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## **BMJ Open**

## Family-based habit intervention to promote parent support for child physical activity; protocol for a randomized trial

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## Running Head: PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 1

Family-based habit intervention to promote parent support for child physical activity; protocol for a randomized trial

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## **Author Contributions**

RER, MRB, CMB, VC, BG, and DERW all had input on conceptual model used and design of the study. ERM lead the writing of the protocol, and all authors approved the final manuscript. RER is responsible for project oversight.

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## PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 2

**Registered Trial:** clinicaltrials.gov # NCT03145688, Version 2, June 6 2019 Version 1 released May 4 2017, Version 2 sample size number edited to reflect minimum participant number required for statistical significance.

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#### Abstract

Introduction: Regular physical activity (PA) participation has many important physical and psychological health benefits, managing and preventing over 25 chronic conditions. Being more physically active as a child is associated with being more active as an adult, but less than 10% of Canadian children are achieving the recommended PA guidelines of 60 minutes per day of moderate to vigorous PA. Parental support is a predictor of child PA but parent intention to support child PA does not always predict enacted support. Targeting factors that assist in the sustainability of parent support behaviour of child PA may have an impact on child PA. The purpose of this study is to evaluate an intervention designed to promote habit formation of parental support (HABIT, independent variable) on child PA (dependant variable) compared to a planning and education group (PLANNING) and an education only group (EDUCATION). Methods and analysis: The three conditions will be compared using a six-month longitudinal randomized trial. Eligible families have at least one child aged 6-12 years who is not meeting the 2011 Canadian PA Guidelines. Intervention materials are delivered to parents at baseline, with check-in sessions at 6 weeks and 3 months. Child moderate-to-vigorous PA, measured by accelerometry, is assessed at baseline, 6 weeks, 3 months, and 6 months as the primary outcome. At baseline and 6 months children perform fitness testing. So far, 123 families have been recruited from the Greater Victoria and surrounding area. Recruitment will be continuing through 2020 with a target of 240 families. Ethics and Dissemination: This protocol has been approved by the University of Victoria Human Research Ethics Board (Victoria, Canada). Results will be shared at conferences as presentations and as published manuscripts. Study findings will be made available to interested participants. **Registration details:** This trial is registered at clinicaltrials.gov # NCT03145688.

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## **Article Summary**

## Strengths and Limitations of this study

- This randomized trial will build on family-based PA research evaluating whether building parent support habit for child PA is an effective strategy to promote child PA
- The findings of this study can inform health policy and programs designed to improve health outcomes in children through increasing PA
- It is possible that results may be affected by participants in unconsciously adopting techniques from different conditions (e.g. the EDUCATION participant employs planning technique, PLANNING participant employs habit forming techniques)
- Inclusion of quantitative and qualitative manipulation checks will be useful for assessing intervention fidelity

## Introduction

Physical activity (PA) has the potential to reduce the risk of at least 25 chronic medical conditions by 20-30% (Rhodes, Janssen, Bredin, Warburton, & Bauman, 2017), yet Canadian adults and children are not meeting recommended guidelines to optimize these benefits (Colley et al., 2012; Colley et al., 2011). Children are recommended to achieve 60 minutes per day of moderate to vigorous physical activity (MVPA) (Tremblay, Carson, & Chaput, 2016). For adults, 150 minutes of moderate to vigorous aerobic PA per week is recommended (Tremblay, Warburton, Janssen, Paterson, Latimer, Rhodes, Kho, Hicks, LeBlanc, et al., 2011). While many complications and diseases present in adulthood, there is compelling evidence showing the positive impact of increased PA among children on health, such as helping to guard against high blood pressure, high cholesterol, metabolic syndrome, low bone density, depression and obesity,

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as well evidence demonstrating a relationship between increased MVPA and reduced psychological distress, improved peer relations, and improved quality of life/well-being (Ahn, Sera, Cummins, & Flouri, 2018; Janssen & LeBlanc, 2010; Poitras et al., 2016). Unfortunately, according to the Canadian Health Measures Survey, only 9% of boys and 4% of girls are achieving the recommended amount of PA (Colley et al., 2017, 2011) Understanding the factors that influence child PA is therefore important for promoting long-term population health.

Parental influence is one such factor; a review of family based interventions to increase physical activity found that parent support was a consistent determinant of child PA (Brown et al., 2016). Parent support refers to the ways in which parents knowingly influence their child's PA and includes both tangible (e.g. transportation) and intangible (e.g. encouragement) behaviours (Beets, Cardinal, & Alderman, 2010). Interventions focused on parent support to change child PA have been generally unsuccessful however, as reported in several reviews (Kitzman-Ulrich et al., 2010; O'Connor et al., 2009; Salmon, Booth, Phongsavan, Murphy, & Timperio, 2007; Sluijs, Kriemler, & Mcminn, 2011). More recent intervention research has shown some positive effects (O'Dwyer, Fairclough, Knowles, & Stratton, 2012; Morgan et al., 2011; Rhodes, Navlor, & McKay, 2010), but these are still balanced by several null results (Backlund, Sundelin, & Larsson, 2011; Morrison et al., 2013; Olvera et al., 2008). A recent study found that while most parents have positive intentions to support child health behaviours, few substantively enact this support (Rhodes et al., 2018). Furthermore, this intention-behaviour gap does not appear to be successfully bridged by current interventions targeting parents. For example, a review by Brown (2016) found that the majority of interventions focus on educating parents on the benefits of PA, which does not appear to drive subsequent PA change (among parents and their children). This is likely because parents are already aware of the health benefits

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of PA for their children (Rhodes, Faulkner, et al., 2015; Rhodes et al., 2013; Rhodes, Spence, et al., 2015) in addition to already having the intention to support behaviour (Rhodes et al., 2018). Therefore, focusing on what parenting support can be harnessed (i.e., malleable through intervention) to promote child PA represents an important avenue of enquiry.

Several recent theoretical approaches have advanced beyond merely building intention to perform behavior and suggest that building self-regulatory tactics such as planning is critical to bridge the intention-behavior gap (Hagger & Chatzisarantis, 2014; Schwarzer, 2008; Sheeran & Webb, 2016). This approach has been utilized in family interventions (Brown et al., 2016), yet it may not be sufficient to sustain behavior changes over longer durations. For example, the Family Physical Activity Planning study (Rhodes, Naylor, Blanchard, Quinlan, & Warburton, in press) compared an intervention group focused on family physical activity planning (goal setting, action planning, coping planning) to an education only group and found increased child PA at 3 months in the family planning group. This effect was not observed at 6 months however, demonstrating a need to target behavioural strategies beyond mere planning for successful maintenance of PA.

The Multi-Process Action Control (M-PAC) Framework (Rhodes, 2017; Rhodes & De Bruijn, 2013) may assist in sustaining health behaviors such as parental support of child physical activity. This framework suggests that self-regulatory skills and tactics assist in translating intentions into behavior during the initial adoption of PA, but sustainability is also determined by the development of habit across time to ease the burden of continual volitional motivation and self-regulation. Habits represent impulses to perform behaviour initiated via stimulus-response bonds (Gardner, 2015) and contribute to physical activity largely via repeated consistency in behavioural practices, salient cues associated with behavioural initiation, and affectively

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rewarding behaviour (Kaushal & Rhodes, 2015; Lally & Gardner, 2013; Rhodes & Rebar, 2018). Consistent with M-PAC theory, habit formation has seen promising results in physical activity research (Gardner, 2011, 2015; Kaushal, Rhodes, Meldrum, & Spence, 2017); habits may sustain PA behaviour over time partially independent of goals (or intentions) (Deutsch & Strack, 2006; Gardner, 2011) and through helping turn intentions into actual behaviour (Rhodes & De Bruijn, 2013). Of particular relevance to the current trial, a recent study evaluating parent support of child physical activity with M-PAC found parent habit to be the largest independent correlate with the translation of intention into behaviour compared to planning and motivational constructs (Rhodes, Berry, et al., 2019).

## Study Objectives and Hypotheses

The primary objective of this study is to implement a theory-based intervention targeting parental support of child MVPA comparing the effect of a PA habit formation + PA planning + PA education (HABIT) condition to a PA planning + PA education (PLANNING) condition and a PA education only group (EDUCATION) on child MVPA (dependant variable). The primary end-point of this trial is six months, with additional secondary time points of 6 weeks and 3 months. Based on previous research (Brown, Hume, Pearson, & Salmon, 2013; Rhodes, Berry, et al., 2019) it is hypothesized that children of families in the HABIT condition will demonstrate a greater increase MVPA measured by accelerometry at 6 months compared to those in the PLANNING group and the EDUCATION group. As per the results of Rhodes et al.'s family physical activity planning intervention (Rhodes, Naylor, et al., 2019) we expect children of families in the PLANNING group will demonstrate a greater increase in MVPA compared to the EDUCATION group however this effect may diminish over time.

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Secondary objectives include evaluating child fitness at baseline and 6 months. It is hypothesized that child fitness will be greater for the HABIT condition compared to the PLANNING and EDCUATION conditions at six months because of the resulting increased PA hypothesized above. Tertiary objectives include evaluating parent physical activity and quality of life. While parent and child co-participation in physical activity is not mandatory, parent support of child physical activity may include co-participation (such as a family walk) and therefore it is expected that parents in the HABIT condition will report higher physical activity via some activities being performed with their children in comparison to the other conditions. Finally, no differences in gender or season are hypothesized.

## **Trial Design**

This study is a three-arm parallel design single blinded randomized trial. After baseline assessment (MVPA, fitness testing, questionnaire), families are randomized to one of three groups: 1) family physical activity habit formation + planning + education (HABIT); 2) family physical activity planning + information/education (PLANNING); 3) standard physical activity education (EDUCATION). The trial is testing the superiority of the HABIT condition. Randomisation is performed by the Project Coordinator using Excel Sheet Randomization with an allocation ratio of 1:1:1. Participants are blind to their condition until their participation in the study is complete, at which point they are informed of their group by the Project Coordinator and/or Research Assistant. Under no circumstance will participants be informed of their condition while they are still enrolled in the study. Fitness testers are blind to each family's condition but the intervention delivery team is aware of the condition to allow for correct delivery of intervention materials.

#### Methods

The study has been approved by the University of Victoria Human Research Ethics Board (HREB). The design, conduct, and reporting of the trial has and continues to follow the Standard Protocol Items: Recommendations for Interventional Trials (SPIRIT) guidelines (Chan et al., 2013). The trial is registered with the Clinical Trials Registry at the National Library of Medicine at the National Institutes of Health (ClinicalTrials.gov) Trial ID NCT03145688. See Table 1 for World Health Organization Trial Registration Data Set items.

In the case of protocol modifications or amendments, the Project Coordinator submits the appropriate documentation to the HREB at the University of Victoria. Once approved, the Project Coordinator then updates the trial information on the Clinical Trials Registry.

## Patient and public involvement

No funds or time were allocated for patient and public involvement in this study. Patients were not invited to comment on the study design and were not consulted to develop patient relevant outcomes or interpret the results. Patients will not be invited to contribute to the writing or editing of this document for readability or accuracy. Participants are given the opportunity to share their experience in the wrap up interview providing information that will help inform future research.

## **Participants**

Single or common law/married adult(s), with at least one child between the ages of six and twelve years, living in greater Victoria, (including the Capital Regional District, Westshore Communities, and Sooke) British Columbia, Canada are being recruited for this study. If more than one child is eligible in this range, one child is randomly (computer randomizer) designated

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as the target for analysis yet all willing children are included in the study. Families are included if the child participant is achieving less than the recommended 2011 Canadian physical activity guidelines of 60 min of activity daily (Tremblay, Warburton, Janssen, Paterson, Latimer, Rhodes, Kho, Hicks, Leblanc, et al., 2011).

The age group of 6-12 was selected from our earlier pilot work (Rhodes et al., 2010). Specifically, children under six years of age engage in physical activity that is quite sporadic, and thus very different than children six years and older (Temple, Naylor, Rhodes, & Wharf -Higgins, 2009). Our decision to limit the age of children to 12 was more practical; 12-year-old children represent the upper bound of the "tweens", where parents are still very influential in physical activity decisions and physical activity interventions at the level of the parent would be still effective (O'Connor et al., 2009; Rhodes & Gustafson, 2016).

## Recruitment

Recruitment is being conducted by the Behavioural Medicine Lab at the University of Victoria in British-Columbia, Canada. Parents with one or more children aged 6-12 are the primary target for recruitment. Participants are being recruited primarily through the social media platforms Facebook and Instagram. Facebook and Instagram posts are made bi-monthly by the Behavioural Medicine Lab Recruitment Officer on the Behavioural Medicine Lab Facebook page (https://www.facebook.com/UVicBMED/) and Instagram account (@uvicbmed) which are linked, meaning a post made on Facebook is simultaneously shared on Instagram and vice versa. Posts are limited to 100 words or less and briefly describe the intent of the study and those eligible to partake, asking those interested to contact the Behavioural Medicine Lab through email or phone information provided in the post. Facebook posts are also shared to relevant Facebook groups (e.g. neighbourhood groups, young parent groups). Facebook posts are

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"boosted" by paying a small fee to have the post appear as an ad in a target demographic's news feed. This increases the reach of the Facebook post with the goal of increasing recruitment. The target demographic is specified by selecting variables of age, location of residence, and other filters such as "parents", and the ads typically run for 7 days. The Recruitment Officer also sets up a recruitment booth twice per month at local markets and festival events during the summer, and at community and recreation centers in the winter to engage with potential participants, answer questions, and collect contact information for interested families. Posters are put up every 3 - 4 months by a Research Assistant and/or Recruitment Officer in all major recreation centers in the area, as well as shopping centers, health care centers, and schools. Word of mouth is also used as a recruitment strategy.

## Enrolment

When interested parents contact the lab, the Recruitment Officer(s) follows up with an email to schedule a phone conversation. If initial contact is a message through Instagram or Facebook, the Recruitment Officer replies asking the person to call/email or provide their contact information to be contacted by phone or email. An initial recruitment phone interview is set up with the Recruitment Officer. Participant families are screened by parent-report of average child physical activity per day as well as the ParQ+ Health Screening Questionnaire (Warburton, Jamnik, Bredin, & Gledhill, 2011). If screened in then the Recruitment Officer books the baseline fitness test and advises the Project Coordinator who follows up with the family and schedules a Fitness Tester for the baseline session. During the baseline session, the Fitness Tester obtains written consent from parents and verbal consent from children (See appendix 1). Participants are asked not to participate in any other research studies while enrolled in this one.

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session is scheduled after the week of accelerometer wear; once scheduled, the Research Coordinator randomly assigns the family to one of the three conditions and prepares the appropriate materials for the Research Assistant to take with them to the participants' home.

Participants receive an honorarium at baseline (\$25), 6 weeks (\$30), and 3 months (\$35), and 6 months (\$40) for a total of \$130. Families only receive honorariums if they complete all the measures for the check in (accelerometers, logbooks, questionnaires).

## Intervention

The intervention is conducted in-person with a research assistant and the family at the family home, and includes take away material for the families to use later on. Participants in all three groups receive a specific physical activity workbook that serves as the template for a dialogue with the research assistant during the intervention delivery. The workbook is designed for families with information directed at the parent to review with the child, sections for parents and children to complete together, and some sections for the parent to complete on their own. The material incorporates established behaviour change techniques to promote child physical activity. The full list of behaviour change techniques employed in each condition are summarized in Table 2 as per Michie et al. Behaviour Change Taxonomy (BCT) (2013).

The three intervention conditions follow and advance the prior work conducted in our successful habit formation pilot trial (Kaushal & Rhodes, 2015) and feasibility study, but now tested in comparison to our work on family physical activity planning (Quinlan, Rhodes, Blanchard, Naylor, & Warburton, 2015; Rhodes, Naylor, et al., 2019; Rhodes et al., 2010). The condition of key interest (HABIT) is focused on the behavior change technique of habit formation with the goal of impacting initiation of parental physical activity support and not the

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actual execution of child physical activity or execution of support behaviour, which may be quite mindful (Verplanken & Melkevik, 2008). Contemporary research has shown that habits in the physical activity domain can be discerned into habitual instigation – whereby, in this instance, a parent non-consciously 'decides' to provide physical activity support – and habitual execution, whereby a parent non-consciously performs the actions involved in providing physical activity support (Gardner, Rebar, & Lally, 2019; Phillips & Gardner, 2016). The HABIT condition is focused on encouraging the formation of instigation habits, such that parents are automatically 'reminded' to select physical activity support (from available alternatives). We are not attempting to promote non-conscious engagement in child physical activity support (i.e. execution habit).

Six week and three-month check-ins, or "booster" sessions, are scheduled by the Project Coordinator with families in all conditions. This involves a house visit by a Research Assistant to discuss the families' experience so far. During the session the Research Assistant reviews the relevant intervention condition with the family and supports family problem solving (BCT construct 1.2) as needed to promote adherence to the intervention. For example, if a family expresses difficulty with planning PA, the Research Assistant will go through the intervention materials again to help the family identify what techniques they can focus on (such as rewards or tracking) and help to brainstorm.

## **Education condition (EDUCATION).**

The standard EDUCATION package consists of the Canadian 24 hour Movement Guidelines for children recommending at least 60 minutes of MVPA per day and vigorous intensity activities at least three times per week (Tremblay et al., 2016) (BCT construct 5.1). The guide also contains information about the benefits of physical activity for children (BCT

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construct 5.2, 5.3, 5.6), explanations of what moderate and vigorous intensity activities are, and ideas for physical activities including structured (e.g. play a sport) and unstructured (e.g. go to the playground) examples (BCT construct 4.1). The Research Assistant reviews this information with families.

## Planning + education group (PLANNING).

Participants in the physical activity planning intervention condition receive the same guidelines and information as the EDUCATION condition but are also provided with family physical activity planning material for parents and children to complete together. Goal setting and self monitoring are explained followed by a skill training component which is a workbook on how to plan for family physical activity. The workbook includes a brainstorming exercise for families where they list physical activities that they have found fun in the past, as well as activities that they would find enjoyable to do as a family. This brainstormed list helps create the template for physical activity planning by contextualizing what the participants would like to do and the subsequent necessary support behaviours for parents (BCT construct 3.2, 3.3, 12.1, 12.2).

The skill training material is based on our previous family-based physical activity interventions which have demonstrated the effectiveness of targeting family based selfregulatory processes such as planning, goal setting, problem solving, and self monitoring for increasing physical activity outcomes in children (Quinlan et al., 2015; Rhodes, Naylor, et al., 2019; Rhodes et al., 2010). The workbook facilitates goal setting and problem solving (1.1, 1.2). Families are instructed to plan for "when," "where," "how," and "what" physical activity will be performed commensurate with the creation of action planning (BCT construct 1.4) and implementation intentions [e.g., (Milne, 2002; Prestwich, Lawton, & Conner, 2003)]. This section is followed by a page on rewards (BCT constructs 10.3, 10.7) with space for children to

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brainstorm activities other than physical activity that they would like to do and which can function as a reward for when children engage in their planned physical activity. The final page is a journal and tracking sheet for families to log child physical activity (when, what, where, and outcomes e.g. how the child felt, what happened right after) (BCT construct 2.3, 2.4). The design of all material was created for prior research (Quinlan et al., 2015; Rhodes, Naylor, et al., 2019; Rhodes et al., 2010) and features graphic design and colour images that represent family physical activity. The Research Assistant reviews the worksheets with families, explains how to complete them. The expectation that the workbook is completed is made clear.

## Habit + planning + education group (HABIT).

Participants in the habit formation condition receive the same content as the EDCUATION condition and PLANNING condition as well as additional material on creating parent support habits for child physical activity. While parent support habit includes the child, this section of the workbook is directed to the parent. The material is taken from research on habit formation (Lally & Gardner, 2013) and our successful pilot study (Kaushal & Rhodes, 2015), but adapted in our feasibility study to the same colourful style and format as the other information provided. The material includes a brief discussion of what habits are with some very straightforward non-physical activity related examples such as preparing for sleep routines, or selecting the car to commute to work. A key component of the habit section is based on planning for context-dependent repetition (BCT constructs 8.1, 8.3), with pointers on how to maintain repetition as habit forms. The use of script elicitation to understand/describe existing routines and spot points at which physical activity support can be inserted follows. This involves parents brainstorming existing routines in their child's life (for example, family brunch on Sundays) and then identifying what physical activities brainstormed in the planning section with the child that

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might be tagged on to the routine (for example, family walk after brunch every Sunday). This process has been successful in forming habits in other behavioural domains (Judah, Gardner, & Aunger, 2013) as it helps identify reliable and consistent behaviour patterns into which new behaviours may be inserted to optimise the likelihood of the context-dependent performance required for habit to form. Cues are then introduced as factors to support habit formation (BCT construct 7.1). Based on our previously successful trial (Kaushal & Rhodes, 2015), cues are described as those factors that prompt a behaviour with a discussion of temporal, social, mood, and visual cues to support physical activity (Short et al., 2015). Cues are considered factors that a) can reliably precede the support activity but b) rarely be present when the activity is not to be performed. Examples of cues are provided such as a soccer gear bag that is put out in the morning before the family leaves for school and work, which prompts taking the child to soccer when it's seen upon the family's return to the house (visual cue). The soccer gear bag is then removed from sight until the next soccer day. Examples of temporal cues are also provided, such taking the children on a walk with the family dog after dinner, where dinner occurs once a day and can serve to pair well with the family's new plan to walk. We suggest that cues that are repeatedly present during times when family physical activity is not performed -e.g., a sign on a door that the family walks by all the time – should not be considered, as it reduces the salience of the cue and so its potential for activating the desired action at opportune moments. Parents are reminded of the importance of having consistent support practices for child physical activity. It is made clear that this does not necessarily mean the same activity all the time, but it means consistent protected time where support is performed so it links with support instigation habits. This could mean child soccer practice every Tuesday night, family physical activity every Sunday after dinner, or encouraging the kids to play in the back yard each day after school.

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Parents are then asked to brainstorm and create a plan of consistency and cues with the workbooks provided. Parent support of child physical activity may include co-participation in physical activity by the parent (such as the family walk after dinner) or not (such as driving the child to sport practice). The final pages of the HABIT materials are titled "Ten tips for turning exercise intentions into actions" and summarizes evidence-based physical activity promotion practices, presenting the information in an accessible way. The expectation that the workbook is completed is made clear.

The intervention is discontinued if participants choose to withdraw from the study.

## **Outcome Measures**

### **Primary outcome measure**

Child MVPA is measured using seven-day accelerometery with the Actigraph wGT3X-BT Activity Monitor. Child MVPA will be evaluated as change from baseline at 6 weeks, 3 months, and 6 months. Child MVPA will be determined using the Evenson (2008) cutpoints based off of recommendations from Trost et al. (2011). Evenson cutpoints define moderate activity as 2296-4011 counts per minute (CPM) and vigorous activity as ≥4012 CPM. Therefore, MVPA will be any activity ≥2296 CPM.

Children wear the accelerometer on an elastic belt secured snugly around the waist with the device on their left hip for a minimum of 10 hours per day for 7 consecutive days. Participants are instructed to remove the accelerometers for water-based activities as they are not waterproof. A logbook is provided for participants to note when accelerometers were removed for water-based activity or any other reason, provide other details of each day (e.g. if their routine was changed for any reason), and record the details of their accelerometer wear days.

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ActiLife software version 6.11.9 (2015) is used to initialize accelerometers and download data and will be used to analyze the data. The accelerometers are initialized to collect pre-filtered data at a sample rate of 30 Hz for the children and are downloaded into 10-second epochs to capture the sporadic nature of child physical activity (Bailey et al., 1995; Cain, Sallis, Conway, Van Dyck, & Calhoon, 2013; Trost, McIver, & Pate, 2005). For determining valid wear time, the Troiano (2008) algorithm is used which defines non-wear time as a period of at least 60 consecutive minutes of zero counts, with an allowance for one to two minutes of counts between 0 and 100. A minimum of four days with at least 600 minutes per day including at least one weekend day of valid wear time will be included in our analyses based on recommended best practice (Trost et al., 2005; Ward, Evenson, Vaughn, Rodgers, & Troiano, 2005).

## Secondary outcome measures

# Self reported child physical activity.

Children are asked to complete a modified version of the Physical Activity Questionnaire for Children (PAQ-C) (Crocker, Bailey, Faulkner, Kowalski, & McGrath, 1997) at baseline, 6 weeks, 3 months, and 6 months. The baseline and 6-month questionnaires are completed in the lab and the 6 week and 3 month questionnaires are sent as a link in an email to the parent and completed at the participant's home. These recall questionnaires were designed to assess regular moderate to vigorous physical activity in children and adolescents. Two physical activity variables are reported: general physical activity (minutes per day; question one) and load time (hours/week). The PAQ-C was validated against questionnaires, teacher rating, uni-axial accelerometer counts (Caltrac), fitness test (step test) and interview-assisted recall (r = 0.39-0.63) (Crocker et al., 1997). Questions on barriers to physical activity are also included in these questionnaires to determine physical activity capability.

## Child health related fitness.

Health-related fitness is assessed in the lab at baseline and 6 months as per the Canadian Assessment of Physical Literacy physical fitness testing protocol (Longmuir et al., 2015). The key components of body composition, cardiovascular fitness and musculoskeletal fitness are tested. Body mass (kg), height (cm), body mass index, and waist circumference (cm) are measured according to standard procedures. Cardiovascular fitness is assessed by performance on the Leger 20 meter Shuttle run. Musculoskeletal fitness involves a test of grip strength, the sit and reach test, and the plank hold test. All tests are conducted by qualified exercise professionals and specialized equipment. The total time required for the health-related physical fitness measurements is approximately 30 minutes per person.

#### Parental support habit.

At all time points (baseline, 6 weeks, 3 months, 6 months) parental support habit for child physical activity is measured with an adapted Self-Reported Habit Strength Index (Verplanken & Orbell, 2003), which provides the opportunity to use the self-reported behavioural automaticity index subscale (Gardner, Abraham, Lally, & de Bruijn, 2012) as well. Parents respond on a five-point scale to questions in the following format: "Regular support of my child's PA is something I do.... automatically, frequently, etc.". The Self Report Habit Strength Index has been shown to map well to measuring instigation habit (Benjamin Gardner, Phillips, & Judah, 2016). Both measures show excellent reliability and validity in self-reported and objective physical activity assessment (Thurn, Finne, Brandes, & Bucksch, 2014). These measures evaluate the construct of habit which is a component of the M-PAC framework and have been adapted to parental support habits (Rhodes et al., 2018).

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## **Tertiary outcome measures**

## Parental physical activity.

Parents self-report physical activity using the Godin Leisure-Time Exercise Questionnaire (Godin & Shephard, 1985; Godin, Jobin, & Bouillon, 1986) at all time points. This questionnaire assesses the frequency of mild, moderate, and strenuous activity performed for at least 15 minutes during free time in a typical week.

## Other parental factors.

The Satisfaction with Life Scale (Diener, Emmons, Larsen, & Griffin, 1985) is used to determine parental quality of life, the parenting sense of competence scale (Gibaud-Wallston & Wandersman, 2001) is used to assess parent sense of competence, and the Family Environment Scale (Moos & Moos, 1994) is used to assess family functioning. All measures are assessed at baseline, 6 weeks, 3 months, and 6 months.

## M-PAC constructs for parental support of physical activity.

In addition to habit, other M-PAC constructs of affective attitude, instrumental attitude and perceived behavioural control are assessed using the constructs of the theory of planned behaviour (Ajzen, 1991). Behavioural regulation is measured via the instrument from Sniehotta and colleagues (2005) and parent support identity (whether parents identify as being a supportive of their child's physical activity) is measured via a modified exercise identity scale from Anderson and colleagues (1994, 1995, 1998). Measures from these instruments have demonstrated excellent predictive validity and internal consistency in adult (Rhodes & Lim, 2016; Rhodes & Plotnikoff, 2005; Rhodes, Warburton, & Bredin, 2009), parental physical activity support (Rhodes & Gustafson, 2016) and child/adolescent (Rhodes, Macdonald, &

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McKay, 2006) populations. The instruments have displayed validity for both personal and family-based (i.e., activities as a family collective) physical activity (Casiro, Rhodes, Naylor, & McKay, 2011; Rhodes, Berry, et al., 2019).

## **Demographics**

The baseline questionnaire includes questions to assesses characteristics including age, gender, marital status, number of children and ages, ethnicity, level of education, and employment information.

## **Manipulation check outcomes**

The manipulation check outcomes of the study are examined via parent self-report of cue use and consistency on the questionnaires at 6 weeks, 3 months, and 6 months (Kaushal & Rhodes, 2015) as well as a short process evaluation of the intervention at six-months. The consistency item asks parents "over the past 6 weeks/3 months, how consistently did you support your child to be physically active at the same time each day?" on a 5 point scale from not consistent (random) to very consistent. For cue consistency, parents rank statements from "Not true at all" to "very true" on a 7 point scale related to the question "over the last 6 weeks/3 months each time I supported my child to be physically active...". Statements refer to different types of cues such as temporal ("it was the same time of day, I was doing the same type of activity"), visual ("I was in the same place"), social ("I was around the same people"), and mood ("I was in the same mood").

The process evaluation procedures involve a brief quantitative questionnaire to assess use of the intervention material and overall satisfaction of the study (Carroll et al., 2007). Second, semi-structured interviews are conducted, allowing for examination of intervention material use

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and satisfaction of study. Some straightforward quantitative questions (e.g., did you use the intervention materials, how often, was it easy to read) questions are also be included in this interview. These have proved useful in our prior evaluations (Rhodes, Naylor, et al., 2019). Interviews are conducted with parents and children (individually) by Research Assistants.

## Statistical power and sample size

Given the hierarchical nature of the data (i.e., the 4 measurement occasions at Level-1 were considered to be nested within the participant at Level-2), the OpDes Program for power estimation of hierarchical linear models (HLM) (Raudenbush & Bryk, 2002) was used. With a frequency of 4 measurement occasions, three conditions, a duration of 6 months as the primary end-point, within-person variance of 1.0, a growth rate of 1.0, and a small effect size (d = 0.30-0.40), a minimum of 150 families with a goal of 240 families (i.e., 50-80 children per condition) are needed to show significant difference in physical activity accelerometry (minutes of MVPA primary outcome) by condition over time. The effect size represents the findings from our prior intervention research with this demographic (Rhodes, Naylor, et al., 2019; Rhodes et al., 2010) and considering our pilot study on habit formation (Kaushal et al., 2017), yet it is clearly in the range for the detection of differences between the PLANNING and HABIT conditions (Cohen, 1992; Ferguson, 2009).

## Data management

Confidentiality procedures are outlined on the consent form. Each participant is given an ID#, all hard copy data (fitness test records, accelerometer logbooks) is kept in locked cabinets in a locked lab at the University of Victoria, data entered on the computer (accelerometer data, fitness test data) is stored on secure servers. Questionnaire data is stored on SurveyMonkey

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servers in Canada. Only the Research Team has access to the data. The details of data confidentiality and storage are included in the consent form and explained to participants by the Research Assistant during the baseline lab session. If a participant chooses to withdraw from the study, they are asked by the Project Coordinator if they would like their data to be destroyed or if the data that has been collected to that point can be used in the study. Participants receive a report of their data when they are complete with participation. A formal data monitoring committee has not been created for this intervention however the Project Coordinator provides monthly reports on participant numbers and trial progress to the Principal Investigator. The trial will be stopped when target participant numbers are achieved and/or at the grant deadline of June 2020. The final decision will be made by the Principal Investigator. The research team (including Project Coordinator, Assistants, and Fitness testers) are trained to document and report any adverse events to the Project Coordinator and Principal Investigator. Depending on the nature of the event, action will be taken to ensure safety of all parties involved.

## **Analysis strategy**

Missing data will be evaluated for pattern of missingness for each outcome at all time points using the dummy coding procedures of Allison (2002). Depending on the outcome of these tests (e.g., missing at random, missing completely at random, etc.) we will initiate the appropriate missing data handling strategy. ITT analyses will also be performed in addition to sensitivity analysis procedures. The first set of analyses will make preliminary demographic comparisons among adherers to the study versus drop-outs. These analyses will allow us to determine the representativeness of the sample. To determine whether minutes / day of MVPA change over time similarly for all 3 conditions, a Level-1 model will be specified wherein the intercept (i.e., minutes / day of MVPA at baseline) will be allowed to vary randomly (i.e., vary Page 25 of 48

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across participants) and the slope for the linear trend will be constrained to be fixed (i.e., the same across participants) controlling for important covariates (i.e., demographics) at Level-2. Additionally, dummy variables will be created for condition (HABIT: 1 = ves or 2 = no; PLANNING: 1 = ves or 2 = no; EDUCATION: 1 = ves or 2 = No) at Level-2 with the HABIT and PLANNING condition variables being added to the model to predict the intercept and slope at Level-1. In doing so, the EDUCATION group is compared against the other two groups to determine if baseline MVPA is similar across conditions and whether the change in MVPA is similar across conditions. Follow-up analyses will be conducted for the HABIT vs. PLANNING condition comparison. The same analytical approach will be used to determine whether there are group differences in the health-related quality of life, and the health-related fitness outcomes and tertiary outcomes of parent physical activity and health-related quality of life. To determine whether the change in the underlying motives explain the potential change in MVPA during the intervention similarly for all 3 groups, a time varying covariate mediation analysis approach will be utilized following the procedure outlined by Krull and MacKinnon (2001) for Level-1 Mediation. Briefly, the analyses needed to establish mediation will treat the underlying motives as time varying covariates at Level-1 of the model. Then, the dummy coded condition variables will be entered at Level-2 to determine if the mediation relationships are similar across groups. Finally, to determine whether there is a seasonal, intergenerational, or gender difference across the primary and secondary outcomes, each variable will be entered into the various models at Level-2 to predict the intercepts and slopes at Level-1. Doing so will determine if they impact the change in the various outcomes across time.

The end of study process evaluation questions will be analyzed using descriptive statistics. Qualitative data analysis will be overseen by the Principal Investigator but conducted

by Research Assistants unconnected with the intervention activities (Merrick, 1999). The responses to open-ended questions will be categorized and coded into common themes (Patton, 1990).

#### Results

At time of submission (August 2019), we have obtained ethical approval, registered the trial, and recruited 123 families. Recruitment is expected to be complete in June 2020. From the 123 families recruited, 22 have completed baseline measures, 19 have completed the six-week measures, 12 have completed the three-month measures, 52 have completed the study, and 18 have dropped out. See Figure 1 for the study procedures and participant flow chart.

## Discussion

This protocol paper outlines the implementation of a randomized trial employing parent supported physical activity habit formation strategies with their children. The guiding conceptual model is the M-PAC framework and the overall goal is increased physical activity behaviour in children.

## Ethics and Dissemination

This protocol has been approved by the University of Victoria Human Research Ethics Board (Victoria, Canada). Details on obtaining consent from participants and confidentiality is outlined in the Methods section. No harms are expected as a result of participation in this study however participants are provided with contact information for the Project Coordinator, Principal Investigator, and Human Research Ethics Board in the case they have something to report. Results from this trial will be shared at conferences as presentations and in scientific journals as published manuscripts. Participants who express interest in study results will be made aware of any relevant

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publications. Public access to the participant level dataset will not be granted. There are no current plans to grant public access to the full protocol or statistical code. All authors who have contributed to the protocol design are eligible for authorship on subsequent publications.

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# PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 37

# Tables

# Table 1

World Health Organization trial registration data set items

Data Category	Information
Primary registry and trial identifying number	ClinicalTrials.gov
	# NCT03145688
Date of registration in primary registry	Submitted January 27 2017, version 1
	finalized and released May 4 2017
Secondary identifying numbers	Unique Protocol ID: 35941 51350
Source(s) of monetary or material support	Heart and Stroke Foundation of Canada
Primary sponsor	Heart and Stroke Foundation of Canada
Secondary sponsor(s)	n/a
	<i>EM</i> , MSc.
Contact for public queries	-
	ermedd@uvic.ca, 250-721-8384
Contact for scientific queries	<i>EM</i> , MSc.
	Behavioural Medicine Lab, Victoria, Canad
Public title	Family habit physical activity study
Scientific title	Promoting habit formation in family physic
	activity
Countries of recruitment	Canada
Health condition(s) or problem(s) studied	Child physical activity
Intervention(s)	Family based education, planning, and habit
	formation
Key inclusion and exclusion criteria	Ages eligible for study: families with childr
5	6-12 years
	Accepts healthy volunteers: yes
	Inclusion criteria: child achieving less than
	minutes of moderate to vigorous physical
	activity per day
	Exclusion criteria: Child achieving more that
	60 minutes of moderate to vigorous physica
	activity per day
Standar tare a	Interventional
Study type	
	Allocation: randomized
	Intervention model: parallel assignment
	Masking: single blind (participants)
	Primary purpose: evaluate intervention
	designed to improve child physical activity
	through promoting parent support habit
Date of first enrolment	February 2017
Target sample size	240 families
Recruitment status	Recruiting
Primary outcome(s)	Child moderate to vigorous physical activity
Key secondary outcomes	Child fitness, parent support habit

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# PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 38

# Table 2

Description of intervention components and associated behaviour change techniques

Intervention Condition	Resources included in Booklet	Physical activity behaviour change techniques	BCT Taxonom construct
Education	Canadian 24 hour Movement Guidelines: Included list of	Instruction on how to perform a behaviour	4.1
	benefits of physical activity.	Information about health consequences	5.1
		Salience of consequences	5.2
		Information about social and environmental consequences	5.3
		Information about emotional consequences	5.6
Planning	Goal setting materials	Goal setting (behaviour)	1.1
(Includes Education	Explanation of SMART	Problem solving	1.2
condition resources)	goals, self-monitoring Family physical activity planning worksheets: Included brainstorming worksheets for where to be active, new modes for being active, how to plan activity, how to incorporate rewards, and journaling and tracking worksheets.	Action planning	1.4
		Self-monitoring of behaviour	2.3
		Self-monitoring of outcome(s) of behaviour	2.4
		Social support (practical)	3.2
		Social support (emotional)	3.3
		Non-specific reward	10.3
		Self-incentive	10.7
		Restructure physical environment	12.1
		Restructure social environment	12.2
Habit (Includes Education	Habit Building Resources Explanation and examples of	Prompts/Cues	7.1
and Planning group resources)	habits, introduction to cues and anchoring, brainstorming	Behavioural practice/rehearsal	8.1
~	and planning worksheets	Habit formation	8.3

# PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 39

# **Figure Legend**

Figure 1. Study procedures and participant flow chart. PA = physical activity.

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# Appendix 1



# **CONSENT FORM - PARENTS**





# "Family physical activity study: A randomized controlled trial"

You are being asked to take part in a study titled **"A Family Physical Activity Study"**. We are inviting any parent(s) who currently have at least one child between the ages of 6 and 12 years, to participate in this study. **Specifically, we are seeking families in which at least one child is struggling to meet physical activity guidelines**. This study has been reviewed by the University of Victoria Ethics Committee and has met the rigorous requirements for ethical approval.

Although regular physical activity is essential for healthy development in children and numerous benefits of an active lifestyle have been reported, less than one third of Canadian children are meeting physical activity guidelines. Furthermore, children who are overweight as youth are twice as likely to remain overweight into adulthood. Child health is influenced by parental health practices; however, many parents have difficulty maintaining a regular physical activity program due to family obligations. As a result, the promotion of regular activity is paramount in alleviating the potential health implications that may arise for the entire family. We hope that you will help us learn more about factors influencing physical activity in families by participating in the study.

# **Purpose of this Project**

In this study, we will be examining whether different strategies help to promote family-based physical activity.

# What do I have to do to participate?

1) First we ask that you sign this consent form.

2) We will then conduct a **fitness test for your child** at the Behavioural Medicine Lab at the University of Victoria, on a date that is convenient for you.

The tests include blood pressure, body composition (height, weight, girth measurements), a Leger shuttle run test, some strength tests (grip strength, push ups, sit ups, plank test) and a sit & reach flexibility test. This will take approximately 45 minutes. Prior to conducting the fitness test we will administer a questionnaire to ensure that it is safe for your child to undergo fitness testing and partake in physical activity. (Physical Activity Readiness Questionnaire that is administered over the phone).

3) We will ask you to complete a **Baseline online questionnaire (should take approx. 20-30 minutes** of your time) while your child is doing their fitness test (fitness test takes approx. 1 hour).

4) After the fitness test, we will send your child home with an accelerometer which we will ask him or her to wear for one week. We will ask your child to wear an accelerometer at **four times** for **seven consecutive days throughout the study.** We will also provide a logbook to write down some information about when your child put the accelerometer on and took it off, and what activities they were doing during the day. You will get the accelerometer information back at the end of the study.

5) Once the accelerometer has been worn for one week, we will contact you to pick up the accelerometer and accelerometer log book. At this time we will go through some materials to help increase your child's physical activity. You will be randomized to one of three conditions. Each condition is aimed at increasing

# PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 41

physical activity for your child but the materials differ. At the end of the study you will find out what the other conditions received for materials and have the option to receive these materials as well. The study materials will include information and strategies for how you can help increase the physical activity of your child. **This will take about 30 minutes to work through**. The materials are print copy and outline benefits of physical activity, information about activities, brainstorming about barriers and some other strategies for how to increase physical activity for your child. **We will ask you to keep and refer to these materials over the course of the study**.

6) After six weeks, we will contact you about a second questionnaire that we will email to you and ask your child to wear an accelerometer for another week. We will also provide an information 'booster' session and check in to see how things are going with your child's physical activity.

7) At **3 months' time**, we will ask you to complete **another questionnaire online** and ask your child to **wear an accelerometer for a third time**.

8) After 6 months, we will set up a time for final fitness testing at our lab for your child, ask you to complete the last questionnaire, and ask your child to wear accelerometers for one week. At the end of the last week we will setup a time to pick up the accelerometer and ask you a few wrap up interview questions which should take approximately ten minutes. This will be tape recorded.

In the questionnaires, you will be asked about demographic information (such as ethnicity, employment and education), questions about your physical activity and your child's physical activity, and some questions on quality of life. Your child will also be asked about their activities and a child-adapted quality of life scale.

# Inconvenience, Risks, and Benefits

There are minimal risks associated with the study but it is important for you to be aware that you may be asked about some sensitive topics such as demographic information (ethnicity, employment, health) or about your quality of life, or stress. These questions can make some people uncomfortable and you do not have to answer them if you do not want to. Also your child will be asked about their activities and some questions about their life. If these questions make your child uncomfortable they do not have to answer them. You also may be inconvenienced by time to participate in the study. Each questionnaire takes approximately 20-30 minutes to complete. Your child's fitness test may take around 45 minutes each time to complete. The fitness test can make some kids nervous but our trained fitness testers are very careful and will not force your child to do anything they do not want to. Your child will be shown all the tests and explained in detail what they require. These are standardized tests which are used with kids all across Canada. Any contraindication to exercise or fitness testing would come up through administration of the Physical Activity Readiness Questionnaire. If it is noted that there may be a contraindication to increasing yours or your child's level of activity, then we will require medical screening prior to participation in the study and in the fitness testing.

The potential benefits of your participation in this research include increasing your child's level of physical activity participation, which comes with associated health benefits. Additionally you and your child will earn an honorarium after every assessment point increasing by \$5 at each time point (i.e. families will receive \$25 after the baseline assessment, \$30 after the 6-week assessment, \$35 after the 3 month assessment and \$40 after the 6 month assessment). If you withdraw from the study you will be paid up to your completed measure (i.e. if you complete six weeks and then withdraw you will receive \$30). You will also get all of your child's fitness testing and accelerometer information back at the end of the study. Furthermore, you will be providing much needed information on the current health behaviours of parents and their families and the barriers which prevent regular family physical activity involvement. This information will be very helpful to us in designing intervention programs catered to families. As well, if

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## PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 42

requested, you can obtain feedback of the results of this study. The results of the study will be presented at scholarly meetings and published as an article in an academic journal.

#### Anonymity and Confidentiality

The information from the questionnaires, accelerometers, fitness tests, and interviews will be anonymous during data analysis and publication of study results. All data will be published as group data, and any data kept separate will be identified by ID-number (no name). We will need your contact information in order to provide you with materials and collect materials. However, we can assure you that your confidentiality will be completely protected and only the research team will have access to your contact information. In terms of protecting the confidentiality of your data, the data file and completed questionnaires will be kept in a locked and secure environment on the University of Victoria campus at all times. Only the investigators will have access to the data. The original questionnaires will be shredded after 5 years.

### Do I have to participate?

No, your participation in this study is completely voluntary and you have the right to withdraw at any time without consequence. As well, if you choose to withdraw before the six-month follow up, it is up to you whether or not we use that data that we will have collected from you up until that point. It is only through voluntary participation in research projects that we increase our knowledge about issues that are important to health. If one family member chooses to withdraw, the rest of the family can complete the study. If your child decides they do not want to participate, you both may withdraw with no questions asked. If there is only one child participating and they wish to withdraw, the family will be removed from the study. If there are two children within the ages of 6-12 and one child wishes to withdraw but the other would like to remain, the family may continue on. If there is only one parent and they wish to withdraw they will be removed from the study. If there are two parents and only one wishes to withdraw the other family members can continue on. The participant who withdraws will be asked if their data can still be used in the study.

If you have any questions or concern about this study please do not hesitate to contact either Sandy Courtnall (Research Coordinator) or Dr. Ryan Rhodes (Primary Investigator). In addition, you may verify the ethical approval of this study, or raise any concerns you might have, by contacting the Human Research Ethics Office at the University of Victoria (250-472-4545 or ethics@uvic.ca).

#### Other co-investigators of the study:

Dr. Mark Beauchamp, Dr. Chris Blanchard, Dr. Valerie Carson, Dr. Benjamin Gardner, Dr. Darren Warburton

Your signature below indicates that you understand the above conditions of participation in this study, and that you have had the opportunity to have your questions answered by the researchers. Your signature indicates that you consent to both yourself participating in the study and your child to participate in the study.

Name of Participant

Signature

Date

\*\*Please sign one copy for the researchers and sign and keep one copy for your records\*\*Ryan E. Rhodes, Ph.D., ProfessorSandy Courtnall, Project Coordinator(250) 721-8384(250) 472-5288rhodes@uvic.cascourtna@uvic.ca

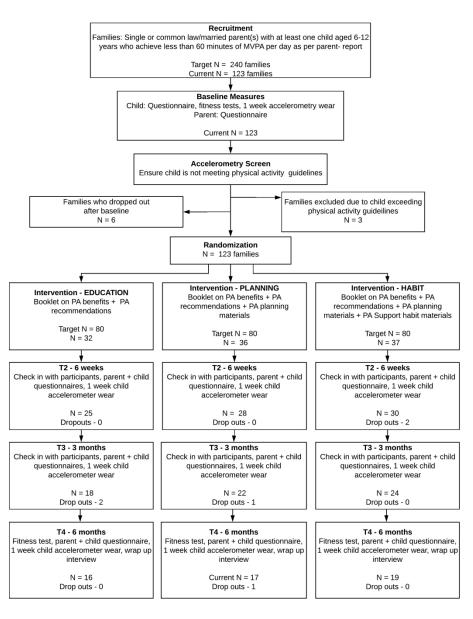


Figure 1. Study procedures and participant flow chart. PA = physical activity.

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# SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents\*

Section/item	ltem No	Description	Addressed on page number
Administrative inf	ormation		
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	1
	2b	All items from the World Health Organization Trial Registration Data Set	_37 (table 1)_
Protocol version	3	Date and version identifier	2
Funding	4	Sources and types of financial, material, and other support	1
Roles and	5a	Names, affiliations, and roles of protocol contributors	1
responsibilities	5b	Name and contact information for the trial sponsor	1
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	1
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	n/a
		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Introduction				
3 4 5	Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant	4-6	
6 7		6b	Explanation for choice of comparators	5-7	
8 9	Objectives	7	Specific objectives or hypotheses	7-8	
10 11 12 13	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	8	
14 15	Methods: Participa	nts, inte	erventions, and outcomes		
16 17 18	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will _ be collected. Reference to where list of study sites can be obtained	9-11	
19 20 21	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and	9-10	
22 23 24	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be _ administered	12-17	
25 26 27 28		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose _ change in response to harms, participant request, or improving/worsening disease)	17	
29 30 31		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence _ (eg, drug tablet return, laboratory tests)	12	
32 33		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	11	-
34 35 36 37 38	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, _ median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	17-20	_
39 40 41 42	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for _ participants. A schematic diagram is highly recommended (see Figure)	_Figure 1	
43 44 45			For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml		2

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1 2	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	22	
3 4 5	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	10-11	_
6 7	Methods: Assignm	ent of i	nterventions (for controlled trials)		
8 9	Allocation:				
10 11 12 13 14 15	Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	8	
16 17 18 19	Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	8	
20 21 22	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	8, 10-12	
23 24 25 26	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	8	
27 28 29		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	8	
30 31	Methods: Data coll	ection,	management, and analysis		
32 33 34 35 36 37	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	17-22	
38 39 40 41		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	12-13	
42 43 44 45			For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml		3

1 2 3 4	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	22-24
5 6 7	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the _ statistical analysis plan can be found, if not in the protocol	22-24
8 9		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	22-24
10 11 12 13		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	22-24
14 15	Methods: Monitorir	ng		
16 17 18 19 20 21	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	23
22 23 24		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim _ results and make the final decision to terminate the trial	23
25 26 27	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse	23
28 29 30	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	23
31 32	Ethics and dissemi	nation		
33 34 35 36	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	9
37 38 39 40 41	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	9
42 43 44 45			For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	4

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Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	11	
	26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary _ studies, if applicable	n/a	
Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained _ in order to protect confidentiality before, during, and after the trial	23	
Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site _	1	
Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that _ limit such access for investigators	23	
Ancillary and post- trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial _ participation	25	
Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	25-26	
	31b	Authorship eligibility guidelines and any intended use of professional writers	26	
Appendices	31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code _	25-26	
Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	40-42	
Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular _ analysis in the current trial and for future use in ancillary studies, if applicable	n/a	
specimens analysis in the current trial and for future use in ancillary studies, if applicable *It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "Attribution-NonCommercial-NoDerivs 3.0 Unported" license.				
		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml		

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# Family-based habit intervention to promote parent support for child physical activity in Canada; protocol for a randomized trial

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## Running Head: PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 1

Family-based habit intervention to promote parent support for child physical activity in Canada; protocol for a randomized trial

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# **Author Contributions**

RER, MRB, CMB, VC, BG, and DERW all had input on conceptual model used and design of the study. ERM lead the writing of the protocol, and all authors approved the final manuscript. RER is responsible for project oversight.

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# PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 2

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#### Abstract

Introduction: Regular physical activity (PA) participation has many important physical and psychological health benefits, managing and preventing over 25 chronic conditions. Being more physically active as a child is associated with being more active as an adult, but less than 10% of Canadian children are achieving the recommended PA guidelines of 60 minutes per day of moderate to vigorous PA. Parental support is a predictor of child PA but parent intention to support child PA does not always predict enacted support. Targeting factors that assist in the sustainability of parent support behaviour of child PA may have an impact on child PA. The purpose of this study is to evaluate an intervention designed to promote habit formation of parental support (HABIT, independent variable) on child PA (dependant variable) compared to a planning and education group (PLANNING) and an education only group (EDUCATION). Methods and analysis: The three conditions will be compared using a six-month longitudinal randomized trial. Eligible families have at least one child aged 6-12 years who is not meeting the 2011 Canadian PA Guidelines. Intervention materials are delivered to parents at baseline, with check-in sessions at 6 weeks and 3 months. Child moderate-to-vigorous PA, measured by accelerometry, is assessed at baseline, 6 weeks, 3 months, and 6 months as the primary outcome. At baseline and 6 months children perform fitness testing. So far, 123 families have been recruited from the Greater Victoria and surrounding area. Recruitment will be continuing through 2020 with a target of 240 families. Ethics and Dissemination: This protocol has been approved by the University of Victoria Human Research Ethics Board (Victoria, Canada). Results will be shared at conferences as presentations and as published manuscripts. Study findings will be made available to interested participants. **Registration details:** This trial is registered at clinicaltrials.gov # NCT03145688.

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# Article Summary

# Strengths and Limitations of this study

- This randomized trial will build on family-based PA research evaluating whether building parent support habit for child PA is an effective strategy to promote child PA
- The findings of this study can inform health policy and programs designed to improve health outcomes in children through increasing PA
- It is possible that results may be affected by participants unconsciously adopting techniques from different conditions (e.g. the EDUCATION participant employs planning technique, PLANNING participant employs habit forming techniques)
- Inclusion of quantitative and qualitative manipulation checks will be useful for assessing intervention fidelity

# Introduction

Physical activity (PA) has the potential to reduce the risk of at least 25 chronic medical conditions by 20-30%,[1] yet Canadian adults and children are not meeting recommended guidelines to optimize these benefits.[2,3] Children are recommended to achieve 60 minutes per day of moderate to vigorous physical activity (MVPA).[4] For adults, 150 minutes of MVPA per week is recommended.[5] While many complications and diseases present in adulthood, there is compelling evidence showing the positive impact of increased physical activity among children on health. Physical activity can help to guard against high blood pressure, high cholesterol, metabolic syndrome, low bone density, depression and obesity, As well, there is evidence demonstrating a relationship between increased MVPA and reduced psychological distress, improved peer relations, and improved quality of life/well-being.[6–8] Unfortunately, according

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to the Canadian Health Measures Survey, only 9% of boys and 4% of girls are achieving the recommended amount of physical activity.[3,9] Understanding the factors that influence child PA is therefore important for promoting long-term population health.

Parental influence is one such factor; a review of family based interventions to increase physical activity found that parent support was a consistent determinant of child physical activity.[10] Parent support refers to the ways in which parents knowingly influence their child's physical activity and includes both tangible (e.g. transportation) and intangible (e.g. encouragement) behaviours.[11] Interventions focused on parent support to change child physical activity have been generally unsuccessful however, as reported in several reviews. [12-15] More recent intervention research has shown some positive effects, [16–18] but these are still balanced by several null results.[19–21] A recent study found that while most parents have positive intentions to support child health behaviours, few substantively enact this support.[22] Furthermore, this intention-behaviour gap does not appear to be successfully bridged by current interventions targeting parents. For example, a review [10] found that the majority of interventions focus on educating parents on the benefits of physical activity, which does not appear to drive subsequent physical activity change (among parents and their children). This is likely because parents are already aware of the health benefits of physical activity for their children, [23–25] in addition to already having the intention to support behaviour. [22] Therefore, focusing on what parenting support can be harnessed (i.e., malleable through intervention) to promote child physical activity represents an important avenue of enquiry.

Several recent theoretical approaches have advanced beyond merely building intention to perform behavior and suggest that building self-regulatory tactics such as planning is critical to bridge the intention-behavior gap.[26–28] This approach has been utilized in family

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interventions,[10] yet it may not be sufficient to sustain behavior changes over longer durations. For example, the Family Physical Activity Planning study[22] compared an intervention group focused on family physical activity planning (goal setting, action planning, coping planning) to an education only group and found increased child physical activity at 3 months in the family planning group. This effect was not observed at 6 months however, demonstrating a need to target behavioural strategies beyond mere planning for successful maintenance of physical activity.

The Multi-Process Action Control (M-PAC) Framework [29,30] may assist in sustaining health behaviors such as parental support of child physical activity. This framework suggests that self-regulatory skills and tactics assist in translating intentions into behavior during the initial adoption of physical activity, but sustainability is also determined by the development of habit across time to ease the burden of continual volitional motivation and self-regulation. Habits represent impulses to perform behaviour initiated via stimulus-response bonds,[31] and contribute to physical activity largely via repeated consistency in behavioural practices, salient cues associated with behavioural initiation, and affectively rewarding behaviour.[32–34] Consistent with M-PAC theory, habit formation has seen promising results in physical activity research; [31,35,36] habits may sustain physical activity behaviour over time partially independent of goals (or intentions), [35,37] and through helping turn intentions into actual behaviour.[30] Of particular relevance to the current trial, a recent study evaluating parent support of child physical activity with M-PAC found parent habit to be the largest independent correlate with the translation of intention into behaviour compared to planning and motivational constructs.[38]

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# **Study Objectives and Hypotheses**

The primary objective of this study is to implement a theory-based intervention targeting parental support of child MVPA comparing the effect of a PA habit formation + PA planning + PA education (HABIT) condition to a PA planning + PA education (PLANNING) condition and a PA education only group (EDUCATION) on child MVPA (dependant variable). The primary end-point of this trial is six months, with additional secondary time points of 6 weeks and 3 months. Based on previous research,[38, 39] it is hypothesized that children of families in the HABIT condition will demonstrate a greater increase MVPA measured by accelerometry at 6 months compared to those in the PLANNING group and the EDUCATION group. As per the results of Rhodes et al.'s family physical activity planning intervention[22] we expect children of families in the PLANNING group will demonstrate a greater increase in MVPA compared to the EDUCATION group however this effect may diminish over time.

Secondary objectives include evaluating child fitness at baseline and 6 months. It is hypothesized that child fitness will be greater for the HABIT condition compared to the PLANNING and EDCUATION conditions at six months because of the resulting increased physical activity hypothesized above. Tertiary objectives include evaluating parent physical activity and quality of life. While parent and child co-participation in physical activity is not mandatory, parent support of child physical activity may include co-participation (such as a family walk) and therefore it is expected that parents in the HABIT condition will report higher physical activity via some activities being performed with their children in comparison to the other conditions. Finally, no differences in gender or season are hypothesized. The climate in Victoria is relatively mild and outdoor activities continue throughout the year. Seasonal effects (for example, potentially less outdoor physical activity participation during winter months or less

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structured sport participation during summer months) are also expected to be balanced to a certain extent by the selected recruitment method. Rolling recruitment ensures that participants are at all stages of participation during all seasons. Finally, there is not sufficient evidence at this point to support that boys and girls will respond differently to a habit-based physical activity intervention.

# **Trial Design**

This study is a three-arm parallel design single blinded randomized trial. After baseline assessment (MVPA, fitness testing, questionnaire), families are randomized to one of three groups: 1) family physical activity habit formation + planning + education (HABIT); 2) family physical activity planning + information/education (PLANNING); 3) standard physical activity education (EDUCATION). The trial is testing the superiority of the HABIT condition. Randomisation is performed by the Project Coordinator using Excel Sheet Randomization with an allocation ratio of 1:1:1. Participants are blind to their condition until their participation in the study is complete, at which point they are informed of their group by the Project Coordinator and/or Research Assistant. Under no circumstance will participants be informed of their condition while they are still enrolled in the study. Fitness testers are blind to each family's condition but the intervention delivery team is aware of the condition to allow for correct delivery of intervention materials.

#### Methods

The study has been approved by the University of Victoria Human Research Ethics Board (HREB). The design, conduct, and reporting of the trial has and continues to follow the Standard Protocol Items: Recommendations for Interventional Trials (SPIRIT) guidelines.[40]

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The trial is registered with the Clinical Trials Registry at the National Library of Medicine at the National Institutes of Health (ClinicalTrials.gov) Trial ID NCT03145688. See Table 1 for World Health Organization Trial Registration Data Set items.

In the case of protocol modifications or amendments, the Project Coordinator submits the appropriate documentation to the HREB at the University of Victoria. Once approved, the Project Coordinator then updates the trial information on the Clinical Trials Registry.

#### Patient and public involvement

No funds or time were allocated for patient (participant) and public involvement in this study. Participants were not invited to comment on the study design and were not consulted to develop participant relevant outcomes or interpret the results. Participants will not be invited to contribute to the writing or editing of this document for readability or accuracy. Participants are given the opportunity to share their experience in the wrap up interview providing information that will help inform future research.

#### **Participants**

Single or common law/married adult(s), with at least one child between the ages of six and twelve years, living in greater Victoria, (including the Capital Regional District, Westshore Communities, and Sooke) British Columbia, Canada are being recruited for this study. If more than one child is eligible in this range, one child is randomly (computer randomizer) designated as the target for analysis yet all willing children are included in the study. Families are included if the child participant is achieving less than the recommended 2011 Canadian physical activity guidelines of 60 min of MVPA daily.[41]

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The age group of 6-12 was selected from our earlier pilot work.[18] Specifically, children under six years of age engage in physical activity that is quite sporadic, and thus very different than children six years and older.[42] Our decision to limit the age of children to 12 was more practical; 12-year-old children represent the upper bound of the "tweens", where parents are still very influential in physical activity decisions and physical activity interventions at the level of the parent would be still effective.[12,43]

# Recruitment

Recruitment is being conducted by the Behavioural Medicine Lab at the University of Victoria in British-Columbia, Canada. Parents with one or more children aged 6-12 are the primary target for recruitment. Victoria's population is representative of Canada: according to data from the 2016 Canadian census, the age distribution, family structure, and income of Victoria residents are similar to those of Canada.[44]

Participants are being recruited through the social media platforms Facebook and Instagram, posters at community facilities, in person at local markets and festivals, and through word of mouth. Facebook and Instagram posts are made bi-monthly by the Behavioural Medicine Lab Recruitment Officer on the Behavioural Medicine Lab Facebook page (https://www.facebook.com/UVicBMED/) and Instagram account (@uvicbmed) which are linked, meaning a post made on Facebook is simultaneously shared on Instagram and vice versa. Posts are limited to 100 words or less and briefly describe the intent of the study and those eligible to partake, asking those interested to contact the Behavioural Medicine Lab through email or phone information provided in the post. Facebook posts are also shared to relevant Facebook groups (e.g. neighbourhood groups, young parent groups). Facebook posts are "boosted" by paying a small fee to have the post appear as an ad in a target demographic's news

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feed. This increases the reach of the Facebook post with the goal of increasing recruitment. The target demographic is specified by selecting variables of age, location of residence, and other filters such as "parents", and the ads typically run for 7 days. The Recruitment Officer also sets up a recruitment booth twice per month at local markets and festival events during the summer, and at community and recreation centers in the winter to engage with potential participants, answer questions, and collect contact information for interested families. Posters are put up every 3 - 4 months by a Research Assistant and/or Recruitment Officer in all major recreation centers in the area, as well as shopping centers, health care centers, and schools. Word of mouth is also used as a recruitment strategy by asking participants to share information about the study with acquaintances. Since participants self-select, application of the results will be limited to families already interested in supporting their child's physical activity.

#### Enrolment

When interested parents contact the lab, the Recruitment Officer(s) follows up with an email to schedule a phone conversation. If initial contact is a message through Instagram or Facebook, the Recruitment Officer replies asking the person to call/email or provide their contact information to be contacted by phone or email. An initial recruitment phone interview is set up with the Recruitment Officer. Participant families are screened by parent-report of average child physical activity per day as well as the ParQ+ Health Screening Questionnaire.[45] If screened in then the Recruitment Officer books the baseline fitness test and advises the Project Coordinator who follows up with the family and schedules a Fitness Tester for the baseline session. Fitness Testers are all Certified Personal Trainers or Clinical Exercise Physiologists registered with the Canadian Society for Exercise Physiology.

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During the baseline session, the Fitness Tester obtains written consent from parents and verbal consent from children (See Appendix 1). Participants are asked not to participate in any other research studies while enrolled in the present study. After the baseline session, children wear an accelerometer for 7 days. The intervention delivery session is scheduled after the week of accelerometer wear; once scheduled, the Research Coordinator randomly assigns the family to one of the three conditions and prepares the appropriate materials for the Research Assistant to take with them to the participants' home. The Research Assistants are Kinesiology and Psychology undergraduate and graduate students. These are paid positions that involve thorough training in the lab and in the field. Training involves review of a training manual, study materials, shadowing sessions with experienced Research Assistants, practicing sessions and successfully demonstrating participant appointments to the Project Coordinator to confirm that they are ready to take the lead on these deliveries and check in's w/participants on their own.

Participants receive an honorarium at baseline (\$25), 6 weeks (\$30), 3 months (\$35), and 6 months (\$40) for a total of \$130. Families only receive honorariums if they complete all the measures for the check in (accelerometers, logbooks, questionnaires).

#### Intervention

The intervention is conducted in-person with a Research Assistant and the family at the family home, and includes take away material for the families to use later on. The material is a condition specific physical activity workbook and serves as a template for the dialogue between the Research Assistant and families during the intervention delivery. The Research Assistant explains each section of the book to families as per the intervention delivery script from the training manual, answering questions as needed and ensuring that families are comfortable to complete the workbook in the coming weeks. Families are asked not to share any information

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with any acquaintances who happen to be participating in the same study. Intervention delivery sessions range from 25-40 minutes in length depending on the condition and the family.

The workbook is designed for families with information directed at the parent to review with the child, sections for parents and children to complete together, and some sections for the parent to complete on their own. The material incorporates established behaviour change techniques to promote child physical activity. The full list of behaviour change techniques employed in each condition are summarized in Table 2 as per Michie et al. Behaviour Change Taxonomy (BCT).[46] These techniques are incorporated in the workbook and reinforced by the discussion between the Research Assistant and the family.

The three intervention conditions follow and advance the prior work conducted in our successful habit formation pilot trial[32] and feasibility study, but now tested in comparison to our work on family physical activity planning.[18,22,47] The condition of key interest (HABIT) is focused on the behavior change technique of habit formation with the goal of impacting initiation of parental physical activity support and not the actual execution of child physical activity or execution of support behaviour, which may be quite mindful (Verplanken & Melkevik, 2008).[48] Contemporary research has shown that habits in the physical activity domain can be discerned into habitual instigation – whereby, in this instance, a parent non-consciously 'decides' to provide physical activity support – and habitual execution, whereby a parent non-consciously performs the actions involved in providing physical activity support.[49,50] The HABIT condition is focused on encouraging the formation of instigation habits, such that parents are automatically 'reminded' to select physical activity support (from available alternatives). We are not attempting to promote non-conscious engagement in child physical activity support (i.e. execution habit).

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Six week and three-month check-ins, or "booster" sessions, are scheduled by the Project Coordinator with families in all conditions. This involves a 10-15 minute house visit by a Research Assistant to discuss the families' experience so far. Research Assistants follow a check in script included in the training manual and review the intervention delivery materials as needed to support family problem solving (BCT construct 1.2) and promote adherence to the intervention. Based on what the family expresses as personal challenges or barriers, the Research Assistant will re-emphasize strategies in the workbook that address that concern. For example, if a family expresses difficulty with planning physical activity, the Research Assistant will go through the intervention materials again to help the family identify what techniques they can focus on (such as rewards or tracking) and help to brainstorm strategies.

# **Education condition (EDUCATION).**

The standard EDUCATION package consists of the Canadian 24 hour Movement Guidelines for children recommending at least 60 minutes of MVPA per day and vigorous intensity activities at least three times per week (BCT construct 5.1).[4] The guide also contains information about the benefits of physical activity for children (BCT construct 5.2, 5.3, 5.6), explanations of what moderate and vigorous intensity activities are, and ideas for physical activities including structured (e.g. play a sport) and unstructured (e.g. go to the playground) examples (BCT construct 4.1). The Research Assistant reviews this information with families.

## **Planning + education group (PLANNING).**

Participants in the physical activity planning intervention condition receive the same guidelines and information as the EDUCATION condition but are also provided with family physical activity planning material for parents and children to complete together. Goal setting

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and self monitoring are explained by the Research Assistant followed by a skill training component which is a section on how to plan for family physical activity. The workbook includes a brainstorming exercise for families where they list physical activities that they have found fun in the past, as well as activities that they would find enjoyable to do as a family. This brainstormed list helps create the template for physical activity planning by contextualizing what the participants would like to do and the subsequent necessary support behaviours for parents (BCT construct 3.2, 3.3, 12.1, 12.2). Research Assistants explain the exercise and support brainstorming with families as needed.

The skill training material is based on our previous family-based physical activity interventions which have demonstrated the effectiveness of targeting family based selfregulatory processes such as planning, goal setting, problem solving, and self monitoring for increasing physical activity outcomes in children.[18,22,47] The workbook facilitates goal setting and problem solving (1.1, 1.2). Families are instructed to plan for "when," "where," "how," and "what" physical activity will be performed commensurate with the creation of action planning (BCT construct 1.4) and implementation intentions (e.g.,[51,52]). This section is followed by a page on rewards (BCT constructs 10.3, 10.7) with space for children to brainstorm activities other than physical activity that they would like to do and which can function as a reward for when children engage in their planned physical activity. The final page is a journal and tracking sheet for families to log child physical activity (when, what, where, and outcomes e.g. how the child felt, what happened right after) (BCT construct 2.3, 2.4). The design of all material was created for prior research[18,22,47] and features graphic design and colour images that represent family physical activity. The Research Assistant reviews each section with

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families, explains how to complete them, and informs them that their participation in the study includes completing the workbook.

#### Habit + planning + education group (HABIT).

Participants in the habit formation condition receive the same content as the EDCUATION condition and PLANNING condition as well as additional material on creating parent support habits for child physical activity. As with the other conditions, the Research Assistant reviews the workbook with families and answers questions as needed. While parent support habit includes the child, this section of the workbook is directed more to the parent as the habit of interest is parental support of child physical activity. The material is taken from research on habit formation[33] and our successful pilot study,[32] but adapted in our feasibility study to the same colourful style and format as the other information provided. The material includes a brief discussion of what habits are with some very straightforward non-physical activity related examples such as preparing for sleep routines, or selecting the car to commute to work. A key component of the habit section is based on planning for context-dependent repetition (BCT constructs 8.1, 8.3), with pointers on how to maintain repetition as habit forms. The use of script elicitation to understand/describe existing routines and spot points at which physical activity support can be inserted follows. This involves a worksheet for parents to brainstorm existing routines in their child's life (for example, family brunch on Sundays) and then identify what physical activities brainstormed in the planning section with the child that might be tagged on to the routine (for example, family walk after brunch every Sunday). This process has been successful in forming habits in other behavioural domains [53] as it helps identify reliable and consistent behaviour patterns into which new behaviours may be inserted to optimise the likelihood of the context-dependent performance required for habit to form. Cues are then

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introduced as factors to support habit formation (BCT construct 7.1). Based on our previously successful trial [32] cues are described as those factors that prompt a behaviour with a discussion of temporal, social, mood, and visual cues to support physical activity. [54] Cues are considered factors that a) can reliably precede the support activity but b) rarely be present when the activity is not to be performed. Examples of cues are provided such as a soccer gear bag that is put out in the morning before the family leaves for school and work, which prompts taking the child to soccer when it's seen upon the family's return to the house (visual cue). The soccer gear bag is then removed from sight until the next soccer day. Examples of temporal cues are also provided, such taking the children on a walk with the family dog after dinner, where dinner occurs once a day and can serve to pair well with the family's new plan to walk. We suggest that cues that are repeatedly present during times when family physical activity is not performed -e.g., a sign on a door that the family walks by all the time – should not be considered, as it reduces the salience of the cue and so its potential for activating the desired action at opportune moments. Parents are reminded of the importance of having consistent support practices for child physical activity. It is made clear that this does not necessarily mean the same activity all the time, but it means consistent protected time where support is performed so it links with support instigation habits. This could mean child soccer practice every Tuesday night, family physical activity every Sunday after dinner, or encouraging the kids to play in the back yard each day after school. Parents are then asked to brainstorm and create a plan of consistency and cues with the workbooks provided. Parent support of child physical activity may include co-participation in physical activity by the parent (such as the family walk after dinner) or not (such as driving the child to sport practice). The final pages of the HABIT materials are titled "Ten tips for turning exercise intentions into actions" and summarizes evidence-based physical activity promotion

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practices, presenting the information in an accessible way. The Research Assistant reviews all sections and worksheets with families, explains how to complete them, and informs them that participation in the study includes completing the workbook.

The intervention is discontinued if participants choose to withdraw from the study.

#### **Outcome Measures**

Differences between the HABIT condition are being tested relative to the PLANNING and EDUCATION conditions.

### Primary outcome measure

Child MVPA is measured using seven-day accelerometery with the Actigraph wGT3X-BT Activity Monitor. Child MVPA will be evaluated as change from baseline at 6 weeks, 3 months, and 6 months. Child MVPA will be determined using the Evenson[55] cutpoints based off of recommendations from Trost et al.[56]. Evenson cutpoints define moderate activity as 2296-4011 counts per minute (CPM) and vigorous activity as  $\geq$ 4012 CPM. Therefore, MVPA will be any activity  $\geq$ 2296 CPM.

Children wear the accelerometer on an elastic belt secured snugly around the waist with the device on their left hip for a minimum of 10 hours per day for 7 consecutive days. Participants are instructed to remove the accelerometers for water-based activities as they are not waterproof. A logbook is provided for participants to note when accelerometers were removed for water-based activity or any other reason, provide other details of each day (e.g. if their routine was changed for any reason), and record the details of their accelerometer wear days. ActiLife software version 6.11.9[57] is used to initialize accelerometers and download data and will be used to analyze the data. The accelerometers are initialized to collect pre-filtered data at a

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sample rate of 30 Hz for the children and are downloaded into 10-second epochs to capture the sporadic nature of child physical activity.[58–60] For determining valid wear time, the Troiano algorithm is used which defines non-wear time as a period of at least 60 consecutive minutes of zero counts, with an allowance for one to two minutes of counts between 0 and 100.[61] A minimum of four days with at least 600 minutes per day including at least one weekend day of valid wear time will be included in our analyses based on recommended best practice[60,62].

## Secondary outcome measures

## Child physical activity measures.

Children are asked to complete a modified version of the Physical Activity Questionnaire for Children (PAQ-C)[63] at baseline, 6 weeks, 3 months, and 6 months. This measure is included in addition to accelerometry measured PA as they are not identical measures: including self-report PA will allow for assessment of volitional physical activity.[64] These recall questionnaires were designed to assess regular moderate to vigorous physical activity in children and adolescents. Frequency of different types of activity as well as intensity are assessed. The PAQ-C was validated against questionnaires, teacher rating, uni-axial accelerometer counts (Caltrac), fitness test (step test) and interview-assisted recall (r = 0.39-0.63) [63]. Questions on barriers to physical activity are also included in these questionnaires to determine physical activity capability.

Parent report of child PA and family PA are also assessed using modified Godin Leisure-Time Exercise Questionnaire[65] asking frequency and duration of structured vs. unstructured physical activity performed individually (child) and as a family (family).

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The baseline and 6-month questionnaires are completed in the lab and the 6 week and 3month questionnaires are sent as a link in an email to the parent and completed at the participant's home.

### Child health related fitness.

Health-related fitness is assessed in the lab at baseline and 6 months as per the Canadian Assessment of Physical Literacy physical fitness testing protocol.[66] The key components of body composition, cardiovascular fitness and musculoskeletal fitness are tested. Body mass (kg), height (cm), body mass index, and waist circumference (cm) are measured according to standard procedures. Cardiovascular fitness is assessed by performance on the Leger 20-meter Shuttle run. Musculoskeletal fitness involves a test of grip strength, the sit and reach test, and the plank hold test. All tests are conducted by qualified exercise professionals and specialized equipment. The total time required for the health-related physical fitness measurements is approximately 30 minutes per person.

## Parent support and support habit.

The Activity Support Scale for Multiple Groups (ACTS-MG)[67] is used to measure parent support of child physical activity.

Parental support habit for child physical activity is measured with an adapted Self-Reported Habit Strength Index,[68] which provides the opportunity to use the self-reported behavioural automaticity index subscale[69] as well. Parents respond on a five-point scale to questions in the following format: "Regular support of my child's PA is something I do…. automatically, frequently, etc.". The Self Report Habit Strength Index has been shown to map well to measuring instigation habit.[70] Both measures show excellent reliability and validity in

self-reported and objective physical activity assessment.[71] These measures evaluate the construct of habit which is a component of the M-PAC framework and have been adapted to parental support habits.[38] All measures are examined at all time points (baseline, 6 weeks, 3 months, 6 months).

# **Tertiary outcome measures**

## Parent physical activity.

Parents self-report physical activity using the Godin Leisure-Time Exercise Questionnaire[65,72] at all time points. This questionnaire assesses the frequency of mild, moderate, and strenuous activity performed during free time in a typical week.

## Other parent factors.

The 12-Item Short-Form Health Survey[73] is used to determine parental quality of life, and the Family Environment Scale[74] is used to assess and family functioning at baseline, 6 weeks, 3 months, and 6 months. At one time point during the study, personality (Revised NEO Personality Inventory[75]), parenting sense of competence (the Parenting Sense of Competence scale[76]) and physical activity availability (from the Home Environment Survey[77]) are assessed.

### M-PAC constructs for parental support of physical activity.

In addition to habit, other M-PAC constructs of affective attitude, instrumental attitude and perceived behavioural control are assessed using the constructs of the theory of planned behaviour.[78] Intention was measured using two questions employed in previous work with the Theory of Planned Behavior,[79,80] asking about commitment and intention to support child

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physical activity. Behavioural regulation is measured via the instrument from Sniehotta and colleagues[81] and parent support identity (whether parents identify as being a supportive of their child's physical activity) is measured via a modified exercise identity scale from Anderson and colleagues.[82–84] Measures from these instruments have demonstrated excellent predictive validity and internal consistency in adults, [85–87] parental physical activity support, [43] and child/adolescent populations.[88] The instruments have displayed validity for both personal and family-based (i.e., activities as a family collective) physical activity.[38,89]

# **Demographics**

The baseline questionnaire includes questions to assesses characteristics including age, gender, marital status, ethnicity, level of education, income level, number, gender, and age of children, and employment information.

### **Manipulation check outcomes**

The manipulation check outcomes of the study are examined via parent self-report of cue use and consistency on the questionnaires at 6 weeks, 3 months, and 6 months, [32] as well as a short process evaluation of the intervention at six-months. The consistency item asks parents "over the past 6 weeks/3 months, how consistently did you support your child to be physically active at the same time each day?" on a 5-point scale from not consistent (random) to very consistent. For cue consistency, parents rank statements from "Not true at all" to "very true" on a 7-point scale related to the question "over the last 6 weeks/3 months each time I supported my child to be physically active...". Statements refer to different types of cues such as temporal ("it was the same time of day, I was doing the same type of activity"), visual ("I was in the same place"), social ("I was around the same people"), and mood ("I was in the same mood").

The process evaluation procedures involve a brief quantitative questionnaire included on the final 6 month parent questionnaire to assess use of the intervention material and overall satisfaction of the study.[90] Second, semi-structured interviews are conducted with families during the 6 month lab session, allowing for more in depth examination of intervention material use and satisfaction of the study. All parents complete the interview and children have the option to participate alongside their parent. Some straightforward quantitative questions (e.g., did you use the intervention materials, how often) questions are included in this interview as these have proved useful in our prior evaluations.[22] Participants have the opportunity to elaborate on their response to each question, providing more context. Key open-ended questions include family physical activity type and frequency, barriers, and changes. The PLANNING and HABIT group participants are also asked if they used the material, found it useful in promoting physical activity, and why/why not. All participants have the opportunity to provide any other feedback as 4.04 well.

## Statistical power and sample size

Given the hierarchical nature of the data (i.e., the 4 measurement occasions at Level-1 were considered to be nested within the participant at Level-2), the OpDes Program for power estimation of hierarchical linear models (HLM)[91] was used. With a frequency of 4 measurement occasions, three conditions, a duration of 6 months as the primary end-point, within-person variance of 1.0, a growth rate of 1.0, and a small effect size (d = 0.30-0.40), a minimum of 150 families with a goal of 240 families (i.e., 50-80 children per condition) are needed to show significant difference in physical activity accelerometry (minutes of MVPA primary outcome) by condition over time. The effect size represents the findings from our prior intervention research with this demographic [18,22] and considering our pilot study on habit

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formation,[36] yet it is clearly in the range for the detection of differences between the PLANNING and HABIT conditions.[92,93]

#### Data management

Confidentiality procedures are outlined on the consent form. Each participant is given an ID#, all hard copy data (fitness test records, accelerometer logbooks) is kept in locked cabinets in a locked lab at the University of Victoria, data entered on the computer (accelerometer data, fitness test data) is stored on secure servers. Questionnaire data is stored on SurveyMonkey servers in Canada. Only the Research Team has access to the data. The details of data confidentiality and storage are included in the consent form and explained to participants by the Research Assistant during the baseline lab session. If a participant chooses to withdraw from the study, they are asked by the Project Coordinator if they would like their data to be destroyed or if the data that has been collected to that point can be used in the study. Participants receive a report of their data when they are complete with participation. A formal data monitoring committee has not been created for this intervention however the Project Coordinator provides monthly reports on participant numbers and trial progress to the Principal Investigator. The trial will be stopped when target participant numbers are achieved and/or at the grant deadline of June 2020. The final decision will be made by the Principal Investigator. The research team (including Project Coordinator, Assistants, and Fitness testers) are trained to document and report any adverse events to the Project Coordinator and Principal Investigator. Depending on the nature of the event, action will be taken to ensure safety of all parties involved.

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# Analysis strategy

Missing data will be evaluated for pattern of missingness for each outcome at all time points using the dummy coding procedures of Allison.[94] Depending on the outcome of these tests (e.g., missing at random, missing completely at random, etc.) we will initiate the appropriate missing data handling strategy. ITT analyses will also be performed in addition to sensitivity analysis procedures. The first set of analyses will make preliminary demographic comparisons among adherers to the study versus drop-outs. These analyses will allow us to determine the representativeness of the sample. To determine whether minutes / day of MVPA change over time similarly for all 3 conditions, a Level-1 model will be specified wherein the intercept (i.e., minutes / day of MVPA at baseline) will be allowed to vary randomly (i.e., vary across participants) and the slope for the linear trend will be constrained to be fixed (i.e., the same across participants) controlling for important covariates (i.e., demographics) at Level-2. Additionally, dummy variables will be created for condition (HABIT: 1 = yes or 2 = no; PLANNING: 1 = yes or 2 = no; EDUCATION: 1 = yes or 2 = No) at Level-2 with the HABIT and PLANNING condition variables being added to the model to predict the intercept and slope at Level-1. In doing so, the EDUCATION group is compared against the other two groups to determine if baseline MVPA is similar across conditions and whether the change in MVPA is similar across conditions. Follow-up analyses will be conducted for the HABIT vs. PLANNING condition comparison. The same analytical approach will be used to determine whether there are group differences in the health-related quality of life, and the health-related fitness outcomes and tertiary outcomes of parent physical activity and health-related quality of life. To determine whether the change in the underlying motives explain the potential change in MVPA during the intervention similarly for all 3 groups, a time varying covariate mediation analysis approach will

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be utilized following the procedure outlined by Krull and MacKinnon[95] for Level-1 Mediation. Briefly, the analyses needed to establish mediation will treat the underlying motives as time varying covariates at Level-1 of the model. Then, the dummy coded condition variables will be entered at Level-2 to determine if the mediation relationships are similar across groups. Finally, to determine whether there is a seasonal, intergenerational, or gender difference across the primary and secondary outcomes, each variable will be entered into the various models at Level-2 to predict the intercepts and slopes at Level-1. Doing so will determine if they impact the change in the various outcomes across time.

The end of study process evaluation questions will be analyzed using descriptive statistics. Qualitative data analysis will be overseen by the Principal Investigator but conducted by Research Assistants unconnected with the intervention activities.[96] The responses to open-ended questions will be categorized and coded into common themes.[97]

## Results

At time of submission (August 2019), we have obtained ethical approval, registered the trial, and recruited 123 families. Recruitment is expected to be complete in December 2020. From the 123 families recruited, 22 have completed baseline measures, 19 have completed the six-week measures, 12 have completed the three-month measures, 52 have completed the study, and 18 have dropped out. See Figure 1 for the study procedures and participant flow chart.

#### Discussion

This protocol paper outlines the implementation of a randomized trial employing parent supported physical activity habit formation strategies with their children. The guiding conceptual

model is the M-PAC framework and the overall goal is increased physical activity behaviour in children.

#### **Ethics and Dissemination**

This protocol has been approved by the University of Victoria Human Research Ethics Board (Victoria, Canada). Details on obtaining consent from participants and confidentiality is outlined in the Methods section. No harms are expected as a result of participation in this study however participants are provided with contact information for the Project Coordinator, Principal Investigator, and Human Research Ethics Board in the case they have something to report. Results from this trial will be shared at conferences as presentations and in scientific journals as published manuscripts. Participants who express interest in study results will be made aware of any relevant publications. Public access to the participant level dataset will not be granted. There are no current plans to grant public access to the full protocol or statistical code. All authors who have contributed to the protocol design are eligible for authorship on subsequent publications.

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# Tables

Data Category	Information
Primary registry and trial identifying number	ClinicalTrials.gov # NCT03145688
Date of registration in primary registry	Submitted January 27 2017, version 1 finalized and released May 4 2017
Secondary identifying numbers	Unique Protocol ID: 35941 51350
Source(s) of monetary or material support	Heart and Stroke Foundation of Canada
Primary sponsor	Heart and Stroke Foundation of Canada
Secondary sponsor(s)	n/a
Contact for public queries	<i>EM</i> , MSc. ermedd@uvic.ca, 250-721-8384
Contact for scientific queries	<i>EM</i> , MSc.
	Behavioural Medicine Lab, Victoria, Canada
Public title	Family habit physical activity study
Scientific title	Promoting habit formation in family physical activity
Countries of recruitment	Canada
Health condition(s) or problem(s) studied	Child physical activity
Intervention(s)	Family based education, planning, and habit formation
Key inclusion and exclusion criteria	Ages eligible for study: families with children 6-12 years Accepts healthy volunteers: yes Inclusion criteria: child achieving less than 60 minutes of moderate to vigorous physical activity per day Exclusion criteria: Child achieving more than 60 minutes of moderate to vigorous physical activity per day

Study type	Interventional
	Allocation: randomized
	Intervention model: parallel assignment
	Masking: single blind (participants)
	Primary purpose: evaluate intervention
	designed to improve child physical activity
	through promoting parent support habit
Date of first enrolment	February 2017
Target sample size	240 families
Recruitment status	Recruiting
Primary outcome(s)	Child moderate to vigorous physical activity
Key secondary outcomes	Child fitness, parent support habit

# Table 2

# Description of intervention components and associated behaviour change techniques

Intervention Condition	Resources included in Booklet	Physical activity behaviour change techniques	BCT Taxonomy construct
Education	Canadian 24-hour Movement Guidelines: Included list of	Instruction on how to perform a behaviour	4.1
	benefits of physical activity.	Information about health consequences	5.1
		Salience of consequences	5.2
		Information about social and environmental consequences	5.3
		Information about emotional consequences	5.6
Planning	Goal setting materials	Goal setting (behaviour)	1.1
(Includes Education	Explanation of SMART	Problem solving	1.2
condition resources)	goals, self-monitoring	Action planning	1.4
	Family physical activity	Self-monitoring of behaviour	2.3
	planning worksheets: Included brainstorming worksheets for where to be	Self-monitoring of outcome(s) of behaviour	2.4
	active, new modes for being	Social support (practical)	3.2
	active, how to plan activity,	Social support (emotional)	3.3
	how to incorporate rewards,	Non-specific reward	10.3

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	worksheets. Restructu		Self-incentive Restructure physical environment	10.7 12.1
			Restructure social environment	12.2
-	abit	Habit Building Resources	Prompts/Cues	7.1
and	cludes Education d Planning group	Explanation and examples of habits, introduction to cues	Behavioural practice/rehearsal	8.1
res	sources)	and anchoring, brainstorming and planning worksheets	Habit formation	8.3

Behaviour change techniques are coded as outlined by The Behaviour Change Technique Taxonomy Version 1 [46]

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# **Figure Legend**

Figure 1. Study procedures and participant flow chart. PA = physical activity.

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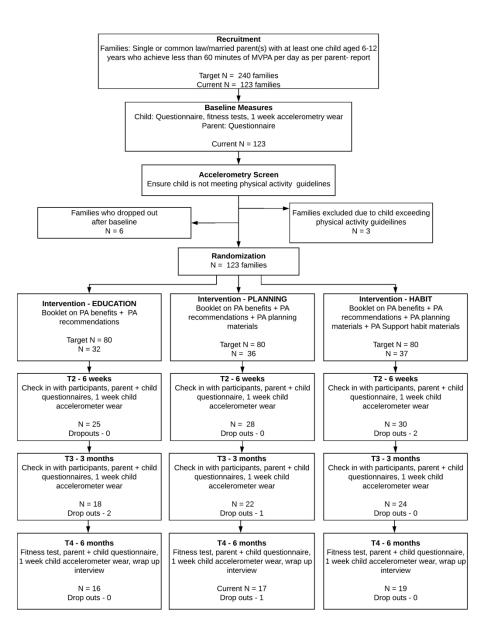


Figure 1. Study procedures and participant flow chart. PA = physical activity.

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# **Appendix 1**









## "Family physical activity study: A randomized controlled trial"

You are being asked to take part in a study titled "A Family Physical Activity Study". We are inviting any parent(s) who currently have at least one child between the ages of 6 and 12 years, to participate in this study. Specifically, we are seeking families in which at least one child is struggling to meet physical activity guidelines. This study has been reviewed by the University of Victoria Ethics Committee and has met the rigorous requirements for ethical approval.

Although regular physical activity is essential for healthy development in children and numerous benefits of an active lifestyle have been reported, less than one third of Canadian children are meeting physical activity guidelines. Furthermore, children who are overweight as youth are twice as likely to remain overweight into adulthood. Child health is influenced by parental health practices; however, many parents have difficulty maintaining a regular physical activity program due to family obligations. As a result, the promotion of regular activity is paramount in alleviating the potential health implications that may arise for the entire family. We hope that you will help us learn more about factors influencing physical activity in families by participating in the study.

### **Purpose of this Project**

In this study, we will be examining whether different strategies help to promote family-based physical activity.

#### What do I have to do to participate?

1) First we ask that you sign this consent form.

2) We will then conduct a **fitness test for your child** at the Behavioural Medicine Lab at the University of Victoria, on a date that is convenient for you.

The tests include blood pressure, body composition (height, weight, girth measurements), a Leger shuttle run test, some strength tests (grip strength, push ups, sit ups, plank test) and a sit & reach flexibility test. This will take approximately 45 minutes. Prior to conducting the fitness test we will administer a questionnaire to ensure that it is safe for your child to undergo fitness testing and partake in physical activity. (Physical Activity Readiness Questionnaire that is administered over the phone).

3) We will ask you to complete a **Baseline online questionnaire (should take approx. 20-30 minutes** of your time) while your child is doing their fitness test (fitness test takes approx. 1 hour).

4) After the fitness test, we will send your child home with an accelerometer which we will ask him or her to wear for one week. We will ask your child to wear an accelerometer at **four times** for **seven consecutive days throughout the study.** We will also provide a logbook to write down some information about when your child put the accelerometer on and took it off, and what activities they were doing during the day. You will get the accelerometer information back at the end of the study.

5) Once the accelerometer has been worn for one week, we will contact you to pick up the accelerometer and accelerometer log book. At this time we will go through some materials to help increase your child's physical activity. You will be randomized to one of three conditions. Each condition is aimed at increasing

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# PARENT SUPPORT HABIT FOR CHILD PHYSICAL ACTIVITY 2

physical activity for your child but the materials differ. At the end of the study you will find out what the other conditions received for materials and have the option to receive these materials as well. The study materials will include information and strategies for how you can help increase the physical activity of your child. **This will take about 30 minutes to work through**. The materials are print copy and outline benefits of physical activity, information about activities, brainstorming about barriers and some other strategies for how to increase physical activity for your child. **We will ask you to keep and refer to these materials over the course of the study**.

6) After six weeks, we will contact you about a second questionnaire that we will email to you and ask your child to wear an accelerometer for another week. We will also provide an information 'booster' session and check in to see how things are going with your child's physical activity.

7) At **3 months' time**, we will ask you to complete **another questionnaire online** and ask your child to **wear an accelerometer for a third time**.

8) After 6 months, we will set up a time for final fitness testing at our lab for your child, ask you to complete the last questionnaire, and ask your child to wear accelerometers for one week. At the end of the last week we will setup a time to pick up the accelerometer and ask you a few wrap up interview questions which should take approximately ten minutes. This will be tape recorded.

In the questionnaires, you will be asked about demographic information (such as ethnicity, employment and education), questions about your physical activity and your child's physical activity, and some questions on quality of life. Your child will also be asked about their activities and a child-adapted quality of life scale.

#### **Inconvenience, Risks, and Benefits**

There are minimal risks associated with the study but it is important for you to be aware that you may be asked about some sensitive topics such as demographic information (ethnicity, employment, health) or about your quality of life, or stress. These questions can make some people uncomfortable and you do not have to answer them if you do not want to. Also your child will be asked about their activities and some questions about their life. If these questions make your child uncomfortable they do not have to answer them. You also may be inconvenienced by time to participate in the study. Each questionnaire takes approximately 20-30 minutes to complete. Your child's fitness test may take around 45 minutes each time to complete. The fitness test can make some kids nervous but our trained fitness testers are very careful and will not force your child to do anything they do not want to. Your child will be shown all the tests and explained in detail what they require. These are standardized tests which are used with kids all across Canada. Any contraindication to exercise or fitness testing would come up through administration of the Physical Activity Readiness Questionnaire. If it is noted that there may be a contraindication to increasing yours or your child's level of activity, then we will require medical screening prior to participation in the study and in the fitness testing.

The potential benefits of your participation in this research include increasing your child's level of physical activity participation, which comes with associated health benefits. Additionally you and your child will earn an honorarium after every assessment point increasing by \$5 at each time point (i.e. families will receive \$25 after the baseline assessment, \$30 after the 6-week assessment, \$35 after the 3 month assessment and \$40 after the 6 month assessment). If you withdraw from the study you will be paid up to your completed measure (i.e. if you complete six weeks and then withdraw you will receive \$30). You will also get all of your child's fitness testing and accelerometer information back at the end of the study. Furthermore, you will be providing much needed information on the current health behaviours of parents and their families and the barriers which prevent regular family physical activity involvement. This information will be very helpful to us in designing intervention programs catered to families. As well, if

requested, you can obtain feedback of the results of this study. The results of the study will be presented at scholarly meetings and published as an article in an academic journal.

# Anonymity and Confidentiality

The information from the questionnaires, accelerometers, fitness tests, and interviews will be anonymous during data analysis and publication of study results. All data will be published as group data, and any data kept separate will be identified by ID-number (no name). We will need your contact information in order to provide you with materials and collect materials. However, we can assure you that your confidentiality will be completely protected and only the research team will have access to your contact information. In terms of protecting the confidentiality of your data, the data file and completed questionnaires will be kept in a locked and secure environment on the University of Victoria campus at all times. Only the investigators will have access to the data. The original questionnaires will be shredded after 5 years.

# Do I have to participate?

No, your participation in this study is completely voluntary and you have the right to withdraw at any time without consequence. As well, if you choose to withdraw before the six-month follow up, it is up to you whether or not we use that data that we will have collected from you up until that point. It is only through voluntary participation in research projects that we increase our knowledge about issues that are important to health. If one family member chooses to withdraw, the rest of the family can complete the study. If your child decides they do not want to participate, you both may withdraw with no questions asked. If there is only one child participating and they wish to withdraw, the family will be removed from the study. If there are two children within the ages of 6-12 and one child wishes to withdraw but the other would like to remain, the family may continue on. If there is only one parent and they wish to withdraw they will be removed from the study. If there are two parents and only one wishes to withdraw the other family members can continue on. The participant who withdraws will be asked if their data can still be used in the study.

If you have any questions or concern about this study please do not hesitate to contact either Sandy Courtnall (Research Coordinator) or Dr. Ryan Rhodes (Primary Investigator). In addition, you may verify the ethical approval of this study, or raise any concerns you might have, by contacting the Human Research Ethics Office at the University of Victoria (250-472-4545 or ethics@uvic.ca).

# Other co-investigators of the study:

Dr. Mark Beauchamp, Dr. Chris Blanchard, Dr. Valerie Carson, Dr. Benjamin Gardner, Dr. Darren Warburton

Your signature below indicates that you understand the above conditions of participation in this study, and that you have had the opportunity to have your questions answered by the researchers. Your signature indicates that you consent to both yourself participating in the study and your child to participate in the study.

Name of Participant

Signature

Date

\*\*Please sign one copy for the researchers and sign and keep one copy for your records\*\*Ryan E. Rhodes, Ph.D., ProfessorSandy Courtnall, Project Coordinator(250) 721-8384(250) 472-5288rhodes@uvic.cascourtna@uvic.ca

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# SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents\*

Section/item	ltem No	Description	Addressed o page number
Administrative inf	ormation		
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
rial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	1
	2b	All items from the World Health Organization Trial Registration Data Set	_37 (table 1)_
Protocol version	3	Date and version identifier	2
unding	4	Sources and types of financial, material, and other support	1
Roles and	5a	Names, affiliations, and roles of protocol contributors	1
esponsibilities	5b	Name and contact information for the trial sponsor	1
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	1
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	n/a
		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	

1 2	Introduction				
3 4 5	Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant _ studies (published and unpublished) examining benefits and harms for each intervention	4-6	
6 7		6b	Explanation for choice of comparators	5-7	
8 9	Objectives	7	Specific objectives or hypotheses	7-8	
10 11 12 13	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	8	
14 15	Methods: Participa	nts, inte	erventions, and outcomes		
16 17 18	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will _ be collected. Reference to where list of study sites can be obtained	9-11	
19 20 21	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and	9-10	
22 23 24 25	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be _ administered	12-17	
25 26 27 28		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose _ change in response to harms, participant request, or improving/worsening disease)	17	
29 30 31		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence _ (eg, drug tablet return, laboratory tests)	12	
32 33		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	11	
34 35 36 37 38	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, _ median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	17-20	
39 40 41 42	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for _ participants. A schematic diagram is highly recommended (see Figure)	Figure 1	
43 44 45			For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml		2

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1 2	Sample size	14 Estimated number of participants needed to achieve study objectives and how it was determined, including		22	
3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	10-11	_
	Methods: Assignm	ent of i	nterventions (for controlled trials)		
	Allocation:				
	Sequence generation			8	
	concealment			8	
	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to	8, 10-12	
23 24 25 26	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	8	
26 27 28 29		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's _ allocated intervention during the trial	8	
30 31	Methods: Data coll	lection,	management, and analysis		
32 33 34 35 36 37 38 39 40 41 42 43 44 45	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	17-22	
		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	12-13	
			For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml		3

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1 2 3 4	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality _ (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	22-24				
5 6 7	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the _ statistical analysis plan can be found, if not in the protocol	22-24				
8 9		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	22-24				
10 11 12 13		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	22-24				
14 15	Methods: Monitoring							
16 17 18 19 20 21	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	23				
22 23 24		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim _ results and make the final decision to terminate the trial	23				
25 26 27	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	23				
28 29 30	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent _	23				
31 32 33 34 35 36	Ethics and dissemination							
	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	99				
37 38 39 40 41	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	9				
42 43 44 45			For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	4				

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Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and	11
	26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary _ studies, if applicable	n/a
Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained _ in order to protect confidentiality before, during, and after the trial	23
Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site _	1
Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that	23
Ancillary and post- trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial _ participation	25
Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals,	25-26
	31b	Authorship eligibility guidelines and any intended use of professional writers	26
Appendices	31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code _	25-26
Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	40-42
Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	n/a
*It is strongly recomm Amendments to the p	orotoco	that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarificati I should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Con -NoDerivs 3.0 Unported" license.	
		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml	