PLOS ONE

Post-Traumatic Stress among New Zealand military personnel: a cross sectional study --Manuscript Draft--

Manuscript Number:	PONE-D-19-23666
Article Type:	Research Article
Full Title:	Post-Traumatic Stress among New Zealand military personnel: a cross sectional study
Short Title:	PTSD in New Zealand Veterans
Corresponding Author:	David McBride University of Otago Dunedin, Otago NEW ZEALAND
Keywords:	Military Personnel; Post-Traumatic Stress (PTS); Psychological Flexibility; Sleep; Trauma
Abstract:	Background
	Post-traumatic stress (PTS) is prevalent among military personnel. Knowledge of the protective and harmful factors associated with PTS in this population may assist with identifying personnel who would benefit from increased or targeted support.
	AIMS
	To examine factors associated with PTS among New Zealand military personnel.
	Methods
	For this cross-sectional study, currently serving and retired military personnel were invited to complete a questionnaire. The questionnaire included a measure of PTS (the Military Post-traumatic Stress Disorder Checklist; PCL-M), where scores ≥30 indicate the experience of significant PTS symptoms and scores ≥45 indicate a presumptive clinical diagnosis of post-traumatic stress. Potentially protective and harmful factors associated with PTS were examined using logistic regression modelling.
	Results
	1817 military personnel completed the questionnaire. PCL-M scores were \geq 30 for 549 (30%) participants and \geq 45 for 179 (10%) participants. Exposure to trauma was most strongly associated with PCL-M scores \geq 45 (OR 3.34, 95% CI 1.54-7.27, p<0.01). Higher PCL-M scores were also associated with older age, male sex, and Māori ethnicity (New Zealand's indigenous population). Factors associated with lower PCL-M scores were greater length of service, psychological flexibility, and better quality sleep.
	Conclusions
	PTS was found to be prevalent among New Zealand military personnel. The experience of trauma was strongly associated with PTS. However, factors such as psychological flexibility (the ability to adapt to changes in circumstances) and good sleep were protective, suggesting that these factors could be key targets for interventions designed to reduce PTS among military personnel in New Zealand.
Order of Authors:	Amy Richardson
	Gagan Gurung
	Ari Samaranayaka
	Dianne Gardner
	Brandon deGraaf
	Emma H. Wyeth
	Sarah Derrett

	Daniel Shepherd
	David McBride
Opposed Reviewers:	
Additional Information:	
Question	Response
Question Financial Disclosure Enter a financial disclosure statement that describes the sources of funding for the work included in this submission. Review the submission guidelines for detailed requirements. View published research articles from PLOS ONE for specific examples. This statement is required for submission and will appear in the published article if the submission is accepted. Please make sure it is accurate. Unfunded studies Enter: The author(s) received no specific funding for this work.	Response Authors with funding:DI, AR, AS Funders:Veterans Medical Research Trust Fund (No website) Lottery Health https://www.communitymatters.govt.nz/lottery-health-research/ The Royal New Zealand Returned and Services Association www.rsa.org.nz The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.
 Funded studies Enter a statement with the following details: Initials of the authors who received each award Grant numbers awarded to each author The full name of each funder URL of each funder website Did the sponsors or funders play any role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript? NO - Include this sentence at the end of your statement: <i>The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.</i> YES - Specify the role(s) played. 	
Competing Interests Use the instructions below to enter a competing interest statement for this submission. On behalf of all authors, disclose any <u>competing interests</u> that could be perceived to bias this	The authors have declared that no competing interests exist.

work—acknowledging all financial support and any other relevant financial or non- financial competing interests.	
This statement will appear in the published article if the submission is accepted. Please make sure it is accurate. View published research articles from <u>PLOS ONE</u> for specific examples.	
NO authors have competing interests	
Enter: The authors have declared that no competing interests exist.	
Authors with competing interests	
Enter competing interest details beginning with this statement:	
I have read the journal's policy and the authors of this manuscript have the following competing interests: [insert competing interests here]	
* human at	
* typeset Ethics Statement	Southern Health and Disability Ethics Committee of New Zealand (15/STH/40/AM02).
 * typeset Ethics Statement Enter an ethics statement for this submission. This statement is required if the study involved: Human participants Human specimens or tissue 	Southern Health and Disability Ethics Committee of New Zealand (15/STH/40/AM02).
 * typeset Ethics Statement Enter an ethics statement for this submission. This statement is required if the study involved: Human participants Human specimens or tissue Vertebrate animals or cephalopods Vertebrate embryos or tissues 	Southern Health and Disability Ethics Committee of New Zealand (15/STH/40/AM02).
 * typeset Ethics Statement Enter an ethics statement for this submission. This statement is required if the study involved: Human participants Human specimens or tissue Vertebrate animals or cephalopods Vertebrate embryos or tissues Field research 	Southern Health and Disability Ethics Committee of New Zealand (15/STH/40/AM02).
 * typeset Ethics Statement Enter an ethics statement for this submission. This statement is required if the study involved: Human participants Human specimens or tissue Vertebrate animals or cephalopods Vertebrate embryos or tissues Field research Write "N/A" if the submission does not require an ethics statement. 	Southern Health and Disability Ethics Committee of New Zealand (15/STH/40/AM02).
 * typeset Ethics Statement Enter an ethics statement for this submission. This statement is required if the study involved: Human participants Human specimens or tissue Vertebrate animals or cephalopods Vertebrate embryos or tissues Field research Write "N/A" if the submission does not require an ethics statement. General guidance is provided below. Consult the <u>submission guidelines</u> for detailed instructions. Make sure that all information entered here is included in the Methods section of the manuscript. 	Southern Health and Disability Ethics Committee of New Zealand (15/STH/40/AM02).

Format for specific study types

Human Subject Research (involving human participants and/or tissue)

- Give the name of the institutional review board or ethics committee that approved the study
- Include the approval number and/or a statement indicating approval of this research
- Indicate the form of consent obtained (written/oral) or the reason that consent was not obtained (e.g. the data were analyzed anonymously)

Animal Research (involving vertebrate

animals, embryos or tissues)

- Provide the name of the Institutional Animal Care and Use Committee (IACUC) or other relevant ethics board that reviewed the study protocol, and indicate whether they approved this research or granted a formal waiver of ethical approval
- Include an approval number if one was obtained
- If the study involved *non-human primates*, add *additional details* about animal welfare and steps taken to ameliorate suffering
- If anesthesia, euthanasia, or any kind of animal sacrifice is part of the study, include briefly which substances and/or methods were applied

Field Research

Include the following details if this study involves the collection of plant, animal, or other materials from a natural setting:

- · Field permit number
- Name of the institution or relevant body that granted permission

Data Availability

No - some restrictions will apply

Authors are required to make all data underlying the findings described fully available, without restriction, and from the time of publication. PLOS allows rare exceptions to address legal and ethical concerns. See the <u>PLOS Data Policy</u> and FAQ for detailed information.

A Data Availability Statement describing where the data can be found is required at submission. Your answers to this question constitute the Data Availability Statement and will be published in the article , if accepted.	
Important: Stating 'data available on request from the author' is not sufficient. If your data are only available upon request, select 'No' for the first question and explain your exceptional situation in the text box.	
Do the authors confirm that all data underlying the findings described in their manuscript are fully available without restriction?	
Describe where the data may be found in full sentences. If you are copying our sample text, replace any instances of XXX with the appropriate details.	All relevant data are within the manuscript and its Supporting Information files.
 If the data are held or will be held in a public repository, include URLs, accession numbers or DOIs. If this information will only be available after acceptance, indicate this by ticking the box below. For example: <i>All XXX files are available from the XXX database (accession number(s) XXX, XXX.)</i>. If the data are all contained within the manuscript and/or Supporting Information files, enter the following: <i>All relevant data are within the manuscript and its Supporting Information files.</i> If neither of these applies but you are able to provide details of access elsewhere, with or without limitations, please do so. For example: Data cannot be shared publicly because of [XXX]. Data are available from the XXX Institutional Data Access / Ethics 	
Committee (contact via XXX) for researchers who meet the criteria for access to confidential data. The data underlying the results	
presented in the study are available from (include the name of the third party	

 and contact information or URL). This text is appropriate if the data are owned by a third party and authors do not have permission to share the data. 	
* typeset	
Additional data availability information:	Tick here if the URLs/accession numbers/DOIs will be available only after acceptance of the manuscript for publication so that we can ensure their inclusion before publication.



22 August 2019. Preventive and Social Medicine, University of Otago.

Dear Editors,

Kia ora,

We are submitting this article to PLoS One because it highlights risk and protective factors for post-traumatic stress, an important condition worldwide, and prevalent in our veteran community. Non-deployed veterans were included, so the risk factors include not only deployment related trauma, but trauma from other life events in the military setting. We have found that good sleep and resilience are both protective, which facts will help with prevention and treatment.

Some previously published work has looked at these conditions but have not taken such a holistic view.

Coming from New Zealand, the population in this cross sectional study is small, but our response rate compares favourably with other veteran studies: they can be difficult to engage with. Our strength lies in having veterans on the team. We also include findings from our Māori indigenous population, relatively well represented in the sample.

We have not previously submitted it to PLOS or any other journal.

The appropriate Academic Editors would be:

Erin Bouldin

Ann Marie Cheney

Briony Hill or;

Tracey Weiland

We have no opposing reviewers.

Ngā mihi,

Land Mc Bride

Dave McBride on behalf of the team

Tel 64 3 479 7208=Fax 64 3 479 7298=Mobile 64 27 253 5451 Email david.mcbride@otago.ac.nz www.otago.ac.nz

Post-Traumatic Stress among New Zealand military personnel: a cross sectional study Amy Richardson (BA, PGDipSci, PhD),¹ Gagan Gurung (PhD),² Ari Samaranayaka (BSc, MPhil, PhD),³ Dianne Gardner (PhD),⁴ Brandon deGraaf (BSc),¹ Emma H. Wyeth (BSc(Hons), PhD),⁵ Sarah Derrett (BA, MPH, PhD),¹ Daniel Shepherd (BA, MSc, PhD)⁶, David McBride (MB BCh BAO, PhD)^{1*} ¹Injury Prevention Research Unit, Department of Preventive and Social Medicine, Dunedin School of Medicine, University of Otago, New Zealand ²Department of Preventive and Social Medicine, Dunedin School of Medicine, University of Otago, New Zealand ³Centre for Biostatistics, Division of Health Sciences, University of Otago, New Zealand ⁴School of Psychology, Massey University, New Zealand ⁵Ngāi Tahu Māori Health Research Unit, Department of Preventive and Social Medicine, Dunedin School of Medicine, University of Otago, New Zealand ⁶ Department of Psychology, Auckland University of Technology, New Zealand Corresponding Author: Email: david.mcbride@otago.ac.nz Phone: 64 3 479 7208

36 Abstract

Background: Post-traumatic stress (PTS) is prevalent among military personnel. Knowledge of the
 protective and harmful factors associated with PTS in this population may assist with identifying
 personnel who would benefit from increased or targeted support.

40 Aims: To examine factors associated with PTS among New Zealand military personnel.

Methods: For this cross-sectional study, currently serving and retired military personnel were invited
to complete a questionnaire. The questionnaire included a measure of PTS (the Military Posttraumatic Stress Disorder Checklist; PCL-M), where scores ≥30 indicate the experience of significant
PTS symptoms and scores ≥45 indicate a presumptive clinical diagnosis of post-traumatic stress.
Potentially protective and harmful factors associated with PTS were examined using logistic regression
modelling.

47 Results: 1817 military personnel completed the questionnaire. PCL-M scores were \geq 30 for 549 (30%) 48 participants and \geq 45 for 179 (10%) participants. Exposure to trauma was most strongly associated with 49 PCL-M scores \geq 45 (OR 3.34, 95% CI 1.54-7.27, *p*<0.01). Higher PCL-M scores were also associated with 50 older age, male sex, and Māori ethnicity (New Zealand's indigenous population). Factors associated 51 with lower PCL-M scores were greater length of service, psychological flexibility, and better quality 52 sleep.

Conclusions: PTS was found to be prevalent among New Zealand military personnel. The experience of trauma was strongly associated with PTS. However, factors such as psychological flexibility (the ability to adapt to changes in circumstances) and good sleep were protective, suggesting that these factors could be key targets for interventions designed to reduce PTS among military personnel in New Zealand.

58 Key words

59 Military Personnel, Post-Traumatic Stress (PTS), Psychological Flexibility, Sleep, Trauma

60 Introduction

61 In New Zealand, the Defence Force has three primary personnel groups: the Regular Force, Reserve 62 Forces, and Civilians (including those employed by the Defence Force and working overseas) (1). These military personnel are responsible for contributing to the defence, security and wellbeing of the 63 64 country. Research from other countries suggests that while many military personnel cope well with 65 their roles (2), they are exposed to higher rates of both military and non-military trauma compared 66 to the general population (3-5), and are at greater risk of experiencing post-traumatic stress (PTS) (6). 67 An elevated risk has been identified among military personnel even during periods of low deployment 68 activity (7-9).

69 Two critical events are commonly described in the military 'life course' – achievement of veteran 70 status through operational deployment, and transition from military to civilian life. Operational 71 deployment and witnessing atrocities has been associated with PTS (10, 11), while the period of 72 transition from the military to civilian life has been found to confer an elevated risk of suicide, 73 regardless of deployment history (12). A lack of support during this period (including social and family 74 support) serves to amplify suicide risk, and has been found to contribute to the experience of PTS (13). 75 While the critical events described above have been identified across diverse military samples (13), 76 estimates of the prevalence of PTS vary dramatically both across and within countries. For example, the prevalence of PTS identified within the United Kingdom Armed Forces is significantly lower than 77 78 that reported for military personnel serving in the United States, Australia, and Canada (14). Although 79 differences are partially attributable to variation in sampling strategies, research methods, and 80 diagnostic thresholds, differences in exposure to risk factors are also likely to play a role (14). Research 81 in veteran populations suggests that factors that can negatively affect adaptation to deployment and 82 civilian transition include: female gender, ethnicity, high number of and longer duration deployments, 83 prior adverse life events, pre-existing psychological disorders, trauma exposure, and alcohol misuse 84 (13, 15-18). Conversely, sleep (19, 20), social support (21), and psychological flexibility (the ability to fully experience thoughts and feelings and flexibly choose behaviour that is in line with personal goals
and values) (22) have potential to protect against poor mental health outcomes, including PTS.

87 A significant number of New Zealand military personnel have been exposed to high levels of combat-88 related trauma and others have been deployed on peace-keeping missions (23). Moral injury is one stressor associated with peace-keeping missions, defined as "perpetrating, failing to prevent, bearing" 89 90 witness to, or learning about acts that transgress deeply held moral beliefs and expectations" (24). 91 Other stressors include the restrictive rules of engagement (25), monotony and boredom, personnel 92 encounter difficulties, and separation from family (26). Pre-deployment and follow-up stages are also 93 important to consider; these were the most stressful periods, and had the greatest effect on mental 94 and physical health, in a longitudinal study of 277 New Zealand military personnel deployed on 95 peacekeeping duties (23).

96 While 10% of a community sample of New Zealand Vietnam War veterans were found to experience 97 PTS (27), the prevalence of PTS among New Zealand military personnel more generally has not 98 previously been reported. One reason for this is lower response rates to post-deployment screens for 99 PTS (28). Research is also yet to identify the key harmful and protective factors associated with PTS in 100 this population. This information is important to detect individuals who would benefit from targeted 101 support following transition from the military, in order to reduce their risk of experiencing PTS. The 102 aims of this cross-sectional study were to: 1) determine the prevalence of PTS (symptomology and 103 presumptive cases), and 2) identify protective and harmful factors most strongly associated with PTS in New Zealand military personnel. 104

105 Materials and Methods

106 **Sample**

An online or paper questionnaire was available during June to December 2018 for completion by all
 currently serving and retired military personnel living in New Zealand. We attempted to minimise bias
 through an intensive recruitment campaign. In mid-June, a link to the online questionnaire was sent
 by email to all currently serving regular and reserve New Zealand Defence Force (NZDF) members who

111 were holders of the New Zealand Operational Service Medal (NZOSM), numbering 3874 individuals at 112 that time. A global message and a link to the questionnaire was also presented on the NZDF 'intranet 113 landing page', the webpage from which all currently serving personnel can access relevant work-114 related content, tools, and resources. Retired military personnel were recruited through paper 115 questionnaires and posters distributed to the 43 local social clubs of the Royal New Zealand Returned 116 and Services Association (RSA) identified by the RSA national office to be 'veteran active.' 117 Announcements were also made on military social media pages, and both retired and currently serving 118 personnel were invited to participate through an announcement on the No Duff Charitable Trust 119 website; No Duff is a registered charity committed to providing confidential support for military 120 personnel and their families in New Zealand (29).

Procedure 121

122 Military personnel interested in taking part in the study were directed to visit a website where they 123 were required to enter their name and email address. This resulted in a personalised link being sent 124 to their email address from which they could complete an online secure version of the questionnaire. 125 All serving military personnel were informed that they could request a paper version of the survey if 126 this was their personal preference. The study received approval from the Southern Health and 127 Disability Ethics Committee of New Zealand (15/STH/40/AM02). We consulted with the Ngāi Tahu 128 Research Consultation Committee in order to assess the importance of the project to Māori, New 129 Zealand's indigenous population. For 6 predictor variables in a multivariate model, we needed at least 130 600 cases.

Measures 131

132 The questionnaire included standardised measures of PTS (outcome), and potentially harmful and 133 protective exposures. Potentially adverse exposures examined included trauma, general distress, and 134 hazardous drinking. Protective exposures included social support, sleep, and psychological flexibility. 135 Symptoms of PTS were assessed using the post-traumatic stress disorder (PTSD) checklist – military 136

version (PCL-M). The PCL-M includes 17 items that ask about symptoms related to stressful military

experiences, with response options ranging from 1 'Not at all' to 5 'Extremely' (30). A total symptom
severity score is calculated by summing responses to each option. While scores of 30-35 indicate
significant PTS symptomology and probable cases of PTSD, scores of ≥45 indicate a presumptive PTSD
diagnosis (30). The PCL-M has been identified as a widely used and well-validated measure (31).

The Brief Trauma Questionnaire (BTQ) was used to assess exposure to trauma. The BTQ consists of 10 items that screen for a range of different traumatic experiences [31]. Exposure to an event is scored as positive if a respondent says 'yes' to indicate life threat or serious injury from combat trauma, a serious car accident, a natural disaster, life-threatening illness, and physical or sexual abuse, or to indicate exposure to violent death (32). The BTQ is considered a reliable and valid measure to assess trauma exposure in defence force personnel (33).

Symptoms of distress were screened for using the General Health Questionnaire 12 (GHQ-12). This
measure includes 12 items with a four-point response scale (34). Items are summed to yield an overall
total score, with higher scores indicating greater distress (34).

The AUDIT-C is a 3-item measure that was used to identify potentially hazardous drinking (35). Each item is answered using five response options, with possible total scores ranging from 0 to 12 (35). A total score of \geq 3 for women, and \geq 4 for men, was used to identify participants engaging in hazardous drinking. The AUDIT-C has been validated in veteran populations (36, 37).

The Social Provisions Scale (SPS) was used to examine participant perceptions of the availability of different dimensions of social support. This theory-based social support instrument includes 24 items distributed across six subscales: reliable alliance, attachment, nurturance, social integration, reassurance of worth, and guidance (38). Each item is rated on a 4-point Likert scale with responses ranging from 'strongly disagree' to 'strongly agree' (38). After reversal of negatively worded items a total score was computed by summing all items. Higher scores (including total scores and individual subscale scores) indicate higher levels of perceived social support. The construct validity, internal 161 consistency, and test-retest reliability of the measure has been established across diverse populations162 (38, 39).

To screen for insomnia disorder based on DSM-5 criteria we used the 8-item Sleep Condition Indicator (SCI) (40). All items were scored on a four point scale (0 to 4), with possible summed scores on this measure range from 0 to 32; scores were then re-scaled to a 0 to 10 scale (40). Higher scores are indicative of better sleep.

To evaluate psychological flexibility, the 10-item Acceptance and Action Questionnaire II (AAQ-II) was used (41). After reversing negatively worded items, the items of the scale were summed to obtain a total score (possible range 10 to 70), with higher scores indicative of greater psychological flexibility (less experiential avoidance) (41).

The questionnaire also included a series of sociodemographic questions (gender, ethnicity, marital status, education level, employment status) and questions about service history, rank, and deployments.

174 **Analyses**

175 Statistical analyses were completed using Stata 15 software (42). First, descriptive statistics were used 176 to describe the demographic characteristics of participants. Next, exploratory analyses investigated univariate associations between demographic, hazardous and protective factors, and the PTS 177 178 outcomes (PCL-M \geq 30 and PCL-M \geq 45), with odds ratios (ORs) and 95% confidence intervals (CIs) 179 estimated using logistic regression. Following this, multivariable logistic regression (adjusted for age, 180 sex, service years, and deployment status) was performed to identify exposures associated with PTS 181 using a backward elimination process; variables with a *p*-value >0.10 were sequentially dropped from 182 the model. Missing data was addressed using developer recommendations or previous approaches 183 for each measure. For example, in instances where one item was missing from the PCL-M the mean 184 score was imputed; if more than one item was missing on the PCL-M the case was excluded from 185 analyses (43). Only participants with complete data were included in the multivariable analyses.

186 **Results**

- 187 A total of 1817 military personnel completed the questionnaire; 90 of the participants completed a
- paper version. Among participants, 549 (30%) reported PCL-M scores of ≥30 indicating significant PTS
- 189 symptomology (probable PTSD), and 179 (10%) reported PCL-M scores of \geq 45, indicative of
- 190 presumptive clinical PTS.
- 191 The demographic characteristics of participants categorised according to the experience of probable
- 192 PTS (scores \geq 30) are presented in Table 1.

Characteristic	Low PCL-M Score	High PCL-M Score		
	17-29 (<i>n</i> = 1268)	≥30 (<i>n</i> = 549)		
Age (years)				
20-29	124 (84%)	24 (16%)		
30-39	264 (75%)	86 (25%)		
40-49	327 (71%)	134 (29%)		
50-59	247 (69%)	111 (31%)		
60-69	176 (63%)	103 (37%)		
70+	127 (59%)	89 (41%)		
missing	3 (60%)	2 (40%)		
Sex				
Female	183 (77%)	54 (23%)		
Male	1065 (69%)	488 (31%)		
missing	20 (74%)	7 (26%)		
Ethnicity				
NZ European	997 (70%)	418 (30%)		
Māori	177 (69%)	79 (31%)		
Other	94 (64%)	52 (36%)		
Service Years				
0-9	213 (62%)	132 (38%)		
10-19	350 (70%)	153 (30%)		
20-29	390 (73%)	144 (27%)		
30-39	181 (69%)	80 (31%)		
40-49	43 (65%)	23 (35%)		
missing	91 (84%)	17 (16%)		
Deployed				
No	186 (67%)	92 (33%)		
Yes	1012 (71%)	415 (29%)		
missing	70 (63%)	42 (37%)		

Table 1. Demographic characteristics of participants according to PCL-M scores.

195

196 The median age of participants was 49.1 years (interquartile range = 38.7 – 61.1 years). The majority

197 were male (87%) and were of New Zealand European ethnicity (78%); 14% of participants identified

as Māori, similar to that of the NZDF as a whole, reported as 15%. Most participants had served in the

199 military for at least 10 years (80%) and had been deployed at least once (84%).

200 Descriptive statistics for exposures (harmful and protective factors) treated as continuous variables in

the analyses are presented in Table 2.

203 **Table 2.** Descriptive statistics for continuous variables used in logistic regression analyses.

Exposure	Mean	Standard Deviation	Median	Inter-quartile Range
Distress (GHQ-12) n = 1735	11.9	5.1	11	8 – 14
Social Support (SPS) n = 1778	75.8	10.8	75	40 – 96
Psychological Flexibility (AAQ-II) n = 1734	52.3	10.1	54	46 – 60
Sleep (SCI) n = 1711	5.9	2.2	5.6	4.4 - 7.8

204

Of the 1656 participants who completed the AUDIT-C, 898 (54%) reported hazardous drinking. Of the 1715 participants who completed the BTQ, the majority (*n* = 1187, 69%) had been exposed to trauma. 1006 (59%) had served in a war zone and 736 (73%) of these individuals reported that this presented a threat to life and/or a threat of serious injury. The proportion of participants experiencing other traumatic events, including childhood physical and sexual abuse, was also high (35% and 16% respectively).

211 Univariate Analyses

Results of univariate analyses describing associations between exposure variables (demographic, risk, 212 213 and protective factors) and PTS are presented in Table 3, showing both the odds of experiencing 214 symptoms of PTS (PCL-M scores \geq 30) and the odds of experiencing clinically relevant PTS (scores \geq 45). 215 With respect to PTS symptomology, older age, male sex, higher distress, and exposure to trauma were 216 significantly associated with increased likelihood of PTS symptoms. In contrast, increased number of 217 years in service, social support, psychological flexibility, and sleep were significantly associated with 218 lower odds of experiencing PTS symptoms. The same pattern of associations was also found for 219 clinically relevant PTS, in addition to greater odds of clinical PTS among individuals identifying as Māori 220 compared to those of NZ European ethnicity.

Characteristic		PCL-M Score ≥30				PCL-M Score ≥45			
	OR	95% CI for OR	p	n	OR	95% CI for OR	р	n	
Age (Years)*	1.02	1.01, 1.03	<0.01	1812	1.03	1.02, 1.05	< 0.01	1812	
Sex									
Female	Ref				Ref				
Male	1.55	1.13, 2.14	0.01	1790	2.03	1.14, 3.64	0.02	1790	
Ethnicity									
NZ European	Ref				Ref				
Māori	1.06	0.80, 1.42	0.67		1.78	1.21, 2.63	<0.01		
Other	1.32	0.92, 1.89	0.13	1817	1.17	0.67, 2.06	0.58	1817	
Service Years*	0.99	0.98, 1.00	0.03	1709	0.97	0.96, 0.99	<0.01	1709	
Deployed									
No	Ref				Ref				
Yes	0.83	0.63, 1.10	0.18	1705	0.76	0.50, 1.13	0.18	1705	
Distress*	1.27	1.23, 1.30	<0.01	1735	1.21	1.18, 1.25	<0.01	1735	
Social Support*	0.91	0.90, 0.93	<0.01	1778	0.91	0.90, 0.93	<0.01	1778	
Psychological Flexibility*	0.84	0.82, 0.85	<0.01	1734	0.84	0.82, 0.86	<0.01	1734	
Sleep*	0.53	0.49, 0.57	<0.01	1711	0.41	0.36, 0.46	<0.01	1711	
Hazardous Drinking									
No	Ref				Ref				
Yes	1.06	0.86, 1.31	0.58	1656	0.81	0.58, 1.12	0.20	1656	
Trauma Exposure									
No	Ref				Ref				
Yes	4.04	3.05, 5.35	< 0.01	1715	7.11	3.82, 13.23	<0.01	1715	

Table 3. Univariate associations between exposure variables and elevated PCL-M scores (≥30 and ≥45 respectively).

Note. *Continuous variable (no reference group).

223 Multivariate Analyses

Results of multivariate analyses describing associations between exposure variables and PTS, after 224 225 adjustment for age, sex, service years and deployment status, are presented in Table 4, including for 226 odds of experiencing PTS symptomology (PCL-M scores ≥30) and for odds of clinically relevant PTS 227 (scores \geq 45). With respect to PTS symptomology, older age, male sex, higher distress, and exposure to trauma were significantly associated with an increased likelihood of PTS. Increased number of years 228 229 in service, psychological flexibility, and sleep were significantly associated with decreased odds of 230 experiencing PTS symptoms; social support was no longer significantly associated with this outcome. 231 A single unit increase in sleep score corresponded to a 30% reduction in odds of experiencing 232 significant PTS symptoms. The same pattern of results was found for clinically-relevant PTS, with the 233 exception of higher distress, which was not significantly associated. In addition, Māori participants 234 were found to have greater odds of experiencing clinically relevant PTS when compared to New 235 Zealand Europeans.

Characteristic		PCL-M Score ≥30, n = 1532			PCL-M Score ≥45, n = 1567		
	OR	95% CI for OR	p	OR	95% CI for OR	p	
Age (Years)*	1.02	1.01, 1.03	<0.01	1.04	1.03, 1.06	<0.01	
Sex							
Female	Ref			Ref			
Male	1.84	1.14, 2.98	0.01	1.69	0.74, 3.86	0.21	
Ethnicity							
NZ European				Ref			
Māori				2.80	1.54, 5.10	<0.01	
Other				0.97	0.40, 2.31	0.94	
Service Years*	0.98	0.97, 1.00	0.01	0.97	0.95, 0.99	<0.01	
Deployed							
No	Ref			Ref			
Yes	1.31	0.85, 2.00	0.22	1.54	0.84, 2.81	0.16	
Distress*	1.07	1.03, 1.11	< 0.01				
Psychological Flexibility*	0.87	0.85, 0.89	< 0.01	0.87	0.85, 0.90	<0.01	
Sleep*	0.70	0.64, 0.77	<0.01	0.56	0.49, 0.66	<0.01	
Hazardous Drinking							
No	Ref						
Yes	1.11	0.96, 1.77	0.08				
Trauma Exposure							
No	Ref			Ref			
Yes	3.03	2.07, 4.41	< 0.01	3.34	1.54, 7.27	<0.01	

Table 4. Multivariate associations between exposure variables and elevated PTSD (scores \geq 30 and scores \geq 45).

237 *Note.* Variables with a *p*-value less than 0.10 after adjustment for age, sex, service years, and deployment status are included in the model. *Continuous

variable (no reference group).

239 **Discussion**

This cross-sectional study identified a high prevalence of PTS in a large sample of currently serving and retired New Zealand military personnel. Thirty percent of participants reported experiencing probable PTS and 10% were identified as having clinically relevant PTS. These findings are similar to those reported in an earlier study, which found evidence of PTS among 10% of New Zealand Vietnam war veterans (27), indicating that it is not only retired veterans who are at risk. Our results highlight that support to deal with PTS is needed for a large number of New Zealanders who are serving, or have served, in the military.

Strengths of this study include the large sample, and the inclusion of those who have never been deployed. Our study also serves to provide a snapshot of New Zealand military personnel, for which the total number of those who have served and who are currently serving is unknown. Although the number of individuals in the sample who had never been deployed was small, our findings suggest that these individuals are also at risk of PTS. Evidence that personnel who have never deployed are at greater risk of PTS than the general population is growing (9, 44). It is clear that factors other than deployment have an important role to play in the experience of PTS among military personnel.

254 At the time the questionnaire was distributed there were 4822 serving veterans in the NZDF, 1038 of 255 whom were reservists and unlikely to receive the email invitation. As the majority of questionnaires 256 were completed by currently serving personnel, the response rate would have been in the order of 257 30%. This gives rise to potential sampling bias. Military personnel with higher PTS may have been more 258 likely to participate, giving rise to inflated estimates of PTS prevalence. Conversely, our findings may 259 underestimate the prevalence of PTS in this population if those without PTS were more inclined to 260 participate. Another limitation of the study is the cross-sectional design which precludes the identification of cause and effect relationships between exposures and PTS. Additionally, although we 261 262 assessed and accounted for a range of known confounders, it is still possible some important

263 confounders were not assessed in the survey and may explain significant relationships between264 exposures and PTS.

265 It is unclear how generalisable the study findings are to countries outside of New Zealand, where the 266 characteristics of military personnel, deployment experiences, and post-deployment support services 267 are likely to differ (14). Nevertheless, health support for military personnel in New Zealand follows 268 that provided by American, Canadian, British, and Australian Defence Forces. Scores on the PCL-M 269 measure reported by our participants suggest that as many as 10% may have symptoms likely to result 270 in a clinical diagnosis of PTSD. This is in line with point prevalence estimates of combat-related PTSD 271 in US military veterans, ranging from approximately 2% to 17% (6). Summary estimates of PTSD 272 prevalence for military personnel and veterans from a number of countries range from 1.1% to 34.8% 273 (13). The prevalence of PTS identified in our study is higher than that documented among UK military 274 personnel, although this may be attributable to variation in sampling strategies and measures used 275 (45). Differences in PTS estimates may also be due to variation in socio-political and cultural factors 276 that vary across nations (6).

277 Trauma exposure was most strongly associated with odds of experiencing PTS symptomology and 278 clinically relevant PTS in the present study, and is a prerequisite for a DSM IV diagnosis of PTSD. 279 General distress was significantly associated with increased odds of PTS symptoms, although was not 280 significantly associated with odds of clinical PTS after adjustment for age, sex, service years, and 281 deployment status. This is consistent with findings from a meta-analysis of risk factors for combat-282 related PTS among military personnel and veterans, which did not identify general distress to be a 283 significant risk factor, although a history of prior psychological problems and trauma exposure were 284 (13).

285 Māori participants had greater odds of reporting clinical PTS than their New Zealand European 286 counterparts. Higher levels of PTS among Māori were also detected in a sample of 756 New Zealand 287 Vietnam War veterans, however, the effect of ethnicity on PTS was mediated by higher levels of

combat stressors experienced by Māori, including stressors related to combat exposure, rank, and
combat role (46).

290 Consistent with findings of a study examining predictors of persistent PTS in UK military personnel 291 (47), older age was significantly associated with increased odds of experiencing PTS. However, in 292 contrast to other studies examining PTS in military personnel, males were at greater risk of 293 experiencing PTS than females. It is important to note that other studies identifying females to be at 294 greater risk of PTS have focused on combat-related PTS (13), and our sample includes personnel who 295 never deployed.

296 Despite older age being associated with increased odds of PTS, a greater number of service years was 297 associated with reduced odds of PTS. This may reflect a resilience that develops over time among long-298 serving personnel, or may be due to individuals with PTS leaving military service earlier as has been 299 reported among UK Armed Forces Personnel (48). Sleep was also found to be protective against PTS. 300 Self-reported sleep problems have consistently been associated with the experience of PTS in veteran populations (49). Early intervention among military personnel experiencing sleep disturbance may 301 302 help to reduce PTS symptoms. An investigation of 44 military personnel who received cognitive 303 behavioural therapy for insomnia found that participants who experienced improved sleep quality 304 from pre- to post-treatment (n=28) had significant declines in depression and PTS symptoms (20). In 305 contrast, those whose sleep did not improve had no changes in their psychiatric symptoms, as well as 306 a reduction in health-related quality of life.

In the present study, psychological flexibility was associated with reduced odds of reporting PTS. However, it is important to interpret our findings with caution as there have been criticisms of the AAQ-II, with several researchers arguing that it may be measuring psychological distress rather than psychological inflexibility (50). Furthermore, numerous versions of the AAQ-II exist which can make it difficult to compare findings across studies. Despite few studies examining psychological flexibility among military personnel, techniques designed to increase psychological flexibility (such as

acceptance and commitment therapy) are being investigated as potential treatments for PTS in this
 population (51-53), and evidence for their effectiveness is emerging (54).

315 Conclusions

Knowledge of the harmful and protective factors associated with PTS may allow for early identification of military personnel who would benefit from targeted support to promote their wellbeing. Our findings suggest that traumatic exposure is most strongly associated with high levels of PTS, while good sleep and psychological flexibility are protective. As these protective factors are amenable to standardised measurement and modification, early detection could facilitate intervention. Future research is needed to identify whether relationships can be found longitudinally, which would provide further evidence regarding New Zealand military personnel most at risk of experiencing PTS.

323 Acknowledgements

- 324 The authors would like to thank all New Zealand military personnel who participated in this study.
- 325 The study was funded by the Veterans Medical Research Trust Fund, Lottery Health and the Royal New
- 326 Zealand Returned and Services Association.

327 **References**

- 328 1. New Zealand Defence Force. Personnel Summary: New Zealand Government; 2019. Available from:
- 329 http://www.nzdf.mil.nz/personnel-records/personnel-branch/.
- 2. Hunt EJF, Wessely S, Jones N, Rona RJ, Greenberg N. The mental health of the UK Armed Forces:
- where facts meet fiction. Eur J Psychotraumatol. 2014;5(1): 23617.
- 332 3. Stretch RH, Knudson KH, Durand D. Effects of premilitary and military trauma on the development
- of post-traumatic stress disorder symptoms in female and male active duty soldiers. Mil Med.
- 334 1998;163(7): 466-470.
- 4. Durand D, Knudson KH, Stretch RH. Psychological Health and Trauma in Male and Female Soldiers.
- 336 Mil Med. 1998;163(6): 363-367.

- 5. Afifi TO, Taillieu T, Zamorski MA, Turner S, Cheung K, Sareen J. Association of child abuse exposure
 with suicidal ideation, suicide plans, and suicide attempts in military personnel and the general
 population in Canada. JAMA Psychiatry. 2016;73(3): 229-238.
- 340 6. Richardson LK, Frueh BC, Acierno R. Prevalence estimates of combat-related post-traumatic stress
 341 disorder: critical review. Aust N Z J Psychiatry. 2010;44(1): 4-19.
- 342 7. Roux Cl, Stein DJ, Seedat S. Prevalence and characteristics of trauma and post-traumatic stress
 343 symptoms in operational members of the South African National Defence Force. Mil Med.
 344 2003;168(1): 71-75.
- 345 8. Jones M, Rona RJ, Hooper R, Wesseley S. The burden of psychological symptoms in UK Armed
 346 Forces. Occup Med. 2006;56(5): 322-328.
- 347 9. McKenzie DP, Ikin JF, McFarlane AC, Creamer M, Forbes AB, Kelsall HL, et al. Psychological health of
- Australian veterans of the 1991 Gulf War: an assessment using the SF-12, GHQ-12 and PCL-S. Psychol
 Med. 2004;34(8): 1419-1430.
- 10. Jones M, Sundin J, Goodwin L, Hull L, Fear NT, Wessely S, et al. What explains post-traumatic stress
- disorder (PTSD) in UK service personnel: deployment or something else? Psychol Med. 2012;43(8):
 1703-1712.
- 11. Sareen J, Cox BJ, Afifi TO, Stein MB, Belik S-L, Meadows G, et al. Combat and peacekeeping
 operations in relation to prevalence of mental disorders and perceived need for mental health care:
 findings from a large representative sample of military personnel. JAMA Psychiatry. 2007;64(7): 843852.
- 12. Pease JL, Billera M, Gerard G. Military culture and the transition to civilian life: suicide risk and
 other considerations. Social Work. 2015;61(1): 83-86.
- 359 13. Xue C, Ge Y, Tang B, Liu Y, Kang P, Wang M, et al. A Meta-Analysis of Risk Factors for Combat-
- Related PTSD among Military Personnel and Veterans. PLoS One. 2015;10(3): e0120270.

14. Yehuda R, Vermetten E, McFarlane AC, Lehrner A. PTSD in the military: special considerations for
understanding prevalence, pathophysiology and treatment following deployment. Eur J
Psychotraumatol. 2014;5(1): 25322.

364 15. Koenen KC, Stellman SD, Dohrenwend BP, Sommer Jr. JF, Stellman JM. The consistency of combat
 365 exposure reporting and course of PTSD in Vietnam War veterans. J Trauma Stress. 2007;20(1): 3-13.

366 16. Reger MA, Gahm GA, Swanson RD, Duma SJ. Association between number of deployments to Iraq

and mental health screening outcomes in US Army soldiers. J Clin Psychiatry. 2009;70(9): 1266-1272.

368 17. Jakupcak M, Tull MT, McDermott MJ, Kaysen D, Hunt S, Simpson T. PTSD symptom clusters in
 369 relationship to alcohol misuse among Iraq and Afghanistan war veterans seeking post-deployment VA

370 health care. Addict Behav. 2010;35(9): 840-843.

18. Iversen A, Waterdrinker A, Fear N, Greenberg N, Barker C, Hotopf M, et al. Factors associated with
heavy alcohol consumption in the U.K. Armed Forces: data from a health survey of Gulf, Bosnia, and
era Veterans. Mil Med. 2007;172(9): 956-961.

Wright KM, Britt TW, Bliese PD, Adler AB, Picchioni D, Moore D. Insomnia as predictor versus
outcome of PTSD and depression among Iraq combat veterans. J Clin Psychol. 2011;67(12): 1240-1258.
Rusch HL, Guardado P, Baxter T, Mysliwiec V, Gill JM. Improved sleep quality is associated with
reductions in depression and PTSD arousal symptoms and increases in IGF-1 concentrations. J Clin
Sleep Med. 2015;11(6): 615-623.

21. Pietrzak RH, Johnson DC, Goldstein MB, Malley JC, Southwick SM. Psychological resilience and
postdeployment social support protect against traumatic stress and depressive symptoms in soldiers
returning from Operations Enduring Freedom and Iraqi Freedom. Depress Anxiety. 2009;26(8): 745751.

22. Dutra SJ, Sadeh N. Psychological flexibility mitigates effects of PTSD symptoms and negative
 urgency on aggressive behavior in trauma-exposed veterans. Personality Disord. 2018;9(4): 315-23.

- 23. Chamberlain K, MacDonald C, Pereira-Laird J, Long N, Mirfin K. Mental health, physical health, and
 stressors reported by New Zealand Defence Force peacekeepers: a longitudinal study. Mil Med.
 1998;163(7): 477-481.
- 388 24. Litz BT, Stein N, Delaney E, Lebowitz L, Nash WP, Silva C, et al. Moral injury and moral repair in war
- veterans: A preliminary model and intervention strategy. Clin Psychol Rev. 2009;29(8): 695-706.
- 390 25. Litz BT, Orsillo SM, Friedman M, Ehlich P, et al. Posttraumatic stress disorder associated with
- 391 peacekeeping duty in Somalia for U.S. military personnel. Am J Psychiatry. 1997;154(2): 178-184.
- 26. Ritchie EC, Ruck DC, Anderson MW. The 528th combat stress control unit in Somalia in support of
 operation restore hope. Mil Med. 1994;159(5): 372-376.
- 27. Long N, MacDonald C, Chamberlain K. Prevalence of posttraumatic stress disorder, depression and
 anxiety in a community sample of New Zealand Vietnam War veterans. Aust N Z J Psychiatry.
 1996;30(2): 253-256.
- 397 28. Brounéus K, Wray M, Green P. Underestimating the burden for peacekeepers? Difficulty in
 398 determining psychological well-being following operational deployment with low response rates from
- 399 NZDF personnel. J Mil Veterans Health. 2015;23(2): 7-13.
- 400 29. No Duff Charitable Trust. No Duff Confidential help for veterans their families. 2019. Available
 401 from: <u>https://www.noduff.org/</u>.
- 402 30. U.S. Department of Veterans Affairs. Using the PTSD Checklist (PCL). Veterans Affairs National
 403 Center for PTSD; 2012.
- 404 31. Wilkins KC, Lang AJ, Norman SB. Synthesis of the psychometric properties of the PTSD checklist
 405 (PCL) military, civilian, and specific versions. Depress Anxiety. 2011;28(7): 596-606.
- 406 32. Schnurr PP, Spiro A, Vielhauer MJ, Findler MN, Hamblen JL. Trauma in the lives of older men:
- findings from the normative aging study. J Clin Geropsychol. 2002;8(3): 175-187.
- 408 33. Whealin JM, Batzer WB, Morgan CA, III, Detwiler HF, Jr., Schnurr PP, Friedman MJ. Cohesion,
- 409 burnout, and past trauma in tri-service medical and support personnel. Mil Med. 2007;172(3): 266-
- 410 272.

- 411 34. Goldberg D, Williams P. A user's guide to the General Health Questionnaire. Windsor, United
 412 Kingdom: NFER-Nelson; 1988.
- 413 35. Bush K, Kivlahan DR, McDonell MB, Fihn SD, Bradley KA. The AUDIT alcohol consumption questions
- 414 (AUDIT-C): an effective brief screening test for problem drinking. JAMA Intern Med. 1998;158(16):
 415 1789-1795.
- 416 36. Crawford EF, Fulton JJ, Swinkels CM, Beckham JC, Calhoun PS. Diagnostic efficiency of the AUDIT-
- C in U.S. veterans with military service since September 11, 2001. Drug Alcohol Depend. 2013;132(1):
 101-106.
- 419 37. Bradley KA, Bush KR, Epler AJ, Dobie DJ, Davis TM, Sporleder JL, et al. Two brief alcohol-screening
- 420 tests from the Alcohol Use Disorders Identification Test (AUDIT): validation in a female Veterans Affairs
- 421 patient population. JAMA Intern Med. 2003;163(7): 821-829.
- 38. Cutrona CE, Russell DW. The provisions of social relationships and adaptation to stress. Adv Pers
 Rel. 1987;1: 37-67.
- 424 39. Mancini JA, Blieszner R. Social provisions in adulthood: concept and measurement in close
 425 relationships. J Gerontol. 1992;47(1): P14-P20.
- 426 40. Espie CA, Kyle SD, Hames P, Gardani M, Fleming L, Cape J. The Sleep Condition Indicator: a clinical
- 427 screening tool to evaluate insomnia disorder. BMJ Open. 2014;4(3): e004183.
- 428 41. Bond FW, Hayes SC, Baer RA, Carpenter KM, Guenole N, Orcutt HK, et al. Preliminary psychometric
- 429 properties of the Acceptance and Action Questionnaire–II: a revised measure of psychological
- 430 inflexibility and experiential avoidance. Behav Therapy. 2011;42(4): 676-688.
- 431 42. StataCorp. Stata Statistical Software: Release 15. College Station, TX: StataCorp LLC; 2017.
- 432 43. Iwasa H, Suzuki Y, Shiga T, Maeda M, Yabe H, Yasumura S. Psychometric evaluation of the Japanese
- 433 version of the Posttraumatic Stress Disorder Checklist in community dwellers following the Fukushima
- 434 Daiichi nuclear power plant incident: the Fukushima health management survey. SAGE Open.
- 435 2016;6(2): 2158244016652444.

436 44. Hoge CW, Castro CA, Messer SC, McGurk D, Cotting DI, Koffman RL. Combat duty in Iraq and
437 Afghanistan, mental health problems, and barriers to care. New Eng J Med. 2004;351(1): 13-22.

438 45. Iversen AC, van Staden L, Hughes JH, Browne T, Hull L, Hall J, et al. The prevalence of common
439 mental disorders and PTSD in the UK military: using data from a clinical interview-based study. BMC
440 Psychiatry. 2009;9(1): 68.

441 46. MacDonald C, Chamberlain K, Long N. Race, combat, and PTSD in a community sample of New
442 Zealand Vietnam war veterans. J Traum Stress. 1997;10(1): 117-124.

443 47. Rona RJ, Jones M, Sundin J, Goodwin L, Hull L, Wessely S, et al. Predicting persistent posttraumatic

stress disorder (PTSD) in UK military personnel who served in Iraq: a longitudinal study. J Psychiat Res.

445 2012;46(9): 1191-1198.

446 48. Buckman JEJ, Forbes HJ, Clayton T, Jones M, Jones N, Greenberg N, et al. Early Service leavers: a

study of the factors associated with premature separation from the UK Armed Forces and the mental
health of those that leave early. Eur J Public Health. 2012;23(3): 410-415.

449 49. Baird T, McLeay S, Harvey W, Theal R, Law D, O'Sullivan R, et al. Sleep disturbances in Australian

- Vietnam veterans with and without posttraumatic stress disorder. J Clin Sleep Med. 2018;14(5): 745752.
- 452 50. Wolgast M. What does the Acceptance and Action Questionnaire (AAQ-II) really measure? Behav
 453 Ther. 2014;45(6): 831-839.

454 51. Vujanovic AA, Niles B, Pietrefesa A, Schmertz SK, Potter CM. Mindfulness in the treatment of

455 posttraumatic stress disorder among military veterans. Spiritual Clin Pract. 2013;1(S): 15-25.

456 52. King AP, Erickson TM, Giardino ND, Favorite T, Rauch SAM, Robinson E, et al. A pilot study of group

457 mindfulness-based cognitive therapy (MBCT) for combat veterans with posttraumatic stress disorder

458 (PTSD). Depress Anxiety. 2013;30(7): 638-645.

459 53. Casselman RB, Pemberton JR. ACT-based parenting group for veterans with PTSD: development

and preliminary outcomes. Am J Fam Ther. 2015;43(1): 57-66.

461 54. Boyd JE, Lanius RA, McKinnon MC. Mindfulness-based treatments for posttraumatic stress
462 disorder: a review of the treatment literature and neurobiological evidence. J Psychiatry Neurosci.
463 2018; 43(1): 7-25.

Strobe checklist

Click here to access/download Supporting Information Strobe checklist Richardson PTS in NZ.docx