

1 **Supplementary Materials**

3 **Methods**

5 **Blood sample collection**

6 Whole blood venous samples were collected with and without anticoagulant
7 (ethylenediaminetetraacetic acid (EDTA)). EDTA samples were immediately stored at -30
8 degree Celsius (°C) until deoxyribonucleic acid (DNA) extraction. Serum samples were
9 immediately stored at 4°C and centrifuged for 9 minutes at 2300 g and 4°C within 60 minutes
10 after collection. Serum was removed and stored at -20°C. To avoid further freeze and thaw
11 cycles serum samples were thawed once and stored in aliquots (1.5 ml reaction tubes).

13 **Liquid chromatography-mass spectrometry methods**

14 The method was optimized starting from the method described by Noetzli et al. 2012 [1].
15 Validation was carried out according to the guideline C62-A for Liquid Chromatography-
16 Mass Spectrometry Methods [2].

17 A triple quadrupole mass spectrometer (QTRAP 5500, SCIEX, USA) with a high-
18 performance liquid chromatography (HPLC) system (1260, G1367E; Agilent Technologies
19 Deutschland GmbH, Germany) was used to analyze the samples in SRM mode (selected
20 reaction monitoring mode). For the quantification of donepezil mass transition 381->91.1(Da)
21 was selected and 383.5 -> 91.0 (Da) for IS (donepezil ¹³C₃). Transition 251.1->58.0 (Da)
22 combined with 257.1 ->206.1 (Da) (rivastigmine D₆) was used for rivastigmine quantification.
23 For data analysis Analyst 1.6 (SCIEX Pte.Ltd, Singapore) was applied.

24 A seven point calibration curve was prepared (0.5 ng/ml to 33 ng/ml for rivastigmine and 0.5
25 ng/ml to 66 ng/ml for donepezil) using drug free serum. In addition, three independent quality
26 control samples with concentrations covering the calibration ranges were prepared.

27 For sample preparation 50 µl serum was mixed with 200 µl precipitation agent for 15 seconds
28 at 1000 rpm. The precipitation agent (acetonitrile/methanol 30/70(v/v)) contained internal
29 standards (IS): 10 ng/ml rivastigmine D₆ (Toronto Research Chemicals (TRC), Toronto,
30 Ontario) and donepezil ¹³C₃ 50 ng/ml (TRC, Toronto Ontario). The mixed sample was
31 centrifuged at 1000 x g for 10 minutes. Either 10 µl or 50 µl of the supernatant were injected
32 into the LC-MS system to analyze donepezil and rivastigmine, respectively. Chromatographic
33 separation was carried out using a gradient on a reversed phase C₁₈ column (Onyx Monolithic
34 C18, 100 x 3.0 mm, Phenomenex Germany, Germany) at 45°C. Mobile phase A was of H₂O,
35 mobile phase B was methanol, each buffered with 0.1 % acetic acid (v/v) and 12.5 mM
36 ammonium acetate. All solvents were LC-MS grade. Total run time was 7 minutes.

37 Patient samples above the highest calibration concentration were diluted with drug free serum.
38 All samples were measured at least in duplicate and mean was used for further analyses.

40 **Assessment of CYP2D6 polymorphisms and gene dose assignment**

41 DNA was isolated by standard procedures from 300 µl EDTA anticoagulated blood according
42 to the manufacturer's instructions (Wizard Genomic DNA Purification Kit, Promega). The
43 isolated DNA of the CYP2D6 gene was immediately preamplified according to local SOPs.
44 Considering the prevalence of CYP2D6 polymorphisms in Caucasians, the following alleles
45 were assessed: *1 (wildtype), *3, *4, *5, *6 (no enzyme activity), *9, *10, *41 (reduced
46 enzyme activity), and duplications of *1 (increased enzyme activity). Genotyping for all
47 polymorphisms of interest was performed according to methods previously described using
48 real-time quantitative polymerase chain reaction (PCR) [3-5] on a LightCycler 2.0 (Roche,
49 Mannheim) with LightCycler Carousel Centrifuge (Roche, Mannheim). Following previously
50 published methods [6-8] allele specific PCR was performed using GeneAmp PCR Systems
51 9700 (PE Applied Biosystems, Weiterstadt) for CYP2D6*3, CYP2D6*4, CYP2D6*5,

1 CYP2D6*6 and CYP2D6*10. Supplementary table 1 shows assessed polymorphisms and
2 used methods of assessment.
3 Defective alleles were assigned a gene dose of 0, alleles resulting in a reduced enzyme
4 activity were assigned a gene dose of 0.5, wild type allele *1 a gene dose of 1, and
5 duplications of a wild type allele a gene dose of at least 2 (supplementary table 2).
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8 **References:**

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1 Supplementary table 1

Semiquantitative gene dose	Mutation of alleles	Type of metabolizer	Number of participants	Donepezil serum concentration [ng/ml]
0	2 deficient alleles	PM	2	50.3 ± 7.1 (45.3-55.3)
0.5	1 deficient allele + 1 reduced allele	IM	8	48.0 ± 19.55 (27.1-87.6)
1	2 reduced alleles/ 1 deficient allele + 1 wt	EM	16	39.9 ± 14.7 (18.8-60.2)
1.5	1 wt + 1 reduced allele	EM	4	46.2 ± 23.7 (29.8-81.1)
2	2 x wt	EM	10	35.1 ± 10.1 (21.8-48.4)
≥ 3	duplication	UM	0	-

2 Supplementary table 1 Gene dose, allele mutations and type of metabolizer:
 3 wt: wild type; PM: poor metabolizer; IM: intermediate metabolizer; EM: extensive
 4 metabolizer; UM: ultra-rapid metabolizer

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6 Supplementary table 2

Polymorphism	SOP Thermocycler	SOP LightCycler
Deletion (*5)/Duplikation	C2D6 Del-Dup-P PCR Del-Dup	C2D6 Del-Dup LC
*3	C2D6 multiplex-P PCR multiplex	C2D6 multiplexB-S probe multiplexB
*4, *6	C2D6 multiplex-P PCR multiplex	C2D6 multiplexA-S probe multiplexA
*9	-	C2D6 9-S probe-9
*10	C2D6 10-P PCR-10	C2D6 10-S probe-10
*41	-	C2D6 41-S probe-41

7 Legend supplementary table 2 Overview of polymorphisms and applied measuring methods:
 8 SOP: standard operating procedure; C2D6: Cytochrome P450 isoenzyme 2D6; Del: deletion;
 9 Dup: duplication; PCR: Polymerase Chain Reaction

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1 Supplementary table 3

Allele	*1	*3	*4	*5 (Del)	*6	*9	*10	*41	Duplication
Single gene dose	1	0	0	0	0	0.5	0.5	0.5	>2

2 Legend supplementary table 3 Single alleles and corresponding gene dose:
3 Del: deletion.

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6 Supplementary table 4

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	n=	Donepezil	n=	Rivastigmine	p-value
baseline: word list delayed recall	41	2.0 ± 1.8 (0 to 7)	26	2.4 ± 2.0 (0 to 7)	0.431
Follow-up: word list delayed recall	41	0.4 ± 1.5 (-2 to 4)	25	0.2 ± 1.4 (-2 to 3)	0.384

8 Supplementary table 13: word list delayed recall at baseline and at follow-up; n: number of
9 valid test results; where applicable: mean ± standard deviation (minimum – maximum); p-
10 value calculated from Mann-Whitney U test

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13 Supplementary table 5

Variables	male (n=16)	female (n=10)	p-value
Serum concentration rivastigmine [ng/ml]	6.63 ± 5.28 (1.85-17.50)	6.39 ± 5.19 (0.47-16.90)	1.000 ^b
Body weight [kg]	74.1 ± 12.1 (50-90)	58.4 ± 10.7 (47-80)	0.004 ^a
BMI [kg/m ²]	24.6 ± 2.59 (19.5-27.8)	21.6 ± 4.5 (16.5-30.9)	0.050 ^a

14 Legend supplementary table 5 Sex differences *rivastigmine*: Serum concentration and BMI of
15 male and female participants, respectively, in the *rivastigmine* group.

16 ng: nanogram; ml: milliliter; mg: milligram; kg: participants' weight in kilogram; BMI: body
17 mass index. Where applicable: mean ± standard deviation (minimum - maximum). p-values
18 calculated from ^a: t-test for normally distributed data; ^b: Mann-Whitney U test for not
19 normally distributed data

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Supplementary table 6

Variables	Donepezil low concentration	Donepezil high concentration	p-value	Rivastigmine low concentration	Rivastigmine high concentration	p-value
Numbers	14	14		9	9	-
Sex: male:female [n]	11 : 3 (79% : 21%)	4 : 10 (29% : 71%)	0.008 ^a	6 : 3 (67% : 33%)	5 : 4 (56% : 44%)	0.629 ^a
Age [years]	69.9 ± 10.2 (53-88)	74.3 ± 9.4 (54-85)	0.244 ^b	73.6 ± 4.6 (69-82)	70.6 ± 7.7 (53-78)	0.329 ^b
Time baseline to follow up [days]	226.7 ± 87.7 (96-441)	214.2 ± 63.9 (131-325)	0.839 ^c	248.6 ± 99.7 (122-442)	215.7 ± 40.7 (164-259)	0.666 ^c
Duration from start of AChE-I treatment to follow up [months]	5.9 ± 3.3 (3-13)	5.6 ± 2.0 (3-10)	0.839 ^c	6.0 ± 2.6 (4-12)	5.4 ± 1.7 (3-8)	0.931 ^c
Participants taking CYP2D6 inhibitors [n]	2 (14%) (2x Citalopram)	4 (29%) (3x Citalopram; 1x Carvedilol)	0.357 ^a	n.a.	n.a.	-
Participants taking CYP2D6 inducers [n]	-	-	-	n.a.	n.a.	-
Weight [kg]	70.2 ± 9.1 (50-83) n=13	68.6 ± 9.1 (53-83) n=13	0.650 ^c	74.9 ± 10.8 (59-89) n=8	63.6 ± 15.9 (47-84)	0.139 ^c
BMI [kg/m ²]	22.9 ± 2.9 (17.2-26.2) n=13	24.1 ± 3.7 (19.7-34.5) n=13	0.650 ^c	25.7 ± 2.7 (22.6-30.9) n=8	22.1 ± 4.3 (16.5-27.7)	0.074 ^c
Gene dose	1.3 ± 0.6 (0.5-2)	1.0 ± 0.6 (0-2)	0.128 ^c	n.a.	n.a.	-
Serum concentration [ng/ml]	26.76 ± 4.14 (18.75-31.45)	58.82 ± 11.79 (46.90-87.55)	<0.001 ^c	2.67 ± 1.01 (0.47.3.62)	12.50 ± 4.46 (0.47.3.62)	<0.001 ^c
Variance of serum concentration	17.16	138.93	<0.001 ^d	1.01	19.10	<0.001 ^d
Variation coefficient of serum concentration measurement	5.47 ± 3.01 (1.46-10.32)	5.21 ± 2.26 (0.77-9.09)	0.910 ^c	5.88 ± 4.62 (2.54-17.40)	5.28 ± 3.82 (1.00-10.99)	0.863 ^c
Time since last dosing [h]	21.0 ± 2.6 (14-25)	22.6 ± 1.9 (19-26)	0.050 ^c	23.6 ± 4.1 (15-28)	24.4 ± 1.6 (22-27)	0.931 ^c
Wordlist recall at baseline	2.3 ± 1.7 (0-5)	1.4 ± 1.6 (0-5)	0.164 ^c	2.1 ± 2.7 (0-7)	3.1 ± 2.1 (0-6)	0.297 ^c
Changes wordlist recall	0.1 ± 2.0 (-2 to 4)	0.4 ± 1.6 (-1 to 2)	0.482 ^c	0 ± 1.6 (-2 to 2)	0.8 ± 1.3 (0-3)	0.161 ^c

Legend supplementary table 6 Characteristics of participants in the low and high serum concentration group for donepezil and rivastigmine: where applicable: mean \pm standard deviation (minimum - maximum); AChE-I: acetylcholinesterase inhibitor; kg: participants' weight in kilogram; BMI: body mass index in kilogram per square meter; p-values calculated from ^a: Chi-squared test, ^b: t-test or ^c:Mann-Whitney-U-test; ^d: F-test.

Supplementary table 7

Allele	*1	*3	*4	*5 (Del)	*6	*9	*10	*41	Duplication *1
Single gene dose	1	0	0	0	0	0.5	0.5	0.5	2
Number	40	1	23	3	1	1	2	9	0
Allele frequency	0.500	0.013	0.288	0.038	0.013	0.013	0.025	0.113	-

Legend Supplementary table 7 CYP2D6 polymorphism: Overview of tested alleles, corresponding gene dose, absolute number and frequency of alleles. Del: Deletion

Supplementary table 8

	Gene dose	CYP 2D6- Inhibitors	Age	Sex	Duration AChE-I intake	Time since last dosing	Body weight
corr. R ²	0.047	0.044	0.005	0.165	-0.025	0.054	-0.011
Beta	-0.267	0.261	0.172	-0.432	-0.017	0.279	-0.132
p-value	0.096	0.099	0.282	0.005	0.915	0.077	0.435

Legend supplementary table 8 Prediction of serum concentration of *donepezil univariate* regression analyses: AChE-I: acetylcholinesterase inhibitor.

Supplementary table 9

	Beta	p-value
Type of metabolizer	-0.314	0.045
CYP2D6-Inhibitors	0.275	0.060
Age	0.180	0.216
Sex	-0.734	0.001
Duration of AChE-I treatment	0.286	0.058
Time since last dosing	0.009	0.954
Body weight	0.200	0.269

Legend supplementary table 9 Prediction of serum concentration of *donepezil using type of metabolizer instead of gene dose. Multivariate* regression model. AChE-I: acetylcholinesterase inhibitor. Corr R²=0.352, p=0.005

Supplementary table 10

	Beta	p-value
Gene dose	-0.354	0.061
CYP2D6-Inhibitors	0.240	0.112
Age	0.195	0.187
Sex	-0.630	<0.001
Duration of AChE-I treatment	0.327	0.035
Time since last dosing	-0.065	0.710
BMI	0.127	0.372

Legend supplementary table 10: Prediction of serum concentration of *donepezil*: *Multivariate regression model including body mass index (BMI) instead of weight*. AChE-I: acetylcholinesterase inhibitor. Corr R²=0.349, p=0.005

Supplementary table 11

	Beta	p-value
CYP2D6-Inhibitors	0.081	0.773
Age	-0.098	0.684
Sex	0.138	0.635
Duration AChE-I treatment	0.096	0.753
Time since last dosing	0.432	0.104
Body weight	-0.276	0.351

Legend supplementary table 11 Prediction of serum concentration of *rivastigmine*: *Multivariate regression model including body weight*; AChE-I: acetylcholinesterase inhibitor. Corr. R²=-0,113, p=0,718

Supplementary table 12

	Beta	p-value
CYP2D6-Inhibitors	0.136	0.625
Age	-0.083	0.722
Sex	0.125	0.614
Duration AChE-I treatment	0.050	0.869
Time since last dosing	0.438	0.087
BMI	-0.348	0.186

Legend supplementary table 12 Prediction of serum concentration of *rivastigmine*: *Multivariate* regression model including body mass index; AChE-I: acetylcholinesterase inhibitor; BMI body mass index. Corrected R²=-0,055, p=0,583

Supplementary table 13

		Serum concentration	Baseline test result	Time baseline to follow-up	Sex	Age	model corr.R ²	model p-value
Word list – delayed recall donepezil	Beta	0.094	-0.445	-0.131	0.160	-0.156	0.169	0.041
	p-value	0.571	0.008	0.385	0.342	0.307		
Word list – delayed recall rivastigmine	Beta	0.472	-0.622	0.281	0.244	-0.194	0.267	0.049
	p-value	0.019	0.006	0.155	0.199	0.297		

Legend supplementary table 14 Correlation between cognitive changes and AChE-I serum concentration: *multivariate* regression analyses between changes in word list delayed recall and *donepezil* respectively *rivastigmine* serum concentration, baseline test result, time from baseline to follow-up, sex, and age.

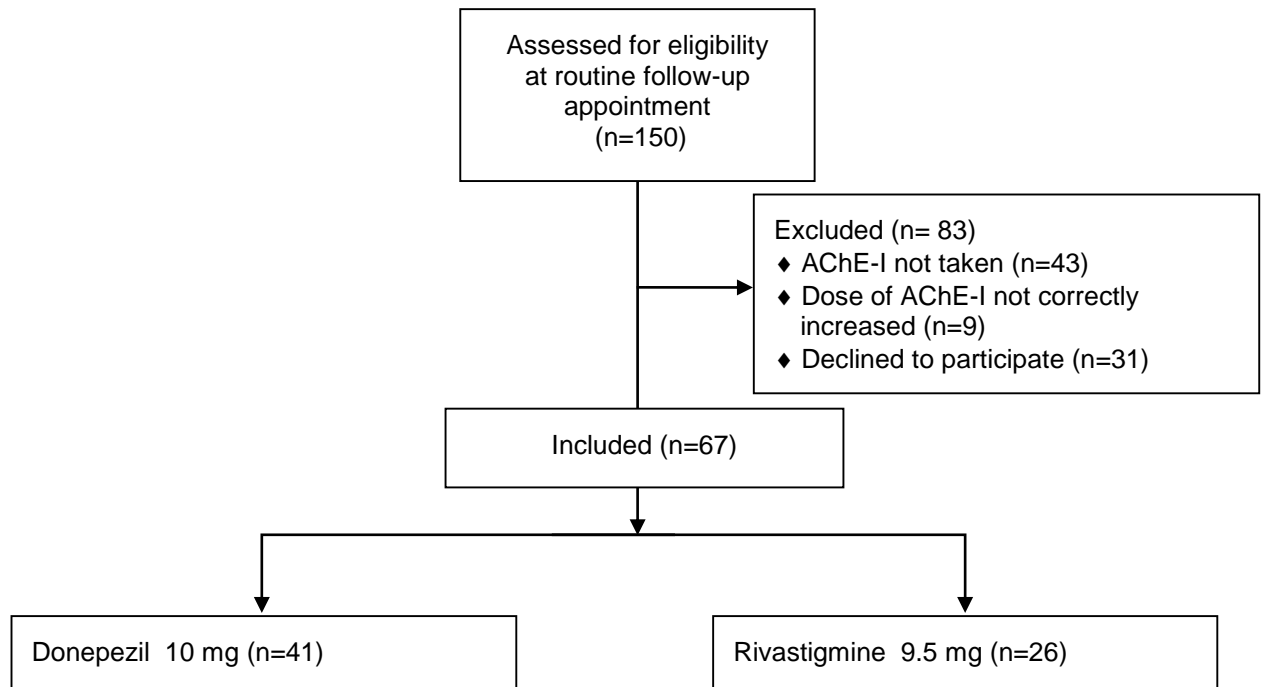
Supplementary table 14

		Low /high serum concentration	Baseline test result	Time baseline to follow-up	Sex	Age	model corr.R ²	model p-value
Word list – delayed recall donepezil	Beta	0.055	-0.447	-0.102	0.114	-0.171	0.096	0.208
	p-value	0.814	0.044	0.597	0.634	0.415		
Word list – delayed recall rivastigmine	Beta	0.542	-0.711	0.503	0.392	-0.211	0.294	0.098
	p-value	0.035	0.014	0.044	0.110	0.357		

Legend supplementary table 15 Correlation between cognitive changes and low / high AChE-I serum concentration: *multivariate* regression analyses between changes in cognitive tests and *donepezil* respectively *rivastigmine* low / high serum concentration, baseline test result, time from baseline to follow-up, sex, and age.

Supplementary figures

Supplementary figure 1



Legend to supplementary figure 1: Consort Flow Diagram depicting progress of participants
n: number of subjects; AChE-I: acetylcholinesterase inhibitor.