Supplementary Table 1. Summary of studies investigating the microbiome in sputum samples of COPD participants

Author	Sequencing	Participants	Summary findings
(Year)	technique	characteristics	
Cabrera-Rubio, et al. (2012) ¹	16S rRNA	8 participants in stable phase	-Microbiome profiling in sputum, bronchial aspirate, BAL and bronchial mucosal biopsy revealed lower diversity in sputum samples compared to the other 3 sample types
Molyneaux, et al. (2013) ²	16S rRNA, qPCR	14 participants in stable phase, 17 healthy controls	-After rhinovirus infection, there was a rise in bacterial burden and outgrowth of <i>Haemophilus influenza</i> from pre-existing microbiota in COPD participants. This was not observed in healthy controls.
Garcia-Nunez, et al. (2014) ³	16S rRNA	17 participants in stable phase	-Most prevalent phyla were Proteobacteria, Firmicutes, and Actinobacteria -Patients with moderate disease showed greater microbial diversity than patients with advanced disease - Alpha diversity was decreased in patients with advanced disease
Galiana, et al. (2014) ⁴	16S rRNA, qPCR	19 participants in stable phase	-Bacterial diversity was higher in patients with moderate COPD than with severe COPD -Bacterial load was higher in severe COPD -In severe COPD patients, the composition of bacterial genera differed more among themselves, than samples from the mild/moderate group
Huang, et al. (2014) ⁵	16S rRNA, qPCR	12 participants; sample collection before, during and after AECOPD	-Abundance of several bacterial phyla differed between stable phase and AECOPD, where Proteobacteria abundance was increased during AECOPD - Steroid and antibiotic therapy showed opposite effects on the microbiome, whereby steroid therapy increased Proteobacteria abundance - Haemophilus influenza abundance was positively correlated to the abundance of phylogenetically related bacteria, whereas the abundance of other bacteria was negatively correlated
Barker, et al. (2015) ⁶	16S rRNA, qPCR	120 participants in stable phase, 55 paired stable and AECOPD data	- Haemophilus influenza bacterial load was an independent predictor of sputum TNF-alpha and IL-1beta levels in stable state - at AECOPD, change of Moraxella catarrhalis bacterial load compared to

			stable state correlated with change in sputum TNF-alpha and IL-1beta concentration; this was not observed in <i>Haemophilus influenza</i>
Millares, et al. (2015) ⁷	16S rRNA, metagenomics	8 participants with severe COPD; sample collection during stable phase and AECOPD	- The relative abundance of bacterial phyla and genera were consistent between stable phase and AECOPD -Functional pathways changed at AECOPD events, indicating a shift of the metabolic functionality of the microbiome towards AECOPD
Brill, et al. (2015) ⁸	Sputum culture;16S rRNA, qPCR	99 participants in stable phase, 86 completed follow up,	-A randomized controlled trial investigating different antibiotic classes (moxifloxacin, doxycycline, azithromycin, placebo) -Total airway bacterial load did not decrease after 3 months of antibiotic therapy -Increases in antibiotic resistance in all treatment groups
Wang, et al. (2016) ⁹	16S rRNA, qPCR	87 participants; sample collection during stable state, AECOPD, 2 weeks post- therapy and 6 weeks recovery	-Sputum microbiome profiles were dynamic from stable state towards AECOPD, involving the change of microbial diversities, abundances of bacterial communities and the outgrowth of "keystone bacteria", such as Haemophilus or Moraxella sppMicrobiome structure and diversity were correlated with serum and sputum biomarkers -Steroid and antibiotic therapy showed opposite effects on the microbiome with respect to diversity and outgrowth of individual bacteria and bacterial communities
Wang, et al. (2017) ¹⁰	16S rRNA	281 participants; sample collection at baseline and AECOPD	- Microbiome composition shifted from highly diverse to less diverse during AECOPD, where few bacterial genera become predominant abundant -Bacterial dysbiosis was associated with increased exacerbation severity, indicated by higher CAT scores and decrease of lung capacity -Microbial dysbiosis, in concert with eosinophilic inflammation, was associated with even higher exacerbation severity
Leitao Filho, et al. (2018) ¹¹	16S rRNA	102 participants hospitalized due to AECOPD, followed for one year after discharge	- Microbiome profile in hospitalized AECOPD patients is significantly associated with 1-year mortality -Reduced microbial diversity indicated poorer survival prognosis -The combined absence of Veillonella/presence of Staphylococcus

			was associated with an increased risk of 1-
			year mortality by 85-fold
Ghebre, et al. (2018) ¹²	16S rRNA,	73 participants	-Asthma and COPD patients share 3
	RT-PCR	with AECOPD,	exacerbation biological clusters after
	panel for	32 asthmatic	integrating microbiome profiles and host-
	common	patients with	inflammatory profiles
	respiratory	exacerbation	-Cluster 1: increased pro-inflammatory
	viruses		mediators, evidence of neutrophilic
			inflammation, bacteria-associated with
			increased proportions of Proteobacteria
			and Proteobacteria/Firmicutes ratio -Cluster 2: increased blood and sputum
			eosinophils, type 2 mediators and
			increased proportions of Bacteroidetes
			-Cluster 3: increased type 1 mediators and
			proportions of Actinobacteria and
			Firmicutes
Sinha, et al. (2018) ¹³	16S rRNA	4 participants;	-Alpha diversity is similar over a two-day
		sample collection	period
		during stable	-Microbiome variability was increased
		phase (two-days,	over a 9-months period
		2-9 months)	-Firmicutes was the most prevalent
14			phylum, followed by Bacteroidetes
Mayhew et al. (2018) ¹⁴	16S rRNA	101 participants,	-Subtypes of COPD have distinct bacterial
		584 (spontaneous	compositions and stabilities over time
		and induced)	- Microbiome profiles show less variation
		sputum samples	within an individual than between
		from stable and exacerbation	individuals, however, some individuals
		time points over	exhibited high variability over time - With increasing disease severity, the
		1 year	abundance of Proteobacteria increases,
		1 year	whereas diversity overall decreases
			-No significant changes of diversity or
			taxa relative abundance between stable
			and exacerbation phase (with the
			exception of <i>Moraxella</i> spp.)
			-COPD patients with higher exacerbation
			frequencies exhibit less stable lung
			microbiome over time
			- Microbiome composition of bacterial
			exacerbations differs from viral and
TT 1 (2010) 15	160 DXX	161 11	eosinophilic
Wang et al. (2019) ¹⁵	16S rRNA,	16 healthy	- stable COPD patients showed a
	qPCR, host	controls, 43	significantly increased relative abundance
	RNA	participants with	of the genera <i>Moraxella</i> , <i>Streptococcus</i>
	microarray, Proteomic	COPD, sample collection during	and Actinobacteria, as well as decreased alpha diversity, compared to healthy
	assay	stable state,	controls
	assay	AECOPD, 2	-the relative abundance of <i>Moraxella</i> was
		weeks post-	increased at stable state in GOLD III
		therapy and 6	versus II patients and in ICS versus non-
		weeks recovery,	ICS exposed patients
		6 months from	- During AECOPD, the relative abundance
			of <i>Moraxella</i> was increased and alpha
<u> </u>	1	1	,

the first stable	diversity decreased compared to the stable
visit	state, along with significantly increased
	neutrophil and decreased macrophage
	percentage.
	- the trend of increased <i>Moraxella</i> and
	decreased alpha diversity was reversed at
	post- exacerbation time points
	- significant associations between
	Moraxella and Haemophilus with host
	transcriptome and proteome profiles of
	host interferon and pro-inflammatory
	signaling pathways and neutrophilic
	inflammation

qPCR=quantitative PCR; AECOPD= acute exacerbations of COPD

REFERENCES:

- 1. Cabrera-Rubio R, Garcia-Núñez M, Setó L, et al. Microbiome diversity in the bronchial tracts of patients with chronic obstructive pulmonary disease. *J Clin Microbiol*. 2012;50(11):3562-3568.
- 2. Molyneaux PL, Mallia P, Cox MJ, et al. Outgrowth of the bacterial airway microbiome after rhinovirus exacerbation of chronic obstructive pulmonary disease. *Am J Respir Crit Care Med.* 2013;188(10):1224-1231.
- 3. Garcia-Nunez M, Millares L, Pomares X. Severity-Related Changes of Bronchial Microbiome in Chronic Obstructive Pulmonary Disease. *J Clin Microbiol*. 2014;52(12):4217-4223.
- 4. Galiana A, Aguirre E, Rodriguez JC. Sputum microbiota in moderate versus severe patients with COPD. *Eur Respir J.* 2014;43(6):1787-1790.
- 5. Huang YJ, Sethi S, Murphy T, et al. Airway microbiome dynamics in exacerbations of chronic obstructive pulmonary disease. *J Clin Microbiol*. 2014;52(8):2813-2823.
- 6. Barker BL, Haldar K, Patel H, et al. Association between pathogens detected using quantitative polymerase chain reaction with airway inflammation in COPD at stable state and exacerbations. *Chest.* 2015;147(1):46-55.
- 7. Millares L, Pérez-Brocal V, Ferrari R, et al. Functional metagenomics of the bronchial microbiome in COPD. *PLoS One*. 2015;10(12): e0144448.
- 8. Brill SE, Law M, El-Emir E, et al. Effects of different antibiotic classes on airway bacteria in stable COPD using culture and molecular techniques: A randomised controlled trial. *Thorax*. 2015;70(10):930-938.
- 9. Wang Z, Bafadhel M, Haldar K, et al. Lung microbiome dynamics in COPD exacerbations. *Eur Respir J.* 2016;47(4):1082-1092.
- 10. Wang Z, Bafadhel M, Haldar K, et al. Lung microbiome dynamics in COPD exacerbations. *Eur Respir J.* 2016;47(4):1082-1092.
- 11. Leitao Filho FS, Alotaibi NM, Ngan D, et al. Sputum microbiome is associated with 1-

- year mortality after chronic obstructive pulmonary disease hospitalizations. *Am J Respir Crit Care Med* 2019;199:1205–1213.
- 12. Ghebre MA, Pang PH, Diver S, et al. Biological exacerbation clusters demonstrate asthma and chronic obstructive pulmonary disease overlap with distinct mediator and microbiome profiles. *J Allergy Clin Immunol*. 2018;141(6):2027-2036.e12.
- 13. Sinha R, Weissenburger-Moser LA, Clarke JL, et al. Short term dynamics of the sputum microbiome among COPD patients. *PLoS One*. 2018;13(3):e0191499.
- 14. Mayhew D, Devos N, Lambert C, et al. Longitudinal profiling of the lung microbiome in the AERIS study demonstrates repeatability of bacterial and eosinophilic COPD exacerbations. *Thorax*. 2018;73(5):422-430.
- 15. Wang Z, Maschera B, Lea S, et al. Airway host-microbiome interactions in chronic obstructive pulmonary disease. *Respir Res.* 2019;20(1): 113.