported Outcome Standardisation) aims to address this by developing a core outcome set (COS) for use in all future trials within this field. A COS should reflect the views of all stakeholders, including patients. We undertook a series of interviews to identify outcomes important to patients which would be considered for inclusion in a COS.

## Setting

All interviews took place within the United Kingdom. Interviews were carried out face-to-face at hospitals and cancer support centres or via the telephone.

#### **Participants**

Twenty participants at varying stages of recovery following surgery for gastric cancer with curative N.P intent.

## Design

Qualitative design using semi-structured interviews, supported by an interview guide which was iteratively modified; thematic analysis was used to explore patient priorities.

### **Results**

Six themes enveloping 38 outcomes were identified; surviving and controlling cancer, technical aspects of surgery, adverse events from surgery, recovering from surgery, long-term problems following surgery and long-term life impact of surgery. The 'most important' patient priority was to be 'cured of cancer'.

## Conclusion

Surgical trials for gastric cancer should consider broader priorities of patients when choosing which outcomes to report. This study highlighted the importance of longer-term outcomes such as cancer

survival. Outcomes identified in this study will be used to inform an international Delphi survey to develop a COS in this field.

## **KEYWORDS:**

Surgical Oncology

Stomach Neoplasms

Outcome Assessment

Patient Reported Outcome Measures Storetteriewony

Treatment Outcome

Outcome reporting

**BMJ** Open

Article Summary

Strengths and Limitations of This Study

- This is the first in-depth qualitative study to examine the priorities and experiences of patients following potentially curative surgery for gastric cancer, and the first to establish which outcomes are important to patients.
- The study forms part of a larger project (The GASTROS Study www.gastrosstudy.org) to develop a 'core outcome set' (COS) for use in surgical trials for gastric cancer and was reviewed and funded by the National Institute of Health Research (UK). The study is based on a reproducible and transparent methodology which has been subjected to critical appraisal during a peer-review process.
- The term 'outcome' was described to participants in a manner relatable to them, such that they understood it and were able to identify which outcomes were most important.
- The patient population was limited to UK-based English speakers. The views of international patients may vary due to differences in culture and clinical practice.

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#### Main Article

# Introduction

## Background

Gastric cancer is a leading cause of cancer-related death world-wide<sup>1,2</sup>. Whilst surgery remains the only treatment which can offer a potential cure from this disease, it is associated with significant rates of morbidity in both the short and long-term<sup>3,4</sup>. Ideally, the optimal surgical approach would minimise the risk of short and long-term complications without jeopardising the oncological resection.

Identifying the optimal surgical approach for gastric cancer should be based on comparing and combining robust clinical evidence from well-designed randomised control trials. One of the present challenges to achieving this is the inconsistency in the reporting of outcomes in this field<sup>5</sup>. This limits evidence synthesis and contributes to 'research waste'. The GASTROS study (GASTROS – GAstric Cancer Surgery TRials Reported Outcome Standardisation - www.gastrosstudy.org)<sup>6</sup> aims to address this issue by developing a 'core outcome set' (COS) – a *minimum* group of standardized and well-defined outcomes, measured by all future gastric cancer surgery trials<sup>7</sup>.

A guiding principle in the development of COS is that outcomes reflect the views and priorities of key stakeholders, including patients, to maximise the relevance and impact of future research. Previous studies have demonstrated variations in the views and priorities of clinicians and patients<sup>8–10</sup>, which can result in trials reporting outcomes which bear little relevance to patients. A systematic review of outcome reporting in surgical trials for gastric cancer has demonstrated that outcomes which may be important to gastric cancer patients, such as 'quality of life' after surgery are poorly represented within this field<sup>5</sup>. It is therefore important to understand which outcomes are important for patients undergoing gastric cancer surgery.

#### Objective

This research forms part of the GASTROS study, for which the protocol has been previously described<sup>6</sup>. The first stage in the study involves identifying a 'long-list' of potentially important outcomes which will be prioritised in stage two by participants undertaking a Delphi survey. It is not

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 known to what degree outcomes reported in previously published trials represent the priorities of patients undergoing gastric cancer surgery, and as such, solely relying on these as a source to populate the 'long-list' may overlook potentially important outcomes. By exploring the experiences, perceptions and priorities of patients who have undergone surgery for gastric cancer, this study aimed to identify outcomes which may not have been previously reported in the literature.

<text><text><text>

# Methods

# Study Design

The role of qualitative research methods in the development of COS has been previously explored<sup>11</sup> and has been advocated by groups such as the COMET initiative<sup>7</sup> as one of several approaches to ensure that outcome lists being considered for prioritisation are exhaustive. This qualitative study used a semi-structured interview approach to achieve the primary objective of identifying outcomes of importance to patients. A series of open questions were used to facilitate a patient-led discussion, guided by additional prompts from a pre-prepared interview schedule (table 1) to ensure key areas were covered.

Additional focused questioning around the use of outcomes in research was also included. In the context of clinical research, terms such as 'outcomes' may not be well understood by patients<sup>11</sup> and so a mixture of open and closed questioning was important. Participant interviews were undertaken in series of three following which transcript analysis (see below) was undertaken and the interview schedule was modified iteratively. This ensured that areas raised by earlier participants, but not included in the original schedule, were covered in subsequent discussions.

# Sampling

The eligibility criteria for this study are summarized in table 2. A purposive sampling strategy was adopted across the following characteristics:

- Age (above and below 70 years).
- Gender (men and women)
- Time since surgery (less than a year, one to three years and more than three years) Interviews were undertaken until 'data-saturation' was achieved. Data saturation was determined when there was no new data emerging that had interpretive value.

Participants were recruited from across the United Kingdom from three sources:

- A regional specialist gastric cancer centre: patients were approached in the outpatient clinic by their direct care team.
- 2. Patient organisations: patient groups were asked to contact their membership through e-mail and social media.
- 3. Snowball sampling; patients who had been recruited or contacted to participate were asked to identify other patients who would be interested in the study.

# Data Collection

Interviews were undertaken between February and May 2017 and were conducted by BA, a consultant surgeon and researcher with approximately ten years' experience of managing and communicating with gastric cancer patients. Participants were invited to choose between a University Teaching Hospital, two purpose-built patient cancer centres, or their home for the location of the meeting. Participants were also offered the opportunity to have their interviews over the telephone. Participants were offered travel expenses to minimise any financial burden on taking part in the study. In addition to the purposive sampling strategy, the following demographic data was collected:

- Gender (male/female)
- Social circumstances (e.g. lives alone/with partner/lives with dependents)
- Age
- Time since surgery (in months)
- The type of gastrectomy (total or partial gastrectomy)
- The approach to their surgery (open or laparoscopic)
- Whether they had undergone additional treatment (e.g. chemotherapy)
- Whether they had suffered a post-operative complication
- Ethnicity
- Previous trial enrolment
- Participant post-code (to identify location and social deprivation score)

Full written consent was taken immediately prior to the interview and the participant was reminded that they were able to stop at any point or withdraw from the study without needing to give a reason.

#### Data Analysis

All interviews were digitally recorded and professionally transcribed (intelligent verbatim transcription). A thematic analysis was used to identify emerging themes and was guided by a general inductive approach<sup>12,13</sup>. This was used to create the framework applied to subsequent interviews. Themes were developed using a three-step approach of open coding, axial coding and selective coding<sup>14</sup> of the transcripts. Given the objective of this study was to identify themes and outcomes not previously reported in trials, it was important not to base data analysis and outcome identification on a framework built on previously published literature. BA and RM (a researcher with significant experience in qualitative research methods) independently analysed the first two transcripts and through discussion identified themes and adjustments to the interview schedule. There were no disagreements about coding, but had there been, these would have been discussed with the study management team. The final themes were agreed by all authors through discussion. Data analysis was supported using NVivo 11 (http://www.qsrinternational.com/products\_nvivo.aspx, QSR International, Burlington, MA, USA).

## Approvals and Portfolio Adoption

The study was given ethical approval by the National Research Ethics Service North West—Cheshire (11/NW/0739) and governance approvals by Central Manchester University Hospital NHS Foundation Trust. The study was adopted by the National Institute for Health Research (NIHR) Clinical Research Network Portfolio (ID 33312).

#### Reporting

This paper uses the SRQR checklist to structure the report of the study findings<sup>15</sup>.

## Patient and Public involvement

A Study Advisory Group (SAG) forms part of the management structure of the wider GASTROS study<sup>6</sup>, of which this qualitative study forms part of the first stage. The SAG is made up of key

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 stakeholder representatives including patients, oncology nurses and surgeons. The group provides advice on the methodology of the study, general delivery of the study against its stated objectives and ensures that the viewpoints of all stakeholder groups are considered. The results of this study were presented to a SAG meeting; the ensuing discussion influenced the design of the next stage of the study in preparation forstan an international Delphi Survey.

Best practice guidelines for patient and public engagement were followed as set out by INVOLVE (part of and funded by the United Kingdom's National Institute for Health Research)<sup>16</sup>.

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# Table 1. Interview schedule.

| 1. | I understand you have (had) gastric cancer. Can you tell me about that?                        |
|----|--|
| 2. | Could you tell me about how you first found out you had gastric cancer?                        |
|    | Prompts:   |
|    | • What questions did you most want to ask, when you were told that you had gastric             |
|    | cancer?  |
| 3  | Were there were any areas you wanted more information about but were unable to find?           |
|    | Prompts:   |
|    | • Were you given any leaflets at the time of diagnosis? Did you find these useful?             |
| 4  | What treatment was offered and how you decide about undergoing treatment.                      |
|    | Prompts:   |
|    | • What information did you want about the treatment you would be receiving?                    |
|    | • What factors did you consider when deciding on the treatment?'                               |
| 5  | What effects did the treatment have on you after surgery?                                      |
|    | Prompts:   |
|    | • Did the treatment affect your physical or mental well-being?                                 |
|    | • Did the treatment have an effect on relationships with those around you?                     |
|    | • Did you have to make any changes to your behaviour as a result of treatment?                 |
| 6  | What long-term effects did the treatment have on you?  |
|    | Prompts:   |
|    | • Did the treatment affect your physical or mental well-being?                                 |
|    | • Did the treatment have an effect on relationships with those around you?                     |
|    | • Did you have to make any changes to your behaviour as a result of treatment?                 |
| 7  | What was the worst side effect of treatment?   |
| 8  | What are your concerns for the future, especially those relating to their diagnosis/history of |
|    | gastric cancer?  |

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| 9  | Did the explanation of what you should expect from surgery match your real experience?             |
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| 10 | In the context of research studies, can you explain what an outcome is in your own words?          |
|    | The interviewer will then provide a definition of the term 'outcome' is in the context of clinical |
|    | research.  |
| 11 | What, in your opinion, is the most important outcome to measure in gastric cancer surgery          |
|    | trials?  |
| 12 | Are there any other outcomes which may be important to measure?                                    |
| 13 | Has your perspective on what is important changed over time?                                       |
| 14 | Is there anything else that you feel is important to talk about that we have not discussed?        |
|    | Is there anything else that you feel is important to talk about that we have not discussed?        |

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# Table 2. Eligibility criteria for study participants.

|              | Potential Participants Approached | Potential Participants Not Approached |
|--------------|-----------------------------------|---------------------------------------|
| Participant  | • Male and females aged 18        | • Patients unable to give informed    |
|              | years and older.                  | consent                               |
|              | • Individuals able to participate | • Patients too unwell to              |
|              | in an interview in the English    | comfortably participate in an         |
|              | language.                         | interview lasting approximately       |
|              |                                   | 30-60 minutes.                        |
|              |                                   |                                       |
| Pathology    | Adenocarcinoma and                | Gastrointestinal Stromal              |
|              | squamous cell carcinoma of the    | Tumours                               |
|              | stomach, (which makes up 95       | • Neuro-endocrine tumours             |
|              | per cent of all stomach           | • Lymphoma                            |
|              | tumours).                         | • Benign disease                      |
| Intervention | • Total and partial gastrectomy   | • Surgery with palliative intent      |
|              | • Open and laparoscopic           | • Endoscopic therapies such as        |
|              | approaches                        | EMR (endoscopic mucosal               |
|              |                                   | resection) and ESD (endoscopic        |
|              |                                   | Submucosal dissection)                |

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| 3<br>4         | Results  |
| 5<br>6         | Overview   |
| 7<br>8         | In total, 20 patients were interviewed. Table 3 summarises demographic data and treatment-related      |
| 9<br>10<br>11  | characteristics of participants. Interviews lasted a median of 50.5 minutes (29-75 minutes). No        |
| 12<br>13       | patients withdrew from the study. Data saturation was deemed to have been reached by 20 interviews;    |
| 14<br>15       | one new outcome was identified in interview number 18 (related to sexual activity), however, no        |
| 16<br>17       | further outcomes were identified from the following two transcripts.                                   |
| 18<br>19       | Outcome Themes   |
| 20<br>21<br>22 | Six broad themes enveloping 38 outcomes were identified;   |
| 23<br>24       | 1. Surviving and controlling cancer,   |
| 25<br>26       | 2. Technical aspects of surgery,   |
| 27<br>28       | 3. Adverse events from surgery,  |
| 29<br>30<br>31 | 4. Recovering from surgery,  |
| 32<br>33       | 5. Long-term problems following surgery and  |
| 34<br>35       | 6. Long-term life impact of surgery.   |
| 36<br>37<br>38 | Appendix 1 provides a breakdown of how all themes were developed from outcomes identified during       |
| 38<br>39<br>40 | the interviews. Themes were well represented in each interview; each theme was discussed by at least   |
| 41<br>42       | 18 of 20 participants. Appendix 2 demonstrated the outcomes identified during the interviews and       |
| 43<br>44       | how often they were referenced.  |
| 45<br>46<br>47 | Theme 1: Surviving and controlling cancer  |
| 48<br>49       | For most, details of their initial consultation were sketchy; participants often described being given |
| 50<br>51       | lots of information about their diagnosis, much of which was not absorbed. However, patients clearly   |
| 52<br>53<br>54 | remember their reaction to being told their cancer diagnosis; for most, the response was the same:     |
| 55<br>56<br>57 | "When you hear the word, cancer, you think that's it. I'm going to die." (participant 6)               |
| 57<br>58<br>59 | There was a range of personal experience with cancer within our patient group. Some had direct         |
| 60             | family members who had undergone chemotherapy and had an intimate knowledge of its effects.            |

"it was my worst nightmare come true because I lost my dad to cancer and I always had it in the back of my mind, well if one person in the family could get cancer from somewhere then we could as well." (participant 19)

Some patients had a vague knowledge of friends or work colleagues who had undergone treatments for cancer and others had no prior experience of cancer at all. Despite these differences, the initial responses to their diagnosis were similar.

All participants in our study had undergone radical surgery with curative intent. At the time of interview, no participants had confirmed evidence of disease recurrence although one was being investigated for potential recurrence. Once the discussion with their surgeon moved away from the diagnosis and onto potentially curative treatments, participants often focused their questions on 'survival':

"I wanted to know what the chances were of me having this removed and not, well, basically not dying from it." (participant 4)

Despite radical surgery (and peri-operative chemotherapy in half of our participants), for many of the participants the fear of recurrence remained a permanent anxiety. Many participants seemed to understand that due to the aggressive nature of gastric cancer, recurrence is a possibility for many:

"...you're always worried that it's going to come back..." (participant 7)

The study cohort included participants who had undergone surgery between 5 months and 14 years prior to the interviews. There did not seem to be a relationship between the length of time out of surgery and concerns about cancer recurrence.

Theme 2: Technical aspects of surgery

Several outcomes related to this theme were discussed by participants. Most importantly, participants focused on whether the surgical team was able to excise the 'cancer' in its entirety. This priority was often referenced in relation to the 'success' of surgery and its contribution to 'curing' participants of cancer:

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"...thinking to yourself that, you know, everything has been done to the best of the hospital's ability, and, you know, they've taken absolutely everything out." (participant 4)

Whilst participants mostly referred to the cancer as a single 'entity', there were a small number who demonstrated some knowledge of the importance of different aspects of surgery such as lymph node excision:

"And yeah, I remember the news about the pathology on the bits they'd taken away, and the lymph node system and what not, came a week or two before I was due to go back on the chemo." (participant 20)

Six participants underwent a minimally invasive surgery with the remainder undergoing open surgery. The size of the wounds or type of surgical approach was referred to by only a minority of our participants. In the main, these were made in passing as little importance was placed on the surgical approach:

Interviewer: "Okay, and what...what did that mean for you to have keyhole surgery?" Respondent: "It didn't mean anything really, you know, I had...I'd heard about keyhole and people who'd had it." (participant 5)

## Theme 3: Adverse events following surgery

Eleven participants suffered a complication following surgery; however, this theme was important to all interviewees. Peri-operative death was the most frequently discussed surgical complication:

"...the fear of dying on the operating table is really real." (participant 2)

During their surgical consultation, participants retained some understanding of the risk of perioperative death and many were able to quote figures about how likely this complication was. Other complications were highlighted when recounting a personal experience. While all complications occurred in the post-operative period, there were several different causes attributed to these events (e.g. direct surgical, anaesthesia-related and medication-related). The severity and consequences of the complications also varied significantly; some were self-limiting and resulted in a minor extension of the length of hospital stay: "But I was out of it for three days, I was just hallucinating and God knows what, probably because of the morphine." (participant 7)

And those which were life-threatening and required significant clinical intervention:

"It was a twisted bowel. Yeah, I was told it was a twisted bowel. Because I always remember that when they brought me back from obviously having a look and everything, I always remember [they] said...we're going to have to take you back to surgery." (participant

15)

The severity of the complications suffered did not seem to shape the key priorities in relation to participants' 'worst side effect' of surgery or 'most important outcome'; these almost entirely related to 'long-term impacts of surgery' and 'cure' respectively (see below) regardless of how long ago their operation was and which surgical approach (laparoscopic or open surgery) was employed.

Whilst participants recounted that some of the more serious complications (e.g. death, anastomotic leak and cardio-pulmonary complications) were described by surgeons during the consent process, some were exposed to other sources of information in the pre-operative stage. Participants were regularly provided with written information about their cancer and its management, however the quality and content of this varied depending on the location of their hospital. The response to this format was varied:

"I'm going to be honest with you, I didn't actually read them... because I didn't want things going in my head that I couldn't take in." (participant 17)

Participants that read the written information often found them difficult to digest for several reasons including the volume of information and fear of the gravity of the diagnosis or prognosis:

"Well some of it were just waste of time, but others, you know, if you've got a book about that thick and you read through it and half of it applies to you, and the others just sort...doesn't apply, you know." (participant 5)

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"As much as it's alright handing leaflets out, I can...I am a bit of a reader so I will read stuff, but when you think you've got a death sentence you think, what's the point in reading that?" (participant 13)

Several hospitals had patient-support groups which provide a 'buddy' system for those awaiting surgery. These support groups became an important part of the recovery process and continue to be relevant many years after surgery. Patients found these more useful as they had the opportunity to speak to those with lived experience of the diagnosis and treatment. Whilst this served as an important source of information to tackle the longer-term impacts of surgery (below), these groups also provided comfort to patients:

"I think the support group and speaking to people that have been through it, because it can demystify it quite a lot." (participant 2)

Peer support also provided additional sources of information to participants in the pre-operative period with respect to some of the complications that could arise:

"And I know one guy, where the oesophagus junction was, he'd had that leaking, and he couldn't eat more than, like, grains of rice and things; so that would be pretty horrendous." (participant 4)

As a result of verbal and written information from healthcare professionals and additional peer support, participants were able to describe key adverse without necessarily having experienced them firsthand.

# Theme 4: Recovery from surgery

Experiences during the immediate post-operative recovery period were referenced by 18 study participants. Whilst some participants' experience of recovery from surgery was directly linked to complications, there were aspects of recovery such as post-operative pain, mobility and the recommencement oral intake that were common amongst all those who spoke this theme.

Most participants did not mention post-operative pain as an important focus. Those that did, expected to suffer a degree of pain, however experiences of its severity varied widely. Pain levels amongst interviewees who had undergone similar operations through laparotomy incisions were not uniform. One participant who had open surgery described:

"I do remember waking up and really being in a hell of a lot of pain and being really out

of it." (participant 6)

Whilst another who had undergone their surgery using the same approach recounted:

"I didn't really have much pain." (participant 14)

Discussions around post-operative pain were not confined to discomfort from the surgical incisions, but also related to post-operative complications:

"I was back in writhing in agony with a serious infection in the wound." (participant 9) Participants recounted the limitations in their mobility during the post-operative period. There were many factors contributing to this, including physical weakness, not receiving appropriate encouragement to mobilise and being restricted by surgical drains:

"...really difficult to be mobile I suppose, and move around, yourself, 'cause obviously you've got quite a lot of tubes and different things coming out. I felt very, very swollen." (participant 6)

## Theme 5: Long-term problems following surgery

All participants described significant long-term symptoms related to surgery. For the most, this represented the 'worst side effect' in relation to their treatment and outcomes from this theme were referenced more than any other theme further emphasising its importance. All participants described experience with struggling to eat and drink following surgery and the majority (16/20) talked extensively about the impact of fatigue on their daily lives. Problems with maintaining weight, issues with ongoing gastro-intestinal symptoms and chronic pain were discussed by most participants.

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Fatigue was described in many ways; 'exhaustion', 'feeling tired all the time', 'feeling so weak' and 'having no energy'. For the main, fatigue was a symptom which persisted for months after surgery and could impact on a participant's ability to undertake day-to-day activities or to socialise:

"Well, I'm so weak, I used to go out, you know, and do fishing and do things with my lads. I'm just getting that little bit better now after eight months, but I'm so weak and tired." (participant 18)

Adapting to fatigue was and for many continued to be a difficult challenge, however many participants understood that this was s a recognised and acceptable symptom to them given the magnitude of the surgery:

"I've come out with...more...appreciation for looking after myself and my...And if I'm tired, I stop." (participant 15)

There were several causes for the struggles participants associated with eating and drinking. Participants often described having to eat and drink smaller volumes more frequently and some were unable to tolerate certain food types or consistencies. This had a direct effect on the pleasure associated with eating and an impact on where participants could eat:

"Well I don't eat what I would like to...But I know that for the rest of my life, I won't be able to go out for big meals, to big venues and eat like I used to eat before, you know." (participant 5)

Most participants recounted being told prior to their surgery that their diet would be different and that they would have to 'learn how to eat again'. Despite this, some participants felt that not enough information was given to highlight the true impact of this long-term issue and methods to address it:

"I think it's a lot worse than what they tell you. Because like some days, I'll eat a certain thing which I've ate before, and you just can't breathe properly, it's choking you." (participant 18)

A broad range of gastro-intestinal symptoms were reported by participants. The time frame relating to how long these persisted was similarly broad (sometimes months and years) and did not seem to follow a pattern. Nausea, vomiting, diarrhoea, reflux and belching were the commonest problems described. Many of these symptoms resulted in significant impacts on quality of life (see theme 6 below):

"...I still get the bile reflux and I get this constant pain in the oesophagus which affects my sleeping as well." (participant 7)

"The dumping syndrome was mentioned. Never understood it until it happened. You know, how my body reacted to certain foods that I'd normally eat that it doesn't like anymore." (participant 15)

### Theme 6: Long-term life impact of surgery

The long-term effects on 'normality', quality of life, and psychological impact of surgery were discussed extensively by all patients. A strong desire to return to a form of 'normality' was regularly expressed. Whilst the reference point for 'normality' differed amongst patients, common characteristics existed; namely a desire to do what they used to do such as working, exercising, socialising with friends and family and being able to travel:

"it's about living as I did before, and forgetting what had happened, and I do that quite often." (participant 6)

The experience of returning to normality varied amongst those interviewed. Many participants were largely able to return to their 'normal' activities albeit with some modifications:

"Yes. I want to go on holidays again. I love cruises and I want...but until my eating's improved, I wouldn't do that." (participant 1)

"Now that it's 18 months on, I am back to having what would be a normal life again, now, albeit with smaller portions of meals and things" (participant 4)

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Some participants however have not been able to return to activities that provided them with significant enjoyment:

"I've never actually got back to my normal activity. I've never played golf since that day and I used to love golf." (participant 4)

In general, participants understood that life after gastrectomy would be different:

"I'm still alive, and then I need to get back to normal. It takes a while for you to realise your new normality is not like your old normality." (participant 2)

Whilst much of the discussion relating to 'normality' centred around specific tasks which participants valued or missed, the impact of gastrectomy on a participant's overall general quality of life was important to many. Many understood that quality of life needed to be redefined in comparison to life before surgery, but nonetheless there was a minimum level that would need to be achieved:

"if I have some sort of quality of life, where I can get up and wash myself and do, that is something that I'd live for. But I couldn't be sat there and nursed 24/7" (participant 17)

The psychological burden on participants following gastrectomy is a significant one. Each of the previously discussed themes could impact on a participant's mental state and whilst certain phases of the treatment pathway were time-limited, the psychological effects could persist for much longer;

"You don't just suffer from physical; you suffer from mental. And I think the mental is a lot more powerful that the physical, because you can shut pain off by taking medication, but it's very hard to shut problems off mentally." (participant 18)

"You know, 'cause psychologically you think you've still got this poison in your body, as much as I've got rid of, you know, my monster." (participant 13)

Some of the psychological impacts were associated with participants having to adapt to a new normality in relation to what they were able to do, what they were able to eat, how they looked physically or how they felt around others:

"Well, it was a problem because like I say, I've always been a proud chap and proud of my body because I kept myself fit and everything. When I looked in the mirror, quite distressing. That was it, yeah. It makes you feel inferior." (participant 18)

"I feel a freak, I feel when I go into a big room with people that everybody has got a stomach and I haven't got one, it's not that I want them to know, but I just don't feel the same anymore..." (participant 8)

Definition of 'outcome' by patients

 All participants were asked what their understanding of the term 'outcome' was in the context of clinical research. Two participants were able to provide a broad-ranging definition which encompassed some of the benefits and adverse effects of treatment:

"my perception of what would be meant by that phrase would...at a variety of levels; it could be does the patient live or die? Does the patient recover to an acceptable state for an extended period of time, and my understanding of what that might be, would be a, sort of, five year period..." (participant 20)

One person stated that they did not know how to define the term, whilst the remainder defined 'outcome' by recounting a single outcome, which was most important to them:

"Okay, my understanding is that at the...the outcome would be that the cancer would be possibly all gone." (participant 19)

Participants were asked to provide a single outcome that was 'most important to them'. Fifteen participants identified that the most important outcome was that they were 'cured of cancer' with the remaining five describing outcomes related to 'returning to normal' and being able to enjoy a 'good

quality of life'. These priorities did not alter with respect to how long-ago surgery was performed,

which approach was undertaken or how old the patient was.

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# Table 3. Patient characteristics and demographic data.

| Patient | Sex | Age | Ethnicity<br>* | Social<br>deprivation<br>quintile** | Home<br>circumstances | Months<br>since<br>surgery | Type of surgery     | Approach to surgery | Post-operative<br>complications | Peri-operative<br>treatment |
|---------|-----|-----|----------------|-------------------------------------|-----------------------|----------------------------|---------------------|---------------------|---------------------------------|-----------------------------|
| 1       | F   | 74  | А              | 3rd                                 | Lives alone           | 15                         | Partial Gastrectomy | Laparoscopic        | Yes                             | Nil                         |
| 2       | М   | 59  | В              | 3rd                                 | Lives alone           | 27                         | Total Gastrectomy   | Open surgery        | Yes                             | Chemotherapy                |
| 3       | М   | 71  | А              | 1st                                 | Lives alone           | 16                         | Partial Gastrectomy | Open surgery        | Yes                             | Nil                         |
| 4       | М   | 43  | А              | 2nd                                 | Lives with parents    | 15                         | Total Gastrectomy   | Open surgery        | Yes                             | Chemotherapy                |
| 5       | М   | 80  | А              | 3rd                                 | Lives alone           | 23                         | Partial Gastrectomy | Laparoscopic        | Yes                             | Nil                         |
| 6       | F   | 52  | А              | 2nd                                 | Lives with children   | 32                         | Total Gastrectomy   | Open surgery        | No                              | Chemotherapy                |
| 7       | М   | 79  | А              | 1st                                 | Lives with spouse     | 58                         | Total Gastrectomy   | Laparoscopic        | Yes                             | Chemotherapy                |
| 8       | F   | 63  | А              | 1st                                 | Lives alone           | 5                          | Total Gastrectomy   | Open surgery        | No                              | Nil                         |
| 9       | М   | 61  | A              | 3rd                                 | Lives with spouse     | 170                        | Total Gastrectomy   | Open surgery        | No                              | Nil                         |
|         |     |     |                |                                     |                       |                            |                     |                     |                                 | 27                          |

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|----------------------------|----|----|----|---|-----|-------------------|-----|---------------------|--------------|-----|--------------|
| 2<br>3<br>4                | 10 | М  | 61 | С | 1st | Lives alone       | 79  | Total Gastrectomy   | Open surgery | No  | Chemotherapy |
| 5<br>6<br>7<br>8           | 11 | М  | 76 | A | 4th | Lives with spouse | 110 | Total Gastrectomy   | Laparoscopic | Yes | Chemotherapy |
| 9<br>10                    | 12 | F  | 82 | А | 4th | Lives alone       | 62  | Partial Gastrectomy | Open surgery | No  | Nil          |
| 11<br>12<br>13<br>14       | 13 | F  | 59 | A | 2nd | Lives with spouse | 19  | Partial Gastrectomy | Open surgery | No  | Chemotherapy |
| 15<br>16                   | 14 | М  | 70 | В | 1st | Lives alone       | 11  | Partial Gastrectomy | Open surgery | No  | Nil          |
| 17<br>18<br>19<br>20<br>21 | 15 | F  | 56 | М | 5th | Lives with parent | 33  | Total Gastrectomy   | Open surgery | Yes | Chemotherapy |
| 22<br>23                   | 16 | F  | 84 | А | 1st | Lives alone       | 17  | Partial Gastrectomy | Laparoscopic | Yes | Nil          |
| 24<br>25<br>26<br>27       | 17 | М  | 48 | А | 4th | Lives with parent | 9   | Total Gastrectomy   | Laparoscopic | Yes | Chemotherapy |
| 28<br>29<br>30<br>31<br>32 | 18 | М  | 77 | A | 4th | Lives with spouse | 78  | Total Gastrectomy   | Open surgery | Yes | Nil          |
| 32<br>33<br>34<br>35<br>36 | 19 | Fe | 58 | A | 3rd | Lives with spouse | 11  | Partial Gastrectomy | Laparoscopic | No  | Nil          |
| 37<br>38<br>39<br>40<br>41 | 20 | М  | 54 | Α | lst | Lives with        | 48  | Partial Gastrectomy | Open surgery | No  | Chemotherapy |

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spouse

 \*A=, B=, C=, M=. \*\*Social deprivation quintile: 1<sup>st</sup> quintile being the least deprived, 5<sup>th</sup> quintile being the most deprived.

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#### Discussion

To our knowledge, this is the first in-depth qualitative study exploring the priorities of patients following potentially curative surgery for gastric cancer. The study focussed on this cohort as one of the main aims was to identify outcomes which would be considered for inclusion in a COS for potentially curative surgical trials in gastric cancer. This present study will be used to help generate a list of outcomes that will be presented for prioritisation to healthcare professionals and patients in an international, multi-language online Delphi survey. The results of the Delphi survey will inform a consensus meeting to finalise the COS. Whilst other COS studies in the field of cancer<sup>17–19</sup> may have identified similar important outcomes such as survival, it was important to consider our participants separately given the unique problems which arise with gastrectomy. These include distinctive short and long-term problems related to surgery such as anastomotic leak and reactive hypoglycaemia (Dumping syndrome) which would not be relevant in other COS.

The themes identified highlight the profound and wide-ranging physical, social and psychological impacts that gastrectomy has on patients which can persist for months and years. We have previously described the reporting of outcomes in surgical trials for gastric cancer over a twenty-year period<sup>5</sup>. Most surgical trials in this field have focused on reporting short-term post-operative outcomes. Whilst these are important to patients, they are not representative of the whole picture. This work highlights how patient priorities for outcomes may differ from the traditional surgical focus. More work is now needed to develop the COS which incorporates views of all key stakeholders including patients.

More than half of the 'top-ten' most frequently discussed outcomes in our study related to longer-term issues such as problems with eating, returning to 'normality', fatigue, weight loss, gastro-intestinal symptoms and psychological impacts. These types of outcomes are infrequently reported in surgical trials and demonstrate that researchers within this field have not reflected the priorities of patients. This challenge needs to be addressed using an approach which is inclusive of patients and their views.

The GASTROS study aims to develop a COS; critically important outcomes which should be reported - as a minimum - by future surgical trials for gastric cancer<sup>6</sup>. By standardising the reporting of such outcomes, it aims to improve the ability to synthesise evidence, reduce research waste and ultimately

aid researchers in answering important questions related to gastrectomy. The first stage in developing the COS consists of identifying a 'long-list' of outcomes which will then be prioritised by key stakeholders during an international online Delphi survey. The process of developing the long-list should be comprehensive and involve both healthcare professionals and patients in order to minimise the risk of omitting potentially important outcomes. Our study reaffirms the importance of a mixed-methods approach to identifying potentially important outcomes. As others COS developers have found, building a long-list based solely on outcomes reported in previous trials or as developed by clinicians often neglects the views of key stakeholders<sup>8–10</sup>. This ultimately runs the risk of producing a COS which does not reflect the priorities of patients which does little to address the current challenges with outcome reporting.

Understanding patient priorities following gastrectomy is invaluable for other reasons. Patients with gastric cancer want detailed information about their condition and treatment<sup>20</sup>. With the knowledge that long-term impacts of surgery are important, healthcare professionals can tailor the consent process prior to surgery to ensure that the patient has a better understanding of these and is making an informed decision. Considering patient priorities may also have implications for the future development of national and international audits<sup>3,4</sup>. For several pragmatic reasons, most comprehensive gastric cancer surgery audits focus on short-term outcomes. Identifying methods to report longer-term quality outcome measures may make such audits more relevant to patients. Studies assessing patients' views in similar disease areas found similar things, that long term outcomes (survival and long term quality of life) were important<sup>21</sup>.

### Strengths and weaknesses

The study was able to gain an in-depth understanding of patient priorities based on the experience of participants with a broad range of characteristics representative of those undergoing surgery for gastric cancer in the UK<sup>4</sup>. Furthermore, our purposive sampling approach was established *a priori* in a study protocol which had undergone a robust peer-review process.

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This study was also able to highlight and address significant challenges associated with the comprehension of medical language by patients; particularly terms central to the development of a COS. Patients largely did not understand the use of the term 'outcome' within the context of medical research. Once it was defined as an 'impact or effect of a treatment which may be beneficial or harmful', participants were more easily able to describe their key priorities in outcome reporting for future trials. This has several implications for the GASTROS study as well as other COS projects moving forward. It highlights the importance of ensuring that the premise of the study is clear and understood by all participants, especially patients; outcomes included in the Delphi survey must be presented and explained in a manner which is accessible to all; 'outcome' must be clear when adapting it to other regions where there may be no direct translation for the term.

The interviews were conducted by an expert in the field of gastric cancer surgery which may have resulted in a degree of observer bias. To mitigate this potential limitation, the study management team (which was made up primarily of members unfamiliar with gastric cancer surgery) was involved in ongoing discussions during data collection and analysis. It is also possible that patients modified their responses because of awareness of the background of the interviewer. Every effort was made to follow the semi-structured interview schedule, to put the patients at ease and take time to let them talk. The average length of the interviews (greater than 45 mins) reflects the time patients were given to express their views.

A further potential limitation of this present interview study is a lack of international patient participation. Consequently, there may be outcomes which are relevant to non-UK patients that have not been identified. Gastric cancer is an international disease and cultural and regional influences may alter expectations and priorities of patients. Whilst we have not identified evidence from COS developers in other fields that confirms these variations, it remains a possibility. Our reasons for limiting the interviews to UK-only patients were primarily down to pragmatism and finite resources. To mitigate this, the Delphi survey will be available in several languages and during the first round, all participants will be able to submit additional outcomes that they believe were omitted. These will be considered by the study team and presented for prioritisation by participants in round two of the

Delphi survey, if appropriate. Adopting this approach also enables the exploration of regional variations in outcome priorities which may form the basis of a future international qualitative study. Recruitment to the study stopped when no new data with interpretative value was identified<sup>22</sup>. However, 'data saturation' is a topic which deserves further discussion as there is no way of knowing for certain that no new outcomes would have been identified had further interviews been undertaken. Some argue that the term 'data saturation' is often mis-used and misunderstood and should be operationalised in a way consistent with the scope of the study being undertaken<sup>23</sup>. As described above, the ability of patients and healthcare participants to suggest further outcomes in round one of the Delphi survey aims to mitigate against this potential limitation.

Most participants had undergone their surgery at least 12 months prior to this study. As such, it should be acknowledged that there may have been a greater exploration of and emphasis on shorter-term outcomes had we recruited more participants from a shorter post-operative time-period. Again, to address this potential limitation, we plan to recruit participants for the Delphi survey from all postoperative periods and will have the opportunity to examine whether 'time from surgery' affects patient priorities.

In summary, this study identified 38 unique outcomes which are important to patients following surgery for gastric cancer. Many of these outcomes are poorly represented by trials within this research field. These outcomes will be added to other potentially important outcomes to be considered for prioritisation by key stakeholders to develop a COS for surgical trials in gastric cancer.

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## Appendix 1. Development of themes

Theme: Surviving and Controlling Cancer

| Issues identified on initial coding         | Outcome              | Outcome theme      |
|---|----------------------|--------------------|
| Being cured of cancer                       |                      |                    |
| Cancer returning to other parts of the body | Description          |                    |
| Cancer returning in the abdomen             | Recurrence of Cancer |                    |
| Possibility of cancer returning             |                      | Surviving and      |
| Being able to live (a little/a lot) longer  |                      | Controlling Cancer |
| Being alive/surviving for a 'long time'     |                      |                    |
| Chances of (not) dying from cancer          | Survival             |                    |
| Chances of survival                         |                      |                    |

### Theme: Adverse events following surgery

| Issues identified on initial coding   | Outcome                           | Outcome theme       |
|---|-----------------------------------|---------------------|
| Complications related to anaesthesia  | Anaesthetic complications         |                     |
| Anastomotic leak<br>Anastomotic stricture   | Anastomotic complications         |                     |
| Internal bleeding requiring further intervention  | Bleeding                          |                     |
| Concern about cardiac complications in<br>context of previous myocardial infarction<br>Racing heart beat  | Cardiac complications             |                     |
| Stroke following surgery  | Cerebro-vascular<br>complications | Adverse events      |
| Bowel perforation<br>Gastro-intestinal symptoms e.g. constipation<br>Obstruction of bowel   | Intestinal complications          | following of Surger |
| Epidural related complications<br>Hallucinations<br>Overdose of medications such as morphine<br>Side effects of sedatives                         | Medication related complications  |                     |
| Drains and tubes to manage complications<br>Endoscopic treatment of anastomotic stricture<br>Requiring further surgery to manage<br>complications | Need for re-intervention          |                     |

| Surgery for incisional hernia             |                           |
|---|---------------------------|
| 'Surviving' surgery                       |                           |
| Dying from a complication of surgery      | Peri-operative death      |
| Dying on the operating table              |                           |
| Hospital acquired pneumonia               |                           |
| Pleural effusion                          | Respiratory complications |
| Pneumothorax                              |                           |
| Re-admission due to complications such as |                           |
| infections                                | Re-admission to hospital  |
| Re-admission due to pain                  |                           |
| Wound dehiscence                          |                           |
| Wound infection                           | Wound complications       |
| Wound leak                                | would complications       |
| Wound numbness                            |                           |
| Catheter-related problems                 | Urinary complications     |

### Theme: Long-term impact of surgery

| Issues identified on initial coding              | Outcome                   | Outcome theme           |
|--|---------------------------|-------------------------|
| Being able to enjoy a good quality of life       |                           |                         |
| Uncertainty as to what life will be like         | Overall 'quality of life' |                         |
| following surgery                                | 4                         |                         |
| Changes in mood                                  |                           |                         |
| Clinical depression                              | 0.                        |                         |
| Feeling 'abnormal' and 'different' to others     |                           |                         |
| Feelings of insecurity                           | Psychological impact      |                         |
| Feelings of isolation                            |                           | Lange dama increased of |
| Issues related to body image                     |                           | Long-term impact of     |
| Low mood   |                           | surgery                 |
| Being able to enjoy eating again                 |                           |                         |
| Being able to exercise again                     |                           |                         |
| Being able to interact and socialise with others |                           |                         |
| Being able to live 'as they did before'          | Returning to 'normality'  |                         |
| Being able to rely on oneself to undertake       |                           |                         |
| tasks  |                           |                         |
| Being able to undertake household activities     |                           |                         |

| such as shopping and gardening |  |  |
|--------------------------------|--|--|
| Returning to employment        |  |  |

Theme: Technical aspects of surgery

| Issues identified on initial coding       | Outcome               | Outcome theme        |
|---|-----------------------|----------------------|
| 'Cutting' the cancer out                  |                       |                      |
| Ensuring no cancer is left behind         |                       |                      |
| Getting 'rid' of the cancer               | Complete resection of |                      |
| Inability to resect cancer at surgery     | cancer                |                      |
| Removing all lymph nodes                  |                       | Technical aspects of |
| Removing spleen if necessary              |                       | surgery              |
| Ability to perform laparoscopic 'keyhole' |                       |                      |
| surgery                                   | Size of incisions     |                      |
| Large scars                               |                       |                      |
| Duration of surgery                       | Duration of surgery   |                      |

Theme: Long-term problems following surgery

| Issues identified on initial coding          | Outcome              | Outcome theme      |
|--|----------------------|--------------------|
| Amounts able to eat and drink                |                      |                    |
| Being able to eat 'properly'                 |                      |                    |
| Being able to eat at home                    | Esting and Daighing  |                    |
| Change in diet and types of food patient can | Eating and Drinking  |                    |
| consume                                      |                      |                    |
| Difficulties swallowing                      | O,                   |                    |
| Requirement for ongoing nutritional support  | Nutritional problems |                    |
| Vitamin B12 deficiency                       | ruuntional problems  | -                  |
| Feeling persistently tired                   |                      | Long-term problems |
| Feeling extremely weak/lethargic/tired       | Fatigue              | following surgery  |
| Having no energy or stamina                  | I aligue             |                    |
| Loss of energy following simple tasks        |                      |                    |
| Abdominal bloating                           |                      |                    |
| Belching                                     |                      |                    |
| Diarrhoea                                    | Gastro-intestinal    |                    |
| Dumping syndrome                             | symptoms             |                    |
| Excessive flatus                             |                      |                    |
| Nausea                                       |                      |                    |

| Reflux symptoms (acid or bile)              |                 |  |
|---|-----------------|--|
| Vomiting                                    |                 |  |
| Abdominal pain or cramps                    |                 |  |
| Headaches and migraines                     |                 |  |
| Long-term wound related pain                | Chronic Pain    |  |
| Muscle cramps                               |                 |  |
| Pain on swallowing                          |                 |  |
| Painful abdominal distension or bloating    |                 |  |
| Inability to regain weight to desired level | Weight problems |  |
| Readjusting to new weight                   |                 |  |
| Speed of weight loss                        |                 |  |
| Weight loss in general                      |                 |  |

Theme: Recovery Following surgery

| Issues identified on initial coding         | Outcome                    | Outcome theme      |
|---|----------------------------|--------------------|
| Post-operative plan for physiotherapy       |                            |                    |
| Restricted mobility due to drains and tubes |                            |                    |
| attached                                    | Ambulation                 |                    |
| Time to be able to undertake tasks such as  |                            |                    |
| standing up, walking or bathing             | 5.                         |                    |
| Time before being allowed to eat and drink  | Return of gastrointestinal |                    |
| Time before bowel function returned         | function                   | Recovery following |
| Concern about being too unwell for further  | Ability to have more       |                    |
| chemotherapy                                | chemotherapy               | surgery            |
| Length of time in hospital                  | Duration of hospital stay  |                    |
| Length of time in intensive care            | Duration of nospital stay  |                    |
| Length of time in pain                      |                            |                    |
| Patterns of pain                            |                            |                    |
| Requirement for analgesia                   | Post-operative pain        |                    |
| Severity of pain                            |                            |                    |

 Appendix 2. Summary of outcomes and outcome themes identified from interviews.

| Outcome Theme                    | Outcome                               | How many interviews<br>outcome was referenced in | Total number of references<br>in all interviews |  |
|----------------------------------|---------------------------------------|--|---|--|
| Surviving and controlling cancer | Curing Cancer                         | 4  | 6   |  |
| • Referenced in 20 interviews    | Recurrence of Cancer                  | 18   | 28  |  |
| • Referenced 90 times in all     | Survival                              | 20   | 56  |  |
| interviews.                      |                                       |  |   |  |
| Technical aspects of surgery     | Complete Excision of Cancer           | 18   | 52  |  |
| • Referenced in 18 interviews    | Excision of Lymph Nodes               | 5  | 5   |  |
| • Referenced 52 times in all     | Need for splenectomy                  | 1  | 1   |  |
| interviews.                      | Operative time                        | 1  | 2   |  |
|                                  | Wound Size                            | 7  | 11  |  |
| Adverse events                   | Ability to have adjuvant chemotherapy | 1  | 1   |  |
|                                  | Anaesthetic Complications             | 1  | 1   |  |
| • Referenced in 20 interviews    | Anastomotic Leak                      | 6  | 9   |  |
| • Referenced 97 times in all     | Anastomotic Stricture                 | 1  | 1   |  |
| interviews.                      | B12 Deficiency                        | 5  | 5   |  |
|                                  | Bleeding                              | 1  | 2   |  |
|                                  | Cardiac Complications                 | 2  | 2   |  |
|                                  | Catheter related complications        | 1  | 1   |  |
|                                  | Cerebro-vascular complications        | 1  | 1   |  |
|                                  | Gastrointestinal problems             | 1  | 1   |  |
|                                  | Hernia                                | 1  | 1   |  |
|                                  | Intestinal complications              | 4  | 10  |  |
|                                  | Medication-related complications      | 10   | 12  |  |
|                                  | Need for reintervention               | 8  | 13  |  |

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|                               | Peri-operative death             | 12 | 20       |
|-------------------------------|----------------------------------|----|----------|
|                               | Re-Admission to Hospital         | 3  | 4        |
|                               | Respiratory complications        | 3  | 3        |
|                               | Wound Complications              | 8  | 10       |
| Recovery from surgery         | In Hospital Recovery             | 11 | 23       |
|                               | Length of Stay Following Surgery | 11 | 18       |
| • Referenced in 18 interviews | Peripheral Oedema                | 1  | 1        |
| • Referenced 57 times in all  |                                  |    |          |
| interviews.                   | í Or                             |    |          |
| Long-terms problems following | Eating & Drinking                | 20 | 75       |
| surgery                       | Fatigue                          | 16 | 38       |
|                               | Gastrointestinal symptoms        | 11 | 27       |
| • Referenced in 20 interviews | Pain                             | 10 | 14       |
| • Referenced 175 times in all | Weight Loss                      | 12 | 21       |
| interviews.                   |                                  | 10 |          |
| Long-term impacts of surgery  | Necessity of long-term feeding   | 1  | 1        |
|                               | Overall QoL                      | 8  | 10       |
| • Referenced in 20 interviews | Psychological impact             | 11 | 40       |
| • Referenced 133 times in all | Returning to normality           | 20 | 82       |
| interviews.                   |                                  |    |          |
|                               |                                  |    |          |
|                               |                                  |    | <u> </u> |

|                            | Reporting ch  | leckl      | ist for qualitative study.   |                |
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|                            | Based on the SRQR guid                                    | lelines.   |  |                |
|                            | Instructions to au  | thors      |  |                |
| 0<br>1<br>2<br>3           | Complete this checklist b each of the items listed b      |            | g the page numbers from your manuscript where readers v  | vill find      |
| 4<br>5<br>6<br>7<br>8<br>9 | include the missing inform<br>provide a short explanation | nation. If | Iress all the items on the checklist. Please modify your text<br>you are certain that an item does not apply, please write "r  |                |
| 1<br>2                     | In your methods section,                                  | say that   | you used the SRQRreporting guidelines, and cite them as:   |                |
| 3<br>4<br>5<br>6<br>7      |   |            | J, Reed DA, Cook DA. Standards for reporting qualitative r<br>Acad Med. 2014;89(9):1245-1251.  | esearch:       |
| 3<br>9<br>0                |   |            | Reporting Item   | Page<br>Number |
| 1<br>2<br>3                | Title   |            |  |                |
| 4<br>5<br>7<br>3<br>9<br>0 |   | <u>#1</u>  | Concise description of the nature and topic of the study<br>identifying the study as qualitative or indicating the<br>approach (e.g. ethnography, grounded theory) or data<br>collection methods (e.g. interview, focus group) is<br>recommended | 1              |
| <u>}</u><br>;<br>;         | Abstract  |            |  |                |
| -<br>-<br>-<br>-           |   | <u>#2</u>  | Summary of the key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results and conclusions   | 4              |
|                            | Introduction  |            |  |                |
| 3<br>4<br>5<br>6<br>7<br>8 | Problem formulation                                       | <u>#3</u>  | Description and signifcance of the problem /<br>phenomenon studied: review of relevant theory and<br>empirical work; problem statement   | 7              |
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| 1<br>2<br>3<br>4<br>5<br>6  | Purpose or research question <b>Methods</b> | <u>#4</u>  | Purpose of the study and specific objectives or questions  | 7  |
|---|---|------------|--|----|
| 7<br>8<br>9<br>10<br>11<br>12<br>13<br>14<br>15<br>16<br>17<br>18<br>19<br>20<br>21<br>22<br>23<br>24 | Qualitative approach and research paradigm  | <u>#5</u>  | Qualitative approach (e.g. ethnography, grounded<br>theory, case study, phenomenolgy, narrative research)<br>and guiding theory if appropriate; identifying the<br>research paradigm (e.g. postpositivist, constructivist /<br>interpretivist) is also recommended; rationale. The<br>rationale should briefly discuss the justification for<br>choosing that theory, approach, method or technique<br>rather than other options available; the assumptions<br>and limitations implicit in those choices and how those<br>choices influence study conclusions and transferability.<br>As appropriate the rationale for several items might be<br>discussed together. | 9  |
| 25<br>26<br>27<br>28<br>29<br>30<br>31<br>32<br>33<br>34<br>35<br>36                                  | Researcher characteristics and reflexivity  | <u>#6</u>  | Researchers' characteristics that may influence the<br>research, including personal attributes, qualifications /<br>experience, relationship with participants, assumptions<br>and / or presuppositions; potential or actual interaction<br>between researchers' characteristics and the research<br>questions, approach, methods, results and / or<br>transferability   | 10 |
| 37<br>38  | Context                                     | <u>#7</u>  | Setting / site and salient contextual factors; rationale   | 10 |
| 39<br>40<br>41<br>42<br>43<br>44<br>45  | Sampling strategy                           | <u>#8</u>  | How and why research participants, documents, or<br>events were selected; criteria for deciding when no<br>further sampling was necessary (e.g. sampling<br>saturation); rationale   | 9  |
| 46<br>47<br>48<br>49<br>50<br>51<br>52  | Ethical issues pertaining to human subjects | <u>#9</u>  | Documentation of approval by an appropriate ethics<br>review board and participant consent, or explanation for<br>lack thereof; other confidentiality and data security<br>issues  | 11 |
| 53<br>54<br>55<br>56<br>57<br>58<br>59  | Data collection methods                     | <u>#10</u> | Types of data collected; details of data collection<br>procedures including (as appropriate) start and stop<br>dates of data collection and analysis, iterative process,<br>triangulation of sources / methods, and modification of  | 10 |
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| 1<br>2   |   |                      | procedures in response to evolving study findings; rationale   |    |
| 3<br>4<br>5<br>6<br>7<br>8<br>9                                      | Data collection<br>instruments and<br>technologies  | <u>#11</u>           | Description of instruments (e.g. interview guides,<br>questionnaires) and devices (e.g. audio recorders)<br>used for data collection; if / how the instruments(s)<br>changed over the course of the study  | 11 |
| 10<br>11<br>12<br>13<br>14<br>15                                     | Units of study  | <u>#12</u>           | Number and relevant characteristics of participants,<br>documents, or events included in the study; level of<br>participation (could be reported in results)   | 16 |
| 16<br>17<br>18<br>19<br>20<br>21<br>22<br>23                         | Data processing   | #13                  | Methods for processing data prior to and during<br>analysis, including transcription, data entry, data<br>management and security, verification of data integrity,<br>data coding, and anonymisation / deidentification of<br>excerpts   | 11 |
| 24<br>25<br>26<br>27<br>28<br>29<br>30                               | Data analysis   | <u>#14</u>           | Process by which inferences, themes, etc. were<br>identified and developed, including the researchers<br>involved in data analysis; usually references a specific<br>paradigm or approach; rationale   | 11 |
| 31<br>32<br>33<br>34<br>35   | Techniques to enhance<br>trustworthiness  | <u>#15</u>           | Techniques to enhance trustworthiness and credibility<br>of data analysis (e.g. member checking, audit trail,<br>triangulation); rationale   | 11 |
| 36<br>37   | Results/findings  |                      |  |    |
| 38<br>39<br>40<br>41<br>42<br>43                                     | Syntheses and interpretation  | <u>#16</u>           | Main findings (e.g. interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory   | 16 |
| 44<br>45<br>46<br>47   | Links to empirical data   | <u>#17</u>           | Evidence (e.g. quotes, field notes, text excerpts, photographs) to substantiate analytic findings  | 16 |
| 47<br>48<br>49   | Discussion  |                      |  |    |
| 49<br>50<br>51<br>52<br>53<br>54<br>55<br>56<br>57<br>58<br>59<br>60 | Intergration with prior<br>work, implications,<br>transferability and<br>contribution(s) to the field | <u>#18</u><br>review | Short summary of main findings; explanation of how<br>findings and conclusions connect to, support, elaborate<br>on, or challenge conclusions of earlier scholarship;<br>discussion of scope of application / generalizability;<br>identification of unique contributions(s) to scholarship in<br>a discipline or field<br>only - http://bmjopen.bmj.com/site/about/guidelines.xhtml | 29 |
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| Limitations   | <u>#19</u>        | Trustworthiness and limitations of findings  | 30 |
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| Other   |                   |  |    |
| Conflicts of interest                                     | <u>#20</u>        | Potential sources of influence of perceived influence on study conduct and conclusions; how these were managed   | 3  |
| Funding   | <u>#21</u>        | Sources of funding and other support; role of funders in data collection, interpretation and reporting   | 2  |
| American Medical Colleges.<br>https://www.goodreports.org | This (<br>/, a to | with permission of Wolters Kluwer © 2014 by the Association of checklist was completed on 05. October 2019 using of made by the <u>EQUATOR Network</u> in collaboration with |    |
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# **BMJ Open**

## Patient Priorities in Relation to Surgery for Gastric Cancer: Qualitative Interviews with Gastric Cancer Surgery Patients to Inform the Development of a Core Outcome Set.

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### **Title Page**

Patient Priorities in Relation to Surgery for Gastric Cancer: Qualitative Interviews with Gastric Cancer Surgery Patients to Inform the Development of a Core Outcome Set.

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# **Conflicts of Interest**

The authors report no conflicts of interest.

# Disclaimer

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# Data Availability

Data are available upon reasonable request.

# Acknowledgements

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# Author Contributorship Statement

Conceptualization - BA, JMB, IAB, RM; Data curation - BA; Formal analysis - BA, RM; Funding acquisition - BA, JMB, IAB; Investigation - BA, RM; Methodology - BA, IAB, RM; Project administration - BA, IAB, RM; Resources - BA, IAB; Software – BA; Supervision - JMB, IAB, RM; Validation - BA, RM; Visualization - BA, JMB, IAB, RM; Writing (original draft) - BA; Writing (review & editing) - BA, JMB, IAB, RM.

# **Running Title**

Patient Priorities Following Surgery for Gastric Cancer

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Number of appendices: 2

### **Structured Abstract**

### **Objective**

The reporting of outcomes in surgical trials for gastric cancer is inconsistent. The GASTROS study (GAstric Cancer Surgery TRials Reported Outcome Standardisation) aims to address this by developing a core outcome set (COS) for use in all future trials within this field. A COS should reflect the views of all stakeholders, including patients. We undertook a series of interviews to identify outcomes important to patients which would be considered for inclusion in a COS.

### Setting

All interviews took place within the United Kingdom. Interviews were carried out face-to-face at hospitals and cancer support centres or via the telephone.

### **Participants**

Twenty participants at varying stages of recovery following surgery for gastric cancer with curative N.P. intent.

### Design

Qualitative design using semi-structured interviews, supported by an interview guide which was iteratively modified; thematic analysis was used to explore patient priorities.

### **Results**

Six themes enveloping 38 outcomes were identified; surviving and controlling cancer, technical aspects of surgery, adverse events from surgery, recovering from surgery, long-term problems following surgery and long-term life impact of surgery. The 'most important' patient priority was to be 'cured of cancer'.

### Conclusion

Surgical trials for gastric cancer should consider broader priorities of patients when choosing which outcomes to report. This study highlighted the importance of longer-term outcomes such as cancer

survival. Outcomes identified in this study will be used to inform an international Delphi survey to develop a COS in this field.

### **KEYWORDS:**

Surgical Oncology

Stomach Neoplasms

Outcome Assessment

Patient Reported Outcome Measures

rocticutory only Treatment Outcome

Outcome reporting

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Article Summary

Strengths and Limitations of This Study

- This is the first in-depth qualitative study to examine the priorities and experiences of patients following potentially curative surgery for gastric cancer, and the first to establish which outcomes are important to patients.
- The study forms part of a larger project (The GASTROS Study www.gastrosstudy.org) to develop a 'core outcome set' (COS) for use in surgical trials for gastric cancer and was reviewed and funded by the National Institute of Health Research (UK). The study is based on a reproducible and transparent methodology which has been subjected to critical appraisal during a peer-review process.
- The term 'outcome' was described to participants in a manner relatable to them, such that they understood it and were able to identify which outcomes were most important.
- The patient population was limited to UK-based English speakers. The views of international patients may vary due to differences in culture and clinical practice.

# Main Article

### Introduction

### Background

Gastric cancer is a leading cause of cancer-related death world-wide<sup>1,2</sup>. Whilst surgery remains the only treatment which can offer a potential cure from this disease, it is associated with significant rates of morbidity in both the short and long-term<sup>3,4</sup>. Ideally, the optimal surgical approach would minimise the risk of short and long-term complications without jeopardising the oncological resection.

Identifying the optimal surgical approach for gastric cancer should be based on comparing and combining robust clinical evidence from well-designed randomised control trials. One of the present challenges to achieving this is the inconsistency in the reporting of outcomes in this field<sup>5</sup>. This limits evidence synthesis and contributes to 'research waste'. The GASTROS study (GASTROS – GAstric Cancer Surgery TRials Reported Outcome Standardisation - www.gastrosstudy.org)<sup>6</sup> aims to address this issue by developing a 'core outcome set' (COS) – a *minimum* group of standardized and well-defined outcomes, measured by all future gastric cancer surgery trials<sup>7</sup>.

A guiding principle in the development of COS is that outcomes reflect the views and priorities of key stakeholders, including patients, to maximise the relevance and impact of future research. Previous studies have demonstrated variations in the views and priorities of clinicians and patients<sup>8–10</sup>, which can result in trials reporting outcomes which bear little relevance to patients. A systematic review of outcome reporting in surgical trials for gastric cancer has demonstrated that outcomes which may be important to gastric cancer patients, such as 'quality of life' after surgery are poorly represented within this field<sup>5</sup>. It is therefore important to understand which outcomes are important for patients undergoing gastric cancer surgery.

### Objective

This research forms part of the GASTROS study, for which the protocol has been previously described<sup>6</sup>. The first stage in the study involves identifying a 'long-list' of potentially important outcomes which will be prioritised in stage two by participants undertaking a Delphi survey. It is not

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 known to what degree outcomes reported in previously published trials represent the priorities of patients undergoing gastric cancer surgery, and as such, solely relying on these as a source to populate the 'long-list' may overlook potentially important outcomes. By exploring the experiences, perceptions and priorities of patients who have undergone surgery for gastric cancer, this study aimed to identify outcomes which may not have been previously reported in the literature.

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#### Methods

### Study Design

The role of qualitative research methods in the development of COS has been previously explored<sup>11</sup> and has been advocated by groups such as the COMET initiative<sup>7</sup> as one of several approaches to ensure that outcome lists being considered for prioritisation are exhaustive. This qualitative study used a semi-structured interview approach to achieve the primary objective of identifying outcomes of importance to patients. A series of open questions were used to facilitate a patient-led discussion, guided by additional prompts from a pre-prepared interview schedule (table 1) to ensure key areas were covered.

Additional focused questioning around the use of outcomes in research was also included. In the context of clinical research, terms such as 'outcomes' may not be well understood by patients<sup>11</sup> and so a mixture of open and closed questioning was important. Participant interviews were undertaken in series of three following which transcript analysis (see below) was undertaken and the interview schedule was modified iteratively. This ensured that areas raised by earlier participants, but not included in the original schedule, were covered in subsequent discussions.

### Sampling

The eligibility criteria for this study are summarized in table 2. A purposive sampling strategy was adopted across the following characteristics:

- Age (above and below 70 years).
- Gender (men and women)
- Time since surgery (less than a year, one to three years and more than three years) Interviews were undertaken until 'data-saturation' was achieved. Data saturation was determined when there was no new data emerging that had interpretive value.

Participants were recruited from across the United Kingdom from three sources:

- A regional specialist gastric cancer centre: patients were approached in the outpatient clinic by their direct care team.
- 2. Patient organisations: patient groups were asked to contact their membership through e-mail and social media.
- 3. Snowball sampling; patients who had been recruited or contacted to participate were asked to identify other patients who would be interested in the study.

#### Data Collection

Interviews were undertaken between February and May 2017 and were conducted by BA, a consultant surgeon and researcher with approximately ten years' experience of managing and communicating with gastric cancer patients. Participants were invited to choose between a University Teaching Hospital, two purpose-built patient cancer centres, or their home for the location of the meeting. Participants were also offered the opportunity to have their interviews over the telephone. Participants were offered travel expenses to minimise any financial burden on taking part in the study. In addition to the purposive sampling strategy, the following demographic data was collected:

- Gender (male/female)
- Social circumstances (e.g. lives alone/with partner/lives with dependents)
- Age
- Time since surgery (in months)
- The type of gastrectomy (total or partial gastrectomy)
- The approach to their surgery (open or laparoscopic)
- Whether they had undergone additional treatment (e.g. chemotherapy)
- Whether they had suffered a post-operative complication
- Ethnicity
- Previous trial enrolment
- Participant post-code (to identify location and social deprivation score)

Full written consent was taken immediately prior to the interview and the participant was reminded that they were able to stop at any point or withdraw from the study without needing to give a reason.

#### Data Analysis

All interviews were digitally recorded and professionally transcribed (intelligent verbatim transcription). A thematic analysis was used to identify emerging themes and was guided by a general inductive approach<sup>12,13</sup>. This was used to create the framework applied to subsequent interviews. Themes were developed using a three-step approach of open coding, axial coding and selective coding<sup>14</sup> of the transcripts. Given the objective of this study was to identify themes and outcomes not previously reported in trials, it was important not to base data analysis and outcome identification on a framework built on previously published literature. BA and RM (a researcher with significant experience in qualitative research methods) independently analysed the first two transcripts and through discussion identified themes and adjustments to the interview schedule. There were no disagreements about coding, but had there been, these would have been discussed with the study management team. The final themes were agreed by all authors through discussion. Data analysis was supported using NVivo 11 (http://www.qsrinternational.com/products\_nvivo.aspx, QSR International, Burlington, MA, USA).

#### Approvals and Portfolio Adoption

The study was given ethical approval by the National Research Ethics Service North West—Cheshire (11/NW/0739) and governance approvals by Central Manchester University Hospital NHS Foundation Trust. The study was adopted by the National Institute for Health Research (NIHR) Clinical Research Network Portfolio (ID 33312).

#### Reporting

This paper uses the SRQR checklist to structure the report of the study findings<sup>15</sup>.

#### Patient and Public involvement

A Study Advisory Group (SAG) forms part of the management structure of the wider GASTROS study<sup>6</sup>, of which this qualitative study forms part of the first stage. The SAG is made up of key

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 stakeholder representatives including patients, oncology nurses and surgeons. The group provides advice on the methodology of the study, general delivery of the study against its stated objectives and ensures that the viewpoints of all stakeholder groups are considered. The results of this study were presented to a SAG meeting; the ensuing discussion influenced the design of the next stage of the study in preparation forstan an international Delphi Survey.

Best practice guidelines for patient and public engagement were followed as set out by INVOLVE (part of and funded by the United Kingdom's National Institute for Health Research)<sup>16</sup>.

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#### Table 1. Interview schedule.

| 1.     | I understand you have (had) gastric cancer. Can you tell me about that?  |
|--------|--|
| 2.     | Could you tell me about how you first found out you had gastric cancer?  |
|        | Prompts:   |
|        | • What questions did you most want to ask, when you were told that you had gastric   |
|        | cancer?  |
| 3      | Were there were any areas you wanted more information about but were unable to find?   |
|        | Prompts:   |
|        | • Were you given any leaflets at the time of diagnosis? Did you find these useful?   |
| 4      | What treatment was offered and how you decide about undergoing treatment.  |
|        | Prompts:   |
|        | • What information did you want about the treatment you would be receiving?  |
|        | • What factors did you consider when deciding on the treatment?'   |
| 5      | What effects did the treatment have on you after surgery?  |
|        | Prompts:   |
|        | • Did the treatment affect your physical or mental well-being?   |
|        | • Did the treatment have an effect on relationships with those around you?   |
|        | • Did you have to make any changes to your behaviour as a result of treatment?   |
| 6      | What long-term effects did the treatment have on you?  |
|        | Prompts:   |
|        | • Did the treatment affect your physical or mental well-being?   |
|        | • Did the treatment have an effect on relationships with those around you?   |
|        | • Did you have to make any changes to your behaviour as a result of treatment?   |
|        | What was the worst side effect of treatment?   |
| 7      | what was the worst side effect of iteathent?   |
| 7<br>8 | What was the worst side effect of treatment?<br>What are your concerns for the future, especially those relating to their diagnosis/history of |

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| 9  | Did the explanation of what you should expect from surgery match your real experience?      |
|----|---|
| 10 | In the context of research studies, can you explain what an outcome is in your own words?   |
|    | The interviewer will then provide a definition of the term 'outcome' is in the context of   |
|    | clinical research.  |
| 11 | What, in your opinion, is the most important outcome to measure in gastric cancer surgery   |
|    | trials?   |
| 12 | Are there any other outcomes which may be important to measure?                             |
| 13 | Has your perspective on what is important changed over time?                                |
| 14 | Is there anything else that you feel is important to talk about that we have not discussed? |
|    | Is there anything else that you feel is important to talk about that we have not discussed? |

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| Table 2. Eligibility | criteria for | study participants. |
|----------------------|--------------|---------------------|
|----------------------|--------------|---------------------|

|              | Potential Participants Approached | Potential Participants Not Approached |  |  |  |
|--------------|-----------------------------------|---------------------------------------|--|--|--|
| Participant  | • Male and females aged 18        | • Patients unable to give informed    |  |  |  |
|              | years and older.                  | consent                               |  |  |  |
|              | • Individuals able to participate | • Patients too unwell to              |  |  |  |
|              | in an interview in the English    | comfortably participate in an         |  |  |  |
|              | language.                         | interview lasting approximately       |  |  |  |
|              |                                   | 30-60 minutes.                        |  |  |  |
|              |                                   |                                       |  |  |  |
| Pathology    | • Adenocarcinoma and              | Gastrointestinal Stromal              |  |  |  |
|              | squamous cell carcinoma of        | Tumours                               |  |  |  |
|              | the stomach, (which makes up      | • Neuro-endocrine tumours             |  |  |  |
|              | 95 per cent of all stomach        | • Lymphoma                            |  |  |  |
|              | tumours).                         | Benign disease                        |  |  |  |
| Intervention | • Total and partial gastrectomy   | • Surgery with palliative intent      |  |  |  |
|              | • Open and laparoscopic           | • Endoscopic therapies such as        |  |  |  |
|              | approaches                        | EMR (endoscopic mucosal               |  |  |  |
|              |                                   | resection) and ESD (endoscopic        |  |  |  |
|              |                                   | Submucosal dissection)                |  |  |  |

# Results

#### Overview

In total, 20 patients were interviewed. Table 3 summarises demographic data and treatment-related characteristics of participants. Interviews lasted a median of 50.5 minutes (29-75 minutes). No patients withdrew from the study. Data saturation was deemed to have been reached by 20 interviews; one new outcome was identified in interview number 18 (related to sexual activity), however, no further outcomes were identified from the following two transcripts.

#### Outcome Themes

Six broad themes enveloping 38 outcomes were identified;

- 1. Surviving and controlling cancer,
- 2. Technical aspects of surgery,
- 3. Adverse events from surgery,
- 4. Recovering from surgery,
- 5. Long-term problems following surgery and
- 6. Long-term life impact of surgery.

Appendix 1 provides a breakdown of how all themes were developed from outcomes identified during the interviews. Themes were well represented in each interview; each theme was discussed by at least 18 of 20 participants. Appendix 2 demonstrated the outcomes identified during the interviews and how often they were referenced.

#### Theme 1: Surviving and controlling cancer

For most, details of their initial consultation were sketchy; participants often described being given lots of information about their diagnosis, much of which was not absorbed. However, patients clearly remember their reaction to being told their cancer diagnosis; for most, the response was the same:

"When you hear the word, cancer, you think that's it. I'm going to die." (participant 6) There was a range of personal experience with cancer within our patient group. Some had direct family members who had undergone chemotherapy and had an intimate knowledge of its effects. "it was my worst nightmare come true because I lost my dad to cancer and I always had it in the back of my mind, well if one person in the family could get cancer from somewhere then we could as well." (participant 19)

Some patients had a vague knowledge of friends or work colleagues who had undergone treatments for cancer and others had no prior experience of cancer at all. Despite these differences, the initial responses to their diagnosis were similar.

All participants in our study had undergone radical surgery with curative intent. At the time of interview, no participants had confirmed evidence of disease recurrence although one was being investigated for potential recurrence. Once the discussion with their surgeon moved away from the diagnosis and onto potentially curative treatments, participants often focused their questions on 'survival':

"I wanted to know what the chances were of me having this removed and not, well, basically not dying from it." (participant 4)

Despite radical surgery (and peri-operative chemotherapy in half of our participants), for many of the participants the fear of recurrence remained a permanent anxiety. Many participants seemed to understand that due to the aggressive nature of gastric cancer, recurrence is a possibility for many:

"...you're always worried that it's going to come back..." (participant 7)

The study cohort included participants who had undergone surgery between 5 months and 14 years prior to the interviews. There did not seem to be a relationship between the length of time out of surgery and concerns about cancer recurrence.

Theme 2: Technical aspects of surgery

Several outcomes related to this theme were discussed by participants. Most importantly, participants focused on whether the surgical team was able to excise the 'cancer' in its entirety. This priority was often referenced in relation to the 'success' of surgery and its contribution to 'curing' participants of cancer:

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"...thinking to yourself that, you know, everything has been done to the best of the hospital's ability, and, you know, they've taken absolutely everything out." (participant 4)

Whilst participants mostly referred to the cancer as a single 'entity', there were a small number who demonstrated some knowledge of the importance of different aspects of surgery such as lymph node excision:

"And yeah, I remember the news about the pathology on the bits they'd taken away, and the lymph node system and what not, came a week or two before I was due to go back on the chemo." (participant 20)

Six participants underwent a minimally invasive surgery with the remainder undergoing open surgery. The size of the wounds or type of surgical approach was referred to by only a minority of our participants. In the main, these were made in passing as little importance was placed on the surgical approach:

Interviewer: "Okay, and what...what did that mean for you to have keyhole surgery?" Respondent: "It didn't mean anything really, you know, I had...I'd heard about keyhole and people who'd had it." (participant 5)

#### Theme 3: Adverse events following surgery

Eleven participants suffered a complication following surgery; however, this theme was important to all interviewees. Peri-operative death was the most frequently discussed surgical complication:

"...the fear of dying on the operating table is really real." (participant 2)

During their surgical consultation, participants retained some understanding of the risk of perioperative death and many were able to quote figures about how likely this complication was. Other complications were highlighted when recounting a personal experience. While all complications occurred in the post-operative period, there were several different causes attributed to these events (e.g. direct surgical, anaesthesia-related and medication-related). The severity and consequences of the complications also varied significantly; some were self-limiting and resulted in a minor extension of the length of hospital stay: "But I was out of it for three days, I was just hallucinating and God knows what, probably because of the morphine." (participant 7)

And those which were life-threatening and required significant clinical intervention:

"It was a twisted bowel. Yeah, I was told it was a twisted bowel. Because I always remember that when they brought me back from obviously having a look and everything, I always remember [they] said...we're going to have to take you back to surgery." (participant

15)

The severity of the complications suffered did not seem to shape the key priorities in relation to participants' 'worst side effect' of surgery or 'most important outcome'; these almost entirely related to 'long-term impacts of surgery' and 'cure' respectively (see below) regardless of how long ago their operation was and which surgical approach (laparoscopic or open surgery) was employed.

Whilst participants recounted that some of the more serious complications (e.g. death, anastomotic leak and cardio-pulmonary complications) were described by surgeons during the consent process, some were exposed to other sources of information in the pre-operative stage. Participants were regularly provided with written information about their cancer and its management, however the quality and content of this varied depending on the location of their hospital. The response to this format was varied:

"I'm going to be honest with you, I didn't actually read them... because I didn't want things going in my head that I couldn't take in." (participant 17)

Participants that read the written information often found them difficult to digest for several reasons including the volume of information and fear of the gravity of the diagnosis or prognosis:

"Well some of it were just waste of time, but others, you know, if you've got a book about that thick and you read through it and half of it applies to you, and the others just sort...doesn't apply, you know." (participant 5)

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"As much as it's alright handing leaflets out, I can...I am a bit of a reader so I will read stuff, but when you think you've got a death sentence you think, what's the point in reading that?" (participant 13)

Several hospitals had patient-support groups which provide a 'buddy' system for those awaiting surgery. These support groups became an important part of the recovery process and continue to be relevant many years after surgery. Patients found these more useful as they had the opportunity to speak to those with lived experience of the diagnosis and treatment. Whilst this served as an important source of information to tackle the longer-term impacts of surgery (below), these groups also provided comfort to patients:

"I think the support group and speaking to people that have been through it, because it can demystify it quite a lot." (participant 2)

Peer support also provided additional sources of information to participants in the pre-operative period with respect to some of the complications that could arise:

"And I know one guy, where the oesophagus junction was, he'd had that leaking, and he couldn't eat more than, like, grains of rice and things; so that would be pretty horrendous." (participant 4)

As a result of verbal and written information from healthcare professionals and additional peer support, participants were able to describe key adverse without necessarily having experienced them firsthand.

#### Theme 4: Recovery from surgery

Experiences during the immediate post-operative recovery period were referenced by 18 study participants. Whilst some participants' experience of recovery from surgery was directly linked to complications, there were aspects of recovery such as post-operative pain, mobility and the recommencement oral intake that were common amongst all those who spoke this theme.

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Most participants did not mention post-operative pain as an important focus. Those that did, expected to suffer a degree of pain, however experiences of its severity varied widely. Pain levels amongst interviewees who had undergone similar operations through laparotomy incisions were not uniform. One participant who had open surgery described:

"I do remember waking up and really being in a hell of a lot of pain and being really out

of it." (participant 6)

Whilst another who had undergone their surgery using the same approach recounted:

"I didn't really have much pain." (participant 14)

Discussions around post-operative pain were not confined to discomfort from the surgical incisions, but also related to post-operative complications:

"I was back in writhing in agony with a serious infection in the wound." (participant 9) Participants recounted the limitations in their mobility during the post-operative period. There were many factors contributing to this, including physical weakness, not receiving appropriate encouragement to mobilise and being restricted by surgical drains:

"...really difficult to be mobile I suppose, and move around, yourself, 'cause obviously you've got quite a lot of tubes and different things coming out. I felt very, very swollen." (participant 6)

*Theme 5: Long-term problems following surgery* 

All participants described significant long-term symptoms related to surgery. For the most, this represented the 'worst side effect' in relation to their treatment and outcomes from this theme were referenced more than any other theme further emphasising its importance. All participants described experience with struggling to eat and drink following surgery and the majority (16/20) talked extensively about the impact of fatigue on their daily lives. Problems with maintaining weight, issues with ongoing gastro-intestinal symptoms and chronic pain were discussed by most participants.

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Fatigue was described in many ways; 'exhaustion', 'feeling tired all the time', 'feeling so weak' and 'having no energy'. For the main, fatigue was a symptom which persisted for months after surgery and could impact on a participant's ability to undertake day-to-day activities or to socialise:

"Well, I'm so weak, I used to go out, you know, and do fishing and do things with my lads. I'm just getting that little bit better now after eight months, but I'm so weak and tired." (participant 18)

Adapting to fatigue was and for many continued to be a difficult challenge, however many participants understood that this was s a recognised and acceptable symptom to them given the magnitude of the surgery:

"I've come out with...more...appreciation for looking after myself and my...And if I'm tired, I stop." (participant 15)

There were several causes for the struggles participants associated with eating and drinking. Participants often described having to eat and drink smaller volumes more frequently and some were unable to tolerate certain food types or consistencies. This had a direct effect on the pleasure associated with eating and an impact on where participants could eat:

"Well I don't eat what I would like to...But I know that for the rest of my life, I won't be able to go out for big meals, to big venues and eat like I used to eat before, you know." (participant 5)

Most participants recounted being told prior to their surgery that their diet would be different and that they would have to 'learn how to eat again'. Despite this, some participants felt that not enough information was given to highlight the true impact of this long-term issue and methods to address it:

"I think it's a lot worse than what they tell you. Because like some days, I'll eat a certain thing which I've ate before, and you just can't breathe properly, it's choking you." (participant 18)

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A broad range of gastro-intestinal symptoms were reported by participants. The time frame relating to how long these persisted was similarly broad (sometimes months and years) and did not seem to follow a pattern. Nausea, vomiting, diarrhoea, reflux and belching were the commonest problems described. Many of these symptoms resulted in significant impacts on quality of life (see theme 6 below):

"...I still get the bile reflux and I get this constant pain in the oesophagus which affects my sleeping as well." (participant 7)

"The dumping syndrome was mentioned. Never understood it until it happened. You know, how my body reacted to certain foods that I'd normally eat that it doesn't like anymore." (participant 15)

#### Theme 6: Long-term life impact of surgery

The long-term effects on 'normality', quality of life, and psychological impact of surgery were discussed extensively by all patients. A strong desire to return to a form of 'normality' was regularly expressed. Whilst the reference point for 'normality' differed amongst patients, common characteristics existed; namely a desire to do what they used to do such as working, exercising, socialising with friends and family and being able to travel:

"it's about living as I did before, and forgetting what had happened, and I do that quite often." (participant 6)

The experience of returning to normality varied amongst those interviewed. Many participants were largely able to return to their 'normal' activities albeit with some modifications:

"Yes. I want to go on holidays again. I love cruises and I want...but until my eating's improved, I wouldn't do that." (participant 1)

"Now that it's 18 months on, I am back to having what would be a normal life again, now, albeit with smaller portions of meals and things" (participant 4)

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Some participants however have not been able to return to activities that provided them with significant enjoyment:

"I've never actually got back to my normal activity. I've never played golf since that day and I used to love golf." (participant 4)

In general, participants understood that life after gastrectomy would be different:

"I'm still alive, and then I need to get back to normal. It takes a while for you to realise your new normality is not like your old normality." (participant 2)

Whilst much of the discussion relating to 'normality' centred around specific tasks which participants valued or missed, the impact of gastrectomy on a participant's overall general quality of life was important to many. Many understood that quality of life needed to be redefined in comparison to life before surgery, but nonetheless there was a minimum level that would need to be achieved:

"if I have some sort of quality of life, where I can get up and wash myself and do, that is something that I'd live for. But I couldn't be sat there and nursed 24/7" (participant 17)

The psychological burden on participants following gastrectomy is a significant one. Each of the previously discussed themes could impact on a participant's mental state and whilst certain phases of the treatment pathway were time-limited, the psychological effects could persist for much longer;

"You don't just suffer from physical; you suffer from mental. And I think the mental is a lot more powerful that the physical, because you can shut pain off by taking medication, but it's very hard to shut problems off mentally." (participant 18)

"You know, 'cause psychologically you think you've still got this poison in your body, as much as I've got rid of, you know, my monster." (participant 13)

Some of the psychological impacts were associated with participants having to adapt to a new normality in relation to what they were able to do, what they were able to eat, how they looked physically or how they felt around others:

"Well, it was a problem because like I say, I've always been a proud chap and proud of my body because I kept myself fit and everything. When I looked in the mirror, quite distressing. That was it, yeah. It makes you feel inferior." (participant 18)

"I feel a freak, I feel when I go into a big room with people that everybody has got a stomach and I haven't got one, it's not that I want them to know, but I just don't feel the same anymore..." (participant 8)

Definition of 'outcome' by patients

 All participants were asked what their understanding of the term 'outcome' was in the context of clinical research. Two participants were able to provide a broad-ranging definition which encompassed some of the benefits and adverse effects of treatment:

"my perception of what would be meant by that phrase would...at a variety of levels; it could be does the patient live or die? Does the patient recover to an acceptable state for an extended period of time, and my understanding of what that might be, would be a, sort of, five year period..." (participant 20)

One person stated that they did not know how to define the term, whilst the remainder defined 'outcome' by recounting a single outcome, which was most important to them:

"Okay, my understanding is that at the...the outcome would be that the cancer would be possibly all gone." (participant 19)

Participants were asked to provide a single outcome that was 'most important to them'. Fifteen participants identified that the most important outcome was that they were 'cured of cancer' with the remaining five describing outcomes related to 'returning to normal' and being able to enjoy a 'good quality of life'. These priorities did not alter with respect to how long-ago surgery was performed, which approach was undertaken or how old the patient was.

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### Table 3. Patient characteristics and demographic data.

| Patient | Sex         | Age        | Ethnicity<br>* | Social<br>deprivation | Home<br>circumstances | Months<br>since | Type of surgery     | Approach to surgery | Post-operative<br>complications | Peri-operative<br>treatment |
|---------|-------------|------------|----------------|-----------------------|-----------------------|-----------------|---------------------|---------------------|---------------------------------|-----------------------------|
|         |             |            |                | quintile**            |                       | surgery         |                     |                     |                                 |                             |
| 1       | F           | 74         | Α              | 3rd                   | Lives alone           | 15              | Partial Gastrectomy | Laparoscopic        | Yes                             | Nil                         |
| 2       | М           | 59         | В              | 3rd                   | Lives alone           | 27              | Total Gastrectomy   | Open surgery        | Yes                             | Chemotherapy                |
| 3       | М           | 71         | А              | 1st                   | Lives alone           | 16              | Partial Gastrectomy | Open surgery        | Yes                             | Nil                         |
| 4       | М           | 43         | А              | 2nd                   | Lives with            | 15              | Total Gastrectomy   | Open surgery        | Yes                             | Chemotherapy                |
| -       | 141         | ч <i>у</i> | Α              | 2110                  | parents               |                 |                     | open surgery        | 105                             | Chemotherapy                |
| 5       | М           | 80         | А              | 3rd                   | Lives alone           | 23              | Partial Gastrectomy | Laparoscopic        | Yes                             | Nil                         |
| 6       | F           | 52         | А              | 2nd                   | Lives with            | 32              | Total Gastrectomy   | Open surgery        | No                              | Chemotherapy                |
| 0       | I,          | 52         | A              | 2110                  | children              | 32              | Total Gastrectonity | Open surgery        | INO                             | Chemotherapy                |
| 7       | М           | 79         | А              | 1st                   | Lives with            | 58              | Total Gastrectomy   | Laparoscopic        | Yes                             | Chemotherapy                |
| /       | IVI         | 19         | A              | 150                   | spouse                | 38              | Total Gastrectomy   | Laparoscopic        | res                             | Chemotherapy                |
| 8       | F           | 63         | А              | 1st                   | Lives alone           | 5               | Total Gastrectomy   | Open surgery        | No                              | Nil                         |
| 9       | М           | 61         | А              | 3rd                   | Lives with            | 170             | Total Gastrectomy   | Open surgery        | No                              | Nil                         |
| 7       | 1 <b>V1</b> | 01         | n              | 510                   | spouse                | 170             | Total Gasticciolity | Open surgery        | INU                             | 1111                        |
|         |             |            |                |                       |                       |                 |                     |                     |                                 |                             |

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| 10 | М  | 61 | С | 1st | Lives alone       | 79  | Total Gastrectomy   | Open surgery | No  | Chemotherapy |
|----|----|----|---|-----|-------------------|-----|---------------------|--------------|-----|--------------|
| 11 | М  | 76 | A | 4th | Lives with spouse | 110 | Total Gastrectomy   | Laparoscopic | Yes | Chemotherapy |
| 12 | F  | 82 | А | 4th | Lives alone       | 62  | Partial Gastrectomy | Open surgery | No  | Nil          |
| 13 | F  | 59 | A | 2nd | Lives with spouse | 19  | Partial Gastrectomy | Open surgery | No  | Chemotherapy |
| 14 | М  | 70 | В | 1st | Lives alone       | 11  | Partial Gastrectomy | Open surgery | No  | Nil          |
| 15 | F  | 56 | М | 5th | Lives with parent | 33  | Total Gastrectomy   | Open surgery | Yes | Chemotherapy |
| 16 | F  | 84 | А | 1st | Lives alone       | 17  | Partial Gastrectomy | Laparoscopic | Yes | Nil          |
| 17 | М  | 48 | A | 4th | Lives with parent | 9   | Total Gastrectomy   | Laparoscopic | Yes | Chemotherapy |
| 18 | М  | 77 | A | 4th | Lives with spouse | 78  | Total Gastrectomy   | Open surgery | Yes | Nil          |
| 19 | Fe | 58 | A | 3rd | Lives with spouse | 11  | Partial Gastrectomy | Laparoscopic | No  | Nil          |

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|--|---------|--------|---------|---------------|------------------|----------------------------------|-------------|--|--------------------|-----|--------------|
| 1<br>2<br>3<br>4<br>5<br>6<br>7<br>8                                       | 20      | М      | 54      | А             | 1st              | Lives with spouse                | 48          | Partial Gastrectomy                    |                    | No  | Chemotherapy |
| 9<br>10<br>11<br>12<br>13<br>14<br>15<br>16<br>17<br>18<br>19<br>20<br>21  | *A=, B= | , C= , | M= . ** | *Social depri | vation quintile: | 1 <sup>st</sup> quintile being t | he least de | prived, 5 <sup>th</sup> quintile being | g the most deprive | ed. |              |
| 22<br>23<br>24<br>25<br>26<br>27<br>28<br>29<br>30<br>31<br>32<br>33       |         |        |         |               |                  |                                  |             |  |                    |     |              |
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#### Discussion

To our knowledge, this is the first in-depth qualitative study exploring the priorities of patients following potentially curative surgery for gastric cancer. The study focussed on this cohort as one of the main aims was to identify outcomes which would be considered for inclusion in a COS for potentially curative surgical trials in gastric cancer. This present study will be used to help generate a list of outcomes that will be presented for prioritisation to healthcare professionals and patients in an international, multi-language online Delphi survey. The results of the Delphi survey will inform a consensus meeting to finalise the COS. Whilst other COS studies in the field of cancer<sup>17–19</sup> may have identified similar important outcomes such as survival, it was important to consider our participants separately given the unique problems which arise with gastrectomy. These include distinctive short and long-term problems related to surgery such as anastomotic leak and reactive hypoglycaemia (Dumping syndrome) which would not be relevant in other COS.

The themes identified highlight the profound and wide-ranging physical, social and psychological impacts that gastrectomy has on patients which can persist for months and years. We have previously described the reporting of outcomes in surgical trials for gastric cancer over a twenty-year period<sup>5</sup>. Most surgical trials in this field have focused on reporting short-term post-operative outcomes. Whilst these are important to patients, they are not representative of the whole picture. This work highlights how patient priorities for outcomes may differ from the traditional surgical focus. More work is now needed to develop the COS which incorporates views of all key stakeholders including patients.

More than half of the 'top-ten' most frequently discussed outcomes in our study related to longer-term issues such as problems with eating, returning to 'normality', fatigue, weight loss, gastro-intestinal symptoms and psychological impacts. These types of outcomes are infrequently reported in surgical trials and demonstrate that researchers within this field have not reflected the priorities of patients. This challenge needs to be addressed using an approach which is inclusive of patients and their views.

The GASTROS study aims to develop a COS; critically important outcomes which should be reported - as a minimum - by future surgical trials for gastric cancer<sup>6</sup>. By standardising the reporting of such outcomes, it aims to improve the ability to synthesise evidence, reduce research waste and ultimately

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aid researchers in answering important questions related to gastrectomy. The first stage in developing the COS consists of identifying a 'long-list' of outcomes which will then be prioritised by key stakeholders during an international online Delphi survey. The process of developing the long-list should be comprehensive and involve both healthcare professionals and patients in order to minimise the risk of omitting potentially important outcomes. Our study reaffirms the importance of a mixed-methods approach to identifying potentially important outcomes. As others COS developers have found, building a long-list based solely on outcomes reported in previous trials or as developed by clinicians often neglects the views of key stakeholders<sup>8–10</sup>. This ultimately runs the risk of producing a COS which does not reflect the priorities of patients which does little to address the current challenges with outcome reporting.

Understanding patient priorities following gastrectomy is invaluable for other reasons. Patients with gastric cancer want detailed information about their condition and treatment<sup>20</sup>. With the knowledge that long-term impacts of surgery are important, healthcare professionals can tailor the consent process prior to surgery to ensure that the patient has a better understanding of these and is making an informed decision. Considering patient priorities may also have implications for the future development of national and international audits<sup>3,4</sup>. For several pragmatic reasons, most comprehensive gastric cancer surgery audits focus on short-term outcomes. Identifying methods to report longer-term quality outcome measures may make such audits more relevant to patients. Studies assessing patients' views in similar disease areas found similar things, that long term outcomes (survival and long term quality of life) were important<sup>21</sup>.

#### Strengths and weaknesses

The study was able to gain an in-depth understanding of patient priorities based on the experience of participants with a broad range of characteristics representative of those undergoing surgery for gastric cancer in the UK<sup>4</sup>. Furthermore, our purposive sampling approach was established *a priori* in a study protocol which had undergone a robust peer-review process.

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This study was also able to highlight and address significant challenges associated with the comprehension of medical language by patients; particularly terms central to the development of a COS. Patients largely did not understand the use of the term 'outcome' within the context of medical research. Once it was defined as an 'impact or effect of a treatment which may be beneficial or harmful', participants were more easily able to describe their key priorities in outcome reporting for future trials. This has several implications for the GASTROS study as well as other COS projects moving forward. It highlights the importance of ensuring that the premise of the study is clear and understood by all participants, especially patients; outcomes included in the Delphi survey must be presented and explained in a manner which is accessible to all; 'outcome' must be clear when adapting it to other regions where there may be no direct translation for the term.

The interviews were conducted by an expert in the field of gastric cancer surgery which may have resulted in a degree of observer bias. To mitigate this potential limitation, the study management team (which was made up primarily of members unfamiliar with gastric cancer surgery) was involved in ongoing discussions during data collection and analysis. It is also possible that patients modified their responses because of awareness of the background of the interviewer. Every effort was made to follow the semi-structured interview schedule, to put the patients at ease and take time to let them talk. The average length of the interviews (greater than 45 mins) reflects the time patients were given to express their views.

A further potential limitation of this present interview study is a lack of international patient participation. Consequently, there may be outcomes which are relevant to non-UK patients that have not been identified. Gastric cancer is an international disease and cultural and regional influences may alter expectations and priorities of patients. Whilst we have not identified evidence from COS developers in other fields that confirms these variations, it remains a possibility. Our reasons for limiting the interviews to UK-only patients were primarily down to pragmatism and finite resources. To mitigate this, the Delphi survey will be available in several languages and during the first round, all participants will be able to submit additional outcomes that they believe were omitted. These will be considered by the study team and presented for prioritisation by participants in round two of the

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Delphi survey, if appropriate. Adopting this approach also enables the exploration of regional variations in outcome priorities which may form the basis of a future international qualitative study. This study focusses primarily on the impact of gastrectomy from the perspective of patients. However, we acknowledge that major complex surgery such as gastrectomy inevitably results in both direct and indirect effects on family members and caregivers. Whilst these wider impacts warrant further examination, we limited participation in this present study to patients, as the scope of the COS aims to consider the perspective and priorities of patients, surgeons and oncology nurses. Part of our planned future work is to review the COS to ensure that it remains up-to-date and relevant. At this point, it will be possible to widen participation beyond these three groups to include caregivers and other allied healthcare professionals.

Recruitment to the study stopped when no new data with interpretative value was identified<sup>22</sup>. However, 'data saturation' is a topic which deserves further discussion as there is no way of knowing for certain that no new outcomes would have been identified had further interviews been undertaken. Some argue that the term 'data saturation' is often mis-used and misunderstood and should be operationalised in a way consistent with the scope of the study being undertaken<sup>23</sup>. As described above, the ability of patients and healthcare participants to suggest further outcomes in round one of the Delphi survey aims to mitigate against this potential limitation.

Most participants had undergone their surgery at least 12 months prior to this study. As such, it should be acknowledged that there may have been a greater exploration of and emphasis on shorter-term outcomes had we recruited more participants from a shorter post-operative time-period. Again, to address this potential limitation, we plan to recruit participants for the Delphi survey from all postoperative periods and will have the opportunity to examine whether 'time from surgery' affects patient priorities.

In summary, this study identified 38 unique outcomes which are important to patients following surgery for gastric cancer. Many of these outcomes are poorly represented by trials within this

research field. These outcomes will be added to other potentially important outcomes to be considered for prioritisation by key stakeholders to develop a COS for surgical trials in gastric cancer.

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## Appendix 1. Development of themes

Theme: Surviving and Controlling Cancer

| Issues identified on initial coding         | Outcome              | Outcome theme      |  |  |
|---|----------------------|--------------------|--|--|
| Being cured of cancer                       |                      |                    |  |  |
| Cancer returning to other parts of the body | Description          |                    |  |  |
| Cancer returning in the abdomen             | Recurrence of Cancer |                    |  |  |
| Possibility of cancer returning             |                      | Surviving and      |  |  |
| Being able to live (a little/a lot) longer  |                      | Controlling Cancer |  |  |
| Being alive/surviving for a 'long time'     |                      |                    |  |  |
| Chances of (not) dying from cancer          | Survival             |                    |  |  |
| Chances of survival                         |                      |                    |  |  |

Theme: Adverse events following surgery

| Issues identified on initial coding           | Outcome                    | Outcome theme        |
|---|----------------------------|----------------------|
| Complications related to anaesthesia          | Anaesthetic complications  |                      |
| Anastomotic leak                              | Anastomotic complications  |                      |
| Anastomotic stricture                         | Anastoniotic complications |                      |
| Internal bleeding requiring further           | Bleeding                   |                      |
| intervention                                  | Diccullig                  |                      |
| Concern about cardiac complications in        |                            |                      |
| context of previous myocardial infarction     | Cardiac complications      |                      |
| Racing heart beat                             |                            |                      |
| Stroke following surgery                      | Cerebro-vascular           |                      |
| Shoke following surgery                       | complications              | Adverse events       |
| Bowel perforation                             |                            | following of Surgery |
| Gastro-intestinal symptoms e.g. constipation  | Intestinal complications   |                      |
| Obstruction of bowel                          |                            |                      |
| Epidural related complications                |                            |                      |
| Hallucinations                                | Medication related         |                      |
| Overdose of medications such as morphine      | complications              |                      |
| Side effects of sedatives                     |                            |                      |
| Drains and tubes to manage complications      |                            |                      |
| Endoscopic treatment of anastomotic stricture | Need for re-intervention   |                      |
| Requiring further surgery to manage           |                            |                      |
| complications                                 |                            |                      |

| Theme: Long-term impact of surgery        |                           |   |
|---|---------------------------|---|
| Q   | 4                         | 1 |
| Catheter-related problems                 | Urinary complications     | 1 |
| Wound numbness                            |                           |   |
| Wound leak                                | to ound complications     |   |
| Wound infection                           | Wound complications       |   |
| Wound dehiscence                          |                           | 1 |
| Re-admission due to pain                  |                           |   |
| infections                                | Re-admission to hospital  |   |
| Re-admission due to complications such as |                           |   |
| Pneumothorax                              |                           |   |
| Pleural effusion                          | Respiratory complications |   |
| Hospital acquired pneumonia               |                           |   |
| Dying on the operating table              |                           |   |
| Dying from a complication of surgery      | Peri-operative death      |   |
| 'Surviving' surgery                       |                           |   |
| Surgery for incisional hernia             |                           |   |

#### Theme: Long-term impact of surgery

| would numbriess                                  |                           |                     |
|--|---------------------------|---------------------|
| Catheter-related problems                        | Urinary complications     |                     |
|  |                           |                     |
|  |                           |                     |
| Theme: Long-term impact of surgery               |                           |                     |
| Issues identified on initial coding              | Outcome                   | Outcome theme       |
| Being able to enjoy a good quality of life       |                           |                     |
| Uncertainty as to what life will be like         | Overall 'quality of life' |                     |
| following surgery                                | 4                         |                     |
| Changes in mood                                  |                           |                     |
| Clinical depression                              | 0                         |                     |
| Feeling 'abnormal' and 'different' to others     |                           |                     |
| Feelings of insecurity                           | Psychological impact      |                     |
| Feelings of isolation                            |                           | ·                   |
| Issues related to body image                     |                           | Long-term impact of |
| Low mood   |                           | surgery             |
| Being able to enjoy eating again                 |                           |                     |
| Being able to exercise again                     |                           |                     |
| Being able to interact and socialise with others |                           |                     |
| Being able to live 'as they did before'          | Returning to 'normality'  |                     |
| Being able to rely on oneself to undertake       |                           |                     |
| tasks  |                           |                     |
| Being able to undertake household activities     |                           |                     |

| such as shopping and gardening |  |
|--------------------------------|--|
| Returning to employment        |  |

Theme: Technical aspects of surgery

| Issues identified on initial coding       | Outcome               | Outcome theme        |
|---|-----------------------|----------------------|
| 'Cutting' the cancer out                  |                       |                      |
| Ensuring no cancer is left behind         |                       |                      |
| Getting 'rid' of the cancer               | Complete resection of |                      |
| Inability to resect cancer at surgery     | cancer                |                      |
| Removing all lymph nodes                  |                       | Technical aspects of |
| Removing spleen if necessary              |                       | surgery              |
| Ability to perform laparoscopic 'keyhole' |                       | _                    |
| surgery                                   | Size of incisions     |                      |
| Large scars                               |                       |                      |
| Duration of surgery                       | Duration of surgery   | 1                    |
|   |                       |                      |

Theme: Long-term problems following surgery

| Issues identified on initial coding          | Outcome              | Outcome theme      |
|--|----------------------|--------------------|
| Amounts able to eat and drink                |                      |                    |
| Being able to eat 'properly'                 |                      |                    |
| Being able to eat at home                    | Esting and Drinking  |                    |
| Change in diet and types of food patient can | Eating and Drinking  |                    |
| consume                                      |                      |                    |
| Difficulties swallowing                      | 0,                   |                    |
| Requirement for ongoing nutritional support  | Nutritional problems | b.                 |
| Vitamin B12 deficiency                       | Nutritional problems |                    |
| Feeling persistently tired                   |                      | Long-term problems |
| Feeling extremely weak/lethargic/tired       | Fatigue              | following surgery  |
| Having no energy or stamina                  | Taligue              |                    |
| Loss of energy following simple tasks        |                      |                    |
| Abdominal bloating                           |                      |                    |
| Belching                                     |                      |                    |
| Diarrhoea                                    | Gastro-intestinal    |                    |
| Dumping syndrome                             | symptoms             |                    |
| Excessive flatus                             |                      |                    |
| Nausea                                       |                      |                    |

| Reflux symptoms (acid or bile)              |                 |  |
|---|-----------------|--|
| Vomiting                                    |                 |  |
| Abdominal pain or cramps                    |                 |  |
| Headaches and migraines                     |                 |  |
| Long-term wound related pain                |                 |  |
| Muscle cramps                               | Chronic Pain    |  |
| Pain on swallowing                          |                 |  |
| Painful abdominal distension or bloating    |                 |  |
| Inability to regain weight to desired level | Weight problems |  |
| Readjusting to new weight                   |                 |  |
| Speed of weight loss                        |                 |  |
| Weight loss in general                      |                 |  |

Theme: Recovery Following surgery

| Issues identified on initial coding         | Outcome                    | Outcome theme      |
|---|----------------------------|--------------------|
| Post-operative plan for physiotherapy       |                            |                    |
| Restricted mobility due to drains and tubes |                            |                    |
| attached                                    | Ambulation                 |                    |
| Time to be able to undertake tasks such as  |                            |                    |
| standing up, walking or bathing             | 4.                         |                    |
| Time before being allowed to eat and drink  | Return of gastrointestinal |                    |
| Time before bowel function returned         | function                   | Recovery following |
| Concern about being too unwell for further  | Ability to have more       |                    |
| chemotherapy                                | chemotherapy               | surgery            |
| Length of time in hospital                  | Duration of bognital stay  |                    |
| Length of time in intensive care            | Duration of hospital stay  |                    |
| Length of time in pain                      |                            |                    |
| Patterns of pain                            | Dest an anti-              |                    |
| Requirement for analgesia                   | Post-operative pain        |                    |
| Severity of pain                            |                            |                    |

Appendix 2. Summary of outcomes and outcome themes identified from interviews.

| Outcome Theme Outcome            |                                       | How many interviews       | Total number of references |  |
|----------------------------------|---------------------------------------|---------------------------|----------------------------|--|
| Outcome Theme                    | Outcome                               | outcome was referenced in | in all interviews          |  |
| Surviving and controlling cancer | Curing Cancer                         | 4                         | 6                          |  |
| • Referenced in 20 interviews    | Recurrence of Cancer                  | 18                        | 28                         |  |
| • Referenced 90 times in all     | Survival                              | 20                        | 56                         |  |
| interviews.                      |                                       |                           |                            |  |
| Technical aspects of surgery     | Complete Excision of Cancer           | 18                        | 52                         |  |
| • Referenced in 18 interviews    | Excision of Lymph Nodes               | 5                         | 5                          |  |
| • Referenced 52 times in all     | Need for splenectomy                  | 1                         | 1                          |  |
| interviews.                      | Operative time                        | 1                         | 2                          |  |
|                                  | Wound Size                            | 7                         | 11                         |  |
| Adverse events                   | Ability to have adjuvant chemotherapy | 1                         | 1                          |  |
|                                  | Anaesthetic Complications             | 1                         | 1                          |  |
| • Referenced in 20 interviews    | Anastomotic Leak                      | 6                         | 9                          |  |
| • Referenced 97 times in all     | Anastomotic Stricture                 | 1                         | 1                          |  |
| interviews.                      | B12 Deficiency                        | 5                         | 5                          |  |
|                                  | Bleeding                              | 1                         | 2                          |  |
|                                  | Cardiac Complications                 | 2                         | 2                          |  |
|                                  | Catheter related complications        | 1                         | 1                          |  |
|                                  | Cerebro-vascular complications        | 1                         | 1                          |  |
|                                  | Gastrointestinal problems             | 1                         | 1                          |  |
|                                  | Hernia                                | 1                         | 1                          |  |
|                                  | Intestinal complications              | 4                         | 10                         |  |
|                                  | Medication-related complications      | 10                        | 12                         |  |
|                                  | Need for reintervention               | 8                         | 13                         |  |

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|                               | Peri-operative death             | 12 | 20 |
|-------------------------------|----------------------------------|----|----|
|                               | Re-Admission to Hospital         | 3  | 4  |
|                               | Respiratory complications        | 3  | 3  |
|                               | Wound Complications              | 8  | 10 |
| Recovery from surgery         | In Hospital Recovery             | 11 | 23 |
|                               | Length of Stay Following Surgery | 11 | 18 |
| • Referenced in 18 interviews | Peripheral Oedema                | 1  | 1  |
| • Referenced 57 times in all  | K.                               |    |    |
| interviews.                   | · Or                             |    |    |
| Long-terms problems following | Eating & Drinking                | 20 | 75 |
| surgery                       | Fatigue                          | 16 | 38 |
|                               | Gastrointestinal symptoms        | 11 | 27 |
| • Referenced in 20 interviews | Pain                             | 10 | 14 |
| • Referenced 175 times in all | Weight Loss                      | 12 | 21 |
| interviews.                   |                                  |    |    |
| Long-term impacts of surgery  | Necessity of long-term feeding   | 1  | 1  |
|                               | Overall QoL                      | 8  | 10 |
| • Referenced in 20 interviews | Psychological impact             | 11 | 40 |
| • Referenced 133 times in all | Returning to normality           | 20 | 82 |
| interviews.                   |                                  |    |    |
|                               |                                  |    |    |
|                               |                                  |    |    |

# Reporting checklist for qualitative study.

Based on the SRQR guidelines.

# Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SRQR reporting guidelines, and cite them as:

O'Brien BC, Harris IB, Beckman TJ, Reed DA, Cook DA. Standards for reporting qualitative research: a synthesis of recommendations. Acad Med. 2014;89(9):1245-1251.

| 28<br>29<br>30                                     |                     |             | Reporting Item   | Page<br>Number |
|--|---------------------|-------------|--|----------------|
| 31<br>32<br>33<br>34                               | Title               |             |  |                |
| 35<br>36<br>37<br>38<br>39<br>40<br>41<br>42       |                     | <u>#1</u>   | Concise description of the nature and topic of the study<br>identifying the study as qualitative or indicating the<br>approach (e.g. ethnography, grounded theory) or data<br>collection methods (e.g. interview, focus group) is<br>recommended | 1              |
| 43<br>44<br>45<br>46<br>47<br>48<br>49<br>50<br>51 | Abstract            | <u>#2</u>   | Summary of the key elements of the study using the abstract format of the intended publication; typically includes background, purpose, methods, results and conclusions   | 4              |
| 51<br>52<br>53                                     | Introduction        |             |  |                |
| 54<br>55<br>56<br>57<br>58                         | Problem formulation | <u>#3</u>   | Description and signifcance of the problem /<br>phenomenon studied: review of relevant theory and<br>empirical work; problem statement   | 7              |
| 59<br>60   | For                 | peer review | only - http://bmjopen.bmj.com/site/about/guidelines.xhtml  |                |

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| 1<br>2<br>3<br>4   | Purpose or research question                | <u>#4</u>            | Purpose of the study and specific objectives or questions  | 7  |
|--|---|----------------------|--|----|
| 5<br>6   | Methods                                     |                      |  |    |
| 7<br>8<br>9<br>10<br>11<br>21<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>21<br>22<br>32<br>42<br>52<br>62<br>7<br>8<br>9<br>30<br>132<br>33<br>44<br>53<br>67<br>8<br>9<br>40<br>41<br>22<br>31<br>45<br>67<br>89<br>30<br>132<br>33<br>45<br>67<br>89<br>40<br>41<br>22<br>34<br>55<br>67<br>89<br>60<br>51<br>22<br>34<br>55<br>67<br>89<br>60<br>11<br>22<br>34<br>55<br>67<br>89<br>60<br>11<br>22<br>34<br>55<br>67<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>33<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>33<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>33<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>33<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>33<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>55<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>55<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>55<br>56<br>77<br>89<br>60<br>11<br>22<br>34<br>55<br>56<br>77<br>89<br>60<br>11<br>22<br>3<br>56<br>77<br>89<br>56<br>77<br>89<br>60<br>11<br>20<br>11<br>20<br>11<br>20<br>11<br>20<br>120<br>120<br>120<br>12 | Qualitative approach and research paradigm  | <u>#5</u>            | Qualitative approach (e.g. ethnography, grounded<br>theory, case study, phenomenolgy, narrative research)<br>and guiding theory if appropriate; identifying the<br>research paradigm (e.g. postpositivist, constructivist /<br>interpretivist) is also recommended; rationale. The<br>rationale should briefly discuss the justification for<br>choosing that theory, approach, method or technique<br>rather than other options available; the assumptions<br>and limitations implicit in those choices and how those<br>choices influence study conclusions and transferability.<br>As appropriate the rationale for several items might be<br>discussed together. | 9  |
|  | Researcher characteristics and reflexivity  | <u>#6</u>            | Researchers' characteristics that may influence the<br>research, including personal attributes, qualifications /<br>experience, relationship with participants, assumptions<br>and / or presuppositions; potential or actual interaction<br>between researchers' characteristics and the research<br>questions, approach, methods, results and / or<br>transferability   | 10 |
|  | Context                                     | <u>#7</u>            | Setting / site and salient contextual factors; rationale   | 10 |
|  | Sampling strategy                           | <u>#8</u>            | How and why research participants, documents, or<br>events were selected; criteria for deciding when no<br>further sampling was necessary (e.g. sampling<br>saturation); rationale   | 9  |
|  | Ethical issues pertaining to human subjects | <u>#9</u>            | Documentation of approval by an appropriate ethics<br>review board and participant consent, or explanation for<br>lack thereof; other confidentiality and data security<br>issues  | 11 |
|  | Data collection methods                     | <u>#10</u><br>review | Types of data collected; details of data collection<br>procedures including (as appropriate) start and stop<br>dates of data collection and analysis, iterative process,<br>triangulation of sources / methods, and modification of<br>only - http://bmjopen.bmj.com/site/about/guidelines.xhtml   | 10 |

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| 1<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>11<br>2<br>3<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>1<br>2<br>2<br>3<br>2<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>1<br>2<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>1<br>2<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>1<br>2<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>1<br>2<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>1<br>2<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>1<br>2<br>3<br>3<br>4<br>5<br>3<br>6<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>2<br>3<br>2<br>4<br>5<br>6<br>7<br>8<br>9<br>30<br>1<br>2<br>3<br>3<br>4<br>5<br>3<br>6<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>2<br>3<br>3<br>4<br>5<br>3<br>6<br>7<br>8<br>9<br>0<br>1<br>2<br>3<br>3<br>4<br>5<br>3<br>6<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>2<br>3<br>3<br>4<br>5<br>3<br>3<br>3<br>3<br>3<br>4<br>5<br>3<br>6<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>4<br>5<br>3<br>6<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>4<br>5<br>3<br>6<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>4<br>5<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>4<br>5<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>4<br>5<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>7<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>7<br>3<br>8<br>9<br>9<br>0<br>1<br>2<br>3<br>3<br>3<br>3<br>3<br>7<br>8<br>9<br>2<br>3<br>3<br>7<br>8<br>9<br>8<br>9<br>10<br>1<br>2<br>1<br>2<br>3<br>1<br>2<br>8<br>9<br>1<br>2<br>1<br>2<br>8<br>1<br>2<br>1<br>2<br>1<br>2<br>1<br>2<br>1<br>2<br>1<br>2<br>1<br>2<br>1 |   |            | procedures in response to evolving study findings; rationale  |    |  |  |
|  | Data collection<br>instruments and<br>technologies  | <u>#11</u> | Description of instruments (e.g. interview guides,<br>questionnaires) and devices (e.g. audio recorders)<br>used for data collection; if / how the instruments(s)<br>changed over the course of the study   | 11 |  |  |
|  | Units of study  | <u>#12</u> | Number and relevant characteristics of participants,<br>documents, or events included in the study; level of<br>participation (could be reported in results)  | 16 |  |  |
|  | Data processing   | <u>#13</u> | Methods for processing data prior to and during<br>analysis, including transcription, data entry, data<br>management and security, verification of data integrity,<br>data coding, and anonymisation / deidentification of<br>excerpts  | 11 |  |  |
|  | Data analysis   | <u>#14</u> | Process by which inferences, themes, etc. were<br>identified and developed, including the researchers<br>involved in data analysis; usually references a specific<br>paradigm or approach; rationale  | 11 |  |  |
|  | Techniques to enhance<br>trustworthiness  | <u>#15</u> | Techniques to enhance trustworthiness and credibility<br>of data analysis (e.g. member checking, audit trail,<br>triangulation); rationale  | 11 |  |  |
|  | Results/findings  |            |   |    |  |  |
|  | Syntheses and interpretation  | <u>#16</u> | Main findings (e.g. interpretations, inferences, and themes); might include development of a theory or model, or integration with prior research or theory  | 16 |  |  |
|  | Links to empirical data   | <u>#17</u> | Evidence (e.g. quotes, field notes, text excerpts, photographs) to substantiate analytic findings   | 16 |  |  |
| 48<br>49   | Discussion  |            |   |    |  |  |
| 50<br>51<br>52<br>53<br>54<br>55<br>56<br>57<br>58<br>59   | Intergration with prior<br>work, implications,<br>transferability and<br>contribution(s) to the field | <u>#18</u> | Short summary of main findings; explanation of how<br>findings and conclusions connect to, support, elaborate<br>on, or challenge conclusions of earlier scholarship;<br>discussion of scope of application / generalizability;<br>identification of unique contributions(s) to scholarship in<br>a discipline or field | 29 |  |  |
| 60   | For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml                             |            |   |    |  |  |

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| 1<br>2  | Limitations                | <u>#19</u> | Trustworthiness and limitations of findings  | 30 |
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|   | Conflicts of interest      | <u>#20</u> | Potential sources of influence of perceived influence on study conduct and conclusions; how these were managed   | 3  |
|   | Funding                    | <u>#21</u> | Sources of funding and other support; role of funders in data collection, interpretation and reporting   | 2  |
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| 50<br>51<br>52<br>53<br>54<br>55<br>56  |                            |            |  |    |
| 57<br>58<br>59<br>60  | For peer                   | review     | only - http://bmjopen.bmj.com/site/about/guidelines.xhtml  |    |