

Sustainable synthesis of *p*-hydroxycinnamic diacids through proline-mediated Knoevenagel condensation in ethanol: an access to potent phenolic UV filters and radical scavengers.

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General

All reagents were purchased from Sigma–Aldrich, TCI, Merck or VWR and used as received. Solvents were purchased from Thermo Fisher Scientific and VWR. Deuterated dimethylsulfoxide (DMSO-*d*6 <0.02% H_2O) was purchased from Euriso-top. Evaporations were conducted under reduced pressure at temperature below 40 °C. Column chromatography was carried out with an automated flash chromatography (PuriFlash 4100, Interchim) and pre-packed INTERCHIM PF-30C18HP-HP-F0080 (30 μm silica gel) columns. UV/visible absorption spectra of diacids in ethanol were recorded using a UV/visible spectrometer Cary 60 Agilent Technologies at a concentration of 10 μM . For the photostability study, samples (10 μM , EtOH) were irradiated during one hour into a Rayonet[®] RPR-200 ($\lambda = 300 \text{ nm}$, $P = 8.32 \text{ W/m}^2$, stirring, $T = 35 \text{ }^\circ\text{C}$) using 14 RPR-3000A lamps (SNE Ultraviolet Co Branford Ct USA RPR-3000A). Then, UV spectra were recorded and the absorbance loss were calculated in percentage at the λ_{max} . NMR analyses were recorded on a Bruker Fourier 300. ^1H NMR spectra of samples were recorded in DMSO (residual peak at $\delta = 2.50 \text{ ppm}$) or $(\text{CD}_3)_2\text{CO}$ (residual signal at $\delta = 2.05 \text{ ppm}$) at 300 MHz, chemical shifts were reported in parts per million relatives to the solvent residual peak. ^{13}C NMR spectra of samples were recorded at 75 MHz in DMSO (residual signal at $\delta = 39.52 \text{ ppm}$) or $(\text{CD}_3)_2\text{CO}$ (residual peak at $\delta = 206.26$ and 29.84 ppm). ^1H NMR crude calculation conversion was recorded by integration of diacids' aromatic signal. Melting points were recorded on a Metler Toledo MP50 Melting Points system, $T_{\text{initial}} = 40 \text{ }^\circ\text{C}$, heating 3 °C / minute until 200 °C with ME-18552 sample tubes. Mass spectrometry analysis were recorded on an Agilent Technologies 6545 Q-TOF LC/MS. The determination of the diacids' antiradical activities were determined *via* 2,2-diphenyl-1-picrylhydrazyl (DPPH) assay. These tests involve adding potential antiradical molecule solution in ethanol at different concentration to homogeneous DPPH solution. The amount needed to reduce the initial number of DPPH free radicals by half, i.e. EC_{50} , is provided by the crossing point of % DPPH (blue) and % reduced DPPH (green)

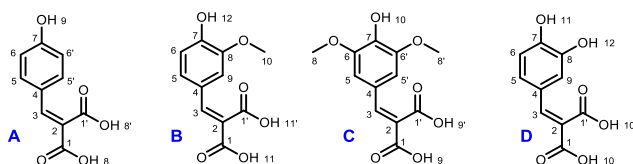


Figure S1. Structures and numeration of coumaric diacid (A), ferulic diacid (B), sinapic diacid (C) and caffeic diacid (D).

Ferulic diacid

Synthesis: Vanillin (225 mg, 1.5 mmol), malonic acid (153.9 mg, 1.5 mmol, 1 eq), proline (17.1 mg, 0.15 mmol, 0.1 eq) and ethanol (3 mL, 0.5 M) were stirred at 60 °C for 4 hours. The reaction mixture was then evaporated under vacuum. Conversion yields were determined by proton NMR. Crude mixture was then purified by column chromatography on C-18 reverse silica gel using appropriate eluent system (H₂O:methanol 80:20). 282 mg of a yellow/white powder was recovered (80%).

¹H NMR (300 MHz, 25°C, (CD₃)₂CO): δ (ppm) = 7.62 (1H, s, H-3), 7.35 (1H, d, *J* = 2.0 Hz, H-9), 7.21 (1H, dd, *J* = 2.0 and 8.3 Hz, H-5), 6.89 (1H, d, *J* = 8.3 Hz, H-6), 3.86 (3H, s, H-10).

¹³C NMR (75 MHz, 25°C, (CD₃)₂CO): δ (ppm) = 168.5 and 165.9 (C-1 and C-1'), 150.1 (C-7), 148.3 (C-8), 142.1 (C-3), 125.7 (C-4), 125.5 (C-2), 124.4 (C-5), 116.0 (C-6), 113.6 (C-9), 56.1 (C-10).

Melting point: 190-192 ± 0.1 °C.

TOF MS ES+: [M+H]⁺ for C₁₁H₁₁O₆: *m/z* 239.0556; found: *m/z* 239.0555.

UV: λ max = 328 nm.

DPPH: EC₅₀ = 20.72 nmol.

Coumaric diacid

Synthesis: 4-hydroxybenzaldehyde (181.4 mg, 1.5 mmol), malonic acid (153.9 mg, 1.5 mmol, 1 eq), proline (17.1 mg, 0.15 mmol, 0.1 eq) and ethanol (3 mL, 0.5 M) were stirred at 60 °C for 4 hours. The reaction mixture was then evaporated under vacuum. Conversion yields were determined by proton NMR. Crude mixture was then purified by column chromatography on C-18 reverse silica gel using appropriate eluent system (H₂O:methanol 80:20). 219.3 mg of a yellow/white powder was recovered (71%).

¹H NMR (300 MHz, 25°C, (CD₃)₂CO): δ (ppm) = 7.62 (1H, s, H-3), 7.58 (2H, d, *J* = 8.6 Hz, H-5 and H-5'), 6.91 (2H, d, *J* = 8.7 Hz, H-6 and H-6').

¹³C NMR (75 MHz, 25°C, (CD₃)₂CO): δ (ppm) = 168.5 and 165.9 (C-1 and C-1'), 160.8 (C-7), 141.7 (C-3), 132.9 (C-5 and C-5'), 125.4 (C-4), 124.4 (C-2), 116.7 (C-6 and C-6').

Melting point: 183-186 ± 0.1 °C.

TOF MS ES+: [M+H]⁺ for C₁₀H₉O₅: *m/z* 209.0450; found: *m/z* 209.0450.

UV: λ max = 314 nm.

DPPH: EC₅₀ = no EC₅₀ was obtained at studied concentrations.

Sinapic diacid

Synthesis: Syringaldehyde (270.5 mg, 1.5 mmol), malonic acid (153.9 mg, 1.5 mmol, 1 eq), proline (17.1 mg, 0.15 mmol, 0.1 eq) and ethanol (3 mL, 0.5 M) were stirred at 60 °C for 4 hours. The reaction mixture was then evaporated under vacuum. Conversion yields were determined by proton NMR. Crude mixture was then purified by column chromatography on C-18 reverse silica gel using appropriate eluent system (H₂O:methanol 80:20 to 50:50). 269.5 mg of a yellow/white powder was recovered (68%).

¹H NMR (300 MHz, 25°C, (CD₃)₂CO): δ (ppm) = 7.99 (1H, s, H-10), 7.61 (1H, s, H-3), 7.06 (2H, s, H-5 and H-5'), 3.84 (6H, s, H-8 and H-8').

¹³C NMR (75 MHz, 25°C, (CD₃)₂CO): δ (ppm) = 168.9 and 165.8 (C-1 and C-1'), 148.8 (C-6 and C-6'), 142.3 (C-3), 139.8 (C-7), 124.9 (C-4), 124.5 (C-2), 108.7 (C-5 and C-5'), 56.1 (C-8 and C-8').

Melting point: 172-175 ± 0.1 °C.

TOF MS ES+: [M+H]⁺ for C₁₂H₁₃O₇: *m/z* 269.0661; found: *m/z* 269.0661.

UV: λ max = 330 nm.

DPPH: EC₅₀ = 3.86 nmol.

Caffeic diacid

Synthesis: 3,4-dihydroxybenzaldehyde (270.5 mg, 1.5 mmol), malonic acid (153.9 mg, 1.5 mmol, 1 eq), proline (17.1 mg, 0.15 mmol, 0.1 eq) and ethanol (3 mL, 0.5 M) were stirred at 60 °C for 4 hours. The reaction mixture was then evaporated under vacuum. Conversion yields were determined by proton NMR. Crude mixture was then purified by column chromatography on C-18 reverse silica gel using appropriate eluent system (H₂O:methanol 90:10). 197.7 mg of a yellow/white powder was recovered (60%).

¹H NMR (300 MHz, 25°C, (CD₃)₂CO): δ (ppm) = 7.54 (1H, s, H-3), 7.24 (1H, d, *J* = 2.2 Hz, H-9), 7.08 (1H, dd, *J* = 2.2 and 8.3 Hz, H-5), 6.89 (1H, d, *J* = 8.3 Hz, H-6).

¹³C NMR (75 MHz, 25°C, (CD₃)₂CO): δ (ppm) = 168.4 and 165.9 (C-1 and C-1'), 149.0 (C-7), 146.1 (C-8), 141.8 (C-3), 125.9 (C-4), 124.7 (C-2), 124.4 (C-5), 116.9 (C-9), 116.3 (C-6).

Melting point: 162-164 ± 0.1 °C.

TOF MS ES+: [M+H]⁺ for C₁₀H₉O₆: *m/z* 225.0399; found: *m/z* 225.0399.

UV: λ max = 340 nm.

DPPH: EC₅₀ = 2.96 nmol.

N°	Eq Proline	Eq Malonic Acid	Temperature (°C)	Time (h)	m Proline (mg)	m Malonic Acid (mg)	m Vanillin (mg)	V Ethanol (mL)	Vanillin (%) ^[a]	Diacid (%) ^[a]	Acid (%) ^[a]	Other (%) ^[a]	Total (%)
1	0.1	1	20	4	5.7	51.3	75	1	59	34	2	5	100
2	0.1	4	20	4	5.7	205.2	75	1	55	40	3	2	100
3	1	4	20	4	56.8	205.2	75	1	6	83	3	8	100
4	0.1	1	60	4	5.7	51.3	75	1	19	78	1	2	100
5	0.1	4	60	4	5.7	205.2	75	1	20	71	1	9	100
6	1	1	33.3	4	56.8	5103	75	1	11	78	2	10	100
7	1	2	60	4	56.8	102.6	75	1	5	63	13	18	100
8	0.7	4	60	4	39.7	205.2	75	1	4	90	3	2	100
9	0.6	1	40	4	31.2	51.3	75	1	16	66	6	12	100
10	1	1	20	8	56.8	51.3	75	1	11	61	14	14	100
11	1	4	46.7	8	56.8	205.2	75	1	3	75	12	10	100
12	0.7	1	60	8	39.7	51.3	75	1	13	57	19	12	100
13	0.4	4	20	8	22.7	205.2	75	1	6	82	0	11	100
14	0.1	2.5	40	8	5.7	128.2	75	1	11	79	0	10	100
15	0.1	1	20	16	5.7	51.3	75	1	39	39	5	17	100
16	0.1	4	20	16	5.7	205.2	75	1	53	40	2	5	100
17	0.1	1	60	16	5.7	51.3	75	1	28	60	2	9	100
18	1	1	60	16	56.8	51.3	75	1	15	30	38	17	100
19	0.1	4	60	16	5.7	205.2	75	1	17	68	4	11	100
20	1	4	60	16	56.8	205.2	75	1	11	20	25	45	100
21	1	4	33.3	16	56.8	205.2	75	1	2	89	2	7	100
22	1	2	20	16	56.8	102.6	75	1	4	80	2	14	100
23	1	3	20	16	56.8	153.9	75	1	4	81	3	13	100
24	0.6	1	40	16	31.2	51.3	75	1	19	60	4	17	100
25	0.6	2.5	60	16	31.2	128.2	75	1	10	41	17	32	100
26	0.6	2.5	40	8	31.2	128.2	75	1	1	91	3	5	100
27	0.6	2.5	40	8	31.2	128.2	75	1	2	83	3	11	100
28	0.6	2.5	40	8	31.2	128.2	75	1	2	83	4	11	100
29	0.1	1	60	4	5.7	51.3	75	1	15	77	1	7	100
30	0.1	1	60	5	5.7	51.3	75	1	20	73	1	6	100
31	0.1	1	60	6	5.7	51.3	75	1	18	72	1	9	100
32	0.1	1	60	4	17.1	153.9	225	3	19	75	1	4	100
33	0.1	1	60	4	17.1	153.9	225	3	17	80	1	2	100
34 ^[b]	1.1	3	40	16	187.3	461.7	225	3	25	68	7	0	100
35	0.1	1	60	2	17.1	153.9	225	3	41	55	3	1	100
36	0.1	1	60	3	17.1	153.9	225	3	36	60	3	1	100

[a] percentage of conversions were determined from the ¹H NMR spectrum of the crude reaction mixture

[b]: according to Peyrot *et al.*¹²

Table S1. DOE experiments.

Benzaldehyde	Eq Proline	Eq Malonic	Temperature (°C)	Time (h)	m Proline (mg)	m Malonic Acid (mg)	m Aldehyde (mg)	V Ethanol (mL)	Aldehyde (%) [a]	Diacid (%) [a]	Acid (%) [a]	Other (%) [a]	Total (%)	Isolated Yield (%)
Vanilline	0.1	1	60	4	17.1	153.9	225.0	3	17	80	1	2	100	80
4-OH	0.1	1	60	4	17.1	153.9	181.4	3	25	71	3	1	100	71
3,4-diOH	0.1	1	60	4	17.1	153.9	205.1	3	30	61	3	6	100	60
Syngaldehyde	0.1	1	60	4	17.1	153.9	270.5	3	16	70	12	2	100	68

[a] percentage of conversions were determined from the ¹H NMR spectrum of the crude reaction mixture

Table S2. Application of the optimal conditions designed by the DOE to the *p*-hydroxybenzaldehydes.

Entry 33				
	n (mol)	Yields (%)	M (g.mol ⁻¹)	m (g)
Ferulic diacid	1.00E-02	80.06	238.195	2.382
reactants				
	eq	n (mol)	M (g.mol ⁻¹)	m (g)
Vanilin	1	1.249E-02	152.149	1.900
Proline	0.1	1.249E-03	115.1305	0.144
Malonic acid	1	1.249E-02	104.0615	1.300
Ethanol				
	C (mol.L ⁻¹)	v (mL)	ρ (g.mL ⁻¹)	m (g)
	0.5	25	0.789	19.711
m reactants (g) m ferulic diacid (g) PMI score				
23.055 2.382 9.7				
Without ethanol:				
m reactants (g) m ferulic diacid (g) PMI score				
3.344 2.382 1.4				

Entry 34 (Peyrot <i>et al.</i> ¹²)				
	n (mol)	Yields (%)	M (g.mol ⁻¹)	m (g)
Ferulic diacid	1.00E-02	67.99	238.195	2.382
reactants				
	eq	n (mol)	M (g.mol ⁻¹)	m (g)
Vanilin	1	1.471E-02	152.149	2.238
Proline	1.1	1.618E-02	115.1305	1.863
Malonic acid	3	4.412E-02	104.0615	4.591
Ethanol				
	C (mol.L ⁻¹)	v (mL)	ρ (g.mL ⁻¹)	m (g)
	0.5	29	0.789	23.209
m reactants (g) m ferulic diacid (g) PMI score				
31.900 2.382 13.4				
Without ethanol:				
m reactants (g) m ferulic diacid (g) PMI score				
8.692 2.382 3.6				

Table S3. PMI score calculation between our procedure (Entry 33, Table S1) and Peyrot *et al.*¹² conditions (Entry 34, Table S1).

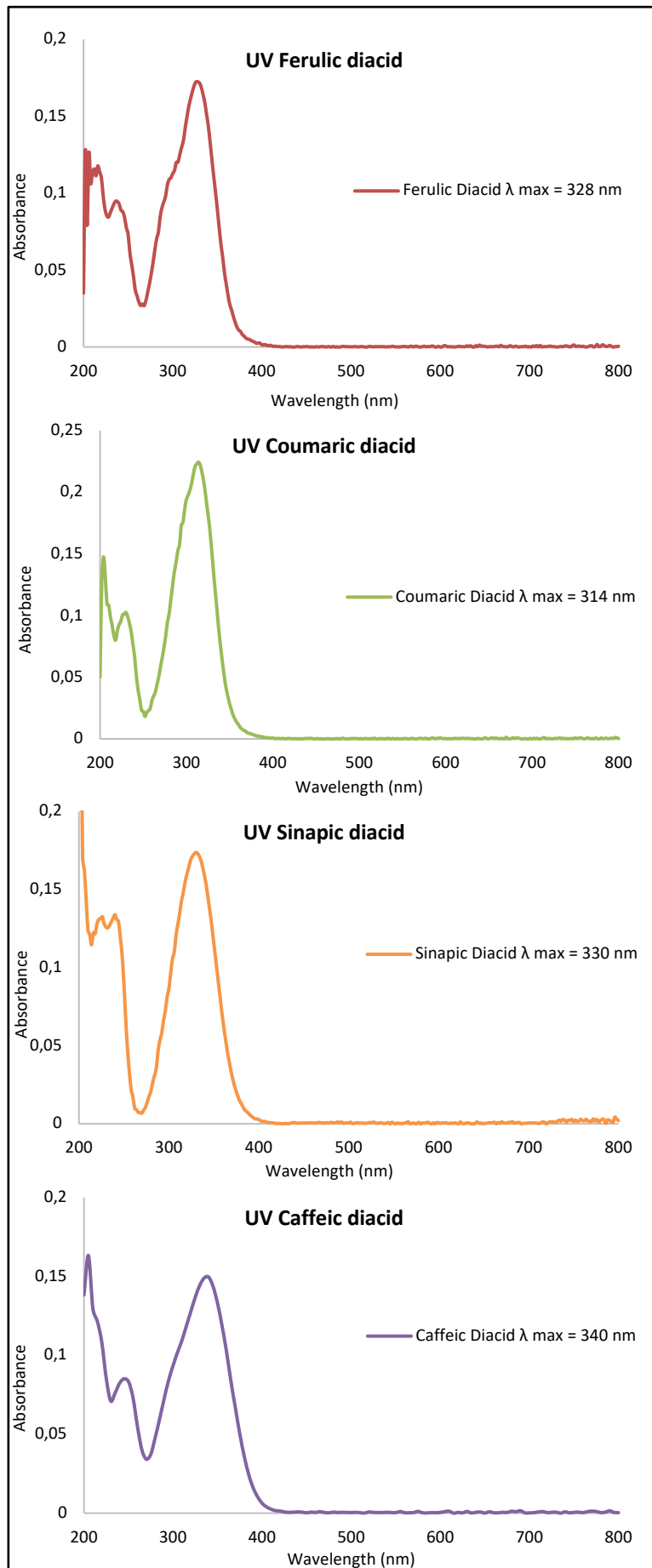
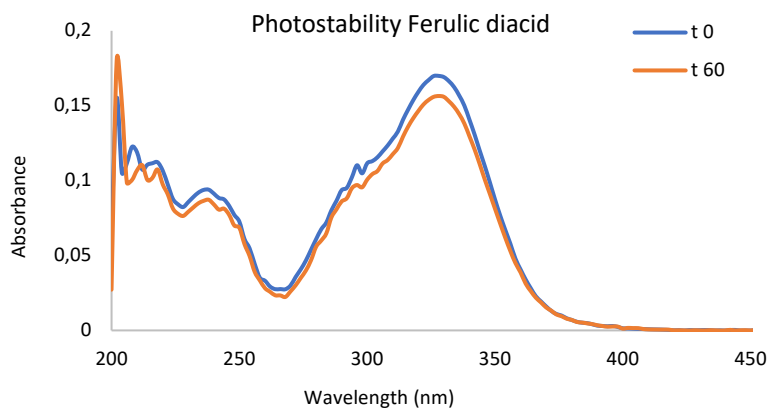
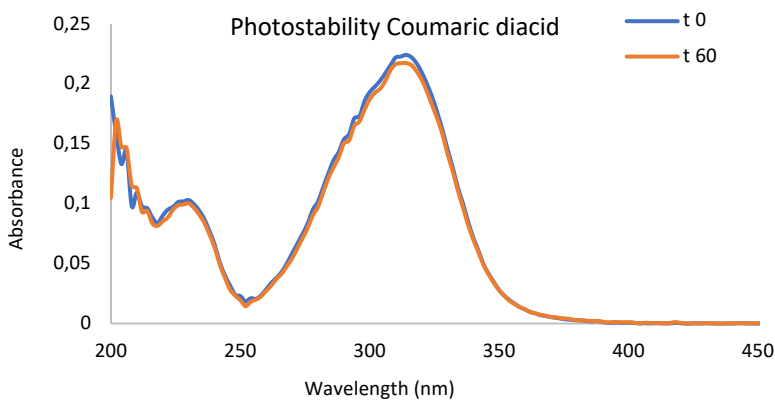


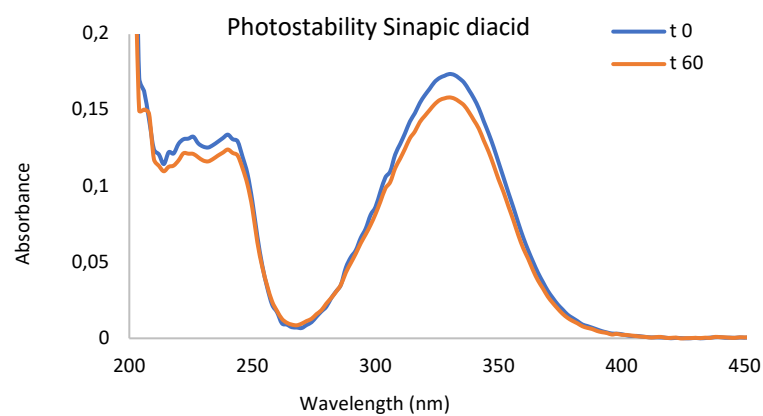
Figure S2: UV spectra of diacids.



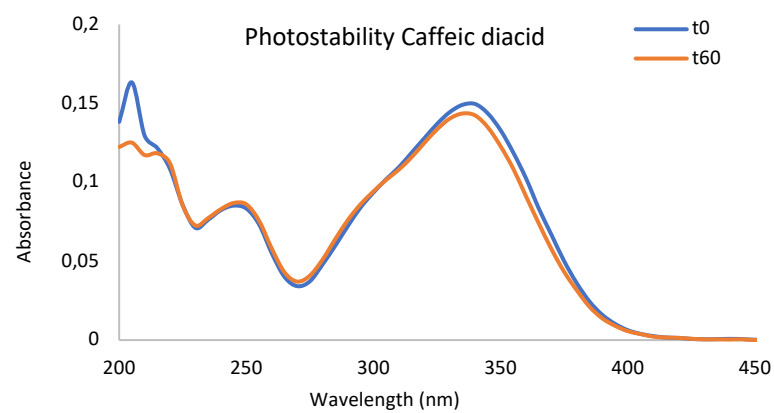
	t 0	t 60
λ max (nm)	328	328
A max	0.170	0.156
Absorbance loss (%)		7.9



	t 0	t 60
λ max (nm)	314	314
A max	0.224	0.217
Absorbance loss (%)		3.0



	t 0	t 60
λ max (nm)	330	330
A max	0.174	0.158
Absorbance loss (%)		8.9



	t 0	t 60
λ max (nm)	340	340
A max	0.150	0.142
Absorbance loss (%)		4.9

Figure S3: Photostability of diacids.

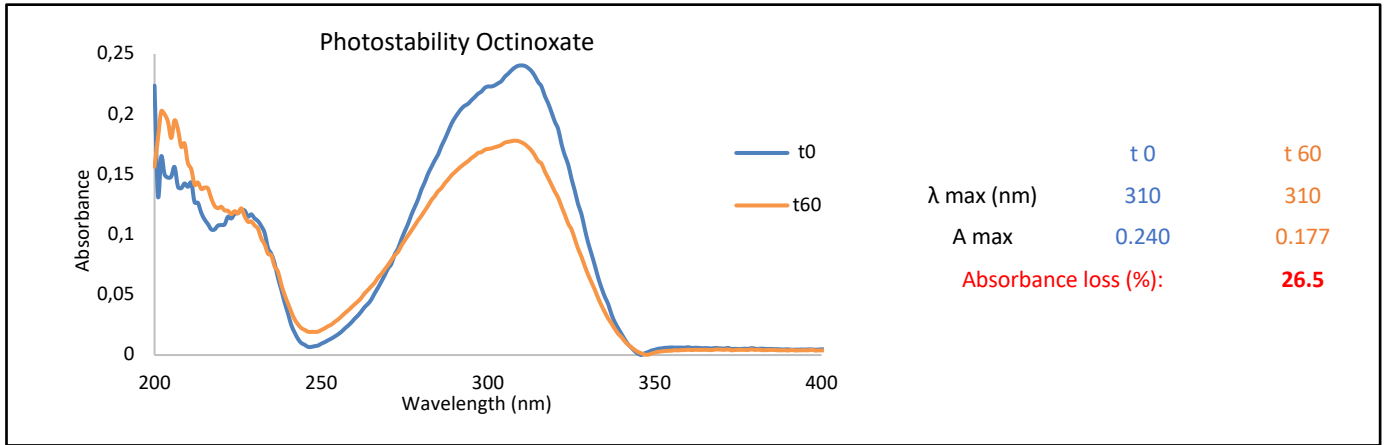


Figure S4: Photostability of Octinoxate.

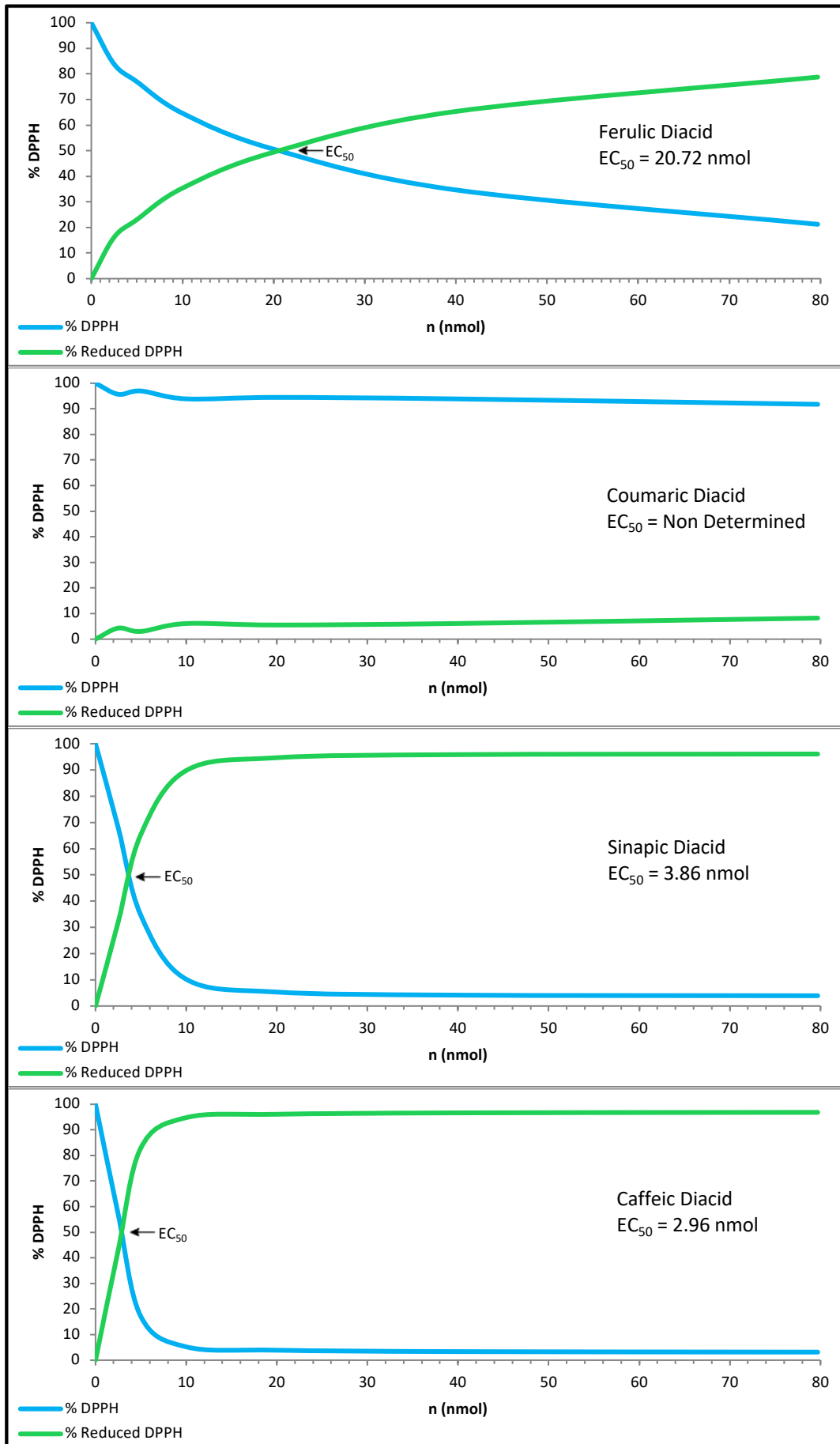


Figure S5: Determination of the antiradical activity of diacids.

NMR analysis

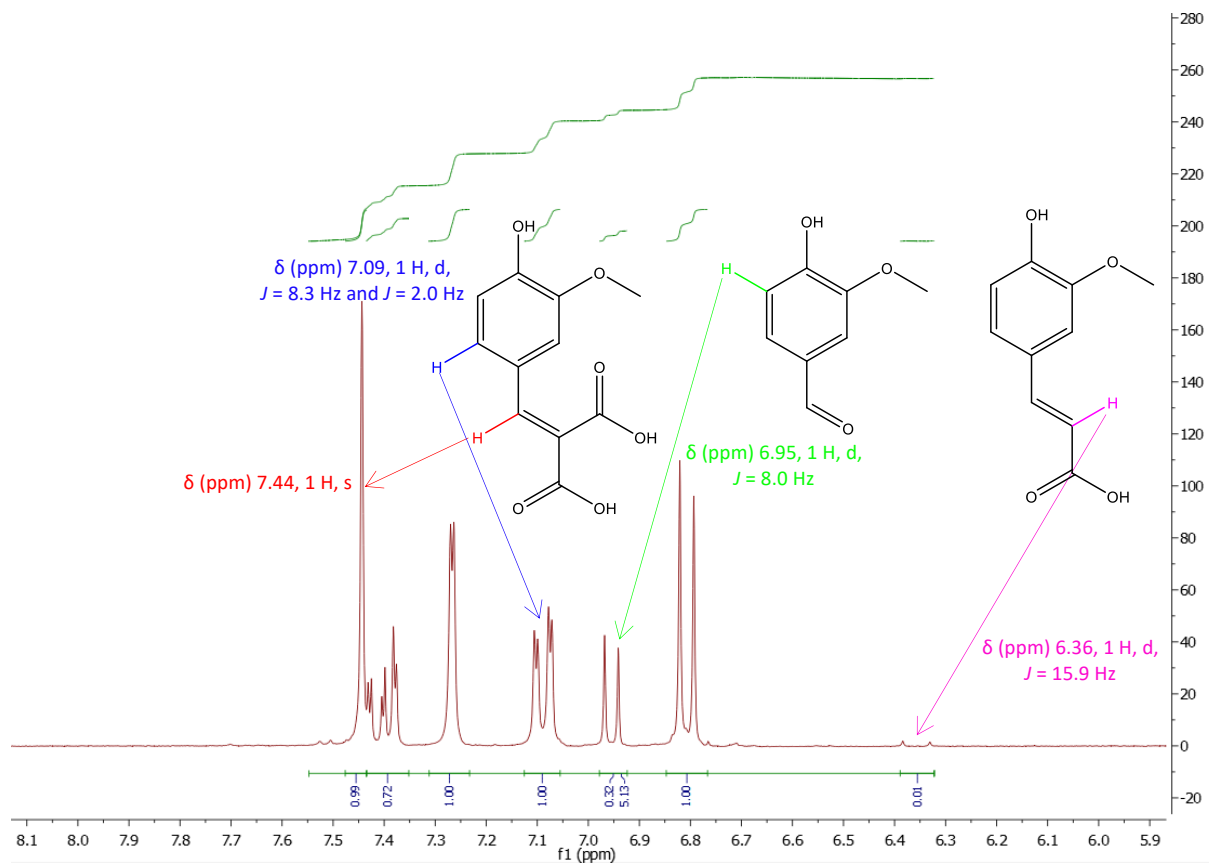


Figure S6: ^1H spectrum of aromatic zone for ferulic diacid conversion

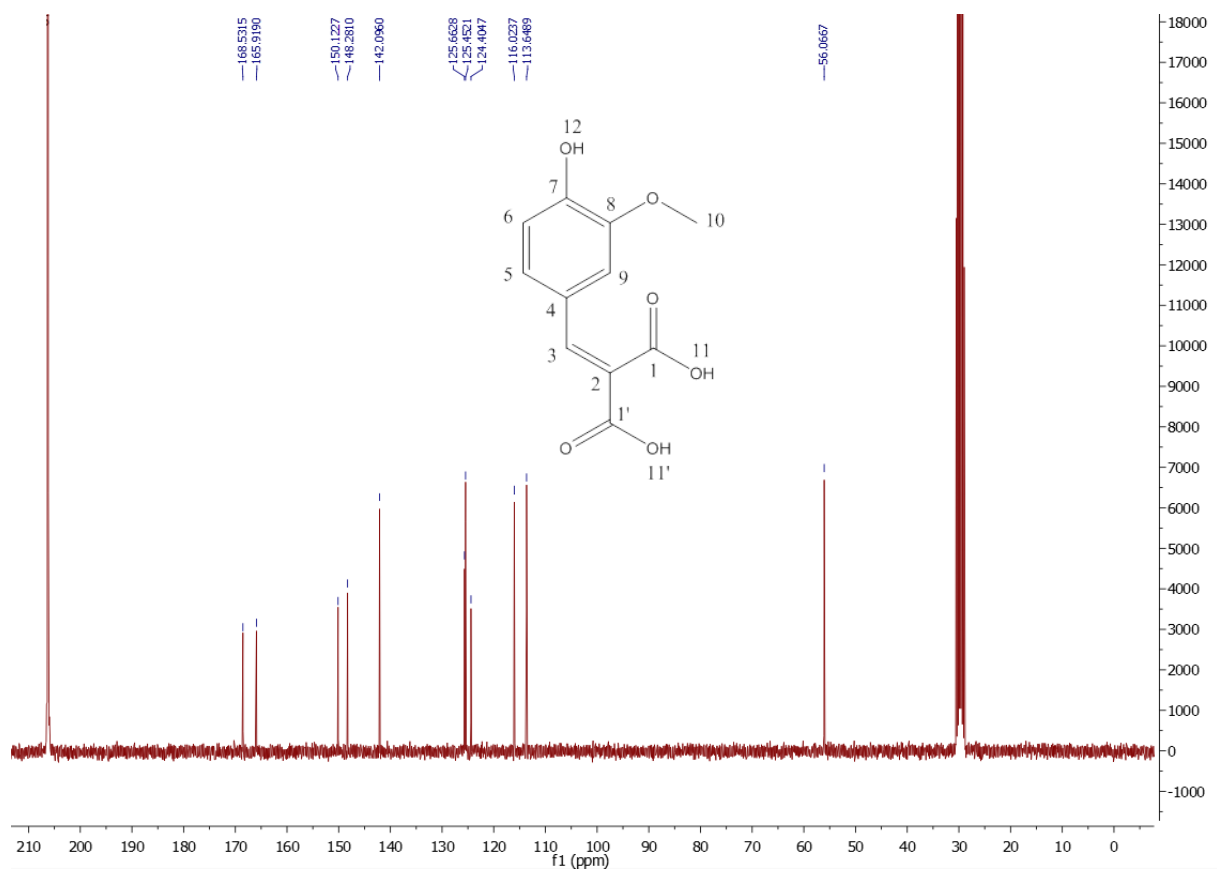
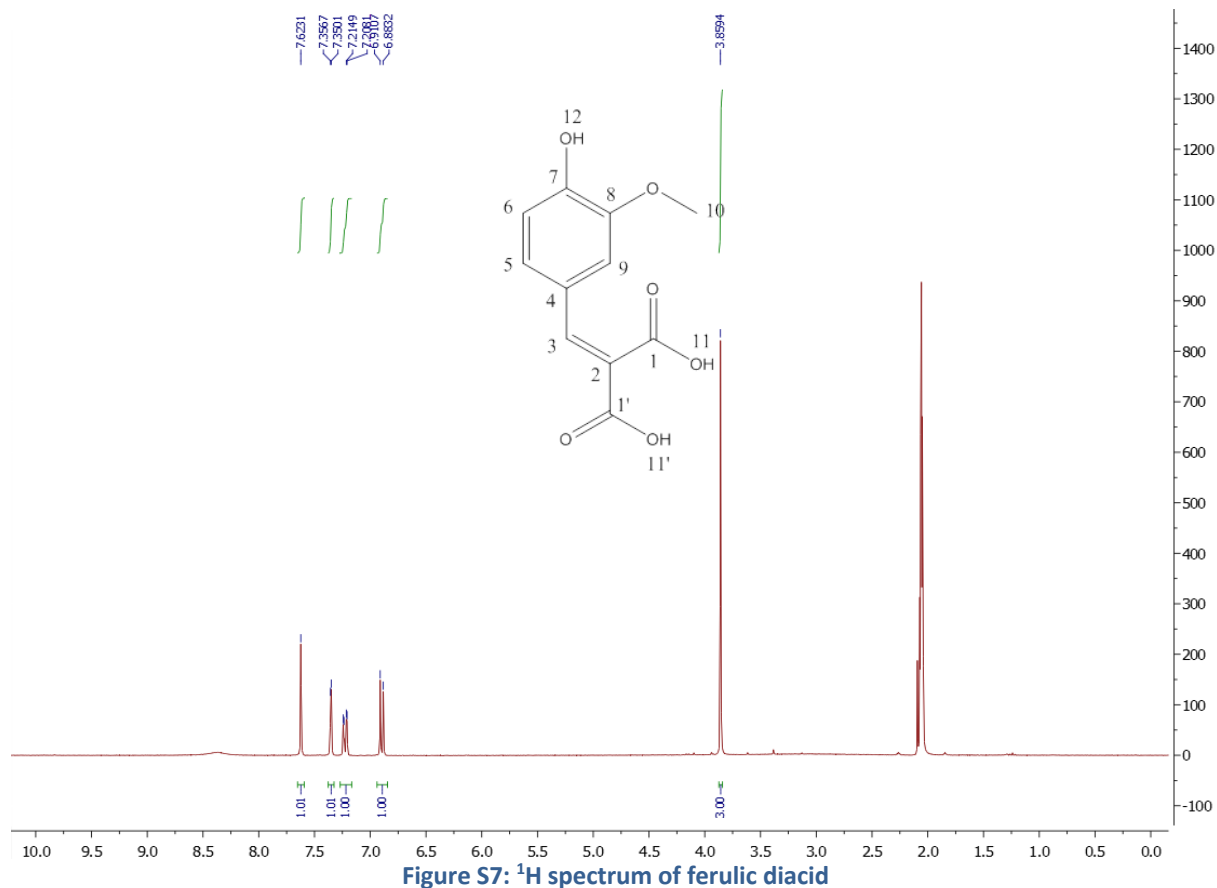
Total diacid aromatic protons: vanillin 3, diacid 4, monoacid 5.

Total spectra aromatic protons = 5.13

Vanillin still presents: $(0.32 \times 3) / 5.13 = 19\%$

Ferulic diacid conversion: $(1 \times 4) / 5.13 = 78\%$

Ferulic acid conversion: $(0.01 \times 5) / 5.13 = 1\%$



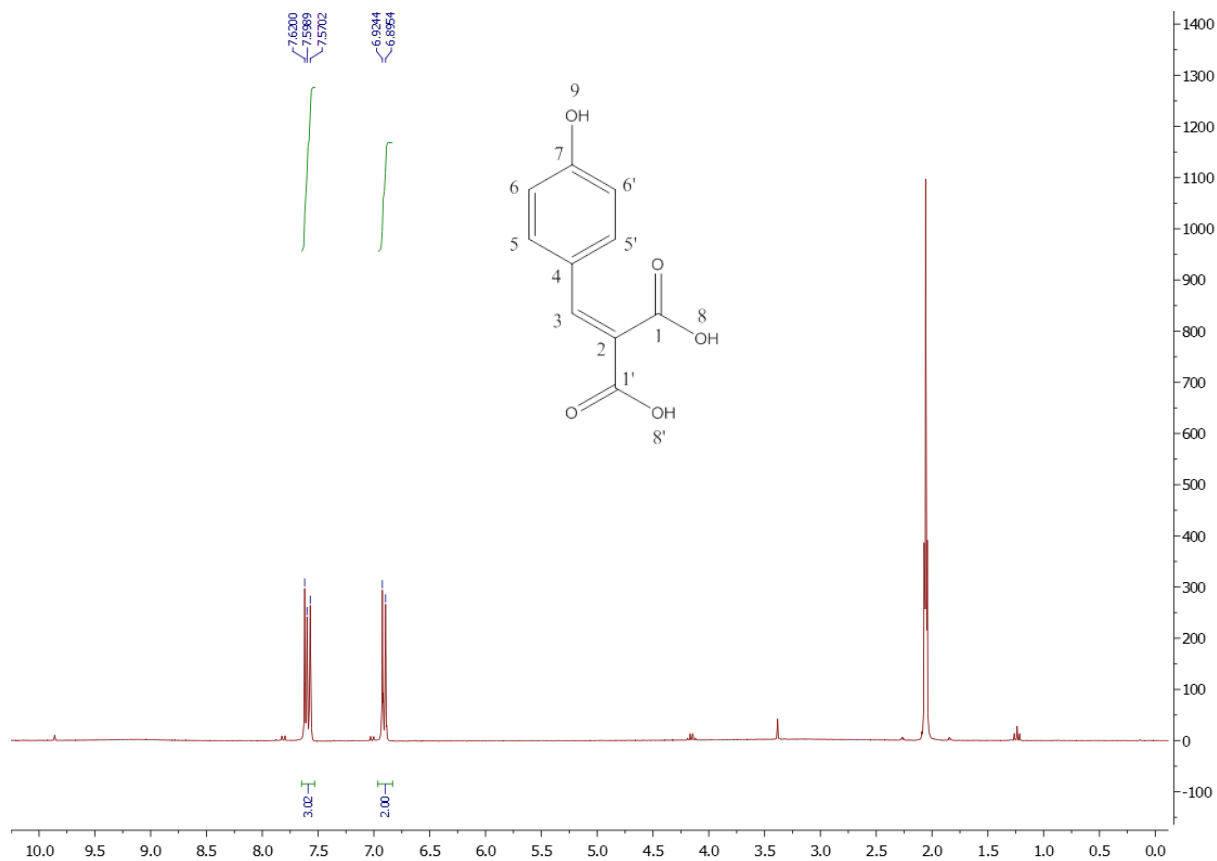


Figure S9: ^1H spectrum of coumaric diacid

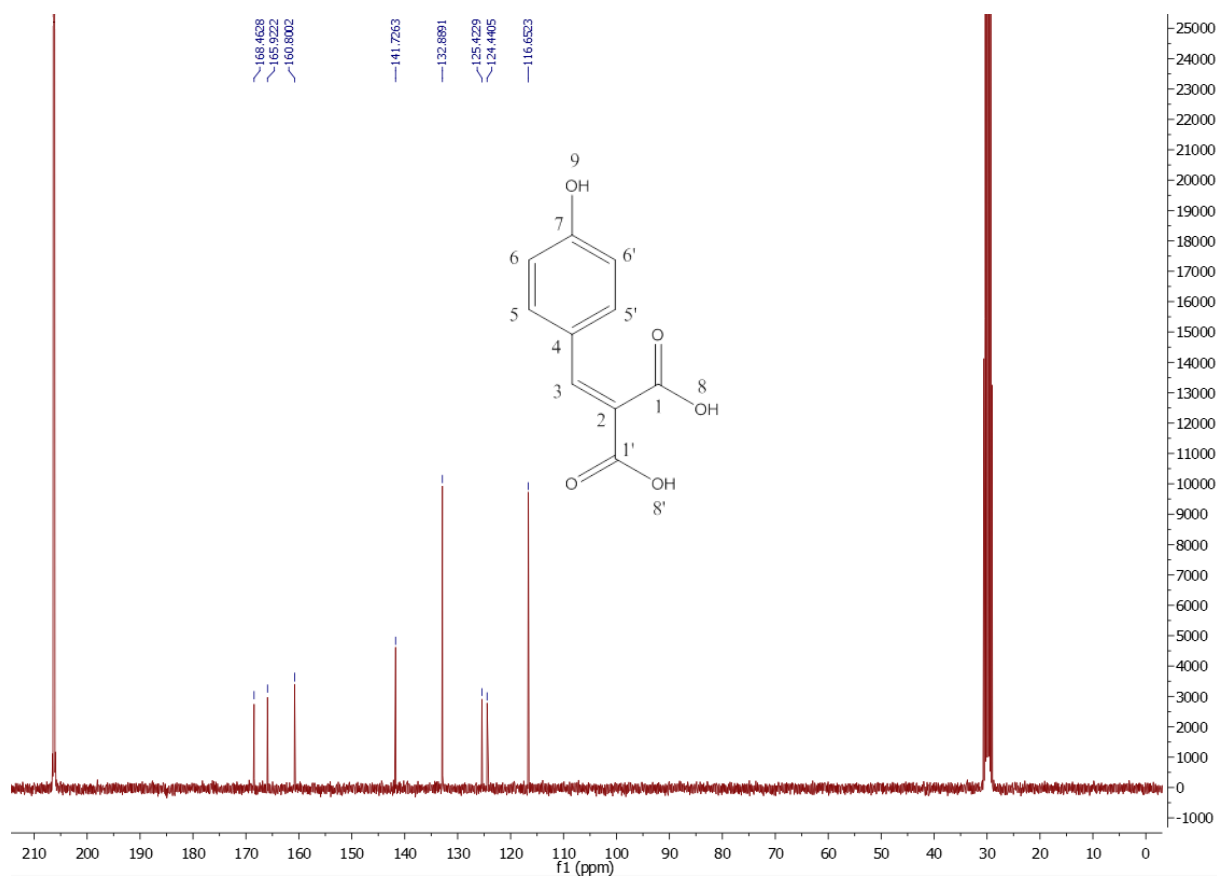


Figure S10: ^{13}C spectrum of coumaric diacid

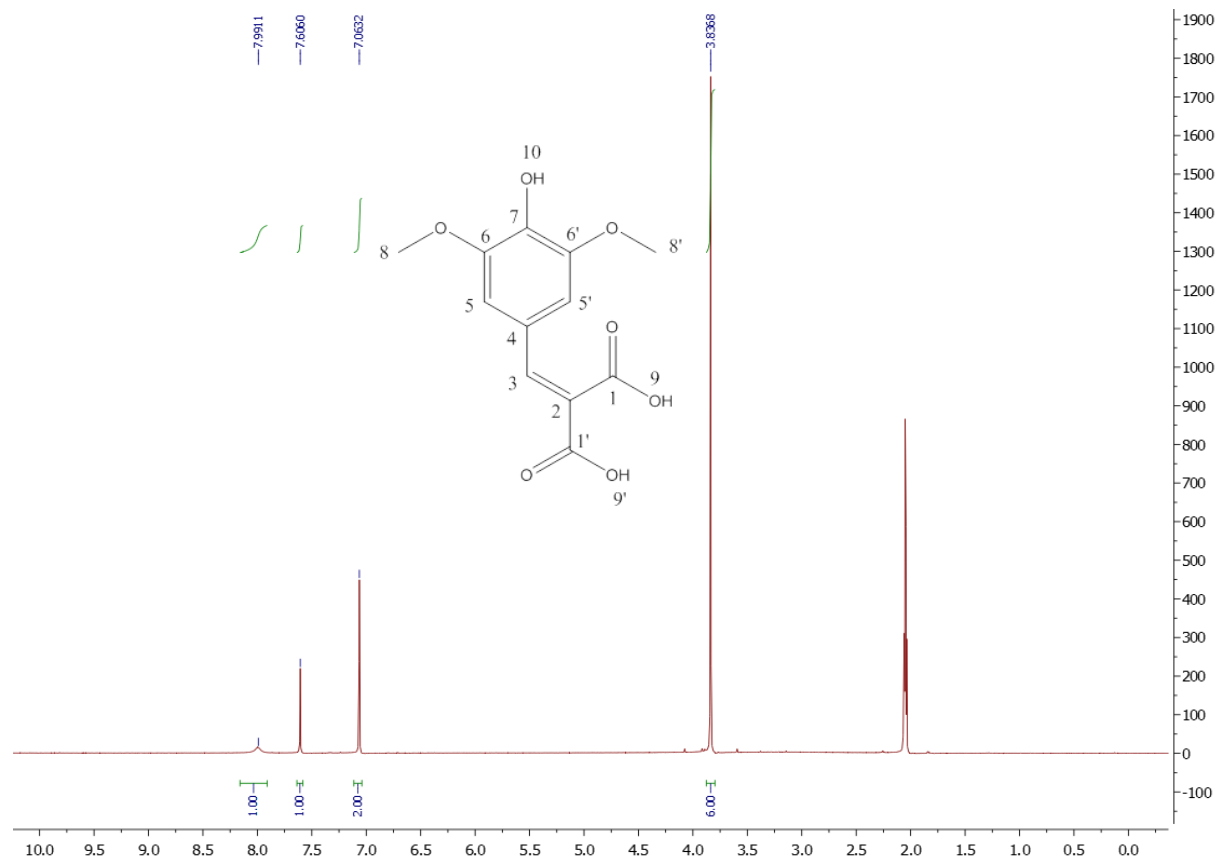


Figure S11: ^1H spectrum of sinapic diacid

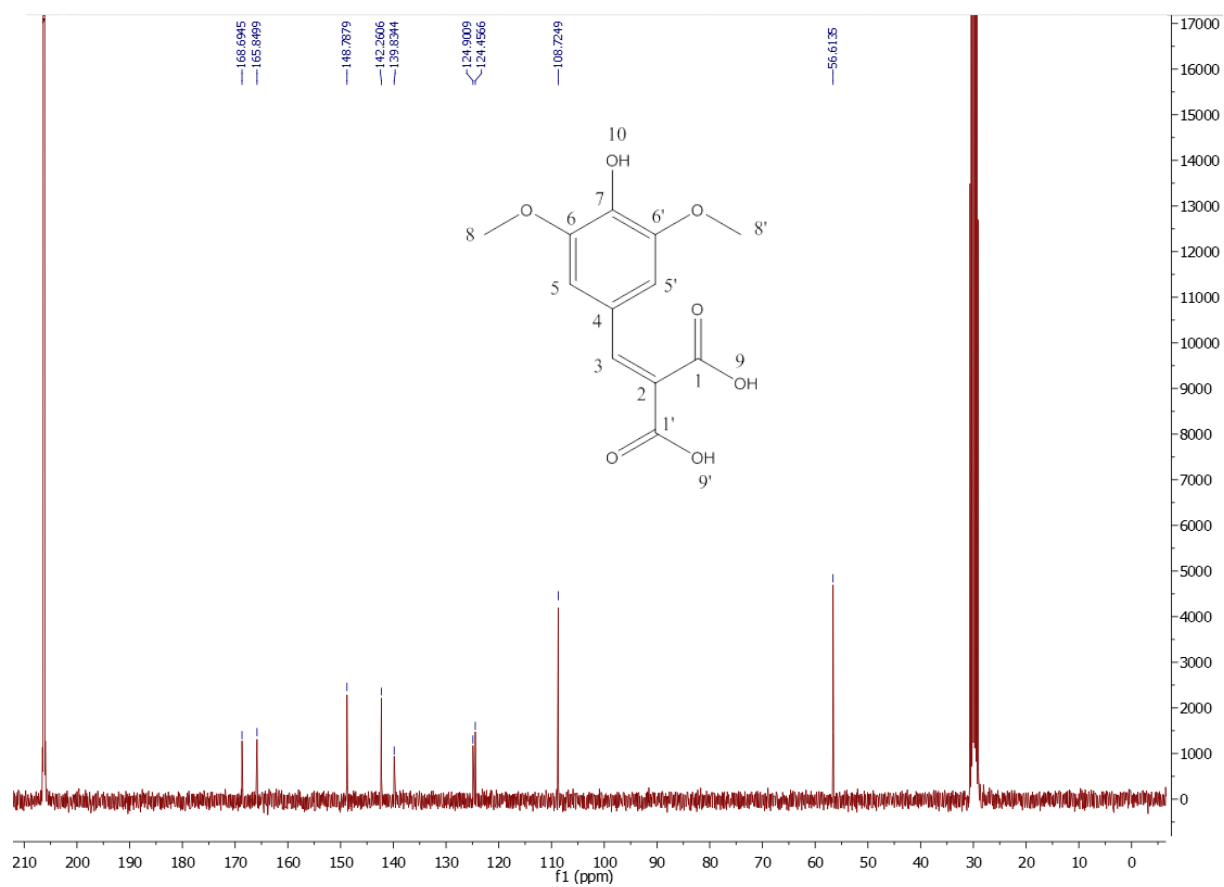
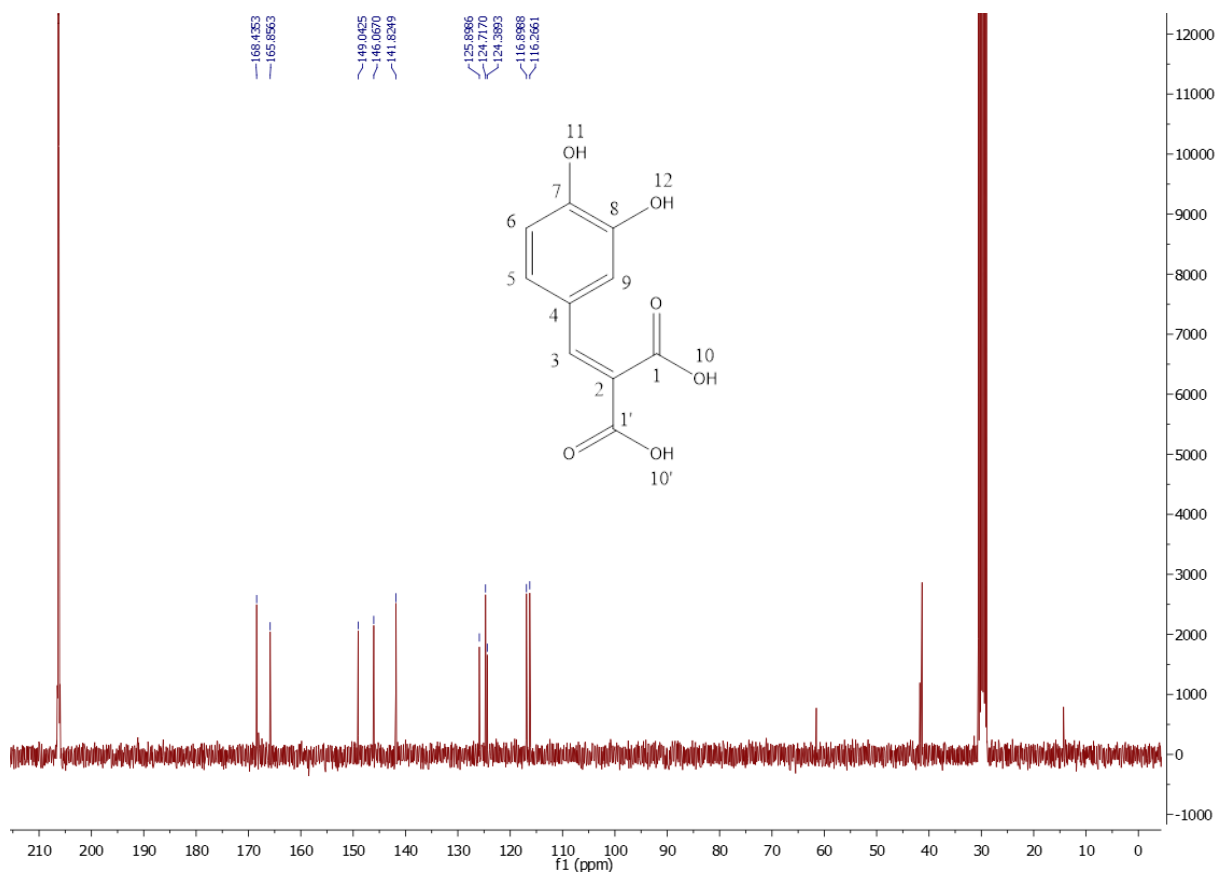
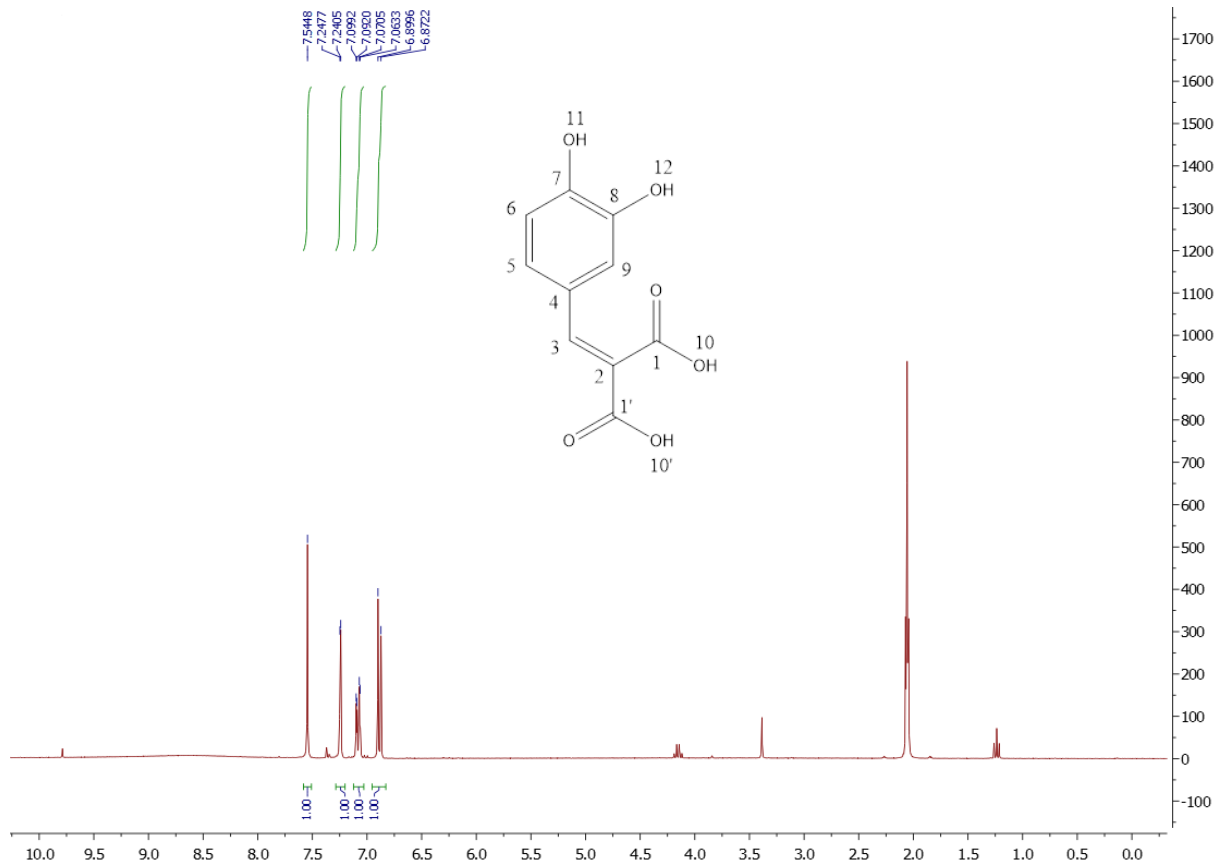


Figure S12: ^{13}C spectrum of sinapic diacid



HRMS analysis

Sample Name	RB-ferulic diacid	Position	P1-E1	Instrument Name	QTOF
User Name		Inj Vol	1	InjPosition	
Sample Type	Sample	IRM Calibration Status	Success	Data Filename	RB-ferulic diacid.d
ACQ Method	ZorbaxC18-2019-12-04-H2Oac-ACN-avec-ref.	Comment		Acquired Time	12/5/2019 4:26:09 PM (UTC+01:00)

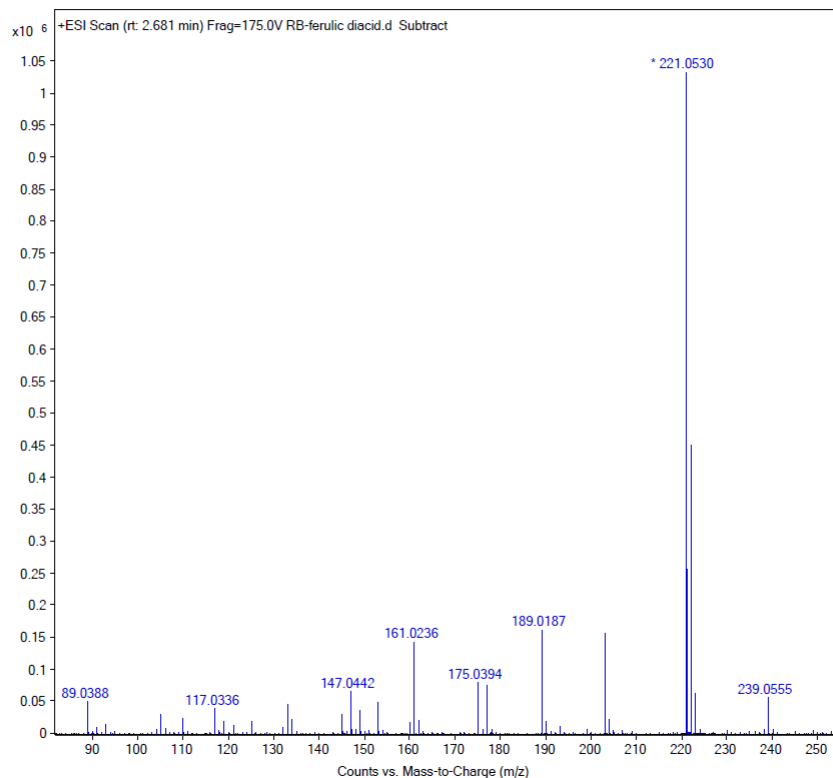


Figure S15: HRMS spectrum of ferulic diacid

Sample Name	RB-coumaric diacid	Position	P1-E2	Instrument Name	QTOF
User Name		Inj Vol	1	InjPosition	
Sample Type	Sample	IRM Calibration Status	Success	Data Filename	RB-coumaric diacid.d
ACQ Method	ZorbaxC18-2019-12-04-H2Oac-ACN-avec-ref.	Comment		Acquired Time	12/5/2019 4:48:14 PM (UTC+01:00)

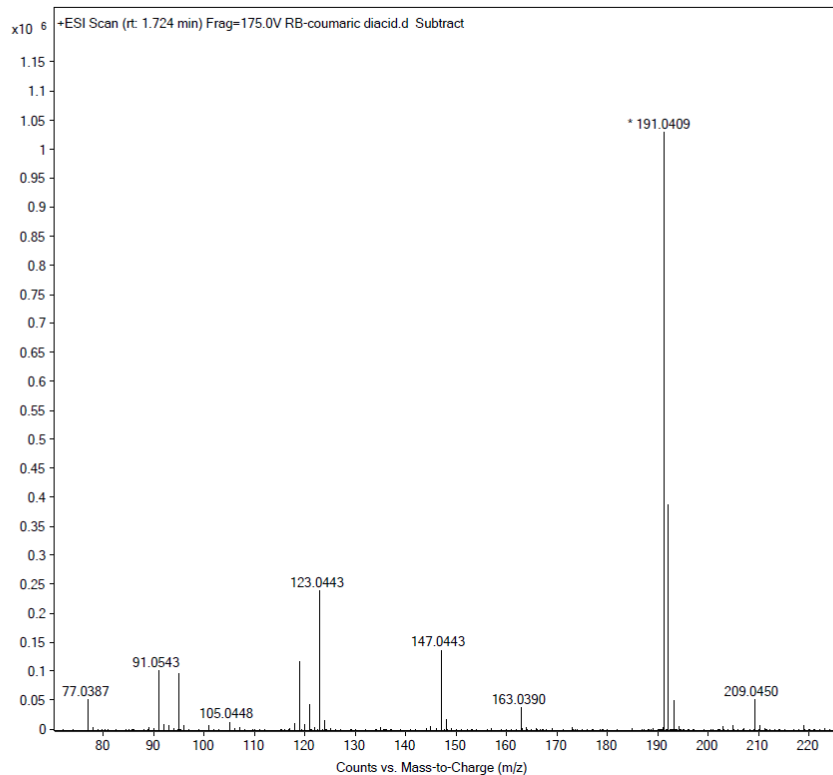


Figure S16: HRMS spectrum of coumaric diacid

Sample Name	RB-sinapic diacid	Position	P1-E3	Instrument Name	QTOF
User Name		Inj Vol	1	InjPosition	
Sample Type	Sample	IRM Calibration Status	Success	Data Filename	RB-sinapic diacid.d
ACQ Method	ZorbaxC18-2019-12-04-H2Oac-ACN-avec-ref.	Comment		Acquired Time	12/5/2019 5:10:17 PM (UTC+01:00)

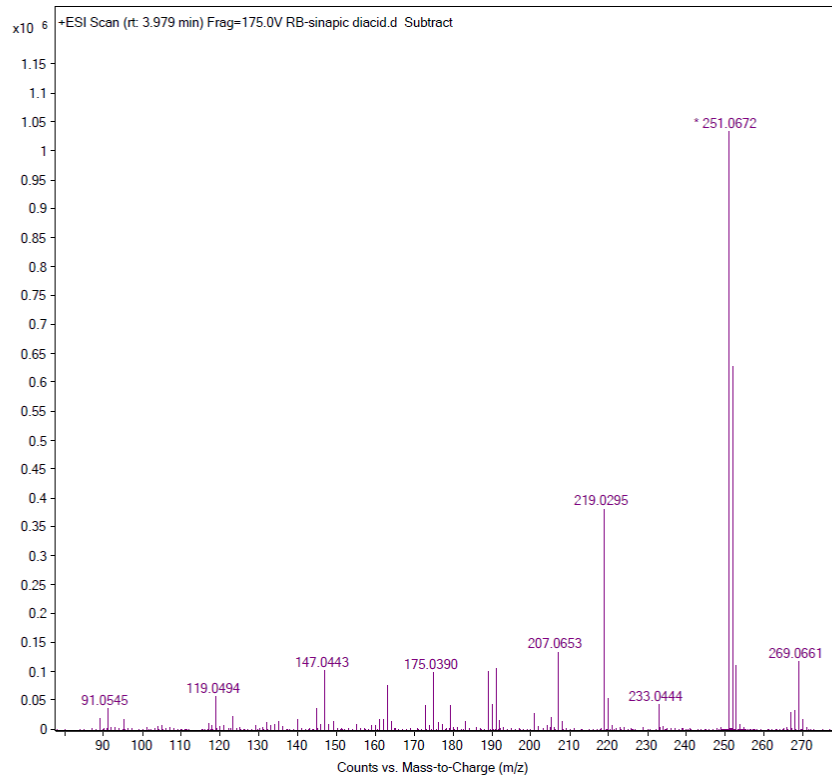


Figure S17: HRMS spectrum of sinapic diacid

Sample Name	RB-caffeic diacid	Position	P1-E4	Instrument Name	QTOF
User Name		Inj Vol	1	InjPosition	
Sample Type	Sample	IRM Calibration Status	Success	Data Filename	RB-caffeic diacid.d
ACQ Method	ZorbaxC18-2019-12-04-H2Oac-ACN-avec-ref.	Comment		Acquired Time	12/5/2019 5:32:21 PM (UTC+01:00)

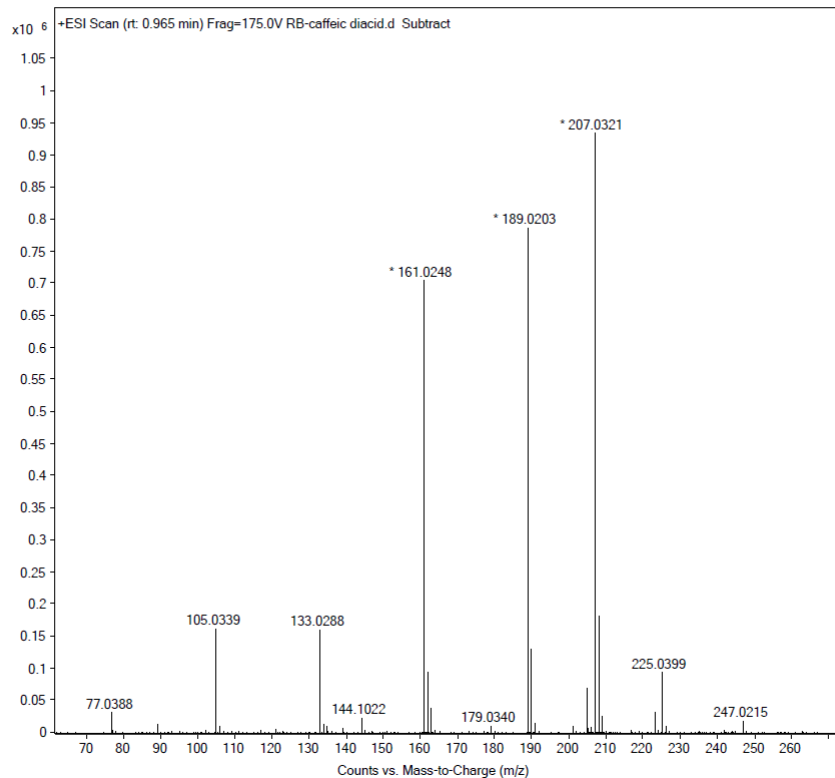


Figure S18: HRMS spectrum of caffeic diacid