

Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1.	Identifying Infor	mation	
1. Given Name (Fi Andrea		2. Surname (Last Name) Morris	3. Date 14-November-2019
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Lipson
5. Manuscript Title Reduction in all-		luticasone furoate/umecli	dinium/vilanterol in COPD patients
6. Manuscript Ide	ntifying Number (if you l	know it)	
Section 2.	The Work Under (Consideration for Publ	ication
	submitted work (includir		m a third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation,
	evant conflicts of inte	rest? 🖌 Yes 🗌 No	
lf waa mlaasa fill y	aut tha ammunutata in	formation holes. If you he	we we are there are antity processing "ADD" button to add a your

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
GlaxoSmithKline				\checkmark	This study was funded by GSK	
GlaxoSmithKline			\checkmark		Editorial support by Philip Chapman of Fishawack Indicia Ltd, UK, was funded by GSK	

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

Name of Entity	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
GlaxoSmithKline				\checkmark	Employee of GSK	



Name of Entity G	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
GlaxoSmithKline				\checkmark	Shares/options held in GSK	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

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Disclosure Statement

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Dr. Morris reports other from GlaxoSmithKline, non-financial support from GlaxoSmithKline, during the conduct of the study; other from GlaxoSmithKline, other from GlaxoSmithKline, outside the submitted work; .

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Section 1			
Section 1. Identifying Informa	ation		
1. Given Name (First Name) Courtney	2. Surname (Last Name) Crim	3. Date 14-November-2019	
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Lipson	
5. Manuscript Title Reduction in all-cause mortality with flut	ticasone furoate/umeclidi	inium/vilanterol in COPD patients	
6. Manuscript Identifying Number (if you kno	ow it)		
		_	
Section 2. The Work Under Co	nsideration for Public	ration	
	but not limited to grants, da	a third party (government, commercial, private foundation, etc ita monitoring board, study design, manuscript preparation,	c.) for
·			
If yes, please fill out the appropriate info Excess rows can be removed by pressing		re more than one entity press the "ADD" button to add a	row.
Name of Institution/Company	Grant? Personal Nor	n-Financial Other? Comments	

Name of Institution/Company	Grant	Fees?	Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	This study was funded by GSK	
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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1. Given Name (F Christine Elaine	irst Name)	2. Surname (Last Name) Jones	3. Date 14-November-2019
4. Are you the co	rresponding author?	Yes 🖌 No	Corresponding Author's Name David Lipson
5. Manuscript Titl Reduction in all-		fluticasone furoate/umecli	dinium/vilanterol in COPD patients
6. Manuscript Ide	ntifying Number (if you	know it)	
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	submitted work (includi		m a third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation,

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Fees ⁵	Support		Comments	
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Evaluation and Feedback

Please visit <u>http://www.icmje.org/cgi-bin/feedback</u> to provide feedback on your experience with completing this form.

🖌 No



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Section 1. Identifying Inform	ation		
1. Given Name (First Name) David	2. Surname (Last Name) Halpin		3. Date 14-November-2019
4. Are you the corresponding author?	Yes 🖌 No	or's Name	
5. Manuscript Title Reduction in all-cause mortality with flu	iticasone furoate/umeclio	dinium/vilanterol in Co	OPD patients
6. Manuscript Identifying Number (if you kno	ow it)		
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Did you or your institution at any time receiv any aspect of the submitted work (including statistical analysis, etc.)?			•
Are there any relevant conflicts of intere	st? 🖌 Yes 🗌 No		
If yes, please fill out the appropriate info Excess rows can be removed by pressing	•	ve more than one enti	ty press the "ADD" button to add a row.
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Are there any relevant conflicts of interest? Yes No

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
AstraZeneca		\checkmark				



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Boehringer Ingelheim		\checkmark				
Chiesi		\checkmark				
GlaxoSmithKline		\checkmark				
Novartis		\checkmark				
Pfizer		\checkmark				
Sanofi		\checkmark				

Section 4.

Intellectual Property -- Patents & Copyrights

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5. Manuscript Title Reduction in all-cause mortality with fl	uticasone furoate/umeclio	linium/vilanterol in COPD patients
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Are there any relevant conflicts of interest? Yes No

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
GlaxoSmithKline	\checkmark				For work on antitrypsin deficiency	



Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Griffols		\checkmark			Jury for eALTA award	

Section 4. Intellectual Property -- Patents & Copyrights

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Section 6.

Disclosure Statement

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Dr. Lomas reports personal fees and other from GlaxoSmithKline, non-financial support from GlaxoSmithKline, during the conduct of the study; grants from GlaxoSmithKline, personal fees from Griffols, outside the submitted work; .

Evaluation and Feedback



Instructions

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3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Povalties: Funds are coming in to you or your institution due to you



Section 1. Identifying Inform	ation	
1. Given Name (First Name) Dawn	2. Surname (Last Name) Midwinter	3. Date 14-November-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Lipson
5. Manuscript Title Reduction in all-cause mortality with flu	ticasone furoate/umeclid	nium/vilanterol in COPD patients
6. Manuscript Identifying Number (if you kn	ow it)	_
Section 2. The Work Under Co	onsideration for Public	ation
	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
If yes, please fill out the appropriate info Excess rows can be removed by pressing	ormation below. If you hav	e more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Nor	I-Financial Oshor? Comments

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	This study was funded by GSK	
GlaxoSmithKline			\checkmark		Editorial support by Philip Chapman of Fishawack Indicia Ltd, UK, was funded by GSK	

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Are there any relevant conflicts of interest? Yes No

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	Employee of GSK	



Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	Shares/options held in GSK	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Section 1. Identifying Informa	ation		
1. Given Name (First Name) Dave	2. Surname (Last Name) Singh		3. Date 14-November-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author David Lipson	r's Name
5. Manuscript Title Reduction in all-cause mortality with flu	uticasone furoate/umecli	dinium/vilanterol in CC	OPD patients
6. Manuscript Identifying Number (if you kno	ow it)		
Section 2. The Work Under Co	onsideration for Publi	cation	
Did you or your institution at any time receiv any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interest	but not limited to grants, da	. , .	•
If yes, please fill out the appropriate info Excess rows can be removed by pressing	ormation below. If you hav	ve more than one entit	ty press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal No	n-Financial Other	Comments

Name of Institution/Company	Grant?	Personal Fees?	Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	This study was funded by GSK	
GlaxoSmithKline			\checkmark		Editorial support by Philip Chapman of Fishawack Indicia Ltd, UK, was funded by GSK	

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Are there any relevant conflicts of interest? \checkmark Yes \square No

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
AstraZeneca		\checkmark				



Name of Entity	Grant?	Personal Fees [?]	Non-Financial Support?	Other?	Comments	
Boehringer Ingelheim		\checkmark				
Chiesi		\checkmark				
Cipla		\checkmark				
Genentech		\checkmark				
Glenmark		\checkmark				
GlaxoSmithKline		\checkmark				
Menarini		\checkmark				
Mundipharma		\checkmark				
Novartis		\checkmark				
Peptinnovate		\checkmark				
Pfizer		\checkmark				
Pulmatrix		\checkmark				
Theravance		\checkmark				
Verona		\checkmark				

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Section 1						
Section 1. Identifying Inform	ation					
1. Given Name (First Name) Fernando	2. Surna Martine	me (Last Nar z	ne)		3. Date 14-November-2019	
4. Are you the corresponding author?	Yes	✓ No	Correspond David Lips	5	or's Name	
5. Manuscript Title Reduction in all-cause mortality with flu	iticasone	furoate/um	eclidinium/vilant	erol in CC	OPD patients	
6. Manuscript Identifying Number (if you kr	iow it)					
Section 2. The Work Under C						
The Work Under Co	onsidera	tion for P	ublication			
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not lir	nited to grar		-	-	c.) for
If yes, please fill out the appropriate info Excess rows can be removed by pressin			u have more thar	i one enti	ity press the "ADD" button to add a	row.
Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support [?]	Other?	Comments	
GlaxoSmithKline	\checkmark			\checkmark	Sponsor of the study	
GlaxoSmithKline			\checkmark		Editorial support by Philip Chapman of Fishawack Indicia Ltd, UK, was	

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funded by GSK

Are there any relevant conflicts of interest? 🖌 Yes No



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
AstraZeneca		√	\checkmark		COPD Advisory Boards (personal fees and non-personal travel support); Study Steering Committee (personal fees and non-personal travel support), ALAT presentation (personal fees and non-financial travel support)	
Boehringer Ingelheim		✓	\checkmark	\checkmark	COPD Advisory Board (personal fees and non-personal travel support); ATS presentation (personal fees); IPF disease state presentation at ALAT (personal fee and non-personal travel support); Progressive pulmonary fibrosis DSMB (no financial support but travel); ERS IPF study result presentation (personal fee and travel support)	
ProterrixBio			\checkmark	\checkmark	COPD Scientific Advisory Board (no direct financial compensation, support for NIH study)	
Columbia University		\checkmark			COPD CME program (personal fee honoraria)	
Genentech		\checkmark	\checkmark		COPD Advisory Board (personal fee and non-personal travel support) and asthma DSMB (no support); IPF Advisory Board (personal fees honorarium and non-personal travel support)	
GlaxoSmithKline			\checkmark		COPD Advisory Boards (personal fees honoaria and non-personal travel support), Study Steering Committee (non-personal travel support), DSMB (other - academic co-authorship), ERS presentation (non-personal travel support)	
Inova Fairfax Health System		\checkmark	\checkmark		COPD CME presentation (personal support honoarium and non- personal travel support)	
MD Magazine		\checkmark			COPD CME program (personal fee honorarium and non-personal travel support)	
Methodist Hospital Brooklyn		\checkmark			IPF CME program (personal fee honoraria)	
Miller Communicatinos		\checkmark	\checkmark		COPD and IPF CME programs (personal fees honoraria and non- personal travel support)	



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
National Association for Continuing Education		\checkmark	\checkmark		COPD and IPF CME programs (perfonal fees honoraria and non- personal travel support)	
Novartis		\checkmark	\checkmark		COPD Advisory Board and International meeting COPD disease presentations (personal fees honoraria and non personal travel support).	
New York University		\checkmark			ILD CME program (personal fee honoraria)	
Pearl Pharmaceuticals		\checkmark	\checkmark		COPD Advisory Boards (personal fee honoraria and non-personal travel support) AND COPD Steering Committee (academic productivity)	
PeerView Communications		\checkmark	\checkmark		COPD and IPF CME programs (personal fees honoraria and non- personal travel support)	
Prime Communications		\checkmark	\checkmark		COPD CME programs (personal fees honoraria and non-personal travel support)	
Puerto Rican Respiratory Society		\checkmark	\checkmark		COPD and IPF CME program (personal fee honoraria and non- personal travel support)	
Chiesi		\checkmark	\checkmark		COPD CME presentation (personal fees honoraria and non-personal travel support); Advisory Board (personal fees honoraria and travel support)	
Sunovion		\checkmark	\checkmark		COPD Advisory Boards (personal fee honoraria and non-personal travel support)	
Theravance		\checkmark	\checkmark		COPD Advisory Board (personal fee honoraria and non-personal travel support)	
UpToDate		\checkmark			COPD CME (personal fee honoraria)	
WebMD/MedScape		\checkmark			COPD CME presentations (personal fee honoraria)	
Afferent/Merck				\checkmark	IPF Study Steering Committee (other - co-authorship)	
Gilead			\checkmark		IPF Study Steering Committee (other - co-authorship)	
Nitto			\checkmark		IPF Study Teleconference and Steering Committee (non-personal travel support)	



Patara/Respivant	1				Venture capital expert advice for IPF
ratala/nespivalit		\checkmark		\checkmark	Study (personal fees honoraria); IPF Steering Committee
Potomac		\checkmark	\checkmark		IPF CME presentations (personal fee honoraria and non-personal travel support)
Biogen				\checkmark	IPF Study DSMB (no support) and IPF Study Steering Committee (academic productivity)
University of Alabama Birmingham		\checkmark	\checkmark		IPF CME presentation (personal fee honoraria and non-personal travel support)
Veracyte				\checkmark	IPF Study Steering Committee (other co-authorship)
Zambon			\checkmark		IPF Study Meeting (non-personal travel support) and Advisory Board (personal fees honorarium)
American Thoracic Society		\checkmark			AJRCCM DE (personal fee honoraria)
NIH	\checkmark				IPF UO1, COPD UO1/RO1
Physicians Education Resource		\checkmark	\checkmark		COPD CME program (personal fee honorarium and travel support)
Rockpointe		\checkmark			COPD CME program (personal fee honorarium)
Prometic				\checkmark	IPF Steering Committee (academic productivity)
Rare Disease Healthcare Communications		\checkmark			IPF CME program (personal fee honorarium)
Bayer				\checkmark	IPF Steering Committee (academic productivity)
Bridge Biotherapeutics				\checkmark	IPF Advisor (academic productivity)
Canadian Respiratory Network		\checkmark	\checkmark		COPD CME presentation (honorarium and travel support)
ProMedior				\checkmark	IPF Steering Committee (academic productivity)
Teva		\checkmark	\checkmark		COPD Advisory Board (personal fee honorarium and travel support)
France Foundation		\checkmark			IPF CME program
Dartmouth		\checkmark	\checkmark		IPF CME program
Gala				\checkmark	COPD Advisory Board
Physicians Education Resource		\checkmark			COPD CME



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗌 Yes

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🖌 No



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Section 1		
Section 1. Identifying Inform	hation	
1. Given Name (First Name) Gerard	2. Surname (Last Name) Criner	3. Date 14-November-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Lipson
5. Manuscript Title Reduction in all-cause mortality with flu	uticasone furoate/umeclid	inium/vilanterol in COPD patients
6. Manuscript Identifying Number (if you kr	now it)	
Section 2. The Work Under Co	onsideration for Publi	cation
any aspect of the submitted work (including statistical analysis, etc.)?	g but not limited to grants, da	a a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of intere		
If yes, please fill out the appropriate info Excess rows can be removed by pressin	•	ve more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal No	n-Financial Other? Comments

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	This study was funded by GSK	
GlaxoSmithKline			\checkmark		Editorial support by Philip Chapman of Fishawack Indicia Ltd, UK, was funded by GSK	

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Almirall		\checkmark				



Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments
AstraZeneca		\checkmark			
Boehringer Ingelheim		\checkmark			
Chiesi		\checkmark			
CSA Medical		\checkmark			
Eolo		\checkmark			
GlaxoSmithKline		\checkmark			
HGE Technologies				\checkmark	Ownership interest
Novartis		\checkmark			
Nuvaira		\checkmark			
Olympus		\checkmark			
Pulmonx		\checkmark			
Verona		\checkmark			
Amgen		\checkmark			
Broncus Medical		\checkmark			
Gala Therapeutics		\checkmark			
Helios Medical		\checkmark			
Merck		\checkmark			
Medtronic		\checkmark			
Mereo BioPharma		\checkmark			
NGM Biopharmaceuticals		\checkmark			
Philips Respironics		\checkmark			
Respivant Sciences		\checkmark			
The Implementation Group		\checkmark			

Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

🖌 No



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Criner reports other from GlaxoSmithKline, non-financial support from GlaxoSmithKline, during the conduct of the study; personal fees from Almirall, personal fees from AstraZeneca, personal fees from Boehringer Ingelheim, personal fees from Chiesi, personal fees from CSA Medical, personal fees from Eolo, personal fees from GlaxoSmithKline, other from HGE Technologies, personal fees from Novartis, personal fees from Nuvaira, personal fees from Olympus, personal fees from Pulmonx, personal fees from Verona, personal fees from Amgen, personal fees from Broncus Medical, personal fees from Gala Therapeutics, personal fees from Helios Medical, personal fees from Merck, personal fees from Medtronic, personal fees from Merce BioPharma, personal fees from The Implementation Group, outside the submitted work; .

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Section 1.			
	Identifying Inform	nation	
1. Given Name (First Name) Mark		2. Surname (Last Name) Dransfield	3. Date 14-November-2019
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name David Lipson
5. Manuscript Title Reduction in all-		uticasone furoate/umecli	dinium/vilanterol in COPD patients
6. Manuscript Idei	ntifying Number (if you k	now it)	
			—
Section 2.	The Work Under O	Consideration for Pub	lication
	ubmitted work (includin		m a third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation,
Are there any rel	evant conflicts of inter	rest? 🖌 Yes 🗌 No	
	but the appropriate inf be removed by pressi	•	ave more than one entity press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant? Personal N	on-Financial Other? Comments

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	This study was funded by GSK	
GlaxoSmithKline			\checkmark		Editorial support by Philip Chapman of Fishawack Indicia Ltd, UK, was funded by GSK	

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Are there any relevant conflicts of interest? Yes No

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Department of Defense	\checkmark					



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Boehringer Ingelheim		\checkmark		\checkmark	Consulting and contracted clinical trials
GlaxoSmithKline		\checkmark		\checkmark	Consulting and contracted clinical trials
Novartis				\checkmark	Contracted clinical trials
AstraZeneca		\checkmark		\checkmark	Consulting and contracted clinical trials
Yungjin				\checkmark	Contracted clinical trials
PneumRx/BTG		\checkmark		\checkmark	Consulting and contracted clinical trials
Pulmonx				\checkmark	Contracted clinical trials
Genentech		\checkmark			Consulting
Boston Scientific				\checkmark	Contracted clinical trials
Quark Pharmaceuticals		\checkmark			Consulting
NIH	\checkmark				
Mereo		\checkmark			Consulting
American Lung Association	\checkmark				
NIH	\checkmark				

Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Dr. Dransfield reports other from GlaxoSmithKline, non-financial support from GlaxoSmithKline, during the conduct of the study; grants from Department of Defense, personal fees and other from Boehringer Ingelheim, personal fees and other from GlaxoSmithKline, other from Novartis, personal fees and other from AstraZeneca, other from Yungjin, personal fees and other from PneumRx/BTG, other from Pulmonx, personal fees from Genentech, other from Boston Scientific, personal fees from Quark Pharmaceuticals, grants from NIH, personal fees from Mereo, grants from American Lung Association, grants from NIH, outside the submitted work; .

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Section 1. Identifying Information 1. Given Name (First Name) 2. Surname (Last Name) 3. Date David Lipson 14-November-2019 4. Are you the corresponding author? Yes No			
	rst Name)		
4. Are you the cor	responding author?	Yes No	
5. Manuscript Title Reduction in all-		fluticasone furoate/umeclidinium/vilant	erol in COPD patients
6. Manuscript Ider	ntifying Number (if you	know it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

	Are there an	y relevant c	onflicts	of interest?	\checkmark	Yes		No
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If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	This study was funded by GSK	
GlaxoSmithKline			\checkmark		Medical writing support by Philip Chapman of Fishawack Indicia Ltd, UK, was funded by GSK	

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GlaxoSmithKline				\checkmark	Employee of GSK	



Name of Entity	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
GlaxoSmithKline				\checkmark	Shares/options held in GSK	

Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Lipson reports other from GlaxoSmithKline, non-financial support from GlaxoSmithKline, during the conduct of the study; other from GlaxoSmithKline, other from GlaxoSmithKline, outside the submitted work; .

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Section 1. Identifying Informa	ation	
1. Given Name (First Name) MeiLan	2. Surname (Last Name) Han	3. Date 14-November-2019
4. Are you the corresponding author?	Corresponding Author's Name David Lipson	
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	Fees?	Support?		
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Boehringer Ingelheim		\checkmark				
GlaxoSmithKline		\checkmark				
Novartis				\checkmark	Research support	
Sunovion				\checkmark	Research support	
Mylan		\checkmark				

Section 4.

Intellectual Property -- Patents & Copyrights

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Evaluation and Feedback



Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1		
Section 1. Identifying Info	mation	
1. Given Name (First Name) Neil	2. Surname (Last Name) Martin	3. Date 14-November-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Lipson
5. Manuscript Title Reduction in all-cause mortality with	fluticasone furoate/umecli	dinium/vilanterol in COPD patients
6. Manuscript Identifying Number (if you	know it)	
		_
Section 2. The Work Under	Consideration for Publ	ication
	ng but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for lata monitoring board, study design, manuscript preparation,
If yes, please fill out the appropriate in Excess rows can be removed by press		we more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal No	on-Financial Other? Comments

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support	Other?	Comments	
GlaxoSmithKline				\checkmark	This study was funded by GSK	
GlaxoSmithKline			\checkmark		Editorial support by Philip Chapman of Fishawack Indicia Ltd, UK, was funded by GSK	

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Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
GlaxoSmithKline				\checkmark	Employee of GSK	



Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
			\checkmark	Shares/options held in GSK	
	irant?			Fees ^f Support ^f	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6.

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Dr. Martin reports other from GlaxoSmithKline, non-financial support from GlaxoSmithKline, during the conduct of the study; other from GlaxoSmithKline, other from GlaxoSmithKline, outside the submitted work; .

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Continue 1		
Section 1. Identifyi	ng Information	
1. Given Name (First Name) Peter	2. Surname (Last Name) Lange	3. Date 14-November-2019
4. Are you the corresponding a	nuthor? Yes 🖌 No	Corresponding Author's Name David Lipson
5. Manuscript Title Reduction in all-cause morta	ality with fluticasone furoate/umecli	dinium/vilanterol in COPD patients
6. Manuscript Identifying Num	ber (if you know it)	
Section 2. The Worl	k Under Consideration for Publ	ication
		n a third party (government, commercial, private foundation, etc.) for lata monitoring board, study design, manuscript preparation,
Are there any relevant confli	cts of interest? 🖌 Yes 🗌 No	
If yes, please fill out the app Excess rows can be removed	•	ave more than one entity press the "ADD" button to add a row.
Name of Institution/Compa	ny Grant? Personal No	on-Financial Other? Comments

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
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Are there any relevant conflicts of interest? Yes No

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
AstraZeneca		\checkmark				



Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Boehringer Ingelheim		\checkmark				
Chiesi		\checkmark				
GlaxoSmithKline	\checkmark	\checkmark				

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Intellectual Property -- Patents & Copyrights

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Section 1. Identifying Inform		
Identifying Inform	nation	
1. Given Name (First Name) Pamela	2. Surname (Last Name) Manchester	3. Date 14-November-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Lipson
5. Manuscript Title Reduction in all-cause mortality with flu	uticasone furoate/umeclic	linium/vilanterol in COPD patients
6. Manuscript Identifying Number (if you kr	now it)	
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	but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
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GlaxoSmithKline				\checkmark	This study was funded by GSK	
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Section 1.	dentifying Informa	ation							
1. Given Name (First Robert	Name)	2. Surnar Wise	ne (Last Nan	ne)		3. Date 14-November-2019			
4. Are you the corres	Are you the corresponding author? Yes 🖌 No			-	Corresponding Author's Name David Lipson				
5. Manuscript Title Reduction in all-ca	use mortality with flut	icasone f	uroate/um	eclidinium/vilante	erol in CO	PD patients			
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	mitted work (including l					ent, commercial, private foundation, etc.) for udy design, manuscript preparation,			
Are there any relev	ant conflicts of interes	st? 🖌 \	/es	No					
	t the appropriate infor removed by pressing			u have more than	one enti	ty press the "ADD" button to add a row.			
Name of Institutio	n/Company	Grant?	Personal Fees?	Non-Financial	Other?	Comments			

Name of Institution/Company	Grant•	Fees?	Support?	Other•	Comments	
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Are there any relevant conflicts of interest? \checkmark Yes \square No

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
AstraZeneca / Medimmune / Pearl	\checkmark	\checkmark			data monitoring committee, grants, consulting	



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Boehringer Ingelheim	\checkmark	\checkmark			steering committee, data monitoring committee, grants
Contrafect		\checkmark			clinical endpoint committee
Pulmonx		\checkmark			data safety monitoring committee
Roche		\checkmark			Data monitoring committee
Spiration		\checkmark			Steering committee
Sunovion		\checkmark			Workshop, consulting
Pearl Therapeutics	\checkmark				Research grant
Merck		\checkmark			Data monitoring committee
Circassia		\checkmark			Consultant
Pneuma		\checkmark			Consultant
Verona		\checkmark			Consultant
Mylan/Theravance		\checkmark			Consultant
Propeller Health		\checkmark			Consultant
Sanofi-Aventis	\checkmark				Research Grant
AbbVie		\checkmark			Data Monitoring Committee
GSK	\checkmark	\checkmark			Scientific advisory board, Clinical Endpoint committee, Data Monitoring Committee, research grant support.

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Section 1.	Identifying Informa	ation								
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	5. Manuscript Title Reduction in all-cause mortality with fluticasone furoate/umeclidinium/vilanterol in COPD patients									
6. Manuscript Ider	ntifying Number (if you kno	ow it)								
Section 2.	The Work Under Co	nsiderat	tion for P	ublication						
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 \checkmark

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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

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CSL Behring				\checkmark	Employee of CSL Behring

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