Original Article



Preclinical Toxicology of rQNestin34.5v.2: An Oncolytic Herpes Virus with Transcriptional Regulation of the ICP34.5 Neurovirulence Gene

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rQNestin34.5v.2 is an oncolytic herpes simplex virus 1 (oHSV) that retains expression of the neurovirulent ICP34.5 gene under glioma-selective transcriptional regulation. To prepare an investigational new drug (IND) application, we performed toxicology and efficacy studies of rQNestin34.5v.2 in mice in the presence or absence of the immunomodulating drug cyclophosphamide (CPA). ICP34.5 allows HSV1 to survive interferon and improves viral replication by dephosphorylation of the eIF-2α translation factor. rQNestin34.5v.2 dephosphorylated eIF-2α in human glioma cells, but not in human normal cells, resulting in significantly higher cytotoxicity and viral replication in the former compared to the latter. In vivo toxicity of rQNestin34.5v.2 was compared with that of wild-type F strain in immunocompetent BALB/c mice and athymic mice by multiple routes of administration in the presence or absence of CPA. A likely no observed adverse effect level (NOAEL) dose for intracranial rQNestin34.5v.2 was estimated, justifying a phase 1 clinical trial in recurrent glioma patients (ClinicalTrials.gov: NCT03152318), after successful submission of an IND.

INTRODUCTION

Glioblastoma multiforme (GBM) (World Health Organization [WHO] grade III-IV) is one of the most aggressive types of cancer, with a median survival of approximately 15 months.^{1,2} When newly diagnosed, the first line of treatment consists of surgery followed by radiotherapy with concomitant temozolomide chemotherapy and then additional adjuvant temozolomide therapy.^{3,4} Additional adjuvant treatments such as tumor-treating fields, intratumoral chemotherapy wafers, and bevacizumab are also US Food and Drug Administration (FDA) approved. However, GBM almost always recurs within months. The absence of treatments that lead to reliable durable anticancer responses mandates the search for more effective agents. Oncolytic viruses (OVs) act via a multimodal mechanism of action: (1) they cause direct cytotoxicity by infecting tumor cells; (2) they biodistribute within the tumor mass, leading to more cytotoxicity; and (3) they evoke an immune response against tumor and viral antigens, which is thought to lead to lasting adaptive immunity.⁶⁻⁹ Oncolytic herpes simplex virus type 1 (oHSV) has been one of the most widely studied OVs: one type of oHSV has been FDA

approved for the treatment of melanoma.¹⁰ The HSV1 peptide ICP34.5 has pleiotropic functions, but importantly it has been shown to be HSV1's major neurovirulence factor in mice.^{11–14} Because of this safety concern, most oHSVs in clinical trials have been engineered to lack ICP34.5 function.^{13,15–21} However, some functions of the ICP34.5 protein benefit the viral life cycle, including evasion from interferon action and counteracting the protein kinase R (PKR) host defense mechanism that leads to translational shut-off by phosphorylation of eiF-2α.^{22–26} In fact, lack of ICP34.5 severely attenuates viral replicative ability.^{27,28} To counteract this effect, several oHSVs have been engineered with second-site mutations that complement this viral defect:²⁹ both the FDA-approved oHSV, talimogene laherparepvec (Imlygic),³⁰ and a second oHSV in clinical trials in Japan^{15,31} possess defects in the ICP47 gene shown to revert some of the ICP34.5 mutant phenotypes.

We have utilized a different strategy restoring one copy of ICP34.5 under the transcriptional control of the nestin promoter/enhancer element. 27,32 Nestin is overexpressed in a variety of cancers, including GBM. This oHSV was named rQNestin34.5.32 After mouse GBM efficacy studies showed the therapeutic anticancer potency of rQNestin34.5, we approached the FDA to discuss investigational new drug (IND)-enabling studies. From these discussions a new oHSV based on the rQNestin34.5 concept was engineered: rQNestin34.5v.2. The primary genotypic and phenotypic differences consist of a deletion of a fusion transcript made by sequences encoding for green fluorescent protein (GFP) linked to the carboxyl terminus of the ICP6 gene. In addition, we have shown that the immunomodulating drug cyclophosphamide (CPA) significantly enhanced oHSV replication and survival in tumors by limiting initial innate antiviral responses.^{33–35} The primary objective of the study was to find a dose of rQNestin34.5v.2 (with and without CPA) that led to no animal

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lethality and no or minimal neuropathologic findings. This would then define the human equivalent dose (HED) to start a phase 1 clinical trial. Herein, we report efficacy, toxicology, and biodistribution studies of rQNestin34.5v.2 with and without CPA in naive mice and mice bearing GBM that enabled us to define a dose of the agent that led to lethality and/or neuropathologic findings, thus allowing definition of a HED as the starting dose for a phase 1 human clinical trial in recurrent GBM.

RESULTS

Engineering rQNestin34.5v.2

Although we had initially reported on the anticancer efficacy of rQNestin34.5, ³² pre-IND discussion with the FDA resulted in modifying this oHSV to remove a fusion ICP6-GFP transcript. This transcript encoded for the enhanced GFP linked to the carboxyl terminus of ICP6. This carboxyl terminus has been linked to neuronal necroptosis and toxicity. ^{36,37} To engineer rQNestin34.5 lacking this transcript, we had to restart from completely new reagents (Figure S1). The generated rQnestin34.5v.2 was initially characterized by Southern blotting with the desired genetic identity (data not shown) and then sequenced for confirmation (data not shown).

rQNestin34.5v.2 Infection Suppressed Phosphorylation of eIF- 2α in a Glioma-Specific Manner

One of the host-immune evasion mechanisms used by HSV1 is the suppression of eIF-2α phosphorylation by ICP34.5.^{26,38} We sought to determine whether the ICP34.5-positive rQNestin34.5v.2 could suppress phosphorylation of eIF-2 α in a glioma-selective fashion. Four human glioma cell lines (U251, U87ΔEGFR, U138, Gli36-ΔEGFR), primary human glioma cells (OG02), and two primary human normal cells (human astrocytes [HAs], human umbilical vein endothelial cells [HUVECs]) were infected with rQNestin34.5v.2, rQNestin34.5v.1, the ICP34.5 null oncolytic strain rHsvQ1, and wild-type strain F at an MOI of 1. rQNestin34.5 v.2 and rQNestin34.5 suppressed phosphorylation of eIF-2α in glioma cell lines and primary glioma cells, but not in normal cells (Figure S2). The positive control (strain F) suppressed eIF-2α phosphorylation in all cell lines, whereas the negative control (ICP34.5 null mutant rHsvQ1) induced phosphorylation of eIF-2 α in all cell lines tested. These results demonstrated that the reinserted gene encoding for ICP34.5 in rQNestin34.5v.2 (as well as in rQNestin34.5v.1) suppressed phosphorylation of eIF- 2α in a glioma-specific manner.

rQNestin34.5v.2 Exhibits Selective Cytotoxicity and Replication toward Human Glioma Cells Compared to Normal Cells

We sought to determine whether rQNestin34.5v.2 exhibited gliomaspecific cytotoxicity and replication. First, three human glioma cell lines (U87, U87 Δ EGFR, U251), one patient-derived glioma cell (OG02), four primary human normal cells (astrocytes, pulmonary fibroblasts, smooth muscle cells, skeletal muscle cells), and primary mouse astrocytes were infected with rQNestin34.5v.2 at an MOI of 0.1. rQNestin34.5v.2 was cytotoxic for all glioma cells, with reduced cell survival to less than 20% of control (Figure S3A). Alternatively, no significant cytotoxicity was observed for human and mouse normal cells, with more than 80% of cells surviving at the end of a 72-h incubation period. We then tested the replication of rQNestin34.5v.2 against four established glioma cell lines (U251, Gli36, T98G, U87 Δ EGFR), three patient-derived glioma cells (G97, OG02, X12), and four primary human normal cells (astrocytes, pulmonary fibroblasts, smooth muscle cells, skeletal muscle cells). Whereas wild-type strain F showed high replication in all cell lines tested, rQNestin34.5v.2 and rHsvQ1 replicated in glioma cells with almost no replication in normal cells (Figure S3B). The maximal viral yields of rQNestin34.5v.2 in glioma cells were higher than those in normal cells by at least 2 orders of magnitude (≥ 10^3 plaque-forming units [PFU]/mL versus ≤ 10^1 PFU/mL). rQNestin34.5v.2 replicated to higher yields than did the ICP34.5 null control rHsvQ1 in glioma cells. These data thus showed that rQNestin34.5v.2 replicated to much higher levels and was more cytotoxic in glioma cells compared to normal cells.

Preliminary *In Vivo* Toxicity Studies of rQNestin34.5v.2 in Athymic and Immunocompetent Mice with or without CPA

To determine doses of rQNestin34.5v.2 to employ for a planned GLP (good laboratory practice) toxicology and biodistribution study (required for IND filing), we first performed a non-GLP dose-escalation study of intracerebral (i.c.) administration of rQNestin34.5v.2 into the brains of athymic mice at 8 weeks of age. In parallel, a second dose-escalation study was performed with pre-administered intraperitoneal CPA at doses of 300 mg/kg or 200 mg/kg. Intracranial injections in athymic mice brains at doses of rQNestin34.5v.2 of 1.2×10^4 PFU and up to 1.2×10^5 PFU were not associated with lethality throughout the 60-day observation (Table S1). At higher doses, lethality was encountered. Wild-type F strain injections were lethal in 100% of athymic mice at a dose of 10^4 PFU. Based on these preliminary findings, we thus selected a dose that was intermediate between 1.2×10^4 and 1.2×10^5 PFU (i.e., 4.2×10^4 PFU) for the GLP-grade toxicology studies.

When one dose of intraperitoneal CPA (300 mg/kg) was pre-administered, 10^3 PFU was the highest dose of intracerebrally administered rQNestin34.5v.2 that was non-lethal. A dose of 3×10^3 PFU led to 1/17 lethality, 4 days after agent injection. Neuropathologic analysis of this brain did not reveal evidence of HSV-induced encephalitis or neurotoxicity, and the reason for death remained unexplained (data not shown). A lower dose of CPA (200 mg/kg) allowed increase in the intracerebral virus dose to 10^4 PFU without lethality, while this same dose of virus with 300 mg/kg CPA led to lethality in 3/25 mice. Because the 200 mg/kg dose of CPA was found to be ineffective in improving oHSV survival in tumors (data not shown), it was not pursued further. Based on this, we focused on a dose of intracerebral 3×10^3 PFU to test in CPA-pretreated (300 mg/kg) athymic mice for a GLP toxicology and biodistribution study.

We also wanted to determine doses of rQNestin34.5v.2 that did not cause lethality in immunocompetent mice. We employed HSV-susceptible BALB/c mice. In these studies, we also tested lethality utilizing various routes of administration. Intracranial injections of rQNestin34.5v.2 at a dose of 10⁷ PFU were carried out in 28 mice at 8 weeks of age. There was one death that occurred about 3 days after injection, but the remaining 27 mice remained clinically well, until they were

euthanized 60 days later as per the protocol to harvest brains and organs for histology and distribution studies. Unfortunately, it was not possible to obtain tissues from the single dead mouse, since it occurred unexpectedly (i.e., the animal appeared well without loss of weight or neurologic issues but was found dead the next morning in the cage with signs of having been cannibalized by other mice). In contrast, 3/5 and 19/22 BALB/c mice injected intracerebrally with wild-type F strain at doses of 10⁴ and 10⁵ PFU, respectively, perished, usually within the first 10 days from injection with neurologic signs. Intrathecal (i.t.) injections were tolerated by 5/5 8-week-old mice for the rQNestin34.5v.2 group at 10⁶ PFU and 10/11 at 10⁷ PFU, but only 1/5 mice for the F strain group at 10⁵ PFU. In older mice (>6 months), 9/9 mice tolerated intrathecally 10⁷ PFU of rQNestin34.5v.2, but 2/5 mice tolerated 10⁵ PFU of wild-type F. For intrahepatic (i.h.) and intravenous (i.v.) injections, all mice tolerated 10⁷ PFU or more of rQNestin34.5v.2.

As per the experiments in athymic mice, two doses of CPA were tested (300 and 200 mg/kg) in 8-week-old BALB/c mice, where 10^6 PFU of intracranial rQNestin34.5v.2 led to 0/18 mortalities with pre-administration of high-dose CPA. Higher dose of virus (3 \times 10⁶ and 10⁷ PFU) led to 3/27 and 4/28 deaths, respectively. With low-dose CPA, there was no mortality even with 10^7 PFU of intracranial virus. Intrathecal injections of virus were tolerated without lethality at 10^7 PFU with both high and low doses of CPA. Intrahepatic and intravenous administrations of 10^7 PFU were tolerated by 8/10 and 9/10 animals receiving high-dose CPA, respectively, and by 12/12 and 12/12 animals with low-dose CPA.

Taken together, for all four injection routes tested, rQNestin34.5 v.2 led to greatly reduced *in vivo* toxicity compared to that of wild-type F strain, by at least 2 orders of magnitude. CPA pretreatment increased mortality in immune-competent BALB/c mice, presumably due to its known side effects. However, when the dose of CPA was reduced to 200 mg/kg, no lethality was observed for rQNestin34.5v.2 at the dose of 1×10^7 PFU. The non-lethal dose of rQNestin34.5v.2 alone was estimated to be 2×10^7 PFU or more (higher doses were not tested) for intravenous and intrahepatic administration, and less than 1×10^7 PFU for intracerebral and intrathecal administration. In combination with CPA (300 mg/kg) pretreatment, the non-lethal dose of rQNestin34.5 v.2 was estimated at 1×10^6 PFU for intracerebral and intrathecal administration in young mice, and at 1×10^7 PFU for intrathecal administration in old mice. We did not test lower non-lethal doses for the other routes of administration.

Ultimately, for the GLP biodistribution and toxicology study, after meeting with the FDA, we decided that the intracerebral route in athymic mice was the most appropriate model to test because (1) it showed higher dose-related toxicity than did the immunocompetent model, and (2) the proposed clinical trial would utilize intracerebral administration.

GLP Toxicology and Biodistribution Study of rQNestin34.5v.2 Intracerebral Administration in Athymic Mice

Based on discussions with the FDA and the experiments shown in Table S1, athymic mice were assigned to one of four groups (both sexes, n = 18 per group): group 1, control; group 2, CPA pre-administration

only (300 mg/kg); group 3, intracerebral injection with 4.2×10^4 PFU; or group 4, pre-administration with CPA followed by intracerebral injection with 3×10^3 PFU (see Table 5 in Materials and Methods). Six mice (three per sex) from each group at the 4-, 31-, or 61-day time point after oHSV injection were scheduled for euthanasia. Endpoints used to evaluate potential toxicities of rQNestin34.5v.2 were mortality, clinical observations, body weights, food consumption, clinical pathology, gross necropsy (including organ weights), histopathology (from selected mice), and HSV and immune cell immunohistochemistry (IHC) of the brains of selected mice. Biodistribution of rQNestin34.5v.2 was evaluated by quantitative polymerase chain reaction (qPCR) of blood and of the following tissues, when available: brain (with tumor), spinal cord, bone marrow, kidney, lungs, gonads, heart, liver, spleen, lymph node, and any skin lesions.

Clinical Observations and Premature Lethality

There were 12 early deaths distributed within the CPA group (group 2), the 4.2×10^4 PFU virus group (group 3), and the 3×10^3 PFU virus + CPA group (group 4) (Table S2). Following histopathology evaluation (hematoxylin and eosin staining) of collected tissues from mice in the CPA (group 2) and 3×10^3 PFU virus + CPA groups (group 4), there was no apparent cause noted for these premature deaths that could be attributed to CPA and/or virus administration with one exception: one female mouse in the 4.2×10^4 PFU virus group (group 3) died at 54 days post-infection and was noted to have a positive viral infection in the brain via IHC evaluation (see Table 2 later). All of the other mice administered rQNestin34.5v.2 and all of the mice in the control and CPA groups remained clinically normal throughout the in-life period.

Body Weights

The male CPA group (group 2) had significantly lower group mean body weights when compared to the control and 4.2×10^4 PFU virus groups on days 1, 4, 8, 22, 29, 43, 50, and 57 (data not shown). Group mean body weights also were significantly lower for the CPA group females (group 2) when compared to the control and 4.2×10^4 PFU virus groups (groups 1 and 3, respectively) on days 1, 4, and 31. The lower group mean body weights in the CPA-treated groups was due to a slower rate of body weight gain, rather than body weight loss. Both the male and female CPA groups had similar group mean body weights when compared to the respective 3×10^3 PFU virus + CPA group, suggesting that the administration of CPA had an adverse effect on body weights (affecting male mice more often than female mice). The administration of rQNestin34.5v.2 did not affect body weights in these groups. Group mean body weights for male mice administered 4.2×10^4 PFU virus were lower, with statistical significance on days 1 and 8 when compared to the control group.

Food Consumption

Male mice in the CPA group had significantly lower mean feed consumed per day values, when compared with the mean daily feed consumed per day values of the control group male mice for the period of days 36–43, days 43–50, and days 50–57. For all other days and groups, group mean feed consumed per day values for

Table 1. Days 4, 31, and 61 rQNestin34.5v.2-Positive Results in Brain: Toxicity Assessment of Groups Administered rQNestin34.5v.2

	Day 4		Day 31		Day 61	
Group	Animal ID	Copies/µg DNA	Animal ID	Copies/µg DNA	Animal ID	Copies/µg DNA
	301	8.8×10^{3}	308	5.9 × 10 ³	313	1.2×10^{2}
	303	8.6 × 10 ³	310	1.3×10^{3}	318	8.5 × 10 ²
4.2 - 1.04 PEH : (2)	305	1.2×10^4	311	1.9×10^{3}		
4.2×10^4 PFU virus(group 3)	351 ^a	9.2 × 10 ³	357	2.2×10^{3}	363	4.2×10^{2}
	353 ^b	6.0×10^{4}	360	1.9×10^{3}	366	8.4×10^{1}
	355	4.4×10^{4}	362	1.5×10^{3}	368	5.2 × 10 ²
	401	8.4×10^{2}	407	4.4×10^{1}	414	3.3×10^{3}
	403	4.6×10^{3}	409	1.8×10^{2}	416	not detected
3×10^3 PFU virus + CPA (group 4)	405	8.0×10^{3}	412	not detected	417	9.6×10^{1}
	451	1.2×10^{3}	457	5.8×10^{2}	463	not detected
	453	1.7×10^{3}	460	1.1×10^{2}	465	not detected
	455	2.1×10^{3}	462	1.4×10^{2}	468	not detected

^arQNestin34.5v.2 was also detected in the kidney.

male and female mice in the toxicity assessment groups were similar to the respective comparison groups (control group for the CPA and 4.2×10^4 PFU virus groups and the CPA group for the 3×10^3 PFU virus + CPA group).

qPCR

Biodistribution of rQNestin34.5v.2 was assayed by qPCR analyses of injected brains in six mice per group at days 4, 31, and 61 post-injection of oHSV (Table 1). On day 4, rQNestin34.5v.2 was detected in the brains of mice in the 4.2×10^4 PFU virus group (group 3) at 8.6×10^3 to 6.0×10^4 copies/µg DNA and in the brains of all 3×10^3 PFU virus + CPA group 4 mice at 8.4×10^2 to 8.0×10^3 copies/µg DNA. Additionally, the kidney for mouse 351 (female, 4.2×10^4 PFU virus group) and the heart for mouse 353 (female, 4.2×10^4 PFU virus group) were positive for rQNestin34.5v.2 with 5.3×10^1 and 2.9×10^2 copies/µg DNA, respectively. rQNestin34.5v.2 was not detected from other tissues collected at the day 4 necropsy.

On day 31, rQNestin34.5v.2 was detected in the brains of the 4.2 \times 10^4 PFU virus group mice with a viral load of 1.3 \times 10^3 to 5.9 \times 10^3 copies/µg DNA. On day 31, rQNestin34.5v.2 was detected in the spinal cord of animal 459 (3 \times 10^3 PFU virus + CPA group) with 9.7 \times 10^1 copies/µg DNA; the brain for this animal was sent for IHC evaluation, and rQNestin34.5v.2 was not observed in the brain of this animal. The brains of 5/6 animals designated for qPCR evaluation at day 31 were positive for rQNestin34.5v.2 (4.4 \times 10^1 to 5.8 \times 10^2 copies/µg DNA), although the average concentration was approximately 15-fold less than the average concentration from the brains on day 4. No other tissues from the day 31 necropsy were positive for rQNestin34.5v.2.

On day 61, rQNestin34.5v.2 was detected only in the brains of the 4.2×10^4 PFU virus group mice with a viral load of 8.4×10^1 to

 8.5×10^2 copies/µg DNA: the average values were approximately 60- and 6-fold less than the average concentration seen on days 4 and 31, respectively. rQNestin34.5v.2 was not detected in any other tissue on day 61. On day 61, the brains of two of the three male mice submitted for qPCR (414 and 417) were positive for rQNestin34.5v.2 at concentrations of to 3.3×10^3 to 9.6×10^1 copies/µg DNA; the average value was approximately 8-fold higher than the average concentration seen in the day 31 male brains. rQNestin34.5v.2 was not detected in the brains of the three female mice (463, 465, and 468) submitted for qPCR on day 61, or in any of the spinal cords analyzed.

Hematology and Serum Chemistries

None of the hematology results indicated effects due to rQNestin34.5v.2 administration (data not shown). Changes noted in hematology parameters included decreases in reticulocyte counts (absolute and percent) on day 4 for male and female mice in the CPA-only group when compared with male and female mice in the control group. These changes were an expected result of CPA administration and were not noted on day 31, indicating recovery from the effects of CPA. There were no differences in reticulocyte values when the CPA-only and the 3 \times 10 3 PFU virus + CPA groups were compared. Decreases in red blood cell count, hemoglobin, and hematocrit values were noted in the CPA-only group when compared to the control group. These changes were suspected to be a result of CPA administration. None of the serum chemistry results indicated any effects due to rQNestin34.5v.2 administration.

Organ Weights

Statistically significant decreases noted in absolute spleen weight, spleen-to-body weight, and spleen-to-brain weight ratios for the CPA group males when compared to the control group males on day 4 were likely due to an effect of CPA administration on splenic

brQNestin34.5v.2 was also detected in the heart.

hematopoiesis (data not shown). Clinical pathology findings indicate decreased hematopoiesis at day 4 in this group likely because of CPA administration. These decreases were not seen on day 31, indicating recovery from this effect. There were statistically significant reductions in the CPA groups for terminal body weight and for the weight of other organs at various time points when compared to other groups. These were all likely due to the effect of CPA. There were no rQNestin34.5v.2 effects on body or organ weights.

Histopathology and IHC

Neuropathologic H&E (hematoxylin and eosin) and immunohistochemical evaluation for HSV antigen and CD45 immunoreactive cells were carried out for mice that were scheduled for euthanasia and for those that encountered early unscheduled premature deaths (Table 2). Of relevance, in the four early-death mice in the 4.2×10^4 PFU virus group (males 309 and 316 and females 365 and 364) only female mouse 364 (found dead on day 55) was noted with rQNestin34.5v.2 in the brain, and the early death was attributed to viral infection in the brain. For the mice that underwent scheduled euthanasia in the 4.2×10^4 PFU virus group (males 302 and 306 and females 354 and 356), minimal viral infection was detected with no cytopathic effect or inflammatory response in day 4 brain tissue from four of the six mice examined. No rQNestin34.5v.2 was detected by IHC in day 31 or day 61 brains. For the scheduled euthanasia for mice that received CPA in addition to rQNestin34.5v.2, evidence of viral presence was only detected by IHC in a single day 4 brain tissue and in a single day 31 brain tissue. Male 406 (day 4 scheduled necropsy) was noted with a single viral antigen-positive cell that was not associated with cytopathic effect or an inflammatory response, and female 458 (day 31 scheduled necropsy) was noted with minimal focal viral infection in the absence of inflammatory responses or cytopathic effect. Evidence of rQNestin34.5v.2 in the brain was not detectable by IHC in any other brains from mice treated with rQNestin34.5v.2 plus CPA at scheduled or unscheduled necropsies. There were no gross or microscopic findings in any of the early-death mice examined from the CPA group (215, 216, and 218 [males] and 264 and 265 [females]) or in the 3 \times 10³ PFU virus + CPA group (413 and 418 [males] and 467 [female]). As expected, there was no rQNestin34.5v.2 detected by IHC in the brains of male or female mice in the control or CPA group at any of the scheduled, or unscheduled, necropsies. In conclusion, there was no lethality or neuropathologic findings for a rQNestin34.5v.2 dose of 3×10^3 PFU (with CPA). This dose was also not associated with significant abnormalities and minimal evidence of long-term biodistribution outside of the injected area.

GLP Toxicology and Biodistribution of rQNestin34.5v.2 after Administration in Human GBM Cells Implanted In Athymic Mice Brains

The previous studies were conducted in athymic mice without tumors. However, after discussion with the FDA, we wanted to know whether the toxicology and biodistribution of rQNestin34.5v.2 changed when inoculated in human GBM cells established in the brains of athymic mice. Therefore, a GLP experiment was conducted where human U87DEGFR GBM cells where injected into the brains of athymic mice. At the 7-day time period, 3.5×10^7 PFU of the oHSV (group 6) or vehicle (group 5) were stereotactically injected in tumor. One group (group 7) was pretreated with one dose of CPA 2 days before oHSV administration. Mouse mortality was followed for 61 days after oHSV injection, and attempts to assign cause of lethality to tumor or oHSV were made. Inoculation with rQNestin34.5v.2 significantly improved survival of tumor-bearing mice (Figure 1), but this improvement was not affected by the addition of CPA. This was likely due to our published observations that CPA's enhancement or oHSV-mediated survival occurs at low viral doses and diminishes as oHSV dose increases.³⁵ These survival findings reproduced the significant anti-glioma effect of the agent, in an experiment performed under GLP conditions, in a randomized blinded fashion, by an individual entity independent from the study principal investigator (PI).

Except for mice that were necropsied as scheduled on day 4, all tumor-bearing mice, inoculated with PBS control, were found dead or euthanized due to moribund condition by day 9 after PBS administration (Figure 1; Table S3). In contrast, 23/41 mice in the tumor + virus group and 20/35 mice in the tumor + virus + CPA group were found dead or were humanely euthanized due to moribund condition prior to scheduled necropsy, and these deaths occurred through day 48. The remaining mice survived until they were scheduled for termination.

Neuropathology of Mice with Brain Tumors Treated with rQNestin3.5v.2 Alone

The brains from mice with brain tumors treated with rQNestin34.5v.2 that were either moribund or died before scheduled necropsy dates were analyzed histologically and immunohistochemically to determine whether the growing tumor versus viral encephalitis/ meningitis was the cause of death. Table 3 lists the animal identification (ID) for each scheduled necropsy and premature death as well as the neuropathological findings and, when possible, an interpretation for the probable cause of death. In 17/21 premature deaths, the volume, location, and extent of tumor in brain was the likely cause of early mouse demise. When viral antigen was present in brain outside of tumor, its limited amounts were not deemed sufficient to lead to animal demise. In 3/21 mice the cause of death was unknown: for mouse nos. 673, 676, and 678 there was evidence of extensive tumor necrosis with some virus antigen present in brain, but neither was judged to be extensive enough to lead to animal demise. In 1/12 (mouse 684), the likely cause of early animal demise was a result of viral encephalitis and meningitis. In this mouse, there was a very small tumor located rostrally with virus disseminated in areas outside of tumor. The needle tract appeared to be separate from tumor (Figure 2). This suggested that the initial rQNestin34.5v.2 injection was incorrectly directed into brain rather than into tumor probably because tumor also had not grown well, due to its small size by this time. There were also several instances of selectivity for viral antigen and CPE within tumor (Figures S4 and S5).

	Animal ID	Day of Death	CD45 Immunoreactive	Interpretation			
Group 1							
	101	4	-				
	103	4					
	105	4		<u> </u>			
	108	31		<u> </u>			
Control males, scheduled deaths	110	31		no significant microscopic lesions			
	112	31					
	113	61		<u> </u>			
	116	61					
	117	61		<u> </u>			
	151	4					
	153	4					
	155	4					
	157	31					
Control females, scheduled deaths	160	31		no significant microscopic lesions			
	162	31					
	163	61					
	165	61	-				
	167	61		 -			
Group 2			•				
	202	4					
	204	4					
	206	4		no significant microscopic lesions			
CPA males, scheduled deaths	208	31					
	210	31					
	211	31		<u> </u>			
	214	61					
	215	52 (FD)		cause of death not apparent			
CPA males, early deaths	218	55 (FD)	 -	cause of death not apparent			
	252	4					
	254	4					
	256	4					
CPA females, scheduled deaths	257	31		no significant microscopic lesions			
	259	31		_			
	262	31					
	267	61	_				
20.6 1 1 1 1	264	59 (FD)		cause of death not apparent			
CPA females, early deaths	265	54 (FD)		cause of death not apparent			
Group 3							
4.2×10^4 PFU virus, male scheduled deaths	302	4		minimal HSV infection of nervous tissue in the absence of cytopathic effect or inflammatory change			
deaths	304	4		no significant microscopic lesions			

(Continued on next page)

	Animal ID	Day of Death	CD45 Immunoreactive	Interpretation		
	306	4	√	minimal HSV infection of nervous tissue in the absence of cytopathic effect or inflammatory change		
	307	31		no significant microscopic lesions		
	312	31		no significant microscopic lesions		
	315	31		no significant microscopic lesions		
	314	61		no significant microscopic lesions		
	317	61		no significant microscopic lesions		
	352	4		no significant microscopic lesions		
	354	4		minimal viral infection with no cytopathic effect and a minimal inflammatory response		
2×10^4 PFU virus, female scheduled eaths	356	4	√	minimal viral infection of ependyma and periventricular tissues in the absence of cytopath effect and a minimal inflammatory response		
deutils	358	31		no significant microscopic lesions		
	359	31		no significant microscopic lesions		
	361	31		no significant microscopic lesions		
	367	61		no significant microscopic lesions		
2×10^4 PFU virus, male early deaths	309	6 (FD)		cause of death not apparent		
2 × 10 PFO virus, male early deaths	316	53 (FD)		cause of death not apparent		
2 × 10 ⁴ DEII simo famala amb daetha	365	54 (FD)		cause of death not apparent		
2×10^4 PFU virus, female early deaths	364	55 (FD)	$\overline{\hspace{1cm}}$	death attributed to viral infection in brain		
Froup 4	•	•	•	-		
	402	4		no significant microscopic lesions		
	404	4		no significant microscopic lesions		
\times 10 ³ PFU virus + CPA, male	406	4		there was a single HSV antigen-positive cell that was not associated with cytopathic effect or an inflammatory response		
cheduled deaths	408	31		no significant microscopic lesions		
	410	31		no significant microscopic lesions		
	411	31		no significant microscopic lesions		
	415	61		no significant microscopic lesions		
	452	4		no significant microscopic lesions		
	454	4		no significant microscopic lesions		
	456	4		no significant microscopic lesions		
× 10 ³ PFU virus + CPA, female	458	31		minimal focal viral infection in the absence of inflammatory responses or cytopathic effect		
cheduled deaths	459	31		no significant microscopic lesions		
	461	31		no significant microscopic lesions		
	464	61		no significant microscopic lesions		
	466	61		no significant microscopic lesions		
× 10 ³ PFU virus + CPA, male early	418	53 (FD)		cause of death not apparent		
eaths	413	54 (FD)		cause of death not apparent		
× 10 ³ PFU virus + CPA, female early	467	53 (FD)		cause of death not apparent		

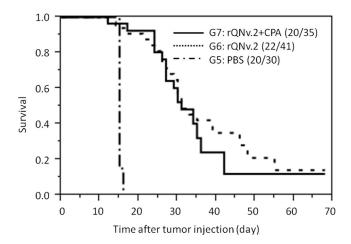


Figure 1. Kaplan-Meier Survival Curves

Comparison of survival curves for athymic mice with an orthotopic human glioma treated with PBS (group 5), 3.5×10^7 PFU of rQNestin34.5v.2 (group 6), or 3.5×10^7 PFU of rQNestin34.5v.2 with CPA pre-administration, 2 days before virus injection. Animals scheduled to undergo scheduled necropsy at day 4 or 31 are not included. In group 7, there were 10 mice that underwent scheduled necropsy at day 4 and 4 that underwent scheduled necropsy at day 31. One mouse was still alive at the study termination and underwent scheduled necropsy at this time (included in graph). For group 6, there were 10 mice that underwent scheduled necropsy at days 4 and 7 that underwent scheduled necropsy at day 31. Two mice were still alive at study termination and underwent scheduled necropsy at this time (included in graph). For group 5, there were 10 mice that underwent scheduled necropsy at day 4, but none was alive for the day 31 and thereafter scheduled necropsies. There was a statistically significant increase in survival of animals from group 6 and 7 when compared to those from group 5 (p < 0.0001, log-rank test).

Neuropathology of CPA-Pretreated Mice with Tumors, Inoculated with rQNestin34.5v.2

The brains from mice that were either moribund or died before scheduled necropsy dates were analyzed histologically and immunohistochemically to determine whether the growing tumor or viral encephalitis/meningitis was the cause of death. Table 4 lists the animal ID for each premature death as well as the neuropathological interpretation for cause of death. In 13/19 premature deaths, the volume, location, and extent of tumor in the brain was the likely cause of early mouse demise. In most cases where there was viral antigen outside of the tumor, the level of antigen was low and of limited extent, not associated with cytopathic effect or with significant CD45⁺ infiltrates. Presence of viral antigen outside of the tumors may reflect mild nestin immunohistochemical staining that was detected in reactive astrocytes and ependymal cells in mouse brains (Figure 3). In 2/19 premature deaths (mouse nos. 774 and 779), there were small or no tumors and no virus antigen. Therefore, a cause of death could not be determined. In 3/19, the cause of death was possibly due to tumor, but the small size rendered it more equivocal (mouse nos. 781 and 763). In 1/19 (mouse 770), the cause of early animal demise (day 27 after virus injection/ 34 days after tumor injection) was likely a result of disseminated viral encephalitis and meningitis, although tumors were also detected in the brainstem, which could contribute to the clinical outcome (Figure S6). This suggested that the initial rQNestin34.5v.2 injection was incorrectly

directed into brain rather than into tumor probably because tumor had grown in the brainstem and not in the cortex were rQNestin34.5v.2 was injected.

qPCR Analyses

In mice whose brain tumors were injected with rQNestin34.5v.2, there was rQNestin34.5v.2 DNA in the brain/brain tumor at day 4 with an average of 1.3×10^7 (range, 6.6×10^5 to 4.2×10^7) copies/mg (Figure 4A). This had decreased by day 31 to an average of 9.8×10^5 (range, 1.5×10^5 to 3.1×10^6) copies/mg and even further by day 61 to 8.4×10^4 copies/mg, albeit in the only mouse available for analysis. In CPA-pretreated mice whose brain tumors were injected with rQNestin34.5v.2, there was rQNestin34.5v.2 DNA in the brain/tumor in this group at day 4 with an average of 3.2×10^6 (range, 2.9×10^5 to 7.4×10^6) copies/mg at day 4 that increased to 7.4×10^7 copies/mg at day 31 (Figure 4B). By day 61, the only mouse available for analysis revealed a decrease in viral DNA in brain/tumor to 3.7×10^4 copies/mg.

qPCR data for mice that were injected with rQNestin34.5v.2 was obtained from bone marrow (n = 11), whole blood (n = 5), brain (n = 6), heart (n = 6) 2), kidney (n = 11), testes/ovaries (n = 0), liver (n = 9), lung (n = 11), spinal cord (n = 2), spleen (n = 2), and lymph nodes (n = 0) at the day 4 or day 5 time point. Additional qPCR was also performed at the day 31 time point for bone marrow (n = 7), whole blood (n = 3), brain (n = 4), heart (n = 7), kidney (n = 7), testes/ovaries (n = 7), liver (n = 7), lung (n = 7), spinal cord (n = 7), spleen (n = 7), and lymph nodes (n = 7). There was rQNestin34.5v.2 DNA detected in several organs at days 4 and 5. At the day 31 time point only mouse 668 liver where 9.3 copies/mg DNA were detected, mouse 672 liver where 10 copies/mg DNA were detected, and mouse 677 where 26 copies/mg agent were measured in liver and 14,000 copies/mg were measured in tumor exuding from the burr hole underneath the scalp. There was also viral DNA measured in spinal cord at the day 31 time point for mice 672 (89 copies/mg) and 674 (10 copies/mg) out of seven analyzed. This prompted us to analyze liver and spinal cord DNA at the day 61 time point, and these were both negative in the remaining two mice left for analyses (Table S4).

For the CPA-pretreated mice whose tumors were injected with rQNestin34.5v.2, qPCR data for rQNestin34.5v.2 were obtained from bone marrow (n = 11), whole blood (n = 5), brain (n = 6), heart (n = 3), kidney (n = 11), testes/ovaries (n = 0), liver (n = 9), lung (n = 11), spinal cord (n = 0), spleen (n = 0), and lymph nodes (n = 0) at the day 4 or day 5 time point. Additional qPCR was also performed at the day 31 time point for bone marrow (n = 4), whole blood (n = 2), brain (n = 2), heart (n = 4), kidney (n = 4), testes/ovaries (n = 4), liver (n = 4), lung (n = 4), spinal cord (n = 4), spleen (n = 4), and lymph nodes (n = 4). There was rQNestin34.5v.2 DNA detected in some of the other organs at day 4 and in some of the mice that did not survive to the day 31 scheduled necropsy. Minimal viral DNA was seen at the day 31 time point for mouse 772 in liver (16 copies/mg), spinal cord (15 copies/mg), and lymph node (14 copies/mg), which became undetectable by day 61 (mouse 784) (Table S5). Of note, in blood there was no evidence of viral genomes after the day 4 or 5 time points.

Table 3. Neuropathologic Findings and HSV Immunohistochemistry for Brains of Athymic Mice Harboring Human Gliomas Injected with rQNestin34.5v.2 (3.5 × 10E7 PFUs)

		Brain ^a			Glioma ^b				
Mouse No.	Date ^c	Antigen ^d	CPE ^e	CD45 ^f	Necrosis ^g	Antigen ^d	CD45 ^e	Volume ^h	Interpretation
553	4	0	0	0	4	2	3	6%	tumor in striatum; 80% of tumor is HSV antigen and necrotic
654	4	3	0	3	2-3	2	3	5%	extension of HSV from tumor to ependymal with spread through ventricular system (ventricular spread); 50% of tumor is necrotic and HSV antigen $^{+}$
655	4	3	2 (adjacent to tumor)	3	4	2	3	6%	extension of HSV from tumor to ependymal with ventricular spread; 80% of tumor is necrotic and HSV antigen †
659	5 ⁱ	3	3	3	4	2	3	4%	extension of HSV from tumor to ependymal cells with ventricular spread; 100% of tumor is necrotic and HSV antigen *
660	5 ⁱ	3	0	3	4	2	3	1.5%	extension of HSV from tumor to ependymal with ventricular spread; two tumor nodules: one is 100% necrotic and HIV antigen*
661	19 ^j	3	0	2	0	0	0	10%	mild periventricular distribution of viral antigen; Tumor in brainstem is large and likely cause of death
662	31	0	0	0	4	2	1	1%	single necrotic tumor in striatum that is HSV antigen ⁺
663	28 ^j	0	0	0	2	2	0	25%	several nodules with two that are 100% necrotic and HSV ⁺ ; cause of death due to tumor edema/ hemorrhage
664	24 ^j	0	0	0	1	2	2	25%	one tumor nodule is 100% necrotic and HSV antigen ⁺ ; others exhibit partial necrosis but are HSV antigen ⁻ ; death attributed to white matter edema associated with tumor
665	23 ^j	0	0	0	2	2	2	55%	multiple tumor nodules with variable necrosis, and necrotic foci are both HSV antigen ⁺ HSV antigen ⁻ ; death is attributed to high tumor burder and associated hemorrhage
666	21 ^j	0	0	0	2	2	3	45%	extensive tumor necrosis (45%) that is viral antigen*; death is attributed to the high tumor burden
669	18 ^{jj}	0	0	0	0	0	0	50%	death is attributed to the high tumor burden
670	23 ^j	0	0	0	2	2	2	30%	multiple tumor foci, 45% necrosis with regions that are HSV antigen and HSV antigen; death is attributed to the high tumor burden
671	31	0	0	0	0	0	0	0	there is evidence of an inoculation track in striatum but no tumor or HSV
673	24 ^j	2	2	2	1	2	2	15%	two tumor nodules with necrosis associated with HSV antigen (one complete, the other10%); forebrain with focus HSV+ cells, CPE, and inflammation, but evidence of extensive viral dissemination is lacking; cause of death is not apparent
675	19 ^j	0	0	0	0	0	0	25%	death is attributed to the high tumor burden in the brainstem
676	14 ^j	1	-	2	1	2	2	5%	tumor necrosis that is HSV antigen ⁺ , with limited viral spread into adjacent brain; cause of death no apparent
677	31	0	0	0	1	2	3	26%	large tumor nodule 100% necrotic and HSV antigen ⁺

(Continued on next page)

		Brain ^a			Glioma ^b						
Mouse No.	Date ^c	Antigen ^d	CPE ^e	CD45 ^f	Necrosis ^g	Antigen ^d	CD45 ^e	Volume ^h	Interpretation		
578	16 ^j	0	0	0	3	2	2	30%	one large and one small tumor nodule (50% and 100% necrosis, respectively) that are HSV antigen ⁺ ; cause of death not apparent		
579	61	0	0	0	4	2	3	<1%	small necrotic tumor that is weakly HSV antigen and associated with intense CD45 immunoreactivity		
580	7 ^j	3	1	2	1	2	2	<10%	multiple tumor nodules in brainstem that are HS antigen* and associated CD45* infiltrates; some HSV antigen in periventricular areas; death attributed to tumor-associated edema and hemorrhage		
582	41 ^j	0	0	0	1	2	0	50%	large tumor masses, where necrosis is associated with HSV antigen but no CD45 ⁺ infiltrates; death attributed to effects of tumor burden		
583	32 ^j	0	0	0	0	0	0	20%	death attributed to effects of tumor burden		
584	10 ^j	3	2	3	0	0	0	low	low tumor volume in brainstem; evidence of ventricular dissemination of HSV with CPE and inflammation, particularly in brainstem; death attributed to virus infection		
585	22 ^j	2	0	0	1	0	1	50%	large tumors associated with edema and hemorrhage that are the cause of death		
586	48 ^j	0	0	0	1	0	1	30%	death attributed to effects of tumor burden		
587	20 ^j	0	0	0	1	0	1	55%	death attributed to tumor-associated edema, hemorrhage, and necrosis of parenchyma (infarction)		
589	23 ^j	0	0	0	1	2	1	25%	one nodule of tumor is necrotic and HSV antigen [†] ; death attributed to tumor-associated edema and hemorrhage		
590	39 ^j	0	0	0	0	0	1	25%	death attributed to tumor-associated edema and hemorrhage		
591	26 ^j	0	0	0	0	0	0	15%	death attributed to brainstem localization of tumor and associated edema		

All analyses were conducted by Dr. M. Oglesbee (Ohio State University College of Veterinary Medicine).

Blood Analyses

Serum chemistries, hematology, and liver function tests for mice with brain tumors treated with rQNestin3.5v.2 alone were mostly normal, except for the only mouse analyzed at day 61, who appeared to have a very high white blood cell (WBC) and neutrophil counts in serum, of uncertain significance (data not shown).

Body Weight Analyses

Body weights of all animals with brain tumors treated with rQNestin34.5v.2 alone from day 1 until scheduled euthanasia at day 4, 31, or 61 or unscheduled death were recorded. The average change

in weight at scheduled sacrifice compared to their maximum achieved body weight was -16.8%, while the average change in weight at unscheduled death compared to maximum achieved body weight was -33.26% (data not shown). This was highly statistically significant (p < 1 \times 10 $^{-4}$, two-tailed t test). When comparing the average loss of body weight between all scheduled euthanasia of these mice and those of mice with tumors treated with control PBS or unscheduled deaths between these two groups, the change was not significant, suggesting that weight loss was due to tumor growth rather than to an effect of rQNestin34.5v.2 injection.

^aBrain pathology.

^bGlioma pathology.

^cDate of scheduled euthanasia or unexpected death from time of rQNestin34.5v.2 injection.

^dHSV antigen (scored 0-3) (see Materials and Methods for explanation of scores).

eViral cytopathic effect (scored 0-3).

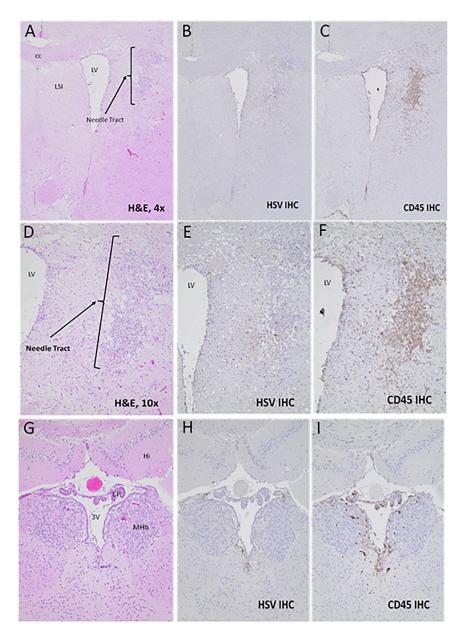
^fCD45⁺ cells, suggestive of activated microglia/inflammation (scored 0-3).

gNecrosis (scored 0-3).

^hEstimated percent of brain occupied by glioma.

ⁱScheduled necropsies conducted on day 5 rather than day 4.

^jPremature death or euthanasia for moribund state.



DISCUSSION

The aim of this study was to establish a dose of rQNestin34.5v.2 that was non-lethal and nonpathogenic upon intracerebral injection in the brain of athymic mice. Establishing this dose (the no observed adverse effect level [NOAEL] dose) in mice would then justify a starting dose for a first-in-human phase 1 trial in patients with recurrent GBM. We were able to show that (1) a dose of 3×10^3 PFU injected in the brains of athymic mice was non-lethal and showed minimal to no evidence of inflammatory or cytopathic changes in brain during a 60-day study time; (2) this dose was tolerated clinically by mice without laboratory abnormalities; (3) this dose was not associated with systemic biodistribution of viral genomes, and in brain there was evidence of rapid clearance of viral DNA; (4) higher doses were associated with

Figure 2. Brain Histology and Immunohistochemistry for Mouse 684

H&E (A, D, and G), HSV IHC (B, E, and H), and CD45 IHC (C, F, I) for brain from mouse 684. (A)–(F) are from the frontal area of brain located 1.32 mm anterior to bregma and where the needle tract with a band of pannecrosis and microgliosis was observed, but this tract was not located near tumor (not shown here). (D)–(F) are high-power microphotographs of (A)–(C). (G)–(I) are from a more caudal area, located 1.62 posterior to bregma, where periventricular HSV and CD45-positive cells were seen. CC, corpus callosum; LV, lateral ventricle; LSI, lateral septal nucleus; Hi, hippocampus; CP, choroid plexus; 3V, 3rd ventricle; MHb, medial habenular nucleus.

increased toxicity; (5) doses of 3×10^7 PFU injected in athymic mice with human GBM xenografts increased animal survival from tumorinduced death, but there were also instances of virus-induced inflammatory changes (with or without cytopathic effects) in brain presumably from misplaced injections in brain rather than tumor; and (6) these higher doses in the GBM model also led to increases in viral genomes in tumors and occasionally in other organs over time. The sum of these experiments thus led to a successful IND application proposing a starting dose of 10⁶ PFU in humans, based on a conversion factor of 3,750 (3 \times 10³ PFU \times $37,500 = 1.125 \times 10^7 \text{ PFU}$) between mouse and human brain with a further reduction by one log, based on FDA recommendations.³⁹

OVs based on HSV1 are among the most widely studied biotherapies for cancer. Most oHSVs in clinical trials (except for one)⁴⁰⁻⁴² have been engineered with defective and/or deleted expression of the late gene $\gamma_1 34.5$ that encodes for ICP34.5. This gene was initially described to be the major determinant of herpes neurovirulence and encephalitis in mice.¹⁴ The likely

mechanism for this neurovirulence relates to binding of ICP34.5 to neuronal beclin-1. This is thought to prevent autophagy-mediated major histocompatibility complex (MHC) class II presentation, leading to reduced viral clearance by the adaptive immune system. However, ICP34.5 possesses additional roles that benefit viral kinetics and replication: (1) it counteracts an infected host cell mechanism that utilizes PKR to phosphorylate the eiF-2 α translation factor, shutting off translation; 22,25,26 (2) it also counteracts interferon-mediated signaling, 44,43,44 including inhibition of STING; and (3) it is a structural component of the viral capsid, interacting with the infected cell's cytoskeleton for intracellular trafficking. Lack of ICP34.5 attenuates oHSV replication, and thus avenues to circumvent this have been sought out. Our approach has been to re-express one

Table 4. Neuropathologic Findings and HSV Immunohistochemistry for Brains of Group 7 Athymic mice Harboring Human Gliomas Injected with rQNestin34.5v.2 (3.5×10^7 PFU) after CPA Pre-administration

		Brain ^a			Glioma ^b						
Mouse No.	Date ^c	Antigen ^d	CPE ^e	CD45 ^f	Necrosis ^g	Antigen ^d	CD45 ^f	Volume ^h	Interpretation		
754	4	2	2	2	4	2	3	3%	tumor in striatum is necrotic, HSV, and CD45 antigen [†] ; adjacent brain and injection tract is HSV [†] and CD45 [†] ; 2nd focus of HSV antigen in brainstem		
755	4	1	0	1	4	2	2	3%	two tumors in striatum that are 90% HSV ⁺ ; single focus of HSV ⁺ neurons in mesencephalon		
756	5 ⁱ	3	1	2	0	0	0	0	there is no tumor; injection tract in striatum with HSV ⁺ cells; ventricular spread of virus based upon HSV ⁺ cells in ependyma/subependyma		
757	5 ⁱ	3	0	0	4	2	3	2%	tumor in injection tract is 100% necrotic with strong HSV*/CD45* signal; HSV* staining extend from tumor into surrounding neurons, ependyma cells, and subependymal cells		
758	5 ⁱ	3	0	2	4	2	3	1%	tumor in injection tract with 80% necrotic and HSV ⁺ /CD45 ⁺ ; HSV ⁺ staining extends from tumo to surrounding neurons with spread along the ependymal cells and subependyma		
761	17 ^j	0	0	0	1	2	2	10%	tumor in forebrain is necrotic, HSV ⁺ , CD45 ⁺ ; then are other large tumors that are HSV ⁻ ; cause of death not apparent		
762	20 ^j	1	0	1	0	0	0	5%	one limited focus of HSV ⁺ cells adjacent to later ventricle; death attributed to multiple HSV ⁻ tumors in brainstem		
763	20 ^j	3	0	0	1	2	2	30%	tumor in forebrain is 100% necrotic, HSV ⁺ , CD45 ⁺ ; other large tumors are HSV ⁻ ; limited HSV ⁺ cells in brainstem/cortex; cause of death r apparent		
766	17 ^j	3	0	1	0	0	1	5%	sporadic HSV^+ cells in periventricular areas; desattributed to multiple HSV^- tumors in brainste meninges		
767	10 ^j	3	0	0	1	2	2	<5%	HSV ⁺ cells in rostral periventricular areas; rostra necrotic tumor is HSV ⁺ , CD45 ⁺ ; death attributed to tumors in the brainstem		
768	28 ^j	1	0	1	1	0	1	55%	one focus of HSV ⁺ cells in cortex; death is attributed to large tumor burden		
769	23 ^j	0	0	0	1	0	1	50%	death attributed to large tumor burden		
770	20 ^j	3	1	1	0	0	1	5%	disseminated HSV ⁺ cells with low CPE and CD45 infiltrates; death was attributed to virus infection although cannot exclude tumor localization in brainstem as a cause		
771	31	0	0	0	4	2	2	<1%	small tumor in striatum with 100% necrosis and ${\rm HSV}^+$ staining, with peripheral ${\rm CD45}^+$ infiltrates		
772	31	0	0	0	0	0	0	0	no tumor or virus		
773	29 ^j	0	0	0	1	0	1	60%	cause of death attributed to large tumor burden and tumor-associated hemorrhage		
774	19 ^j	0	0	0	0	0	0	10%	cause of death not apparent		
775	23 ^j	2	0	1	1	2	2	35%	large tumor that is necrotic, HSV ⁺ with CD45 ⁺ infiltrates; HSV ⁺ cells in brain around tumor; death is attributed to the large tumor burden		
776	27 ^j	1	0	0	2	2	2	40%	Tumor with 15% necrosis that is HSV ⁺ ; two HSV neurons in cortex; death attributed to large tumo burden		

(Continued on next page)

Table 4. Con	tinued								
		Brain ^a			Glioma ^b				
Mouse No.	Date ^c	Antigen ^d	CPE ^e	CD45 ^f	Necrosis ^g	Antigen ^d	CD45 ^f	Volume ^h	Interpretation
777	35 ^j	0	0	0	2	2	1	<5%	tumor in striatum is necrotic, HSV ⁺ ; death attributed to multiple brainstem tumors
779	29 ^j	0	0	0	0	0	0	8%	cause of death not apparent
780	27 ^j	0	0	0	1	2	2	50%	large tumors in forebrain with margins that are necrotic, HSV*, CD45*; death is attributed to large tumor burden
781	17 ^j	0	0	0	0	0	0	25%	cause of death not apparent
782	24 ^j	0	0	0	1	2	2	30%	focal tumor is 100% necrotic, HSV ⁺ , CD45 ⁺ ; cause of death attributed to tumor-associated edema and hemorrhage
783	28 ^j	0	0	0	1	2	2	55%	one small focus of tumor is necrotic, HSV ⁺ ; death is attributed to multiple large tumors associated with hemorrhage
785	22 ^j	0	<u></u>	0	1	0		50%	death is attributed to the high tumor burden.

All analyses were conducted by Dr. M. Oglesbee (Ohio State University College of Veterinary Medicine).

copy of its gene under transcriptional control of tumor-specific promoters, 27,47 such as the nestin transcriptional element. 32,35 The first version of this engineered oHSV, however, maintained expression of a fusion GFP-ICP6 transcript with a hybrid gene product that would express the carboxyl terminus of ICP6 that has been linked to inhibiting infected cell necroptosis, allowing for increased viral propagation and virulence. 36,37,48-50 After discussion with the FDA, we thus re-engineered the first version of rQNestin34.5 to remove this GFP-ICP6 fusion transcript and this second version (rQNestin34.5v.2) was then exploited for the toxicology and biodistribution studies reported herein. Other approaches to circumvent the ICP34.5 defect have included a second site recombinant in the viral gene Us11^{15,31} that supplements some of ICP34.5's functions, ^{26,29} deletion of ICP34.5's beclin 1 binding domain, 28 or expression of ortholog genes with functions that allow for translation without virulence, such as the cytomegalovirus (CMV) gene IRS1⁵¹⁻⁵³ or the human gene GADD34.54

Once rQNestin34.5v.2 was engineered we had to demonstrate that it remained as efficacious as the original rQNestin34.5. In fact, *in vitro* it reversed eiF-2 α phosphorylation just as well as version 1 (v.1), and its cytotoxicity profile against a panel of tumor and normal cells was also very similar (Figures S1 and S2). *In vivo*, GLP analyses of survival also showed that rQNestin34.5v.2 significantly improved survival of mice with brain tumors (Figure 1). A comparative analysis of rQNestin34.5v.2 versus v.1 also showed superior therapeutic effects of the

former (data not shown). Therefore, rQNestin34.5v.2 was at least therapeutically bioequivalent to v.1.

Next, for clinical trial development, we had to determine a starting dose for the phase 1 clinical trial, since an ICP34.5-expressing oHSV had never been inoculated in human brains. We approached this problem by first performing a dose-escalation assay of rQNestin34.5v.2 compared to the parental wild-type F strain with and without CPA in both immunocompetent BALB/c mice and athymic mice via intracerebral, intrathecal, intravenous, and intrahepatic routes (Table S1). These pilot data established doses of rQNestin34.5v.2 that led to lethality by all four routes. Not unexpectedly, immunocompetent mice were more resistant to dose-dependent lethality than were athymic mice. Intrathecal/intravenous/intrahepatic routes of administration were also more resistant to dose-dependent lethality than were intracerebral routes. As expected, CPA increased lethality. These data provided the basis for pre-IND discussions with the FDA about the final toxicology and biodistribution study. It was decided that for a first-in-human study we should replicate as much as possible the proposed phase 1 clinical trial: this would consist of a single injection in brains. Therefore, the GLP study would also consist of an intracerebral route administration in the most susceptible mouse strain, i.e., athymic mice. The selected dosing that led to no lethality in athymic mice brains was 4.2×10^4 PFU for mice without CPA pretreatment and 3×10^3 PFU for mice with CPA pretreatment. In addition, it was also decided to study the biodistribution

^aBrain pathology.

bGlioma pathology.

^cDate of scheduled euthanasia or unexpected death from time of rQNestin34.5v.2 injection.

^dHSV antigen (scored 0-3) (see Materials and Methods for explanation of scores).

eViral cytopathic effect (scored 0-3).

^fCD45⁺ cells, suggestive of activated microglia/inflammation (scored 0-3).

gNecrosis (scored 0-3).

^hEstimated percent of brain occupied by glioma.

ⁱScheduled necropsies conducted on day 5 rather than day 4.

^jPremature death or euthanasia for moribund state.

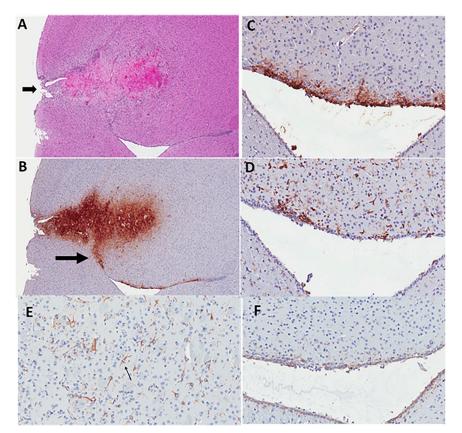


Figure 3. Brain Histology and Immunohistochemistry for Mouse 758

(A) H&E-stained section of brain from mouse 758. Arrow points to needle inoculation site. Immediately past the needle tract is a tumor showing extensive necrosis that is attributed to rQNestin34.5v.2 injection. (B) HSV immunohistochemistry (IHC) reveals extensive tumor infection with extension of the infection toward the ventricle (arrow). (C) High-power microphotograph of HSV IHC, showing cells at the ependymal surface and subependymal region that are HSV antigen-positive. (D) CD45 IHC showing several inflammatory cells present along the ependyma. (E) IHC for nestin in reactive astrocytes adjacent to tumor. Arrow points to a mitotic astrocyte. (F) Ependyma showing mild, yet extensive, nestin immunohistochemical staining in the same areas where HSV and CD45 cells are observed.

cytes along the subventricular zone (SVZ). The neuropathologic findings appear to support a mechanism of action where when the agent was injected primarily in tumor, intensive HSV antigen expression (likely replication) and spread with an intense inflammatory response (monocytes and neutrophils) occurred rapidly (mouse nos. 653, 654, 655, 659, and 660). There was some spillage of HSV into surrounding brain and also into CSF spaces and ventricles. Over time, tumors underwent necro-

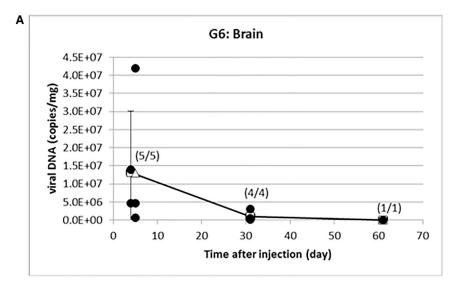
sis, but in multiple instances tumors escaped the viral effect, grew, and killed the mouse (most mice in group 6). In some mice, there appeared to be complete regression of tumors from the agent (mouse nos. 662 and 679). In one mouse (no. 684) there was minimal tumor in the brainstem but also HSV burden in brain areas that may have been the reason for animal demise. The data appear to suggest that safe administration requires injection of the agent within the tumor with caution to avoid spillage into CSF spaces.

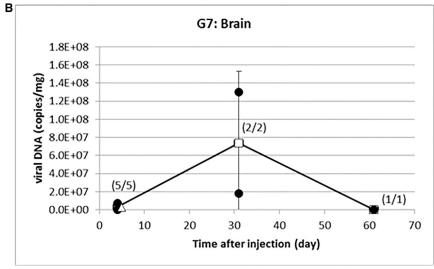
Viral genomes in these GBM groups were initially higher but also rapidly decreased. This was either due to tumor regression, which would expectedly lead to reduced replication, or inability of oHSV to replicate efficiently in growing tumors. Interestingly, CPA did seem to increase the extent of viral genomes within tumors up to a month later, with mouse 764 expressing 1.8×10^7 and mouse 765 1.3×10^8 copies/mg viral DNA. Since both values were higher than any of the six mice analyzed at day 4, the data suggest that there may be continuous persistence and replication of the agent at day 31. Since this was not visualized with group 6 (oHSV alone) animals, this appears to agree with our published data related to CPA effects on enhancement of OV replication.^{33,35} Although we did not attempt to determine infectious oHSV yields in this study, we have previously shown the temporal kinetics of infectious oHSV after injection in human brain tumors and the effects of CPA or other pharmacologic modulators on oHSV titers (see, for example, Fulci et al.⁵⁵ and Otsuki et al.⁵⁶).

and toxicology in mice brains with tumors treated with the highest possible dose of the agent available, i.e., 3.5×10^7 PFU.

This independently conducted GLP study established doses of rQNestin34.5v.2 that were not lethal and pathogenic in mice. There was one death in a female mouse (no. 364) 55 days after intracerebral injection $(4.2 \times 10^4 \, \text{PFU})$ that was clearly attributable to HSV encephalitis. This death led to a selection of the next lowest dose tested $(3 \times 10^3 \, \text{PFU})$ as the dose considered for the clinical trial. Except for the one premature death, all other mice did well without deaths attributable to HSV encephalitis or evidence of HSV-mediated cytopathic effects. CPA was associated with expected CPA-mediated toxicity but with the absence of neuropathology. Viral genomes did not persist in mouse brains after injection and were essentially cleared by a month after injection. There was minimal if any presence of viral genomes outside the CNS. These results thus validated the relative non-pathogenicity of this low dose of rQNestin34.5v.2 in athymic mouse brains.

In comparison, there was much more neuropathology in the experiments conducted with mice with GBM injected with a dose of 3.5 \times $10^7\,\mathrm{PFU}$. This is a combination of the high dose but also of the relative amplification of progeny viruses. The neuropathology of ICP34.5 $^+$ HSV1 in mice is well established. This neuropathogenicity was evident in inflammatory and cytopathic effects in brains. We noted that there were areas of nestin immunopositivity, particularly in tany-





Nestin is an intermediate filament protein and is mostly expressed in neural cells during the early stage of development in mice and downregulated in adulthood. 57,58 Our data, however, showed expression of nestin in adult mice in cells surrounding ventricle. 59,60 In humans, nestin is expressed in gliomas, particularly in the glioma stem cell compartment.^{61–65} In human adults with gliomas, there is extensive literature showing nestin expression in tumor and not brain. Nestin immunopositivity correlates with tumor grade. 66 Zhang et al. 65 estimated in 69 high-grade gliomas that 58% of tumors had between 30% and 60% of cells staining positive, and 33% of tumors had more than 60% of cells staining positive. Although nestin represents a marker of neural stem cells (NSCs) found in the ependymal/subependymal layer of humans, 67,68 these studies have been carried out in children or very young adults. However, there is very little evidence, if any, in the literature of nestin expression in the NSC niche of older human adults, who represent the predominant population that is affected by Glioblastoma (GBM). In fact, there was a study

Figure 4. Longitudinal Persistence of oHSV Genomes in Brain

(A) qPCR for rQNestin34.5v.2 genomes in brains, 4, 31, and 61 days after injection into brains with tumors. (B) qPCR for rQNestin34.5v.2 genomes in brains, 4, 31, and 61 days after injection into brains with tumors, in mice pretreated with CPA.

that extensively studied the SVZ of adult humans harvested during surgery.⁶⁹ Although several markers (e.g., glial fibrillary acidic protein [GFAP], tubulin beta-3 chain [TuJI]) were utilized to detect potential NSCs, interestingly there was no use or mention of nestin. Very recently, a group has published a study with four human adult brains. 70 They report nestin-immunopositive neurons in a few brain regions of the basal forebrain and midline, but not in white matter, where gliomas arise. Independently, a group has published a study reviewing nestin expression in adult brains afflicted by gliomas versus other CNS disease.⁶⁶ They report no nestin immunopositivity in brain.66 Our mouse data, however, were still concerning for possible nestin expression in the brains of adult humans. Independently, we sought to identify whether there were nestinpositive cells in a brain surrounding a resected GBM and in a brain with resected GBMs that had undergone surgery, radiation, and chemotherapy. In neither case did we find nestin-immunopositive cells (unpublished data). This suggests that mouse toxicity and lethality from rQNestin34.5 may arise from ICP34.5 expression in nestin-positive cells along the ventricles, but in human adults this toxicity is less likely

due to absence of nestin-expressing cells. In support of this, the current ongoing phase 1 clinical trial in humans with recurrent GBM treated with rQNestin34.5v.2 (ClinicalTrials.gov: NCT03152318) has not shown evidence of viral-mediated toxicity or encephalitis (unpublished data). This indicates that athymic mice likely overestimated the toxicity of intracerebral rQNestin34.5v.2.

CPA has been used extensively by us and others to improve oncolytic virus replication and survival in injected tumors both preclinically and clinically. 33–35,67,71–89 CPA may function by limiting initial innate host responses against the oncolytic virus (consisting of microglia, macrophages, and natural killer [NK] cells 33–35,55,90) and by reducing the T regulatory cell population. 72,80 In the GBM tumor model, CPA led to increased persistence of viral genomes, but it did not increase efficacy or toxicity. This is likely due to the high dose of rQNestin34.5v.2 used in the experiment, since we previously published that the CPA effect on oHSV efficacy was observed only with a low and not high dose of

Table 5. Experimental Groups (see Materials and Methods)

	Target Human U87∆EGFR				Target No. of A	Animals at Each N	ecropsy
Group	Glioma Cells (2×10^5)	Target CPA (mg/kg)	Target rQNestin34.5v.2 (PFU)	Total No. of Mice	Day 4 (M/F)	Day 31 (M/F)	Day 61 (M/F)
1	0	0	0 ^a	36	6/6	6/6	6/6
2	0	300	0 ^a	36	6/6	6/6	6/6
3	0	0	4.2×10^4	36	6/6	6/6	6/6
4	0	300	3×10^3	36	6/6	6/6	6/6
5	L	0	0 ^a	30	0/10	0/10	0/10
6	L	0	3.5×10^{7}	41	0/10	0/10	0/10
7	L	300	3.5×10^{7}	35	0/10	0/10	0/10

M, male; F, female.

oHSV.³⁵ However, in the ongoing clinical trial, an arm with preadministration of CPA before rQNestin34.5v.2 administration is planned, since several of the cohorts would entail viral doses that have been shown to benefit from CPA pre-administration.

HSV1 is endemic in humans, with a majority exhibiting pre-existent HSV1 antibodies, which could be reasonably expected to modulate the effect of the virotherapy, but in the reported phase 3 clinical trial of the oHSV, Imlygic, for melanoma the results did not show a significant difference in responses as a function of pre-existent antibodies.¹⁷

In conclusion, the extensive preclinical data presented were submitted as part of a new IND application to the FDA in 2015 and again in 2016, leading to enrollment of the first human subject with a recurrent GBM treated with an intratumoral dose of 10⁶ PFU in September 2017.

MATERIALS AND METHODS

GLP Animal Studies

GLP animal studies were performed by a contract research organization (Battelle, West Jefferson, OH, USA). These studies were approved by Institutional Animal Care and Use Committee (IACUC) of Battelle and performed in accordance with the guidelines and regulations of Battelle. Two hundred fifty *nu/nu* mice (athymic) were initially assigned to one of seven dose groups as presented in Table 5. Groups 1–4, considered as the toxicity assessment group, were comprised of 36 mice (18 per sex per group) with a target of 6 per sex assigned to each scheduled necropsy. Groups 5–7, considered as the efficacy group, were comprised of all female mice. Due to expected mortality in the tumor-bearing groups, 41 and 35 mice were assigned to groups 6 and 7, respectively, in order to allow for a target of 10 mice per group at the day 61 scheduled necropsy. Pre-GLP animal studies were approved by IACUC of the Ohio State University (OSU) and performed in accordance with the guidelines and regulations of OSU.

Endpoints used to evaluate the potential toxicity of rQNestin34.5v.2 were mortality, clinical observations, body weights, food consumption, clinical pathology, gross necropsy (including organ weights), histopathology (selected tissues), and IHC (of the brains of selected mice). From selected mice, biodistribution of rQNestin34.5v.2 was evaluated in blood, brain

(with tumor), spinal cord, bone marrow, kidney, lungs, gonads, heart, liver, spleen, and lymph node by qPCR. A staggered start was used in order to accommodate the surgery schedule for all of the mice.

Viruses, Cells, and Media

Preclinical lots of rqNestin34.5v.2 were prepared in a GLP manner. The oHSV was manufactured by Meridian Life Sciences (Memphis, TN, USA). The lot used on this study (02190074) was manufactured on March 2, 2013 and supplied by PeriphaGen (Pittsburgh, PA). Eleven vials containing 110 μ L per vial with a reported titer of 1.2 \times 10⁷ PFU/μL were received by Battelle on July 30, 2013 and stored in a freezer unit set to maintain -85° C to -60° C. While in use, the test article was stored on wet ice. Stability of rQNestin34.5v.2 was evaluated at −65°C or below for up to 12 months. Stability of a similar viral construct was evaluated at 2°C-8°C for up to 8 h. Certificates of analyses are available (data not shown). Human U87ΔEGFR glioma cells were sent from the Brigham and Women's Hospital and to Battelle and received on July 19, 2013 at a concentration of 1×10^7 cells/mL. Cells were prepared in Bambanker cryopreservation medium (Lymphotec). Human U87ΔEGFR glioma cells were stored at the Battelle Biomedical Research Center (BRC) test site (West Jefferson, OH, USA). CPA was received from Crosby Drugs on June 6, 2013. Lot no. 2I778A (Baxter) was stored at room temperature with an expiration date of September 2015. CPA was received as a dry powder. Dulbecco's phosphate-buffered saline (DPBS), lot RNBC5905 (Sigma), was the vehicle for rQNestin34.5v.2. DPBS was stored per instructions on the bottle (15°C-30°C), not per protocol (2°C-8°C), and used prior to the expiration date of October, 2014. Hanks' balanced salt solution (HBSS), lot no. 1300251 (Gibco brand, Life Technologies), was the vehicle for the human U87ΔEGFR glioma cells. HBSS was stored at 15°C-30°C and used prior to the expiration date of March 30, 2016. 0.9% sodium chloride for injection (sterile saline), lot no. C899575 (Baxter), was the vehicle for the CPA and was used prior to the September 2014 expiration date.

Preparation of Cells, oHSV, Media, and CPA

A stock of rQNestin34.5v.2 was provided at 1.2×10^7 PFU/µL. Two secondary stocks were prepared at 1.2×10^5 PFU/5 µL (dilution A) and 1.2×10^4 PFU/5 µL (dilution B) by diluting the stock with DPBS 1:100 and 1:10, respectively. Dilution A was further diluted 14:186

^aDulbecco's phosphate-buffered saline.

Table 6. Target Animal Identification Numbers (see Materials and Methods)

		Identification	Numbers
Group	Description	Males	Females
1	control	101-118	151-168
2	CPA	201-218	251-268
3	4.2×10^4 PFU virus	301-318	351-368
4	3 × 10 ³ PFU virus + CPA	401-418	451-468
5	tumor	-	551-580
6	tumor + virus		651–69 ^a
7	tumor + virus + CPA		751–785 ^a

^aDue to exhaustion of formulated CPA, one animal designated for group 7 was reassigned to group 6.

with DPBS to achieve the 4.2×10^4 PFU/5 μL concentration used for the 4.2×10^4 PFU virus group mice. Dilution B was further diluted 10:190 with DPBS to achieve the 3.5×10^3 PFU/5 μ L concentration used for the 3×10^3 PFU virus group mice. The stock concentration of rQNestin34.5v.2 (1.2 \times 10⁷ PFU/ μ L) was also diluted 233:167 with DPBS to achieve the 3.5×10^7 PFU/5 μ L concentration used for the tumor + virus group mice and the tumor + virus + CPA group mice. rQNestin34.5v.2 was prepared within a laminar flow hood once for each day of use. The number of vials needed were thawed on ice prior to dilution. Dilutions were maintained on wet ice and used (injected) within 8 h of the initial thawing of the stock. Human U87ΔEGFR glioma cells were seeded, maintained, and expanded as needed. The culturing of the human U87ΔEGFR glioma cells was initiated by seeding approximately 1×10^5 cells per flask containing growth medium (Dulbecco's modified Eagle's medium [DMEM] with 10% heat-inactivated fetal bovine serum [FBS], 100 U/mL penicillin, and 100 μg/mL streptomycin). Cells were then re-fed the following day by completely exchanging the growth medium. Once the cells had reached approximately 65%-80% confluence, they were washed with PBS and removed from the flask surface using 0.05% trypsin/ethylenediaminetetraacetic acid (EDTA) followed by the addition of passaging medium (DMEM with 2% FBS) to inactivate the trypsin. An aliquot of the cell suspension was then counted using a hemocytometer and trypan blue to stain non-viable cells. The human U87ΔEGFR glioma cells were then passaged by seeding an appropriate volume of the cell suspension into flasks containing growth medium. In order to prepare the cells for injection, three to eight flasks were harvested using trypsin/EDTA followed by passaging medium and then counted as described above. Afterward, the cells were pelleted by centrifugation (5 min, 500 RCF [relative centrifugal force], 20°C) and resuspended in HBSS at a target of 4×10^4 cells per μ L, such that each mouse would receive a target of 2×10^5 cells in 5 µL. Once prepared for injection, the human U87\Delta EGFR glioma cells were stored in a refrigerator unit set to maintain 2°C-8°C or on wet ice for up to 3 h 46 min prior to injection. For 12 mice (no. 561 [tumor group], nos. 654, 662, 676, 680, 684, 687, and 688 [tumor + virus group], and nos. 753, 766, 768, and 769 [tumor + virus + CPA group]), the human U87ΔEGFR glioma cells were injected up 46 min past the 3-h time point. CPA was prepared within a laminar flow hood once for the study by adding 50 mL of 0.9% sodium chloride for injection (sterile saline) to the contents of one bottle

of CPA, yielding a 20 mg/mL concentration. The reconstituted CPA was stored at $2^{\circ}C-8^{\circ}C$.

Mice

Ninety male and 286 female nu/nu mice (athymic) Crl:NU-Foxn1^{nu} strain code 088 (homozygous) were received at Battelle on July 23, 2013 from Charles River Laboratories (Wilmington, MA, USA). Mice were 5 weeks of age at receipt, and males weighed 20.9-29.5 g on day 1 and females weighed 18.1-25.9 g on day 1. All mice were quarantined per testing facility standard operating procedure (SOP). The housing and animal care practices met current Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC) standards and current requirements stated in the Guide for the Care and Use of Laboratory Animals (National Research Council [NRC], 2011). Mice were housed in a positive pressure high-efficiency particulate air (HEPA)-filtered containment area (bioBUBBLE, Fort Collins, CO, USA). Mice were individually housed in plastic cages with filter-media lids and irradiated corn cob bedding. All animal housing and environmental conditions followed testing facility SOP. The environmental conditions of the animal study room conformed to testing facility SOP. The light/dark cycle was controlled by electronic timers set to maintain 12 h of light and 12 h of dark each day using fluorescent lighting set to start at 6:00 AM each day. The room temperature and relative humidity controls were set to maintain ranges of 68°F-79°F and 30%-70%, respectively. Room temperature and humidity were monitored for conformance per testing facility SOP. Additionally, the temperature and humidity within the bio-BUBBLE were monitored and recorded at least twice daily using a calibrated thermometer and calibrated hygrometer, respectively. Fresh air was set to provide a minimum of 10 changes of room air per hour. Cages and feeders were changed and sanitized at least weekly according to testing facility SOP. All mice had ad libitum access to irradiated NTP-2000 wafer feed (Zeigler Brothers, Gardners, PA, USA) ad libitum according to testing facility SOP. Water was provided by Hydropacs. Each animal received, at a minimum, one Hydropac per week, which was changed out at a minimum of weekly intervals. Documentation of the preparation of the Hydropacs, lot/batch numbers, and results of analyses was provided by the supplier. The city water conformed to the current US Environmental Protection Agency (EPA) drinking water standards. There were no known or reported contaminants in either the water or feed that would have any impact on study results or interpretations. Analytical reports of water analysis and each lot of certified feed used are maintained under the direction of Battelle.

Mouse Group Assignment and Randomization

Prior to group assignment, mice were identified by cage card. Mice were assigned to dose groups by body weight during week -2 (control, CPA, 4.2×10^4 PFU virus, and 3×10^3 PFU virus + CPA groups) and week -1 (tumor, tumor + virus, and tumor + virus + CPA groups) following injection of human U87 Δ EGFR glioma cells and prior to CPA injection using a computer program (Provantis, Instem), which ensured similar group mean body weights. This assigned number (unique within the study) was considered the animal ID number, and all electronic data were recorded by this ID (Tables 6 and 7).

Table 7. Target Animal Identification Numbers per Scheduled Necropsy Day

		Day 4		Day 31		Day 61	
Group	Color Code	Male	Female	Male	Female	Male	Female
1	white	101–106	151-156	107-112	157–162	113-118	163-168
2	lilac	201–206	251-256	207-212	257–262	213-218	263-268
3	blue	301-306	351-356	307-312	357-362	313-318	363-368
4	green	401-406	451-456	407-412	457-462	413-418	463-468
5	yellow		551-560		561-570		571-580
6	orange	-	651-660	-	661-670	-	671-680
7	red	-	751-760	_	761-770	-	771-780

In order to maintain a sufficient number of animals available for each day of necropsy, animals were reassigned to another day of necropsy, as needed.

Following group assignment, a second, unique number was given to each mouse that was considered the treatment ID number. Mice were identified by tail mark (dorsal side of tail) with the treatment ID number and a temporary cage card. The temporary cage card contained the study number and treatment ID number, at a minimum, but did not contain information related to group assignment. A cross-reference containing the animal ID number and the treatment ID number list was maintained. The treatment ID was used to blind staff performing stereotaxic injections to the assigned groups. Upon completion of the dose administration period, the animal ID number allocated at group assignment was added to the ventral side of the tail via tail mark and a new cage card was generated. Each new cage card contained information including study number, group assignment, and animal ID number.

Surgical Procedure

On day -7, mice were anesthetized with ketamine (100 mg/kg), xylazine (10 mg/kg), and acepromazine (3 mg/kg). During the surgery, the animals' vital signs and level of anesthesia were monitored by observing respiration, color, and toe pinch reflexes. Once anesthetized, each animal's head was secured to the stereotactic apparatus. Then, the skin was cleaned by wiping with a surgical scrub cotton swab followed by an alcohol-soaked cotton swab. A 1-cm linear incision was made in the skull midline using a disposable sterile scalpel (no. 10). After the bregma was identified, a small burr hole was drilled into the skull (using a sterile drill bit) at stereotactic coordinates: 1 mm frontal from bregma/2 mm right lateral from bregma (for intraparenchymal administration). A sterile Hamilton syringe with a 27gauge needle was loaded with 5 µL of tumor cell suspension (day −7, groups 5, 6, and 7), 5 µL of the appropriate concentration of rQNestin34.5v.2 solution (day 1, groups 3, 4, 6, and 7), or 5 μL of DPBS (day 1, groups 1, 2, and 5) and secured to the stereotaxic frame. The needle was slowly lowered into the burr hole to puncture the dura and then introduced to a final depth of 3 mm into the brain. The cells, virus suspension, or DPBS was slowly injected during a period of 5-6 min, and the needle was slowly retracted during an additional 2-6 min. Following the injection and retraction of the needle, the burr hole was covered with sterile bone wax, and the skin incision

was closed with 4.0 nylon suture and wiped with a clean cotton swab soaked in surgical scrub solution. The stereotaxic apparatus was wiped with alcohol between surgeries, and the Hamilton syringes were cleaned internally with three cycles of PBS. Post-operative analgesics were buprenorphine (0.1 mg/kg, administered via subcutaneous [s.c.] injection once immediately after regaining consciousness) and meloxicam (0.2 mg/kg, once daily for 3 days following surgery).

CPA Administration

On day -2, mice in the CPA-designated groups received an intraperitoneal injection of CPA at a volume of 15 mL/kg delivering a dose of 300 mg/kg CPA. Animal 786 (tumor + virus + CPA group) was reassigned as animal 691 (tumor + virus group) because there was insufficient CPA to dose all assigned animals.

Clinical Observations, Body Weights, Food Consumption, and Serum Collection

Observations for morbidity/moribund status and mortality were performed on all mice in the study room according to testing facility SOP. A detailed clinical observation was also performed on potential study mice at the time of body weights for group assignment, on mice receiving a stereotaxic injection of glioma cells on day -7 (prior to injection), on mice receiving an intraperitoneal injection of CPA or saline on day -2 (prior to injection), on mice receiving a stereotaxic injection of rQNestin34.5v.2 or vehicle on day 1 (prior to injection), and at a target of weekly intervals throughout the study on surviving study animals. Beginning on day -2 for mice in the control, CPA, 4.2×10^4 PFU virus, and 3×10^3 PFU virus + CPA non-tumor bearing groups and on day -7 for mice in the tumor groups, cageside clinical observations were performed once daily (with the exception of days with scheduled detailed clinical observations) throughout the in-life period. Additional observations were recorded as needed. On the days of scheduled necropsy, a detailed clinical observation was performed on mice scheduled for necropsy.

Body weights were recorded from animals pre-study (week -2) for group assignment. Individual animal body weights were recorded on days -7, -2, and 1 and a target of weekly thereafter (to coincide

with detailed clinical observations) for surviving animals. Fasted body weights were recorded for mice selected for necropsy on the day of each animal's scheduled necropsy.

Feed consumed was quantitatively assessed during the study on a weekly basis to coincide with weekly body weights. Feed consumed was not performed for several early-death animals.

On each day of scheduled necropsy, blood was collected from all surviving mice scheduled for necropsy. Specimens were analyzed at the Battelle King Avenue Test Site and not at the testing facility, as specified in the protocol; however, this had no impact on the study, as the specimens were analyzed on appropriate equipment by trained staff. Mice were anesthetized with CO_2/O_2 , and whole-blood specimens were collected via cardiac puncture. Blood was utilized for qPCR evaluation or for analysis of hematology and/or clinical chemistry parameters following a randomized selection, as follows:

From a target of half the mice scheduled for necropsy (five mice per group in the tumor-bearing groups and a target of three mice per sex per group for the toxicity groups), whole-blood specimens were collected into tubes containing sodium citrate as the anticoagulant. The whole-blood/citrate tubes were placed immediately on dry ice prior to storage in a freezer unit set to maintain at -85° C to -60° C until shipment for qPCR evaluation.

From the remaining mice scheduled for necropsy (a target of five mice per group in tumor-bearing groups and a target of three mice per sex per group for the toxicity groups), whole-blood specimens (as much as possible) were collected for the evaluation of hematology and serum chemistry parameters. Blood specimens for serum chemistry parameters measurement were placed into tubes containing serum separator gel. Blood specimens for hematology parameters measurement were placed into tubes with tripotassium ethylenediaminetetraacetate (K₃ EDTA).

Blood was not collected from mice found dead.

Serum Chemistry, and Hematology

At a minimum, serum chemistry parameters indicated with an asterisk were evaluated: alanine aminotransferase,* albumin/globulin ratio,* albumin,* alkaline phosphatase,* aspartate aminotransferase,* cholesterol, creatinine, chloride,* potassium, triglycerides,* sodium,* γ -glutamyl transferase, globulin, glucose,* protein, total,* urea nitrogen. Hematological parameters measured are listed as follows: cell morphology, erythrocyte count, hematocrit, hemoglobin, leukocyte count, total, leukocyte differential, absolute, mean corpuscular hemoglobin, mean corpuscular hemoglobin concentration, mean corpuscular volume, nucleated red blood cell count (nRBC), platelet count, reticulocyte count (%).

qPCR

DNA was extracted from collected tissues and blood using a QIAGEN DNeasy tissue kit according to the vendor's suggested protocol. The yield and quality of DNA from each sample were determined by spec-

trophotometry. qPCR was performed on each specimen in duplicate using a primer and probe set targeting the HSV1 gene ICP22. The qPCR method was partially qualified. Each qPCR test included a standard curve consisting of 10^5 , 10^4 , 10^3 , 10^2 , 0.5×10^2 , 10, and 0.5 copies per reaction of pSG25 reference plasmid, buffer control (BC), and a non-template control. ICP22 copy number in each tissue was assessed by comparison to the standard curve. Tissues were processed according to SOP BESTC BIO IV-312 and BESTC BIO V-061, and qPCR for rQNestin34.5v.2 vector (ICP22 target) was completed according to BESTC BIO IV-313. The limit of detection and quantitation for the assay was five copies of ICP22 per 5 µL of purified DNA. Final reportable values were copies/µg DNA. Each tissue extraction batch included a process or BC to monitor cross-contamination. For all tissues except the brain, a fraction of the tissue (unless the entire tissue was \leq 25 mg [\leq 10 mg for spleen], in which case the entire tissue was used) was processed for qPCR analysis. For brains, the viral load in the entire brain was wanted, which meant processing the entire brain and removing a portion for qPCR analysis. qPCR was performed on selected tissues from early-death animals in the toxicity groups and on spinal cords from early-death animals in the tumor-bearing groups for which cause of death was undetermined or possibly due to rQNestin34.5v.2 based on the IHC evaluation. Finally, qPCR data from all tissues were obtained for mouse nos. 668 (tumor + virus group), 688 (tumor + virus group), and 778 (tumor + virus + CPA group).

Necropsies and Tissue Processing

Complete necropsies were performed on all study mice that died or were terminated at an unscheduled time. Moribund mice were humanely euthanized per testing facility SOP. An attempt was made to collect blood specimens for hematology and serum chemistry parameters assessment or for qPCR. Tissues, when present, were collected as listed for scheduled necropsies (Table 8). The brains (whole with tumor intact) from a target of one-half of early-death mice in the control, CPA, and tumor-only groups were processed for shipment for IHC evaluation, and the other half were processed for qPCR. Remaining tissues were processed as for scheduled necropsies. Blood specimens were processed with target of one-half of the mice in each group designated for hematology and serum chemistry analysis and a target of one-half of the mice in each group designated for qPCR. For scheduled necropsies, a target of 12 mice (six per sex per group) in the toxicity groups and a target of 10 mice per group in the tumor-bearing groups were scheduled for necropsy on days 4 (except for mouse nos. 656-660 (tumor + virus group) and 756-758 (tumor + virus + CPA group) that were necropsied on day 5), 31, and 61. Animals were fasted overnight, weighed, and humanely terminated per testing facility SOP in conjunction with blood collection for the assessment of clinical pathology parameters. All scheduled necropsies proceeded with a board-certified veterinary pathologist available for consultation. Each necropsy included examination of the external surface of the body and all orifices; the cranial, thoracic, abdominal, and pelvic cavities and their contents; and collection of tissues. Listed tissues, when present at scheduled necropsy or moribund termination, were collected and weighed from all animals.

Tissue	Processing at Necropsy
Tumor with brain	whole brain with tumor intact—tumor encompassed injection site; from target half of mice (random selection), whole brains (with tumor) flash-frozen in liquid nitrogen for qPCR; from target half of mice (random selection), whole brains (with tumor) placed into 10% NBF
Lymph nodes (LNs)	collected mandibular/cervical LNs as a single entity (as many as possible); left flash-frozen in liquid nitrogen for qPCR; right side placed into 10% NBF and stored for possible processing and histopathology
Spinal cord	cervical and thoracolumbar—(no bone in section) for qPCR; cervical and thoracolumbar—fix <i>in situ</i> , decalcified later for histopathology evaluation
Bone marrow (femur)	left femur—bone marrow flushed with phosphate- buffered saline and flash-frozen in liquid nitrogen for qPCR; right femur placed into 10% NBF and stored for processing and histopathology
Kidney, lungs, ovaries/testes	left side flash frozen in liquid nitrogen for qPCR; right side placed into 10% NBF and stored for processing and histopathology, with the exception of testes, which were preserved in modified Davidson's fixative and subsequently transferred to 10% NBF according to testing facility SOP
Heart, liver, spleen	sample (~5 mm³) flash-frozen in liquid nitrogen for qPCR; remainder of tissue placed into 10% NBF and stored for processing and histopathology
Animal identification	collected but not processed for evaluation
Gross lesions (i.e., skin ulceration)	collected and processed as heart, liver, spleen, and retained at testing facility for possible qPCR and histopathology evaluation

Paired organs were weighed together. For each animal, instruments were cleaned in diluted bleach and rinsed in 10% ethanol prior to the collection of each tissue.

Specimens collected at necropsy for qPCR analysis were flash frozen in liquid nitrogen (with the exception of blood) and stored in a freezer unit set to maintain -85° C to -60° C.

Tissue Histopathology and IHC

Tissues were received by the Comparative Pathology and Mouse Phenotyping Shared Resource (CPMPSR) in the Department of Veterinary Biosciences, Ohio State University. Four standard coronal secrepresenting tions of brain were made, telencephalon, diencephalon, mesencephalon, and metencephalon, and placed in cassettes for dehydration and paraffin embedding by the Histology Laboratory of the CPMPSR. Five- to 7-µm sections were placed on glass slides for routine H&E staining, and immunohistochemical staining for HSV antigen (Dako, Agilent Technologies, Santa Clara, CA, USA), CD45 (Abcam, Cambridge, MA, USA), and nestin (Acris, Origene Technologies, Rockville, MD, USA). Slides were mounted with glass coverslips and evaluated. Tissues([bone marrow, ovaries/

testes, heart, liver, lungs, lymph nodes (mandibular/cervical), kidney, spinal cord (cervical and thoracolumbar), and spleen from animals 215, 216, 218, 264, and 265 [CPA group] and 413, 418, and 467 [3 \times 10³ PFU virus + CPA group]) were processed to slides and stained with H&E. Tissues from early-death mice in the 4.2 \times 10⁴ PFU virus group were not examined, as the proposed clinical starting dose is the human bio-equivalent of the 3 \times 10³ PFU virus for mice and not the 4.2 \times 10⁴ PFU virus. Slides were examined microscopically by Dr. M. Oglesbee, a board-certified veterinary pathologist.

Statistical Analyses

Statistical comparisons were made as per groups listed in Table 9. All appropriate quantitative in-life data collected at Battelle using the Provantis system were analyzed for test article effects by parametric or nonparametric approaches. For all data, normality was determined by the Shapiro-Wilk test, and homogeneity of variances was tested by Levene's test. Data were log-transformed to achieve normality when assumptions were not met. For parametric data determined to be normally distributed and homogeneous among groups, an analysis of variance (ANOVA) model was used to test for differences among the group means. Ad hoc pairwise comparisons were adjusted using Dunnett's test.. For nonparametric data that were not normally distributed and/or nonhomogeneous, a Kruskal-Wallis test was used to determine whether there were differences among the group means. Ad hoc pairwise comparisons were done by Wilcoxon tests and adjusted for multiplicity by the Bonferroni-Holm method. All statistical tests were performed at the 0.05 level of significance (p < 0.05), after accounting for multiple comparisons where indicated. All appropriate quantitative clinical pathology and post-mortem data collected at Battelle were analyzed statistically when $n \ge 3$. All data were analyzed for test article effects by analysis of variance. For homogeneous data, as determined by Bartlett's test for homogeneity at the 0.05 level, tests for differences between the control and comparison groups were made using Dunnett's test. For nonhomogeneous data, as determined by Bartlett's test for homogeneity at the 0.05 level, tests for pairwise differences between the control and each of the comparison groups were made using Cochran and Cox's modified two-sample t test. Statistical significance for each comparison was reported at the 0.05 level.

The random selection of mice for clinical pathology-qPCR versus IHH/histopathology-qPCR assignment was accomplished through the use of a computer-generated list of random numbers produced by Microsoft Excel. Briefly, the random number generation capability was selected with the following options: number of variables = 1; number of random numbers = the total number of entries (N); distribution = uniform; parameters = "0" and "1." The animal IDs were entered into a column (A) in numerical order. The random numbers generated by Excel were placed into a second column (B). The columns were sorted by the random number list in a lowest-to-highest order; the spreadsheet retained the original entries, and the sorted values were placed into columns D and E. The final sample processing assignment was designated in another column (F). This procedure was repeated to define a separate brain processing assignment. As such, the selection for blood and brain dispositions was completed independently. By following this

Table 9. Groups Analyzed Statistically	
Group	Compared to Which Group
Control	
CPA	control
4.2×10^4 PFU virus	control
3×10^3 PFU virus + CPA	CPA
Tumor	-
Tumor + virus	tumor
Tumor + virus + CPA	tumor and tumor + virus

process, the animals assigned for blood qPCR were not necessarily the same animals assigned for brain qPCR. This process was continued for all groups and all termination days (4, 31, and 61, and early termination of group 5) until protocol amendment 2 was effective and defined the exception that the brains of all early-death mice in virus groups (with or without injections of tumor cells) would go only for IHC/histopathology at Ohio State University (all other mice were subjected to the above-defined randomization process).

SUPPLEMENTAL INFORMATION

Supplemental Information can be found online at https://doi.org/10.1016/j.omtm.2020.03.028.

AUTHOR CONTRIBUTIONS

E.A.C., H.N., and K.K. were responsible for the design, analyses, interpretation, and preparation of the manuscript. H.N. and K.K. were responsible for the conduct of experiments. S.A.F. was responsible for the biostatistical analyses. M.O. was responsible for the neuropathological analyses and preparation of the manuscript.

CONFLICTS OF INTEREST

E.A.C. and H.N. are named inventors on patents related to rQNestin34.5v.2. E.A.C. is a consultant to Advantagene, Inc., who has an option to license rQNestin34.5v.2. E.A.C. is currently an advisor to Alcyone Biosciences, Insightec, Inc., Voyager Therapeutics, Sangamo Therapeutics, Seneca Therapeutics, Immunomic Therapeutics, and DNAtrix, Inc., and has equity interests in Immunomic Therapeutics, Seneca Therapeutics, and DNAtrix, Inc.; he has also advised Oncorus, Merck, Tocagen, Ziopharm, Stemgen, NanoTx, Ziopharm Oncology, Cerebral Therapeutics, Genenta, Janssen, Karcinolysis, Shanaghai Biotech, and Sigilon Therapeutics. He has received research support from NIH, US Department of Defense, American Brain Tumor Association, National Brain Tumor Society, Alliance for Cancer Gene Therapy, Neurosurgical Research Education Foundation, Advantagene, NewLink Genetics, and Amgen. The remaining authors declare no competing interests.

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Supplemental Information

Preclinical Toxicology of rQNestin34.5v.2:

An Oncolytic Herpes Virus with Transcriptional

Regulation of the ICP34.5 Neurovirulence Gene

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Supplemental Figure Legends

Supplemental Figure 1. Schematic of the construction of the fHSVQ2-X series of bacterial artificial chromosomes (BAC) that contain the entire HSV1 genome with
endogenous HSV1 ICP34.5 and ICP6 deletions and insertion of a large sequence utilized
for transfer of exogenous sequences, such as the Nestin/hsp68 promoter/enhancer sequence
(marked by X). See main text for more detail.

Supplemental Figure 2- Infection with rQNestin34.5 v.2. suppressed phosphorylation of eIF- 2α in human glioma cell lines and human primary glioma cells, but not in human primary normal cells.

Supplemental Figure 3- Panel A: Cytotoxicity of rQNestin34.5v.2 against a panel of human glioma cells vs. a panel of normal cells. rQNestin34.5v.2 was added to a panel of glioma cells, U87ΔEGFR (U87dE), U87, U251 and OG02 glioma "stem-like" cells and to a panel of normal cells, human astrocytes (HA), human fibroblasts (Fibro.), human smooth muscle (SM), human skeletal muscle cells (SkM) and mouse astrocytes (MA). Cells were seeded on 6-well plates in complete medium prepared by following manufacturer's instructions for normal primary cells, BTSC medium for primary glioma cells or DMEM supplemented with 2% FBS for glioma cell lines and allowed to adhere. The medium for normal cells were changed to basal medium a few hours after cell preparation. Next day, viruses were added at MOI=0.1. rQNestin34.5v.2 inactivated with UV radiation was used as mock control. One hour after infection, cells were washed with glycine saline solution (10 mM glycine, 137 mM NaCl, 24.1 mM KCl, 0.49 mM MgCl₂. 0.68 mM CaCl₂, pH 3) followed by PBS to remove unattached viruses and fresh medium were added. Cells were

incubated at 37 C in an atmosphere containing 5% CO₂. Five days after infection virus cytotoxicity was measured as surviving cells counted with a Coulter counter (Beckman Coulter). The results showed that there were < or = 20% of glioma cells alive and > or =80% normal cells alive five days after rQNestin34.5v.2 addition. Panel B: Replication potential of rQNestin34.5v2 in different cells. Control rHSVQ1 and wild-type F strain in 4 established glioma cell lines (U251, Gli36, T98G, and U87dE), 3 glioma stem-like cells (G97, OG02, X12) and 4 normal cells (HUVEC, Skeletal muscle-SKM, Smooth musckle-SM, and Fibroblasts-Fibro. Cells (2×10^5) were plated in 6-well plates. The following day, cells were infected with rQNestin34.5v.2 (v2), parental rHSVQ1 (Q1), or wild-type F strain (F) at MOI = 0.1. One hour after infection, cells were washed with glycine saline solution (10 mM glycine, 137 mM NaCl, 24.1 mM KCl, 0.49 mM MgCl₂. 0.68 mM CaCl₂, pH 3) followed by PBS to remove unattached viruses and fresh medium were added. Cells were incubated for 3 days at 37 C in an atmosphere containing 5% CO₂. The cells and medium were collected and subjected to three cycles of freeze/thaw with dry ice/Ethanol and 37 C water bath. After pelleting cell debris by centrifuge (35000 x g, 10 min., 4 C), supernatant was transferred to new tubes and stored at -80 C until titration. The titer of each sample was determined by conventional plaque assay with Vero cells. rQNestin34.5v.2 replication was higher than that of the ICP34.5-negative rHSVQ1 in 4 established glioma cell lines and 3 primary gliomas grown under stem-like condition, but similar to rHSVQ1 in 4 normal cells. F strain replication was higher in all, as expected.

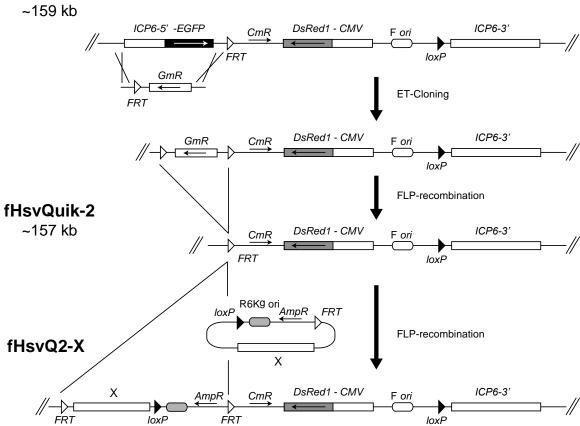
Supplemental Figure 4. H & E (Panel A), HSV IHC (B) and CD45 IHC (C) for brain from mouse 653. Panel A- Large brain tumor in frontal lobe demonstrates necrotic tumor with CPE (listed as 1) and encompassing area delineated by the arrow. Immediately adjacent

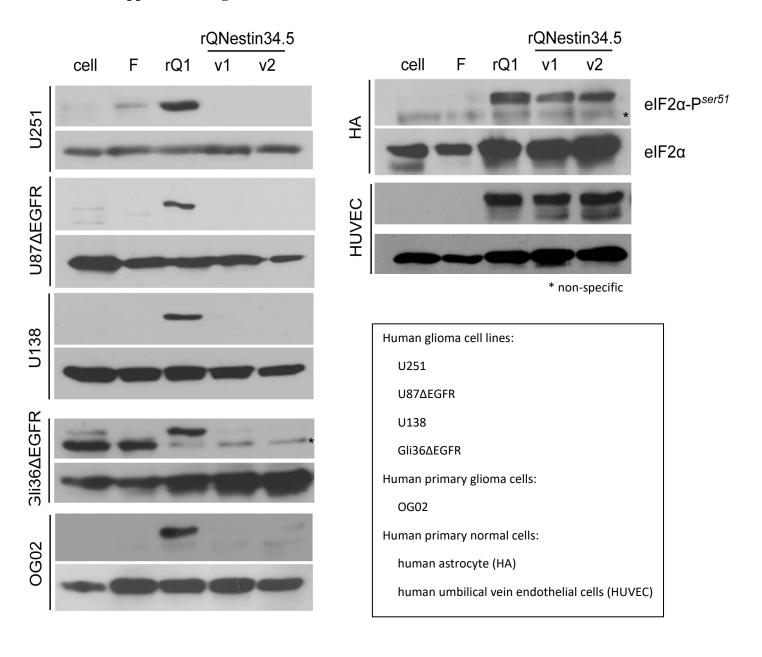
there are cells in the tumor area that appear swollen due to rQNestin34.5v.2 infection (listed as 2). Further out, there appears to be an area of uninfected tumor (listed 3). Panel B- IHC for virus antigen demonstrates abundant virus gene expression in tumor areas exhibiting CPE (zones 1 and 2). Panel C- IHC for CD45 shows the inflammatory tumor area, surrounding the area of necrosis, and also some associated inflammation in brain surrounding infected tumor. Mouse 653 underwent planned euthanasia, 4 days after rQNestin34.5v.2 injection in a brain tumor.

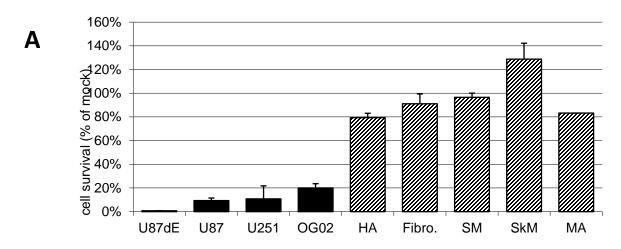
Supplemental Figure 5. Panel A: Series of HSV IHC (top) and CD45 IHC (bottom) for brain sections from mouse 655. Arrow points to HSV infected tumor located in close proximity to ventricular surface. Panel B: HSV IHC of ventricular surface showing some ependymal cells infected with HSV. Panel C: Presence of CD45+ cells associated with the infected ependymal cell layer.

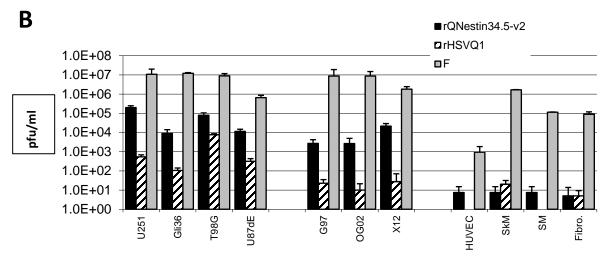
Supplemental Figure 6. Brain from mouse 770. The upper series shows the H and E stained sections. Arrows point at areas of tumor burden located on one side in ventral thalamus and brainstem. Lower series shows the large area of HSV immunohistochemical staining located on the contralateral side of the brain in the ventricle and periventricular areas, with additional involvement of the mesencephalon and hippocampus.

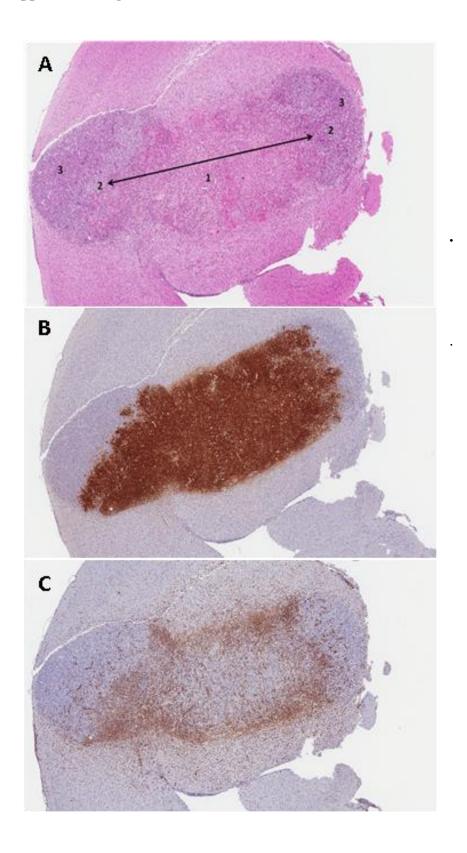
fHsvQuik-1

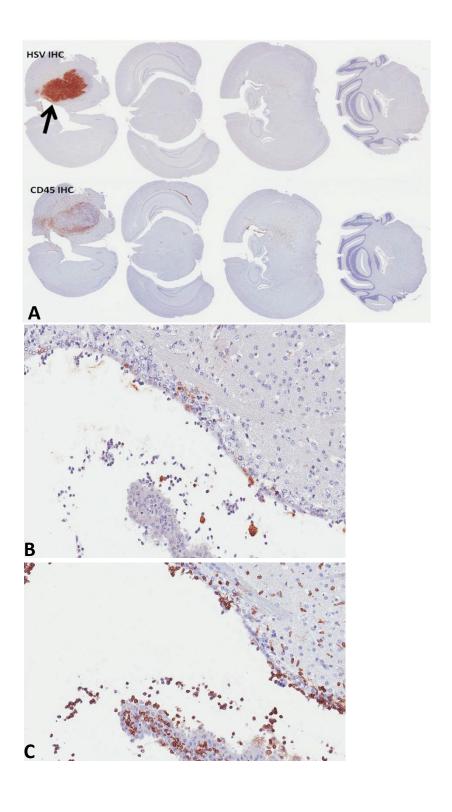


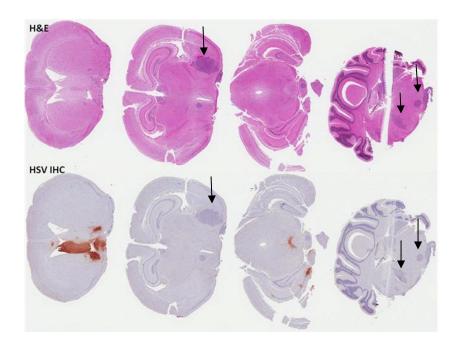












Supplemental Table 1- Pilot dose-effect for rQNestin34.5v.2 or wild-type F strain lethality after different routes of administration in athymic and immunocompetent Balb/c mice.

_			Dose of		Dose of CPA (mg/kg)	_
Route	Strains	Virus	virus (PFU)	0	300	200
IC	Athymic nude	rQNv2	1.0E+03		0/5	
	8-week		3.0E+03		1 / 17 @d4 ^A	
			1.0E+04		3 / 25	0 /15
			1.2E+04	0/9	0/9	
			3.0E+04		0 / 4	
			1.0E+05		10 / 23	
			1.2E+05	0/9	0/9	
			3.0E+05	1/11@d51 ^B	2 / 13	
			1.0E+06	11 / 48		
			3.0E+06	8 / 29		
			1.0E+07	6 / 27		
			3.5E+07	2/8	5 / 8	
		F	1.0E+04	15 / 15		
		Vehicle		0 / 5	1 / 12	0/5
IC	Balb/c	rQNv2	1.0E+06		0 / 18	0/10
	8-week		3.0E+06		3 / 27	
			1.0E+07	1 / 28	4 / 28	0/24
		F	1.0E+03		4 / 4	
			1.0E+04	3 / 5		
			1.0E+05	19 / 22		
		Vehicle		0 / 15	0 / 5	0/5
IT	Balb/c	rQNv2	1.0E+05	0 / 5		
			1.0E+06	0 / 5	0/5	
	8-week		3.0E+06		0/5	
			1.0E+07	1 / 11	0 / 5	0/12
			2.0E+07	2/5		
		F	1.0E+05	1/5	0.12	
		Vehicle		0 / 4	0/3	0/3
	Balb/c	rQNv2	1.0E+07	0/9	0/9	0/11
	>6-month	F	1.0E+05	3 / 5		
		Vehicle		0 / 7	0 / 4	0/3
IH	Balb/c	rQNv2	1.0E+07	0 / 10	2 / 10	0 /12
			2.0E+07	0 / 5		
	8-week	F	1.0E+05	0/9	4/5	
		Vehicle		0 / 10	0/5	0/3
IV	Balb/c	rQNv2	1.0E+07	0 / 15	1 / 10	0 / 12
			2.0E+07	0 / 5		
	8-week	F	1.0E+05	0 / 4	2/5	
			1.0E+07	2 / 5		
		Vehicle		0 / 10	2/5	0/3

^AReason for mouse death at day4 is not known; ^BReason for the single mouse death at day 51 was established to be due to viral-induced encephalitis.

Supplemental Table 2- Early Deaths by Group and Day for intracerebral administration in athymic mice brains—GLP Toxicity Assessment

Group	Animal – Death Status (Day)					
Control (group 1)	No early deaths					
	Males	<u>Females</u>				
CDA (moun 2)	215^{A} – found dead $(52)^{B}$	265 – found dead (54)				
CPA (group 2)	218 – found dead (55)	264 – found dead (59)				
	216 – found dead (59)					
4.2×10^4 pfu virus (group	Males	<u>Females</u>				
	309 –found dead (6)	365 – found dead (54)				
3)	316 – found dead (53)	364 – found dead (55)				
3×10^3 pfu virus + CPA	Males	<u>Females</u>				
-	418 – found dead (53)	467 – found dead (53)				
(group 4)	413 – found dead (54)					

^ANumber refers to mouse identification number; ^BNumber in parenthesis refers to day of death

Supplementary Table 3- Unscheduled lethality in athymic mice with human GBM xenografts treated with oHSV+/- CPA or vehicle.

A—Number refers to the animal identification number; B—5 Mice were necropsied as scheduled. The remaining were found dead or humanely euthanized by Day 9 because of tumor growth.; C—Number in parenthesis refers to day of death or sacrifice after oHSV injection; D—MSAC = Mouse humanely Sacrificed due to moribund condition.

Supplemental Table 4. qPCR for rQNestin34.5 ICP22 gene (Mice with Brain Tumors inoculated with rQNestin34.5v.2)

Animal ID	Day	Bone Marrow	Blood	Brain	Heart	Kidney	Scalp Tumor	Gonads	Liver	Lungs	Spinal Cord	Spleen	Lymph Node
651	4	ND	-	4.6E6	SC	1.4E1	No	SC	1.6E2	1.2E2	SC	SC	SC
652	4	ND	4.7E3	1.4E7	2.2E4	ND	No	SC	1.1E2	ND	SC	SC	SC
653	4	ND	-	-	ND	ND	No	SC	1.2E2	ND	1.7E3	SC	SC
654	4	ND	1.7E4	-	SC	ND	No	SC	SC	ND	SC	SC	SC
655	4	ND	-	-	SC	1.3E1	No	SC	SC	ND	SC	5.9E1	SC
656	5	ND	9.6E3	6.6E5	SC	ND	No	SC	ND	ND	1.5E4	SC	SC
657	5	ND	6.3E4	4.7E6	SC	ND	No	SC	7.6E1	8.3E1	SC	SC	SC
658	5	1.3E3	-	4.2E7	SC	ND	No	SC	8.5E1	ND	SC	SC	SC
659	5	ND	2.3E5	-	SC	2.6E1	No	SC	ND	2.5E1	SC	SC	SC
660	5	ND	-	-	SC	ND	No	SC	3.1E2	5.5E1	SC	SC	SC
662	31	ND	-	-	ND	ND	No	ND	ND	ND	ND	ND	ND
664	24	-	-	-	-	-	No	-	-	-	ND	-	-
667	31	ND	-	4.4E5	ND	ND	No	ND	ND	ND	ND	ND	ND
668	31	ND	-	3.1E6	ND	ND	No	ND	0.93E1	ND	ND	ND	ND
671	31	ND	ND	-	ND	ND	No	ND	ND	ND	ND	ND	ND
672	31	ND	ND	1.5E5	ND	ND	No	ND	1.0E1	ND	8.9E1	ND	ND
673	24	-	-	-	-	-	No	-	-	-	5.9E2	-	-
674	31	ND	ND	2.4E5	ND	ND	No	ND	ND	ND	1.0E1	ND	ND
676	14	-	-	-	-	-	No	-	-	-	6.0E1	-	-
677	31	ND	-	-	ND	ND	1.4E5	ND	2.6E1	ND	ND	ND	ND
678	16	-	-	-	-	-	No	-	-	-	5.3E2	-	-
679	61	-	-	-	-	-	No	-	ND	-	ND	-	-
681	61	-	-	8.4E4	-	-	No	-	ND	-	ND	-	-
684	10	-	-	-	-	-	No	-	-	-	6.4E3	-	-

688	5	ND	-	1.3E7	SC	1.7E1	No	SC	ND	ND	SC	4.9E2	SC
													ł

ND: undetected or less than the lower limit of quantitation (5 copies/ 5µL purified DNA),

SC: Samples lost to contamination,

(-) Not performed see protocol and amendments,

No= No: no scalp tumor. Mouse 677 had tumor extruding in scalp.

Supplementary Table 5. qPCR for rQNestin34.5 ICP22 gene (Group 7 animals)

Animal ID	Day	Bone Marrow	Blood	Brain	Heart	Kidney	Gonads	Liver	Lungs	Spinal Cord	Spleen	Lymph Node
751	4	ND	2.6E4	6.9E6	SC	ND	SC	ND	ND	SC	SC	SC
752	4	ND	ND	8.4E5	ND	ND	SC	SC	ND	SC	SC	SC
753	4	ND	-	3.4E5	SC	ND	SC	ND	ND	SC	SC	SC
754	4	ND	ND	-	ND	ND	SC	1.3E2	1.4E1	SC	SC	SC
755	4	ND	-	-	SC	ND	SC	SC	ND	SC	SC	SC
756	5	ND	-	-	SC	ND	SC	1.0E2	ND	SC	SC	SC
757	5	ND	ND	-	SC	ND	SC	ND	ND	SC	SC	SC
758	5	ND	-	-	SC	ND	SC	ND	ND	SC	SC	SC
759	4	ND	ND	2.9E5	SC	ND	SC	ND	ND	SC	SC	SC
760	4	ND	-	7.4E6	2.6E1	ND	SC	ND	0.9E1	SC	SC	SC
778	5	ND	-	2.8E6	SC	ND	SC	ND	3.0E1	SC	SC	SC
761	17	-	-	-	-	-	-	-	-	ND	-	-
763	20	-	-	-	-	-	-	-	-	4.7E1	-	-
764	31	ND	-	1.8E7	ND	ND	ND	ND	ND	ND	ND	ND
765	31	ND	-	1.3E8	ND	ND	ND	ND	ND	1.0E1	ND	ND
767	10	-	-	-	-	-	-	-	-	7.6E5	-	-
769	23	-	-	-	-	-	-	-	-	ND	-	-
770	20	-	-	-	-	-	-	-	-	2.8E1	-	-
771	31	ND	ND	-	ND	ND	ND	ND	ND	ND	ND	ND
772	31	ND	ND	-	ND	ND	ND	1.6E1	ND	1.5E1	ND	1.4E1
774	10	-	-	-	-	-	-	-	-	ND	-	-
775	23	-	-	-	-	-	-	-	-	ND	-	-

777	35	-	-	-	-	-	-	-	-	ND	-	-
779	29	-	-	-	-	-	-	-	-	ND	-	-
781	17	-	-	-	-	-	-	-	-	ND	-	-
784	61	-	-	3.7E4	-	-	-	ND	-	ND	-	ND

ND: undetected or less than the lower limit of quantitation (5 copies/ $5\mu L$ purified DNA), SC: Samples lost to contamination, (-) Not performed see protocol and amendments.

Supplemental Table 6 - Histologic Scoring Criteria

	Parameter	Score	Criteria
		0	No detection of viral antigen
	HSV Antigen Distribution	1	Focal
	Distribution	2	Multifocal within a plane of section
		3	Disseminated (involving two or more levels)
Brain	Cytopathic effect (CPE)	0	No significant findings
	effect (CFE)	1	Early selective neuronal necrosis, limited in scope
		2	Selective neuronal necrosis
		3	Pannecrosis
	Inflammation (CD45	0	No CD45 immunoreactivity
	positive cells)	1	Focal
		2	Multifocal
		3	Disseminated
	Necrosis	0	No detectable necrosis on H&E stained sections
		1	Limited in scope (0-25%)
		2	25-50% necrosis (all tumor foci)
Glioma		3	50-75% necrosis
		4	75-100% necrosis
	HSV Antigen	0	No detectable viral antigen
		1	Antigen positive cells that are not necrotic
		2	Antigen positive necrotic cells
	Inflammation (CD45	0	No evidence of CD45 reactive cells associated with tumor.
	positive cells)	1	Mild increased in CD45 immunoreactivity
		2	Moderate increased in CD45 immunoreactivity
		3	Marked increased in CD45 immunoreactivity

Supplemental Materials and Methods

Engineering of rONestin34.5v.2. Excision of the GFP-ICP6 fusion transcript from the initially engineered and published rQNestin34.51 to generate rQNestin34.5v.2 was not technically feasible. Therefore, we had to restart from different reagents and parental constructs. Overall, the step-by-step procedures and materials were published. ² First, we had to re-engineer fHSVQuik-1, the bacterial artificial chromosome (BAC) that contains the F strain HSV1 sequence with the deleted diploid ICP34.5 genes. ³ Supplemental **Figure 1** depicts the re-engineering strategy: ET-cloning was utilized to recombine a *GmR* selection into the locus that contained the ICP6 promoter-EGFP-3' ICP6 cassette within the fHSVQuik1 bacterial artificial chromosome (BAC) maintained in E. coli. The PCR fragment that contains a gentamycin resistance (GmR) gene sandwiched between two FRT sites amplified 5'was using the primer set; aataaagccactgaaacccgaaacgcgagtgttgtaacgtcctttgggcgggaggaagcc-3' 5'and ggatcggccgctttacttgtacagctcgtccatgccgagagtgatcccggcggcggtcac-3' from the template pBSK-FRT-Gm DNA. This fragment was electroporated into R632KD cells which are stably transfected with the fHSVOuik-1 and pKD46 plasmids.⁴ This led to the recombinatorial excision of the ICP6 promoter-EGFP-3' ICP6 cassette from hHSVQuik1. FLP-recombination then ensued to remove the selectable *GmR* marker, generating fHSVQuik-2. Next, a transfer plasmid encoding the Nestin/hsp68 promoter/enhancerICP34.5 cassette⁵ (marked as X in **Supplemental Figure 1**) was transfected in bacterial cells to recombine into fHSVQuik-2 (by Flp-recombination) to give rise to fHsvQ2-Nestin34.5. The fHSVQ2-Nestin34.5 BAC was then purified and transfected along with the pcnCre plasmid DNA into mammalian Vero cells by Lipofectoamin reagent (Invitrogen). Cre-Lox recombination then removed all BAC sequences in Vero cell. The new viral genomes generated viral plaques from infected Vero cells that were purified to generate rQNestin34.5v.2. Several single plaques were picked and purified. One of these was selected based on Southern blot analyses showing the expected genetic structure (**Data not shown**). Sequencing confirmed removal of the ICP6-GFP fusion transcript and insertion of the Nestin promoter- ICP34.5 transcriptional cassette (**data not shown**). This new oHSV was thus designated as rQNestin34.5v.2.

In vitro studies. Western blots for the translation factor eiF2α and eiF2α–P^{ser51} were carried out in the following cells: human U251, human U87ΔEGFR, human U138 and human Gli36ΔEGFR glioma cells, human OG02 patient derived glioma cells⁶, and primary human normal tissue cells: human astrocytes, human HUVEC umbilical vein endothelial cells, skeletal muscle cell, smooth muscle cells, and pulmonary fibroblast (Lonza, Switzerland). Media used for cell culture and assay are DMEM (Invitrogen) with 2% FBS for glioma cells and with 10% for HUVEC; Neurobasal medium (Invitrogen) supplemented with B27 (Invitrogen), 100 μg/ml penicillin/streptomycin, GlutaMAX (Invitrogen), and 50 μg/ml of both human EGF and FGF-2 (both from R&D Systems) for OG02 cells; and primary tissue cell were cultured using Lonza's media provided with each cell type. Cells were infected with F strain HSV1, r-HSVQ1, rQNestin34.5, or rQNestin34.5v.2 at a MOI

of 1. For western blot assay, cell lysates were prepared in lysis buffer, consisting of 50 mmol/L Tris-Cl (pH 7.4), 150 mmol/L NaCl, 2.5 mmol/L EDTA,0.5 % TritonX-100,40 μmol/L MG132, 5 mmol/L DTT, PhosSTOP (Sigma) and protease inhibitor cocktail (Roche), sonicated before centrifugation at 20,000 g for 10 minutes at 4°C. Supernatants were used for immunoblot analyses using antibodies against eIF2 α and phosphor-eIF2 α at serine-51 (Cell Signaling Technology). The sample amount was adjusted based on Pierce BCA protein assay kit (Pierce, now Thermo). For cytotoxicity assays, human U87, U87ΔEGFR, U251 glioma cell lines and OG02 patient derived glioma cells as well as normal human astrocytes, fibroblasts, smooth muscle cells, skeletal muscle cells and mouse astrocytes were plated on dishes at 80% confluence without growth factors or FBS. rQNestin34.5v.2 was then added at a MOI of 0.1 the following day. The percentage of surviving cells was then assayed 72 hours later by Coulter counting. For replication assays, human U251, Gli36, T98G, U87ΔEGFR glioma cell lines and G97, OG02, and X12 patient derived glioma cells, as well as normal human umbilical vein endothelial HUVEC cells, skeletal muscle cells, smooth muscle cells and pulmonary fibroblasts were plated at 80% confluence. rQNestin34.5v.2, rHSVQ1 and F strain HSV1 were then added the following day at a MOI or 0.1. 72 hours later, supernatants and cell lysates were harvested, progeny viruses were purified by gradient centrifugation and then viral yields were assayed by plaque counting on Vero cells.

Non-GLP preliminary mouse studies. All experimental procedures using animals were carried out under an animal protocol reviewed and approved by the Ohio State University CCC's IACUCs and performed in accordance with relevant guidelines and regulations. Athymic (nu/nu) (6-8 weeks) and Balb/C (8 weeks or older than 6 months) were utilized

for pilot toxicity assays. Mice were anesthesized by mixed solution (90-100 µL per 20g body weight) of Ketamine (12mg/ml) and Xylazine (5mg/ml) in 0.9% Saline solution. For intracranial (IC) injections, rQNestin34.5v.2, F strain HSV1, or vehicle were injected intracerebrally at stereotactic coordinates (ventral 3.5-mm, rostral 0.5-mm and right lateral 2.0-mm from the bregma) using a stereotaxic apparatus. The injected doses in total 5µl PBS are shown in **Supplemental Table 1.** For intrathecal (IT) subventricular injections we stereotactically targeted the 1.0-mm postal, 1.0-mm lateral, 2.5-mm vertical position. For intrahepatic (IH) injections, the needle was advanced into the peritoneal cavity before entering into the hepatic space and a total of 30µL was injected in the right hepatic lobe. Finally, for intravenous (IV) injections, tail veins were cannulated to inject the indicated amount of virus in a total of 100µL PBS. At the conclusion of the procedure, mice were awaken from anesthesia and then followed. When mice met early removal criteria (neurologic deficits or weight loss greater than 20%) they were euthanized with an overdose of Ketamine and perfused with 10% neutral buffer formalin following PBS. Brains were then removed for eventual histologic analyses.

Histological scoring- Tissues were scored according to criteria outlined in **Supplemental Table 6**. Inflammation is scored separately for tumor, which includes CD45 immunoreactivity in immediate proximity to the tumor, and brain regions distant from tumor and associated with foci of HSV antigen. Note that tumor volume was an estimate based upon serial cross-sectional areas of tumor and brain.

References

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