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Evaluating the Alimentary and Respiratory Tracts in Health and disease (EARTH) research program: a protocol for prospective, longitudinal, controlled, observational studies in children with chronic disease.

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Keywords:	PAEDIATRICS, GASTROENTEROLOGY, RESPIRATORY MEDICINE (see Thoracic Medicine), NUTRITION & DIETETICS

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3 **Evaluating the Alimentary and Respiratory Tracts in Health and disease**
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6 **(EARTH) research program: a protocol for prospective, longitudinal, controlled,**
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8 **observational studies in children with chronic disease.**
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ABSTRACT

Introduction

Chronic gastrointestinal and respiratory conditions of childhood can have long-lasting physical, psychosocial, and economic effects on children and their families. Alterations in diet and intestinal and respiratory microbiomes may have important implications for physical and psychosocial health. Diet influences the intestinal microbiome and should be considered when exploring disease-specific alterations. The concepts of gut-brain and gut-lung axes provide novel perspectives for examining chronic childhood disease(s). We established the “Evaluating the Alimentary and Respiratory Tracts in Health and disease” (EARTH) research program to provide a structured, holistic evaluation of children with chronic gastrointestinal and/or respiratory conditions.

Methods and analysis

The EARTH program provides a framework for a series of prospective, longitudinal, controlled, observational studies (comprised of individual sub-studies), conducted at an Australian tertiary paediatric hospital (the methodology is applicable to other settings). Children with a chronic gastrointestinal and/or respiratory condition will be compared to age and gender matched healthy controls (HC) across a 12-month period. The following will be collected at baseline, 6 and 12 months: (i) stool, (ii) oropharyngeal swab or sputum, (iii) semi-quantitative food frequency questionnaire, (iv) details of disease symptomatology, (v) health-related quality of life, and (vi) psychosocial factors. Data on the intestinal and respiratory microbiomes and diet will be compared between children with a condition and HC. Correlations between dietary intake (energy, macro- and micro-nutrients), intestinal and respiratory microbiomes within each group will be explored. Data on disease symptomatology,

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3 quality of life and psychosocial factors will also be compared between children with a condition
4 and HC.
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7 Results will be hypothesis-generating and used to direct future focused studies. There is future
8 potential for direct translation into clinical care, as diet is a highly modifiable factor.
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14 **Ethics and dissemination**

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17 Ethics approval: HREC/18/SCHN/26. Study results will be presented at international
18 conferences and published in peer-reviewed journals.
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24 **Trial registration**

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33 **ARTICLE SUMMARY**

34 **Strengths and limitations of this study**

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- The prospective, longitudinal, controlled, observational design of this research program provides a structured approach which can be simultaneously applied to multiple chronic gastrointestinal and/or respiratory conditions of childhood and utilises a universal control cohort (for age and gender matching).
 - This study will simultaneously evaluate dietary intake and the intestinal and respiratory microbiomes, which will tease out disease-causing alterations in the microbiomes, provide insights into the gut-lung axis and potentially identify modifiable dietary factors.
 - We will explore relationships between the primary outcomes (diet, intestinal and respiratory microbiomes) and health-related quality of life (including

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3 symptomatology), which may provide insights into the gut-brain axis and identify novel
4
5 pathogenic mechanisms in these conditions.
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- 8 • A limitation of this research program is that it currently includes a single centre, Sydney
9 Children's Hospital Randwick, Australia, however it is a tertiary referral centre for a
10 diverse group of children across the state of New South Wales, Australia.
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12 • A further limitation is the arbitrary sample size targets given the exploratory nature of
13 these studies.
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INTRODUCTION

The primary disease burden in childhood has shifted over the last century from infectious to chronic diseases.¹ Chronic childhood diseases, encompassing a wide spectrum of conditions with different pathogeneses, may have long-lasting physical, psychosocial, and economic effects on children and their families.^{2 3} The human microbiome is a collection of all microorganisms (bacteria, viruses, archaea and eukaryotes) living in association with the human body.⁴ Our understanding of the human microbiomes in health and disease has begun to develop due to the advent of high-throughput sequencing and mass-spectrometry technologies, with the gut emerging as an ecosystem of particular interest. While the effects of an altered gut microbiome (dysbiosis) may not apply to all chronic diseases, there are conditions, disease-related complications and co-morbidities linked to gut microbial dysbiosis. This is especially true in chronic gastrointestinal and respiratory conditions. Affected children are at risk of an imbalanced diet as well as mental health difficulties, which in turn can influence eating behaviours, attitudes and nutritional intake.^{5 6} Some of these conditions also require lifelong dietary modifications; for example, cystic fibrosis (CF).⁷ Additionally, the complex interaction between microbiota (i.e. bacteria), available nutrients and the immune system is essential in maintaining homeostasis and fighting against invading pathogens at mucosal sites.⁸ An important limitation common to most current publications on the human intestinal microbiome in chronic childhood disease(s) is the lack of quantifiable dietary data, as the diet has a marked influence on gut microbiota in health.⁹

The principles and framework of this research program were developed to be applicable to many chronic gastrointestinal and/or respiratory conditions of childhood. Due to the clinical and/or research expertise of the authors and for the purposes of this manuscript, we will describe this program based on three relevant chronic diseases: (i) CF, (ii) obstructive sleep

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3 apnoea (OSA), and Hirschsprung's disease (HSCR). These conditions all have reported or
4 expected changes in their intestinal and/or respiratory microbiomes.
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10 CF is the most common life-shortening recessive disease in Caucasians.¹⁰ It is characterised by
11 intestinal malabsorption, impaired growth and nutrition, and lung disease.¹¹ In CF, a high
12 calorie, high fat diet (110-200% of recommended daily energy intake) is advised to prevent
13 malnutrition and optimise growth.⁷ Recent reports suggest that children tend to achieve the
14 recommended CF diet primarily by overconsumption of energy-dense, nutrient-poor foods
15 rather than nutrient-dense foods.¹² We have previously reported that children with CF, from as
16 early as infancy, have alterations in their gut microbiota, impaired innate immunity and
17 intestinal inflammation.¹³⁻¹⁷ We have also observed that poor growth in children with CF is
18 significantly correlated with the degree of intestinal inflammation.¹⁴ The aetiology of gut
19 microbial dysbiosis and inflammation in CF remains unclear. It is plausible that dietary intake
20 plays a role, as enteric fat abundance (from a high-fat diet) may select for a pro-inflammatory
21 microbiota.^{18 19} Alterations in intestinal metabolomic²⁰ and proteomic²¹ profiles have also been
22 reported. As the life expectancy of CF patients improves, age-related diseases such as
23 gastrointestinal malignancies and cardiovascular disease (e.g. myocardial infarcts in adults
24 with CF) are a growing concern.²² Thus, optimal strategies to optimise health and reduce
25 disease risk factors need to be determined.
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49 In children, OSA can have cardiovascular, neurocognitive and behavioural consequences.²³
50 Murine studies suggest intermittent hypoxia, hypercapnia and sleep fragmentation promote
51 intestinal dysbiosis, increased visceral fat mass, systemic inflammation and atherosclerosis.²⁴⁻
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3 rats²⁸ suggest the possibility of influencing clinical outcomes through affecting the gut
4 microbiome. In adult studies, OSA is associated with gut epithelial damage,²⁹ and nasal
5 dysbiosis and inflammation.³⁰
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12 HSCR is a congenital disorder where the distal intestine is aganglionic for a variable length.
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14 This results in a functional bowel obstruction that usually presents in newborns. Following
15 corrective surgery, children often have ongoing intestinal symptoms, and Hirschsprung-
16 associated enterocolitis (HAEC) remains the most frequent complication. This may result in
17 frequent hospitalisations and even mortality. Children with and without HAEC often have an
18 altered intestinal microbiome³¹ and altered composition of short chain fatty acids (SCFA).³²
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29 To the best of our knowledge, there are no publications on the intestinal virome (i.e. viruses)
30 in children with CF, OSA or HSCR. Bacteriophages (viruses which infect bacteria) can
31 influence bacterial populations via host lysis and horizontal gene transfer, as well as indirectly
32 regulate immune function and inflammation.³³⁻³⁶
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41 Despite accumulating evidence linking health, diet and the microbiomes, there is a paucity of
42 research exploring this simultaneously in the context of chronic paediatric disease.³⁷
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44 Furthermore, potential gut-brain³⁸ and gut-lung³⁹ axes have yet to be well characterised in these
45 conditions. Simultaneous, longitudinal studies using an integrated “omics” approach will help
46 to identify the functional consequences and pathogenic mechanisms that occur within the
47 altered intestinal and respiratory milieu in chronic conditions. By exploring disease
48 mechanisms and environmental interactions (e.g. diet) we may in turn develop insights into
49 potential therapeutic strategies. Additionally, we may be able to identify whether diet may be
50 amenable to specific modifications which may in turn benefit the intestinal microbiome.
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5 The EARTH Program has been established to provide a structured approach to analysing the
6 gastrointestinal and respiratory microbiomes and diet in children with a chronic gastrointestinal
7 and/or respiratory condition. The design improves efficiency by recruiting and assessing a
8 healthy control (HC) group which can be used for comparison against each of the conditions
9 (as opposed to recruiting a new HC group for each condition). Although our initial design is
10 focused on CF, OSA and HSCR, the program framework is applicable to other chronic
11 gastrointestinal and/or respiratory conditions of childhood.
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23 **OBJECTIVES**

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25 The objective of this research program is to evaluate and compare children with a chronic
26 gastrointestinal and/or respiratory condition and age and gender matched HC. The primary
27 objectives include analysing the intestinal and respiratory microbiomes (using an integrated
28 “omics” approach) and dietary intake using validated, parent-report tools (Table 1). The
29 secondary objectives are also presented in Table 1 and include evaluating:
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- 38 1. Known inflammatory biomarkers.
 - 39 2. Symptomatology and health-related quality of life (HRQOL) using validated measures.
 - 40 3. Phenotypic and clinical information.
 - 41 4. Sociodemographic factors
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47 Additional secondary objectives include correlating within children with the same condition:
48 (i) dietary intake with the intestinal microbiome; (ii) dietary intake with the respiratory
49 microbiome; and (iii) the intestinal and respiratory microbiomes.
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56 We hypothesise that:
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3 (i) Children with chronic gastrointestinal and/or respiratory conditions will have
4 altered intestinal and respiratory microbiomes compared to healthy children, and
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7 (ii) Diet plays a key role in influencing the intestinal and respiratory microbiomes and
8 this may impact on clinical outcomes, biomarkers of disease, and health-related
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- (i) Children with chronic gastrointestinal and/or respiratory conditions will have altered intestinal and respiratory microbiomes compared to healthy children, and
 - (ii) Diet plays a key role in influencing the intestinal and respiratory microbiomes and this may impact on clinical outcomes, biomarkers of disease, and health-related quality of life.

To our knowledge, this program will enable the first series of studies comparing the intestinal and respiratory microbiomes and diet in children with chronic gastrointestinal and/or respiratory conditions. Initial results will be hypothesis-generating and used to direct future studies tailored to a specific focus or line of inquiry. Additionally, studies from this research program have potential for direct translation into clinical care as diet is a highly modifiable factor.

Domain	Data Source	Technique	Outcome Measures	Between Group Analyses*	Within Group Analyses†	
Primary Objectives						
1. Intestinal Microbiome	1. Stool sample	Bacterial communities (16S rRNA ¹³ or MSS)	Alpha diversity (richness and Shannon index)	Student <i>t</i> -test or Wilcoxon signed-rank test	Pearson or Spearman correlations with: • Gastrointestinal microbiome • Respiratory microbiome • Diet • Secondary objectives	
			Beta diversity (UNIFRAC distances ⁴⁰)	PERMANOVA ⁴¹		
			Relative abundances of bacteria	ANCOM ⁴²		
	2. Respiratory Microbiome	2. Oropharyngeal swab or sputum sample	Viral communities (metagenomic sequencing ⁴³)	Alpha diversity (richness and Shannon index)		Student <i>t</i> -test or Wilcoxon signed-rank test
				Beta diversity (Bray-Curtis dissimilarities)		PERMANOVA ⁴¹
				Relative abundances of viruses		ANCOM ⁴²
Proteomics (LC-MS ²¹)	Protein z-score normalised LFQ intensities	Student <i>t</i> -test				
	Pathway/network upregulation or downregulation	Condition/HC ratio				
Metabolomics (UHPLC-MS/MS ²⁰)	Metabolite normalised abundance	Student <i>t</i> -test				
	Pathway/network upregulation or downregulation	Condition/HC ratio				
3. Diet	i. ACAES (ages 2 to 18yr)		Energy intake	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test		
			Percent energy from core foods			
	ii. 24-hour food recall (ages 0 up to 2yr)		Macronutrient intake			
	Micronutrient intake					
	Diet quality score [‡]					
Secondary Objectives						
1. Biomarkers	Stool, oropharyngeal	ELISA	Inflammation (calprotectin, M2-PK, CRP & interleukins)	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive	

	swab or sputum sample			
2. Symptomatology & HRQOL	PedsQL Infant Scales (0-2yr) & Gastrointestinal Symptoms Module (2-18yr) ⁴⁴⁻⁴⁶	HRQOL and gastrointestinal symptoms.	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive
	Rome IV Questionnaire ^{47 48}	Gastrointestinal symptoms		
	Spence Children's Anxiety Scale ^{49 50}	Anxiety symptoms		
	Short Mood and Feelings Questionnaires ^{51 52}	Depressive symptoms		
3. Phenotypic & Clinical Information	Anthropometrics	Z-scores; weight, length/height, weight-for-length (ages 0 to 2yr) and BMI (ages 2 to 20yr)	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive
	Clinical presentations	Number and length of hospitalisations, emergency department presentations		
	Results	Biochemistry, microbiology and imaging results	Descriptive	
	Perinatal factors	Mode of delivery, feeding during infancy		
4. Socio-demographic factors		Ethnicity	Descriptive	Descriptive

Table 1. Primary and secondary objectives with related outcome measures. *Between group analyses describe comparisons between a condition and healthy control groups. †Within group analyses describe analyses of two outcome measures within subjects of the same condition group. ‡ACAES only. ACAES, Australian Child and Adolescent Eating Survey; CRP, C-reactive protein; ELISA, enzyme-linked immunosorbent assay; HC, healthy control; LC-MS, liquid chromatography-mass spectrometry; HRQOL, health-related quality of life; MSS, metagenomic shotgun sequencing; M2-PK, M2 pyruvate kinase; PERMANOVA, permutational multivariate analysis of variance.

METHODS AND ANALYSES

Study design

The EARTH program provides a framework for a series of prospective, longitudinal, controlled, observational studies, with each individual study comparing children with a chronic gastrointestinal and/or respiratory condition to HC. A single healthy control group will be used for comparison against all conditions. The standardised methodological approach will also allow for comparisons between different health conditions. The SPIRIT reporting guidelines were used for this protocol.⁵³

Setting

Studies will be carried out at a single centre; the Sydney Children's Hospital (SCH) in Randwick, Australia. SCH is a tertiary paediatric hospital.

Participants

Children are eligible if they:

- Are aged between 0 and 18 years;
- Have been diagnosed with a chronic gastrointestinal and/or respiratory condition defined by consensus diagnostic criteria; or
- Are free of any chronic health condition (healthy control group); and
- Have a parent(s)/carer(s) who provides informed consent, or are at least 16 years old and provide informed consent.

Ineligibility criteria include:

- Children with more than one concurrent or unrelated chronic disease;
- Inability to comply with study requirements;

- Parent(s)/guardian(s) are unable to speak English or do not have a reading level age of at least 12 years.

Participants with a chronic gastrointestinal and/or respiratory condition will be matched to a HC for gender and age (as closely as possible).

Recruitment Strategy

Participants with chronic gastrointestinal and/or respiratory conditions will be approached at their routine clinic appointments in the outpatient department. Flyers will be placed in the hospital for recruitment of HC. Prior to study participation, detailed written and verbal information will be provided about the content and extent of the study. Written informed consent from the parent/legal guardian of each participant will be required. If the child is deemed Gillick competent,⁵⁴ they will be encouraged to sign a specific child assent form. Parents/legal guardians and participants may withdraw consent at any time.

Outcome Measures

The outcomes measures are presented in Table 1. Presented below is a simplified explanation of each outcome/variable included in the research program.

Primary outcomes/variables

1. Intestinal microbiome assessed from a stool sample using one or more of:
 - i. Bacterial community analysis (16S rRNA¹³ or metagenomic shotgun sequencing):
 - a. Alpha diversity indices:
 - (i) Richness: the total number of unique species.⁵⁵

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3 (ii) Shannon index: a measure of both species abundance and
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5 evenness.⁵⁶
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8 b. Beta diversity indices:
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10 (i) UniFrac: a distance metric used to compare biological
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12 communities that incorporates phylogenetic distances between
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14 observed organisms.⁴⁰
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17 (ii) Bray-Curtis dissimilarity: a count metric used to quantify the
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19 compositional dissimilarity between two different sites.⁵⁷
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22 c. Relative abundance: the percent composition of an organism relative to
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24 the total number of organisms in the area.⁵⁸
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27 ii. Viral community analysis (metagenomic sequencing⁴³), as above for bacterial
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29 community analysis.
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31 iii. Proteomics (liquid chromatography-mass spectrometry (LC-MS)²¹):
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33 a. Protein z-score normalised label-free quantification (LFQ) intensities.
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35 b. Pathway/network upregulation or downregulation based on the ratio of
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37 condition/HC.
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40 iv. Metabolomics (ultra-high performance liquid chromatography-tandem mass
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42 spectrometry (UHPLC-MS/MS)²⁰):
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44 a. Metabolite normalised abundance.
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46 b. Pathway/network upregulation or downregulation based on the ratio of
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48 condition/HC.
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51 2. Respiratory microbiome assessed from an oropharyngeal swab or sputum sample, using
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53 one or more of the techniques listed above (1a–d).
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56 i. A sputum sample will be obtained in children able to expectorate and an
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58 oropharyngeal swab will be collected in children unable to expectorate.
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3. Dietary intake assessed using a validated semi-quantitative food frequency questionnaire (FFQ):
 - i. For participants aged 0 up to 2 years, a 24-hour food recall:
 - a. Energy intake, percentage energy from core foods, macronutrient intake (total intake and proportion of energy intake) and micronutrient intake (total intake and proportion of energy intake).
 - ii. For participants aged 2 to 18 years, the Australian Child and Adolescent Eating Survey (ACAES).⁵⁹⁻⁶² The ACAES is a validated food frequency questionnaire (120 items, semi quantitative) used to quantify food and nutrient intake over the preceding six months, developed and validated for use in Australian children:
 - a. Energy intake, percentage energy from core foods, macronutrient intake (total intake and proportion of energy intake), micronutrient intake (total intake and proportion of energy intake) and overall diet quality score.

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Secondary outcomes/variables

1. Faecal and respiratory inflammatory biomarkers, such as calprotectin, M2 pyruvate kinase (M2-PK), C-reactive protein (CRP) and interleukins.
2. Symptomatology and health-related quality of life (HRQOL) will be collected directly from children where age-appropriate measures exist and/or parents using age-appropriate measures:
 - a. PedsQL Infant Scales (0-2yr) & Gastrointestinal Symptoms Module (2-18yr)⁴⁴⁻⁴⁶ (HRQOL and gastrointestinal symptoms).
 - b. Rome IV Questionnaire^{47 48} (gastrointestinal symptoms). Designed to diagnose functional gastrointestinal disorders, which are defined as disorders of the gut-brain interaction in children aged 0 to 18 years. These criteria capture

gastrointestinal symptoms which are relevant to motility disturbance, visceral hypersensitivity, altered mucosal and immune function, altered gut bacteria and altered central nervous system processing;

- c. Spence Children's Anxiety Scale^{49 50} (anxiety symptoms);
 - d. Short Mood and Feelings Questionnaires^{51 52} (depressive symptoms).
3. Anthropometrics, including z-scores for weight, length/height, weight-for-length (ages 0 to 2 years) and body mass index (BMI) (ages 2 to 20 years).
 4. Z-scores; weight, length/height, weight-for-length (ages 0 to 2yr) and BMI (ages 2 to 20yr)
 5. Clinical information and biochemical results obtained through routine care, such as number and length of hospitalisations, emergency department presentations, perinatal factors (mode of delivery, feeding type(s) in infancy), biochemistry, microbiology and imaging results;
 6. Sociodemographic factors such as ethnicity.

Procedures

Each participant will be assessed on three occasions over a 12-month period; at study entry, 6- and 12-month follow-up. At each time-point, the following will be collected:

- A stool sample;
- An oropharyngeal swab or sputum sample (a sputum sample will be obtained in children able to expectorate and an oropharyngeal swab will be collected in children unable to expectorate);
- Dietary intake measured using the ACAES (2 to 18 years) or 24-hour food recall (0 up to 2 years);
- A secure, password-protected online survey comprising:

- i. PedsQL Infant Scales (0-2yr) & Gastrointestinal Symptoms Module (2-18yr),⁴⁴⁻⁴⁶ tailored to age;
 - ii. Rome IV Questionnaire^{47 48} (0 to 18 years);
 - iii. Spence Children's Anxiety Scale^{49 50} (3 to 18 years);
 - iv. Short Mood and Feelings Questionnaires^{51 52} (6 to 18 years);
 - v. Clinical and biochemical results obtained through routine care and hospitalisations (if available);
 - vi. Sociodemographic factors (baseline survey only);
- Anthropometrics: height, weight and BMI z-scores.

Details regarding sample and data collection

Stool samples will be collected in a sterile specimen jar using a Feces Catcher (Abbexa Ltd, Cambridge, UK). Sputum samples will be collected from children who are able to expectorate and oropharyngeal swabs will be collected in those children who cannot expectorate sputum. All samples will be transported in a cooler bag (with a -18°C ice pack) to the hospital laboratory within 24 hours of collection. Stool, oropharyngeal swab and sputum samples will be aliquoted and stored at -80 °C.

For children aged 0 up to 2 years, a 24-hour dietary recall will be conducted by a study dietician. For children aged 2 to 18 years, the ACAES will be completed online by parents/guardians or by the child themselves if aged over 14 years.

Participant clinical information and questionnaires will be collected using the Qualtrics Online Survey Software Tool (www.qualtrics.com), which is distributed via a secure email link. Qualtrics is a secure, password-protected platform, which allows for the distribution of

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3 electronic surveys and collection of data. The surveys are programmed to be condition/control-
4 specific and facilitate a personalised flow depending on the time-point of the study and the age
5 of the participant (to facilitate administration of age-appropriate questionnaires). Further
6 details on each of the measures used for evaluating symptomatology and HRQOL are presented
7 in Table 2. Clinical and biochemical results will be obtained via the SCH electronic medical
8 record system and recorded in a Qualtrics survey.
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19 Participants will be measured for their height and weight using standardised methods.
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Measure	Domains (Items)	Scoring	Interpretation
<i>i. PedsQL</i>			
Infant Scales - Parent report for infants (ages 1-12 months) ⁴⁶	Total (36): 5-point LS. Physical Functioning (6), Physical Symptoms (10), Emotional Functioning (12), Social Functioning (4), Cognitive Functioning (4).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate better HRQOL
Infant Scales - Parent report for infants (ages 13-24 months) ⁴⁶	Total (45): 5-point LS. Physical Functioning (9), Physical Symptoms (10), Emotional Functioning (12), Social Functioning (5), Cognitive Functioning (9).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate better HRQOL
3.0 Gastrointestinal Symptoms Module – Parent report for toddlers (ages 2-4) ^{44 45}	Total (74): 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6), Trouble Swallowing (3), Heart Burn and Reflux (4), Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (2), Diarrhoea (7), Worry About Going Poop (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate lower problems.
Gastrointestinal symptoms module (Acute Version 3.0) – Parent report for young children (ages 5-7) ^{44 45}	Total (74): 3- & 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6), Trouble Swallowing (3), Heart Burn and Reflux (4), Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (2), Diarrhoea (7), Worry About Going Poop (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate lower problems.
Gastrointestinal symptoms module (Acute Version 3.0) – Young child report (ages 5-7) ^{44 45}			
Gastrointestinal symptoms module (Acute Version 3.0) – Parent	Total (74): 3- & 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6),	Items are reverse scored and linearly transformed on a scale	Higher scores indicate lower problems.

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report for children (ages 8-12) ^{44 45}	(4), Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (Bowel Movement) (2), Diarrhoea (7), Worry About Going Poop (Bowel Movements) (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).		
Gastrointestinal symptoms module (Acute Version 3.0) – Child report (ages 8-12) ^{44 45}			
Gastrointestinal symptoms module (Acute Version 3.0) – Parent report for teens (ages 13-18) ^{44 45}			
Gastrointestinal symptoms module (Acute Version 3.0) – Teens report (ages 13-18) ^{44 45}			
ii. Rome IV			
Rome IV – Parent-Report Form for Infants and Toddlers (ages 0-3) (R49QG-toddler) ⁴⁷	Total (29 for ages 0-12 months; 18 for ages 1-3 years): Infant gastrointestinal problems (11), Vomiting (9), Bowel Movements (9)	Defined diagnostic criteria for functional gastrointestinal disorders in neonates and toddlers: ⁴⁷ Infant regurgitation, Infant rumination syndrome, Cyclic vomiting syndrome, Infant colic, Functional diarrhoea, Infant dyschezia, Functional constipation.	
Parent-Report Form for Children and Adolescents (4 years of age and older) (R4PDQ-child) ⁴⁸	Total (42): Belly ache and uncomfortable feelings above the belly button (12), Belly aches and abdominal pain around and below the belly button (10), Bowel movements (7), Nausea and vomiting (9), Other symptoms (4).	Defined diagnostic criteria for functional gastrointestinal disorders in children and adolescents: ⁴⁸ Cyclic vomiting syndrome, Functional nausea and functional vomiting, Rumination syndrome, Aerophagia, Functional dyspepsia, Irritable bowel syndrome, Abdominal migraine, Functional abdominal pain – not otherwise specified, Functional Constipation, Nonretentive fecal incontinence.	
Self-Report Form for Children and Adolescents (10 years of age and older) (R4PDQ-child) ⁴⁸			
iii. Spence Children’s Anxiety Scale			

Spence – Preschool Anxiety Scale (Parent Report) (ages 0 to 4) ^{49 50}	Total (34): 5-point LS. Generalized anxiety (5), Social anxiety (6), Obsessive compulsive disorder (5), Physical injury fears (7), Separation anxiety (5).	Responses are scored 0 (Not true at all) to 4 (very often true). A maximum possible score of 112.	A score 1 SD above mean for a subscale or total score warrants further clinical investigation. A score of 0.5 SD above the mean on total score is indicative of an elevated, but not clinical level of anxiety.
Spence Children’s Anxiety Scale (Parent Report) (5 years and older) ^{49 50}	Total (38 scored, 39 total): 4-point LS. Panic attack and agoraphobia (9), Separation anxiety (6), Physical injury fears (5), Social phobia (6), Obsessive compulsive (6), Generalized anxiety disorder / overanxious disorder (6).	Responses are scored 0 (Never) to 3 (Always). A maximum possible score of 114. T-score calculation.	A score 1 SD above mean (T-score of ≥ 60) for a subscale or total score is indicative of subclinical or elevated levels of anxiety warranting further clinical investigation.
Spence Children’s Anxiety Scale (8 years and older) ^{49 50}	Total (38 scores, 45 total): 4-point LS. Separation anxiety (6), Social phobia (6), Obsessive compulsive (6), Panic attack and agoraphobia (9), Physical injury fears (5), Generalized anxiety (6).		
<i>iv. Short Mood and Feelings Questionnaire</i>			
Mood and Feelings Questionnaire: Short Version (Parent Report on Child) (ages 6-18) ^{51 52 63}	Total (13): 3-point LS. Depressive symptoms (13).	Responses are scored 0 (Not true) to 2 (True). A maximum possible score of 26.	Higher scores suggest more severe depressive symptoms. A score of ≥ 12 may indicate the presence of depression in the respondent.
Mood and Feelings Questionnaire: Short Version (Child Self-Report) (ages 6-18) ^{51 52 63}	Total (13): 3-point LS. Depressive symptoms (13).		

Table 2. Measures for symptomatology and health-related quality of life (HRQOL). LS, Likert scale; SD, standard deviation. N.B. Disease-specific questionnaires can be added into the Qualtrics data collection form i.e. the Paediatric Sleep Questionnaire: Sleep-Disordered Breathing Subscale,⁶⁴ for children with OSA.

Sample and data processing techniques

Processing of stool, oropharyngeal swab and sputum samples is almost identical (sparing a few initial sample preparation steps). For bacterial community analysis, DNA will be extracted using QIAamp DNA kits (QIAGEN, Hildren, Germany) according to manufacturer's instructions. For 16S rRNA gene analysis specifically, amplification will be performed with primers 515F and 806R spanning the V4 region and sequencing data will be processed using USEARCH.⁶⁵

For metagenomic shotgun sequencing (alternative to 16S rRNA gene sequencing), no amplification step will be performed prior to sequencing. Sequencing data will be processed using a custom in-house pipeline.

For viral community analysis specifically, sample preparation will follow an adjusted NetoVIR (Novel Enrichment Technique Of VIRomes) protocol.⁴³ All sequencing will be performed using the Illumina MiSeq platform at the Ramaciotti Centre for Genomics at the University of New South Wales (UNSW). Briefly, sequencing data will be processed using the Vipie platform⁶⁶ for taxonomic assignment and Virsorter pipeline⁶⁷ for functional annotation.

For untargeted proteomics, samples will undergo an adjusted Debyser et al. protocol for protein extraction, gel electrophoresis and analysed using LC-MS/MS at the Bioanalytical Mass Spectrometry Facility (BMSF), UNSW.²¹ Briefly, proteomics data will be analysed using MaxQuant⁶⁸ and Ingenuity Pathway Analysis (Qiagen).

For untargeted metabolomics, metabolites will be extracted in 1:1 (v:v) acetonitrile:H₂O and analysed using a U3000 UHPLC system coupled to a Q-Exactive mass spectrometer (MS;

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3 ThermoFisher Scientific) at the BMSF, UNSW. Briefly, metabolomics data will be analysed
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5 using Progenesis COMET (Waters/NonLinear Dynamics).
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10 Faecal and respiratory biomarkers (listed above) will be measured using enzyme-linked
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12 immunosorbent assays (ELISA).
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17 Nutrient intake data from the ACAES and 24-hour recall is computed using FoodWorks
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19 (Version 3.02.581) and the following databases: Australian AusNut 1999 database (All Foods)
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21 Revision 14 and AusFoods (Brands) Revision 5 (Xyris Software (Australia) Pty Ltd,
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23 FoodWorks Professional Version 3.02.581. 2004: Brisbane Australia). Outputs include a
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25 quantified estimate a wide of range of macro- (protein, fat, carbohydrate) and micro-nutrients
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27 (vitamins A, B, C and minerals such as iron, zinc and calcium). In addition, overall diet quality
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29 score and the percentage of energy derived from nutrient rich core foods and energy-dense,
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31 nutrient-poor discretionary foods is calculated.
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38 *Administration of patient records and data*

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40 At the time of consent and enrolment, participants will be assigned a unique study ID number
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42 (9 alphanumeric characters). All patient records, samples and data are deidentified using the
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44 unique study ID. Data will be stored securely as per ethics review board guidelines.
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49 *Handling of abnormal outcomes or distress*

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51 The well-being of participants is of utmost importance. Participants and their parents/guardians
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53 will be advised to contact any of the study investigators if they have concerns regarding any
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55 aspects of their participation. It is possible that thinking about one's health or the health of
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57 one's child may elicit emotional distress in some participants. Depending on the nature of the
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3 concern or level of distress communicated, a relevant study investigator will contact the
4 participant and/or his or her primary caregiver by telephone or in-person to assess any concerns
5 and arrange appropriate follow-up or referral as soon as possible. Additionally, all Participant
6 Information Sheets will provide the details for several, free, age-appropriate 24-hour
7 telephone-based support services. All individuals will be clearly informed that choosing not to
8 take part in the study, or withdrawing from the study at any stage, will not adversely affect
9 their or their child's health care or relationship with hospital staff in any way.
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21 **Bias, confounding factors and handling of missing data**

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23 The single-centre nature of this study is a limitation due to the restricted recruitment pool
24 available and potential for selection bias; however, SCH is a tertiary referral centre for a diverse
25 group of children across the state of New South Wales, which is the most populous state in
26 Australia. Age and gender are known confounding factors for microbiome analyses and are
27 controlled for with matching. Additional confounding factors for microbiome analyses include
28 perinatal factors and ethnicity, for which sensitivity analyses will be performed. Condition
29 specific medications (e.g. pancreatic enzyme replacement therapy or antibiotic therapy in CF)
30 are potential confounders and attempts to control for these factors will be made at the analysis
31 stage. Missing data will be treated as missing and accounted for using linear mixed models (see
32 statistical methods below).
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50 **Study size**

51 In an exploratory research program of this nature, with multiple conditions of interest, sample
52 size calculations for the primary outcomes are difficult. As an initial, arbitrary target, three
53 males and three females in each of the following age ranges (0 to 5, >5 to 10, >10 to 18 years)
54 will be recruited to account for age- and gender-related changes in microbiomes and diet. This
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3 calculation assumes that six participants will be required for most statistical tests of interest
4 and an analysis can be performed on the smallest subgroup (e.g. six CF vs. six HC children
5 aged 0 to 5 years). Therefore 18 participants for each condition and 18 HC (which can be used
6 for comparison against multiple conditions) are an initial target sample size. Initial data from
7 this sample size can then be utilised for subsequent power-focused study designs.
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17 **Statistical methods**

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19 Statistical analyses will be performed in R v3.4.4. All outcome measures will be analysed
20 cross-sectionally and temporally. Descriptive statistics will be calculated for all outcome
21 parameters for each cohort according to normality of distribution. Given the sample size,
22 categorical variables will be compared using Fisher's Exact Test. Continuous variables will be
23 analysed according to distribution with a student *t*-test or Wilcoxon signed-rank test for
24 parametric and non-parametric data, respectively. A linear random-effects mixed model or
25 variant of generalised linear-mixed model will be utilised to evaluate cross-sectional and
26 temporal differences in outcome measures. This technique will allow for control of
27 confounders and treatment of missing data as missing. Correlations between two continuous
28 variables will be performed using Pearson or Spearman correlations according to distribution.
29 Alpha diversity indices will be measured by richness (number of taxa) and Shannon index.
30 Phylogeny- and taxonomy-based beta diversity will be calculated using UNIFRAC distances⁴⁰
31 and Bray-Curtis dissimilarities, respectively, and used to generate non-metric
32 multidimensional scaling (NMDS) plots. Permutational multivariate analysis of variance
33 (PERMANOVA) tests (permutations = 1000) will be utilised to test if beta diversity
34 significantly differs between groups and age using the vegan function *adonis*.⁴¹ A significant
35 difference in abundance of taxa, proteins or metabolites between groups will be assessed using
36 the ANCOM package v1.1-3.⁴² For all analyses, $p < 0.05$ (two-tailed) is considered significant
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3 except in the instance of multiple comparisons, in which case a Benjamini & Hochberg
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5 correction will be applied and $q < 0.05$ will be considered significant.
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10 11 **ETHICS AND DISSEMINATION**

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13 The EARTH Research Program received ethics approval from the Sydney Children's Hospitals
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15 Network Human Research Ethics Committee (HREC/18/SCHN/26). Any amendment to the
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17 protocol which may impact the conduct of the study will be approved by the ethics committee
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19 before implementation.
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25 The results of studies from this research program will be presented in international conferences
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27 and will be published in peer-reviewed journals. Findings may also be presented as: (i) easy-
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29 to-read summaries for participants and the community; (ii) educational lectures and seminars
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31 for patients, families and the community; (iii) website and social media postings; (iv)
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33 newsletter updates for study participants; (v) reports for relevant advocacy groups and funding
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35 partners.
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42 **EXPECTED OUTCOMES AND SIGNIFICANCE OF THE RESEARCH** 43 44 45 **PROJECT**

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47 To our knowledge the EARTH Research Program will be the first in children with a chronic
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49 gastrointestinal and/or respiratory condition to simultaneously evaluate dietary intake and the
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51 intestinal and respiratory microbiomes. By exploring disease mechanisms and environmental
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53 interactions (i.e. diet) we may in turn develop insights into potential therapeutic strategies.
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55 Studies from this program have the potential for direct translation into clinical care as diet is a
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57 highly modifiable factor. This program also provides a structured approach for performing
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3 prospective, longitudinal, controlled, observational studies which can be simultaneously
4 applied to multiple health conditions, and utilised a universal control cohort.
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11
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32 **Author Contributions**

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34 MJC, TK and CYO jointly conceived and designed the research program. MJC wrote the study
35 protocol. IRM, MD, SC, SA, SSB, SW, NK, TT and AJ refined the research program design.
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37 All authors will take part in study conduct, recruitment, data management and/or analysis.
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39 MJC, IRM and CYO prepared this manuscript and all authors read and approved the final
40 version.
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50
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52 students is available through the Independent Learning Project scheme (involves a research
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54 will be sought through seed grants. Dr Coffey is the recipient of the Sydney Children's Hospital
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5 Foundation of Australia Future Leader Fellowship (101229), and a 2018-2019 Harkness
6 Fellowship in Health Care Policy and Practice from the Commonwealth Fund.
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16 **Competing interests**

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18 The authors declare no competing interests.
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22 **Data Sharing**

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24 De-identified participant data that underlies the results of publications from the EARTH
25 program will be shared with investigators whose proposed use of the data has been approved
26 by an independent review committee.
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Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRIT reporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Altman DG, Laupacis A, Gøtzsche PC, Krleža-Jerić K, Hróbjartsson A, Mann H, Dickersin K, Berlin J, Doré C, Parulekar W, Summerskill W, Groves T, Schulz K, Sox H, Rockhold FW, Rennie D, Moher D. SPIRIT 2013 Statement: Defining standard protocol items for clinical trials. *Ann Intern Med.* 2013;158(3):200-207

		Reporting Item	Page Number
Administrative information			
Title	#1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	#2a	Trial identifier and registry name. If not yet registered, name of intended registry	2
Trial registration: data set	#2b	All items from the World Health Organization Trial Registration Data Set	n/a
Protocol version	#3	Date and version identifier	n/a
Funding	#4	Sources and types of financial, material, and other support	29
Roles and responsibilities: contributorship	#5a	Names, affiliations, and roles of protocol contributors	1, 2, 29

1	Roles and	#5b	Name and contact information for the trial sponsor	n/a
2	responsibilities:			
3	sponsor contact			
4	information			
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6				
7	Roles and	#5c	Role of study sponsor and funders, if any, in study design;	n/a
8	responsibilities:		collection, management, analysis, and interpretation of	
9	sponsor and funder		data; writing of the report; and the decision to submit the	
10			report for publication, including whether they will have	
11			ultimate authority over any of these activities	
12				
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16	Roles and	#5d	Composition, roles, and responsibilities of the coordinating	29
17	responsibilities:		centre, steering committee, endpoint adjudication	
18	committees		committee, data management team, and other individuals	
19			or groups overseeing the trial, if applicable (see Item 21a	
20			for data monitoring committee)	
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24	Introduction			
25				
26	Background and	#6a	Description of research question and justification for	6-9
27	rationale		undertaking the trial, including summary of relevant	
28			studies (published and unpublished) examining benefits	
29			and harms for each intervention	
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33	Background and	#6b	Explanation for choice of comparators	6-9
34	rationale: choice of			
35	comparators			
36				
37				
38	Objectives	#7	Specific objectives or hypotheses	9-12
39				
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41	Trial design	#8	Description of trial design including type of trial (eg,	13
42			parallel group, crossover, factorial, single group),	
43			allocation ratio, and framework (eg, superiority,	
44			equivalence, non-inferiority, exploratory)	
45				
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47				
48	Methods:			
49	Participants,			
50	interventions, and			
51	outcomes			
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54	Study setting	#9	Description of study settings (eg, community clinic,	13
55			academic hospital) and list of countries where data will be	
56			collected. Reference to where list of study sites can be	
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obtained

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3	Eligibility criteria	#10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)
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9	Interventions: description	#11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered
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14	Interventions: modifications	#11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving / worsening disease)
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21	Interventions: adherence	#11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return; laboratory tests)
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27	Interventions: concomitant care	#11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial
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31	Outcomes	#12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended
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42	Participant timeline	#13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)
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49	Sample size	#14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations
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55	Recruitment	#15	Strategies for achieving adequate participant enrolment to reach target sample size
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1 **Methods:**

2 **Assignment of**
3 **interventions (for**
4 **controlled trials)**
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8	Allocation: sequence	#16a	Method of generating the allocation sequence (eg, n/a
9	generation		computer-generated random numbers), and list of any
10			factors for stratification. To reduce predictability of a
11			random sequence, details of any planned restriction (eg,
12			blocking) should be provided in a separate document that
13			is unavailable to those who enrol participants or assign
14			interventions
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19	Allocation	#16b	Mechanism of implementing the allocation sequence (eg, n/a
20	concealment		central telephone; sequentially numbered, opaque, sealed
21	mechanism		envelopes), describing any steps to conceal the sequence
22			until interventions are assigned
23			
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26	Allocation:	#16c	Who will generate the allocation sequence, who will enrol n/a
27	implementation		participants, and who will assign participants to
28			interventions
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31	Blinding (masking)	#17a	Who will be blinded after assignment to interventions (eg, n/a
32			trial participants, care providers, outcome assessors, data
33			analysts), and how
34			
35			
36	Blinding (masking):	#17b	If blinded, circumstances under which unblinding is n/a
37	emergency unblinding		permissible, and procedure for revealing a participant's
38			allocated intervention during the trial
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41 **Methods: Data**
42 **collection,**
43 **management, and**
44 **analysis**
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49	Data collection plan	#18a	Plans for assessment and collection of outcome, baseline, 18-24
50			and other trial data, including any related processes to
51			promote data quality (eg, duplicate measurements,
52			training of assessors) and a description of study
53			instruments (eg, questionnaires, laboratory tests) along
54			with their reliability and validity, if known. Reference to
55			where data collection forms can be found, if not in the
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protocol

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3	Data collection plan:	#18b	Plans to promote participant retention and complete
4	retention		follow-up, including list of any outcome data to be
5			collected for participants who discontinue or deviate from
6			intervention protocols
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9	Data management	#19	Plans for data entry, coding, security, and storage,
10			including any related processes to promote data quality
11			(eg, double data entry; range checks for data values).
12			Reference to where details of data management
13			procedures can be found, if not in the protocol
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18	Statistics: outcomes	#20a	Statistical methods for analysing primary and secondary
19			outcomes. Reference to where other details of the
20			statistical analysis plan can be found, if not in the protocol
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23	Statistics: additional	#20b	Methods for any additional analyses (eg, subgroup and
24	analyses		adjusted analyses)
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27	Statistics: analysis	#20c	Definition of analysis population relating to protocol non-
28	population and		adherence (eg, as randomised analysis), and any
29	missing data		statistical methods to handle missing data (eg, multiple
30			imputation)
31			
32			
33	Methods: Monitoring		
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36	Data monitoring:	#21a	Composition of data monitoring committee (DMC);
37	formal committee		summary of its role and reporting structure; statement of
38			whether it is independent from the sponsor and competing
39			interests; and reference to where further details about its
40			charter can be found, if not in the protocol. Alternatively,
41			an explanation of why a DMC is not needed
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46	Data monitoring:	#21b	Description of any interim analyses and stopping
47	interim analysis		guidelines, including who will have access to these interim
48			results and make the final decision to terminate the trial
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51	Harms	#22	Plans for collecting, assessing, reporting, and managing
52			solicited and spontaneously reported adverse events and
53			other unintended effects of trial interventions or trial
54			conduct
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58	Auditing	#23	Frequency and procedures for auditing trial conduct, if
59			n/a
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any, and whether the process will be independent from investigators and the sponsor

Ethics and dissemination

Research ethics approval	#24	Plans for seeking research ethics committee / institutional review board (REC / IRB) approval	27
Protocol amendments	#25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC / IRBs, trial participants, trial registries, journals, regulators)	27
Consent or assent	#26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	13-14
Consent or assent: ancillary studies	#26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	13-14
Confidentiality	#27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	24
Declaration of interests	#28	Financial and other competing interests for principal investigators for the overall trial and each study site	29
Data access	#29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	29
Ancillary and post trial care	#30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	24-25
Dissemination policy: trial results	#31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	27
Dissemination policy:	#31b	Authorship eligibility guidelines and any intended use of	28

1	authorship	professional writers	
2	Dissemination policy:	#31c	Plans, if any, for granting public access to the full protocol, 29
3	reproducible research		participant-level dataset, and statistical code
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6 Appendices

8	Informed consent	#32	Model consent form and other related documentation	n/a
9	materials		given to participants and authorised surrogates	
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12	Biological specimens	#33	Plans for collection, laboratory evaluation, and storage of	24
13			biological specimens for genetic or molecular analysis in	
14			the current trial and for future use in ancillary studies, if	
15			applicable	
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19 None The SPIRIT checklist is distributed under the terms of the Creative Commons Attribution
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 21 tool made by the [EQUATOR Network](#) in collaboration with [Penelope.ai](#)
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BMJ Open

Evaluating the Alimentary and Respiratory Tracts in Health and disease (EARTH) research program: a protocol for prospective, longitudinal, controlled, observational studies in children with chronic disease at an Australian tertiary paediatric hospital.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-033916.R1
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Keywords:	PAEDIATRICS, GASTROENTEROLOGY, RESPIRATORY MEDICINE (see Thoracic Medicine), NUTRITION & DIETETICS

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3 **Evaluating the Alimentary and Respiratory Tracts in Health and disease**
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6 **(EARTH) research program: a protocol for prospective, longitudinal, controlled,**
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9 **observational studies in children with chronic disease at an Australian tertiary**
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11 **paediatric hospital.**
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ABSTRACT

Introduction

Chronic gastrointestinal and respiratory conditions of childhood can have long-lasting physical, psychosocial, and economic effects on children and their families. Alterations in diet and intestinal and respiratory microbiomes may have important implications for physical and psychosocial health. Diet influences the intestinal microbiome and should be considered when exploring disease-specific alterations. The concepts of gut-brain and gut-lung axes provide novel perspectives for examining chronic childhood disease(s). We established the “Evaluating the Alimentary and Respiratory Tracts in Health and disease” (EARTH) research program to provide a structured, holistic evaluation of children with chronic gastrointestinal and/or respiratory conditions.

Methods and analysis

The EARTH program provides a framework for a series of prospective, longitudinal, controlled, observational studies (comprised of individual sub-studies), conducted at an Australian tertiary paediatric hospital (the methodology is applicable to other settings). Children with a chronic gastrointestinal and/or respiratory condition will be compared to age and gender matched healthy controls (HC) across a 12-month period. The following will be collected at baseline, 6 and 12 months: (i) stool, (ii) oropharyngeal swab or sputum, (iii) semi-quantitative food frequency questionnaire, (iv) details of disease symptomatology, (v) health-related quality of life, and (vi) psychosocial factors. Data on the intestinal and respiratory microbiomes and diet will be compared between children with a condition and HC. Correlations between dietary intake (energy, macro- and micro-nutrients), intestinal and respiratory microbiomes within each group will be explored. Data on disease symptomatology,

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3 quality of life and psychosocial factors will also be compared between children with a condition
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5 and HC.
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8 Results will be hypothesis-generating and used to direct future focused studies. There is future
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10 potential for direct translation into clinical care, as diet is a highly modifiable factor.
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14 15 **Ethics and dissemination**

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17 Ethics approval: HREC/18/SCHN/26. Study results will be presented at international
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19 conferences and published in peer-reviewed journals.
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23 24 **Trial registration**

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33 34 **ARTICLE SUMMARY**

35 36 **Strengths and limitations of this study**

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- 39 • The prospective, longitudinal, controlled, observational design of this research program
40 provides a structured approach which can be simultaneously applied to multiple chronic
41 gastrointestinal and/or respiratory conditions of childhood and utilises a universal
42 control cohort (for age and gender matching).
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 - 45 • This study will simultaneously evaluate dietary intake and the intestinal and respiratory
46 microbiomes, which will tease out disease-causing alterations in the microbiomes,
47 provide insights into the gut-lung axis and potentially identify modifiable dietary
48 factors.
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 - 51 • We will explore relationships between the primary outcomes (diet, intestinal and
52 respiratory microbiomes) and health-related quality of life (including
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3 symptomatology), which may provide insights into the gut-brain axis and identify novel
4
5 pathogenic mechanisms in these conditions.
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- 8 • A limitation of this research program is that it currently includes a single centre, Sydney
9 Children's Hospital Randwick, Australia, however it is a tertiary referral centre for a
10 diverse group of children across the state of New South Wales, Australia.
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12 • A further limitation is the arbitrary sample size targets given the exploratory nature of
13 these studies.
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INTRODUCTION

The primary disease burden in childhood has shifted over the last century from infectious to chronic diseases.¹ Chronic childhood diseases, encompassing a wide spectrum of conditions with different pathogeneses, may have long-lasting physical, psychosocial, and economic effects on children and their families.^{2 3} The human microbiome is a collection of all microorganisms (bacteria, viruses, archaea and eukaryotes) living in association with the human body.⁴ Our understanding of the human microbiomes in health and disease has begun to develop due to the advent of high-throughput sequencing and mass-spectrometry technologies, with the gut emerging as an ecosystem of particular interest. While the effects of an altered gut microbiome (dysbiosis) may not apply to all chronic diseases, there are conditions, disease-related complications and co-morbidities linked to gut microbial dysbiosis. This is especially true in chronic gastrointestinal and respiratory conditions. Affected children are at risk of an imbalanced diet as well as mental health difficulties, which in turn can influence eating behaviours, attitudes and nutritional intake.^{5 6} Some of these conditions also require lifelong dietary modifications; for example, cystic fibrosis (CF).⁷ Additionally, the complex interaction between microbiota (i.e. bacteria), available nutrients and the immune system is essential in maintaining homeostasis and fighting against invading pathogens at mucosal sites.⁸ An important limitation common to most current publications on the human intestinal microbiome in chronic childhood disease(s) is the lack of quantifiable dietary data, as the diet has a marked influence on gut microbiota in health.⁹

The principles and framework of this research program were developed to be applicable to many chronic gastrointestinal and/or respiratory conditions of childhood. Due to the clinical and/or research expertise of the authors and for the purposes of this manuscript, we will describe this program based on three relevant chronic diseases: (i) CF, (ii) obstructive sleep

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3 apnoea (OSA), and Hirschsprung's disease (HSCR). These conditions all have reported or
4 expected changes in their intestinal and/or respiratory microbiomes.
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10 CF is the most common life-shortening recessive disease in Caucasians.¹⁰ It is characterised by
11 intestinal malabsorption, impaired growth and nutrition, and lung disease.¹¹ In CF, a high
12 calorie, high fat diet (110-200% of recommended daily energy intake) is advised to prevent
13 malnutrition and optimise growth.⁷ Recent reports suggest that children tend to achieve the
14 recommended CF diet primarily by overconsumption of energy-dense, nutrient-poor foods
15 rather than nutrient-dense foods.¹² We have previously reported that children with CF, from as
16 early as infancy, have alterations in their gut microbiota, impaired innate immunity and
17 intestinal inflammation.¹³⁻¹⁷ We have also observed that poor growth in children with CF is
18 significantly correlated with the degree of intestinal inflammation.¹⁴ The aetiology of gut
19 microbial dysbiosis and inflammation in CF remains unclear. It is plausible that dietary intake
20 plays a role, as enteric fat abundance (from a high-fat diet) may select for a pro-inflammatory
21 microbiota.^{18 19} Alterations in intestinal metabolomic²⁰ and proteomic²¹ profiles have also been
22 reported. As the life expectancy of CF patients improves, age-related diseases such as
23 gastrointestinal malignancies and cardiovascular disease (e.g. myocardial infarcts in adults
24 with CF) are a growing concern.²² Thus, optimal strategies to optimise health and reduce
25 disease risk factors need to be determined.
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49 In children, OSA can have cardiovascular, neurocognitive and behavioural consequences.²³
50 Murine studies suggest intermittent hypoxia, hypercapnia and sleep fragmentation promote
51 intestinal dysbiosis, increased visceral fat mass, systemic inflammation and atherosclerosis.²⁴⁻
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3 rats²⁸ suggest the possibility of influencing clinical outcomes through affecting the gut
4 microbiome. In adult studies, OSA is associated with gut epithelial damage,²⁹ and nasal
5 dysbiosis and inflammation.³⁰
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12 HSCR is a congenital disorder where the distal intestine is aganglionic for a variable length.
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14 This results in a functional bowel obstruction that usually presents in newborns. Following
15 corrective surgery, children often have ongoing intestinal symptoms, and Hirschsprung-
16 associated enterocolitis (HAEC) remains the most frequent complication. This may result in
17 frequent hospitalisations and even mortality. Children with and without HAEC often have an
18 altered intestinal microbiome³¹ and altered composition of short chain fatty acids (SCFA).³²
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29 To the best of our knowledge, there are no publications on the intestinal virome (i.e. viruses)
30 in children with CF, OSA or HSCR. Bacteriophages (viruses which infect bacteria) can
31 influence bacterial populations via host lysis and horizontal gene transfer, as well as indirectly
32 regulate immune function and inflammation.³³⁻³⁶
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41 Despite accumulating evidence linking health, diet and the microbiomes, there is a paucity of
42 research exploring this simultaneously in the context of chronic paediatric disease.³⁷
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44 Furthermore, potential gut-brain³⁸ and gut-lung³⁹ axes have yet to be well characterised in these
45 conditions. The gut-brain-axis refers to the bi-directional communication between the central
46 nervous systems and gut microbiome, and is mediated by neural, endocrine and immune
47 pathways.⁴⁰ The gut-lung axis refers to the bi-directional relationship between the gut and
48 lungs, as there appears to be an immunological relationship between them.^{39 41} Simultaneous,
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60 longitudinal studies using an integrated “omics” approach will help to identify the functional
consequences and pathogenic mechanisms that occur within the altered intestinal and

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3 respiratory milieu in chronic conditions. By exploring disease mechanisms and environmental
4 interactions (e.g. diet) we may in turn develop insights into potential therapeutic strategies.
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6 Additionally, we may be able to identify whether diet may be amenable to specific
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8 modifications which may in turn benefit the intestinal microbiome.
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14 The EARTH Program has been established to provide a structured approach to analysing the
15 gastrointestinal and respiratory microbiomes and diet in children with a chronic gastrointestinal
16 and/or respiratory condition. The design improves efficiency by recruiting and assessing a
17 healthy control (HC) group which can be used for comparison against each of the conditions
18 (as opposed to recruiting a new HC group for each condition). Although our initial design is
19 focused on CF, OSA and HSCR, the program framework is applicable to other chronic
20 gastrointestinal and/or respiratory conditions of childhood.
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33 **OBJECTIVES**

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35 The objective of this research program is to evaluate and compare children with a chronic
36 gastrointestinal and/or respiratory condition and age and gender matched HC. The primary
37 objectives include analysing the intestinal and respiratory microbiomes (using an integrated
38 “omics” approach) and dietary intake using validated, parent-report tools (Table 1). The
39 secondary objectives are also presented in Table 1 and include evaluating:
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- 47 1. Known inflammatory biomarkers.
- 48 2. Symptomatology and health-related quality of life (HRQOL) using validated measures.
- 49 3. Phenotypic and clinical information.
- 50 4. Sociodemographic factors
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3 Additional secondary objectives include correlating within children with the same condition:
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5 (i) dietary intake with the intestinal microbiome; (ii) dietary intake with the respiratory
6 microbiome; and (iii) the intestinal and respiratory microbiomes.
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12 We hypothesise that:
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- 14 (i) Children with chronic gastrointestinal and/or respiratory conditions will have
15 altered intestinal and respiratory microbiomes compared to healthy children, and
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17 (ii) Diet plays a key role in influencing the intestinal and respiratory microbiomes and
18 this may impact on clinical outcomes, biomarkers of disease, and health-related
19 quality of life.
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29 To our knowledge, this program will enable the first series of studies comparing the intestinal
30 and respiratory microbiomes and diet in children with chronic gastrointestinal and/or
31 respiratory conditions. Initial results will be hypothesis-generating and used to direct future
32 studies tailored to a specific focus or line of inquiry. Additionally, studies from this research
33 program have potential for direct translation into clinical care as diet is a highly modifiable
34 factor.
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Domain	Data Source	Technique	Outcome Measures	Between Group Analyses*	Within Group Analyses†	
Primary Objectives						
1. Intestinal Microbiome	1. Stool sample	Bacterial communities (16S rRNA (V4) ¹³ or MSS)	Alpha diversity (richness and Shannon index)	Student <i>t</i> -test or Wilcoxon signed-rank test	Pearson or Spearman correlations with: • Gastrointestinal microbiome • Respiratory microbiome • Diet • Secondary objectives	
			Beta diversity (UNIFRAC distances ⁴²)	PERMANOVA ⁴³		
			Relative abundances of bacteria	ANCOM ⁴⁴		
	2. Respiratory Microbiome	2. Oropharyngeal swab or sputum sample	Viral communities (metagenomic sequencing ⁴⁵)	Alpha diversity (richness and Shannon index)		Student <i>t</i> -test or Wilcoxon signed-rank test
				Beta diversity (Bray-Curtis dissimilarities)		PERMANOVA ⁴³
				Relative abundances of viruses		ANCOM ⁴⁴
2. Respiratory Microbiome	2. Oropharyngeal swab or sputum sample	Proteomics (LC-MS ²¹)	Protein z-score normalised LFQ intensities	Student <i>t</i> -test		
			Pathway/network upregulation or downregulation	Condition/HC ratio		
		Metabolomics (UHPLC-MS/MS ²⁰)	Metabolite normalised abundance	Student <i>t</i> -test		
3. Diet	i. ACAES (ages 2 to 18yr) ii. 24-hour food recall (ages 0 up to 2yr)		Energy intake	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test		
			Percent energy from core foods			
			Macronutrient intake			
			Micronutrient intake			
3. Diet	i. ACAES (ages 2 to 18yr) ii. 24-hour food recall (ages 0 up to 2yr)		Diet quality score [‡]	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test		
Secondary Objectives						
1. Biomarkers	Stool, oropharyngeal	ELISA	Inflammation (calprotectin, M2-PK, CRP & interleukins)	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive	

	swab or sputum sample			
2. Symptomatology & HRQOL	PedsQL Infant Scales (0-2yr) & Gastrointestinal Symptoms Module (2-18yr) ⁴⁶⁻⁴⁸	HRQOL and gastrointestinal symptoms.	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive
	Rome IV Questionnaire ^{49 50}	Gastrointestinal symptoms		
	Spence Children's Anxiety Scale ^{51 52}	Anxiety symptoms		
	Short Mood and Feelings Questionnaires ^{53 54}	Depressive symptoms		
3. Phenotypic & Clinical Information	Anthropometrics	Z-scores; weight, length/height, weight-for-length (ages 0 to 2yr) and BMI (ages 2 to 20yr)	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive
	Clinical presentations	Number and length of hospitalisations, emergency department presentations, medications		
	Results	Biochemistry, microbiology and imaging results		
	Perinatal factors	Mode of delivery, feeding during infancy		
4. Socio-demographic factors		Ethnicity	Descriptive	Descriptive
		SEIFA Code ⁵⁵	Descriptive	Descriptive

Table 1. Primary and secondary objectives with related outcome measures. All samples, questionnaires and data will be collected from all participants at each time-point. *Between group analyses describe comparisons between a condition and healthy control groups. †Within group analyses describe analyses of two outcome measures within subjects of the same condition group. ‡ACAES only. ACAES, Australian Child and Adolescent Eating Survey; CRP, C-reactive protein; ELISA, enzyme-linked immunosorbent assay; HC, healthy control; LC-MS, liquid chromatography-mass spectrometry; HRQOL, health-related quality of life; MSS, metagenomic shotgun sequencing; M2-PK, M2 pyruvate kinase;

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PERMANOVA, permutational multivariate analysis of variance; SEIFA, Socio-Economic Indexes for Areas (a measure of relative socio-economic advantage and disadvantage in Australia).

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METHODS AND ANALYSES

Study design

The EARTH program provides a framework for a series of prospective, longitudinal, controlled, observational studies, with each individual study comparing children with a chronic gastrointestinal and/or respiratory condition to HC. A single healthy control group will be used for comparison against all conditions and healthy controls are defined as children who are free of any chronic disease. The standardised methodological approach will also allow for comparisons between different health conditions. The SPIRIT reporting guidelines were used for this protocol.⁵⁶

Setting

Studies will be carried out at a single centre; the Sydney Children's Hospital (SCH) in Randwick, Australia. SCH is a tertiary paediatric hospital.

Participants

Children are eligible if they:

- Are aged between 0 and 18 years;
- Have been diagnosed with a chronic gastrointestinal and/or respiratory condition defined by consensus diagnostic criteria; or
- Are free of any chronic health condition (healthy control group); and
- Have a parent(s)/carer(s) who provides informed consent, or are at least 16 years old and provide informed consent.

Ineligibility criteria include:

- Children with more than one concurrent or unrelated chronic disease;
- Inability to comply with study requirements;

- Parent(s)/guardian(s) are unable to speak English or do not have a reading level age of at least 12 years.

Participants with a chronic gastrointestinal and/or respiratory condition will be matched to a HC for gender and age (as closely as possible).

Recruitment Strategy

Participants with chronic gastrointestinal and/or respiratory conditions will be approached at their routine clinic appointments in the outpatient department. Flyers will be placed in the hospital for recruitment of HC. Prior to study participation, detailed written and verbal information will be provided about the content and extent of the study. Written informed consent from the parent/legal guardian of each participant will be required. If the child is deemed Gillick competent,⁵⁷ they will be encouraged to sign a specific child assent form. Parents/legal guardians and participants may withdraw consent at any time.

Outcome Measures

The outcomes measures are presented in Table 1. All samples, questionnaires and data will be collected from all participants at each time-point. Presented below is a simplified explanation of each outcome/variable included in the research program.

Primary outcomes/variables

1. Intestinal microbiome assessed from a stool sample using one or more of:
 - i. Bacterial community analysis (16S rRNA (V4)¹³ or metagenomic shotgun sequencing):
 - a. Alpha diversity indices:
 - (i) Richness: the total number of unique species.⁵⁸

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3 (ii) Shannon index: a measure of both species abundance and
4 evenness.⁵⁹
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8 b. Beta diversity indices:
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10 (i) UniFrac: a distance metric used to compare biological
11 communities that incorporates phylogenetic distances between
12 observed organisms.⁴²
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14 (ii) Bray-Curtis dissimilarity: a count metric used to quantify the
15 compositional dissimilarity between two different sites.⁶⁰
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18 c. Relative abundance: the percent composition of an organism relative to
19 the total number of organisms in the area.⁶¹
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26 ii. Viral community analysis (metagenomic sequencing⁴⁵), as above for bacterial
27 community analysis.
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31 iii. Proteomics (liquid chromatography-mass spectrometry (LC-MS)²¹):
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33 a. Protein z-score normalised label-free quantification (LFQ) intensities.
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35 b. Pathway/network upregulation or downregulation based on the ratio of
36 condition/HC.
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40 iv. Metabolomics (ultra-high performance liquid chromatography-tandem mass
41 spectrometry (UHPLC-MS/MS)²⁰):
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43 a. Metabolite normalised abundance.
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45 b. Pathway/network upregulation or downregulation based on the ratio of
46 condition/HC.
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51 2. Respiratory microbiome assessed from an oropharyngeal swab or sputum sample, using
52 one or more of the techniques listed above (1a–d).
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55 i. A sputum sample will be obtained in children able to expectorate and an
56 oropharyngeal swab will be collected in children unable to expectorate.
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3 a. Associations with the intestinal microbiome (1) will be used to explore
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5 the gut-lung axis.
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- 8 3. Dietary intake assessed using a validated semi-quantitative food frequency
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10 questionnaire (FFQ):
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12 i. For participants aged 0 up to 2 years, a 24-hour food recall:
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14 a. Energy intake, percentage energy from core foods, macronutrient intake
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16 (total intake and proportion of energy intake) and micronutrient intake
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18 (total intake and proportion of energy intake).
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21 ii. For participants aged 2 to 18 years, the Australian Child and Adolescent Eating
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23 Survey (ACAES).⁶²⁻⁶⁵ The ACAES is a validated food frequency questionnaire
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25 (120 items, semi quantitative) used to quantify food and nutrient intake over the
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27 preceding six months, developed and validated for use in Australian children:
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30 a. Energy intake, percentage energy from core foods, macronutrient intake
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32 (total intake and proportion of energy intake), micronutrient intake (total
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34 intake and proportion of energy intake) and overall diet quality score.
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40 *Secondary outcomes/variables*

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42 1. Faecal and respiratory inflammatory biomarkers, such as calprotectin, M2 pyruvate
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44 kinase (M2-PK), C-reactive protein (CRP) and interleukins.
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47 2. Symptomatology and health-related quality of life (HRQOL) will be collected directly
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49 from children where age-appropriate measures exist and/or parents using age-
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51 appropriate measures:
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53 a. PedsQL Infant Scales (0-2yr) & Gastrointestinal Symptoms Module (2-
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55 18yr)⁴⁶⁻⁴⁸ (HRQOL and gastrointestinal symptoms).
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Procedures

Each participant will be assessed on three occasions over a 12-month period; at study entry, 6- and 12-month follow-up. At each time-point, the following will be collected:

- A stool sample;
- An oropharyngeal swab or sputum sample (a sputum sample will be obtained in children able to expectorate and an oropharyngeal swab will be collected in children unable to expectorate);
- Dietary intake measured using the ACAES (2 to 18 years) or 24-hour food recall (0 up to 2 years);
- A secure, password-protected online survey comprising:
 - i. PedsQL Infant Scales (0-2yr) & Gastrointestinal Symptoms Module (2-18yr),⁴⁶⁻⁴⁸ tailored to age;
 - ii. Rome IV Questionnaire^{49 50} (0 to 18 years);
 - iii. Spence Children's Anxiety Scale^{51 52} (3 to 18 years);
 - iv. Short Mood and Feelings Questionnaires^{53 54} (6 to 18 years);
 - v. Clinical and biochemical results obtained through routine care and hospitalisations (if available);
 - vi. Sociodemographic factors (baseline survey only);
- Anthropometrics: height, weight and BMI z-scores.

Details regarding sample and data collection

Stool samples will be collected in a sterile specimen jar using a Feces Catcher (Abbexa Ltd, Cambridge, UK). Sputum samples will be collected from children who are able to expectorate and oropharyngeal swabs will be collected in those children who cannot expectorate sputum. All samples will be transported in a cooler bag (with a -18°C ice pack) to the hospital laboratory

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3 within 24 hours of collection. Stool, oropharyngeal swab and sputum samples will be aliquoted
4 and stored at -80 °C. Where practical, samples will be collected at least four weeks after
5 completion of oral/intravenous antibiotic therapy (excluding prophylactic antibiotics) or an
6 acute infectious illness.
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14 For children aged 0 up to 2 years, a 24-hour dietary recall will be conducted by a study
15 dietician. For children aged 2 to 18 years, the ACAES will be completed online by
16 parents/guardians or by the child themselves if aged over 14 years.
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24 Participant clinical information and questionnaires will be collected using the Qualtrics Online
25 Survey Software Tool (www.qualtrics.com), which is distributed via a secure email link.
26 Qualtrics is a secure, password-protected platform, which allows for the distribution of
27 electronic surveys and collection of data. The surveys are programmed to be condition/control-
28 specific and facilitate a personalised flow depending on the time-point of the study and the age
29 of the participant (to facilitate administration of age-appropriate questionnaires). Further
30 details on each of the measures used for evaluating symptomatology and HRQOL are presented
31 in Table 2. Clinical and biochemical results will be obtained via the SCH electronic medical
32 record system and recorded in a Qualtrics survey.
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47 Participants will be measured for their height and weight using standardised methods.
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Measure	Domains (Items)	Scoring	Interpretation
<i>i. PedsQL</i>			
Infant Scales - Parent report for infants (ages 1-12 months) ⁴⁸	Total (36): 5-point LS. Physical Functioning (6), Physical Symptoms (10), Emotional Functioning (12), Social Functioning (4), Cognitive Functioning (4).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate better HRQOL
Infant Scales - Parent report for infants (ages 13-24 months) ⁴⁸	Total (45): 5-point LS. Physical Functioning (9), Physical Symptoms (10), Emotional Functioning (12), Social Functioning (5), Cognitive Functioning (9).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate better HRQOL
3.0 Gastrointestinal Symptoms Module – Parent report for toddlers (ages 2-4) ^{46 47}	Total (74): 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6), Trouble Swallowing (3), Heart Burn and Reflux (4), Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (2), Diarrhoea (7), Worry About Going Poop (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate lower problems.
Gastrointestinal symptoms module (Acute Version 3.0) – Parent report for young children (ages 5-7) ^{46 47}	Total (74): 3- & 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6), Trouble Swallowing (3), Heart Burn and Reflux (4), Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (2), Diarrhoea (7), Worry About Going Poop (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate lower problems.
Gastrointestinal symptoms module (Acute Version 3.0) – Young child report (ages 5-7) ^{46 47}			
Gastrointestinal symptoms module (Acute Version 3.0) – Parent	Total (74): 3- & 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6),	Items are reverse scored and linearly transformed on a scale	Higher scores indicate lower problems.

report for children (ages 8-12) ^{46 47}	(4), Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (Bowel Movement) (2), Diarrhoea (7), Worry About Going Poop (Bowel Movements) (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).		
Gastrointestinal symptoms module (Acute Version 3.0) – Child report (ages 8-12) ^{46 47}			
Gastrointestinal symptoms module (Acute Version 3.0) – Parent report for teens (ages 13-18) ^{46 47}			
Gastrointestinal symptoms module (Acute Version 3.0) – Teens report (ages 13-18) ^{46 47}			
ii. Rome IV			
Rome IV – Parent-Report Form for Infants and Toddlers (ages 0-3) (R49QG-toddler) ⁴⁹	Total (29 for ages 0-12 months; 18 for ages 1-3 years): Infant gastrointestinal problems (11), Vomiting (9), Bowel Movements (9)	Defined diagnostic criteria for functional gastrointestinal disorders in neonates and toddlers: ⁴⁹ Infant regurgitation, Infant rumination syndrome, Cyclic vomiting syndrome, Infant colic, Functional diarrhoea, Infant dyschezia, Functional constipation.	
Parent-Report Form for Children and Adolescents (4 years of age and older) (R4PDQ-child) ⁵⁰	Total (42): Belly ache and uncomfortable feelings above the belly button (12), Belly aches and abdominal pain around and below the belly button (10), Bowel movements (7), Nausea and vomiting (9), Other symptoms (4).	Defined diagnostic criteria for functional gastrointestinal disorders in children and adolescents: ⁵⁰ Cyclic vomiting syndrome, Functional nausea and functional vomiting, Rumination syndrome, Aerophagia, Functional dyspepsia, Irritable bowel syndrome, Abdominal migraine, Functional abdominal pain – not otherwise specified, Functional Constipation, Nonretentive fecal incontinence.	
Self-Report Form for Children and Adolescents (10 years of age and older) (R4PDQ-child) ⁵⁰			
iii. Spence Children's Anxiety Scale			

Spence – Preschool Anxiety Scale (Parent Report) (ages 0 to 4) ^{51 52}	Total (34): 5-point LS. Generalized anxiety (5), Social anxiety (6), Obsessive compulsive disorder (5), Physical injury fears (7), Separation anxiety (5).	Responses are scored 0 (Not true at all) to 4 (very often true). A maximum possible score of 112.	A score 1 SD above mean for a subscale or total score warrants further clinical investigation. A score of 0.5 SD above the mean on total score is indicative of an elevated, but not clinical level of anxiety.
Spence Children’s Anxiety Scale (Parent Report) (5 years and older) ^{51 52}	Total (38 scored, 39 total): 4-point LS. Panic attack and agoraphobia (9), Separation anxiety (6), Physical injury fears (5), Social phobia (6), Obsessive compulsive (6), Generalized anxiety disorder / overanxious disorder (6).	Responses are scored 0 (Never) to 3 (Always). A maximum possible score of 114. T-score calculation.	A score 1 SD above mean (T-score of ≥ 60) for a subscale or total score is indicative of subclinical or elevated levels of anxiety warranting further clinical investigation.
Spence Children’s Anxiety Scale (8 years and older) ^{51 52}	Total (38 scores, 45 total): 4-point LS. Separation anxiety (6), Social phobia (6), Obsessive compulsive (6), Panic attack and agoraphobia (9), Physical injury fears (5), Generalized anxiety (6).		
<i>iv. Short Mood and Feelings Questionnaire</i>			
Mood and Feelings Questionnaire: Short Version (Parent Report on Child) (ages 6-18) ^{53 54 66}	Total (13): 3-point LS. Depressive symptoms (13).	Responses are scored 0 (Not true) to 2 (True). A maximum possible score of 26.	Higher scores suggest more severe depressive symptoms. A score of ≥ 12 may indicate the presence of depression in the respondent.
Mood and Feelings Questionnaire: Short Version (Child Self-Report) (ages 6-18) ^{53 54 66}	Total (13): 3-point LS. Depressive symptoms (13).		

Table 2. Measures for symptomatology and health-related quality of life (HRQOL). All questionnaires will be collected from all participants at each time-point. LS, Likert scale; SD, standard deviation. N.B. Disease-specific questionnaires can be added into the Qualtrics data collection form i.e. the Paediatric Sleep Questionnaire: Sleep-Disordered Breathing Subscale,⁶⁷ for children with OSA.

Sample and data processing techniques

Processing of stool, oropharyngeal swab and sputum samples is almost identical (sparing a few initial sample preparation steps). For bacterial community analysis, DNA will be extracted using QIAamp DNA kits (QIAGEN, Hildren, Germany) according to manufacturer's instructions. For 16S rRNA gene analysis specifically, amplification will be performed with primers 515F and 806R spanning the V4 region and sequencing data will be processed using USEARCH.⁶⁸

In the instance where species resolution of bacterial communities is thought to be beneficial, metagenomic shotgun sequencing (MSS) will be performed as an alternative to 16S rRNA gene sequencing. For MSS, no amplification step will be performed prior to sequencing. Sequencing data will be processed using a custom in-house pipeline.

For viral community analysis specifically, sample preparation will follow an adjusted NetoVIR (Novel Enrichment Technique Of VIRomes) protocol.⁴⁵ All sequencing will be performed using the Illumina MiSeq platform at the Ramaciotti Centre for Genomics at the University of New South Wales (UNSW). Briefly, sequencing data will be processed using the Vipie platform⁶⁹ for taxonomic assignment and Virsorter pipeline⁷⁰ for functional annotation.

For untargeted proteomics, samples will undergo an adjusted Debyser et al. protocol for protein extraction, gel electrophoresis and analysed using LC-MS/MS at the Bioanalytical Mass Spectrometry Facility (BMSF), UNSW.²¹ Briefly, proteomics data will be analysed using MaxQuant⁷¹ and Ingenuity Pathway Analysis (Qiagen).

For untargeted metabolomics, metabolites will be extracted in 1:1 (v:v) acetonitrile:H₂O and analysed using a U3000 UHPLC system coupled to a Q-Exactive mass spectrometer (MS;

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3 ThermoFisher Scientific) at the BMSF, UNSW. Briefly, metabolomics data will be analysed
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5 using Progenesis COMET (Waters/NonLinear Dynamics).
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10 Faecal and respiratory biomarkers (listed above) will be measured using enzyme-linked
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12 immunosorbent assays (ELISA).
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17 Nutrient intake data from the ACAES and 24-hour recall is computed using FoodWorks
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19 (Version 3.02.581) and the following databases: Australian AusNut 1999 database (All Foods)
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21 Revision 14 and AusFoods (Brands) Revision 5 (Xyris Software (Australia) Pty Ltd,
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23 FoodWorks Professional Version 3.02.581. 2004: Brisbane Australia). Outputs include a
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25 quantified estimate and the percentage of energy from a wide of range of macro- (protein, fat,
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27 carbohydrate) and micro-nutrients (vitamins A, B, C and minerals such as iron, zinc and
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29 calcium). In addition, overall diet quality score and the percentage of energy derived from
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31 nutrient rich core foods and energy-dense, nutrient-poor discretionary foods is calculated.
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38 *Administration of patient records and data*

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40 At the time of consent and enrolment, participants will be assigned a unique study ID number
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42 (9 alphanumeric characters). All patient records, samples and data are deidentified using the
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44 unique study ID. Data will be stored securely as per ethics review board guidelines.
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49 *Handling of abnormal outcomes or distress*

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51 The well-being of participants is of utmost importance. Participants and their parents/guardians
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53 will be advised to contact any of the study investigators if they have concerns regarding any
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55 aspects of their participation. It is possible that thinking about one's health or the health of
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57 one's child may elicit emotional distress in some participants. Depending on the nature of the
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3 concern or level of distress communicated, a relevant study investigator will contact the
4 participant and/or his or her primary caregiver by telephone or in-person to assess any concerns
5 and arrange appropriate follow-up or referral as soon as possible. Additionally, all Participant
6 Information Sheets will provide the details for several, free, age-appropriate 24-hour
7 telephone-based support services. All individuals will be clearly informed that choosing not to
8 take part in the study, or withdrawing from the study at any stage, will not adversely affect
9 their or their child's health care or relationship with hospital staff in any way.
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22 **Bias, confounding factors and handling of missing data**

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24 The single-centre nature of this study is a limitation due to the restricted recruitment pool
25 available and potential for selection bias; however, SCH is a tertiary referral centre for a diverse
26 group of children across the state of New South Wales, which is the most populous state in
27 Australia. Age and gender are known confounding factors for microbiome analyses and are
28 controlled for with matching. There are rapid changes in the intestinal microbiota during the
29 first 3 years of life, after which it becomes relatively stable.⁷²⁻⁷⁴ Although we aim to match
30 participants as closely as possible, our criteria for acceptable matching is as follows:
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- 40 • For children less than one year, age to be matched within 3 months,
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- 42 • For children aged one to three years, age to be matched within 6 months,
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- 44 • For children aged four years and older, age to be matched within 2 years.
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49 Additional confounding factors for microbiome analyses include perinatal factors and
50 ethnicity, for which sensitivity analyses will be performed. Condition specific medications (e.g.
51 pancreatic enzyme replacement therapy or antibiotic therapy in CF) are potential confounders
52 and attempts to control for these factors will be made at the analysis stage. Missing data will
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3 be treated as missing and accounted for using linear mixed models (see statistical methods
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5 below).
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10 **Study size**

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12 In an exploratory research program of this nature, with multiple conditions of interest, sample
13 size calculations for the primary outcomes are difficult. As an initial, arbitrary target, three
14 males and three females in each of the following age ranges (0 to 5, >5 to 10, >10 to 18 years)
15 will be recruited to account for age- and gender-related changes in microbiomes and diet. This
16 calculation assumes that six participants will be required for most statistical tests of interest
17 and an analysis can be performed on the smallest subgroup (e.g. six CF vs. six HC children
18 aged 0 to 5 years). Therefore 18 participants for each condition and 18 HC (which can be used
19 for comparison against multiple conditions) are an initial target sample size. Initial data from
20 this sample size can then be utilised for subsequent power-focused study designs.
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36 **Statistical methods**

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38 Statistical analyses will be performed in R v3.4.4. All outcome measures will be analysed
39 cross-sectionally and temporally. Descriptive statistics will be calculated for all outcome
40 parameters for each cohort according to normality of distribution. Given the sample size,
41 categorical variables will be compared using Fisher's Exact Test. Continuous variables will be
42 analysed according to distribution with a student *t*-test or Wilcoxon signed-rank test for
43 parametric and non-parametric data, respectively. A linear random-effects mixed model or
44 variant of generalised linear-mixed model will be utilised to evaluate cross-sectional and
45 temporal differences in outcome measures. This technique will allow for control of
46 confounders and treatment of missing data as missing. Correlations between two continuous
47 variables will be performed using Pearson or Spearman correlations according to distribution.
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3 Alpha diversity indices will be measured by richness (number of taxa) and Shannon index.
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6 Phylogeny- and taxonomy-based beta diversity will be calculated using UNIFRAC distances⁴²
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8 and Bray-Curtis dissimilarities, respectively, and used to generate non-metric
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10 multidimensional scaling (NMDS) plots. Permutational multivariate analysis of variance
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12 (PERMANOVA) tests (permutations = 1000) will be utilised to test if beta diversity
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14 significantly differs between groups and age using the vegan function `adonis`.⁴³ A significant
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16 difference in abundance of taxa, proteins or metabolites between groups will be assessed using
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18 the ANCOM package v1.1-3.⁴⁴ For all analyses, $p < 0.05$ (two-tailed) is considered significant
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20 except in the instance of multiple comparisons, in which case a Benjamini & Hochberg
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22 correction will be applied and $q < 0.05$ will be considered significant.
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29 **ETHICS AND DISSEMINATION**

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32 The EARTH Research Program received ethics approval from the Sydney Children's Hospitals
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34 Network Human Research Ethics Committee (HREC/18/SCHN/26). Any amendment to the
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36 protocol which may impact the conduct of the study will be approved by the ethics committee
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38 before implementation.
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44 The results of studies from this research program will be presented in international conferences
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46 and will be published in peer-reviewed journals. Findings may also be presented as: (i) easy-
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48 to-read summaries for participants and the community; (ii) educational lectures and seminars
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50 for patients, families and the community; (iii) website and social media postings; (iv)
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52 newsletter updates for study participants; (v) reports for relevant advocacy groups and funding
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54 partners.
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EXPECTED OUTCOMES AND SIGNIFICANCE OF THE RESEARCH PROJECT

To our knowledge the EARTH Research Program will be the first in children with a chronic gastrointestinal and/or respiratory condition to simultaneously evaluate dietary intake and the intestinal and respiratory microbiomes. By exploring disease mechanisms and environmental interactions (i.e. diet) we may in turn develop insights into potential therapeutic strategies. Studies from this program have the potential for direct translation into clinical care as diet is a highly modifiable factor. This program also provides a structured approach for performing prospective, longitudinal, controlled, observational studies which can be simultaneously applied to multiple health conditions, and utilised a universal control cohort.

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Author Contributions

MJC, TK and CYO jointly conceived and designed the research program. MJC wrote the study protocol. IRM, MD, SC, SA, SSB, SW, NK, TT and AJ refined the research program design. All authors will take part in study conduct, recruitment, data management and/or analysis.

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3 MJC, IRM and CYO prepared this manuscript and all authors read and approved the final
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5 version.
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18 Foundation of Australia Future Leader Fellowship (101229), and a 2018-2019 Harkness
19 Fellowship in Health Care Policy and Practice from the Commonwealth Fund.
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35 **Competing interests**

36 The authors declare no competing interests.
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42 **Data Sharing**

43 De-identified participant data that underlies the results of publications from the EARTH
44 program will be shared with investigators whose proposed use of the data has been approved
45 by an independent review committee.
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52 **Patient and Public Involvement**

53 No patient involved.
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For peer review only

Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRIT reporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Altman DG, Laupacis A, Gøtzsche PC, Krleža-Jerić K, Hróbjartsson A, Mann H, Dickersin K, Berlin J, Doré C, Parulekar W, Summerskill W, Groves T, Schulz K, Sox H, Rockhold FW, Rennie D, Moher D. SPIRIT 2013 Statement: Defining standard protocol items for clinical trials. *Ann Intern Med.* 2013;158(3):200-207

		Reporting Item	Page Number
Administrative information			
Title	#1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	#2a	Trial identifier and registry name. If not yet registered, name of intended registry	2
Trial registration: data set	#2b	All items from the World Health Organization Trial Registration Data Set	n/a
Protocol version	#3	Date and version identifier	n/a
Funding	#4	Sources and types of financial, material, and other support	29
Roles and responsibilities: contributorship	#5a	Names, affiliations, and roles of protocol contributors	1, 2, 29

1	Roles and	#5b	Name and contact information for the trial sponsor	n/a
2	responsibilities:			
3	sponsor contact			
4	information			
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7	Roles and	#5c	Role of study sponsor and funders, if any, in study design;	n/a
8	responsibilities:		collection, management, analysis, and interpretation of	
9	sponsor and funder		data; writing of the report; and the decision to submit the	
10			report for publication, including whether they will have	
11			ultimate authority over any of these activities	
12				
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16	Roles and	#5d	Composition, roles, and responsibilities of the coordinating	29
17	responsibilities:		centre, steering committee, endpoint adjudication	
18	committees		committee, data management team, and other individuals	
19			or groups overseeing the trial, if applicable (see Item 21a	
20			for data monitoring committee)	
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23				
24	Introduction			
25				
26	Background and	#6a	Description of research question and justification for	6-9
27	rationale		undertaking the trial, including summary of relevant	
28			studies (published and unpublished) examining benefits	
29			and harms for each intervention	
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33	Background and	#6b	Explanation for choice of comparators	6-9
34	rationale: choice of			
35	comparators			
36				
37				
38	Objectives	#7	Specific objectives or hypotheses	9-12
39				
40				
41	Trial design	#8	Description of trial design including type of trial (eg,	13
42			parallel group, crossover, factorial, single group),	
43			allocation ratio, and framework (eg, superiority,	
44			equivalence, non-inferiority, exploratory)	
45				
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47				
48	Methods:			
49	Participants,			
50	interventions, and			
51	outcomes			
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54	Study setting	#9	Description of study settings (eg, community clinic,	13
55			academic hospital) and list of countries where data will be	
56			collected. Reference to where list of study sites can be	
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obtained

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3	Eligibility criteria	#10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)
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9	Interventions: description	#11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered
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14	Interventions: modifications	#11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving / worsening disease)
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21	Interventions: adherence	#11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return; laboratory tests)
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27	Interventions: concomitant care	#11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial
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31	Outcomes	#12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended
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42	Participant timeline	#13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)
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49	Sample size	#14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations
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55	Recruitment	#15	Strategies for achieving adequate participant enrolment to reach target sample size
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1 **Methods:**

2 **Assignment of**
3 **interventions (for**
4 **controlled trials)**
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8 Allocation: sequence [#16a](#) Method of generating the allocation sequence (eg, n/a
9 generation computer-generated random numbers), and list of any
10 factors for stratification. To reduce predictability of a
11 random sequence, details of any planned restriction (eg,
12 blocking) should be provided in a separate document that
13 is unavailable to those who enrol participants or assign
14 interventions
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19 Allocation [#16b](#) Mechanism of implementing the allocation sequence (eg, n/a
20 concealment central telephone; sequentially numbered, opaque, sealed
21 mechanism envelopes), describing any steps to conceal the sequence
22 until interventions are assigned
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26 Allocation: [#16c](#) Who will generate the allocation sequence, who will enrol n/a
27 implementation participants, and who will assign participants to
28 interventions
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31 Blinding (masking) [#17a](#) Who will be blinded after assignment to interventions (eg, n/a
32 trial participants, care providers, outcome assessors, data
33 analysts), and how
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36 Blinding (masking): [#17b](#) If blinded, circumstances under which unblinding is n/a
37 emergency unblinding permissible, and procedure for revealing a participant's
38 allocated intervention during the trial
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41 **Methods: Data**
42 **collection,**
43 **management, and**
44 **analysis**
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49 Data collection plan [#18a](#) Plans for assessment and collection of outcome, baseline, 18-24
50 and other trial data, including any related processes to
51 promote data quality (eg, duplicate measurements,
52 training of assessors) and a description of study
53 instruments (eg, questionnaires, laboratory tests) along
54 with their reliability and validity, if known. Reference to
55 where data collection forms can be found, if not in the
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protocol

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3	Data collection plan:	#18b	Plans to promote participant retention and complete
4	retention		follow-up, including list of any outcome data to be
5			collected for participants who discontinue or deviate from
6			intervention protocols
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9	Data management	#19	Plans for data entry, coding, security, and storage,
10			including any related processes to promote data quality
11			(eg, double data entry; range checks for data values).
12			Reference to where details of data management
13			procedures can be found, if not in the protocol
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18	Statistics: outcomes	#20a	Statistical methods for analysing primary and secondary
19			outcomes. Reference to where other details of the
20			statistical analysis plan can be found, if not in the protocol
21			
22			
23	Statistics: additional	#20b	Methods for any additional analyses (eg, subgroup and
24	analyses		adjusted analyses)
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27	Statistics: analysis	#20c	Definition of analysis population relating to protocol non-
28	population and		adherence (eg, as randomised analysis), and any
29	missing data		statistical methods to handle missing data (eg, multiple
30			imputation)
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33	Methods: Monitoring		
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36	Data monitoring:	#21a	Composition of data monitoring committee (DMC);
37	formal committee		summary of its role and reporting structure; statement of
38			whether it is independent from the sponsor and competing
39			interests; and reference to where further details about its
40			charter can be found, if not in the protocol. Alternatively,
41			an explanation of why a DMC is not needed
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46	Data monitoring:	#21b	Description of any interim analyses and stopping
47	interim analysis		guidelines, including who will have access to these interim
48			results and make the final decision to terminate the trial
49			
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51	Harms	#22	Plans for collecting, assessing, reporting, and managing
52			solicited and spontaneously reported adverse events and
53			other unintended effects of trial interventions or trial
54			conduct
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58	Auditing	#23	Frequency and procedures for auditing trial conduct, if
59			n/a
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any, and whether the process will be independent from investigators and the sponsor

Ethics and dissemination

Research ethics approval	#24	Plans for seeking research ethics committee / institutional review board (REC / IRB) approval	27
Protocol amendments	#25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC / IRBs, trial participants, trial registries, journals, regulators)	27
Consent or assent	#26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	13-14
Consent or assent: ancillary studies	#26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	13-14
Confidentiality	#27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	24
Declaration of interests	#28	Financial and other competing interests for principal investigators for the overall trial and each study site	29
Data access	#29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	29
Ancillary and post trial care	#30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	24-25
Dissemination policy: trial results	#31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	27
Dissemination policy:	#31b	Authorship eligibility guidelines and any intended use of	28

1	authorship	professional writers	
2	Dissemination policy:	#31c	Plans, if any, for granting public access to the full protocol, 29
3	reproducible research		participant-level dataset, and statistical code
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6 Appendices

8	Informed consent	#32	Model consent form and other related documentation	n/a
9	materials		given to participants and authorised surrogates	
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12	Biological specimens	#33	Plans for collection, laboratory evaluation, and storage of	24
13			biological specimens for genetic or molecular analysis in	
14			the current trial and for future use in ancillary studies, if	
15			applicable	
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19 None The SPIRIT checklist is distributed under the terms of the Creative Commons Attribution
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 21 tool made by the [EQUATOR Network](#) in collaboration with [Penelope.ai](#)
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BMJ Open

Evaluating the Alimentary and Respiratory Tracts in Health and disease (EARTH) research program: a protocol for prospective, longitudinal, controlled, observational studies in children with chronic disease at an Australian tertiary paediatric hospital.

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2019-033916.R2
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**Evaluating the Alimentary and Respiratory Tracts in Health and
disease(EARTH) research program: a protocol for prospective, longitudinal,
controlled, observational studies in children with chronic disease at an Australian
tertiary paediatric hospital.**

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ABSTRACT

Introduction

Chronic gastrointestinal and respiratory conditions of childhood can have long-lasting physical, psychosocial, and economic effects on children and their families. Alterations in diet and intestinal and respiratory microbiomes may have important implications for physical and psychosocial health. Diet influences the intestinal microbiome and should be considered when exploring disease-specific alterations. The concepts of gut-brain and gut-lung axes provide novel perspectives for examining chronic childhood disease(s). We established the “Evaluating the Alimentary and Respiratory Tracts in Health and disease” (EARTH) research program to provide a structured, holistic evaluation of children with chronic gastrointestinal and/or respiratory conditions.

Methods and analysis

The EARTH program provides a framework for a series of prospective, longitudinal, controlled, observational studies (comprised of individual sub-studies), conducted at an Australian tertiary paediatric hospital (the methodology is applicable to other settings). Children with a chronic gastrointestinal and/or respiratory condition will be compared to age and gender matched healthy controls (HC) across a 12-month period. The following will be collected at baseline, 6 and 12 months: (i) stool, (ii) oropharyngeal swab/sputum, (iii) semi-quantitative food frequency questionnaire, (iv) details of disease symptomatology, (v) health-related quality of life, and (vi) psychosocial factors. Data on the intestinal and respiratory microbiomes and diet will be compared between children with a condition and HC. Correlations between dietary intake (energy, macro- and micro-nutrients), intestinal and respiratory microbiomes within each group will be explored. Data on disease

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3 symptomatology, quality of life and psychosocial factors will be compared between condition
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5 and HC cohorts.
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8 Results will be hypothesis-generating and direct future focused studies. There is future
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10 potential for direct translation into clinical care, as diet is a highly modifiable factor.
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14 15 **Ethics and dissemination**

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17 Ethics approval: Sydney Children's Hospitals Network Human Research Ethics Committee
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19 (HREC/18/SCHN/26). Results will be presented at international conferences and published in
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21 peer-reviewed journals.
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26 27 **Trial registration**

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36 37 **ARTICLE SUMMARY**

38 39 **Strengths and limitations of this study**

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- 42 • The prospective, longitudinal, controlled, observational design of this research
43 program provides a structured approach which can be simultaneously applied to
44 multiple chronic gastrointestinal and/or respiratory conditions of childhood and
45 utilises a universal control cohort (for age and gender matching).
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 - 48 • This study will simultaneously evaluate dietary intake and the intestinal and
49 respiratory microbiomes, which will tease out disease-causing alterations in the
50 microbiomes, provide insights into the gut-lung axis and potentially identify
51 modifiable dietary factors.
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- We will explore relationships between the primary outcomes (diet, intestinal and respiratory microbiomes) and health-related quality of life (including symptomatology), which may provide insights into the gut-brain axis and identify novel pathogenic mechanisms in these conditions.
- A limitation of this research program is that it currently includes a single centre, Sydney Children's Hospital Randwick, Australia, however it is a tertiary referral centre for a diverse group of children across the state of New South Wales, Australia.
- A further limitation is the arbitrary sample size targets given the exploratory nature of these studies.

INTRODUCTION

The primary disease burden in childhood has shifted over the last century from infectious to chronic diseases.¹ Chronic childhood diseases, encompassing a wide spectrum of conditions with different pathogeneses, may have long-lasting physical, psychosocial, and economic effects on children and their families.² ³The human microbiome is a collection of all microorganisms (bacteria, viruses, archaea and eukaryotes) living in association with the human body.⁴ Our understanding of the human microbiomes in health and disease has begun to develop due to the advent of high-throughput sequencing and mass-spectrometry technologies, with the gut emerging as an ecosystem of particular interest. While the effects of an altered gut microbiome (dysbiosis) may not apply to all chronic diseases, there are conditions, disease-related complications and co-morbidities linked to gut microbial dysbiosis. This is especially true in chronic gastrointestinal and respiratory conditions. Affected children are at risk of an imbalanced diet as well as mental health difficulties, which in turn can influence eating behaviours, attitudes and nutritional intake.^{5,6} Some of these conditions also require lifelong dietary modifications; for example, cystic fibrosis (CF).⁷ Additionally, the complex interaction between microbiota (i.e. bacteria), available nutrients and the immune system is essential in maintaining homeostasis and fighting against invading pathogens at mucosal sites.⁸ An important limitation common to most current publications on the human intestinal microbiome in chronic childhood disease(s) is the lack of quantifiable dietary data, as the diet has a marked influence on gut microbiota in health.⁹

The principles and framework of this research program were developed to be applicable to many chronic gastrointestinal and/or respiratory conditions of childhood. Due to the clinical and/or research expertise of the authors and for the purposes of this manuscript, we will describe this program based on three relevant chronic diseases: (i) CF, (ii) obstructive sleep

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3 apnoea (OSA), and Hirschsprung's disease (HSCR). These conditions all have reported or
4 expected changes in their intestinal and/or respiratory microbiomes.
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10 CF is the most common life-shortening recessive disease in Caucasians.¹⁰ It is characterised
11 by intestinal malabsorption, impaired growth and nutrition, and lung disease.¹¹ In CF, a high
12 calorie, high fat diet (110-200% of recommended daily energy intake) is advised to prevent
13 malnutrition and optimise growth.⁷ Recent reports suggest that children tend to achieve the
14 recommended CF diet primarily by overconsumption of energy-dense, nutrient-poor foods
15 rather than nutrient-dense foods.¹² We have previously reported that children with CF, from
16 as early as infancy, have alterations in their gut microbiota, impaired innate immunity and
17 intestinal inflammation.¹³⁻¹⁷ We have also observed that poor growth in children with CF
18 is significantly correlated with the degree of intestinal inflammation.¹⁴ The aetiology of gut
19 microbial dysbiosis and inflammation in CF remains unclear. It is plausible that dietary
20 intake plays a role, as enteric fat abundance (from a high-fat diet) may select for a pro-
21 inflammatory microbiota.^{18,19} Alterations in intestinal metabolomic²⁰ and proteomic²¹ profiles
22 have also been reported. As the life expectancy of CF patients improves, age-related diseases
23 such as gastrointestinal malignancies and cardiovascular disease (e.g. myocardial infarcts in
24 adults with CF) are a growing concern.²² Thus, optimal strategies to optimise health and
25 reduce disease risk factors need to be determined.
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49 In children, OSA can have cardiovascular, neurocognitive and behavioural consequences.²³
50 Murine studies suggest intermittent hypoxia, hypercapnia and sleep fragmentation promote
51 intestinal dysbiosis, increased visceral fat mass, systemic inflammation and atherosclerosis.²⁴⁻
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27 Additionally, the inhibition of gut microbial metabolites attenuating atherosclerosis²⁶ and
replication of hypertension after faecal transplant from hypertensive to normotensive OSA

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3 rats²⁸suggest the possibility of influencing clinical outcomes through affecting the gut
4 microbiome. In adult studies, OSA is associated with gut epithelial damage,²⁹and nasal
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6 dysbiosis and inflammation.³⁰
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12 HSCR is a congenital disorder where the distal intestine is aganglionic for a variable length.
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14 This results in a functional bowel obstruction that usually presents in newborns. Following
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16 corrective surgery, children often have ongoing intestinal symptoms, and Hirschsprung-
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18 associated enterocolitis (HAEC) remains the most frequent complication. This may result in
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20 frequent hospitalisations and even mortality. Children with and without HAEC often have an
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22 altered intestinal microbiome³¹ and altered composition of short chain fatty acids (SCFA).³²
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29 To the best of our knowledge, there are no publications on the intestinal virome (i.e. viruses)
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31 in children with CF, OSA or HSCR. Bacteriophages (viruses which infect bacteria) can
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33 influence bacterial populations via host lysis and horizontal gene transfer, as well as
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35 indirectly regulate immune function and inflammation.³³⁻³⁶
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41 Despite accumulating evidence linking health, diet and the microbiomes, there is a paucity of
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43 research exploring this simultaneously in the context of chronic paediatric
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45 disease.³⁷Furthermore, potential gut-brain³⁸ and gut-lung³⁹ axes have yet to be well
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47 characterised in these conditions. The gut-brain-axis refers to the bi-directional
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49 communication between the central nervous systems and gut microbiome, and is mediated by
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51 neural, endocrine and immune pathways.⁴⁰ The gut-lung axis refers to the bi-directional
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53 relationship between the gut and lungs, as there appears to be an immunological relationship
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55 between them.³⁹ ⁴¹Simultaneous, longitudinal studies using an integrated “omics” approach
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57 will help to identify the functional consequences and pathogenic mechanisms that occur
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3 within the altered intestinal and respiratory milieu in chronic conditions. By exploring disease
4 mechanisms and environmental interactions (e.g. diet) we may in turn develop insights into
5 potential therapeutic strategies. Additionally, we may be able to identify whether diet may be
6 amenable to specific modifications which may in turn benefit the intestinal microbiome.
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14 The EARTH Program has been established to provide a structured approach to analysing the
15 gastrointestinal and respiratory microbiomes and diet in children with a chronic
16 gastrointestinal and/or respiratory condition. The design improves efficiency by recruiting
17 and assessing a healthy control (HC) group which can be used for comparison against each of
18 the conditions (as opposed to recruiting a new HC group for each condition). Although our
19 initial design is focused on CF, OSA and HSCR, the program framework is applicable to
20 other chronic gastrointestinal and/or respiratory conditions of childhood.
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33 **OBJECTIVES**

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35 The objective of this research program is to evaluate and compare children with a chronic
36 gastrointestinal and/or respiratory condition and age and gender matched HC. The primary
37 objectives include analysing the intestinal and respiratory microbiomes (using an integrated
38 “omics” approach) and dietary intake using validated, parent-report tools (Table 1). The
39 secondary objectives are also presented in Table 1 and include evaluating:
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- 47 1. Known inflammatory biomarkers.
 - 48 2. Symptomatology and health-related quality of life (HRQOL) using validated
49 measures.
 - 50 3. Phenotypic and clinical information.
 - 51 4. Sociodemographic factors
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3 Additional secondary objectives include correlating within children with the same condition:
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5 (i) dietary intake with the intestinal microbiome; (ii) dietary intake with the respiratory
6 microbiome; and (iii) the intestinal and respiratory microbiomes.
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12 We hypothesise that:
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- 14 (i) Children with chronic gastrointestinal and/or respiratory conditions will have
15 altered intestinal and respiratory microbiomes compared to healthy children, and
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17 (ii) Diet plays a key role in influencing the intestinal and respiratory microbiomes and
18 this may impact on clinical outcomes, biomarkers of disease, and health-related
19 quality of life.
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29 To our knowledge, this program will enable the first series of studies comparing the intestinal
30 and respiratory microbiomes and diet in children with chronic gastrointestinal and/or
31 respiratory conditions. Initial results will be hypothesis-generating and used to direct future
32 studies tailored to a specific focus or line of inquiry. Additionally, studies from this research
33 program have potential for direct translation into clinical care as diet is a highly modifiable
34 factor.
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Domain	Data Source	Technique	Outcome Measures	Between Group Analyses*	Within Group Analyses†
Primary Objectives					
1. Intestinal Microbiome 2. Respiratory Microbiome	1. Stool sample	Bacterial communities (16S rRNA (V4) ¹³ or MSS)	Alpha diversity (richness and Shannon index)	Student <i>t</i> -test or Wilcoxon signed-rank test	Pearson or Spearman correlations with: • Gastrointestinal microbiome • Respiratory microbiome • Diet • Secondary objectives Descriptive
			Beta diversity (UNIFRAC distances ⁴²)	PERMANOVA ⁴³	
			Relative abundances of bacteria	ANCOM ⁴⁴	
		Viral communities (metagenomic sequencing ⁴⁵)	Alpha diversity (richness and Shannon index)	Student <i>t</i> -test or Wilcoxon signed-rank test	
			Beta diversity (Bray-Curtis dissimilarities)	PERMANOVA ⁴³	
			Relative abundances of viruses	ANCOM ⁴⁴	
	2. Oropharyngeal swab or sputum sample	Proteomics (LC-MS ²¹)	Protein z-score normalised LFQ intensities	Student <i>t</i> -test	
			Pathway/network upregulation or downregulation	Condition/HC ratio	
		Metabolomics (UHPLC-MS/MS ²⁰)	Metabolite normalised abundance	Student <i>t</i> -test	
			Pathway/network upregulation or downregulation	Condition/HC ratio	
3. Diet	i. ACAES (ages 2 to 18yr) ii. 24-hour food recall (ages 0 up to 2yr)	Energy intake	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test		
		Percent energy from core foods			
		Macronutrient intake			
		Micronutrient intake			
		Diet quality score [‡]			
Secondary Objectives					
1. Biomarkers	Stool, oropharyngeal swab or sputum sample	ELISA	Inflammation (calprotectin, M2-PK, CRP & interleukins)	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive

2. Symptomatology & HRQOL	PedsQL InfantScales (0-2yr) & Gastrointestinal Symptoms Module (2-18yr) ⁴⁶⁻⁴⁸	HRQOL and gastrointestinal symptoms.	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive
	Rome IV Questionnaire ^{49 50}	Gastrointestinal symptoms		
	Spence Children's Anxiety Scale ^{51 52}	Anxiety symptoms		
	Short Mood and Feelings Questionnaires ^{53 54}	Depressive symptoms		
3. Phenotypic & Clinical Information	Anthropometrics	Z-scores; weight, length/height, weight-for-length (ages 0 to 2yr) and BMI (ages 2 to 20yr)	Student <i>t</i> -test, Wilcoxon signed-rank test or Fisher's Exact Test	Descriptive
	Clinical presentations	Number and length of hospitalisations, emergency department presentations, medications, vaccinations		
	Results	Biochemistry, microbiology and imaging results		
	Perinatal factors	Mode of delivery, feeding during infancy		
4. Socio-demographic factors	Ethnicity	Descriptive	Descriptive	Descriptive
	SEIFA Code ⁵⁵	Descriptive	Descriptive	Descriptive

Table 1. Primary and secondary objectives with related outcome measures. All samples, questionnaires and data will be collected from all participants at each time-point. *Between group analyses describe comparisons between a condition and healthy control groups. †Within group analyses describe analyses of two outcome measures within subjects of the same condition group. ‡ACAES only. ACAES, Australian Child and Adolescent Eating Survey; CRP, C-reactive protein; ELISA, enzyme-linked immunosorbent assay; HC, healthy control; LC-MS, liquid chromatography-mass spectrometry; HRQOL, health-related quality of life; MSS, metagenomic shotgun sequencing; M2-PK, M2 pyruvate kinase; PERMANOVA, permutational multivariate analysis of variance; SEIFA, Socio-Economic Indexes for Areas (a measure of relative socio-economic advantage and disadvantage in Australia).

METHODS AND ANALYSES

Study design

The EARTH program provides a framework for a series of prospective, longitudinal, controlled, observational studies, with each individual study comparing children with a chronic gastrointestinal and/or respiratory condition to HC. A single healthy control group will be used for comparison against all conditions and healthy controls are defined as children who are free of any chronic disease. The standardised methodological approach will also allow for comparisons between different health conditions. The SPIRIT reporting guidelines were used for this protocol.⁵⁶

Setting

Studies will be carried out at a single centre; the Sydney Children's Hospital (SCH) in Randwick, Australia. SCH is a tertiary paediatric hospital.

Participants

Children are eligible if they:

- Are aged between 0 and 18 years;
- Have been diagnosed with a chronic gastrointestinal and/or respiratory condition defined by consensus diagnostic criteria; or
- Are free of any chronic health condition (healthy control group); and
- Have a parent(s)/carer(s) who provides informed consent, or are at least 16 years old and provide informed consent.

Ineligibility criteria include:

- Children with more than one concurrent or unrelated chronic disease;
- Inability to comply with study requirements;

- Parent(s)/guardian(s) are unable to speak English or do not have a reading level age of at least 12 years.

Participants with a chronic gastrointestinal and/or respiratory condition will be matched to a HC for gender and age (as closely as possible).

Recruitment Strategy

Participants with chronic gastrointestinal and/or respiratory conditions will be approached at their routine clinic appointments in the outpatient department. Flyers will be placed in the hospital for recruitment of HC. Prior to study participation, detailed written and verbal information will be provided about the content and extent of the study. Written informed consent from the parent/legal guardian of each participant will be required. If the child is deemed Gillick competent,⁵⁷ they will be encouraged to sign a specific child assent form. Parents/legal guardians and participants may withdraw consent at any time.

Outcome Measures

The outcomes measures are presented in Table 1. All samples, questionnaires and data will be collected from all participants at each time-point. Presented below is a simplified explanation of each outcome/variable included in the research program.

Primary outcomes/variables

1. Intestinal microbiome assessed from a stool sample using one or more of:
 - i. Bacterial community analysis (16S rRNA (V4)¹³ or metagenomic shotgun sequencing):
 - a. Alpha diversity indices:
 - (i) Richness: the total number of unique species.⁵⁸

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3 (ii) Shannon index: a measure of both species abundance and
4 evenness.⁵⁹
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8 b. Beta diversity indices:
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10 (i) UniFrac: a distance metric used to compare biological
11 communities that incorporates phylogenetic distances between
12 observed organisms.⁴²
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14 (ii) Bray-Curtis dissimilarity: a count metric used to quantify the
15 compositional dissimilarity between two different sites.⁶⁰
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18 c. Relative abundance: the percent composition of an organism relative to
19 the total number of organisms in the area.⁶¹
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26 ii. Viral community analysis(metagenomic sequencing⁴⁵), as above for bacterial
27 community analysis.
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31 iii. Proteomics (liquid chromatography-mass spectrometry (LC-MS)²¹):
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33 a. Protein z-score normalised label-free quantification (LFQ) intensities.
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35 b. Pathway/network upregulation or downregulation based on the ratio of
36 condition/HC.
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40 iv. Metabolomics (ultra-highperformance liquid chromatography-tandem mass
41 spectrometry (UHPLC-MS/MS)²⁰):
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43 a. Metabolite normalised abundance.
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45 b. Pathway/network upregulation or downregulation based on the ratio of
46 condition/HC.
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51 2. Respiratory microbiomeassessed from an oropharyngeal swab or sputum sample,
52 using one or more of the techniques listed above (1a–d).
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55 i. A sputum sample will be obtained in children able to expectorate and an
56 oropharyngeal swab will be collected in children unable to expectorate.
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3 a. Associations with the intestinal microbiome (1) will be used to explore
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5 the gut-lung axis.
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- 8 3. Dietary intake assessed using a validated semi-quantitative food frequency
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10 questionnaire (FFQ):
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12 i. For participants aged 0 up to 2 years, a 24-hour food recall:
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14 a. Energy intake, percentage energy from core foods, macronutrient
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16 intake (total intake and proportion of energy intake) and micronutrient
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18 intake (total intake and proportion of energy intake).
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21 ii. For participants aged 2 to 18 years, the Australian Child and Adolescent
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23 Eating Survey (ACAES).⁶²⁻⁶⁵The ACAES is a validated food frequency
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25 questionnaire (120 items, semi quantitative) used to quantify food and nutrient
26
27 intake over the preceding six months, developed and validated for use in
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29 Australian children:
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31 a. Energy intake, percentage energy from core foods, macronutrient
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33 intake (total intake and proportion of energy intake), micronutrient
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35 intake (total intake and proportion of energy intake) and overall diet
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37 quality score.
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45 *Secondary outcomes/variables*

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47 1. Faecal and respiratory inflammatory biomarkers, such as calprotectin, M2 pyruvate
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49 kinase (M2-PK), C-reactive protein (CRP) and interleukins.
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52 2. Symptomatology and health-related quality of life (HRQOL) will be collected directly
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54 from children where age-appropriate measures exist and/or parents using age-
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56 appropriate measures:
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- 3 a. PedsQL Infant Scales (0-2yr) & Gastrointestinal Symptoms Module (2-
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- 5 18yr)⁴⁶⁻⁴⁸ (HRQOL and gastrointestinal symptoms).
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- 7
- 8 b. Rome IV Questionnaire^{49 50}(gastrointestinal symptoms). Designed to diagnose
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- 10 functional gastrointestinal disorders, which are defined as disorders of the gut-
- 11
- 12 brain interaction in children aged 0 to 18 years. These criteria capture
- 13
- 14 gastrointestinal symptoms which are relevant to motility disturbance, visceral
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- 16 hypersensitivity, altered mucosal and immune function, altered gut bacteria
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- 18 and altered central nervous system processing;
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- 21 i. Associations with the intestinal microbiome (1) will be used to explore
- 22
- 23 the gut-brain axis.
- 24
- 25
- 26 c. Spence Children's Anxiety Scale^{51 52} (anxiety symptoms);
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- 28 i. Associations with the intestinal microbiome (1) will be used to explore
- 29
- 30 the gut-brain axis.
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- 32
- 33 d. Short Mood and Feelings Questionnaires^{53 54} (depressive symptoms).
- 34
- 35
- 36 i. Associations with the intestinal microbiome (1) will be used to explore
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- 38 the gut-brain axis.
- 39
- 40 3. Anthropometrics, including z-scores for weight, length/height, weight-for-length (ages
- 41
- 42 0 to 2 years) and body mass index (BMI) (ages 2 to 20 years).
- 43
- 44
- 45 4. Z-scores; weight, length/height, weight-for-length (ages 0 to 2yr) and BMI (ages 2 to
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- 47 20yr)
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- 49 5. Clinical information and biochemical results obtained through routine care, such as
- 50
- 51 number and length of hospitalisations, emergency department presentations, perinatal
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- 53 factors (mode of delivery, feeding type(s) in infancy), medications, vaccination status
- 54
- 55 (including timing of most recent vaccination), biochemistry, microbiology and
- 56
- 57 imaging results;
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3 6. Sociodemographic factors such as ethnicity and Socio-Economic Indexes for Areas
4 (SEIFA) code.⁵⁵
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10 **Procedures**

11 Each participant will be assessed on three occasions over a 12-month period; at study entry, 6-
12 and 12-month follow-up. At each time-point, the following will be collected:
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- 15 • A stool sample;
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- 17 • An oropharyngeal swab or sputum sample (a sputum sample will be obtained in
18 children able to expectorate and an oropharyngeal swab will be collected in children
19 unable to expectorate);
- 20
- 21 • Dietary intake measured using the ACAES (2 to 18 years) or 24-hour food recall (0 up
22 to 2 years);
- 23
- 24 • A secure, password-protected online survey comprising:
25
 - 26 i. PedsQL Infant Scales (0-2yr) & Gastrointestinal Symptoms Module (2-
27 18yr),⁴⁶⁻⁴⁸ tailored to age;
 - 28 ii. Rome IV Questionnaire^{49 50} (0 to 18 years);
 - 29 iii. Spence Children's Anxiety Scale^{51 52} (3 to 18 years);
 - 30 iv. Short Mood and Feelings Questionnaires^{53 54} (6 to 18 years);
 - 31 v. Clinical and biochemical results obtained through routine care and
32 hospitalisations (if available);
 - 33 vi. Sociodemographic factors (baseline survey only);
- 34
- 35 • Anthropometrics: height, weight and BMI z-scores.
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56 *Details regarding sample and data collection*
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3 Stool samples will be collected in a sterile specimen jar using a Feces Catcher (Abbexa Ltd,
4 Cambridge, UK). Sputum samples will be collected from children who are able to
5 expectorate and oropharyngeal swabs will be collected in those children who cannot
6 expectorate sputum. All samples will be transported in a cooler bag (with a -18°C ice pack) to
7 the hospital laboratory within 24 hours of collection. Stool, oropharyngeal swab and sputum
8 samples will be aliquoted and stored at -80 °C. Where practical, samples will be collected at
9 least four weeks after completion of oral/intravenous antibiotic therapy (excluding
10 prophylactic antibiotics) or an acute infectious illness.
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24 For children aged 0 up to 2 years, a 24-hour dietary recall will be conducted by a study
25 dietician. For children aged 2 to 18 years, the ACAES will be completed online by
26 parents/guardians or by the child themselves if aged over 14 years.
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33 Participant clinical information and questionnaires will be collected using the Qualtrics
34 Online Survey Software Tool (www.qualtrics.com), which is distributed via a secure email
35 link. Qualtrics is a secure, password-protected platform, which allows for the distribution of
36 electronic surveys and collection of data. The surveys are programmed to be
37 condition/control-specific and facilitate a personalised flow depending on the time-point of
38 the study and the age of the participant (to facilitate administration of age-appropriate
39 questionnaires). Further details on each of the measures used for evaluating symptomatology
40 and HRQOL are presented in Table 2. Clinical and biochemical results will be obtained via
41 the SCH electronic medical record system and recorded in a Qualtrics survey.
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56 Participants will be measured for their height and weight using standardised methods.
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Measure	Domains (Items)	Scoring	Interpretation
<i>i. PedsQL</i>			
Infant Scales - Parent report for infants (ages 1-12 months) ⁴⁸	Total (36): 5-point LS. Physical Functioning (6), Physical Symptoms (10), Emotional Functioning (12), Social Functioning (4), Cognitive Functioning (4).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate better HRQOL
Infant Scales - Parent report for infants (ages 13-24 months) ⁴⁸	Total (45): 5-point LS. Physical Functioning (9), Physical Symptoms (10), Emotional Functioning (12), Social Functioning (5), Cognitive Functioning (9).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate better HRQOL
3.0 Gastrointestinal Symptoms Module – Parent report for toddlers (ages 2-4) ^{46 47}	Total (74): 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6), Trouble Swallowing (3), Heart Burn and Reflux (4), Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (2), Diarrhoea (7), Worry About Going Poop (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate lower problems.
Gastrointestinal symptoms module (Acute Version 3.0) – Parent report for young children (ages 5-7) ^{46 47}	Total (74): 3- & 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6), Trouble Swallowing (3), Heart Burn and Reflux (4), Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (2), Diarrhoea (7), Worry About Going Poop (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate lower problems.
Gastrointestinal symptoms module (Acute Version 3.0) – Young child report (ages 5-7) ^{46 47}	Total (74): 3- & 5-point LS. Stomach Pain and Hurt (6), Stomach Discomfort When Eating (5), Food and Drink Limits (6), Trouble Swallowing (3), Heart Burn and Reflux (4),	Items are reverse scored and linearly transformed on a scale from 0 to 100.	Higher scores indicate lower problems.

8-12) ^{46 47}	Nausea and Vomiting (4), Gas and Bloating (7), Constipation (14), Blood in Poop (Bowel Movement) (2), Diarrhoea (7), Worry About Going Poop (Bowel Movements) (5), Worry About Stomach Aches (2), Medicines (4), Communication (5).		
Gastrointestinal symptoms module (Acute Version 3.0) – Child report (ages 8-12) ^{46 47}			
Gastrointestinal symptoms module (Acute Version 3.0) – Parent report for teens (ages 13-18) ^{46 47}			
Gastrointestinal symptoms module (Acute Version 3.0) – Teens report (ages 13-18) ^{46 47}			
ii. Rome IV			
Rome IV – Parent-Report Form for Infants and Toddlers (ages 0-3) (R49QG-toddler) ⁴⁹	Total (29 for ages 0-12 months; 18 for ages 1-3 years): Infant gastrointestinal problems (11), Vomiting (9), Bowel Movements (9)	Defined diagnostic criteria for functional gastrointestinal disorders in neonates and toddlers: ⁴⁹ Infant regurgitation, Infant rumination syndrome, Cyclic vomiting syndrome, Infant colic, Functional diarrhoea, Infant dyschezia, Functional constipation.	
Parent-Report Form for Children and Adolescents (4 years of age and older) (R4PDQ-child) ⁵⁰	Total (42): Belly ache and uncomfortable feelings above the belly button (12), Belly aches and abdominal pain around and below the belly button (10), Bowel movements (7), Nausea and vomiting (9), Other symptoms (4).	Defined diagnostic criteria for functional gastrointestinal disorders in children and adolescents: ⁵⁰ Cyclic vomiting syndrome, Functional nausea and functional vomiting, Rumination syndrome, Aerophagia, Functional dyspepsia, Irritable bowel syndrome, Abdominal migraine, Functional abdominal pain – not otherwise specified, Functional Constipation, Nonretentive fecal incontinence.	
Self-Report Form for Children and Adolescents (10 years of age and older) (R4PDQ-child) ⁵⁰			
iii. Spence Children's Anxiety Scale			
Spence – Preschool Anxiety Scale (Parent Report) (ages 0 to 4) ^{51 52}	Total (34): 5-point LS. Generalized anxiety (5), Social anxiety (6), Obsessive compulsive disorder (5), Physical injury	Responses are scored 0 (Not true at all) to 4 (very often true). A	A score 1 SD above mean for a subscale or total score warrants further clinical investigation. A

	fears (7), Separation anxiety (5).	maximum possible score of 112.	score of 0.5 SD above the mean on total score is indicative of an elevated, but not clinical level of anxiety.
Spence Children's Anxiety Scale (Parent Report) (5 years and older) ^{51 52}	Total (38 scored, 39 total): 4-point LS. Panic attack and agoraphobia (9), Separation anxiety (6), Physical injury fears (5), Social phobia (6), Obsessive compulsive (6), Generalized anxiety disorder / overanxious disorder (6).	Responses are scored 0 (Never) to 3 (Always). A maximum possible score of 114. T-score calculation.	A score 1 SD above mean (T-score of ≥ 60) for a subscale or total score is indicative of subclinical or elevated levels of anxiety warranting further clinical investigation.
Spence Children's Anxiety Scale (8 years and older) ^{51 52}	Total (38 scores, 45 total): 4-point LS. Separation anxiety (6), Social phobia (6), Obsessive compulsive (6), Panic attack and agoraphobia (9), Physical injury fears (5), Generalized anxiety (6).		
<i>iv. Short Mood and Feelings Questionnaire</i>			
Mood and Feelings Questionnaire: Short Version (Parent Report on Child) (ages 6-18) ^{53 54 66}	Total (13): 3-point LS. Depressive symptoms (13).	Responses are scored 0 (Not true) to 2 (True). A maximum possible score of 26.	Higher scores suggest more severe depressive symptoms. A score of ≥ 12 may indicate the presence of depression in the respondent.
Mood and Feelings Questionnaire: Short Version (Child Self-Report) (ages 6-18) ^{53 54 66}	Total (13): 3-point LS. Depressive symptoms (13).		

Table 2. Measures for symptomatology and health-related quality of life (HRQOL). All questionnaires will be collected from all participants at each time-point. LS, Likert scale; SD, standard deviation. N.B. Disease-specific questionnaires can be added into the Qualtrics data collection form i.e. the Paediatric Sleep Questionnaire: Sleep-Disordered Breathing Subscale,⁶⁷ for children with OSA.

Sample and data processing techniques

Processing of stool, oropharyngeal swab and sputum samples is almost identical (sparing a few initial sample preparation steps). For bacterial community analysis, DNA will be extracted using QIAamp DNA kits (QIAGEN, Hildren, Germany) according to manufacturer's instructions. For 16S rRNA gene analysis specifically, amplification will be performed with primers 515F and 806R spanning the V4 region and sequencing data will be processed using USEARCH.⁶⁸

In the instance where species resolution of bacterial communities is thought to be beneficial, metagenomic shotgun sequencing (MSS) will be performed as an alternative to 16S rRNA gene sequencing. For MSS, no amplification step will be performed prior to sequencing. Sequencing data will be processed using a custom in-house pipeline.

For viral community analysis specifically, sample preparation will follow an adjusted NetoVIR (Novel Enrichment Technique Of VIRomes) protocol.⁴⁵ All sequencing will be performed using the Illumina MiSeq platform at the Ramaciotti Centre for Genomics at the University of New South Wales (UNSW). Briefly, sequencing data will be processed using the Vipie platform⁶⁹ for taxonomic assignment and Virsorter pipeline⁷⁰ for functional annotation.

For untargeted proteomics, samples will undergo an adjusted Debyser et al. protocol for protein extraction, gel electrophoresis and analysed using LC-MS/MS at the Bioanalytical Mass Spectrometry Facility (BMSF), UNSW.²¹ Briefly, proteomics data will be analysed using MaxQuant⁷¹ and Ingenuity Pathway Analysis (Qiagen).

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3 For untargeted metabolomics, metabolites will be extracted in 1:1 (v:v) acetonitrile:H₂O and
4 analysed using a U3000 UHPLC system coupled to a Q-Exactive mass spectrometer (MS;
5 ThermoFisher Scientific) at the BMSF, UNSW. Briefly, metabolomics data will be analysed
6 using Progenesis COMET (Waters/NonLinear Dynamics).
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14 Faecal and respiratory biomarkers (listed above) will be measured using enzyme-linked
15 immunosorbent assays (ELISA).
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21 Nutrient intake data from the ACAES and 24-hour recall is computed using FoodWorks
22 (Version 3.02.581) and the following databases: Australian AusNut 1999 database (All
23 Foods) Revision 14 and AusFoods (Brands) Revision 5 (Xyris Software (Australia) Pty Ltd,
24 FoodWorks Professional Version 3.02.581. 2004: Brisbane Australia). Outputs include a
25 quantified estimate and the percentage of energy from a wide of range of macro- (protein, fat,
26 carbohydrate) and micro-nutrients (vitamins A, B, C and minerals such as iron, zinc and
27 calcium). In addition, overall diet quality score and the percentage of energy derived from
28 nutrient rich core foods and energy-dense, nutrient-poor discretionary foods is calculated.
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42 *Administration of patient records and data*

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44 At the time of consent and enrolment, participants will be assigned a unique study ID number
45 (9 alphanumeric characters). All patient records, samples and data are deidentified using the
46 unique study ID. Data will be stored securely as per ethics review board guidelines.
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53 *Handling of abnormal outcomes or distress*

54 The well-being of participants is of utmost importance. Participants and their
55 parents/guardians will be advised to contact any of the study investigators if they have
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3 concerns regarding any aspects of their participation. It is possible that thinking about
4 one's health or the health of one's child may elicit emotional distress in some participants.
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6 Depending on the nature of the concern or level of distress communicated, a relevant study
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8 investigator will contact the participant and/or his or her primary caregiver by telephone or
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10 in-person to assess any concerns and arrange appropriate follow-up or referral as soon as
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12 possible. Additionally, all Participant Information Sheets will provide the details for several,
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14 free, age-appropriate 24-hour telephone-based support services. All individuals will be
15
16 clearly informed that choosing not to take part in the study, or withdrawing from the study at
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18 any stage, will not adversely affect their or their child's health care or relationship with
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20 hospital staff in any way.
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28 **Bias, confounding factors and handling of missing data**

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30 The single-centre nature of this study is a limitation due to the restricted recruitment pool
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32 available and potential for selection bias; however, SCH is a tertiary referral centre for a
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34 diverse group of children across the state of New South Wales, which is the most populous
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36 state in Australia. Age and gender are known confounding factors for microbiome analyses
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38 and are controlled for with matching. There are rapid changes in the intestinal microbiota
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40 during the first 3 years of life, after which it becomes relatively stable.⁷²⁻⁷⁴ Although we aim
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42 to match participants as closely as possible, our criteria for acceptable matching is as follows:
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- 46 • For children less than one year, age to be matched within 3 months,
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- 48 • For children aged one to three years, age to be matched within 6 months,
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- 50 • For children aged four years and older, age to be matched within 2 years.
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56 Additional confounding factors for microbiome analyses include perinatal factors and
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58 ethnicity, for which sensitivity analyses will be performed. Condition specific medications
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3 (e.g. pancreatic enzyme replacement therapy or antibiotic therapy in CF) are potential
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5 confounders and attempts to control for these factors will be made at the analysis stage.
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7 Vaccines may influence short-term cytokine production and analyses as a confounder will be
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9 considered.^{75 76} Missing data will be treated as missing and accounted for using linear mixed
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11 models (see statistical methods below).
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17 **Study size**

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19 In an exploratory research program of this nature, with multiple conditions of interest, sample
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21 size calculations for the primary outcomes are difficult. As an initial, arbitrary target,
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23 three males and three females in each of the following age ranges (0 to 5, >5 to 10, >10 to 18
24
25 years) will be recruited to account for age- and gender-related changes in microbiomes and
26
27 diet. This calculation assumes that six participants will be required for most statistical tests of
28
29 interest and an analysis can be performed on the smallest subgroup (e.g. six CF vs. six HC
30
31 children aged 0 to 5 years). Therefore 18 participants for each condition and 18 HC (which
32
33 can be used for comparison against multiple conditions) are an initial target sample size.
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35 Initial data from this sample size can then be utilised for subsequent power-focused study
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37 designs.
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45 **Statistical methods**

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47 Statistical analyses will be performed in R v3.4.4. All outcome measures will be analysed
48
49 cross-sectionally and temporally. Descriptive statistics will be calculated for all outcome
50
51 parameters for each cohort according to normality of distribution. Given the sample size,
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53 categorical variables will be compared using Fisher's Exact Test. Continuous variables will
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55 be analysed according to distribution with a student *t*-test or Wilcoxon signed-rank test for
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57 parametric and non-parametric data, respectively. A linear random-effects mixed model or
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3 variant of generalised linear-mixed model will be utilised to evaluate cross-sectional and
4 temporal differences in outcome measures. This technique will allow for control of
5 confounders and treatment of missing data as missing. Correlations between two continuous
6 variables will be performed using Pearson or Spearman correlations according to distribution.
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8 Alpha diversity indices will be measured by richness (number of taxa) and Shannon index.
9
10 Phylogeny- and taxonomy-based beta diversity will be calculated using UNIFRAC
11 distances⁴² and Bray-Curtis dissimilarities, respectively, and used to generate non-metric
12 multidimensional scaling (NMDS) plots. Permutational multivariate analysis of variance
13 (PERMANOVA) tests (permutations = 1000) will be utilised to test if beta diversity
14 significantly differs between groups and age using the vegan function `adonis`.⁴³ A significant
15 difference in abundance of taxa, proteins or metabolites between groups will be assessed
16 using the ANCOM package v1.1-3.⁴⁴ For all analyses, $p < 0.05$ (two-tailed) is considered
17 significant except in the instance of multiple comparisons, in which case a Benjamini &
18 Hochberg correction will be applied and $q < 0.05$ will be considered significant.
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39 **ETHICS AND DISSEMINATION**

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41 The EARTH Research Program received ethics approval from the Sydney Children's
42 Hospitals Network Human Research Ethics Committee (HREC/18/SCHN/26). Any
43 amendment to the protocol which may impact the conduct of the study will be approved by
44 the ethics committee before implementation.
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53 The results of studies from this research program will be presented in international
54 conferences and will be published in peer-reviewed journals. Findings may also be presented
55 as: (i) easy-to-read summaries for participants and the community; (ii) educational lectures
56 and seminars for patients, families and the community; (iii) website and social media
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3 postings; (iv) newsletter updates for study participants; (v) reports for relevant advocacy
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5 groups and funding partners.
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10 11 **EXPECTED OUTCOMES AND SIGNIFICANCE OF THE RESEARCH** 12 13 14 **PROJECT**

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16 To our knowledge the EARTH Research Program will be the first in children with a chronic
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18 gastrointestinal and/or respiratory condition to simultaneously evaluate dietary intake and the
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20 intestinal and respiratory microbiomes. By exploring disease mechanisms and environmental
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22 interactions (i.e. diet) we may in turn develop insights into potential therapeutic
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24 strategies. Studies from this program have the potential for direct translation into clinical care
25
26 as diet is a highly modifiable factor. This program also provides a structured approach for
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28 performing prospective, longitudinal, controlled, observational studies which can be
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30 simultaneously applied to multiple health conditions, and utilised a universal control cohort.
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38
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40
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44
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46
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48
49 Environmental Sciences (Dr Bernd Wemheuer), and the School of Women's and Children's
50
51 Health, Faculty of Medicine, UNSW Sydney (Equipment Grant 2018).
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Author Contributions

MJC, TK and CYO jointly conceived and designed the research program. MJC wrote the study protocol. IRM, MD, SC, SA, SSB, SW, NK, TT and AJ refined the research program design. All authors will take part in study conduct, recruitment, data management and/or analysis. MJC, IRM and CYO prepared this manuscript and all authors read and approved the final version.

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Competing interests

The authors declare no competing interests.

Data Sharing

De-identified participant data that underlies the results of publications from the EARTH program will be shared with investigators whose proposed use of the data has been approved by an independent review committee.

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5 **Patient and Public Involvement**
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8 No patient involved.
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Reporting checklist for protocol of a clinical trial.

Based on the SPIRIT guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the SPIRIT reporting guidelines, and cite them as:

Chan A-W, Tetzlaff JM, Altman DG, Laupacis A, Gøtzsche PC, Krleža-Jerić K, Hróbjartsson A, Mann H, Dickersin K, Berlin J, Doré C, Parulekar W, Summerskill W, Groves T, Schulz K, Sox H, Rockhold FW, Rennie D, Moher D. SPIRIT 2013 Statement: Defining standard protocol items for clinical trials. *Ann Intern Med.* 2013;158(3):200-207

		Reporting Item	Page Number
Administrative information			
Title	#1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	#2a	Trial identifier and registry name. If not yet registered, name of intended registry	2
Trial registration: data set	#2b	All items from the World Health Organization Trial Registration Data Set	n/a
Protocol version	#3	Date and version identifier	n/a
Funding	#4	Sources and types of financial, material, and other support	29
Roles and responsibilities: contributorship	#5a	Names, affiliations, and roles of protocol contributors	1, 2, 29

1	Roles and	#5b	Name and contact information for the trial sponsor	n/a
2	responsibilities:			
3	sponsor contact			
4	information			
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7	Roles and	#5c	Role of study sponsor and funders, if any, in study design;	n/a
8	responsibilities:		collection, management, analysis, and interpretation of	
9	sponsor and funder		data; writing of the report; and the decision to submit the	
10			report for publication, including whether they will have	
11			ultimate authority over any of these activities	
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16	Roles and	#5d	Composition, roles, and responsibilities of the coordinating	29
17	responsibilities:		centre, steering committee, endpoint adjudication	
18	committees		committee, data management team, and other individuals	
19			or groups overseeing the trial, if applicable (see Item 21a	
20			for data monitoring committee)	
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22				
23				
24	Introduction			
25				
26	Background and	#6a	Description of research question and justification for	6-9
27	rationale		undertaking the trial, including summary of relevant	
28			studies (published and unpublished) examining benefits	
29			and harms for each intervention	
30				
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33	Background and	#6b	Explanation for choice of comparators	6-9
34	rationale: choice of			
35	comparators			
36				
37				
38	Objectives	#7	Specific objectives or hypotheses	9-12
39				
40				
41	Trial design	#8	Description of trial design including type of trial (eg,	13
42			parallel group, crossover, factorial, single group),	
43			allocation ratio, and framework (eg, superiority,	
44			equivalence, non-inferiority, exploratory)	
45				
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47				
48	Methods:			
49	Participants,			
50	interventions, and			
51	outcomes			
52				
53				
54	Study setting	#9	Description of study settings (eg, community clinic,	13
55			academic hospital) and list of countries where data will be	
56			collected. Reference to where list of study sites can be	
57				
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obtained

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3	Eligibility criteria	#10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)
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9	Interventions: description	#11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered
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14	Interventions: modifications	#11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving / worsening disease)
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21	Interventions: adherence	#11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return; laboratory tests)
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27	Interventions: concomitant care	#11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial
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31	Outcomes	#12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended
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42	Participant timeline	#13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)
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49	Sample size	#14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations
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55	Recruitment	#15	Strategies for achieving adequate participant enrolment to reach target sample size
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1 **Methods:**

2 **Assignment of**
3 **interventions (for**
4 **controlled trials)**
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8 Allocation: sequence [#16a](#) Method of generating the allocation sequence (eg, n/a
9 generation computer-generated random numbers), and list of any
10 factors for stratification. To reduce predictability of a
11 random sequence, details of any planned restriction (eg,
12 blocking) should be provided in a separate document that
13 is unavailable to those who enrol participants or assign
14 interventions
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19 Allocation [#16b](#) Mechanism of implementing the allocation sequence (eg, n/a
20 concealment central telephone; sequentially numbered, opaque, sealed
21 mechanism envelopes), describing any steps to conceal the sequence
22 until interventions are assigned
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26 Allocation: [#16c](#) Who will generate the allocation sequence, who will enrol n/a
27 implementation participants, and who will assign participants to
28 interventions
29
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31 Blinding (masking) [#17a](#) Who will be blinded after assignment to interventions (eg, n/a
32 trial participants, care providers, outcome assessors, data
33 analysts), and how
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36 Blinding (masking): [#17b](#) If blinded, circumstances under which unblinding is n/a
37 emergency unblinding permissible, and procedure for revealing a participant's
38 allocated intervention during the trial
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41 **Methods: Data**
42 **collection,**
43 **management, and**
44 **analysis**
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49 Data collection plan [#18a](#) Plans for assessment and collection of outcome, baseline, 18-24
50 and other trial data, including any related processes to
51 promote data quality (eg, duplicate measurements,
52 training of assessors) and a description of study
53 instruments (eg, questionnaires, laboratory tests) along
54 with their reliability and validity, if known. Reference to
55 where data collection forms can be found, if not in the
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protocol

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3	Data collection plan:	#18b	Plans to promote participant retention and complete
4	retention		follow-up, including list of any outcome data to be
5			collected for participants who discontinue or deviate from
6			intervention protocols
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9	Data management	#19	Plans for data entry, coding, security, and storage,
10			including any related processes to promote data quality
11			(eg, double data entry; range checks for data values).
12			Reference to where details of data management
13			procedures can be found, if not in the protocol
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18	Statistics: outcomes	#20a	Statistical methods for analysing primary and secondary
19			outcomes. Reference to where other details of the
20			statistical analysis plan can be found, if not in the protocol
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23	Statistics: additional	#20b	Methods for any additional analyses (eg, subgroup and
24	analyses		adjusted analyses)
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27	Statistics: analysis	#20c	Definition of analysis population relating to protocol non-
28	population and		adherence (eg, as randomised analysis), and any
29	missing data		statistical methods to handle missing data (eg, multiple
30			imputation)
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33	Methods: Monitoring		
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36	Data monitoring:	#21a	Composition of data monitoring committee (DMC);
37	formal committee		summary of its role and reporting structure; statement of
38			whether it is independent from the sponsor and competing
39			interests; and reference to where further details about its
40			charter can be found, if not in the protocol. Alternatively,
41			an explanation of why a DMC is not needed
42			
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46	Data monitoring:	#21b	Description of any interim analyses and stopping
47	interim analysis		guidelines, including who will have access to these interim
48			results and make the final decision to terminate the trial
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51	Harms	#22	Plans for collecting, assessing, reporting, and managing
52			solicited and spontaneously reported adverse events and
53			other unintended effects of trial interventions or trial
54			conduct
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58	Auditing	#23	Frequency and procedures for auditing trial conduct, if
59			n/a
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any, and whether the process will be independent from investigators and the sponsor

Ethics and dissemination

Research ethics approval	#24	Plans for seeking research ethics committee / institutional review board (REC / IRB) approval	27
Protocol amendments	#25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC / IRBs, trial participants, trial registries, journals, regulators)	27
Consent or assent	#26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	13-14
Consent or assent: ancillary studies	#26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	13-14
Confidentiality	#27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	24
Declaration of interests	#28	Financial and other competing interests for principal investigators for the overall trial and each study site	29
Data access	#29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	29
Ancillary and post trial care	#30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	24-25
Dissemination policy: trial results	#31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	27
Dissemination policy:	#31b	Authorship eligibility guidelines and any intended use of	28

1	authorship	professional writers	
2	Dissemination policy:	#31c	Plans, if any, for granting public access to the full protocol, 29
3	reproducible research		participant-level dataset, and statistical code
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5			

6 Appendices

8	Informed consent	#32	Model consent form and other related documentation	n/a
9	materials		given to participants and authorised surrogates	
10				
11				
12	Biological specimens	#33	Plans for collection, laboratory evaluation, and storage of	24
13			biological specimens for genetic or molecular analysis in	
14			the current trial and for future use in ancillary studies, if	
15			applicable	
16				
17				
18				

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