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Mapping of cost-effectiveness evaluations of the 9-Valent human papillomavirus (HPV) vaccine: Evidence from a systematic review --Manuscript Draft--

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Abstract:	<p>Introduction The World Health Organization (WHO) recommends that programs for human papillomavirus (HPV) vaccination are established to be cost-effective before implementation. HPV vaccination is WHO recommended for girls aged 9–13 years old due to the high burden of cervical cancer. This review examined evidence of the cost-effectiveness evaluation of the 9-valent HPV vaccine within a global context.</p> <p>Methods Searches were performed until 31 July 2019 using two databases: PubMed and Scopus. A combined checklist (i.e., WHO, Drummond and CHEERS) was used to examine the quality of eligible studies. A total of 12 studies were eligible for review and nearly all were conducted in developed countries.</p> <p>Results Despite some heterogeneity in approaches to measuring cost-effectiveness, ten studies concluded that 9vHPV vaccination was cost-effective while two studies were not. The addition of adolescent boys into immunisation program was cost effective when vaccine price and coverage was comparatively low. When vaccination coverage for female was more than 75%, gender neutral HPV vaccination was less cost-effective than when targeting only girls aged 9–18 years. Multi cohort immunization approach was cost-effective in the age range of 9–14 years but the upper age limit at which vaccination was no longer cost-effective requires to be further evaluated. Most dominating parameters determined were duration of vaccine protection, time horizon, vaccine price, coverage, healthcare costs, efficacy and discounting rates.</p> <p>Conclusions These findings are anticipated to support policy-makers in extending HPV immunization programs on either switching to the 9-valent vaccine or inclusion of adolescent boys' vaccination or extending the age of vaccination. Further, this review also supports extending vaccination to low-resource settings where vaccine prices are competitive, donor funding is offered, cervical cancer burden is high and screening options are limited.</p>
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1 ***Mapping of cost-effectiveness evaluations of the 9-Valent***
2 ***human papillomavirus (HPV) vaccine: Evidence from a***
3 ***systematic review***

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1 **Abstract**

2 **Introduction**

3 The World Health Organization (WHO) recommends that programs for human papillomavirus
4 (HPV) vaccination are established to be cost-effective before implementation. HPV
5 vaccination is WHO recommended for girls aged 9–13 years old due to the high burden of
6 cervical cancer. This review examined evidence of the cost-effectiveness evaluation of the 9-
7 valent HPV vaccine within a global context.

8 **Methods**

9 Searches were performed until 31 July 2019 using two databases: PubMed and Scopus. A
10 combined checklist (i.e., WHO, Drummond and CHEERS) was used to examine the quality of
11 eligible studies. A total of 12 studies were eligible for review and nearly all were conducted in
12 developed countries.

13 **Results**

14 Despite some heterogeneity in approaches to measuring cost-effectiveness, ten studies
15 concluded that 9vHPV vaccination was cost-effective while two studies were not. The addition
16 of adolescent boys into immunisation program was cost effective when vaccine price and
17 coverage was comparatively low. When vaccination coverage for female was more than 75%,
18 gender neutral HPV vaccination was less cost-effective than when targeting only girls aged 9–
19 18 years. Multi cohort immunization approach was cost-effective in the age range of 9–14 years
20 but the upper age limit at which vaccination was no longer cost-effective requires to be further
21 evaluated. Most dominating parameters determined were duration of vaccine protection, time
22 horizon, vaccine price, coverage, healthcare costs, efficacy and discounting rates.

23 **Conclusions**

24 These findings are anticipated to support policy-makers in extending HPV immunization
25 programs on either switching to the 9-valent vaccine or inclusion of adolescent boys'
26 vaccination or extending the age of vaccination. Further, this review also supports extending
27 vaccination to low-resource settings where vaccine prices are competitive, donor funding is
28 offered, cervical cancer burden is high and screening options are limited.

29

1 **Introduction**

2 Cervical cancer (CC) is both a leading cancer and the leading cause of cancer deaths in women
3 globally [1]. Approximately 570,000 new cases of CC were diagnosed in 2018, composing
4 6.6% of all cancers in women [1]. The burden of CC is an alarming issue worldwide, especially
5 in low- and middle-income countries (LMICs). Approximately 85% of CC cases and 90% of
6 deaths from CC occur in LMICs [1]. Persistent infections with human papillomavirus (HPV)
7 are a key cause of CC and is an established carcinogen of CC [2]. HPV is predominantly
8 transmitted to reproductive-aged women through sexual contact [3]. Most HPV infections are
9 transient and can be cleared up within a short duration, usually a few months after their
10 acquisition. However, untreated HPV infections can continue and evolve into cancer in some
11 cases. There are more than 100 types of HPV infections, and high-risk types develop into CC
12 [4]. Thirteen high-risk HPV genotypes are known to be predominantly responsible for
13 malignant and premalignant lesions of the anogenital area [5], and these are the leading causes
14 of most aggressive CC [6]. Further, HPV is also responsible for the majority of anogenital
15 cervical cancers, including anal cancers (88%), vulvar cancers (43%), invasive vaginal
16 carcinomas (70%), and all penile cancers (50%) globally [4].

17 The burden of CC (i.e., high incidence and mortality rates) globally is preventable through the
18 implementation of a primary prevention strategy such as vaccination [1]. There are vaccines
19 that can protect common cancer-causing types of HPV and reduce the risk of CC significantly.
20 Three types of HPV vaccines, namely bivalent (Cervarix), quadrivalent (Gardasil) and 9-valent
21 vaccine (Gardasil-9), are currently available in the market. Unfortunately, as of March 2017,
22 only 71 countries (37% of all countries) have introduced HPV vaccines in their national
23 immunization programs for girls, and 11 countries (6%) for both sexes [2]. The first global
24 recommendation on HPV vaccination was proposed by the World Health Organization's
25 Strategic Advisory Group of Experts on Immunization in October 2008 [7], whereby HPV

1 vaccination was recommended for girls aged 9–13 years old. This recommendation was
2 updated in April 2014 [8], with the emphasis to include extended 2-dose HPV immunization
3 for girls aged 9–14 years, who were not immunocompromised. With the recent licensing of the
4 9-valent vaccine and the introduction of various HPV vaccination strategies, an update on the
5 current recommendations of HPV vaccination are inevitable. The goals of the immunisation
6 program are to reduce the acquisition and spread of HPV infections and to achieve optimum
7 coverage through effective delivery systems. According to the underlying distribution of HPV
8 infection types of CC, the 9vHPV vaccine builds population-level strong immunity against
9 HPV-6, 11, 16, 18, 31, 33, 45, 52, and 58 infections [5] that cumulatively contribute
10 approximately 89% of all CCs globally [9]. Considering the primary prevention of HPV
11 infection, the 9vHPV vaccine is expected to reduce by an additional 10% the lifetime risk of
12 diagnosis with CC in immunised cohorts compared with the 4vHPV vaccine and reduce CC by
13 an additional 52% in non-vaccinated cohorts [10].

14 This review aims to update current evidence on the economic viability of HPV vaccination. In
15 addition, this study aims to examine the cost-effectiveness of the 9-valent vaccine when boys
16 are included and when age cohorts are varied, all within a global context. This review may be
17 used as comprehensive evidence of general trends on the ongoing cost-effectiveness evaluation
18 of HPV vaccine.

19 **Materials and methods**

20 *Study design*

21 Published original academic literature that examined the cost-effectiveness of 9vHPV
22 vaccination were included in this systematic review. A wide type of study perspectives
23 including societal and health systems perspectives were included. A search strategy was
24 adopted considering all countries regardless of perspective or vaccine delivery strategy. A
25 combined WHO [11], Drummond [12] and CHEERS [13] checklist was used to evaluate the
26 quality of included studies.

1 ***Search strategy and sources***

2 The literature search was performed by searching Scopus and PubMed to identify relevant
3 articles following the inclusion criteria. Search inclusion terms included ‘economic
4 evaluation’, ‘cost-effectiveness’, ‘analysis’, ‘human papillomavirus’, ‘HPV’, ‘vaccine’,
5 ‘vaccinated’, ‘vaccination’, ‘cervical cancer’, ‘non-valent’, ‘9 or nine-valent’.

6 ***Study selection***

7 Three authors of the review team independently examined the titles and abstracts of the articles
8 that met the selection criteria. The existing academic literature in the cost-effectiveness of 9-
9 *valent* HPV vaccination was searched. Exclusion of articles was based on: ‘not cost-
10 effectiveness analyses’, ‘insufficient cost and cost-effectiveness related data’, or ‘not using
11 nine-valent HPV vaccine’. Language restrictions were not applied.

12 ***Data checking***

13 The study strategy followed a number of checks to ensure consistency of approach, including
14 a discussion about discrepancies within the study team. For each outcome and model input
15 parameters, the authors identified the proportion of missing observations and compare them
16 with data in the original publication. In addition, a range of checks was carried out for all
17 included studies to ensure that all values were reasonable. Datasets were combined to form a
18 new master dataset where model input assumptions and outcome-related parameters used in
19 the original studies were included. Further, three authors independently assessed the analytical
20 quality of the preliminary selected studies using appropriate tools for examining risk of bias.
21 Disagreements on inclusions were resolved by discussion with a third review author.

22 ***Data extraction (selection and coding)***

23 The study selection process was conducted in line with the PRISMA guidelines [14]. Data
24 extraction was performed to develop a comprehensive data matrix which summarises the study
25 characteristics such as authors, settings, perspective, threshold, outcome-related parameters
26 and other necessary information.

27 ***Strategy for data synthesis***

28 Two authors (RAM and SAK) independently reviewed the titles and abstract. Data from all
29 eligible studies were extracted by the same two authors using a standardized data collection
30 form. A matrix was developed to summarise the characteristics and findings of the studies.

1 Studies were characterized by incorporating four themes: (i) study used 9-valent HPV vaccine
2 to examine the cost-effectiveness, (ii) target population demographic characteristics (e.g.,
3 gender-neutral and multiple age cohort immunisation), (iii) study perspectives, model and
4 economic level of each country, and (iv) model input and outcome-related parameters.

5 To compare findings across the selected studies, incremental cost-effectiveness ratios (ICERs)
6 and standardized cost-effectiveness were outlined. In terms of standardized cost-effectiveness
7 scenarios, these studies used the heuristic cost-effectiveness threshold guided by the WHO
8 [15], wherein an intervention or program was evaluated to be cost-effective if the
9 ICER/DALYs averted was less than three times a country's annual per capita Gross Domestic
10 Product (GDP). Further, the WHO constructed three broad decision rules: (i) an intervention
11 or program was recommended as very cost-effective if ICER/DALYs averted <1 time GDP
12 threshold; (ii) cost-effective if ICER/DALYs averted ≥ 1 time GDP threshold and ≤ 3 times
13 GDP threshold; and (iii) not cost-effective if ICER/DALYs averted >3 times GDP threshold
14 [16]. Examining whether an ICER offered by any strategy signifies value for money requires
15 comparison to a cost-effectiveness threshold (CET). The CET refers to the health effects
16 foregone (i.e., opportunity costs) related to resources being devoted to an intervention and
17 consequentially being unavailable for other health-care priorities. Policy makers should be
18 willing to invest their limited resources in the strategy offering the greatest health gains. CETs
19 for the country with the lowest income in the world, borderline low/low-middle income,
20 borderline low-middle/upper-middle income, and borderline high-middle/high income were
21 estimated to be 1% to 51% GDP per capita, 4% to 51%, 11% to 51%, and 32% to 59%,
22 respectively [17].

23 The review showed evidence in terms of methodological and current practices of cost-
24 effectiveness evaluation studies such as determination of study research questions; the study
25 perspective adopted, the duration of vaccine protection, time horizon and discount rate;
26 explanation of model performed for data analysis; model input assumptions behind the
27 estimation of associated costs and outcome parameters; reporting of ICERs; most dominant
28 parameters of sensitivity analysis; examination of study conclusions and recommendations as
29 well as financial disclosure of the selected studies.

30 ***Study characteristics***

31 Four hundred and eighty one articles were yielded through the primary search, of which 78
32 articles were discarded because of duplication. Fifty one articles were considered for full-text

1 review after screening by title and abstract. Of these, 12 articles were eligible for the final
2 review (Table 1). Three hundred fifty-two articles were excluded from this study following the
3 inclusion criteria. The reasons for exclusion were: conference abstract (n = 58), reviews or
4 editorials or commentary (n = 160), not cost-effectiveness evaluations (n = 60), did not use 9-
5 valent vaccine (4v-HPV, 2v-HPV; n = 72) and insufficient information (n = 2). Finally, 12
6 articles were included in this review. The study selection procedure using the PRISMA flow
7 method is shown in Figure 1.

8 <Insert Figure 1 and Table 1 in here>

9 ***Settings and funding***

10 Single country studies mostly focused on high-income settings [4,18–29] (Table 2). However,
11 a single study was found that covered two low-income countries (e.g., Kenya and Uganda)
12 [30]. Eight studies were funded by research organisations [4,18,23,25–28,30], while two
13 studies did not state funding sources [20,24]. The Bill and Melinda Gates Foundation was the
14 sole funder of one study [30] and three studies were funded by the Centre for Disease Control
15 (CDC) [20,25,28]. Further, five studies were conducted in United States [20,21,24,26,28], one
16 study was conducted in each of Germany [27], Italy [4], China [22], Australia [29], Austria
17 [18] and Canada [23]. Low resource countries mostly depend on external funding agency for
18 HPV vaccine programs, hence these countries may have less impetus for cost-effectiveness
19 studies to inform local decision making as priorities are driven by external considerations.

20 <Insert Table 2 in here>

21 ***Study questions and comparator***

22 Most studies (n = 8) investigated the cost-effectiveness of introducing HPV vaccination to
23 preadolescent girls aged 12 or younger [4,18,19,22,23,26,28–30]. Four studies assessed
24 vaccinating 12 years or older girls [20,21,24,27]. All studies investigated vaccination either as
25 an addition to existing screening programs or (more commonly) as opportunistic preventive
26 programs or none at all. Further, most studies considered a range of vaccination and screening
27 options to find the most cost-effective combination.

28 ***Analytical model***

29 Nine studies used a dynamic economic model for examining the cost-effectiveness of HPV
30 vaccination programs [4,18–22,24–29], two studies used a static model [23,30], and one study

1 used a Markov model for analytical exploration [22] (Table 2). However, some studies did not
2 explicitly account for the pathologic transition from HPV acquisition to HPV-associated
3 disease [4,20–22,29], pathologic transition [4,27] and herd immunity [18,20,21,23,24,28].

4 ***Thresholds and perspectives***

5 In terms of the cost-effectiveness scenario, four studies used the heuristic cost-effectiveness
6 threshold proposed by the WHO. These studies used either one or three times GDP per capita
7 [22,23,28,30]. The majority of studies adopted their own local thresholds (e.g., willingness to
8 pay) while three studies considered both thresholds of GDP per capita and willingness to pay
9 [22,28,30]. Apart from these studies, seven studies undertook an evaluation from a societal
10 perspective [20,21,23,26–28,30], and four studies utilised a health system perspective
11 [4,22,24,29]. Several studies used a societal perspective and included all vaccination costs,
12 relevant direct medical costs, and gains in quality and length of life without regard to who
13 incurred the costs or who received the benefits (Table 2). However, these selected studies
14 reported little about the indirect costs and productivity losses which are significant from a
15 societal perspective.

16 ***Vaccine coverage***

17 The assumptions on vaccine coverage are significant in influencing the potential impact of
18 HPV vaccine on HPV related diseases. Four selected studies assumed a vaccination coverage
19 rate of 90% or above [22,26,27,30]. The vaccine coverage might be varied in terms of study
20 settings as well as from a gender point of view. Among the selected studies, three studies
21 considered a vaccine coverage rates of 26-60% for females and 25-40% for males [18,20,21],
22 and other three studies considered a 46-80% vaccine coverage rate [23,24,29]. Three studies
23 grouped coverages based on gender, with vaccination coverage assumed for females (25-60%)
24 and for males (11-40%) [20,21,28]. The remaining study did not specify the vaccine coverage
25 rate to be used [28].

26 ***Vaccine efficacy***

27 Most studies considered vaccine efficacy rate ranged from 95-100% against HPV infections
28 except the study of Simms et al. (2016) [29], which considered a vaccine efficacy rate of only
29 59%. The study conducted in two East African Countries (Kenya and Uganda) used a 100%
30 vaccine efficacy rate in case of 9vHPV [30]. Most studies (n=10) used a 95% vaccine efficacy
31 rate [4,18–28].

1 ***Number of vaccine dose and delivery route***

2 Eight studies used a three-dose schedule of nine-valent vaccine. Most studies (6/8) were
3 conducted in developed countries [20–25,28,30] and the other two studies were conducted in
4 low- and middle-income countries [22,30]. Further, one study conducted in the United States
5 [25] used both 2- and 3-dose vaccines. Diverse vaccine delivery routes were evidenced across
6 the studies. Nine studies used the vaccine delivery route of a national immunisation program
7 for the target population [4,20–25,29,30]. Two studies conducted in Austria [18] and United
8 States [28], used a universal immunisation strategy to deliver the vaccine. Only one cost-
9 effectiveness exploration of 9vHPV vaccine was conducted in Germany [27] and it used a
10 vaccine delivery route through social health insurance.

11 ***Duration of vaccine protection, herd effect and discounting rate***

12 Most studies (11/12) assumed lifelong vaccine protection while only one study assumed a
13 shorter duration of protection of 20 years [23]. Half of the studies specified herd immunity due
14 to vaccination [18,20,21,23,24,28]. The remaining six studies did not consider the indirect
15 effect of vaccination. Regarding the discount rate, majority of the studies (11/12) used 3%
16 discount rate, while one study considered a 5% discount rate to adjust for future values in terms
17 of economic value and health [29].

18 ***Risk of bias and quality of included studies***

19 Risk of bias was examined using the Consensus Health Economic Criteria (CHEC) list, a
20 checklist that can be used to critically evaluate published economic evaluations [31]. Table 3
21 showed the extent to which the reviewed studies conformed with the standards for reporting
22 economic evaluations based on the WHO guidance [11], Drummond [12] and CHEERS [13].
23 All studies clearly identified the study question, intervention(s), and comparator(s). A
24 relatively high proportion of studies reported their study perspectives (12/12; 100%), time
25 horizon (12/12; 100%) and discounting rates (12/12; 100%). Most studies performed sensitivity
26 analyses (11/12; 92%) to assess the robustness of their findings. Almost all studies also clearly
27 described the measurements and the assumption behind the calculation costs (11/12, 92%). The
28 choice of model used was justified in majority studies (12/12; 100%), as a high proportion of
29 these studies adopted dynamic transmission model to capture herds immunity. The currency
30 and price data were reported in most studies too (12/12; 100%). Ten (83%) out 12 studies
31 disclosed their funding sources. However, only 8 studies (67%) reported the measurement of

1 effectiveness from synthesis-based estimates, either through the combination of several
2 randomized trials or the use of systematic reviews.

3 <Insert Table 3 in here>

4 **Results**

5 Ten studies concluded that their evaluation of 9vHPV vaccination was found to be cost-
6 effective (Table 4) while the remaining two studies did not find cost-effectiveness [20,21].
7 Further, five studies exhibited a ‘very cost-effective’ decision [4,22,23,27,30] and four studies
8 found ‘cost-savings’ [18,20,26,28]. In the context of high-income countries (e.g., Canada and
9 Austria), introduction of 9vHPV vaccination was a cost-effective decision to prevent cervical
10 cancer of adolescent girls, as the incremental cost of vaccine compared with 9vHPV vaccine
11 was less than US\$23-US\$47. However, in low and middle-income countries (e.g., Kenya and
12 Uganda), the ICER of 9-valent vaccine must not be priced over US\$8.40-US\$9.80 [23,30].
13 Two USA studies concluded that the cost-effectiveness exploration of 9-valent vaccine was
14 more likely to be ‘cost-saving’ regardless of cross-protection assumption [20,28]. Most studies
15 used ‘quality-adjusted life year’ (QALYs) as the measurement unit of cost-effectiveness. In
16 addition, selected studies explored the cost-effectiveness decision using WTP thresholds that
17 depended on country settings. When country specific vaccine prices are used then the cost-
18 effectiveness decision changes. For example, two studies conducted in the USA, considered
19 two different vaccine prices per dose, US\$162.74 and US\$174, respectively. However, both
20 studies confirmed that the introduction of 9-valent vaccine was not cost-effective using their
21 model input assumptions. Four studies reported cost-effectiveness of 9-valent vaccine for
22 gender-neutral approaches [18,20,24,28] and three studies found it a ‘cost-effective’ or ‘cost-
23 saving’ decision [18,20,28]. The remaining eight studies suggested vaccinating girls only. In
24 terms of key drivers of cost-effectiveness, several dominating parameters were identified in
25 this review such as duration of vaccine protection [18,23,29], time horizon [21], vaccine price
26 [4,20,21,23,24,27–29], healthcare costs [26], vaccine efficacy [23,26], vaccine coverage
27 [23,26] and discounting rates [18,23,27,30].

28 <Insert Table 4 in here>

29 **Discussion**

30 The HPV vaccination is one of the cornerstones of CC prevention worldwide. This study
31 explored the cost-effectiveness of 9-valent HPV vaccination, drawing on 12 cost-effectiveness

1 evaluations in order to inform and expand knowledge of the potential influence of the next
2 generation of HPV vaccines. Most studies were conducted in developed countries while one
3 study was performed in an LMIC. However, in the context of LMICs, the incidence of cervical
4 cancer is an alarming public health concern, which warrants an increase in studies which can
5 be extremely useful to influence local decision making [32]. The economic viability of gender-
6 neutral 9-valent HPV vaccination was confirmed by three of the selected studies [18,20,28].
7 Cost-effectiveness exploration depends on the coverage of vaccination from the perspective of
8 gender. For example, if the vaccine coverage for female recipients is 80% or above, the
9 majority of the anogenital CC include vulvar cancers, invasive vaginal carcinomas cancers in
10 female could be prevented. As a result, introduction of 9-valent vaccination for boys is
11 relatively less important compared with girls due to the high economic costs involved without
12 the additional benefits gained as per the female population reduction in CC, both from the
13 societal and health system perspectives. Therefore, achieving optimal coverage of vaccination
14 in females should remain a priority. This is of primary significance for LMICs settings since it
15 is more effective and economically viable to prevent CC in females. However, it is also
16 important to note that past studies paid little attention to the broader benefits of vaccination
17 among male cohorts to prevent penile, anal, and oropharyngeal cancers. Exclusion of these
18 diseases related to males may undermine the effectiveness of reducing CC. Gender-neutral
19 vaccination might have several benefits including herd protection for boys. Moreover, it may
20 provide indirect protection to unvaccinated women and direct protection to homosexual men.
21 Therefore, this vaccination strategy should be further considered in country-level
22 immunization programs by underlining other parameters including disease burden, sexual
23 behaviour in a country (e.g., homosexual intercourse), equity, budget impact, and affordability.
24 Despite different methodologies and various assumptions, most studies were consistent in their
25 conclusion that multiple age cohort vaccination was economically viable. Nevertheless, there
26 was an upper age limit at which HPV vaccination was no longer cost-effective, and should be
27 interpreted cautiously as several studies evaluated the cost-effectiveness in a single age range
28 only and did not compare to the next age range in a progressive manner. Subsequently, this
29 could result in an overestimation of the cut-off age range for vaccination. The protection
30 duration from vaccination has a large impact on the cost-effectiveness of multi-cohort
31 vaccination, with most studies assuming life-long protection. Therefore, the use of ICERs
32 based on the conventional evaluation of 10-year protection may be more representative of real-
33 life effectiveness rather than the use of ICER based on lifetime protection. The cost-

1 effectiveness of HPV vaccination is also dependent upon the levels of vaccine coverage,
2 compliance, and vaccine price.

3 Most models presumed a high coverage of vaccination, e.g., assuming that 70% of the target
4 population will receive full doses of vaccination. However, not everyone who initiates the
5 vaccination completes full doses (i.e., two or three doses) within the recommended time frame.
6 Therefore, cost-effectiveness evaluation may underestimate or overestimate the actual costs.
7 The analytical model outcomes in terms of herd immunity is only hypothetical unless the
8 coverage level increases among the study cohort. Further, it is also indeterminate how non-
9 compliance may consequently influence vaccine efficacy, effectiveness and duration of
10 protection [55]. Model input assumptions regarding the 9-valent vaccine price also influence
11 the cost-effectiveness outcomes observed. Prices for 9-valent vaccine are currently not
12 specified, particularly, in lower-income countries. Hence, the cost-effectiveness of 9-valent
13 vaccine is still indeterminate and there is no exclusive evidence of greater cost-effectiveness
14 than the older licensed HPV vaccines. In fact, HPV vaccination is only cost-effective under the
15 assumption of the lowest price of 9-valent vaccine.

16 Therefore, once the 9-valent vaccine price is fixed, including support by the GAVI vaccine-
17 alliance, reassessment of cost-effectiveness of 9-valent vaccine is necessary. Another model
18 input assumption that may influence the cost-effectiveness is the inclusion or exclusion of herd
19 immunity effects based on the type of model acceptance. Two studies [23,30] constituted the
20 static model as an analytical exploration which did not confirm herd immunity effects.
21 Generally, the cost-effectiveness evaluations of HPV vaccine should use a dynamic model for
22 exploration because economic evaluations for primary prevention strategy should be
23 determined by societal benefits (e.g., indirect impacts on population were not immunised)
24 rather than individual demands [33]. However, the application of a static model in these two
25 studies may underestimate or overestimate the benefits of vaccination. If an HPV vaccination
26 program is exhibited to be cost-effective considering a static model for analytical exploration,
27 it is anticipated to be even very cost-effective when a dynamic model is considered [33].

28 A cost-effectiveness threshold is commonly fixed so that the interventions or programs that
29 appear to be a comparatively good or very good value for money can be determined. There are
30 several types of threshold. The majority of the studies used the cost-effectiveness demand side-
31 threshold (e.g. willingness-to-pay). In health-related explorations, a willingness-to-pay
32 threshold signifies an evaluation of what a consumer of health care might be prepared to pay

1 for the health benefit – given other competing demands on that consumer’s resources. There
2 are also supply-side thresholds that resource allocation mechanism takes into account. For
3 example, estimates of health status are predetermined since when an insurance company or
4 other provider spends some of its available budget on a new intervention it is therefore required
5 to decrease its funding of previous interventions. In considering the choice of the type of cost-
6 effectiveness threshold to use, the concept of opportunity cost may be the one most relevant to
7 providers who are primarily concerned with using available resources to maximise
8 improvements in health status. In considering the implementation of a new intervention,
9 decision-makers need estimates of both the health that might be gained elsewhere through the
10 alternative use of the resources needed for the new intervention and the health that is likely to
11 be lost if the new intervention is not used.

12 This review has some limitations. The cost-effectiveness evaluation based on GDP based
13 thresholds of 1–3 times of GDP per capita lacks country specificity and has little meaning for
14 country-level decision making [34]. It is uncertain whether this threshold truly reflects the
15 country’s affordability or societal willingness to pay for additional health gains. Additionally,
16 GDP is originally intended to measure the experience of people residing in urban areas and
17 thus, it may not actually reflect the experience of the entire population in a country, especially
18 those living in rural areas. Apart from an economic standpoint, other factors should be
19 considered for the national immunization program, such as budget availability, political issues,
20 cultural influences and availability of healthcare workforce.

21 **Conclusions**

22 Current evidence does not show conclusive proof of greater cost-effectiveness of the new 9-
23 valent vaccine. The inclusion of adolescent males in HPV vaccination programs is cost-
24 effective if vaccine price or coverage of females is low and if the HPV-associated male diseases
25 are also considered. Multiple age cohort vaccination strategy is likely to be cost-effective in
26 the age range of 9–14 years, but the upper age limit at which HPV vaccination is no longer
27 cost-effective needs to be further evaluated. Vaccine coverage, price, duration of protection
28 and discount rates are important parameters for consideration in the uptake of HPV vaccination.
29 Nonetheless, present study findings may be used as an evidence to policy-makers and
30 healthcare providers in making recommendations for HPV national immunization programs on
31 the new 9-valent vaccine or inclusion of adolescent boys’ vaccination or extending the age of

1 immunization, but it should not divert resources from vaccinating the primary target population
2 of girls aged 12 years or from effective cervical cancer screening programs.

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42 **Legend Figure**

43

- 1 Figure 1. PRISMA flow-chart for systematic review of studies
- 2
- 3

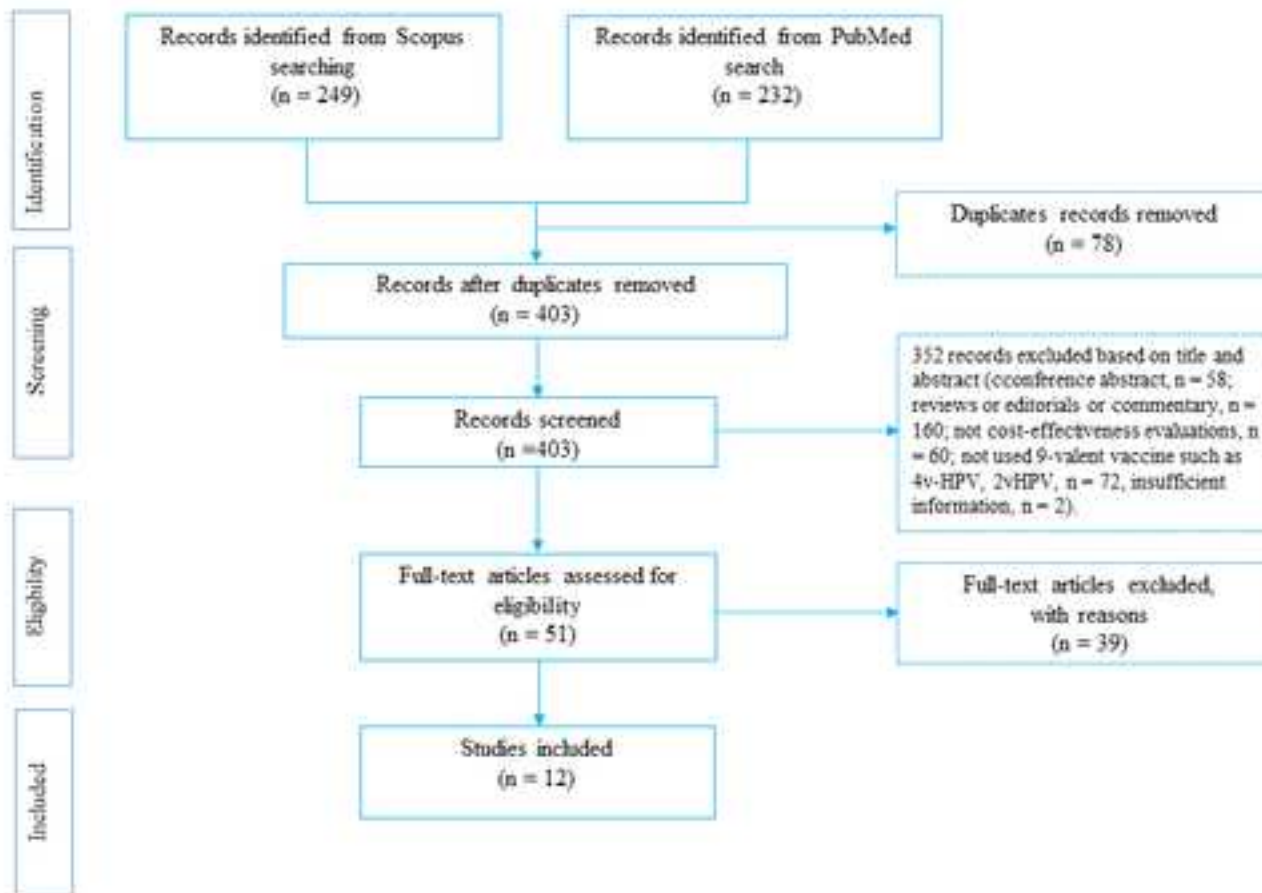


Table 1. Characteristics of twelve included cost-effectiveness of 9-valent vaccine

Characteristics	Number of studies (n)	Percentage (%)
Selected articles	12	100
Year of publication		
2014	2	17
2016	7	58
2017	2	17
2018	1	8
Name of Journal		
<i>BMC Infectious Diseases</i>	2	17
<i>Cost Effectiveness and Resource Allocation</i>	1	8
<i>Expert Review of Pharmacoeconomics & Outcomes Research</i>	1	8
<i>Human Vaccines & Immunotherapeutics</i>	1	8
<i>International Journal of Cancer</i>	1	8
<i>Lancet Public Health</i>	1	8
<i>PLOS ONE</i>	1	8
<i>The Journal of Infectious Diseases</i>	2	17
<i>Vaccine</i>	1	8
<i>Journal of the National Cancer Institute</i>	1	8
Study setting		
Australia	1	8
Austria	1	8
Canada	1	8
China	1	8
Germany	1	8
Italy	1	8
Kenya and Uganda	1	8
United States	5	42
Main location of first author		
Research institute	8	67
Research group	1	8
Hospital or University	3	25
Conflict of interest		
Yes	6	50
No	6	50

Table 2. Characteristics of the selected studies

Author	Study settings	Economic category	Target age cohort	Sex of cohort	Vaccine delivery route	No of doses	Type of model	Threshold	Perspective	Time horizon (year)	Discount rate	Sensitivity analysis	Most sensitive parameter
Kiatpongsan et al. [29]	Kenya and Uganda	LMIC	9 years	Female	NIP	3	Static	GDP and WTP	Societal	ns	3%	One-way	Discount rate
Laprise et al. [25]	United States	HI	9–14 years	Female	NIP	2 & 3	Dynamic	WTP	Societal	100	3%	One-way	Vaccine efficacy, screening method, and healthcare costs, vaccine coverage
Largeron et al. [26]	Germany	HI	12-17 years	Female	SHI plans	2	Dynamic	WTP	Societal	100	3%	One-way	Discounted rate, vaccine price
Mennini et al. [4]	Italy	HI	12 years	Female	NIP	2	Dynamic	WTP	Health system	100	3%	One-way	Vaccine price
Mo et al. [21]	China	MI	12 years	Female	NIP	3	Markov	GDP and WTP	Health system		3%	One-way	
Simms et al. [28]	Australia	HI	12 years	Female	NIP	2	Dynamic	WTP	Health system	20	5%	One-way	Vaccine price and vaccine duration of protection
Boiron et al. [17]	Austria	HI	9-year	Gender-neutral	Universal	2	Dynamic	WTP & GDP	Health system	100	3%	one-way	Discount rates and duration of protection
Brisson et al. [27]	United States	HI	9-year	Gender-neutral	Universal	3	Dynamic	WTP	Societal	70	3%	One-way	Vaccine price
Chesson et al. [19]	United States	HI	Female: 12 to 26 years, and male:12 to 21 y	Gender-neutral	NIP	3	Dynamic	WTP	Societal	100	3%	one-way	Vaccine price, Time horizon
Chesson et al. [20]	United States	HI	Female:13-18years	female	NIP	3	Dynamic	WTP	Societal	100	3%	One-way, Multi-way	Vaccine price
Chesson et al. [23]	United States	HI	Female: 12 to 26 years, and male:12 to 21 y	Gender-neutral	NIP	3	Dynamic	WTP	Health system	100	3%	One-way, Multi-way	Vaccine price
Drolet et al. [22]	Canada	HI	10 years	Female	NIP	3	Static	GDP	Societal	70	3%	One-way, Multi-way	Duration of protection, vaccine efficacy, vaccine price, discount rate

Note: Statutory health insurance (SHI) plans, NIP = National Immunisation Program, WTP = Willingness to pay,

Table 3. Extent to which included studies met standard reporting recommendations

Explained recommendations	Number of studies fulfilling	Percentage (%)
Research question or objective clearly stated	10/12	83
Described intervention and comparator	10/12	83
Exploration of effectiveness reported	11/12	92
<i>Single study-based estimates</i>	8/12	67
<i>Synthesis-based estimates</i>	10/12	83
Assumption of costs and outcomes specified	11/12	92
Currency and price data reported	12	100
Choice of model justified	12	100
Perspective specified	12	100
Time horizon specified	12	100
Discounting rates specified	12	100
Calculated and reported ICER or cost-saving	12	100
Sensitivity analysis performed	11/12	92
Conclusions follow from the data reported	12	100
Disclosed funding source(s)	10/12	83

Table 4. Summary of the results of the selected studies

Author	Vaccine efficacy	Vaccine coverage	Duration of vaccine protection	Herd effect	Vaccine price per dose	Unit of cost-effectiveness	GDP per capita	Incremental cost-effectiveness ration (ICER)	Conclusion or recommendation	Study funder
Kiatpongsan et al. [29]	100%	100%	Lifetime	No	US\$ 90.25	QALYs	Kenya = \$1,349.97, Uganda = \$ 674.05	Very cost-effective if additional cost of 9vHPV vaccine per course \leq \$9.8 in Kenya & \leq 8.4 in Uganda	Very cost-effective for both countries (Kenya & Uganda)	The Bill and Melinda Gates Foundation
Laprise et al. [25]	95%	90%	Lifetime	No	US\$ 158	QALYs		Cost saving to US\$ 500	Cost saving	CDC
Largeron et al. [26]	96%	90%	Lifetime	No	€ 140	QALYs	£30,000	€ 329 / QALY	Highly cost-effective	Sanofi Pasteur MSD (SPMSD).
Mennini et al. [4]	96%	90%	lifelong	No	€ 80.00	QALYs	€ 40,000	€ 10,463 / QALY	Highly cost-effective	Sanofi Pasteur MSD
Mo et al. [21]	96.7%	20%	lifetime	No	USD 149.03	QALYs	USD 23,880	US\$ 5,768 / QALY	- Highly cost-effective with screening 1 + 9vHPV, - Cost-effective with screening 2 + 9vHPV	The Japan Society for the Promotion of Sciences, the National Centre for Child Health and Development, and the Chinese Natural Sciences Foundation
Simms et al. [28]	59%	70%	lifelong	No	ns	QALYs	AUD 30,000	Cost-effectiveness if the additional cost per dose is US\$18–28	Cost-effectiveness	National Health and Medical Research Council, Australia
Boiron et al. [17]	98%	Female: 60%, Male: 40%	Lifelong	Yes	US\$ 147.15	QALYs	US\$ 44,767.35	Cost-saving at vaccine price up to US\$ 166.77	Cost-saving	Sanofi Pasteur MSD
Brisson et al. [27]	95.0%	Not stated	Lifelong	Yes	US\$ 158	QALYs	US\$ 48,373.88	Cost-saving regardless of cross-protection assumptions	Cost-saving if additional cost of vaccine per dose < US\$ 13	CDC, Canadian Research Chair Program
Chesson et al. [19]	95.0%	Female: 25.8% Male: 11.7%	Lifelong	Yes	US\$ 162.74	QALYs	US\$ 52,787.03	Cost-saving regardless of cross-protection assumptions (<\$0)	Cost-saving	Not stated
Chesson et al. [20]	95.0%	Female: 46% Male : 25%	Lifelong	Yes	US\$ 162.74	QALYs	US\$ 52,787.03	US\$ 111,446 / QALY	Not cost-effective	CDC, Canada Research Chair Program, Canadian Institute for Health Research
Chesson et al. [23]	95.0%	46%	Lifelong	Yes	US\$ 174	QALYs	US\$ 52,787.03	US\$ 228,800 / QALY	Not cost-effective	Not stated
Drolet et al. [22]	95.0%	80%	20years	Yes	US\$ 90.25	QALYs	US\$ 50,440.44	US\$ 11,593 /QALY	Very cost-effective if additional cost of vaccine per dose \leq US\$ 22.80	Canadian Research Chair Program



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