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## Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection

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# Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection

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Abstract

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**Objective** To develop and test a new reusable, sterilizable N95-comparable face mask, known as the iMASC system, given the dire need for personal protective equipment (PPE) within healthcare settings during the COVID-19 pandemic

**Design** Single arm feasibility study

**Setting** Emergency department and outpatient oncology clinic

Participants Healthcare workers that have previously undergone N95 fit testing

Interventions Fit testing of new iMASC system

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**Primary and secondary outcome measures** Primary outcome is success of fit testing, and secondary outcomes are user experience with fit, breathability, and filter replacement.

**Results** Twenty-four subjects were recruited to undergo fit testing, and the average age of subjects was 41 years (range of 21-65 years) with an average BMI of 26.5. The breakdown of participants by profession was 46% nurses (n=11), 21% attending physicians (n=5), 21% resident physicians (n=5), and 12% technicians (n=3). Of these participants, 4 did not perform the fit testing inability to detect saccharin solution on pre-mask placement sensitivity test, time, and general mask on her face. All participants (n=20) that performed the fit test successfully completed the fit test as part of the hospital annual policy. User experience with the iMASC system, as evaluated using a Likert scale with a score of 1 indicating excellent and a score of 5 indicating very poor, demonstrated an average fit score was 1.75, breathability was 1.6, and ease of replacing the filter on the mask was scored on average as a 2.05.

**Conclusions** The iMASC system was shown to successfully fit multiple different face sizes and shapes using an OSHA approved testing method.

## Article summary

# Strengths and limitations of this study

- Development of a new N95-comparable mask that can be sterilized and reused
- Mechanical testing of iMASC system determining stability under sterilization conditions
- Finite elemental analysis showcasing mask deformation and reaction forces from facial scans of twenty different wearers
- Testing of iMASC system in emergency department and outpatient oncology clinic healthcare workers with faces that were different sizes and shapes
- The iMASC system as an alternative sustainable solution to the dwindling supply of disposable N95 masks

# Introduction

Dwindling supplies of personal protective equipment (PPE) in hospitals is forcing healthcare workers to reuse and clean PPE using anecdotal strategies, which may weaken the effectiveness of PPE in protecting workers from acquisition of COVID-19 disease. In some places, the complete lack of PPE has resulted in healthcare workers using PPE that may have variable droplet protection.<sup>1</sup> Shortages of PPE have significant impact among healthcare workers who evaluate individuals with suspected and confirmed COVID-19 disease.<sup>1-2</sup> First, individuals using PPE acquired outside of the hospital may inadvertently be using PPE without droplet protection resulting in inadequate protection. Second, workers without PPE will acquire infections, including COVID-19, at greater rates than those with adequate PPE.<sup>3</sup> Infected healthcare workers may transmit disease to family members, worsening the pandemic.<sup>4</sup> Third, with increased COVID-19 infection among healthcare workers, the available workforce to address sick patients decreases, resulting in increasing morbidity and mortality.<sup>4</sup> There is therefore a critical need to develop innovative measures to generate safe, reusable PPE.

Thus, we have designed and fabricated an Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection with N95 material filters that can be inserted and replaced as needed. To understand the ability of our mask to conform to multiple face sizes and shapes, we have undertaken finite element analysis evaluating the deformability of the iMASC system. Lastly, we performed a prospective clinical trial for fit testing of our mask as well

as qualitative assessment of the mask compared to the current N95 masks. Our goal is to address the critical shortage of N95 face masks to maximally protect healthcare workers and provide an enduring supply chain of N95 face masks to reduce and prevent COVID-19 transmission among healthcare workers and patients.

### Methods

## iMASC fabrication

Masks were designed in SolidWorks based upon current 3M 1860 N95 masks. Once optimized, the design was exported as a SolidWorks file. Reusable face masks were then generated by Protolabs through injection molding out of liquid silicone rubber. Elastic straps were used to secure the mask to the wearer's face. The mask utilized dual, replaceable filters consisting of virgin N95 filter media bonded to a rigid retaining ring which can easily press-fit into recessed areas of the mask. A 3-inch long, 5mm wide aluminum strip was bonded across the bridge of the nose section of the mask similar to traditional N95 masks.

### Material selection and testing

As a material currently used in anesthesia masks, DOW QP1-250 LSR was selected as a proven injection molding material which enabled greater design freedom for the manufacturing process. Mechanical testing according to ASTM D412 was performed on samples cut directly from masks exposed to a variety of sterilization methods including 10 cycles of autoclaving, 10-minute soak in 10% bleach solution, and 10-minute soak in isopropanol.

### Face scans

To obtain the 3D face geometry of the participants, we developed an IOS application (app) using the TrueDepth camera from an iPhone 11 to capture the face image of the participants. The app employs the ARKit developed by Apple for the use of face tracking in augmented reality to transform a 2D image with depth information into a 3D mesh. The output 3D mesh would then be converted into a solid model for finite element analysis.

## Deformation studies

The commercial FE package ABAOUS/standard 2017 was used for simulating the deformation response of elastic masks. The 3D FE models were constructed by importing the CAD model of the mask from SolidWorks and scanned images of the participant faces. In all the analyses, we discretized the mask using four-node 3D linear tetrahedron elements with hybrid formulation (C3D4H Abagus element type). The material behavior of the elastomeric mask was captured using an almost incompressible Neo-Hookean hyperelastic model with Poisson's ratio of v 0 = 0.499 and density of 1.12E3 kg/m3) with directly imported uniaxial test data described in "Material characterization of the medical-grade silicone elastomer ". The scanned faces were imported as 3D Shell Discrete Rigid Element and meshed using three-node 3D rigid triangular elements (R3D3 Abagus element type). A simplified contact law ("surface to surface" type interaction) was assigned to the model with a penalty friction coefficient 0.2 for tangential behavior and a "hard" contact for normal behavior. The top-middle edge of the mask was positioned to the node at the center of the line connecting the eyes. The "Quasi-static" dynamic implicit solver (\*DYNAMIC module in Abaque) was used. The mask was deformed by applying tensile forces along bands, shown in figure 

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**S1** using SMOOTH step amplitude curve, while completely constraining the motion of the face. The reaction force of the mask against the face as well as contact pressures were recorded as a function of applied load.

### Clinical studies

Institutional Review Board (IRB) approval was obtained prior to any work (Partners IRB 2020P000852). Subjects were comprised of adult Partners Healthcare staff including physicians, residents, nurses, and technicians who were recruited on a voluntary basis. Subjects were enrolled by study staff. Subjects gave informed consent to participate in the study. Following enrollment and consent, subjects were briefed on the study procedure and then completed a baseline assessment to obtain general demographic information and ensure they had previously been fit tested successfully.

Subjects underwent fit testing in accordance with the protocol outline in the OSHA guidance in Appendix A of 1910.134 using the Gerson Respirator Fit Test kit (part # 065000). In brief, a demonstration was performed to show subjects how to put on a respirator, how it should be positioned on the face, how to set the strap tension and how to determine a proper fit. Subjects then selected a respirator from the two available sizes and adjusted the facepiece until it provided an acceptable fit and was comfortable. Fit was defined as proper placement of the chin; adequate strap tension; fit across the bridge of the nose; tendency of respirator to slip; and ensuring the respirator was of proper size to span between the bridge of the nose and the chin through self-observation in a mirror. Comfort was defined as the position of the mask on the nose, face, and cheeks; room for eye protection; and room to talk. Once the mask was deemed comfortable and of adequate fit, the subject performed a user seal check. To check positive pressure, subjects gently exhaled while wearing the mask to see if the facepiece bulged slightly. Similarly, to perform a negative pressure air check subjects took a deep breath in while wearing the mask and observed for areas of collapse. If air leaked between the subject's face and the face seal of the respirator or if bulging or collapse occurred during the user seal test, the subject removed the mask and began the procedure again with a new mask. If the subject passed, they proceeded to the fit test. 

Subjects first ensured they could detect the taste of the Saccharine test solution. Without a mask on, subjects donned a hood with a fitted collar with a nozzle hole in front of the subject's mouth and nose. The subject was instructed to breathe through his or her nose and to report when a sweet taste was detected. An inhalation medication nebulizer containing the test solution was gently squeezed ten times while attached to the hood apparatus to aerosolize the test solution into the hood for an approximate volume of 1ml of aerosolized test solution in the hood. If the subject reported a sweet taste, the threshold test was considered complete. If the subject was unable to taste anything, ten more squeezes were administered. Again, if the subject reported a sweet taste the threshold test was unable to taste the test solution after 30 squeezes, the subject was considered unable to taste the solution and was excused from the study. Study staff recorded the taste threshold indicated in the threshold test for each subject.

After successful completion of the threshold screening test, subjects donned the mask they had previously fitted for comfort and fit under a hood with a fitted collar and were instructed to report if they could taste the test solution. A nebulizer of odorous solution (Saccharin) was inserted into the hole in the front of the hood and sprayed at the same concentration (10, 20, or 30 squeezes)

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as the subject was able to taste in their initial threshold test. The subject was instructed to perform the following exercises while the aerosolized solution was replenished every 30 seconds: normal breathing, deep breathing, turning the head side to side, moving the head up and down, counting backwards from 100, grimacing, bending over, and finally normal breathing for a second time. If the subject at any time during the fit test was able to taste the solution, they indicated to the study staff and the test was considered failed. If the subject did not report tasting the solution the test was considered passed. Subjects who passed the fit test were introduced to how to properly replace the filter with a demonstration by study staff. Subjects were then asked to replace the filter and perform a user seal check to ensure an adequate fit. Subjects then performed a second fit test with the replacement filter. Finally, subjects completed an exit assessment where they ranked fit, breathability, and difficulty of replacing the filter according to a Likert scale. Subjects were also asked about their willingness to wear the mask compared to either a surgical mask and an N95 mask. All testing was performed at Brigham and Women's Hospital.

### Results

### Design and generation of injection molded liquid silicone rubber mask

The iMASC system was designed to function as an N95-comparable mask (**figure 1**). The shape of the iMASC system was modeled from disposable regular N95 masks used in the hospital, which are amenable to many different face sizes and shapes. Medical grade liquid silicone rubber (LSR) was identified as an optimal material for mask fabrication due to its conformable capacity, sterilizability through multiple methods and compatibility with injection molding for fabrication scalability. The weight of the iMASC system was  $44.84 \pm 0.05$  grams (n = 3) compared to  $10.41 \pm 0.13$  grams (n = 3) of current N95 masks. We employed a dual filter approach similar to half-mask elastomeric respirators to increase breathability and filtration area (5). A single regular N95 mask generated up to 5 filters for the iMASC system, thus extending the N95 material use. Furthermore, based upon the material selection of a medical grade LSR, the iMASC system is reusable after sterilization by cleaning with hospital grade bleach/alcohol wipes, autoclave and heating methods.

### Characterization of mask material after sterilization

An advantage of the iMASC system over the half-mask respirators is the methods of sterilization (see **table S1**). We have performed tensile tests of the mask material after 10 autoclave cycles and 5 minutes in a 1:10 bleach solution and 70% isopropyl alcohol. We found that 10 autoclave cycles make the mask slightly stiffer, while the bleach soak resulted in no change and the isopropanol alcohol soak makes the material less stiff (**figure S2**). Despite these small changes in tensile strength, there were no gross differences in the mask compared to the non-sterilized mask.

### Finite element analysis for mask deformation upon different face shapes and sizes

We used non-linear finite element (FE) analyses (see "Deformation studies" in Methods) to evaluate the deformation response of the flexible mask frames while wearing and determine the forces required to keep the mask in place across a range of subject faces. In **figure 2A**, we reported the numerical snapshots of the face mask when subjected to the strap's tensile loads, denoted by *T* shown in **figure S3**, and monitored the deformation of the mask at different levels of the reaction force exerted from the mask to the face, F = 0 (undeformed), 4.5 (initial contact), and 10 (full contact) N. The color maps represent the distribution of displacement's magnitude, *U*, showing

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relatively large deformation of the mask required to fit in to the subject face. We also calculated the normal contact forces,  $F^N$ , and contact pressures, P, as a function of F to evaluate the interaction between the mask and face. In figure 2B, the distribution of the  $F^N$  are shown at the different F. As expected, no  $F^N$  was recorded at F = 0. By pulling the straps, the mask starts to be engaged with the face, and at F = 4.5 N the maximum  $F^N$  occurs around the cheek. Further pulling the straps (F = 10 N) induces a relatively higher  $F^N$  along the edge of the mask in the check and chin (lower lips) rather than the nose and cheekbones. This is a signature of the need to the Aluminum strip to bond across the bridge of the nose to enhance the contact pressure.

Next, we estimated the reaction force required to achieve an average contact pressure of P = 10 KPa (relatively uniformly distributed along the edge of the mask) as a higher limit of the contact pressure that results in a suitable fit between the mask and skin faces.<sup>6</sup> This reaction force is equivalent to the force applied through the straps. In **figure 2C**, we reported the reaction forces for twenty different subjects, ranging from 9.5 to 15 N. These variations are duo to the difference in shape and size of the subject's faces especially in the jaw and cheekbone parts. Through application of these forces via the straps combined with the aluminum strip across the nose bridge, one can guarantee the mask will be tightly stayed in place.

## *Clinical trial evaluating mask fitting*

In a prospective trial, we enrolled 24 healthcare workers at a large, urban, academic medical center who had been previously certified to wear a N95 respirator into our IRB-approved study. We 28 excluded individuals with significant facial hair or those that had failed a N95 fit test. Consenting individuals were subject to a fit test as defined by the United States Occupational Safety and Health Administration (OSHA).<sup>7-8</sup> Briefly, participants first placed the iMASC system on their face and molded the nosepiece to ensure an adequate seal. Next, the participant's head and face were placed in a plastic hood, and a saccharine solution was sprayed into the enclosed space as guided by OSHA.<sup>7</sup> Participants were asked to perform four maneuvers: 1) rotating head in the lateral plane, 2) moving the head up and down, 3) verbally counting down backwards from 100 to 90 and 4) bending at the waist. A passing test was defined as no detection of saccharine solution by study 38 participants. Figure 3A shows the demographics of the participants, and figures S3 and S4 showcase the 3D facial reconstructions demonstrating variability of facial sizes and shapes among the participants. The average age of participants was 41 years with a range of 21-65 years with an average BMI of 26.5. The breakdown of participants by profession was 46% nurses (n=11), 21% attending physicians (n=5), 21% resident physicians (n=5), and 12% technicians (n=3). Of these participants, 4 did not perform the fit testing (1 due to inability to detect saccharin solution on premask placement sensitivity test, 2 due to time, and 1 due to fit of the mask on her face).

All participants (n=20) that performed the fit test successfully completed the fit test as part of the hospital annual policy. All participants passed their fit test and were also able to successfully replace the filter into the mask, resulting in a 100% success rate for both fit testing and filter exchange. User experience with the iMASC system was evaluated using a Likert scale with a score of 1 indicating excellent and a score of 5 indicating very poor. Of the 20 participants, the average fit score of the mask was a 1.75 (figure 3B). Participants on average scored the breathability of the mask as a 1.6 with a median of 1.5. Finally, ease of replacing the filter on the mask was scored on average as a 2.05 with a median score of 2. Participants' preference to wear the iMASC over a surgical mask or an N95 respirator was also assessed. Sixty percent of participants indicated they would be willing to wear our mask instead of a surgical mask, with 20% indicating no preference between our mask and a standard surgical mask and 20% indicating they would prefer to wear a surgical mask (figure 3C). When asked about preference to wear our mask instead of an N95 respirator, 25% of participants indicated they would prefer to wear our mask and 60% indicated no preference between our mask and a standard issue mask, with only 15% indicating they would prefer to wear a standard issue N95 respirator (figure 3D).

## Discussion

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During times of pandemics, it is essential to protect healthcare workers from infection and transmission of disease with adequate PPE.<sup>4,9</sup> As stocks of N95 face masks have reduced, healthcare workers are forced to find alternative strategies of protection, including re-sterilizing masks and using alternative mask materials that may result in less protection and higher disease transmission.<sup>9-</sup> <sup>10</sup> Our approach here was to develop a scalable, reusable face mask that can extend the amount of N95 material while providing the same droplet protection as standard N95 masks. The iMASC system was shown to successfully fit multiple different face sizes and shapes using an OSHA approved testing method. Based on the success of the iMASC system in fit testing, this approach could be scaled up for use across many locations. By selecting injection molding as the fabrication technique for the iMASC system, we believe we possess a fundamental advantage to other initiatives using three-dimensional (3D) printing techniques because injection molding is highly scalable and has decreased production time when compared to 3D printing.

These are initial proof-of-concept studies and have some limitations. First, the small sample 28 29 size and single institutional nature of this prospective study limit generalizability and warrants 30 evaluation in a larger cohort involving multiple institutions. As a result of the lack of availability of standard N95 masks, the iMASC system was not compared to standard of care N95 masks. For the iMASC system, filter replacement was noted to be slightly challenging and additional design changes, such as slight adjustments to dimensions and tolerances, would likely improve the fit and robustness. All post injection-molding manufacturing steps were completed in-house and in large scale production would be outsourced to contracted manufacturers with greater quality control of 38 filter components.

Newer face masks, such as our iMASC system, have potential to resupply hospitals and clinicals with effective N95-comparable masks. Furthermore, a 2018 consensus report from the National Academies of Engineering, Science, and Medicine recommended that the durability and reusability of elastomeric respirators made them desirable for stockpiling for emergencies.<sup>5</sup> This approach could be applicable to users outside of the healthcare setting, including people in the research, home improvement, and manufacturing settings.

# **Author Statement**

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Contributors: J.D.B. and A.J.W. designed and fabricated the iMASC system, assisted with the clinical trial, analyzed and interpreted data, and wrote the manuscript. P.R.C. performed the clinical trial, analyzed and interpreted data, and wrote the manuscript. H.W.H. and S.B. designed the face scanning and performed FEA modeling, analyzed data, and wrote the manuscript. S.B., C.T., and

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S.M. analyzed data and designed prototypes. G.T. supervised, reviewed the data and edited the manuscript.

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Competing Interests: There are no competing interests related to the work described in the manuscript. Complete details of all other relationships for profit and not for profit for G.T. can found at the following link: https://www.dropbox.com/sh/szi7vnr4a2ajb56/AABs5N5i0q9AfT11qIJAE-T5a?dl=0

Patient and public involvement: Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

**Data Availability Statement:** The authors declare that the data supporting the findings of this study are available within the paper and its supplementary information files.

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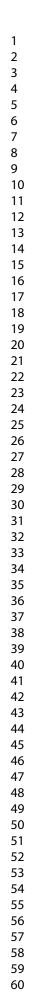
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## Figures

**Figure 1. iMASC system for aerosol-based protection.** (A) Front and (B) side images of the iMASC system. (C) Workflow for sterilization and reuse of iMASC system.

**Figure 2. Finite Element modeling of flexible masks.** (A) Numerical images showing the deformation of the elastomeric mask at different levels of reaction forces, F= 0, 4.5, and 10 N in two different views (top and bottom rows). The colors represent the magnitude of displacement field, U. (B) The corresponding distribution of the normal contact forces,  $F^N$ , between the mask and face. (C) Reaction forces for the subject numbers n=1,2,3,..., 20 computed from simulations.

Figure 3. Fit testing of iMASC system in healthcare workers and their user experience. (A) Demographics of participants (N = 24) enrolled in fit testing clinical trial. (B) User experience (N = 20) with the mask based upon a Likert scale. User preferences (N = 20) comparing the iMASC system to the (C) standard surgical mask and (D) N95 respirators.



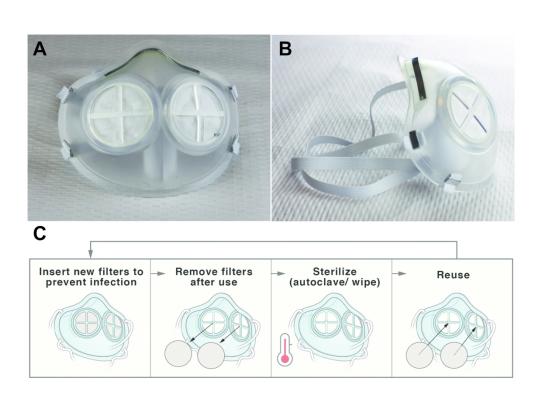


Figure 1. iMASC system for aerosol-based protection. (A) Front and (B) side images of the iMASC system. (C) Workflow for sterilization and reuse of iMASC system.

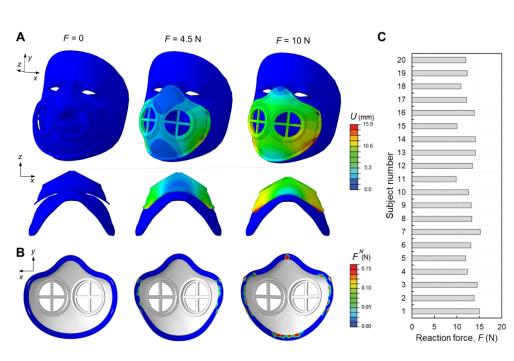


Figure 2. Finite Element modeling of flexible masks. (A) Numerical images showing the deformation of the elastomeric mask at different levels of reaction forces, F= 0, 4.5, and 10 N in two different views (top and bottom rows). The colors represent the magnitude of displacement field, U. (B) The corresponding distribution of the normal contact forces, F^N, between the mask and face. (C) Reaction forces for the subject numbers n=1,2,3,.., 20 computed from simulations.

259x165mm (220 x 220 DPI)

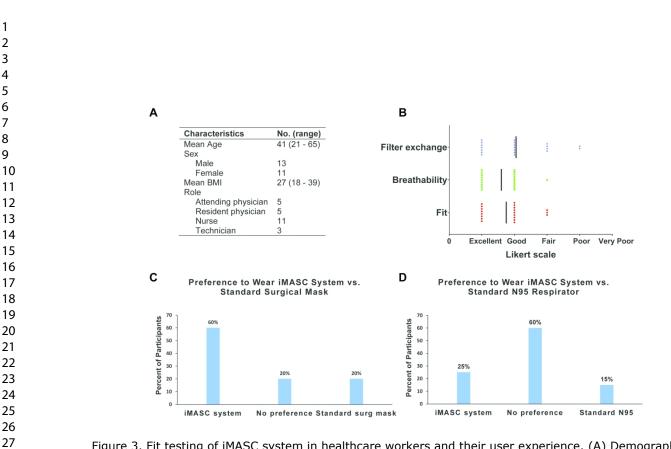


Figure 3. Fit testing of iMASC system in healthcare workers and their user experience. (A) Demographics of participants (N = 24) enrolled in fit testing clinical trial. (B) User experience (N = 20) with the mask based upon a Likert scale. User preferences (N = 20) comparing the iMASC system to the (C) standard surgical mask and (D) N95 respirators.

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**Supplementary Information** 

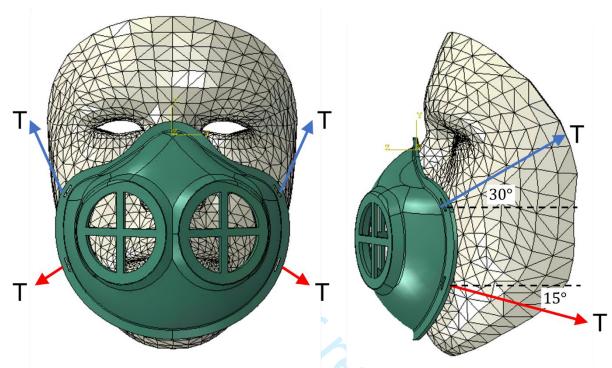


Figure S1. Illustration of the applied loads via mask straps.

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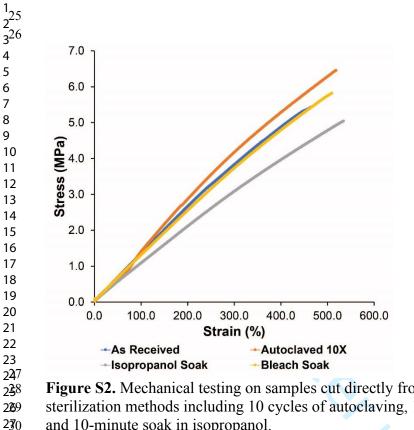


Figure S2. Mechanical testing on samples cut directly from masks exposed to a variety of sterilization methods including 10 cycles of autoclaving, 10-minute soak in 10% bleach solution, and 10-minute soak in isopropanol.

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Subject 1	Subject 2	Subject 3	Subject 4
Subject 5	Subject 6	Subject 7	Subject 8
Subject 9	Subject 10	Subject 11	Subject 12
Subject 13	Subject 14	Subject 15	Subject 16
Subject 17	Subject 18	Subject 19	Subject 20

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**Figure S3.** Front view of 3D facial reconstruction of participants faces in fit trial of the iMASC system.

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Subject 1	Subject 2	Subject 3	Subject 4
Subject 5	Subject 6	Subject 7	Subject 8
Subject 9	Subject 10	Subject 11	Subject 12
Subject 13	Subject 14	Subject 15	Subject 16
Subject 17	Subject 18	Subject 19	Subject 20

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 Table S1. Array of N95 and N95-comparable technologies.

Туре	Ex \$/Unit	Pros	Cons	Recommended Sterilization Method
Disposable FFR	3M 8210 \$4.29	<ul> <li>Ease of fit/use</li> <li>Cheap per use compared to HFRR and FFRR</li> <li>Some models come with exhaust valve</li> </ul>	<ul> <li>Not reusable</li> <li>No eye protection</li> <li>If exhaust valve is available, it's not filtered</li> </ul>	N/A
iMASC system	Mask: < \$2.00 Filter: TBD	<ul> <li>Cheap cost</li> <li>Ease/accessibility of manufacturing</li> <li>Potentially autoclavable</li> </ul>	<ul> <li>No eye protection</li> <li>No exhaust valve for humidity/ease of use relief</li> </ul>	Autoclave, Clorox wipe, IPA wipe, detergent and sterilization agent wash
Half-Face Reusable (HFRR)	3M HFRR 6000 Mask: \$28.99 3M 2097 Cartridge: \$10.10/pair	<ul> <li>Powered air compatible with select models</li> <li>Exhaust valve reduces humidity and breathing resistance</li> <li>Flange/gusset provides comfortable seal skin</li> </ul>	<ul> <li>Expensive</li> <li>Exhaust valve not filtered</li> <li>No eye protection</li> </ul>	Detergent and sterilization agent wash
Full-Face Reusable (FFRR)	3M FFRR 6000 Mask: \$149.52 3M 2097 Cartridge: \$10.10/pair	<ul> <li>Best coverage protection</li> <li>Powered air compatible with select models</li> <li>Exhaust valve reduces humidity and breathing resistance</li> <li>Flange/gusset provides comfortable seal to skin</li> </ul>	<ul> <li>Expensive</li> <li>Exhaust valve not filtered</li> <li>Potential visual obstruction due to fogging</li> </ul>	Detergent and sterilization agent wash

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### Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection: a prospective single arm feasibility study

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Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection: a prospective single arm feasibility study
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Abstract Objective To develop and test a new reusable, sterilizable N95-comparable face mask, known as the iMASC system, given the dire need for personal protective equipment (PPE) within healthcare settings during the COVID-19 pandemic Design Single arm feasibility study Setting Emergency department and outpatient oncology clinic Participants Healthcare workers that have previously undergone N95 fit testing Interventions Fit testing of new iMASC system

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Primary and secondary outcome measures Primary outcome is success of fit testing, and secondary outcomes are user experience with fit, breathability, and filter replacement.

4 **Results** Twenty-four subjects were recruited to undergo fit testing, and the average age of subjects 5 was 41 years (range of 21-65 years) with an average BMI of 26.5. The breakdown of participants 6 by profession was 46% nurses (n=11), 21% attending physicians (n=5), 21% resident physicians 7 8 (n=5), and 12% technicians (n=3). Of these participants, 4 did not perform the fit testing inability 9 to detect saccharin solution on pre-mask placement sensitivity test, time, and placement over hair. 10 All participants (n=20) that performed the fit test successfully completed the fit test as part of the 11 12 hospital annual policy. User experience with the iMASC system, as evaluated using a Likert scale 13 with a score of 1 indicating excellent and a score of 5 indicating very poor, demonstrated an average 14 fit score was 1.75, breathability was 1.6, and ease of replacing the filter on the mask was scored on 15 16 average as a 2.05.

Conclusions The iMASC system was shown to successfully fit multiple different face sizes and 18 shapes using an OSHA approved testing method. These data support further certification testing needed for use in the healthcare setting. 20

# Article summary

# Strengths and limitations of this study

- Development of a new N95-comparable mask that can be sterilized and reused
- Mechanical testing of iMASC system determining stability under sterilization conditions ٠
- Finite elemental analysis showcasing mask deformation and reaction forces from facial scans of twenty different wearers
- Testing of iMASC system in emergency department and outpatient oncology clinic healthcare workers with faces that were different sizes and shapes
- The iMASC system as a promising alternative sustainable solution to the dwindling • supply of disposable N95 filtering facepiece respirators (FFRs)

# Introduction

Dwindling supplies of personal protective equipment (PPE) in hospitals is forcing healthcare workers to reuse and clean PPE using anecdotal strategies, which may weaken the effectiveness of PPE in protecting workers from acquisition of COVID-19 disease. In some places, the complete lack of PPE has resulted in healthcare workers using PPE that may have variable droplet protection.<sup>1</sup> Shortages of PPE have significant impact among healthcare workers who evaluate individuals with suspected and confirmed COVID-19 disease.<sup>1-2</sup> First, individuals using PPE acquired outside of the hospital may inadvertently be using PPE without droplet protection resulting in inadequate protection. Second, workers without PPE will acquire infections, including COVID-19, at greater rates than those with adequate PPE.<sup>3</sup> Infected healthcare workers may transmit disease to family members, worsening the pandemic.<sup>4</sup> Third, with increased COVID-19 infection among healthcare workers, the available workforce to address sick patients decreases, resulting in increasing morbidity and mortality.<sup>4</sup> There is therefore a critical need to develop innovative measures to generate safe, reusable PPE.<sup>5</sup>

Thus, we have designed and fabricated an Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection with N95 material filters that can be inserted and replaced as needed. To understand the ability of our mask to conform to multiple face sizes and shapes, we have undertaken finite element analysis evaluating the deformability of the

iMASC system. Lastly, we performed a prospective clinical trial for fit testing of our mask as well as qualitative assessment of the mask compared to the current N95 FFRs. Our goal is to address the critical shortage of N95 FFRs to maximally protect healthcare workers and provide an enduring supply chain of N95 FFRs to reduce and prevent COVID-19 transmission among healthcare workers and patients.

# Methods

### Materials

The mask material was DOW Corning QP1-250 liquid silicone rubber (LSR) sourced to Protolabs (Maple Plain, Minnesota, USA). The nasal bridge and elastic holders were 5mm wide by 1 mm thick aluminum sheets obtained from Amazon, and nylon elastic bands were obtained from a local fabric store. Adhesive for the nasal bridge was 3M Scotch-Weld (PR40). Filters were laser cut from 3M 1860 N95 FFRs. The filters were adhered to laser cut acrylic sheeting (3.2 mm thick, 46 mm diameter) (McMaster Carr, Product 8560K257) using fabric adhesive obtained from a local fabric store.

### iMASC fabrication

Masks were designed in the three-dimensional (3D) computer aided design (CAD) software SolidWorks (Dassault Systems) based upon current 3M 1860 N95 FFRs that were in use at the hospitals in the Partners Healthcare network. Once optimized, the design was exported as a SolidWorks file. Reusable face masks were then generated by Protolabs through injection molding out of liquid silicone rubber. Elastic straps were used to secure the mask to the wearer's face. The mask utilized dual, replaceable filters. The filters were laser cut from unused N95 FFRs bonded to a rigid retaining ring which can easily press-fit into recessed areas of the mask. A 7.6 cm long, 5 mm wide aluminum strip was bonded across the bridge of the nose section of the mask similar to traditional N95 FFRs.

## Material selection and testing

As a material currently used in anesthesia masks, DOW QP1-250 LSR was selected as a proven injection molding material which enabled greater design freedom for the manufacturing process. To evaluate sterilization, the masks (n = 4 per group) were exposed to a variety of sterilization methods including 10 cycles of autoclaving (dry cycle - 121 Celsius for 15 minutes), 10-minute soak in 1:10 bleach solution, or 10-minute soak in 100% isopropanol. These solutions were selected to simulate on shift sterilization by healthcare workers using standard hospital cleaning solutions. Mechanical testing according to ASTM D412 (Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers) was performed on samples cut directly from the sterilized masks. Unpaired t-test was performed on tensile stress at maximum force between groups to evaluate for statistical differences.

## Face scans

To obtain the 3D face geometry of the participants, we developed an IOS application (app) using the TrueDepth camera from an iPhone 11 to capture the face image of the participants. The app employs the ARKit developed by Apple for the use of face tracking in augmented reality to

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transform a 2D image with depth information into a 3D mesh. The output 3D mesh would then be converted into a solid model for finite element (FE) analysis.

# Deformation studies

The commercial FE package ABAQUS/standard 2017 was used for simulating the deformation of the iMASC system. The 3D FE models were constructed by importing the CAD model of the mask from SolidWorks and scanned images of the participant faces. In all the analyses, we discretized the mask using four-node 3D linear tetrahedron elements with hybrid formulation (C3D4H Abagus element type). The material behavior of the elastomeric mask was captured using an almost incompressible Neo-Hookean hyperelastic model with Poisson's ratio of v 0 = 0.499 and density of 1.12E3 kg/m3) with directly imported stress-strain curves from mechanical testing. A simplified contact law ("surface to surface" type interaction) was assigned to the model with a penalty friction coefficient 0.2 for tangential behavior and a "hard" contact for normal behavior, and the top-middle edge of the mask was positioned to the node at the center of the line connecting the eyes. The "Quasi-static" dynamic implicit solver (\*DYNAMIC module in Abaqus) was used. The mask was deformed by applying tensile forces along bands, shown in figure S1 using SMOOTH step amplitude curve, while completely constraining the motion of the face. The reaction force of the mask against the face as well as contact pressures were recorded as a function of applied load. Multiple levels of the reaction forces were exerted from the mask to the face, including F=0(undeformed), 4.5 (initial contact), and 10 (full contact) N.

# Clinical studies

Partners Healthcare Institutional Review Board (IRB) approval was obtained prior to any human testing of the iMASC system (Partners IRB 2020P000852). Subjects were comprised of adult Partners Healthcare staff including physicians, residents, nurses, and technicians who were recruited on a voluntary basis and had undergone Occupational Safety and Health Administration (OSHA)-approved fit testing over the past year. Healthcare workers with facial hair were excluded from enrollment. Subjects were enrolled by study staff and gave informed verbal consent to participate in the study. Verbal informed consent was obtained due to non-invasive nature and short duration of the study. Following enrollment and consent, all subjects were briefed on the study procedure by the same member of the research team and then completed a baseline assessment to obtain general demographic information and ensure they had previously been fit tested successfully.

43 Subjects underwent fit testing in accordance to the Saccharin Solution Aerosol Protocol per 44 45 OSHA §1910.134 using the Gerson Respirator Fit Test kit (Gerson part # 065000, Middleboro, 46 Massachusetts.) with the saccharin solution. The fit testing was performed by a member of the study 47 staff. This fit test system was the same system used for fit testing healthcare workers at the hospitals 48 in the Partners Healthcare system. After successful completion of the threshold screening test. 49 50 subjects donned the iMASC system and a hood with a fitted collar. They were instructed to report 51 if they could taste the test solution. A nebulizer of the saccharin solution was inserted into the hole 52 53 in the front of the hood and sprayed at the same concentration (10, 20, or 30 squeezes) as the subject 54 was able to taste in their initial threshold test. The subject was instructed to perform the following 55 exercises while the aerosolized solution was replenished every 30 seconds: normal breathing, deep 56 breathing, turning the head side to side, moving the head up and down, counting backwards from 57 58 100, grimacing, bending over, and finally normal breathing for a second time. If the subject at any 59

time during the fit test was able to taste the solution, they indicated to the study staff and the test was considered failed. If the subject did not report tasting the solution the test was considered passed. Subjects who passed the fit test were introduced to how to properly replace the filter with a demonstration by study staff. Subjects were then asked to replace the filter and perform a user seal check to ensure an adequate fit. This procedure allowed us to simulate the replacement of filters by healthcare workers prior to the start of a workday. Finally, subjects completed an exit assessment where they ranked fit, breathability, and difficulty of replacing the filter according to a Likert scale. Subjects were also asked about their willingness to wear the mask compared to either a surgical mask or an N95 mask. All testing was performed at Brigham and Women's Hospital.

### Results

### Design and generation of injection molded liquid silicone rubber mask

The iMASC system was designed to function as an N95-comparable mask (**figure 1**). The shape of the iMASC system was modeled from disposable regular N95 FFRs used in the hospital. Medical grade liquid silicone rubber (LSR) was identified as an optimal material for mask fabrication due to its conformable capacity, sterilizability through multiple methods and compatibility with injection molding for fabrication scalability. The weight of the iMASC system was  $44.84 \pm 0.05$  grams (n = 3) compared to  $10.41 \pm 0.13$  grams (n = 3) of current N95 FFRs. We employed a dual filter approach similar to half-mask elastomeric respirators to increase breathability and filtration area (*5*). A single regular N95 mask generated up to 5 filters for the iMASC system, thus extending the N95 material use.

# Characterization of mask material after sterilization

An advantage of the iMASC system over the half-mask respirators is the methods of sterilization (see **table S1**). We have performed tensile tests of the mask material after 10 autoclave cycles and 5 minutes in a 1:10 bleach solution and 70% isopropyl alcohol. We found that 10 autoclave cycles make the mask slightly stiffer, while the bleach soak resulted in no change and the isopropanol alcohol soak makes the material less stiff (**figure S2**). Evaluation of the tensile stress at maximum forces between groups were found to not be significantly different (p > 0.05). Despite these small changes in tensile strength, there were no gross differences in the mask compared to the non-sterilized mask.

### Finite element analysis for mask deformation upon different face shapes and sizes

We used non-linear finite element (FE) analyses (see "Deformation studies" in Methods) to evaluate the deformation response of the flexible mask frames while wearing and determine the forces required to keep the mask in place across a range of subject faces. In **figure 2A**, we reported the numerical snapshots of the face mask when subjected to the strap's tensile loads, denoted by *T* shown in **figure S1**, and monitored the deformation of the mask at different levels of the reaction force exerted from the mask to the face. The color maps represent the distribution of displacement's magnitude, *U*, showing relatively large deformation of the mask required to fit in to the subject face. We also calculated the normal contact forces,  $F^N$ , and contact pressures, *P*, as a function of *F* to evaluate the interaction between the mask and face. In **figure 2B**, the distribution of the  $F^N$  are shown at the different *F*. As expected, no  $F^N$  was recorded at F = 0. By pulling the straps, the mask starts to be engaged with the face, and at F = 4.5 N the maximum  $F^N$  occurs around the cheek.

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Further pulling the straps (F = 10 N) induces a relatively higher  $F^N$  along the edge of the mask in the check and chin (lower lips) rather than the nose and cheekbones. This is a signature of the need to the Aluminum strip to bond across the bridge of the nose to enhance the contact pressure.

Next, we estimated the reaction force required to achieve an average contact pressure of P = 10 KPa (relatively uniformly distributed along the edge of the mask) as a higher limit of the contact pressure that results in a suitable fit between the mask and skin faces.<sup>6</sup> This reaction force is equivalent to the force applied through the straps. In **figure 2C**, we reported the reaction forces for twenty different subjects, ranging from 9.5 to 15 N. These variations are due to the difference in shape and size of the subject's faces especially in the jaw and cheekbone parts. Through application of these forces via the straps combined with the aluminum strip across the nose bridge, the mask should remain in place.

# Clinical trial evaluating mask fitting

In a prospective trial, we enrolled 24 healthcare workers at a large, urban, academic medical center who had been previously certified to wear a N95 respirator into our IRB-approved study. We excluded individuals with facial hair or those that had failed an N95 fit test. Consenting individuals were subject to a fit test as defined by OSHA.<sup>7-8</sup> Figure 3A shows the demographics of the participants, and figures S3 and S4 showcase the 3D facial reconstructions demonstrating variability of facial sizes and shapes among the participants. The average age of participants was 41 years with a range of 21-65 years with an average BMI of 26.5. The breakdown of participants by profession was 46% nurses (n=11), 21% attending physicians (n=5), 21% resident physicians (n=5), and 12% technicians (n=3). Of these participants, 4 did not perform the fit testing (1 due to inability to detect saccharin solution on pre-mask placement sensitivity test, 2 due to time, and 1 due to the inability to get the elastic straps over her hair and face).

All participants (n=20) that performed the fit test successfully completed the fit test as part of the hospital annual policy. All participants passed their fit test and were also able to successfully replace the filter into the mask, resulting in a 100% success rate for both fit testing and filter exchange. User experience with the iMASC system was evaluated using a Likert scale with a score of 1 indicating excellent and a score of 5 indicating very poor. Participants scored the fit of the iMASC system as excellent (8 participants), good (9 participants), or fair (3 participants) (figure **3B**). Participants scored the breathability of the iMASC system as excellent (9 participants), good (10 participants), or fair (1 participants). Finally, participants scored the filter replacement of the iMASC system as excellent (7 participants), good (7 participants), fair (4 participants), or poor (2 participants). Participants' preference to wear the iMASC over a surgical mask or an N95 respirator was also assessed. Sixty percent of participants indicated they would be willing to wear our mask instead of a surgical mask, with 20% indicating no preference between our mask and a standard surgical mask and 20% indicating they would prefer to wear a surgical mask (figure 3C). When asked about preference to wear our mask instead of an N95 FFR, 25% of participants indicated they would prefer to wear our mask and 60% indicated no preference between our mask and a N95 FFR. with only 15% indicating they would prefer to wear a standard issue N95 FFR (figure 3D).

## Discussion

During times of pandemics, it is essential to protect healthcare workers from infection and transmission of disease with adequate PPE.<sup>4,9</sup> As stocks of N95 FFRs have reduced, healthcare

workers are forced to find alternative strategies of protection, including re-sterilizing masks and using alternative mask materials that may result in less protection.<sup>9-10</sup> Our approach here was to develop a scalable, reusable face mask that can extend the amount of N95 material. The iMASC system withstood decontamination using three methods and was shown to successfully fit multiple different face sizes and shapes using an OSHA approved testing method. The iMASC system could be scaled up for use across many locations once additional certification testing has been completed. By selecting injection molding as the fabrication technique for the iMASC system, we believe we possess a fundamental advantage to other initiatives using three-dimensional (3D) printing techniques because injection molding is highly scalable and has decreased production time when compared to 3D printing.

These are initial proof-of-concept studies and have some limitations. First, the small sample size and single institutional nature of this prospective study limit generalizability and warrants evaluation in a larger cohort involving multiple institutions. As a result of the lack of availability of standard N95 FFRs, the iMASC system was not compared to standard of care N95 FFRs. Previous studies have demonstrated that a respirator user gains experience with subsequent donnings and may result in improved fit-test pass rate biasing our results<sup>11-13</sup>; thus, it will important to assess fit testing in inexperienced subjects. While Bitrex is the preferred choice for fit test solution as a leak detection<sup>14</sup>, saccharin was chosen due to availability and use in OSHA approved qualitative fit tests. Additional development for smaller face sizes and shapes are warranted since the iMASC system was modeled from the 3M 1860 model. Furthermore, all testing was performed in North America, and it is possible face shapes and sizes may differ for workers outside of this region. Modifications to the filter system and elastic straps would likely improve the fit and robustness of the mask. All post injection-molding manufacturing steps were completed in-house and in large scale production would be outsourced to contracted manufacturers with greater quality control of filter components. Further, the testing of mechanical properties after combinations of different sterilization techniques could provide a better representation of what would be used in the hospital. Additional quantitative fit testing, extended wearer testing, and certification testing, including NIOSH 42 CFR part 84 (or equivalent), will be needed to validate the iMASC system for use in the healthcare setting as qualitative fit testing is unable to verify the protection factor of the respirator. To source additional filter materials in the future, we will plan to perform filter efficiency testing on these materials, such as the NIOSH Standard Test Procedure (STP) TEB-APR-STP-0059.

Newer face masks, such as our iMASC system, have potential to resupply and sustain hospitals with effective N95-comparable masks. Furthermore, a 2018 consensus report from the National Academies of Engineering, Science, and Medicine recommended that the durability and reusability of elastomeric respirators made them desirable for stockpiling for emergencies.<sup>5</sup> This approach could be applicable to users outside of the healthcare setting, including people in the research, home improvement, and manufacturing settings.

### **Author Statement**

Acknowledgments: We thank Ania Hupalowska for her illustrations of the clinical workflow. We thank Prof. R. Langer for helpful discussions around mask development.

**Contributors:** J.D.B. and A.J.W. designed and fabricated the iMASC system, assisted with the clinical trial, analyzed and interpreted data, and wrote the manuscript. P.R.C. performed the clinical

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trial, analyzed and interpreted data, and wrote the manuscript. H.W.H. and S.B. designed the face scanning and performed FEA modeling, analyzed data, and wrote the manuscript. S.B., C.T., and S.M. analyzed data and designed prototypes. G.T. supervised, reviewed the data and edited the manuscript.

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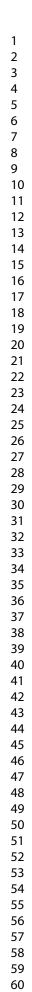
**Data Availability Statement:** The authors declare that the data supporting the findings of this study are available within the paper and its supplementary information files.

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  - Figure 3. Fit testing of iMASC system in healthcare workers and their user experience. (A) Demographics of participants (N = 24) enrolled in fit testing clinical trial. (B) User experience (N = 20) with the mask based upon a Likert scale. User preferences (N = 20) comparing the iMASC system to the (C) standard surgical mask and (D) N95 respirators.

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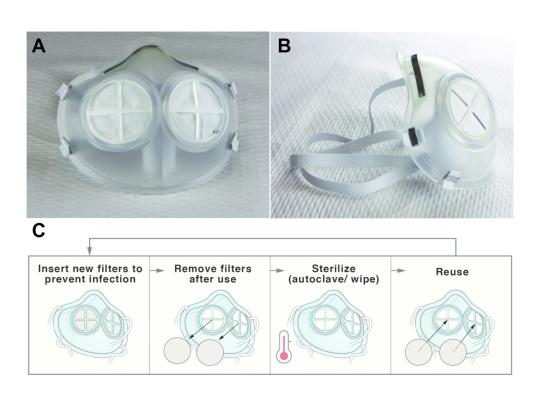


Figure 1. iMASC system for aerosol-based protection. (A) Front and (B) side images of the iMASC system. (C) Workflow for sterilization and reuse of iMASC system.

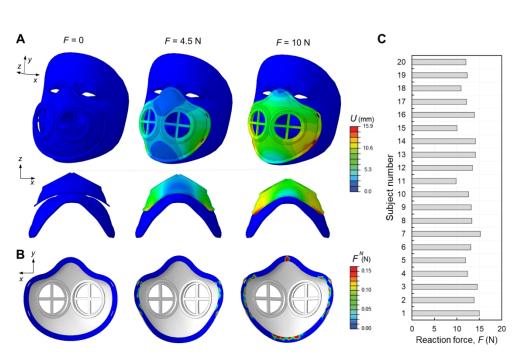


Figure 2. Finite Element modeling of flexible masks. (A) Numerical images showing the deformation of the elastomeric mask at different levels of reaction forces, F= 0, 4.5, and 10 N in two different views (top and bottom rows). The colors represent the magnitude of displacement field, U. (B) The corresponding distribution of the normal contact forces, F^N, between the mask and face. (C) Reaction forces for the subject numbers n=1,2,3,.., 20 computed from simulations.

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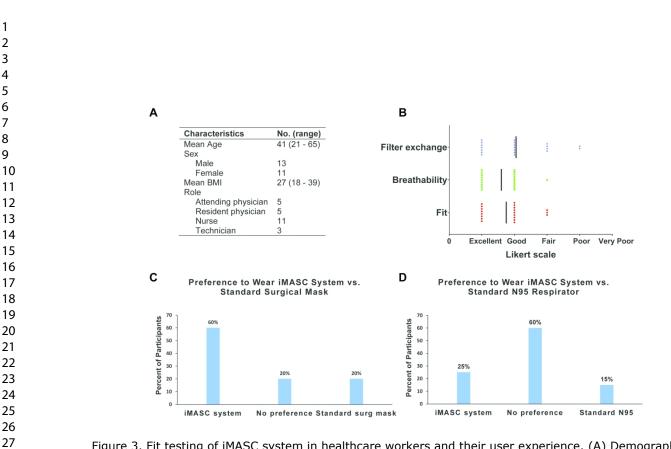


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**Supplementary Information** 

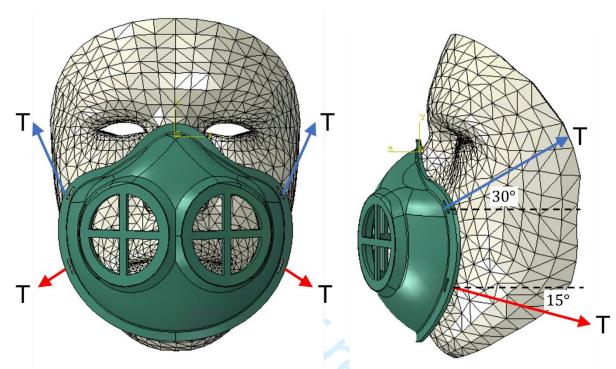


Figure S1. Illustration of the applied loads via mask straps.

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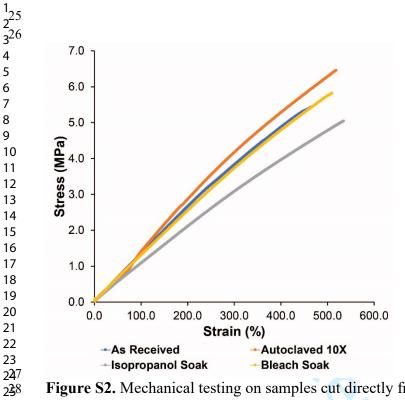


Figure S2. Mechanical testing on samples cut directly from masks exposed to a variety of sterilization methods including 10 cycles of autoclaving, 10-minute soak in 10% bleach solution, and 10-minute soak in isopropanol. 

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Subject 1	Subject 2	Subject 3	Subject 4
Subject 5	Subject 6	Subject 7	Subject 8
Subject 9	Subject 10	Subject 11	Subject 12
Subject 13	Subject 14	Subject 15	Subject 16
Subject 17	Subject 18	Subject 19	Subject 20

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**Figure S3.** Front view of 3D facial reconstruction of participants faces in fit trial of the iMASC system.

Subject 5Subject 6Subject 7Subject 8Subject 9Subject 10Subject 11Subject 12Subject 13Subject 14Subject 15Subject 16Subject 17Subject 18Subject 19Subject 20	Subject 1	Subject 2	Subject 3	Subject 4
Image: subject 13     Subject 14     Subject 15     Subject 16	Subject 5	Subject 6	Subject 7	Subject 8
	Subject 9	Subject 10	Subject 11	Subject 12
Subject 17 Subject 18 Subject 19 Subject 20	Subject 13	Subject 14	Subject 15	Subject 16
Figure S4. Side view of 3D facial reconstruction of participants faces in fit trial of the				

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Туре	Ex \$/Unit	Pros	Cons	Recommended Sterilization Method
Disposable FFR	3M 8210 \$4.29	<ul> <li>Ease of fit/use</li> <li>Cheap per use compared to HFRR and FFRR</li> <li>Some models come with exhaust valve</li> </ul>	<ul> <li>Not reusable</li> <li>No eye protection</li> <li>If exhaust valve is available, it's not filtered</li> </ul>	N/A
iMASC system	Mask: < \$2.00 Filter: TBD	<ul> <li>Cheap cost</li> <li>Ease/accessibility of manufacturing</li> <li>Potentially autoclavable</li> </ul>	<ul> <li>No eye protection</li> <li>No exhaust valve for humidity/ease of use relief</li> </ul>	Autoclave, Clorox wipe, IPA wipe, detergent and sterilization agent wash
Half-Face Reusable (HFRR)	3M HFRR 6000 Mask: \$28.99 3M 2097 Cartridge: \$10.10/pair	<ul> <li>Powered air compatible with select models</li> <li>Exhaust valve reduces humidity and breathing resistance</li> <li>Flange/gusset provides comfortable seal skin</li> </ul>	<ul> <li>Expensive</li> <li>Exhaust valve not filtered</li> <li>No eye protection</li> </ul>	Detergent and sterilization agent wash
Full-Face Reusable (FFRR)	3M FFRR 6000 Mask: \$149.52 3M 2097 Cartridge: \$10.10/pair	<ul> <li>Best coverage protection</li> <li>Powered air compatible with select models</li> <li>Exhaust valve reduces humidity and breathing resistance</li> <li>Flange/gusset provides comfortable seal to skin</li> </ul>	<ul> <li>Expensive</li> <li>Exhaust valve not filtered</li> <li>Potential visual obstruction due to fogging</li> </ul>	Detergent and sterilization agent wash

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Exit assessment Version 1.0 March 24, 2020

Thank you for participating in the study. After you have completed your fit test, we would like for you to answer the following questions:

## 1. How would you rate the fit of the mask you tried today?

Excellent	Good	Fair	Poor	Very Poor
(1)	(2)	(3)	(4)	(5)

## 2. How would you rate the breathability of the mask?

(1) (2) (3) (4) (5)	Excellent	Good	Fair	Poor	Very Poor
	(1)	(n - 1)		(4)	

3. How would you rate the difficulty of replacing the filter on the mask?

Excellent	Good	Fair	Poor	Very Poor
(1)	(2)	(3)	(4)	(5)

- 4. Would you be willing to wear this mask compared to a surgical mask?
  - a. I would prefer to wear the mask I tried today
  - b. I would prefer to wear the surgical mask
  - c. I have no preference

## 5. Would you be willing to wear this mask compared to a regular N95 mask?

- a. I would prefer to wear the mask I tried today
- b. I would prefer to wear the N95 mask
- c. I have no preference

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## Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection: a prospective single arm feasibility study

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Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection: a prospective single arm feasibility study
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Word count: 3298
Abstract Objective To develop and test a new reusable, sterilizable N95 filtering facepiece respirator (FFR)- comparable face mask, known as the iMASC system, given the dire need for personal protective equipment (PPE) within healthcare settings during the COVID-19 pandemic Design Single arm feasibility study Setting Emergency department and outpatient oncology clinic Participants Healthcare workers that have previously undergone N95 fit testing Interventions Fit testing of new iMASC system

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**Primary and secondary outcome measures** Primary outcome is success of fit testing using an Occupational Safety and Health Administration (OSHA)-approved testing method, and secondary outcomes are user experience with fit, breathability, and filter replacement.

5 **Results** Twenty-four subjects were recruited to undergo fit testing, and the average age of subjects 6 was 41 years (range of 21-65 years) with an average BMI of 26.5. The breakdown of participants 7 8 by profession was 46% nurses (n=11), 21% attending physicians (n=5), 21% resident physicians 9 (n=5), and 12% technicians (n=3). Of these participants, 4 did not perform the fit testing due to the 10 inability to detect saccharin solution on pre-mask placement sensitivity test, lack of time, and 11 inability to place mask over hair. All participants (n=20) that performed the fit test were 12 13 successfully fitted for the iMASC system using an OSHA-approved testing method. User 14 experience with the iMASC system, as evaluated using a Likert scale with a score of 1 indicating 15 excellent and a score of 5 indicating very poor, demonstrated an average fit score was 1.75, 16 17 breathability was 1.6, and ease of replacing the filter on the mask was scored on average as a 2.05. 18 Conclusions The iMASC system was shown to successfully fit multiple different face sizes and 19 shapes using an OSHA-approved testing method. These data support further certification testing 20 21 needed for use in the healthcare setting. 22

# Article summary

# Strengths and limitations of this study

- Development of a new N95-comparable mask that can be sterilized and reused
- Mechanical testing of iMASC system determining stability under sterilization conditions
- Finite elemental analysis showcasing mask deformation and reaction forces from facial scans of twenty different wearers
- Testing of iMASC system among physicians, nurses, and technicians with faces that were different sizes and shapes
- The iMASC system as a promising alternative sustainable solution to the dwindling supply of disposable N95 FFRs

# Introduction

Dwindling supplies of personal protective equipment (PPE) in hospitals is forcing healthcare workers to reuse and clean PPE using anecdotal strategies, which may weaken the effectiveness of PPE in protecting workers from acquisition of COVID-19 disease. In some places, the complete lack of PPE has resulted in healthcare workers using PPE that may have variable droplet protection.<sup>1</sup> Shortages of PPE have significant impact among healthcare workers who evaluate individuals with suspected and confirmed COVID-19 disease.<sup>1-2</sup> First, individuals using PPE acquired outside of the hospital may inadvertently be using PPE without droplet protection resulting in inadequate protection. Second, workers without PPE will acquire infections, including COVID-19, at greater rates than those with adequate PPE.<sup>3</sup> Infected healthcare workers may transmit disease to family members, worsening the pandemic.<sup>4</sup> Third, with increased COVID-19 infection among healthcare workers, the available workforce to address sick patients decreases, resulting in increasing morbidity and mortality.<sup>4</sup> There is therefore a critical need to develop innovative measures to generate safe, reusable PPE.<sup>5</sup>

Thus, we have designed and fabricated an Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection with N95 material filters that can be inserted and replaced as needed. To understand the ability of our mask to conform to multiple face

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sizes and shapes, we have undertaken finite element analysis evaluating the deformability of the iMASC system. Lastly, we performed a prospective clinical trial for fit testing of our mask as well as qualitative assessment of the mask compared to the current N95 FFRs. Our goal is to address the critical shortage of N95 FFRs to maximally protect healthcare workers and provide an enduring supply chain of N95 FFRs to reduce and prevent COVID-19 transmission among healthcare workers and patients.

## Methods

### Materials

The mask material was DOW Corning QP1-250 liquid silicone rubber (LSR) sourced to Protolabs (Maple Plain, Minnesota, USA). The nasal bridge and elastic holders were 5mm wide by 1 mm thick aluminum strips obtained from Amazon, and nylon elastic bands were obtained from a local fabric store. Adhesive for the nasal bridge was 3M Scotch-Weld (PR40). Filters were laser cut from 3M 1860 N95 FFRs. The filters were adhered to laser cut acrylic sheeting (3.2 mm thick, 46 mm diameter) (McMaster Carr, Product 8560K257) using fabric adhesive obtained from a local fabric store.

### iMASC fabrication

Masks were designed in the three-dimensional (3D) computer aided design (CAD) software SolidWorks (Dassault Systems) based upon current 3M 1860 N95 FFRs that were in use at the hospitals in the Partners Healthcare network. Reusable face masks were then generated by Protolabs through injection molding out of liquid silicone rubber. Elastic straps were used to secure the mask to the wearer's face. The mask utilized dual, replaceable filters. A 7.6 cm long aluminum strip was bonded across the bridge of the nose section of the mask similar to traditional N95 FFRs.

### Material testing

To evaluate sterilization of the iMASC system, the masks (n = 4 per group) were exposed to a variety of sterilization methods, including 10 cycles of autoclaving (dry cycle - 121 Celsius for 15 minutes), 10-minute soak in 1:10 bleach solution, and 10-minute soak in 100% isopropanol. These sterilization methods were performed mutually exclusively. These solutions were selected to simulate on shift sterilization by healthcare workers using standard hospital cleaning solutions. Mechanical testing according to ASTM D412 (Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers) was performed on samples cut directly from the sterilized masks. Unpaired t-test was performed on tensile stress at maximum force between groups to evaluate for statistical differences.

## Face scans

To obtain the 3D face geometry of the participants, we developed an IOS application (app) using the TrueDepth camera from an iPhone 11 to capture the face image of the participants. The app employs the ARKit developed by Apple for the use of face tracking in augmented reality to transform a 2D image with depth information into a 3D mesh. The output 3D mesh would then be converted into a solid model for finite element (FE) analysis.

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# Deformation studies

The commercial FE package ABAQUS/standard 2017 was used for simulating the deformation of the iMASC system. The 3D FE models were constructed by importing the CAD model of the mask from SolidWorks and scanned images of the participant faces. In all the analyses, we discretized the mask using four-node 3D linear tetrahedron elements with hybrid formulation (C3D4H Abaqus element type). The material behavior of the elastomeric mask was captured using an almost incompressible Neo-Hookean hyperelastic model with Poisson's ratio of  $v_0 = 0.499$  and density of 1.12E3 kg/m3) with directly imported stress-strain curves from mechanical testing. A simplified contact law ("surface to surface" type interaction) was assigned to the model with a penalty friction coefficient 0.2 for tangential behavior and a "hard" contact for normal behavior. The top-middle edge of the mask was positioned to the node at the center of the line connecting the eyes. The "Quasi-static" dynamic implicit solver (\*DYNAMIC module in Abaqus) was used. The mask was deformed by applying tensile forces along bands, shown in **figure S1** using SMOOTH step amplitude curve, while completely constraining the motion of the face. The reaction force of the mask against the face as well as contact pressures were recorded as a function of applied load.

# Clinical studies

Partners Healthcare Institutional Review Board (IRB) approval was obtained prior to any human testing of the iMASC system (Partners IRB 2020P000852). Subjects were comprised of adult Partners Healthcare staff including physicians, residents, nurses, and technicians who were recruited on a voluntary basis and had undergone OSHA-approved fit testing over the past year. Healthcare workers with facial hair were excluded from enrollment. Subjects were enrolled by study staff and gave informed verbal consent to participate in the study. Verbal informed consent was obtained due to non-invasive nature and short duration of the study. Following enrollment and consent, all subjects were briefed on the study procedure by the same member of the research team and then completed a baseline assessment to obtain general demographic information and ensure they had previously been fit tested successfully.

Subjects underwent fit testing in accordance to the Saccharin Solution Aerosol Protocol per OSHA §1910.134 using the Gerson Respirator Fit Test kit (Gerson part # 065000, Middleboro, Massachusetts.) with the saccharin solution. The fit testing was performed by a member of the study staff. This fit test system was the same system used for fit testing healthcare workers at the hospitals in the Partners Healthcare system. After successful completion of the threshold screening test, subjects donned the iMASC system and a hood with a fitted collar. They were instructed to report if they could taste the test solution. A nebulizer of the saccharin solution was inserted into the hole in the front of the hood and spraved at the same concentration (10, 20, or 30 squeezes) as the subject was able to taste in their initial threshold test. The subject was instructed to perform the following exercises while the aerosolized solution was replenished every 30 seconds: normal breathing, deep breathing, turning the head side to side, moving the head up and down, counting backwards from 100, grimacing, bending over, and finally normal breathing for a second time. If the subject at any time during the fit test was able to taste the solution, they indicated to the study staff and the test was considered failed. If the subject did not report tasting the solution the test was considered passed. Subjects who passed the fit test were introduced to how to properly replace the filter with a demonstration by study staff. Subjects were then asked to replace the filter and perform a user seal check to ensure an adequate fit. This procedure allowed us to simulate the replacement of filters by 

healthcare workers prior to the start of a workday. Finally, subjects completed an exit assessment where they ranked fit, breathability, and difficulty of replacing the filter according to a Likert scale. Subjects were also asked about their willingness to wear the mask compared to either a surgical mask or an N95 mask. All testing was performed at Brigham and Women's Hospital.

### Results

## Design and generation of injection molded liquid silicone rubber mask

The iMASC system was designed to function as an N95 FFR-comparable face mask (**figure 1**). The shape of the iMASC system was modeled from disposable regular N95 FFRs used in the hospital. Medical grade liquid silicone rubber (LSR) was identified as an optimal material for mask fabrication due to its conformable capacity, sterilizability through multiple methods and compatibility with injection molding for fabrication scalability. The weight of the iMASC system was  $44.84 \pm 0.05$  grams (n = 3) compared to  $10.41 \pm 0.13$  grams (n = 3) of current N95 FFRs. We employed a dual filter approach similar to half-mask elastomeric respirators to increase breathability and filtration area.<sup>5</sup> A single regular N95 FFR generated up to 5 filters for the iMASC system, thus extending the N95 material use.

## Characterization of mask material after sterilization

An advantage of the iMASC system over the half-mask respirators is the methods of sterilization (see **table S1**). We have performed tensile tests of the mask material after 10 autoclave cycles and 5 minutes in a 1:10 bleach solution and 70% isopropyl alcohol. We found that 10 autoclave cycles make the mask slightly stiffer, while the bleach soak resulted in no change and the isopropanol alcohol soak makes the material less stiff (**figure S2**). Evaluation of the tensile stress at maximum forces between groups were found to not be significantly different (p > 0.05). Despite these small changes in tensile strength, there were no gross differences in the mask compared to the non-sterilized mask.

## Finite element analysis for mask deformation upon different face shapes and sizes

We used non-linear finite element (FE) analyses (see "Deformation studies" in Methods) to evaluate the deformation of the flexible mask frames while wearing and determine the forces required to keep the mask in place across a range of subject faces. In **figure 2A**, we reported the numerical snapshots of the face mask when subjected to the strap's tensile loads, denoted by *T* shown in **figure S1**, and monitored the deformation of the mask at different levels of the reaction force exerted from the mask to the face. The color maps represent the distribution of displacement's magnitude, *U*, showing relatively large deformation of the mask required to fit in to the subject face. We also calculated the normal contact forces,  $F^N$ , and contact pressures, *P*, as a function of *F* to evaluate the interaction between the mask and face. In **figure 2B**, the distribution of the  $F^N$  are shown at the different *F*. As expected, no  $F^N$  was recorded at F = 0. By pulling the straps, the mask starts to be engaged with the face, and at F = 4.5 N the maximum  $F^N$  occurs around the cheek. Further pulling the straps (F = 10 N) induces a relatively higher  $F^N$  along the edge of the mask in the cheeck and chin (lower lips) rather than the nose and cheekbones. This is a signature of the need to the Aluminum strip to bond across the bridge of the nose to enhance the contact pressure.

Next, we estimated the reaction force required to achieve an average contact pressure of P = 10 KPa (relatively uniformly distributed along the edge of the mask) as a higher limit of the

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contact pressure that results in a suitable fit between the mask and skin faces.<sup>6</sup> This reaction force is equivalent to the force applied through the straps. In **figure 2C**, we reported the reaction forces for twenty different subjects, ranging from 9.5 to 15 N. These variations are due to the difference in shape and size of the subject's faces especially in the jaw and cheekbone parts. Through application of these forces via the straps combined with the aluminum strip across the nose bridge, the mask should remain in place.

# Clinical trial evaluating mask fitting

In a prospective trial, we enrolled 24 healthcare workers at a large, urban, academic medical center who had been previously certified to wear a N95 respirator into our IRB-approved study. We excluded individuals with facial hair or those that had failed an N95 fit test. Consenting individuals were subject to a fit test as defined by OSHA.<sup>7-8</sup> **Figure 3A** shows the demographics of the participants, and **figures S3** and **S4** showcase the 3D facial reconstructions demonstrating variability of facial sizes and shapes among the participants. The average age of participants was 41 years with a range of 21-65 years with an average BMI of 26.5. The breakdown of participants by profession was 46% nurses (n=11), 21% attending physicians (n=5), 21% resident physicians (n=5), and 12% technicians (n=3). Of these participants, 4 did not perform the fit testing (1 due to inability to detect saccharin solution on pre-mask placement sensitivity test, 2 due to time, and 1 due to the inability to get the elastic straps over her hair and face).

All participants (n=20) that performed the fit test successfully completed the fit test as part of the hospital annual policy. All participants passed their fit test and were also able to successfully replace the filter into the mask, resulting in a 100% success rate for both fit testing and filter exchange. User experience with the iMASC system was evaluated using a Likert scale with a score of 1 indicating excellent and a score of 5 indicating very poor. Participants scored the fit of the iMASC system as excellent (8 participants), good (9 participants), or fair (3 participants) (figure **3B**). Participants scored the breathability of the iMASC system as excellent (9 participants), good (10 participants), or fair (1 participants). Finally, participants scored the filter replacement of the iMASC system as excellent (7 participants), good (7 participants), fair (4 participants), or poor (2 participants). Participants' preference to wear the iMASC over a surgical mask or an N95 respirator was also assessed. Sixty percent of participants indicated they would be willing to wear our mask instead of a surgical mask, with 20% indicating no preference between our mask and a standard surgical mask and 20% indicating they would prefer to wear a surgical mask (figure 3C). When asked about preference to wear our mask instead of an N95 FFR, 25% of participants indicated they would prefer to wear our mask and 60% indicated no preference between our mask and a N95 FFR, with only 15% indicating they would prefer to wear a standard issue N95 FFR (figure 3D). 

## Discussion

During times of pandemics, it is essential to protect healthcare workers from infection and transmission of disease with adequate PPE.<sup>4,9</sup> As stocks of N95 FFRs have reduced, healthcare workers are forced to find alternative strategies of protection, including re-sterilizing masks and using alternative mask materials that may result in less protection.<sup>9-10</sup> Our approach here was to develop a scalable, reusable face mask that can extend the amount of N95 material. The iMASC system withstood decontamination using three methods and was shown to successfully fit multiple different face sizes and shapes using an OSHA approved testing method. The iMASC system could

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be scaled up for use across many locations once additional certification testing, including the sodium chloride aerosol challenge test, dioctyl phthalate aerosol test, and inhalation and exhalation tests, has been completed. By selecting injection molding as the fabrication technique for the iMASC system, we believe we possess a fundamental advantage to other initiatives using three-dimensional (3D) printing techniques because injection molding is highly scalable and has decreased production time when compared to 3D printing.

These are initial proof-of-concept studies and have some limitations. First, the small sample size and single institutional nature of this prospective study limit generalizability and warrants evaluation in a larger cohort involving multiple institutions. As a result of the lack of availability of standard N95 FFRs, the iMASC system was not compared to standard of care N95 FFRs. Previous studies have demonstrated that a respirator user gains experience with subsequent donnings and may result in improved fit-test pass rate biasing our results<sup>11-13</sup>; thus, it will important to assess fit testing in inexperienced subjects. While Bitrex is the preferred choice for fit test solution as a leak detection<sup>14</sup>, saccharin was chosen due to availability and use in OSHA approved qualitative fit tests. Additional development for smaller face sizes and shapes are warranted since the iMASC system was modeled from the 3M 1860 model. Furthermore, all testing was performed in North America, and it is possible face shapes and sizes may differ for workers outside of this region. Modifications to the filter system and elastic straps would likely improve the fit and robustness of the mask. All post injection-molding manufacturing steps were completed in-house and in large scale production would be outsourced to contracted manufacturers with greater quality control of filter components. Further, the testing of mechanical properties after combinations of different sterilization techniques could provide a better representation of what would be used in the hospital. Additional quantitative fit testing, extended wearer testing, and certification testing, including NIOSH 42 CFR part 84 (or equivalent), will be needed to validate the iMASC system for use in the healthcare setting as qualitative fit testing is unable to verify the protection factor of the respirator. To source additional filter materials in the future, we will plan to perform filter efficiency testing on these materials, such as the NIOSH Standard Test Procedure (STP) TEB-APR-STP-0059.

Newer face masks, such as our iMASC system, have potential to resupply and sustain hospitals with effective N95-comparable masks. Furthermore, a 2018 consensus report from the National Academies of Engineering, Science, and Medicine recommended that the durability and reusability of elastomeric respirators made them desirable for stockpiling for emergencies.<sup>5</sup> This approach could be applicable to users outside of the healthcare setting, including people in the research, home improvement, and manufacturing settings.

### Author Statement

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Contributors: J.D.B. and A.J.W. designed and fabricated the iMASC system, assisted with the
 clinical trial, analyzed and interpreted data, and wrote the manuscript. P.R.C. performed the clinical
 trial, analyzed and interpreted data, and wrote the manuscript. H.W.H. and S.B. designed the face
 scanning and performed FEA modeling, analyzed data, and wrote the manuscript. S.B., C.T., and
 S.M. analyzed data and designed prototypes. G.T. supervised, reviewed the data and edited the
 manuscript.

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**Competing Interests**: There are no competing interests related to the work described in the manuscript. Complete details of all other relationships for profit and not for profit for G.T. can be found at the following link: https://www.dropbox.com/sh/szi7vnr4a2ajb56/AABs5N5i0q9AfT11qIJAE-T5a?dl=0

**Patient and public involvement:** Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

**Data Availability Statement:** The authors declare that the data supporting the findings of this study are available within the paper and its supplementary information files.

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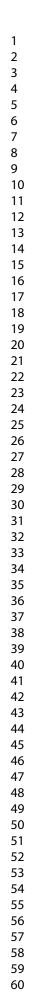
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### Figures

**Figure 1. iMASC system for aerosol-based protection.** (A) Front and (B) side images of the iMASC system. (C) Workflow for sterilization and reuse of iMASC system.

**Figure 2. Finite Element modeling of flexible masks.** (A) Representative numerical images showing the deformation of the elastomeric mask at different levels of reaction forces, F= 0, 4.5, and 10 N in two different views (top and bottom rows). The colors represent the magnitude of displacement field, U. (B) The corresponding distribution of the normal contact forces,  $F^N$ , between the mask and face. (C) Reaction forces for the subject numbers n=1,2,3,..., 20 computed from simulations.

Figure 3. Fit testing of iMASC system in healthcare workers and their user experience. (A) Demographics of participants (N = 24) enrolled in fit testing clinical trial. (B) User experience (N = 20) with the mask based upon a Likert scale. User preferences (N = 20) comparing the iMASC system to the (C) standard surgical mask and (D) N95 respirators.



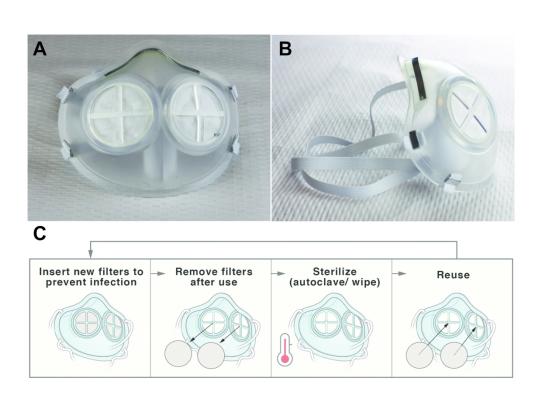


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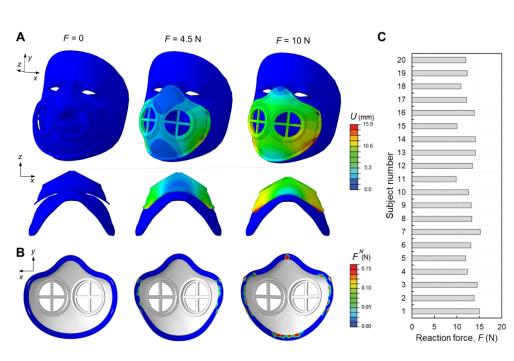


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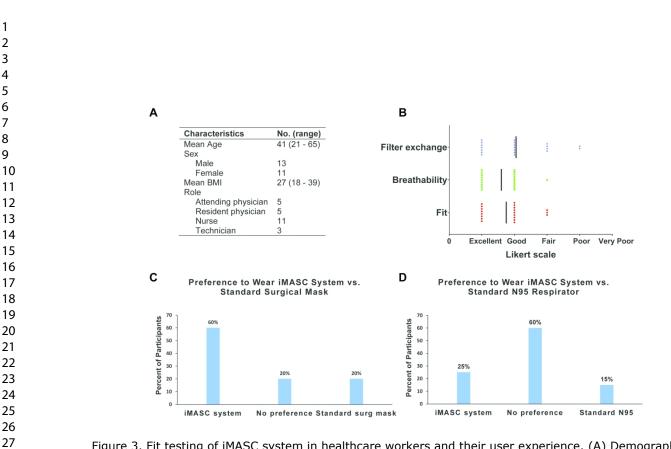


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**Supplementary Information** 

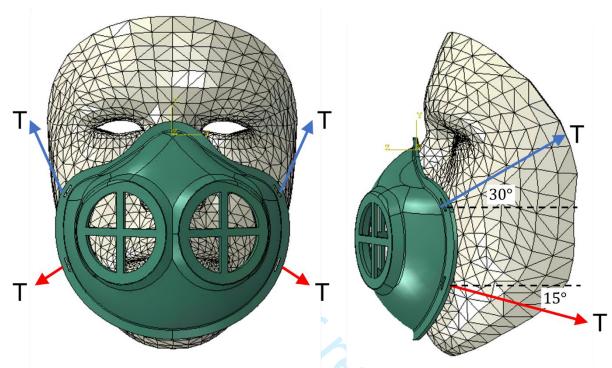


Figure S1. Illustration of the applied loads via mask straps.

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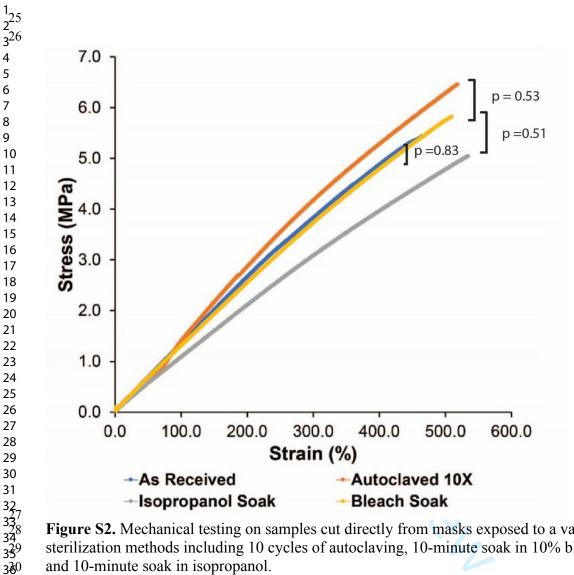


Figure S2. Mechanical testing on samples cut directly from masks exposed to a variety of sterilization methods including 10 cycles of autoclaving, 10-minute soak in 10% bleach solution, and 10-minute soak in isopropanol.

Subject 1	Subject 2	Subject 3	Subject 4
Subject 5	Subject 6	Subject 7	Subject 8
Subject 9	Subject 10	Subject 11	Subject 12
Subject 13	Subject 14	Subject 15	Subject 16
Subject 17	Subject 18	Subject 19	Subject 20

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**Figure S3.** Front view of 3D facial reconstruction of participants faces in fit trial of the iMASC system.

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Subject 1	Subject 2	Subject 3	Subject 4
Subject 5	Subject 6	Subject 7	Subject 8
Subject 9	Subject 10	Subject 11	Subject 12
Subject 13	Subject 14	Subject 15	Subject 16
Subject 17	Subject 18	Subject 19	Subject 20

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# Table S1. Array of N95 and N95-comparable technologies.

Туре	Ex \$US Dollars (USD)/Unit	Pros	Cons	Recommended Sterilization Method
Disposable FFR	3M 8210Plus \$1.02 USD mcmaster.com/5450T42	<ul> <li>Ease of fit/use</li> <li>Low cost per use compared to HFRR and FFRR</li> <li>Some models come with exhaust valve</li> </ul>	<ul> <li>Not reusable</li> <li>If exhaust valve is available, it's not filtered</li> <li>No eye protection</li> </ul>	N/A
iMASC system	Mask: < \$7.00 USD Filter: \$0.50 USD	<ul> <li>Low cost</li> <li>Ease/accessibility of manufacturing</li> <li>Potentially autoclavable</li> </ul>	<ul> <li>No exhaust valve for humidity/ease of use relief</li> <li>No eye protection</li> </ul>	Autoclave, Clorox wipe, IPA wipe, detergent and sterilization agent wash
Half-Face Reusable (HFRR)	Mask: \$58.98 USD mcmaster.com/5541T16- 5541T162 Replacement Cartridge: \$14.32/pair USD mcmaster.com/54445T229 Replacement Filter: \$2.65/pair USD mcmaster.com/54445T189	<ul> <li>Powered air compatible with select models</li> <li>Exhaust valve reduces humidity and breathing resistance</li> <li>Flange/gusset provides comfortable seal skin</li> </ul>	<ul> <li>Expensive</li> <li>Exhaust valve not filtered</li> <li>No eye protection</li> </ul>	Detergent and sterilization agent wash
Full-Face Reusable (FFRR)	Mask: \$168.47 USD <u>mcmaster.com/5541T28</u> Replacement Filter: \$2.65/pair USD <u>mcmaster.com/54445T189</u>	<ul> <li>Best coverage</li> <li>Powered air compatible with select models</li> <li>Exhaust valve reduces humidity and breathing resistance</li> <li>Comfortable seal to skin</li> </ul>	<ul> <li>Expensive</li> <li>Exhaust valve not filtered</li> <li>Potential visual obstruction due to fogging</li> </ul>	Detergent and sterilization agent wash

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## Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection: a prospective single arm feasibility study

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Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection: a prospective single arm feasibility study
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Word count: 3298
Abstract Objective To develop and test a new reusable, sterilizable N95 filtering facepiece respirator (FFR)- comparable face mask, known as the iMASC system, given the dire need for personal protective equipment (PPE) within healthcare settings during the COVID-19 pandemic Design Single arm feasibility study Setting Emergency department and outpatient oncology clinic Participants Healthcare workers that have previously undergone N95 fit testing Interventions Fit testing of new iMASC system

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**Primary and secondary outcome measures** Primary outcome is success of fit testing using an Occupational Safety and Health Administration (OSHA)-approved testing method, and secondary outcomes are user experience with fit, breathability, and filter replacement.

5 **Results** Twenty-four subjects were recruited to undergo fit testing, and the average age of subjects 6 was 41 years (range of 21-65 years) with an average BMI of 26.5. The breakdown of participants 7 8 by profession was 46% nurses (n=11), 21% attending physicians (n=5), 21% resident physicians 9 (n=5), and 12% technicians (n=3). Of these participants, 4 did not perform the fit testing due to the 10 inability to detect saccharin solution on pre-mask placement sensitivity test, lack of time, and 11 inability to place mask over hair. All participants (n=20) that performed the fit test were 12 13 successfully fitted for the iMASC system using an OSHA-approved testing method. User 14 experience with the iMASC system, as evaluated using a Likert scale with a score of 1 indicating 15 excellent and a score of 5 indicating very poor, demonstrated an average fit score was 1.75, 16 17 breathability was 1.6, and ease of replacing the filter on the mask was scored on average as a 2.05. 18 Conclusions The iMASC system was shown to successfully fit multiple different face sizes and 19 shapes using an OSHA-approved testing method. These data support further certification testing 20 21 needed for use in the healthcare setting. 22

# Article summary

# Strengths and limitations of this study

- Development of a new N95-comparable mask that can be sterilized and reused
- Mechanical testing of iMASC system determining stability under sterilization conditions
- Finite elemental analysis showcasing mask deformation and reaction forces from facial scans of twenty different wearers
- Testing of iMASC system among physicians, nurses, and technicians with faces that were different sizes and shapes
- The iMASC system as a promising alternative sustainable solution to the dwindling supply of disposable N95 FFRs

# Introduction

Dwindling supplies of personal protective equipment (PPE) in hospitals is forcing healthcare workers to reuse and clean PPE using anecdotal strategies, which may weaken the effectiveness of PPE in protecting workers from acquisition of COVID-19 disease. In some places, the complete lack of PPE has resulted in healthcare workers using PPE that may have variable droplet protection.<sup>1</sup> Shortages of PPE have significant impact among healthcare workers who evaluate individuals with suspected and confirmed COVID-19 disease.<sup>1-2</sup> First, individuals using PPE acquired outside of the hospital may inadvertently be using PPE without droplet protection resulting in inadequate protection. Second, workers without PPE will acquire infections, including COVID-19, at greater rates than those with adequate PPE.<sup>3</sup> Infected healthcare workers may transmit disease to family members, worsening the pandemic.<sup>4</sup> Third, with increased COVID-19 infection among healthcare workers, the available workforce to address sick patients decreases, resulting in increasing morbidity and mortality.<sup>4</sup> There is therefore a critical need to develop innovative measures to generate safe, reusable PPE.<sup>5</sup>

Thus, we have designed and fabricated an Injection Molded Autoclavable, Scalable, Conformable (iMASC) system for aerosol-based protection with N95 material filters that can be inserted and replaced as needed. To understand the ability of our mask to conform to multiple face

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sizes and shapes, we have undertaken finite element analysis evaluating the deformability of the iMASC system. Lastly, we performed a prospective clinical trial for fit testing of our mask as well as qualitative assessment of the mask compared to the current N95 FFRs. Our goal is to address the critical shortage of N95 FFRs to maximally protect healthcare workers and provide an enduring supply chain of N95 FFRs to reduce and prevent COVID-19 transmission among healthcare workers and patients.

## Methods

### Materials

The mask material was DOW Corning QP1-250 liquid silicone rubber (LSR) sourced to Protolabs (Maple Plain, Minnesota, USA). The nasal bridge and elastic holders were 5mm wide by 1 mm thick aluminum strips obtained from Amazon, and nylon elastic bands were obtained from a local fabric store. Adhesive for the nasal bridge was 3M Scotch-Weld (PR40). Filters were laser cut from 3M 1860 N95 FFRs. The filters were adhered to laser cut acrylic sheeting (3.2 mm thick, 46 mm diameter) (McMaster Carr, Product 8560K257) using fabric adhesive obtained from a local fabric store.

### iMASC fabrication

Masks were designed in the three-dimensional (3D) computer aided design (CAD) software SolidWorks (Dassault Systems) based upon current 3M 1860 N95 FFRs that were in use at the hospitals in the Partners Healthcare network. Reusable face masks were then generated by Protolabs through injection molding out of liquid silicone rubber. Elastic straps were used to secure the mask to the wearer's face. The mask utilized dual, replaceable filters. A 7.6 cm long aluminum strip was bonded across the bridge of the nose section of the mask similar to traditional N95 FFRs.

### Material testing

To evaluate sterilization of the iMASC system, the masks (n = 4 per group) were exposed to a variety of sterilization methods, including 10 cycles of autoclaving (dry cycle - 121 Celsius for 15 minutes), 10-minute soak in 1:10 bleach solution, and 10-minute soak in 100% isopropanol. These sterilization methods were performed mutually exclusively. These solutions were selected to simulate on shift sterilization by healthcare workers using standard hospital cleaning solutions. Mechanical testing according to ASTM D412 (Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers) was performed on samples cut directly from the sterilized masks. Unpaired t-test was performed on tensile stress at maximum force between groups to evaluate for statistical differences.

## Face scans

To obtain the 3D face geometry of the participants, we developed an IOS application (app) using the TrueDepth camera from an iPhone 11 to capture the face image of the participants. The app employs the ARKit developed by Apple for the use of face tracking in augmented reality to transform a 2D image with depth information into a 3D mesh. The output 3D mesh would then be converted into a solid model for finite element (FE) analysis.

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# Deformation studies

The commercial FE package ABAQUS/standard 2017 was used for simulating the deformation of the iMASC system. The 3D FE models were constructed by importing the CAD model of the mask from SolidWorks and scanned images of the participant faces. In all the analyses, we discretized the mask using four-node 3D linear tetrahedron elements with hybrid formulation (C3D4H Abagus element type). The material behavior of the elastomeric mask was captured using an almost incompressible Neo-Hookean hyperelastic model with Poisson's ratio of v 0 = 0.499 and density of 1.12E3 kg/m3) with directly imported stress-strain curves from mechanical testing. A simplified contact law ("surface to surface" type interaction) was assigned to the model with a penalty friction coefficient 0.2 for tangential behavior and a "hard" contact for normal behavior. The top-middle edge of the mask was positioned to the node at the center of the line connecting the eves. The "Quasi-static" dynamic implicit solver (\*DYNAMIC module in Abagus) was used. The mask was deformed by applying tensile forces along bands, shown in figure S1 using SMOOTH step amplitude curve, while completely constraining the motion of the face. The reaction force of the mask against the face as well as contact pressures were recorded as a function of applied load. Multiple levels of the reaction forces were exerted from the mask to the face, including F=0(undeformed), 4.5 (initial contact), and 10 (full contact) N.

# Clinical studies

Partners Healthcare Institutional Review Board (IRB) approval was obtained prior to any human testing of the iMASC system (Partners IRB 2020P000852). Subjects were comprised of adult Partners Healthcare staff including physicians, residents, nurses, and technicians who were recruited on a voluntary basis and had undergone OSHA-approved fit testing over the past year. Healthcare workers with facial hair were excluded from enrollment. Subjects were enrolled by study staff and gave informed verbal consent to participate in the study. Verbal informed consent was obtained due to non-invasive nature and short duration of the study. Following enrollment and consent, all subjects were briefed on the study procedure by the same member of the research team and then completed a baseline assessment to obtain general demographic information and ensure they had previously been fit tested successfully.

Subjects underwent fit testing in accordance to the Saccharin Solution Aerosol Protocol per 40 41 OSHA §1910.134 using the Gerson Respirator Fit Test kit (Gerson part # 065000, Middleboro, 42 Massachusetts.) with the saccharin solution. The fit testing was performed by a member of the study 43 staff. This fit test system was the same system used for fit testing healthcare workers at the hospitals 44 45 in the Partners Healthcare system. After successful completion of the threshold screening test, 46 subjects donned the iMASC system and a hood with a fitted collar. They were instructed to report 47 if they could taste the test solution. A nebulizer of the saccharin solution was inserted into the hole 48 49 in the front of the hood and sprayed at the same concentration (10, 20, or 30 squeezes) as the subject 50 was able to taste in their initial threshold test. The subject was instructed to perform the following 51 exercises while the aerosolized solution was replenished every 30 seconds: normal breathing, deep 52 53 breathing, turning the head side to side, moving the head up and down, counting backwards from 54 100, grimacing, bending over, and finally normal breathing for a second time. If the subject at any 55 time during the fit test was able to taste the solution, they indicated to the study staff and the test 56 was considered failed. If the subject did not report tasting the solution the test was considered 57 58 passed. Subjects who passed the fit test were introduced to how to properly replace the filter with a 59

demonstration by study staff. Subjects were then asked to replace the filter and perform a user seal check to ensure an adequate fit. This procedure allowed us to simulate the replacement of filters by healthcare workers prior to the start of a workday. Finally, subjects completed an exit assessment where they ranked fit, breathability, and difficulty of replacing the filter according to a Likert scale.
Subjects were also asked about their willingness to wear the mask compared to either a surgical mask or an N95 mask. All testing was performed at Brigham and Women's Hospital.

### Results

### Design and generation of injection molded liquid silicone rubber mask

The iMASC system was designed to function as an N95 FFR-comparable face mask (**figure 1**). The shape of the iMASC system was modeled from disposable regular N95 FFRs used in the hospital. Medical grade liquid silicone rubber (LSR) was identified as an optimal material for mask fabrication due to its conformable capacity, sterilizability through multiple methods and compatibility with injection molding for fabrication scalability. The weight of the iMASC system was  $44.84 \pm 0.05$  grams (n = 3) compared to  $10.41 \pm 0.13$  grams (n = 3) of current N95 FFRs. We employed a dual filter approach similar to half-mask elastomeric respirators to increase breathability and filtration area.<sup>5</sup> A single regular N95 FFR generated up to 5 filters for the iMASC system, thus extending the N95 material use.

### Characterization of mask material after sterilization

An advantage of the iMASC system over the half-mask respirators is the methods of sterilization (see **table S1**). We have performed tensile tests of the mask material after 10 autoclave cycles and 5 minutes in a 1:10 bleach solution and 70% isopropyl alcohol. We found that 10 autoclave cycles make the mask slightly stiffer, while the bleach soak resulted in no change and the isopropanol alcohol soak makes the material less stiff (**figure S2**). Evaluation of the tensile stress at maximum forces between groups were found to not be significantly different (p > 0.05). Despite these small changes in tensile strength, there were no gross differences in the mask compared to the non-sterilized mask.

## Finite element analysis for mask deformation upon different face shapes and sizes

We used non-linear finite element (FE) analyses (see "Deformation studies" in Methods) to evaluate the deformation of the flexible mask frames while wearing and determine the forces required to keep the mask in place across a range of subject faces. In figure 2A, we reported the numerical snapshots of the face mask when subjected to the strap's tensile loads, denoted by Tshown in figure S1, and monitored the deformation of the mask at different levels of the reaction force exerted from the mask to the face. The color maps represent the distribution of displacement's magnitude, U, showing relatively large deformation of the mask required to fit in to the subject face. We also calculated the normal contact forces,  $F^N$ , and contact pressures, P, as a function of F to evaluate the interaction between the mask and face. In **figure 2B**, the distribution of the  $F^N$  are shown at the different F. As expected, no  $F^N$  was recorded at F = 0. By pulling the straps, the mask starts to be engaged with the face, and at F = 4.5 N the maximum  $F^N$  occurs around the cheek. Further pulling the straps (F = 10 N) induces a relatively higher  $F^N$  along the edge of the mask in the cheeck and chin (lower lips) rather than the nose and cheekbones. This is a signature of the need to the Aluminum strip to bond across the bridge of the nose to enhance the contact pressure. 

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Next, we estimated the reaction force required to achieve an average contact pressure of P = 10 KPa (relatively uniformly distributed along the edge of the mask) as a higher limit of the contact pressure that results in a suitable fit between the mask and skin faces.<sup>6</sup> This reaction force is equivalent to the force applied through the straps. In **figure 2C**, we reported the reaction forces for twenty different subjects, ranging from 9.5 to 15 N. These variations are due to the difference in shape and size of the subject's faces especially in the jaw and cheekbone parts. Through application of these forces via the straps combined with the aluminum strip across the nose bridge, the mask should remain in place.

# Clinical trial evaluating mask fitting

In a prospective trial, we enrolled 24 healthcare workers at a large, urban, academic medical center who had been previously certified to wear a N95 respirator into our IRB-approved study. We excluded individuals with facial hair or those that had failed an N95 fit test. Consenting individuals were subject to a fit test as defined by OSHA.<sup>7-8</sup> **Figure 3A** shows the demographics of the participants, and **figures S3** and **S4** showcase the 3D facial reconstructions demonstrating variability of facial sizes and shapes among the participants. The average age of participants was 41 years with a range of 21-65 years with an average BMI of 26.5. The breakdown of participants by profession was 46% nurses (n=11), 21% attending physicians (n=5), 21% resident physicians (n=5), and 12% technicians (n=3). Of these participants, 4 did not perform the fit testing (1 due to inability to detect saccharin solution on pre-mask placement sensitivity test, 2 due to time, and 1 due to the inability to get the elastic straps over her hair and face).

All participants (n=20) that performed the fit test successfully completed the fit test as part of the hospital annual policy. All participants passed their fit test and were also able to successfully replace the filter into the mask, resulting in a 100% success rate for both fit testing and filter exchange. User experience with the iMASC system was evaluated using a Likert scale with a score of 1 indicating excellent and a score of 5 indicating very poor. Participants scored the fit of the iMASC system as excellent (8 participants), good (9 participants), or fair (3 participants) (figure **3B**). Participants scored the breathability of the iMASC system as excellent (9 participants), good (10 participants), or fair (1 participants). Finally, participants scored the filter replacement of the iMASC system as excellent (7 participants), good (7 participants), fair (4 participants), or poor (2 participants). Participants' preference to wear the iMASC over a surgical mask or an N95 respirator was also assessed. Sixty percent of participants indicated they would be willing to wear our mask instead of a surgical mask, with 20% indicating no preference between our mask and a standard surgical mask and 20% indicating they would prefer to wear a surgical mask (figure 3C). When asked about preference to wear our mask instead of an N95 FFR, 25% of participants indicated they would prefer to wear our mask and 60% indicated no preference between our mask and a N95 FFR. with only 15% indicating they would prefer to wear a standard issue N95 FFR (figure 3D). 

## Discussion

During times of pandemics, it is essential to protect healthcare workers from infection and transmission of disease with adequate PPE.<sup>4,9</sup> As stocks of N95 FFRs have reduced, healthcare workers are forced to find alternative strategies of protection, including re-sterilizing masks and using alternative mask materials that may result in less protection.<sup>9-10</sup> Our approach here was to develop a scalable, reusable face mask that can extend the amount of N95 material. The iMASC

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system withstood decontamination using three methods and was shown to successfully fit multiple different face sizes and shapes using an OSHA approved testing method. The iMASC system could be scaled up for use across many locations once additional certification testing, including the sodium chloride aerosol challenge test, dioctyl phthalate aerosol test, and inhalation and exhalation tests, has been completed. By selecting injection molding as the fabrication technique for the iMASC system, we believe we possess a fundamental advantage to other initiatives using three-dimensional (3D) printing techniques because injection molding is highly scalable and has decreased production time when compared to 3D printing.

These are initial proof-of-concept studies and have some limitations. First, the small sample size and single institutional nature of this prospective study limit generalizability and warrants evaluation in a larger cohort involving multiple institutions. As a result of the lack of availability of standard N95 FFRs, the iMASC system was not compared to standard of care N95 FFRs. Previous studies have demonstrated that a respirator user gains experience with subsequent donnings and may result in improved fit-test pass rate biasing our results<sup>11-13</sup>; thus, it will important to assess fit testing in inexperienced subjects. While Bitrex is the preferred choice for fit test solution as a leak detection<sup>14</sup>, saccharin was chosen due to availability and use in OSHA approved qualitative fit tests. Additional development for smaller face sizes and shapes are warranted since the iMASC system was modeled from the 3M 1860 model. Furthermore, all testing was performed in North America, and it is possible face shapes and sizes may differ for workers outside of this region. Modifications to the filter system and elastic straps would likely improve the fit and robustness of the mask. All post injection-molding manufacturing steps were completed in-house and in large scale production would be outsourced to contracted manufacturers with greater quality control of filter components. Further, the testing of mechanical properties after combinations of different sterilization techniques could provide a better representation of what would be used in the hospital. Additional quantitative fit testing, extended wearer testing, and certification testing, including NIOSH 42 CFR part 84 (or equivalent), will be needed to validate the iMASC system for use in the healthcare setting as qualitative fit testing is unable to verify the protection factor of the respirator. To source additional filter materials in the future, we will plan to perform filter efficiency testing on these materials, such as the NIOSH Standard Test Procedure (STP) TEB-APR-STP-0059. 

Newer face masks, such as our iMASC system, have potential to resupply and sustain hospitals with effective N95-comparable masks. Furthermore, a 2018 consensus report from the National Academies of Engineering, Science, and Medicine recommended that the durability and reusability of elastomeric respirators made them desirable for stockpiling for emergencies.<sup>5</sup> This approach could be applicable to users outside of the healthcare setting, including people in the research, home improvement, and manufacturing settings.

### Author Statement

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Contributors: J.D.B. and A.J.W. designed and fabricated the iMASC system, assisted with the
 clinical trial, analyzed and interpreted data, and wrote the manuscript. P.R.C. performed the clinical
 trial, analyzed and interpreted data, and wrote the manuscript. H.W.H., S.B., and C.L. designed the
 face scanning and performed FEA modeling, analyzed data, and wrote the manuscript. S.L.B., C.T.,

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and S.M. analyzed data and designed prototypes. G.T. supervised, reviewed the data and edited the manuscript.

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**Competing Interests:** There are no competing interests related to the work described in the manuscript. Complete details of all other relationships for profit and not for profit for G.T. can be found at the following link: https://www.dropbox.com/sh/szi7vnr4a2ajb56/AABs5N5i0q9AfT11qIJAE-T5a?dl=0

Patient and public involvement: Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

**Data Availability Statement:** The authors declare that the data supporting the findings of this study are available within the paper and its supplementary information files.

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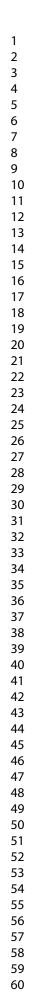
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# Figures

**Figure 1. iMASC system for aerosol-based protection.** (A) Front and (B) side images of the iMASC system. (C) Workflow for sterilization and reuse of iMASC system.

**Figure 2. Finite Element modeling of flexible masks.** (A) Representative numerical images showing the deformation of the elastomeric mask at different levels of reaction forces, F= 0, 4.5, and 10 N in two different views (top and bottom rows). The colors represent the magnitude of displacement field, U. (B) The corresponding distribution of the normal contact forces,  $F^N$ , between the mask and face. (C) Reaction forces for the subject numbers n=1,2,3,..., 20 computed from simulations.

**Figure 3. Fit testing of iMASC system in healthcare workers and their user experience.** (A) Demographics of participants (N = 24) enrolled in fit testing clinical trial. (B) User experience (N = 20) with the mask based upon a Likert scale. User preferences (N = 20) comparing the iMASC system to the (C) standard surgical mask and (D) N95 respirators.



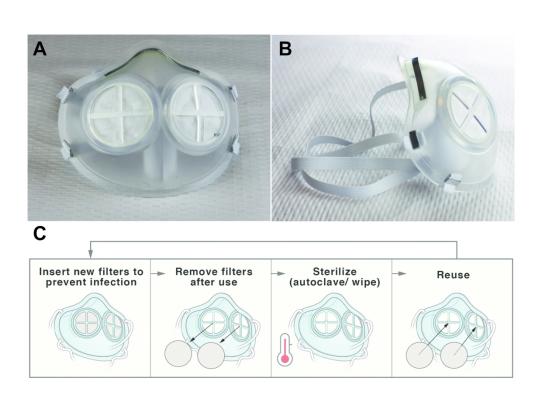


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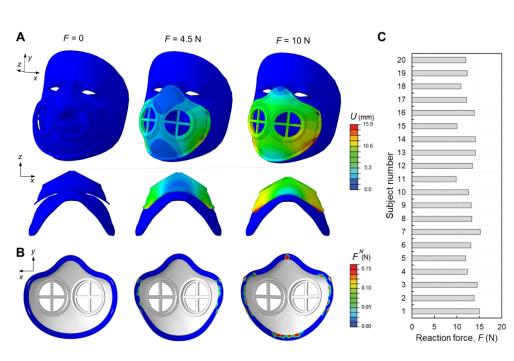


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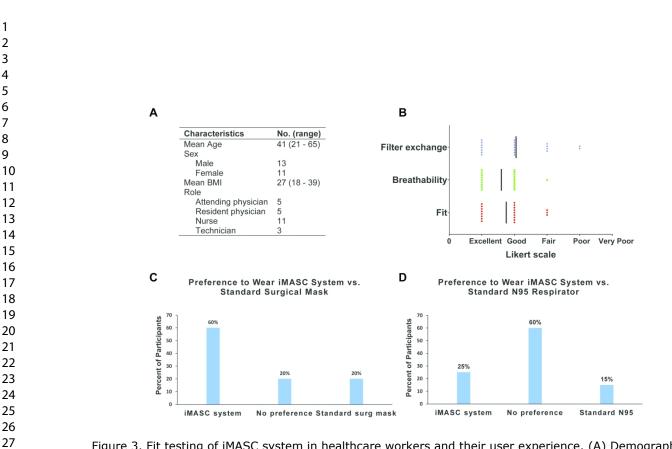


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**Supplementary Information** 

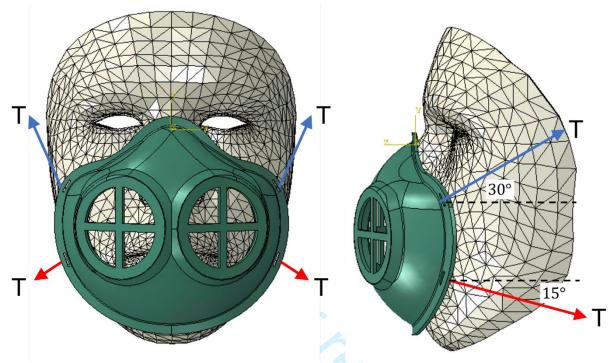
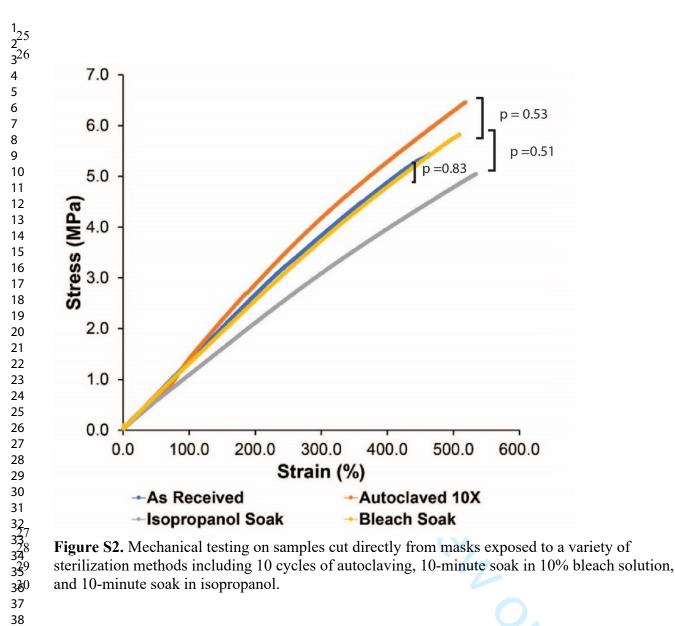


Figure S1. Illustration of the applied loads via mask straps.

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Subject 1	Subject 2	Subject 3	Subject 4
Subject 5	Subject 6	Subject 7	Subject 8
Subject 9	Subject 10	Subject 11	Subject 12
Subject 13	Subject 14	Subject 15	Subject 16
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**Figure S3.** Front view of 3D facial reconstruction of participants faces in fit trial of the iMASC system.

Subject 5Subject 6Subject 7Subject 8Subject 9Subject 10Subject 11Subject 12Subject 13Subject 14Subject 15Subject 16Subject 17Subject 18Subject 19Subject 20	Subject 1	Subject 2	Subject 3	Subject 4
Image: subject 13       Image: subject 14       Image: subject 15       Image: subject 16         Image: subject 12       Image: subject 14       Image: subject 15       Image: subject 16         Image: subject 12       Image: subject 12       Image: subject 15       Image: subject 16         Image: subject 12       Image: subject 12       Image: subject 15       Image: subject 16         Image: subject 12       Image: subject 12       Image: subject 12       Image: subject 16         Image: subject 13       Image: subject 12       Image: subject 12       Image: subject 16         Image: subject 13       Image: subject 12       Image: subject 12       Image: subject 16         Image: subject 13       Image: subject 12       Image: subject 12       Image: subject 12         Image: subject 13       Image: subject 13       Image: subject 12       Image: subject 12         Image: subject 14       Image: subject 12       Image: subject 12       Image: subject 12         Image: subject 13       Image: subject 13       Image: subject 13       Image: subject 13         Image: subject 14       Image: subject 13       Image: subject 13       Image: subject 14         Image: subject 14       Image: subject 14       Image: subject 14       Image: subject 14         Image: subject 14       Image: subject 14 </td <td>Subject 5</td> <td>Subject 6</td> <td>Subject 7</td> <td>Subject 8</td>	Subject 5	Subject 6	Subject 7	Subject 8
	Subject 9	Subject 10	Subject 11	Subject 12
Subject 17 Subject 18 Subject 19 Subject 20	Subject 13	Subject 14	Subject 15	Subject 16
Figure S4. Side view of 3D facial reconstruction of participants faces in fit trial of the				

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Table S1. Array of N95 and N95-comparable technologies.

Туре	Ex \$US Dollars (USD)/Unit	Pros	Cons	Recommended Sterilization Method
Disposable FFR	3M 8210Plus \$1.02 USD mcmaster.com/5450T42	<ul> <li>Ease of fit/use</li> <li>Low cost per use compared to HFRR and FFRR</li> <li>Some models come with exhaust valve</li> </ul>	<ul> <li>Not reusable</li> <li>If exhaust valve is available, it's not filtered</li> <li>No eye protection</li> </ul>	N/A
iMASC system	Mask: < \$7.00 USD Filter: \$0.50 USD	<ul> <li>Low cost</li> <li>Ease/accessibility of manufacturing</li> <li>Potentially autoclavable</li> </ul>	<ul> <li>No exhaust valve for humidity/ease of use relief</li> <li>No eye protection</li> </ul>	Autoclave, Clorox wipe, IPA wipe, detergent and sterilization agent wash
Half-Face Reusable (HFRR)	Mask: \$58.98 USD <u>mcmaster.com/5541T16-</u> <u>5541T162</u> Replacement Cartridge: \$14.32/pair USD <u>mcmaster.com/54445T229</u> Replacement Filter: \$2.65/pair USD <u>mcmaster.com/54445T189</u>	<ul> <li>Powered air compatible with select models</li> <li>Exhaust valve reduces humidity and breathing resistance</li> <li>Flange/gusset provides comfortable seal skin</li> </ul>	<ul> <li>Expensive</li> <li>Exhaust valve not filtered</li> <li>No eye protection</li> </ul>	Detergent and sterilization agent wash
Full-Face Reusable (FFRR)	Mask: \$168.47 USD mcmaster.com/5541T28 Replacement Filter: \$2.65/pair USD mcmaster.com/54445T189	<ul> <li>Best coverage</li> <li>Powered air compatible with select models</li> <li>Exhaust valve reduces humidity and breathing resistance</li> <li>Comfortable seal to skin</li> </ul>	<ul> <li>Expensive</li> <li>Exhaust valve not filtered</li> <li>Potential visual obstruction due to fogging</li> </ul>	Detergent and sterilization agent wash